

## **Notice**

## Reports, reporting deadlines, exemptions, legal basis Payments relating to external transactions – Stock of claims and liabilities in foreign trade –

Cross-border equity investment

Item	Flows / stocks to be reported	Reporting exemptions	Reporting form	Reporting date	Legal basis
I Transactions in goods					
1. Exports of goods	Payments for exports of goods are not to be reported	All payments	-	-	Section 67 (2) 2 of the Foreign Trade and Payments Regulation (AWV)
2. Imports of goods	Payments for imports of goods are not to be reported	All payments	-	-	Section 67 (2) 2 of the AWV
3. Merchanting	Payments made and received	)	Z4 Merchanting	Monthly	Section 68 of the AWV
Other transactions in goods	Payments made and received	) ) ) ) ) )	Z4 Incoming and outgoing payments	Monthly	) ) ) ) ) ) section 67 et seq of the foreign Trade )and Payments
II Services, transfers	Payments made and received (provided these are not to be reported in accordance with section 70 of the Foreign Trade and Payments Regulation)	) Payments up to )€12,500 or the )equivalent )	Z4 Incoming and outgoing payments (including those through accounts abroad)	Monthly	)Regulation (AWV) ) ) ) )
III Financial transactions, investment income	a) Payments made and received (exception: granting/endowment and repayment of short-term loans and assets)	) ) ) ) )	Z10 for securities and financial derivatives transactions  Z4 for other incoming and	Monthly	) ) ) ) ) )
	b) Direct investment stocks	Participating interests	outgoing payments		,
	- of residents abroad	of less than 10% or a balance sheet total in	КЗ	Annually	Section 64 of the
	- of non-residents in Germany	the direct investment enterprise of up to €3 million	K4	Annually	AWV Section 65 of the AWV
	c) Claims and liabilities from non-banks vis-à-vis non-residents arising from - financial transactions with non-resident banks - financial transactions with affiliated	)Residents whose )external assets and	Z5	Monthly	Section 66 (1), (2) and (5) of the Foreign Trade and Payments
	non-resident non-banks - financial transactions with other non-	)liabilities ) do not )exceed €5 million; )natural persons	Z5a sheet 1/1	Monthly	Regulation (AWV) ) )Section 66 (1), (3) )and (5) of the
	resident non-banks - financial transactions vis-a-vis	)	Z5a sheet 1/2	Monthly	)Foreign Trade and )Payments
	affiliated non-resident non-banks arising from trade in goods and services	)	Z5a sheet 2/1	Monthly	)Regulation (AWV)
	financial transactions vis-a-vis other non-resident non-banks arising from trade in goods and services	)	Z5a sheet 2/2	Monthly	) ) Section 66 (1), (4)
	- claims and liabilities vis-à-vis non- residents arising from derivative financial instruments	Residents whose external assets and liabilities are less than €500 million;	Z5 b	Quarterly	and (5) of the Foreign Trade and Payments Regulation (AWV)

Last updated: September 2014 awvzs.docx Page 1 of 2

Item	Flows / stocks to be reported	Reporting exemptions	Reporting form	Reporting date	Legal basis
I Travel	Purchases and sales of means of payment in foreign travel	None	Z12	Monthly	Section 70 (1) 4a of the AWV
			Z13	Monthly	Section 70 (1) 4b of the AWV
II Investment income	Outgoing and incoming interest, dividend and income payments on domestic securities	None	Z11	Monthly	Section 70 (1) 2 of the AWV
	b) Interest revenues and expenditure for own account (excluding interest on securities)	) ) Payments up to ) €12 500 or ) equivalent )	Z14 / Z15	Monthly	Section 70 (1) 3 of the AWV
III Financial transactions	Securities and financial derivatives with non-residents on own account or for the account of others as well as redemption of securities	Payments up to €12 500 or equivalent	Z10	Monthly	Section 70 (1) 1 of the AWV
	b) Stocks of assets and liabilities vis-à- vis non-residents	None	R 1100 R 1200 R 2100 R 2200	Monthly	Section 18 of the Bundesbank Act in conjunction with the Order of the Deutsche Bundesbank of 4 August 2004 (MBBk 8003/2004)
C. Special report	ting requirements for maritime s	shipping compan	ies		
Item	Flows / stocks to be reported	Reporting exemptions	Reporting form	Reporting date	Legal basis
Transport services	Receipts and expenditure of maritime shipping companies	None	Z8	Monthly	Section 69 of the AWV

Annexes Z 4, Z 8, Z 10 - Z 15, Z 5, Z 5a and Z 5b as well as K 3 and K 4 can be viewed in PDF format on the Deutsche Bundesbank's website in the section Service/Reporting systems/External sector forms centre. Reports are to be submitted electronically to Deutsche Bundesbank (per internet to the Bundesbank's Extranet). Further information is available on the Deutsche Bundesbank's website (www.bundesbank.de) in the section Service/ExtraNet.

## Information on external sector reporting

Hotline: 0800 1234 111 (freephone – only available if call is made from a German landline) Internet: www.bundesbank.de under Service/Reporting systems/External sector statistics

Newsletter: (in German) www.bundesbank.de under Service/Newsletter (category: Meldewesen Aussenwirtschaft)

E-mail: presse-information@bundesbank.de

awvzs.docx Last updated: September 2014 Page 2 of 2