

Statements & Reporting, Pool position

Test cases ECMS_TC_CPTY 21 (A2A), 22 (U2A/A2A), 23 (U2A/A2A)

Version	Date	Amendments
1.0	22.07.2024	Initial Version

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4. Pool position report

1. Test Principles

Scenarios and Preconditions

1. Test principles

Test offer:

Statement of Holdings (ECMS_TC_CPTY_22): U2A/A2A, Message Subscription/ad hoc - **mandatory**

Statement of Transactions (ECMS_TC_CPTY_23): U2A/A2A, Message Subscription/ad hoc - **optional**

Pool position report (ECMS_TC_CPTY_21): A2A, Message Subscription/ad hoc - **optional**

Necessary roles:

Validator/Super Validator - the 4 eyes principle is not applied for statements and reports. Ad hoc queries are always granted without validation by a second user

Für U2A User: ECMS ENTITY - U2A STATEMENTS EXECUTION - #80 → retrieval + ad hoc query
ECMS ENTITY - U2A STATEMENTS READ ONLY - #81 → retrieval

Für A2A User: ECMS ENTITY - A2A REPORTING (ADMI.005) EXECUTION

Further information regarding the A2A messages can be found in the ECB catalogue of messages.

[ECMS - Professional use \(europa.eu\)](https://europa.eu)

1. Test principles

Known defects and limitations

Please be aware, that this document might describe test cases that have not yet received the green light for testing from Bundesbank. Please check the document „Approvals of Deutsche Bundesbank for ECMS counterparty testing“ on our website ([Test and Migration | Deutsche Bundesbank](#)).

Statement of Holdings: Currently no open defects.

2. Statement of Holdings

ECMS_TC_CPTY_22 (U2A/A2A, mandatory)

2. Statement of Holdings

The **statement of holdings (for marketable assets)** will be generated per default configuration of **Bundesbank daily starting from 18:15* pm (CET/CEST) U2A and A2A for all counterparties**. The statement reports the end of day positions per internal asset account. If a counterparty owns more than one internal asset account, one statement per account will be generated.

Additionally the statement of holdings can be queried **ad hoc** by the counterparty via ECMS GUI (U2A) or via admi.005 (A2A) for the current or past business day.

→ ad hoc query for current business day: current daily position will be reported back

→ ad hoc query for past business day: EoD position will be reported back

A2A messaging: The statement of Holdings will be sent via semt.002 to the in ECMS configured technical address.

(Purpose: Securities Management)

On demand Bundesbank can exclude the counterparty from the daily message subscription.

* in ECMS UTEST the shortened operational day is being applied every Friday - the statement will be generated starting from 14:15 pm (CET/CEST).

2. Statement of Holdings

2.1 U2A ad hoc query

Module: Reference Data

Path: Reference Data >> Statements & Messages >> Statement of Holdings >> Generate Statement of Holdings

Generate Statement of Holdings

StatementProcessUseCase : Statement Of Holdings Process

Run Statement Process

PROCESSED FOR

Internal Asset Account

NOTIFICATION PARAMETERS

Statement Date

17/07/2024

Reset

Internal Asset Account: choose an internal asset account

Statement Date: </= current business day - please note, that due to migration test 9 data is only available starting from the 01.07.2024. The same goes for ECMS PROD respectively, where data will only be available starting with the go live date.

2. Statement of Holdings

2.2 U2A retrieval of statements

There are two possibilities for retrieving and downloading the statement of holdings in the ECMS GUI:

1. Check Statement of Holdings generated via ad hoc query or message subscription.

Module: Reference data

Path: Reference data >> Statements & Messages >> Statement of Holdings >> Monitor Notifications generated

→ Download options: Excel, XML message for A2A communication

2. Statements generated via message subscription only

Module: Reference data

Path: Reference data >> Statements & Messages >> Reports >> U2A Statement of Holdings

→ Download options: Excel, CSV, XML message for A2A communication

2. Statement of Holdings

2.2 U2A retrieval of statements - option 1

Module: Reference data

Path: Reference data >> Statements & Messages >> Statement of Holdings >> Monitor Notifications generated >> „Search“ - Wählen Sie ein Statement aus und klicken Sie auf „View“

Monitor Notifications Generated | U2A Statement Of Holdings

Search Criteria : Statement Of Holdings Process

Internal Asset Account: [dropdown] NCB Participant: [dropdown] NCB Participant Type: [dropdown]
Statement Id: [dropdown] Statement Date: [calendar icon] Update Date: [dropdown]

[Reset](#) [Search](#)

Search Result : Statement Of Holdings Process

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Internal Asset Account	NCB Participant	NCB Participant Type	Statement Id	Statement Date	Process Status	Failure Reason	Report Frequency	Update Date	Updater User Id	Asset Category
DE50090MA-2100090-001	DE50090	COUNTERPARTIES	SH2407172910806	17/07/2024	Done		Daily	17/07/2024 09:11:32:140	DECPY-DE50090-WENZEL...	MARKETABLE ASSETS ACC...
DE50090MA-2100090-040	DE50090	COUNTERPARTIES	SH2407162890934	16/07/2024	Done		Daily	16/07/2024 18:16:14.025	ECMSOperationalDaySched...	MARKETABLE ASSETS ACC...
DE50090MA-2100090-001	DE50090	COUNTERPARTIES	SH2407162890857	16/07/2024	Done		Daily	16/07/2024 18:16:08.832	ECMSOperationalDaySched...	MARKETABLE ASSETS ACC...
DE50090MA-2100090-002	DE50090	COUNTERPARTIES	SH2407162890859	16/07/2024	Done		Daily	16/07/2024 18:16:06.441	ECMSOperationalDaySched...	MARKETABLE ASSETS ACC...
DE50090MA-2100090-001	DE50090	COUNTERPARTIES	SH2407152851196	15/07/2024	Done		Daily	15/07/2024 21:07:34.771	ECMSOperationalDaySched...	MARKETABLE ASSETS ACC...
DE50090MA-2100090-040	DE50090	COUNTERPARTIES	SH2407152851195	15/07/2024	Done		Daily	15/07/2024 21:07:32.046	ECMSOperationalDaySched...	MARKETABLE ASSETS ACC...
DE50090MA-2100090-002	DE50090	COUNTERPARTIES	SH2407152851194	15/07/2024	Done		Daily	15/07/2024 21:07:29.358	ECMSOperationalDaySched...	MARKETABLE ASSETS ACC...
DE50090MA-2100090-001	DE50090	COUNTERPARTIES	SH2407122781199	12/07/2024	Done		Daily	12/07/2024 15:12:30.410	ECMSOperationalDaySched...	MARKETABLE ASSETS ACC...
DE50090MA-2100090-040	DE50090	COUNTERPARTIES	SH2407122781197	12/07/2024	Done		Daily	12/07/2024 15:12:27.889	ECMSOperationalDaySched...	MARKETABLE ASSETS ACC...
DE50090MA-2100090-002	DE50090	COUNTERPARTIES	SH2407122781196	12/07/2024	Done		Daily	12/07/2024 15:12:27.036	ECMSOperationalDaySched...	MARKETABLE ASSETS ACC...

[View](#)

2. Statement of Holdings

2.2 U2A retrieval of statements - option 1

The statement in view-mode:

Search Result : Statement Of Holdings Process > View : Statement Of Holdings Process

Statement Id SH2407172910806	Process Type Simulation	Position Basis Settlement Date
Internal Asset Account DE50090MA-2100090-001	NCB Participant DE50090	NCB Participant Type COUNTERPARTIES
Process Date 17/07/2024	Report Frequency Daily	Description A2A and U2A
Failure Reason	Notifications Notifications [1]	Detailed Positions Detailed Positions [17]

List : Statement of Holdings process (Notifications)

Notification Name	Notifications Status	Reference	Direction	Error Description	Update Date	Updater User Id
Statement of Holdings	Processed	13371332700	Out		17/07/2024 09:11:31:458	DEPTY-DE50090-WENZEL-ZEP

View : Client Holding Statement

Statement Id	Statement Date	Internal Asset Account	NCB Participant	Instrument Id	Amount	Denomination Currency	Collateral Valuation	Issuer CSD	NCB Custodian	Update Date	Updater User Id
SH2407172910806	17/07/2024	DE50090MA-2100090-001	DE50090	ES0413900673	100,000	EUR	87,761.4112	ESAB2695677	ES9000	17/07/2024 09:11:21:935	DEPTY-DE50090-WENZ...
SH2407172910806	17/07/2024	DE50090MA-2100090-001	DE50090	NL0010733424	1,500,000	EUR	0	NL8139430738016	NL001	17/07/2024 09:11:21:935	DEPTY-DE50090-WENZ...
SH2407172910806	17/07/2024	DE50090MA-2100090-001	DE50090	ES0513495W19	100,000	EUR	90,874.26625	ESAB2695677	ES9000	17/07/2024 09:11:21:935	DEPTY-DE50090-WENZ...
SH2407172910806	17/07/2024	DE50090MA-2100090-001	DE50090	ES0555281858	90,000	EUR	78,080.21797	ESAB2695677	ES9000	17/07/2024 09:11:21:935	DEPTY-DE50090-WENZ...
SH2407172910806	17/07/2024	DE50090MA-2100090-001	DE50090	ES0305443006	100,000	EUR	94,707.25411	ESAB2695677	ES9000	17/07/2024 09:11:21:935	DEPTY-DE50090-WENZ...
SH2407172910806	17/07/2024	DE50090MA-2100090-001	DE50090	FR0013505104	1,000,000	EUR	974,738.6748	FR542058086	FR30001	17/07/2024 09:11:21:935	DEPTY-DE50090-WENZ...
SH2407172910806	17/07/2024	DE50090MA-2100090-001	DE50090	ES0415306085	100,000	EUR	89,194.45165	ESAB2695677	ES9000	17/07/2024 09:11:21:935	DEPTY-DE50090-WENZ...
SH2407172910806	17/07/2024	DE50090MA-2100090-001	DE50090	FR0013504644	1,000,000	EUR	978,385.31604	FR542058086	FR30001	17/07/2024 09:11:21:935	DEPTY-DE50090-WENZ...
SH2407172910806	17/07/2024	DE50090MA-2100090-001	DE50090	NL0015000MCO	65,000,000	EUR	29,012,038.07517	NL8139430738016	NL001	17/07/2024 09:11:21:935	DEPTY-DE50090-WENZ...
SH2407172910806	17/07/2024	DE50090MA-2100090-001	DE50090	ES0413464027	100,000	EUR	98,668.93124	ESAB2695677	ES9000	17/07/2024 09:11:21:935	DEPTY-DE50090-WENZ...

2. Statement of Holdings

2.2 U2A retrieval of statements - option 2

Module: Reference data

Path: Reference data >> Statements & Messages >> Reports >> U2A Statement of Holding

The search results can be refined by using the search fields „Statement Date“ and „Internal Asset Account“. The fields „NCB Participant“ and „NCB Participant Type“ are for the NCB.

Search Criteria : Client Holding Statement

Statement Date: 16/07/2024 Internal Asset Account: NCB Participant: NCB Participant Type:

Reset Search

Search Result : Client Holding Statement

Statement Id	Statement Date	Internal Asset Account	NCB Participant	NCB Participant Ty	Instrument Id	Amount	Denomination Curr.	Collateral Valuation	Issuer CSD	Update Date	Updater User Id	NCB Custodian	Statement Basis
SH2407162890934	16/07/2024	DE50090MA-210009...	DE50090	COUNTERPARTIES	DE0001135481	3,000,000	EUR	2,769,360.42135	DE05722	16/07/2024 18:16:10...	ECMSOperationalDay...	DE05722	SETT
SH2407162890859	16/07/2024	DE50090MA-210009...	DE50090	COUNTERPARTIES	DE0001135481	3,000,000	EUR	2,769,360.42135	DE05722	16/07/2024 18:16:04...	ECMSOperationalDay...	DE05722	SETT
SH2407162890857	16/07/2024	DE50090MA-210009...	DE50090	COUNTERPARTIES	ES0415306101	100,000	EUR	98,221.36645	ESA82695677	16/07/2024 18:16:03...	ECMSOperationalDay...	ES9000	SETT
SH2407162890857	16/07/2024	DE50090MA-210009...	DE50090	COUNTERPARTIES	ES0413900673	100,000	EUR	87,668.98186	ESA82695677	16/07/2024 18:16:03...	ECMSOperationalDay...	ES9000	SETT
SH2407162890857	16/07/2024	DE50090MA-210009...	DE50090	COUNTERPARTIES	ES0305443006	100,000	EUR	94,694.74704	ESA82695677	16/07/2024 18:16:03...	ECMSOperationalDay...	ES9000	SETT
SH2407162890857	16/07/2024	DE50090MA-210009...	DE50090	COUNTERPARTIES	NL0015000MC0	65,000,000	EUR	28,994,378.51528	NL813943073B016	16/07/2024 18:16:03...	ECMSOperationalDay...	NL001	SETT
SH2407162890857	16/07/2024	DE50090MA-210009...	DE50090	COUNTERPARTIES	DE0001135481	3,000,000	EUR	2,769,360.42135	DE05722	16/07/2024 18:16:03...	ECMSOperationalDay...	DE05722	SETT
SH2407162890857	16/07/2024	DE50090MA-210009...	DE50090	COUNTERPARTIES	NL0010733424	1,500,000	EUR	0	NL813943073B016	16/07/2024 18:16:03...	ECMSOperationalDay...	NL001	SETT
SH2407162890857	16/07/2024	DE50090MA-210009...	DE50090	COUNTERPARTIES	FR0013505104	1,000,000	EUR	974,439.70866	FR542058086	16/07/2024 18:16:03...	ECMSOperationalDay...	FR30001	SETT
SH2407162890857	16/07/2024	DE50090MA-210009...	DE50090	COUNTERPARTIES	ES0413464027	100,000	EUR	98,615.47155	ESA82695677	16/07/2024 18:16:03...	ECMSOperationalDay...	ES9000	SETT

Export Report Csv Export Report Excel Export Report Xml

2. Statement of Holdings

2.3 A2A Ad hoc query via admi.005

Only relevant for A2A-counterparties!

1. General header:

```
<AppHdr xmlns="urn:iso:std:iso:20022:tech:xsd:head.001.001.01">
  <Fr>
    <FIId>
      <FinInstnId>
        <BICFI>Counterparty BIC</BICFI>
        <ClrSysMmbId>
          <ClrSysId>
            <Prtry>ECMS</Prtry>
          </ClrSysId>
          <MmbId>A2A-User-reference</MmbId>
        </ClrSysMmbId>
        <Othr>
          <Id>MARKDEFFXXX</Id>
        </Othr>
      </FinInstnId>
    </FIId>
  </Fr>
  <To>
    <FIId>
      <FinInstnId>
        <BICFI>TRGTXETTECM</BICFI>
        <Othr>
          <Id>MARKDEFFXXX</Id>
        </Othr>
      </FinInstnId>
    </FIId>
  </To>
  <BizMsgIdr>202305030001</BizMsgIdr>
  <MsgDefIdr>admi.005.001.01</MsgDefIdr>
  <CreDt>2023-05-03T06:08:30Z</CreDt>
</AppHdr>
```

Please check your user in the reference data module.

1. User Reference
2. Are the necessary roles assigned?
3. Is the DN of the signature certificate stored in ECMS?

2. Statement of Holdings

2.3 A2A Ad hoc query via admi.005

Only relevant for A2A-counterparties!

2. Message

```
<IGXMessage>
  <Document xmlns="urn:iso:std:iso:20022:tech:xsd:admi.005.001.01">
    <RptQryReq>
      <MsgHdr>
        <MsgId>Unique reference</MsgId>
      </MsgHdr>
      <RptQryCrit>
        <SchCrit>
          <AcctId>
            <EQ>
              <Othr>
                <Id>Internal Asset account</Id>
              </Othr>
            </EQ>
          </AcctId>
          <RptNm>CSOH</RptNm>
          <PtyId>
            <Id>
              <AnyBIC>Counterparty BIC</AnyBIC>
            </Id>
          </PtyId>
          <RspnsblPtyId>
            <Id>
              <AnyBIC>MARKDEFXXX</AnyBIC>
            </Id>
          </RspnsblPtyId>
          <DtSch>
            <EQDt>Date</EQDt>
          </DtSch>
        </SchCrit>
      </RptQryCrit>
    </RptQryReq>
  </Document>
</IGXMessage>
```

Internal Asset Account - standardized reference:
DEnnnnn-200nnnn-0nn

Accounts and its link to the Pool can be checked e.g. in
the module collateral & credit:

Pool Structure >> Collateral Positions >> Create/Display
Pool - Counterparty Asset Account Links >> „Search“
(role #58)

Statement-type: CSOH for Statement of Holdings

3. Statement of Transactions

ECMS_TC_CPTY_23 (U2A/A2A, optional)

3. Statement of Transactions

The **statement of transactions (for marketable assets)** will be generated per default configuration of **Bundesbank daily starting from 18:15* pm (CET/CEST) U2A and A2A for all counterparties**. The statement reports all transactions with impact on the „actual Marketable Asset position“ for one internal asset account. If a counterparty owns more than one internal asset account, one statement per account will be generated.

Additionally the statement of transactions can be queried **ad hoc** by the counterparty via ECMS GUI (U2A) or via admi.005 (A2A) for the current or past bussines day.

→ U2A ad hoc query for a time span possible

A2A messaging: The statement of transactions will be sent via semt.017 to the in ECMS configured technical address.

(Purpose: Securities Management)

On demand Bundesbank can exclude the counterparty from the daily message subscription.

* in ECMS UTEST the shortened operational day is being applied every Friday - the statement will be generated starting from 14:15 pm (CET/CEST).

3. Statement of Transactions

3.1 U2A ad hoc query

Module: Reference data

Path: Reference data >> Statements & Messages >> Statement of Transactions >> Generate Statement of Transactions

StatementProcessUseCase : Statement Of Transaction Process Run Statement Process

PROCESSED FOR Internal Asset Account

NOTIFICATION PARAMETERS

Statement Date: 17/07/2024 Start Date End Date

Reset

Internal Asset account: Choose an account.

Start Date: </= current business day - please note, that due to migration test 9 data is only available starting from the 01.07.2024. The same goes for ECMS PROD respectively, where data will only be available starting with the go live date.

End Date: </= current business day.

3. Statement of Transactions

3.2 U2A retrieval of statements

There are two possibilities for retrieving and downloading the statement of holdings in the ECMS GUI:

1. Check Statement of Transactions generated via ad hoc query or message subscription.

Module: Reference data

Path: Reference data >> Statements & Messages >> Statement of Transactions >> Monitor Notifications generated

→ Download options: Excel, XML message for A2A communication

2. Statements generated via message subscription only

Module: Reference data

Path: Reference data >> Statements & Messages >> Reports >> U2A Statement of Transactions

→ Download options: Excel, CSV, XML message for A2A communication

3. Statement of Transactions

3.2 U2A retrieval of statements - option 1

Module: Reference data

Path: Reference data >> Statements & Messages >> Statement of Transactions >> Monitor Notifications generated >> „Search“ - Wählen Sie ein Statement aus und klicken Sie auf „View“

Search Criteria : Statement Of Transaction Process

Internal Asset Account NCB Participant NCB Participant Type Statement id Statement Date

Search Result : Statement Of Transaction Process

Internal Asset Account	NCB Participant	NCB Participant Type	Statement id	Statement Date	Process Status	Failure Reason	Report Frequency	Update Date	Updater User id
DE50090MA-2100090-040	DE50090	COUNTERPARTIES	ST2407152662572	15/07/2024	Done		Daily	15/07/2024 21:10:35:208	ECMSOperationalDayScheduler
DE50090MA-2100090-002	DE50090	COUNTERPARTIES	ST2407152662571	15/07/2024	Done		Daily	15/07/2024 21:10:34:981	ECMSOperationalDayScheduler
DE50090MA-2100090-001	DE50090	COUNTERPARTIES	ST2407152662570	15/07/2024	Done		Daily	15/07/2024 21:10:34:827	ECMSOperationalDayScheduler
DE50090MA-2100090-040	DE50090	COUNTERPARTIES	ST2407122632572	12/07/2024	Done		Daily	12/07/2024 15:13:10:614	ECMSOperationalDayScheduler
DE50090MA-2100090-002	DE50090	COUNTERPARTIES	ST2407122632571	12/07/2024	Done		Daily	12/07/2024 15:13:10:420	ECMSOperationalDayScheduler
DE50090MA-2100090-001	DE50090	COUNTERPARTIES	ST2407122632570	12/07/2024	Done		Daily	12/07/2024 15:13:10:168	ECMSOperationalDayScheduler
DE50090MA-2100090-040	DE50090	COUNTERPARTIES	ST2407112622572	11/07/2024	Done		Daily	11/07/2024 18:19:10:623	ECMSOperationalDayScheduler
DE50090MA-2100090-002	DE50090	COUNTERPARTIES	ST2407112622571	11/07/2024	Done		Daily	11/07/2024 18:19:09:526	ECMSOperationalDayScheduler
DE50090MA-2100090-001	DE50090	COUNTERPARTIES	ST2407112622570	11/07/2024	Done		Daily	11/07/2024 18:19:09:392	ECMSOperationalDayScheduler
DE50090MA-2100090-040	DE50090	COUNTERPARTIES	ST2407102602572	10/07/2024	Done		Daily	10/07/2024 18:18:14:569	ECMSOperationalDayScheduler

3. Statement of Transactions

3.2 U2A retrieval of statements - option 1

The statement in view-mode:

Search Result : Statement Of Transaction Process > View : Statement Of Transaction Process

Statement id ST2407112622572	Statement Date 11/07/2024	Process Type Real	NCB Participant Type COUNTERPARTIES	
Internal Asset Account DE50090MA-2100090-040	NCB Participant DE50090	Start Date 11/07/2024	End Date 11/07/2024	Report Frequency Daily
Failure Reason	Notifications Notifications [1]			

FootPrint

List : Statement of Holdings process (Notifications)

Notification Name	Notifications Status	Reference	Direction	Error Description	Update Date	Updater User Id
Statement of Transactions	Processed	13141642700	Out		11/07/2024 18:19:10:593	ECMSOperationalDayScheduler

[Download](#) [View Message](#)

Here only the XML-File is available for download.

When clicking the excel-symbol an excel is downloaded that shows a list with a single entry for the XML-message that was generated.

3. Statement of Transactions

3.2 U2A retrieval of statements - option 2

Module: Reference data

Path: Reference data >> Statements & Messages >> Reports >> U2A Statement of Holding

The search fields „NCB Participant“ and „NCB Participant Type“ are for the NCB only.

U2A Statement Of Transactions
✱ ⬆

Search : U2A Statement Of Transactions

Internal Asset Account

Statement Start Date

Intended Settlement Date

NCB Participant

Statement End Date

NCB Participant Type

Settlement Type

Reset
Search

Search : U2A Statement Of Transactions
✱ ⬆

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Account Reference	ECMS Internal Ass.	Quantity	Currency	Effective Settleme.	ISIN	Asset Name	Statement id	Report Frequency	Start Date	End Date	Update Date	Settlement Type	Intended Settleme.
DE50090MA-210009...	ZYRMDEFFXXX	100,000	EUR	10/07/2024	ES0000012E85	BONO SG ESTADO 5...	ST2407102602570	Daily	10/07/2024	10/07/2024	10/07/2024 18:18:1...	RECE	10/07/2024
DE50090MA-210009...	ZYRMDEFFXXX	100,000	EUR	10/07/2024	ES0305443006	BTN SABADELL CON...	ST2407102602570	Daily	10/07/2024	10/07/2024	10/07/2024 18:18:1...	RECE	10/07/2024
DE50090MA-210009...	ZYRMDEFFXXX	100,000	EUR	10/07/2024	ES0555281858	I.CATAL.FINA POE 2...	ST2407102602570	Daily	10/07/2024	10/07/2024	10/07/2024 18:18:1...	RECE	10/07/2024
DE50090MA-210009...	ZYRMDEFFXXX	100,000	EUR	10/07/2024	ES0L02408091	LETRAS DEL TESOR...	ST2407102602570	Daily	10/07/2024	10/07/2024	10/07/2024 18:18:1...	RECE	10/07/2024
DE50090MA-210009...	ZYRMDEFFXXX	100,000	EUR	10/07/2024	ES0413900673	CT SANTANDER-19 ...	ST2407102602570	Daily	10/07/2024	10/07/2024	10/07/2024 18:18:1...	RECE	10/07/2024
DE50090MA-210009...	ZYRMDEFFXXX	100,000	EUR	10/07/2024	ES0415306085	CH C.RURAL NAVA...	ST2407102602570	Daily	10/07/2024	10/07/2024	10/07/2024 18:18:1...	RECE	10/07/2024
DE50090MA-210009...	ZYRMDEFFXXX	100,000	EUR	10/07/2024	ES0415306101	CH C.RURAL NAVA...	ST2407102602570	Daily	10/07/2024	10/07/2024	10/07/2024 18:18:1...	RECE	10/07/2024
DE50090MA-210009...	ZYRMDEFFXXX	100,000	EUR	10/07/2024	ES0513495W19	SANT CONSUME PE ...	ST2407102602570	Daily	10/07/2024	10/07/2024	10/07/2024 18:18:1...	RECE	10/07/2024
DE50090MA-210009...	ZYRMDEFFXXX	100,000	EUR	10/07/2024	ES0344251014	BS IBERCAJA BAN...	ST2407102602570	Daily	10/07/2024	10/07/2024	10/07/2024 18:18:1...	RECE	10/07/2024
DE50090MA-210009...	ZYRMDEFFXXX	100,000	EUR	10/07/2024	ES0413211A67	CT B.B.V.A- VBLE 0...	ST2407102602570	Daily	10/07/2024	10/07/2024	10/07/2024 18:18:1...	RECE	10/07/2024

Export Report Csv Export Report Excel Export Report Xml

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26. Juli 2024
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3. Statement of Transactions

3.3 A2A Ad hoc query via admi.005

Only relevant for A2A-counterparties!

1. General header:

```
<AppHdr xmlns="urn:iso:std:iso:20022:tech:xsd:head.001.001.01">
  <Fr>
    <FIId>
      <FinInstnId>
        <BICFI>Counterparty BIC</BICFI>
        <ClrSysMmbId>
          <ClrSysId>
            <Prtry>ECMS</Prtry>
          </ClrSysId>
          <MmbId>A2A-User-reference</MmbId>
        </ClrSysMmbId>
        <Othr>
          <Id>MARKDEFFXXX</Id>
        </Othr>
      </FinInstnId>
    </FIId>
  </Fr>
  <To>
    <FIId>
      <FinInstnId>
        <BICFI>TRGTXETTECM</BICFI>
        <Othr>
          <Id>MARKDEFFXXX</Id>
        </Othr>
      </FinInstnId>
    </FIId>
  </To>
  <BizMsgIdr>202305030001</BizMsgIdr>
  <MsgDefIdr>admi.005.001.01</MsgDefIdr>
  <CreDt>2023-05-03T06:08:30Z</CreDt>
</AppHdr>
```

- Please check your user in the reference data module.
1. User Reference
 2. Are the necessary roles assigned?
 3. Is the DN of the signature certificate stored in ECMS?

3. Statement of Transactions

3.3 A2A Ad hoc query via admi.005

Only relevant for A2A-counterparties!

2. Message

```
<IGXMMessage>
  <Document xmlns="urn:iso:std:iso:20022:tech:xsd:admi.005.001.01">
    <RptQryReq>
      <MsgHdr>
        <MsgId>Unique reference</MsgId>
      </MsgHdr>
      <RptQryCrit>
        <SchCrit>
          <AcctId>
            <EQ>
              <Othr>
                <Id>Internal Asset account</Id>
              </Othr>
            </EQ>
          </AcctId>
          <RptNm>CSOT</RptNm>
          <PtyId>
            <Id>
              <AnyBIC>Counterparty BIC</AnyBIC>
            </Id>
          </PtyId>
          <RspnsblPtyId>
            <Id>
              <AnyBIC>MARKDEFFXXX</AnyBIC>
            </Id>
          </RspnsblPtyId>
          <DtSch>
            <EQDt>Date</EQDt>
          </DtSch>
        </SchCrit>
      </RptQryCrit>
    </RptQryReq>
  </Document>
</IGXMMessage>
```

Internal Asset Account - standardized reference:
DEnnnnn-200nnnn-0nn

Accounts and its link to the Pool can be checked e.g. in
the module collateral & credit:

Pool Structure >> Collateral Positions >> Create/Display
Pool - Counterparty Asset Account Links >> „Search“
(role #58)

Statement-type: CSOT for Statement of transactions

4. Pool position report

ECMS_TC_CPTY_21 (A2A, optional)

4. Pool position report

The **pool position reports** will be generated per default configuration of **Bundesbank daily starting from 20:30 pm (CET/CEST) A2A for all counterparties**. The report contains the end of day positions for all credit and collateral position in one pool. If a counterparty owns more than one pool, one report per pool will be generated.

Additionally the statement of holdings can be queried **ad hoc** by the counterparty via ECMS GUI (U2A) or via admi.005 (A2A) for the current or past business day.

→ U2A ad hoc query for a time span possible

A2A messaging: The pool position report will be sent via colr.016 to the in ECMS configured technical address.

(Purpose: Collateral and Credit)

On demand Bundesbank can exclude the counterparty from the daily message subscription.

Only relevant for A2A-counterparties!

1. General header:

```
<AppHdr xmlns="urn:iso:std:iso:20022:tech:xsd:head.001.001.01">
  <Fr>
    <FIId>
      <FinInstnId>
        <BICFI>Counterparty BIC</BICFI>
        <ClrSysMmbId>
          <ClrSysId>
            <Prtry>ECMS</Prtry>
          </ClrSysId>
          <MmbId>A2A-User-reference</MmbId>
        </ClrSysMmbId>
        <Othr>
          <Id>MARKDEFFXXX</Id>
        </Othr>
      </FinInstnId>
    </FIId>
  </Fr>
  <To>
    <FIId>
      <FinInstnId>
        <BICFI>TRGTXTETECM</BICFI>
        <Othr>
          <Id>MARKDEFFXXX</Id>
        </Othr>
      </FinInstnId>
    </FIId>
  </To>
  <BizMsgIdr>202305030001</BizMsgIdr>
  <MsgDefIdr>admi.005.001.01</MsgDefIdr>
  <CreDt>2023-05-03T06:08:30Z</CreDt>
</AppHdr>
```

Please check your user in the reference data module.

- 1. User Reference
- 2. Are the necessary roles assigned?
- 3. Is the DN of the signature certificate stored in ECMS?

4. Pool position report

Only relevant for A2A-counterparties!

2. Message:

```
<IGXMessage>
  <Document xmlns="urn:iso:std:iso:20022:tech:xsd:admi.005.001.01">
    <RptQryReq>
      <MsgHdr>
        <MsgId>Unique reference</MsgId>
      </MsgHdr>
      <RptQryCrit>
        <SchCrit>
          <AcctId>
            <EQ>
              <Othr>
                <Id>Pool identifier</Id>
              </Othr>
            </EQ>
          </AcctId>
          <RptNm>COMP</RptNm>
          <PtyId>
            <Id>
              <AnyBIC>Counterparty BIC</AnyBIC>
            </Id>
          </PtyId>
          <RspnsblPtyId>
            <Id>
              <AnyBIC>MARKDEFFXXX</AnyBIC>
            </Id>
          </RspnsblPtyId>
          <DtSch>
            <EQDt>Date</EQDt>
          </DtSch>
        </SchCrit>
      </RptQryCrit>
    </RptQryReq>
  </Document>
</IGXMessage>
```

Pool ID - running number in ECMS
(Will be different in ECMS PROD!)

The Pool identifier can be checked in the screen „pool overview“.
Module: Collateral & Credit, Pool overview >> Pool overview
(role #72/73)

Statement-type: COMP for Pool position report

4. Pool position report

Additionally, further checks can be done on the A2A communication for colr.016 U2A in ECMS:

1. Received admi.005
Module: Collateral & Credit
Path: Notification >> Pool Position >> Pool position query
2. Generated and sent colr.016
Module: Collateral & Credit
Path: Notification >> Pool Position >> Pool position report
Path: Reporting >> Automatic pool position report (message subscription only)
3. Message subscription for colr.016
Module: Collateral & Credit
Path: Setup >> Process configuration >> Pool position report subscription

For accessing the screens role #72 or #73 is needed.