

Multipooling

Testgrundsätze

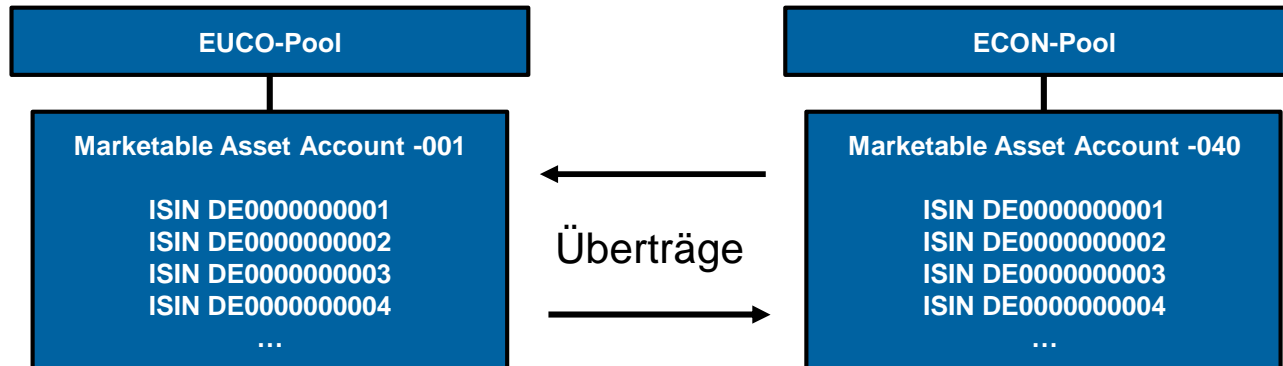
Version	Datum	Beschreibung der Änderung
1.0	18.12.2024	Erstfassung

1. Übersicht

1. Übersicht

Hintergrundinformationen

- Geschäftspartner können **Wertpapiere zwischen Marketable Asset Accounts** übertragen.
- Primärer Anwendungsfall ist der Übertrag zwischen **EUCO-Pool** (ehem. Sicherheitenkonto 1) und **ECON-Pool** (ehem. Sicherheitenkonto 3 für den TARGET-Contingency-Fall).
- Es handelt sich um einen **ECMS-internen Übertrag**. Eine marktseitige Gegeninstruktion in T2S ist nicht erforderlich.
- Multipooling ermöglicht somit die **kurzfristige Generierung zusätzlicher Liquidität in ECONS II**.



1. Übersicht

Testvoraussetzungen

- Die ECON- und EUCO-Pools sowie dazugehörige Marketable Asset Accounts -001 und -040 existieren in ECMS.
- Wertpapierbestand auf dem Marketable Asset Account, von dem übertragen werden soll.
- Relevante ECMS-Benutzerrollen:
 - ECMS ENTITY - U2A MARKETABLE ASSET (DE)MOBILISATION EXECUTION - #59
 - Validator (4-Augenprinzip) oder Super Validator (2-Augenprinzip)

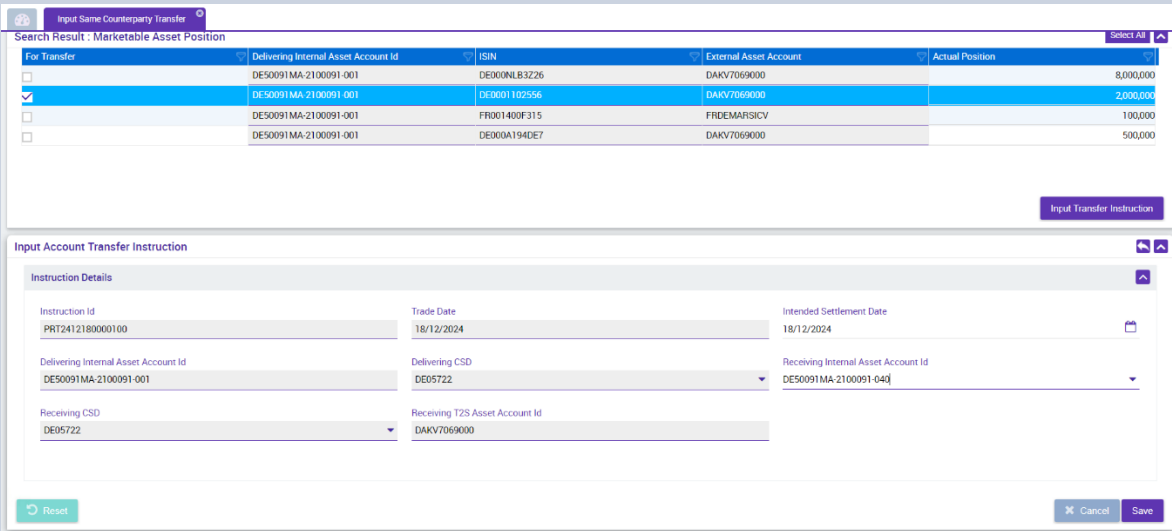
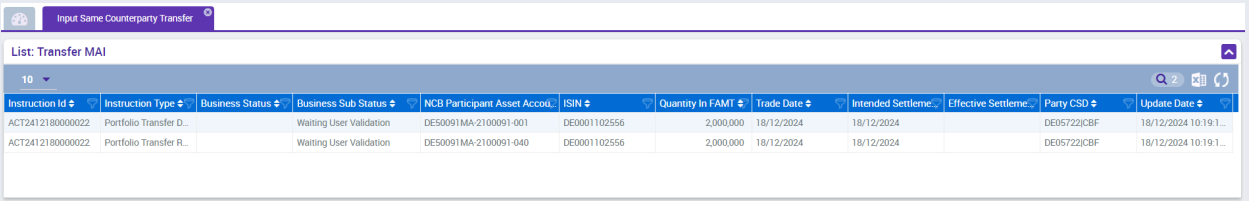
2. Vorgehen in ECMS

Erfassung, Freigabe und Prüfung

2. Vorgehen in ECMS Erfassung der Multipooling-Instruktion

#	Beschreibung	Screenshot																									
1	<p>Instructions and Positions >> Multipooling Instruction >> Input Same Counterparty Transfer</p> <p>Maske öffnen, Marketable Asset Account vorgeben und „Search Position“ klicken.</p> <p>Es öffnet sich eine Übersicht der Wertpapierpositionen in dem Marketable Asset Account.</p>	<p>The screenshot shows the 'Input Same Counterparty Transfer' interface. Under 'Search Criteria : Marketable Asset Position', the 'Delivering Internal Asset Account Id' is set to 'DE50091MA-2100091-001'. The search results table is as follows:</p> <table border="1"> <thead> <tr> <th>For Transfer</th> <th>Delivering Internal Asset Account Id</th> <th>ISIN</th> <th>External Asset Account</th> <th>Actual Position</th> </tr> </thead> <tbody> <tr> <td><input type="checkbox"/></td> <td>DE50091MA-2100091-001</td> <td>DE000NLB3Z26</td> <td>DAKV7069000</td> <td>8,000,000</td> </tr> <tr> <td><input type="checkbox"/></td> <td>DE50091MA-2100091-001</td> <td>DE0001102556</td> <td>DAKV7069000</td> <td>30,000,000</td> </tr> <tr> <td><input type="checkbox"/></td> <td>DE50091MA-2100091-001</td> <td>FR001400F315</td> <td>FRDEMARSICV</td> <td>100,000</td> </tr> <tr> <td><input type="checkbox"/></td> <td>DE50091MA-2100091-001</td> <td>DE000A194DE7</td> <td>DAKV7069000</td> <td>500,000</td> </tr> </tbody> </table>	For Transfer	Delivering Internal Asset Account Id	ISIN	External Asset Account	Actual Position	<input type="checkbox"/>	DE50091MA-2100091-001	DE000NLB3Z26	DAKV7069000	8,000,000	<input type="checkbox"/>	DE50091MA-2100091-001	DE0001102556	DAKV7069000	30,000,000	<input type="checkbox"/>	DE50091MA-2100091-001	FR001400F315	FRDEMARSICV	100,000	<input type="checkbox"/>	DE50091MA-2100091-001	DE000A194DE7	DAKV7069000	500,000
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2	<p>Zu transferierende Position sind mit Haken zu versehen.</p> <p>Die Höhe der zu transferierenden Position kann durch Überschreiben des Feldes „Actual Position“ angepasst werden.</p> <p>„Input Transfer Instruction“ klicken.</p>	<p>The screenshot shows the same interface as above, but the second row in the search results table is selected, and the 'Actual Position' value '30,000,000' has been manually changed to '2,000,000'.</p> <table border="1"> <thead> <tr> <th>For Transfer</th> <th>Delivering Internal Asset Account Id</th> <th>ISIN</th> <th>External Asset Account</th> <th>Actual Position</th> </tr> </thead> <tbody> <tr> <td><input checked="" type="checkbox"/></td> <td>DE50091MA-2100091-001</td> <td>DE0001102556</td> <td>DAKV7069000</td> <td>2,000,000</td> </tr> <tr> <td><input type="checkbox"/></td> <td>DE50091MA-2100091-001</td> <td>FR001400F315</td> <td>FRDEMARSICV</td> <td>100,000</td> </tr> <tr> <td><input type="checkbox"/></td> <td>DE50091MA-2100091-001</td> <td>DE000A194DE7</td> <td>DAKV7069000</td> <td>500,000</td> </tr> </tbody> </table>	For Transfer	Delivering Internal Asset Account Id	ISIN	External Asset Account	Actual Position	<input checked="" type="checkbox"/>	DE50091MA-2100091-001	DE0001102556	DAKV7069000	2,000,000	<input type="checkbox"/>	DE50091MA-2100091-001	FR001400F315	FRDEMARSICV	100,000	<input type="checkbox"/>	DE50091MA-2100091-001	DE000A194DE7	DAKV7069000	500,000					
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2. Vorgehen in ECMS Erfassung der Multipooling-Instruktion

#	Beschreibung	Screenshot
3	<p>Es öffnet sich ein Unterabschnitt mit Details zum Transfer. Das Settlement Date ist mit dem aktuellen Geschäftstag vorbelegt.</p> <p>Als Receiving Internal Asset Account das gewünschte Marketable Asset Account auswählen.</p> <p>Transfer mit „Save“ speichern.</p>	 <p>The screenshot shows the 'Input Same Counterparty Transfer' interface. At the top, there is a search result for 'Marketable Asset Position' with a table listing several entries. The second entry is selected. Below this, the 'Input Account Transfer Instruction' form is visible, showing fields for Instruction Id, Trade Date, Intended Settlement Date, Delivering Internal Asset Account Id, Delivering CSD, Receiving Internal Asset Account Id, Receiving CSD, and Receiving T2S Asset Account Id. The 'Save' button is highlighted.</p>
4	<p>ECMS zeigt das Ergebnis des Transfers. In diesem Beispiel wird das 4-AP genutzt und der Transfer ist im Status „Waiting User Validation“.</p>	 <p>The screenshot shows the 'List: Transfer MAI' interface. It displays a table with columns for Instruction Id, Instruction Type, Business Status, Business Sub Status, NCB Participant Asset Account, ISIN, Quantity in FAMT, Trade Date, Intended Settlement Date, Effective Settlement Date, Party CSD, and Update Date. Two entries are visible, both with a status of 'Waiting User Validation'.</p>

2. Vorgehen in ECMS Freigabe der Multipooling-Instruktion (für 4-AP)

#	Beschreibung	Screenshot
5	<p>Instructions and Positions >> Multipooling Instruction >> Validate/Reject Transfer</p> <p>Bei Nutzung des 4-AP ist die Freigabe des Transfers erforderlich.</p> <p>Ein zweiter User bestätigt den Transfer mit „Validate“ und „Yes“.</p>	<p>The screenshot displays the 'Validate/Reject Transfer' interface. At the top, there's a search bar with 'Account Transfert' entered. Below it are several filter fields: 'Party's Instruction Reference', 'Intended Settlement Date', 'Counterparty', 'ISIN', 'Creation Date', and 'Counterparty'. A 'Reset' button is on the left, and a 'Search' button is on the right. Below the filters is an 'Advanced Validation Screen' showing a table with one row of data. The table has columns for 'Party's Instruction Reference', 'Client', 'ISIN', 'Quantity', 'Intended Settlement Date', and 'Update Date'. Below the table are 'Reject', 'Validate', and 'View' buttons. A 'Confirm' dialog box is shown, asking 'Confirm the validation?' with 'Yes' and 'No' buttons. Below that is a 'Success' message: 'Creation is validated successfully' with an 'OK' button.</p>

2. Vorgehen in ECMS Prüfung des Transfers

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6	<p>Instructions and Positions >> Multipooling Instruction >> Display Transfer MA Instructions</p> <p>ECMS zeigt je Transfer eine Liefer- und eine Empfangsinstruktion.</p> <p>Das erwartete Ergebnis sind zwei Instruktionen im Status „Confirmed“ ohne Business Sub-Status.</p>	<table border="1"> <thead> <tr> <th>Party's Instructio...</th> <th>Instruction Id</th> <th>Instruction Type</th> <th>Business Status</th> <th>Counterparty</th> <th>Business Sub Stat.</th> <th>NCB Participant Asset Acco...</th> <th>ISIN</th> <th>Quantity in FAMT</th> <th>Trade Date</th> <th>Intended Settle...</th> <th>Effective Settle...</th> <th>Party CSD</th> </tr> </thead> <tbody> <tr> <td>PRT241218000010...</td> <td>ACT241218000002...</td> <td>PT-DF</td> <td>Confirmed</td> <td>DE50091</td> <td></td> <td>DE50091MA-2100091-001</td> <td>DE0001102556</td> <td>2,000,000</td> <td>18/12/2024</td> <td>18/12/2024</td> <td>18/12/2024</td> <td>DE05722</td> </tr> <tr> <td>PRT241218000010...</td> <td>ACT241218000002...</td> <td>PTRF</td> <td>Confirmed</td> <td>DE50091</td> <td></td> <td>DE50091MA-2100091-040</td> <td>DE0001102556</td> <td>2,000,000</td> <td>18/12/2024</td> <td>18/12/2024</td> <td>18/12/2024</td> <td>DE05722</td> </tr> </tbody> </table>	Party's Instructio...	Instruction Id	Instruction Type	Business Status	Counterparty	Business Sub Stat.	NCB Participant Asset Acco...	ISIN	Quantity in FAMT	Trade Date	Intended Settle...	Effective Settle...	Party CSD	PRT241218000010...	ACT241218000002...	PT-DF	Confirmed	DE50091		DE50091MA-2100091-001	DE0001102556	2,000,000	18/12/2024	18/12/2024	18/12/2024	DE05722	PRT241218000010...	ACT241218000002...	PTRF	Confirmed	DE50091		DE50091MA-2100091-040	DE0001102556	2,000,000	18/12/2024	18/12/2024	18/12/2024	DE05722
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7	<p>Instructions and Positions >> Client Marketable Asset Position >> Client Marketable Asset Movement Details</p> <p>Die Wertpapierbuchungen aus dem Transfer sind zudem in dieser Maske abrufbar.</p>	<table border="1"> <thead> <tr> <th>Internal Asset Account</th> <th>External Asset Accou...</th> <th>ISIN</th> <th>Quantity in FAMT</th> <th>Denomination Curren...</th> <th>Trade Date</th> <th>Intended Settlement</th> <th>Effective Settlement</th> <th>Impacted</th> <th>Movement status</th> <th>Creation Date</th> </tr> </thead> <tbody> <tr> <td>DE50091MA-2100091-001</td> <td>DE05722IDAKV706900...</td> <td>DE0001102556</td> <td>-2,000,000</td> <td>EUR</td> <td>18/12/2024</td> <td>18/12/2024</td> <td>18/12/2024</td> <td>Yes</td> <td>Impacted</td> <td>18/12/2024 10:30:02.5...</td> </tr> <tr> <td>DE50091MA-2100091-040</td> <td>DE05722IDAKV706900...</td> <td>DE0001102556</td> <td>2,000,000</td> <td>EUR</td> <td>18/12/2024</td> <td>18/12/2024</td> <td>18/12/2024</td> <td>Yes</td> <td>Impacted</td> <td>18/12/2024 10:30:03.8...</td> </tr> </tbody> </table>	Internal Asset Account	External Asset Accou...	ISIN	Quantity in FAMT	Denomination Curren...	Trade Date	Intended Settlement	Effective Settlement	Impacted	Movement status	Creation Date	DE50091MA-2100091-001	DE05722IDAKV706900...	DE0001102556	-2,000,000	EUR	18/12/2024	18/12/2024	18/12/2024	Yes	Impacted	18/12/2024 10:30:02.5...	DE50091MA-2100091-040	DE05722IDAKV706900...	DE0001102556	2,000,000	EUR	18/12/2024	18/12/2024	18/12/2024	Yes	Impacted	18/12/2024 10:30:03.8...						
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