Annex 3

Reporting format STA
(unofficial document)

Advanced master data report for large exposure borrowers and loans of €1 million or more pursuant to article 394 of regulation (EU) No 575/2013 and section 14 of the German Banking Act

To the Deutsche Bundesbank Regional Office	Date of submission
	Reporting period

Institution / Superordinated undertaking – name	– ID

Institution / Subordinated undertaking – name	– ID

Reporting obligation pursuant to

☐ Article 394 of Regulation (EU) No 575/2013 – individual institution   ☐ Article 394 of Regulation (EU) No 575/2013 – consolidated   ☐ Section 14 of the German Banking Act

to be completed by the Bundesbank

Single borrower unit – ID

Borrower – Name / firm (as per register entry) – ID (if known)	Borrower – ID

Postal code[1]	Residence / registered office[2]	Country[3]	ISO-Code (Country)[4]	Economic activity code[5]

Tax number[6]	Register entry – type and number[7]	Register entry – location[7]	Federal State[8]

Date of birth[9]	Profession[9]	ISIN[10]	LEI[11]

Single borrower unit/ group of connected clients[12] – Name / firm – ID (if known)	Borrower supplement key

Reason for affiliation – code[13]	Reference debtor – name[14] – ID (if known)	Reference debtor – ID

Single borrower unit – reason (e.g. capital and company structure)[15]

Serial number[16]

Additional information

Person in charge	Telephone	E-mail

[1] The postal code is required only for domestic borrowers.

[2] The location legally registered as the main place of business or residence shall be reported.

[3] The country is required only for foreign borrowers.

[4] The ISO-Code is required only for foreign borrowers. The two-letter code (ALPHA-2) in accordance with ISO 3166-1 issued by the International Organization for Standardization (ISO) shall be used.

[5] The economic activity according to the Deutsche Bundesbank's "Banking statistics customer classification" publication shall be used.

[6] This field can be filled for foreign borrowers without company register number.

[7] The company register entry is required for domestic borrowers and borrowers from specific other countries.

[8] The federal state is required for borrowers resident in the United States of America.

[9] The date of birth and profession are required only for natural persons.

[10] The ISIN is required for the reporting of investment funds. This also applies to other constructs that only have one ISIN.

[11] If there is a unique identification number "Legal Entity Identifier" (LEI), it shall be reported.

[12] A reason shall be stated (on a separate sheet, if necessary) in the case of an initial report or a change of a single borrower unit.

[13] The reason given for the affiliation to the borrower unit indicates the actual classification pursuant to section 19 (2) of the German Banking Act. The relevant table of codes may be found in the implementing technical instructions for loans of €1 million or more pursuant to section 14 of the German Banking Act. This information is required only for a reporting obligation pursuant to section 14 of the German Banking Act.

[14] The reference debtor is the borrower at the next level of the single borrower unit's hierarchy. This is required only for a reporting obligation pursuant to section 14 of the German Banking Act.

[15] This is required only for a reporting obligation pursuant to section 14 of the German Banking Act.

[16] All STA / STAK formats for a reporting period shall be clearly numbered.

Please refer to the implementing technical instructions for large exposures pursuant to Article 394 of Regulation (EU) No 575/2013 (master data only) and for loans of €1 million or more pursuant to section 14 of the German Banking Act for further information, which are released under https://www.bundesbank.de/de/service/meldewesen/bankenaufsicht-formular-center/meldungen (only available in German as "Meldetechnische Durchführungsbestimmung für die Abgabe der Großkreditanzeigen nach Art. 394 CRR (Stammdaten- und Einreichungsverfahren) und der Millionenkreditanzeigen nach § 14 KWG (Gesamtverfahren)").