Investment fund statistics

pursuant to Bundesbank order of 26 November 2013 (Bundesbank notice No 8003/2013, Federal Gazette (*Bundesanzeiger*) of 9 December 2013)

General information for the individual fund

(to be completed for each individual fund only the first time it is reported or where features change)

| 01 | BBk-Instituts-ID | | | | | | | | | | | | | | | | | | | |
|----|--|------------|-------|---|---|-----|--|-----|-------|-------|-------|-------|------|-------|------|---|---|---|---|---|
| 02 | Name of the fund | | | | | | | | | | | | | | | | | | | |
| 03 | ISIN of the fund ¹ | | | | | | | | | | | | | | | | | | | |
| 04 | Applicable law (Country) | | | | | | | | | | | | | | | | | | | |
| 05 | Legal Entity Identifier (LEI) ² | | | | | | | | | | | | | | | | | | | |
| 06 | ☐ Initial report: Date of launch | | | | | | | | | | | | | | | | | | | |
| | ☐ New issue | Year | ľ | Month | | Day | | | | | | | | | | | | | | |
| | ☐ Take-over from | | | | | | | | | | | | | | | | | | | |
| | ISIN | | 1 | ı | 1 | ı | ı | 1 | 1 | 1 | ı | ĺ | ı | | | | | | | |
| | Name of the country | | 1 | | 1 | | 1 | 1 | | | | | | ı | 1 | ı | 1 | ı | ı | ĺ |
| | Country of domicile | | | | | | | | | | | | | | | | | | | |
| | | | i | 1 | ı | ı | 1 | | | | | | | | | | | | | |
| 07 | ☐ Change report: Date of change | Year | ı | Month | | Day | | | | | | | | | | | | | | |
| | Closure | | | | | | | | | | | | | | | | | | | |
| | ☐ Transfer to | | | | | | | | | | | | | | | | | | | |
| | Name of the company | | | | | | | | | | | | | | | | | | | |
| | Country of domicile | | | | | | | | | | | | | | | | | | | |
| | ☐ Merger with | | | | | | | | | | | | | | | | | | | |
| | ISIN | | | | | | | | | | | | | | | | | | | |
| | Name of the company | | | | | | | | | | | | | | | | | | | |
| | Country of domicile | | | | | | | | | | | | | | | | | | | |
| | ☐ Other | | | | | | | | | | | | | | | | | | | |
| 08 | Organisational form of the investme | nt fund | | | | | | | | | | | | | | | | | | |
| | ☐ Open-end | | | | | | |] C | losed | d-end | t | | | | | | | | | |
| | ☐ Specialised fund | | | | | | ☐Investment stock corporation with fixed capital | | | | | | | | | | | | | |
| | ☐ Investment stock corporation with changeable capital | | | ☐ Investment limited partnership | | | | | | | | | | | | | | | | |
| | ☐ Investment limited partnershi | p | | | | | | | | Othe | ī | | | | | | | | | |
| 09 | Type of fund | | | | | | | | | | | | | | | | | | | |
| | ☐ Public fund | | | ☐ Specialised AIF | | | | | | | | | | | | | | | | |
| | ☐ Undertakings for collective Investments in | | | | Open-end specialised AIF | | | | | | | | | | | | | | | |
| | transferable Securities (UCITS) | | | | General open-end specialised-AIF | | | | | | | | | | | | | | | |
| | Open-end mutual AIF open to the general public | | | | ☐ Hedge funds | | | | | | | | | | | | | | | |
| | ☐ Mixed investment fund | | | | ☐ Specialised AIF with fixed investment terms | | | | | | | | | | | | | | | |
| | Other investment fund | | | and conditions ☐ Closed-end specialised AIF | | | | | | | | | | | | | | | | |
| | ☐ Fund of hedge funds | | | | | | | | | Close | ed-er | ıd sp | ecia | lised | IAIF | | | | | |
| | ☐ Real estate | to the ger | 201 | ما مینا | olic | | | | | | | | | | | | | | | |
| | ☐ Closed-end mutual AIF open | to the ger | ICI i | aı pul | JIIC | | | | | | | | | | | | | | | |

1If ISIN is not available, internal ID.

2 To be completed if available.

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| 10 | Type of unit holder - Open | -ended investment fund ³ | | | | | | | |
|----|---|--|--------------------------------------|-------------------------------|--|--|--|--|--|
| | ☐ Residents: | | | | | | | | |
| | ☐ Credit institutions | | | | | | | | |
| | ☐ Insurance corporations | | | | | | | | |
| | ☐ Life insurance corporations | | | | | | | | |
| | ☐ Other insurance corporations | | | | | | | | |
| | ☐ Pension schemes (pension funds, occupational pension schemes etc) | | | | | | | | |
| | ☐ Other financial intermediaries | | | | | | | | |
| | ☐ Financial auxiliaries | | | | | | | | |
| | ☐ Non-financial corporations (including industrial foundations, employer or trade associations) | | | | | | | | |
| | ☐ Central government | | | | | | | | |
| | ☐ State governments | | | | | | | | |
| | ☐ Local governments | | | | | | | | |
| | ☐ Social security | funds | | | | | | | |
| | ☐ Public (in partic | cular the central and state governme | ent's supplementary pension sch | eme or | | | | | |
| | Versorgungsa | nstalt des Bundes und der Länder) a | and church supplementary pensi | on funds | | | | | |
| | ☐ Non-profit insti | tutions serving households and othe | er (e.g. churches, political parties | , trade unions, associations) | | | | | |
| | ☐ Non-residents | | | | | | | | |
| 44 | Tyme of whit holder Class | ad and investment found | | | | | | | |
| 11 | Type of unit holder – Close | | | | | | | | |
| | may be duplicated as required | Amount (in thousands of euro) Country of domicile of the unit | | | | | | | |
| | | holder | | | | | | | |
| | | Sector of the unit holder | | | | | | | |
| 12 | Type of fund according to | investment activity4 | | | | | | | |
| | ☐ Equity-based fund | ŕ | | | | | | | |
| | ☐ Bond-based fund | | | | | | | | |
| | ☐ Mixed securities-based fund | | | | | | | | |
| | Real estate fund | | | | | | | | |
| | ☐ Money market fund | | | | | | | | |
| | _ | fund with short maturity structure | | | | | | | |
| | ☐ Hedge funds | • | | | | | | | |
| | ☐ Derivatives funds | | | | | | | | |
| | ☐ Pension mutual fund | | | | | | | | |
| | ☐ Infrastructure fund | | | | | | | | |
| | ☐ Commodity fund | | | | | | | | |
| | ☐ Credit fund | | | | | | | | |
| | ☐ Ship fund | | | | | | | | |
| | ☐ Aircraft fund | | | | | | | | |
| | ☐ Energy fund | | | | | | | | |
| | ☐ Private Equity funds | | | | | | | | |
| | ☐ Venture Capit | al funds | | | | | | | |
| | Leasing fund | | | | | | | | |
| | Other fund | | | | | | | | |
| | ☐ Fund of funds | | | | | | | | |
| | Investment focus: | ☐ Equity-based fund | ☐ Hedge funds | ☐ Aircraft fund | | | | | |
| | | ☐ Bond-based fund | ☐ Derivatives funds | ☐ Energy fund | | | | | |
| | | ☐ Mixed securities-based fund | ☐ Pension mutual fund | ☐ Private Equity Funds | | | | | |
| | | Real estate fund | ☐ Infrastructure fund | ☐ Venture Capital Funds | | | | | |
| | | ☐ Money market fund | ☐ Commodity fund | ☐ Leasing fund | | | | | |
| | | ☐Money market fund with | ☐ Credit fund | ☐ Other fund | | | | | |
| | | short maturity structure | ☐ Ship fund | <u> </u> | | | | | |
| | | | _ , | | | | | | |

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³ To be completed if Specialised AIF. In the case of several types of unit holders, the fund should be classified to the group with the most holdings.

⁴ Pursuant to contractual terms.

| 13 | <i>.</i> . • | |
|----|--|--|
| | ☐ Distribution fund | |
| | ☐ Growth fund | |
| 14 | Type of fund according to maturity | |
| | ☐ Fund with unlimited investment period | |
| | Limited-term fund, maturity to | |
| | Year Month | |
| 15 | Type of repurchase of fund units | |
| | ☐ Each trading day | |
| | ☐ At least once a year (without each trading day) | |
| | ☐ At least once during the term (without at least once a year), | |
| | Soonest after years | |
| | ☐ Solely at the end of the term | |
| | Fund unit repurchases suspended | |
| | yes, suspended since | |
| | nein Year Month Day | |
| | | |
| 16 | Unit quotation type | |
| | ☐ Quoted as a number of units | |
| | Quoted as a percentage | |
| 17 | Index fund ☐ yes ☐ no | |
| | Exchange-traded | |
| | □ yes | |
| | □ no | |
| | | |
| | Type of index replication | |
| | Physical - full replication | |
| | ☐ Physical - partial replication☐ Synthetic | |
| | | |
| | Replicated index ⁵ | |
| 18 | Capital protection fund | |
| | | |
| 19 | | |
| | ☐ Master related Feeder (may be duplicated as required) ⁶ | |
| | · · · · · · · · · · · · · · · · · · · | |
| | ☐ Feeder related Master ⁶ | |
| | Telated Mastel | |
| 20 | • | |
| | ☐ Unit class | |
| | ☐ Sub-fund☐ Special-purpose entity | |
| | - Special pulpose citaty | |

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⁵ If ISIN not available for replicated Index: Text indication.

⁶ If ISIN is not available, internal ID.

 $^{{\}it 7} \quad {\it To be completed if the superordinate company for Investment fund statistics is registrable.}$