Non-Repudiation of Origin (NRO)

Overview of affected transactions & manual instruction

Version control

Version	Date	Amendments
1.0	24 July 2024	Initial Version

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1. Overview

Background

Background information

- In order to ensure the non-repudiation of critical transactions (*hereafter: NRO*), 4CB has implemented Ascertia for digital signing.

- Ascertia is the only U2A solution for TARGET services. A2A applications are <u>not</u> affected.

- Ascertia is enabled on the user test environment as of 17 July 2024.

ESMIG U2A Qualified Configurations v1.3.1 (for GSD MU v1.0 users) (europa.eu)

![target|services ECMS]

2. Test Principles

Scenarios and Preconditions

2. Test principles

Overview of affected transactions on UTEST

Transaction	Active (y/n)	As of
Marginal Lending on Request (MLOR)	y	17 July 2024
Corporate Action	y	17 July 2024
Domestic (De-)Mobilisation of eligible Marketable Assets	n	
(De-)Mobilisation of Credit Claims*	y	29 July 2024

Required Roles

„Validator" (4 eyes principle) or „Super Validator" (2 eyes principle), depending on a client's business decisions.

* Credit Claims are currently not subject to digital signing. Thus, the process will remain within MACCS.
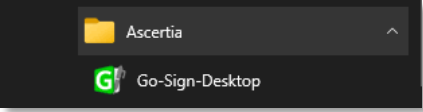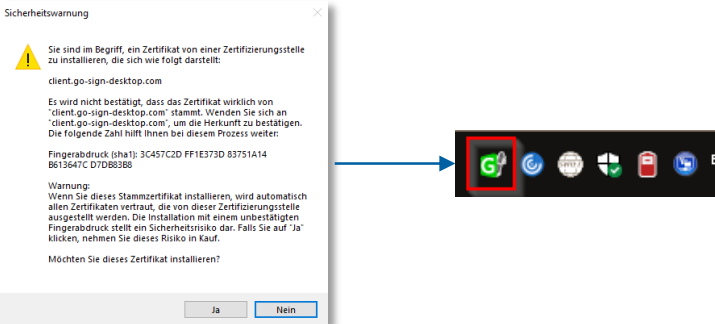
3. Test instructions with Ascertia

Test Case | Marginal Lending on Request

target services | ECMS

Note:

It is recommended to start Ascertia **before** launching ECMS. In case Ascertia is **not installed** on your device, please refer to your **local** administrator.

1) **Upon logging** into Swift, open the windows menu and **search** for Ascertia. **Start** Go-Sign-Desktop	
2) After starting Go-Sign-Desktop, there will be displayed a **security warning**. Confirm with **yes**. Go-Sign is now **active**, which can be seen on its green icon in the taskbar.	

3) Log into UTEST and initiate MLOR transaction

**ECMS-Module → Collateral and Credit Path**

- ➤ Exposure
  - ➤ Standing Facilities
    - ➤ Input Standing Facilities
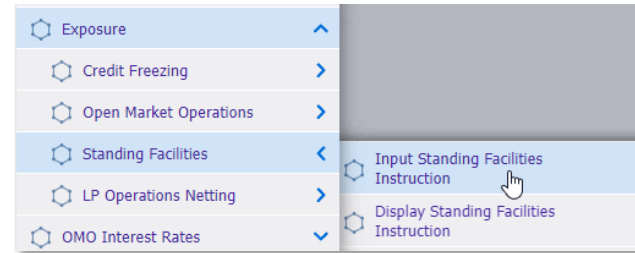
4) Input MLOR instruction

Complete the form, then click on Save (1).

After a couple of seconds, a new window will open, asking the user to select the respective **Signing Certificate**. In most cases the corresponding certificate will already be displayed. Otherwise, select another certificate and finally click on Sign (2).

target services | ECMS
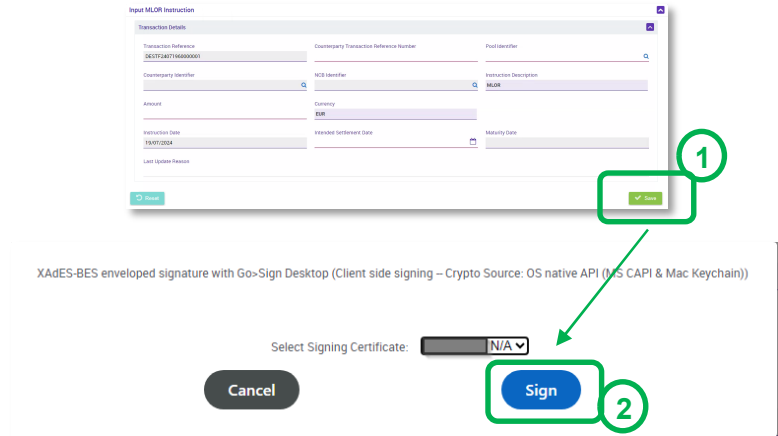
5) Confirm with your **individual Session PIN**.

The user will be asked a **second time to confirm** the transaction, before **finally receiving** the success confirmation.

Note:
If your bank's business processes stipulate a strict 4-eyes-principle, only the party creating the transaction is subject to the digital signing via Ascertia Go-Sign!