

## Annex 7

Reporting formats BA, BAS, BA6, BAS6, BA7, BAS7  
(unofficial document)

BA

Credit data report of borrowers for loans of €1 million or more pursuant to section 14 of the German Banking Act		
<b>Credit information pursuant to section 14 of the German Banking Act</b>		
Reporting period	010	_____
Form	015	_____
Institution / subordinated undertaking -ID	030	_____
Single borrower unit – ID	040	_____
Borrower – ID	050	_____
LEI of the borrower	051	_____
Serial number of EA	060	_____
Branch	070	_____
Additional information	071	_____
Used approach	090	_____
Risk quantification code	091	_____
Probability of default (PD)	092	_____
Risk Weight	093	_____
Loss given default (LGD)	094	_____
<b>Details on the loans pursuant to section 14 of the German Banking Act (in thousand Euro)</b>		
Total position loans of one million euro or more	100	_____
of which: mortgage loans	101	_____
of which: residential mortgage loans	102	_____
Total position loans of one million euro or more – Non-performing loans (NPL)	104	_____
Total position loans of one million euro or more – Expected loss (EL)	105	_____
Total position loans of one million euro or more – Exposure at default (EaD)	106	_____
Total position loans of one million euro or more – Individual value adjustment	107	_____
Total position loans of one million euro or more – Risk weighted assets (RWA)	108	_____
of which: (related to 100) on-balance sheet exposures	110	_____
of which: bonds and other interest-bearing securities	111	_____
of which: trading book	112	_____
of which: stocks, shares	113	_____
of which: trading book	114	_____
of which: securities repurchase agreement/ securities lending transactions as transferee/ borrower	115	_____
of which: securities repurchase agreement/ securities lending transactions as transferor/ lender	116	_____
of which: (related to 100) other off-balance-sheet transactions	120	_____
of which: guaranties, warranties et al.	121	_____
of which: undrawn irrevocable credit commitments	122	_____
of which: undrawn revocable credit commitments	123	_____
of which: (related to 100) derivatives	130	_____
of which: counterparty risk from credit derivatives as protection buyer	131	_____
of which: counterparty risk from credit derivatives as protection seller	132	_____
<u>Memo item:</u>		
Exposure on credit derivatives as protection buyer	140	_____
Exposure on credit derivatives as protection seller	150	_____
Total valuated collateral (banking standard)	160	_____

**Sum of credit amounts of borrowers for loans of €1 million or more  
pursuant to section 14 of the German Banking Act**

<b>Credit information pursuant to section 14 of the German Banking Act</b>		
Reporting period	010	_____
Institution / subordinated undertaking-ID	030	_____
Person in charge	072	_____
Telephone	073	_____
E-mail	074	_____
<b>Details on the loans pursuant to section 14 of the German Banking Act (in thousand Euro)</b>		
Total position loans of one million euro or more	100	_____
of which: mortgage loan	101	_____
of which: residential mortgage loans	102	_____
Total position loans of one million euro or more – Non-performing loans (NPL)	104	_____
Total position loans of one million euro or more – Expected loss (EL)	105	_____
Total position loans of one million euro or more – Exposure at default (EaD)	106	_____
Total position loans of one million euro or more – Individual value adjustment	107	_____
Total position loans of one million euro or more – Risk weighted assets (RWA)	108	_____
of which: (related to 100) on-balance sheet exposures	110	_____
of which: bonds and other interest-bearing securities	111	_____
of which: trading book	112	_____
of which: stocks, shares	113	_____
of which: trading book	114	_____
of which: securities repurchase agreement/ securities lending transactions as transferee/ borrower	115	_____
of which: securities repurchase agreement/ securities lending transactions as transferor/ lender	116	_____
of which: (related to 100) other off-balance-sheet transactions	120	_____
of which: guaranties, warranties et al.	121	_____
of which: undrawn irrevocable credit commitments	122	_____
of which: undrawn revocable credit commitments	123	_____
of which: (related to 100) derivatives	130	_____
of which: counterparty risk from credit derivatives as protection buyer	131	_____
of which: counterparty risk from credit derivatives as protection seller	132	_____
<u>Memo item:</u>		
Exposure on credit derivatives as protection buyer	140	_____
Exposure on credit derivatives as protection seller	150	_____
Total valuated collateral (banking standard)	160	_____

BA6

Credit data report of borrowers for loans of €1 million or more pursuant to section 14 of the German Banking Act – guaranty relationships		
<b>Credit information pursuant to section 14 of the German Banking Act</b>		
Reporting period	010	_____
Form	015	_____
Institution / subordinated undertaking -ID	030	_____
Single borrower unit – ID	040	_____
Borrower – ID	050	_____
Serial number of EA	060	_____
Branch	070	_____
Additional information	071	_____
<b>Adjustment details (in thousand Euro)</b>		
- guaranty /warranty to (guarantee-) consortium leadership has lender - ID	080	_____
related field BA 121 – „of which guaranties, warranties et al.“	121	_____
related field BA 122 – „of which undrawn irrevocable credit commitments “	122	_____
related field BA 123 – „of which undrawn revocable credit commitments“	123	_____

BAS6

Sum of credit amounts of borrowers for loans of €1 million or more pursuant to section 14 of the German Banking Act – guaranty relationships		
<b>Credit information pursuant to section 14 of the German Banking Act</b>		
Reporting period	010	_____
Institution / subordinated undertaking -ID	030	_____
<b>Adjustment details (in thousand Euro)</b>		
related field BA 121 – „of which guaranties, warranties et al.“	121	_____
related field BA 122 – „of which undrawn irrevocable credit commitments “	122	_____
related field BA 123 – „of which undrawn revocable credit commitments “	123	_____

BA7

Credit data report of borrowers for loans of €1 million or more pursuant to section 14 of the German Banking Act – guaranty relationships		
<b>Credit information pursuant to section 14 of the German Banking Act</b>		
Reporting period	010	_____
Form	015	_____
Institution / subordinated undertaking -ID	030	_____
Single borrower unit – ID	040	_____
Borrower – ID	050	_____
Serial number of EA	060	_____
Branch	070	_____
Additional information	071	_____
<b>Adjustment details (in thousand Euro)</b>		
- secured by guaranty/ warranty from (guarantee-) syndicated loan with lender - ID	080	_____
related field BA 121 – „of which guaranties, warranties et al.“	121	_____
related field BA 122 – „of which undrawn irrevocable credit commitments “	122	_____
related field BA 123 – „of which undrawn revocable credit commitments “	123	_____

BAS7

Sum of credit amounts of borrowers for loans of €1 million or more pursuant to section 14 of the German Banking Act – guaranty relationships		
<b>Credit information pursuant to section 14 of the German Banking Act</b>		
Reporting period	010	_____
Institution / subordinated undertaking -ID	030	_____
<b>Adjustment details (in thousand Euro)</b>		
related field BA 121 – „of which guaranties, warranties et al.“	121	_____
related field BA 122 – „of which undrawn irrevocable credit commitments “	122	_____
related field BA 123 – „of which undrawn revocable credit commitments “	123	_____