

Non-Repudiation of Origin (NRO)

Overview of affected transactions & manual instruction

Version	Date	Amendments
1.0	24 July 2024	Initial Version

1. Overview
2. Test Principles
3. Test instructions with Ascertia / MLOR

1. Overview

Background

Background information

- In order to ensure the non-repudiation of critical transactions (*hereafter: NRO*), 4CB has implemented Ascertia for digital signing.
- Ascertia is the only U2A solution for TARGET services. A2A applications are not affected.
- Ascertia is enabled on the user test environment as of 17 July 2024.

[ESMIG U2A Qualified Configurations v1.3.1 \(for GSD MU v1.0 users\) \(europa.eu\)](#)

2. Test Principles

Scenarios and Preconditions

Overview of affected transactions on UTEST

Transaction	Active (y/n)	As of
Marginal Lending on Request (MLOR)	y	17 July 2024
Corporate Action	y	17 July 2024
Domestic (De-)Mobilisation of eligible Marketable Assets	n	
(De-)Mobilisation of Credit Claims*	n	

Required Roles

„Validator“ (4 eyes principle) or „Super Validator“ (2 eyes principle), depending on a client's business decisions.

* Credit Claims are currently not subject to digital signing. Thus, the process will remain within MACCS.

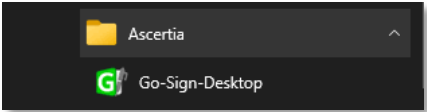
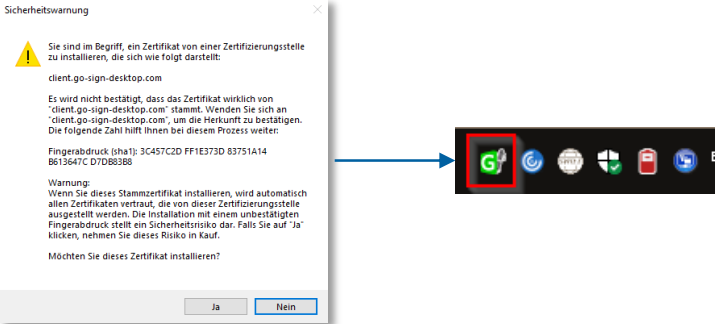
3. Test instructions with Ascertia

Test Case | Marginal Lending on Request

3. Test instructions with Ascertia (1 / 3) Marginal Lending on Request

Note:

It is recommended to start **Ascertia** before launching ECMS. In case **Ascertia** is not installed on your device, please refer to your **local** administrator.

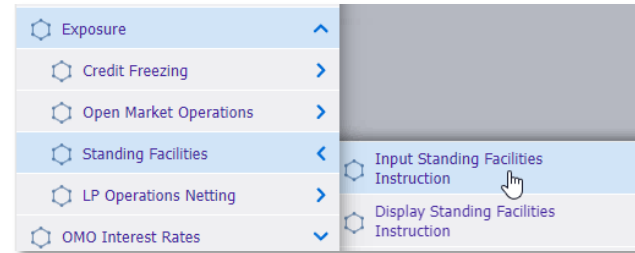
<p>1) Upon logging into Swift, open the windows menu and search for Ascertia. Start Go-Sign-Desktop</p>	
<p>2) After starting Go-Sign-Desktop, there will be displayed a security warning. Confirm with yes.</p> <p>Go-Sign is now active, which can be seen on its green icon in the taskbar.</p>	

3. Test instructions with Ascertia (2 / 3) Marginal Lending on Request

3) Log into UTEST and initiate MLOR transaction

ECMS-Module → Collateral and Credit
Path

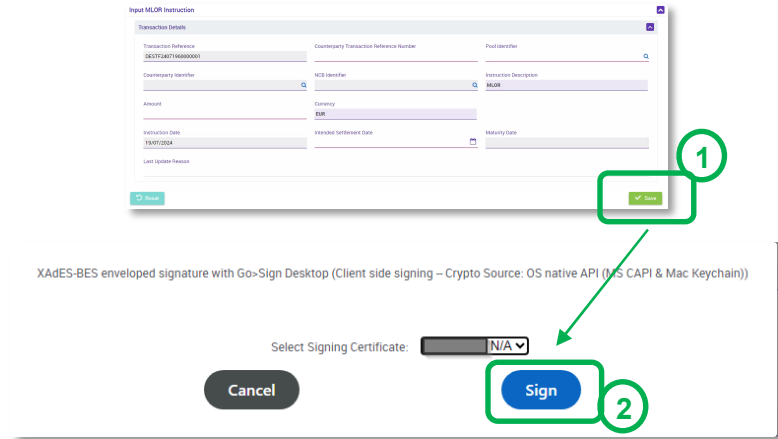
- Exposure
 - Standing Facilities
 - Input Standing Facilities



4) Input MLOR instruction

Complete the form, then click on **Save (1)**.

After a couple of seconds, a new window will open, asking the user to select the respective **Signing Certificate**. In most cases the corresponding certificate will already be displayed. Otherwise, select another certificate and finally click on **Sign (2)**.



3. Test instructions with Ascertia (3 / 3) Marginal Lending on Request

5) Confirm with your individual Session PIN.

The user will be asked a **second time to confirm** the transaction, before **finally receiving** the success confirmation.

Note:

If your bank's business processes stipulate a strict 4-eyes-principle, **only the party creating the transaction** is subject to the digital signing via Ascertia Go-Sign!

