

Dear counterparties,

Irrespective of the ECB's approvals for the ECMS customer tests, which are described in the so-called Testing Conditions Document, the Deutsche Bundesbank reserves the right to give its counterparties the approval for test start of individual functions in ECMS. Please wait for approval of the test activities by the Deutsche Bundesbank before you start with the activities in the test environment ECMS UTEST and, if necessary, other required systems. Deutsche Bundesbank will regularly update this document and additionally inform its counterparties by email about the approval of functionalities for testing.

ECMS Functionalities
("according to ECMS Testing
Conditions")

Fundamental Test Case ID	Function	Test Case	ECB-Approval	Bundesbank-Approval				Comment
				Group A	Group B	Group C	Group D	
Connectivity Tests								
	Connectivity	The connectivity to ECMS U2A & A2A [admi.005 message] is tested	yes	yes	yes	yes	yes	
	User Creation	The counterparty admins may create counterparty users and check whether the users can login to ECMS	yes	yes	yes	yes	yes	
MARKETABLE ASSETS								
Domestic mobilisation/demobilisation of MA, Cross-Border Mobilisation/demobilisation of MA (CCBM), cancellation of mobilisation/demobilisation of MA								
Please note: _CPTY1 to _CPTY4: mandatory Testcases for all counterparties // _CPTY 10: Testcase only relevant in case the function is currently in use OR is planned to be used by the counterparty								
ECMS_TC_CPTY 1	Marketable asset mobilisation (domestic/CCBM)	Successful mobilisation of marketable asset	yes	yes	yes	yes	yes	ECMS Testing Conditions, section 2.2
ECMS_TC_CPTY 2	Cancellation of a marketable asset mobilisation (domestic/CCBM)	Successful cancellation of mobilisation of marketable asset	yes	yes	yes	yes	yes	ECMS Testing Conditions, section 2.2
ECMS_TC_CPTY 3	Marketable asset demobilisation (domestic/CCBM)	Successful demobilisation of marketable asset	yes	yes	yes	yes	yes	ECMS Testing Conditions, section 2.2
ECMS_TC_CPTY 4	Cancellation of a marketable asset demobilisation (domestic/CCBM)	Successful cancellation of a demobilisation of marketable asset	yes	yes	yes	yes	yes	ECMS Testing Conditions, section 2.2
ECMS_TC_CPTY 10	T2S relocation of collateral	Successful T2S relocation of collateral	no	no	no	no	no	ECMS Testing Conditions, section 2.2
GLOBAL CREDIT AND COLLATERAL POSITION								
Update of Maximum Credit Line value and Credit lines; Update of Credit freezing, Global credit position management, Margin call, Request Marginal Lending, Update of credit and collateral position due to the change of business date								
Please note: _CPTY 11 and _CPTY 19: mandatory for all counterparties // _CPTY_12 Testcase only relevant in case the Contingency-ECONSII-Pools are currently in use OR are planned to be used by the counterparty								
ECMS_TC_CPTY 11	Update maximum credit line value	Successful increase of the maximum value of the intraday credit line with increase of the credit line	yes	yes	yes	yes	yes	ECMS Testing Conditions, section 2.2
ECMS_TC_CPTY 19	Request Marginal Lending	Marginal lending request successfully processed.	yes	yes	yes	yes	yes	ECMS Testing Conditions, section 2.2
ECMS_TC_CPTY 12	Update a credit freezing	Update a Credit Freezing	yes	yes	yes	yes	yes	ECMS Testing Conditions, section 2.2
CASH AS COLLATERAL								
Cash Collateral mobilisation/ Cash Collateral demobilisation								
Please note: mandatory testcases for all counterparties								
ECMS_TC_CPTY 8	Cash collateral mobilisation	Successful mobilisation of cash initiated by the counterparty or by ECMS after a margin call	yes	yes	yes	yes	yes	Day 1: Margin Call will be arranged by the Bundesbank ECMS Testing Conditions, section 2.2
ECMS_TC_CPTY 9	Cash collateral demobilisation	Successful demobilisation of cash initiated by the counterparty or by ECMS as soon as there is sufficient collateral to cover the credit operation	yes	yes	yes	yes	yes	Day 2: Bundesbank will arrange for the excess free collateral value ECMS Testing Conditions, section 2.2
n/a		Update of credit and collateral position due to the change of business date	yes	yes	yes	yes	yes	
CORPORATE ACTIONS								
Corporate Action Announcement/CA confirmation, CA instruction, CA movement/CA reversal/CA withholding tax management								
Please note: mandatory testcases for all counterparties								
ECMS_TC_CPTY_25	Corporate action announcement	Successful creation of CA event and sending of seev.031 to counterparties (INTR event)	yes	yes	yes	yes	yes	Staggered test campaign for all counterparties during July/August 2024. See information letter from the 24.06.2024
ECMS_TC_CPTY_26	Corporate action announcement	Successful creation of CA event and sending of seev.031 to counterparties (PRED event)	yes	yes	yes	yes	yes	
ECMS_TC_CPTY_27	Corporate action announcement	Successful creation of CA event and sending of seev.031 to counterparties (REDM event)	yes	yes	yes	yes	yes	
ECMS_TC_CPTY_28	Corporate action confirmation	Successful payment and sending of seev.036 to counterparties (INTR event)	yes	yes	yes	yes	yes	
ECMS_TC_CPTY_29	Corporate action confirmation	Successful payment and sending of seev.036 to counterparties (PRED event)	yes	yes	yes	yes	yes	
ECMS_TC_CPTY_30	Corporate action confirmation	Successful payment and sending of seev.036 to counterparties (REDM event)	yes	yes	yes	yes	yes	
MONETARY POLICY OPERATIONS								
Automatic marginal lending/Interaction with TOP/Liquidity Absorbing Operations (LAO)/Liquidity Providing Operations (LPO)/Management of Interest								
Please note: CPTY 20: optional only for counterparties which fulfill the prerequisites themselves								
ECMS_TC_CPTY 20	Automatic marginal lending	Successful execution of an automatic marginal lending	yes	yes	yes	yes	yes	

ECMS_TC_CPTY_13	Liquidity providing operations	Successful settlement of a LTRO operation with or without netting	yes	yes	yes	yes	yes	Test cases will be testable with the next centrally conducted customer test.
ECMS_TC_CPTY_14	Liquidity providing operations	Successful repayment of a LTRO operation at maturity with or without netting	yes	yes	yes	yes	yes	
ECMS_TC_CPTY_15	Liquidity providing operations	Successful settlement of a non-euro LPO operation with or without netting	yes	yes	yes	yes	yes	
ECMS_TC_CPTY_16	Liquidity providing operations	Successful repayment of a non-euro LPO operation at maturity with or without netting	yes	yes	yes	yes	yes	
ECMS_TC_CPTY_17	Liquidity absorbing operations	Successful settlement of a LAO operation with or without netting	yes	yes	yes	yes	yes	
ECMS_TC_CPTY_18	Liquidity absorbing operations	Successful repayment of a LAO operation at maturity with or without netting	yes	yes	yes	yes	yes	
TRIPARTY AGENTS (TPA) Cash proceed of a triparty corporate action/reports/decrease a TP transaction amount/removal of asset/ the reception of the files from TPA Please note: Testcases only relevant in case of current or planned usage of the Triparty Function and in coordination with Clearstream								
ECMS_TC_CPTY_31	Reception of triparty report: report on flows	Reception of triparty report: report on flows	yes	yes	yes	yes	yes	Tests will be carried out in accordance with the agreed and communicated planning with Clearstream in 2 test cycles.
ECMS_TC_CPTY_32	Reception of triparty report: report on stocks	Successful processing of a report on stocks received from triparty agent	yes	yes	yes	yes	yes	
ECMS_TC_CPTY_33	Request to decrease a triparty transaction amount: decrease request	Request to decrease a triparty transaction amount accepted by ECMS	yes	yes	yes	yes	yes	
POOL POSITION AND PROJECTION Please note: mandatory test case for all A2A counterparties								
ECMS_TC_CPTY_21	Pool Positions and projection	Successful processing of pool position report request	yes	no	no	no	no	
PROVISION OF REFERENCE DATA TO T2S AND TPA Provision of Reference Data to Support Auto-collateralisation/ for TPA - Closelinks/Eligible Assets/prices Please note: These testcases are not relevant for the counterparties of the Bundesbank. They are relevant only to Clearstream and T2S								
ECMS_TC_CPTY_34	Provision of reference data to triparty agents: close links	Successful provision of close links to triparty agent	yes	yes	yes	yes	yes	
ECMS_TC_CPTY_35	Provision of reference data to triparty agents: eligible assets	Successful provision of eligible assets to triparty agent	yes	yes	yes	yes	yes	
ECMS_TC_CPTY_36	Provision of reference data to triparty agents: prices	Provision of prices to triparty agent	yes	yes	yes	yes	yes	
STATEMENT AND REPORTING A2A / U2A Generation and reception of statements of holdings and transactions (sem.002and sem.018) for ECMS internal asset accounts. Please note: _CPTY_22 mandatory testcase for all counterparties; _CPTY_23 optional testcase								
ECMS_TC_CPTY_22	Statement and Reporting	Successful processing of statement of holding request	yes	no	no	no	no	
ECMS_TC_CPTY_23	Statement and Reporting	Successful processing of statement of transactions request	yes	no	no	no	no	
BILLING Interaction with CSDs and TPAs Please note: These testcases are not relevant for the counterparties of the Bundesbank. They are relevant only to Clearstream and T2S								
ECMS_TC_CPTY_24	Billing	Billing	no	no	no	no	no	
NON_REPUDIATION OF ORIGIN (NRO) All the CPTs are advised to install the Ascertia Go-Sign Desktop (GSD), if not completed yet. Please note: mandatory testcases for all counterparties								
n/a	NRO	Ascertia	yes	yes	yes	yes	yes	The activation of NRO (Ascertia) is staggered to functionalities. The relevant information is provided with information letter.
Four eyes principle								
n/a	Four eyes principle	Four eyes principle	no	yes	yes	yes	yes	

Test support by Deutsche Bundesbank per Customer test group and released functionality v2.9

as of **26.07.2024**

Please note, that this table informs about the special support times guaranteed by Bundesbank for customer groups A, B, C and D. It does not represent the overall testing period.

Please also note that according to the ECB's ECMS Focus Session of 21 June 2024, 23 August 2024 has been defined as the target date for completing the fundamental test cases.

		CTP2e End-to-End 24.06. - 06.09. (Migration test 11 from 07.09. - 22.09.2024)									
Week	27	28	29	30	31	32	33	34	34	35	
Start	01.07.	08.07.	15.07.	22.07.	29.07.	05.08.	12.08.	19.08.	26.08.	02.09.	
End	05.07.	12.07.	19.07.	26.07.	02.08.	09.08.	16.08.	23.08.	30.08.	06.09.	
Testset Customer group	Securities Delivery	Securities Delivery	Securities Delivery	Securities Delivery	Securities Delivery	Securities Delivery	Securities Delivery	Securities Delivery	Securities Delivery	Securities Delivery	
	*	*	*	*	*	*	*!	*	*	*	
	Triparty	Triparty	Triparty	Triparty	Triparty	Triparty	Triparty		Triparty	Triparty	
	**	**	no testing	no testing	no testing	*!		**	**		
	OMK	OMK	OMK	OMK	OMK	OMK	OMK	OMK	OMK	OMK	
	A B C D	A B C D			A B C D		A B C D	A B C D	A B C D	A B C D	
	CA	CA	CA	CA	CA	CA					
	A B C D	A B C D	A B C D	A B C D	A B C D	A B C D					
	Cash	Cash	Cash	Cash	Cash	Cash	Cash	Cash	Cash	Cash	
	<i>planned test campaigns with separate customer information</i>										
	Reporting (SoH)	Reporting (SoH)	Reporting	Reporting	Reporting	Reporting					
A B C D	A B C D										
NRO / Ascertia	NRO / Ascertia	NRO / Ascertia	NRO / Ascertia	NRO / Ascertia	NRO / Ascertia	NRO / Ascertia	NRO / Ascertia	NRO / Ascertia	NRO / Ascertia		
A B C D	A B C D	A B C D	A B C D								

key:

- A B C D = Customer test group
- Securities Delivery
- CA = Corporate Actions
- Autocollateralisation
- Marginal Lending (MLOR, AML)
- OMO (Open Market Operations)
- CashCo = Cash Collateral
- Credit Freezing
- MaCL = Maximum CreditLine
- Receipt of Reporting
- Triparty
- Central bank Access Ramassage
- Billing
- NRO / Ascertia with re-tests
- 4-eyes-principles with re-tests (no support)

*Counterparties in their Euroclear Test Schedules and DWP Counterparties in their dedicated Test Schedules shall be supported.

*!: T2S test environment of Clearstream Frankfurt/Luxembourg is closed. No BBK domestic (de-)mobilisation or usage of CBL-/CBF-accounts possible
CCBM (de-)mobilisation to other central banks with usage of accounts at other CSDs (e.g. Euroclear) can still be tested

** Bundesbank will provide support according to the triparty test slots by Clearstream and with the clients according to their Clearstream test group.

ECMS Release Days

03.08.2024 (HF)
09.08.2024
23.08.2024
05.09.2024
23.09.2024
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ECMS Live-Timing

19.08.2024 - 05.09.2024