



target | T2S services

Target2-Securities

User Handbook

R2023.NOV

22 September 2023

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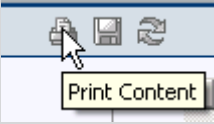

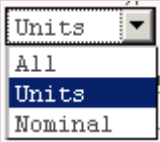
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Terms and Abbreviations

The terms and abbreviations used in the User Handbook, but not included in the T2S glossary are shown in the table below. You find terms with their description and the abbreviations, both in an alphabetical order.

Letter	Description
A	
Alert icon 	An <i>alert</i> icon is an icon that is shown on an active T2S screen for those users with appropriate rights to approve a pending action.
A2A	Application-to-application
B	
BIC	Bank identifier code
C	
Check box 	Square box which can be filled with a checkmark by clicking on it with the mouse. In contrast to the radio button, you can select more than one option with a check box.
D	
DD	Day (e.g. used within the timestamp, every letter stands for one digit)
d	Decimal number
E	
e.g.	For example (Latin: 'exempli gratia')
G	
GUI	Graphical user interface which is a type of user interface which allows users to interact with electronic devices.
H	
hh	Hour (e.g. used within the timestamp, every letter stands for one digit)
I	
i.e.	That is (Latin: 'id est')
IP port	Internet Protocol address port, is the port which connects a numerical label assigned to each device (e.g., computer, printer) participating in a computer network that uses the Internet Protocol for communication.
Incl.	Including

Letter	Description
M	
Mouse-over 	Additional information for some elements of the GUI is only shown when you move the mouse-pointer to these elements.
MM	Month (e.g. used within the timestamp, every letter stands for one digit)
mm	Minute (e.g. used within the timestamp, every letter stands for one digit)
µsµsµsµsµs	Microsecond (e.g. used within the timestamp, every 'µs' stands for one digit)
min.	Minimum
max.	Maximum
10m	10 million
N	
NRO	Non-repudiation of origin (NRO) provides the recipient (T2S) with the evidence NRO which ensures that the originator (T2S actor) will not be able to deny having sent the U2A instruction. The evidence of origin is generated by the originator and held by the recipient.
Q	
QQ	Quarter, indicates a time span of three months, e.g. Q2 stands for the second quarter of the year.
R	
Radio button 	A type of GUI element which allows the user to choose only one of a predefined set of options.
S	
Select box 	A select field in the GUI with an arrow on the right side. By clicking on the arrow, all possible input values are shown and can be selected with a mouse-click.
SS	Semester, indicates a time span of six months, e.g. S1 stands for the first semester.

Letter	Description
ss	Second (e.g. used within the timestamp, every letter stands for one digit)
T	
10t	10 thousand
Timestamp	A sequence of characters, denoting the date and the time in T2S.
U	
UHB	User Handbook
U2A	User-to-application
Y	
YYYY	Year (e.g. used within the timestamp, every letter stands for one digit)

1 Introduction

1.1 Overview of the User Handbook

The T2S User Handbook, hereinafter called UHB, aims at facilitating the use of the Graphical User Interface of TARGET2-Securities (T2S GUI) and related common components: Common Reference Data Management (CRDM), Business Day Management (BDM), Billing common component (BILL). It is intended for any T2S user regardless of the focus of activities and describes the full range of functionalities available in user-to-application (U2A) mode. The UHB provides detailed reference information on all GUI screens and step-by-step instructions for typical workflows.

Target Audience There is only one handbook addressing all T2S actors: central banks (CBs), central securities depositories (CSDs), CSD participants, external CSD users, payment banks/settlement banks. By referring to the table of contents as well as to the usage indication list, each reader can easily identify the relevant parts.

Related Documentation The UHB is part of the functional documentation and complements the general, technical and detailed specifications. Among these, the UDFS is particularly relevant for UHB readers. Although it is mainly focused on the application-to-application mode (A2A), chapter one of the UDFS contains a detailed description of the business concepts used in T2S, which are also relevant when using the GUI.

In addition to the T2S specifications, the Business Functionality for T2S Graphical User Interface - as the basis for the design and implementation of the GUI - provides helpful information. References to the GUI Business Functionalities are made in the screen descriptions of the UHB.

Both documents are available on the ECB's T2S webpage.



Updates Updated versions of the UHB will be provided on a regular basis.

1.1.1 UHB Methodology

Several symbols and methodological elements are used throughout the T2S UHB to ease orientation and help you to find your desired information quickly.

Page Layout Every page of the main UHB parts has a similar page layout. You can find four different elements:

- the header, which shows the chapter and sub-chapter title
- the margin column on the left side of each page, which is used for subheadings and information signs
- the text column, which contains the main information, tables and screenshots
- the footer, which shows the name and the release of the document as well as the page number

Information Signs	Name	Icon	Description
	Notice sign		Notice signs are used to highlight useful information. Read its content to find out about hints, action alternatives or other details helping you to improve your usage of the T2S GUI.
	Example sign		Example signs are used to highlight examples, which illustrate the corresponding text, especially when a verbal description is difficult or too extensive.

Links Links are illustrated throughout the UHB with a little triangle followed by a page number within squared brackets. These links help you to jump to related sections by clicking on them or turning to the relevant page.

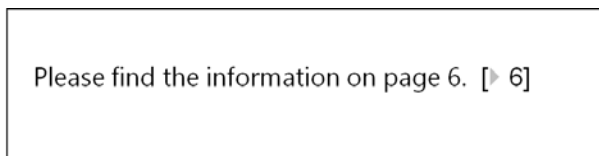


Illustration 1: Link illustration

Tables Tables are used to present information in a clearly arranged format. They consist of a table head and a table body. The body is divided into a left and a right column. The left column contains keywords, for example field names, which are explained in the right column. Subsections within a table are preceded by a heading. The order of the description follows the appearance on the screen from left to right and from top to bottom.

Type Set Regular text in the UHB is written in Arial Unicode MS font with a size of 11pt. Screen and button names are written in italic letters, GUI paths use the Courier New font. Field names and field values are put in quotation marks if they appear in a context other than their dedicated description.

Element	Format	Example
Screen name	Italic letters	<i>Data changes – search/list</i> screen
Button name	Italic letters	<i>New</i> button

Element	Format	Example
GUI path	PC code	T2S >> Market-Specific Configuration >> Market-Specific Attributes >> Search
Field name or value quotation	'...'	'Opening Date' ; 'Active'

Action Steps Business scenarios are divided into single action steps. These action steps are numbered sequentially. Intermediate results are described where appropriate and marked with an indented arrow. Each business scenario ends with a final result, indicated by an arrow.



Example

1. Action step 1
2. Action step 2
 - ➔ Intermediate result
3. Action step 3
 - ➔ Result

Screenshots Screenshots are used to illustrate the corresponding text. Note that there might be minor deviations between the screenshot and your screen appearance, according to your access rights or a specific selection you have made. Also, some functions are mutually exclusive and cannot be represented in a single screenshot. In these cases, the screenshot illustrates the more prevalent use of the screen.

Values shown on a screenshot might also deviate from the default values indicated in the description. In these cases, the description is valid rather than the screenshot.

Wherever relevant, specific parts of a screenshot are highlighted with a red box. If there is more than one box, position numbers point out the relation to the corresponding description.

The screenshot displays the 'Immediate Liquidity Transfer' form in the T2S GUI. The form is divided into several sections: 'Debit Cash Account', 'Liquidity Transfer', and 'Credit Cash Account'. In the 'Debit Cash Account' section, the 'Debitor BIC' field is highlighted with a red box and a red circle containing the number 1, indicating a validation error. Other fields include 'T2S Dedicated Cash Account Number', 'T2S Dedicated Cash Account Owner', 'Users Reference', and 'Amount'. The 'Credit Cash Account' section has radio buttons for 'Outbound Liquidity Transfer' (selected) and 'Internal Liquidity Transfer', along with fields for 'External Account Number', 'T2S Dedicated Cash Account Number', and 'Creditor BIC'. The breadcrumb navigation at the top shows 'Cash > Liquidity > Immediate Liquidity Transfers > New Immediate Liquidity Transfer'.

Illustration 2: Screenshot with red box and position number

1.1.2 UHB Structure

The UHB is structured in five parts and is complemented by an annex.

Part 1

The **introduction** explains the aim, the content and the approach of both the UHB and the GUI. While the first section explains how to use the UHB, the second section focuses on the design and common functionalities of the GUI.

The overview of the UHB includes information about:

- The UHB methodology [▶ 36]
- The UHB structure [▶ 39]

The overview of the GUI consists of information about:

- Setup and login procedures [▶ 41]
- GUI structure for T2S GUI [▶ 42], including information about the menu structure [▶ 42], screen structure [▶ 43], screen types [▶ 45], field types and properties [▶ 47], and common buttons and icons [▶ 53]
- GUI structure for CoCo GUI [▶ 67], including information about the menu structure [▶ 68], screen structure [▶ 68], screen types [▶ 70], field types and properties [▶ 71], and common buttons and icons [▶ 78]
- Validation [▶ 59]
- Communication network and services [▶ 64]
- User administration [▶ 64]
- Security and certification services [▶ 64]
- Online help [▶ 64]

Refer to this part if you need information on how to use the UHB or on common functionalities of the GUI.

Part 2

The **screen reference part** starts with a general section serving as a reader's guide for this part. It is followed by the screen descriptions of all screens contained in the GUI. Each description follows an identical structure which comprises detailed information on all screen fields.

The screen reference part is structured along the first and second GUI menu level, followed by the screens in alphabetical order. Within this alphabetical order, all screen types belonging to the same business function are grouped together to follow the business logic (*search/list* screen, *details* screen, *new/edit* screen).

Refer to this part if you need detailed and precise information on a screen, field or button.

Part 3

The **user instructions part** starts with a general section serving as a reader's guide for this part. It is followed by step-by-step instructions for typical GUI workflows, called business scenarios. Each description follows an identical structure.

Related business scenarios are grouped into comprehensive business packages, which are further grouped into categories.

Refer to this part if you need to know how to carry out an action using the GUI.

Part 4

The **trouble management system (TMS)** part offers an overview of the TMS screens that are available in U2A mode. Refer to this part if you need a description and explanation of the usage and functionalities of the TMS external interface to the relevant users.

TMS is a tool and database which is used to track and follow-up on relevant communication between the T2S users and the T2S Service Desk for which a trouble case has been created.

Annex

The **annex** supplies detailed information complementing the UHB:

- Annex A - Indication of usage [▶ 1684]: List of primary users (CSD or CB) for each screen and business scenario
- Annex B - Sitemap [▶ 1698]: Hierarchical, structured illustration of all screens and their interrelations
- Annex C - List of privileges [▶ 1823]: For each screen, all privileges and their corresponding description are listed
- Annex D - List of references for error messages [▶ 1962]: For each screen (incl. TMS screens), all references for error messages, the error text and the corresponding description are listed

1.2 Overview of the Graphical User Interface for T2S GUI

The GUI is a browser-based application for communication with T2S in U2A mode. It is based on the ISO norm 9241 'Ergonomics of human system interaction'.

1.2.1 Setup and Login Procedures

Before entering the GUI, make sure that your workstation complies with the hardware and software requirements and implement all necessary preparations (e.g. firewall settings) described below.

Hardware Requirements	Please refer to ESMIG qualified configurations document annexed to the ESMIG UDFS.
Software Requirements	Please refer to ESMIG qualified configurations document
Supported Web-Browsers and Settings	Please refer to ESMIG qualified configurations document annexed to the ESMIG UDFS.
Firewall Settings	Please refer to ESMIG qualified configurations document annexed to the ESMIG UDFS.
GUI Access	Users are directed to an initial page named ESMIG portal that ensures proper routing to the web applications the user has been granted to enter.
Authentication	Authentication takes place on the login screen provided by the network provider (SWIFT or SIA COLT). On this login screen you are requested to authenticate yourself via the certificate used together with your password. Both pieces of information (choosing a certificate and typing in a password) are only required once at the beginning of every session and the authentication remains active for the entire session.
System User Reference	Please refer to ESMIG qualified configurations document annexed to the ESMIG UDFS.
Limitations of the T2S GUI	The T2S GUI is designed as a user interface where a user handles entries into the T2S GUI and views the result of queries, reports etc. on his computer screen. It is recommend to use the T2S GUI in a restrictive way, i.e. using search parameters to limit the resulting data volume and avoid open ended queries.

In case the user does not consider this limitation the T2S GUI would first wait and finally could run into a time-out. Depending on various parameters (bandwidth, concurrent users, customer infrastructure processing, DB query duration, record size, operational day phase, timeout limitation) further effects may be encountered.

1.2.2 GUI Structure

This chapter explains the basic elements of the T2S GUI structure (i.e. structure of the menu and the screens) helping you to navigate through the system and to use it quickly and efficiently.

The first subsection describes the menu structure where screens are grouped hierarchically. Afterwards, the second subsection explains the layout structure common to each screen. The following subsections provide details on the different screen types [▶ 45] and on recurring elements, such as common field types [▶ 47] or buttons and icons [▶ 53].

1.2.2.1 Menu Structure

The GUI menu is structured into three hierarchical menu levels. The first level is presented as a menu bar containing the five first-level menu items, which are always visible on top of each screen.



Hint

Depending on your access rights, it is possible that not all menu entries are visible for you. Contact your system administrator to verify that you have the necessary privileges to access all screens relevant to you. The privileges are listed in each screen and business scenario description.

The items are colour-coded for ease of referencing:

- Securities (orange)
- Cash (green)
- Services (blue)
- Monitoring (yellow)

The second and third menu levels are accessible via mouse-over on the first-level menu items.

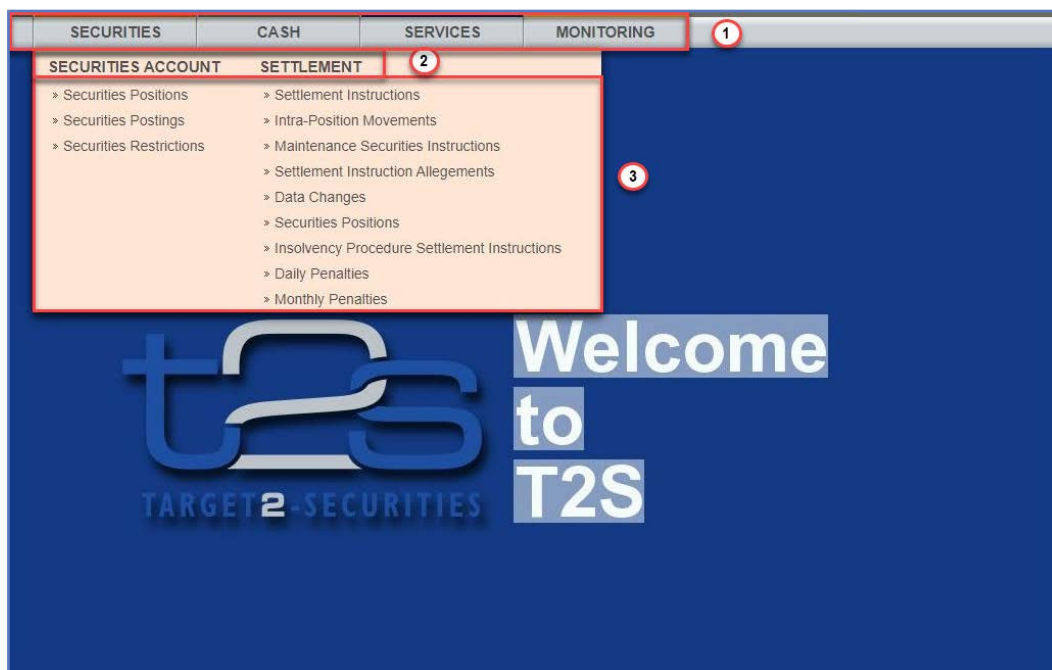


Illustration 3: T2S menu levels

Position No.	Description
1	Menu level 1
2	Menu level 2
3	Menu level 3

All entries on the second menu level are structural subcategories. To access a screen you have to click on the third menu level. For ease of use, some screens are available more than once. All identical menu entries on level three lead to the same screen. You can arbitrarily access them according to your business preferences.



Example

The *securities positions – search/list* screen can be accessed via the following two paths:

- Securities >> Securities Account >> Securities Positions
- Securities >> Settlement >> Securities Positions

1.2.2.2 Screen Structure

In general, each screen of the T2S GUI follows the same layout containing a header and a content area.

Header

The header appears at the top of every screen. It contains four main elements providing useful information and helping you to navigate between the different screens as shown in the illustration below.

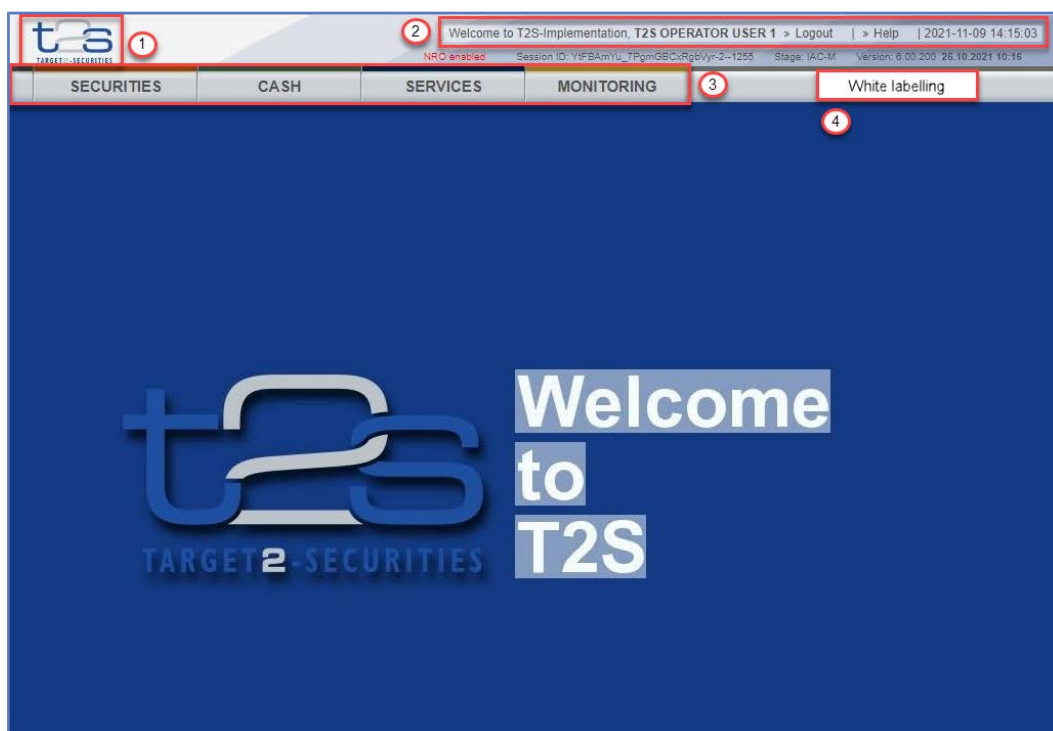


Illustration 4: Header elements

Position No.	Element	Description
1	T2S logo button	... links to the welcome screen. While using the <i>new/edit</i> screens, the <i>T2S logo</i> button is locked in order to ensure a safe and complete submission process.
2	Information panel	... displays your login name, the <i>logout</i> and <i>help</i> buttons as well as date and time of last data access.
3	Menu bar	... presents the five main menu items and allows you to navigate to the screens.
4	T2S System User Reference logo	... displays the respective logo in accordance with the chosen T2S system user reference in line with the white-labelling approach.

Content Area The content area is the part of the GUI where you can trigger all business actions. It is organised by five main elements which help you to interact properly with the GUI as shown in the illustration below.

To structure large amounts of data, the content area is further separated into frames and sub-frames.

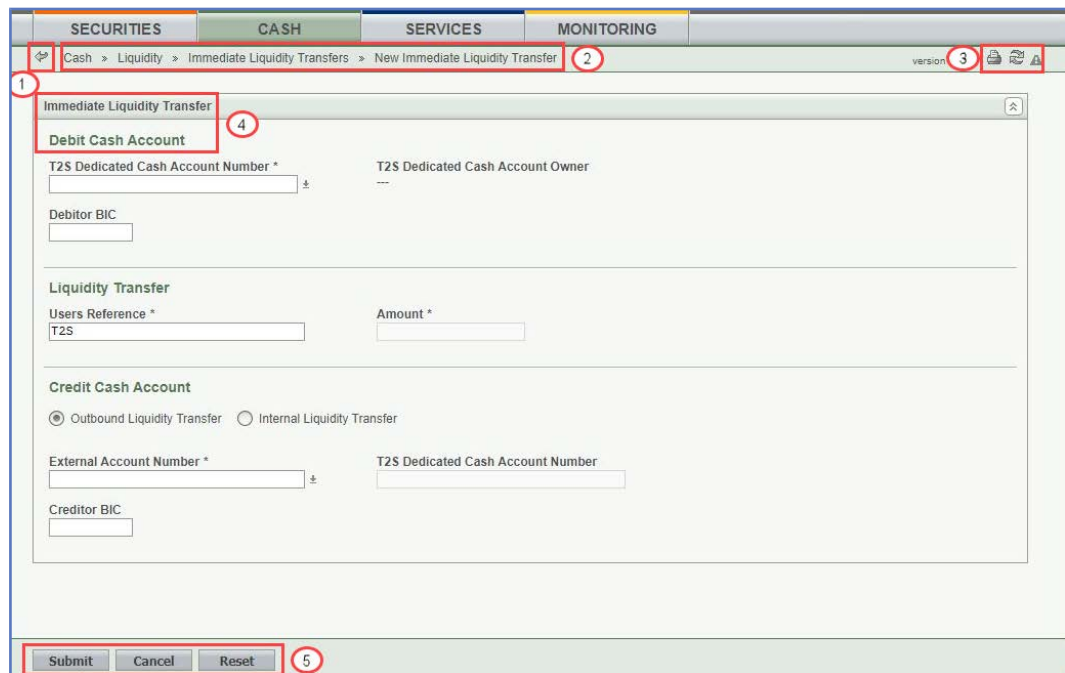


Illustration 5: Content area elements

Position No.	Element	Description
1	Back icon	... leads to the previous screen.
2	Breadcrumb	... shows the main path to the current screen.
3	Print icon	... prints the screen content.
	Export icon	... exports the content, which can then be saved to your local directory.
	Refresh icon	... reloads the screen.
4	Frame and sub-frame title	... groups related information as a structural function.
5	Button bar	... shows all available buttons for the current screen.

Further information about the icons can be found in the common buttons and icons section [▶ 53].

1.2.2.3 Screen Types

The T2S GUI consists of the following types of screens, each with a different function:

- Welcome screen
- Search/List screen
- Details screen
- Enter screen (usually *new* or *edit* screen)

Welcome Screen	The <i>welcome</i> screen is the entrance into the T2S GUI and allows you to choose your system user reference. It also contains a ticker line providing you with the latest information. The ticker is managed by the T2S operator.
Search/List Screen	<p>Generally, you can access all <i>search/list</i> screens on menu level three. You can find all possible ways of accessing the screen directly in the respective screen description within the screen reference part.</p> <p>The <i>search/list</i> screen allows you to query the T2S database using a predefined set of search criteria. After executing a search, a list of data records matching your search criteria is displayed in a table. To select an entry from a list displayed on a <i>search/list</i> or <i>list</i> screen, click on the desired entry. The background colour of the table line changes to indicate the selected entry. If the search retrieves only one record, the <i>details</i> screen is displayed directly, if you are authorised to access the details screen, else the record is displayed in the table in the list screen. You can browse through the list using the table buttons [▶ 53]. Furthermore, it is possible to arrange your search results in ascending or descending order by the columns shown. This does not trigger a refreshed query.</p>



Hint

If you find underlined static data objects (e.g. like a hyperlink on a webpage) in a list, this is an indication that there is further information available. By clicking on such an entry a new query is started and the corresponding information to this entry is shown in a separate pop-up window.

You can also execute a new search with different search criteria by changing the values in the search fields and clicking on the *search* button, triggering a new query.

The search/list function is usually combined in one screen. Before proceeding further with a screen button, select one of the data records in the table by clicking on it.



Hint

If you submit a query for a business object (e.g. eligible counterparty CSD) in a 'Valid from - Valid to' range, the query retrieves the values of the main business object and the values of the linked business object (e.g. investor BIC, parent BIC).

For the main business object, you receive the valid values of the 'Valid from - Valid to' range.

For the linked business object, you always receive the currently valid values of the date of the search and not the valid values of the 'Valid from - Valid to' range.

Details Screen In contrast to the *search/list* screen, you can only access the *details* screens via other screens. As the only exception, the *T2S calendar* screen is already available on menu level three. You can find all possible ways of accessing the screen via other screens in the respective screen description within the screen reference part.

All *details* screens provide you with in-depth information of a previously selected data record.

Before showing the *details* screen, a new query is forwarded to the system to ensure most up-to-date data.

Enter Screen Similar to the *details* screens, you can only access the *enter* screens through other screens, except for the *resend communication* screen, which is already available on menu level three.

Enter screens allow you to enter data, which can be done in two enter modes:

- New mode, represented by the *new* screens
- Edit mode, represented by the *edit* screens

In general, both modes contain the same fields. In the case of the *new* mode, all fields are blank. In the case of the *edit* mode, existing data are prefilled in the fields. In addition, one past value is reported (if available) which cannot be edited. You can edit the existing currently valid value by changing the content of the field. You can also enter a new future value by clicking on the *pencil* icon [▶ 53]. You can only edit an existing currently valid value if there is no future value stored.

As a general rule, all the 'Instructing Party BIC' and 'Instructing Party Parent BIC' fields are automatically filled in with the respective 'Party BIC' of the current GUI user in case they are not filled in when clicking on the *submit* button.

1.2.2.4 Field Types and Properties

On *search/list* and *enter* screens, you can enter information via input fields and select fields. Information is displayed in read-only fields.

Field Types

Input Fields In input fields you can enter text and/or numeric content. Make sure to comply with the format requirements, which are part of each field/screen description.

Input-sensitive fields are input fields with an auto-complete mode that helps you to input data. As soon as you start typing the first characters of the respective data into an input-sensitive field, T2S automatically proposes possible matching entries from which you can select the desired one.

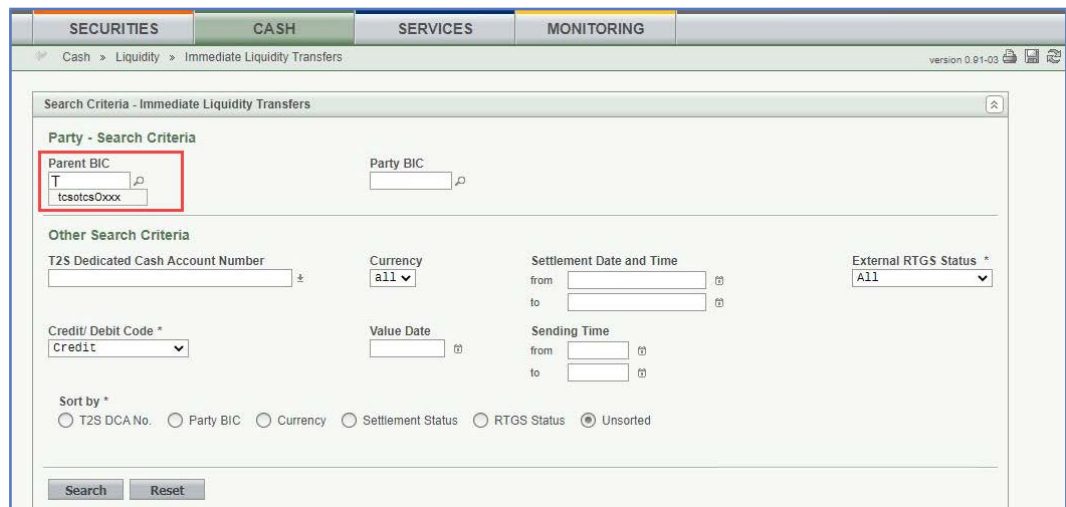


Illustration 6: Input- sensitive field



Hint

You can use the tab key on your keyboard to navigate through the GUI fields.

BIC8 that are entered via GUI are automatically supplemented to BIC11 by adding 'XXX' in order to unambiguously identify a party with the exception of the BICs referring to parties on party level 3-5 of the 'Settlement Instruction – New Screen'.

Select Fields

Select fields are either select boxes, radio buttons or check boxes.

- Select boxes:** Functionally a select box is a way to enter data from a limited list of possible values. In T2S you can find different types of select boxes: standard select box and auto-complete select box.
- The **standard select box** enables you to choose one entry from a pre-defined set of values. To select an entry, click on the little box with the arrow to open the menu. Then select the desired value by clicking on it.

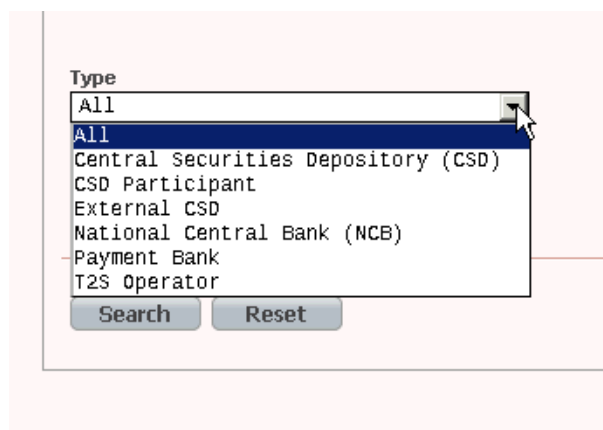


Illustration 7: Standard select box

The **auto-complete select box** enables you to either enter your desired value manually which will be used to reduce the possible set of values in the auto-complete select box to be chosen from or to click on the *auto-complete select box* icon, which provides you with a drop-down menu that shows the 10 first entries of the set of values irrespective of what you might have entered in the text field. In either way (meaning clicking on the icon or start typing an entry with the automatically shown list) up to 10 entries will be shown in the drop-down menu.



Auto-complete select box using the *auto-complete select box* icon and auto-complete select box with manually entered values.

The **google-style select box** enables you, on difference to the auto-complete select box, to make entries and choose values outside the standard set of values to be sent to the back-end for further validation (extended data scope). Due to that possibility of transporting unqualified data the validation of the values is restricted to basic checks and will be carried out mainly in the backend. Apart from that, the google-style select box functions similar to the auto-complete select box.



Illustration 8: Google-style select box

- Radio buttons:** Enable you to make exact selections using one value from a set of options. You can select only one value at the same time. Click on the icon corresponding to the option you would like to select.

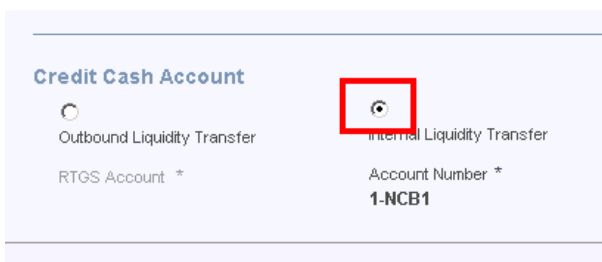


Illustration 9: Radio buttons

- Check boxes:** Enable you to select more than one value at the same time. Click on the boxes corresponding to the options you would like to select. Selected check boxes contain a check mark.

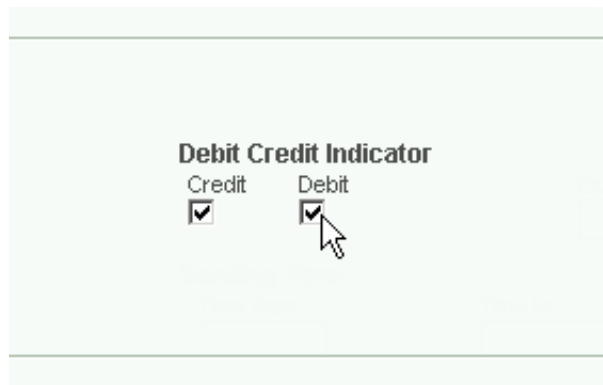


Illustration 10: Check boxes

Read-only Fields

In addition to enter and select fields, the T2S GUI has also read-only fields, which are pre-filled and do not allow data changes.

Field Properties

All field types have specific properties with respect to possible character sets, usage of wildcards and mandatory content.

Characters

T2S operates in British English and uses the SWIFT-x character set to enter data. After the coexistence, T2S will be using the UTF-8 character set with the exception of '<', '>' and '&'. Amounts are automatically displayed with decimal points. While negative amounts are displayed in red and marked with a '-', you can only type amounts that are greater than or equal to 0 into input fields, unless specified otherwise in the screen description.

The GUI does not differentiate between upper and lower case in terms of data input for input fields.



Hint

Use the abbreviations 10m to enter the amount of 10 million (10,000,000) and 10t to enter the amount of 10 thousand (10,000).

The SWIFT-x character set is used in the text fields during the period of coexistence and corresponds with the following content:

Character	Description
a - z	26 small characters of the Latin alphabet
A - Z	26 capital characters of the Latin alphabet
0 - 9	10 numeric characters
/	Solidus (slash)
-	Hyphen
?	Question mark
:	Colon

(Opening parenthesis
)	Closing parenthesis
.	Full stop
,	Comma
'	Apostrophe
+	Plus
	Space (blank)
CR	Carriage return
LF	Line feed



Hint

T2S does not process fields (mandatory or optional), that are only filled with blanks. Blanks appearing at the beginning or at the end of a field entry are deleted automatically without any notification.

Fields in a *list* or *details* screen, where the dataset was saved without an entry, are filled with '---'.

Some reference and identification fields need a reduced version of the SWIFT-x character set. For them, the character '/' cannot be accepted at the beginning or end of the string. Moreover the combination '//' cannot be present in any part of the string itself.

These fields are identified by the SWIFT-x-red type.

Please note that because blank characters are always deleted from the beginning or end of the input – any sequence like ' / ' (for the beginning) or ' / ' (for the end) must be considered not accepted.

Wildcards

A wildcard is a placeholder for characters. In T2S you can use a wildcard in an input field to search for data. The required number of characters is indicated in the field description. You can use wildcards in two different ways:

- As a placeholder for one or more characters of the input value, use an asterisk ('*')
- As a placeholder for exactly one character of the input value, use a question mark ('?')



Examples

TARGET2*: The result list contains all data records beginning with 'TARGET2'.

*Securities: The result list contains all data records ending with 'securities'.

TARGET2-Securities: The result list contains all data records that have exactly one character before the 'TARGET2-Securities'.

The combination of several wildcards directly after each other is not possible (e.g. TARGET2?* or TARGET2**), but it is possible to use several wildcards within one entry (e.g. 'TARGET2-*').

To properly search with wildcards, you have to insert at least two or four characters depending on the field requirements. If the fields do not allow wildcards, it is mentioned explicitly in the respective field description (under format requirements). Please note that the wildcard functionality is only available for input fields and not for select boxes.

Mandatory Content

In the GUI all mandatory fields are marked with an asterisk in the label. All marked fields have to be filled in before you are allowed to proceed.

Illustration 11: Mandatory fields

Position No.	Description
1	Mandatory input field
2	Mandatory select field

1.2.2.5 Common Buttons and Icons

While working with the T2S GUI you will find that some buttons and icons appear regularly. There are three types of common elements:

- Basic icons, which you can find on every screen
- Utility elements, which represent functions within the content area
- Table elements, which you can find below data record lists on *search/list*

The buttons contained in the button bar are variable and therefore explained in the screen descriptions.

Basic Icons

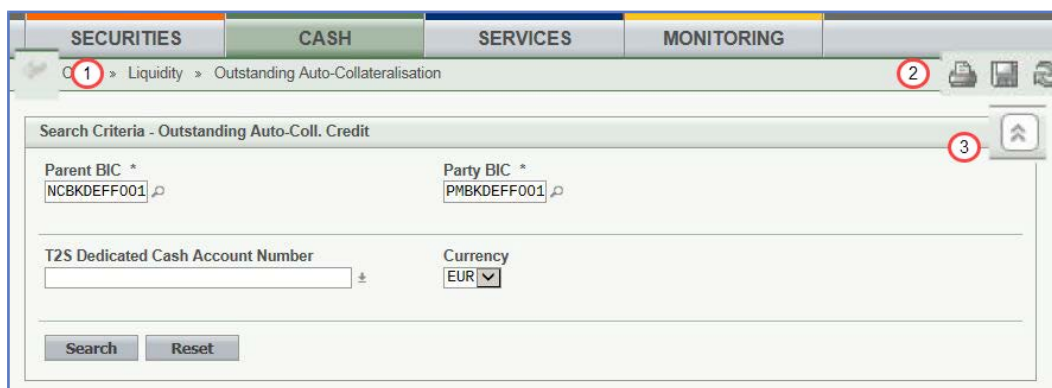


Illustration 12: Basic buttons

Position No.	Element	Description
1	<i>Back</i> icon	<p>... always leads to the previous functional screen.</p> <p>The usage of the <i>back</i> icon does not lead to an update or a refresh of the query of the screen.</p> <p>Do not use the <i>back</i> button of your web-browser to return to the previous screen, to avoid data loss.</p> <p>The <i>back</i> icon is common to all T2S screens except for the <i>welcome</i> and <i>search</i> screens.</p>
2	<i>Print</i> icon	<p>... prints the data of the current screen.</p> <p>The printout includes the name of the printed screen, the date and the time of printing, the data access time, the name of the user who triggered the printing, the classification label 'Restricted' and the data available on the screen.</p> <p>It is strongly recommended to use this button for generating a clearly arranged overview of data on the printout.</p>

Position No.	Element	Description
		<p>When printing a <i>list</i> screen on your standard printer, the whole list and search criteria are printed instead of only the part of the table visible on the screen.</p> <p>If you print an <i>edit</i> screen with data that have already been modified, the printout has the remark 'Changed Data'.</p> <p>If you print a <i>new</i> screen with data that have already been entered, the printout has the remark 'Not Stored Yet'.</p> <p>The <i>print</i> icon is common to all T2S screens except for the <i>welcome</i> screen.</p>
	<i>Export icon</i>	<p>... exports the data of the executed query from the current screen into a csv-file.</p> <p>Leading and trailing space-characters adjacent to comma field separators are to be ignored.</p> <p>If exporting a list, the related search criteria are exported as well whereas detailed data are only exported, if the respective <i>list</i> and the <i>details</i> screen are covered by the same query. Otherwise just the list entry will be exported.</p> <p>The <i>export</i> icon is common to all T2S screens except for the <i>welcome</i> and the <i>new</i> screens.</p> <p>In addition to the exported data from the screen the following information is exported at the start of the file: name of the exported screen (including menu path), current date, name of the user who triggered the exporting, classification label 'RESTRICTED', defined search criteria (query parameters, if applicable), 'CHANGED DATA' when exporting an <i>edit</i> screen which contains amended data that has not been submitted yet.</p>
	<i>Refresh icon</i>	<p>... reloads the screen.</p> <p>In new and edit modes the screen is locked, so it is not possible to refresh.</p> <p>The refresh function takes into account the search criteria that were chosen the last time the <i>search</i> button was clicked. Those search criteria will be displayed again.</p> <p>Changes that have not yet been saved are lost after using the <i>refresh</i> icon.</p>

Position No.	Element	Description
		The <i>refresh</i> icon is common to all T2S screens except for the <i>welcome</i> screen.
3	<i>Collapse</i> icon	... hides/displays the frame. When first entering a screen, all frames are shown but can be hidden according to your preference by using the <i>collapse</i> icon.

Utility
Elements

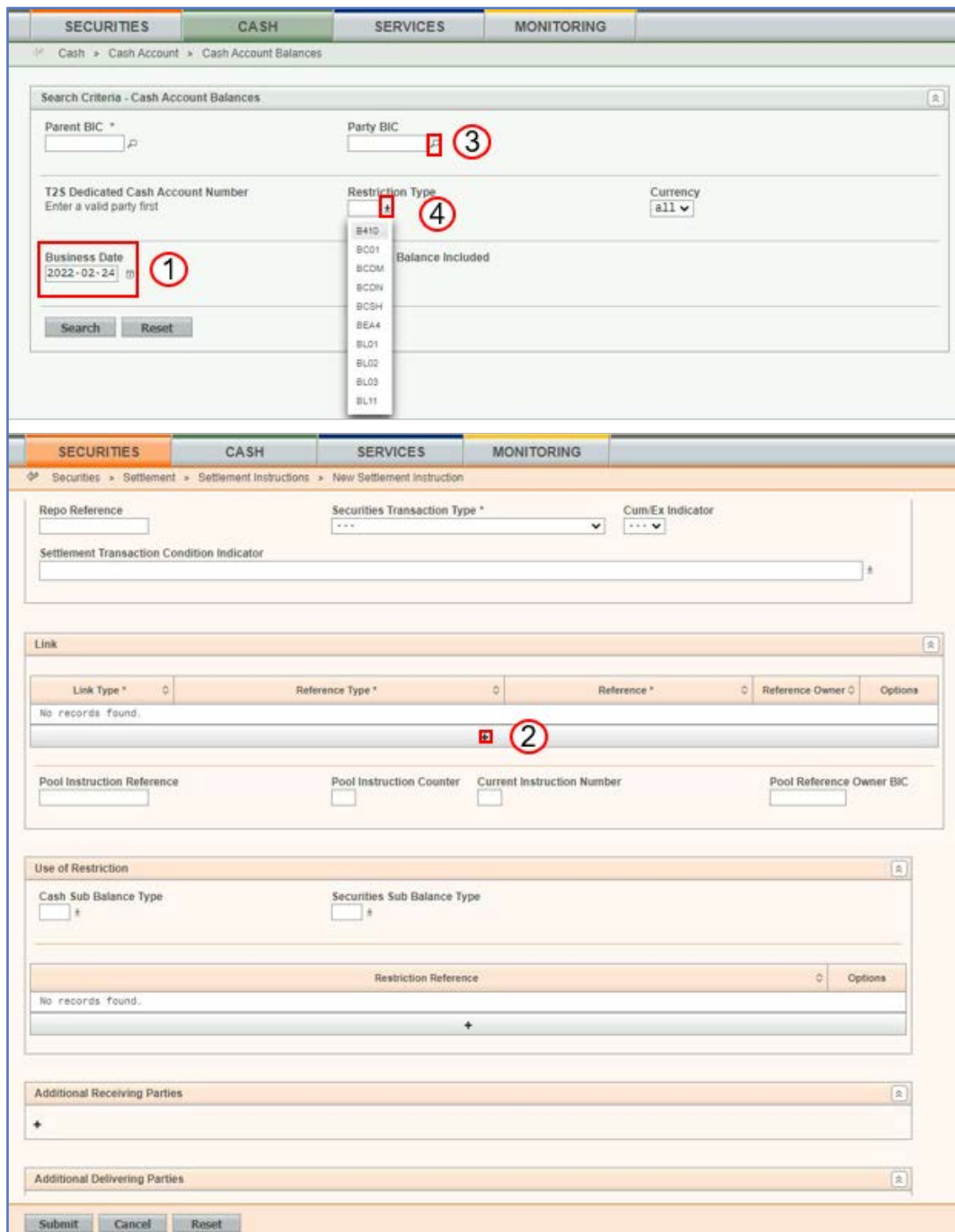


Illustration 13: Utility elements

Position No.	Element	Description
1	Calendar icon	... opens a calendar where you can select a day. If applicable, you can also select the time via the calendar icon.
2	Add row icon	... adds an empty row to the corresponding list.

Position No.	Element	Description
		<p>The <i>add row</i> icon is only available on <i>new</i> and <i>edit</i> screens.</p> <p>You can insert a maximum of 10 rows at a time.</p>
3	<i>Magnifier icon</i>	<p>... provides the possibility to search for data on other screens.</p> <p>If you are not aware of the exact/correct value, but you know other related data, click on the <i>magnifier</i> icon to enter the <i>search</i> screen. There you can enter all information known to you and execute a search. By clicking on the <i>select</i> button, you are redirected to the initial screen with the desired data entered in the respective fields.</p> <p>The attribute equipped with magnifier icon works otherwise like an auto-complete select box.</p>
4	<i>Auto-complete select box icon</i>	<p>...provides you the possibility to use the auto-complete functionality, while the drop-down menu opens when clicking on it.</p> <p>You can select one of the provided entries, when clicking on an entry in the list.</p>

Table Elements

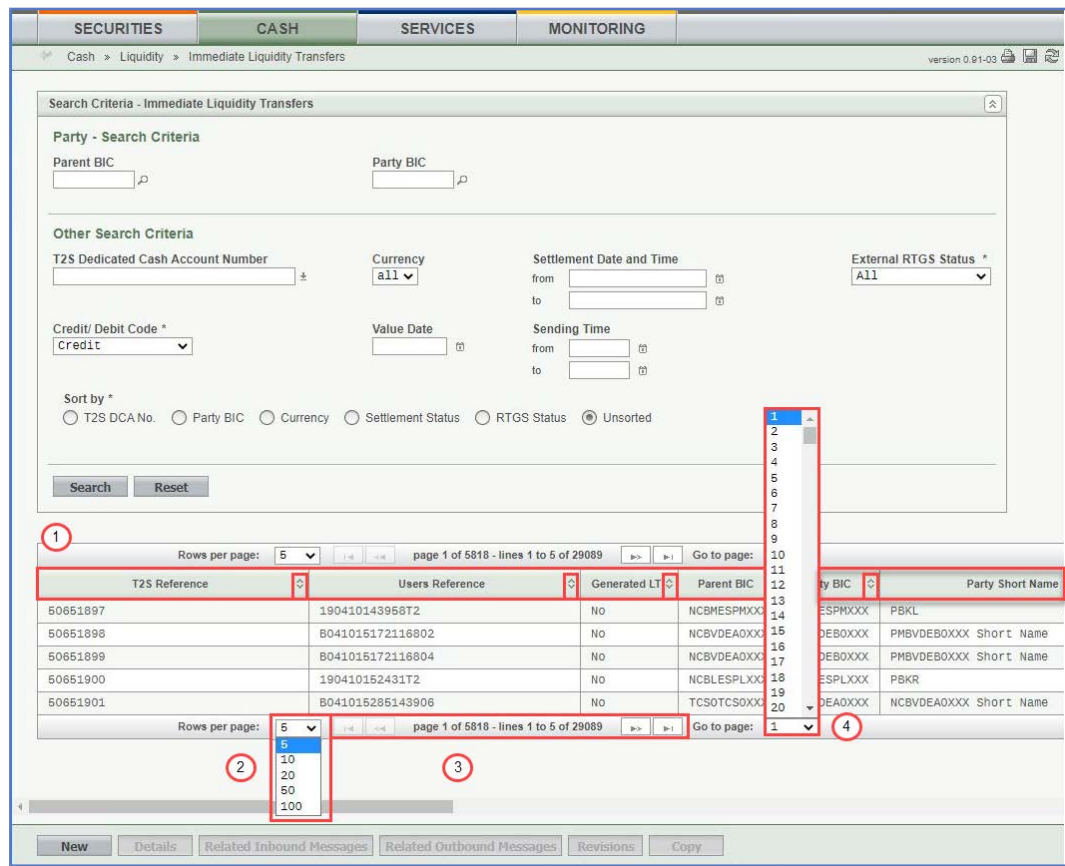


Illustration 14: Table elements

Position No.	Element	Description
1	Sort icon	... sorts the list in ascending or descending order by clicking on the up or down arrow.
2	Number of data records	... allows you to select the number of records displayed in the list. You can choose between 5, 10, 20, 50 or 100 data records to be displayed. The default number of data records to be displayed is 100.
3	First icon	... displays the first page of the list.
	Previous icon	... allows you to navigate through the displayed list and takes you to the previous page.
	Next icon	... allows you to navigate through the displayed list and takes you to the following page.
	Last icon	... displays the last page of the list.
4	Number of table pages	... allows you to select the table page number you would like to go to.

1.2.3 Validation

In T2S, all submission processes undergo various validations, which take place in the front-end and/or in the back-end. Only correct entries, fulfilling all predefined criteria, can be further processed. To indicate the status of the recently performed action, T2S uses two different types of messages to indicate a successful or failed validation as described below.

In addition to the automatic validation carried out by T2S, human validation can be imposed by using the 4-eyes mode.

Furthermore, non-repudiation of origin (NRO) is implemented for a specified number of screens.

Front-End Validation

As first part of the validation process, the front-end validation takes place without communication to the back-end. The front-end validation includes both the field validation and the cross-field validation. It is carried out after clicking on a button.

The field validation verifies that all entries comply with the required format.

The cross-field validation checks the data consistency between two or more fields/buttons in relation to each other.

Confirmation Prompt

In the case of business actions in 2-eyes mode, a confirmation prompt is shown after successful front-end validation to give you the opportunity to submit or reject the business action. This confirmation prompt does not appear in 4-eyes mode.



Illustration 15: Confirmation prompt

Back-End Validation

The back-end validation is the second part of the validation process. After successful front-end validation, your request is submitted to the back-end and checked for compliance with the business validation rules. In case of failure an error message is displayed. You can find a detailed list of all error messages and their description in the annex of the UHB (list of references for error messages [▶ 1962]).

Result

After each validation, the T2S GUI informs you about the result. There are two different message types available, the **error message** and the **success message**.

Error Message

Each error message is marked with a circle symbol and appears at the top of the content area and next to the field containing the error. In the case of a failed front-end or back-end validation, it indicates the source of the failure, the type of error and a short hint. This message is also shown as mouse-over text when you move the mouse cursor over the circle symbol next to the respective input field.

In case a Settlement Instruction or Settlement Restriction fulfils a rejection restriction type one positive rule, the error message provided in the GUI will also contain the relevant rule id fulfilled in addition to the relevant error message applicable as described in the error messages annex of the UHB (list of references for error messages).

Illustration 16: Error message

Position No.	Description
1	Type of error and short hint
2	Source of the failure

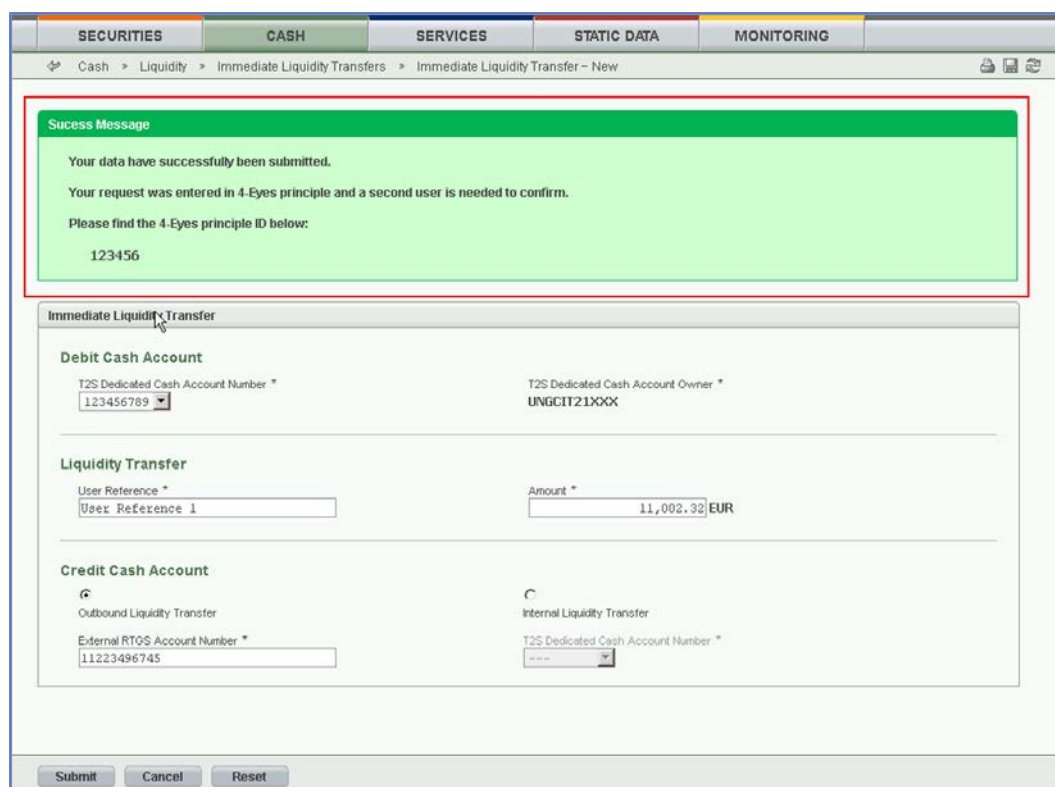
Success Message

The success message appears in a green frame at the top of the content area. It confirms that your data have been submitted successfully.

In case a Settlement Instruction fulfils a CSD validation hold restriction type one positive rule, the success message provided in the GUI will also contain the relevant rule id fulfilled in addition to the relevant error message applicable as described in the error messages annex of the UHB (list of references for error messages).

If the Settlement Instruction fulfils a rejection and/or CSD validation hold restriction type one negative rule, the success message provided in the GUI will also contain the relevant rule id fulfilled. The same applies in case a Settlement Restriction fulfils a rejection restriction type one negative rule.

If you are working in 4-eyes mode, the success message also provides you with the 4-eyes principle ID. This identification number helps you to search for the respective transaction via the *data changes – search/list* screen [▶ 616].



The screenshot displays the T2S GUI interface for an Immediate Liquidity Transfer. At the top, there are navigation tabs: SECURITIES, CASH, SERVICES, STATIC DATA, and MONITORING. Below the tabs, a breadcrumb trail shows: Cash > Liquidity > Immediate Liquidity Transfers > Immediate Liquidity Transfer – New. A green success message box is highlighted with a red border, containing the following text: "Success Message", "Your data have successfully been submitted.", "Your request was entered in 4-Eyes principle and a second user is needed to confirm.", "Please find the 4-Eyes principle ID below:", and "123456". Below the message, the form for the Immediate Liquidity Transfer is visible. It includes sections for "Debit Cash Account" (with fields for T2S Dedicated Cash Account Number and T2S Dedicated Cash Account Owner), "Liquidity Transfer" (with fields for User Reference and Amount), and "Credit Cash Account" (with radio buttons for Outbound and Internal transfers, and fields for External RTGS Account Number and T2S Dedicated Cash Account Number). At the bottom of the form are buttons for "Submit", "Cancel", and "Reset".

Illustration 17: Success message

Queued Message

If you are submitting a static data request outside real-time settlement, your request could be queued. In this case, instead of the above mentioned success message the following message is shown: 'Your request has been queued successfully and will be resumed after the end of the current night-time settlement sequence'.

4-Eyes Mode Depending on the access rights setup, you can use T2S in 2-eyes or in 4-eyes mode. The 2-eyes mode and the 4-eyes mode apply for *enter* screens (new and edit mode) and for any kind of deletion processes. If you are a 4-eyes mode user, your actions have to be confirmed by a second user in order to be processed.

First User

In 4-eyes mode the first user enters, changes or deletes the data on a screen and afterwards submits the action by clicking on the *submit* button in the button bar. The success message appearing after successful validation includes a 4-eyes principle ID. For the final execution a second user is needed to confirm the action.

Second User

After the first user has entered, changed or deleted the data, a second user (with the required privilege) has to approve or revoke this action via the *data changes* screen [▶ 616] either using the 4-eyes mode ID or the search functionality.

An *alert* icon is shown on the active T2S screen for those users with appropriate privileges to approve a pending action via the *data changes* screen. By clicking on the *alert* icon you are directed to the *data changes - search/list* screen [▶ 616] where you can first search and then approve/revoke the pending changes.



Hint

Ask the first user for the 4-eyes principle ID and use it to limit the number of search results on the *data changes – search/list* screen.

As soon as the data changes are positively approved, T2S marks these data as approved and they are forwarded to further processing.

Digital Signature – NRO

In order to ensure non-repudiation of origin (NRO) for critical transactions, the use of a digital signature has been implemented for specified screens. This means that the user will be asked to enter a PIN code for signature purposes whenever an instruction is initiated. With the entry of the PIN, T2S attaches a digital signature to the instruction entered by the T2S actor.

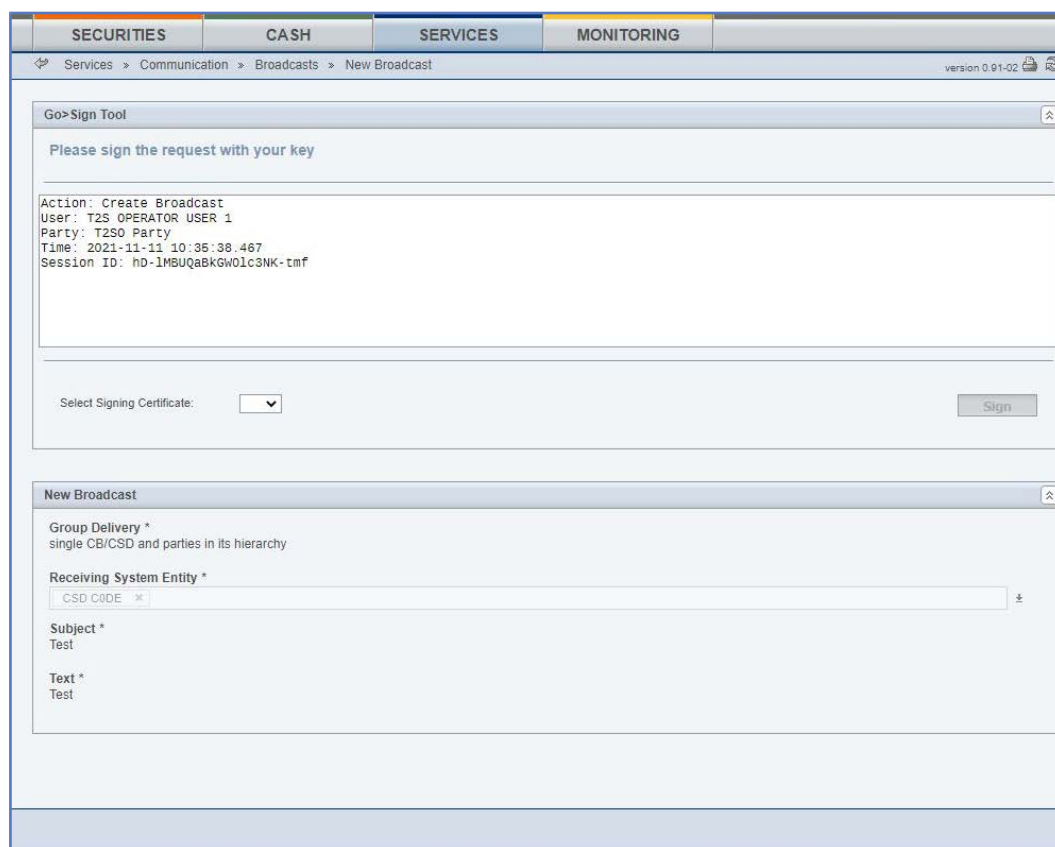


Illustration 18: Digital signature

The following (exhaustive) list provides an overview of screens for which the NRO feature is implemented:

- Amendment cash instruction - new screen [▶ 418]*
- Amendment securities instruction - new screen [▶ 113]*
- Broadcast - new screen [▶ 630]*
- Cancellation cash instruction - new screen [▶ 428]*
- Cancellation securities instruction - new screen [▶ 127]*
- Data change – details screen [▶ 620]*
- Hold/release instruction - new screen [▶ 136]*
- Immediate liquidity transfer order - new screen [▶ 395]*
- Intra-balance movement - new screen [▶ 445]*
- Intra-position movement - new screen [▶ 161]*
- Penalty – details screen [▶ 300]*
- Penalty - re-allocation screen [▶ 327]*
- Penalty - removal screen [▶ 324]*
- Penalty - switch screen [▶ 331]*
- Settlement instruction - new screen [▶ 220]*

1.2.4 Communication Network and Services

Refer to the T2S Connectivity Guide document for details on the communication network and services.

1.2.5 User Administration

Registration

Only registered users have access to the T2S GUI, therefore registration in T2S reference data and to the network is necessary prior to the first GUI access. The Registration Guide for User Testing provides information on how to fill in T2S Registration Forms properly, e.g. access rights or white labelling.

After registration the system administrator is given the possibility to grant and revoke privileges to its users within the T2S GUI as described in both the screen reference part and the user instructions part.

1.2.6 Security and Certification Service

In order to guarantee a secure and safe handling of the information and to protect customer data provided via the GUI, various security elements have been put into place:

- Each action requires system or human validation as described in the validation [► 59]
- The scope of available data and functions is controlled via the management of access rights
- The security features provided by the network providers and described in their respective user documentation prevent unauthorised access

1.2.7 Online Help

The content of the UHB can be accessed from the T2S GUI by clicking on the *help* button. The online help is context sensitive, which means that the screen description corresponding to the current screen is displayed. Other parts of the online help can be reached using the table of contents on the left side of the help window.

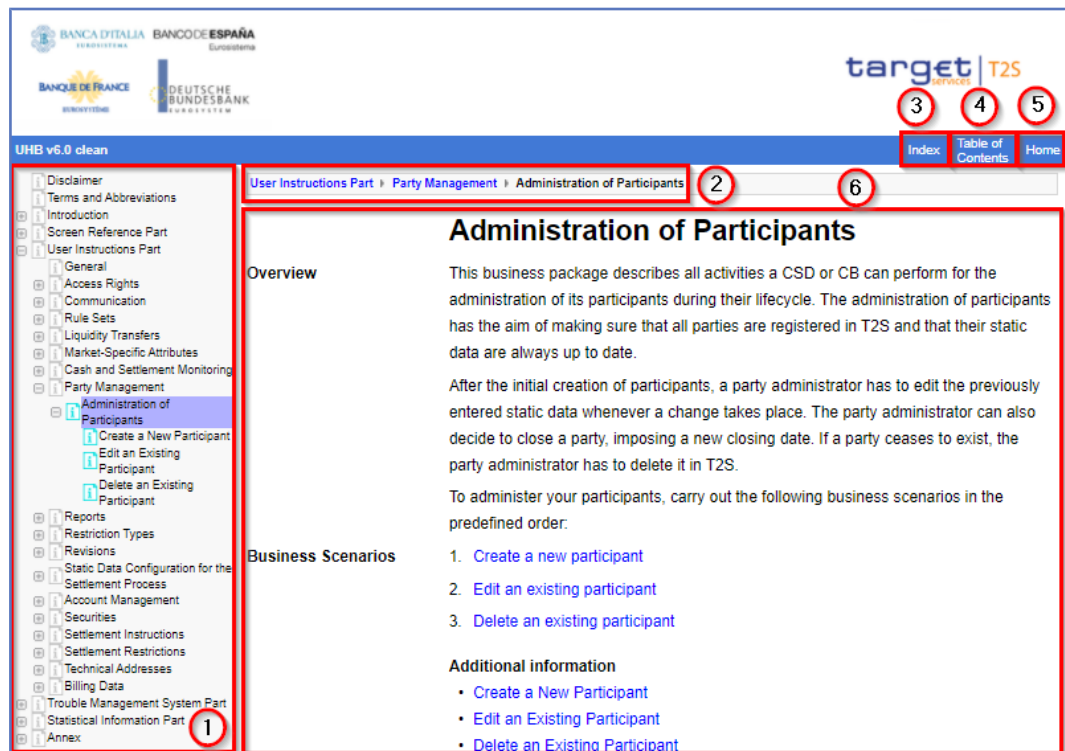


Illustration 19: Online help screen for 'Administration of Participants' business package.

Position No.	Description
1	Structure tree showing the table of contents, providing access to all handbook sections
2	Clickable breadcrumb
3	<i>Index</i> button leading to the online help index
4	<i>Table of contents</i> button leading to the online help table of contents
5	<i>Home</i> button leading to online help welcome screen
6	<i>Help</i> screen content

1.3 Overview of the Graphical User Interface for CoCo GUI

The following sections refers to CRDM but the same structure can be considered valid for other common components.

The GUI is a browser-based application for communication with CRDM in U2A mode. It is based on the ISO norm 9241 'Ergonomics of human system interaction'.

1.3.1 Setup and Login Procedures

Before entering the GUI, make sure that your workstation complies with the hardware and software requirements and implement all necessary preparations (e.g. firewall settings) described below.

Such requirements/preparations may be subject to periodical review/update to comply with changing technical/regulatory scenario.

Common components are accessed entering the ESMIG Portal and selecting the proper common components under the service T2S.

Hardware Requirements

Please refer to ESMIG qualified configurations document.

Software Requirements

Please refer to ESMIG qualified configurations document.

Supported Web-Browsers and Settings

Please refer to ESMIG qualified configurations document.

GUI Access

Users are directed to an initial page named ESMIG portal that ensures proper routing to the web applications the user has been granted to enter.

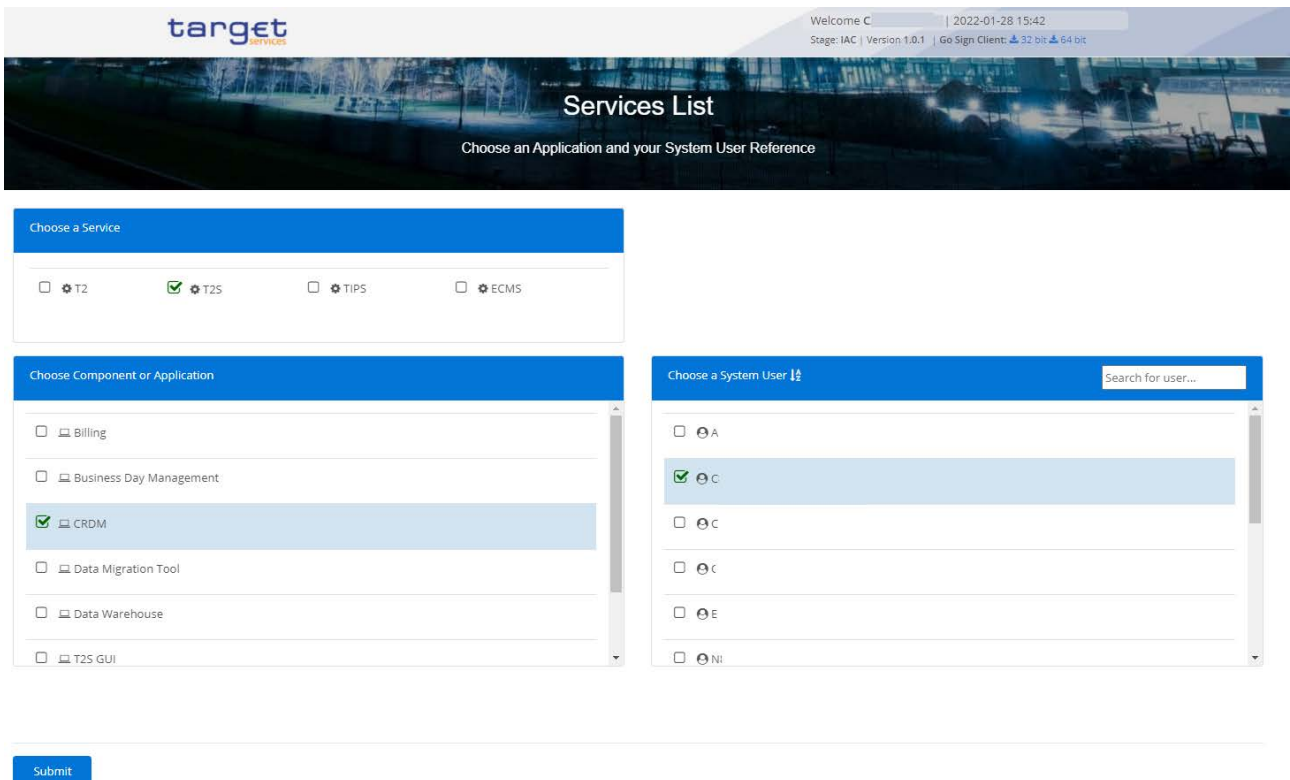


Illustration 20: ESMIG Portal

The ESMIG Portal screen expects the user to select the relevant service to enter.

After the selection of the Service, the user is invited to select a specific component or application.

As a third step, once the component or application is selected, the user is requested to select the System User.

After submitting the screen, the user is redirected to the selected component or application.

In order to properly access the EMIG PORTAL and to select service and components, the user must be granted with the relevant privileges:

- T2S Access: the user granted with this privileges can see “T2S” under “Choose a Service” section and “T2S GUI” under “Choose Component or Application” section.
- CRDM_Access: the user granted with this privileges can see “CRDM” under “Choose Component or Application” section.
- BILL_Access: the user granted with this privileges can see “Billing” under “Choose Component or Application” section.
- BDM_Access: the user granted with this privileges can see “Business Day Management” under “Choose Component or Application” section.
- DMT_Access: the user granted with this privileges can see “Data Migration Tool” under “Choose Component or Application” section.
- TMS_Access: the user granted with this privileges can see “TMS” under “Choose Component or Application” section.
- ORT_Access: the user granted with this privileges can see “Operational Reporting Tools” under “Choose Component or Application” section.

NRO specific requirements

Please refer to ESMIG qualified configurations document.

1.3.2 GUI Structure

This chapter explains the basic elements of the CRDM GUI structure (i.e. structure of the menu and the screens) helping you to navigate through the system and to use it quickly and efficiently.

The first subsection describes the menu structure where screens are grouped hierarchically. Afterwards, the second subsection explains the layout structure common to each screen. The following subsections provide details on the different Screen Types [▶ 70] and on recurring elements, such as common Field Types [▶ 71] or Buttons and Icons [▶ 78].

1.3.2.1 Menu Structure

The GUI menu is structured into four hierarchical menu levels. The hamburger menu appears when the proper icon is selected. After the first level is presented, it is possible to choose the functionalities that are common to the shared services and those that are specific for T2 or TIPS. Then the menu can be further navigated - the second, third and fourth menu levels are accessible via mouse-over on the first-level menu item - in order to select the desired functionality.



Hint

All the menu entries are visible to all users. Anyway, depending on your access rights, it is possible that not all menu entries are available for you. Contact your system administrator to verify that you have the necessary privileges to access all screens relevant to you. The privileges are listed in each screen and business scenario description.

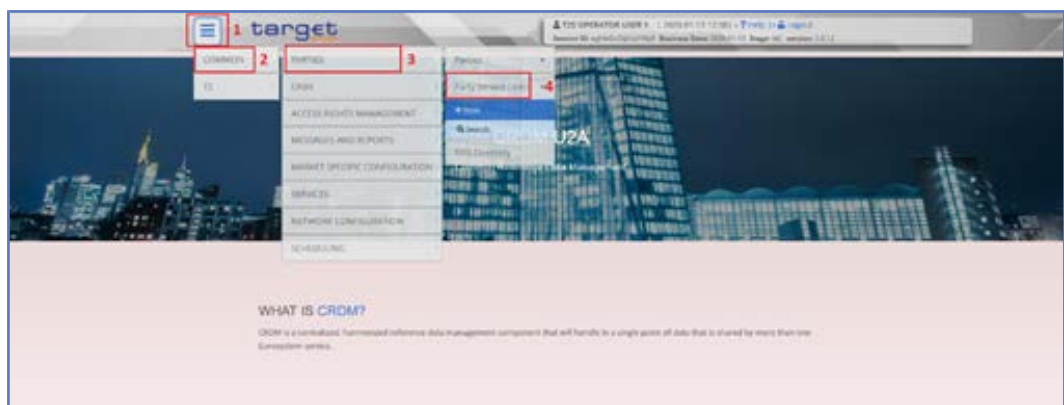


Illustration 21: CRDM menu levels

Position No.	Description
1	Menu level 1
2	Menu level 2
3	Menu level 3
4	Menu level 4

All entries on the third menu level are structural subcategories. To access a screen you have to click on the fourth menu level.

1.3.2.2 Screen Structure

In general, each screen of the CRDM GUI follows the same layout containing a header and a content area.

The header appears at the top of every screen. It contains three main elements providing useful information and helping you to navigate between the different screens as shown in the illustration below.

Header

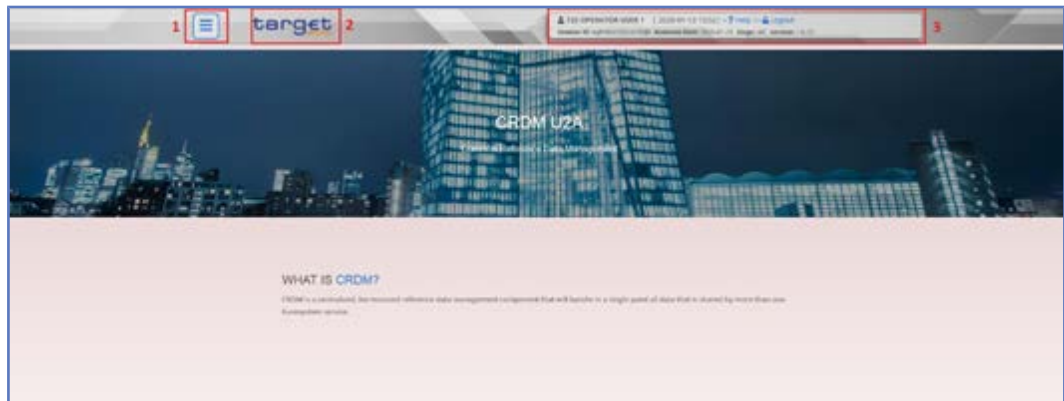


Illustration 22: Header elements

Position No.	Element	Description
1	Menu bar	... presents the main menu item and allows you to navigate to the screens.
2	CRDM logo button	... links to the welcome screen.
3	Information panel	... displays your login name, the logout and help buttons as well as date and time of last data access.

Content Area

The content area is the part of the GUI where you can trigger all business actions. It is organised by five main elements which help you to interact properly with the GUI as shown in the illustration below.

To structure large amounts of data, the content area is further separated into frames and sub-frames.

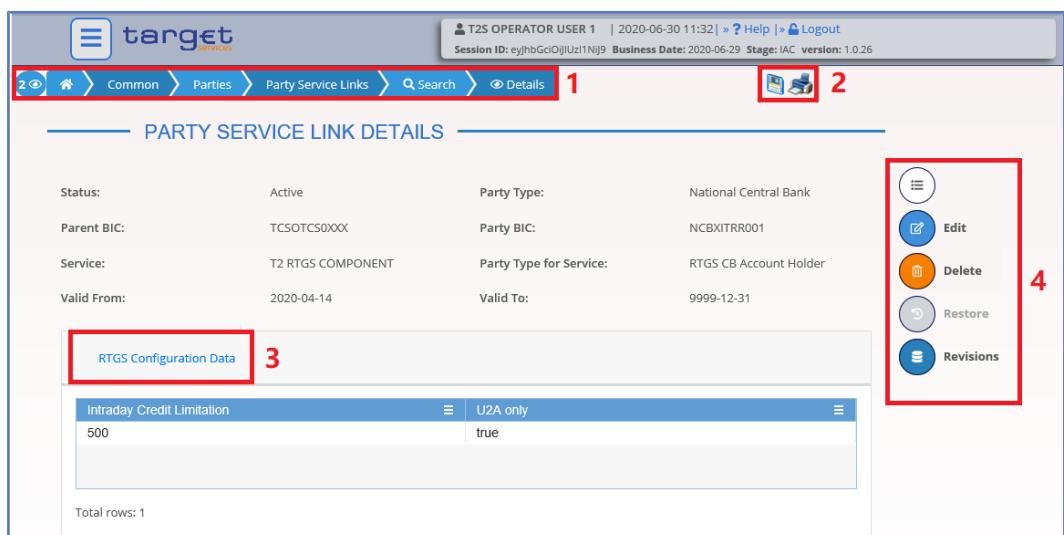


Illustration 23: Content area elements

Position No.	Element	Description
1	Breadcrumb	... shows the main path to the current screen.

Position No.	Element	Description
2	Basic icons	... exports or prints the screen content.
3	Frame and sub-frame title	... groups related information as a structural function.
4	Button bar	... shows all available buttons for the current screen.

Further information about the icons can be found in the common buttons and icons section.

1.3.2.3 Screen Types

The CRDM GUI consists of the following types of screens, each with a different function:

- Welcome screen
- Search/List screen
- Details screen
- Enter screen (usually new or edit screen)

Welcome Screen

Business scenarios are divided into single action steps. These action steps are numbered sequentially. Intermediate results are described where appropriate and marked with an indented arrow. Each business scenario ends with a final result, indicated by an arrow.

Search/List Screen

You can access all search/list screens on menu level four.

The search/list screen allows you to query the CRDM database using a pre-defined set of search criteria. After executing a search, a list of data records matching your search criteria is displayed in a table. To select an entry from a list displayed on a search/list or list screen, click on the desired entry. The background colour of the table line changes to indicate the selected entry. If the search retrieves only one record, the details screen is displayed directly, if you are authorised to access the details screen, else the record is displayed in the table in the list screen. You can browse through the list using the table buttons. Furthermore, it is possible to arrange your search results in ascending or descending order by the columns shown. This does not trigger a refreshed query.

Details Screen

In contrast to the search/list screen, you can only access the details screens via other screens.

All details screens provide you with in-depth information of a previously selected data record.

Before showing the details screen, a new query is forwarded to the system to ensure most up-to-date data.

Enter Screen Similar to the details screens, you can only access the enter screens through other screens.

Enter screens allow you to enter data, which can be done in two enter modes:

- New mode, represented by the new screens
- Edit mode, represented by the edit screens

In general, both modes contain the same fields. In the case of the new mode, all fields are blank. In the case of the edit mode, existing data are prefilled in the fields. In addition, one past value is reported (if available) which cannot be edited. You can edit the existing currently valid value by changing the content of the field. You can only edit an existing currently valid value provided that there is no future value. Otherwise, you need to delete the existing future value beforehand. You can also enter a new future value by clicking on the pencil icon.

1.3.2.4 Field Types and Properties

Field Types The description of the common field types with the proper descriptions follow.

Input Fields In input fields you can enter text and/or numeric content. Make sure to comply with the format requirements, which are part of each field/screen description.

Input-sensitive fields are input fields with an auto-complete mode that helps you to input data. As soon as you start typing the first characters of the respective data into an input-sensitive field, CRDM automatically proposes possible matching entries from which you can select the desired one.

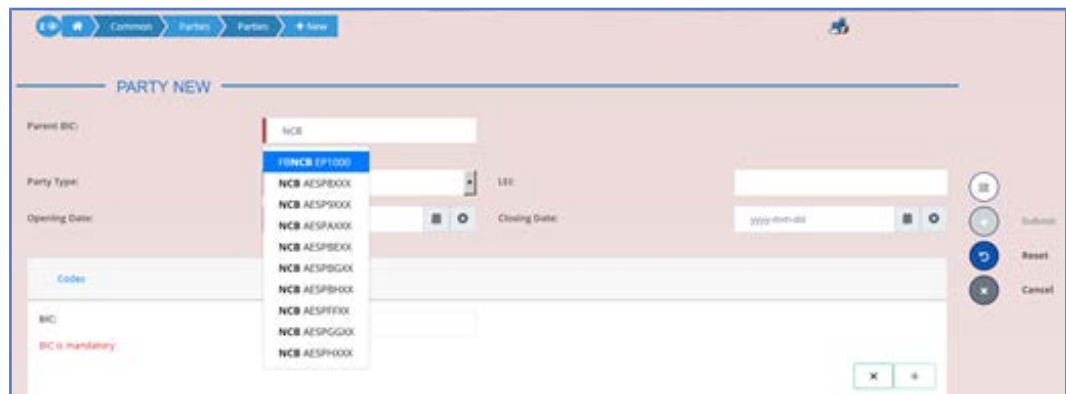


Illustration 24: Input-sensitive field



Hint

You can use the tab key on your keyboard to navigate through the GUI fields.

BIC8 that are entered via GUI are automatically supplemented to BIC11 by adding 'XXX' in order to unambiguously identify a party.

Select Fields Select fields are either select boxes, radio buttons or check boxes.

- **Select boxes:** Functionally a select box is a way to enter data from a limited list of possible values. In CRDM you can find different types of select boxes, standard select box, input-sensitive select box and auto-complete select box.
- **The standard select box** enables the user to choose one entry from a pre-defined set of values. To select an entry, click on the little box with the arrow to open the menu. Then select the desired value by clicking on it.

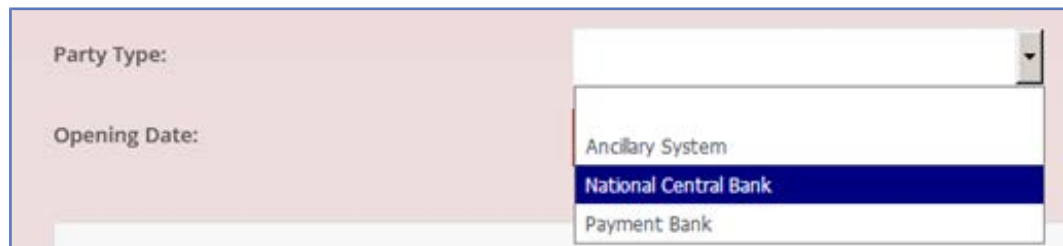


Illustration 25: Standard select box

- **The input-sensitive select box** enables the user to enter the desired value manually, which will be used to reduce the possible set of values in the input-sensitive select box list, which provides you with a drop-down menu that shows the 10 first entries of the set of values irrespective of what you might have entered in the text field.

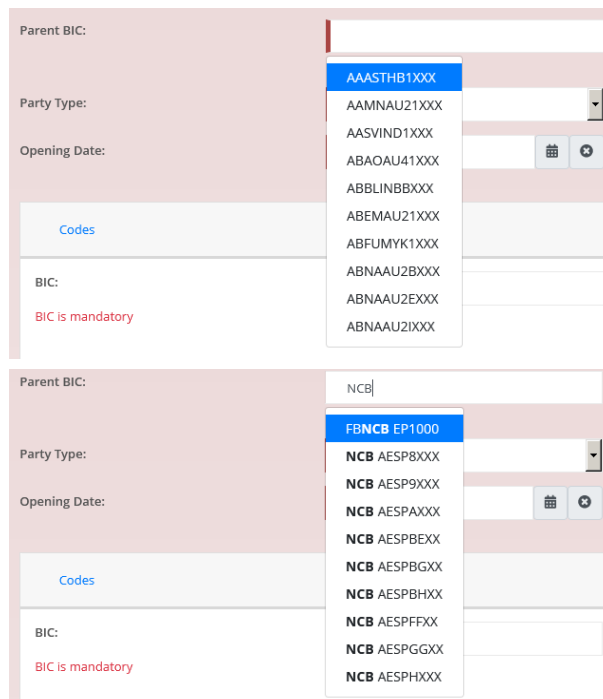


Illustration 26: Input-sensitive select box using the input-sensitive select box icon and input-sensitive select box with manually entered values.

- **The auto-complete select box** enables the user, differently from the input-sensitive select box, to make entries and choose values outside the standard set of values to be sent to the back-end for further validation (extended data scope). Due to that possibility of transporting unqualified data the validation of

the values is restricted to basic checks and will be carried out mainly in the backend. Apart from that, the auto-complete select box functions similar to the input-sensitive select box.

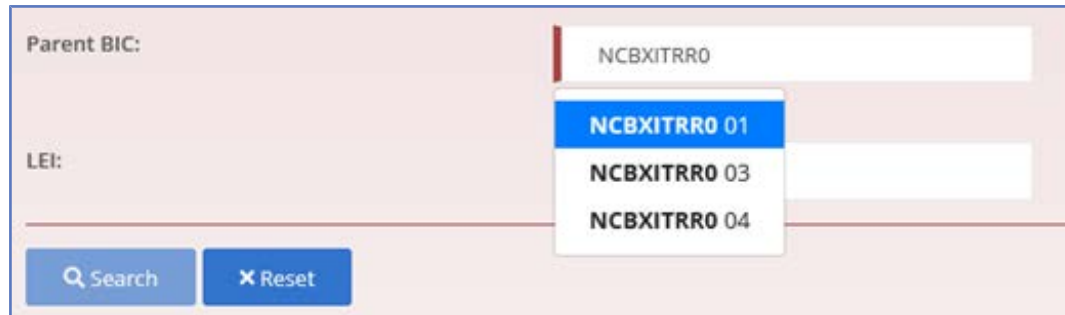


Illustration 27: Auto-complete select box

- Radio buttons:** Enable the user to make exact selections using one value from a set of options. You can select only one value at the same time. Click on the icon corresponding to the option you would like to select.



Illustration 28: Radio buttons

- Check boxes:** Enable you to select more than one value at the same time. Click on the boxes corresponding to the options you would like to select. Selected check boxes contain a check mark.

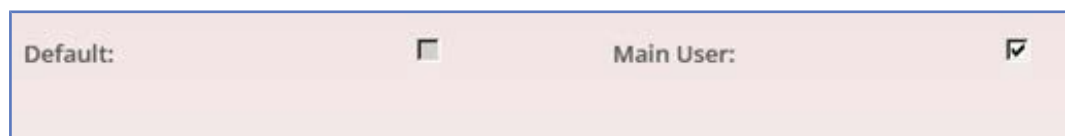


Illustration 29: Check boxes

Wildcards

A wildcard is a placeholder for characters and it represents any acceptable character or a set of them in a string. In CRDM you can use a wildcard in input fields or google-style fields in all the search screens to search for data widening the result to all the strings matching the pattern. The required number of characters is indicated in the field description. CRDM accepts two possible values:

- '*' that is a placeholder for one or more characters of the input value;
- '?' that is a placeholder for exactly one character of the input value.

Examples

CRDM*: The result list contains all data records beginning with 'CRDM'.

*Account: The result list contains all data records ending with 'Account'.

CRD?: The result list contains all data records that have any acceptable character at the end of 'CRD', e.g. 'CRDM', 'CRDX', etc.

The combination of the wildcard '*' is not possible, e.g 'CRD?*' or 'CR**'

The wildcards are always usable in the fields reported before with the following restrictions:

- It is possible that to properly search with wildcards you have to insert at least two or four characters. In this case, the minimum number of characters to be entered is reported in the field remarks;
- It is possible that a field does not allow wildcards; in this case, this is explicitly mentioned in the Remarks field.

Read-only Fields

In addition to enter and select fields, the CRDM GUI has also read-only fields, which are pre-filled and do not allow data changes.

Field Properties

All field types have specific properties with respect to possible character sets, and mandatory content.

Date

A Date type field has the following format: YYYY-MM-DD. There are four digits representing the year, two digits representing the month and two digits representing the day.

The default value of Date fields, unless differently specified, is BLANK.

Generally, if a closing date value is not specified, the system will automatically set the date "9999-12-31".

The field Date is always linked to a calendar icon that opens a calendar where you can select a day. It is possible to insert a date writing in the field or using the calendar.

Time ¹

The Time type field has the following format: HH:MM. There are two digits representing the hour and two digits representing the minute.

DateTime ²

A DateTime type field has the following format: YYYY-MM-DD HH:MM. There are four digits representing the year, two digits representing the month, two digits representing the day, two digits representing the hour and two digits representing the minute. The field DateTime is always linked to a calendar icon that opens a calendar where you can select a day. It is possible to insert a date writing in the field or using the calendar.

Amount

The GUI will show the amounts with all decimal points, English Format (the decimal separator will be a "." and the thousand separator is ",") and right alignment.

1 The Time in the system refers to the CET/CEST zone.

2 The Time in the system refers to the CET/CEST zone.

Amounts can be entered up to 18 figures, that is maximum 19 characters if the decimal separator is present.

When the amount field is in read-only mode or the amount field is not selected for typing, the amount shows the thousand separator.

When the user is typing the numbers, the amount is shown without the thousand separators.

The amount fields should allow shortcuts:

- enter "Xm" and the system will transfer this automatically into X,000,000.00[.00]
- enter "Yt" and automatically translated into Y,000[.00].

The number of decimals is defined by the currency.

Negative amounts (shown by the system but not entered by the user) are written in red and marked with a "-" (This rule applies for all negative values).

According to the character set, only numerical characters (decimal – abbreviation "d") can be used for amounts plus the negative symbol.

Field	Description	Validation	Error Code
Amounts	Contains an Amount.	The Amount must be greater than or equal to 0.	The amount must be greater than or equal to 0.
Amounts	Number of Decimals of an amount	The Amount must have <number of decimals stored for the currency> decimals.	Wrong format in field <field name>. The correct format has to be xxx.xxx,<number of decimals stored for the currency>.
Amounts	Character set to be used	It is only possible to use a numerical character set.	Only numerical characters are allowed

Numeric

There are different type of numeric field. In general these fields, in edit mode, can contain only numbers and "-" symbol.

Field	Description	Edit mode	New mode
Numeric	Can contain only natural numbers (positive integer).	Only numbers are allowed.	The thousand separator is shown.
Integer	Can contain positive and negative integer values.	Numbers and char "-" are allowed.	The thousand separator is shown. When negative, the char "-" is shown and the string is in red.

Field	Description	Edit mode	New mode
Positive Real	Can contain positive values with decimals. When using this type, the number of decimal must be specified.	Numbers and decimal separator are allowed.	The thousand and decimal separators are shown.
Real	Can contain positive and negative values with decimals. When using this type, the number of decimal must be specified.	Numbers, decimal separator and char “-“ are allowed.	The thousand and decimal separators are shown. When negative, the char “-“ is shown and the string is in red.
Percentage	Can contain positive values with decimals. When using this type, a maximum of 5 digit(s) including decimal point (thereof max. 2 decimal places and decimal point) are allowed.	Numbers and decimal separator are allowed.	The thousand and decimal separators are shown plus “%” symbol.
Percentage + negative	Can contain positive and negative values with decimals. When using this type, the number of decimal must be specified.	Numbers, decimal separator and char “-“ are allowed.	The thousand and decimal separators are shown plus “%” symbol. When negative, the char “-“ is shown and the string is in red.
Telephone Number	Shall contain numbers from 0 to 9 and chars “+”, “-“, “(“, “)“.	Numbers and chars “+”, “-“, “(“, “)” are allowed.	The chars “+”, “-“, “(“, “)” are shown (e.g. ‘+012-3456789’).

Field	Description	Edit mode	New mode
	<p>When using this type, the following pattern shall be respected:</p> <p>Starting with “+”</p> <p>Then at least one to maximum three digits</p> <p>Then symbol “-“</p> <p>Then at least one to maximum thirty characters (digits and symbols “+”, “-“, “(“, ”)“ are allowed).</p>		

Characters

CRDM operates in British English and uses the SWIFT-x character set to enter data: if not described differently, the fields are intended to be based on the SWIFT-x character set. CRDM can accept the UTF-8 character set with the exception of '<', '>' and '&' in specific fields; when this happens, this is explicitly reported.

The GUI does not differentiate between upper and lower case in terms of data input for input fields.

The SWIFT-x character set corresponds with the following content:

Character	Description
a - z	26 small characters of the Latin alphabet
A - Z	26 capital characters of the Latin alphabet
0 - 9	10 numeric characters
/	Solidus (slash)
-	Hyphen
?	Question mark
:	Colon
(Opening parenthesis
)	Closing parenthesis
.	Full stop
,	Comma

Character	Description
'	Apostrophe
+	Plus
	Space (blank)
CR	Carriage return
LF	Line feed



Hint

CRDM does not process fields (mandatory or optional), that are only filled with blanks. Blanks appearing at the beginning or at the end of a field entry are deleted automatically without any notification.

Fields in a list or details screen, where the dataset was saved without an entry, are filled with '---'.

Mandatory Content

In the GUI all mandatory fields are marked with a red line in the label. All marked fields have to be filled in before you are allowed to proceed. The red line is turned into green when the field contains a value or is selected.

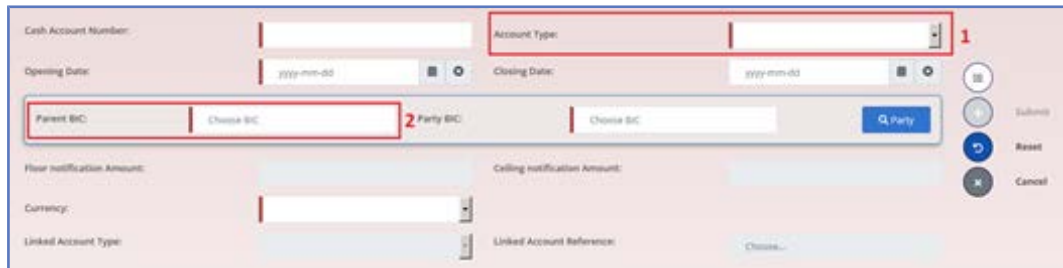


Illustration 30: Mandatory fields

Position No.	Description
1	Mandatory select field
2	Mandatory input field

1.3.2.5 Common Buttons and Icons

While working with the CRDM GUI you will find that some buttons and icons appear regularly. There are three types of common elements:

- Basic icons, which you can find on every screen
- Utility elements, which represent functions within the content area
- Table elements, which you can find below data record lists on search/list

The buttons contained in the button bar are variable and therefore explained in the screen descriptions.

Basic Icons



Illustration 31: Basic icons

Position No.	Element	Description
1	Export icon	<p>... exports the data of the executed query from the current screen into a csv-file.</p> <p>If exporting a list, the related search criteria are exported as well whereas detailed data are only exported, if the respective list and the details screen are covered by the same query. Otherwise just the list entry will be exported.</p> <p>The export icon is common to all CRDM screens except for the welcome and the new screens.</p> <p>In addition to the exported data from the screen the following information is exported at the start of the file: name of the exported screen (including menu path), current date, name of the user who triggered the exporting, classification label 'RESTRICTED', timestamp of the export, defined search criteria (query parameters, if applicable), 'CHANGED DATA' when exporting an edit screen which contains amended data that has not been submitted yet.</p>
2	Print icon	<p>... prints the data of the current screen.</p> <p>It is strongly recommended to use this button for generating a clearly arranged overview of data on the printout.</p> <p>The print icon is common to all CRDM screens except for the welcome screen.</p>

Utility Elements

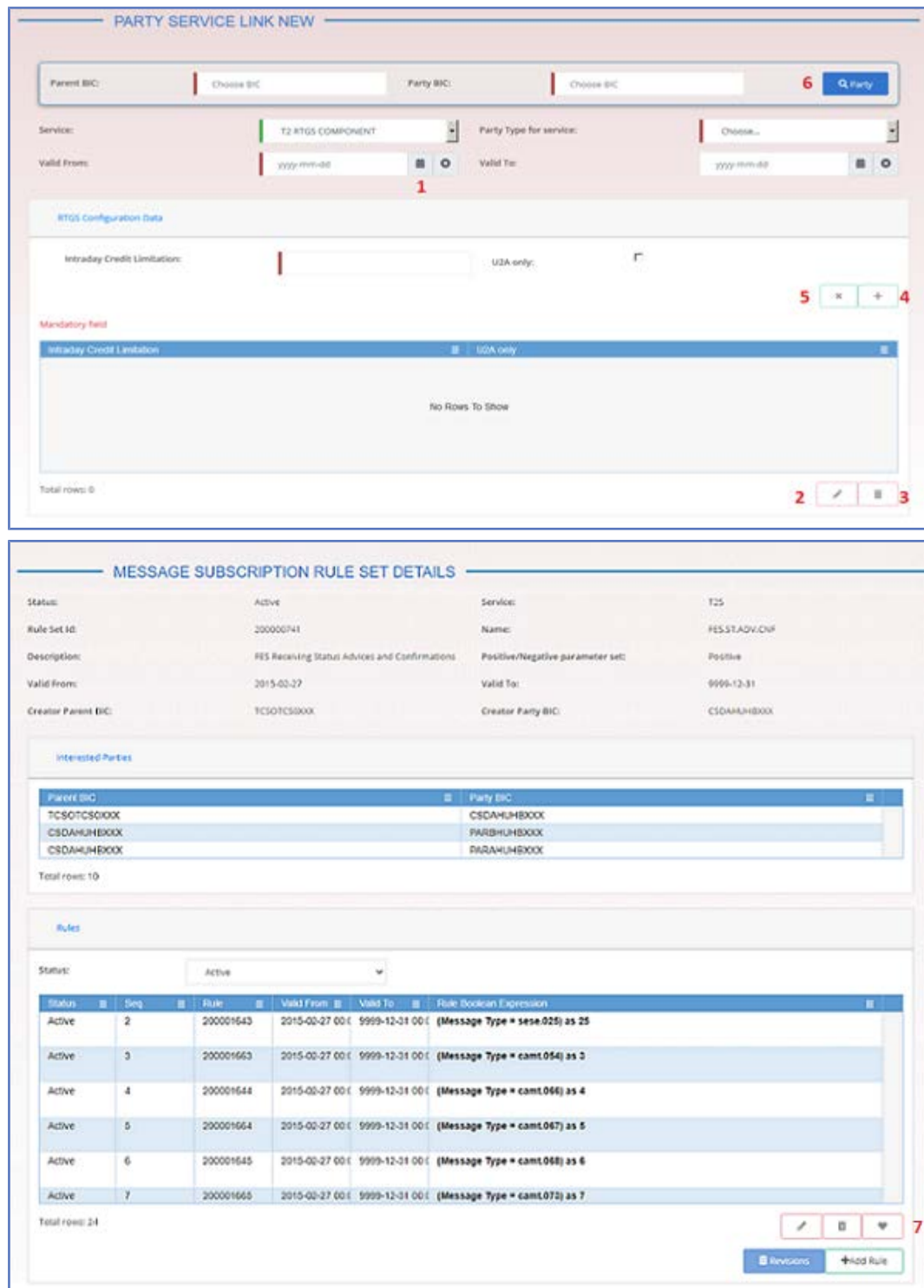


Illustration 32: Utility Elements

Position No.	Element	Description
1	Calendar icon	... opens a calendar where you can select a day. If applicable, you can also select the time via the calendar icon.

Position No.	Element	Description
2	Pencil icon	<p>... enables you to enter a new future value into an input field.</p> <p>In edit mode, you can either change an existing data record or enter a new future one. If you have changed the existing current value before entering a future one, the data are restored.</p> <p>The pencil icon is only available on edit screens.</p>
3	Delete row icon	<p>... removes the selected row in the corresponding list as well as fields or sub-frames.</p> <p>The delete row icon is only available on new and edit screens.</p>
4	Submit changes icon	<p>... updates the selected row in the corresponding list using the values inserted in the related fields.</p> <p>The submit changes icon is only available on new and edit screens.</p>
5	Cancel changes icon	<p>... enables you to leave the selected and edited row without causing any changes.</p> <p>The cancel changes icon is only available on new and edit screens.</p>
6	Magnifier icon	<p>... provides the possibility to search for data on other screens.</p> <p>If you are not aware of the exact/correct value, but you know other related data, click on the magnifier icon to enter the search screen. There you can enter all information known to you and execute a search. By clicking on the select button, you are redirected to the initial screen with the desired data entered in the respective fields.</p> <p>The attribute equipped with magnifier icon works otherwise like an auto-complete select box.</p>

Position No.	Element	Description
7	Heart icon, Restore row icon	... restores the selected row in the corresponding list or sub-frames. The Heart icon is only available on lists or sub-frames.

Table Elements

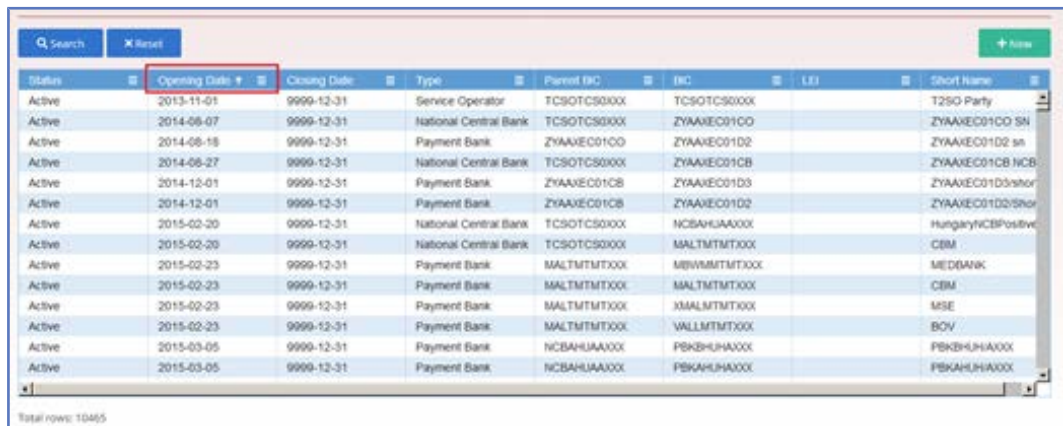


Illustration 33: Table Elements – Sort icon

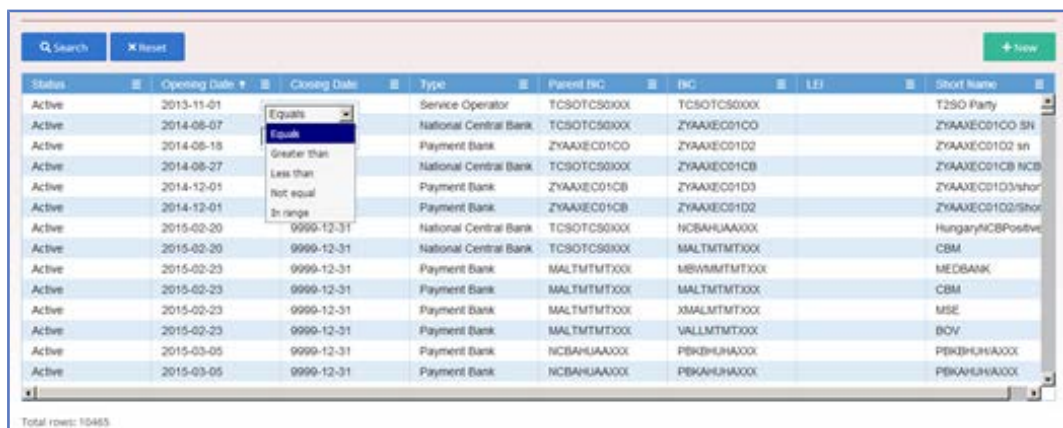
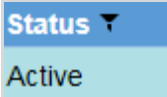


Illustration 34: Table Elements - Supplementary filters in list objects

Position No.	Element	Description
1	Sort icon	... sorts the list in ascending or descending order by clicking on the up or down arrow.
2	Supplementary filter	... allows in-memory filtering, depending on the type of fields, of the displayed list of items according to additional criteria as shown below. Data fields supplementary filter criteria: <input type="checkbox"/> Equals <input type="checkbox"/> Greater than <input type="checkbox"/> Less than

Position No.	Element	Description
		<p><input type="checkbox"/> Not equal</p> <p><input type="checkbox"/> In range</p> <p>Text fields supplementary filter criteria:</p> <p><input type="checkbox"/> Equals</p> <p><input type="checkbox"/> Not equal</p> <p><input type="checkbox"/> Starts with</p> <p><input type="checkbox"/> Ends with</p> <p><input type="checkbox"/> Contains</p> <p><input type="checkbox"/> Not contains</p> <p>Predefined supplementary filter criteria:</p> <p>It contains an adaptive list of values which is consistent with the related search criterion. E.g. for any Status field, the predefined supplementary filter contains the following values: 'All', 'Active', 'Deleted'.</p> <p>When a supplementary filter criterion is used, the list is automatically filtered in memory without submitting the query to the back-end. When applied, any supplementary filter can be removed by individually resetting the corresponding filter.</p> <p>If a supplementary filter is applied, a small icon near the column header is displayed, as shown in the following image.</p> 

1.3.3 Validation

In CRDM, all submission processes undergo various validations, which take place in the front-end and/or in the back-end. Only correct entries, fulfilling all predefined criteria, can be further processed. To indicate the status of the recently performed action, CRDM uses two different types of messages to indicate a successful or failed validation as described below.

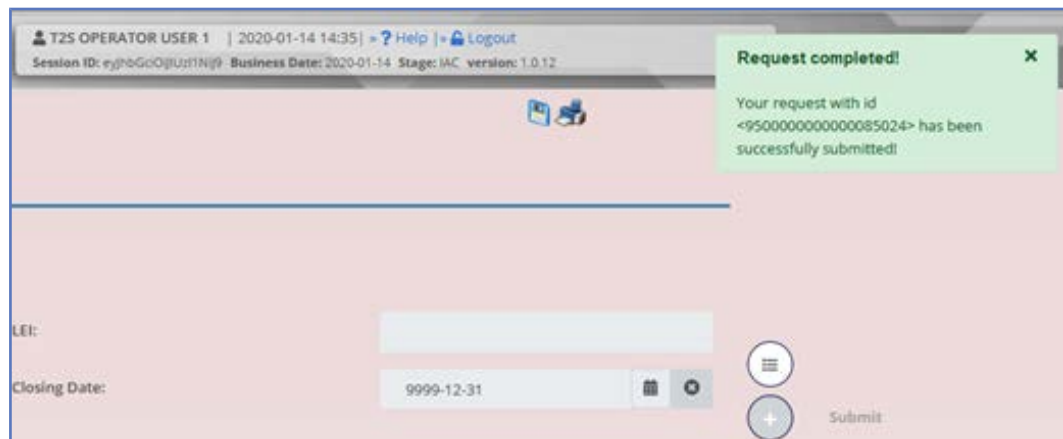


Illustration 36: Success message

4-Eyes Mode Depending on the access rights setup, you can use CRDM in 2-eyes or in 4-eyes mode. The 2-eyes mode and the 4-eyes mode apply for enter screens (new and edit mode) and for any kind of deletion processes. If you are a 4-eyes mode user, your actions have to be confirmed by a second user in order to be processed.

First User In 4-eyes mode the first user enters, changes or deletes the data on a screen and afterwards submits the action by clicking on the submit button in the button bar. The success message appearing after successful validation includes a 4-eyes principle ID. For the final execution a second user is needed to confirm the action.

Second User After the first user has entered, changed or deleted the data, a second user (with the required privilege) has to approve or revoke this action via the data changes screen either using the 4-eyes mode ID or the search functionality.

As soon as the data changes are positively approved, CRDM marks these data as approved and they are forwarded to further processing.

Digital Signature NRO For further information refer to chapter Digital Signature (NRO) [▶ 1235].

1.3.4 Communication Network and Services

Refer to the ESMIG UDFS document for details on the communication network and services.

1.3.5 User Administration

Registration Only registered users have access to the CRDM GUI, therefore registration in CRDM reference data and to the network is necessary prior to the first GUI access. The Registration Guide provides information on how to fill in Registration Forms properly.

After registration the system administrator is given the possibility to grant and revoke privileges to its users within the CRDM GUI as described in both the screen reference part and the user instructions part.

1.3.6 Security and Certification Services

In order to guarantee a secure and safe handling of the information and to protect customer data provided via the GUI, various security elements have been put into place:

- Each action requires System or human validation as described in the Validation [► 83]
- The scope of available data and functions is controlled via the management of access rights
- The security features provided by the network providers and described in their respective user documentation prevent unauthorised access

1.3.7 Online Help

The content of the UHB can be accessed from the CRDM GUI by clicking on the help button.

2 Screen Reference Part

2.1 General

The screen reference part offers a complete overview of all T2S GUI screens that are available in U2A mode. Each screen description focuses on a single screen and explains all fields contained therein. An exception is made for the *new* and *edit* screens, which are largely similar and therefore included in a single screen description. The description is always based on and contains only the screenshot of the *edit* screen, but describes deviations for the *new* screen in a separate row for each field or button description.

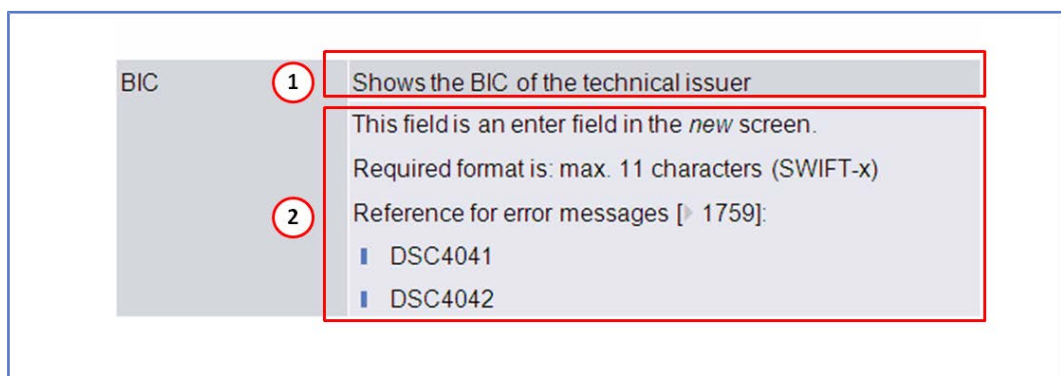


Illustration 37: Example of a *new* and *edit* screen description

Position No.	Element
1	Description relevant for <i>edit</i> mode.
2	Description relevant for <i>new</i> mode.

In detail, every screen description has the same structure and contains the following elements.

Context of Usage

The context of usage explains what a screen displays, what you can do with the screen and which special features are available for the screen, for example the need for confirmation in 4-eyes mode or usage restrictions. If the export functionality produces more data than shown on the screen (if a *list* screen is based on a detailed query), this is also indicated in the context of usage.

Screen Access

The screen access lists all possible ways to access the screen. This includes navigation via the menu and navigation via other screens.



Examples

Navigation via the menu:

Common >> Cash >> Cash Accounts >> Search

Navigation via other screens:

Common >> Parties >> Parties >> *Parties – search/list* screen >> Click on the *search* and *details* button >> Click on the *cash accounts* button

When navigating via a *search/list* or *list* screens, a data record has to be selected by clicking on a table row. Afterwards, you can click on specific buttons in the button bar, e.g. the *edit* or *details* button.

Privileges

The privileges segment lists all necessary privileges to access the screens and to use its functions. First, the privileges to access a screen are mentioned, followed by the privileges that are necessary to use all functions on the screen.

For further information, refer to the list of privileges.

References

The first reference lists all business scenarios containing the respective screen (reference to the user instructions part). The second reference indicates the business functions related to the respective screen (reference to GUI Business Functionalities Document).

Screenshot

You can use the screenshot as orientation for your work with the UHB. All screenshots are based on the maximum of access rights, so deviations are possible if you do not own all privileges which are necessary to use the screen in its full extent. In addition, deviations between the screenshot and the field/button description are possible in the case of mutually exclusive functions and based on a specific selection you have made. Values shown on the screenshot might also deviate from the default values indicated in the description.

In the case of the *new/edit* screen description, the screenshot of the *edit* screen is used. Differences appearing in the *new* screen are explained in the field description.

Field

Description

The field description provides all the relevant information related to the respective field name. It is structured in table format with a separate table for each frame. Subframes are indicated by subsection headings in the table. The following illustration explains the structure of a field description.

Field	Amendment Instruction	
Description	Referenced Instruction	
1 Instructing Party BIC	4	Enter the BIC of the instructing party for the instruction to be linked to.
	5	Required format is: max. 11 characters (SWIFT-x)
	6	If the "T2S Reference" field is not filled in, this field is mandatory.
	7	Reference for error message: [1392] <ul style="list-style-type: none"> ■ MVDC026 ■ MVCV107 ■ MVCV232
	8	This field is only available, if the referenced instruction is a settlement instruction.

Illustration 38: Example of a screen description

Position No.	Element	Description
1	Field name	... indicates the respective name of each screen field. Mandatory fields are marked with an asterisk after the field name. In the case of the <i>new/edit</i> screen description, the mandatory field is marked with an asterisk in brackets, which indicates that the respective field is either mandatory in the edit or in the new mode. Input and select fields without an asterisk are always optional.
2	Frame name	... indicates the respective name of each screen frame.
3	Sub-frame name	... indicates the respective name of each screen sub-frame.
4	Field description	... indicates the field type and required content. Each field description starts with a keyword, which illustrates your required activity: <ul style="list-style-type: none"> □ 'Shows...' indicates a read-only field [47] □ 'Select...' indicates a select field [47]

Position No.	Element	Description
		<ul style="list-style-type: none"> □ 'Enter...' indicates an input field [▶ 47] <p>If there is an exhaustive list of possible values, these are listed.</p>
5	Required/Displayed format	<p>... indicates all field format requirements related to the fields. If there is more than 1 format requirement, they are separated with a comma.</p> <p>In read-only fields the displayed format is only mentioned, if the field content is ambiguous. This applies especially to date fields.</p> <p>The following keywords are used for the format requirements:</p> <ul style="list-style-type: none"> □ 'Digit' indicates numbers □ 'Character' indicates a character from the SWIFT-x character set [▶ 47] □ 'Letter' indicates upper and lower case letters of the Latin alphabet
6	Cross-field validations	<p>... indicate interdependencies between fields relevant for the validation of your input.</p> <p>For further details on the validation process, refer to validation [▶ 59].</p>
7	References for error message	<p>... indicates the code of all possible errors applying to the field during the back-end validation. The corresponding error text and error description is listed in the annex (list of references for error messages [▶ 1962]).</p> <p>For further details on the validation process, refer to validation [▶ 59].</p>
8	Additional information	<p>... section indicates the useful information that is for filling in the field.</p>

Buttons

All buttons specific to the screen are listed and described in a table at the end of the screen description. The following illustration explains the structure of a button description.

Buttons

1	Search	<p>2 This function enables you to start a search according to the criteria entered. The results are displayed in a list on the same screen.</p> <p>3 Next screen: <ul style="list-style-type: none"> ▮ Roles – search/list screen </p> <p>4 If the deletion status of the selected role is already set to “Active”, this function is not available.</p> <p>5 Reference for error messages: [▮ 1702] <ul style="list-style-type: none"> ▮ DRR6001 ▮ DRR6002 </p> <p>6 If you have accessed this screen via another screen, this function is not available.</p>
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Illustration 39: Example of a button description

Position No.	Element	Description
1	Button name	... indicates the respective name of each button.
2	Button description	... indicates the action that is triggered when clicking on the button. Each button description starts with ‘This function enables you...’
3	Next screen	... element lists the screen which is displayed after clicking on a button. If there are various possible next screens depending on your previous actions, all of them are listed.
4	Cross-field validations	... indicate interdependencies between a field and the button relevant for the validation of your input. For further details on the validation process, refer to validation [▮ 59].
5	References for error messages	... indicates the code of all possible errors applying to the button during the back-end validation. The corresponding error text and error description is listed in the annex (list of references for error messages [▮ 1962]).

Position No.	Element	Description
		For further details on the validation process, refer to validation [▶ 59].
6	Additional information	... section indicates useful information regarding the button and/or its function.

For further information on common buttons, refer to Common Buttons and Icons [▶ 53].

2.2 Securities

2.2.1 Securities Account

2.2.1.1 Securities Positions - Search/List Screen

See *Securities Positions – Search/List Screen* [▶ 179].

2.2.1.2 Securities Position - Details Screen

See *Securities Positions – Details Screen* [▶ 184].

2.2.1.3 Securities Postings - Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for securities postings, which are the individual debits and credits impacting the selected securities positions. The search results are displayed in a list, which is sorted by the values of the 'Securities Account Number' column in ascending order (default setting). After selecting an entry, you can proceed further by clicking the buttons below.

This screen is not relevant for CB users.

Screen Access

- Securities >> Securities Account >> Securities Postings
- Securities >> Securities Account >> Securities Positions >> *Securities positions – search/list* screen >> Click on the *search* and/or *details* button >> *Securities position - details* screen >> Click on the *postings* button
- Securities >> Settlement >> Securities Positions >> *Securities positions – search/list* screen >> Click on the *search* and/or *details* button >> *Securities position - details* screen >> Click on the *postings* button
- Monitoring >> Monitoring >> Securities Positions >> *Securities positions – search/list* screen >> Click on the *search* and/or *details* button >> *Securities position - details* screen >> Click on the *postings* button

- Securities >> Settlement >> Intra-position Movements >> *Intra-position movement – details* screen >> Click on the *securities position details* button >> >> *Securities position - details* screen >> Click on the *postings* button

Privileges

To use this screen, you need the following privilege [▶ 1938]:

- Securities posting query

References

User Instructions Part

This screen is part of the following business scenario:

- View current securities positions and related postings [▶ 1298]

Business Functionality Document

This screen corresponds to the following business functions:

- Query securities account postings (T2S.GUI.SESE.POS.0030)
- Display securities account postings (T2S.GUI.SESE.POS.0040)

Screenshot

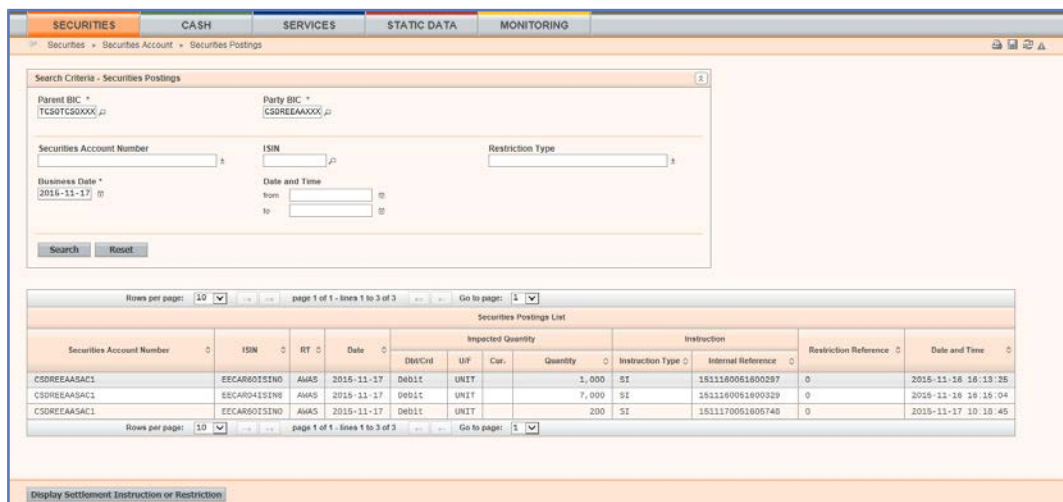


Illustration 40: Securities postings – search/list screen

Field

Description

Securities Postings – Search Criteria	
Parent BIC*	Select the BIC of the CSD from the drop-down menu. Required format is: max. 11 characters (SWIFT-x) If you have accessed this screen via the securities positions – details screen, this field is already filled in.
Party BIC*	Select the BIC of the party from the drop-down menu. Required format is: max. 11 characters (SWIFT-x) If you have accessed this screen via the securities positions – details screen, this field is already filled in.
Securities Account Number	Select the number of the securities account from the drop-down menu.

Securities Postings – Search Criteria	
	<p>Required format is: max. 35 characters (SWIFT-x)</p> <p>No default value but filled if only one account possible.</p> <p>If you have accessed this screen via the securities positions – details screen, this field is already filled in.</p> <p>Reference for error message:</p> <p><input type="checkbox"/> QMPC030</p>
ISIN	<p>Enter the ISIN of the security.</p> <p>Required format is: 12 characters (SWIFT-x)</p> <p>Must be a valid existing ISIN.</p> <p>If you have accessed this screen via the securities positions – details screen, this field is already filled in.</p>
Restriction Type	<p>Select the restriction type from the drop-down menu.</p> <p>Required format is: max. 4 characters (SWIFT-x)</p> <p>Value 'All' defined as postings of all securities positions.</p> <p>If you have accessed this screen via the securities positions – details screen, this field is already filled in.</p>
Date	<p>Enter the date of the securities postings or use the <i>calendar</i> icon.</p> <p>Required format is: YYYY-MM-DD</p> <p>The default value is the current business day.</p> <p>This date must be a valid business date earlier than or equal to the current business date.</p> <p>If you have accessed this screen via the securities positions – details screen, this field is already filled in.</p>
Date and Time from	<p>Enter the starting date and time of the securities postings or use the <i>calendar</i> icon.</p> <p>Required format is: YYYY-MM-DD HH:MM</p> <p>This date and time must be a valid business date earlier than or equal to the current business date and time.</p>
Date and Time to	<p>Enter the ending date and time of the securities postings or use the <i>calendar</i> icon.</p> <p>Required format is: YYYY-MM-DD HH:MM</p>

Securities Postings – Search Criteria	
	This date and time must be a valid business date earlier than or equal to the current business date and time. The date and time must be equal or later than the 'Date and Time From'.
Securities Postings - List	
Securities Account Number	Shows the number of the securities account of the securities posting. Reference for error message: <input type="checkbox"/> QMPC030
ISIN	Shows the ISIN of the security.
Restriction Type	Shows the restriction type of the securities posting.
Date	Shows the date of the last movement of the securities posting. Displayed format is: YYYY-MM-DD
Quantity Impacted	
Debit/Credit Indicator	Shows the debit credit indicator related to the securities posting from one of the possible values: <input type="checkbox"/> D (for debit) <input type="checkbox"/> C (for credit)
Settlement Type	Shows the quantity type related to the securities posting from one of the possible values: <input type="checkbox"/> U (for unit) <input type="checkbox"/> F (for face amount)
Currency	Shows the issuance currency of the security related to the securities posting. Provided only when quantity of securities is given as a face amount.
Quantity	Shows the quantity of the securities posting.
Instruction	
Instruction Type	Shows the type of instruction related to the securities posting from the possible values: <input type="checkbox"/> SI (for settlement instruction) <input type="checkbox"/> SR (for settlement restriction)
Internal Reference	Shows the identification of the T2S internal reference of the instruction related to the securities posting.

Securities Postings – Search Criteria	
Restriction Reference	Shows the identification of the restriction reference related to the securities posting.
Date and Time	Shows the date and time of the securities posting. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs

Buttons

Buttons	
Search	This function enables you to start a search according to the criteria entered. The results are displayed in a list on the same screen. Next screen: <input type="checkbox"/> <i>Securities postings – search/list</i> screen Reference for error message: <input type="checkbox"/> QMPC030
Reset	This function enables you to set default search criteria and blanks out all optional criteria. Next screen: <input type="checkbox"/> <i>Securities postings – search/list</i> screen
Display Settlement Instruction or Restriction	This function enables you to display the details of the securities instruction or restriction for the selected row. Next screen: <input type="checkbox"/> <i>Settlement instruction - details</i> screen [▶ 201] <input type="checkbox"/> <i>Intra-position movement – details</i> screen [▶ 154]

2.2.1.4 Securities Restriction Details - Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for securities restrictions which are sub positions reserved, blocked or earmarked for specific purpose. The search results will be displayed in a list, which is sorted by the value of the ‘Securities Account Number’ column in ascending order (default setting). After selecting an entry, you can further proceed by clicking on the buttons below.

During night-time, the securities restriction details can only be displayed in-between night-time settlement sequences.

This screen is not relevant for CB users.

Screen Access

Securities >> Securities Account >> Securities Restriction

- Securities >> Securities Account >> Securities Positions >> *Securities positions – search/list* screen >> Click on the *search* and/or *details* button >> *Securities position - details* screen >> Click on the *restriction reference* button

Privileges To use this screen, you need the following privilege [▶ 1939]:

- Securities position detailed restriction details query

References **User Instructions Part**

This screen is part of the following business scenario:

- View current securities positions and related restrictions [▶ 1299]

Business Functionality Document

This screen corresponds to the following business functions:

- Display securities account positions (T2S.GUI.SESE.POS.0020)
- Query securities account positions – current and history (T2S.GUI.SESE.POS.0010)

Screenshot

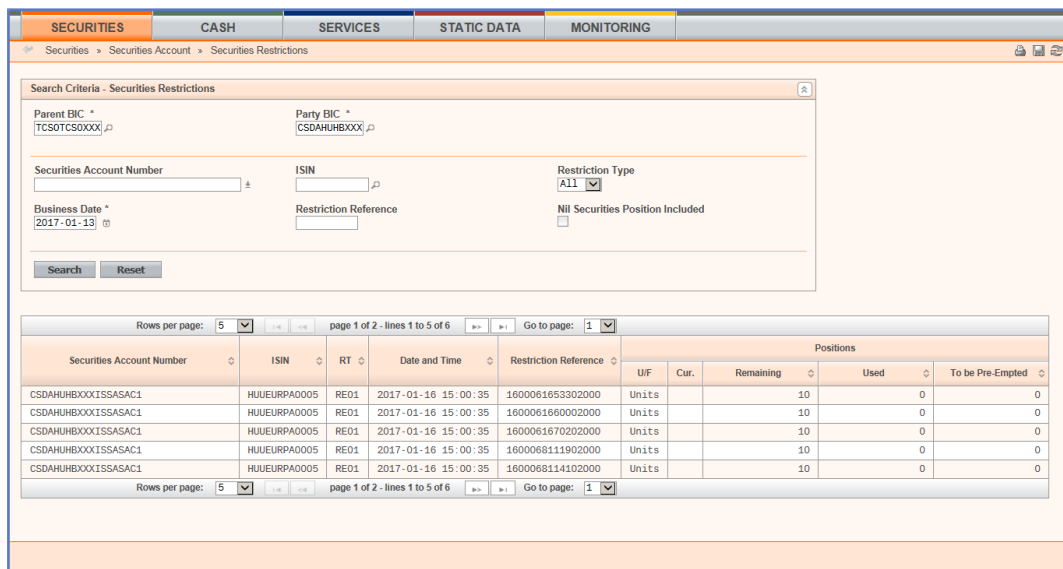


Illustration 41: Securities restriction details – search/list screen

Field Description

Securities Restriction Details – Search Criteria	
Party BIC*	Select the BIC of the party from the drop-down menu or search via the <i>magnifier</i> icon. Reference for error message: <input type="checkbox"/> QMPC032
Parent BIC*	Select the parent BIC of the party from the drop-down menu. Reference for error message: <input type="checkbox"/> QMPC048

Securities Restriction Details – Search Criteria	
Securities Account Number	<p>Select the number of the securities account from the drop-down menu.</p> <p>This field is already filled in, if there is only one possible account.</p> <p>Reference for error message:</p> <p><input type="checkbox"/> QMPC030</p>
ISIN	<p>Enter the ISIN of the security.</p> <p>Required format is: max. 12 characters (SWIFT-x)</p>
Restriction Type	<p>Select the restriction type from the drop-down menu. The default value is 'All'.</p> <p>Reference for error message:</p> <p><input type="checkbox"/> QMPC091</p>
Date	<p>Enter the date of the movement related to the restricted securities position or use the <i>calendar</i> icon. The default value is the current business day.</p> <p>Required format is: YYYY-MM-DD</p> <p>The 'Date' must be a valid business date earlier than or equal to the current business date.</p>
Restriction Reference	<p>Enter the restriction reference.</p> <p>Required format is: max. 16 characters (SWIFT-x)</p>
Nil Securities Position included	<p>Select if you want to include securities positions without securities.</p>
Securities Restriction Details - List	
Securities Account Number	<p>Shows the number of the securities account of the securities restriction.</p> <p>Reference for error message:</p> <p><input type="checkbox"/> QMPC030</p>
ISIN	<p>Shows the ISIN of the security.</p>
Restriction Type	<p>Shows the restriction type of the securities restriction.</p> <p>Reference for error message:</p> <p><input type="checkbox"/> QMPC091</p>
Date and Time	<p>Shows the date and time of the balance or the closest previous date.</p> <p>Displayed format is: YYYY-MM-DD hh:mm:ss:μsμsμsμsμs</p>

Securities Restriction Details – Search Criteria	
Restriction Reference	Shows the restriction reference of the securities restriction.
Positions	
Settlement Type	Shows the quantity type related to the securities from the possible values: <input type="checkbox"/> Units <input type="checkbox"/> Face
Currency	Shows the issuance currency of the security when the quantity type of the securities is set to 'F' (face amount).
Remaining	Shows the quantity of the remaining securities restrictions.
Used	Shows the quantity of the utilised securities restrictions.
To be Pre-Empted	Shows the quantity of the securities restrictions to be pre-empted.

Buttons

Search	<p>This function enables you to start a search according to the criteria entered. The results are displayed in a list on the same screen.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Securities restriction details – search/list</i> screen <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC030 <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC048 <input type="checkbox"/> QMPC091
Reset	<p>This function enables you to set default search criteria and blanks out all optional criteria.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Securities restriction details – search/list</i> screen

2.2.2 Settlement

2.2.2.1 Allegement Instructions - Search/List Screen

Context of Usage	<p>This screen contains a number of search fields. By inputting the relevant data, you can search for allegement instructions. The search results will be displayed in a list, which is sorted by the values of the 'Allegement Identification' column in ascending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.</p> <p>When exporting the content of this screen, you receive the list and the corresponding details for each data record.</p> <p>This screen is not relevant for CB users.</p> <p>This function is only available in U2A mode.</p>
Screen Access	<ul style="list-style-type: none">□ Securities >> Settlement >> Settlement Instruction Allegements
Privileges	<p>To use this screen you need the following privilege [▶ 1908]:</p> <ul style="list-style-type: none">□ Allegement query
References	<p>User Instructions Part</p> <p>This screen is part of the following business scenarios:</p> <ul style="list-style-type: none">□ Respond to an allegement instruction [▶ 1317]□ View allegement settlement instruction details [▶ 1323] <p>Business Functionality Document</p> <p>This screen corresponds to the following business functions:</p> <ul style="list-style-type: none">□ Query allegements (T2S.GUI.SESE.ALG.0010)□ Display allegement list (T2S.GUI.SESE.ALG.0020)

Screenshot

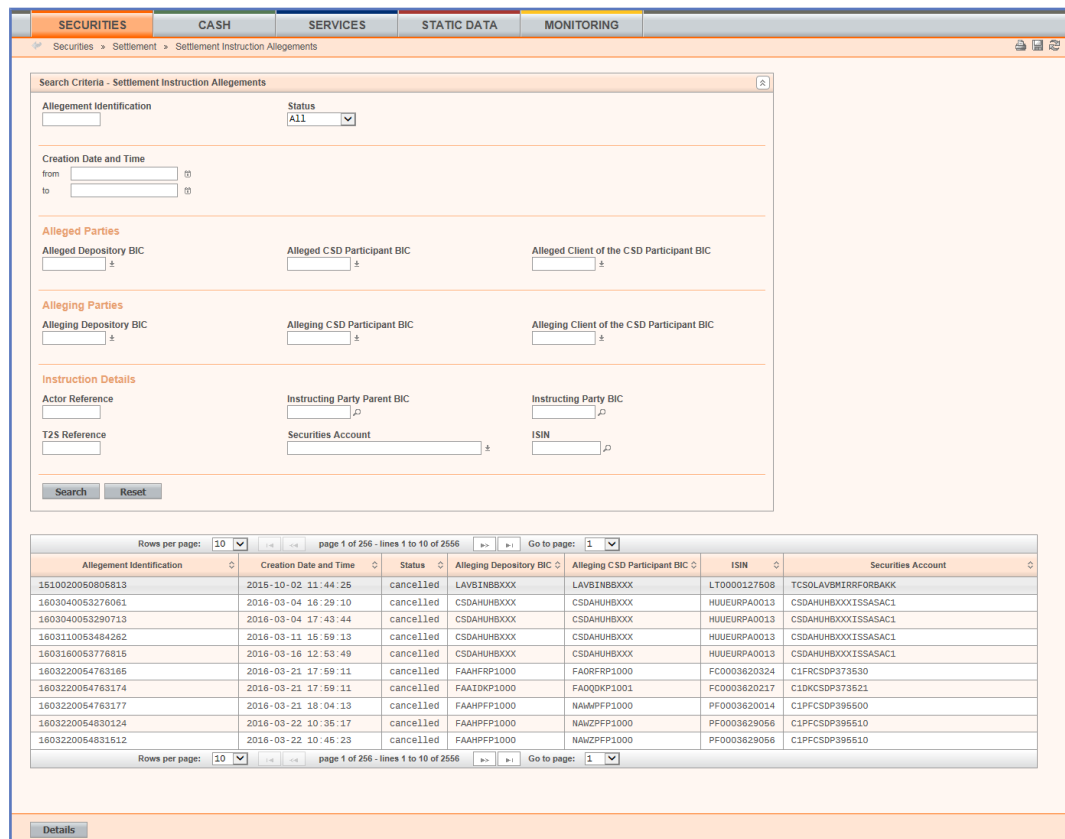


Illustration 42: Allegement instructions - search/list screen

Field Description

Settlement Instruction Allegement – Search Criteria	
Allegement Identification	Enter the unique identification of the allegation. Required format is: max. 16 characters (SWIFT-x)
Status	Select the status of the allegation from the possible values: <input type="checkbox"/> Sent <input type="checkbox"/> Removed <input type="checkbox"/> Cancelled <input type="checkbox"/> All (default value)
Creation Date and Time from	Enter the lower bound of the search range for the date and time from which the allegation was created or use the <i>calendar</i> icon. Required format is: YYYY-MM-DD hh:mm:ss Reference for error message [▶ 2455]: <input type="checkbox"/> QMPC015
Creation Date and Time to	Enter the upper bound of the search range for the date and time until which the allegation was created or use the <i>calendar</i> icon.

Settlement Instruction Allegement – Search Criteria	
	<p>Required format is: YYYY-MM-DD hh:mm:ss</p> <p>Reference for error message [2455]:</p> <p><input type="checkbox"/> QMPC015</p>
Alleged Parties	
Alleged Depository BIC	<p>You can choose to either enter the BIC of the alleged depository or to select it from the suggested items in the drop-down menu.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p>
Alleged CSD Participant BIC	<p>You can choose to either enter the BIC of the alleged CSD participant or to select it from the suggested items in the drop-down menu.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p>
Alleged Client of the CSD Participant BIC	<p>You can choose to either enter the BIC of the alleged client of the CSD participant or to select it from the suggested items in the drop-down menu.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p>
Alleging Parties	
Alleging Depository BIC	<p>You can choose to either enter the BIC of the alleging depository or to select it from the suggested items in the drop-down menu.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p>
Alleging CSD Participant BIC	<p>You can choose to either enter the BIC of the alleging CSD participant or to select it from the suggested items in the drop-down menu.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p>
Alleging Client of the CSD Participant BIC	<p>You can choose to either enter the BIC of the alleging client of the CSD participant or to select it from the suggested items in the drop-down menu.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p>
Instruction Details	
Actor Reference	<p>Enter the identification of the settlement instruction assigned by the T2S actor.</p> <p>Required format is: max. 16 characters (SWIFT-x)</p>

Settlement Instruction Allegement – Search Criteria	
Instructing Party BIC	You can choose to either enter the BIC of the instructing party or to select it from the suggested items in the drop-down menu. Required format is: max. 11 characters (SWIFT-x)
Instructing Party Parent BIC	You can choose to either enter the parent BIC of the instructing party or to select it from the suggested items in the drop-down menu. Required format is: max. 11 characters (SWIFT-x)
T2S Reference	Enter the identification of the settlement instruction assigned by T2S. Required format is: max. 16 characters (SWIFT-x)
ISIN	Select the ISIN of the security from the drop-down menu.
Securities Account	You can choose to either enter the securities account number or to select it from the suggested items in the drop-down menu. For a RECE SI the securities account number is the securities account of the delivering party. For a DELI SI the securities account number is the securities account of the receiving party. Required format is: max. 35 characters (SWIFT-x)
Settlement Instruction Allegement - List	
Allegation Identification	Shows the unique identification of the allegation.
Creation Date and Time	Shows the date and time when the allegation was created. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Status	Shows the status of the allegation from the possible values: <input type="checkbox"/> Sent <input type="checkbox"/> Removed <input type="checkbox"/> Cancelled
Alleging Depository BIC	Shows the BIC of the party that interacts with the depository.
Alleging CSD Participant BIC	Shows the BIC of the CSD participant.

Settlement Instruction Allegement – Search Criteria	
ISIN	Shows the ISIN of the security.
Securities Account	Shows the securities account number. For a RECE SI the securities account number is the securities account of the delivering party. For a DELI SI the securities account number is the securities account of the receiving party.

Buttons

Buttons	
Search	<p>This function enables you to start a search according to the criteria entered. The results are displayed in a list on the same screen.</p> <p>If the search retrieves a single record, the <i>details</i> screen is displayed directly.</p> <p>Next screens:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Allegement instructions – search/list</i> screen <input type="checkbox"/> <i>Allegement instruction – details</i> screen [▶ 104] <p>Reference for error message [▶ 2455]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC015
Reset	<p>This function enables you to set default search criteria and blanks out all optional criteria.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Allegement instructions – search/list</i> screen
Details	<p>This function enables you to display the details of the selected allegement instruction.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Allegement instruction – details</i> screen [▶ 104]

2.2.2.2 Allegement Instruction - Details Screen

Context of Usage

This screen displays detailed information on an allegement instruction. You can check the data and proceed further by clicking on the button below.

You can check the specific details of an allegement instruction and create a new settlement instruction based on the information of the allegement.

This screen is not available for CB users.

This function is only available in U2A mode.

Screen Access Securities >> Settlement >> Settlement Instruction Allegements >> *Allegement instructions – search/list* screen >> Click on the *search and/or details* button

Privileges No privilege needed to use this screen.

References **User Instructions Part**

This screen is part of the following business scenarios:

- Respond to an allegement instruction [▶ 1317]
- View allegement settlement instruction details [▶ 1323]

Business Functionality Document

This screen corresponds to the following business function:

- Display allegement details (T2S.GUI.SESE.ALG.0030)

Screenshot

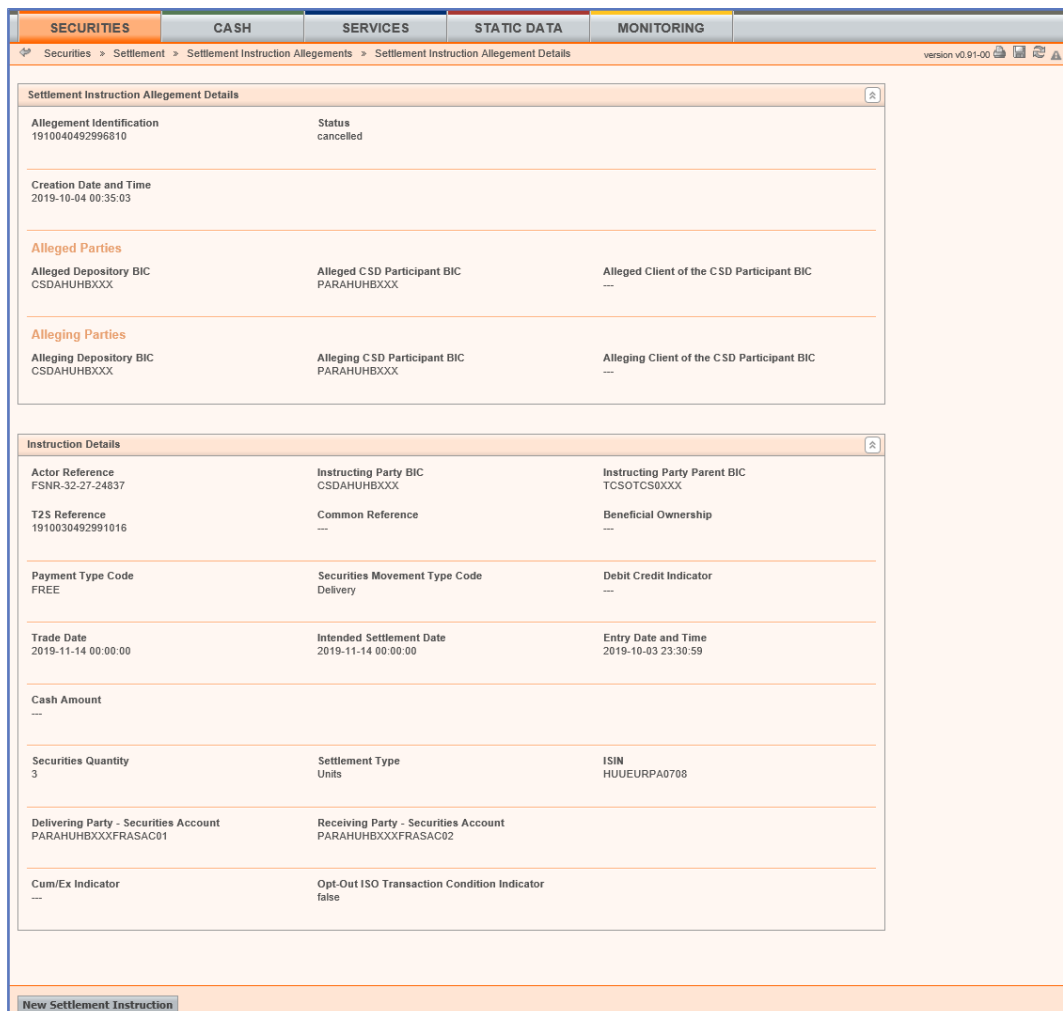


Illustration 43: Allegement instruction – details screen

Field Description

Allegement Details	
Allegement Identification	Shows the unique identification of the allegement.

Allegation Details	
Status	Shows the status of the allegation from the possible values: <input type="checkbox"/> Sent <input type="checkbox"/> Removed <input type="checkbox"/> Cancelled
Creation Date and Time	Shows date and time when the allegation was created. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Alleged Parties	
Alleged Depository BIC	Shows the BIC of the alleged party that interacts with the depository.
Alleged CSD Participant BIC	Shows the BIC of the alleged CSD participant.
Alleged Client of the CSD Participant BIC	Shows the BIC of the alleged client of the CSD participant.
Alleging Parties	
Alleging Depository BIC	Shows the BIC of the alleging party that interacts with the depository.
Alleging CSD Participant BIC	Shows the BIC of the alleging CSD participant.
Alleging Client of the CSD Participant BIC	Shows the BIC of the client of the alleging CSD participant.
Instruction Details	
Actor Reference	Shows the identification of the settlement instruction assigned by the T2S actor.
Instructing Party BIC	Shows the BIC of the instructing party of the settlement instruction.
Instructing Party Parent BIC	Shows the parent BIC of the instructing party of the settlement instruction.
T2S Reference	Shows the identification of the settlement instruction assigned by T2S.
Common Reference	Shows the reference provided by the T2S actor when the LCMM instruction is already matched or in order to facilitate the matching within T2S.
Beneficial Ownership	Shows if there is a change of beneficial ownership: <input type="checkbox"/> Empty (default value)

Allegation Details	
	<input type="checkbox"/> Yes <input type="checkbox"/> No
Payment Type Code	Shows if the transaction is to be settled with or without payment from the possible values: <input type="checkbox"/> APMT (for 'Against Payment Settlement') <input type="checkbox"/> FREE (for 'Separate Settlement')
Securities Movement Type Code	Shows if the movement on a securities account results from a deliver or a receive instruction from the possible values: <input type="checkbox"/> DELI (for 'Delivery') <input type="checkbox"/> RECE (for 'Receive')
Credit Debit Indicator	Shows if the original settlement amount is to be credited or debited from the possible values: <input type="checkbox"/> CRDT (for 'Credit') <input type="checkbox"/> DBIT (for 'Debit')
Trade Date	Shows the date at which the contract was made. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Intended Settlement Date	Shows the date at which the settlement instruction becomes eligible to be submitted to settlement. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Entry Date and Time	Shows the date and time when the settlement instruction has been created. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Cash Amount	Shows the settlement amount and the currency stated in the settlement instruction.
Securities Quantity	Shows the quantity of the securities stated in the settlement instruction.
Settlement Type	Shows the type of settlement foreseen for the security stated in the settlement instruction from the possible values: <input type="checkbox"/> Units <input type="checkbox"/> Face Amount
ISIN	Shows the ISIN of the security.
Delivering Party – Securities Account	Shows the number of the securities account of the delivering party.

Allegement Details	
Receiving Party – Securities Account	Shows the number of the securities account of the receiving party.
CUM/EX Indicator	Shows whether the contract was traded cum or ex coupon from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Empty <input type="checkbox"/> CUM <input type="checkbox"/> EX
Opt-Out ISO Transaction Condition Indicator	Shows the opt-out ISO transaction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> No <input type="checkbox"/> Yes

Buttons

New Settlement Instruction	This function enables you to create a new settlement instruction with the information provided in the allegement instruction. Next screen: <ul style="list-style-type: none"> <input type="checkbox"/> <i>Settlement instruction – new screen</i> [▶ 220]
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2.2.2.3 Amendment Securities Instruction - Details Screen

Context of Usage

This screen displays detailed information on the selected amendment securities instruction. You can check the data and proceed further by clicking on the buttons below.

This screen is not relevant for CB users.

Screen Access

Securities >> Settlement >> Maintenance Securities Instructions >> *Maintenance securities instructions – search/list* screen >> Click on the *search* and/or *details* button

Privileges

To use this screen, you need the following privilege [▶ 1909]:

Amendment instruction for intra-position movement or settlement instruction audit trail list query

References**User Instructions Part**

This screen is part of the following business scenarios:

- View amendment instruction for a settlement instruction details [▶ 1332]
- View amendment instruction for a settlement restriction on securities details [▶ 1385]

Business Functionality Document

This screen corresponds to the following business function:

- Display instruction details (T2S.GUI.SESE.INX.0030)

Screenshot

Illustration 44: Amendment securities instruction - details screen

Field

Description

Amendment Securities Instruction Details	
Referenced Instruction	
Instruction Type	Shows the type of instruction referenced by the amendment instruction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Intra-position movement <input type="checkbox"/> Settlement instruction
Actor Reference	Shows the reference assigned by the T2S actor to the referenced instruction.
T2S Reference	Shows the identification of the reference given the referenced instruction by T2S.
Securities Account Number	Shows the securities account number to or from which a securities entry is made.
Securities Account Owner BIC	Shows the BIC of the owner of the securities account.

Amendment Securities Instruction Details	
General	
Instructing Party BIC	Shows the BIC of the instructing party.
Instructing Party Parent BIC	Shows the parent BIC of the instructing party.
User Identification	Shows user identification of the sending party.
Entry Date and Time	Shows the date and time when the amendment instruction has entered in T2S. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Execution Date and Time	Shows the date and time when the amendment instruction has been executed. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Cancellation Status	Shows the cancellation status of the amendment instruction from the possible values: <input type="checkbox"/> DEND (for 'Denied') <input type="checkbox"/> NDEN (for 'Not denied') If the 'Reason Code' field is informed, the cancellation status is set to 'Denied'.
Reason Code	Shows the reason code that informs the reasoning why the amendment instruction has been denied.
Reason Code Description	Shows the description of the reasoning why the amendment instruction has been denied.
Execution Status	Shows the execution status of the amendment instruction from the possible values: <input type="checkbox"/> EXCD (for 'Executed') <input type="checkbox"/> NEXD (for 'Not executed')
Amendment Type	Shows the amendment instruction type from the possible values: <input type="checkbox"/> Priority <input type="checkbox"/> Partial settlement <input type="checkbox"/> Linkages If the value is set to 'Priority', the 'Priority' frame is displayed. If the value is set to 'Partial Settlement', the 'Partial Settlement Indicator' frame is displayed.

Amendment Securities Instruction Details	
	If the value is set to 'Linkages', the 'Linkages' frame is displayed.
Priority	
Priority	Shows the value of the priority assigned by the amendment instruction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Normal <input type="checkbox"/> High <input type="checkbox"/> Reserved This frame is only available if the amendment type is set to 'Priority'.
Partial Settlement Indicator	
Partial Settlement Indicator	Shows the value of the partial settlement indicator indicated in the amendment instruction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Partial not allowed <input type="checkbox"/> Partial settlement cash threshold allowed <input type="checkbox"/> Partial settlement quantity threshold allowed <input type="checkbox"/> Partial allowed This frame is only available if the amendment type is set to 'Partial Settlement Indicator'.
Link	
Link	Shows the type of the link action indicated in the amendment instruction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Link <input type="checkbox"/> Unlink This frame is only available if the amendment type is set to 'Linkages'.
Link Type	Shows the processing position indicated in the amendment instruction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> After <input type="checkbox"/> Before <input type="checkbox"/> With This frame is only available if the amendment type is set to 'Linkages'.

Amendment Securities Instruction Details	
Reference Type	<p>Shows the reference type of the instruction to be linked/unlinked to from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Account owner settlement instruction reference <input type="checkbox"/> Account owner intra balance movement reference <input type="checkbox"/> Account owner intra position movement reference <input type="checkbox"/> Account servicer reference <input type="checkbox"/> Third party reference <input type="checkbox"/> T2S reference <p>This frame is only available if the amendment type is set to 'Linkages'.</p>
Reference	<p>Shows the identification of the instruction to be linked/unlinked to.</p> <p>This frame is only available if the amendment type is set to 'Linkages'.</p>
Reference Owner	<p>Shows the BIC of the instructing party of the instruction to be linked/unlinked to.</p> <p>This field is only filled in if the 'Reference Type' is set to any value different than 'T2S Reference'.</p> <p>This frame is only available if the amendment type is set to 'Linkages'.</p>

Buttons

Revisions	<p>This function enables you to display the revisions of the selected amendment instruction.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Revisions/audit trail – list</i> screen [▶ 670]
Related Outbound Messages	<p>This function enables you to display the outbound messages related to the amendment instruction.</p> <p>In case the selected amendment instruction is linked to more than one outbound message, the <i>outbound messages - search/list</i> screen is displayed.</p> <p>In case the query returns only one valid message, the <i>outbound message – details</i> screen is displayed directly.</p> <p>Next screens:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Outbound messages – search/list</i> screen [654]

	<ul style="list-style-type: none"> □ <i>Outbound message – details</i> screen [662]
Related Inbound Messages	<p>This function enables you to display the inbound messages related to the amendment instruction.</p> <p>In case the selected amendment instruction is linked to more than one inbound message, the <i>inbound messages - search/list</i> screen is displayed.</p> <p>In case the query returns only one valid message, the <i>inbound message – details</i> screen is displayed directly.</p> <p>Next screens:</p> <ul style="list-style-type: none"> □ <i>Inbound messages – search/list</i> screen [▶ 637] □ <i>Inbound message – details</i> screen [▶ 644]
Status History	<p>This function enables you to display the status history of the selected amendment instruction.</p> <p>Next screen:</p> <ul style="list-style-type: none"> □ <i>Status history – details</i> screen [▶ 276]

2.2.2.4 Amendment Securities Instruction - New Screen

Context of Usage

This screen contains a number of fields regarding the amendment of settlement instructions or settlement restrictions on securities (intra-position movements) before their actual settlement or cancellation. You can enter new data. Afterwards you can proceed further by clicking on the buttons below.

This screen is not relevant for CB users.

You can use this screen either in 2-eyes or in 4-eyes mode.

Screen Access

- Securities >> Settlement >> Settlement Instructions >> *Settlement instructions – search/list* screen >> Click on the *search* button >> *Settlement instructions – search/list* screen >> Click on the *details* button >> *Settlement instruction - details* screen >> Click on the *amendment instruction* button
- Securities >> Settlement >> Intra-Position Movements >> *Intra-position movements – search/list* screen >> Click on the *search* button >> *Intra-position movements – search/list* screen >> Click on the *details* button >> *Intra-position movement - details* screen >> Click on the *amendment instruction* button

Privileges

To use this screen you need the following privileges [▶ 1909]:

- Amend process indicator of a non-modifiable settlement instruction on behalf of the instructing party of the underlying instruction

- Send new instruction using a specific instructing party
- Link to an instruction/pool reference belonging to a specific party
- Amend process indicator of a settlement instruction/settlement restriction on securities either on a securities account or on behalf of the CSD in T2S or on behalf of an external CSD

References

User Instructions Part

This screen is part of the following business scenarios:

- Add a link for a settlement restriction on securities [▶ 1378]
- Add a link for a settlement instruction [▶ 1324]
- Amend partial settlement indicator for a settlement instruction [▶ 1327]
- Amend priority for a settlement restriction on securities [▶ 1381]
- Amend priority for a settlement instruction [▶ 1328]
- Remove a link for a settlement restriction on securities [▶ 1383]
- Remove a link for a settlement instruction [▶ 1330]

Business Functionality Document

This screen corresponds to the following business function:

- Amend instruction (T2S.GUI.SESE.INX.0040)

Screenshot

Illustration 45: Amendment securities instruction - new screen

Field

Description

Amendment Instruction	
Referred Instruction	
Instruction Type	Shows the type of the referenced instruction from the possible values:

Amendment Instruction	
	<input type="checkbox"/> Settlement instruction <input type="checkbox"/> Intra position movement
Actor Reference	<p>Shows the identification of the referenced instruction assigned by the T2S actor.</p> <p>References for error messages: [▶ 2465]</p> <input type="checkbox"/> MVCP004 <input type="checkbox"/> MVCM957 <input type="checkbox"/> MVCM958 <input type="checkbox"/> MVCM959 <input type="checkbox"/> MVCM960
T2S Reference	<p>Shows the identification of the referenced instruction assigned by T2S.</p> <p>References for error messages: [▶ 2465]</p> <input type="checkbox"/> MVCP004 <input type="checkbox"/> MVCM957 <input type="checkbox"/> MVCM958 <input type="checkbox"/> MVCM959 <input type="checkbox"/> MVCM960 <input type="checkbox"/> MVRI555 <input type="checkbox"/> MVRI575 <input type="checkbox"/> MVRI591
Securities Account Number	<p>Shows the number of the securities account.</p> <p>References for error messages: [▶ 2465]</p> <input type="checkbox"/> MVCP027 <input type="checkbox"/> MVCP044 <input type="checkbox"/> MVCP032
Securities Account Owner BIC	<p>Shows the BIC of the owner of the securities account of the referenced instruction.</p>
Externally Matched	<p>Shows whether the settlement instruction which was sent to T2S, is already matched or not.</p> <p>This field is only available, if the referenced instruction is a settlement instruction.</p>
General	

Amendment Instruction	
Instructing Party Parent BIC	<p>Select the parent BIC of the instructing party from the drop-down menu or search via the <i>magnifier</i> icon.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>References for error messages: [▶ 2465]</p> <ul style="list-style-type: none"><input type="checkbox"/> MVDC026<input type="checkbox"/> MVCV107<input type="checkbox"/> MVCV232<input type="checkbox"/> MVSP209<input type="checkbox"/> MVCP122 <p>In case the instructing party is not entered, the instructing party informed to the back end module will be the party you belong to. If the Instructing Party Parent BIC is filled in, the Instructing Party BIC has to be filled in too.</p>
Instructing Party BIC	<p>Select the BIC of the instructing party from the drop-down menu or search via the <i>magnifier</i> icon.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>References for error messages: [▶ 2465]</p> <ul style="list-style-type: none"><input type="checkbox"/> MVDC026<input type="checkbox"/> MVCV107<input type="checkbox"/> MVCV232<input type="checkbox"/> MVSP209<input type="checkbox"/> MVCP122 <p>In case the instructing party is not entered, the instructing party informed to the back end module will be the party you belong to. If the Instructing Party BIC is filled in, the Instructing Party Parent BIC has to be filled in too.</p>
Amendment Counterparty Instruction	<p>Select this checkbox to amend both legs of the referenced settlement instruction.</p> <p>References for error messages: [▶ 2465]</p> <ul style="list-style-type: none"><input type="checkbox"/> MVCP108<input type="checkbox"/> MVCP109 <p>This field is only available if the referenced instruction is a settlement instruction.</p>

Amendment Instruction	
	If the referenced settlement instruction is not an already matched instruction or the actor reference is empty the field is greyed out.
Amendment Type	
Amendment Type	<p>Select the type of amendment of the instruction from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Priority <input type="checkbox"/> Linkage information <input type="checkbox"/> Partial settlement indicator (only available in the screen if the referenced instruction is a settlement instruction) <p>References for error messages: [▶ 2465]</p> <ul style="list-style-type: none"> <input type="checkbox"/> MMIA003 <input type="checkbox"/> MMIA004 <input type="checkbox"/> MVCM952 <p>If the amendment type is 'Priority', the fields related to the partial settlement block and the linkages block will not be available.</p> <p>If the amendment type is 'Linkage Information', the fields related to the partial settlement block and the priority block will not be available.</p> <p>If the amendment type is 'Partial Settlement Indicator', the fields related to the priority block and the linkages block will not be available.</p>
Priority	
Priority	<p>Select the level of the priority from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Normal (default value) <input type="checkbox"/> High <input type="checkbox"/> Reserved <p>Reference for error message: [▶ 2465]</p> <ul style="list-style-type: none"> <input type="checkbox"/> MVSP209 <p>This frame is only available if the amendment type is set to 'Priority'.</p>
Linkage Information	
Link/Unlink	Select the type of the amendment on links to be performed from the possible values:

Amendment Instruction	
	<input type="checkbox"/> Link <input type="checkbox"/> Unlink References for error messages: [▶ 2465] <input type="checkbox"/> MVCM957 <input type="checkbox"/> MVCM958 <input type="checkbox"/> MVCM959 <input type="checkbox"/> MVCM960 <input type="checkbox"/> MVLI810 <input type="checkbox"/> MVLI811 <input type="checkbox"/> MVLI825 <input type="checkbox"/> MVLI826 <input type="checkbox"/> MVLI827 <input type="checkbox"/> MVLI828 <input type="checkbox"/> MVLI829 <input type="checkbox"/> MVLI830 <input type="checkbox"/> MVLI837 <input type="checkbox"/> MVLI838 <input type="checkbox"/> MVLI839 <input type="checkbox"/> MVLI853 <input type="checkbox"/> MVLI856 <input type="checkbox"/> MVLI859 <input type="checkbox"/> MVLI862 <input type="checkbox"/> MVLI871 This frame is only available if the amendment type is set to 'Linkage Information'.
Link	
Link Type*	Select the processing position of the link from the possible values: <input type="checkbox"/> After <input type="checkbox"/> Before <input type="checkbox"/> With References for error messages: [▶ 2465]

Amendment Instruction	
	<ul style="list-style-type: none"> <input type="checkbox"/> MVCP040 <input type="checkbox"/> MVCP041 <input type="checkbox"/> MVLI809 <input type="checkbox"/> MVLI810 <input type="checkbox"/> MVLI811 <input type="checkbox"/> MVLI825 <input type="checkbox"/> MVLI826 <input type="checkbox"/> MVLI827 <input type="checkbox"/> MVLI828 <input type="checkbox"/> MVLI829 <input type="checkbox"/> MVLI830 <input type="checkbox"/> MVLI837 <input type="checkbox"/> MVLI838 <input type="checkbox"/> MVLI839 <input type="checkbox"/> MVLI853 <input type="checkbox"/> MVLI856 <input type="checkbox"/> MVLI859 <input type="checkbox"/> MVLI862 <input type="checkbox"/> MVLI874 <p>This frame is only available if the amendment type is set to 'Linkage Information'.</p> <p>This field is also available as a show field for existing records.</p>
Reference Type*	<p>Select the reference type of the instruction to be linked/unlinked to from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Account Owner Settlement Instruction Reference <input type="checkbox"/> Account Owner Intra Balance Movement Reference <input type="checkbox"/> Account Owner Intra Position Movement Reference <input type="checkbox"/> Account Servicer Reference <input type="checkbox"/> Third Party reference <input type="checkbox"/> T2S reference <p>Reference for error message: [▶ 2465]</p> <ul style="list-style-type: none"> <input type="checkbox"/> MVCP099

Amendment Instruction	
	<p>This frame is only available if the amendment type is set to 'Linkage Information'.</p> <p>This field is also available as a show field for existing records.</p>
Reference*	<p>Enter the identification of the instruction to be linked/unlinked to. Required format is: max. 16 characters (SWIFT-x-red)</p> <p>References for error messages: [▶ 2465]</p> <ul style="list-style-type: none"><input type="checkbox"/> MVCP099<input type="checkbox"/> MVLI871<input type="checkbox"/> MVLI874 <p>This frame is only available if the amendment type is set to 'Linkage Information'.</p> <p>This field is also available as a show field for existing records.</p>
Reference Owner	<p>Enter the BIC of the instructing party of the instruction to be linked/unlinked to.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>If the 'Reference Type' is set to any value different than 'T2S reference' this field becomes mandatory.</p> <p>This frame is only available if the amendment type is set to 'Linkage Information'.</p> <p>This field is also available as a show field for existing records.</p>
Options	<p>Information on functions (icons) can be found in Common Buttons and Icons.</p>
Partial Settlement Indicator	
Partial Settlement Indicator	<p>Select the value of the partial settlement indicator corresponding to the T2S settlement instruction reference from the possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> Partial not allowed (default value)<input type="checkbox"/> Partial settlement cash threshold allowed<input type="checkbox"/> Partial settlement quantity threshold allowed<input type="checkbox"/> Partial allowed

Amendment Instruction

If the amendment request aims at modifying the partial settlement indicator associated to settlement instructions, this field is mandatory.

This frame is only available if the amendment type is set to 'Partial Settlement Indicator'.

Buttons

Submit

This function enables you to create a new amendment securities instruction. Upon clicking on the *submit* button you will be asked to enter your PIN for digital signature purposes (NRO). With the entry of the PIN, T2S attaches a digital signature to the instruction entered by the T2S actor.

Next screens:

- Settlement instructions – search/list* screen [▶ 187] (for a settlement instruction)
- Intra-position movements – search/list* screen [▶ 147] (for a settlement restriction on securities)

References for error messages: [▶ 2465]

- MMIA001
- MMIA002
- MMIA003
- MMIA004
- MMIA005
- MMIA006
- MMIA009
- MVCM952
- MVCM955
- MVCM956
- MVCM957
- MVCM958
- MVCM959
- MVCM960
- MVCP004
- MVCP027
- MVCP028

- MVCP032
- MVCP039
- MVCP040
- MVCP041
- MVCP044
- MVCP095
- MVCP099
- MVCP108
- MVCP109
- MVCP122
- MCVV107
- MCVV232
- MVDC026
- MVLI809
- MVLI810
- MVLI811
- MVLI825
- MVLI826
- MVLI827
- MVLI828
- MVLI829
- MVLI830
- MVLI837
- MVLI838
- MVLI839
- MVLI853
- MVLI856
- MVLI859
- MVLI862
- MVLI871
- MVLI874
- MVRI555

	<input type="checkbox"/> MVRI575 <input type="checkbox"/> MVRI591 <input type="checkbox"/> MVSP209
Reset	<p>This function enables you to set all fields to default value and blanks out all optional fields.</p> <p>Next screen:</p> <input type="checkbox"/> <i>Amendment securities instruction - new screen</i>
Cancel	<p>This function enables you to cancel the process and return to the previous screen.</p> <p>Next screens:</p> <input type="checkbox"/> <i>Settlement instruction - details screen [201]</i> (for a settlement instruction) <input type="checkbox"/> <i>Intra-position movement - details screen [154]</i> (for a settlement restriction on securities)

2.2.2.5 Cancellation Securities Instruction - Details Screen

Context of Usage

This screen displays detailed information on the selected cancellation securities instruction. You can check the data and proceed further by clicking on the buttons below.

This screen is not relevant for CB users.

Screen Access

Securities >> Settlement >> Maintenance Securities Instructions >> *Maintenance securities instructions – search/list screen* >> Click on the *search* button >> *Maintenance securities instructions – search/list screen* >> Click on the *details* button

Privileges

To use this screen, you need the following privilege [1919]:

Cancellation instruction for intra-position movement or settlement instruction audit trail list query

References

User Instructions Part

This screen is part of the following business scenarios:

View cancellation instruction for a settlement instruction details [1350]
 View cancellation instruction for a settlement restriction on securities details [1396]

Business Functionality Document

This screen corresponds to the following business function:

Display instruction details (T2S.GUI.SESE.INX.0030)

Screenshot

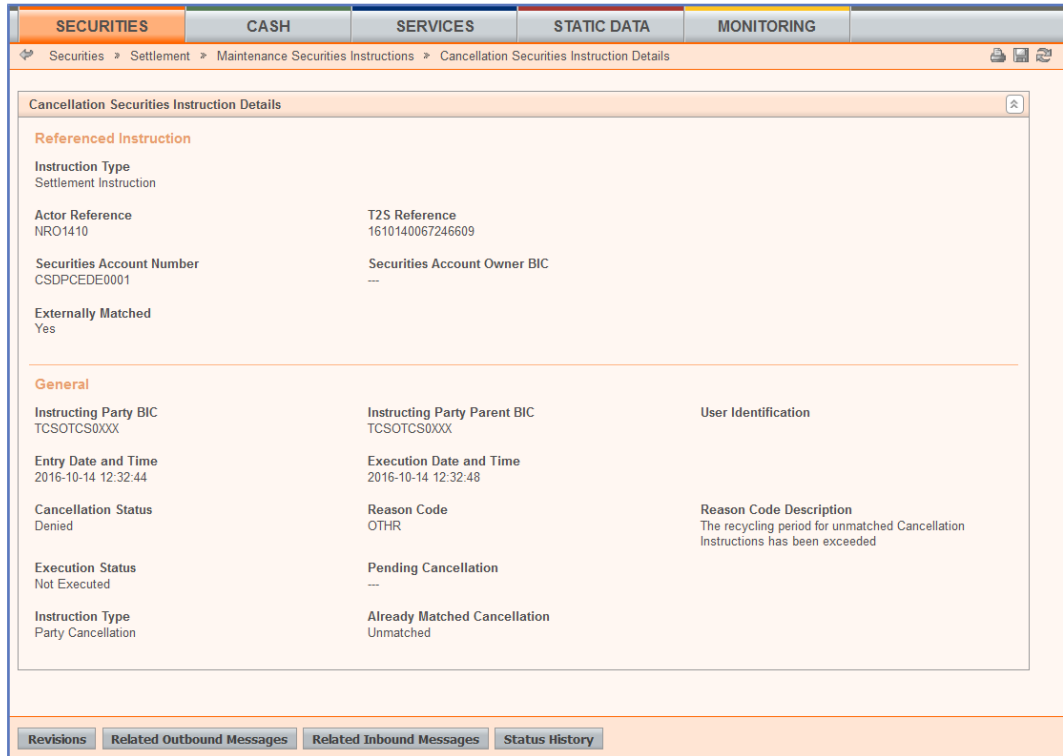


Illustration 46: Cancellation securities instruction - details screen

Field

Description

Cancellation Securities Instruction Details	
Referenced Instruction	
Instruction Type	Shows the type of instruction referenced by the cancellation instruction from the possible values: <input type="checkbox"/> Intra-position movement <input type="checkbox"/> Settlement instruction
Actor Reference	Shows the reference assigned by the T2S actor to the referenced instruction
T2S Reference	Shows the identification of the reference given to the referenced instruction by T2S.
Securities Account Number	Shows the securities account number to or from which a securities entry is made.
Securities Account Owner BIC	Shows the owner of the securities account.
Externally Matched	Shows if the settlement instruction is externally matched or not from the possible values: <input type="checkbox"/> Yes <input type="checkbox"/> No

Cancellation Securities Instruction Details	
	This field is only available, if the instruction type is set to 'Settlement Instruction'.
General	
Instructing Party BIC	Shows the BIC of the instructing party.
Instructing Party Parent BIC	Shows the parent BIC of the instructing party.
User Identification	Shows user identification of the sending party.
Entry Date and Time	Shows the date and time when the cancellation instruction has entered in T2S. Displayed format is: YYYY-MM-DD hh:mm:ss
Execution Date and Time	Shows the date and time when the cancellation instruction has been executed. Displayed format is: YYYY-MM-DD hh:mm:ss
Cancellation Status	Shows the cancellation status of the cancellation instruction from the possible values: <input type="checkbox"/> Denied <input type="checkbox"/> Not denied
Reason Code	Shows the reason code that informs the reasoning why the cancellation instruction has been denied or has not yet been executed.
Reason Code Description	Shows the description of the reasoning why the cancellation instruction has been denied or has not yet been executed.
Execution Status	Shows the execution status of the cancellation instruction from the possible values: <input type="checkbox"/> Executed <input type="checkbox"/> Not executed
Pending Cancellation	Shows if the cancellation instruction is pending to be executed, awaiting for cancellation from the counterparty or CoSD cancellation from another administering party from the possible values: <input type="checkbox"/> Empty (default value) <input type="checkbox"/> Yes

Cancellation Securities Instruction Details	
	This field is only available, if the instruction type is set to 'Settlement Instruction' and if the settlement instruction has been matched
Instruction Type	Shows the cancellation instruction type from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Party cancellation <input type="checkbox"/> CSD cancellation <input type="checkbox"/> CoSD cancellation (only possible if the instruction type is a settlement instruction)
Already Matched Cancellation	Shows if the cancellation instruction is externally matched or not from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Yes <input type="checkbox"/> No

Buttons

Revisions	This function enables you to display the revisions of the selected cancellation instruction. Next screen: <ul style="list-style-type: none"> <input type="checkbox"/> <i>Revisions/audit trail – list</i> screen [▶ 670]
Related Outbound Messages	This function enables you to display the outbound messages related to the cancellation instruction. In case the selected cancellation instruction is linked to more than one outbound message, the <i>outbound messages - search/list</i> screen is displayed. If the search retrieves a single message, the <i>outbound message – details</i> screen is displayed directly. Next screens: <ul style="list-style-type: none"> <input type="checkbox"/> <i>Outbound messages – search/list</i> screen [◀ 654] <input type="checkbox"/> <i>Outbound message – details</i> screen [▶ 662]
Related Inbound Messages	This function enables you to display the inbound message related to the cancellation instruction. In case the selected cancellation instruction is linked to more than one inbound message, the <i>inbound messages - search/list</i> screen is displayed. If the search retrieves a single message, the <i>inbound message – details</i> screen is displayed directly.

	<p>Next screens:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Inbound messages – search/list</i> screen [▶ 637] <input type="checkbox"/> <i>Inbound message – details</i> screen [▶ 644]
Status History	<p>This function enables you to display the status history of the selected cancellation instruction.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Status history – details</i> screen [▶ 276]

2.2.2.6 Cancellation Securities Instruction - New Screen

Context of Usage

This screen contains a number of fields regarding cancellation securities instructions. You can enter new data. Afterwards you can proceed further by clicking on the buttons below.

You can use this screen either in 2-eyes or in 4-eyes mode.

This screen is not relevant for CB users.

Screen Access

- Securities >> Settlement >> Settlement Instructions >> *Settlement instructions – search/list* screen >> Click on the *search* button >> *Settlement instructions – search/list* screen >> Click on the *details* button >> *Settlement instruction - details* screen >> Click on the *cancellation instruction* button
- Securities >> Settlement >> Intra-Position Movements >> *Intra-position movements – search/list* screen >> Click on the *search* button >> *Intra-position movements – search/list* screen >> Click on the *details* button >> *Intra-position movement - details* screen >> Click on the *cancellation instruction* button

Privileges

To use this screen you need the following privileges [▶ 1919]:

- Send new instruction using a specific instructing party
- Cancel settlement instruction/settlement restriction on securities either on a securities account or on behalf of the CSD in T2S, on behalf of external CSD or on behalf of an administering party
- Cancel non-modifiable instructions on behalf of the instructing party of the underlying settlement instruction

References

User Instructions Part

This screen is part of the following business scenarios:

- Cancel a settlement restriction on securities [▶ 1394]
- Cancel a settlement instruction [▶ 1348]

Business Functionality Document

This screen corresponds to the following business function:

- Cancel instruction (T2S.GUI.SESE.INX.0050)

Screenshot

Illustration 47: Cancellation securities instruction - new screen

Field

Description

Cancellation Instruction	
Referenced Instruction	
Instruction Type	Shows the type of the referenced instruction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Settlement instruction <input type="checkbox"/> Intra-position movement
Actor Reference	Shows the identification of the referenced instruction assigned by the T2S actor. Reference for error message [▶ 2486]: <ul style="list-style-type: none"> <input type="checkbox"/> MVCP003 <input type="checkbox"/> MVRI592
T2S Reference	Shows the identification of the referenced instruction assigned by T2S. References for error messages [▶ 2486]: <ul style="list-style-type: none"> <input type="checkbox"/> MVCP003 <input type="checkbox"/> MVRI592
Securities Account Number	Shows the securities account of the referenced instruction. References for error messages [▶ 2486]: <ul style="list-style-type: none"> <input type="checkbox"/> MVCP044 <input type="checkbox"/> MVCP029 <input type="checkbox"/> MVCP033

Cancellation Instruction	
Securities Account Owner BIC	Shows the BIC of the owner of the securities account of the referenced instruction.
Externally Matched	<p>Shows if the settlement instruction is externally matched from the possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> Yes<input type="checkbox"/> No <p>If the referenced instruction is a settlement instruction, this field is only available.</p>
General	
Instructing Party Parent BIC	<p>Select the parent BIC of the instructing party from the drop-down menu or search via the <i>magnifier</i> icon.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>References for error messages [▶ 2486]:</p> <ul style="list-style-type: none"><input type="checkbox"/> MVRI594<input type="checkbox"/> MVDC027<input type="checkbox"/> MVCV108<input type="checkbox"/> MVCV231<input type="checkbox"/> MMCI106<input type="checkbox"/> MMCI105<input type="checkbox"/> MMCI107<input type="checkbox"/> MMCI108<input type="checkbox"/> MMCI109<input type="checkbox"/> MVRI592<input type="checkbox"/> MVCP123 <p>In case the instructing party is not entered, the instructing party informed to the back end module will be the party you belong to. If the 'Instructing Party Parent BIC' is filled in, the 'Instructing Party BIC' has to be filled in too.</p>
Instructing Party BIC	<p>Select the BIC of the instructing party from the drop-down menu or search via the <i>magnifier</i> icon.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>References for error messages [▶ 2486]:</p> <ul style="list-style-type: none"><input type="checkbox"/> MVRI594<input type="checkbox"/> MVDC027

Cancellation Instruction	
	<ul style="list-style-type: none"> <input type="checkbox"/> MVCV108 <input type="checkbox"/> MVCV231 <input type="checkbox"/> MMCI106 <input type="checkbox"/> MVCP104 <input type="checkbox"/> MVCP105 <input type="checkbox"/> MVCP123 <input type="checkbox"/> MMCI105 <input type="checkbox"/> MMCI107 <input type="checkbox"/> MMCI108 <input type="checkbox"/> MMCI109 <input type="checkbox"/> MVRI592 <p>In case the instructing party is not entered, the instructing party informed to the back end module will be the party you belong to. If the 'Instructing Party BIC' is filled in, the 'Instructing Party Parent BIC' has to be filled in too.</p>
Already Matched Cancellation	<p>Select the already matched cancellation value to cancel both legs of the settlement instruction.</p> <p>This field is only available if the referenced instruction is a settlement instruction.</p> <p>If the referenced settlement instruction is not an already matched instruction or the actor reference is empty the field is greyed out.</p> <p>References for error messages [▶ 2486]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> MVCP104 <input type="checkbox"/> MVCP105
Reason for cancellation	<p>Select the applicable reason for the cancellation of the settlement instruction from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Empty (default value) <input type="checkbox"/> BYIY <input type="checkbox"/> CANT (Cancelled due to transformation) <input type="checkbox"/> CANI <input type="checkbox"/> CTHP <input type="checkbox"/> CSUB <input type="checkbox"/> CXLR

Cancellation Instruction	
	<ul style="list-style-type: none"> <input type="checkbox"/> CANZ <input type="checkbox"/> CORP <input type="checkbox"/> SCEX <input type="checkbox"/> OTHR <p>This field is only available if the referenced instruction is a settlement instruction or intra-position movement</p>
Corporate Action Event Identifier	<p>Enter the identification of the corporate action event.</p> <p>Required format is: max. 16 characters (SWIFT-x-red)</p>

Buttons

Submit	<p>This function enables you to create a new cancellation securities instruction. Upon clicking on the <i>submit</i> button you will be asked to enter your PIN for digital signature purposes (NRO). With the entry of the PIN, T2S attaches a digital signature to the instruction entered by the T2S actor.</p> <p>Next screens:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Intra-position movements– search/list</i> screen [▶ 147] (for a settlement instruction) <input type="checkbox"/> <i>Settlement instructions– search/list</i> screen [▶ 187] (for a settlement restriction on securities) <p>References for error messages [▶ 2486]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> MMCR201 <input type="checkbox"/> MMCR202 <input type="checkbox"/> MMCI101 <input type="checkbox"/> MMCI102 <input type="checkbox"/> MMCI105 <input type="checkbox"/> MMCI106 <input type="checkbox"/> MMCI107 <input type="checkbox"/> MMCI108 <input type="checkbox"/> MMCI109 <input type="checkbox"/> MVCP003 <input type="checkbox"/> MVCP029 <input type="checkbox"/> MVCP030 <input type="checkbox"/> MVCP031

	<ul style="list-style-type: none"><input type="checkbox"/> MVCP033<input type="checkbox"/> MVCP044<input type="checkbox"/> MVCP048<input type="checkbox"/> MVCP096<input type="checkbox"/> MVCP104<input type="checkbox"/> MVCP105<input type="checkbox"/> MVCP123<input type="checkbox"/> MCVV108<input type="checkbox"/> MCVV231<input type="checkbox"/> MVDC027<input type="checkbox"/> MVRI576<input type="checkbox"/> MVRI592<input type="checkbox"/> MVRI594
Reset	<p>This function enables you to set all fields to default value and blanks out all optional fields.</p> <p>Next screen:</p> <ul style="list-style-type: none"><input type="checkbox"/> <i>Cancellation securities instruction - new screen</i>
Cancel	<p>This function enables you to cancel the process and return to the previous screen.</p> <p>Next screens:</p> <ul style="list-style-type: none"><input type="checkbox"/> <i>Settlement instruction - details screen</i> [▶ 201] (for a settlement instruction)<input type="checkbox"/> <i>Intra-position movement - details screen</i> [▶ 154] (for a settlement restriction on securities)

2.2.2.7 Data Changes - Search/List Screen

See *Data Changes – Search/List Screen* [▶ 616].

2.2.2.8 Data Change - Details Screen

See *Data Changes – Details Screen* [▶ 620].

2.2.2.9 Hold/Release Instruction - Details Screen

Context of Usage

This screen displays detailed information on the selected hold/release instruction. You can check the data and proceed further by clicking on the buttons below.

This screen is not relevant for CB users.

Screen Access

- Securities >> Settlement >> Maintenance Securities Instructions >> *Maintenance securities instructions – search/list* screen >> Click on the *search* and/or *details* button

Privileges

To use this screen, you need the following privilege [[▶ 1922](#)]:

- Hold/release audit trail list query

References

User Instructions Part

This screen is part of the following business scenario:

- View hold/release instruction details [[▶ 1346](#)]

Business Functionality Document

This screen corresponds to the following business function:

- Display instruction details (T2S.GUI.SESE.INX.0030)

Screenshot



Illustration 48: Hold/release instruction – details screen

Field Description

Hold/Release Instruction	
Referenced Instruction	
Actor Reference	Shows the reference assigned by the T2S actor to the referenced instruction.
T2S Reference	Shows the identification of the reference assigned to the referenced instruction by T2S.
Securities Account Number	Shows the securities account number to or from which a securities entry is made.

Hold/Release Instruction	
Securities Account Owner BIC	Shows the owner of the securities account.
General	
Instructing Party BIC	Shows the BIC of the instructing party.
Instructing Party Parent BIC	Shows the parent BIC of the instructing party.
User Identification	Shows user identification of the sending party.
Entry Date and Time	Shows the date and time when the hold/release instruction has entered in T2S. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Execution Date and Time	Shows the date and time when the hold/release instruction has been executed. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Execution Status	Shows the execution status of the hold/release instruction from the possible values: <input type="checkbox"/> Executed <input type="checkbox"/> Not executed
Cancellation Status	Shows the cancellation status of the hold/release instruction from the possible values: <input type="checkbox"/> Denied <input type="checkbox"/> Not denied If the 'Reason Code Reference' field is filled in, the cancellation status is always set to 'Denied'.
Reason Code Reference	Shows the reason code that informs the reasoning why the hold/release instruction has been denied.
Reason Code Description	Shows the description that informs the reason why the hold/release instruction has been denied.
Hold/Release	
Indicator	Shows the hold/release instruction type from the possible values: <input type="checkbox"/> Hold <input type="checkbox"/> Release
Hold Status Type	Shows the type of hold indicator from the possible values: <input type="checkbox"/> Party hold

Hold/Release Instruction	
	<input type="checkbox"/> CSD hold <input type="checkbox"/> CSD validation hold <input type="checkbox"/> CoSD hold
Buttons	
Revisions	<p>This function enables you to display the revisions of the selected hold/release instruction.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Revisions/audit trail – list</i> screen [▶ 670]
Related Outbound Messages	<p>This function enables you to display the outbound messages related to the hold/release instruction.</p> <p>In case the hold/release instruction is linked to more than one outbound message, the <i>outbound messages - search/list</i> screen is displayed.</p> <p>In case the query returns only one valid message, the <i>outbound message – details</i> screen is displayed directly.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Outbound messages – search/list</i> screen [▶ 654] <input type="checkbox"/> <i>Outbound message – details</i> screen [▶ 662]
Related Inbound Messages	<p>This function enables you to display the inbound messages related to the hold/release instruction.</p> <p>In case the hold/release instruction is linked to more than one inbound message, the <i>inbound messages - search/list</i> screen is displayed.</p> <p>In case the query returns only one valid message, the <i>inbound message – details</i> screen is displayed directly.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Inbound messages – search/list</i> screen [▶ 637] <input type="checkbox"/> <i>Inbound message – details</i> screen [▶ 644]
Status History	<p>This function enables you to display the status history of the hold/release instruction.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Status history – details</i> screen [▶ 276]

2.2.2.10 Hold/Release Instruction - New Screen

Context of Usage	<p>This screen contains a number of fields regarding hold/release instructions. You can enter new data. Afterwards you can proceed further by clicking on the buttons below.</p> <p>You can use this screen either in 2-eyes or in 4-eyes mode.</p> <p>This screen is not relevant for CB users.</p>
Screen Access	<ul style="list-style-type: none"><input type="checkbox"/> Securities >> Settlement >> Settlement Instructions >> <i>Settlement instructions – search/list</i> screen >> Click on the <i>search</i> and/or <i>details</i> button >> <i>Settlement instruction - details</i> screen >> Click on the <i>hold/release</i> button
Privileges	<p>To use this screen, you need the following privileges [▶ 1923]:</p> <ul style="list-style-type: none"><input type="checkbox"/> Party hold settlement instruction on a securities account or on behalf of an external CSD<input type="checkbox"/> CSD hold settlement instruction either on a securities account or on behalf of the CSD in T2S<input type="checkbox"/> Release party hold settlement instruction on a securities account or on behalf of an external CSD<input type="checkbox"/> Release party hold auto-collateralisation instruction on a securities account<input type="checkbox"/> Release CSD hold settlement instruction either on a securities account or on behalf of the CSD in T2S<input type="checkbox"/> Release CSD validation hold settlement instruction either on a securities account or on behalf of the CSD in T2S<input type="checkbox"/> Release CoSD hold settlement instruction on behalf of administering party<input type="checkbox"/> Hold non-modifiable instructions on behalf of the instructing party of the underlying settlement instruction<input type="checkbox"/> Release non-modifiable instructions on behalf of the instructing party of the underlying settlement instruction
References	<p>User Instructions Part</p> <p>This screen is part of the following business scenarios:</p> <ul style="list-style-type: none"><input type="checkbox"/> Put a settlement instruction on CSD hold [▶ 1336]<input type="checkbox"/> Put a settlement instruction on party hold [▶ 1334]<input type="checkbox"/> Release a settlement instruction from CoSD hold [▶ 1343]<input type="checkbox"/> Release a settlement instruction from CSD hold [▶ 1341]<input type="checkbox"/> Release a settlement instruction from CSD validation hold [▶ 1345]<input type="checkbox"/> Release a settlement instruction from party hold [▶ 1338]<input type="checkbox"/> Partially release a settlement instruction from party hold [▶ 1339]

Business Functionality Document

This screen corresponds to the following business function:

- Hold/release instruction (T2S.GUI.SESE.INX.0060)

Screenshot

Illustration 49: Hold/release instruction - new screen

Field Description

Hold / Release Instruction	
Referenced Instruction	
Actor Reference	Shows the identification of the referenced settlement instruction assigned by the T2S actor. References for error messages [> 2496]: <input type="checkbox"/> MVCP004 <input type="checkbox"/> MVRI591 <input type="checkbox"/> MVRI593
T2S Reference	Shows the identification of the referenced settlement instruction assigned by T2S. References for error messages [> 2496]: <input type="checkbox"/> MVCP004 <input type="checkbox"/> MVRI591 <input type="checkbox"/> MVRI593 <input type="checkbox"/> MVRI555 <input type="checkbox"/> MVRI575

Hold / Release Instruction	
	<input type="checkbox"/> MVRI584
Securities Account Number	<p>Shows the securities account of the referenced settlement instruction.</p> <p>References for error messages [2496]:</p> <input type="checkbox"/> MVCP019 <input type="checkbox"/> MVCP020 <input type="checkbox"/> MVCP044 <input type="checkbox"/> MVCP106 <input type="checkbox"/> MVCP107 <input type="checkbox"/> MVCP111 <input type="checkbox"/> MVCP112 <input type="checkbox"/> MVCP113
Securities Account Owner BIC	Shows the BIC of the owner of the securities account of the referenced settlement instruction.
Externally Matched	Shows whether the settlement instruction was sent to T2S already matched or not.
General	
Instructing Party Parent BIC	<p>Select the parent BIC of the instructing party from the drop-down menu or search via the <i>magnifier</i> icon.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>References for error messages [2496]:</p> <input type="checkbox"/> MVCP121 <input type="checkbox"/> MCVV109 <input type="checkbox"/> MCVV233 <input type="checkbox"/> MVDC026 <input type="checkbox"/> MVRI584 <input type="checkbox"/> MVRI595
Instructing Party BIC	<p>In case the instructing party is not entered, the instructing party informed to the back end module will be the party you belong to. If the 'Instructing Party Parent BIC' is filled in, the 'Instructing Party BIC' has to be filled in too.</p> <p>Select the BIC of the instructing party of the hold/release instruction from the drop-down menu or search via the <i>magnifier</i> icon.</p>

Hold / Release Instruction	
	<p>Required format is: max. 11 characters (SWIFT-x)</p> <p>References for error messages [▶ 2496]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> MVCP121 <input type="checkbox"/> MVCV109 <input type="checkbox"/> MVCV233 <input type="checkbox"/> MVDC026 <input type="checkbox"/> MVRI584 <input type="checkbox"/> MVRI595 <p>In case the instructing party is not entered, the instructing party informed to the back end module will be the party you belong to. If the 'Instructing Party BIC' is filled in, the 'Instructing Party Parent BIC' has to be filled in too.</p>
Hold/Release Counterparty Instruction	<p>Select if you want to hold/release both legs of the settlement instruction.</p> <p>References for error messages [▶ 2496]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> MVCP106 <input type="checkbox"/> MVCP107 <input type="checkbox"/> MVCP111 <input type="checkbox"/> MVCP112 <input type="checkbox"/> MVCP113 <input type="checkbox"/> MVCP114 <input type="checkbox"/> MVCP115 <input type="checkbox"/> MVCP116 <input type="checkbox"/> MVCP117 <input type="checkbox"/> MVCP118 <p>If the referenced settlement instruction is not an already matched instruction or the actor reference is empty the field is greyed out.</p>
Hold / Release	
Current Hold Status	
Party Hold Status	Shows the current status of the party hold of the referenced settlement instruction as set by the T2S party.
CSD Hold Status	Shows the current CSD hold status of the referenced settlement instruction as set by the CSD.

Hold / Release Instruction	
CSD Validation Hold Status	<p>Shows the current CSD validation hold status of the referenced settlement instruction.</p> <p>When the settlement instruction fulfills one market-specific restriction which is configured in the T2S reference data table, this attribute has the value:</p> <ul style="list-style-type: none"><input type="checkbox"/> Yes<input type="checkbox"/> No <p>References for error messages [▶ 2496]:</p> <ul style="list-style-type: none"><input type="checkbox"/> MMPR207<input type="checkbox"/> MVRI575
CoSD Hold Status	<p>Shows the current CoSD hold status of the referenced settlement instruction.</p> <p>When the settlement instruction fulfills a CoSD rule set by an administering party, this attribute has the value:</p> <ul style="list-style-type: none"><input type="checkbox"/> Yes<input type="checkbox"/> No <p>References for error messages [▶ 2496]:</p> <ul style="list-style-type: none"><input type="checkbox"/> MVRI575<input type="checkbox"/> MVRI593
Hold Types	
Party Hold	<p>Select the party hold that allows you to send a hold/release instruction to T2S in order to put the referenced instruction on party hold.</p> <p>This field is greyed out in case the referenced instruction is already on 'Party Hold' or you have not the privileges to perform such an operation.</p> <p>References for error messages [▶ 2496]:</p> <ul style="list-style-type: none"><input type="checkbox"/> MMHI104<input type="checkbox"/> MMHI105<input type="checkbox"/> MVCP019<input type="checkbox"/> MVCP046<input type="checkbox"/> MVCP093<input type="checkbox"/> MVCP106<input type="checkbox"/> MVCP114

Hold / Release Instruction	
	<input type="checkbox"/> MVCP116 <input type="checkbox"/> MMPR207
CSD Hold	<p>Select the CSD hold allows you to send a hold/release instruction to T2S in order to put the referenced instruction on CSD hold.</p> <p>This field is greyed out in case the referenced instruction is already on 'CSD Hold' or you have not the privileges to perform such an operation.</p> <p>References for error messages [▶ 2496]:</p> <input type="checkbox"/> MMHI104 <input type="checkbox"/> MMHI105 <input type="checkbox"/> MVCP020 <input type="checkbox"/> MVCP021 <input type="checkbox"/> MVCP046 <input type="checkbox"/> MVCP107 <input type="checkbox"/> MVCP115 <input type="checkbox"/> MVCP117 <input type="checkbox"/> MMPR207
Release Types	
Party Release	<p>Select the party release allows you to send a hold/release instruction to T2S in order to release the referenced instruction which has been previously put on party hold.</p> <p>This field is greyed out in case the referenced instruction is not on 'Party Hold' or you have not the privileges to perform such an operation.</p> <p>References for error messages [▶ 2496]:</p> <input type="checkbox"/> MMRI201 <input type="checkbox"/> MMRI202 <input type="checkbox"/> MMRI204 <input type="checkbox"/> MVCP022 <input type="checkbox"/> MVCP047 <input type="checkbox"/> MVCP094 <input type="checkbox"/> MVCP111 <input type="checkbox"/> MVCP129

Hold / Release Instruction	
	<input type="checkbox"/> MVRI591
CSD Release	<p>Select the CSD release allows you to send a hold/release instruction to T2S in order to release the referenced instruction which has been previously put on CSD hold.</p> <p>This field is greyed out in case the referenced instruction is not on 'CSD Hold' or you have not the privileges to perform such an operation.</p> <p>References for error messages [▶ 2496]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> MMRI201 <input type="checkbox"/> MMRI202 <input type="checkbox"/> MMRI204 <input type="checkbox"/> MVCP023 <input type="checkbox"/> MVCP025 <input type="checkbox"/> MVCP047 <input type="checkbox"/> MVCP112 <input type="checkbox"/> MVRI591
CSD Validation Release	<p>Select the CSD validation release allows you to send a hold/release instruction to T2S in order to release the referenced instruction which has been previously put on CSD validation hold by the system.</p> <p>You cannot put an instruction on 'CSD Validation Hold'. This operation can only be performed by the system.</p> <p>This field is greyed out in case the referenced instruction is not on 'CSD Validation Hold' or you have not the privileges to perform such an operation.</p> <p>References for error messages [▶ 2496]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> MMRI201 <input type="checkbox"/> MMRI202 <input type="checkbox"/> MMRI204 <input type="checkbox"/> MVCP024 <input type="checkbox"/> MVCP026 <input type="checkbox"/> MVCP047 <input type="checkbox"/> MVCP113 <input type="checkbox"/> MVCP118

Hold / Release Instruction	
	<input type="checkbox"/> MVRI591
CoSD Release	<p>Select the CoSD release allows you to send a hold/release instruction to T2S in order to release the referenced instruction which has been previously put on 'CoSD Hold' by the system.</p> <p>References for error messages [▶ 2496]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> MMPR201 <input type="checkbox"/> MMPR202 <input type="checkbox"/> MMPR203 <input type="checkbox"/> MMPR204 <input type="checkbox"/> MMPR207 <input type="checkbox"/> MMPR208 <input type="checkbox"/> MMPR209 <input type="checkbox"/> MMRI201 <input type="checkbox"/> MMRI202 <input type="checkbox"/> MMRI204 <input type="checkbox"/> MVCP047 <input type="checkbox"/> MVRI584 <input type="checkbox"/> MVRI591 <input type="checkbox"/> MVRI593 <input type="checkbox"/> MVRI595
CoSD Rule Identification	<p>Enter the reference of the CoSD rule to be released by the hold/release instruction.</p> <p>Required format is: max. 35 characters (SWIFT-x)</p> <p>In case the referenced instruction is not on 'CoSD Hold', this field must be greyed out.</p> <p>References for error messages [▶ 2496]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> MMPR203 <input type="checkbox"/> MMPR204 <input type="checkbox"/> MMPR208 <input type="checkbox"/> MMPR209 <input type="checkbox"/> MVCP043 <input type="checkbox"/> MVRI571

Hold / Release Instruction	
	<input type="checkbox"/> MVRI572 <input type="checkbox"/> MVRI584 <input type="checkbox"/> MVRI595
Quantity	
Released Quantity	<p>Enter the quantity to be released if you want to partially release a delivering settlement instruction which has been previously put on party hold.</p> <p>This field is only available in case the released instruction is on 'Party Hold' and it is the delivering side of the transaction. If any other hold applies to the instruction, the released instruction is the receiving part of the transaction, it is unmatched, it is an internally generated instruction or its intended settlement date has not been reached, the field is greyed out.</p> <p>Required format is:</p> <p>For settlement type = Unit, max.15 digit(s) incl. decimal point (thereof max. 14 decimal places)</p> <p>For settlement type = Face amount, max. 15 digit(s) incl. decimal point (thereof max. 5 decimal places)</p> <p>References for error messages: [▶ 2496]</p> <input type="checkbox"/> MVRI598 <input type="checkbox"/> MVRI599 <input type="checkbox"/> MVRI600 <input type="checkbox"/> MVRI601 <input type="checkbox"/> MVRI602 <input type="checkbox"/> MVRI603 <input type="checkbox"/> MVRI604 <input type="checkbox"/> SPSA001 <input type="checkbox"/> SPSA002 <input type="checkbox"/> SPSA019
	<p>In case the released quantity is not populated and the party release is selected, the party release instruction will be for the total quantity of the settlement instruction, or for the remaining to be settled quantity in case the settlement instruction has been already partially settled.</p>

Buttons

Submit	<p>This function enables you to create a new hold/release instruction. Upon clicking on the <i>submit</i> button you will be asked to enter your PIN for digital signature purposes (NRO). With the entry of the PIN, T2S attaches a digital signature to the instruction entered by the T2S actor.</p> <p>Next screen:</p> <ul style="list-style-type: none"><input type="checkbox"/> <i>Hold/Release Instruction – New screen</i> <p>References for error messages [▶ 2496]:</p> <ul style="list-style-type: none"><input type="checkbox"/> MMHI101<input type="checkbox"/> MMHI102<input type="checkbox"/> MMHI104<input type="checkbox"/> MMHI105<input type="checkbox"/> MMPR201<input type="checkbox"/> MMPR202<input type="checkbox"/> MMPR203<input type="checkbox"/> MMPR204<input type="checkbox"/> MMPR207<input type="checkbox"/> MMPR208<input type="checkbox"/> MMPR209<input type="checkbox"/> MMRI201<input type="checkbox"/> MMRI202<input type="checkbox"/> MMRI204<input type="checkbox"/> MVCP004<input type="checkbox"/> MVCP019<input type="checkbox"/> MVCP020<input type="checkbox"/> MVCP021<input type="checkbox"/> MVCP022<input type="checkbox"/> MVCP023<input type="checkbox"/> MVCP024<input type="checkbox"/> MVCP025<input type="checkbox"/> MVCP026<input type="checkbox"/> MVCP043<input type="checkbox"/> MVCP044
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- MVCP046
- MVCP047
- MVCP093
- MVCP094
- MVCP106
- MVCP107
- MVCP111
- MVCP112
- MVCP113
- MVCP114
- MVCP115
- MVCP116
- MVCP117
- MVCP118
- MVCP121
- MVCP129
- MCVV109
- MCVV233
- MVDC026
- MVRI555
- MVRI556
- MVRI571
- MVRI572
- MVRI575
- MVRI584
- MVRI591
- MVRI593
- MVRI595
- MVRI598
- MVRI599
- MVRI600
- MVRI601

	<input type="checkbox"/> MVRI602 <input type="checkbox"/> MVRI603 <input type="checkbox"/> MVRI604 <input type="checkbox"/> SPSA001 <input type="checkbox"/> SPSA002 <input type="checkbox"/> SPSA019
Cancel	<p>This function enables you to cancel the process and return to the previous screen.</p> <p>Next screen:</p> <input type="checkbox"/> <i>Settlement instruction – details</i> screen [▶ 201]
Reset	<p>This function enables you to set all fields to default value and blanks out all optional fields.</p> <p>Next screen:</p> <input type="checkbox"/> <i>Hold/release instruction – new</i> screen

2.2.2.11 Intra-Position Movements - Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for settlement restrictions on securities. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.

This screen is not relevant for CB users.

Screen Access

Securities >> Settlement >> Intra-Position Movements

Privileges

To use this screen, you need the following privilege [▶ 1929]:

Intra-position movements query

References

User Instructions Part

This screen is part of the following business scenarios:

- Add a link for a settlement restriction on securities [▶ 1378]
- Amend the priority for a settlement restriction on securities [▶ 1381]
- Cancel a settlement restriction on securities [▶ 1394]
- Copy a settlement restriction on securities [▶ 1368]
- Enter a Settlement Restriction on Securities [▶ 1366]
- Remove a link for a settlement restriction on securities [▶ 1383]
- View settlement restriction on securities details [▶ 1371]

Business Functionality Document

This screen corresponds to the following business functions:

- Query instruction (T2S.GUI.SESE.INX.0010)
- Display instruction list (T2S.GUI.SESE.INX.0020)

Screenshot

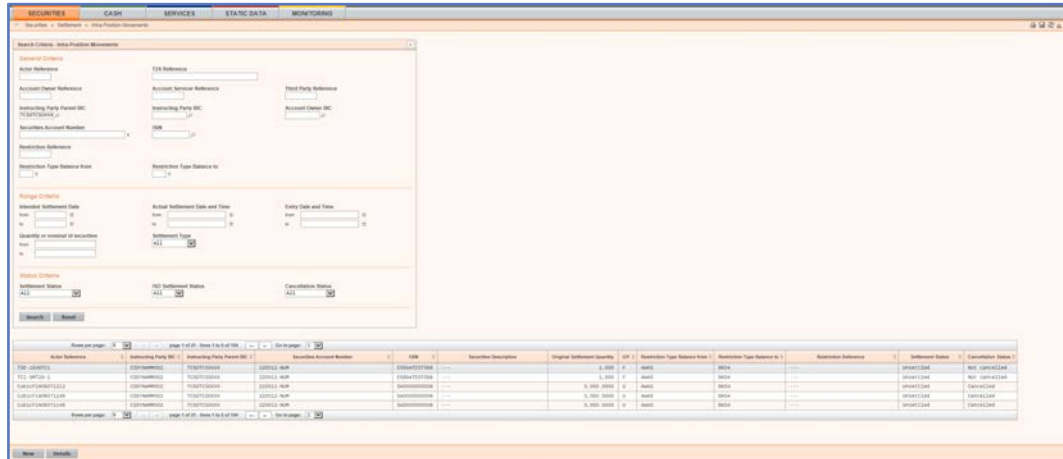


Illustration 50: Intra-position movements - search/list screen

Field Description

Intra-Position Movements – Search Criteria	
Actor Reference	Enter the identification of the reference assigned for a settlement restriction by the T2S actor. Required format is: max. 16 characters (SWIFT-x)
T2S Reference	Enter the identification of the reference assigned for a settlement restriction on securities by T2S. Required format is: max. 16 characters (SWIFT-x)
Securities Account Owner Reference	Enter the reference assigned by the T2S party to the settlement restriction. Required format is: max. 16 characters (SWIFT-x)
Securities Account Servicer Reference	Enter the reference assigned by the CSD to the settlement restriction on cash. Required format is: max. 16 characters (SWIFT-x)
Third Party Reference	Enter the reference assigned by a third party to the instruction. Required format is: max. 16 characters (SWIFT-x)
Instructing Party Parent BIC	Enter the parent BIC of the instructing party or search via the <i>magnifier</i> icon. Required format is: max. 11 characters (SWIFT-x) References for error messages [2566]: <input type="checkbox"/> QMPC048

Intra-Position Movements – Search Criteria	
	<input type="checkbox"/> QMPC084
Instructing Party BIC	<p>Enter the BIC of the instructing party or search via the <i>magnifier</i> icon.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>References for error messages [▶ 2566]:</p> <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC084
Securities Account Owner BIC	<p>Enter the BIC of the account owner.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>Reference for error message [▶ 2566]:</p> <input type="checkbox"/> QMPC032
Securities Account Number	<p>Enter the number of the securities account</p> <p>Required format is: max. 35 characters (SWIFT-x)</p> <p>Reference for error message [▶ 2566]:</p> <input type="checkbox"/> QMPC030
ISIN	<p>Enter the ISIN of the securities.</p> <p>Required format is: max. 12 characters (SWIFT-x)</p> <p>Reference for error message [▶ 2566]:</p> <input type="checkbox"/> QMPC018
Restriction Reference	<p>Enter the unique identification of a restricted securities position.</p> <p>Required format is: max. 16 characters (SWIFT-x)</p>
Restriction Type Balance from	<p>Select the restriction type code of the securities position from which the securities have to be restricted/ unrestricted from the drop-down menu.</p> <p>Reference for error message [▶ 2566]</p> <input type="checkbox"/> IIMP102 <p>The securities balance type of 'Balance from' must be different from the securities balance type of 'Balance to'.</p>
Restriction Type Balance to	<p>Select the restriction type code of the securities position to which the securities have to be restricted/ unrestricted from the drop-down menu.</p> <p>Reference for error message [▶ 2566]:</p> <input type="checkbox"/> IIMP102

Intra-Position Movements – Search Criteria	
	The securities balance type of 'Balance to' must be different from the securities balance type of 'Balance from'.
Intended Settlement Date - from	Enter the lower bound of the search range for the intended settlement date or use the <i>calendar</i> icon. Required format is: YYYY-MM-DD Reference for error message [▶ 2566]: <input type="checkbox"/> QMPC012
Intended Settlement Date - to	Enter the upper bound of the search range for the intended settlement date or use the <i>calendar</i> icon. Required format is: YYYY-MM-DD Reference for error message [▶ 2566]: <input type="checkbox"/> QMPC012
Actual Settlement Date - from	Enter the lower bound of the search range for the actual settlement date or use the <i>calendar</i> icon. Required format is: YYYY-MM-DD hh:mm:ss References for error messages [▶ 2566]: <input type="checkbox"/> QMPC013 <input type="checkbox"/> QMPC014
Actual Settlement Date - to	Enter the upper bound of the search range for the actual settlement date or use the <i>calendar</i> icon. Required format is: YYYY-MM-DD hh:mm:ss Reference for error message [▶ 2566]: <input type="checkbox"/> QMPC014
Entry Date and Time – from	Enter the lower bound of the search range for the entry date and time or use the <i>calendar</i> icon. Required format is: YYYY-MM-DD hh:mm:ss References for error messages [▶ 2566]: <input type="checkbox"/> QMPC015 <input type="checkbox"/> QMPC073
Entry Date and Time – to	Enter the upper bound of the search range for the entry date and time or use the <i>calendar</i> icon. Required format is: YYYY-MM-DD hh:mm:ss References for error messages [▶ 2566]: <input type="checkbox"/> QMPC015

Intra-Position Movements – Search Criteria	
	<input type="checkbox"/> QMPC095
Quantity or Nominal of Securities – from	<p>Enter the lower bound of the search range for the quantity or nominal of securities.</p> <p>Required format is: max. 18,6 digits incl. decimal point</p> <p>Reference for error message [▶ 2566]:</p> <input type="checkbox"/> QMPC006
Quantity or Nominal of Securities – to	<p>Enter the upper bound of the search range for the quantity or nominal of securities.</p> <p>Required format is: max. 18,6 digits incl. decimal point</p> <p>Reference for error message [▶ 2566]:</p> <input type="checkbox"/> QMPC006
Settlement Type	<p>Select the type of settlement foreseen for the securities from the possible values:</p> <input type="checkbox"/> Unit <input type="checkbox"/> Face amount
Settlement Status	<p>Select the settlement status of the settlement restriction from the possible values:</p> <input type="checkbox"/> All (default value) <input type="checkbox"/> Unsettled <input type="checkbox"/> Settled <input type="checkbox"/> Partially settled
ISO Settlement status	<p>Select the settlement status of the settlement restriction from the possible values:</p> <input type="checkbox"/> All (default value) <input type="checkbox"/> Pending <input type="checkbox"/> Failing <p>If value 'Settlement Status' field is set to 'Settled' or 'Cancellation Status' field is set to 'Cancelled', this field is disabled.</p>
Cancellation Status	<p>Select the cancellation status of the settlement restriction from the possible values:</p> <input type="checkbox"/> All (default value) <input type="checkbox"/> Cancelled <input type="checkbox"/> Not cancelled

Intra-Position Movements – Search Criteria	
Intra-Position Movements - List	
Actor Reference	Shows the identification of the reference assigned for a settlement restriction by the instructing party.
Instructing Party BIC	Shows the BIC of the instructing party.
Instructing Party Parent BIC	Shows the parent BIC of the instructing party.
Securities Account Number	Shows the number of the securities account.
ISIN	Shows the ISIN of the securities.
Securities Description	Shows the textual description of a security instrument.
Original Quantity	Shows the quantity of financial instrument in units, original face amount or current face amount.
Settlement Unit	Shows the quantity type of the original settlement quantity from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Units <input type="checkbox"/> Face amount
Restriction Type – Balance from	Shows the code specified by the CSD to identify the restriction.
Restriction Type - Balance to	Shows the code specified by the CSD to identify the restriction.
Restriction Reference	Shows the unique identification of a restricted securities position.
Settlement Status	Shows the current settlement status of the settlement restriction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Unsettled <input type="checkbox"/> Settled <input type="checkbox"/> Partially settled
Cancellation Status	Shows the current cancellation status of the settlement restriction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Cancelled <input type="checkbox"/> Not cancelled

Buttons

Search	<p>This function enables you to start a search according to the criteria entered. The results are displayed in a list on the same screen.</p> <p>If the search retrieves a single record, the <i>details</i> screen is displayed directly.</p> <p>Next screens:</p> <ul style="list-style-type: none"><input type="checkbox"/> <i>Intra-position movements – search/list</i> screen<input type="checkbox"/> <i>Intra-position movement – details</i> screen [▶ 154] <p>References for error messages [▶ 2566]:</p> <ul style="list-style-type: none"><input type="checkbox"/> QMPC006<input type="checkbox"/> QMPC012<input type="checkbox"/> QMPC013<input type="checkbox"/> QMPC014<input type="checkbox"/> QMPC015<input type="checkbox"/> QMPC018<input type="checkbox"/> QMPC030<input type="checkbox"/> QMPC032<input type="checkbox"/> QMPC048<input type="checkbox"/> QMPC073<input type="checkbox"/> QMPC084<input type="checkbox"/> QMPC095
Reset	<p>This function enables you to set default search criteria and blanks out all optional criteria</p> <p>Next screen:</p> <ul style="list-style-type: none"><input type="checkbox"/> <i>Intra-position movements– search/list</i> screen
New	<p>This function enables you to create a new settlement restriction on securities.</p> <p>Next screen:</p> <ul style="list-style-type: none"><input type="checkbox"/> <i>Intra-position movement – new</i> screen [▶ 161]
Details	<p>This function enables you to display the details of the selected settlement restriction on securities.</p> <p>Next screen:</p> <ul style="list-style-type: none"><input type="checkbox"/> <i>Intra-position movement – details</i> screen [▶ 154]

2.2.2.12 Intra-Position Movement - Details Screen

Context of Usage	<p>This screen displays detailed information on the selected settlement restriction on securities. You can check the data and proceed further by clicking on the buttons below.</p> <p>This screen is not relevant for CB users.</p>
Screen Access	<ul style="list-style-type: none">□ <code>Securities >> Settlement >> Intra-Position Movements >> Intra-position movements – search/list</code> screen >> Click on the <i>search</i> and/or <i>details</i> button
Privileges	<p>To use this screen you need the following privilege:</p> <ul style="list-style-type: none">□ Intra-position movements query
References	<p>User Instructions Part</p> <p>This screen is part of the following business scenarios:</p> <ul style="list-style-type: none">□ Add a link for a settlement restriction on securities [▶ 1378]□ Amend the priority for a settlement restriction on securities [▶ 1381]□ Cancel a settlement restriction on securities [▶ 1394]□ Copy a settlement restriction on securities [▶ 1368]□ Remove a link for a settlement restriction on securities [▶ 1383]□ View settlement restriction on securities details [▶ 1371] <p>Business Functionality Document</p> <p>This screen corresponds to the following business function:</p> <ul style="list-style-type: none">□ Display instruction details (T2S.GUI.SESE.INX.0030)

Screenshot

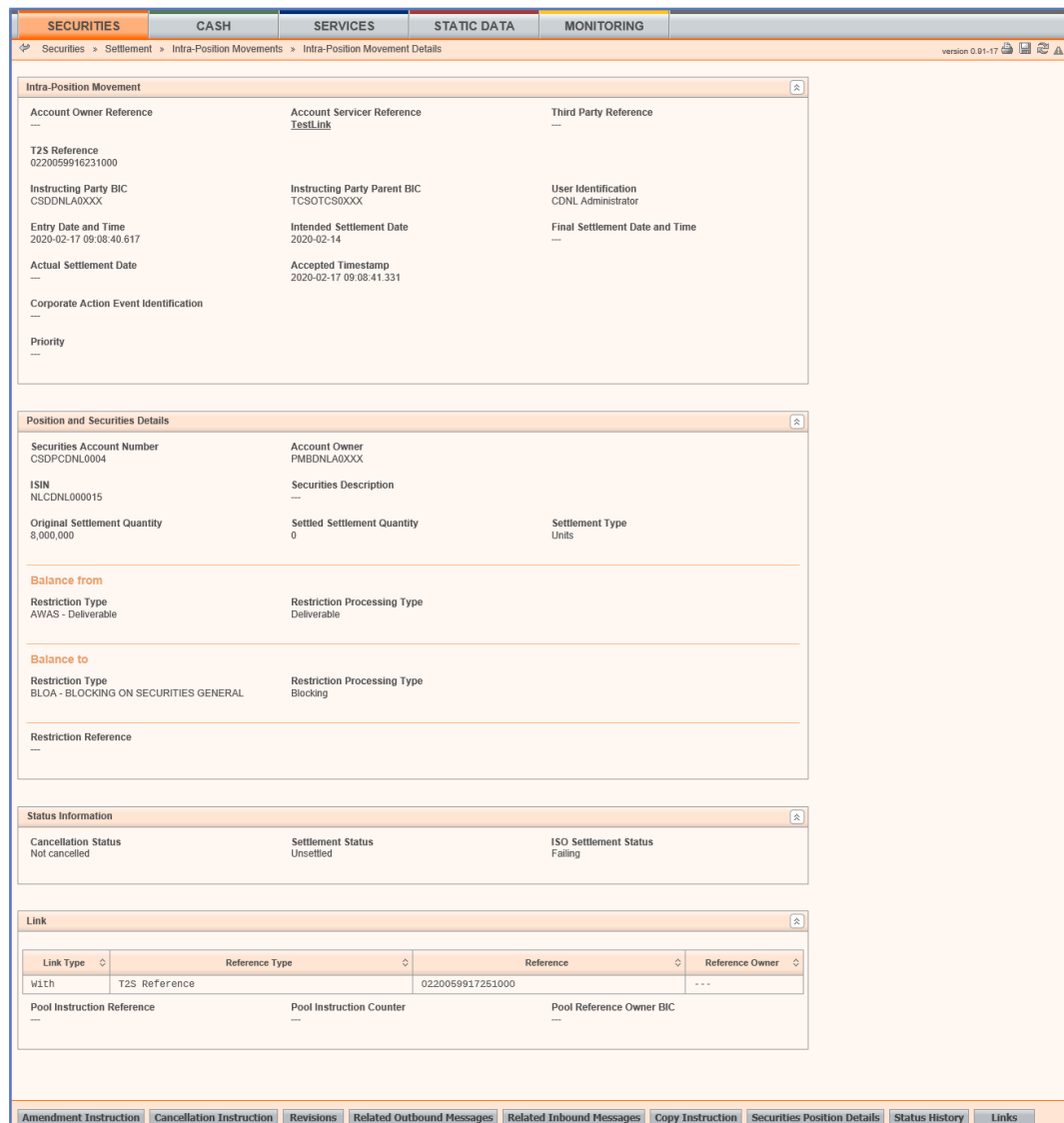


Illustration 51: Intra-position movement - details screen

Field Description

Intra-Position Movement	
Securities Account Owner Reference	Shows the reference assigned by the T2S party to the settlement restriction. Only one of the three references exists. The reference in bold and underlined font is the actor reference owned by the instructing party.
Securities Account Servicer Reference	Shows the reference assigned by the CB to the settlement restriction on securities. Only one of the three references exists. The reference in bold and underlined font is the actor reference owned by the instructing party.
Third Party Reference	Shows the reference assigned by a third party to the instruction.

Intra-Position Movement	
	Only one of the three references exists. The reference in bold and underlined font is the actor reference owned by the instructing party.
T2S Reference	Shows the identification of the reference assigned for a settlement restriction on securities by T2S.
Instructing Party BIC	Shows the BIC of the instructing party.
Instructing Party Parent BIC	Shows the parent BIC of the instructing party.
User Identification	Shows user identification of the sending party.
Entry Date and Time	Shows the date and time when the instruction has entered in T2S. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Intended Settlement Date	Shows the date at which the instruction becomes eligible to be submitted to settlement. Displayed format is: YYYY-MM-DD
Final Settlement Date and Time	Shows the business date and the calendar time when the settlement restriction has been totally settled. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Actual Settlement Date and Time	Shows the business date and the calendar time when the settlement restriction has been settled or totally settled. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Accepted Timestamp (SF1)	Shows the accepted timestamp of the settlement restriction. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Corporate Action Event Identification	Shows the identification assigned by the account servicer to unambiguously identify a corporate action event.
Priority	Shows the level of priority assigned by T2S or by the T2S actor from the possible values: <input type="checkbox"/> Normal <input type="checkbox"/> High <input type="checkbox"/> Top <input type="checkbox"/> Reserved
Position and Securities Details	
Securities Account Number	Shows the securities account of the settlement restriction on securities.

Intra-Position Movement	
Securities Account Owner BIC	Shows the BIC of the owner of the securities account.
ISIN	Shows the ISIN of the securities account.
Securities Description	Shows the textual description of a securities account.
Original Settlement Quantity	Shows the total quantity of securities to be settled.
Settled Settlement Quantity	Shows the settled quantity of securities.
Settlement Type	Shows the type of settlement foreseen for the securities from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Units <input type="checkbox"/> Face amount
Balance from	
Restriction Type	Shows the code defined in reference data to identify the restriction.
Restriction Processing Type	Shows the type of processing T2S shall apply for the restriction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Rejection <input type="checkbox"/> Reservation <input type="checkbox"/> Blocking <input type="checkbox"/> Earmarking <input type="checkbox"/> Earmarking for auto-collateralisation <input type="checkbox"/> Deliverable <input type="checkbox"/> Collateralised <input type="checkbox"/> CoSD blocking
Balance to	
Restriction Type	Shows the code defined in reference data to identify the restriction.
Restriction Processing Type	Shows the type of processing T2S shall apply for the restriction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Rejection <input type="checkbox"/> Reservation <input type="checkbox"/> Blocking

Intra-Position Movement	
	<input type="checkbox"/> Earmarking <input type="checkbox"/> Earmarking for auto-collateralisation <input type="checkbox"/> Deliverable <input type="checkbox"/> Collateralised <input type="checkbox"/> CoSD blocking
Restriction Reference	Shows the unique identification of a restriction reference.
Status Information	
Cancellation Status	Shows the current cancellation status of the settlement restriction from the possible values: <input type="checkbox"/> Cancelled <input type="checkbox"/> Not cancelled
Settlement Status	Shows the current settlement status of the settlement restriction from the possible values: <input type="checkbox"/> Settled <input type="checkbox"/> Partially settled <input type="checkbox"/> Unsettled
ISO Settlement Status	Shows the current ISO settlement status of the settlement restriction from the possible values: <input type="checkbox"/> Pending <input type="checkbox"/> Failing
Link	
Link Type	Shows the type of link of the instruction your restriction is linked with from the possible values: <input type="checkbox"/> After <input type="checkbox"/> Before <input type="checkbox"/> With <input type="checkbox"/> Info
Reference Type	Shows the reference type of the instruction to be linked/unlinked to from the possible values: <input type="checkbox"/> Account owner settlement instruction reference <input type="checkbox"/> Account owner settlement instruction reference <input type="checkbox"/> Account owner intra balance movement reference

Intra-Position Movement	
	<input type="checkbox"/> Account owner intra position movement reference <input type="checkbox"/> Account servicer reference <input type="checkbox"/> Third party reference <input type="checkbox"/> T2S reference
Reference	Shows the identification of the instruction to be linked/un-linked to.
Reference Owner	Shows the BIC of the instructing party of the instruction to be linked/unlinked to. This field is only filled in if the 'Reference Type' is set to any value different than 'T2S Reference'.
Pool Instruction reference	Shows the collective reference identifying a set of messages.
Pool Instruction Counter	Shows the maximum number of instructions included in the pool.
Pool Reference Owner BIC	Shows the BIC of the owner of the Pool Instruction Reference

Buttons

Amendment Instruction	<p>This function enables you to create a new amendment instruction for your settlement restriction on securities.</p> <p>This button is not available in case any status of the settlement restriction on securities does not allow the creation of the amendment instruction.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Amendment securities instruction - new</i> screen [▶ 113]
Cancellation Instruction	<p>This function enables you to create a new cancellation instruction for your settlement restriction on securities.</p> <p>This button is not available in case any status of the settlement restriction on securities does not allow the creation of the cancellation instruction.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Cancellation securities instruction - new</i> screen [▶ 127]
Revisions	<p>This function enables you to display the revisions of the settlement restriction on securities.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Revisions/audit trail – list</i> screen [▶ 670]

Related Outbound Messages	<p>This function enables you to display the outbound messages related to the settlement restriction on securities.</p> <p>In case the settlement restriction on securities is linked to more than 1 outbound message, the <i>outbound messages - search/list</i> screen is displayed.</p> <p>In case the query only returns 1 valid message, the <i>outbound message – details</i> screen is displayed directly.</p> <p>Next screens:</p> <ul style="list-style-type: none"><input type="checkbox"/> <i>Outbound messages – search/list</i> screen [654]<input type="checkbox"/> <i>Outbound message – details</i> screen [▶ 662]
Related Inbound Messages	<p>This function enables you to display the inbound messages related to the settlement restriction on securities.</p> <p>In case the settlement restriction on securities is linked to more than 1 inbound message, the <i>inbound messages - search/list</i> screen is displayed.</p> <p>In case the query only returns 1 valid message, the <i>inbound message – details</i> screen is displayed directly.</p> <p>Next screens:</p> <ul style="list-style-type: none"><input type="checkbox"/> <i>Inbound messages – search/list</i> screen [▶ 637]<input type="checkbox"/> <i>Inbound message – details</i> screen [▶ 644]
Copy Instruction	<p>This function enables you to copy fields to create a new settlement restriction on securities with the same attributes.</p> <p>Next screen:</p> <ul style="list-style-type: none"><input type="checkbox"/> <i>Intra-position movement – new</i> screen
Securities Position Details	<p>This function enables you to display the position details related to the settlement restriction on securities.</p> <p>All the position details from the ‘Settlement Restriction on Securities’ are shown, including the restriction type from the ‘Balance From’ and the ‘Balance to’.</p> <p>Next screen:</p> <ul style="list-style-type: none"><input type="checkbox"/> <i>Securities position - details</i> screen [▶ 184]
Status History	<p>This function enables you to display the status history of the settlement restriction on securities.</p> <p>Next screen:</p>

	<input type="checkbox"/> <i>Status history – details</i> screen [276]
Links	<p>This function enables you to display all the links data preventing settlement at the moment when the request is performed.</p> <p>This button is not available in the following cases:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Cancellation Status = “cancelled” <input type="checkbox"/> Settlement Status=“settled” <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Links Preventing Settlement-screen

2.2.2.13 Intra-Position Movement - New Screen

Context of Usage

The screen contains a number of fields regarding settlement restrictions on securities (intra-position movement). You can enter new data. Afterwards you can proceed further by clicking on the buttons below.

You can use this screen either in 2-eyes or in 4-eyes mode.

This screen is not relevant for CB users.

Screen Access

Securities >> Settlement >> Intra-Position Movements >> *Intra position movements – search/list* screen >> Click on the *new* button

Privileges

To use this screen you need the following privileges [▶ 1928]:

- Send new instruction using a specific instructing party
- Send new settlement instruction/settlement restriction on securities either on a securities account or on behalf of an external CSD
- Link to an instruction/pool reference belonging to a specific party
- Unblock CoSD securities blocking related to cancelled CoSD settlement instructions on behalf of the relevant CSD in T2S

References

User Instructions Part

This screen is part of the following business scenarios:

- Enter a settlement restriction on securities [▶ 1366]
- Copy a settlement restriction on securities [▶ 1368]

Business Functionality Document

This screen corresponds to the following business function:

- Add settlement instruction or settlement restriction (T2S.GUI.SESE.INX.0070)

Screenshot

Illustration 52: Intra-position movement - new screen

Field Description

Intra-Position Movement	
Actor Reference*	Enter the identification of the T2S actor. Required format is: max. 16 characters (SWIFT-x-red) References for error messages [▶ 2542]: <ul style="list-style-type: none"> <input type="checkbox"/> MVDC003 <input type="checkbox"/> MVDC005 <input type="checkbox"/> MVDC007 <input type="checkbox"/> MVDC015 <input type="checkbox"/> MVDC017 <input type="checkbox"/> MVDC019 <input type="checkbox"/> MVDC022 <input type="checkbox"/> MVDC023 <input type="checkbox"/> MVSR705 <input type="checkbox"/> MVSR708
Instructing Party Parent BIC	Select the parent BIC of the instructing party from the drop-down menu or search via the <i>magnifier</i> icon.

Intra-Position Movement	
	<p>If the instructing party is entered, the instructing party parent must also be entered.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>References for error messages [▶ 2542]:</p> <ul style="list-style-type: none"><input type="checkbox"/> MVCP120<input type="checkbox"/> MVCV110<input type="checkbox"/> MVCV230<input type="checkbox"/> MVCV290<input type="checkbox"/> MVDC003<input type="checkbox"/> MVDC005<input type="checkbox"/> MVDC007<input type="checkbox"/> MVDC015<input type="checkbox"/> MVDC017<input type="checkbox"/> MVDC019<input type="checkbox"/> MVDC022<input type="checkbox"/> MVDC023<input type="checkbox"/> MVDC025<input type="checkbox"/> MVIC310<input type="checkbox"/> MVIC312<input type="checkbox"/> MVIC314
Instructing Party BIC	<p>Select the BIC of the instructing party from the drop-down menu or search via the <i>magnifier</i> icon.</p> <p>In case the instructing party is not entered, the instructing party informed to the back end module will be the party you belong to.</p> <p>If the instructing party parent is entered, the instructing party must also be entered.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>References for error messages [▶ 2542]:</p> <ul style="list-style-type: none"><input type="checkbox"/> MVCP097<input type="checkbox"/> MVCP099<input type="checkbox"/> MVCP120<input type="checkbox"/> MVCV110

Intra-Position Movement	
	<ul style="list-style-type: none"> <input type="checkbox"/> MVCV230 <input type="checkbox"/> MVCV290 <input type="checkbox"/> MVDC003 <input type="checkbox"/> MVDC005 <input type="checkbox"/> MVDC007 <input type="checkbox"/> MVDC015 <input type="checkbox"/> MVDC017 <input type="checkbox"/> MVDC019 <input type="checkbox"/> MVDC022 <input type="checkbox"/> MVDC023 <input type="checkbox"/> MVDC025 <input type="checkbox"/> MVIC310 <input type="checkbox"/> MVIC312 <input type="checkbox"/> MVIC314 <input type="checkbox"/> MVSP210
Intended Settlement Date*	<p>Enter the date at which the instruction becomes eligible to be submitted to settlement or use the <i>calendar</i> icon.</p> <p>Required format is: YYYY-MM-DD</p> <p>References for error messages [2542]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> MVCV110 <input type="checkbox"/> MVCV124 <input type="checkbox"/> MVCV230 <input type="checkbox"/> MVCV284 <input type="checkbox"/> MVCV302 <input type="checkbox"/> MVCV304 <input type="checkbox"/> MVIC306 <input type="checkbox"/> MVIC310 <input type="checkbox"/> MVIC312 <input type="checkbox"/> MVLI819 <input type="checkbox"/> MVLI820 <input type="checkbox"/> MVLI821 <input type="checkbox"/> MVLI822

Intra-Position Movement	
	<ul style="list-style-type: none"><input type="checkbox"/> MVLI823<input type="checkbox"/> MVLI824<input type="checkbox"/> MVLI834<input type="checkbox"/> MVLI835<input type="checkbox"/> MVLI836<input type="checkbox"/> MVRR904<input type="checkbox"/> MVRR991<input type="checkbox"/> MVSD402<input type="checkbox"/> MVSD405
Corporate Action Event	<p>Enter the identification assigned by the account servicer to unambiguously identify a corporate action event.</p> <p>Required format is: max. 16 characters (SWIFT-x-red)</p> <p>References for error messages [▶ 2542]:</p> <ul style="list-style-type: none"><input type="checkbox"/> MVSQ607<input type="checkbox"/> MVSQ611
Priority	<p>Select the level of priority assigned to the instruction from the possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> Empty (default value)<input type="checkbox"/> Normal<input type="checkbox"/> High<input type="checkbox"/> Reserved <p>Reference for error message [▶ 2542]:</p> <ul style="list-style-type: none"><input type="checkbox"/> MVSP210
Securities Account Number*	<p>Select the technical identification of the account to or from which a securities entry is made.</p> <p>Required format is: max. 35 characters (SWIFT-x)</p> <p>References for error messages [▶ 2542]:</p> <ul style="list-style-type: none"><input type="checkbox"/> MVCP044<input type="checkbox"/> MCV004<input type="checkbox"/> MCV124<input type="checkbox"/> MCV284<input type="checkbox"/> MCV285<input type="checkbox"/> MCV290

Intra-Position Movement	
	<input type="checkbox"/> MRRR922
ISIN*	Select the ISIN of the security from the drop-down menu. References for error messages [▶ 2542]: <input type="checkbox"/> MVCV206 <input type="checkbox"/> MVCV302 <input type="checkbox"/> MVCV304 <input type="checkbox"/> MVIC306 <input type="checkbox"/> MVIC310 <input type="checkbox"/> MVIC312 <input type="checkbox"/> MVIC314 <input type="checkbox"/> MRRR923 <input type="checkbox"/> MVSQ603 <input type="checkbox"/> MVSQ605 <input type="checkbox"/> MVSQ607
Securities Short Name	Shows the description of the selected ISIN.
Securities Account Owner BIC	Enter the BIC of the securities account owner. Required format is: max. 11 characters (SWIFT-x) Reference for error message [▶ 2542]: <input type="checkbox"/> MVCV285
Quantity	Enter the quantity stated in the settlement restriction on securities. Required format is: For settlement type = Unit, max. 15 digit(s) incl. decimal point (thereof max. 14 decimal places) For settlement type = Face Amount, max. 15 digit(s) incl. decimal point (thereof max. 5 decimal places) This field will be displayed as soon as you select an ISIN. References for error messages [▶ 2542]: <input type="checkbox"/> MVCV608 <input type="checkbox"/> MVSQ603 <input type="checkbox"/> MVSQ605 <input type="checkbox"/> MVSQ607

Intra-Position Movement	
	<input type="checkbox"/> MVSQ613
Settlement Type	<p>Shows the type of settlement foreseen for the securities from the possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> Unit<input type="checkbox"/> Face Amount <p>Reference for error message [▶ 2542]:</p> <ul style="list-style-type: none"><input type="checkbox"/> MVSQ613
Restriction Type - Balance from*	<p>You can choose to either enter the 'Restriction Type -Balance from' or to select it from the suggested items in the drop-down menu.</p> <p>References for error messages [▶ 2542]:</p> <ul style="list-style-type: none"><input type="checkbox"/> MVRR995<input type="checkbox"/> MVRR902<input type="checkbox"/> MVRR904<input type="checkbox"/> MVRR907<input type="checkbox"/> MVRR908<input type="checkbox"/> MVRR909<input type="checkbox"/> MVRR915<input type="checkbox"/> MVRR921<input type="checkbox"/> MVRR954<input type="checkbox"/> MVRR970<input type="checkbox"/> MVRR971<input type="checkbox"/> MVRR979<input type="checkbox"/> MVRR980<input type="checkbox"/> MVRR981<input type="checkbox"/> MVCP097 <p>All the possible values stored in SD and available according the privileges will be shown.</p>
Restriction Type - Balance to*	<p>You can choose to either enter the 'Restriction Type -Balance to' or to select it from the suggested items in the drop-down menu.</p> <p>References for error messages [▶ 2542]:</p> <ul style="list-style-type: none"><input type="checkbox"/> MVRR907

Intra-Position Movement	
	<input type="checkbox"/> MVRR908 <input type="checkbox"/> MVRR909 <input type="checkbox"/> MVRR914 <input type="checkbox"/> MVRR921 <input type="checkbox"/> MVRR970 <input type="checkbox"/> MVRR971 <input type="checkbox"/> MVRR979 <input type="checkbox"/> MVRR980 <input type="checkbox"/> MVRR981 <input type="checkbox"/> MVRR990 <input type="checkbox"/> MVRR991 <p>All the possible values stored in SD and available according the privileges will be shown.</p>
Restriction Reference	<p>Enter the restriction reference applying on securities. Required format is: max. 16 characters (SWIFT-x-red) References for error messages [▶ 2542]:</p> <input type="checkbox"/> MVRR919 <input type="checkbox"/> MVRR921 <input type="checkbox"/> MVRR922 <input type="checkbox"/> MVRR923 <input type="checkbox"/> MVRR954 <input type="checkbox"/> MVRR970 <input type="checkbox"/> MVRR971 <input type="checkbox"/> MVRR980 <input type="checkbox"/> MVRR981 <input type="checkbox"/> MVRR982
Link	
Link Type	<p>Select a link processing position from the possible values:</p> <input type="checkbox"/> After (default value) <input type="checkbox"/> Before <input type="checkbox"/> With <input type="checkbox"/> Info

Intra-Position Movement	
	<p>References for error messages [▶ 2542]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> MVLI805 <input type="checkbox"/> MVLI806 <input type="checkbox"/> MVLI807 <input type="checkbox"/> MVLI819 <input type="checkbox"/> MVLI820 <input type="checkbox"/> MVLI821 <input type="checkbox"/> MVLI822 <input type="checkbox"/> MVLI823 <input type="checkbox"/> MVLI824 <input type="checkbox"/> MVLI834 <input type="checkbox"/> MVLI835 <input type="checkbox"/> MVLI836 <input type="checkbox"/> MVLI852 <input type="checkbox"/> MVLI858 <input type="checkbox"/> MVLI861 <input type="checkbox"/> MVLI864 <input type="checkbox"/> MVLI866 <input type="checkbox"/> MVLI873 <input type="checkbox"/> MVLI876 <input type="checkbox"/> MVCP016 <input type="checkbox"/> MVLI855 <p>This field is also available as a show field for existing records.</p>
Reference Type	<p>Select the reference type of the instruction to be linked/unlinked to from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Account Owner Settlement Instruction Reference <input type="checkbox"/> Account Owner Settlement Instruction Reference <input type="checkbox"/> Account Owner Intra Balance Movement Reference <input type="checkbox"/> Account Owner Intra Position Movement Reference <input type="checkbox"/> Account Servicer Reference <input type="checkbox"/> Third Party reference

Intra-Position Movement	
	<input type="checkbox"/> T2S reference Required format is: max. 35 characters (SWIFT-x) Reference for error message [▶ 2542]: <input type="checkbox"/> MVCP099 This field is also available as a show field for existing records.
Reference	Enter the identification of the instruction to be linked/unlinked to. Required format is: max. 16 characters (SWIFT-x-red) References for error messages [▶ 2542]: <input type="checkbox"/> MVCP016 <input type="checkbox"/> MVCP099 <input type="checkbox"/> MVLI873 <input type="checkbox"/> MVLI869 This field is also available as a show field for existing records.
Reference Owner	Enter the BIC of the instructing party of the instruction to be linked/unlinked to Required format is: max. 11 characters (SWIFT-x) This field is also available as a show field for existing records.
Options	Information on functions (icons) can be found in Common Buttons and Icons [▶ 53].
List	
Pool Instruction Identification	Enter the collective reference identifying a set of messages. Required format is: max. 16 characters (SWIFT-x-red) References for error messages [▶ 2542]: <input type="checkbox"/> MVCP016 <input type="checkbox"/> MVLI836 <input type="checkbox"/> MVLI846 <input type="checkbox"/> MVLI848 <input type="checkbox"/> MVLI868 <input type="checkbox"/> MVLI876

Intra-Position Movement	
Pool Instruction Counter	<p>Enter the pool identification.</p> <p>Required format is: Integer</p> <p>Reference for error message [▶ 2542]:</p> <ul style="list-style-type: none"><input type="checkbox"/> MVL1844 <p>This field becomes mandatory when the 'Pool Instruction Identification' is filled in.</p>
Pool Reference Owner BIC	<p>Enter the BIC of the owner of the Pool Instruction Reference.</p> <p>The field 'Pool Reference Owner BIC' becomes mandatory when the field 'Pool Instruction Identification' is filled in.</p>

Buttons

Submit	<p>This function enables you to create a new intra-position movement instruction. Upon clicking on the <i>submit</i> button you will be asked to enter your PIN for digital signature purposes (NRO). With the entry of the PIN, T2S attaches a digital signature to the instruction entered by the T2S actor.</p> <p>Next screen:</p> <ul style="list-style-type: none"><input type="checkbox"/> <i>Intra-position movements – search/list</i> screen [▶ 147] <p>References for error messages [▶ 2542]:</p> <ul style="list-style-type: none"><input type="checkbox"/> MVCP015<input type="checkbox"/> MVCP016<input type="checkbox"/> MVCP044<input type="checkbox"/> MVCP097<input type="checkbox"/> MVCP099<input type="checkbox"/> MVCP120<input type="checkbox"/> MCV004<input type="checkbox"/> MCV110<input type="checkbox"/> MCV124<input type="checkbox"/> MCV206<input type="checkbox"/> MCV230<input type="checkbox"/> MCV284<input type="checkbox"/> MCV285

- MVCV290
- MVCV302
- MVCV304
- MVCV608
- MVDC003
- MVDC005
- MVDC007
- MVDC015
- MVDC017
- MVDC019
- MVDC022
- MVDC023
- MVDC025
- MVIC306
- MVIC310
- MVIC312
- MVIC314
- MVLI805
- MVLI806
- MVLI807
- MVLI819
- MVLI820
- MVLI821
- MVLI822
- MVLI823
- MVLI824
- MVLI834
- MVLI835
- MVLI836
- MVLI844
- MVLI846
- MVLI848

- MVLI852
- MVLI855
- MVLI858
- MVLI861
- MVLI864
- MVLI866
- MVLI868
- MVLI869
- MVLI873
- MVLI876
- MVRR902
- MVRR904
- MVRR907
- MVRR908
- MVRR909
- MVRR914
- MVRR915
- MVRR919
- MVRR921
- MVRR922
- MVRR923
- MVRR954
- MVRR970
- MVRR971
- MVRR979
- MVRR980
- MVRR981
- MVRR982
- MVRR990
- MVRR991
- MVRR995
- MVSD402

	<input type="checkbox"/> MVSD405 <input type="checkbox"/> MVSP210 <input type="checkbox"/> MVSQ603 <input type="checkbox"/> MVSQ605 <input type="checkbox"/> MVSQ607 <input type="checkbox"/> MVSQ611 <input type="checkbox"/> MVSQ613 <input type="checkbox"/> MVSR705 <input type="checkbox"/> MVSR706 <input type="checkbox"/> MVSR708
Cancel	<p>This function enables you to cancel the process and return to the previous screen.</p> <p>Next screen:</p> <input type="checkbox"/> <i>Intra-position movements – search/list screen</i> [▶ 147]
Reset	<p>This function enables you to set all fields to default value and blanks out all optional fields.</p> <p>Next screen:</p> <input type="checkbox"/> <i>Intra-position movement - new screen</i>

2.2.2.14 Maintenance Securities Instructions - Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for maintenance securities instructions. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.

This screen is not relevant for CB users.

Screen Access

Securities >> Settlement >> Maintenance Securities Instructions >> *Maintenance securities instructions – search/list screen*

Privileges

To use this screen, you need the following privileges [▶ 1930]:

- Amendment instruction query for intra-position movements and settlement instructions
- Maintenance for intra-position movements and settlement instructions query
- Cancellation instruction query for intra-position movements and settlement instructions

References **User Instructions Part**

This screen is part of the following business scenarios:

- View amendment instruction for a settlement instruction details [▶ 1332]
- View amendment instruction for a settlement restriction on securities details [▶ 1385]
- View cancellation instruction for a settlement instruction details [▶ 1350]
- View cancellation instruction for a settlement restriction on securities details [▶ 1396]
- View hold/release instruction details [▶ 1346]

Business Functionality Document

This screen corresponds to the following business function:

- Query instruction (T2S.GUI.SESE.INX.0010)
- Display instruction list (T2S.GUI.SESE.INX.0020)

Screenshot

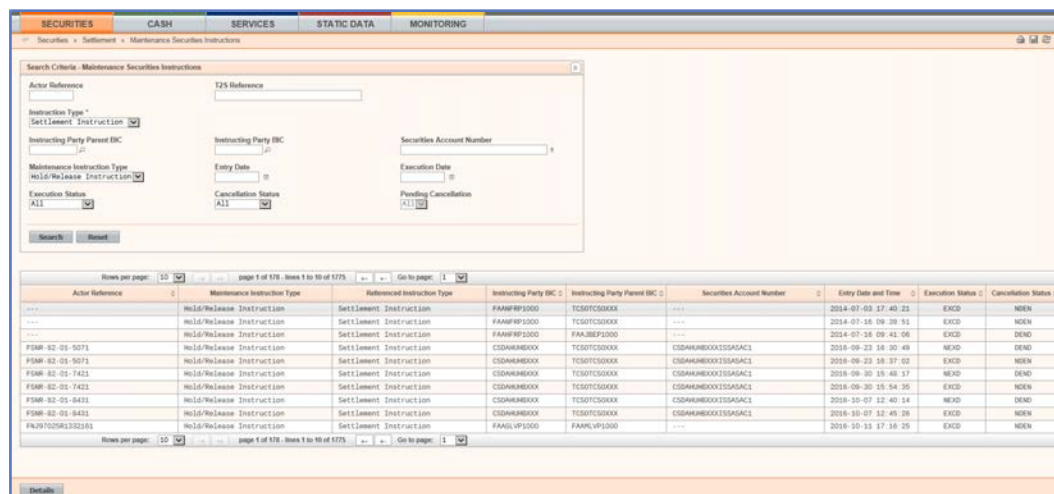


Illustration 53: Maintenance securities instructions - search/list screen

Field Description

Maintenance Securities Instructions – Search Criteria	
Actor Reference	Enter the identification assigned by the T2S actor. Required format is: max. 16 characters (SWIFT-x)
T2S Reference	Enter the identification of the reference assigned to the referenced instruction by T2S. Required format is: max. 16 characters (SWIFT-x)
Instruction Type	Select the instruction type of the maintenance securities instruction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Settlement Instruction (default value) <input type="checkbox"/> Intra-Position Movement <input type="checkbox"/> All

Maintenance Securities Instructions – Search Criteria	
Instructing Party BIC	<p>You can choose to either enter the BIC of the instructing party or to select it from the suggested items in the drop-down menu.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>References for error messages [▶ 2570]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC084
Instructing Party Parent BIC	<p>You can choose to either enter the parent BIC of the instructing party or to select it from the suggested items in the drop-down menu.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>References for error messages [▶ 2570]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC048 <input type="checkbox"/> QMPC084
Securities Account Number	<p>You can choose to either enter the 'Securities Account Number' or to select it from the suggested items in the drop-down menu.</p> <p>Reference for error message [▶ 2570]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC030
Maintenance Instruction Type*	<p>Select the type of the maintenance instruction from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Cancellation Instruction <input type="checkbox"/> Amendment Instruction <input type="checkbox"/> Hold/release Instruction (default value) <p>The value 'Hold/Release Instruction' cannot be selected if the 'Instruction Type' is 'Intra-Position Movement'.</p>
Entry Date	<p>Enter the date when the maintenance instruction was created in T2S or use the <i>calendar</i> icon.</p> <p>Required format is: YYYY-MM-DD</p> <p>Reference for error message [▶ 2570]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC073
Execution Date	<p>Enter the business date when the maintenance instruction has been executed or use the <i>calendar</i> icon.</p> <p>Required format is: YYYY-MM-DD</p>

Maintenance Securities Instructions – Search Criteria	
	References for error messages [▶ 2570]: <input type="checkbox"/> QMPC016 <input type="checkbox"/> QMPC073
Execution Status	Select the execution status of the maintenance instruction from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Not Executed <input type="checkbox"/> Executed
Cancellation Status	Select the cancellation status of the maintenance instruction from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Denied <input type="checkbox"/> Not Denied
Pending Cancellation	Select the pending cancellation status of the maintenance instruction (only for cancellation instruction) from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Yes <input type="checkbox"/> No
Maintenance Securities Instructions - List	
Actor Reference	Shows the identification assigned by the T2S Actor.
Maintenance Instruction Type	Shows the type of the maintenance instruction from the possible values: <input type="checkbox"/> Amendment instruction <input type="checkbox"/> Cancellation instruction <input type="checkbox"/> Hold/release instruction
Referenced Instruction Type	Shows the type of the referenced instruction from possible values: <input type="checkbox"/> Settlement instruction <input type="checkbox"/> Intra-position movement
Instructing Party BIC	Shows the BIC of the instructing party of the referenced instruction.
Instructing Party Parent BIC	Shows the parent BIC of the instructing party of the referenced instruction.

Maintenance Securities Instructions – Search Criteria	
Securities Account Number	Shows the number of the securities account.
Entry Date and Time	Shows the date and time when the maintenance instruction has been created. Displayed format is: YYYY-MM-DD hh:mm:ss
Execution Status	Shows the execution status of the maintenance instruction from the possible values: <input type="checkbox"/> Not executed <input type="checkbox"/> Executed
Cancellation Status	Shows the cancellation status of the maintenance instruction from the possible values: <input type="checkbox"/> Not denied <input type="checkbox"/> Denied

Buttons

Buttons	
Search	<p>This function enables you to start a search according to the criteria entered. The results are displayed in a list on the next screen.</p> <p>If the search retrieves a single record, the <i>details</i> screen is displayed directly.</p> <p>Next screens:</p> <ul style="list-style-type: none"><input type="checkbox"/> <i>Maintenance securities instruction – search/list</i> screen<input type="checkbox"/> <i>Amendment securities instruction – details</i> screen [▶ 108]<input type="checkbox"/> <i>Cancellation securities instruction – details</i> screen [▶ 123]<input type="checkbox"/> <i>Hold/release instruction – details</i> screen [▶ 132] <p>References for error messages [▶ 2570]:</p> <ul style="list-style-type: none"><input type="checkbox"/> QMPC016<input type="checkbox"/> QMPC030<input type="checkbox"/> QMPC032<input type="checkbox"/> QMPC048<input type="checkbox"/> QMPC073<input type="checkbox"/> QMPC084

Reset	This function enables you to set default search criteria and blanks out all optional criteria. Next screen: <input type="checkbox"/> <i>Maintenance securities instruction – search/list</i> screen
Details	This function enables you to display the details of the selected maintenance instruction. Next screens: <input type="checkbox"/> <i>Amendment securities instruction – details</i> screen [▶ 108] <input type="checkbox"/> <i>Cancellation securities instruction – details</i> screen [▶ 123] <input type="checkbox"/> <i>Hold/release instruction – details</i> screen [▶ 132]

2.2.2.15 Securities Positions - Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for securities positions. This screen retrieves information for any T2S securities account owner, i.e. a party which is a CSD, a CB (as CSD participant) or a settlement bank. The search results will be displayed in a list, which is sorted by the value of the 'Securities Account Number' column in ascending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.

During night-time, the securities positions can only be displayed in-between night-time settlement sequences.

Screen Access

- Securities >> Securities Account >> Securities Positions
- Securities >> Settlement >> Securities Positions
- Monitoring >> Monitoring >> Securities Positions

Privileges

To use this screen, you need the following privilege [▶ 1938]:

- Securities account position query

References

User Instructions Part

This screen is part of the following business scenarios:

- View current securities positions and related postings [▶ 1298]
- View current securities positions and related restrictions [▶ 1299]
- View historic securities positions for a specific period [▶ 1302]
- View historic securities positions for a specific date [▶ 1301]

Business Functionality Document

This screen corresponds to the following business function:

- Display securities account positions (T2S.GUI.SESE.POS.0020)

Screenshot

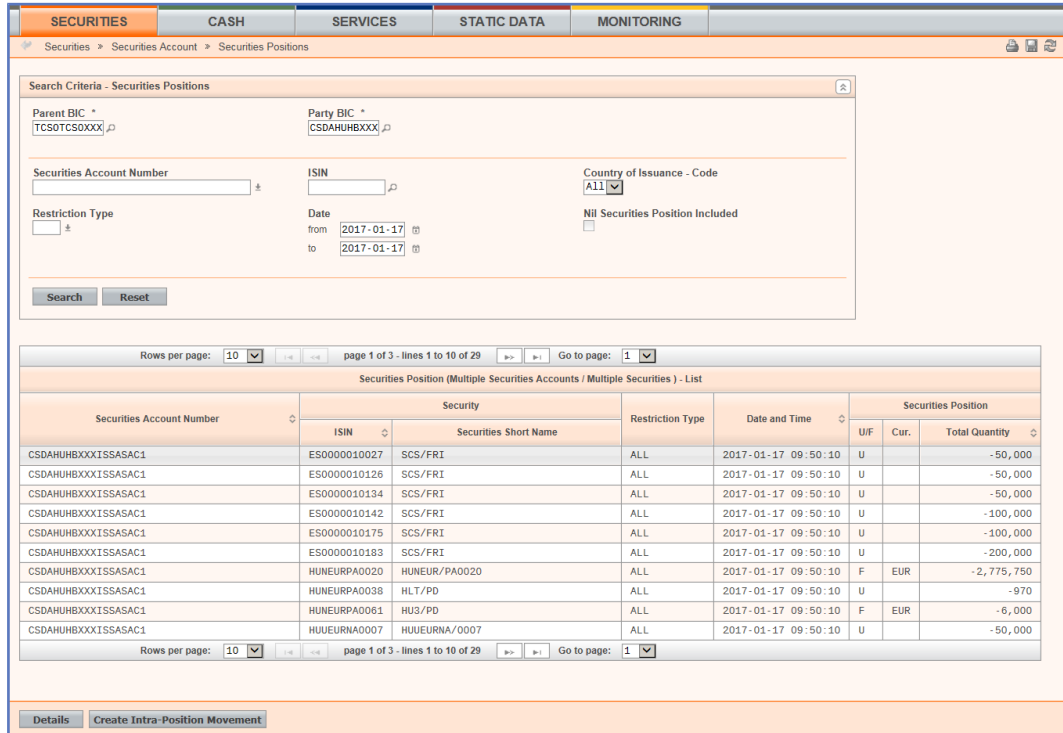


Illustration 54: Securities positions – search/list screen

Field Description

Securities Positions – Search Criteria	
Party BIC*	<p>Select the BIC of the party owning the securities positions you want to retrieve from the drop-down menu or search via the <i>magnifier</i> icon.</p> <p>At least Party BIC or ISIN must be provided as search criteria. When opening the screen, this field will be defaulted to the Party BIC of the user’s party.</p> <p>References for error messages: [▶ 2580]</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC065
Parent BIC*	<p>Select the BIC of the CSD where the above party holds the securities positions you want to retrieve from the drop-down menu.</p> <p>When opening the screen, this field will be defaulted to the Parent BIC of the user’s party.</p> <p>References for error messages: [▶ 2580]</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC048

Securities Positions – Search Criteria	
	<input type="checkbox"/> QMPC065
Securities Account Number	<p>Select the number of the securities account from the drop-down menu.</p> <p>If there is only 1 possible securities account, this field is read-only.</p> <p>References for error messages: [▶ 2580]</p> <input type="checkbox"/> QMPC030 <input type="checkbox"/> QMPC065
ISIN	<p>Enter the ISIN of the security.</p> <p>Required format is: 12 characters (SWIFT-x), input-sensitive</p> <p>The ISIN must exist in T2S.</p> <p>At least Party BIC or ISIN must be provided as search criteria.</p> <p>Reference for error message: [▶ 2580]</p> <input type="checkbox"/> QMPC018
Country of Issuance - Code	Select the country where the securities are issued from the drop-down menu.
Restriction Type	<p>Select the restriction type if you want to view only the securities positions for one restriction type from the drop-down menu.</p> <p>If you select the value 'All', the sum of all securities positions is displayed in the list.</p> <p>Reference for error message: [▶ 2580]</p> <input type="checkbox"/> QMPC091
Date from	<p>Enter the date from which you want to view the securities positions or use the <i>calendar</i> icon. The default value is the current business day.</p> <p>Required format is: YYYY-MM-DD</p> <p>The Date from date must be a valid business date earlier than or equal to the current business date and earlier than or equal to the Date to date.</p> <p>References for error messages: [▶ 2580]</p> <input type="checkbox"/> QMPC015 <input type="checkbox"/> QMPC016

Securities Positions – Search Criteria	
Date to	<p>Enter the date until which you want to view the securities positions or use the <i>calendar</i> icon. The default value is the date entered in the 'Date from' field.</p> <p>Required format is: YYYY-MM-DD</p> <p>The Date to date must be a valid business date earlier than or equal to the current business date.</p> <p>Reference for error message: [▶ 2580]</p> <p><input type="checkbox"/> QMPC015</p>
Nil Securities Position included	<p>Select whether securities position(s) equal to 0 are included. The default value is 'No (not checked)'.</p>
Multiple Securities Positions (Multiple Securities Accounts / Multiple Securities)	
Securities Account Number	<p>Shows the number of the securities account of the securities position.</p> <p>References for error messages: [▶ 2580]</p> <p><input type="checkbox"/> QMPC030</p> <p><input type="checkbox"/> QMPC065</p>
ISIN	<p>Show the ISIN of the security.</p> <p>Reference for error message: [▶ 2580]</p> <p><input type="checkbox"/> QMPC018</p>
Securities Short Name	<p>Shows the short name of the security.</p>
Restriction Type	<p>Shows the restriction type of the securities position.</p> <p>Reference for error message: [▶ 2580]</p> <p><input type="checkbox"/> QMPC091</p>
Date and Time	<p>Shows the date and time of the last movement of the securities position when positions are retrieved for the current business date and the date of the position when positions are retrieved for a period.</p> <p>Displayed format is: YYYY-MM-DD hh:mm:ss:μsμsμsμsμs</p>
Settlement Type	<p>Shows the quantity type related to the securities from the possible values:</p> <p><input type="checkbox"/> U (for unit)</p> <p><input type="checkbox"/> F (for face amount)</p>
Currency	<p>Shows the currency in which the securities were issued.</p>

Securities Positions – Search Criteria	
	This field is only filled if the quantity of the securities position is given as a face amount.
Total Quantity	<p>Shows the total quantity of the securities position per securities account and ISIN.</p> <p>If the Date to is different from the Date from, the different end of day values of the position for each date of the selected period are shown.</p> <p>Provision of '99999...99' in case oversizing occurs in the integer portion. Truncation of decimals in case oversizing occurs in the decimal portion.</p>

Buttons

Buttons	
Search	<p>This function enables you to start a search according to the criteria entered. The results are displayed in a list on the same screen.</p> <p>If the search retrieves a single record, the <i>details</i> screen is displayed directly.</p> <p>Next screens:</p> <ul style="list-style-type: none"><input type="checkbox"/> <i>Securities positions - search/list</i> screen<input type="checkbox"/> <i>Securities position - details</i> screen [▶ 184] <p>References for error messages: [▶ 2580]</p> <ul style="list-style-type: none"><input type="checkbox"/> QMPC015<input type="checkbox"/> QMPC016<input type="checkbox"/> QMPC018<input type="checkbox"/> QMPC030<input type="checkbox"/> QMPC032<input type="checkbox"/> QMPC048<input type="checkbox"/> QMPC065<input type="checkbox"/> QMPC091
Reset	<p>This function enables you to set default search criteria and blanks out all optional criteria.</p> <p>Next screen:</p> <ul style="list-style-type: none"><input type="checkbox"/> <i>Securities positions - search/list</i> screen
Details	This function enables you to display the details of the selected securities position.

	Next screen: <input type="checkbox"/> <i>Securities position – details</i> screen [▶ 184]
Create Intra-position movement	This function enables you to create a new restricted securities position for the selected securities account number and ISIN. Next screen: <input type="checkbox"/> <i>Intra-position movement - new</i> screen [▶ 161]

2.2.2.16 Securities Position - Details Screen

Context of Usage

This screen displays detailed information on the securities positions for a T2S securities account owner, i.e. a party which is a CSD, a CB (as CSD participant) or a settlement bank. You can check the data and proceed further by clicking on the buttons below.

Screen Access

- Securities >> Securities Account >> Securities Positions >> *Securities positions – search/list* screen >> Click on the *search* and/or *details* button
- Securities >> Settlement >> Securities Positions >> *Securities positions – search/list* screen >> Click on the *search* and/or *details* button
- Monitoring >> Monitoring >> Securities Positions >> *Securities positions – search/list* screen >> Click on the *search* and/or *details* button
- Securities >> Settlement >> Intra-position Movements >> *Intra-position movements with current and historical status – search* screen >> Click on the *search* button >> *Intra-position movements – list* screen >> Click on the *details* button >> *Intra-position movement – details* screen >> Click on the *securities position details* button

Privileges

No privilege needed to use this screen.

References

User Instructions Part

This screen is part of the following business scenarios:

- View current securities positions and related postings [▶ 1298]
- View current securities positions and related restrictions [▶ 1299]
- View historic securities positions for a specific period [▶ 1302]
- View historic securities positions for a specific date [▶ 1301]

Business Functionality Document

This screen corresponds to the following business function:

- Display securities account positions (T2S.GUI.SESE.POS.0020)

Screenshot

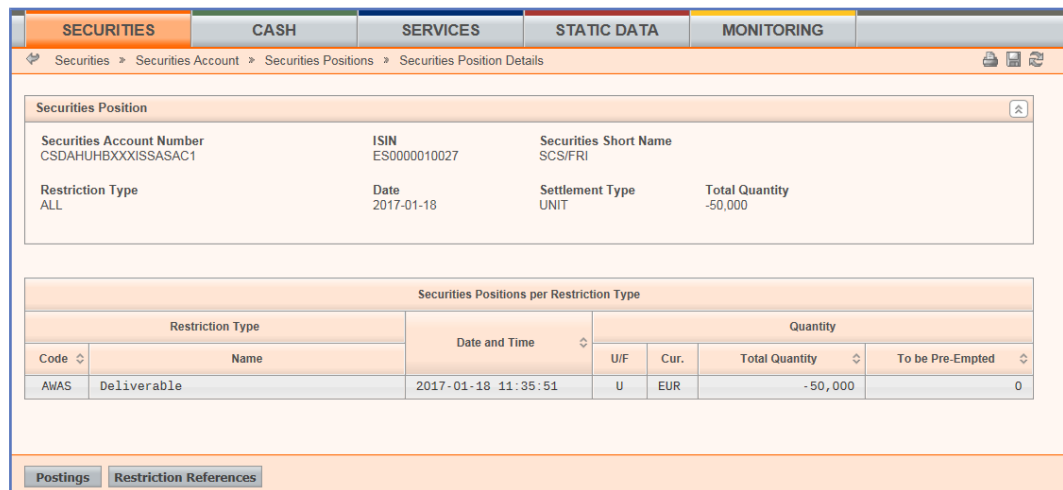


Illustration 55: Securities position – details screen

Field

Description

Identification of Securities Positions	
Securities Account Number	Shows the number of the securities account of the securities position.
ISIN	Shows the ISIN of the security.
Securities Short Name	Shows the short name of the security.
Restriction Type	Shows the restriction type of the securities position.
Date	Shows the date for which you requested the securities position. Displayed format is: YYYY-MM-DD
Settlement Type	Shows the quantity type related to the securities from the possible values: <input type="checkbox"/> U (for unit) <input type="checkbox"/> F (for face amount)
Currency	Shows the currency in which the securities were issued. This field is only filled if the quantity of the security is given as a face amount.
Total Quantity	Shows the total quantity of the securities position per securities account and ISIN. Provision of '99999...99' in case oversizing occurs in the integer portion. Truncation of decimals in case oversizing occurs in the decimal portion.
Securities Positions per Restriction Type	
Restriction Type	

Identification of Securities Positions	
Code	Shows the code of the restriction type of the securities position.
Name	Shows the name of the restriction type of the securities position. Only the first 35 characters of the restriction type description are displayed here.
Date and Time	Shows the date and time of the last movement related to the securities position. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Quantity	
Settlement Type	Shows the quantity type related to the securities from the possible values: <input type="checkbox"/> U (for Unit) <input type="checkbox"/> F (for Face amount)
Currency	Shows the currency in which the securities were issued. This field is only filled if the quantity of the securities position is given as a face amount.
Total Quantity	Shows the total quantity of the securities position per restriction type. Provision of '99999...99' in case oversizing occurs in the integer portion. Truncation of decimals in case oversizing occurs in the decimal portion.
To Be Pre-Empted	Shows the quantity of the securities position that is to be pre-empted. Provision of '99999...99' in case oversizing occurs in the integer portion. Truncation of decimals in case oversizing occurs in the decimal portion.

Buttons

Buttons	
Postings	This function displays the securities postings for the selected securities position. Next screen: <input type="checkbox"/> <i>Securities postings - search/list</i> screen [▶ 92]
Restrictions References	This function displays the details per restriction reference for the selected securities position. Next screen:

[□ Securities restriction detail - search/list screen \[96\]](#)

2.2.2.17 Settlement Instructions - Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for settlement instructions. The search results will be displayed in a list.

After selecting an entry, you can proceed further by clicking on the buttons below.

This screen is not relevant for CB users.

Screen Access

[□ Securities >> Settlement >> Settlement Instructions](#)

Privileges

To use this screen, you need the following privileges [[▶ 1944](#)]:

[□ Settlement instruction query](#)

References

User Instructions Part

This screen is part of the following business scenarios:

- [□ Add a link for a settlement instruction \[\[▶ 1324\]\(#\)\]](#)
- [□ Amend the partial settlement indicator for a settlement instruction \[\[▶ 1327\]\(#\)\]](#)
- [□ Amend the priority for a settlement instruction \[\[▶ 1328\]\(#\)\]](#)
- [□ Cancel a settlement instruction \[\[▶ 1348\]\(#\)\]](#)
- [□ Copy a settlement instruction \[\[▶ 1311\]\(#\)\]](#)
- [□ Enter a settlement instruction \[\[▶ 1307\]\(#\)\]](#)
- [□ Put a settlement instruction on CSD hold \[\[▶ 1336\]\(#\)\]](#)
- [□ Put a settlement instruction on party hold \[\[▶ 1334\]\(#\)\]](#)
- [□ Release a settlement instruction from CoSD hold \[\[▶ 1343\]\(#\)\]](#)
- [□ Release a settlement instruction from CSD hold \[\[▶ 1341\]\(#\)\]](#)
- [□ Release a settlement instruction from CSD validation hold \[\[▶ 1345\]\(#\)\]](#)
- [□ Release a settlement instruction from party hold \[\[▶ 1338\]\(#\)\]](#)
- [□ Partially release a settlement instruction from party hold \[\[▶ 1339\]\(#\)\]](#)
- [□ Remove a link for a settlement instruction \[\[▶ 1330\]\(#\)\]](#)
- [□ View Alleged Cancellation Instructions \[\[▶ 1351\]\(#\)\]](#)
- [□ View settlement instruction details \[\[▶ 1322\]\(#\)\]](#)

Business Functionality Document

This screen corresponds to the following business functions:

- [□ Query instruction \(T2S.GUI.SESE.INX.0010\)](#)

Display instruction list (T2S.GUI.SESE.INX.0020)

Screenshot

The screenshot displays the 'Settlement Instructions' search interface. It features a top navigation bar with tabs for 'SECURITIES', 'CASH', 'SERVICES', and 'MONITORING'. Below this is a breadcrumb trail: 'Securities > Settlement > Settlement Instructions'. The main area is titled 'Search Criteria - Settlement Instructions' and is organized into several sections:

- General Criteria:** Includes fields for Actor Reference, T2S Reference, Account Owner Reference, Account Servicer Reference, Third Party Reference, T2S Matching Reference, Instructing Party Parent BIC, Instructing Party BIC, ISIN, Securities Account, Account Owner, Dedicated Cash Account, Pool Reference, Common Reference, and Corporate Action Event.
- Multiple Value Criteria:** Includes Securities Movement Type Code, Payment Type Code, Securities Transaction Type, CumEx Indicator, Settlement Transaction Condition Indicator, Credit/Debit Code, Settlement Currency, and Country of Issuance - Code.
- Other Criteria:** Includes Priority, Issuer CSD, Delivering CSD BIC, Receiving CSD BIC, Delivering CSD Participant, Receiving CSD Participant, Delivering CSD Participant Processing Reference, Receiving CSD Participant Processing Reference, Delivering CSD Client of the Participant BIC, Receiving CSD Client of the Participant BIC, Partial Settlement Indicator, and CoSD Flag.
- Range Criteria:** Includes Trade Date, Intended Settlement Date, Actual Settlement Date, Quantity or nominal of securities, Settlement Type, and Settlement Cash Amount.
- Status Criteria:** Includes ISO Settlement Status, Settlement Status, Matching Status, Cancellation Status, CSD Hold Status, Party Hold Status, CSD Validation Hold Status, CoSD Hold Status, and Approval Status.

At the bottom, there is a 'Search' button and a 'Reset' button. Below the search area is a table with columns: Actor Reference, Instructing Party BIC, Instructing Party Parent BIC, Securities Account Number, and ISIN. The table shows two rows of data with placeholder 'XXXXXXX' for Actor Reference and ISIN.

Illustration 56: Settlement instructions - search/list screen{CR 596}

Field Description

Settlement Instructions – Search Criteria	
General Criteria	
Actor Reference	Enter the identification assigned by the T2S actor.

Settlement Instructions – Search Criteria	
	The settlement instruction can be identified by the T2S reference or the combination of the actor reference and the instructing party BIC. Required format is: max. 16 characters (SWIFT-x)
T2S Reference	Enter the instruction identification code assigned by T2S. Required format is: max. 16 characters (SWIFT-x)
Securities Account Owner Reference	Enter the reference assigned by the T2S delivering/receiving party to the instruction. Required format is: max. 16 characters (SWIFT-x)
Securities Account Servicer Reference	Enter the reference of an instruction as assigned by the CSD. Required format is: max. 16 characters (SWIFT-x)
Third Party Reference	Enter the reference of an instruction as assigned by the third party. Required format is: max. 16 characters (SWIFT-x)
<u>T2S Matching Reference</u>	<u>Enter the common reference assigned by T2S to all the settlement instructions comprising a transaction.</u> <u>Required format is: max. 16 characters (SWIFT-x){CR 596}</u>
Instructing Party BIC	You can choose to either enter the 'Instructing Party BIC' or to select it from the suggested items in the drop-down menu. Required format is: max. 11 characters (SWIFT-x) Reference for error message [▶ 2643]: <input type="checkbox"/> QMPC032
Instructing Party Parent BIC	You can choose to either enter the 'Instructing Party Parent BIC' or to select it from the suggested items in the drop-down menu. Required format is: max. 11 characters (SWIFT-x) Reference for error message [▶ 2643]: <input type="checkbox"/> QMPC048
ISIN	Select the ISIN of the settlement instruction from the drop-down menu. Required format is: 12 characters (SWIFT-x)

Settlement Instructions – Search Criteria	
	Reference for error message [▶ 2643]: <input type="checkbox"/> QMPC018
Securities Account Number	You can choose to either enter the 'Securities Account Number' or to select it from the suggested items in the drop-down menu. In order to query external CSD settlement instructions the selection criterion 'Securities Account Number' must not be filled in. Required format is: max. 35 characters (SWIFT-x) Reference for error message [▶ 2643]: <input type="checkbox"/> QMPC030
Securities Account Owner BIC	You can choose to either enter the 'Securities Account Owner BIC' or to select it from the suggested items in the drop-down menu. Required format is: max. 11 characters (SWIFT-x)
T2S Dedicated Cash Account Number	You can choose to either enter the 'T2S Dedicated Cash Account Number' or to select it from the suggested items in the drop-down menu. Required format is: max. 34 characters (SWIFT-x) Reference for error message [▶ 2643]: <input type="checkbox"/> QMPC031
Pool Reference	Enter the reference of the pool of the settlement instruction. Required format is: max. 35 characters (SWIFT-x)
Common Reference	Enter the common reference of the settlement instruction. Required format is: max. 35 characters (SWIFT-x)
Corporate Action Event	Enter the reference of the corporate action event. Required format is: max. 35 characters (SWIFT-x)
Multiple Value Criteria	
Securities Movement Type	Select the securities movement type code from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Delivery <input type="checkbox"/> Receive

Settlement Instructions – Search Criteria	
Payment Type Code	Select the payment type code from the possible values: <ul style="list-style-type: none"><input type="checkbox"/> All (default value)<input type="checkbox"/> Against payment settlement<input type="checkbox"/> Free of payment
Securities Transaction Type	Enter or select the securities transaction type expressed as an ISO 20022 code from the possible values: <ul style="list-style-type: none"><input type="checkbox"/> All (default value)<input type="checkbox"/> AUTO (for auto-collateralisation)<input type="checkbox"/> BYIY (for buy-in)<input type="checkbox"/> BSBK (for buy sell back)<input type="checkbox"/> CLAI (for market claim)<input type="checkbox"/> CNCB (for central bank collateral operation)<input type="checkbox"/> COLI (for collateral in)<input type="checkbox"/> COLO (for collateral out)<input type="checkbox"/> CONV (for depository receipt conversion)<input type="checkbox"/> CORP (for corporate action)<input type="checkbox"/> ETFT (for exchange traded funds)<input type="checkbox"/> FCTA (for factor update)<input type="checkbox"/> INSP (for move of stock)<input type="checkbox"/> ISSU (for depository receipt issue)<input type="checkbox"/> MKDW (for mark down)<input type="checkbox"/> MKUP (for mark up)<input type="checkbox"/> NETT (for netting)<input type="checkbox"/> NSYN (for non syndicated)<input type="checkbox"/> OWNE (for external account transfer)<input type="checkbox"/> OWNI (for internal account transfer)<input type="checkbox"/> PAIR (for pair off)<input type="checkbox"/> PLAC (for placement)<input type="checkbox"/> PORT (for portfolio move)<input type="checkbox"/> REAL (for realignment)<input type="checkbox"/> REDI (for withdrawal)<input type="checkbox"/> REDM (for redemption)

Settlement Instructions – Search Criteria	
	<ul style="list-style-type: none"><input type="checkbox"/> RELE (for depository receipt release cancellation)<input type="checkbox"/> REPU (for repo)<input type="checkbox"/> RODE (for return delivery without matching)<input type="checkbox"/> RVPO (for reverse repurchase agreement)<input type="checkbox"/> SBBK (for sell buy back)<input type="checkbox"/> SBRE (for borrowing reallocation)<input type="checkbox"/> SECB (for securities borrowing)<input type="checkbox"/> SECL (for securities lending)<input type="checkbox"/> SLRE (for lending reallocation)<input type="checkbox"/> SUBS (for subscription)<input type="checkbox"/> SWIF (for switch from)<input type="checkbox"/> SWIT (for switch to)<input type="checkbox"/> SYND (for syndicate underwriters)<input type="checkbox"/> TBAC (for TBA closing)<input type="checkbox"/> TRAD (for trade)<input type="checkbox"/> TRPO (for triparty repo)<input type="checkbox"/> TRVO (for triparty reverse repo)<input type="checkbox"/> TURN (for turnaround)
Cum/Ex Indicator	Select the cum/ex indicator from the possible values: <ul style="list-style-type: none"><input type="checkbox"/> All (default value)<input type="checkbox"/> Cum<input type="checkbox"/> Ex
Opt/Out ISO Settlement Transaction Condition Indicator	Select the opt/out settlement transaction condition indicator from the possible values: <ul style="list-style-type: none"><input type="checkbox"/> All (default value)<input type="checkbox"/> No<input type="checkbox"/> Yes
Debit Credit Indicator	Select the credit/debit code from the possible values: <ul style="list-style-type: none"><input type="checkbox"/> All (default value)<input type="checkbox"/> Credit<input type="checkbox"/> Debit
Settlement Currency	Select the eligible currency for settlement in T2S from the drop-down menu.

Settlement Instructions – Search Criteria	
	<p>The default value is 'all'.</p> <p>Reference for error message [2643]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC054
Country of Issuance - Code	Select the country where the security was issued from the drop-down menu.
Other Criteria	
Priority	<p>Select the priority from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> Normal <input type="checkbox"/> High <input type="checkbox"/> Top <input type="checkbox"/> Reserved
Issuer CSD	<p>You can choose to either enter the BIC of the 'Issuer CSD' or to select it from the suggested items in the drop-down menu.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p>
Delivering CSD BIC	<p>You can choose to either enter the 'Delivering CSD BIC' or to select it from the suggested items in the drop-down menu.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p>
Receiving CSD BIC	<p>You can choose to either enter the 'Receiving CSD BIC' or to select it from the suggested items in the drop-down menu.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p>
Delivering CSD Participant	<p>You can choose to either enter the BIC of the 'Delivering CSD Participant' or to select it from the suggested items in the drop-down menu.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p>
Receiving CSD Participant	<p>You can choose to either enter the BIC of the 'Receiving CSD Participant' or to select it from the suggested items in the drop-down menu.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p>
Delivering CSD Participant Processing Reference	<p>Enter the delivering CSD participant processing reference.</p> <p>Required format is: max. 34 characters (SWIFT-x)</p>

Settlement Instructions – Search Criteria	
Receiving CSD Participant Processing Reference	Enter the receiving CSD participant processing reference. Required format is: max. 34 characters (SWIFT-x)
Delivering CSD Client of the Participant BIC	Enter the 'Delivering CSD Client of the Participant BIC'. Required format is: max. 11 characters (SWIFT-x)
Receiving CSD Client of the Participant BIC	Enter the 'Receiving CSD Client of the Participant BIC'. Required format is: max. 11 characters (SWIFT-x)
Partial Settlement Indicator	Select the partial settlement indicator from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Yes <input type="checkbox"/> No
CoSD Flag	Select the CoSD Flag from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Yes <input type="checkbox"/> No
Range Criteria	
Trade Date from	Enter the lower bound of the search range for the date from which the trade date is valid or use the <i>calendar</i> icon. Required format is: YYYY-MM-DD References for error messages [▶ 2643]: <input type="checkbox"/> QMPC007 <input type="checkbox"/> QMPC010 <input type="checkbox"/> QMPC011
Trade Date to	Enter the upper bound of the search range for the date until which the trade date is valid or use the <i>calendar</i> icon. Required format is: YYYY-MM-DD Reference for error message [▶ 2643]: <input type="checkbox"/> QMPC007
Intended Settlement Date from	Enter the lower bound of the search range for the date from which the intended settlement date is valid or use the <i>calendar</i> icon.

Settlement Instructions – Search Criteria	
	<p>Required format is: YYYY-MM-DD</p> <p>References for error messages [▶ 2643]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC010 <input type="checkbox"/> QMPC012
Intended Settlement Date to	<p>Enter the upper bound of the search range for the date until which the intended settlement date is valid or use the <i>calendar</i> icon.</p> <p>Required format is: YYYY-MM-DD</p> <p>Reference for error message [▶ 2643]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC012
Actual Settlement Date and Time from	<p>Enter the lower bound of the search range for the date and time from which the actual settlement date is valid or use the <i>calendar</i> icon.</p> <p>Required format is: YYYY-MM-DD hh:mm:ss</p> <p>References for error messages [▶ 2643]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC011 <input type="checkbox"/> QMPC013 <input type="checkbox"/> QMPC014
Actual Settlement Date and Time to	<p>Enter the upper bound of the search range for the date and time until which the actual settlement date is valid or use the <i>calendar</i> icon.</p> <p>Required format is: YYYY-MM-DD hh:mm:ss</p> <p>Reference for error message [▶ 2643]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC014
Quantity/Nominal from	<p>Enter the lower bound of the search range for the quantity of securities.</p> <p>Required format is: Decimal Number</p> <p>Reference for error message [▶ 2643]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC006
Quantity/Nominal to	<p>Enter the upper bound of the search range for the quantity of securities.</p> <p>Required format is: Decimal Number</p> <p>Reference for error message [▶ 2643]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC006

Settlement Instructions – Search Criteria	
Settlement Type	Select the settlement type from the possible values: <ul style="list-style-type: none"><input type="checkbox"/> All (default value)<input type="checkbox"/> Units<input type="checkbox"/> Nominal
Settlement Cash Amount More than	Enter the lower bound of the search range for the settlement cash amount. Required format is: max. 19 digit(s) incl. decimal point (thereof max. 5 decimal places) Reference for error message [▶ 2643]: <ul style="list-style-type: none"><input type="checkbox"/> QMPC005
Settlement Cash Amount Equal/less	Enter the upper bound of the search range for the settlement cash amount. Required format is: max. 19 digit(s) incl. decimal point (thereof max. 5 decimal places) Reference for error message [▶ 2643]: <ul style="list-style-type: none"><input type="checkbox"/> QMPC005
Status Criteria	
ISO Settlement status	Select the settlement status of the settlement instruction from the possible values: <ul style="list-style-type: none"><input type="checkbox"/> All (default value)<input type="checkbox"/> Pending<input type="checkbox"/> Failing If value 'Settlement Status' field is set to 'Settled' or 'Cancellation Status' field is set to 'Cancelled', this field is disabled. No date search range is allowed for the ISO Settlement Status.
Settlement Status	Select the settlement status from the possible values: <ul style="list-style-type: none"><input type="checkbox"/> All (default value)<input type="checkbox"/> Unsettled<input type="checkbox"/> Settled<input type="checkbox"/> Partially settled

Settlement Instructions – Search Criteria	
Date and Time from	Enter the lower bound of the search range for the date and time from which the settlement status is valid or use the <i>calendar</i> icon. Required format is: YYYY-MM-DD hh:mm:ss
Date and Time to	Enter the upper bound of the search range for the date and time until which the settlement status is valid or use the <i>calendar</i> icon. Required format is: YYYY-MM-DD hh:mm:ss
Matching Status	Select the match status from the possible values: <input type="checkbox"/> Unmatched <input type="checkbox"/> Matched <input type="checkbox"/> All (default value)
Date and Time from	Enter the lower bound of the search range for the date and time from which the match status is valid or use the <i>calendar</i> icon. Required format is: YYYY-MM-DD hh:mm:ss
Date and Time to	Enter the upper bound of the search range for the date and time to which the match status is valid or use the <i>calendar</i> icon. Required format is: YYYY-MM-DD hh:mm:ss
Cancellation Status	Select the cancellation status from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Not cancelled <input type="checkbox"/> Cancelled
Date and Time from	Enter the lower bound of the search range for the date and time from which the cancellation status is valid or use the <i>calendar</i> icon. Required format is: YYYY-MM-DD hh:mm:ss
Date and Time to	Enter the upper bound of the search range for the date and time until which the cancellation status is valid or use the <i>calendar</i> icon. Required format is: YYYY-MM-DD hh:mm:ss
CSD Hold Status	Select the CSD hold status from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> No

Settlement Instructions – Search Criteria	
	<input type="checkbox"/> Yes
Date and Time from	Enter the lower bound of the search range for the date and time from which the CSD hold status is valid or use the <i>calendar</i> icon. Required format is: YYYY-MM-DD hh:mm:ss
Date and Time to	Enter the upper bound of the search range for the date and time until which the CSD hold status is valid or use the <i>calendar</i> icon. Required format is: YYYY-MM-DD hh:mm:ss
Party Hold Status	Select the party hold status from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> No <input type="checkbox"/> Yes
Date and Time from	Enter the lower bound of the search range for the date and time from which the party hold status is valid or use the <i>calendar</i> icon. Required format is: YYYY-MM-DD hh:mm:ss
Date and Time to	Enter the upper bound of the search range for the date and time until which the party hold status is valid or use the <i>calendar</i> icon. Required format is: YYYY-MM-DD hh:mm:ss
CSD Validation Hold Status	Select the party hold status from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> No <input type="checkbox"/> Yes
Date and Time from	Enter the lower bound of the search range for the date and time from which the CSD validation hold status is valid or use the <i>calendar</i> icon. Required format is: YYYY-MM-DD hh:mm:ss
Date and Time to	Enter the upper bound of the search range for the date and time until which the CSD validation hold status is valid or use the <i>calendar</i> icon. Required format is: YYYY-MM-DD hh:mm:ss
CoSD Hold Status	Select the CoSD hold status from the possible values: <input type="checkbox"/> All (default value)

Settlement Instructions – Search Criteria	
	<input type="checkbox"/> No <input type="checkbox"/> Yes
Date and Time from	Enter the lower bound of the search range for the date and time from which the CoSD hold status is valid or use the <i>calendar</i> icon. Required format is: YYYY-MM-DD hh:mm:ss
Date and Time to	Enter the upper bound of the search range for the date and time until which the CoSD hold status is valid or use the <i>calendar</i> icon. Required format is: YYYY-MM-DD hh:mm:ss
Approval Status	Select the approval status from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Approved <input type="checkbox"/> Awaiting Approval <input type="checkbox"/> Rejected <input type="checkbox"/> Revoked
Date and Time from	Enter the lower bound of the search range for the date and time from which the approval status is valid or use the <i>calendar</i> icon. Required format is: YYYY-MM-DD hh:mm:ss
Date and Time to	Enter the upper bound of the search range for the date and time until which the approval status is valid or use the <i>calendar</i> icon. Required format is: YYYY-MM-DD hh:mm:ss
Settlement Instructions – List	
Actor Reference	Shows the identification of the referenced instruction assigned by the T2S actor.
Instructing Party BIC	Shows the BIC of the instructing party.
Instructing Party Parent BIC	Shows the parent BIC of the instructing party.
Securities Account Number	Shows the securities account of the referenced instruction.
ISIN	Shows the ISIN of the settlement instruction.
Securities Description	Shows the description of the selected ISIN.

Settlement Instructions – Search Criteria	
Securities Movement Type Code	Shows the securities movement type code from the possible values: <input type="checkbox"/> Delivery <input type="checkbox"/> Receive
Quantity/Nominal	Shows quantity of financial instrument in units, original face amount or current face amount. The Unit or Face amount corresponds to the original settlement quantity.
Settlement Amount	Shows the amount stated in the LCMM Instruction.
Settlement Status	Shows the settlement status of the instruction from the possible values: <input type="checkbox"/> Unsettled <input type="checkbox"/> Settled <input type="checkbox"/> Partially settled
Matching Status	Shows the match status of the instruction from the possible values: <input type="checkbox"/> Unmatched <input type="checkbox"/> Matched
Cancellation Status	Shows the cancellation status of the instruction from the possible values: <input type="checkbox"/> Not cancelled <input type="checkbox"/> Cancelled

Buttons

Buttons	
Search	<p>This function enables you to start a search according to the criteria entered. The results are displayed in a list on the next screen.</p> <p>If the search retrieves a single record, the <i>details</i> screen is displayed directly.</p> <p>Next screens:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Settlement instructions – search/list</i> screen <input type="checkbox"/> <i>Settlement instruction – details</i> screen [▶ 201] <p>References for error messages [▶ 2643]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC005 <input type="checkbox"/> QMPC006

	<input type="checkbox"/> QMPC007 <input type="checkbox"/> QMPC010 <input type="checkbox"/> QMPC011 <input type="checkbox"/> QMPC012 <input type="checkbox"/> QMPC013 <input type="checkbox"/> QMPC014 <input type="checkbox"/> QMPC018 <input type="checkbox"/> QMPC030 <input type="checkbox"/> QMPC031 <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC048 <input type="checkbox"/> QMPC054
Reset	<p>This function enables you to set all fields to default value and blanks out all optional fields.</p> <p>Next screen:</p> <input type="checkbox"/> <i>Settlement instructions – search/list</i> screen [▶ 2643]
New	<p>This function enables you to create a new settlement instruction.</p> <p>Next screen:</p> <input type="checkbox"/> <i>Settlement instruction - new</i> screen [▶ 220]
Details	<p>This function enables you to display the details of the selected instruction.</p> <p>Next screen:</p> <input type="checkbox"/> <i>Settlement instruction – details</i> screen [▶ 201]

2.2.2.18 Settlement Instruction - Details Screen

Context of Usage

This screen displays detailed information on the selected settlement instruction. You can check the data and proceed further by clicking on the buttons below.

You can perform the following foreseen actions: check the specific details of a settlement instruction, amend it, cancel it, hold it or release it, see its revisions/ audit trail and status history or its related messages copy the shown instruction to create a new similar one as well as searching for the associated penalties of the settlement instruction **or searching for the details of its matched settlement instruction**.{CR 596}

This screen is not relevant for CB users.

**Screen
Access**

- *Securities >> Settlement >> Settlement Instructions >> Settlement instructions – search/list screen >> Click on the search button >> Settlement instructions – search/list screen >> Click on the details button*
- **Securities >> Settlement >> Settlement Instructions >> Settlement instructions – search/list screen >> Click on the search button >> Settlement instructions Details screen >> Click on the Matched Instruction button to access the Details Screen of the matched settlement instruction{CR 596}**

Privileges

To use this screen, you need the following privilege:

- Settlement instruction **audit-trail** query{CR 596}

References**User Instructions Part**

This screen is part of the following business scenarios:

- Add a link for a settlement instruction [▶ 1324]
- Amend the partial settlement indicator for a settlement instruction [▶ 1327]
- Amend the priority for a settlement instruction [▶ 1328]
- Copy a settlement instruction [▶ 1311]
- Cancel a settlement instruction [▶ 1348]
- Put a settlement instruction on CSD hold [▶ 1336]
- Put a settlement instruction on party hold [▶ 1334]
- Release a settlement instruction from CoSD hold [▶ 1343]
- Release a settlement instruction from CSD hold [▶ 1341]
- Release a settlement instruction from CSD validation hold [▶ 1345]
- Release a settlement instruction from party hold [▶ 1338]
- Partially release a settlement instruction from party hold [▶ 1339]
- Remove a link for a settlement instruction [▶ 1330]
- View Alleged Cancellation Instructions [▶ 1351]
- View settlement instruction details [▶ 1322]
- View List of Penalties [▶ 1363]
- View the Individual Penalty Details [▶ 1356]

Business Functionality Document

This screen corresponds to the following business function:

- Display instruction details (T2S.GUI.SESE.INX.0030).

Screenshot

SECURITIES	CASH	SERVICES	MONITORING
<p>Securities > Settlement > Settlement Instructions > Settlement Instruction Details</p>			
<p>Settlement Instruction</p>			
<p>Securities Account Owner Reference ---</p> <p>T2S Reference 230412067173031</p> <p>User Identification OPERATOR</p> <p>Settlement Status Unsettled</p> <p>ISO Settlement Status Falling</p>	<p>Securities Account Servicer Reference ---</p> <p>T2S Matching Reference 012306068937000</p> <p>Instructing Party BIC CSDSE1SEXXX</p> <p>Matching Status Matched</p>	<p>Third Party Reference BEST.9118</p> <p>Instructing Party Parent BIC CSDSE1SEXXX</p> <p>Partial Settlement Indicator ---</p>	
<p>Matched Settlement Amount ---</p>	<p>Matched Priority Normal</p>	<p>Matched Partial Settlement Indicator Partial Settlement Quantity Threshold allowed</p>	
<p>Securities Movement Type Code Delivery</p>			
<p>ISIN SIEURHOCE103</p> <p>Securities Account Owner BIC CSDSE1SEXXX</p>	<p>Securities Description F.A. EUR OSDE</p> <p>Original Settlement Quantity 20.000</p> <p>Settled Settlement Quantity ---</p>	<p>Securities Account Number CSDSE1SEXXXCS0MP001</p> <p>Settlement Type Units</p>	
<p>Payment Type Code FREE</p> <p>Original Settlement Amount ---</p> <p>Settled Settlement Amount ---</p>	<p>Debit Credit Indicator ---</p>	<p>Dedicated Cash Account Number ---</p>	
<p>Entry Date and Time 2023-04-12 10:24:36.101</p> <p>Actual Settlement Date ---</p> <p>Accepted Timestamp 2023-04-12 10:24:37.904</p>	<p>Intended Settlement Date 2023-04-12</p> <p>Cancellation Date ---</p> <p>Matched Timestamp 2023-04-12 10:24:36.222</p>	<p>Trade Date 2023-04-12</p>	
<p>Receiving Depository BIC CSDSE1SEXXX</p>			
<p>Receiving CSD Participant</p>			
<p>Party BIC PARE1SEXXX</p> <p>Client of the Receiving CSD Participant Party BIC ---</p>	<p>Processing Identification ---</p> <p>Name ---</p>	<p>Securities Account PARE1SEXXXCS0PP001</p> <p>Identification ---</p> <p>Issuer ---</p> <p>Schema Name ---</p>	
<p>Delivering Depository BIC CSDSE1SEXXX</p>			
<p>Delivering CSD Participant</p>			
<p>Party BIC CSDSE1SEXXX</p> <p>Client of the Delivering CSD Participant Party BIC ---</p>	<p>Processing Identification ---</p> <p>Name ---</p>	<p>Securities Account CSDSE1SEXXXCS0MP001</p> <p>Identification ---</p> <p>Issuer ---</p> <p>Schema Name ---</p>	
<p>Detailed Information</p>			
<p>Priority NORMAL</p> <p>Corporate Action Event Identification ---</p> <p>Repo Reference ---</p>	<p>Allowed Modification Flag Yes</p> <p>Common Reference ---</p> <p>Securities Transaction Type TRAD</p>	<p>CoSD Flag No</p> <p>Beneficial Ownership ---</p> <p>CumEx Indicator ---</p>	
<p>Settlement Transaction Condition Indicator</p>			
<p>No records found.</p>			

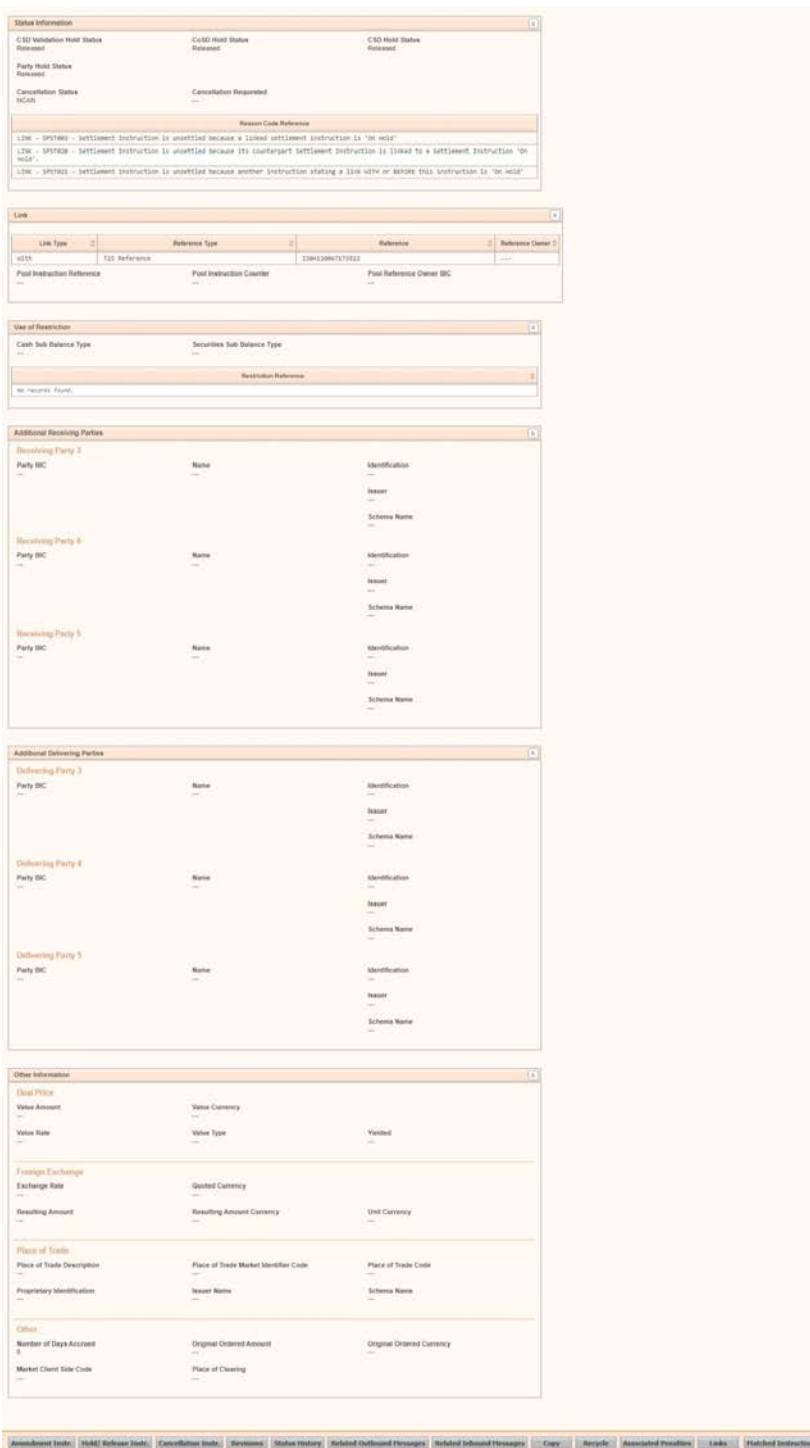


Illustration 57: Settlement instruction - details screen{CR 596}

Field

Description

Settlement Instruction	
Securities Account Owner Reference	Shows the reference assigned by the account owner to the settlement instruction. Only one of the three references can be the T2S actor reference.

Settlement Instruction	
Securities Account Servicer Reference	Shows the reference assigned by the account servicer to the settlement instruction. Only one of the three references can be the T2S actor reference.
Third Party Reference	Shows the reference assigned by the third party to the settlement instruction. Only one of the three references can be the T2S actor reference.
T2S Reference	Shows the reference assigned by T2S to the settlement instruction.
<u>T2S Matching Reference</u>	<u>Shows the common reference assigned by T2S to all the settlement instructions comprising a transaction.</u> <u>Only shown if the settlement instruction is matched.</u> {CR 596}
User Identification	Shows the identification of the user who introduced the settlement instruction.
Instructing Party BIC	Shows the BIC of the instructing party of the settlement instruction.
Instructing Party Parent BIC	Shows the BIC of the instructing party parent of the settlement instruction.
Matching Status	Shows the match status of the settlement instruction from the possible values: <input type="checkbox"/> Unmatched <input type="checkbox"/> Matched
Settlement Status	Shows the settlement status of the settlement instruction from the possible values: <input type="checkbox"/> Unsettled <input type="checkbox"/> Settled <input type="checkbox"/> Partially settled
ISO Settlement Status	Shows the current ISO settlement status of the settlement instruction from the possible values: <input type="checkbox"/> Pending <input type="checkbox"/> Failing
Partial Settlement Indicator	Shows the value of the partial settlement indicator of the settlement instruction from the possible values:

Settlement Instruction	
	<input type="checkbox"/> Partial not allowed <input type="checkbox"/> Partial settlement cash threshold allowed <input type="checkbox"/> Partial settlement quantity threshold allowed <input type="checkbox"/> Partial allowed
Matched Settlement Amount	Shows the matched effective amount on the transaction.
Matched Priority	Shows the priority of the transaction from the possible values: <input type="checkbox"/> Normal <input type="checkbox"/> High <input type="checkbox"/> Top <input type="checkbox"/> Reserved
Matched Partial Settlement Indicator	Shows the value of the partial settlement indicator of the transaction from the possible values: <input type="checkbox"/> Partial not allowed <input type="checkbox"/> Partial settlement cash threshold allowed <input type="checkbox"/> Partial settlement quantity threshold allowed
Securities Movement Type Code	Shows if the movement on a securities account results from a deliver or a receive instruction from the possible values: <input type="checkbox"/> Deliver <input type="checkbox"/> Receive
ISIN	Shows the ISIN code of the security.
Securities Description	Shows the description of the security.
Securities Account Number	Shows the account to or from which a securities entry is made.
Securities Account Owner BIC	Shows the BIC of the securities account owner.
Original Settlement Quantity	Shows the quantity stated in the settlement instruction.
Settlement Type	Shows the type of settlement foreseen for the security stated in the settlement instruction from the possible values:

Settlement Instruction	
	<input type="checkbox"/> Units <input type="checkbox"/> Face Amount
Settled Settlement Quantity	Shows the total quantity settled so far.
Payment Type Code	Shows if the transaction is to be settled with or without payment from the possible values: <input type="checkbox"/> Against payment settlement <input type="checkbox"/> Free of payment
Debit Credit Indicator	Shows if the original settlement amount is to be credited or debited from the possible values: <input type="checkbox"/> Credit <input type="checkbox"/> Debit
T2S Dedicated Cash Account Number	Shows the cash account stated in the settlement instruction.
Original Settlement Amount	Shows the settlement amount stated in the settlement instruction.
Currency	Shows the currency stated in the settlement instruction.
Settled Settlement Amount	Shows the total settlement amount settled so far.
Currency	Shows the currency stated in the settlement instruction.
Entry Date Time	Shows the date and timestamp when the settlement instruction has been created. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Intended Settlement Date	Shows the date at which the settlement instruction becomes eligible to be submitted to settlement. Displayed format is: YYYY-MM-DD
Trade Date	Shows the date at which the contract was made. Displayed format is: YYYY-MM-DD
Actual Settlement Date and Time	Shows the business date and the calendar time when the last settlement occurred. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Cancellation Date	Shows the business date when the settlement instruction has been cancelled. Displayed format is: YYYY-MM-DD

Settlement Instruction	
Accepted Timestamp (SF1)	Shows the accepted timestamp of the settlement instruction. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Matched Timestamp (SF2)	Shows the matched timestamp of the settlement instruction. In case the settlement instruction is unmatched no timestamp will be shown. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Receiving Depository BIC	Shows the BIC of the receiving depository.
Receiving CSD Participant BIC	Shows the BIC of the receiving CSD participant.
Processing Identification	Shows the reference of the instruction assigned by the receiving CSD participant.
Receiving CSD Participant Securities Account	Shows the Securities account of the receiving CSD participant.
Client of the Receiving CSD Participant BIC	Shows the BIC of the client of the receiving CSD participant.
Name	Shows the name and address of the client of the receiving CSD participant.
Identification	Shows the proprietary information, often a code, issued by the data source scheme issuer.
Issuer	Shows the entity that assigns the identification.
Schema Name	Shows the short textual description of the schema.
Delivering Depository BIC	Shows the BIC of the delivering depository.
Delivering CSD Participant BIC	Shows the BIC of the delivering CSD participant.
Processing Identification	Shows the reference of the instruction assigned by the delivering CSD participant.
Delivering CSD Participant Securities Account	Shows the securities account of the delivering CSD participant.

Settlement Instruction	
Client of the Delivering CSD Participant BIC	Shows the BIC of the client of the delivering CSD participant.
Name	Shows the name and address of the client of the delivering CSD participant.
Identification	Shows the proprietary information, often a code, issued by the data source scheme issuer.
Issuer	Shows the entity that assigns the identification.
Schema Name	Shows the short textual description of the schema.
Detailed Information	
Priority*	Shows the level of priority assigned to the settlement instruction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Normal <input type="checkbox"/> High <input type="checkbox"/> Top <input type="checkbox"/> Reserved
Allowed Modification Flag	Shows if a settlement instruction is non-modifiable by CSD participants from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> No <input type="checkbox"/> Yes
CoSD Flag	Shows if the Settlement Instruction has been identified as CoSD.
Corporate Action Event Identification	Shows the identification assigned by the account servicer to unambiguously identify a corporate action event.
Common Reference	Shows the reference provided by the T2S actor when the LCMM instruction is already matched or in order to facilitate the matching within T2S.
Beneficial Ownership	Shows if there is a change of beneficial ownership: <ul style="list-style-type: none"> <input type="checkbox"/> Empty (default value) <input type="checkbox"/> Yes <input type="checkbox"/> No
Repo Reference	Shows the identification of a collateral transaction as assigned by the instructing party.
Securities Transaction Type*	Shows the securities transaction type expressed as an ISO 20022 code from the possible values:

Settlement Instruction

- AUTO (for auto-collateralisation)
- BYIY (for buy-in)
- BSBK (for buy sell back)
- CLAI (for market claim)
- CNCB (for central bank collateral operation)
- COLI (for collateral in)
- COLO (for collateral out)
- CONV (for depository receipt conversion)
- CORP (for corporate action)
- ETFT (for exchange traded funds)
- FCTA (for factor update)
- INSP (for move of stock)
- ISSU (for depository receipt issue)
- MKDW (for mark down)
- MKUP (for mark up)
- NETT (for netting)
- NSYN (for non syndicated)
- OWNE (for external account transfer)
- OWNI (for internal account transfer)
- PAIR (for pair off)
- PLAC (for placement)
- PORT (for portfolio move)
- REAL (for realignment)
- REBL (for rebalancing)
- REDI (for withdrawal)
- REDM (for redemption)
- RELE (for depository receipt release cancellation)
- REPU (for repo)
- RODE (for return delivery without matching)
- RVPO (for reverse repurchase agreement)
- SBBK (for sell buy back)
- SBRE (for borrowing reallocation)

Settlement Instruction	
	<input type="checkbox"/> SECB (for securities borrowing) <input type="checkbox"/> SECL (for securities lending) <input type="checkbox"/> SLRE (for lending reallocation) <input type="checkbox"/> SUBS (for subscription) <input type="checkbox"/> SWIF (for switch from) <input type="checkbox"/> SWIT (for switch to) <input type="checkbox"/> SYND (for syndicate underwriters) <input type="checkbox"/> TBAC (for TBA closing) <input type="checkbox"/> TRAD (for trade) <input type="checkbox"/> TRPO (for triparty repo) <input type="checkbox"/> TRVO (for triparty reverse repo) <input type="checkbox"/> TURN (for turnaround)
Cum/EX Indicator	Shows whether the contract was traded cum or ex coupon from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Empty <input type="checkbox"/> CUM <input type="checkbox"/> EX
Settlement Transaction Condition Indicator	Shows the Settlement Transaction Condition Indicator from the possible values (This field can show multiple Settlement Transaction Condition Indicators): <ul style="list-style-type: none"> <input type="checkbox"/> Empty (default value) <input type="checkbox"/> ADEA (accept after regular settlement deadline) <input type="checkbox"/> ASGN (assignment) <input type="checkbox"/> BUTC (buy to cover) <input type="checkbox"/> BSSP (buy in partially succesful) <input type="checkbox"/> CLEN (clean) <input type="checkbox"/> DIRT (dirty) <input type="checkbox"/> DLWM (delivery without matching) <input type="checkbox"/> DRAW (drawn) <input type="checkbox"/> EXER (exercised) <input type="checkbox"/> EXPI (expired) <input type="checkbox"/> FRCL (free clean settlement) <input type="checkbox"/> KNOC (knocked out)

Settlement Instruction	
	<input type="checkbox"/> NOMC (no automatic market claim) <input type="checkbox"/> PENS (pending sale) <input type="checkbox"/> PHYS (physical) <input type="checkbox"/> RESI (residual) <input type="checkbox"/> RHYP (rehypothecation) <input type="checkbox"/> RPTO (reporting) <input type="checkbox"/> SHOR (short sell) <input type="checkbox"/> SPDL (special delivery) <input type="checkbox"/> SPST (split settlement) <input type="checkbox"/> TRAN (transformation) <input type="checkbox"/> TRIP (triparty segregation) <input type="checkbox"/> UNEX (unexposed)
Status Information	
CSD Validation Hold Status	Shows the CSD validation hold status of the settlement instruction from the possible values: <input type="checkbox"/> No <input type="checkbox"/> Yes
CoSD Hold Status	Shows the CoSD hold status of the settlement instruction from the possible values: <input type="checkbox"/> No <input type="checkbox"/> Yes
CSD Hold Status	Shows the CSD hold status of the settlement instruction from the possible values: <input type="checkbox"/> No <input type="checkbox"/> Yes
Party Hold Status	Shows the party hold status of the settlement instruction from the possible values: <input type="checkbox"/> No <input type="checkbox"/> Yes
Cancellation Status	Shows the cancellation status of the settlement instruction from the possible values: <input type="checkbox"/> Not cancelled <input type="checkbox"/> Cancelled

Settlement Instruction	
Cancellation Re- quested	Shows if the settlement instruction has been requested for cancellation by its counterpart from the possible values: <input type="checkbox"/> Empty (default value) <input type="checkbox"/> Yes This situation occurs when the counterparty's cancellation instruction is processed in T2S.
Reason Code Refer- ence	Shows the applicable reason code(s) for the cancellation of the settlement instruction, or an unsuccessful attempt of settlement.
Link	
Linkages	
Pool Instruction Ref- erence	Shows the collective reference that identifies a set of mes- sages.
Pool Instruction Counter	Shows the pool identification of the settlement instruction within the pool reference.
Pool Reference Owner BIC	Shows the BIC of the owner of the Pool Instruction Refer- ence.
Link (List)	
Link Type	
Link Type	Shows the link processing position of the settlement in- struction from the possible values: <input type="checkbox"/> After <input type="checkbox"/> Before <input type="checkbox"/> With <input type="checkbox"/> Info
Reference Type	Shows the reference type of the instruction to be linked/ unlinked to from the possible values: <input type="checkbox"/> Account Owner Settlement Instruction Reference <input type="checkbox"/> Account Owner Intra Balance Movement Reference <input type="checkbox"/> Account Owner Intra Position Movement Reference <input type="checkbox"/> Account Servicer Reference <input type="checkbox"/> Third Party reference <input type="checkbox"/> T2S reference

Settlement Instruction	
Reference	Shows the identification of the instruction to be linked/un-linked to.
Reference Owner	Shows the BIC of the instructing party of the instruction to be linked/unlinked to. This field is only filled in if the 'Reference Type' is set to any value different than 'T2S reference'.
Use Of Restriction	
Cash Sub Balance Type	Shows a code defined by the CB to identify the restriction on cash.
Securities Sub Balance Type	Shows a code defined by the CSD to identify the restriction on securities. If it is a delivering instruction, the Delivering Securities Sub Balance Type is shown; if it is a receiving instruction, the Receiving Securities Sub Balance Type is shown.
Use Of Restriction (List)	
Restriction Reference	Shows the reference of a restricted cash balance or securities position included in the settlement instruction.
Additional Delivering Parties	
Delivering Party 3	
BIC	Shows the BIC of the client of the delivering CSD participant.
Name	Shows the name of the party.
Identification	Shows proprietary information, often a code, issued by the data source scheme issuer.
Issuer	Shows the entity that assigns the identification.
Schema Name	Shows a short textual description of the scheme.
Delivering Party 4	
BIC	Shows the BIC of the client of the delivering CSD participant.
Name	Shows the name of the party.
Identification	Shows proprietary information, often a code, issued by the data source scheme issuer.
Issuer	Shows the entity that assigns the identification.
Schema Name	Shows a short textual description of the scheme.
Delivering Party 5	

Settlement Instruction	
BIC	Shows the BIC of the client of the delivering CSD participant.
Name	Shows the name of the party.
Identification	Shows proprietary information, often a code, issued by the data source scheme issuer.
Issuer	Shows the entity that assigns the identification.
Schema Name	Shows a short textual description of the scheme.
Additional Receiving Parties	
Receiving Party 3	
BIC	Shows the BIC of the client of the receiving CSD participant.
Name	Shows the name of the party.
Identification	Shows proprietary information, often a code, issued by the data source scheme issuer.
Issuer	Shows the entity that assigns the identification.
Schema Name	Shows a short textual description of the scheme.
Receiving Party 4	
BIC	Shows the BIC of the client of the receiving CSD participant.
Name	Shows the name of the party.
Identification	Shows proprietary information, often a code, issued by the data source scheme issuer.
Issuer	Shows the entity that assigns the identification.
Schema Name	Shows a short textual description of the scheme.
Receiving Party 5	
BIC	Shows the BIC of the client of the receiving CSD participant.
Name	Shows the name of the party.
Identification	Shows proprietary information, often a code, issued by the data source scheme issuer.
Issuer	Shows the entity that assigns the identification.
Schema Name	Shows a short textual description of the scheme.
Other Information	
Deal Price	

Settlement Instruction	
Value Amount	Shows the value of the amount of the deal price.
Value Currency	Shows the currency of the amount of the deal price.
Value Rate	Shows the price expressed as a rate.
Value Type	Shows the type of value in which the price is expressed from the possible values: <ul style="list-style-type: none"><input type="checkbox"/> Empty<input type="checkbox"/> Discount<input type="checkbox"/> Par<input type="checkbox"/> Premium
Yielded	Shows whether the price is expressed as a yield.
Foreign Exchange	
Exchange Rate	Shows the factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.
Quoted Currency	Shows the currency into which the base currency is converted in a currency exchange.
Resulting Amount	Shows the counter value of a foreign exchange conversion.
Resulting Amount Currency	Shows the currency of the counter value of a foreign exchange conversion.
Unit Currency	Shows the currency into which the base currency is converted in a currency exchange for unit.
Place of Trade	
Place of Trade Description	Shows the market in which a trade transaction has been executed.
Place of Trade Market Identifier Code	Shows the Identifier Code of the market in which a trade transaction has been executed.
Place of Trade Code	Shows the Place of Trade information expressed as an ISO 20022 code from the possible values: <ul style="list-style-type: none"><input type="checkbox"/> EXCH (for Stock Exchange)<input type="checkbox"/> OTCO (for Over the Counter)<input type="checkbox"/> PRIM (for Primary Market)<input type="checkbox"/> SECM (for Secondary Market)<input type="checkbox"/> VARI (for Various)

Settlement Instruction	
	Either this field or the proprietary identification of the place of trade in addition to the issuer and scheme name is available.
Issuer Name	Shows the entity that assigns the identification.
Proprietary Identification	Shows the identification assigned by an institution.
Schema Name	Shows the name of the identification scheme.
Other	
Number of Days Accrued	Shows the number of days on which the interest rate accrues.
Original Ordered Amount	Shows the original ordered amount.
Original Ordered Currency	Shows the currency of the original ordered amount.
Market Client Side Code	<p>Specifies if an instruction is for a market side or client side transaction:</p> <ul style="list-style-type: none"> <input type="checkbox"/> CLNT (for Client Side) <input type="checkbox"/> MAKT (for Market Side) <p>Either this field or the proprietary identification of the market client side in addition to the issuer and scheme name is available.</p>
Place of Clearing	Shows the BIC code of the Clearing House involved in the settlement (if any).

Buttons

Amendment Instruction	<p>This function enables you to create a new amendment instruction of the selected settlement instruction.</p> <p>This button is not available in case any status of the settlement instruction does not allow the creation of the amendment instruction.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Amendment securities instruction – new</i> screen [▶ 113]
Hold/Release Instruction	<p>This function enables you to create a new hold/release/partial release instruction of the selected settlement instruction.</p>

	<p>This button is not available in case any status of the settlement instruction does not allow the creation of the hold/release/partial release instruction.</p> <p>Next screen:</p> <ul style="list-style-type: none"><input type="checkbox"/> <i>Hold/release instruction – new</i> screen [▶ 136]
Cancellation Instruction	<p>This function enables you to create a new cancellation instruction of the selected settlement instruction.</p> <p>This button is not available in case any status of the settlement instruction does not allow the creation of the cancellation instruction.</p> <p>Next screen:</p> <ul style="list-style-type: none"><i>Cancellation securities instruction – new</i> screen [▶ 127]
Revisions/Audit Trail	<p>This function enables you to display the revisions of the selected settlement instruction.</p> <p>Next screen:</p> <ul style="list-style-type: none"><input type="checkbox"/> <i>Revisions/audit trail – list</i> screen [670]
Status History	<p>This function enables you to display the status history of the selected settlement instruction.</p> <p>Next screen:</p> <ul style="list-style-type: none"><input type="checkbox"/> <i>Status history – details</i> screen [▶ 276]
Related Outbound Message	<p>This function enables you to display the outbound messages related to the settlement instruction.</p> <p>In case the instruction is linked to more than one outbound message, the <i>outbound messages - search/list</i> screen is displayed. In case the query only returns 1 valid message, the <i>outbound message – details</i> screen is displayed directly.</p> <p>Next screens:</p> <ul style="list-style-type: none"><input type="checkbox"/> <i>Outbound messages – search/list</i> screen [654]<input type="checkbox"/> <i>Outbound message – details</i> screen [▶ 662]
Related Inbound Message	<p>This function enables you to display the inbound message related to the settlement instruction.</p> <p>Next screens:</p> <ul style="list-style-type: none"><input type="checkbox"/> <i>Inbound messages – search/list</i> screen [▶ 637]<input type="checkbox"/> <i>Inbound message – details</i> screen [▶ 644]

Copy Instruction	<p>This function enables you to copy fields to create a new settlement instruction with the same attributes.</p> <p>Next screen:</p> <ul style="list-style-type: none"><input type="checkbox"/> <i>Settlement instruction – new screen</i> [220]
Associated Penalties	<p>This function enables you to display the details of the possible penalty(ies) associated to the Settlement Instruction:</p> <ul style="list-style-type: none"><input type="checkbox"/> If there is only one result Penalty - details screen is displayed.<input type="checkbox"/> If there are more than one result Penalty – search/list - screen is displayed. <p>This function will not be available during the processing of penalties i.e. between the Events “PMSS” (Penalty Mechanism- Stop Screens and penalty modification requests) and “PMRR” (Penalty Mechanism- Restart GUI and Restart processing penalty modification requests).</p> <p>This function replicates the penalty query using as parameter the T2S Actor Reference or the T2S Reference of the underlying Settlement Instruction.</p> <p>This button shall be only visible for those having the privilege: “Penalty Query Privilege”. This privilege should be only granted to CSDs and the T2S Operator. To ensure it has not been granted by mistake to any other party, this button is only visible if the Party type of the User is “CSDY” or “TCSO”.</p> <p>Next screens:</p> <ul style="list-style-type: none"><input type="checkbox"/> Penalty – search/list screen<input type="checkbox"/> Penalty – details screen
Links	<p>This function enables you to display all the links data preventing settlement at the moment when the request is performed.</p> <p>This button is not available in the following cases:</p> <ul style="list-style-type: none"><input type="checkbox"/> Cancellation Status = “cancelled”<input type="checkbox"/> Settlement Status = “settled”<input type="checkbox"/> Match Status = “Unmatched” <p>Next screen:</p> <ul style="list-style-type: none"><input type="checkbox"/> Links Preventing Settlement - screen

<u>Matched Instruction</u>	<p><u>This function enables you to display the settlement instruction details of the matched business settlement instruction.</u></p> <p><u>This button is not available in case the instruction is unmatched or if the user doesn't have the 'Settlement Instruction Query' privilege on the securities account of the counterparty.</u></p> <p><u>Next screen:</u></p> <p><u>Settlement instruction – details screen{CR 596}</u></p>
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2.2.2.19 Settlement Instruction - New Screen

Context of Usage

This screen contains a number of fields regarding settlement instructions. You can enter new data. Afterwards you can proceed further by clicking on the buttons below.

The screen can be used either in 2-eyes mode or 4-eyes mode.

This screen is not relevant for CB users.

Any schema validation error will be reported back to you for correction prior being able to leave the screen for further processing.

Alternatively you may cancel the action of entering the settlement instruction and leave the screen without clicking on the submit button.

Screen Access

- Securities >> Settlement >> Settlement Instruction >> *Settlement instructions – search/list* screen >> Click on *new* button
- Securities >> Settlement >> Settlement Instructions Allegements >> *Allegements instructions - search/list* screen >> Click on *search* button >> *Allegement instructions – search/list* screen >> Click on the *details* button >> *Allegement instruction – details* screen >> Click on the *new settlement instruction* button
- Securities >> Settlement >> Settlement Instruction >> *Settlement instructions – search/list* screen >> Click on *search* button >> *Settlement instructions – search/list* screen >> Select the relevant settlement instruction and click on the *details* button >> *Settlement instruction – details* screen >> Click on the *copy* button

Privileges

To use this screen, you need the following privileges [▶ 1939]:

- Send new settlement instruction/settlement restriction on securities either on a securities account or on behalf of an external CSD
- Send new instruction using a specific instructing party

- Send settlement Instruction with non-modifiable flag activated
- Link to an instruction / pool reference belonging to a specific party
- Use ISO transaction code AUTO (auto-collateralisation)
- Use ISO transaction code BYIY (buy-in)
- Use ISO transaction code BSBK (buy sell back)
- Use ISO transaction code CLAI (market claim)
- Use ISO transaction code CNCB (central bank collateral operation)
- Use ISO transaction code COLI (collateral in)
- Use ISO transaction code COLO (collateral out)
- Use ISO transaction code CONV (depository receipt conversion)
- Use ISO transaction code CORP (corporate action)
- Use ISO transaction code ETFT (exchange trade funds)
- Use ISO transaction code FCTA (factor update)
- Use ISO transaction code INSP (move of stock)
- Use ISO transaction code ISSU (issuance)
- Use ISO transaction code MKDW (mark down)
- Use ISO transaction code MKUP (mark up)
- Use ISO transaction code NETT (netting)
- Use ISO transaction code NSYN (non syndicated)
- Use ISO transaction code OWNE (external account transfer)
- Use ISO transaction code OWNI (internal account transfer)
- Use ISO transaction code PAIR (pair off)
- Use ISO transaction code PLAC (placement)
- Use ISO transaction code PORT (portfolio move)
- Use ISO transaction code REAL (realignment)
- Use ISO transaction code REDI (withdrawal)
- Use ISO transaction code REDM (redemption)
- Use ISO transaction code RELE (depository receipt release cancellation)
- Use ISO transaction code REPU (repo)
- Use ISO transaction code RODE (return delivery without matching)
- Use ISO transaction code RVPO (reverse repurchase agreement)
- Use ISO transaction code SBBK (sell buy back)
- Use ISO transaction code SBRE (borrowing reallocation)

- Use ISO transaction code SECB (securities borrowing)
- Use ISO transaction code SECL (securities lending)
- Use ISO transaction code SLRE (lending reallocation)
- Use ISO transaction code SUBS (subscription)
- Use ISO transaction code SWIF (switch from)
- Use ISO transaction code SWIT (switch to)
- Use ISO transaction code SYND (syndicate underwriters)
- Use ISO transaction code TBAC (TBA closing)
- Use ISO transaction code TRAD (trade)
- Use ISO transaction code TRPO (triparty repo)
- Use ISO transaction code TRVO (triparty reverse repo)
- Use ISO transaction code TURN (turnaround)
- Party hold settlement instruction on a securities account or on behalf of an external CSD
- CSD hold settlement instruction either on a securities account or on behalf of the CSD in T2S

References

User Instructions Part

This screen is part of the following business scenarios:

- Copy a settlement instruction [[▶ 1311](#)]
- Enter a settlement instruction [[▶ 1307](#)]
- Respond to an allegation instruction [[▶ 1317](#)]

Business Functionality Document

This screen corresponds to the following business function:

- Add settlement instruction or settlement restriction (T2S.GUI.SESE.INX.0070)

Screenshot

Illustration 58: Settlement instruction - new screen

Field Description

General	
Securities Movement Type Code*	Select if the movement on the securities account results from a deliver or a receiver instruction from one of the possible values: <input type="checkbox"/> DELI <input type="checkbox"/> RECE

General	
	<p>References for error messages: [▶ 2581]</p> <ul style="list-style-type: none"> <input type="checkbox"/> MVCV237 <input type="checkbox"/> MVCV243 <input type="checkbox"/> MVCV248 <input type="checkbox"/> MVCV253 <input type="checkbox"/> MVCV271 <input type="checkbox"/> MVCV272 <input type="checkbox"/> MVCV279 <input type="checkbox"/> MVCV280 <input type="checkbox"/> MVCV281 <input type="checkbox"/> MVCV282 <input type="checkbox"/> MVCV287 <input type="checkbox"/> MVCV288 <input type="checkbox"/> MVCV298 <input type="checkbox"/> MVCV299 <input type="checkbox"/> MVCV305 <input type="checkbox"/> MVRR929 <input type="checkbox"/> MVRR941 <input type="checkbox"/> MVIC315 <input type="checkbox"/> MVIC316 <input type="checkbox"/> MVIC317 <input type="checkbox"/> MVIC318
<p>Securities Account Number*</p>	<p>You can choose to either enter the securities account number or to select it from the suggested items in the drop-down menu.</p> <p>Required format is: max. 35 characters (SWIFT-x)</p> <p>References for error messages: [▶ 2581]</p> <ul style="list-style-type: none"> <input type="checkbox"/> MVCP009 <input type="checkbox"/> MVCP010 <input type="checkbox"/> MVCP036 <input type="checkbox"/> MVCP037 <input type="checkbox"/> MVCP044

General	
	<ul style="list-style-type: none"><input type="checkbox"/> MVCV271<input type="checkbox"/> MVCV272<input type="checkbox"/> MVCV273<input type="checkbox"/> MVCV274<input type="checkbox"/> MVCV275<input type="checkbox"/> MVCV276<input type="checkbox"/> MVCV277<input type="checkbox"/> MVCV278<input type="checkbox"/> MVCV279<input type="checkbox"/> MVCV280<input type="checkbox"/> MVCV281<input type="checkbox"/> MVCV282<input type="checkbox"/> MVCV305<input type="checkbox"/> MVCA509<input type="checkbox"/> MVCA510<input type="checkbox"/> MVCA511<input type="checkbox"/> MVR944<input type="checkbox"/> MVIC315<input type="checkbox"/> MVIC317<input type="checkbox"/> MVIC318<input type="checkbox"/> MVIC319<input type="checkbox"/> MVIC320
Securities Account Owner BIC	<p>Enter the BIC of the securities account owner. Required format is: max. 11 characters (SWIFT-x) References for error messages: [▶ 2581]</p> <ul style="list-style-type: none"><input type="checkbox"/> MVCV243<input type="checkbox"/> MVCV253<input type="checkbox"/> MVCV279<input type="checkbox"/> MVCV281 <p>If the 'Securities Movement Type Code' is set to 'RECE', and both the 'Securities Account Owner BIC' field and the 'Receiving CSD Participant – Party BIC' field are filled in, they must be the same. If the Securities Movement Type</p>

General	
	Code is set to DELI and both the 'Securities Account Owner BIC' field and the 'Delivering CSD Participant – Party BIC' field are filled in, they must be the same.
ISIN*	<p>Select the ISIN of the security from the drop-down menu.</p> <p>References for error messages: [▶ 2581]</p> <ul style="list-style-type: none"> <input type="checkbox"/> MVCV205 <input type="checkbox"/> MVCV298 <input type="checkbox"/> MVCV299 <input type="checkbox"/> MVCV301 <input type="checkbox"/> MVCV303 <input type="checkbox"/> MVIC305 <input type="checkbox"/> MVIC307 <input type="checkbox"/> MVIC308 <input type="checkbox"/> MVIC309 <input type="checkbox"/> MVIC311 <input type="checkbox"/> MVIC313 <input type="checkbox"/> MVIC315 <input type="checkbox"/> MVIC316 <input type="checkbox"/> MVIC317 <input type="checkbox"/> MVIC318 <input type="checkbox"/> MVIC319 <input type="checkbox"/> MVIC320 <input type="checkbox"/> MVSQ602 <input type="checkbox"/> MVSQ604 <input type="checkbox"/> MVSQ606 <input type="checkbox"/> MVRR945
Securities Short Name	<p>Shows the description of the selected ISIN.</p> <p>This field is automatically filled in when you have entered an ISIN in the 'ISIN' field.</p>
Settlement Quantity*	<p>Enter the quantity stated in the settlement instruction.</p> <p>Required format is:</p> <p>For settlement type = Unit, max. 15 digit(s) incl. decimal point (thereof max. 14 decimal places)</p>

General	
	<p>For settlement type = Face Amount, max. 15 digit(s) incl. decimal point (thereof max. 5 decimal places)</p> <p>References for error messages: [▶ 2581]</p> <ul style="list-style-type: none"><input type="checkbox"/> MVCV286<input type="checkbox"/> MVSQ602<input type="checkbox"/> MVSQ604<input type="checkbox"/> MVSQ606<input type="checkbox"/> MVSQ610<input type="checkbox"/> MVSQ612 <p>In case there is no exchange of securities the value must be set to zero (i.e. for a PFOD the value needs to be set to '0' value).</p>
Settlement Type*	<p>Select the type of settlement foreseen for the securities from the possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> Units<input type="checkbox"/> Face Amount <p>References for error messages: [▶ 2581]</p> <ul style="list-style-type: none"><input type="checkbox"/> MVCV286<input type="checkbox"/> MVSQ604<input type="checkbox"/> MVSQ612 <p>This field is automatically filled in when an ISIN is chosen.</p>
Payment Type Code	<p>Select how the transaction is to be settled, against payment or free of payment from the possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> APMT<input type="checkbox"/> FREE <p>References for error messages: [▶ 2581]</p> <ul style="list-style-type: none"><input type="checkbox"/> MVCU103<input type="checkbox"/> MVCU107<input type="checkbox"/> MVCA105<input type="checkbox"/> MVCA503<input type="checkbox"/> MVCA505<input type="checkbox"/> MVCA507<input type="checkbox"/> MVCA511

General	
	<ul style="list-style-type: none"> <input type="checkbox"/> MVCA517 <input type="checkbox"/> MVCA518 <input type="checkbox"/> MVSD403 <input type="checkbox"/> MVSD406 <input type="checkbox"/> MVRR941 <input type="checkbox"/> MVRR942 <p>If the 'Payment Type Code' field is set to 'APMT', the 'Debit Credit Indicator' field must be filled in too.</p> <p>If the payment type code is set from 'APMT' to 'FREE', the fields 'Debit Credit Indicator', 'Currency' and 'Settlement Amount' become optional. Additionally, in case any data has been previously filled in any of these fields, it will be automatically removed upon the update of the payment type code from 'APMT' to 'FREE'. After the automatic deletion of the data, the fields can be filled in again.</p>
Debit Credit Indicator	<p>Select the relevant value when the original settlement amount has a value from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> CRDT <input type="checkbox"/> DBIT <p>References for error messages: [▶ 2581]</p> <ul style="list-style-type: none"> <input type="checkbox"/> MVCV256 <input type="checkbox"/> MVCV257 <input type="checkbox"/> MVCA517 <input type="checkbox"/> MVCA518 <input type="checkbox"/> MVCA519 <p>In case the Payment Type Code is set to 'APMT' or the 'Settlement Amount' field is filled, the field Debit Credit indicator becomes mandatory.</p> <p>If the 'Debit Credit Indicator' field is filled, the 'Settlement Amount' field must be filled in too (and vice versa).</p>
T2S Dedicated Cash Account Number	<p>Enter the dedicated cash account number.</p> <p>In case the T2S dedicated cash account number is not filled in, T2S uses the default DCA stored in reference data for the relevant securities account and currency defined in the settlement instruction.</p>

General	
	<p>Required format is: max. 34 characters (SWIFT-x)</p> <p>References for error messages: [▶ 2581]</p> <ul style="list-style-type: none"><input type="checkbox"/> MVCV256<input type="checkbox"/> MVCV257<input type="checkbox"/> MVCA105<input type="checkbox"/> MVCA501<input type="checkbox"/> MVCA502<input type="checkbox"/> MVCA503<input type="checkbox"/> MVCA505<input type="checkbox"/> MVCA506<input type="checkbox"/> MVCA507<input type="checkbox"/> MVCA509<input type="checkbox"/> MVCA510<input type="checkbox"/> MVCA511<input type="checkbox"/> MVCA514<input type="checkbox"/> MVCA515<input type="checkbox"/> MVCA516<input type="checkbox"/> MVCA517<input type="checkbox"/> MVCA518<input type="checkbox"/> MVCA519<input type="checkbox"/> MVRR947 <p>In case the payment type Code is set to 'APMT', and either the debtor cash account, if the instruction debits cash, or, the creditor cash account, if the instruction credits cash, is present, the dedicated cash account number becomes mandatory.</p>
Settlement Amount	<p>Enter the amount to be settled.</p> <p>Required format is: max. 15 digit(s)incl. decimal point (thereof max. 5 decimal places)</p> <p>References for error messages: [▶ 2581]</p> <ul style="list-style-type: none"><input type="checkbox"/> MVCU107<input type="checkbox"/> MVCV286<input type="checkbox"/> MVCA514

General	
	<ul style="list-style-type: none"><input type="checkbox"/> MVCA515<input type="checkbox"/> MVCA516<input type="checkbox"/> MVSD403 <p>In case the Payment Type Code is set to 'APMT' or the 'Debit Credit Indicator' field is filled, the Settlement Amount becomes mandatory.</p> <p>If this field is filled, the 'Debit Credit Indicator' and 'Currency' fields must be filled in too (and vice versa).</p>
Currency	<p>Select the currency of the settlement instruction from the drop-down menu.</p> <p>This field needs to be filled in if the 'T2S Dedicated Cash Account Number' field is filled in.</p> <p>This field becomes mandatory when the 'Settlement Amount' field has been filled in.</p> <p>References for error messages: [▶ 2581]</p> <ul style="list-style-type: none"><input type="checkbox"/> MVCA105<input type="checkbox"/> MVCU101<input type="checkbox"/> MVCU103<input type="checkbox"/> MVCU107<input type="checkbox"/> MVCA514<input type="checkbox"/> MVCA515<input type="checkbox"/> MVCA516 <p>If this field is filled, the 'Settlement Amount' field becomes mandatory (and vice versa).</p>
Party Hold Status	<p>Select a hold status as set by the T2S party from the possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> Empty (default value)<input type="checkbox"/> PTYH: only the instructed leg is put on Party Hold<input type="checkbox"/> PRCY: only the T2S generated counterleg is put on Party Hold<input type="checkbox"/> BOTH: both legs are put on Party Hold<input type="checkbox"/> Released: No hold apply in both legs <p>References for error messages: [▶ 2581]</p> <ul style="list-style-type: none"><input type="checkbox"/> MVCP036

General	
	<input type="checkbox"/> MVCP092 <input type="checkbox"/> MVCP124 <input type="checkbox"/> MVCP125 <p>The values selected for the 'Party Hold Status' and 'CSD Hold Status' must be consistent with each other. If one of them is set to 'On Hold', the other one can only be set to 'On Hold' or left empty, but it can never be set to 'Released' and vice versa.</p>
Party Hold Reason	<p>Enter the additional reason information of the Party Hold Status.</p> <p>Required format is: max. 210 characters</p> <p>This field is greyed out in case the 'Party Hold Status' is left empty.</p>
CSD Hold Status	<p>Select a hold status as set by the CSD from the possible values:</p> <input type="checkbox"/> Empty (default value) <input type="checkbox"/> On Hold <input type="checkbox"/> Released <p>References for error messages: [▶ 2581]</p> <input type="checkbox"/> MVCP037 <input type="checkbox"/> MVCP038 <input type="checkbox"/> MVCP126 <input type="checkbox"/> MVCP127 <p>The values selected for the 'Party Hold Status' and 'CSD Hold Status' must be consistent with each other. If one of them is set to 'On Hold', the other one can only be set to 'On Hold' or left empty, but it can never be set to 'Released' and vice versa.</p>
CSD Hold Reason	<p>Enter the additional reason information of the CSD Hold Status.</p> <p>Required format is: max. 210 characters</p> <p>This field is greyed out in case the 'CSD Hold Status' is left empty.</p>
Partial Settlement Indicator	<p>Select to allow partial settlement from the possible values:</p> <input type="checkbox"/> Empty (default value)

General	
	<ul style="list-style-type: none"><input type="checkbox"/> Partial not allowed<input type="checkbox"/> Partial settlement cash threshold allowed<input type="checkbox"/> Partial settlement quantity threshold allowed<input type="checkbox"/> Partial allowed
Transaction Identification*	<p>Enter an identification to unambiguously identify the instruction.</p> <p>Required format is: max. 16 characters (SWIFT-x-red)</p> <p>References for error messages: [▶ 2581]</p> <ul style="list-style-type: none"><input type="checkbox"/> MVDC002<input type="checkbox"/> MVDC004<input type="checkbox"/> MVDC006<input type="checkbox"/> MVDC014<input type="checkbox"/> MVDC016<input type="checkbox"/> MVDC018<input type="checkbox"/> MVDC020<input type="checkbox"/> MVDC021<input type="checkbox"/> MVSR701<input type="checkbox"/> MVSR702<input type="checkbox"/> MVSR703<input type="checkbox"/> MVSR704<input type="checkbox"/> MVSR707
Instructing Party Parent BIC	<p>Select the parent BIC of the instructing party from the drop-down menu or search via the <i>magnifier</i> icon.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>References for error messages: [▶ 2581]</p> <ul style="list-style-type: none"><input type="checkbox"/> MCVV229<input type="checkbox"/> MVCP119<input type="checkbox"/> MVDC024<input type="checkbox"/> MCVV106<input type="checkbox"/> MCVV287<input type="checkbox"/> MCVV288<input type="checkbox"/> MCVV289

General	
	<ul style="list-style-type: none"> <input type="checkbox"/> MVDC002 <input type="checkbox"/> MVDC004 <input type="checkbox"/> MVDC006 <input type="checkbox"/> MVDC014 <input type="checkbox"/> MVDC016 <input type="checkbox"/> MVDC018 <input type="checkbox"/> MVDC021 <input type="checkbox"/> MVIC309 <input type="checkbox"/> MVIC313 <input type="checkbox"/> MVLI868 <p>In case the Instructing Party BIC is not entered, the Instructing Party BIC considered will be the party you belong to. If the Instructing Party Parent BIC is filled in, the Instructing Party BIC has to be filled in too.</p>
Instructing Party BIC	<p>Select the BIC of the instructing party via from the drop-down menu or search the <i>magnifier</i> icon.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>References for error messages: [▶ 2581]</p> <ul style="list-style-type: none"> <input type="checkbox"/> MVCV229 <input type="checkbox"/> MVCP119 <input type="checkbox"/> MVDC024 <input type="checkbox"/> MVCV106 <input type="checkbox"/> MVCV287 <input type="checkbox"/> MVCV288 <input type="checkbox"/> MVCV289 <input type="checkbox"/> MVDC002 <input type="checkbox"/> MVDC004 <input type="checkbox"/> MVDC006 <input type="checkbox"/> MVDC014 <input type="checkbox"/> MVDC016 <input type="checkbox"/> MVDC018 <input type="checkbox"/> MVDC021 <input type="checkbox"/> MVIC309

General	
	<ul style="list-style-type: none"><input type="checkbox"/> MVIC313<input type="checkbox"/> MVLI868 <p>In case the Instructing Party BIC is not entered, the Instructing Party BIC considered will be the party you belong to. If the Instructing Party BIC is filled in, the Instructing Party Parent BIC has to be filled in too.</p>
Intended Settlement Date*	<p>Enter the date from which the instruction becomes eligible to be submitted to settlement or use the <i>calendar</i> icon.</p> <p>Required format is: YYYY-MM-DD</p> <p>References for error messages: [▶ 2581]</p> <ul style="list-style-type: none"><input type="checkbox"/> MVCV106<input type="checkbox"/> MVCV229<input type="checkbox"/> MVCV234<input type="checkbox"/> MVCV235<input type="checkbox"/> MVCV241<input type="checkbox"/> MVCV242<input type="checkbox"/> MVCV245<input type="checkbox"/> MVCV246<input type="checkbox"/> MVCV251<input type="checkbox"/> MVCV252<input type="checkbox"/> MVCV273<input type="checkbox"/> MVCV274<input type="checkbox"/> MVCV275<input type="checkbox"/> MVCV301<input type="checkbox"/> MVCV303<input type="checkbox"/> MVCA505<input type="checkbox"/> MVCA506<input type="checkbox"/> MVCA507<input type="checkbox"/> MVCA509<input type="checkbox"/> MVCA510<input type="checkbox"/> MVCA511<input type="checkbox"/> MVIC305<input type="checkbox"/> MVSD401

General	
	<input type="checkbox"/> MVSD403 <input type="checkbox"/> MVSD406 <input type="checkbox"/> MVSD411 <input type="checkbox"/> MVSD412 <input type="checkbox"/> MVLI813 <input type="checkbox"/> MVLI814 <input type="checkbox"/> MVLI815 <input type="checkbox"/> MVLI816 <input type="checkbox"/> MVLI817 <input type="checkbox"/> MVLI818 <input type="checkbox"/> MVLI831 <input type="checkbox"/> MVLI832 <input type="checkbox"/> MVLI833 <input type="checkbox"/> MVRR957 <input type="checkbox"/> MVRR960 <input type="checkbox"/> MVCA517 <input type="checkbox"/> MVCA518 <input type="checkbox"/> MVCV120 <input type="checkbox"/> MVCV121 <input type="checkbox"/> MVCV123 <input type="checkbox"/> MVIC307 <input type="checkbox"/> MVIC308 <input type="checkbox"/> MVIC311 <input type="checkbox"/> MVIC315 <input type="checkbox"/> MVIC316 <input type="checkbox"/> MVIC317 <input type="checkbox"/> MVIC318 <input type="checkbox"/> MVIC319 <input type="checkbox"/> MVIC320
Trade Date*	Enter the date when the contract was made or use the <i>calendar</i> icon. Required format is: YYYY-MM-DD

General	
	References for error messages: [▶ 2581] <input type="checkbox"/> MVSD401
Externally Matched*	Select if the settlement instruction is externally matched from the possible values: <input type="checkbox"/> Yes <input type="checkbox"/> No (default value) References for error messages: [▶ 2581] <input type="checkbox"/> MVCP010 <input type="checkbox"/> MCV275 <input type="checkbox"/> MCV278 <input type="checkbox"/> MCV283 <input type="checkbox"/> MCV003 <input type="checkbox"/> MCV289 <input type="checkbox"/> MVCA501 <input type="checkbox"/> MVCA502 <input type="checkbox"/> MVCA503 <input type="checkbox"/> MVCA505 <input type="checkbox"/> MVCA506 <input type="checkbox"/> MVCA105 <input type="checkbox"/> MVCA507 <input type="checkbox"/> MVCA509 <input type="checkbox"/> MVCA510 <input type="checkbox"/> MVCA511 <input type="checkbox"/> MVCA514 <input type="checkbox"/> MVCA515 <input type="checkbox"/> MVCA516 <input type="checkbox"/> MVCP110 <input type="checkbox"/> MVCP124 <input type="checkbox"/> MVCP125 <input type="checkbox"/> MVCP126 <input type="checkbox"/> MVCP127 <input type="checkbox"/> MVIC319

General	
	<input type="checkbox"/> MVIC316 <input type="checkbox"/> MVIC320
Receiving Depository BIC	<p>Enter the BIC of the receiving depository. Required format is: max. 11 characters (SWIFT-x) References for error messages: [▶ 2581]</p> <input type="checkbox"/> MVCP011 <input type="checkbox"/> MVCP038 <input type="checkbox"/> MVCV006 <input type="checkbox"/> MVCV245 <input type="checkbox"/> MVCV246 <input type="checkbox"/> MVCV247 <input type="checkbox"/> MVCV248 <input type="checkbox"/> MVCV249 <input type="checkbox"/> MVCV250 <input type="checkbox"/> MVCV251 <input type="checkbox"/> MVCV252 <input type="checkbox"/> MVCV274 <input type="checkbox"/> MVCV277 <input type="checkbox"/> MVCV281 <input type="checkbox"/> MVCV282 <input type="checkbox"/> MVCV002 <input type="checkbox"/> MVIC305 <input type="checkbox"/> MVIC307 <input type="checkbox"/> MVIC308 <input type="checkbox"/> MVCV283 <input type="checkbox"/> MVCV288 <input type="checkbox"/> MVCV289 <input type="checkbox"/> MVCV299 <input type="checkbox"/> MVIC316 <input type="checkbox"/> MVCP127 <input type="checkbox"/> MVCP103 <input type="checkbox"/> MVCV003

General	
	<ul style="list-style-type: none"><input type="checkbox"/> MVCV122<input type="checkbox"/> MVCV283<input type="checkbox"/> MVCV288<input type="checkbox"/> MVCV289<input type="checkbox"/> MVCV299<input type="checkbox"/> MVCV278<input type="checkbox"/> MVIC315 <p>The field 'Receiving Depository BIC' becomes mandatory if:</p> <ul style="list-style-type: none"><input type="checkbox"/> The 'Securities Movement Type Code' is set to 'DELI'.<input type="checkbox"/> The 'Securities Movement Type Code' is set to 'RECE' and the settlement instruction is already matched cross-CSD
Receiving CSD Participant	
Party BIC	<p>Enter the BIC of the receiving CSD participant. Required format is: max. 11 characters (SWIFT-x) References for error messages: [▶ 2581]</p> <ul style="list-style-type: none"><input type="checkbox"/> MVCV005<input type="checkbox"/> MVCV248<input type="checkbox"/> MVCV249<input type="checkbox"/> MVCV250<input type="checkbox"/> MVCV251<input type="checkbox"/> MVCV252<input type="checkbox"/> MVCV253<input type="checkbox"/> MVCV282<input type="checkbox"/> MVCV283<input type="checkbox"/> MVCV122 <p>In case the 'Securities Movement Type Code' is set to 'DELI', the 'Receiving CSD participant – Party BIC' field becomes mandatory.</p> <p>If the Securities Movement Type Code is set to 'RECE', and the settlement instruction is already matched cross-CSD, the 'Receiving CSD participant – Party BIC' field becomes mandatory.</p>

General	
	<p>If the Securities Movement Type Code is set to RECE, and both the 'Securities Account Owner BIC' field and the 'Receiving CSD Participant – Party BIC' field are filled in, they must be the same.</p> <p>If the 'Receiving CSD Participant BIC' field is present, the 'Receiving Depository BIC' field must be present.</p>
Securities Account	<p>Enter the securities account of the receiving CSD participant.</p> <p>Required format is: max. 35 characters (SWIFT-x)</p> <p>References for error messages: [▶ 2581]</p> <ul style="list-style-type: none"> <input type="checkbox"/> MVCV282 <input type="checkbox"/> MVCP124 <input type="checkbox"/> MVCP125 <input type="checkbox"/> MVCP126 <input type="checkbox"/> MVCP044 <input type="checkbox"/> MVCV002 <input type="checkbox"/> MVCV003 <input type="checkbox"/> MVCV274 <input type="checkbox"/> MVCV275 <input type="checkbox"/> MVCV277 <input type="checkbox"/> MVCV281 <input type="checkbox"/> MVIC320 <p>This field becomes mandatory in case the settlement instruction is already matched and is delivering securities.</p>
Processing Identification	<p>Enter the reference of the instruction assigned by the receiving CSD participant.</p> <p>Required format is: max. 16 characters (SWIFT-x-red)</p>
Client of the Receiving CSD Participant	
Party BIC	<p>Enter BIC of the client of the receiving CSD participant.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>

General	
	<p>References for error messages: [▶ 2581]</p> <ul style="list-style-type: none"><input type="checkbox"/> MVCV254<input type="checkbox"/> MVCV123 <p>If the 'Client of the Receiving CSD Participant – Party BIC' field is present the 'Receiving CSD Participant - Party BIC' field must be present.</p>
Name	<p>Enter the name and address of the client of the receiving CSD participant.</p> <p>Required format is: max. 140 characters (SWIFT-x)</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Identification	<p>Enter the proprietary information, often a code, of the client of the receiving CSD participant.</p> <p>Required format is: max. 34 characters (SWIFT-x)</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Issuer	<p>Enter the issuer identification of the client of the receiving CSD participant.</p> <p>Required format is: max. 4 characters (SWIFT-x)</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Schema Name	<p>Enter a short textual description of the schema of the client of the receiving CSD participant.</p> <p>Required format is: max. 4 characters (SWIFT-x)</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Securities Account	<p>Enter the securities account of the client of the receiving CSD participant.</p>

General	
	<p>Required format is: max. 35 characters (SWIFT-x)</p> <p>References for error messages: [▶ 2581]</p> <ul style="list-style-type: none"><input type="checkbox"/> MVCP044<input type="checkbox"/> MVCV271<input type="checkbox"/> MVCV273<input type="checkbox"/> MVCV275<input type="checkbox"/> MVCV276<input type="checkbox"/> MVCV280<input type="checkbox"/> MVCV001 <p>This field becomes mandatory in case the settlement instruction is already matched and is delivering securities.</p> <p>If the 'Client of the Receiving CSD Participant - Securities Account' field is present, one of the party identifiers for the client of the receiving CSD participant becomes mandatory.</p>
Delivering Depository BIC	<p>Enter the BIC of the delivering depository.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>References for error messages: [▶ 2581]</p> <ul style="list-style-type: none"><input type="checkbox"/> MVCP011<input type="checkbox"/> MVCP038<input type="checkbox"/> MVCV008<input type="checkbox"/> MVCV234<input type="checkbox"/> MVCV235<input type="checkbox"/> MVCV236<input type="checkbox"/> MVCV237<input type="checkbox"/> MVCV238<input type="checkbox"/> MVCV239<input type="checkbox"/> MVCV241<input type="checkbox"/> MVCV242<input type="checkbox"/> MVCV273<input type="checkbox"/> MVCV276<input type="checkbox"/> MVCV278<input type="checkbox"/> MVCV279

General	
	<ul style="list-style-type: none"><input type="checkbox"/> MVCV280<input type="checkbox"/> MVCV001<input type="checkbox"/> MVIC305<input type="checkbox"/> MVIC307<input type="checkbox"/> MVIC308<input type="checkbox"/> MVCP102<input type="checkbox"/> MVCV120<input type="checkbox"/> MVCV298<input type="checkbox"/> MVCV003<input type="checkbox"/> MVCV283<input type="checkbox"/> MVCV287<input type="checkbox"/> MVCV289<input type="checkbox"/> MVIC316<input type="checkbox"/> MVCP127 <p>The field 'Delivering Depository BIC' becomes mandatory if:</p> <ul style="list-style-type: none"><input type="checkbox"/> The 'Securities Movement Type Code' is set to 'RECE'.<input type="checkbox"/> The 'Securities Movement Type Code' is set to 'DELI' and the settlement instruction is already matched cross-CSD
Delivering CSD Participant	
Party BIC	<p>Enter the BIC of the delivering CSD participant.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>References for error messages: [▶ 2581]</p> <ul style="list-style-type: none"><input type="checkbox"/> MVCV007<input type="checkbox"/> MVCV244<input type="checkbox"/> MVCV120<input type="checkbox"/> MVCV121<input type="checkbox"/> MVCV237<input type="checkbox"/> MVCV238<input type="checkbox"/> MVCV239<input type="checkbox"/> MVCV241<input type="checkbox"/> MVCV242

General	
	<ul style="list-style-type: none"><input type="checkbox"/> MVCV243<input type="checkbox"/> MVCV280<input type="checkbox"/> MVCV283 <p>In case the 'Securities Movement Type Code' is set to 'RECE', the 'Delivering CSD Participant – Party BIC' field becomes mandatory.</p> <p>If the Securities Movement Type Code is set to 'DELI', and the settlement instruction is already matched cross-CSD, the 'Delivering CSD participant – Party BIC' field becomes mandatory.</p> <p>If the 'Securities Movement Type Code' is set to 'DELI' and both the 'Securities Account Owner BIC' field and the 'Delivering CSD Participant – Party BIC' field are filled in, they must be the same.</p> <p>If the 'Delivering CSD Participant BIC' field is present, the 'Delivering Depository BIC' field must be present.</p>
Securities Account	<p>Enter the securities account of the delivering CSD participant.</p> <p>Required format is: max. 35 characters (SWIFT-x)</p> <p>References for error messages: [▶ 2581]</p> <ul style="list-style-type: none"><input type="checkbox"/> MVCP044<input type="checkbox"/> MVCV271<input type="checkbox"/> MVCV273<input type="checkbox"/> MVCV275<input type="checkbox"/> MVCV276<input type="checkbox"/> MVCV280<input type="checkbox"/> MVCV001<input type="checkbox"/> MVCV003<input type="checkbox"/> MVCV272<input type="checkbox"/> MVCV305<input type="checkbox"/> MVCP124<input type="checkbox"/> MVCP125<input type="checkbox"/> MVCP126<input type="checkbox"/> MVIC319

General	
	This field becomes mandatory in case the settlement instruction is already matched and is receiving securities.
Processing Identification	<p>Enter the reference of the instruction assigned by the delivering CSD participant.</p> <p>Required format is: max. 16 characters (SWIFT-x-red)</p> <p>References for error messages: [▶ 2581]</p> <p><input type="checkbox"/> MVCV244</p>
Client of the Delivering CSD Participant	
Party BIC	<p>Enter BIC of the client of the delivering CSD participant.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p> <p>References for error messages: [▶ 2581]</p> <p><input type="checkbox"/> MVCV244</p> <p>If the 'Client of the Delivering CSD Participant - Party BIC' field is present the 'Delivering CSD Participant – Party BIC' field must be present as well.</p>
Name	<p>Enter the name and address of the client of the delivering CSD participant.</p> <p>Required format is: max. 140 characters (SWIFT-x)</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Identification	<p>Enter the proprietary information, often a code, of the client of the delivering CSD participant.</p> <p>Required format is: max. 34 characters (SWIFT-x)</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Issuer	Enter the issuer identification of the client of the delivering CSD participant.

General	
	<p>Required format is: max. 4 characters (SWIFT-x)</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Schema Name	<p>Enter a short textual description of the schema of the client of the delivering CSD participant.</p> <p>Required format is: max. 4 characters (SWIFT-x)</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Securities Account	<p>Enter the securities account of the client of the delivering CSD participant.</p> <p>Required format is: max. 35 characters (SWIFT-x)</p> <p>If the 'Client of the Delivering CSD Participant - Securities Account' field is present, one of the party identifiers for the client of the delivering CSD participant becomes mandatory.</p>
Detailed Information	
Priority*	<p>Select the level of priority from the possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> Normal (default value)<input type="checkbox"/> High<input type="checkbox"/> Reserved <p>References for error messages:</p> <ul style="list-style-type: none"><input type="checkbox"/> MVSP208
Allowed Modification Flag	<p>Select, if the modification of the instruction by CSD participants is disallowed from the possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> Empty (default value)<input type="checkbox"/> TRUE: only the instructed leg can be modified / cancelled<input type="checkbox"/> FALSE:<ul style="list-style-type: none"><input type="checkbox"/> Already Matched SIs: the instructed leg cannot be modified / cancelled, but the T2S generated counter-leg can

General	
	<ul style="list-style-type: none"><input type="checkbox"/> Unmatched SIs: the instructed leg cannot be modified / cancelled<input type="checkbox"/> NONE: neither the instructed nor the T2S generated leg can be modified / cancelled<input type="checkbox"/> BOTH: both legs can be modified / cancelled References for error messages: [▶ 2581] <ul style="list-style-type: none"><input type="checkbox"/> MVCP012
Corporate Action Event Identification	Enter the identification of the corporate action event. Required format is: max. 16 characters (SWIFT-x-red)
Common Reference	Enter the reference when the settlement instruction is already matched or in order to facilitate the matching within T2S. Required format is: max. 16 characters (SWIFT-x-red)
Beneficial Ownership	Select, if there is a change of beneficial ownership: <ul style="list-style-type: none"><input type="checkbox"/> Empty (default value)<input type="checkbox"/> Yes<input type="checkbox"/> No
Repo Reference	Enter the identification of a collateral transaction. Required format is: max. 16 characters (SWIFT-x-red)
Securities Transaction Type*	Select the type of transaction from the possible values: <ul style="list-style-type: none"><input type="checkbox"/> AUTO (for auto-collateralisation)<input type="checkbox"/> BYIY (for buy-in)<input type="checkbox"/> BSBK (for buy sell back)<input type="checkbox"/> CLAI (for market claim)<input type="checkbox"/> CNCB (for central bank collateral operation)<input type="checkbox"/> COLI (for collateral in)<input type="checkbox"/> COLO (for collateral out)<input type="checkbox"/> CONV (for depository receipt conversion)<input type="checkbox"/> CORP (for corporate action)<input type="checkbox"/> FCTA (for factor update)<input type="checkbox"/> INSP (for move of stock)<input type="checkbox"/> ISSU (for depository receipt issue)<input type="checkbox"/> MKDW (for mark down)

General

- MKUP (for mark up)
- NETT (for netting)
- NSYN (for non syndicated)
- OWNE (for external account transfer)
- OWNI (for internal account transfer)
- PAIR (for pair off)
- PLAC (for placement)
- PORT (for portfolio move)
- REAL (for realignment)
- REDI (for withdrawal)
- REDM (for redemption)
- RELE (for depository receipt release cancellation)
- REPU (for repo)
- RODE (for return delivery without matching)
- RVPO (for reverse repurchase agreement)
- SBBK (for sell buy back)
- SBRE (for borrowing reallocation)
- SECB (for securities borrowing)
- SECL (for securities lending)
- SLRE (for lending reallocation)
- SUBS (for subscription)
- SWIF (Switch From)
- SWIT (Switch To)
- SYND (for syndicate underwriters)
- TBAC (for TBA closing)
- TRAD (for trade)
- TRPO (for triparty repo)
- TRVO (for triparty reverse repo)
- ETFT (for exchange trade funds)
- TURN (for turnaround)

References for error messages: [▶ 2581]

- MVCP052

General	
<input type="checkbox"/>	MVCP053
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<input type="checkbox"/>	MVCP077
<input type="checkbox"/>	MVCP078
<input type="checkbox"/>	MVCP079
<input type="checkbox"/>	MVCP080
<input type="checkbox"/>	MVCP081
<input type="checkbox"/>	MVCP082
<input type="checkbox"/>	MVCP083
<input type="checkbox"/>	MVCP084

General	
	<ul style="list-style-type: none"><input type="checkbox"/> MVCP085<input type="checkbox"/> MVCP086<input type="checkbox"/> MVCP087<input type="checkbox"/> MVCP088<input type="checkbox"/> MVCP089<input type="checkbox"/> MVCP090<input type="checkbox"/> MVCP091<input type="checkbox"/> MVCP128<input type="checkbox"/> MVCP130<input type="checkbox"/> MVSQ602<input type="checkbox"/> MVSQ606<input type="checkbox"/> MVSQ610
Cum/EX Indicator	Select the cum/ex indicator from the possible values: <ul style="list-style-type: none"><input type="checkbox"/> Cum<input type="checkbox"/> Ex<input type="checkbox"/> Empty (default value)
Settlement Transaction Condition Indicator	Select the code condition under which the trade is going to be settled from the possible values: <ul style="list-style-type: none"><input type="checkbox"/> Empty (default value)<input type="checkbox"/> ADEA (accept after regular settlement deadline)<input type="checkbox"/> ASGN (assignment)<input type="checkbox"/> BUTC (buy to cover)<input type="checkbox"/> BSSP (buy in partially succesful)<input type="checkbox"/> CLEN (clean)<input type="checkbox"/> DIRT (dirty)<input type="checkbox"/> DLWM (delivery without matching)<input type="checkbox"/> DRAW (drawn)<input type="checkbox"/> EXER (exercised)<input type="checkbox"/> EXPI (expired)<input type="checkbox"/> FRCL (free clean settlement)<input type="checkbox"/> KNOC (knocked out)<input type="checkbox"/> NOMC (no automatic market claim)

General	
	<input type="checkbox"/> PENS (pending sale) <input type="checkbox"/> PHYS (physical) <input type="checkbox"/> RESI (residual) <input type="checkbox"/> RHYP (rehypothecation) <input type="checkbox"/> RPTO (reporting) <input type="checkbox"/> SHOR (short sell) <input type="checkbox"/> SPDL (special delivery) <input type="checkbox"/> SPST (split settlement) <input type="checkbox"/> TRAN (transformation) <input type="checkbox"/> TRIP (triparty segregation) <input type="checkbox"/> UNEX (unexposed)
Link	
Link Type	Select a link processing position of the possible values: <input type="checkbox"/> After (default value) <input type="checkbox"/> Before <input type="checkbox"/> With <input type="checkbox"/> Info References for error messages: [▶ 2581] <input type="checkbox"/> MVLI801 <input type="checkbox"/> MVLI802 <input type="checkbox"/> MVLI803 <input type="checkbox"/> MVLI813 <input type="checkbox"/> MVLI814 <input type="checkbox"/> MVLI815 <input type="checkbox"/> MVLI816 <input type="checkbox"/> MVLI817 <input type="checkbox"/> MVLI818 <input type="checkbox"/> MVLI831 <input type="checkbox"/> MVLI832 <input type="checkbox"/> MVLI833 <input type="checkbox"/> MVLI851 <input type="checkbox"/> MVLI854

General	
	<ul style="list-style-type: none"><input type="checkbox"/> MVL1857<input type="checkbox"/> MVL1860<input type="checkbox"/> MVL1863<input type="checkbox"/> MVL1865<input type="checkbox"/> MVCP013<input type="checkbox"/> MVL1875<input type="checkbox"/> MVL1872 <p>This field is also available as a show field for existing records.</p>
Reference Type	<p>Select the reference type of the instruction to be linked to from the possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> Account Owner Settlement Instruction Reference<input type="checkbox"/> Account Owner Intra Balance Movement Reference<input type="checkbox"/> Account Owner Intra Position Movement Reference<input type="checkbox"/> Account Servicer Reference<input type="checkbox"/> Third Party reference<input type="checkbox"/> T2S reference <p>Required format is: max. 46 characters (SWIFT-x)</p> <p>This field is also available as a show field for existing records.</p>
Reference	<p>Enter the identification of the instruction to be linked to.</p> <p>Required format is: max. 16 characters (SWIFT-x-red)</p> <p>References for error messages: [▶ 2581]</p> <ul style="list-style-type: none"><input type="checkbox"/> MVCP099<input type="checkbox"/> MVL1869<input type="checkbox"/> MVL1872 <p>This field is also available as a show field for existing records.</p>
Reference Owner	<p>Enter the BIC of the instructing party of the instruction to be linked to.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>If the 'Reference Type' is set to any value different than 'T2S reference' this field becomes mandatory.</p>

General	
	This field is also available as a show field for existing records.
Options	Information on functions (icons) can be found in Common Buttons and Icons [▶ 53].
Pool Instruction Reference	<p>Enter the pool identification.</p> <p>Required format is: max. 16 characters (SWIFT-x-red)</p> <p>References for error messages: [▶ 2581]</p> <ul style="list-style-type: none"> <input type="checkbox"/> MVL1833 <input type="checkbox"/> MVL1847 <input type="checkbox"/> MVL1868
Pool Instruction Counter	<p>Enter the number of linked instructions included in the pool.</p> <p>Required format is: Integer</p> <p>References for error messages: [▶ 2581]</p> <ul style="list-style-type: none"> <input type="checkbox"/> MVL1843 <input type="checkbox"/> MVL1845 <p>This field becomes mandatory when the 'Pool Instruction Reference' is filled in.</p>
Current Instruction Number	<p>Enter the sequential number of the instruction.</p> <p>Required format is: Integer</p> <p>This field becomes mandatory when the 'Pool Instruction Reference' is filled in.</p>
Pool Reference Owner BIC	<p>Enter the BIC of the owner of the Pool Instruction Reference.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>The field 'Pool Reference Owner BIC' becomes mandatory when the field 'Pool Instruction Reference' is filled in.</p>
Link Additional Information	
Message Number/ Long Number	<p>Enter the type number/message identified of the message referenced in the linkage sequence.</p> <p>Required format is: max. 12 characters</p>
Linked Quantity/ Paired Off Quantity/ Unit	Enter the quantity of the linked transaction to be paired-off expressed in units.

General	
	<p>Required format: max. 15 digit(s) incl. decimal point (thereof max. 14 decimal places).</p> <p>Only one of the values for quantity can be filled in: 'Unit', 'Face Amount' or 'Amortised Value'.</p> <p>If 'Paired Off Quantity' is filled in, then 'Turned Quantity' cannot be filled in.</p>
Linked Quantity/ Paired Off Quantity/ Face Amount	<p>Enter the quantity of the linked transaction to be paired-off expressed in face amount.</p> <p>Required format: max. 15 digit(s) incl. decimal point (thereof max. 5 decimal places).</p> <p>Only one of the values for quantity can be filled in: 'Unit', 'Face Amount' or 'Amortised Value'.</p> <p>If 'Paired Off Quantity' is filled in, then 'Turned Quantity' cannot be filled in.</p>
Linked Quantity/ Paired Off Quantity/ Amortised Value	<p>Enter the quantity of the linked transaction to be paired-off expressed in amortised value.</p> <p>Required format: max. 15 digit(s) incl. decimal point (thereof max. 5 decimal places).</p> <p>Only one of the values for quantity can be filled in: 'Unit', 'Face Amount' or 'Amortised Value'.</p> <p>If 'Paired Off Quantity' is filled in, then 'Turned Quantity' cannot be filled in.</p>
Linked Quantity/ Turned Quantity/Unit	<p>Enter the quantity of the linked transaction to be turned expressed in units.</p> <p>Required format: max. 15 digit(s) incl. decimal point (thereof max. 14 decimal places).</p> <p>Only one of the values for quantity can be filled in: 'Unit', 'Face Amount' or 'Amortised Value'.</p> <p>If 'Turned Quantity' is filled in, then 'Paired Off Quantity' cannot be filled in.</p>
Linked Quantity/ Turned Quantity/ Face Amount	<p>Enter the quantity of the linked transaction to be turned expressed in face amount.</p> <p>Required format: max. 15 digit(s) incl. decimal point (thereof max. 5 decimal places).</p> <p>Only one of the values for quantity can be filled in: 'Unit', 'Face Amount' or 'Amortised Value'.</p>

General	
	If 'Turned Quantity' is filled in, then 'Paired Off Quantity' cannot be filled in.
Linked Quantity/ Turned Quantity/ Amortised Value	<p>Enter the quantity of the linked transaction to be turned expressed in amortised value.</p> <p>Required format: max. 15 digit(s) incl. decimal point (thereof max. 5 decimal places).</p> <p>Only one of the values for quantity can be filled in: 'Unit', 'Face Amount' or 'Amortised Value'.</p> <p>If 'Turned Quantity' is filled in, then 'Paired Off Quantity' cannot be filled in.</p>
Use of Restriction	
Cash Sub Balance Type	<p>You can choose to either enter the code to identify the restriction on cash or to select it from the suggested items in the drop-down menu.</p> <p>Required format is: max. 4 characters (SWIFT-x)</p> <p>References for error messages: [▶ 2581]</p> <ul style="list-style-type: none"> <input type="checkbox"/> MVRR988 <input type="checkbox"/> MVRR926 <input type="checkbox"/> MVRR941 <input type="checkbox"/> MVRR957 <input type="checkbox"/> MVRR958
Delivering Securities Sub Balance Type	<p>You can choose to either enter the code to identify the restriction on securities or to select it from the suggested items in the drop-down menu. This field is only available if it is a delivering instruction or the field 'Externally Matched' is filled with 'Yes'.</p> <p>Required format is: max. 4 characters (SWIFT-x)</p> <p>References for error messages: [▶ 2581]</p> <ul style="list-style-type: none"> <input type="checkbox"/> MVRR937 <input type="checkbox"/> MVRR942 <input type="checkbox"/> MVRR948 <input type="checkbox"/> MVRR959 <input type="checkbox"/> MVRR960 <input type="checkbox"/> MVRR961

General	
	<input type="checkbox"/> MVRR963 <input type="checkbox"/> MVRR964 <input type="checkbox"/> MVRR986 <input type="checkbox"/> MVRR987
Receiving Securities Sub Balance Type	<p>You can choose to either enter the code to identify the restriction on securities or to select it from the suggested items in the drop-down menu. This field is only available if it is a receiving instruction or the field 'Externally Matched' is filled with 'Yes'.</p> <p>Required format is: max. 4 characters (SWIFT-x)</p> <p>References for error messages: [▶ 2581]</p> <input type="checkbox"/> MVRR929 <input type="checkbox"/> MVRR948 <input type="checkbox"/> MVRR962 <input type="checkbox"/> MVRR965 <input type="checkbox"/> MVRR972 <input type="checkbox"/> MVRR984
Restriction Reference	<p>Enter the restriction reference applying on securities.</p> <p>Required format is: max. 30 characters (SWIFT-x-red)</p> <p>References for error messages: [▶ 2581]</p> <input type="checkbox"/> MVRR934 <input type="checkbox"/> MVRR935 <input type="checkbox"/> MVRR936 <input type="checkbox"/> MVRR937 <input type="checkbox"/> MVRR941 <input type="checkbox"/> MVRR942 <input type="checkbox"/> MVRR944 <input type="checkbox"/> MVRR945 <input type="checkbox"/> MVRR947 <input type="checkbox"/> MVRR951 <input type="checkbox"/> MVRR963 <input type="checkbox"/> MVRR964 <input type="checkbox"/> MVRR984

General	
	<input type="checkbox"/> MVRR986 <input type="checkbox"/> MVRR987 Click on the '+' button to add a restriction reference.
Restriction Reference	Shows the restriction reference applying on securities. References for error messages: [▶ 2581] <input type="checkbox"/> MVRR934 <input type="checkbox"/> MVRR935 <input type="checkbox"/> MVRR936 <input type="checkbox"/> MVRR937 <input type="checkbox"/> MVRR941 <input type="checkbox"/> MVRR942 <input type="checkbox"/> MVRR944 <input type="checkbox"/> MVRR945 <input type="checkbox"/> MVRR947 <input type="checkbox"/> MVRR951 <input type="checkbox"/> MVRR963 <input type="checkbox"/> MVRR964 <input type="checkbox"/> MVRR984 <input type="checkbox"/> MVRR986 <input type="checkbox"/> MVRR987
Use of Restriction Additional Information	
Lot Quantity/Unit	Enter the quantity that is part of the lot described expressed in units. Required format: max. 15 digit(s) incl. decimal point (thereof max. 14 decimal places). Only one of the values for quantity can be filled in: 'Unit', 'Face Amount' or 'Amortised Value'.
Lot Quantity/Face Amount	Enter the quantity that is part of the lot described expressed in face amount. Required format: max. 15 digit(s) incl. decimal point (thereof max. 5 decimal places). Only one of the values for quantity can be filled in: 'Unit', 'Face Amount' or 'Amortised Value'.

General	
Lot Quantity/Amortised Value	<p>Enter the quantity that is part of the lot described expressed in amortised value.</p> <p>Required format: max. 15 digit(s) incl. decimal point (thereof max. 5 decimal places).</p> <p>Only one of the values for quantity can be filled in: 'Unit', 'Face Amount' or 'Amortised Value'.</p>
Lot Date Time/Date	<p>Enter the date on which the lot was purchased or use the <i>calendar</i> icon.</p> <p>Required format: YYYY-MM-DD</p> <p>Only one field among 'Date' and 'Date Time' can be filled in.</p>
Lot Date Time/Date Time	<p>Enter the date/time on which the lot was purchased or use the <i>calendar</i> icon.</p> <p>Required format: YYYY-MM-DD hh:mm:ss.µsµsµsµsµs</p> <p>Only one field among 'Date' and 'Date Time' can be filled in.</p>
Lot Price/Type/Yielded	<p>Select whether or not the price is expressed as a yield from the possible values:</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p>Only one field among 'Value Type' and 'Yielded' can be filled in.</p>
Lot Price/Type/Value Type	<p>Select the type of value in which the price is expressed from the possible values:</p> <p><input type="checkbox"/> Discount (default value)</p> <p><input type="checkbox"/> Premium</p> <p><input type="checkbox"/> Par</p> <p>Only one field among 'Value Type' and 'Yielded' can be filled in.</p>
Lot Price/Value/Rate	<p>Enter the price expressed as a rate.</p> <p>Required format is: max. 12 digit(s) incl. decimal point (thereof max. 10 decimal places)</p> <p>Only one field among 'Value Amount' and 'Value Rate' can be filled in.</p>

General	
Lot Price/Value/ Amount	<p>Enter the price expressed as a value.</p> <p>Required format is: max. 15 digit(s) incl. decimal point (thereof max. 13 decimal places)</p> <p>Only one field among 'Value Amount' and 'Value Rate' can be filled in.</p>
Lot Price/Value/Currency	<p>Select the currency of the value amount from the drop-down menu.</p> <p>Required format is: 3 characters (SWIFT-x)</p>
Type of Price/Code	<p>Select the ISO 20022 codes to indicate the type of price from the possible value:</p> <p><input type="checkbox"/> Average</p> <p>Only one of the following types can be filled in for a given place of trade:</p> <p>'Code' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Type of Price/Proprietary/Identification	<p>Enter the proprietary information, often a code, of the type of price.</p> <p>Required format is: max. 4 characters (SWIFT-x)</p> <p>Only one of the following types can be filled in for a given type of price:</p> <p>'Code' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Type of Price/Proprietary/Issuer	<p>Enter the issuer information of the type of price.</p> <p>Required format is: max. 4 characters (SWIFT-x)</p> <p>Only one of the following types can be filled in for a given type of price:</p> <p>'Code' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Type of Price/Proprietary/Schema Name	<p>Enter a short textual description of the schema of the type of price.</p> <p>Required format is: max. 4 characters (SWIFT-x)</p> <p>Only one of the following types can be filled in for a given type of price:</p> <p>'Code' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>

General	
Additional Receiving Parties	
Receiving Party 3	
Party BIC	<p>Enter the BIC of the client of the receiving CSD participant.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>In case a BIC8 is entered for this field no automatic supplementation to BIC11 is performed.</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Name	<p>Enter the name and address of the party.</p> <p>Required format is: max. 140 characters (SWIFT-x)</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Proprietary Identification	<p>Enter the proprietary information, often a code, issued by the data source scheme issuer.</p> <p>Required format is: max. 34 characters (SWIFT-x)</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Issuer	<p>Enter the entity that assigns the identification.</p> <p>Required format is: max. 4 characters (SWIFT-x)</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Schema Name	<p>Enter the short textual description of the schema.</p> <p>Required format is: max. 4 characters (SWIFT-x)</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Receiving Party 4	

General	
Party BIC	<p>Enter the BIC of the client of the receiving CSD participant.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>In case a BIC8 is entered for this field no automatic supplementation to BIC11 is performed.</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Name	<p>Enter the name and address of the party.</p> <p>Required format is: max. 140 characters (SWIFT-x)</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Proprietary Identification	<p>Enter the proprietary information, often a code, issued by the data source scheme issuer.</p> <p>Required format is: max. 34 characters (SWIFT-x)</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Issuer	<p>Enter the entity that assigns the identification.</p> <p>Required format is: max. 4 characters (SWIFT-x)</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Schema Name	<p>Enter the short textual description of the schema.</p> <p>Required format is: max. 4 characters (SWIFT-x)</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Receiving Party 5	
Party BIC	<p>Enter the BIC of the client of the receiving CSD participant.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p>

General	
	<p>In case a BIC8 is entered for this field no automatic supplementation to BIC11 is performed.</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Name	<p>Enter the name and address of the party.</p> <p>Required format is: max. 140 characters (SWIFT-x)</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Proprietary Identification	<p>Enter the proprietary information, often a code, issued by the data source scheme issuer.</p> <p>Required format is: max. 34 characters (SWIFT-x)</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Issuer	<p>Enter the entity that assigns the identification.</p> <p>Required format is: max. 4 characters (SWIFT-x)</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Schema Name	<p>Enter the short textual description of the schema.</p> <p>Required format is: max. 4 characters (SWIFT-x)</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Additional Delivering Parties	
Delivering Party 3	
Party BIC	<p>Enter the BIC of the client of the delivering CSD participant.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p>

General	
	<p>In case a BIC8 is entered for this field no automatic supplementation to BIC11 is performed.</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Name	<p>Enter the name and address of the party.</p> <p>Required format is: max. 140 characters (SWIFT-x)</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Proprietary Identification	<p>Enter the proprietary information, often a code, issued by the data source scheme issuer.</p> <p>Required format is: max. 34 characters (SWIFT-x)</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Issuer	<p>Enter the entity that assigns the identification.</p> <p>Required format is: max. 4 characters (SWIFT-x)</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Schema Name	<p>Enter the short textual description of the schema.</p> <p>Required format is: max. 4 characters (SWIFT-x)</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Delivering Party 4	
Party BIC	<p>Enter the BIC of the client of the delivering CSD participant.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p>

General	
	<p>In case a BIC8 is entered for this field no automatic supplementation to BIC11 is performed.</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Name	<p>Enter the name and address of the party.</p> <p>Required format is: max. 140 characters (SWIFT-x)</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Proprietary Identification	<p>Enter the proprietary information, often a code, issued by the data source scheme issuer.</p> <p>Required format is: max. 34 characters (SWIFT-x)</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Issuer	<p>Enter the entity that assigns the identification.</p> <p>Required format is: max. 4 characters (SWIFT-x)</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Schema Name	<p>Enter the short textual description of the schema.</p> <p>Required format is: max. 4 characters (SWIFT-x)</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Delivering Party 5	
Party BIC	<p>Enter the BIC of the client of the delivering CSD participant.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p>

General	
	<p>In case a BIC8 is entered for this field no automatic supplementation to BIC11 is performed.</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Name	<p>Enter the name and address of the party.</p> <p>Required format is: max. 140 characters (SWIFT-x)</p>
Proprietary Identification	<p>Enter the proprietary information, often a code, issued by the data source scheme issuer.</p> <p>Required format is: max. 34 characters (SWIFT-x)</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Issuer	<p>Enter the entity that assigns the identification.</p> <p>Required format is: max. 4 characters (SWIFT-x)</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Schema Name	<p>Enter the short textual description of the schema.</p> <p>Required format is: max. 4 characters (SWIFT-x)</p> <p>Only one of the following party identifications can be filled in for a given settlement party: 'Party BIC', 'Name' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.</p>
Other Information	
Deal Price	
Value Amount	<p>Enter the value of the amount of the deal price.</p> <p>Required format is: max. 15 digit(s) incl. decimal point (thereof max. 13 decimal places)</p> <p>Only one field among 'Value Amount' and 'Value Rate' can be filled in. If any of these two fields is filled in the other one cannot be filled in.</p>

General	
	<p>If either 'Value Amount' or 'Value Rate' is filled in, then either 'Value Type' or 'Yielded' must also be filled in.</p>
Value Currency	<p>Select the currency of the amount of the deal price from the possible values.</p> <p>Required format is: 3 characters (SWIFT-x)</p>
Value Rate	<p>Enter the price expressed as a rate.</p> <p>Required format is: max. 12 digit(s) incl. decimal point (thereof max. 10 decimal places)</p> <p>Only one field among 'Value Amount' and 'Value Rate' can be filled in. If any of these two fields is filled in the other one cannot be filled in.</p> <p>If either 'Value Amount' or 'Value Rate' is filled in, then either 'Value Type' or 'Yielded' must also be filled in.</p>
Value Type	<p>Select the type of value in which the price is expressed from the possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> Empty (default value)<input type="checkbox"/> Discount<input type="checkbox"/> Par<input type="checkbox"/> Premium <p>Only one field among 'Value Type' and 'Yielded' can be filled in. If any of these two fields is filled in the other one cannot be filled in.</p> <p>If either 'Value Type' or 'Yielded' is filled in, then either 'Value Amount' or 'Value Rate' must also be filled in.</p>
Yielded	<p>Select yes or no to indicate that the price is expressed as a yield.</p> <p>Possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> Empty (default value)<input type="checkbox"/> Yes<input type="checkbox"/> No <p>Only one field among 'Value Type' and 'Yielded' can be filled in. If any of these two fields is filled in the other one cannot be filled in.</p> <p>If either 'Value Type' or 'Yielded' is filled in, then either 'Value Amount' or 'Value Rate' must also be filled in.</p>

General	
Foreign Exchange	
Exchange Rate	Enter the factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency. Required format is: max. 12 digit(s) incl. decimal point (thereof max. 10 decimal places)
Quoted Currency	Select the currency into which the base currency is converted, in a currency exchange from the drop-down menu.
Resulting Amount	Enter the counter value of a foreign exchange conversion. Required format is: max. 15 digit(s) incl. decimal point (thereof max. 5 decimal places)
Resulting Amount Currency	Select the currency of the counter value of a foreign exchange conversion from the drop-down menu.
Unit Currency	Select the currency into which the base currency is converted, in a currency exchange for unit from the drop-down menu.
Place of Trade	
Place of Trade Description	Enter the description of the market in which a trade transaction has been executed. Either this field or Place of Trade Market Identifier Code can be filled, but not both. Required format is: max. 30 characters (SWIFT-x-red)
Place of Trade Market Identifier Code	Enter the Identifier Code of the market in which a trade transaction has been executed. Either this field or Place of Trade Description can be filled, but not both. Required format is: max. 4 characters (SWIFT-x)
Place of Trade Additional Information	
Type/Code	Select the ISO 20022 code to indicate the type of market in which transactions take place from the possible values: <input type="checkbox"/> PRIM (for Primary Market) <input type="checkbox"/> SECM (for Secondary Market) <input type="checkbox"/> OTCO (for Over the Counter)

General	
	<input type="checkbox"/> VARI (for Various) <input type="checkbox"/> EXCH (for Stock Exchange) Required format is: max. 4 characters (SWIFT-x). In case either 'Place of Trade Description' or 'Place of Trade Market Identifier Code' are filled, either 'Place of Trade Code' or Proprietary Information must be provided. Only one of the following types can be filled in for a given place of trade: 'Code' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.
Type/Proprietary/Identification	Enter the proprietary information, often a code, of the place of trade type. Required format is: max. 4 characters (SWIFT-x). Only one of the following types can be filled in for a given place of trade: 'Code' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.
Type/Proprietary/Issuer	Enter the issuer information of the place of trade type. Required format is: max. 4 characters (SWIFT-x). Only one of the following types can be filled in for a given place of trade: 'Code' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.
Type/Proprietary/Schema Name	Enter a short textual description of the schema of the place of trade type. Required format is: max. 4 characters (SWIFT-x). Only one of the following types can be filled in for a given place of trade: 'Code' or the combination of 'Identification', 'Issuer' and optionally 'Schema Name'.
Other Information	
Other	
Number of Days Accrued	Enter the number of days on which the interest rate accrues (daily accrual note). Required format is: Integer values from -999 to 999

General	
Original Ordered Amount	Enter the original ordered amount. Required format is: max. 15 digit(s) incl. decimal point (thereof max. 5 decimal places)
Original Ordered Currency	Select the currency of the original ordered amount from the drop-down menu.
Market Client Side Code	Specifies if an instruction is for a market side or client side transaction: <input type="checkbox"/> CLNT (for Client Side) <input type="checkbox"/> MAKT (for Market Side) Either this field or the proprietary identification of the market client side in addition to the issuer and scheme name is available. Required format is: max. 4 characters (SWIFT-x)
Market Client Side Proprietary Identification	Select the identification assigned by an institution. In case Proprietary Information is filled, at least Identification and Issuer must be provided. Required format is: max. 4 characters (SWIFT-x)
Market Client Side Issuer	Select the entity that assigns the identification. In case Proprietary Information is filled, at least Identification and Issuer must be provided. Required format is: max. 4 characters (SWIFT-x)
Market Client Side Schema Name	Select the name of the identification scheme. Required format is: max. 4 characters (SWIFT-x)
Additional Information	
Level 1	Select the first level of the XML hierarchy.
Level 2	Select the second level of the XML hierarchy.
Level 3	Select the third level of the XML hierarchy.
Level 4	Select the fourth level of the XML hierarchy.
Level 5	Select the fifth level of the XML hierarchy.
Level 6	Select the sixth level of the XML hierarchy.
Value	Enter the value of the corresponding XML field chosen through the different levels.
Path	Shows the XML selected hierarchy.

General	
Value	Shows the value of the corresponding XML field chosen through the different levels.

Buttons

Cancel	<p>This function enables you to cancel the process and return to the previous screen.</p> <p>Next screen:</p> <ul style="list-style-type: none"><input type="checkbox"/> <i>Settlement instructions – search/list</i> screen [▶ 187]
Reset	<p>This function enables you to set all fields to default value and blanks out all optional fields.</p> <p>Next screen:</p> <ul style="list-style-type: none"><input type="checkbox"/> <i>Settlement instruction – new</i> screen
Submit	<p>This function enables you to create a new settlement instruction. Upon clicking on the <i>submit</i> button you will be asked to enter your PIN for digital signature purposes (NRO). With the entry of the PIN, T2S attaches a digital signature to the instruction entered by the T2S actor.</p> <p>Next screen:</p> <ul style="list-style-type: none"><input type="checkbox"/> <i>Settlement instructions – search/list</i> screen [▶ 187] <p>References for error messages: [▶ 2581]</p> <ul style="list-style-type: none"><input type="checkbox"/> MVCA105<input type="checkbox"/> MVCA501<input type="checkbox"/> MVCA502<input type="checkbox"/> MVCA503<input type="checkbox"/> MVCA505<input type="checkbox"/> MVCA506<input type="checkbox"/> MVCA507<input type="checkbox"/> MVCA509<input type="checkbox"/> MVCA510<input type="checkbox"/> MVCA511<input type="checkbox"/> MVCA514<input type="checkbox"/> MVCA515<input type="checkbox"/> MVCA516

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- MVCA518
- MVCA519
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- MVCP010
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- MVCP103
- MVCP110
- MVCP119
- MVCP124
- MVCP125
- MVCP126
- MVCP127
- MVCP128
- MVCP130
- MVCU103
- MVCU107

- MVCV001
- MVCV002
- MVCV003
- MVCV106
- MVCV120
- MVCV122
- MVCV123
- MVCV205
- MVCV229
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- MVCV298
- MVCV299
- MVCV301
- MVCV303
- MVCV305
- MVDC002
- MVDC004
- MVDC006
- MVDC014
- MVDC016
- MVDC018
- MVDC021
- MVDC024
- MVIC305
- MVIC307
- MVIC308
- MVIC309

- MVIC311
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- MVIC316
- MVIC317
- MVIC318
- MVIC319
- MVIC320
- MVLI801
- MVLI802
- MVLI803
- MVLI813
- MVLI814
- MVLI815
- MVLI816
- MVLI817
- MVLI818
- MVLI831
- MVLI832
- MVLI833
- MVLI843
- MVLI845
- MVLI847
- MVLI851
- MVLI854
- MVLI857
- MVLI860
- MVLI863
- MVLI865
- MVLI868
- MVLI869
- MVLI872

- MVLI875
- MVRR926
- MVRR929
- MVRR934
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- MVRR936
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- MVRR961
- MVRR963
- MVRR964
- MVRR984
- MVRR986
- MVRR987
- MVSD401
- MVSD403
- MVSD406
- MVSD411
- MVSD412
- MVSP208
- MVSQ602
- MVSQ604

<input type="checkbox"/>	MVSQ606
<input type="checkbox"/>	MVSQ610
<input type="checkbox"/>	MVSQ612
<input type="checkbox"/>	MVSR701
<input type="checkbox"/>	MVSR702
<input type="checkbox"/>	MVSR703
<input type="checkbox"/>	MVSR704
<input type="checkbox"/>	MVSR707

2.2.2.20 Status History - Details Screen

Context of Usage

This screen displays detailed information on the status history of the selected instruction. You can check the data.

There is no usage restriction for this screen.

Screen Access

- Securities >> Settlement >> Intra-Position Movements >> *Intra-position movements with current and historical status – search* screen >> Click on the *search* button >> *Intra-position movements – list* screen >> Click on the *details* button >> *Intra-position movement - details* screen >> Click on the *status history* button
- Securities >> Settlement >> Intra-Balance Movements >> *Intra-balance movements with current and historical status – search* screen >> Click on the *search* button >> *Intra-balance movements – list* screen >> Click on the *details* button >> *Intra-balance movement - details* screen >> Click on the *status history* button
- Securities >> Settlement >> Settlement Instructions >> *Settlement instructions with current and historical status – search* screen >> Click on the *search* button >> *Settlement instructions – list* screen >> Click on the *details* button >> *Settlement instruction – details* screen >> Click on the *status history* button
- Securities >> Settlement >> Maintenance Securities Instructions >> *Maintenance securities instructions - search* screen >> Click on the *search* button >> *Maintenance securities instructions - list* screen >> Click on the *details* button >> *Amendment securities instruction – details* screen >> Click on the *status history* button

- Securities >> Settlement >> Maintenance Securities Instructions >> *Maintenance securities instructions - search* screen >> Click on the *search* button >> *Maintenance securities instructions - list* screen >> Click on the *details* button >> *Cancellation securities instruction – details* screen >> Click on the *status history* button
- Securities >> Settlement >> Maintenance Securities Instructions >> *Maintenance securities instructions - search* screen >> Click on the *search* button >> *Maintenance securities instructions - list* screen >> Click on the *details* button >> *Hold/release Instruction – details* screen >> Click on the *status history* button
- Cash >> Settlement >> Maintenance Cash Instructions >> *Maintenance cash instructions - search* screen >> Click on the *search* button >> *Maintenance cash instructions - list* screen >> Click on the *details* button >> *Amendment cash instruction – details* screen >> Click on the *status history* button
- Cash >> Settlement >> Maintenance Cash Instructions >> *Maintenance cash instructions - search* screen >> Click on the *search* button >> *Maintenance cash instructions - list* screen >> Click on the *details* button >> *Cancellation cash instruction – details* screen >> Click on the *status history* button

Privileges To use this screen, you need the privileges necessary for the business object for which you want to view the status history.

References **User Instructions Part**

This screen is part of the following business scenario:

- View settlement instruction status history [▶ 1353]

Business Functionality Document

This screen corresponds to the following business function:

- Display instruction details (T2S.GUI.SESE.INX.0030)

Screenshot

Date and Time	Status Type	Status Value	Settled Settlement Amount	Settled Settlement Quantity
2018-10-19 09:13:43	Settlement Status	Settled		1
2018-10-18 19:39:16	Settlement Status	Unsettled - Failing		
2018-10-18 19:33:26	Settlement Status	Unsettled - Failing		
2018-10-18 19:19:41	Settlement Status	Unsettled - Failing		
2018-10-16 11:23:28	Settlement Status	Unsettled - Pending		
2018-10-15 11:23:26	Match Status	Matched		
2018-10-15 11:23:25	Match Status	Unmatched		
2018-10-15 11:23:25	Settlement Status	Unsettled - Pending		
2018-10-15 11:23:25	Cancellation Status	Not cancelled		
2018-10-15 11:23:25	Approval Status	Approved		

Illustration 59: Status history - details screen

Field Description

Settlement Instruction - Status History	
Instruction	Shows the reference of the instruction for which the status history is shown. Displayed format is: max. 16 characters (SWIFT-x)
Effective Date and Time	Shows the timestamp when the status query was made. Displayed format is: YYYY-MM-DD hh:mm:ss
Date and Time	Shows the date and time when the status value was modified. Displayed format is: YYYY-MM-DD hh:mm:ss
Status Type	Shows the status type depending on the instruction type from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Settlement Status (for settlement instructions and restrictions) <input type="checkbox"/> Match Status (for all types of LCMM instructions) <input type="checkbox"/> Cancellation Status (for all types of LCMM instructions) <input type="checkbox"/> Party Hold (for settlement instructions) <input type="checkbox"/> CSD Hold Status (for settlement instructions) <input type="checkbox"/> CSD Validation Hold Status (for settlement instructions) <input type="checkbox"/> CoSD Hold Status (for settlement instructions) <input type="checkbox"/> Execution Status (for cancellation instructions and condition modification instructions) <input type="checkbox"/> Approval Status (for all types of LCMM instructions)

Settlement Instruction - Status History	
Status Value	Shows the status value of the 'Status Type' field depending on the status type and the instruction type from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Match status: 'Matched' or 'Unmatched' <input type="checkbox"/> Cancellation status for settlement instructions and restrictions: 'Cancelled' or 'Not Cancelled' <input type="checkbox"/> Cancellation status for cancellation instructions and condition modification instructions: 'Denied' or 'Not Denied' <input type="checkbox"/> Settlement status: 'Unsettled'- Pending' or 'Unsettled - Failing' or 'Partially Settled'- Pending' or 'Partially Settled' - Failing' or 'Settled' <input type="checkbox"/> CoSD hold status: 'Yes' or 'No' <input type="checkbox"/> Party hold status: 'Yes' or 'No' <input type="checkbox"/> CSD hold status: 'Yes' or 'No' <input type="checkbox"/> CSD validation hold status : 'Yes' or 'No' <input type="checkbox"/> Execution status: 'Executed' or 'Not Executed' <input type="checkbox"/> Approval status: 'Approved' or 'Awaiting for Approval' or 'Revoked'
Settled Settlement Amount	Shows the amount settled in the current status update. This field is only filled for an update in a settlement status.
Settled Settlement Quantity	Shows the quantity settled in the current status update. This field is only filled for an update in a settlement status.

2.2.2.21 Insolvency procedure Settlement Instructions - Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for settlement instructions under insolvency situation. The search results will be displayed in a list.

After selecting an entry, you can proceed further by clicking on the buttons below.

This screen will be available for the User in case the Insolvency system parameter is activated.

When exporting the content of this screen, you receive the query result in a csv-file.

This screen is not relevant for CB users.

Screen Access Securities >> Settlement >> Insolvency procedure Settlement Instructions

Privileges To use this screen, you need the following privilege [[▶ 1926](#)]:
 Settlement instruction matched and accepted status query privilege

References **User Instructions Part**

This screen is part of the following business scenario:

View SF1/SF2 timestamps of Settlement Instructions in case of Insolvency situation [[▶ 1354](#)]

Business Functionality Document

This screen corresponds to the following business functions:

- Query instruction (T2S.GUI.SESE.INX.0010)
- Display instruction list (T2S.GUI.SESE.INX.0020)

Screenshot

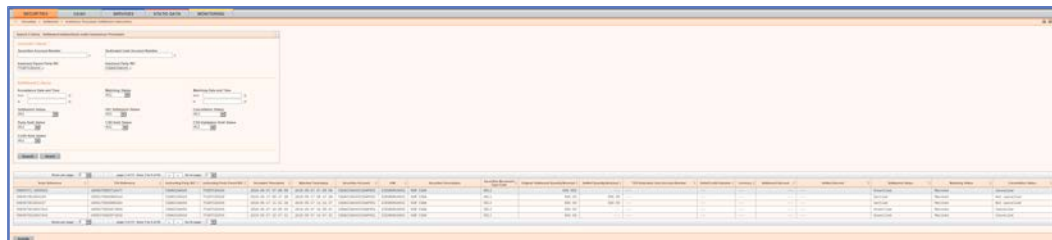


Illustration 60: Insolvency procedure settlement instructions –search/list screen

Field description

Insolvency procedure Settlement Instructions – Search Criteria	
Insolvent Object Criteria*	
Securities Account Number	<p>You can choose to either enter the 'Securities Account Number' or to select it from the suggested items in the drop-down menu.</p> <p>Only one of the insolvent object criteria must be filled in: 'Securities Account Number', 'Dedicated Cash Account Number' or the combination of 'Insolvent Party BIC' and 'Insolvent Parent Party BIC'.</p> <p>In order to query external CSD settlement instructions the search criterion 'securities account' must not be filled in.</p> <p>Required format is: max. 35 characters (SWIFT-x)</p> <p>Reference for error message [▶ 2520]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC030
Dedicated Cash Account Number	<p>You can choose to either enter the 'Dedicated Cash Account Number' or to select it from the suggested items in the drop-down menu.</p>

Insolvency procedure Settlement Instructions – Search Criteria	
	<p>Only one of the insolvent object criteria must be filled in: 'Securities Account Number', 'Dedicated Cash Account Number' or the combination of 'Insolvent Party BIC' and 'Insolvent Parent Party BIC'.</p> <p>Required format is: max. 34 characters (SWIFT-x)</p> <p>Reference for error message [▶ 2520]:</p> <ul style="list-style-type: none"><input type="checkbox"/> QMPC031
Insolvent Party BIC	<p>You can choose to either enter the 'Insolvent Party BIC' or to select it from the suggested items in the drop-down menu.</p> <p>Only one of the insolvent object criteria must be filled: 'Securities Account Number', 'Dedicated Cash Account Number' or the combination of 'Insolvent Party BIC' and 'Insolvent Parent Party BIC'.</p> <p>If the Insolvent Party BIC is filled in, the Insolvent Parent Party BIC needs to be filled in as well.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>References for error messages [▶ 2520]:</p> <ul style="list-style-type: none"><input type="checkbox"/> QMPC032<input type="checkbox"/> QMPC084
Insolvent Parent Party BIC	<p>You can choose to either enter the 'Insolvent Party Parent BIC' or to select it from the suggested items in the drop-down menu.</p> <p>Only one of the insolvent object criteria must be filled in: 'Securities Account Number', 'Dedicated Cash Account Number' or the combination of 'Insolvent Party BIC' and 'Insolvent Parent Party BIC'.</p> <p>If the Insolvent Parent Party BIC is filled in, the Insolvent Party BIC needs to be filled in as well.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>References for error messages [▶ 2520]:</p> <ul style="list-style-type: none"><input type="checkbox"/> QMPC048<input type="checkbox"/> QMPC084
Additional Criteria	

Insolvency procedure Settlement Instructions – Search Criteria	
Acceptance Date and Time – from	<p>Enter the lower bound of the search range for the acceptance date and time (i.e. SF1: moment of acceptance) or use the <i>calendar</i> icon.</p> <p>Required format is: YYYY-MM-DD hh:mm:ss</p> <p>Reference for error message [▶ 2520]:</p> <ul style="list-style-type: none"><input type="checkbox"/> QMPC015 <p>Only Settlement Instructions successfully created in 4-eyes mode (i.e. approval status = approved) will be considered in the query. Settlement Instructions with other approval status value will not be included in the search results.</p>
Acceptance Date and Time – to	<p>Enter the upper bound of the search range for the acceptance date and time (i.e. SF1: moment of acceptance) or use the <i>calendar</i> icon.</p> <p>Required format is: YYYY-MM-DD hh:mm:ss</p> <p>Reference for error message [▶ 2520]:</p> <ul style="list-style-type: none"><input type="checkbox"/> QMPC015 <p>Only Settlement Instructions successfully created in 4-eyes mode (i.e. approval status = approved) will be considered in the query. Settlement Instructions with other approval status value will not be included in the query results.</p>
Matching Status	<p>Select the matching status from the possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> All (default value)<input type="checkbox"/> Unmatched<input type="checkbox"/> Matched
Matching Date and Time - from	<p>Enter the lower bound of the search range for the matching date and time (i.e. SF2: moment of irrevocability) or use the <i>calendar</i> icon.</p> <p>Required format is: YYYY-MM-DD hh:mm:ss</p> <p>Reference for error message [▶ 2520]:</p> <ul style="list-style-type: none"><input type="checkbox"/> QMPC015
Matching Date and Time - to	<p>Enter the upper bound of the search range for the matching date and time (i.e. SF2: moment of irrevocability) or use the <i>calendar</i> icon.</p>

Insolvency procedure Settlement Instructions – Search Criteria	
	Required format is: YYYY-MM-DD hh:mm:ss Reference for error message [▶ 2520]: <input type="checkbox"/> QMPC015
Settlement Status	Select the settlement status from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Unsettled <input type="checkbox"/> Settled <input type="checkbox"/> Partially settled
ISO Settlement status	Select the settlement status of the settlement restriction from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Pending <input type="checkbox"/> Failing If value 'Settlement Status' field is set to 'Settled' or 'Cancellation Status' field is set to 'Cancelled', this field is disabled.
Cancellation Status	Select the cancellation status from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Cancelled <input type="checkbox"/> Not cancelled
Party Hold Status	Select the party hold status from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> On hold <input type="checkbox"/> Released
CSD Hold Status	Select the CSD hold status from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> On hold <input type="checkbox"/> Released
CSD Validation Hold Status	Select the CSD validation hold status from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> On hold <input type="checkbox"/> Released

Insolvency procedure Settlement Instructions – Search Criteria	
CoSD Hold status	Select the CoSD hold status from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> On hold <input type="checkbox"/> Released
Insolvency procedure Settlement Instructions - List	
Actor Reference	Shows the reference assigned by the Actor to the settlement instruction.
T2S Reference	Shows the reference assigned by T2S to the settlement instruction.
Instructing Party BIC	Shows the BIC of the instructing party of the settlement instruction.
Instructing Party Parent BIC	Shows the parent BIC of the instructing party of the settlement instruction.
Accepted Timestamp (SF1)	Shows the accepted timestamp of the settlement instruction.
Matched Timestamp (SF2)	Shows the matched timestamp of the settlement instruction. In case the settlement instruction is unmatched no timestamp will be shown.
Securities Account Number	Shows the securities account number to or from which a securities entry is made.
ISIN	Shows the ISIN code of the security.
Securities Description	Shows the description of the security.
Securities Movement Type Code	Shows the securities movement type code from the possible values: <input type="checkbox"/> DELI <input type="checkbox"/> RECE
Original Settlement Quantity/Nominal	Shows the quantity of securities to be settled.
Settled Quantity/Nominal	Shows the settled quantity of the settlement instructions.

Insolvency procedure Settlement Instructions – Search Criteria	
T2S Dedicated Cash Account Number	Shows the T2S dedicated cash account number stated in the settlement instruction or the default T2S dedicated cash account number in case it was not stated in the settlement instruction.
Debit/Credit Indicator	Shows the debit credit indicator related to the cash posting from one of the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> DEBIT <input type="checkbox"/> CREDIT <input type="checkbox"/> Empty In case the settlement instruction does not contain the debit credit information, no value will be shown.
Currency	Shows the currency stated in the settlement instruction.
Settlement Amount	Shows the settlement amount as stated in the settlement instruction. In case the settlement instruction does not contain the Settlement Amount information, no value will be shown.
Settled Amount	Shows the total settled amount.
Settlement Status	Shows the settlement status of the settlement instruction. Possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Unsettled <input type="checkbox"/> Settled <input type="checkbox"/> Partially settled
Matching Status	Shows the matching status of the settlement instruction. Possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Unmatched <input type="checkbox"/> Matched
Cancellation Status	Shows the cancellation status of the settlement instruction. Possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Cancelled <input type="checkbox"/> Not Cancelled

Buttons

Search	This function enables you to start a search according to the criteria entered. The results are displayed in a list on the next screen.
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	<p>If the search retrieves a single record, the <i>settlement instruction - details</i> screen is displayed (to retrieve the relevant timestamps, the <i>back</i> icon of the <i>settlement instruction - details</i> screen must be used).</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Insolvency procedure settlement instructions – search/ list</i> screen <input type="checkbox"/> <i>Settlement instruction – details</i> screen [▶ 201] <p>References for error messages [▶ 2520]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC015 <input type="checkbox"/> QMPC030 <input type="checkbox"/> QMPC031 <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC048 <input type="checkbox"/> QMPC084
Reset	<p>This function enables you to set all fields to default value and blanks out all optional fields</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Insolvency procedure settlement instructions – search/ list</i> screen
Details	<p>This function enables you to display the details of the selected instruction.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Settlement Instruction – details</i> screen [▶ 201]

2.2.2.22 Links Preventing Settlement - List Screen

Context of Usage

This screen displays the list of all the linked instructions preventing settlement of the selected instruction with the associated constraints.

You can perform the following action: You can check the data.

Screen Access

- Securities >> Settlement >> Settlement Instructions >> *Settlement instructions – search/list* screen >> Click on the *search* button >> *Settlement instructions – search/list* screen >> Click on the *details* button >> *Settlement instruction - details* screen >> Click on the *Links* button

- Securities >> Settlement >> Intra-Position Movements >> *Intra-position movements – search/list* screen >> Click on the *search* button >> *Intra-position movements – search/list* screen >> Click on the *details* button >> *Intra-position movement - details* screen >> Click on the *Links* button
- Cash >> Settlement >> Intra-Balance Movements >> *Intra-balance movements – search/list* screen >> Click on the *search* button >> *Intra-balance movements – search/list* screen >> Click on the *details* button >> *Intra-balance movement - details* screen >> Click on the *Links* button

Privileges

To use this screen, you need the following privileges:

- Settlement instruction audit trail query
- Intra position movements query
- Intra balance movement audit trail list query

References

User Instructions Part

This screen is part of the following business scenarios:

- View the list of links data preventing settlement of a selected settlement instruction
- View the list of links data preventing settlement of a selected settlement restriction on securities
- View the list of links data preventing settlement of a selected settlement restriction on cash

Business Functionality Document

This screen corresponds to the following business functions:

- Query instruction (T2S.GUI.SESE.INX.0010)
- Display instruction list (T2S.GUI.SESE.INX.0020)

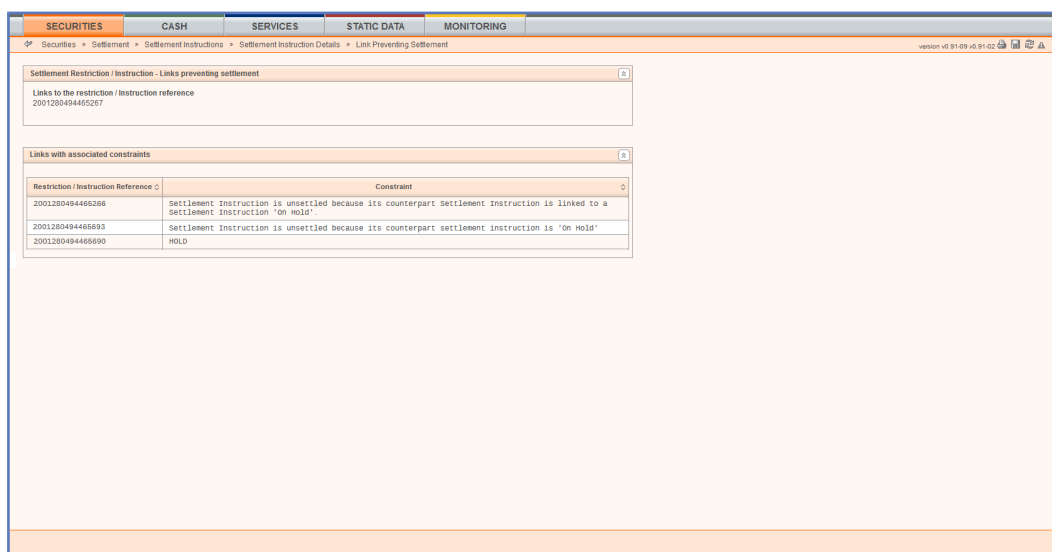


Illustration 61: Links preventing settlement – list screen

Field	Settlement Restriction / Instruction – Links preventing settlement	
Description	Links to the restriction / Instruction reference	Shows the T2S Reference assigned by T2S to the settlement instruction or settlement restriction selected in one of the following screens: <ul style="list-style-type: none"> <input type="checkbox"/> Settlement instruction details <input type="checkbox"/> Intra-Position movement details <input type="checkbox"/> Intra-balance movement details
	Links with associated constraints	
	Restriction / Instruction reference	Shows the T2S Reference of the linked settlement restriction or settlement instruction preventing the settlement of the selected settlement instruction or settlement restriction
	Constraint	Lists the constraint applied to the linked settlement instruction or settlement restriction preventing the settlement of the selected settlement instruction or settlement restriction

2.2.2.23 Penalty - Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for penalties computed for settlement instructions.

This screen is structured according to the allowed queries.

This screen will only return results where the relevant CSD has the right to access the underlying data (i.e. the penalty is in its data scope).

The search results will be displayed in a list when more than one result is retrieved by T2S. After selecting an entry, you can proceed further by clicking on the buttons below.

When only one result is retrieved, the Penalty – details Screen will be displayed.

When exporting the content of this screen, you receive the query result in a csv-file.

This screen is only available for CSD authorised users and T2S Operator user.

This screen will not be available during the processing of penalties, i.e. between the Events “PMSS” (Penalty Mechanism- Stop Screens and penalty modification requests) and “PMRR” (Penalty Mechanism- Restart GUI and Restart processing penalty modification requests).

Screen Access

- Securities >> Settlement >> Daily Penalties
- Securities >> Settlement >> Settlement Instructions >> *Settlement instructions – search/list* screen >> Click on the *search* button >> *Settlement instructions – search/list* screen >> Click on the *details* button >> *Settlement Instruction – details* screen >> Click on the *associated penalties* button

Privileges To use this screen, you need the following privilege:

- Penalty List Query Privilege
- Settlement Instruction Audit Trail Query

References **User Instructions Part**

This screen is part of the following business scenarios:

- View the individual penalty details
- View list of penalties

Screenshot

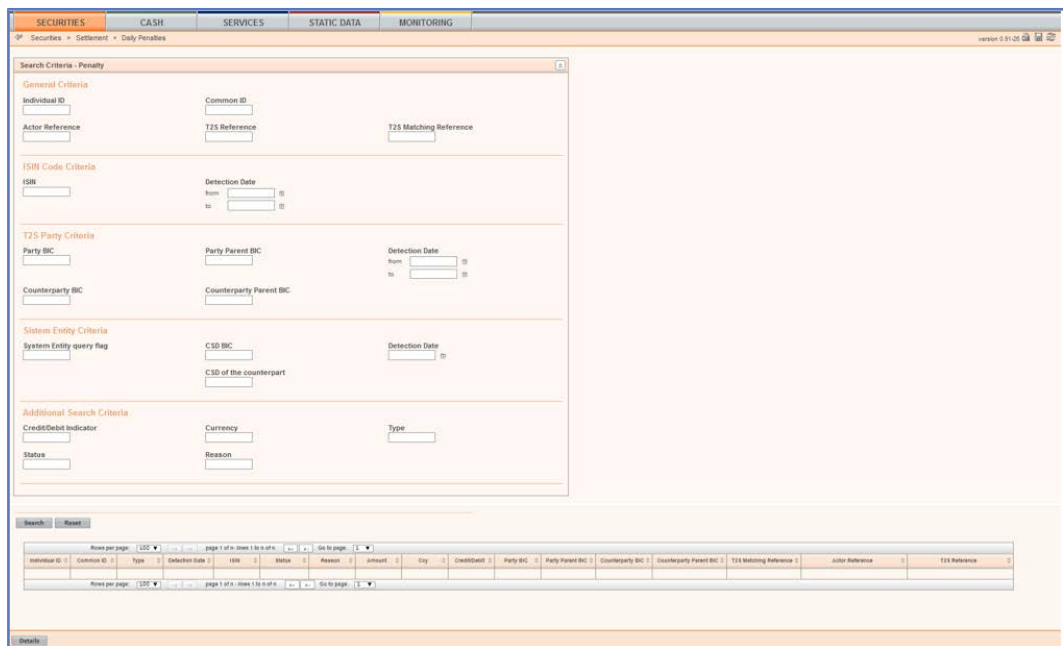


Illustration 62: Penalty – search/list screen

Field Description

Penalty – Search Criteria	
General Criteria	
Individual ID	Enter the individual unique reference assigned to the penalty by T2S for the relevant party. A given penalty can be identified by its individual unique reference assigned by T2S for either the failing or the non-failing party.

Penalty – Search Criteria	
	<p>At least one of the reference parameters needs to be specified to perform this query with a reference.</p> <p>This parameter cannot be combined with parameters of any other queries (i.e. parameters in other Groups).</p> <p>Specifying this parameter will return the Penalty - details screen.</p> <p>Required format is: max. 16 characters (SWIFT-x) , no wildcards allowed.</p>
Common ID	<p>Enter the common reference assigned to the penalty by T2S.</p> <p>A given penalty can be identified by its common reference assigned by T2S for both the failing and the non-failing party.</p> <p>At least one of the reference parameters needs to be specified to perform this query with a reference.</p> <p>This parameter cannot be combined with parameters of any other queries (i.e. parameters in other Groups).</p> <p>Specifying this parameter will return the Penalty - details screen if only a single penalty is retrieved (because only one of the two individual penalties is under the data scope of the CSD). Otherwise, the screen will return the relevant list of penalties (i.e. two penalties, the penalty as reported for the failing party and the penalty as reported to the non-failing party).</p> <p>Required format is: max. 16 characters (SWIFT-x), no wildcards allowed</p>
Actor Reference	<p>Enter the identification of the settlement instruction assigned by the T2S Actor.</p>

Penalty – Search Criteria	
	<p>Penalties can be identified by the T2S Actor Reference of the underlying settlement instruction.</p> <p>At least one of the reference parameters needs to be specified to perform this query.</p> <p>This parameter cannot be combined with parameters of any other queries (i.e. parameters in other Groups).</p> <p>Specifying this parameter will return the Penalty - details screen if only a single penalty is found, otherwise it will return the relevant list of penalties.</p> <p>Required format is: max. 16 characters (SWIFT-x), no wildcards allowed</p>
T2S Reference	<p>Enter the identification assigned by T2S to the settlement instruction.</p> <p>Penalties can be identified by the T2S Reference of the underlying settlement instruction.</p> <p>At least one of the reference parameters needs to be specified to perform this query.</p> <p>This parameter cannot be combined with parameters of any other queries (i.e. parameters in other Groups).</p> <p>Specifying this parameter will return the Penalty - details screen if only a single penalty is found, otherwise it will return the relevant list of penalties.</p> <p>Required format is: max. 16 characters (SWIFT-x), no wildcards allowed</p>
T2S Matching Reference	<p>Enter the settlement instruction matching identification assigned by T2S.</p> <p>Penalties can be identified by the T2S Matching Reference of the underlying settlement instruction.</p>

Penalty – Search Criteria	
	<p>At least one of the reference parameters needs to be specified to perform this query.</p> <p>This parameter cannot be combined with parameters of any other queries (i.e. parameters in other Groups).</p> <p>Specifying this parameter will return the Penalty - details screen if only a single penalty is found, otherwise it will return the relevant list of penalties.</p> <p>Required format is: max. 16 characters (SWIFT-x), no wildcards allowed</p>
ISIN Code Criteria	
ISIN	<p>Enter the ISIN of the security relevant for the penalty.</p> <p>This query parameter is mandatory for queries by ISIN Code Criteria.</p> <p>The ISIN must exist in T2S.</p> <p>Required format is: 12 characters (SWIFT-x).</p>
Detection Date (Date from or equal/ Date to)	<p>Enter the specific business day on which the penalty was detected or use the <i>calendar</i> icon.</p> <p>In order to search for a period, enter the lower and the upper bound of the search range for the business day for which the penalty was initially detected or use the calendar icon.</p> <p>Note: This date is not updated upon recalculation of the penalty and always relates to the business day for which the penalty was initially detected.</p> <p>This query parameter is mandatory for queries by ISIN Code Criteria.</p> <p>Required format is: YYYY-MM-DD</p>
T2S Party Criteria	

Penalty – Search Criteria	
Party BIC	<p>You can choose to either enter the 'Party BIC' or to select it from the suggested items in the drop-down menu.</p> <p>The selected BIC corresponds to the party imposed with or entitled to receive the penalty(ies) retrieved by the query.</p> <p>This query parameter is mandatory for queries by T2S Party Criteria.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p>
Party Parent BIC	<p>This parameter is mandatory when Party BIC is fulfilled.</p> <p>Field is empty by default. You can select the 'Party Parent BIC' from the suggested items in the drop-down menu, which displays the following values to be input:</p> <ul style="list-style-type: none"><input type="checkbox"/> The BIC of the CSD<input type="checkbox"/> T2S BIC <p>Note: In order to determine the data scope of the query, T2S automatically considers the CSD associated to the user performing the query. Hence, the parameter 'Party Parent BIC' is only relevant to query those penalties where the CSD itself is reported as failing or non-failing party (for which case, the user needs to input the T2S BIC)</p> <p>Required format is: max. 11 characters (SWIFT-x).</p>
Detection Date (Date from or equal/ Date to)	<p>Enter the specific business day for which the penalty was detected or use the <i>calendar</i> icon.</p> <p>In order to search for a period, enter the lower and upper bound of the search range for the business day for which the penalty was initially detected or use the <i>calendar</i> icon.</p>

Penalty – Search Criteria	
	<p>Note: This date is not updated upon recalculation of the penalty and always relates to the business day for which the penalty was initially detected.</p> <p>This query parameter is mandatory for queries by T2S Party Criteria.</p> <p>Required format is: YYYY-MM-DD</p>
Counterparty BIC	<p>You can choose to either enter the 'Counterparty BIC' or to select it from the suggested items in the drop-down menu.</p> <p>The selected BIC corresponds to the counterparty entitled to receive or imposed with the penalty(ies) retrieved by the query.</p> <p>This parameter can be used as search criteria only if the Party BIC is provided.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p>
Counterparty Parent BIC	<p>You can select the 'Counterparty Parent BIC' from the suggested items in the drop-down menu.</p> <p>The selected BIC corresponds to the parent of the counterparty entitled to receive or imposed with the penalty(ies) retrieved by the query.</p> <p>This parameter is mandatory when Counterparty BIC is fulfilled.</p> <p>Field is empty by default, displays the following values to be input:</p> <ul style="list-style-type: none"><input type="checkbox"/> The BICs of all CSD and the T2S BIC. <p>Required format is: max. 11 characters (SWIFT-x).</p>
System Entity Criteria	

Penalty – Search Criteria	
System Entity query flag	<p>Select if the query desired is a System Entity wide Penalty Query from the possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> Yes<input type="checkbox"/> No (default value) <p>This parameter is mandatory for queries by System Entity Criteria.</p>
CSD BIC	<p>Select the BIC of the CSD whose Participants are imposed with or entitled to receive the penalties retrieved by the query.</p> <p>This parameter is mandatory for queries by System Entity Criteria.</p> <p>If the System Entity query flag = NO, this field is not available.</p> <p>If the System Entity query flag = YES, this field is mandatory and displays the following value:</p> <ul style="list-style-type: none"><input type="checkbox"/> CSD BIC <p>Required format is: max. 11 characters (SWIFT-x)</p>
Detection Date	<p>Enter the specific business day for which the penalty was detected or use the <i>calendar</i> icon.</p> <p>Note: This date is not updated upon recalculation of the penalty and always relates to the business day for which the penalty was initially detected.</p> <p>This parameter is mandatory for queries by System Entity Criteria.</p> <p>If the System Entity query flag = NO, this field is not available.</p> <p>If the System Entity query flag = YES, this field is mandatory</p> <p>Required format is: YYYY-MM-DD</p>

Penalty – Search Criteria	
CSD of the counterpart	<p>Select the BIC of the CSD of the counterparty of the penalty</p> <p>If the System Entity query flag = NO, this field is not available.</p> <p>If the System Entity query flag = YES, this field displays the following values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> The BICs of all CSDs <p>Required format is: max. 11 characters (SWIFT-x)</p>
<p>Additional Search Criteria</p> <p>(Use to limit query results of ISIN Code, T2S Party and System Entity Search Criteria)</p>	
Credit/Debit Indicator	<p>Select the Party Credit/Debit Code identifying the party as non-failing or failing from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> DBIT (Debit) <input type="checkbox"/> CRDT (Credit) <p>Required format is: 4 characters (SWIFT-x)</p>
Currency	<p>Enter the relevant T2S currency of the penalty or use the drop-down menu</p> <p>Possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> 'All' (default value) <input type="checkbox"/> Reference Data Settlement Currencies <p>Required format is: 3 characters (SWIFT-x)</p>
Type	<p>Select the type of penalty from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> SEFP (Settlement Fail Penalty) <input type="checkbox"/> LMFP (Late Match Fail Penalty)

Penalty – Search Criteria	
	Required format is: 4 characters (SWIFT-x)
Status	<p>Select the status of the penalty from the possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> All (default value)<input type="checkbox"/> ACTV (Active)<input type="checkbox"/> REMO (Removed)<input type="checkbox"/> NCOM (Not computed) <p>Required format is: 4 characters (SWIFT-x)</p>
Reason	<p>Select the reason of the penalty status from the possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> All (default value)<input type="checkbox"/> UPDT (updated)<input type="checkbox"/> NEWP (New penalty resulting from the insertion of a Security Subject to Penalties with Valid From in the past, or from a contingency scenario)<input type="checkbox"/> RALO (re-allocated)<input type="checkbox"/> SWIC (switched)<input type="checkbox"/> INSO (removed due to insolvency)<input type="checkbox"/> SESU (removed due to ISIN suspension from SETT)<input type="checkbox"/> SUSP (removed due to ISIN suspension from trading)<input type="checkbox"/> SEMP (removed due to settlement on multiple platforms)<input type="checkbox"/> TECH (removed due to technical impossibilities that prevent settlement)<input type="checkbox"/> OTHR (removed due to another reason) <p>Required format is: 4 characters (SWIFT-x)</p>

Penalty – Search Criteria	
Penalty - List	
Individual ID	Shows the individual unique reference assigned to the penalty by T2S.
Common ID	Shows the common reference assigned to the penalty by T2S, which is common for both the failing and the non-failing participant.
Type	Shows the type of penalty from the possible values: <ul style="list-style-type: none"><input type="checkbox"/> SEFP (Settlement Fail Penalty)<input type="checkbox"/> LMFP (Late Match Fail Penalty)
Detection Date	Shows the Business day when the penalty was detected.
ISIN	Shows the ISIN of the security used for the penalty calculation.
Status	Shows the status of the penalty from the possible values: <ul style="list-style-type: none"><input type="checkbox"/> ACTV (Active)<input type="checkbox"/> REMO (Removed)<input type="checkbox"/> NCOM (Not computed)
Reason	Shows the reason of the penalty status from the possible values: <ul style="list-style-type: none"><input type="checkbox"/> Empty (default value)<input type="checkbox"/> UPDT (updated)<input type="checkbox"/> NEWP (New penalty resulting from the insertion of a Security Subject to Penalties with Valid From in the past, or from a contingency scenario)<input type="checkbox"/> RALO (re-allocated)<input type="checkbox"/> SWIC (switched)<input type="checkbox"/> INSO (removed due to insolvency)<input type="checkbox"/> SESU (removed due to ISIN suspension from SETT)

Penalty – Search Criteria	
	<ul style="list-style-type: none"><input type="checkbox"/> SUSP (removed due to ISIN suspension from trading)<input type="checkbox"/> SEMP (removed due to settlement on multiple platforms)<input type="checkbox"/> TECH (removed due to technical impossibilities that prevent settlement)<input type="checkbox"/> OTHR (removed due to another reason)
Amount	Shows the amount of the penalty.
Ccy	Shows the T2S relevant currency of the amount of the penalty.
Credit/Debit	Shows the Party Credit/Debit Code identifying the party as non-failing or failing.
Party BIC	Shows the BIC of the Party imposed with or entitled to receive the penalties retrieved by the query.
Party Parent BIC	Shows the BIC of the Parent Party imposed with or entitled to receive the penalties retrieved by the query.
Counterparty BIC	Shows the BIC of the counterparty entitled to receive or imposed with the amount retrieved by the query.
Counterparty Parent BIC	Shows the BIC of the parent of the counterparty entitled to receive or imposed with the amount retrieved by the query.
T2S Matching Reference	Shows the matching identification code assigned by T2S to the related settlement instruction.
Actor Reference	Shows the identification assigned by the T2S Actor to the related settlement instruction.
T2S Reference	Shows the instruction identification code assigned by T2S to the related settlement instruction.

Note: the above order is the default one provided by T2S as a result of the query. Nevertheless, once the list is displayed in the screen it should be allowed for the user to reorganise the order of the columns in the screen (although in case it is exported, the list is displayed in the original order).

Buttons

Search	<p>This function enables you to start a search according to the criteria entered. The results are displayed in a list on the next screen, if the search retrieves several records.</p> <p>If the search retrieves a single record, the Penalty - details screen is displayed.</p> <p>Next screens:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Penalty - Search/List Screen [▶ 288] <input type="checkbox"/> Penalty - Details Screen [▶ 300]
Reset	<p>This function enables you to set all fields to default value and blanks out all optional fields.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Penalty - Search/List Screen [▶ 288]
Details	<p>This function enables you to display the details of the selected penalty.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Penalty - Details Screen [▶ 300]

2.2.2.24 Penalty - Details Screen

Context of Usage

This screen displays detailed information on the selected penalty. You can check the data and proceed further by clicking the buttons below.

You can perform the following foreseen actions: check the specific details of a penalty, remove it, re-include it, re-allocate it, switch it, see its revisions/audit trail, and access the details of the underlying settlement instruction.

When exporting the content of this screen, you receive the query result in a csv-file.

This screen is only available for CSD authorised users and T2S Operator user.

This screen will not be available during the processing of penalties, i.e. between the Events “PMSS” (Penalty Mechanism- Stop Screens and penalty modification requests) and “PMRR” (Penalty Mechanism- Restart GUI and Restart processing penalty modification requests).

Screen Access

- Securities >> Settlement >> Daily Penalties >> Penalty – search/list screen >> Click on the search button
- Securities >> Settlement >> Daily Penalties >> Penalty – search/list screen >> Click on the search button >> Penalty – search/list screen >> Click on the details button
- Securities >> Settlement >> Settlement Instruction >> Settlement instructions – search/list screen >> Click on the search and/or details button >> Settlement Instruction – details screen >> Click on the associated penalties button

Privileges

To use this screen, you need the following privilege:

- Penalty Details Query Privilege
- Settlement Instruction Audit Trail Query References

References**User Instructions Part**

This screen is part of the following business scenarios:

- View the individual penalty details
- Modification of existing penalties
- View settlement instruction details

Screenshot

SECURITIES	CASH	SERVICES	STATIC DATA	MONITORING
<p>Securities > Settlement > Daily Penalties > Penalty Details</p>				
<p>Penalty</p>				
<p>General Details</p>				
Individual ID F20030300000007	Common ID 200303000000007	Re-allocated to/from penalty ---		
Party BIC PARBESDPXXX	Party Parent BIC CSDYESDPXXX	Credit/Debit Indicator DBIT		
Counterparty BIC CSDYESDPXXX	Counterparty Parent BIC CSDYESDPXXX			
Type SEFP	Detection Date 2020-02-28	In Appeal Period NO		
Amount of the penalty ---	To be recalculated NO			
Status NCOM	Reason ---	Description ---		
Number of Days 1	Calculation Method CASH			
<p>Calculation Details</p>				
Date Fri Feb 28 00:00:00 CET 2020				
Security Subject to Penalties FALSE	Cannot be calculated ---			
Financial Instrument Type ---	Liquidity ---			
Place of Trade Market Identifier Code ---	SME Growth Market ---	Security Penalty Rate ---		
Original Currency ---	Euro Foreign Exchange ---	EURDKK FX (for pivot) ---		
Sub-amount Securities ---	Cash Discount Penalty Rate ---	Sub-amount Cash ---		
<p>Related Settlement Instruction Details</p>				
Actor Reference C654-ALM-150DAF	Reference owner BIC PARBESDPXXX	Reference owner Parent BIC CSDYESDPXXX		
T2S Reference 2002190494852167	T2S Matching Reference 0120059917921000			
Common Reference C654-ALM-150F	Corporate Action Event Identification ---			
Intended Settlement Date 2020-02-20	Securities Transaction Type NSYN	Already Matched Flag NO		
Securities Movement Type Delivery	ISIN ESES DP200378	Quantity ---		
Securities Account Number PARBESDPXXXCSDP0001	Account Owner BIC ---	BIC of the Depository ---		
Settlement Type Units				
Payment Type Code APMT	Credit/Debit CRDT	Amount 1		
Dedicated Cash Account Number PMBAESDMXXXEURDCA0004	DCA Owner BIC ---			
Accepted Timestamp 2020-02-19 12:28:12.072	Matched Timestamp 2020-02-19 12:32:40.608	Failed Reason BLOC SXAA002 Settlement Instruction is not settled because of an intraday restriction on the cash account used by the counterparty BLOC SXAA003 Settlement Instruction is not settled because of an intraday restriction on the securities account used		
Cut-off IDVP	Cut-off Start TS 2020-03-02 19:21:52.125	Cut-off End TS 2020-03-02 19:24:30.432		
<p>Removal Re-include Re-allocate Switch Revisions SI Details</p>				

Illustration 63: Penalty - Details Screen UHB

Field Description

Penalty Details	
General Details	
Individual ID	Shows the individual unique reference assigned to the penalty by T2S.

Penalty Details	
	<p>A given penalty can be identified by its individual unique reference assigned by T2S for either the failing or the non-failing party.</p>
Common ID	<p>Shows the common reference assigned to the penalty by T2S.</p> <p>A given penalty can be identified by its common reference assigned by T2S for both the failing and the non-failing party.</p>
Re-allocated to/from penalty	<p>Shows the Common ID of the penalty re-allocated to/from the penalty retrieved by the query.</p> <p>It will be updated with the new/old Common Id after a re-allocation execution.</p>
Party BIC	<p>Shows the BIC of the Party imposed with or entitled to receive the penalty retrieved by the query.</p> <p>The party is the failing or the non-failing party depending on the direction of the penalty.</p>
Party Parent BIC	<p>Shows the BIC of the Parent Party imposed with or entitled to receive the penalty retrieved by the query.</p>
Credit/Debit Indicator	<p>Shows the Party Credit/Debit Code identifying the party as non-failing or failing from the possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> CRDT (Credit)<input type="checkbox"/> DBIT (Debit)
Counterparty BIC	<p>Shows the BIC of the counterparty of the party imposed with or entitled to receive the penalty retrieved by the query.</p> <p>The Counterparty is the failing or the non-failing party depending on the direction of the penalty.</p>

Penalty Details	
Counterparty Parent BIC	Shows the BIC of the parent of the counterparty imposed with or entitled to receive the penalty retrieved by the query.
Type	Shows the type of penalty from the possible values: <input type="checkbox"/> SEFP (Settlement Fail Penalty) <input type="checkbox"/> LMFP (Late Match Fail Penalty)
Detection Date	Shows the business day when the penalty was detected. I.e. <input type="checkbox"/> The date on which the instruction matched for LMFPs. <input type="checkbox"/> The date on which an instruction failed to settle in T2S for SEFPs. This date is not updated upon re-calculation of the penalty.
In appeal period	Shows if the penalty is within its appeal period. Possible values: <input type="checkbox"/> YES <input type="checkbox"/> NO
Amount of the penalty	Shows the amount of the penalty with its T2S relevant currency.
To be recalculated	Shows if the penalty is pending to be re-calculated after being modified. Possible values: <input type="checkbox"/> YES <input type="checkbox"/> NO
Status	Shows the status of the penalty from the possible values: <input type="checkbox"/> ACTV (Active) <input type="checkbox"/> REMO (Removed) <input type="checkbox"/> NCOM (Not computed)

Penalty Details	
	Note: If the status of the penalty is REMO, the attributes of "Calculation Details" are not visible.
Reason	<p>Shows the reason of the penalty status from the possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> Empty (default value, for new penalties)<input type="checkbox"/> UPDT (updated)<input type="checkbox"/> NEWP (New penalty resulting from the insertion of a Security Subject to Penalties with Valid From in the past, or from a contingency scenario)<input type="checkbox"/> RALO (re-allocated)<input type="checkbox"/> SWIC (switched)<input type="checkbox"/> INSO (removed due to insolvency)<input type="checkbox"/> SESU (removed due to ISIN suspension from SETT)<input type="checkbox"/> SUSP (removed due to ISIN suspension from trading)<input type="checkbox"/> SEMP (removed due to settlement on multiple platforms)<input type="checkbox"/> TECH (removed due to technical impossibilities that prevent settlement)<input type="checkbox"/> OTHR (removed due to another reason)
Description	Shows the reason for the removal (when the status of the penalty is 'Removed' and the reason is 'OTHR') and for the switch (when the status of the penalty is 'Active' and the reason is 'SWIC') as provided by the CSD when the penalty modification was requested.
Number of Days	The value is 1 always in case of 'SEFP'.

Penalty Details	
	Shows the number of business days used for the calculation of the penalty in case of 'LMFP'.
Calculation Method	<p>Shows the calculation rule used to compute the penalty from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> SECU (The penalty is based on the quantity of securities failed to be delivered and penalty rate of the relevant asset type). <input type="checkbox"/> MIXE (The penalty is based on the quantity of securities failed to be delivered and penalty rate is the discount rate of the relevant currency). <input type="checkbox"/> CASH (The penalty is based on the amount of cash failed to be delivered and the penalty rate is the discount rate of the relevant currency). <input type="checkbox"/> BOTH (The penalty is the sum of SECU and CASH).
<p>Calculation Details</p> <p>Block with the details for any of the applicable Business Days considered in the computation of the penalty</p> <p>The block is not shown in case the penalty is Removed.</p>	
Date	<p>Shows the Business Day for the data provided in this block.</p> <p>When "Number of Days" = 1 this field will be displayed as a text (i.e. the date of the penalty is displayed)</p> <p>When "Number of Days">1 it will display a list with all the applicable Business Days (i.e. when there is a sub-amount).</p>

Penalty Details	
	<p>The order of the list will be descending (i.e. first value of the list will be the Closest Business Day to the current Business day).</p> <p>Limit: The user can only select (to see the Calculation Details) dates within the last 3 months. Former days (before 3 months) have the same Calculation Details than the ones for the Date equal to 3 months ago.</p>
Security Subject to Penalties	<p>Shows if the security is subject to penalties on the business day. Possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> TRUE (default)<input type="checkbox"/> FALSE <p>It will be shown the information corresponding to the date chosen in the field DATE.</p> <p>If no value is retrieved, it will show "---"</p>
Cannot be calculated	<p>This information is only provided for penalties where there is missing or diverging reference data needed for the penalty calculation.</p> <p>Possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> Empty (default). If value is FALSE in the data base or if no value is retrieved, it will show empty ("---")<input type="checkbox"/> TRUE
Reason for not calculation	<p>Shows the reason why the Penalty cannot be calculated.</p> <p>Only shown if the Cannot be calculated field is TRUE.</p>
Financial Instrument Type	<p>Shows the type of the financial instrument from the possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> SHRS<input type="checkbox"/> SOVR

Penalty Details	
	<ul style="list-style-type: none"> <input type="checkbox"/> DEBT <input type="checkbox"/> SECU <input type="checkbox"/> ETFS <input type="checkbox"/> UCIT <input type="checkbox"/> MMKT <input type="checkbox"/> EMAL <input type="checkbox"/> OTHR <p>It will be shown the information corresponding to the date chosen in the field DATE.</p> <p>If no value is retrieved, it will show "---"</p>
Liquidity	<p>Shows the binary classification of securities applying for shares.</p> <p>It will show the information corresponding to the date chosen in the field DATE.</p> <p>Possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Empty ("---") <input type="checkbox"/> TRUE <input type="checkbox"/> FALSE <p>Informed only if:</p> <ul style="list-style-type: none"> <input type="checkbox"/> The Security is Subject to penalties <input type="checkbox"/> The Financial Instrument Type of the security (Classification type) is "SHRS", and <input type="checkbox"/> The Calculation method code is "SECU" or "BOTH". <p>Otherwise it is empty.</p> <p>If no value is retrieved, it will also show "---"</p>
Place of Trade Market Identifier Code	<p>Shows the Market identifier Code when it was informed equally in the Place of Trade of the two matched Settlement Instructions. If it was not informed equally, the field will be empty.</p>

Penalty Details	
	If no value is retrieved, it will show "---"
SME Growth Market	<p>Shows if the Place of Trade (MIC) is in the list of recognised SME Growth Markets Trading Venues.</p> <p>It will show the information corresponding to the date chosen in the field DATE.</p> <ul style="list-style-type: none"><input type="checkbox"/> Possible values:<input type="checkbox"/> Empty ("---")<input type="checkbox"/> TRUE<input type="checkbox"/> FALSE <p>Informed only if:</p> <ul style="list-style-type: none"><input type="checkbox"/> The Security is Subject to penalties<input type="checkbox"/> The Financial Instrument Type of the security (Classification type) is different from "SOVR", and<input type="checkbox"/> The Calculation method code is "SECU" or "BOTH". <p>Otherwise it is empty.</p> <p>If no value is retrieved, it will also show "---"</p>
Security Penalty Rate	<p>Shows the security penalty rate that based on the asset type was used for the calculation of the penalty.</p> <p>It will show the information corresponding to the date chosen in the field DATE.</p> <p>Informed when the calculation method is "SECU" or "BOTH". Otherwise it is empty.</p> <p>If no value is retrieved, it will show "---"</p>
Original Currency	<p>Shows the currency of the reference price (i.e. when the security is in Unit) or of the reference security (i.e when the security is in FAMT) of the Settlement Instruction.</p>

Penalty Details	
	<p>It will show the information corresponding to the date chosen in the field DATE.</p> <p>If no value is retrieved, it will show "---"</p>
Euro Foreign Exchange	<p>Shows the Euro Foreign Exchange unit and currency used for the computation of the penalty, when necessary.</p> <p>It will show the information corresponding to the date chosen in the field DATE.</p> <p>If no value is retrieved, it will show "---"</p>
EURDKK FX (for pivot)	<p>Shows the second Euro Foreign Exchange unit and currency used as pivot for the computation of the penalty, when necessary.</p> <p>It will show the information corresponding to the date chosen in the field DATE.</p> <p>If no value is retrieved, it will show "---"</p>
Sub-amount Securities	<p>Shows the amount of the penalty for this business day and its T2S relevant currency.</p> <p>It is only provided if the number of days is higher than one (i.e. when it is a LMFP and the block calculation details has more than one instance) or if the calculation method is BOTH (in this case Sub-amount cash is also provided).</p> <p>It will show the information corresponding to the date chosen in the field DATE.</p> <p>If no value is retrieved, it will show "---"</p>
Cash Discount Penalty Rate	<p>Shows the currency discount penalty rate used for the computation of the penalty.</p>

Penalty Details	
	<p>Informed when the calculation method is MIXE, CASH or BOTH. Otherwise it is empty.</p> <p>It will show the information corresponding to the date chosen in the field DATE.</p> <p>If no value is retrieved, it will show "---"</p>
Sub-amount Cash	<p>Shows the amount of the penalty for this business day and its T2S relevant currency.</p> <p>It is only provided if the number of days is higher than one (i.e. when it is a LMFP and the block calculation details has more than one instance) or if the calculation method is BOTH (in this case also Sub-amount securities is provided).</p> <p>It will show the information corresponding to the date chosen in the field DATE.</p> <p>If no value is retrieved, it will show "---"</p>
Related Settlement Instruction Details	
Actor Reference	Shows the identification assigned by the T2S Actor to the related settlement instruction.
Reference Owner BIC	Shows the BIC of the Instructing Party of the settlement instruction.
Reference Owner Parent BIC	Shows the Parent BIC of the Instructing Party of the settlement instruction.
T2S Reference	Shows the instruction identification code assigned by T2S to the related settlement instruction.
T2S Matching Reference	Shows the matching identification code assigned by T2S to the related settlement instruction.

Penalty Details	
Common Reference	Shows the reference provided by the T2S Actor when the LCMM Instruction is already matched or in order to facilitate the matching within T2S.
Corporate Action Event Id	Shows the identification assigned by the account servicer to unambiguously identify a corporate action event.
Intended Settlement Date	Shows the date when the settlement instruction becomes eligible to be submitted to settlement. Displayed format is: YYYY-MM-DD
Securities Transaction Type	Shows the securities transaction type expressed as an ISO 20022 code from the possible values: <ul style="list-style-type: none"><input type="checkbox"/> AUTO (for auto-collateralisation)<input type="checkbox"/> BSBK (for buy sell back)<input type="checkbox"/> BYIY (for buy-in)<input type="checkbox"/> CLAI (for market claim)<input type="checkbox"/> CNCB (for central bank collateral operation)<input type="checkbox"/> COLI (for collateral in)<input type="checkbox"/> COLO (for collateral out)<input type="checkbox"/> CONV (for depository receipt conversion)<input type="checkbox"/> CORP (for corporate action)<input type="checkbox"/> ETFT (for exchange traded funds)<input type="checkbox"/> FCTA (for factor update)<input type="checkbox"/> INSP (for move of stock)<input type="checkbox"/> ISSU (for depository receipt issue)<input type="checkbox"/> MKDW (for mark down)<input type="checkbox"/> MKUP (for mark up)<input type="checkbox"/> NETT (for netting)<input type="checkbox"/> NSYN (for non syndicated)<input type="checkbox"/> OWNE (for external account transfer)

Penalty Details	
	<ul style="list-style-type: none"><input type="checkbox"/> OWNI (for internal account transfer)<input type="checkbox"/> PAIR (for pair off)<input type="checkbox"/> PLAC (for placement)<input type="checkbox"/> PORT (for portfolio move)<input type="checkbox"/> REAL (for realignment)<input type="checkbox"/> REBL (Rebalancing)<input type="checkbox"/> REDI (for withdrawal)<input type="checkbox"/> REDM (for redemption)<input type="checkbox"/> RELE (for depository receipt release cancellation)<input type="checkbox"/> REPU (for repo)<input type="checkbox"/> RODE (for return delivery without matching)<input type="checkbox"/> RVPO (for reverse repo)<input type="checkbox"/> SBBK (for sell buy back)<input type="checkbox"/> SBRE (for borrowing reallocation)<input type="checkbox"/> SECB (for securities borrowing)<input type="checkbox"/> SECL (for securities lending)<input type="checkbox"/> SLRE (for lending reallocation)<input type="checkbox"/> SUBS (for subscription)<input type="checkbox"/> SWIF (Switch From)<input type="checkbox"/> SWIT (Switch To)<input type="checkbox"/> SYND (for syndicate underwriters)<input type="checkbox"/> TBAC (for TBA closing)<input type="checkbox"/> TRAD (for trade)<input type="checkbox"/> TRPO (for triparty repo)<input type="checkbox"/> TRVO (for triparty reverse repo)<input type="checkbox"/> TURN (for turnaround)
Already Matched Flag	<p>Shows if the settlement instruction was sent as already matched to T2S or not (for LMFP). Possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> YES<input type="checkbox"/> NO

Penalty Details	
Securities Movement Type	Shows if the movement on a securities account results from a deliver or a receive instruction from the possible values: <input type="checkbox"/> DELI (for deliver) <input type="checkbox"/> RECE (for receive)
ISIN	Shows the ISIN code of the security.
Quantity	Shows the remaining quantity of the underlying Settlement Instruction used for the calculation of the SEFP. Shows the matched quantity of the underlying Settlement Instruction used for the calculation of the LMFP
Securities Account Number	Shows the account to or from which a securities entry is made.
Account Owner BIC	Shows the BIC of the Securities Account owner.
BIC of the Depository	Shows the BIC of the CSD of the Securities Account Owner. It is filled only in case of LMFP. Otherwise, it will show "---".
Settlement Type	Shows the type of settlement foreseen for the security, stated in the instruction. Possible values: <input type="checkbox"/> Units <input type="checkbox"/> Face Amount
Payment Type Code	Shows if the transaction is to be settled with or without payment from the possible values: <input type="checkbox"/> APMT (for 'Against Payment Settlement') <input type="checkbox"/> FREE (for 'Separate Settlement')

Penalty Details	
Credit/Debit	Shows if the original settlement amount is to be credited or debited. from the possible values: <input type="checkbox"/> DBIT (Debit) <input type="checkbox"/> CRDT (Credit)
Amount	Shows the remaining amount of the underlying Settlement Instruction used for the calculation of the SEFP. Shows the matched amount of the underlying Settlement Instruction used for the calculation of the LMFP. This field also shows the T2S relevant currency of the amount of the instruction.
Dedicated Cash Account Number	Shows the cash account stated in the settlement instruction (only in case of settlement instructions against payment).
DCA Owner BIC	Shows the BIC of the owner of the cash account stated in the settlement instruction (only in case of settlement instructions against payment).
Accepted Timestamp	Shows the accepted timestamp of the settlement instruction.
Matched Timestamp	Shows the matched timestamp of the settlement instruction.
Failed Reason	Shows the reason for the instruction not being fully settled at the end of the processing of its cut-off (for SEFP)
Cut-off	Shows the relevant cut-off considered in the calculation of the penalty (for SEFP).
Cut-off Start TS	Shows the start time of execution of the relevant cut-off (for SEFP).
Cut-off End TS	Shows the end time of execution of the relevant cut-off (for SEFP).

Buttons

Buttons	
Removal	<p>This function enables you to remove existing penalties.</p> <p>This button will only be available if the Penalty:</p> <ul style="list-style-type: none"> <input type="checkbox"/> has status 'Active' (ACTV) <input type="checkbox"/> is a 'Debit' <input type="checkbox"/> is in its appeal period (i.e. "In appeal period" is "Yes") <p>By clicking this button the d [▶ 324] will pop up with the relevant information to create a Removal Request based on the Penalty of the screen.</p>
Re-include	<p>This function enables you to re-include penalties with status 'Removed' and reason different from 'Re-allocated'. Upon clicking on the Re-include button you will be asked to enter your PIN for digital signature purposes (NRO). With the entry of the PIN, T2S attaches a digital signature to the modification request entered by the T2S actor.</p> <ul style="list-style-type: none"> <input type="checkbox"/> This button will only be available if the Penalty; <input type="checkbox"/> has status 'Removed' (REMO) <input type="checkbox"/> is a 'Debit' <input type="checkbox"/> is in its appeal period (i.e. "In appeal period" is Yes) <p>By clicking this button the Confirmation prompt will pop-up. Hence, the action is triggered (i.e. the Re-inclusion Request is sent) and the Penalty - details screen is displayed again:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Either updated (i.e. Penalty with new status ACTV, (Active), new Reason Code UPDT (Updated) and description (if applies), penalty amount and Calculation details be-

	<p>for the penalty was removed and the flag To be recalculated set to YES) if the Re-inclusion Request is confirmed and executed (the action was triggered in Two-eyes);</p> <ul style="list-style-type: none"> <input type="checkbox"/> Or not updated if: <ul style="list-style-type: none"> <input type="checkbox"/> the Re-inclusion Request is Validated and Awaiting for Approval (the action was triggered in Four-eyes and the Penalty - details screen shows the ID for the action awaiting for approval) <input type="checkbox"/> the Re-inclusion Request is rejected shows the reason for rejecting the action). <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> PMMO010 <input type="checkbox"/> PMMO016 <input type="checkbox"/> PMMO031 <input type="checkbox"/> PMMO032
<p>Re-allocate</p>	<p>This function enables you to re-allocate existing penalties if they are LMFP over a Settlement Instruction sent as already matched to T2S.</p> <p>This button will only be available if :</p> <ul style="list-style-type: none"> <input type="checkbox"/> The status of the penalty is “Active” <input type="checkbox"/> It is a LMFP <input type="checkbox"/> The LMFP has not been re-allocated before (i.e. “Re-allocated to/ from penalty” is empty) <input type="checkbox"/> Underlying settlement instruction was sent as already matched to T2S (i.e. “Already Matched Flag” is Yes)

	<ul style="list-style-type: none"><input type="checkbox"/> The penalty is still in its appeal period (i.e. "In appeal period" is Yes) <p>By clicking this button the Penalty re-allocation screen will pop-up with the relevant information to create a Re-allocation Request based on the Penalty of the screen.</p>
Switch	<p>This function enables you to switch the failing party and non-failing party of existing penalties.</p> <p>This button will only be available if the Penalty:</p> <ul style="list-style-type: none"><input type="checkbox"/> has status 'Active' (ACTV)<input type="checkbox"/> is a 'Debit'<input type="checkbox"/> is in its appeal period (i.e. "In appeal period" is Yes) <p>By clicking this button the Penalty switch screen will pop-up with the relevant information to create a Switch Request based on the Penalty of the screen.</p>
Revisions	<p>This function enables you to display the revisions of the selected penalty.</p> <p>Next screen:</p> <ul style="list-style-type: none"><input type="checkbox"/> Revisions/Audit Trail - list Screen [▶ 670]
SI Details	<p>This function enables you to display the details of the relevant settlement instruction.</p> <p>Next screen:</p> <ul style="list-style-type: none"><input type="checkbox"/> Settlement Instruction - details Screen [▶ 1183]

2.2.2.25 Monthly Net Penalty Amounts - Search/List Screen

Context of Usage	<p>This screen contains a number of search fields. By inputting the relevant data, you can search for monthly net amounts for the penalties computed by T2S for the business days of a previous month, for a given T2S Party and counterpart(s).</p> <p>The query allows to search per currency, party and counterparty.</p> <p>This screen will only return results where the relevant CSD has the right to access the underlying data (i.e. party is in its data scope).</p> <p>The search results will be displayed in a list when more than one result is retrieved by T2S.</p> <p>When only one result is retrieved, the relevant aggregated amount resulting from the query will be displayed in the same format used by the list screen.</p> <p>The monthly net amounts of a particular month will be available only once T2S has produced the Monthly reporting of aggregated amounts of cash penalties for that month.</p> <p>When exporting the content of this screen, you receive the query result in a csv-file.</p> <p>This screen is only available for CSD authorised users and T2S Operator user.</p>
Screen Access	<ul style="list-style-type: none"><input type="checkbox"/> Securities >> Settlement >> Monthly Penalties >> <i>Monthly Net Penalty Amounts – Search/List</i> screen
Privileges	<p>To use this screen, you need the following privilege:</p> <ul style="list-style-type: none"><input type="checkbox"/> Monthly Net Penalty Amount Query Privilege
References	<p>User Instructions Part</p> <p>This screen is part of the following business scenarios:</p> <ul style="list-style-type: none"><input type="checkbox"/> View monthly net amounts of penalties

Screenshot

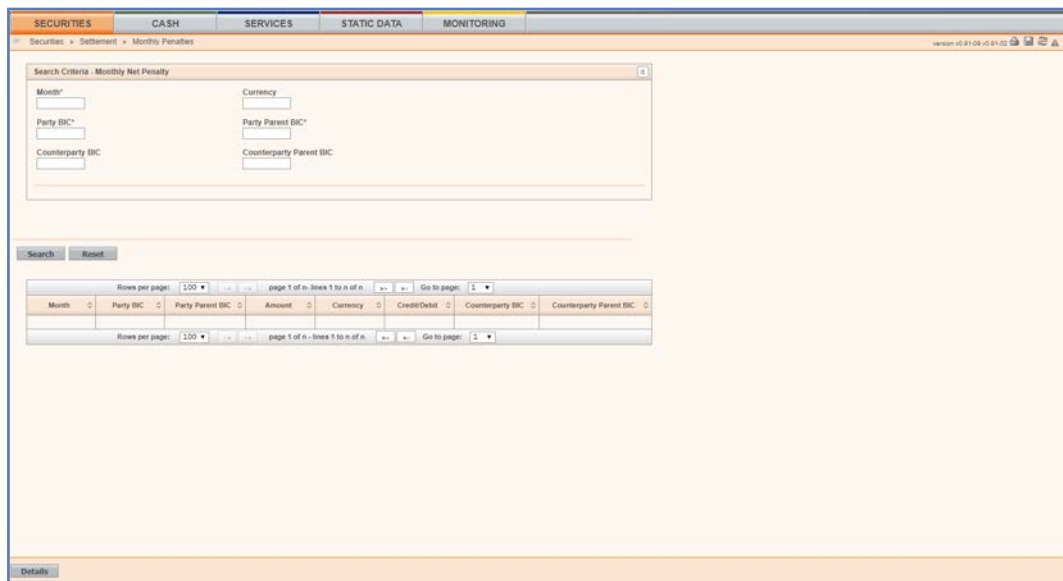


Illustration 64: Monthly net penalty amounts – search/list screen

Field

Description

Monthly Net Penalty Amount – Search Criteria	
Month	<p>Enter the relevant month you search for or select it from the drop-down menu.</p> <p>Only the past 3 months will be available.</p> <p>This parameter is mandatory for queries by Search Criteria.</p> <p>Required format is: YYYY-MM</p>
Currency	<p>Enter the relevant T2S currency of the monthly net penalty amount or use the drop-down menu.</p> <p>The default value is 'All'.</p> <p>Required format is: 3 characters (SWIFT-x)</p>
Party BIC	<p>You can choose to either enter the 'Party BIC' or to select it from the suggested items in the drop-down menu.</p> <p>The selected BIC corresponds to the party imposed with or entitled to receive the amount retrieved by the query.</p> <p>This parameter is mandatory for queries by Search Criteria.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p>

Monthly Net Penalty Amount – Search Criteria	
Party Parent BIC	<p>You can select the 'Party Parent BIC' from the suggested items in the drop-down menu.</p> <p>The selected BIC corresponds to the parent of the party imposed with or entitled to receive the amount retrieved by the query.</p> <p>This parameter is mandatory for queries by Search Criteria.</p> <p>In order to determine the data scope of the query, T2S automatically considers the CSD associated to the user performing the query. Hence, the parameter 'Party Parent BIC' is only relevant to query those amounts where the CSD itself is reported as failing or non-failing party(for which case, the user needs to input the T2S BIC).</p> <p>Field is empty by default and displays the following values to be input:</p> <ul style="list-style-type: none"><input type="checkbox"/> CSD BIC<input type="checkbox"/> T2S BIC <p>Required format is: max. 11 characters (SWIFT-x).</p>
Counterparty BIC	<p>You can choose to either enter the 'Counterparty BIC' or to select it from the suggested items in the drop-down menu.</p> <p>The selected BIC corresponds to the counterparty entitled to receive or imposed with the amount retrieved by the query.</p> <p>Required format is: max. 11 characters (SWIFT-x).</p>
Counterparty Parent BIC	<p>You can select the 'Counterparty Parent BIC' from the suggested items in the drop-down menu.</p>

Monthly Net Penalty Amount – Search Criteria	
	<p>The selected BIC corresponds to the parent of the counterparty entitled to receive or imposed with the amount retrieved by the query.</p> <p>This parameter can be used as search criteria only if the Counterparty BIC is introduced. Hence, this parameter is mandatory when Counterparty BIC is fulfilled.</p> <p>This field displays the BICs of all CSD and the T2S BIC.</p> <p>Required format is: max. 11 characters (SWIFT-x).</p>
Monthly Net Penalty Amount – List	
Month	<p>Shows the relevant month for the amount calculation.</p> <p>Only the aggregated amounts for the past 3 months will be available.</p>
Party BIC	Shows the 'Party BIC' of the party imposed with or entitled to receive the amount retrieved by the query.
Party Parent BIC	Shows the 'Party Parent BIC' of the party imposed with or entitled to receive the amount retrieved by the query.
Amount	<p>Shows the monthly net amount retrieved by the query and equal to:</p> <ul style="list-style-type: none"> <input type="checkbox"/> The sum of all penalties of the relevant month in the relevant currency and that this party is entitled to receive (reported as credit) from the same counterpart (i.e. to be paid by this counterpart); <p>Minus</p>

Monthly Net Penalty Amount – Search Criteria	
	<input type="checkbox"/> The sum of all penalties of the relevant month in the relevant currency, that were imposed to this party (reported as debit), and to be paid to a given counterpart.
Currency	Shows the relevant T2S currency of the monthly net penalty amount.
Credit/Debit	Shows the Credit/Debit Code identifying the monthly net amount as to be debited or credited to the party. Possible values: <ul style="list-style-type: none"> <input type="checkbox"/> DBIT (Debit) <input type="checkbox"/> CRDT (Credit) Note: If the amount is equal to zero, this field is empty.
Counterparty BIC	Shows the 'Counterparty Party BIC' of the party imposed with or entitled to receive the amount retrieved by the query.
Counterparty Parent BIC	Shows the 'Counterparty Parent BIC' of the party imposed with or entitled to receive the amount retrieved by the query.

Buttons

Buttons	
Search	This function enables you to start a search according to the criteria entered. The results are displayed on the list screen. Next screens: <ul style="list-style-type: none"> <input type="checkbox"/> --- FEHLENDER LINK ---
Reset	This function enables you to set all fields to default value and blanks out all optional fields. Next screen: <ul style="list-style-type: none"> <input type="checkbox"/> --- FEHLENDER LINK ---

2.2.2.26 Penalty - Removal Screen

Context of Usage

This screen is accessible by clicking on the “Remove” button of the Penalty – details screen. This action triggers a pop up screen (the Penalty removal screen) that allows entering relevant information to remove the penalty (i.e. the individual Id of the penalty, a drop-down menu to select the reason code and also a text box to insert a description text if the reason selected is OTHR). The confirmation will be completed after clicking on the “Submit” button.

This function is available if the status of the penalty is “Active”, it is a debit and it is still in the appeal period.

The Penalty removal screen is linked to the related Penalty - details screen.

This screen is only available for CSD authorised users and T2S Operator user.

This screen will not be available during the processing of penalties, i.e. between the Events “PMSS” (Penalty Mechanism- Stop Screens and penalty modification requests) and “PMRR” (Penalty Mechanism- Restart GUI and Restart processing penalty modification requests).

Screen Access

- Securities >> Settlement >> Daily Penalties >> *Penalty – search/list* screen >> Click on the *search* button >> *Penalty – details* screen >> Click on the *removal* button
- Securities >> Settlement >> Daily Penalties >> *Penalty – search/list* screen >> Click on the *search* button >> *Penalty – search/list* screen >> Click on the *details* button >> *Penalty – details* screen >> Click on the *removal* button
- Securities >> Settlement >> Settlement Instruction >> *Settlement instructions – search/list* screen >> Click on the *search* and/or *details* button >> *Settlement Instruction – details* screen >> Click on the *associated penalties* button >> *Penalty – details* screen >> Click on the *removal* button

Privileges

To use this screen, you need the following privilege:

- Penalty Details Query Privilege
- Settlement Instruction Audit Trail Query
- Modification of penalties

References

User Instructions Part

This screen is part of the following business scenarios:

- Modification of existing penalties
- View the individual penalty details

Screenshot



Illustration 65: Penalty – removal screen

Field

Description

Penalty Removal	
Individual ID	Shows the individual unique reference assigned to the penalty by T2S. This attribute is passed from previous screen.
Reason	Selects the reason for the removal of the penalty from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> INSO (removed due to insolvency) <input type="checkbox"/> SESU (removed due to ISIN suspension from SETT) <input type="checkbox"/> SUSP (removed due to ISIN suspension from trading) <input type="checkbox"/> SEMP (removed due to settlement on multiple platforms) <input type="checkbox"/> TECH (removed due to technical impossibilities that prevent settlement) <input type="checkbox"/> OTHR (removed due to another reason)
Description	A free text box to insert a description text if the reason selected is "OTHR". Therefore, description must be fulfilled if the Reason Code is OTHR, otherwise it must be blank.

Buttons

Submit	This function enables you to complete the Removal Request of the penalty. Upon clicking on the submit button you
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	<p>will be asked to enter your PIN for digital signature purposes (NRO). With the entry of the PIN, T2S attaches a digital signature to the modification request entered by the T2S actor.</p> <p>When the button is clicked, the pop up is closed and the Penalty – details screen is displayed again as follows:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Either displayed updated (i.e. Penalty with new status REMO, the corresponding Reason Code and description (if applies), the penalty amount reduced to zero and Calculation Details no longer visible) if the Removal Request is confirmed and executed (the action was triggered in Two-eyes); <input type="checkbox"/> Or not updated if the Removal Request is Validated and Awaiting for Approval (the action was triggered in Four-eyes and the Penalty - details screen shows the ID for the action awaiting for approval). <p>When the Removal Request is rejected the pop up is closed and the Penalty – details screen is displayed not updated (with the previous values) but showing the reason for rejecting the action.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Penalty - Details Screen [▶ 300] <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> PMMO010 <input type="checkbox"/> PMMO016 <input type="checkbox"/> PMMO030
<p>Cancel</p>	<p>This function enables you to get back to the previous screen without removing the penalty.</p>

When Penalty – details screen is displayed again, it should be displayed not updated (with the previous values, i.e. still as an active penalty).

Next screen:

Penalty - Details Screen [300]

2.2.2.27 Penalty - Re-allocation Screen

Context of Usage

This screen is accessible by clicking on the “Re-allocate” button of the Penalty - details screen. This action triggers a pop up screen (the Penalty re-allocation screen) that allows entering the relevant information, to re-allocate the penalty. The confirmation will be completed after clicking on the “Submit” button.

This function is available if the status of the penalty is “Active”, the penalty is a LMFP and the LMFP has not been reallocated before. Furthermore, the underlying instruction must have been sent as already matched to T2S and the penalty must be still in its appeal period.

The Penalty re-allocation screen is linked to the related Penalty – details screen. This screen is only available for CSD authorised users and T2S Operator user.

This screen will not be available during the processing of penalties, i.e. between the Events “PMSS” (Penalty Mechanism- Stop Screens and penalty modification requests) and “PMRR” (Penalty Mechanism- Restart GUI and Restart processing penalty modification requests).

Screen Access

- Securities >> Settlement >> Daily Penalties >> *Penalty – search/list* screen >> Click on the *search* button >> *Penalty – details* screen >> Click on the *re-allocation* button
- Securities >> Settlement >> Daily Penalties >> *Penalty – search/list* screen >> Click on the *search* button >> *Penalty – search/list* screen >> Click on the *details* button >> *Penalty – details* screen >> Click on the *re-allocation* button
- Securities >> Settlement >> Settlement Instruction >> *Settlement instructions – search/list* screen >> Click on the *search* and/or *details* button >> *Settlement Instruction – details* screen >> Click on the *associated penalties* button >> *Penalty – details* screen >> Click on the *re-allocation* button

Privileges

To use this screen, you need the following privilege:

- Penalty Details Query Privilege

- Settlement Instruction Audit Trail Query
- Modification of penalties

References

User Instructions Part

This screen is part of the following business scenarios:

- Modification of existing penalties
- View the individual penalty details

Screenshot



Illustration 66: Penalty – re-allocation screen

Field

Description

Penalty Re-allocation	
Common ID	Shows the common reference assigned to the penalty by T2S, which is common for both the failing and the non-failing participant. This attribute is passed from previous screen.
New Parties	
New Failing Party BIC	<p>BIC of the Party that will become the failing party of the penalty after the re-allocation.</p> <p>Possible values are the BICs of the underlying Settlement Instruction, as follows:</p> <ul style="list-style-type: none"> <input type="checkbox"/> The BIC of the T2S Delivering Party <input type="checkbox"/> The BIC of the T2S Receiving Party <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> PMMO021 <input type="checkbox"/> PMMO023
New Non-Failing Party BIC	BIC of the non-failing party of the penalty.

Penalty Re-allocation	
	This field is fulfilled automatically with the other value (BIC) not chosen/selected for the New Failing Party BIC.
T2S Reference of Failing Settlement Instruction	<p>Instruction identification code assigned by T2S to the related settlement instruction.</p> <p>It must be provided in case the two BICs retrieved for the New failing Party BIC are the same</p> <p>Otherwise, it must be blank.</p> <p>References for error messages: PMMO025</p>
Movement of the Failing Settlement Instruction	<p>Shows the Securities Movement Type of the selected Failing Settlement Instruction. (i.e. fulfilled automatically with the Movement Type of the Settlement instruction chosen as T2S Reference of Failing Settlement Instruction).</p> <p>If no Settlement Instruction has been chosen/selected, it must be blank</p>

Buttons

Buttons	
Submit	<p>This function enables you to complete the Re-allocation Request of the penalty. Upon clicking on the submit button you will be asked to enter your PIN for digital signature purposes (NRO). With the entry of the PIN, T2S attaches a digital signature to the modification request entered by the T2S actor.</p> <p>When this button is clicked the pop up is closed and the Penalty – details screen is displayed again as follows:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Either displayed updated (i.e. status “REMO”, reason code “RALO” and the Re-allocated to/from penalty fulfilled with the common ID of the new Penalty) if the Re-allocation Re-

	<p>quest is confirmed and executed (the action was triggered in Two-eyes);</p> <ul style="list-style-type: none"> <input type="checkbox"/> Or not updated if the Re-allocation Request is Validated and Awaiting for Approval (the action was triggered in Four-eyes and the Penalty - details screen shows the ID for the action awaiting for approval). <p>When the Re-allocation Request is rejected (i.e. a reason for rejection is provided) the pop up is closed and the Penalty – details screen shows the reason for rejecting the action.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Penalty - Details Screen [▶ 300] <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> PMMO008 <input type="checkbox"/> PMMO021 <input type="checkbox"/> PMMO023 <input type="checkbox"/> PMMO025 <input type="checkbox"/> PMMO033 <input type="checkbox"/> PMMO034 <input type="checkbox"/> PMMO035 <input type="checkbox"/> PMMO037
<p>Cancel</p>	<p>This function enables you to get back to the previous screen without re-allocating the penalty.</p> <p>When Penalty – details screen is displayed again, it should be displayed not updated (with the old values).</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Penalty - Details Screen [▶ 300]

2.2.2.28 Penalty - Switch Screen

Context of Usage	<p>This screen is accessible by clicking on the “Switch” button of the Penalty – details screen. This action triggers a pop up screen (the Penalty switch screen) that shows the relevant information to switch the penalty (i.e. the individual Id of the penalty and a free text box to insert a description text). The confirmation will be completed after clicking on the “Submit” button.</p> <p>This function is available if the status of the penalty is “Active”, the penalty is a debit and the penalty is still in the appeal period.</p> <p>The Penalty switch screen is linked to the related Penalty – details screen.</p> <p>This screen is only available for CSD authorised users and T2S Operator user.</p> <p>This screen will not be available during the processing of penalties, i.e. between the Events “PMSS” (Penalty Mechanism- Stop Screens and penalty modification requests) and “PMRR” (Penalty Mechanism- Restart GUI and Restart processing penalty modification requests).</p>
Screen Access	<ul style="list-style-type: none"><input type="checkbox"/> Securities >> Settlement >> Daily Penalties >> <i>Penalty – search/list</i> screen >> Click on the <i>search</i> button >> <i>Penalty – details</i> screen >> Click on the <i>switch</i> button<input type="checkbox"/> Securities >> Settlement >> Daily Penalties >> <i>Penalty – search/list</i> screen >> Click on the <i>search</i> button >> <i>Penalty – search/list</i> screen >> Click on the <i>details</i> button >> <i>Penalty – details</i> screen >> Click on the <i>switch</i> button<input type="checkbox"/> Securities >> Settlement >> Settlement Instruction >> <i>Settlement instructions – search/list</i> screen >> Click on the <i>search</i> and/or <i>details</i> button >> <i>Settlement Instruction – details</i> screen >> Click on the <i>associated penalties</i> button >> <i>Penalty – details</i> screen >> Click on the <i>switch</i> button
Privileges	<p>To use this screen, you need the following privilege:</p> <ul style="list-style-type: none"><input type="checkbox"/> Penalty Details Query Privilege<input type="checkbox"/> Settlement Instruction Audit Trail Query<input type="checkbox"/> Modification of penalties
References	<p>User Instructions Part</p> <p>This screen is part of the following business scenarios:</p> <ul style="list-style-type: none"><input type="checkbox"/> Modification of existing penalties<input type="checkbox"/> View the individual penalty details

Screenshot

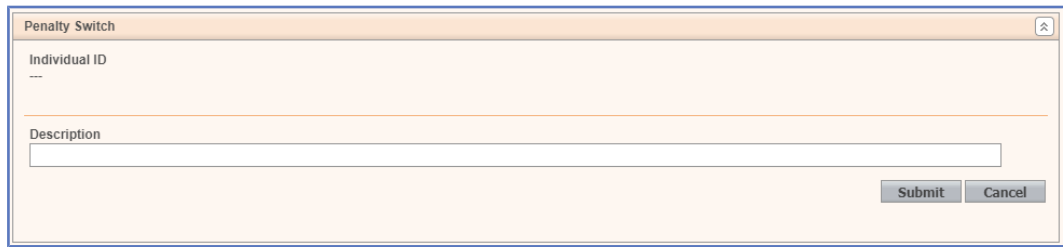


Illustration 67: Penalty - switch screen

Field

Description

Penalty Switch	
Individual ID	Shows the individual unique reference assigned to the penalty by T2S. This attribute is passed from previous screen.
Description	A free text box to insert a description text. This box must be fulfilled.

Buttons

Submit	<p>This function enables you to complete the Switch Request of the penalty. Upon clicking on the submit button you will be asked to enter your PIN for digital signature purposes (NRO). With the entry of the PIN, T2S attaches a digital signature to the modification request entered by the T2S actor.</p> <p>When the button is clicked, the pop up is closed and the Penalty – details screen is displayed again as follows:</p> <ul style="list-style-type: none"> □ Either displayed updated (i.e. status ACTV, reason code SWIC, the description provided by the user, the “Credit/Debit” changed to Credit and the To be recalculated flag set to YES and updated the new Calculation Method when necessary) if the Switch Request is confirmed and executed (the action was triggered in Two-eyes);
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	<ul style="list-style-type: none"><input type="checkbox"/> Or not updated if the Switch Request is Validated and Awaiting for Approval (the action was triggered in Four-eyes and the Penalty - details screen shows the ID for the action awaiting for approval). <p>When the Switch Request is rejected (i.e. a reason for rejection is provided) and the pop up is closed and the Penalty – details screen shows the reason for rejecting the action.</p> <p>Next screen:</p> <ul style="list-style-type: none"><input type="checkbox"/> Penalty - Details Screen [▶ 300] <p>References for error messages:</p> <ul style="list-style-type: none"><input type="checkbox"/> PMMO010<input type="checkbox"/> PMMO016<input type="checkbox"/> PMMO036
Cancel	<p>This function enables you to back to the previous screen without switching the penalty.</p> <p>When Penalty – details screen is displayed again, it should be displayed with the old values.</p> <p>Next screen:</p> <ul style="list-style-type: none"><input type="checkbox"/> Penalty - Details Screen [▶ 300]

2.3 Cash

2.3.1 Cash Account

2.3.1.1 Cash Forecasts - Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for cash forecasts for T2S dedicated cash account owners, i.e. a party which is a payment bank or central bank. The search results will be dis-

played in a list, which is sorted by the values of the 'Party BIC' column in ascending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.

The cash forecast takes into account the cash needs and proceeds of a party on all its T2S dedicated cash accounts related to the pending instructions to be settled during the current or the following business day, as well as the liquidity that can be obtained through auto-collateralisation.

When exporting the content of this screen, you receive the list and the corresponding details for each data record.

During night-time, the cash forecast can only be displayed in-between night-time settlement sequences.

There is no usage restriction for this screen.

Screen Access

- Cash >> Cash Account >> Cash Forecast

Privileges

To use this screen, you need the following privilege [[▶ 1920](#)]:

- Cash forecast query

References

User Instructions Part

This screen is part of the following business scenario:

- View overall liquidity [[▶ 1293](#)]

Business Functionality Document

This screen corresponds to the following business functions:

- Query cash forecast (T2S.GUI.LMSE.CSH.0050)
- Display cash forecast (T2S.GUI.LMSE.CSH.0060)

Screenshot

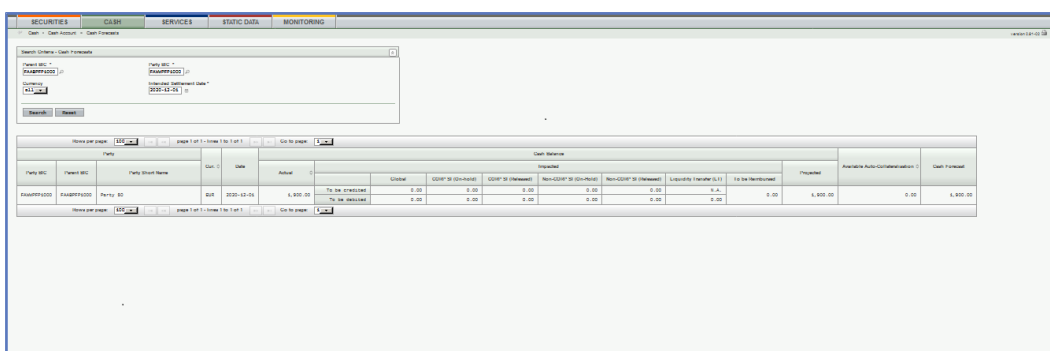


Illustration 68: Cash forecasts – search/list screen

Field Description

Cash Forecast – Search Criteria	
Party BIC*	Select the BIC of the party owning the T2S dedicated cash account(s) from the drop-down menu or search via the <i>magnifier</i> icon. References for error messages [▶ 2493]:

Cash Forecast – Search Criteria	
	<input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC084
Parent BIC*	Select the parent BIC of the party owning the T2S dedicated cash account(s) from the drop-down menu. References for error messages [▶ 2493]: <input type="checkbox"/> QMPC048 <input type="checkbox"/> QMPC084
Currency	Select the currency of the T2S dedicated cash account(s) from the drop-down menu. The default value is 'all'. Reference for error message [▶ 2493]: <input type="checkbox"/> QMPC054
Intended Settlement Date*	Enter the intended settlement date which is used for the calculation of the cash forecast or use the <i>calendar</i> icon. Required format is: YYYY-MM-DD The default value is the current business day. This date must be a valid business date equal to or later than the current business date. Reference for error message [▶ 2493]: <input type="checkbox"/> QMPC017
Cash Forecast - List	
Party	
Party BIC	Shows the BIC of the party owning the T2S dedicated cash account(s). References for error messages [▶ 2493]: <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC084
Parent BIC	Shows the parent BIC of the party owning the T2S dedicated cash account(s). References for error messages [▶ 2493]: <input type="checkbox"/> QMPC048 <input type="checkbox"/> QMPC084
Party Short Name	Shows the short name of the party owning the T2S dedicated cash account(s).

Cash Forecast – Search Criteria	
Currency	Shows the currency of the T2S dedicated cash account(s). Reference for error message [▶ 2493]: <input type="checkbox"/> QMPC054
Date	Shows the intended settlement date which is used for the calculation of the cash forecast. Displayed format is: YYYY-MM-DD
Cash Balances	
Actual	Shows the sum of the cash balance of the T2S dedicated cash account(s). Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.
Impacted –To be debited (LT)	Shows the sum of the debiting liquidity transfer orders which debit a T2S dedicated cash account of the party and which would be effective during the date used for the calculation of the cash forecast. Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.
Impacted - To be credited (SI)	Shows the sum of settlement instructions eligible for cash forecast ³ which credit a T2S dedicated cash account of the party and have an intended settlement date earlier than or equal to the intended settlement date used for the calculation of the cash forecast. Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.
Impacted - To be debited (SI)	Shows the sum of settlement instructions eligible for cash forecast which debit a T2S dedicated cash account of the party and have an intended settlement date earlier than or equal to the intended settlement date used for the calculation of the cash forecast. The pending reverse collateral movements are excluded from this sum.

³ Eligible for cash forecast refers to settlement instructions that are accepted, matched, not cancelled and not finally settled. This definition includes instructions on hold, under intraday restriction.

Cash Forecast – Search Criteria	
	Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.
Impacted - To be credited (SI) – Corporate Actions, On hold	Shows the sum of the settlement instructions related to corporate actions which are eligible for cash forecast, on hold ⁴ , credit a T2S dedicated cash account of the party and have an intended settlement date earlier than or equal to the intended settlement date used for the calculation of the cash forecast. Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.
Impacted –To be credited (SI) – Corporate Actions, Released	Shows the sum of the settlement instructions related to corporate actions which are eligible for cash forecast, released ⁵ , credit a T2S dedicated cash account of the party and have an intended settlement date earlier than or equal to the intended settlement date used for the calculation of the cash forecast. Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.
Impacted –To be credited (SI) – Non Corporate Actions, On hold	Shows the sum of the settlement instructions not related to corporate actions which are eligible for cash forecast, on hold ⁶ , credit a T2S dedicated cash account of the party and have an intended settlement date earlier than or equal to the intended settlement date used for the calculation of the cash forecast. Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.

4 'On Hold' includes CSD Hold, Party Hold, CSD Validation Hold, CoSD Hold, and the non-released part of Partially Released instructions.

5 'Released' includes also the released portion of a Partially Released instruction

6 'On Hold' includes CSD Hold, Party Hold, CSD Validation Hold, CoSD Hold, and the non-released part of Partially Released instructions.

Cash Forecast – Search Criteria	
Impacted - To be credited (SI) – Non Corporate Actions, Released	<p>Shows the sum of the settlement instructions not related to corporate actions which are eligible for cash forecast, released ⁷, credit a T2S dedicated cash account of the party and have an intended settlement date earlier than or equal to the intended settlement date used for the calculation of the cash forecast.</p> <p>Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.</p>
Impacted –To be debited (SI) – Corporate Actions, On hold	<p>Shows the sum of the settlement instructions related to corporate actions which are eligible for cash forecast, on hold, debit a T2S dedicated cash account of the party and have an intended settlement date earlier than or equal to the intended settlement date used for the calculation of the cash forecast.</p> <p>The pending reverse collateral movements are excluded from this sum.</p> <p>Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.</p>
Impacted - To be debited (SI) – Corporate Actions, Released	<p>Shows the sum of the settlement instructions related to corporate actions which are eligible for cash forecast, released, debit a T2S dedicated cash account of the party and have an intended settlement date earlier than or equal to the intended settlement date used for the calculation of the cash forecast.</p> <p>The pending reverse collateral movements are excluded from this sum.</p> <p>Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.</p>
Impacted –To be debited (SI) – Non Corporate Actions, On hold	<p>Shows the sum of the settlement instructions not related to corporate actions which are eligible for cash forecast, on hold, debit a T2S dedicated cash account of the party and</p>

⁷ 'Released' includes also the released portion of a Partially Released instruction.

Cash Forecast – Search Criteria	
	<p>have an intended settlement date earlier than or equal to the intended settlement date used for the calculation of the cash forecast.</p> <p>The pending reverse collateral movements are excluded from this sum.</p> <p>Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.</p>
Impacted – To be debited (SI) – Non Corporate Actions, Released	<p>Shows the sum of the settlement instructions not related to corporate actions which are eligible for cash forecast, released ⁸, debit a T2S dedicated cash account of the party and have an intended settlement date earlier than or equal to the intended settlement date used for the calculation of the cash forecast.</p> <p>The pending reverse collateral movements are excluded from this sum.</p> <p>Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.</p>
Impacted - To be reimbursed	<p>Shows the sum of the pending reverse collateral movements which debit a T2S dedicated cash account of the party.</p> <p>Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.</p>
Projected ⁹	<p>Shows the projected cash balance.</p> <p>The figure consists of the Actual cash balance + To be credited (SI) – To be debited (SI) – To be debited (LT) – To be reimbursed.</p> <p>Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.</p>

⁸ 'Released' includes also the released portion of a Partially Released instruction.

⁹ In UHB and T2S GUI, "projected balance" means the sum of cash balance and pending LTs and SIs and outstanding intraday credit from auto-collateralisation. In T2S UDFS and MyStandards, "projected balance" means the sum of cash balance and pending LTs and SIs (outstanding intraday credit from auto-collateralisation is not part of the calculation, i.e. is not subtracted).

Cash Forecast – Search Criteria	
Available Auto-Collateralisation	<p>Shows the available auto-collateralisation value of the party.</p> <p>The figure consists of the minimum value between:</p> <ul style="list-style-type: none"> <input type="checkbox"/> The available collateral value that the party holds on the securities account(s) linked to its T2S dedicated cash account(s) <input type="checkbox"/> The auto-collateralisation limit headroom of the party <p>Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.</p>
Cash Forecast	<p>Shows the sum of the projected cash balance and the available auto-collateralisation.</p> <p>Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.</p>

Buttons

Buttons	
Search	<p>This function enables you to start a search according to the criteria entered. The results are displayed in a list on the same screen.</p> <p>If the search retrieves a single record, the <i>details</i> screen is displayed directly.</p> <p>Next screens:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Cash forecasts – search/list</i> screen <input type="checkbox"/> <i>Cash forecast – details</i> screen [▶ 341] <p>References for error messages [▶ 2493]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC017 <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC048 <input type="checkbox"/> QMPC054 <input type="checkbox"/> QMPC084
Reset	<p>This function enables you to set default search criteria and blanks out all optional criteria.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Cash forecasts – search/list</i> screen

Details	This function enables you to display the details of the selected cash forecast. Next screen: <input type="checkbox"/> <i>Cash forecast - details</i> screen [▶ 341]
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2.3.1.2 Cash Forecast - Details Screen

Context of Usage

This screen displays detailed information on the cash forecast for a T2S dedicated cash account owner, i.e. a party which is a payment bank or central bank. The global cash forecast is displayed for the previously selected business date and currency. The cash forecast per T2S dedicated cash account of the party for the previously selected business date and currency is displayed in a list on the same screen. You can check the data and proceed further by clicking on the buttons below.

The cash forecast takes into account the cash needs and proceeds of a party on all its T2S dedicated cash accounts related to the pending instructions to be settled during the current or the following business day, as well as the liquidity that can be obtained through auto-collateralisation.

During night-time, the cash forecast can only be displayed in-between night-time settlement sequences.

There is no usage restriction for this screen.

Screen Access

Cash >> Cash Account >> Cash Forecast >> *Cash forecasts – search/list* screen >> Click on the *search* and/or *details* button

Privileges

No privilege needed to use this screen.

References

User Instructions Part

This screen is part of the following business scenario:

View overall liquidity [▶ 1293]

Business Functionality Document

This screen corresponds to the following business functions:

Display cash forecast (T2S.GUI.LMSE.CSH.0060)

Screenshot

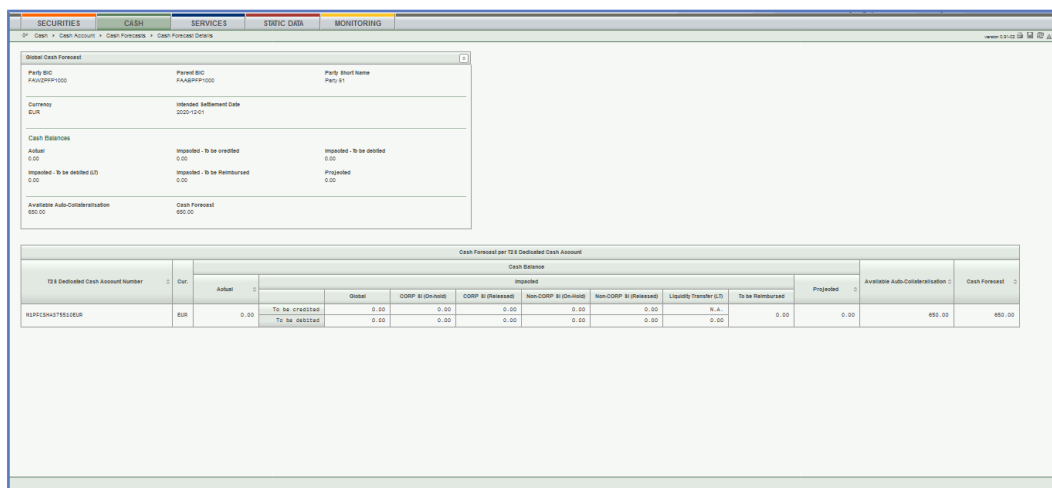


Illustration 69: Cash forecast – details screen

Field Description

Global Cash Forecast	
Party BIC	Shows the BIC of the party owning the T2S dedicated cash account(s).
Parent BIC	Shows the parent BIC of the party owning the T2S dedicated cash account(s).
Currency	Shows the currency of the T2S dedicated cash account(s).
Intended Settlement Date	Shows the intended settlement date used for the calculation of the cash forecast. Displayed format is: YYYY-MM-DD
Cash Balances	
Actual	Shows the sum of the cash balance(s) of the T2S dedicated cash account(s) of the selected party and currency. Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.
Impacted –To be debited (LT)	Shows the sum of the debiting liquidity transfer orders which debit a T2S dedicated cash account of the selected party and which would be effective during the date used for the calculation of the cash forecast. Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.

Global Cash Forecast	
Impacted –To be debited (SI)	Shows the sum of settlement instructions eligible for cash forecast which debit a T2S dedicated cash account of the selected party and have an intended settlement date earlier than or equal to the date used for the calculation of the cash forecast ¹⁰ . Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.
Impacted –To be credited (SI)	Shows the sum of settled settlement instructions eligible for cash forecast which credit a T2S dedicated cash account of the selected party and have an intended settlement date earlier than or equal to the date used for the calculation of the cash forecast. Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.
Impacted – To be Reimbursed	Shows the sum of the pending reverse collateral movements which debit a T2S dedicated cash account of the selected party. Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.
Projected ¹¹	Shows the projected cash balance. The figure consists of the sum Actual cash balance + To be credited (SI) – To be debited (SI) – To be debited (LT) – To be reimbursed Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.
Available Auto-Collateralisation	Shows the available auto-collateralisation. The figure consists of the minimum value between:

10 Eligible for cash forecast refers to settlement instructions that are accepted, matched, not cancelled and not finally settled. This definition includes instructions on hold or under intraday restriction.

11 In UHB and T2S GUI, "projected balance" means the sum of cash balance and pending LTs and SIs and outstanding intraday credit from auto-collateralisation. In T2S UDFS and MyStandards, "projected balance" means the sum of cash balance and pending LTs and SIs (outstanding intraday credit from auto-collateralisation is not part of the calculation, i.e. is not subtracted).

Global Cash Forecast	
	<ul style="list-style-type: none"> <input type="checkbox"/> The available collateral value that the selected party holds on the securities account(s) linked to its T2S dedicated cash account(s) in the selected currency. <input type="checkbox"/> The auto-collateralisation limit headroom of the T2S dedicated cash account(s) of the selected party in the selected currency. <p>Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.</p>
Cash Forecast	<p>Shows the sum of the projected cash balance and the available auto-collateralisation of the selected party and currency.</p> <p>Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.</p>
Cash Forecast per T2S Dedicated Cash Account	
T2S Dedicated Cash Account Number	Shows the number of the T2S dedicated cash account of the selected party with a cash balance and/or pending cash movement(s) in the selected currency.
Currency	Shows the currency of the T2S dedicated cash account.
Cash Balances	
Actual	Shows the cash balance of the T2S dedicated cash account.
Impacted –To be debited (LT)	Shows the sum of the debiting liquidity transfer orders which debit the T2S dedicated cash account and which would be effective during the date used for the calculation of the cash forecast.
Impacted –To be debited (SI)	<p>Shows the sum of settlement instructions eligible for cash forecast which debit the T2S dedicated cash account and have an intended settlement date earlier than or equal to the date used for the calculation of the cash forecast.</p> <p>The pending reverse collateral movements are excluded from this sum.</p>
Impacted –To be credited (SI)	Shows the sum of settlement instructions eligible for cash forecast which credit the T2S dedicated cash account and have an intended settlement date earlier than or equal to the date used for the calculation of the cash forecast.

Global Cash Forecast	
Impacted – To be credited (SI) – Corporate Actions, On hold	Shows the sum of the settlement instructions related to corporate actions which are eligible for cash forecast, on hold ¹² , credit a T2S dedicated cash account of the party and have an intended settlement date earlier than or equal to the intended settlement date used for the calculation of the cash forecast.
Impacted –To be credited (SI) – Corporate Actions, Released	Shows the sum of the settlement instructions related to corporate actions which are eligible for cash forecast, released ¹³ , credit a T2S dedicated cash account of the party and have an intended settlement date earlier than or equal to the intended settlement date used for the calculation of the cash forecast.
Impacted –To be credited (SI) – Non Corporate Actions, On hold	Shows the sum of the settlement instructions not related to non corporate actions which are eligible for cash forecast, on hold, credit a T2S dedicated cash account of the party and have an intended settlement date earlier than or equal to the intended settlement date used for the calculation of the cash forecast.
Impacted –To be credited (SI) – Non Corporate Actions, Released	Shows the sum of the settlement instructions not related to corporate actions which are eligible for cash forecast, released, credit a T2S dedicated cash account of the party and have an intended settlement date earlier than or equal to the intended settlement date used for the calculation of the cash forecast.
Impacted –To be debited (SI) – Corporate Actions, On hold	Shows the sum of the settlement instructions related to corporate actions which eligible for cash forecast, on hold, debit a T2S dedicated cash account of the party and have an intended settlement date earlier than or equal to the intended settlement date used for the calculation of the cash forecast. The pending reverse collateral movements are excluded from this sum.
Impacted –To be debited (SI) – Corporate Actions, Released	Shows the sum of the settlement instructions related to corporate actions which are eligible for cash forecast, released, debit a T2S dedicated cash account of the party

12 'On Hold' includes CSD Hold, Party Hold, CSD Validation Hold, CoSD Hold, and the non-released part of Partially Released instructions.

13 'Released' includes also the released portion of a Partially Released instruction.

Global Cash Forecast	
	<p>and have an intended settlement date earlier than or equal to the intended settlement date used for the calculation of the cash forecast.</p> <p>The pending reverse collateral movements are excluded from this sum.</p>
Impacted –To be debited (SI) – Non Corporate Actions, On hold	<p>Shows the sum of the settlement instructions not related to non corporate actions which are eligible for cash forecast, on hold, debit a T2S dedicated cash account of the party and have an intended settlement date earlier than or equal to the intended settlement date used for the calculation of the cash forecast.</p> <p>The pending reverse collateral movements are excluded from this sum.</p>
Impacted –To be debited (SI) – Non Corporate Actions, Released	<p>Shows the sum of the settlement instructions not related to corporate actions which are eligible for cash forecast, released, debit a T2S dedicated cash account of the party and have an intended settlement date earlier than or equal to the intended settlement date used for the calculation of the cash forecast.</p> <p>The pending reverse collateral movements are excluded from this sum.</p>
Impacted – To be Reimbursed	Shows the sum of the pending reverse collateral movements which debit the T2S dedicated cash account.
Projected ¹⁴	<p>Shows the projected cash balance.</p> <p>The figure consists of the sum Actual cash balance + To be credited (SI) - To be debited (SI) - To be debited (LT) - To be reimbursed + impacted collateral movements to be reimbursed</p>
Available Auto-Collateralisation	<p>Shows the available auto-collateralisation.</p> <p>The figure consists of the minimum value between:</p> <ul style="list-style-type: none"> <input type="checkbox"/> The available collateral value that the selected party holds on the securities account(s) linked to the T2S dedicated cash account.

14 In UHB and T2S GUI, "projected balance" means the sum of cash balance and pending LTs and SIs and outstanding intraday credit from auto-collateralisation. In T2S UDFS and MyStandards, "projected balance" means the sum of cash balance and pending LTs and SIs (outstanding intraday credit from auto-collateralisation is not part of the calculation, i.e. is not subtracted).

Global Cash Forecast	
	<input type="checkbox"/> The auto-collateralisation limit headroom of the T2S dedicated cash account.
Cash Forecast	Shows the sum of the projected balance and the available auto-collateralisation for the T2S dedicated cash account.

2.3.1.3 Cash Restriction Details - Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for cash restrictions which are sub-balances blocked or reserved for specific purpose. The search results will be displayed in a list, which is sorted by the values of the 'T2S Dedicated Cash Account Number' column in ascending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.

During night-time, the cash restriction details can only be displayed in-between night-time settlement sequences.

There is no usage restriction for this screen.

Screen Access

- Cash >> Cash Account >> Cash Restriction
- Cash >> Cash Account >> Cash Account Balance >> *T2S dedicated cash account balances – search/list* screen >> Click on the *search* and/or *details* button >> *T2S dedicated cash account balance – details* screen >> Click on the *restriction reference* button
- Monitoring >> Monitoring >> Cash Account Balance >> *T2S dedicated cash account balances – search/list* screen >> Click on the *search* and/or *details* button >> *T2S dedicated cash account balance – details* screen >> Click on the *restriction reference* button
- Cash >> Settlement >> Intra-Balance Movement >> *Intra-balance movements with current and historical status – search* screen >> Click on the *search* button >> *Intra-balance movements – list* screen >> Click on the *details* button >> *Intra-balance movement – details* screen >> Click on the *cash balance details* button >> *T2S dedicated cash account balance – details* screen >> Click on the *restriction reference* button

Privileges

To use this screen, you need the following privilege [[▶ 1920](#)]:

- T2S dedicated cash account balance detailed restrictions query

References

User Instructions Part

This screen is part of the following business scenario:

- View cash balances details and related restrictions [[▶ 1289](#)]

Business Functionality Document

This screen corresponds to the following business functions:

- Display T2S dedicated cash account balances (T2S.GUI.LMSE.CSH.0020)

Screenshot

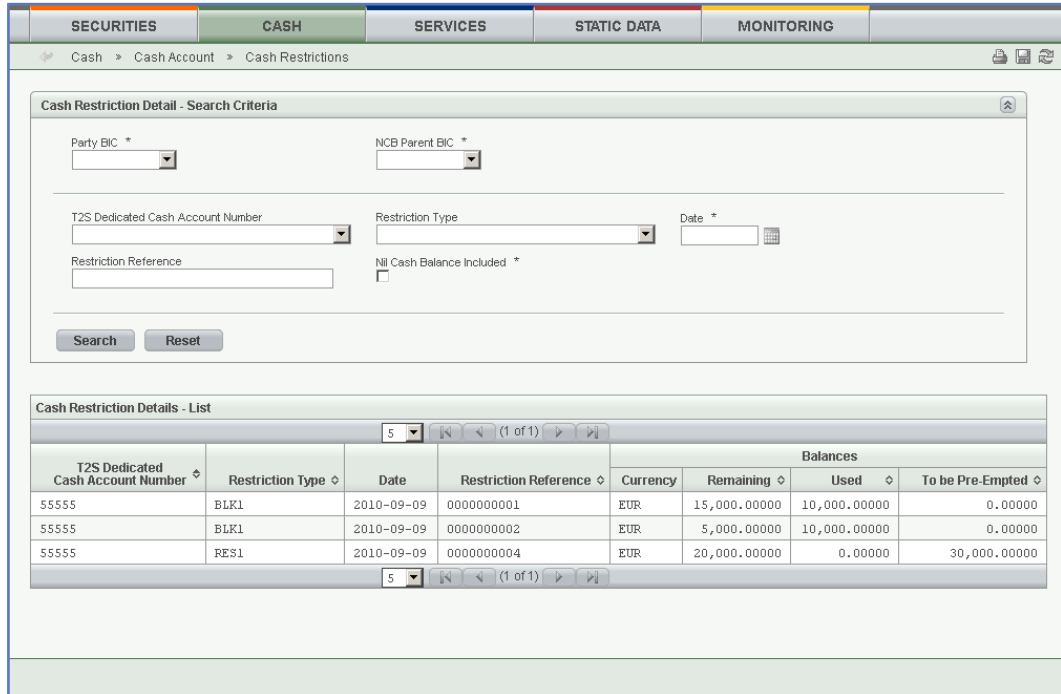


Illustration 70: Cash restriction details – search/list screen

Field Description

Cash Restriction Details – Search Criteria	
Party BIC*	You can choose to either enter the BIC of the party or to select it from the suggested items in the drop-down menu. Required format is: max. 11 characters (SWIFT-x) References for error messages: <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC084
NCB Parent BIC*	You can choose to either enter the parent BIC of the party or to select it from the suggested items in the drop-down menu. Required format is: max. 11 characters (SWIFT-x) References for error messages: <input type="checkbox"/> QMPC048 <input type="checkbox"/> QMPC084
T2S Dedicated Cash Account Number	Select the number of the T2S dedicated cash account to which the cash restriction applies from the drop-down menu.

Cash Restriction Details – Search Criteria	
	If there is only one possible account for your privileges, this field is already filled in.
Restriction Type	You can choose to either enter the type of the cash restriction or to select it from the drop-down menu. Required format is: max. 4 characters (SWIFT-x) Reference for error message: <input type="checkbox"/> QMPC091
Date	Enter the date of the movement(s) related to the restricted cash balance(s) or use the <i>calendar</i> icon. The default value is the current business day. Required format is: YYYY-MM-DD This date must be a valid business date earlier than or equal to the current business date.
Restriction Reference	Enter the identification of the cash restriction. Required format is: max. 16 characters (SWIFT-x)
Nil Cash Balance Included*	Select if cash balances equal to 0 are included. The default value is 'No'(checkbox not checked).
Cash Restriction Details - List	
T2S Dedicated Cash Account Number	Shows the number of the T2S dedicated cash account to which the cash restriction applies.
Restriction Type	Shows the restriction type of the cash restriction. Reference for error message: <input type="checkbox"/> QMPC091
Balance Date and Time	Shows the date and time of the last movement related to the cash restriction. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Restriction Reference	Shows the identification of the cash restriction.
Balances	
Currency	Shows the currency of the cash restriction.
Remaining	Shows the amount of the cash restriction remaining.
Used	Shows the amount of the cash restriction that is used.
To be Pre-Empted	Shows the amount of the cash restriction that is to be pre-empted.

Buttons

Search	<p>This function enables you to start a search according to the criteria entered. The results are displayed in a list on the same screen.</p> <p>If the search retrieves a single record, the <i>details</i> screen is displayed directly.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Cash restriction details – search/list</i> screen <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC048 <input type="checkbox"/> QMPC084 <input type="checkbox"/> QMPC091
Reset	<p>This function enables you to set default search criteria and blanks out all optional criteria.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Cash restriction details – search/list</i> screen

2.3.1.4 Collateral Values of a Security - Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for the collateral value of a security for a specific T2S dedicated cash account and security. The search results will be displayed in a list, which is sorted by the values of the 'T2S Dedicated Cash Account Number' column in ascending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.

During night-time, the collateral value of a security can only be displayed in-between night-time settlement sequences.

There is no usage restriction for this screen.

Screen Access

- Cash >> Cash Account >> Collateral Values (Security)
- Cash >> Cash Account >> Collateral Values (T2S DCA and Sec.) >> *Collateral value per T2S dedicated cash account and security – search/list* screen >> Click on the *collateral value of securities* button

Privileges

To use this screen, you need the following privilege [▶ 1920]:

- Collateral value of a security query

References **User Instructions Part**

This screen is not part of a business scenario.

Business Functionality Document

This screen corresponds to the following business functions:

- Query current collateral value of security (per securities account) (T2S.GUI.LMSE.CSH.0130)
- Display current collateral value of security (per securities account) (T2S.GUI.LMSE.CSH.0140)

Screenshot

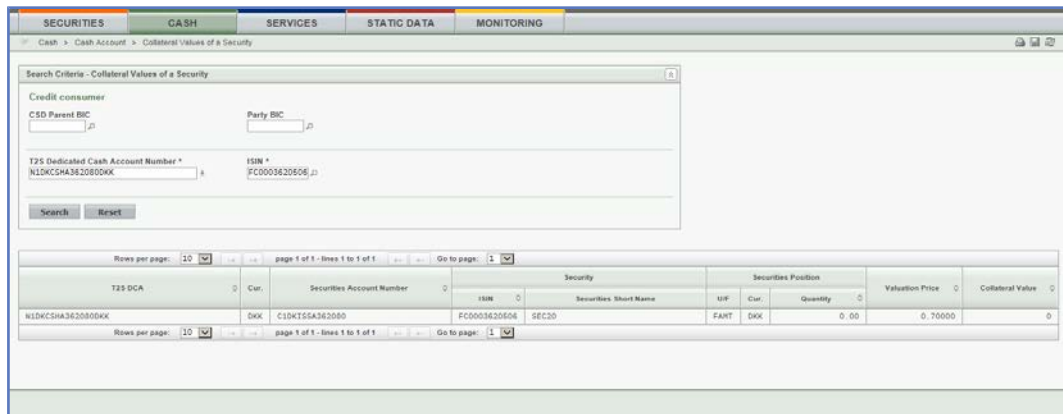


Illustration 71: Collateral value of a security – search/list screen

Field Description

Collateral Value of a Security – Search Criteria	
Credit Consumer	
Party BIC	<p>You can choose to either enter the BIC of the securities account owner or to select it from the suggested items in the drop-down menu.</p> <p>References for error messages [2493]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC084 <p>If the 'Party BIC' field is filled in, the 'CSD Parent BIC' field must also be filled in.</p>
CSD Parent BIC	<p>You can choose to either enter the parent BIC of the CSD or to select it from the suggested items in the drop-down menu.</p> <p>References for error messages [2493]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC084 <p>If the 'CSD Parent BIC' field is filled in, the 'Party BIC' field must also be filled in.</p>

Collateral Value of a Security – Search Criteria	
T2S Dedicated Cash Account Number*	<p>You can choose to either enter the number of the T2S dedicated cash account or to select it from the suggested items in the drop-down menu.</p> <p>Reference for error message [▶ 2493]:</p> <p><input type="checkbox"/> QMPC031</p> <p>If only one account is possible, this field is already filled in.</p>
ISIN*	<p>Select the ISIN of the security from the drop-down menu.</p> <p>Required format is: max. 12 characters (SWIFT-x)</p> <p>Reference for error message [▶ 2493]:</p> <p><input type="checkbox"/> QMPC018</p>
Collateral Value of a Security – List	
T2S Dedicated Cash Account Number	<p>Shows the number of the T2S dedicated cash account related to the collateral value of the security list item.</p> <p>Reference for error message [▶ 2493]:</p> <p><input type="checkbox"/> QMPC031</p>
Currency	Shows the currency of the T2S dedicated cash account.
Securities Account Number	Shows the number of the securities account related to the collateral value of the security list item.
Security	
ISIN	<p>Shows the ISIN of the security.</p> <p>Reference for error message [▶ 2493]:</p> <p><input type="checkbox"/> QMPC018</p>
Securities Short Name	Shows the short name of the security.
Securities Position	
Settlement Type	<p>Shows the quantity type related to the securities from the possible values:</p> <p><input type="checkbox"/> UNIT</p> <p><input type="checkbox"/> FAMT (for face amount)</p>
Currency	Shows the currency related to the securities if expressed in face amount.
Quantity	Shows the total quantity of securities for the aggregated securities positions.

Collateral Value of a Security – Search Criteria	
	Provision of '99999...99' in case oversizing occurs in the integer portion. Truncation of decimals in case oversizing occurs in the decimal portion.
Valuation Price	Shows the valuation price of the securities for the credit provider (CB or settlement bank).
Collateral Value	Shows the resulting collateral value of the security for the aggregated securities positions. Provision of '99999...99' in case oversizing occurs in the integer portion. Truncation of decimals in case oversizing occurs in the decimal portion.

Buttons

Buttons	
Search	This function enables you to start a search according to the criteria entered. The results are displayed in a list on the same screen. Next screen: <input type="checkbox"/> <i>Collateral value of a security – search/list screen</i> References for error messages [▶ 2493]: <input type="checkbox"/> QMPC018 <input type="checkbox"/> QMPC031 <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC084
Reset	This function enables you to set default search criteria and blanks out all optional criteria. Next screen: <input type="checkbox"/> <i>Collateral value of a security – search/list screen</i>

2.3.1.5 Collateral Values per T2S Dedicated Cash Account and Security - Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for the collateral value for a party and any or all of its T2S dedicated cash accounts. The search results will be displayed in a list, which is sorted by the values of the (1) 'T2S Dedicated Cash Account Number' (2) Owner of the Securities Account Parent BIC (3) Owner of the Securities Account Party BIC (4) Security-ISIN columns in ascending order (default setting).

During night-time, the collateral value per T2S dedicated cash account and security can only be displayed in-between night-time settlement sequences.

There is no usage restriction for this screen.

Screen Access

- Cash >> Cash Account >> Collateral Values (T2S DCA and Sec.)
- Cash >> Cash Account >> Total Collateral Values (T2S DCA) >> *Total collateral value per T2S dedicated cash account – search/list* screen >> Click on *detail of collateral value* button

Privileges

To use this screen, you need the following privilege [▶ 1921]:

- Collateral value per T2S dedicated cash account query

References

User Instructions Part

This screen is not part of a business scenario.

Business Functionality Document

This screen corresponds to the following business functions:

- Query total current collateral value (per T2S dedicated cash account and security) (T2S.GUI.LMSE.CSH.0110)
- Display total current collateral value (per T2S dedicated cash account and security) (T2S.GUI.LMSE.CSH.0120)

Screenshot

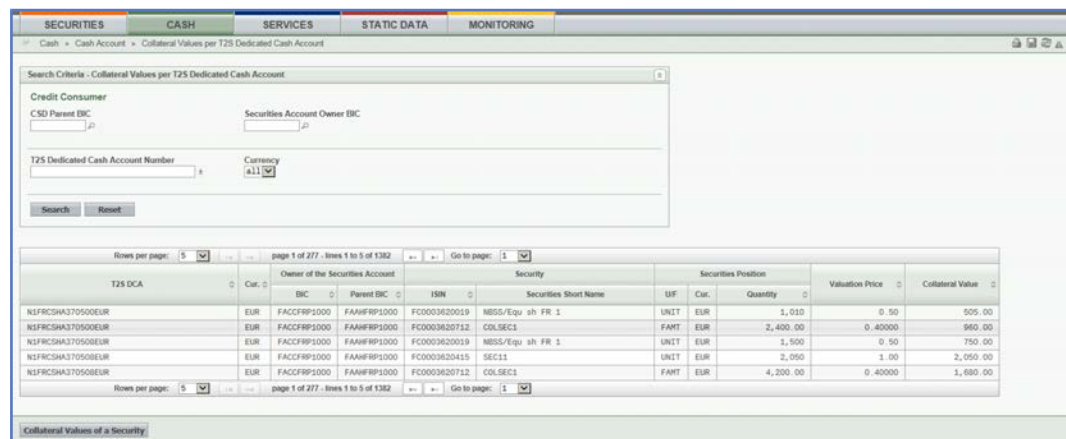


Illustration 72: Collateral value per T2S dedicated cash account and security – search/list screen

Field Description

Collateral Value per T2S Dedicated Cash Account and Security – Search Criteria	
Credit Consumer	
Securities Account Owner BIC	Select the BIC of the securities account owner (credit consumer) from the drop-down menu or search via the <i>magnifier</i> icon. If the BIC is not contained in the drop-down menu please enter the BIC manually. References for error messages [▶ 2494]:

Collateral Value per T2S Dedicated Cash Account and Security – Search Criteria	
	<input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC084 If none of the fields are filled in, then the privileges and access rights of the requesting party are applied.
CSD Parent BIC	Select the parent BIC of the CSD (of the credit consumer) from the drop-down menu or search via the <i>magnifier</i> icon. If the BIC is not contained in the drop-down menu please enter the BIC manually. References for error messages [▶ 2494]: <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC084 If none of the fields are filled in, then the privileges and access rights of the requesting party are applied.
T2S Dedicated Cash Account Number	Select the number of the T2S dedicated cash account from the drop-down menu. Reference for error message [▶ 2494]: <input type="checkbox"/> QMPC031 If only one account is possible, this field is already filled in. If none of the fields are filled in, then the privileges and access rights of the requesting party are applied.
Currency	Select the currency of the T2S dedicated cash account from the drop-down menu. The default value is 'all'.
Collateral Value per T2S Dedicated Cash Account and Security – List	
T2S Dedicated Cash Account Number	Shows the number of the T2S dedicated cash account relating to the collateral value of the security list item. Reference for error message [▶ 2494]: <input type="checkbox"/> QMPC031
Currency	Shows the currency of the T2S dedicated cash account.
Securities Account Owner	
Party BIC	Shows the BIC of the securities account owner of the securities account that holds the valuated securities
Parent BIC	Shows the parent BIC of the securities account owner
Security	
ISIN	Shows the ISIN of the security.

Collateral Value per T2S Dedicated Cash Account and Security – Search Criteria	
Securities Short Name	Shows the short name of the security.
Securities Positions	
Settlement Type	Shows the quantity type related to the securities from the possible values: <input type="checkbox"/> Unit <input type="checkbox"/> FAMT (for face amount)
Currency	Shows the currency related to the securities if expressed in face amount.
Quantity	Shows the total quantity of securities for the aggregated securities positions. Provision of '99999...99' in case oversizing occurs in the integer portion. Truncation of decimals in case oversizing occurs in the decimal portion.
Valuation Price	Shows the valuation price of the securities for the credit provider (CB or settlement bank).
Collateral Value	Shows the resulting collateral value of the security for the aggregated securities positions. Provision of '99999...99' in case oversizing occurs in the integer portion. Truncation of decimals in case oversizing occurs in the decimal portion.

Buttons

Search	<p>This function enables you to start a search according to the criteria entered. The results are displayed in a list on the same screen.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Collateral value per T2S dedicated cash account and security – search/list screen</i> <p>References for error messages [▶ 2494]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC031 <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC084

Reset	<p>This function enables you to set default search criteria and blanks out all optional criteria.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Collateral value per T2S dedicated cash account and security – search/list screen</i>
Collateral Value of Securities	<p>This function enables you to search for the collateral value of a security.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Collateral value of a security – search/list screen</i> [> 350] <p>The values of the selected entry are passed to the next screen as search criteria.</p>

2.3.1.6 Limits - Search/list Screen

See *Limits – search/list Screen*

2.3.1.7 Limit - New/Edit Screen

See *Limit – New/Edit Screen*.

2.3.1.8 Limit Utilisation - Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for the current limit utilisation for a given credit consumer. Depending on the input criteria, a credit consumer or credit provider can retrieve the following information:

- CBs, as credit provider, can see the utilisation of an auto-collateralisation limit associated to the T2S dedicated cash accounts of its payment banks;
- Payment banks, as credit consumer, can see the utilisation of their auto-collateralisation limit associated to their T2S dedicated cash accounts;
- Payment banks, as credit provider, can see the utilisation of the limits of their clients (three types of limits – external guarantee, auto-collateralisation, unsecured credit) associated to the Payment banks T2S dedicated cash accounts;
- Payment bank clients, as credit consumer, can see the utilisation of their limits (three types of limits – external guarantee, auto-collateralisation, unsecured credit) associated to the T2S dedicated cash accounts of their payment banks.

The search results will be displayed in a list, which is sorted by the value of the columns 'Credit Provider Party BIC', in ascending order (default setting). After selecting an entry, you can proceed further by clicking the buttons below.

During night-time, the limit utilisation can only be displayed in-between night-time settlement sequences.

There is no usage restriction for this screen.

Screen Access

- Cash >> Cash Account >> Limit Utilisation
- Securities >> Securities Account >> Limit Utilisation
- Static Data >> T2S Dedicated Cash Account >> Limits >> *Limits – search/list* screen >> Click on the *limit utilisation* button
- Cash >> Cash Account >> Limits >> *Limits – search/list* screen >> Click on the *limit utilisation* button
- Static Data >> T2S Dedicated Cash Account >> T2S Dedicated Cash Account >> *T2S dedicated cash accounts – search/list* screen >> Click on the *search* and/or *details* button >> *T2S dedicated cash account – details* screen >> Click on the *limits* button >> *Limits – search/list* screen >> Click on the *limit utilisation* button
- Static Data >> Parties >> Parties >> *Parties – search/list* screen >> Click on the *search* and/or *details* button >> *Party – details* screen >> Click on the *T2S dedicated cash account* button >> *T2S dedicated cash accounts – search/list* screen >> Click on the *search* and/or *details* button >> *T2S dedicated cash account – details* screen >> Click on the *limits* button >> *Limits – search/list* screen >> Click on the *limit utilisation* button

Privileges

To use this screen, you need the following privilege [[▶ 1929](#)]:

- Limit utilisation query

References

User Instructions Part

This screen is part of the following business scenario:

- View overall liquidity [[▶ 1293](#)]

Business Functionality Document

This screen corresponds to the following business functions:

- Display limit utilisation (T2S.GUI.SDSE.LMT.0080)
- Query limit utilisation (T2S.GUI.SDSE.LMT.0070)

Screenshot

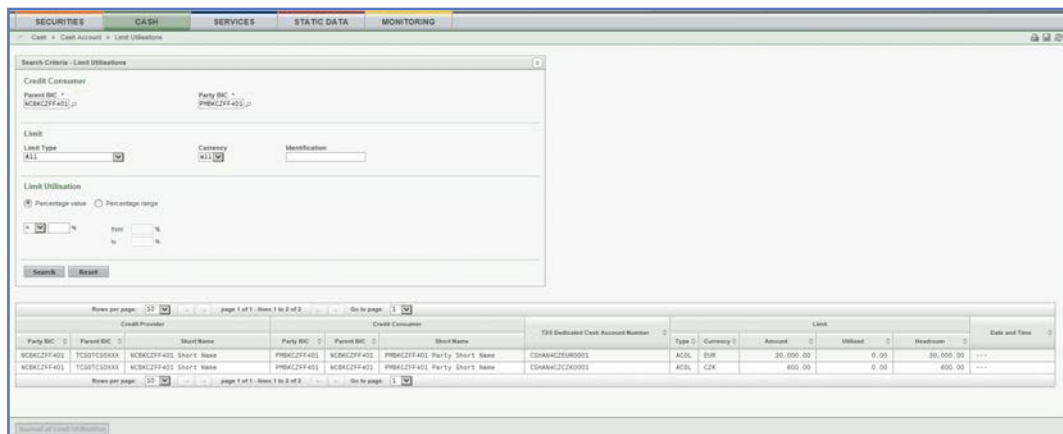


Illustration 73: Limit utilisation – search/list screen

Field Description

Limit Utilisation – Search Criteria	
Credit Consumer	
Party BIC	You can choose to either enter the BIC of the party or to select it from the suggested items in the drop-down menu. If the querying party is a CB or a payment/settlement bank, the Party BIC is optional, otherwise it is mandatory. Required format is: max. 11 characters (SWIFT-x)
Parent BIC	You can choose to either enter the parent BIC of the party or to select it from the suggested items in the drop-down menu. If the querying party is a CB or a payment/settlement bank, the Party BIC is optional, otherwise it is mandatory. Required format is: max. 11 characters (SWIFT-x)
Limit	
Limit Type	Select the limit type from one of the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> EXGT (External Guarantee) <input type="checkbox"/> ACOL (Auto-collateralisation) <input type="checkbox"/> UCDT (Unsecured Credit) If the querying party is a CB, the only possible value is 'ACOL'.
Currency	Select the currency of the T2S dedicated cash account from the drop-down menu. The default value is 'all'.
Identification	Enter the technical identifier of the limit. Required format: Integer Reference for error message: [▶ 2568]

Limit Utilisation – Search Criteria	
	<input type="checkbox"/> QMPC036
Limit Utilisation	
Percentage value	<p>Select whether the limit utilisation criteria is by percentage value.</p> <p>Select the limit utilisation operator from one of the possible values:</p> <p><input type="checkbox"/> = (default value)</p> <p><input type="checkbox"/> >=</p> <p><input type="checkbox"/> ></p> <p><input type="checkbox"/> <=</p> <p><input type="checkbox"/> <</p> <p>Enter the limit utilisation as a percentage.</p> <p>Required format is: max. 5 digit(s) incl. decimal point (thereof max. 2 decimal places and decimal point)</p>
Percentage range	<p>Select whether the limit utilisation criteria is by percentage range.</p> <p>Enter the 'From' range delimiter of the limit utilisation as a percentage.</p> <p>Required format is: max. 5 digit(s) incl. decimal point (thereof max. 2 decimal places and decimal point).</p> <p>Enter the 'To' range delimiter of the limit utilisation as a percentage.</p> <p>Required format is: max. 5 digit(s) incl. decimal point (thereof max. 2 decimal places and decimal point).</p> <p>Both fields must be filled in if the range of value is selected.</p> <p>Reference for error message: [▶ 2568]</p> <p><input type="checkbox"/> QMPC040</p>
Limit Utilisation – List	
Credit Provider	
Party BIC	Shows the BIC of the credit provider.
Parent BIC	Shows the parent BIC of the credit provider.
Short Name	Shows the party short name of the credit provider.
Credit Consumer	

Limit Utilisation – Search Criteria	
Party BIC	Shows the BIC of the credit consumer.
Parent BIC	Shows the parent BIC of the credit consumer.
Short Name	Shows the party short name of the credit consumer.
T2S Dedicated Cash Account Number	Shows the number of the T2S dedicated cash account relating to the limit utilisation.
Limit Type	
Limit Type	Shows the type of the limit from one of the possible values: <input type="checkbox"/> EXGT (External Guarantee) <input type="checkbox"/> ACOL (Auto-collateralisation) <input type="checkbox"/> UCDDT (Unsecured Credit)
Currency	Shows the currency of the T2S dedicated cash account.
Amount	Shows the amount of the limit.
Used amount	Shows the amount of the limit that has been utilised.
Headroom	Shows the headroom of the limit.
Date and Time	Shows the date and time of the most recent usage of the limit. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs

Buttons

Buttons	
Search	This function enables you to start a search according to the criteria entered. The results are displayed in a list on the same screen. Next screen: <input type="checkbox"/> <i>Limit utilisation – search/list</i> screen References for error messages: [▶ 2568] <input type="checkbox"/> QMPC036 <input type="checkbox"/> QMPC040
Reset	This function enables you to set default search criteria and blanks out all optional criteria. Next screen: <input type="checkbox"/> <i>Limit utilisation – search/list</i> screen

Journal of a Limit Utilisation	<p>This function enables you to display the details of the journal of limit utilisation for the selected limit. The values of the selected row are passed as selection criteria to the next screen.</p> <p>Next screen:</p> <ul style="list-style-type: none"><input type="checkbox"/> <i>Limit utilisation journal – search/list screen</i> [▶ 362]
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2.3.1.9 Limit Utilisation Journal - Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for the current limit utilisation journal for a given credit consumer. Depending on the input criteria, a credit consumer or credit provider can retrieve following information:

- CBs, as credit provider, can see the utilisation journal of an auto-collateralisation limit associated to the T2S dedicated cash accounts of its payment banks;
- Payment banks, as credit consumer, can see the utilisation journal of their auto-collateralisation limit associated to their T2S dedicated cash accounts;
- Payment banks, as credit provider, can see the utilisation journal of the limits of their clients (three types of limits – external guarantee, auto-collateralisation, unsecured credit) associated to the Payment banks T2S dedicated cash accounts;
- Payment bank clients, as credit consumer, can see the utilisation journal of their limits (three types of limits – external guarantee, auto-collateralisation, unsecured credit) associated to the T2S dedicated cash accounts of their payment banks.

The search results will be sorted before being displayed in a list by the values of the following columns in descending order:

1. T2S Dedicated Cash Account Number
2. Credit consumer
3. Limit Type

The results cannot be sorted afterwards.

After selecting an entry, you can proceed further by clicking the buttons below.

During night-time, the limit utilisation journal can only be displayed in-between night-time settlement sequences.

There is no usage restriction for this screen.

**Screen
Access**

- Cash >> Cash Account >> Limit Utilisation Journal
- Securities >> Securities Account >> Limit Utilisation Journal
- Static Data >> T2S Dedicated Cash Account >> Limits >> *Limits – search/list* screen >> Click on the *limit utilisation* button >> *Limit utilisation – search/list* screen >> Click on the *journal of limit utilisation* button
- Cash >> Cash Account >> Limits >> *Limits – search/list* screen >> Click on the *limit utilisation* button >> *Limit utilisation – search/list* screen >> Click on the *journal of limit utilisation* button
- Static Data >> T2S Dedicated Cash Account >> T2S Dedicated Cash Account >> *T2S dedicated cash accounts – search/list* screen >> Click on the *search* and/or *details* button >> *T2S dedicated cash account – details* screen >> Click on the *limits* button >> *Limits – search/list* screen >> Click on the *limit utilisation* button >> *Limit utilisation – search/list* screen >> Click on the *journal of limit utilisation* button
- Static Data >> Parties >> Parties >> *Parties – search/list* screen >> Click on the *search* and/or *details* button >> *Party – details* screen >> Click on the *T2S dedicated cash account* button >> *T2S dedicated cash accounts – search/list* screen >> Click on the *search* and/or *details* button >> *T2S dedicated cash account – details* screen >> Click on the *limits* button >> *Limits – search/list* screen >> Click on the *limit utilisation* button >> *Limit utilisation – search/list* screen >> Click on the *journal of limit utilisation* button
- Cash >> Liquidity >> Outstanding Auto-Coll. Credit >> *Outstanding auto-collateralisation credits – search/list* screen >> Click on the *journal of limit utilisation* button

Privileges

To use this screen, you need the following privilege [▶ 1929]:

- Limit utilisation journal query

References**User Instructions Part**

This screen is part of the following business scenario:

- View overall liquidity [▶ 1293]

Business Functionality Document

This screen corresponds to the following business functions:

- Query limit utilisation journal (T2S.GUI.SDSE.LMT.0090)
- Display limit utilisation journal (T2S.GUI.SDSE.LMT.0100)

Screenshot

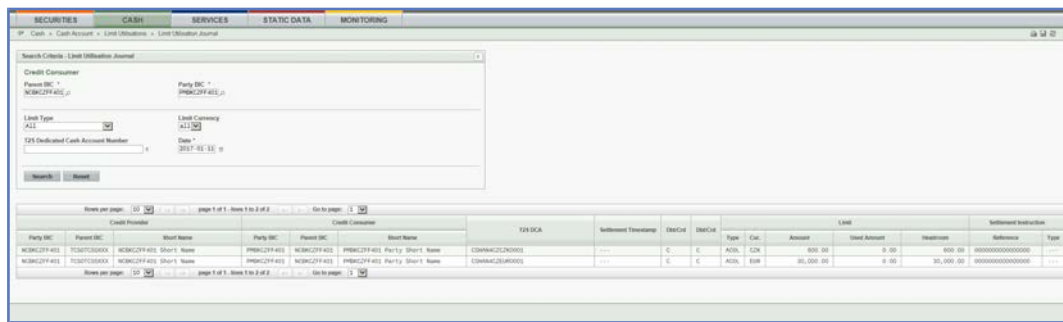


Illustration 74: Limit utilisation journal – search/list screen

Field Description

Limit Utilisation Journal – Search Criteria	
Credit Consumer	
Party BIC*	Select the BIC of the party from the drop-down menu or search via the <i>magnifier</i> icon. Required format is: max. 11 characters (SWIFT-x)
Parent BIC*	Select the parent BIC of the party from the drop-down menu or search via the <i>magnifier</i> icon. Required format is: max. 11 characters (SWIFT-x)
Limit Type	Select the limit type from one of the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> EXGT (External Guarantee) <input type="checkbox"/> ACOL (Auto-collateralisation) <input type="checkbox"/> UCDD (Unsecured Credit)
Limit Currency	Select the currency of the T2S dedicated cash account from the drop-down menu. The default value is 'all'.
T2S Dedicated Cash Account Number	Select the number of the T2S dedicated cash account from the drop-down menu. Required format is: max. 34 characters (SWIFT-x) If there is only one possible account, this field is already filled in. Reference for error message: [2568] <input type="checkbox"/> QMPC031
Date*	Enter the date of the limit utilisation or use the <i>calendar</i> icon. Required format is: YYYY-MM-DD. The default value is the current business date. It has to be a valid business date less than or equal to the current business date.

Limit Utilisation Journal – Search Criteria	
	Reference for error message: [▶ 2568] <input type="checkbox"/> QMPC055
Limit Utilisation Journal – List	
Credit Provider	
Party BIC	Shows the BIC of the credit provider.
Parent BIC	Shows the parent BIC of the credit provider.
Short Name	Shows the party short name of the credit provider.
Credit Consumer	
Party BIC	Shows the BIC of the credit consumer.
Parent BIC	Shows the parent BIC of the credit consumer.
Short Name	Shows the party short name of the credit consumer.
T2S Dedicated Cash Account Number	Shows the number of the T2S dedicated cash account relating to the journal of limit utilisation. Reference for error message: [▶ 2568] <input type="checkbox"/> QMPC031
Settlement Timestamp	Shows the timestamp of the limit utilisation. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Debit/Credit Indicator	Show the debit credit indicator of the limit from the possible values: <input type="checkbox"/> D (Debit) <input type="checkbox"/> C (Credit)
Limit	
Limit Type	Shows the type of the limit from one of the possible values: <input type="checkbox"/> EXGT (External Guarantee) <input type="checkbox"/> ACOL (Auto-collateralisation) <input type="checkbox"/> UCDD (Unsecured Credit)
Currency	Shows the currency of the T2S dedicated cash account.
Amount	Shows the amount of the limit.
Used amount	Shows the amount of the limit after this utilisation.
Headroom	Shows the headroom of the limit.
Settlement Instruction	

Limit Utilisation Journal – Search Criteria	
Reference	Shows the reference of the settlement instruction related to the utilisation journal entry.
Instruction Type	Shows the type of the settlement instruction related to the utilisation journal entry.

Buttons

Buttons	
Search	<p>This function enables you to start a search according to the criteria entered. The results are displayed in a list on the same screen.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Limit utilisation journal – search/list screen</i> <p>References for error messages: [▶ 2568]</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC031 <input type="checkbox"/> QMPC055
Reset	<p>This function enables you to set default search criteria and blanks out all optional criteria.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Limit utilisation journal – search/list screen</i>

2.3.1.10 T2S DCA Balances - Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for T2S dedicated cash account balances. The search results will be displayed in a list, which is sorted by the value of the 'T2S Dedicated Cash Account Number' column in ascending order (default setting). After selecting one entry, you can further proceed by clicking on the buttons below.

When exporting the content of this screen, you receive the list and the corresponding details for each data record.

During night-time, the cash balances can only be displayed in-between night-time settlement sequences.

This screen is not relevant for CSD or CSD participant users.

Screen Access

- Cash >> Cash Account >> Cash Account Balances
- Monitoring >> Monitoring >> Cash Account Balances

Privileges

To use this screen, you need the following privilege [▶ 1944]:

- T2S dedicated cash account balance query

References

User Instructions Part

This screen is part of the following business scenarios:

- View cash balances details and related postings [▶ 1288]
- View cash balances details and related restrictions [▶ 1289]

Business Functionality Document

This screen corresponds to the following business functions:

- Query T2S dedicated cash account balances (T2S.GUI.LMSE.CSH.0010)
- Display T2S dedicated cash account balances (T2S.GUI.LMSE.CSH.0020)

Screenshot

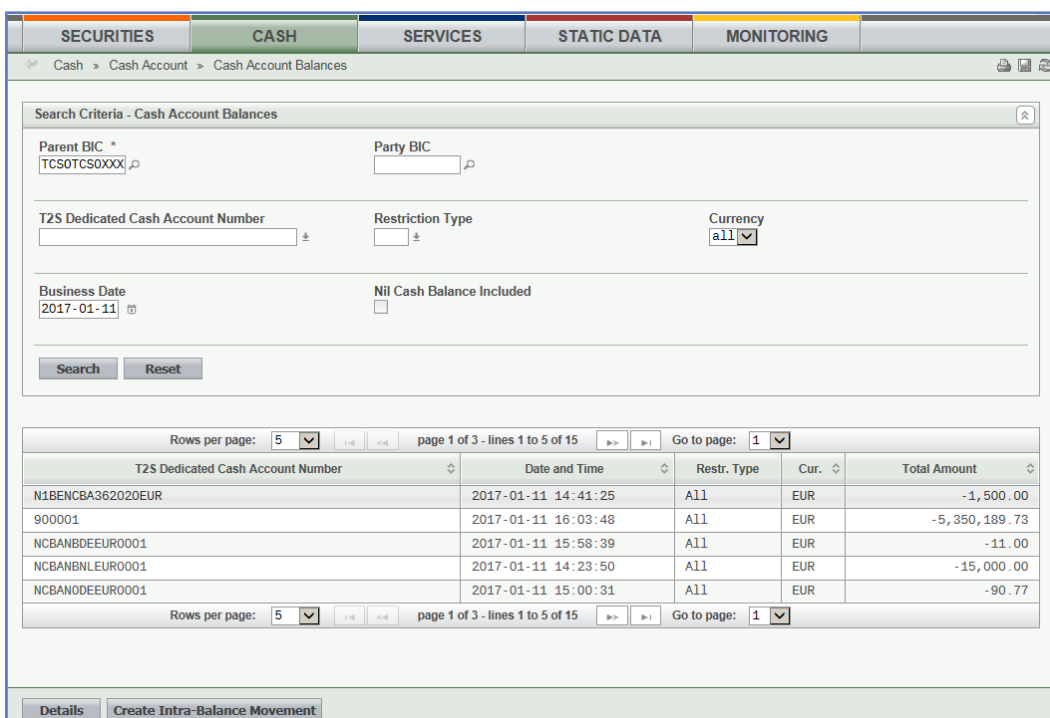


Illustration 75: T2S DCA balances – search/list screen

Field Description

Cash Balances – Search Criteria	
Party BIC*	<p>Select the BIC of the party owning the cash balances you want to retrieve from the drop-down menu or search via the <i>magnifier</i> icon.</p> <p>You have to enter at least one field among ‘Party BIC’ and ‘Date’.</p> <p>References for error messages [▶ 2648]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC072 <input type="checkbox"/> QMPC084

Cash Balances – Search Criteria	
Parent BIC*	<p>Select the parent BIC of the CB where the above party holds the cash balances you want to retrieve from the drop-down menu.</p> <p>References for error messages [▶ 2648]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC048 <input type="checkbox"/> QMPC072 <input type="checkbox"/> QMPC084
T2S Dedicated Cash Account Number	<p>Select the number of the T2S dedicated cash account from the drop-down menu.</p> <p>If there is only one possible cash account, this field is read-only.</p> <p>References for error message [▶ 2648]s:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC031 <input type="checkbox"/> QMPC072
Restriction Type	<p>Select a restriction type of the cash balance.</p> <p>If you select the value 'All', all cash balances are displayed in the list.</p> <p>Reference for error message [▶ 2648]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC091
Currency	<p>Select the currency of the T2S dedicated cash account from the drop-down menu. The default value is 'all'.</p> <p>References for error messages [▶ 2648]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC054 <input type="checkbox"/> QMPC072
Date	<p>Enter the date of T2S dedicated cash account balance or use the <i>calendar</i> icon.</p> <p>Required format is: YYYY-MM-DD</p> <p>You have to enter at least one field among 'Party BIC' and 'Date'.</p> <p>The default value is the current business day.</p> <p>The 'Date' must be a valid business date which is earlier than or equal to the current business date.</p> <p>Reference for error message [▶ 2648]:</p>

Cash Balances – Search Criteria	
	<input type="checkbox"/> QMPC073
Nil Cash Balance Included	Select whether the T2S dedicated cash balances equal to zero are included.
Multiple Cash Balances - List	
T2S Dedicated Cash Account Number	Shows the number of the T2S dedicated cash account of the cash balance. Reference for error message [▶ 2648]: <input type="checkbox"/> QMPC072
Date and Time	Shows the date and time of the cash balance (or the closest previous date and time). Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Restriction Type	Shows the restriction type of the cash balance. Reference for error message [▶ 2648]: <input type="checkbox"/> QMPC091
Currency	Shows the currency of the T2S dedicated cash account. References for error messages [▶ 2648]: <input type="checkbox"/> QMPC054 <input type="checkbox"/> QMPC072
Total Amount	Shows the total amount of the cash balance. Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.

Buttons

Buttons	
Search	This function enables you to start a search according to the criteria entered. The results are displayed in a list on the same screen. If the search retrieves a single record, the <i>details</i> screen is displayed directly. Next screens: <input type="checkbox"/> T2S DCA balances - search/list screen <input type="checkbox"/> T2S DCA balance – details screen [▶ 370] References for error messages [▶ 2648]: <input type="checkbox"/> QMPC031

	<input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC048 <input type="checkbox"/> QMPC054 <input type="checkbox"/> QMPC072 <input type="checkbox"/> QMPC073 <input type="checkbox"/> QMPC084 <input type="checkbox"/> QMPC091
Reset	<p>This function enables you to set default search criteria and blanks out all optional criteria.</p> <p>Next screen:</p> <input type="checkbox"/> <i>T2S DCA balances - search/list screen</i>
Details	<p>This function enables you to display the details of the selected cash balance.</p> <p>Next screen:</p> <input type="checkbox"/> <i>T2S DCA balance - details screen [▶ 370]</i>
Create Intra-Balance Movement	<p>This function enables you to create a new restricted cash balance for the selected T2S dedicated cash account number.</p> <p>Next screen:</p> <input type="checkbox"/> <i>Intra-balance movement – new screen [445]</i>

2.3.1.11 T2S DCA Balance - Details Screen

Context of Usage

This screen displays detailed information on the cash balances for a T2S dedicated cash account owner, i.e. a party which is a payment or central bank. You can check the data and proceed further by clicking on the buttons below.

This screen is not relevant for CSD or CSD participant users.

Screen Access

- Cash >> Cash Account >> Cash Account Balances >> *T2S DCA balances – search/list screen* >> Click on the *search* and/or *details* button
- Cash >> Settlement >> Intra-Balance Movements >> *Intra-balance movements – search/list screen* >> Click on the *search* and/or *details* button >> *Intra-balance movement – details screen* >> Click on the *cash balance details* button

Privileges

No privilege needed to use this screen.

References

User Instructions Part

This screen is part of the following business scenarios:

- View cash balances details and related postings [▶ 1288]
- View cash balances details and related restrictions [▶ 1289]

Business Functionality Document

This screen corresponds to the following business functions:

- Display T2S dedicated cash account balances (T2S.GUI.LMSE.CSH.0020)

Screenshot

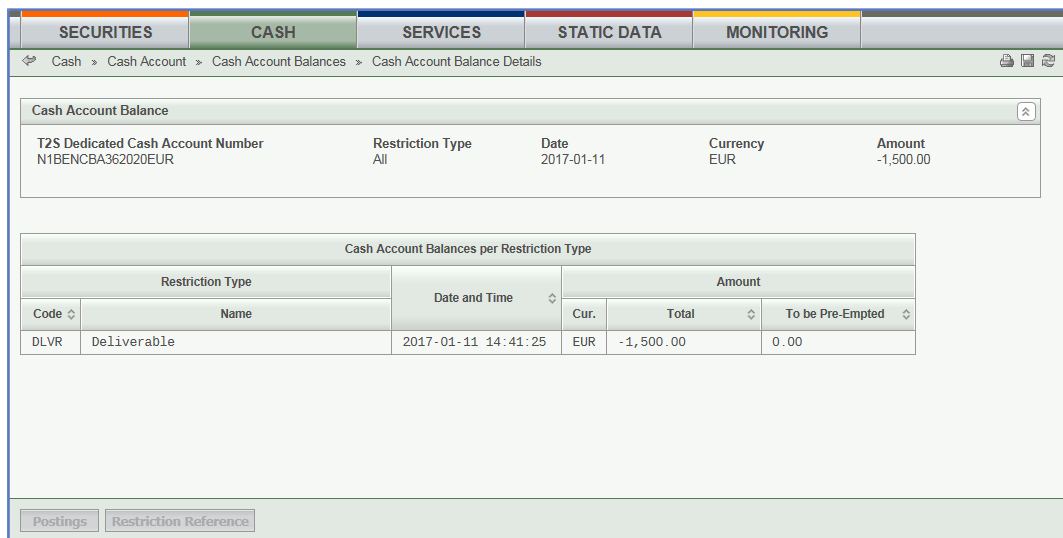


Illustration 76: T2S dedicated cash account balance – details screen

Field

Description

Identification of Cash Balances	
T2S Dedicated Cash Account Number	Shows the number of the T2S dedicated cash account.
Restriction Type	Shows the restriction type of the cash balance.
Currency	Shows the currency of the T2S dedicated cash account.
Total Amount	Shows the total amount of cash for the aggregated cash balances. Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.
Cash Balance per Restriction Type	
Restriction Type	
Code	Shows the code of the restriction type of the cash balance.
Name	Shows the name of the restriction type of the cash balance.

Identification of Cash Balances	
Date and Time	Shows the date and time of the last movement related to the cash balance. Displayed format is: YYYY-MM-DD hh:mm:ss:μsμsμsμsμs
Amount	
Currency	Shows the currency related to the T2S dedicated cash account.
Total	Shows the total amount of the cash balance. Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.
To be Pre-Empted	Shows the amount of the cash balance that is to be pre-empted. Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.

Buttons

Postings	This function enables you to display the cash postings for the selected cash balance. Next screen: <input type="checkbox"/> <i>T2S DCA postings – search/list screen [▶ 372]</i>
Restrictions References	This function enables you to display the details per restriction reference for the selected cash balance. Next screen: <input type="checkbox"/> <i>Cash restriction details – search/list screen [▶ 347]</i>

2.3.1.12 T2S DCA Postings - Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for cash postings which are the individual debits and credits impacting the selected cash balance. The search results will be displayed in a list, which is sorted either by the values of the 'T2S Dedicated Account Number', 'Restriction Type', 'Date', 'Debit/Credit', 'Currency', 'Amount', 'Instruction Type', 'Internal Reference', 'Restriction Reference' or 'Date and Time' columns in ascending order (default setting).

After selecting an entry, you can proceed further by clicking on the buttons below.

This screen is not relevant for CSD or CSD participant users.

- Screen Access**
- Cash >> Cash Account >> Cash Account Postings
 - Cash >> Cash Account >> Cash Account Balances >> **T2S DCA balances – search/list screen** >> Click on the *search* and/or *details* button >> **T2S DCA balance – details screen** >> Click on the *postings* button

Privileges To use this screen, you need the following privilege [**▶ 1945**]:

- T2S dedicated cash account posting query

References **User Instructions Part**

This screen is part of the following business scenario:

- View cash balances details and related postings [**▶ 1288**]

Business Functionality Document

This screen corresponds to the following business functions:

- Query T2S dedicated cash account postings (T2S.GUI.LMSE.CSH.0030)
- Display T2S dedicated cash account postings (T2S.GUI.LMSE.CSH.0040)

Screenshot

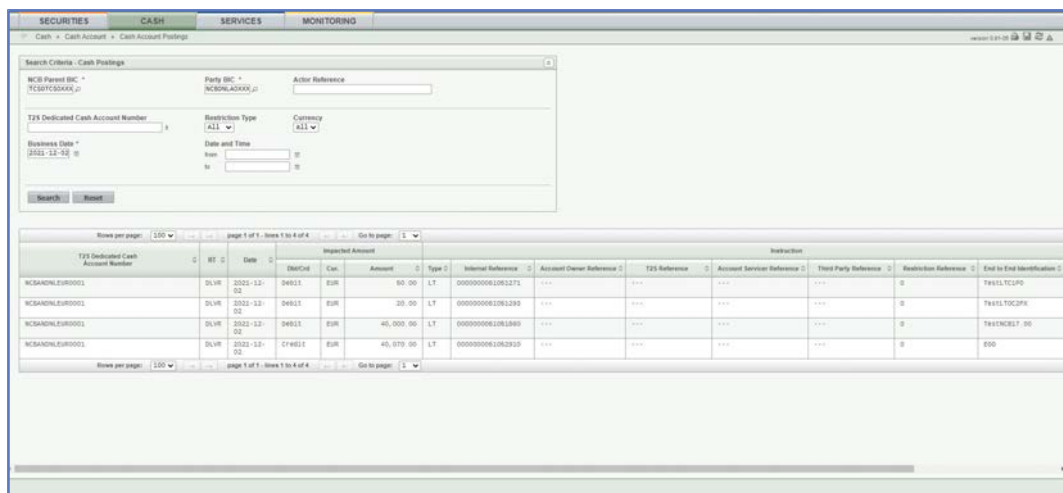


Illustration 77: T2S DCA postings – search/list screen

Field Description

Cash Postings - Search Criteria	
Actor Reference	<p>You can choose to enter one of the following references depending on whether you are querying on cash movements related to a settlement instruction or a liquidity transfer:</p> <ul style="list-style-type: none"> <input type="checkbox"/> For a settlement instruction: <ul style="list-style-type: none"> <input type="checkbox"/> Account Owner Reference <input type="checkbox"/> Account Servicer Reference <input type="checkbox"/> T2S Reference <input type="checkbox"/> Third Party Reference <input type="checkbox"/> For a liquidity transfer:

Cash Postings - Search Criteria	
	<ul style="list-style-type: none"> <input type="checkbox"/> End to End Identification <input type="checkbox"/> Technical Identifier of LT <p>If you fill in the Actor Reference, only Liquidity transfers or Settlement instructions related to this reference are returned,</p> <p>When the Actor reference is not found in SI or LT, the message “No record found” is displayed.</p>
Party BIC*	<p>You can choose to either enter the BIC of the party owning the cash account or to select it from the suggested items in the drop-down menu.</p> <p>If you have accessed this screen via the T2S DCA balance – details screen, this field is already filled in.</p> <p>References for error messages [▶ 2649]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC072
NCB Parent BIC*	<p>You can choose to either enter the BIC of the CB where the above party holds the cash account or to select it from the suggested items in the drop-down menu. If you have accessed this screen via the T2S DCA balance – details screen, this field is already filled in.</p> <p>Reference for error message [▶ 2649]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC072
T2S Dedicated Cash Account Number	<p>Select the number of the T2S dedicated cash account from the drop-down menu. The default value is ‘All’.</p> <p>If there is only one possible cash account, this field is read-only.</p> <p>If you have accessed this screen via the T2S DCA balance – details screen, this field is already filled in.</p> <p>Reference for error message [▶ 2649]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC072
Restriction Type	<p>You can choose to either enter the restriction type of the cash posting or to select it from the suggested items in the drop-down menu.</p>

Cash Postings - Search Criteria	
	<p>If you select the value 'All', the postings of all restriction types are displayed in the list.</p> <p>If you have accessed this screen via the T2S DCA balance – details screen, this field is already filled in.</p> <p>Reference for error message [▶ 2649]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC091
Currency	<p>Select the currency of the T2S dedicated cash account from the drop-down menu. The default value is 'All'.</p> <p>If you have accessed this screen via the T2S DCA balance – details screen, this field is already filled in.</p> <p>References for error messages [▶ 2649]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC054 <input type="checkbox"/> QMPC072
Date*	<p>Enter the date of the cash postings or use the <i>calendar</i> icon.</p> <p>The default value is the current business day.</p> <p>Required format is: YYYY-MM-DD</p> <p>The 'Date' must be a valid business date earlier than or equal to the current business date.</p> <p>If you have accessed this screen via the T2S DCA balance – details screen, this field is already filled in.</p> <p>References for error messages [▶ 2649]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC016 <input type="checkbox"/> QMPC073
Date and Time from	<p>Enter the starting date and time of the cash postings or use the <i>calendar</i> icon.</p> <p>Required format is: YYYY-MM-DD hh:mm</p> <p>The 'Date and Time from' must be a valid business date earlier than or equal to the current business date and time.</p> <p>Reference for error message [▶ 2649]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC073
Date and Time to	<p>Enter the ending date and time of the cash postings or use the <i>calendar</i> icon.</p> <p>Required format is: YYYY-MM-DD hh:mm</p>

Cash Postings - Search Criteria	
	The 'Date and Time to' must be a valid business date earlier than or equal to the current business date and time. The date and time must be equal to or later than the 'Date and Time from'.
Cash Postings - List	
T2S Dedicated Cash Account Number	Shows the number of the T2S dedicated cash account of the cash posting. Reference for error message [▶ 2649]: <input type="checkbox"/> QMPC072
Restriction Type	Shows the restriction type of the cash posting. Reference for error message [▶ 2649]: <input type="checkbox"/> QMPC091
Date	Shows the date of the cash posting. Displayed format is: YYYY-MM-DD References for error messages [▶ 2649]: <input type="checkbox"/> QMPC016 <input type="checkbox"/> QMPC073
Impacted Amount	
Debit/Credit Indicator	Shows the debit/credit indicator related to the cash posting from the possible values: <input type="checkbox"/> D (for debit) <input type="checkbox"/> C (for credit)
Currency	Shows the currency of the T2S dedicated cash account. References for error messages [▶ 2649]: <input type="checkbox"/> QMPC054 <input type="checkbox"/> QMPC072
Amount	Shows the amount of the cash posting.
Instruction	
Account Owner Reference	Shows the Account Owner Transaction Identification of the instruction related to the cash posting.
T2S Reference	Shows the Market Infrastructure Transaction Identification of the instruction related to the cash posting.
Account Servicer Reference	Shows the Account Servicer Transaction Identification of the instruction related to the cash posting

Cash Postings - Search Criteria	
Third Party Reference	Shows the Processing Identification of the instruction related to the cash posting.
End to End Id	Shows the End to End Identification of the Liquidity Transfer related to the cash posting.
Technical Id	Shows the Technical Identifier of the Liquidity Transfer related to the cash posting.
Type	Shows the type of instruction related to the cash posting from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> LT (for liquidity transfer) <input type="checkbox"/> SI (for settlement instruction) <input type="checkbox"/> SR (for settlement restriction)
Internal Reference	Shows the T2S internal reference of the instruction related to the cash posting.
Restriction Reference	Shows the restriction reference related to the cash posting.
Date and Time	Shows the date and time of the cash posting. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs

Buttons

Buttons	
Search	<p>This function enables you to start a search according to the criteria entered. The results are displayed in a list on the same screen.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> T2S DCA postings – search/list screen <p>References for error messages [▶ 2649]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC016 <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC054 <input type="checkbox"/> QMPC072 <input type="checkbox"/> QMPC073 <input type="checkbox"/> QMPC091
Reset	<p>This function enables you to set default search criteria and blanks out all optional criteria.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> T2S DCA postings – search/list screen

2.3.1.13 Total Collateral Values per T2S Dedicated Cash Account - Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for the total collateral value of securities on stock which are eligible for auto-collateralisation for those T2S dedicated cash accounts that match the specified selection criteria. The search results will be displayed in a list, which is sorted by the values of the 'Party BIC' column in ascending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.

The screen can be used in the following contexts:

- CB users (CB as credit provider) can view the total collateral value of securities associated to the T2S dedicated cash accounts of their payment banks. This is the collateral value that payments banks can use as a guarantee for central bank collateralisation.
- Payment bank users (payment bank as credit consumer) can view the total collateral value of their own securities associated to their T2S dedicated cash accounts. This is the collateral value that the payment bank can use as a guarantee for central bank collateralisation.
- Payment bank users (payment bank as credit provider) can view the total collateral value of the clients' securities associated to the payment bank's T2S dedicated cash accounts. This is the collateral value that the payment bank's clients can use as a guarantee for client collateralisation.
- Payment bank client users (payment bank client as credit consumer) can view the total collateral value of their securities associated to the T2S dedicated cash account of their payment bank. This is the collateral value that the payment bank's client can use as a guarantee for client collateralisation.

There is no usage restriction for this screen.

Screen Access

- Cash >> Cash Account >> Total Collateral Values (T2S DCA)
- Securities >> Securities Account >> Total Collateral Values (T2S DCA)

Privileges

To use this screen, you need the following privilege [[▶ 1945](#)]:

- Total collateral value per T2S dedicated cash account query

References

User Instructions Part

This screen is not part of a business scenario.

Business Functionality Document

This screen corresponds to the following business functions:

- Query total current collateral value (per T2S dedicated cash account) (T2S.GUI.LMSE.CSH.0090)

- Display total current collateral value (per T2S dedicated cash account) (T2S.GUI.LMSE.CSD.0100)

Screenshot

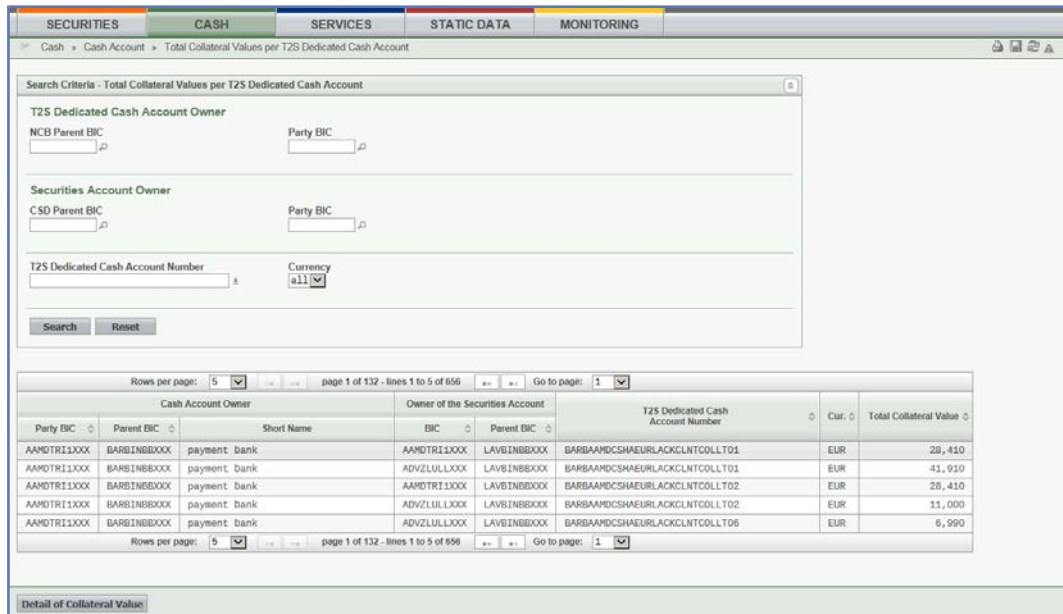


Illustration 78: Total collateral value per T2S dedicated cash account – search/list screen

Field Description

Total Collateral Value per T2S Dedicated Cash Account - Search Criteria	
T2S Dedicated Cash Account Owner	
Party BIC	Select the BIC of the party owning the T2S dedicated cash account from the drop-down menu or search via the <i>magnifier</i> icon. Reference for error message: [▶ 2651] <input type="checkbox"/> QMPC032
NCB Parent BIC	Select the BIC of the national central bank which is the parent of the party owning the T2S dedicated cash account from the drop-down menu or search via the <i>magnifier</i> icon. Reference for error message: [▶ 2651] <input type="checkbox"/> QMPC032
Securities Account Owner	
Party BIC	Select the BIC of the party owning the securities account from the drop-down menu or search via the <i>magnifier</i> icon. References for error messages: [▶ 2651] <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC084

Total Collateral Value per T2S Dedicated Cash Account - Search Criteria	
CSD Parent BIC	Select the BIC of the CSD which is the parent of the party owning the securities account from the drop-down menu or search via the <i>magnifier</i> icon. References for error messages: [▶ 2651] <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC084
T2S Dedicated Cash Account Number	Select the number of the T2S dedicated cash account from the drop-down menu. If there is only one possible T2S dedicated cash account for your privileges, this field is already filled in. Reference for error message: [▶ 2651] <input type="checkbox"/> QMPC031
Currency	Select the currency of the T2S dedicated cash account(s) from the drop-down menu. The default value is 'all'. Reference for error message: [▶ 2651] <input type="checkbox"/> QMPC054
Total Collateral Value per T2S Dedicated Cash Account - List	
Cash account owner	
Party BIC	Shows the BIC of the party owning the T2S dedicated cash account. Reference for error message: [▶ 2651] <input type="checkbox"/> QMPC032
Parent BIC	Shows the BIC of the national central bank which is the parent of the party owning the T2S dedicated cash account. Reference for error message: [▶ 2651] <input type="checkbox"/> QMPC032
Party Short Name	Shows the short name of the party owning the T2S dedicated cash account.
T2S Dedicated Cash Account Number	Shows the number of the T2S dedicated cash account. Reference for error message: [▶ 2651] <input type="checkbox"/> QMPC031
Currency	Shows the currency of the T2S dedicated cash account. Reference for error message: [▶ 2651]

Total Collateral Value per T2S Dedicated Cash Account - Search Criteria	
	<input type="checkbox"/> QMPC054
Securities Account Owner	
Party BIC	Shows the BIC of the securities account owner of the securities account that holds the valuated securities
Parent BIC	Shows the parent BIC of the securities account owner
Total Collateral Value	Shows the collateral value of the aggregated securities positions per T2S dedicated cash account. Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.

Buttons

Search	<p>This function enables you to start a search according to the criteria entered. The results are displayed in a list on the same screen.</p> <p>If the search retrieves a single record, the <i>details</i> screen is displayed directly.</p> <p>References for error messages: [▶ 2651]</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC031 <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC054 <input type="checkbox"/> QMPC084 <p>Next screens:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Total collateral value per T2S dedicated cash account – search/list screen</i> <input type="checkbox"/> <i>Collateral value per T2S dedicated cash account and security – search/list screen [▶ 353]</i>
Reset	<p>This function enables you to set default search criteria and blanks out all optional criteria.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Total collateral value per T2S dedicated cash account – search/list screen</i>
Detail of Collateral Value	<p>This function enables you to display the details of the collateral value for the selected T2S dedicated cash account.</p> <p>Next screen:</p>

- Collateral value per T2S dedicated cash account and security – search/list screen [▶ 353]*

2.3.2 Liquidity

2.3.2.1 Data Changes - Search/List Screen

See *Data Changes – Search/List Screen* [▶ 616].

2.3.2.2 Data Change - Details Screen

See *Data Changes – Details Screen* [▶ 620].

2.3.2.3 Immediate Liquidity Transfers - Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for immediate liquidity transfer orders. The search results will be displayed in a list. If you search via 'Parent BIC' or 'Party BIC', the list will be sorted by the values of the 'T2S Dedicated Cash Account Number' column in ascending order (default setting). If you search via another search parameter, the list will be sorted by the values of the 'Settlement Date and Time' column in ascending order (default setting). After the initial sorting, you have the possibility to rearrange the list according to your preferences by selecting another 'Sort by'. After selecting an entry, you can proceed further by clicking on the buttons below.

This screen is not relevant for CSD, CSD participants and external CSD users.

Screen Access

- Cash >> Liquidity >> Immediate Liquidity Transfers

Privileges

To use this screen, you need the following privileges [▶ 1925]:

- Immediate liquidity transfer order list query
- Immediate liquidity transfer order detail query

References

User Instructions Part

This screen is part of the following business scenarios:

- Create a new immediate liquidity transfer order
- Copy an immediate liquidity transfer order [▶ 1286]
- View immediate liquidity transfer order details [▶ 1291]

Business Functionality Document

This screen corresponds to the following business functions:

- Query immediate liquidity transfer instructions (T2S.GUI.LMSE.INX.0010)
- Display immediate liquidity transfer instructions list (T2S.GUI.LMSE.INX.0020)

Screenshot

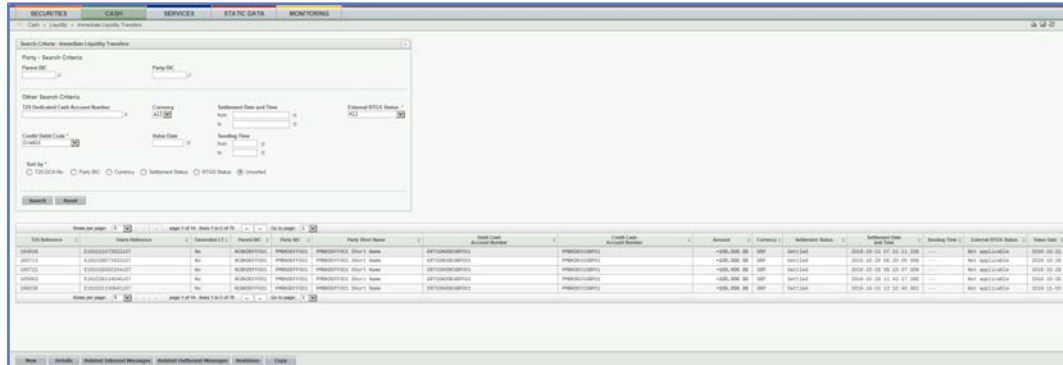


Illustration 79: Immediate liquidity transfer orders - search/list screen

Field Description

Immediate Liquidity Transfer Orders – Search Criteria	
Party	
Parent BIC	Select the parent BIC of the party. References for error messages [▶ 2517]: <input type="checkbox"/> QMPC072 <input type="checkbox"/> QMPC084
Party BIC	Select the BIC of the party. References for error messages [▶ 2517]: <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC072 <input type="checkbox"/> QMPC084
Other Search Criteria	
T2S Dedicated Cash Account Number	Select the T2S dedicated cash account which has been debited or credited from the drop-down menu. The default value is 'All'. References for error messages [▶ 2517]: <input type="checkbox"/> QMPC031 <input type="checkbox"/> QMPC072 If you have the privilege for only one T2S dedicated cash account, this field is already filled in.
Debit/Credit Indicator*	Select the credit/debit code from the possible values: <input type="checkbox"/> Credit <input type="checkbox"/> Credit and Debit (default value)

Immediate Liquidity Transfer Orders – Search Criteria	
	<input type="checkbox"/> Debit Select credit if you want to search for liquidity transfer orders which have credited a T2S dedicated cash account. Select debit if you want to search for liquidity transfer orders which have debited a T2S dedicated cash account.
Currency	Select the currency assigned to the T2S dedicated cash account from the drop-down menu. The default value is 'all'. References for error messages [▶ 2517]: <input type="checkbox"/> QPMC054 <input type="checkbox"/> QPMC072 If you have first selected the 'T2S Dedicated Cash Account Number', this field is already filled in.
Settlement Date and Time - from	Enter the date and time from which the liquidity transfer is settled or use the <i>calendar</i> icon. Required format is: YYYY-MM-DD hh:mm:ss References for error messages [▶ 2517]: <input type="checkbox"/> QMPC015 <input type="checkbox"/> QMPC016 The 'Settlement Date and Time – from' cannot be earlier than 90 days before the current business day and must be earlier than or equal to the 'Settlement Date and Time – to'.
Settlement Date and Time - to	Enter the date and time until which the liquidity transfer is settled or use the <i>calendar</i> icon. Required format is: YYYY-MM-DD hh:mm:ss References for error messages [▶ 2517]: <input type="checkbox"/> QMPC015 <input type="checkbox"/> QMPC016 <input type="checkbox"/> QMPC097 The 'Settlement Date and Time - to' cannot be earlier than 90 days before the current business day and must be earlier than or equal to the current business day.
Value Date	Enter the value date of the liquidity transfer or use the <i>calendar</i> icon.

Immediate Liquidity Transfer Orders – Search Criteria	
	<p>Required format is: YYYY-MM-DD</p> <p>The 'Value Date' cannot be earlier than 90 days before the current business day or later than the current business day.</p> <p>If you do not select a date, this field is filled in with the current business day.</p>
Sending Time - from	<p>Enter the time from which the outbound liquidity transfer was sent to the RTGS.</p> <p>Required format is: hh:mm:ss</p>
Sending Time - to	<p>Enter the time until which the outbound liquidity transfer was sent to the RTGS.</p> <p>Required format is: hh:mm:ss</p>
External RTGS Status*	<p>Select the external RTGS status from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Not executed <input type="checkbox"/> Not applicable (default value) <input type="checkbox"/> RTGS rejection <input type="checkbox"/> RTGS confirmation <input type="checkbox"/> Rebooking{CR-808}
Sort by	<p>Select the category by which you want to sort the list from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> T2S DCA No. (default value if you have searched via 'Parent BIC' or 'Party BIC') <input type="checkbox"/> Party BIC <input type="checkbox"/> Currency <input type="checkbox"/> Settlement status <input type="checkbox"/> External RTGS status <input type="checkbox"/> Unsorted (Default value if you have searched via the other search parameters. Unsorted means that the list is sorted by the values of the 'Settlement Date and Time' column.)
Immediate Liquidity Transfer Orders - List ¹⁵	
T2S Dedicated Cash Account Number	Shows the T2S dedicated cash account which has been debited or credited.

15 Please note that the described functionality won't be available before CSLD go-live.

Immediate Liquidity Transfer Orders – Search Criteria	
	<p>References for error messages [▶ 2517]:</p> <ul style="list-style-type: none"><input type="checkbox"/> QMPC031<input type="checkbox"/> QMPC072 <p>If you sort the list by that value, the screen is divided into different sections grouped by T2S dedicated cash account numbers.</p>
Party BIC	<p>Shows the BIC of the party.</p> <p>References for error messages [▶ 2517]:</p> <ul style="list-style-type: none"><input type="checkbox"/> QMPC032<input type="checkbox"/> QMPC072<input type="checkbox"/> QMPC084 <p>If you sort the list by that value, the screen is divided into different sections grouped by party.</p>
Currency	<p>Shows the currency of the amount to be transferred.</p> <p>References for error messages [▶ 2517]:</p> <ul style="list-style-type: none"><input type="checkbox"/> QPMC054<input type="checkbox"/> QPMC072 <p>If you sort the list by that value, the screen is divided into different sections grouped by currency.</p>
Settlement Status	<p>Shows the settlement status of liquidity transfers.</p> <p>If the liquidity transfer is internal or outbound, it shows the settlement status from the possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> Not executed (SNXE)<input type="checkbox"/> Unsettled (SUNS)<input type="checkbox"/> Partially settled (SPAS)<input type="checkbox"/> Settled (SSET) <p>If the liquidity transfer is inbound, it shows the settlement status from the possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> Not executed<input type="checkbox"/> Settled <p>If you sort the list by that value, the screen is divided into different sections grouped by settlement status.</p>
External RTGS Status	<p>Shows the external RTGS status of the liquidity transfer from the possible values:</p>

Immediate Liquidity Transfer Orders – Search Criteria	
	<input type="checkbox"/> Not executed (RNXE) <input type="checkbox"/> Not applicable (RNAP) <input type="checkbox"/> RTGS rejection (RREJ) <input type="checkbox"/> RTGS confirmation (RCON) <p>If the liquidity transfer is internal, this field is always filled in with 'not applicable'.</p> <p>If you sort the list by that value, the screen is divided into different sections grouped by settlement status.</p>
T2S Reference	Shows the unique identification assigned by T2S to the respective immediate liquidity transfer.
Settlement Date and Time	Shows the date and time when the immediate liquidity transfer was settled. Displayed format is: YYYY-MM-DD hh:mm:ss References for error messages [▶ 2517]: <input type="checkbox"/> QMPC015 <input type="checkbox"/> QMPC016 <input type="checkbox"/> QMPC097
Parent BIC	Shows the BIC of the CB that operates the T2S dedicated cash account. References for error messages [▶ 2517]: <input type="checkbox"/> QMPC072 <input type="checkbox"/> QMPC084
T2S Dedicated Cash Account Number Debit	Shows the cash account to be debited. If the liquidity transfer is internal or outbound, the cash account is a T2S dedicated cash account. If the liquidity transfer is inbound, the cash account is an External RTGS account, Main Cash Account, RTGS DCA, CLM CB Account, RTGS CB Account or TIPS Account If you sort the list by that value, the screen is divided into different sections grouped by debit cash account numbers.
T2S Dedicated Cash Account Number Credit	Shows the cash account to be credited. If the liquidity transfer is internal or inbound, the cash account is a T2S dedicated cash account.

Immediate Liquidity Transfer Orders – Search Criteria	
	<p>If the liquidity transfer is outbound, the cash account is an External RTGS account, Main Cash Account, RTGS DCA, CLM CB Account, RTGS CB Account or TIPS Account.</p> <p>If you sort the list by that value, the screen is divided into different sections grouped by credit cash account numbers.</p>
Amount	<p>Shows the amount in respect of the settlement status.</p> <p>If the settlement status is set to 'Not executed' or 'Unsettled', the instructed amount is displayed.</p> <p>If the settlement status is set to 'Partially settled' or 'Settled', the transferred amount is displayed.</p>
Interface Sending Date and Time	<p>Shows the time when the outbound liquidity transfer was sent to the RTGS.</p> <p>Displayed format is: hh:mm:ss</p>
Value Date	<p>Shows the value date of the liquidity transfer.</p> <p>Displayed format is: YYYY-MM-DD</p>

Buttons

Buttons	
Search	<p>This function enables you to start a search according to the criteria entered. The results are displayed in a list on the same screen.</p> <p>If the search retrieves a single record, the <i>details</i> screen is displayed directly.</p> <p>Next screens:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Immediate liquidity transfer orders – search/list</i> screen <input type="checkbox"/> <i>Immediate liquidity transfer order – details</i> screen [▶ 390] <p>References for error messages [▶ 2517]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC015 <input type="checkbox"/> QMPC031 <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC054 <input type="checkbox"/> QMPC072 <input type="checkbox"/> QMPC084

Reset	<p>This function enables you to set default search criteria and blanks out all optional criteria.</p> <p>Next screen:</p> <ul style="list-style-type: none"><input type="checkbox"/> <i>Immediate liquidity transfer orders – search/list</i> screen
Details	<p>This function enables you to display the details of the selected immediate liquidity transfer.</p> <p>Next screen:</p> <ul style="list-style-type: none"><input type="checkbox"/> <i>Immediate liquidity transfer order – details</i> screen [▶ 390]
New	<p>This function enables you to create a new immediate liquidity transfer.</p> <p>Next screen:</p> <ul style="list-style-type: none"><input type="checkbox"/> <i>Immediate liquidity transfer order – new</i> screen [▶ 395]
Related Inbound Messages	<p>This function enables you to display the inbound messages related to the selected immediate liquidity transfer.</p> <p>Next screens:</p> <ul style="list-style-type: none"><input type="checkbox"/> <i>Inbound messages – search/list</i> screen [▶ 637] (if the immediate liquidity transfer is linked to more than one inbound message)<input type="checkbox"/> <i>Inbound message – details</i> screen [▶ 644] (if the immediate liquidity transfer is linked to one inbound message only)
Related Outbound Messages	<p>This function enables you to display the outbound messages related to the selected immediate liquidity transfer.</p> <p>Next screens:</p> <ul style="list-style-type: none"><input type="checkbox"/> <i>Outbound messages – search/list</i> screen [▶ 654] (if the immediate liquidity transfer is linked to more than one outbound message)<input type="checkbox"/> <i>Outbound message - details</i> screen [▶ 662] (if the immediate liquidity transfer is linked to one outbound message only)
Revisions	<p>This function enables you to display the revisions of the selected immediate liquidity transfer order.</p> <p>Next screen:</p> <ul style="list-style-type: none"><input type="checkbox"/> <i>Revisions/audit trail - list</i> screen

Copy	This function enables you to copy fields to create a new immediate liquidity transfer order with the same attributes. Next screen: <input type="checkbox"/> <i>Immediate liquidity transfer order – new screen</i> [395]
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2.3.2.4 Immediate Liquidity Transfer - Details Screen

Context of Usage

This screen displays detailed information on the selected liquidity transfer. You can check the data and proceed further by clicking on the buttons below.

This screen is not relevant for CSD participants and external CSD users.

Screen Access

Cash >> Liquidity >> Immediate Liquidity Transfers >> *Immediate liquidity transfer orders – search/listscreen* >> Click on the *search* and/or *details* button

Privileges

To use this screen, you need the following privileges [▶ 1924]:

- Immediate liquidity transfer order detail query
- Immediate liquidity transfer order audit trail list query

References

User Instructions Part

This screen is part of the following business scenario:

- View immediate liquidity transfer order details [▶ 1291]

Business Functionality Document

This screen corresponds to the following business function:

- Display immediate liquidity transfer instruction details (T2S.GUI.LMSE.INX.0030)

Screenshot

SECURITIES	CASH	SERVICES	STATIC DATA	MONITORING
Cash > Liquidity > Immediate Liquidity Transfers > Immediate Liquidity Transfer Details				
General Information				
Instructed Settlement Amount 100,000.00	Currency GBP	Debit Cash Account Number ERTGSN0DEGBP001	Value Date 2016-10-21	
Transferred Settlement Amount 100,000.00	Settlement Date and Time 2016-10-21 07:31:11.228	Credit Cash Account Number PMBKDED1GBP01	Sending Time ---	
Technical Information				
T2S Reference 164508	Users Reference E161021072922z07	Generated LT No		
Party Information				
Party Parent BIC ---	Party BIC ---	Short Name ---		
Current Status Information				
Settlement Status Settled	Settlement Date and Time 2016-10-21 07:31			
External RTGS Status Not applicable	External RTGS Status Date and Time 2016-10-21 07:31			
Status History Information				
Date and Time	Status Type	Status Value	User Identification	
2016-10-21 07:31	Settlement Status	Settled	601054	
2016-10-21 07:31	Approval Status	Approved	601054	
2016-10-21 07:31	RTGS Status	Not Applicable	601054	
2016-10-21 07:31	Settlement Status	Not Executed	601054	
Rejection Reasons				
<input type="button" value="Copy"/> <input type="button" value="New"/> <input type="button" value="Related Inbound Messages"/> <input type="button" value="Related Outbound Messages"/> <input type="button" value="Revisions"/>				

Illustration 80: Immediate liquidity transfer - details screen

Field

Description

General Information	
Instructed Settlement Amount	Shows the amount originally stated in the settlement instruction.
Transferred Settlement Amount	Shows the total amount settled.
Currency	Shows the currency assigned to the T2S dedicated cash account.
Settlement Date and Time	Shows the date and time of the settlement. Displayed format is: YYYY-MM-DD hh:mm:ss
T2S Dedicated Cash Account Number Debit	Shows the T2S dedicated cash account to be debited.

General Information	
T2S Dedicated Cash Account Number Credit	Shows the T2S dedicated cash account to be credited.
Value Date	Shows the value date of the immediate liquidity transfer. Displayed format is: YYYY-MM-DD
Sending Time	Shows the time when the liquidity transfer was sent to the middleware. Displayed format is: hh:mm:ss If the liquidity transfer is internal, this field is not filled.
Technical Information	
T2S Reference	Shows the identification of the liquidity transfer assigned by T2S.
User Reference	Shows the identification of the liquidity transfer assigned by the T2S actor.
T2S generated	Shows whether a liquidity transfer was generated by T2S from the possible values: <input type="checkbox"/> No (i.e. immediate liquidity transfer) <input type="checkbox"/> Yes (i.e. standing or predefined order) If the value is set to 'Yes', this field also contains the standing or predefined order identification.
Party Information	
Parent BIC	Shows the parent BIC of the party.
Party BIC	Shows the BIC of the party.
Party Short Name	Shows the short name of the party.
Current Status Information	
Settlement Status	Shows the current settlement status of internal, inbound and outbound liquidity transfers from the possible values: <input type="checkbox"/> Settled (for inbound liquidity transfers this is the only possible value) <input type="checkbox"/> Partially settled <input type="checkbox"/> Unsettled <input type="checkbox"/> Not executed
Settlement Date and Time	Shows the date and time of the respective settlement status.

General Information	
	Displayed format is: YYYY-MM-DD hh:mm:ss
External RTGS Status	<p>Shows the current RTGS status of the instruction from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> RTGS rejection <input type="checkbox"/> RTGS confirmation <input type="checkbox"/> Not executed <input type="checkbox"/> Not applicable <input type="checkbox"/> Rebooking{CR-808} <p>If the liquidity transfer is internal, this field is always filled in with 'Not applicable'.</p>
External RTGS Status Date and Time	<p>Shows the date and time of the respective RTGS status.</p> <p>Displayed format is: YYYY-MM-DD hh:mm:ss</p>
Status History Information	
Date and Time	<p>Shows the date and time when the status was stored.</p> <p>Displayed format is: YYYY-MM-DD hh:mm:ss</p>
Status Type	<p>Shows all status types in a chronological order from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Settlement Status <input type="checkbox"/> RTGS Status <input type="checkbox"/> Approval Status <p>Each row represents one status.</p>
Status Value	<p>Shows for each status type all status values in a chronological order.</p> <p>If the status type is set to 'SETT' for internal and outbound liquidity transfers, this field shows the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Settled <input type="checkbox"/> Partially settled <input type="checkbox"/> Unsettled <input type="checkbox"/> Not executed <p>If the status type is set to 'SETT' for inbound liquidity transfers, this field always shows the status 'Settled'.</p> <p>If the status type is set to 'RTGS' for outbound liquidity transfers, this field shows the possible values:</p>

General Information	
	<ul style="list-style-type: none"> <input type="checkbox"/> RTGS rejection <input type="checkbox"/> RTGS confirmation <input type="checkbox"/> Not executed <p>If the status type is set to 'APPR' for internal and outbound liquidity transfers, this field shows the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Awaiting approval <input type="checkbox"/> Approved <input type="checkbox"/> Revoked <input type="checkbox"/> Rejected <input type="checkbox"/> Not applicable <p>Each row represents one status.</p>
User Identification	<p>Shows the user identification of those users who changed the status type 'Approval Status', if the liquidity transfer was sent via U2A and in 4-eyes mode.</p> <p>Each row represents one status.</p>
Rejection Reasons	
Date and Time	<p>Shows the date and time of the rejection.</p> <p>Displayed format is: YYYY-MM-DD hh:mm:ss</p> <p>This field is only available if the liquidity transfer was rejected.</p>
Rejection Code	<p>Shows the rejection code in respect of the rejection.</p> <p>This field is only available if the liquidity transfer was rejected.</p>
Rejection Description	<p>Shows the error description according to reference data and business rules.</p> <p>This field is only available if the liquidity transfer was rejected.</p>

Buttons

Copy	<p>This function enables you to copy fields to create a new immediate liquidity transfer order with the same attributes.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Immediate liquidity transfer order – new screen</i> [395]
New	<p>This function enables you to create a new immediate liquidity transfer order.</p>

	<p>Next screen:</p> <ul style="list-style-type: none"> □ <i>Immediate liquidity transfer order – new screen</i> [395]
Related Inbound Messages	<p>This function enables you to display the inbound messages related to the selected inbound file.</p> <p>Next screens:</p> <ul style="list-style-type: none"> □ <i>Inbound messages - search/list screen</i> [▶ 637] (if the inbound file is linked to more than one inbound message) □ <i>Inbound message – details screen</i> [▶ 644] (if the inbound file is linked to one inbound message only)
Related Outbound Messages	<p>This function enables you to display the outbound messages related to the selected outbound file.</p> <p>Next screens:</p> <ul style="list-style-type: none"> □ <i>Outbound messages – search/list screen</i> [654] (if the outbound file is linked to more than one outbound message) □ <i>Outbound message – details screen</i> [662] (if the outbound file is linked to one outbound message only)
Revisions	<p>This function enables you to display the revisions of the selected immediate liquidity transfer order.</p> <p>Next screen:</p> <ul style="list-style-type: none"> □ <i>Revisions/audit trail - list screen</i> [▶ 670]

2.3.2.5 Immediate Liquidity Transfer - New Screen

Context of Usage

This screen contains a number of fields regarding immediate liquidity transfers. You can enter data to create a new internal or outbound liquidity transfer. Afterwards you can proceed further by clicking on the buttons below.

You can use this screen either in 2-eyes or in 4-eyes mode.

This screen is not relevant for CSD, CSD participants and external CSD users.

Screen Access

- Cash >> Liquidity >> Immediate Liquidity Transfers >> *Immediate liquidity transfer orders – search/list screen* >> Click on the *new* or *copy* button
- Cash >> Liquidity >> Immediate Liquidity Transfers >> *Immediate liquidity transfer orders – search/list screen* >> Click on the *search* and/or *details* button >> *Immediate liquidity transfer order – details screen* >> Click on the *new* or *copy* button

- Services >> Additional Services >> Data Changes >> *Data changes – search/list* screen >> Click on the *copy* button
- Securities >> Settlement >> Data Changes >> *Data changes – search/list* screen >> Click on the *copy* button
- Cash >> Liquidity >> Data Changes >> *Data changes – search/list* screen >> Click on the *copy* button
- Services >> Additional Services >> Data Changes >> *Data changes – search/list* screen >> Click on the *search* and/or *details* button >> *Data change – details* screen >> Click on the *copy* button
- Securities >> Settlement >> Data Changes >> *Data changes – search/list* screen >> Click on the *search* and/or *details* button >> *Data change – details* screen >> Click on the *copy* button
- Cash >> Liquidity >> Data Changes >> *Data changes – search/list* screen >> Click on the *search* and/or *details* button >> *Data change – details* screen >> Click on the *copy* button

Privileges To use this screen, you need the following privilege [▶ 1924]:

- Send new liquidity transfer

References **User Instructions Part**

This screen is part of the following business scenarios:

- Create a new immediate liquidity transfer order
- Copy an immediate liquidity transfer order [▶ 1286]

Business Functionality Document

This screen corresponds to the following business function:

- Add immediate liquidity transfer instruction (T2S.GUI.LMSE.INX.0040)

Screenshot

Illustration 81: Immediate liquidity transfer order - new screen

Field

Description

Immediate Liquidity Transfer	
Debit Cash Account	
T2S Dedicated Cash Account Number*	Select the T2S dedicated cash account to be debited. References for error messages [2513]: <input type="checkbox"/> LLCI002 <input type="checkbox"/> LLCI006 <input type="checkbox"/> LLCI007 <input type="checkbox"/> LLCI008 <input type="checkbox"/> LLCI015 <input type="checkbox"/> LLCP001 If you only have the privilege for one T2S dedicated cash account, this field is already filled in.
T2S Dedicated Cash Account Owner Debit	Shows the BIC of the account owner. If you have first selected the 'T2S Dedicated Cash Account Number', this field is already filled in.
Liquidity Transfer	
User Reference*	Enter the identification of the immediate liquidity transfer. Required format is: max. 35 characters (SWIFT-x-red) References for error messages [2513]: <input type="checkbox"/> LLCI007

Immediate Liquidity Transfer	
	<input type="checkbox"/> LLCI016 <input type="checkbox"/> LLCI017 <p>This field is automatically filled with the current date and time and the first two digits of the user identification.</p> <p>You can edit the prefilled identification and insert your specific identification.</p> <p>For any non EURO Liquidity Transfer the required format is max. 16 characters (SWIFT-x-red)</p>
Creditor BIC	This field can be filled for outbound Liquidity Transfers towards TIPS. The required format is BIC-11. No additional Business Validations on this field.
Debtor BIC	This field must be filled for outbound Liquidity Transfers towards TIPS. The required format is BIC-11. No additional Business Validations on this field.
Amount*	<p>Enter the amount of liquidity to be transferred.</p> <p>Required format is: max. 15 digits incl. decimal point</p> <p>References for error messages [2513]:</p> <input type="checkbox"/> LLCI005 <input type="checkbox"/> LLCI006 <input type="checkbox"/> LLCI007 <input type="checkbox"/> LLCI012 <input type="checkbox"/> LLCI014 <input type="checkbox"/> LLCI015 <p>The currency is automatically filled in, according to the 'T2S Dedicated Cash Account Number', next to the 'Amount' field.</p>
Credit Cash Account	
Outbound Liquidity Transfer	<p>Select if you want to enter an outbound liquidity transfer.</p> <p>You have to select one field among 'Outbound Liquidity Transfer' and 'Internal Liquidity Transfer'.</p>
Internal Liquidity Transfer	<p>Select if you want to enter an internal liquidity transfer.</p> <p>You have to select one field among 'Internal Liquidity Transfer' and 'Outbound Liquidity Transfer'.</p>

Immediate Liquidity Transfer	
External Account Number*	<p>You can choose to either enter the external RTGS account, Main Cash Account, RTGS DCA or TIPS DCA (including CB accounts) ¹⁶ to be credited for the outbound liquidity transfer or to select it from the suggested items in the drop-down menu.</p> <p>Required format is: max. 35 characters (SWIFT-x)</p> <p>The default value is the RTGS account which is linked to the T2S dedicated cash account for the end-of-day liquidity transfer(for a currency not open in CLM) or the linked MCA ¹⁶.</p> <p>If you have selected 'Outbound Liquidity Transfer', this field is mandatory.</p> <p>If you have selected 'Internal Liquidity Transfer', this field is not available.</p> <p>References for error messages [▶ 2513]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> LLCI003 <input type="checkbox"/> LLCI006 <input type="checkbox"/> LLCI007 <input type="checkbox"/> LLCI008 <input type="checkbox"/> LLCI015
T2S Dedicated Cash Account Number*	<p>You can choose to either enter the T2S dedicated cash account to be credited for the outbound liquidity transfer or to select it from the suggested items in the drop-down menu.</p> <p>If you have selected 'Internal Liquidity Transfer', this field is mandatory.</p> <p>If you have selected 'Outbound Liquidity Transfer', this field is not available.</p> <p>References for error messages [▶ 2513]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> LLCI003 <input type="checkbox"/> LLCI006 <input type="checkbox"/> LLCI007 <input type="checkbox"/> LLCI008

16 Please note that the described functionality won't be available before CSLD go-live.

Immediate Liquidity Transfer	
<input type="checkbox"/>	LLCI010
<input type="checkbox"/>	LLCI015

Buttons

Submit	<p>This function enables you to create a new immediate liquidity transfer. Upon clicking on the <i>submit</i> button you will be asked to enter your PIN for digital signature purposes (NRO). With the entry of the PIN, T2S attaches a digital signature to the instruction entered by the T2S actor.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Immediate liquidity transfer order – new screen</i> <p>References for error messages [▶ 2513]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> LLCI002 <input type="checkbox"/> LLCI003 <input type="checkbox"/> LLCI005 <input type="checkbox"/> LLCI006 <input type="checkbox"/> LLCI007 <input type="checkbox"/> LLCI008 <input type="checkbox"/> LLCI009 <input type="checkbox"/> LLCI010 <input type="checkbox"/> LLCI012 <input type="checkbox"/> LLCI014 <input type="checkbox"/> LLCI015 <input type="checkbox"/> LLCI016
Reset	<p>This function enables you to set all fields to default value and blanks out all optional fields.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Immediate liquidity transfer order – new screen</i> [1924]
Cancel	<p>This function enables you to cancel the process and return to the previous screen.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Immediate liquidity transfer orders – search/list screen</i> [▶ 382]

2.3.2.6 Outstanding Auto-Collateralisation Credits - Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for the global and detailed outstanding auto-collateralisation credits for parties which are credit consumers, either as a payment bank obtaining credit from a central bank or as a client of a payment bank obtaining credit from its payment bank. The search results for the global outstanding auto-collateralisation credits for the selected party will be displayed on the same screen. Below this, the detailed search results for the outstanding auto-collateralisation credits per T2S dedicated cash account will be displayed in a list, which is sorted by the values of the 'T2S Dedicated Cash Account Number' column in ascending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.

The amount of outstanding auto-collateralisation refers to the limit utilisation of an auto-collateralisation limit. Payment bank users can see the outstanding auto-collateralisation credit obtained from their central bank on their T2S dedicated cash account, while clients of a payment bank can see the outstanding auto-collateralisation credit obtained from their payment bank related to the T2S dedicated cash account of their payment bank.

During night-time, the outstanding auto-collateralisation credits can only be displayed in-between night-time settlement sequences.

Screen Access

Cash >> Liquidity >> Outstanding Auto-Coll. Credit

Privileges

To use this screen, you need the following privilege [[▶ 1933](#)]:

Outstanding auto-collateralisation credit query

References

User Instructions Part

This screen is not part of a business scenario.

Business Functionality Document

This screen corresponds to the following business functions:

Query outstanding auto/client collateralisation credit (T2S.GUI.LMSE.CSH.0150)

Display outstanding auto/client collateralisation credit (T2S.GUI.LMSE.CSH.0160)

Screenshot

Cash » Liquidity » Outstanding Auto-Collateralisation

Search Criteria - Outstanding Auto-Coll. Credit

Parent BIC * [NCBKDEFF001] Party BIC * [PMBKDEFF001]

T2S Dedicated Cash Account Number Currency [EUR]

Search Reset

Outstanding Auto-Collateralisation Credit - Global

Credit Consumer

Party BIC [PMBKDEFF001] Parent BIC [NCBKDEFF001] Party Short Name [PMBKDEFF001 Short Name]

Currency [EUR] Total Limit [1,361,025.00] Total Outstanding Limit [0.00]

Rows per page: 10 page 1 of 1 - lines 1 to 7 of 7 Go to page: 1

T2S Dedicated Cash Account Number	Cur.	Limits	
		Limit Amount	Outstanding Limit
CSHANODEEU0001	EUR	250,025.00	0.00
CSHANODEEU0002	EUR	0.00	0.00
CSHANODEEU0010	EUR	100,000.00	0.00
CSHANODEEU0013	EUR	1,000,000.00	0.00
CSHANODEEU0017	EUR	10,000.00	0.00
CSHANODEEU0050	EUR	0.00	0.00
CSHANODEEURAB61a	EUR	1,000.00	0.00

Rows per page: 10 page 1 of 1 - lines 1 to 7 of 7 Go to page: 1

Journal of Limit Utilisation

Illustration 82: Outstanding auto-collateralisation credits – search/list screen

Field Descriptions

Outstanding Auto-collateralisation Credit – Search Criteria	
Credit Consumer	
Party BIC*	Select the party BIC of the credit consumer from the drop-down menu or search via the <i>magnifier</i> icon. Reference for error message [2573]: <input type="checkbox"/> QMPC084
Parent BIC*	Select the parent BIC of the credit consumer from the drop-down menu or search via the <i>magnifier</i> icon. If the credit consumer is a payment bank, this is the BIC of its CB. If the credit consumer is a client of a payment bank, this is the BIC of its CSD. Reference for error message [2573]: <input type="checkbox"/> QMPC084
T2S Dedicated Cash Account Number	Select the number of the T2S dedicated cash account from the drop-down menu.

Outstanding Auto-collateralisation Credit – Search Criteria	
	<p>The content of the drop-down menu depends on your access rights and privileges:</p> <ul style="list-style-type: none"> <input type="checkbox"/> If there is only one possible account, this field is read-only. <input type="checkbox"/> If the credit consumer is a payment bank, possible values are its T2S dedicated cash account(s). <input type="checkbox"/> If the credit consumer is the client of a payment bank, possible values are the T2S dedicated cash account(s) of its payment bank with which the client obtains auto-collateralisation credit. <p>Required format is: max. 34 characters (SWIFT-x)</p> <p>If you leave this field blank, the privileges and access rights of the requesting party are applied.</p> <p>At least one search criterion among 'T2S Dedicated Cash Account Number' and 'Currency' must be specified.</p> <p>Reference for error message [▶ 2573]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC031
Currency	<p>Select the currency of the outstanding auto-collateralisation credit from the drop-down menu. The default value is 'all'.</p> <p>At least one search criterion among 'T2S Dedicated Cash Account Number' and 'Currency' must be specified. If a T2S dedicated cash account is selected, the query disregards the currency.</p>
Outstanding Auto-collateralisation Credit - Global	
Credit Consumer	
Party BIC	<p>Shows the BIC of the credit consumer party.</p> <p>Reference for error message [▶ 2573]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC084
Parent BIC	<p>Shows the parent BIC of the credit consumer party.</p> <p>Reference for error message [▶ 2573]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC084
Party Short Name	Shows the short name of the credit consumer party.
Limits	
Currency	Shows the currency of the auto-collateralisation credit.

Outstanding Auto-collateralisation Credit – Search Criteria	
Total Limit	Shows the sum of the auto-collateralisation limits associated to the selected party and the selected T2S dedicated cash account. Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.
Total Outstanding Limit	Shows the sum of the auto-collateralisation limit utilisations associated to the selected party and the selected T2S dedicated cash account. Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.
Outstanding Auto-collateralisation Credit - List	
T2S Dedicated Cash Account Number	Shows the T2S dedicated cash account that the party can use. Reference for error message [▶ 2573]: <input type="checkbox"/> QMPC031
Currency	Shows the currency of the T2S dedicated cash account.
Limits	
Limit Amount	Shows the auto-collateralisation limit associated to the T2S dedicated cash account.
Outstanding Limit	Shows the utilisation of the auto-collateralisation limit associated to the T2S dedicated cash account.

Buttons

Search	This function enables you to start a search according to the criteria entered. The results are displayed in a list on the same screen. Next screen: <input type="checkbox"/> <i>Outstanding auto-collateralisation credits – search/list screen</i> References for error message [▶ 2573]s [▶ 2573]: <input type="checkbox"/> QMPC031 <input type="checkbox"/> QMPC084
Reset	This function enables you to set default search criteria and blanks out all optional criteria.

	<p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Outstanding auto-collateralisation credits – search/list screen</i>
Journal of Limit Utilisation	<p>This function enables you to display the journal of limit utilisation.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Limit utilisation journal – search/list screen</i> [▶ 362]

2.3.2.7 Overall Liquidity - Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for the overall liquidity available for a party. The search results will be displayed in an overview list.

After selecting an entry, you can proceed further by clicking on the buttons below.

This screen is not relevant for CSD, CSD participants and external CSD user.

During night-time, the overall liquidity overview and detailed lists can only be displayed in-between night-time settlement sequences.

Screen Access

Cash >> Liquidity >> T2S Overall Liquidity

Privileges

To use this screen, you need the following privilege [▶ 1933]:

T2S overall liquidity query

References

User Instructions Part

This screen is part of the following business scenario:

View overall liquidity [▶ 1293]

Business Functionality Document

This screen corresponds to the following business functions:

Query overall liquidity (T2S.GUI.LMSE.CSH.0070)

Display overall liquidity (T2S.GUI.LMSE.CSH.0080)

Screenshot

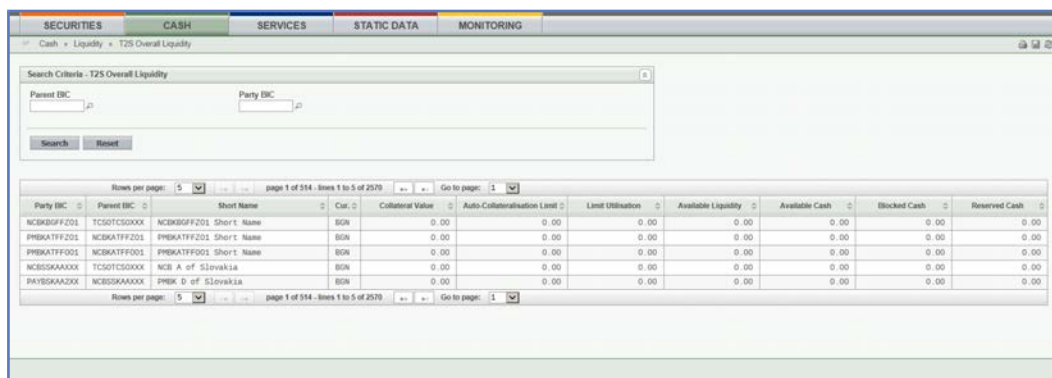


Illustration 83: Overall liquidity – search/list screen

Field Descriptions

Overall Liquidity – Search Criteria	
Parent BIC	<p>Select the parent BIC of the party from the drop-down menu or search via the <i>magnifier</i> icon.</p> <p>References for error messages [> 2574]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC048 <input type="checkbox"/> QMPC084 <p>If there is only one possible party parent BIC for your privileges, this field is already filled in.</p>
Party BIC	<p>Select the BIC of the party from the drop-down menu or search via the <i>magnifier</i> icon.</p> <p>References for error messages [> 2574]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC084 <p>If there is only one possible party BIC for your privileges, this field is already filled in.</p>
Overall Liquidity – Overview List	
Party BIC	<p>Shows the party BIC of the party owning the account.</p> <p>References for error messages [> 2574]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC084
Parent BIC	<p>Shows the parent BIC of the party owning the account.</p> <p>References for error messages [> 2574]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC048 <input type="checkbox"/> QMPC084
Party Short Name	<p>Shows the short name of the party owning the account.</p>
Currency	<p>Shows the currency of the T2S dedicated cash account.</p>

Overall Liquidity – Search Criteria	
Auto-Collateralisation Limit	Shows the auto-collateralisation limit set by the CB. Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.
Limit Utilisation	Shows the utilisation of the auto-collateralisation limit. Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.
Available Liquidity	Shows the sum of the available liquidity. The figure consists of the sum of the available cash balance, the sum of blocked cash, the sum of reserved cash plus the minimum value between: <ul style="list-style-type: none"> <input type="checkbox"/> The difference between the auto-collateralisation limit and the limit utilisation or <input type="checkbox"/> The collateral value Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.
Overall Liquidity – Detailed List	
Party BIC	Shows the party BIC of the party owning the account. References for error messages [▶ 2574]: <ul style="list-style-type: none"> <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC084
Parent BIC	Shows the parent BIC of the party owning the account. References for error messages [▶ 2574]: <ul style="list-style-type: none"> <input type="checkbox"/> QMPC048 <input type="checkbox"/> QMPC084
Party Short Name	Shows the short name of the party owning the account.
Currency	Shows the currency of the T2S dedicated cash account.
Collateral Value	Shows the collateral value of the securities on stock in T2S, which are eligible for auto-collateralisation purposes. Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.
Available Cash	Shows the sum of available cash.

Overall Liquidity – Search Criteria	
	Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.
Blocked Cash	Shows the sum of blocked cash. Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.
Reserved Cash	Shows the sum of reserved cash. Provision of '99999...99' in case oversizing occurs in the integer part. Truncation of decimals in case oversizing occurs in the decimal part.

Buttons

Buttons	
Search	This function enables you to start a search according to the criteria entered. The results are displayed in an overview list on the same screen. Next screen: <ul style="list-style-type: none"><input type="checkbox"/> Overall liquidity – search/list screen References for error messages [▶ 2574]: <ul style="list-style-type: none"><input type="checkbox"/> QMPC032<input type="checkbox"/> QMPC048<input type="checkbox"/> QMPC084
Reset	This function enables you to set default search criteria and blanks out all optional criteria. Next screen: <ul style="list-style-type: none"><input type="checkbox"/> Overall liquidity – search/list screen
Detailed list	This function enables you to display the overall liquidity detailed list. Next screen: <ul style="list-style-type: none"><input type="checkbox"/> Overall liquidity – search/list screen This function is only available if the overview list is displayed.
Overview list	This function enables you to display the overall liquidity overview list. Next screen:

Overall liquidity – search/list screen

This function is only available if the detailed list is displayed.

2.3.2.8 Standing/Predefined Liquidity Transfer Orders - Search/List Screen

See *Standing/Predefined Liquidity Transfer Orders – Search/List Screen*.

2.3.2.9 Standing/Predefined Liquidity Transfer Order - Details Screen

See *Standing/Predefined Liquidity Transfer Order – Details Screen*.

2.3.2.10 Standing/Predefined Liquidity Transfer Order - New/Edit Screen

See *Standing/Predefined Liquidity Transfer Order – New/Edit Screen*.

2.3.2.11 Standing/Predefined Liquidity Transfer Order Link Sets - Search/List Screen

See *Standing/Predefined Liquidity Transfer Order Link Sets – Search/List Screen*.

2.3.2.12 Standing/Predefined Liquidity Transfer Order Link Set - Details Screen

See *Standing/Predefined Liquidity Transfer Order Link Set – Details Screen*.

2.3.2.13 Standing/Predefined Liquidity Transfer Order Link Set - New/Edit Screen

See *Standing/Predefined Liquidity Transfer Order Link Set – New/Edit Screen*.

2.3.2.14 Total Amount of Standing/Predefined Liquidity Transfer Orders - Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for information on the execution of standing and predefined liquidity transfer orders scheduled to be executed during the current business day. The search results will be displayed in a list, which is sorted by the values of the

'Parent BIC' column and subsequently by 'Party BIC' in ascending order (default setting). After selecting an entry, detailed information on the standing and predefined liquidity transfer orders initiated by this party will be displayed.

This screen is not relevant for CSD, external CSD and CSD participant users.

Screen Access

- Cash >> Liquidity >> Total Stand./Predef. Liq. Transfers
- Cash >> Liquidity >> Standing/Predefined Liq. Transfers>> *Standing/predefined liquidity transfer orders – search/list* screen >> Click on the *total amount of standing/predefined liquidity transfer orders* button
- Cash >> Liquidity >> Standing/Predefined Liq. Transfers>> *Standing/predefined liquidity transfer orders – search/list* screen >> Click on the *search* and/or *details* button >> *Standing/predefined liquidity transfer order - details* screen >> Click on the *total amount of standing/predefined liquidity transfer orders* button

Privileges

To use this screen, you need the following privilege [[▶ 1945](#)]:

- Total amount of standing and predefined orders query

References

User Instructions Part

This screen is part of the following business scenario:

- View standing/predefined liquidity transfer order details [[▶ 1292](#)]

Business Functionality Document

This screen corresponds to the following business functions:

- Query total amount of predefined and standing liquidity transfer order (T2S.GUI.SDSE.LTO.0070)
- Display total amount of predefined and standing liquidity transfer orders (T2S.GUI.SDSE.LTO.0080)

Screenshot

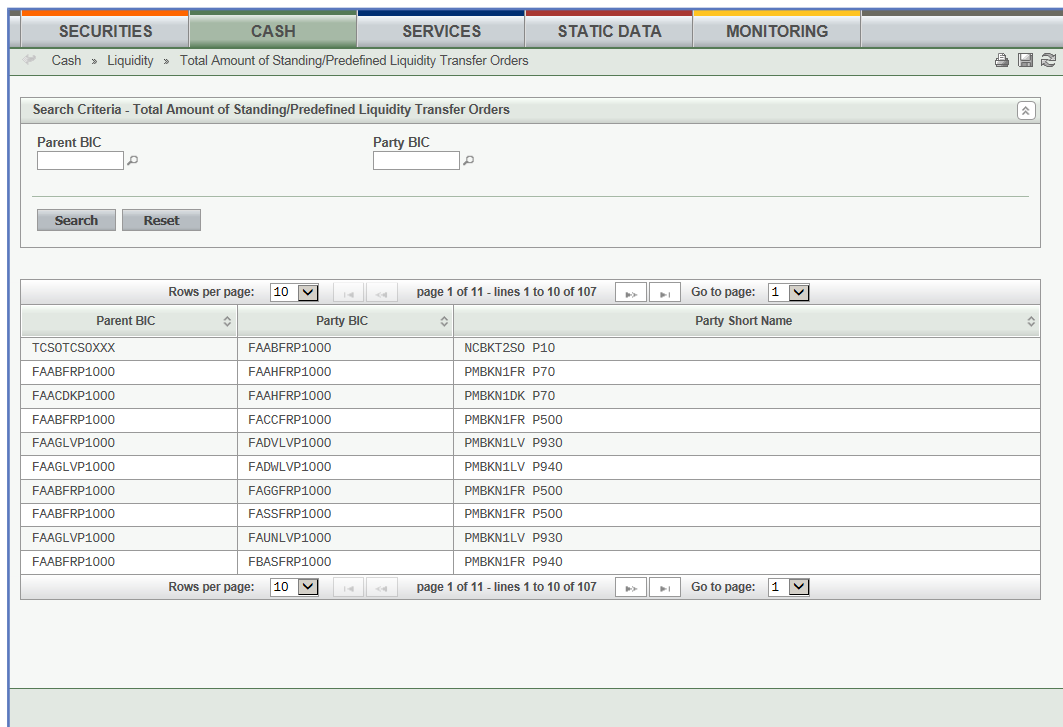


Illustration 84: Total amount of standing/predefined liquidity transfer orders - search/list screen

Field Description

Total Amount of Standing/Predefined Liquidity Transfer Orders - Search Criteria	
Parent BIC	<p>Select the parent BIC of the party that initiated the standing or predefined liquidity transfer order from the drop-down menu or search via the magnifier icon.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>References for error messages [2650]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC048 <input type="checkbox"/> QMPC084 <p>If there is only one possible parent BIC for your privileges, this field is already filled in and read-only.</p>
Party BIC	<p>Select the BIC of the party that initiated the standing or predefined liquidity transfer order from the drop-down menu or search via the magnifier icon.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>References for error messages [2650]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC084 <p>If there is only one possible party BIC for your privileges, this field is already filled in and read-only.</p>

Total Amount of Standing/Predefined Liquidity Transfer Orders - Search Criteria	
Total Amount of Standing/Predefined Liquidity Transfer Orders - List	
Parent BIC	Shows the parent BIC of the party that initiated the standing or predefined liquidity transfer order. References for error messages [▶ 2650]: <input type="checkbox"/> QMPC048 <input type="checkbox"/> QMPC084
Party BIC	Shows the BIC of the party that initiated the standing or predefined liquidity transfer order. References for error messages [▶ 2650]: <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC084
Party Short Name	Shows the short name of the party that initiated the standing or predefined liquidity transfer order.
Standing and Predefined Liquidity Transfer Orders - List	
Currency	Shows the currency of the standing or predefined liquidity transfer order.
Predefined Orders	
Sum of Predefined Liquidity Transfer Orders	Shows the sum of the predefined liquidity transfer orders for the current business day.
Sum of Not Yet Executed Liquidity Transfer Orders	Shows the sum of not yet executed predefined liquidity transfer orders for the current business day.
Standing Orders	
Sum of Standing Liquidity Transfer Orders	Shows the sum of the defined standing liquidity transfer orders for the current business day.
Sum of Not Yet Executed Liquidity Transfer Orders	Shows the sum of not yet executed standing liquidity transfer orders for the current business day.
Buttons	
Search	This function enables you to start a search according to the criteria entered. The results are displayed in a list on the same screen.

	<p>If the search retrieves only one party BIC, the detailed list of standing and predefined liquidity transfers for the party is displayed directly.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Total amount of standing/predefined liquidity transfer orders – search/list screen</i> <p>References for error messages [▶ 2650]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC048 <input type="checkbox"/> QMPC084
Reset	<p>This function enables you to set default search criteria and blanks out all optional criteria.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Total amount of standing/predefined liquidity transfer orders – search/list screen</i>

2.3.3 Settlement

2.3.3.1 Amendment Cash Instruction - Details Screen

Context of Usage

This screen displays detailed information on the selected amendment cash instruction. You can check the data and proceed further by clicking on the buttons below.

This screen is not relevant for CSD users.

Screen Access

Cash >> Settlement >> Maintenance Cash Instructions >> *Maintenance cash instructions – search/list screen* >> Click on the *search* and/or *details* button

Privileges

To use this screen, you need the following privilege [▶ 1908]:

Amendment instruction for intra-balance movement audit trail list query

References

User Instructions Part

This screen is part of the following business scenario:

View amendment instruction for a settlement restriction on cash details [▶ 1393]

Business Functionality Document

This screen corresponds to the following business function:

Display instruction details (T2S.GUI.SESE.INX.0030)

Screenshot

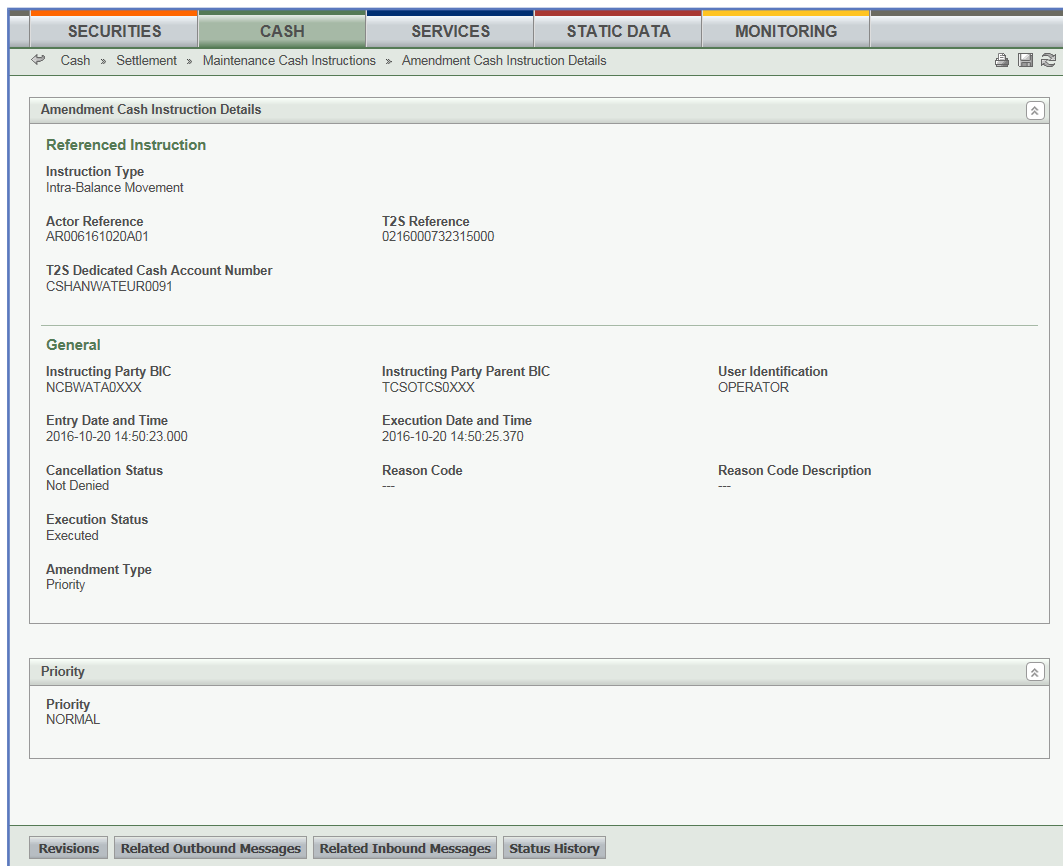


Illustration 85: Amendment cash instructions - details screen

Field Description

Amendment Instruction	
Referenced Instruction	
Instruction Type	Shows the type of instruction referenced by the amendment cash instruction. The only possible value is 'Intra-Balance Movement'.
Actor Reference	Shows the identification assigned by the T2S actor to the settlement restriction on cash.
T2S Reference	Shows the identification given for a settlement restriction on cash by T2S.
T2S Dedicated Cash Account Number	Shows the number of the cash account used by the settlement restriction on cash.
General	
Instructing Party BIC	Shows the BIC of the instructing party.
Instructing Party Parent BIC	Shows the parent BIC of the instructing party.
User Identification	Shows user identification of the sending party.

Amendment Instruction	
Entry Date and Time	Shows the date and time when the amendment instruction has entered in T2S. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Execution Date and Time	Shows the date and time when the amendment instruction has been executed. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Cancellation Status	Shows the cancellation status of the amendment instruction from the possible values: <input type="checkbox"/> DEND (for 'Denied') <input type="checkbox"/> NDEN: (for 'Not denied') If the field 'Reason Code' is informed, the cancellation status is set to 'DEND'.
Reason Code	Shows the reason code that informs the reasoning why the amendment instruction has been denied.
Reason Code Description	Shows the description of the reasoning why the amendment instruction has been denied.
Execution Status	Shows the execution status of the amendment instruction from the possible values: <input type="checkbox"/> EXCD (for 'Executed') <input type="checkbox"/> NEXD: (for 'Not executed')
Amendment Type	Shows the amendment instruction type from the possible values: <input type="checkbox"/> Priority <input type="checkbox"/> Linkages If the value is set to 'Priority', the 'Priority' frame is displayed. If the value is set to 'Linkages', the 'Links' frame is displayed.
Priority	
Priority	Shows the value of the priority assigned by the amendment instruction from the possible values: <input type="checkbox"/> Normal <input type="checkbox"/> High <input type="checkbox"/> Reserved

Amendment Instruction	
	This frame is only available if the amendment type is set to 'Priority'.
Links	
Link	Shows the type of the link action indicated in the amendment instruction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Link <input type="checkbox"/> Unlink This frame is only available if the amendment type is set to 'Linkages'.
Link Type	Shows the processing position indicated in the amendment instruction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> After <input type="checkbox"/> Before <input type="checkbox"/> With This frame is only available if the amendment type is set to 'Linkages'.
Reference Type	Shows the reference type of the instruction to be linked/unlinked to from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Account owner settlement instruction reference <input type="checkbox"/> Account owner intra balance movement reference <input type="checkbox"/> Account owner intra position movement reference <input type="checkbox"/> Account servicer reference <input type="checkbox"/> Third party reference <input type="checkbox"/> T2S reference This frame is only available if the amendment type is set to 'Linkages'.
Reference	Shows the identification of the instruction to be linked/unlinked to. <p>This frame is only available if the amendment type is set to 'Linkages'.</p>
Reference Owner	Shows the BIC of the instructing party of the instruction to be linked/unlinked to. <p>This field is only filled in if the 'Reference Type' field is set to any value different than 'T2S Reference'.</p>

Amendment Instruction	
	This frame is only available if the amendment type is set to 'Linkages'.

Buttons

Buttons	
Revisions	<p>This function enables you to display the revisions of the selected amendment instruction.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Revisions/audit trail – list</i> screen [▶ 670]
Related Outbound Message	<p>This function enables you to display the outbound messages related to the selected amendment instruction.</p> <p>In case the amendment instruction is linked to more than one outbound message, the <i>outbound messages - search/list</i> screen is displayed.</p> <p>In case the query returns only one valid message, the <i>outbound message – details</i> screen is displayed directly.</p> <p>Next screens:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Outbound messages – search/list</i> screen [▶ 654] <input type="checkbox"/> <i>Outbound message – details</i> screen [▶ 662]
Related Inbound Message	<p>This function enables you to display the inbound messages related to the selected amendment instruction.</p> <p>In case the amendment instruction is linked to more than one inbound message, the <i>inbound messages - search/list</i> screen is displayed.</p> <p>In case the query returns only one valid message, the <i>inbound message – details</i> screen is displayed directly.</p> <p>Next screens:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Inbound messages – search/list</i> screen [▶ 637] <input type="checkbox"/> <i>Inbound message – details</i> screen [▶ 644]
Status History	<p>This function enables you to display the status history of the selected amendment instruction.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Status history – details</i> screen [▶ 276]

2.3.3.2 Amendment Cash Instruction - New Screen

Context of Usage	<p>This screen contains a number of fields regarding the amendment of settlement restriction on cash before its actual settlement or cancellation. You can enter new data. Afterwards you can proceed further by clicking on the buttons below.</p> <p>You can use this screen either in 2-eyes or in 4-eyes mode.</p> <p>This screen is not relevant for CSD users.</p>
Screen Access	<ul style="list-style-type: none">□ Cash >> Settlement >> Intra-Balance Movements >> <i>Intra-balance movements – search/list</i> screen >> Click on the <i>search</i> button >> <i>Intra-balance movements – search/list</i> screen >> Click on the <i>details</i> button >> <i>Intra-balance movement - details</i> screen >> Click on the <i>amendment instruction</i> button
Privileges	<p>To use this screen you need the following privileges [▶ 1908]:</p> <ul style="list-style-type: none">□ Amend process indicator settlement restriction on cash on a dedicated cash account□ Link to an instruction/pool reference belonging to a specific party□ Send new instruction using a specific instructing party
References	<p>User Instructions Part</p> <p>This screen is part of the following business scenarios:</p> <ul style="list-style-type: none">□ Add a link for a settlement restriction on cash [▶ 1387]□ Remove a link for a settlement restriction on cash [▶ 1391]□ Amend the priority for a settlement restriction on cash [▶ 1389] <p>Business Functionality Document</p> <p>This screen corresponds to the following business function:</p> <ul style="list-style-type: none">□ Amend instruction (T2S.GUI.SESE.INX.0040)

Screenshot

Illustration 86: Amendment cash instruction - new screen

Field Description

Amendment Instruction	
Referred Instruction	
Instruction Type	Shows the type of the referenced instruction. This field is already filled with 'Intra-Balance Movement'.
Actor Reference	Shows the identification of the referenced instruction assigned by the T2S actor. References for error messages: [▶ 2455] <input type="checkbox"/> MVCM958 <input type="checkbox"/> MVCP004
T2S Reference	Shows the identification of the referenced instruction to be amended assigned by T2S. References for error messages: [▶ 2455] <input type="checkbox"/> MVCM958 <input type="checkbox"/> MVCP004
T2S Dedicated Cash Account Number	Shows the unique identification for an account. This field is assigned by the account servicer using a proprietary identification scheme.
General	
Instructing Party Parent BIC	Select the parent BIC of the instructing party from the drop-down menu or search via the <i>magnifier</i> icon.

Amendment Instruction	
	<p>If the instructing party is not filled in, the instructing party informed to the back end module is the one which the user of the GUI belongs to.</p> <p>If the instructing party is filled in, the instructing party parent BIC must also be filled in.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>References for error messages: [▶ 2455]</p> <ul style="list-style-type: none"> <input type="checkbox"/> MVDC026 <input type="checkbox"/> MVCV107 <input type="checkbox"/> MVCV232 <input type="checkbox"/> MVCP122
Instructing Party BIC	<p>Select the BIC of the instructing party from the drop-down menu or search via the <i>magnifier</i> icon.</p> <p>If the instructing party is not filled in, the instructing party informed to the back end module is the one which the user of the GUI belongs to.</p> <p>If the instructing party parent BIC is filled in, the instructing party BIC must also be filled in.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>References for error messages: [▶ 2455]</p> <ul style="list-style-type: none"> <input type="checkbox"/> MVCV232 <input type="checkbox"/> MVDC026 <input type="checkbox"/> MVCV107 <input type="checkbox"/> MVCP122
Amendment Type	<p>Select the type of the amendment instruction from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Priority <input type="checkbox"/> Linkages <p>Reference for error message: [▶ 2455]</p> <ul style="list-style-type: none"> <input type="checkbox"/> MVCP034
Priority	
Priority	<p>Select the level of the priority of the amendment instruction from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Empty (default value)

Amendment Instruction	
	<input type="checkbox"/> Normal <input type="checkbox"/> High <input type="checkbox"/> Reserved <p>The default value is empty.</p> <p>Reference for error message: [▶ 2455]</p> <input type="checkbox"/> MVSP211 <p>This frame is only available if the amendment type is set to 'Priority'.</p>
Linkage Information	
Link/Unlink	<p>Select the type of the link requested from the possible values:</p> <input type="checkbox"/> Link <input type="checkbox"/> Unlink <p>Depending on the process performed, the linkage type is 'Link' or 'Unlink'.</p> <p>To add a link, select the type 'Link'. To remove a link, select the type 'Unlink'.</p> <p>This frame is only available if the amendment type is set to 'Linkage Information'.</p> <p>References for error messages: [▶ 2455]</p> <input type="checkbox"/> MVCM958 <input type="checkbox"/> MVCM960 <input type="checkbox"/> MVLI871
Link	
Link Type	<p>Select the processing position of the link from the possible values:</p> <input type="checkbox"/> After <input type="checkbox"/> Before <input type="checkbox"/> With <p>References for error messages: [▶ 2455]</p> <input type="checkbox"/> MVLI809 <input type="checkbox"/> MVLI810 <input type="checkbox"/> MVLI811

Amendment Instruction	
	<ul style="list-style-type: none"> <input type="checkbox"/> MVLI825 <input type="checkbox"/> MVLI826 <input type="checkbox"/> MVLI827 <input type="checkbox"/> MVLI828 <input type="checkbox"/> MVLI829 <input type="checkbox"/> MVLI830 <input type="checkbox"/> MVLI837 <input type="checkbox"/> MVLI838 <input type="checkbox"/> MVLI839 <input type="checkbox"/> MVLI852 <input type="checkbox"/> MVLI855 <input type="checkbox"/> MVLI858 <input type="checkbox"/> MVLI861 <input type="checkbox"/> MVLI874 <input type="checkbox"/> MVCP042 <p>This frame is only available if the amendment type is set to 'Linkage Information'.</p> <p>This field is also available as a show field for existing records.</p>
Reference Type	<p>Select the reference type of the instruction to be linked/un-linked to from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Account Owner Settlement Instruction Reference <input type="checkbox"/> Account Owner Intra Balance Movement Reference <input type="checkbox"/> Account Owner Intra Position Movement Reference <input type="checkbox"/> Account Servicer Reference <input type="checkbox"/> Third Party reference <input type="checkbox"/> T2S Reference <p>Reference for error message: [▶ 2455]</p> <ul style="list-style-type: none"> <input type="checkbox"/> MVCP099 <p>This frame is only available if the amendment type is set to 'Linkage Information'.</p> <p>This field is also available as a show field for existing records.</p>

Amendment Instruction	
Reference	<p>Enter the identification of the instruction to be linked/unlinked to.</p> <p>Required format is: max. 16 characters (SWIFT-x-red)</p> <p>References for error messages: [▶ 2455]</p> <ul style="list-style-type: none"> <input type="checkbox"/> MVCM960 <input type="checkbox"/> MVCP099 <input type="checkbox"/> MVLI852 <input type="checkbox"/> MVLI855 <input type="checkbox"/> MVLI858 <input type="checkbox"/> MVLI861 <input type="checkbox"/> MVLI871 <input type="checkbox"/> MVLI874 <p>This frame is only available if the amendment type is set to 'Linkage Information'.</p> <p>This field is also available as a show field for existing records.</p>
Reference Owner	<p>Enter the BIC of the instructing party of the instruction to be linked/unlinked to.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>If the 'Reference Type' is set to 'T2S Reference' this field is not filled in.</p> <p>This frame is only available if the amendment type is set to 'Linkage Information'.</p> <p>This field is also available as a show field for existing records.</p>
Options	<p>Information on functions (icons) can be found in Common Buttons and Icons [▶ 53].</p>

Buttons

Buttons	
Submit	<p>This function enables you to create a new cash amendment instruction. Upon clicking on the <i>submit</i> button you will be asked to enter your PIN for digital signature purposes (NRO). With the entry of the PIN, T2S attaches a digital signature to the instruction entered by the T2S actor.</p> <p>Next screen:</p>

Intra-balance movements– search/list screen [▶ 431]

References for error messages: [▶ 2455]

- MMIA002
- MMIA004
- MMIA006
- MVCM956
- MVCM958
- MVCM960
- MVCP004
- MVCP042
- MVCP099
- MVCP122
- MVCV107
- MVCV108
- MVCV232
- MVDC026
- MVLI809
- MVLI810
- MVLI811
- MVLI825
- MVLI826
- MVLI827
- MVLI828
- MVLI829
- MVLI830
- MVLI837
- MVLI838
- MVLI839
- MVLI852
- MVLI855
- MVLI858
- MVLI861

	<input type="checkbox"/> MVL1871 <input type="checkbox"/> MVL1874 <input type="checkbox"/> MVSP211
Reset	<p>This function enables you to set all fields to default value and blanks out all optional fields.</p> <p>Next screen:</p> <input type="checkbox"/> <i>Amendment cash instruction - new screen</i>
Cancel	<p>This function enables you to cancel the process and return to the previous screen.</p> <p>Next screen:</p> <input type="checkbox"/> <i>Intra-balance movement – details screen</i> [▶ 438]

2.3.3.3 Cancellation Cash Instruction - Details Screen

Context of Usage

This screen displays detailed information on the selected cancellation cash instruction. You can check the data and proceed further by clicking on the buttons below.

This screen is not relevant for CSD users.

Screen Access

Cash >> Settlement >> Maintenance Cash Instructions >> *Maintenance cash instructions – search/list* screen >> Click on the *search* button >> *Maintenance cash instructions – search/list* screen >> Click on the *details* button

Privileges

To use this screen, you need the following privilege [▶ 1918]:

Cancellation instruction for intra-balance movement audit trail details query

References

User Instructions Part

This screen is part of the following business scenario:

View cancellation instruction for a settlement restriction on cash details [▶ 1399]

Business Functionality Document

This screen corresponds to the following business function:

Display instruction details (T2S.GUI.SESE.INX.0030)

Screenshot

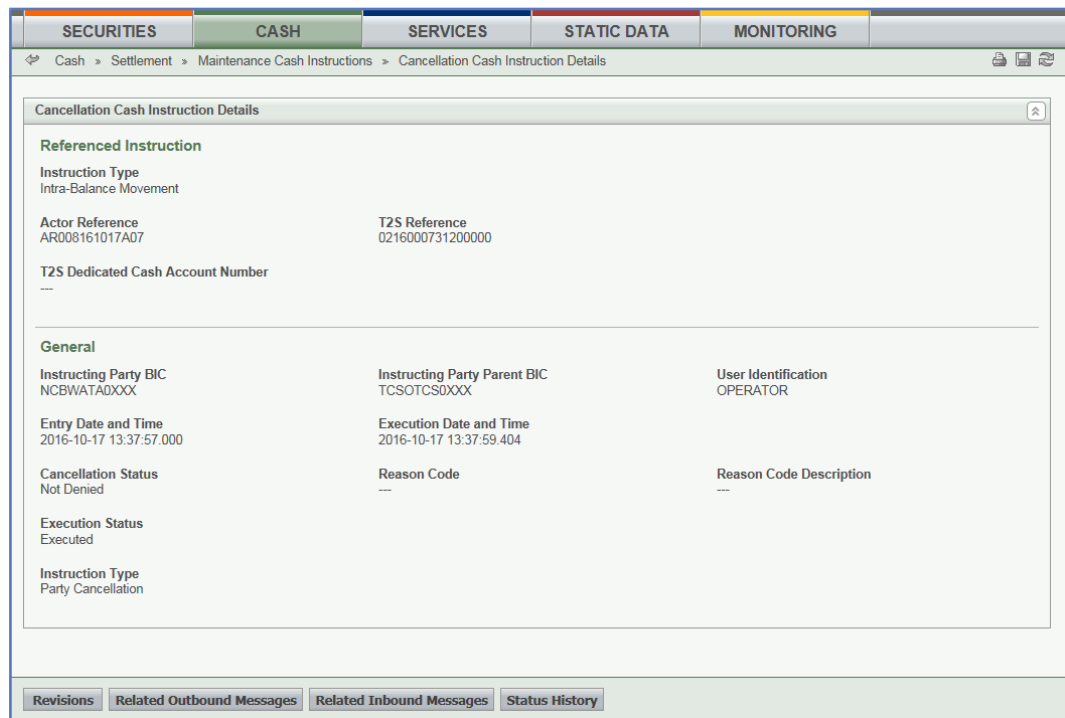


Illustration 87: Cancellation cash instruction - details screen

Field Description

Cancellation Instruction	
Referenced Instruction	
Instruction Type	Shows the type of instruction referenced by the cancellation cash instruction. The only possible value is 'Intra-Balance Movement'.
Actor Reference	Shows the reference assigned by the T2S actor to the settlement restriction on cash.
T2S Reference	Shows the identification of the reference assigned for a settlement restriction on cash by T2S.
T2S Dedicated Cash Account Number	Shows the unique reference for the cash account used by the settlement restriction on cash.
General	
Instructing Party BIC	Shows the BIC of the instructing party.
Instructing Party Parent BIC	Shows the parent BIC of the instructing party.
User Identification	Shows user identification of the sending party.
Entry Date and Time	Shows the date and time when the cancellation instruction has entered in T2S. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs

Cancellation Instruction	
Execution Date and Time	Shows the date and time when the cancellation instruction has been executed. Displayed format is: YYYY-MM-DD hh:mm:ss:μsμsμsμsμs
Cancellation Status	Shows the cancellation status of the cancellation instruction from the possible values: <input type="checkbox"/> Denied <input type="checkbox"/> Not denied If the 'Reason Code' field is filled in, the cancellation status is set to 'Denied'.
Reason Code	Shows the reason code that informs the reasoning why the cancellation instruction has been denied.
Reason Code Description	Shows the description of the reasoning why the cancellation instruction has been denied.
Execution Status	Shows the execution status of the cancellation instruction from the possible values: <input type="checkbox"/> Executed <input type="checkbox"/> Not executed
Instruction Type	Shows the cancellation instruction type of the cancellation instruction.

Buttons

Buttons	
Revisions	This function enables you to display the revisions of the selected cancellation instruction. Next screen: <input type="checkbox"/> <i>Revisions/audit trail – list</i> screen [▶ 670]
Related Outbound Messages	This function enables you to display the outbound messages related to the cancellation instruction. In case the cancellation instruction is linked to more than one outbound message, the <i>outbound messages - search/list</i> screen is displayed. In case the query returns only one valid message, the <i>outbound message – details</i> screen is displayed directly. Next screens: <input type="checkbox"/> <i>Outbound messages – search/list</i> screen [▶ 654] <input type="checkbox"/> <i>Outbound message – details</i> screen [▶ 662]

Related Inbound Messages	<p>This function enables you to display the inbound message related to the cancellation instruction.</p> <p>In case the cancellation instruction is linked to more than one inbound message, the <i>inbound messages -search/list</i> screen is displayed.</p> <p>In case the query returns only one valid message, the <i>inbound outbound message – details</i> screen is displayed directly.</p> <p>Next screens:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Inbound messages – search/list</i> screen [▶ 637] <input type="checkbox"/> <i>Inbound message – details</i> screen [▶ 644]
Status History	<p>This function enables you to display the status history of the selected cancellation instruction.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Status history – details</i> screen [▶ 276]

2.3.3.4 Cancellation Cash Instruction - New Screen

Context of Usage

This screen contains a number of fields regarding cancellation cash instructions. You can enter new data. Afterwards you can proceed further by clicking on the buttons below.

You can use this screen either in 2-eyes or in 4-eyes mode.

This screen is not relevant for CSD users.

Screen Access

- Cash >> Settlement >> Intra-Balance Movements >> *Intra-balance movements – search/list* screen >> Click on the *search* button >> *Intra-balance movements – search/list* screen >> Click on the *details* button >> *Intra-balance movement - details* screen >> Click on the *cancellation instruction* button

Privileges

To use this screen you need the following privileges [▶ 1918]:

- Cancel settlement restriction on cash on a dedicated cash account
- Send new instruction using a specific instructing party

References

User Instructions Part

This screen is part of the following business scenario:

- Cancel a settlement restriction on cash [▶ 1398]

Business Functionality Document

This screen corresponds to the following business function:

Cancel instruction (T2S.GUI.SESE.INX.0050)

Screenshot

Illustration 88: Cancellation cash instruction - new screen

Field

Description

Cancellation Instruction	
Referenced Instruction	
Referenced Instruction	Shows the type of the referenced instruction. This field is already filled in with 'Intra-Balance Movement'.
Actor Reference	Shows the identification of the referenced instruction assigned by the T2S actor.
T2S Reference	Shows the identification of the referenced instruction to be amended assigned by T2S. Reference for error message [▶ 2485]: <input type="checkbox"/> MVCP003
T2S Dedicated Cash Account	Shows the T2S dedicated cash account of the referenced instruction. Reference for error message [▶ 2485]: <input type="checkbox"/> MVCP035
General	
Instructing Party Parent BIC	Select the parent BIC of the instructing party from the drop-down menu or search via the <i>magnifier</i> icon. In case the instructing party is not entered, the instructing party informed to the back end module will be the party you belong to. If the 'Instructing Party Parent BIC' is filled in, the 'Instructing Party BIC' has to be filled in too. Required format is: max. 11 characters (SWIFT-x) References for error messages [▶ 2485]:

Cancellation Instruction	
	<ul style="list-style-type: none"><input type="checkbox"/> MVCV231<input type="checkbox"/> MVCV108<input type="checkbox"/> MVCP123<input type="checkbox"/> MVDC027
Instructing Party BIC	<p>Select the BIC of the instructing party from the drop-down menu or search via the <i>magnifier</i> icon.</p> <p>In case the instructing party is not entered, the instructing party informed to the back end module will be the party you belong to. If the 'Instructing Party BIC' is filled in, the 'Instructing Party Parent BIC' has to be filled in too'</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>References for error messages [▶ 2485]:</p> <ul style="list-style-type: none"><input type="checkbox"/> MVCV231<input type="checkbox"/> MVCV108<input type="checkbox"/> MVCP123<input type="checkbox"/> MVDC027

Buttons

Submit	<p>This function enables you to create a new cancellation cash instruction. Upon clicking on the <i>submit</i> button you will be asked to enter your PIN for digital signature purposes (NRO). With the entry of the PIN, T2S attaches a digital signature to the instruction entered by the T2S actor.</p> <p>Next screen:</p> <ul style="list-style-type: none"><input type="checkbox"/> <i>Intra-balance movements with current and historical status – search</i> screen [▶ 431] <p>References for error messages [▶ 2485]:</p> <ul style="list-style-type: none"><input type="checkbox"/> MVDC027<input type="checkbox"/> MMCR201<input type="checkbox"/> MMCR202<input type="checkbox"/> MVCP003<input type="checkbox"/> MVCP035<input type="checkbox"/> MVCP123<input type="checkbox"/> MVCV108<input type="checkbox"/> MVCV231

Cancel	This function enables you to cancel the process and return to the previous screen. Next screen: <input type="checkbox"/> <i>Intra-balance movement - details</i> screen [▶ 438]
Reset	This function enables you to set all fields to default value and blanks out all optional criteria. Next screen: <input type="checkbox"/> <i>Cancellation cash instruction - new</i> screen

2.3.3.5 Intra-Balance Movements - Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for settlement restrictions on cash. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.

When exporting the content of this screen, you receive the list and the corresponding details for each data record.

This screen is not relevant for CSD users.

Screen Access

Cash >> Settlement >> Intra-Balance Movements >> *Intra-balance movements– search/list* screen

Privileges

To use this screen, you need the following privilege [▶ 1928]:

Intra-balance movements query

References

User Instructions Part

This screen is part of the following business scenarios:

- Add a link for a settlement restriction on cash [▶ 1387]
- Amend the priority for a settlement restriction on cash [▶ 1389]
- Copy a settlement restriction on cash [▶ 1374]
- Cancel a settlement restriction on cash [▶ 1398]
- Enter a settlement restriction on cash [▶ 1372]
- Remove a link for a settlement restriction on cash [▶ 1391]
- View settlement restriction on cash details [▶ 1377]

Business Functionality Document

This screen corresponds to the following business function:

- Display instruction list (T2S.GUI.SESE.INX.0020)

Screenshot

Illustration 89: Intra-balance movements - search/list screen

Field

Description

Intra-Balance Movements – Search Criteria	
General Criteria	
Actor Reference	Enter the identification assigned by the T2S actor. Required format is: max. 16 characters (SWIFT-x)
T2S Reference	Enter the identification of the settlement restriction assigned by T2S. Required format is: max. 16 characters (SWIFT-x)
Account Owner Reference	Enter the identification of the settlement restriction assigned by the T2S party. Required format is: max. 16 characters (SWIFT-x)
T2S Dedicated Cash Account Number	Select the T2S dedicated cash account. Required format is: max. 34 characters (SWIFT-x) References for error messages [▶ 2539]:

Intra-Balance Movements – Search Criteria	
	<input type="checkbox"/> QMPC031 <input type="checkbox"/> QMPC072
Currency	<p>Select the currency from the drop-down menu. The default value is 'all'.</p> <p>Reference for error message [▶ 2539]:</p> <input type="checkbox"/> QMPC072
Account Servicer Reference	<p>Enter the reference of the settlement restriction assigned by the CB.</p> <p>Required format is: max. 16 characters (SWIFT-x)</p>
Third Party Reference	<p>Enter the reference of the settlement restriction assigned by a third party.</p> <p>Required format is: max. 16 characters (SWIFT-x)</p>
Instructing Party Parent BIC	<p>Select the parent BIC of the instructing party from the drop-down menu or search via the magnifier icon.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>References for error messages [▶ 2539]:</p> <input type="checkbox"/> QMPC048 <input type="checkbox"/> QMPC084
Instructing Party BIC	<p>Select the BIC of the instructing party from the drop-down menu or search via the magnifier icon.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>References for error messages [▶ 2539]:</p> <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC084
T2S Dedicated Cash Account Owner	<p>You can choose to either enter the 'T2S Dedicated Cash Account Owner' or to select it from the suggested items in the drop-down menu.</p> <p>References for error messages [▶ 2539]:</p> <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC072
Restriction Reference	<p>Enter the unique identification of the restricted cash balance.</p> <p>Required format is: max. 16 characters (SWIFT-x)</p>

Intra-Balance Movements – Search Criteria	
	Upon the settlement of the restriction, the 'Restriction Reference' is updated.
Restriction Type - Balance From	<p>You can choose to either enter the 'Restriction Type - Balance From' or to select it from the suggested items in the drop-down menu.</p> <p>The restriction type code is assigned by the CB.</p> <p>The default value is 'All'.</p> <p>All the possible values stored in SD and that are available according to the privileges will be shown</p> <p>Reference for error message [▶ 2539]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> IIMP079 <p>The cash balance type of 'Balance from' must be different from the cash balance type of 'Balance to'.</p>
Restriction Type - Balance To	<p>You can choose to either enter the 'Restriction Type - Balance To' or to select it from the suggested items in the drop-down menu.</p> <p>The restriction type code is assigned by the CB.</p> <p>The default value is 'All'.</p> <p>All the possible values stored in SD and that are available according to the privileges will be shown</p> <p>Reference for error message [▶ 2539]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> IIMP079 <p>The cash balance type of 'Balance from' must be different from the cash balance type of 'Balance to'.</p>
Range Criteria	
Intended Settlement Date - from	<p>Enter the date from which the instruction is eligible for settlement or use the <i>calendar</i> icon.</p> <p>Required format is: YYYY-MM-DD</p> <p>Reference for error message [▶ 2539]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC012
Intended Settlement Date - to	<p>Enter the date until which the instruction is eligible for settlement or use the <i>calendar</i> icon.</p> <p>Required format is: YYYY-MM-DD</p> <p>Reference for error message [▶ 2539]:</p>

Intra-Balance Movements – Search Criteria	
	<input type="checkbox"/> QMPC012
Actual Settlement Date - from	<p>Enter the date and time from which the latest settlement occurred or use the <i>calendar</i> icon.</p> <p>Required format is: YYYY-MM-DD hh:mm:ss</p> <p>References for error messages [▶ 2539]:</p> <input type="checkbox"/> QMPC013 <input type="checkbox"/> QMPC014
Actual Settlement Date - to	<p>Enter the date and time until which the latest settlement occurred or use the <i>calendar</i> icon.</p> <p>Required format is: YYYY-MM-DD hh:mm:ss</p> <p>Reference for error message [▶ 2539]:</p> <input type="checkbox"/> QMPC014
Entry Date and Time - from	<p>Enter the lower bound of the search range for the entry date and time or use the <i>calendar</i> icon.</p> <p>Required format is: YYYY-MM-DD hh:mm:ss</p> <p>References for error messages [▶ 2539]:</p> <input type="checkbox"/> QMPC015 <input type="checkbox"/> QMPC073
Entry Date and Time - to	<p>Enter the upper bound of the search range for the entry date and time or use the <i>calendar</i> icon.</p> <p>Required format is: YYYY-MM-DD hh:mm:ss</p> <p>References for error messages [▶ 2539]:</p> <input type="checkbox"/> QMPC015 <input type="checkbox"/> QMPC095
Amount more/equal than	<p>Enter the lower bound of the search range for the amount.</p> <p>Required format is: max. 18 characters (thereof max. 5 decimal places and decimal point)</p> <p>Reference for error message [▶ 2539]:</p> <input type="checkbox"/> QMPC005
Amount less/equal than	<p>Enter the upper bound of the search range for the amount.</p> <p>Required format is: max. 18 characters (thereof max. 5 decimal places and decimal point)</p> <p>Reference for error message [▶ 2539]:</p>

Intra-Balance Movements – Search Criteria	
	<input type="checkbox"/> QMPC005
Status Criteria	
Settlement Status	Select the settlement status of the settlement restriction from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Partially settled <input type="checkbox"/> Settled <input type="checkbox"/> Unsettled
ISO Settlement status	Select the settlement status of the settlement restriction from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Pending <input type="checkbox"/> Failing If value 'Settlement Status' field is set to 'Settled' or 'Cancellation Status' field is set to 'Cancelled', this field is disabled.
Cancellation Status	Select the cancellation status of the settlement restriction from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Cancelled <input type="checkbox"/> Not cancelled
Intra-Balance Movements - List	
Actor Reference	Shows the identification of the settlement restriction assigned by the T2S Actor.
Instructing Party BIC	Shows the BIC of the instructing party.
Instructing Party Parent BIC	Shows the parent BIC of the instructing party.
Dedicated Cash Account	Shows the T2S dedicated cash account.
Amount	Shows the original amount stated in the settlement restriction.
Restriction Type - Balance From	Shows the code specified by the CB to identify the restriction.
Restriction Type - Balance To	Shows the code specified by the CB to identify the restriction.

Intra-Balance Movements – Search Criteria	
Restriction Reference	Shows the unique identification of a restricted position. Upon the settlement of the restriction, the 'Restriction Reference' is updated.
Settlement Status	Shows the current settlement status of the settlement restriction from the possible values: <input type="checkbox"/> Unsettled <input type="checkbox"/> Settled <input type="checkbox"/> Partially settled
Cancellation Status	Shows the current cancellation status of the settlement restriction from the possible values: <input type="checkbox"/> Cancelled <input type="checkbox"/> Not cancelled

Buttons

Search	<p>This function enables you to start a search according to the criteria entered. The results are displayed in a list on the next screen.</p> <p>If the search retrieves a single record, the <i>details</i> screen is displayed directly.</p> <p>Next screens:</p> <ul style="list-style-type: none"><input type="checkbox"/> <i>Intra-balance movements – search/list</i> screen<input type="checkbox"/> <i>Intra-balance movement – details</i> screen [▶ 438] <p>References for error messages [▶ 2539]:</p> <ul style="list-style-type: none"><input type="checkbox"/> QMPC005<input type="checkbox"/> QMPC012<input type="checkbox"/> QMPC013<input type="checkbox"/> QMPC014<input type="checkbox"/> QMPC015<input type="checkbox"/> QMPC031<input type="checkbox"/> QMPC032<input type="checkbox"/> QMPC048<input type="checkbox"/> QMPC072<input type="checkbox"/> QMPC073<input type="checkbox"/> QMPC084
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	<input type="checkbox"/> QMPC095
Reset	This function enables you to set default search criteria and blanks out all optional criteria. Next screen: <input type="checkbox"/> <i>Intra-balance movements– search/list</i> screen
New	This function enables you to create a new settlement restriction on cash. Next screen: <input type="checkbox"/> <i>Intra-balance movement – new</i> screen [▶ 445]
Details	This function enables you to display the details of the selected settlement restriction on cash. Next screen: <input type="checkbox"/> <i>Intra-balance movement – details</i> screen [▶ 438]

2.3.3.6 Intra-Balance Movement - Details Screen

Context of Usage

This screen displays detailed information on the selected settlement restriction on cash. You can check the data and proceed further by clicking on the buttons below.

This screen is not relevant for CSD users.

Screen Access

Cash >> Settlement >> Intra-Balance Movements >> *Intra-balance movements– search/list* screen >> Click on the *search* button >> *Intra-balance movements – search/list* screen >> Click on the *details* button

Privileges

To use this screen, you need the following privilege [▶ 1927]:

Intra balance movement audit trail list query

References

User Instructions Part

This screen is part of the following business scenarios:

- Add a link for a settlement restriction on cash [▶ 1387]
- Amend the priority for a settlement restriction on cash [▶ 1389]
- Copy a settlement restriction on cash [▶ 1374]
- Cancel a settlement restriction on cash [▶ 1398]
- Remove a link for a settlement restriction on cash [▶ 1391]
- View settlement restriction on cash details [▶ 1377]

Business Functionality Document

This screen corresponds to the following business function:

Display instruction details (T2S.GUI.SESE.INX.0030)

Screenshot

The screenshot displays the 'Intra-Balance Movement - Details' screen with the following sections and data:

- Navigation:** SECURITIES | CASH | SERVICES | STATIC DATA | MONITORING
- Path:** Cash > Settlement > Intra-Balance Movements > Intra-Balance Movement Details
- Intra-Balance Movement:**
 - T2S Dedicated Cash Account Owner Reference: ---
 - Account Servicer Reference: CbicF1906061323
 - Third Party Reference: ---
 - T2S Reference: 0219050582084000
 - Instructing Party BIC: NOBKDEFFQ01
 - Instructing Party Parent BIC: TCSOTCS0XXX
 - User Identification: User with all Privileges in Four-Eyes-Mode
 - Entry Date and Time: 2019-06-06 13:23:33.331
 - Intended Settlement Date: 2019-06-13
 - Final Settlement Date and Time: ---
 - Actual Settlement Date and Time: ---
 - Corporate Action Event Identification: ---
 - Priority: Normal
- Balance and Cash Details:**
 - T2S Dedicated Cash Account Owner BIC: ---
 - T2S Dedicated Cash Account Number: DCAD4ULI04
 - Original Settlement Amount: 500.00 EUR
 - Settled Settlement Amount: 0.00 EUR
 - Balance from:**
 - Restriction Type: BALC - DELIVERABLE
 - Restriction Processing Type: Balance Type/Earmarking
 - Balance to:**
 - Restriction Type: DLVR - Deliverable
 - Restriction Processing Type: Deliverable
 - Restriction Reference: ---
- Status Information:**
 - Cancellation Status: Not cancelled
 - Settlement Status: Unsettled
 - ISO Settlement Status: Pending
- Link/Unlink:**
 - Link Type: [dropdown]
 - Reference Type: [dropdown]
 - Reference: [dropdown]
 - Reference Owner: [dropdown]
 - No records found.
 - Pool Instruction Reference: ---
 - Pool Instruction Counter: ---
 - Pool Reference Owner BIC: ---
- Footer Buttons:** Amendment Instruction | Cancellation Instruction | Revisions | Related Outbound Messages | Related Inbound Messages | Copy | Status History | Balance Details

Illustration 90: Intra-balance movement - details screen

Field

Description

Intra-Balance Movement	
T2S Dedicated Cash Account Owner Reference	Shows the identification of the settlement restriction assigned by the instructing party. Only one field among 'T2S Dedicated Cash Account Owner Reference', 'Account Servicer Reference' or 'Third Party Reference' is filled in.
Account Servicer Reference	Shows the identification of the settlement restriction assigned by the CB.

Intra-Balance Movement	
	Only one field among 'T2S Dedicated Cash Account Owner Reference', 'Account Servicer Reference' or 'Third Party Reference' is filled in.
Third Party Reference	Shows the identification of the settlement restriction assigned by a third party. Only one field among 'T2S Dedicated Cash Account Owner Reference', 'Account Servicer Reference' or 'Third Party Reference' is filled in.
Reference	Shows the identification of the settlement restriction assigned by T2S.
Instructing Party BIC	Shows the BIC of the instructing party.
Instructing Party Parent BIC	Shows the parent BIC of the instructing party.
User Identification	Shows the user identification of the sending party.
Entry Date and Time	Shows the timestamp when the instruction is entered in T2S. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Intended Settlement Date	Shows the date when the instruction is eligible for settlement. Displayed format is: YYYY-MM-DD
Final Settlement Date and Time	Shows the business date and the calendar time when the settlement restriction is settled totally. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Actual Settlement Date and Time	Shows the business date and the calendar time when the last settlement occurred (partially or totally). Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Priority	Shows the priority of the settlement restriction from the possible values: <input type="checkbox"/> Normal <input type="checkbox"/> High <input type="checkbox"/> Reserved
Balance and Cash Details	
T2S Dedicated Cash Account Owner BIC	Shows the BIC of the owner of the securities account.

Intra-Balance Movement	
T2S Dedicated Cash Account Number	Shows the T2S dedicated cash account used in the settlement restriction.
Original Settlement Amount	Shows the original amount stated in the settlement restriction.
Settled Settlement Amount	Shows the settled amount of the settlement restriction.
Balance from	
Restriction Type	Shows the code defined in reference data to identify the restriction from which the cash have to be restricted/unrestricted.
Restriction Processing Type	Shows the type of processing T2S shall apply for the restriction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Rejection <input type="checkbox"/> Reservation <input type="checkbox"/> Blocking <input type="checkbox"/> Earmarking for auto-collateralisation <input type="checkbox"/> Deliverable <input type="checkbox"/> CoSD blocking
Balance to	
Restriction Type	Shows the code defined in reference data to identify the restriction to which the cash have to be restricted/unrestricted.
Restriction Processing Type	Shows the type of processing T2S shall apply for the restriction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Rejection <input type="checkbox"/> Reservation <input type="checkbox"/> Blocking <input type="checkbox"/> Earmarking for auto-collateralisation <input type="checkbox"/> Deliverable <input type="checkbox"/> CoSD blocking
Restriction Reference	Shows the unique identification of the restricted cash balance. Upon the settlement of the restriction, the 'Restriction Reference' is updated.
Status Information	

Intra-Balance Movement	
Status Information	
Cancellation Status	Shows the current cancellation status of the settlement restriction from the possible values: <ul style="list-style-type: none"><input type="checkbox"/> Cancelled<input type="checkbox"/> Not cancelled
Settlement Status	Shows the current settlement status of the settlement restriction from the possible values: <ul style="list-style-type: none"><input type="checkbox"/> Settled<input type="checkbox"/> Unsettled<input type="checkbox"/> Partially settled
ISO Settlement Status	Shows the current ISO settlement status of the settlement restriction from the possible values: <ul style="list-style-type: none"><input type="checkbox"/> Pending<input type="checkbox"/> Failing
Link	
Link Type	Shows the type of link of the instruction, the restriction is linked with from the possible values: <ul style="list-style-type: none"><input type="checkbox"/> After<input type="checkbox"/> Before<input type="checkbox"/> With<input type="checkbox"/> Info
Reference Type	Shows the reference type of the instruction to be linked/unlinked to from the possible values: <ul style="list-style-type: none"><input type="checkbox"/> Account Owner Settlement Instruction Reference<input type="checkbox"/> Account Owner Settlement Instruction Reference<input type="checkbox"/> Account Owner Intra Balance Movement Reference<input type="checkbox"/> Account Owner Intra Position Movement Reference<input type="checkbox"/> Account Servicer Reference<input type="checkbox"/> Third Party reference<input type="checkbox"/> T2S reference
Reference	Shows the identification of the instruction to be linked/unlinked to.
Reference Owner	Shows the BIC of the instructing party of the instruction to be linked/unlinked to.

Intra-Balance Movement	
	This field is only filled in if the 'Reference Type' is set to any value different than 'T2S reference'.
Pool Instruction Reference	Shows the collective reference identifying a set of messages.
Pool Instruction Counter	Shows the maximum number of instructions included in the pool.
Pool Reference Owner BIC	Shows the BIC of the owner of the Pool Instruction Reference

Buttons

Amendment Instruction	<p>This function enables you to create a new amendment instruction for the selected settlement restriction on cash.</p> <p>This button is not available in case any status of the settlement restriction on cash does not allow the creation of the amendment instruction.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Amendment cash instruction - new screen</i> [418]
Cancellation Instruction	<p>This function enables you to create a new cancellation instruction for the selected settlement restriction on cash.</p> <p>This button is not available in case any status of the settlement restriction on cash does not allow the creation of the cancellation instruction.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Cancellation cash instruction - new screen</i> [428]
Revisions	<p>This function enables you to display the revisions of the selected settlement restriction on cash.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Revisions/audit trail – details screen</i> [670]
Related Outbound Messages	<p>This function enables you to display the outbound messages related to the selected settlement restriction on cash.</p> <p>Next screens:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Outbound messages – search/list screen</i> [654] (if the settlement restriction on cash is linked to more than one outbound message)

	<ul style="list-style-type: none">□ <i>Outbound message – details</i> screen [▶ 662] (if the settlement restriction on cash is linked to one outbound message only)
Related Inbound Messages	<p>This function enables you to display the inbound message related to the selected settlement restriction on cash.</p> <p>Next screens:</p> <ul style="list-style-type: none">□ <i>Inbound messages – search/list</i> screen [▶ 637] (if the settlement restriction on cash is linked to more than one inbound message)□ <i>Inbound message – details</i> screen [▶ 644] (if the settlement restriction on cash is linked to one inbound message only)
Copy Instruction	<p>This function enables you to copy fields to create a new settlement restriction on cash with the same attributes.</p> <p>Next screen:</p> <ul style="list-style-type: none">□ <i>Intra-balance movement – new</i> screen [▶ 445]
Status History	<p>This function enables you to display the status history of the selected settlement restriction on cash.</p> <p>Next screen:</p> <ul style="list-style-type: none">□ <i>Status history – details</i> screen [▶ 276]
Cash Balance Details	<p>This function enables you to display the cash balance details related to the selected settlement restriction on cash.</p> <p>Next screen:</p> <ul style="list-style-type: none">□ <i>T2S DCA balance - details</i> screen [▶ 370]
Links	<p>This function enables you to display all the links data preventing settlement at the moment when the request is performed.</p> <p>This button is not available in the following cases:</p> <ul style="list-style-type: none">□ Cancellation Status = “cancelled”□ Settlement Status = “settled” <p>Next screen:</p> <ul style="list-style-type: none">□ <i>Links Preventing Settlement -</i> screen [▶ 286]

2.3.3.7 Intra-Balance Movement - New Screen

Context of Usage

This screen contains a number of fields regarding settlement restrictions on cash (intra-balance movements). You can enter new data. Afterwards you can proceed further by clicking on the buttons below.

You can use this screen either in 2-eyes or in 4-eyes mode.

This screen is not relevant for CSD users.

Screen Access

- Cash >> Settlement >> Intra-Balance Movements >> *Intra-balance movements with current and historical status – search* screen >> Click on the *new* button
- Cash >> Settlement >> Intra-Balance Movements >> *Intra-balance movements with current and historical status – search* screen >> Click on the *search* button >> *Intra-balance movements – list* screen >> Click on the *details* button >> *Intra-balance movement – details* screen >> Click on the *copy* button
- Cash >> Cash Account >> Cash Account Balances >> *T2S DCA balances – search/list* screen >> Click on the *create intra balance movement* button

Privileges

To use this screen, you need the following privileges [▶ 1927]:

- Send new settlement restriction on cash on a dedicated cash account
- Link to an instruction/pool reference belonging to a specific party
- Unblock CoSD cash blocking related to cancelled CoSD settlement instructions on behalf of the relevant CSD in T2S
- Send new instruction using a specific instructing party

References

User Instructions Part

This screen is part of the following business scenarios:

- Enter a settlement restriction on cash [▶ 1372]
- Copy a settlement restriction on cash [▶ 1374]

Business Functionality Document

This screen corresponds to the following business function:

- Add settlement instruction or settlement restriction (T2S.GUI.SESE.INX.0070)

Screenshot

Illustration 91: Intra-balance movement - new screen

Field Description

Intra-Balance Movement	
Actor Reference*	<p>Enter the identification of the T2S actor.</p> <p>Required format is: max. 16 characters (SWIFT-x-red)</p> <p>References for error messages [▶ 2521]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> MVDC003 <input type="checkbox"/> MVDC005 <input type="checkbox"/> MVDC007 <input type="checkbox"/> MVDC015 <input type="checkbox"/> MVDC017 <input type="checkbox"/> MVDC019 <input type="checkbox"/> MVDC022 <input type="checkbox"/> MVDC023 <input type="checkbox"/> MVS705 <input type="checkbox"/> MVS708
Instructing Party Parent BIC	Select the parent BIC of the instructing party from the drop-down menu or search via the <i>magnifier</i> icon.

Intra-Balance Movement	
	<p>Required format is: max. 11 characters (SWIFT-x)</p> <p>References for error messages [▶ 2521]:</p> <ul style="list-style-type: none"><input type="checkbox"/> MVCV110<input type="checkbox"/> MVCV230<input type="checkbox"/> MVDC025<input type="checkbox"/> MVCP120<input type="checkbox"/> MVCV291<input type="checkbox"/> MVDC003<input type="checkbox"/> MVDC005<input type="checkbox"/> MVDC007<input type="checkbox"/> MVDC015<input type="checkbox"/> MVDC017<input type="checkbox"/> MVDC019<input type="checkbox"/> MVDC022<input type="checkbox"/> MVDC023<input type="checkbox"/> MVLI868 <p>In case the instructing party is not entered, the instructing party informed to the back end module will be the party you belong to. If the Instructing Party parent BIC is filled in, the Instructing Party BIC has to be filled in too.</p>
Instructing Party BIC	<p>Select the BIC of the instructing party from the drop-down menu or search via the <i>magnifier</i> icon.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>References for error messages [▶ 2521]:</p> <ul style="list-style-type: none"><input type="checkbox"/> MVCV110<input type="checkbox"/> MVCV230<input type="checkbox"/> MVDC003<input type="checkbox"/> MVDC005<input type="checkbox"/> MVDC007<input type="checkbox"/> MVDC015<input type="checkbox"/> MVDC017<input type="checkbox"/> MVDC019<input type="checkbox"/> MVDC022

Intra-Balance Movement	
	<ul style="list-style-type: none"><input type="checkbox"/> MVDC023<input type="checkbox"/> MVDC025<input type="checkbox"/> MVCP120<input type="checkbox"/> MVLI868 <p>In case the instructing party is not entered, the instructing party informed to the back end module will be the party you belong to. If the Instructing Party BIC is filled in, the Instructing Party Parent BIC has to be filled in too.</p>
Intended Settlement Date*	<p>Enter the date from which the instruction becomes eligible to be submitted to settlement or use the <i>calendar</i> icon.</p> <p>Required format is: YYYY-MM-DD</p> <p>References for error messages [2521]:</p> <ul style="list-style-type: none"><input type="checkbox"/> MVCA508<input type="checkbox"/> MVLI819<input type="checkbox"/> MVLI820<input type="checkbox"/> MVLI821<input type="checkbox"/> MVLI822<input type="checkbox"/> MVLI823<input type="checkbox"/> MVLI824<input type="checkbox"/> MVLI835<input type="checkbox"/> MVLI836<input type="checkbox"/> MVRR903<input type="checkbox"/> MVRR989<input type="checkbox"/> MVSD404<input type="checkbox"/> MCVV110<input type="checkbox"/> MCVV230<input type="checkbox"/> MVLI834
Corporate Action Event	<p>Enter the identification assigned by the account servicer to unambiguously identify a corporate action event.</p> <p>Required format is: max. 16 characters (SWIFT-x-red)</p>
Priority	<p>Select the level of priority assigned to the instruction from the possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> Empty (default value)

Intra-Balance Movement	
	<input type="checkbox"/> Normal <input type="checkbox"/> High <input type="checkbox"/> Reserved Reference for error message [▶ 2521]: <input type="checkbox"/> MVSP212
T2S Dedicated Cash Account Number*	Select the T2S dedicated cash account from the drop-down menu. References for error messages [▶ 2521]: <input type="checkbox"/> MVCA508 <input type="checkbox"/> MVCA512 <input type="checkbox"/> MVCP017 <input type="checkbox"/> MCVV291 <input type="checkbox"/> MCVV292 <input type="checkbox"/> MVRR925 <input type="checkbox"/> MVCP045
Amount*	Enter the amount stated in the instruction. Required format is: max. 15 digit(s) incl. decimal point (thereof max. 5 decimal places) References for error messages [▶ 2521]: <input type="checkbox"/> MVCU108 <input type="checkbox"/> MCVV255 <input type="checkbox"/> MVCA106 <input type="checkbox"/> MVSD404
T2S Dedicated Cash Account Owner	Enter the BIC of the T2S dedicated cash account owner. Required format is: max. 11 characters (SWIFT-x) Reference for error message [▶ 2521]: <input type="checkbox"/> MVCA512
Currency	Shows the currency stated in the instruction obtained from the dedicated cash account. It is automatically filled in when the T2S Dedicated Cash Account Number is selected. References for error messages [▶ 2521]: <input type="checkbox"/> MVCU102

Intra-Balance Movement	
	<input type="checkbox"/> MVCU104 <input type="checkbox"/> MVCA106 <input type="checkbox"/> MVSD404
Restriction Type - Balance From*	<p>You can choose to either enter the 'Restriction Type - Balance From' or to select it from the suggested items in the drop-down menu.</p> <p>This field also contains a description of the restriction.</p> <p>References for error messages [▶ 2521]:</p> <input type="checkbox"/> MVRR901 <input type="checkbox"/> MVRR905 <input type="checkbox"/> MVRR906 <input type="checkbox"/> MVRR910 <input type="checkbox"/> MVRR917 <input type="checkbox"/> MVRR924 <input type="checkbox"/> MVRR993 <input type="checkbox"/> MVRR994 <input type="checkbox"/> MVCP098
Restriction Type - Balance To*	<p>You can choose to either enter the 'Restriction Type - Balance To' or to select it from the suggested items in the drop-down menu.</p> <p>This field also contains a description of the restriction.</p> <p>References for error messages [▶ 2521]:</p> <input type="checkbox"/> MVRR905 <input type="checkbox"/> MVRR906 <input type="checkbox"/> MVRR910 <input type="checkbox"/> MVRR916 <input type="checkbox"/> MVRR924 <input type="checkbox"/> MVRR989 <input type="checkbox"/> MVRR992 <input type="checkbox"/> MVRR993 <input type="checkbox"/> MVRR994
Restriction Reference	<p>Enter the identification of the restriction applying on cash.</p> <p>Required format is: max. 16 characters (SWIFT-x-red)</p>

Intra-Balance Movement	
	References for error messages [▶ 2521]: <input type="checkbox"/> MVRR918 <input type="checkbox"/> MVRR924 <input type="checkbox"/> MVRR925 <input type="checkbox"/> MVRR978 <input type="checkbox"/> MVRR994
Link	
Link Type	Select a link processing position from the possible values: <input type="checkbox"/> After (default value) <input type="checkbox"/> Before <input type="checkbox"/> With References for error messages [▶ 2521]: <input type="checkbox"/> MVCP018 <input type="checkbox"/> MVLI805 <input type="checkbox"/> MVLI806 <input type="checkbox"/> MVLI807 <input type="checkbox"/> MVLI819 <input type="checkbox"/> MVLI820 <input type="checkbox"/> MVLI821 <input type="checkbox"/> MVLI822 <input type="checkbox"/> MVLI823 <input type="checkbox"/> MVLI824 <input type="checkbox"/> MVLI834 <input type="checkbox"/> MVLI835 <input type="checkbox"/> MVLI836 <input type="checkbox"/> MVLI852 <input type="checkbox"/> MVLI855 <input type="checkbox"/> MVLI858 <input type="checkbox"/> MVLI861 <input type="checkbox"/> MVLI864 <input type="checkbox"/> MVLI866 <input type="checkbox"/> MVLI873

Intra-Balance Movement	
	<input type="checkbox"/> MVL1876 This field is also available as a show field for existing records.
Reference Type	Select the reference type of the instruction to be linked/unlinked to from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Account Owner Settlement Instruction Reference <input type="checkbox"/> Account Owner Settlement Instruction Reference <input type="checkbox"/> Account Owner Intra Balance Movement Reference <input type="checkbox"/> Account Owner Intra Position Movement Reference <input type="checkbox"/> Account Servicer Reference <input type="checkbox"/> Third Party reference <input type="checkbox"/> T2S reference Required format is: max. 35 characters (SWIFT-x) Reference for error message [▶ 2521]: <ul style="list-style-type: none"> <input type="checkbox"/> MVCP099 This field is also available as a show field for existing records.
Reference	Enter the identification of the instruction to be linked/unlinked to. Required format is: max. 16 characters (SWIFT-x-red) References for error messages [▶ 2521]: <ul style="list-style-type: none"> <input type="checkbox"/> MVL1873 <input type="checkbox"/> MVL1869 <input type="checkbox"/> MVCP099 This field is also available as a show field for existing records.
Reference Owner	Enter the BIC of the instructing party of the instruction to be linked/unlinked to Required format is: max. 11 characters (SWIFT-x) If the 'Reference Type' is set to any value different than 'T2S reference' this field becomes mandatory. This field is also available as a show field for existing records.

Intra-Balance Movement	
Options	Information on functions (icons) can be found in Common Buttons and Icons [▶ 53].
Pool Instruction Reference	<p>Enter the collective identification identifying a set of messages.</p> <p>Required format is: max. 16 characters (SWIFT-x-red)</p> <p>References for error messages [▶ 2521]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> MVCP018 <input type="checkbox"/> MVLI836 <input type="checkbox"/> MVLI848 <input type="checkbox"/> MVLI868 <input type="checkbox"/> MVLI876
Pool Instruction Counter	<p>Enter the identification of the pool counter.</p> <p>Required format is: Integer</p> <p>References for error messages [▶ 2521]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> MVLI844 <input type="checkbox"/> MVLI846 <p>This field becomes mandatory when the pool instruction reference is filled in.</p>
Pool Reference Owner BIC	<p>Enter the BIC of the owner of the Pool Instruction Reference.</p> <p>The field 'Pool Reference Owner BIC' becomes mandatory when the field 'Pool Instruction Reference' is filled in.</p>

Buttons

Submit	<p>This function enables you to create a new intra-balance movement instruction. Upon clicking on the <i>submit</i> button you will be asked to enter your PIN for digital signature purposes (NRO). With the entry of the PIN, T2S attaches a digital signature to the instruction entered by the T2S actor.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Intra-balance movements – search/list</i> screen [▶ 431] <p>References for error messages [▶ 2521]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> MVCA106 <input type="checkbox"/> MVCA508
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- MVCA512
- MVCP017
- MVCP018
- MVCP045
- MVCP098
- MVCP099
- MVCP120
- MVCU102
- MVCU104
- MVCU108
- MVCV110
- MVCV230
- MVCV255
- MVCV291
- MVCV292
- MVDC003
- MVDC005
- MVDC007
- MVDC015
- MVDC017
- MVDC019
- MVDC022
- MVDC023
- MVDC025
- MVLI805
- MVLI806
- MVLI807
- MVLI819
- MVLI820
- MVLI821
- MVLI822
- MVLI823

- MVLI824
- MVLI834
- MVLI835
- MVLI836
- MVLI844
- MVLI846
- MVLI848
- MVLI852
- MVLI855
- MVLI858
- MVLI861
- MVLI864
- MVLI866
- MVLI868
- MVLI869
- MVLI873
- MVLI876
- MVRR901
- MVRR903
- MVRR905
- MVRR906
- MVRR910
- MVRR916
- MVRR917
- MVRR918
- MVRR924
- MVRR925
- MVRR978
- MVRR989
- MVRR992
- MVRR993
- MVRR994

	<input type="checkbox"/> MVSD404 <input type="checkbox"/> MVSP212 <input type="checkbox"/> MVS705 <input type="checkbox"/> MVS706 <input type="checkbox"/> MVS708
Cancel	<p>This function enables you to cancel the process and return to the previous screen.</p> <p>Next screen:</p> <input type="checkbox"/> <i>Intra-balance movements – search/list</i> screen [▶ 431]
Reset	<p>This function enables you to set all fields to default value and blanks out all optional fields.</p> <p>Next screen:</p> <input type="checkbox"/> <i>Intra-balance movement – new</i> screen

2.3.3.8 Maintenance Cash Instructions - Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for maintenance cash instructions. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.

This screen is not relevant for CSD users.

Screen Access

Cash >> Settlement >> Maintenance Cash Instructions

Privileges

To use this screen, you need the following privileges [▶ 1930]:

- Maintenance instruction for intra balance movements query
- Cancellation instruction for intra balance movements query
- Amendment instruction query for intra balance movements

References

User Instructions Part

This screen is part of the following business scenarios:

- View amendment instruction for a settlement restriction on cash details [▶ 1393]
- View cancellation instruction for a settlement restriction on cash details [▶ 1399]

Business Functionality Document

This screen corresponds to the following business function:

- Query instruction (T2S.GUI.SESE.INX.0010)
- Display instruction list (T2S.GUI.SESE.INX.0020)

Screenshot

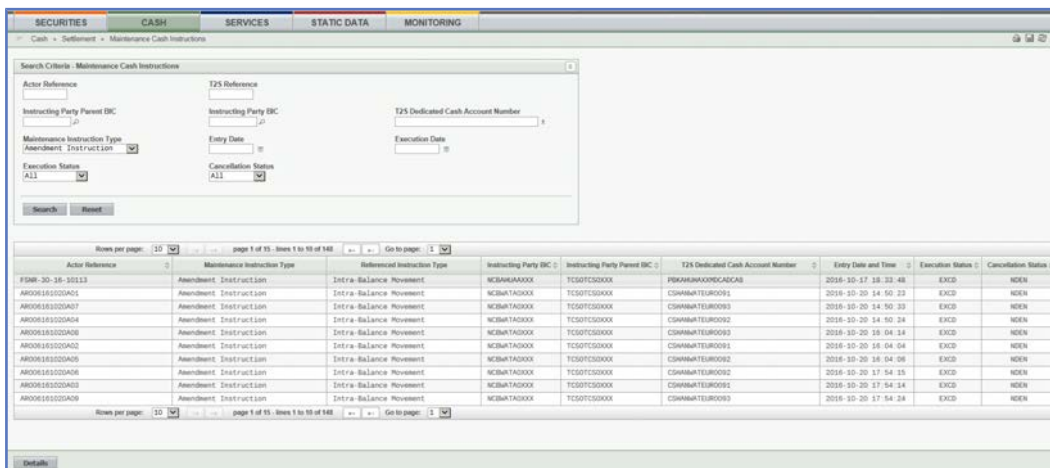


Illustration 92: Maintenance cash instructions - search/list screen

Field Description

Maintenance Cash Instructions – Search Criteria	
Referenced Instruction	
Actor Reference	Enter the reference assigned by the T2S actor to the referenced settlement restriction on cash. Required format is: max. 16 characters (SWIFT-x)
T2S Reference	Enter the identification of the reference assigned to the referenced settlement restriction on cash by T2S. Required format is: max. 16 characters (SWIFT-x)
General Criteria	
Instructing Party BIC	You can choose to either enter the BIC of the instructing party or to select it from the suggested items in the drop-down menu. Required format is: max. 11 characters (SWIFT-x) References for error messages [▶ 2569]: <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC084
Instructing Party Parent BIC	You can choose to either enter the parent BIC of the instructing party or to select it from the suggested items in the drop-down menu. Required format is: max. 11 characters (SWIFT-x). References for error messages [▶ 2569]:

Maintenance Cash Instructions – Search Criteria	
	<input type="checkbox"/> QMPC048 <input type="checkbox"/> QMPC084
T2S Dedicated Cash Account Number	Select the dedicated cash account from the drop-down menu. Required format is: max. 34 characters (SWIFT-x)
Maintenance Instruction Type	Select the type of the maintenance instruction from the possible values: <input type="checkbox"/> Cancellation instruction <input type="checkbox"/> Amendment instruction (default value)
Entry Date	Enter the date when the maintenance instruction was created in T2S or use the <i>calendar</i> icon. Required format is: YYYY-MM-DD Reference for error message [▶ 2569]: <input type="checkbox"/> QMPC095
Execution Date	Enter the business date when the maintenance instruction has been executed or use the <i>calendar</i> icon. Required format is: YYYY-MM-DD
Status criteria	
Execution Status	Select the execution status of the maintenance instruction from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Not executed <input type="checkbox"/> Executed
Cancellation Status	Select the cancellation status of the maintenance instruction from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Cancelled <input type="checkbox"/> Not cancelled
Maintenance Cash Instructions - List	
Actor Reference	Shows the reference assigned by the T2S actor to the referenced settlement restriction on cash.
Maintenance Instruction Type	Shows the type of maintenance instruction from the possible values: <input type="checkbox"/> Amendment instruction

Maintenance Cash Instructions – Search Criteria	
	<input type="checkbox"/> Cancellation instruction
Referenced Instruction Type	Shows the type of the referenced instruction. The only possible value is 'Intra-Balance Movement'.
Instructing Party BIC	Shows the BIC of the instructing party of the referenced settlement restriction.
Instructing Party Parent BIC	Shows the parent BIC of the instructing party of the referenced settlement restriction.
T2S Dedicated Cash Account Number	Shows the number of the dedicated cash account.
Entry Date and Time	Shows the date and time when the maintenance instruction has been created.
Execution Status	Shows the execution status of the maintenance instruction from the possible values: <input type="checkbox"/> Not Executed <input type="checkbox"/> Executed
Cancellation Status	Shows the cancellation status of the maintenance instruction from the possible values: <input type="checkbox"/> Not denied <input type="checkbox"/> Denied

Buttons

Buttons	
Search	<p>This function enables you to start a search according to the criteria entered. The results are displayed in a list on the next screen.</p> <p>If the search retrieves a single record, the <i>details</i> screen is displayed directly.</p> <p>Next screens:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Maintenance cash instructions – search/list</i> screen <input type="checkbox"/> <i>Amendment cash instruction - details</i> screen [▶ 413] <input type="checkbox"/> <i>Cancellation cash instruction - details</i> screen [▶ 425] <p>References for error messages [▶ 2569]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC031 <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC048 <input type="checkbox"/> QMPC084

	<ul style="list-style-type: none"> <input type="checkbox"/> QMPC095
Reset	<p>This function enables you to set default search criteria and blanks out all optional criteria.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Maintenance cash instructions – search/list</i> screen
Details	<p>This function enables you to display the details of the selected maintenance instruction.</p> <p>Next screens:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Amendment cash instruction – details</i> screen [▶ 413] <input type="checkbox"/> <i>Cancellation cash instruction – details</i> screen [▶ 425]

2.3.3.9 Status History - Details Screen

See *Status History – Details* Screen [▶ 276].

2.4 Services

2.4.1 Additional Services

2.4.1.1 Available Reports - Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for the latest available reports. The search results will be displayed in a list, which is sorted by the values of the 'Report Type' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.

There is no usage restriction for this screen.

Screen Access

Services >> Additional Services >> Reports

Privileges

To use this screen, you need the following privilege [▶ 1916]:

Report list query

References

User Instructions Part

This screen is part of the following business scenario:

View available reports

Business Functionality Document

This screen corresponds to the following business functions:

- Query generated reports (T2S.GUI.INSE.RPT.0070)
- Display generated reports list (T2S.GUI.INSE.RPT.0080)

Screenshot

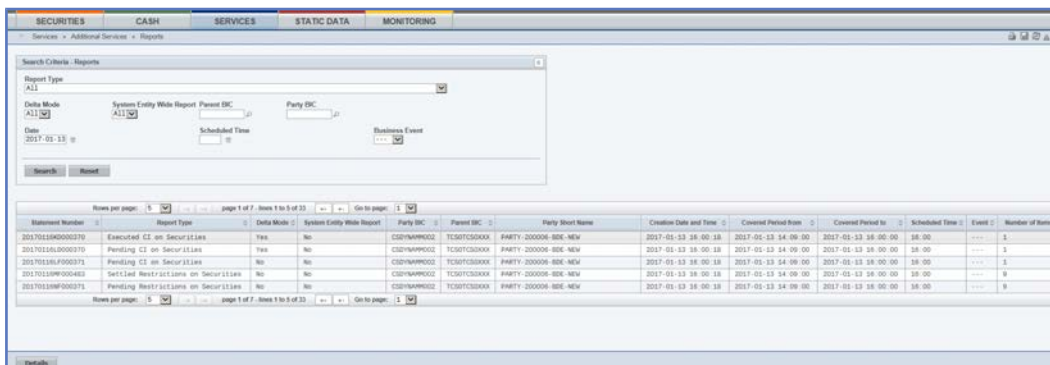


Illustration 93: Available reports - search/list screen

Field Description

Available Reports – Search Criteria	
Report Type	Select the type of report from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> All <input type="checkbox"/> Statement of holdings <input type="checkbox"/> Statement of transactions <input type="checkbox"/> Statement of pending instructions <input type="checkbox"/> Statement of settlement alleggements <input type="checkbox"/> Statement of accounts <input type="checkbox"/> Current settlement day cash information report <input type="checkbox"/> Daily Penalty List <input type="checkbox"/> Following settlement day cash forecast report <input type="checkbox"/> Following settlement day cash forecast report <input type="checkbox"/> List of Modified Penalties <input type="checkbox"/> Monthly Aggregated Amounts <input type="checkbox"/> Statement of executed amendment instructions for intra-balance movements <input type="checkbox"/> Statement of executed amendment instructions for intra-position movements and settlement instructions <input type="checkbox"/> Statement of executed cancellation instructions for intra-balance movements <input type="checkbox"/> Statement of executed cancellation instructions for intra-position movements and settlement instructions <input type="checkbox"/> Statement of pending amendment instructions for intra-balance movements

Available Reports – Search Criteria	
	<input type="checkbox"/> Statement of pending amendment instructions for intra-position movements and settlement instructions <input type="checkbox"/> Statement of pending cancellation instructions for intra-balance movements <input type="checkbox"/> Statement of pending cancellation instructions for intra-position movements and settlement instructions <input type="checkbox"/> Statement of pending intra-balance movements <input type="checkbox"/> Statement of pending intra-position movements <input type="checkbox"/> Statement of settled intra-balance movements <input type="checkbox"/> Statement of settled intra-position movements
Delta Mode	Select the mode of the report from the possible values: <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> All (default value)
System Entity Wide Report	Select whether the report is a community report (covering all information referring to a system entity) or a party based report (covering all information referring to a party) from the possible values: <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> All (default value)
Party BIC	Select the BIC of the party. References for error messages [▶ 2483]: <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC084
Parent BIC	Select the parent BIC of the party whose data are reported. References for error messages [▶ 2483]: <input type="checkbox"/> QMPC048 <input type="checkbox"/> QMPC084
Date	Enter the creation date of the report or use the <i>calendar</i> icon. Required format is: YYYY-MM-DD Reference for error message [▶ 2483]:

Available Reports – Search Criteria	
	<input type="checkbox"/> QMPC055
Scheduled Time	Enter the scheduled time of the (business) event triggering the report creation. Required format is: hh:mm If this field is filled, the ‘Business Event’ field must not be filled and vice versa.
Business Event	Select the business event. If this field is filled, the ‘Scheduled Time’ field must not be filled and vice versa.
Available Reports - List	
Statement Number	Shows the statement number of the report composed of the business date and report type (2-letter abbreviation depending on report type and Delta/Full-Flag) and the sequential number, which is unique in T2S. The number increases for each report.
Report Type	Shows the report type.
Delta Mode	Shows if the report is a delta report or not. Possible values are: <input type="checkbox"/> Yes <input type="checkbox"/> No
System Entity Wide Reports	Shows whether the report is a community report (covering all information referring to a system entity) or a party based report (covering all information referring to a party).
Party BIC	Shows the BIC of the data owning party.
Parent BIC	Shows the parent BIC of the party whose data are reported.
Party Short Name	Shows the short name of the party.
Creation Date and Time	Shows the date and time of the report creation. Displayed format is: YYYY-MM-DD hh:mm:ss
Covered Period from	Shows the date and time from which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Covered Period to	Shows the date and time until which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss

Available Reports – Search Criteria	
Scheduled Time	Shows the scheduled time which triggering the report creation.
Event	Shows the configured business event which triggered the creation of the report.
Number of Items	Shows the number of business items which are reported with this report.

Buttons

Search	<p>This function enables you to start a search according to the criteria entered. The results are displayed in a list on the same screen.</p> <p>If the search retrieves a single record, the <i>details</i> screen is displayed directly.</p> <p>Next screens:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Available reports – search/list</i> screen <input type="checkbox"/> <i>Available report – details</i> screen [▶ 465] <p>References for error messages [▶ 2483]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC032 <input type="checkbox"/> QMPC048 <input type="checkbox"/> QMPC055 <input type="checkbox"/> QMPC084
Reset	<p>This function enables you to set default search criteria and blanks out all optional criteria.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Available reports – search/list</i> screen
Details	<p>This function enables you to display the details of the selected report.</p> <p>Next screens:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Available report – [report type] details</i> screen [▶ 465] (In case the selected report consists of only 1 business item) <input type="checkbox"/> <i>Available report – details</i> screen [▶ 465] (In case the selected report consists of more than 1 business item)

2.4.1.2 Available Report - Details Screen

Context of Usage

This screen displays detailed information on created reports. You can check the data and proceed further by clicking on the button below.

There is no usage restriction for this screen.

Screen Access

Services >> Additional Services >> Reports >> Available reports – search/list screen >> Click on the search and/or details button

Privileges

To use this screen, you need the following privileges [**▶** 1910]:

- Report list query
- Report details query

References

User Instructions Part

This screen is part of the following business scenario:

- View available reports

Business Functionality Document

This screen corresponds to the following business function:

- Display generated reports list (T2S.GUI.INSE.RPT.0080)
- Generated reports query (T2S.GUI.INSE.RPT.0070)

Screenshot

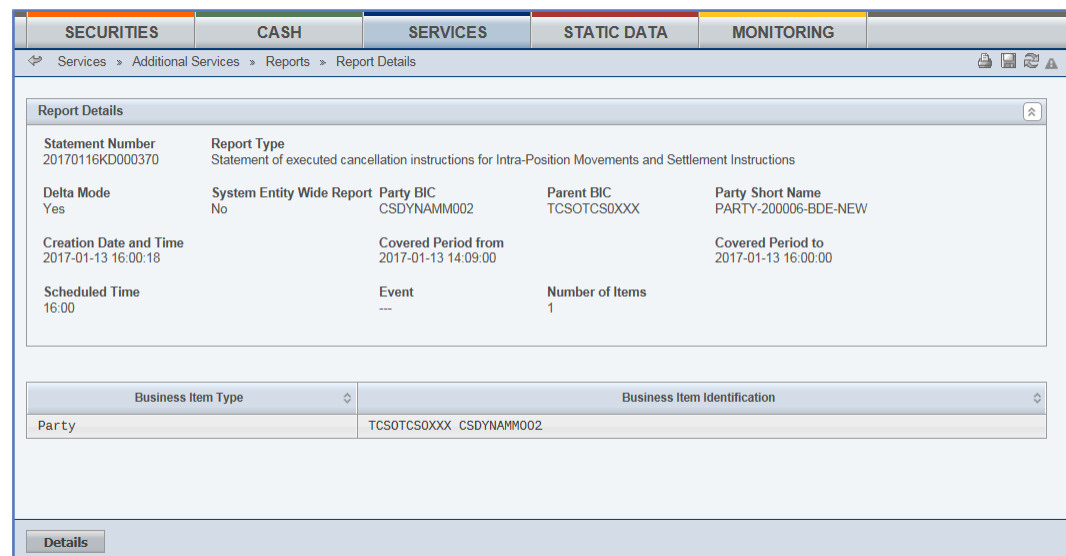


Illustration 94: Available report - details screen

Field

Description

Report Information	
Statement Number	Shows the statement number of the report composed of the business date and report type (2-letter-abbreviation depending on report type and delta/full-flag) and the sequential number, which is unique in T2S. The number increases for each report.
Report Type	Shows the type of the report.

Report Information	
	There is a predefined set of report types which are described in the published documents, e.g. statement of holdings.
Delta Mode	Shows if the report is a delta report or not. Possible values are: <input type="checkbox"/> Yes <input type="checkbox"/> No
System Entity Wide Report	Shows whether the report is a community report (covering all information referring to a party) or a party based report (covering all information to a party) from the possible values: <input type="checkbox"/> Yes <input type="checkbox"/> No
Party BIC	Shows the BIC of the data owning party.
Parent BIC	Shows the parent BIC of the party whose data are reported.
Party Short Name	Shows the short name of the party.
Creation Date and Time	Shows the date and time of the report creation. Displayed format is: YYYY-MM-DD hh:mm:ss
Covered Period from	Shows the date and time from which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Covered Period to	Shows the date and time until which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Scheduled Time	Shows the scheduled time which triggered the report creation. Displayed format is: hh:mm
Event	Shows the configured business event which triggered the creation of the report.
Number of Items	Shows the number of business items which are reported with this report.
Reported Business Item - List	
Business Item Type	Shows the information on the type of the report message segregating entity.

Report Information	
Business Item Identification	Shows the business identifier of the reported business item (T2S Dedicated Cash Account Number, Securities Account Number, Currency Code or Party BIC).
Button	
Details	<p>This function enables you to display the single business item of the selected report.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Available report – [Report Type] – details</i> screen

2.4.1.3 Available Report - Current Settlement Day Cash Information (Following Settlement Day Cash Forecast) Report - Details Screen

Context of Usage

This screen displays detailed information on the current settlement day cash information report (or on the selected settlement day cash information report for the following settlement day). These reports are similar and therefore described together.

The report projects the liquidity position at the end-of-day under consideration of settlement instructions, liquidity transfer orders and the calculated amount of outstanding credits in the system, at the moment of the report creation, which are valid for the current (or the following) business day.

The report displayed in this screen has to be already created in accordance with its report configuration.

This screen is not relevant for CSD, external CSD and CSD participant users.

Screen Access

- Services >> Additional Services >> Reports >> Available reports – search/list* screen >> Click on the *details* button
- Services >> Additional Services >> Reports >> Available reports – search/list* screen >> Click on the *search* and/or *details* button >> *Available report – details* screen >> Click on the *details* button

Privileges

To use this screen, you need the following privilege [1910]:

- Report details query

References

User Instructions Part

This screen is part of the following business scenario:

- View available reports

Business Functionality Document

This screen corresponds to the following business function:

Display generated report details (T2S.GUI.INSE.RPT.0090)

Screenshot

Illustration 95: Available report - current settlement day cash information - details screen

Field Description

General Information	
Report Name	Shows the name of the report.
Delta Mode	Shows the delta mode of the report, which is always 'No'.

General Information	
Statement Number	Shows the statement number of the report composed of the business date and report type (2-letter abbreviation depending on report type and delta/full-Flag) and the sequential number, which is unique in T2S. The number increases for each report.
Report Number	Shows the sequential number of the report which is specific for a report receiving party. This number will only be displayed if the sending user belongs to a report receiving party.
Scheduled	Shows the name of the business event or time triggering the report creation.
Covered Period from	Shows the date and time from which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Covered Period to	Shows the date and time until which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Report Owner BIC	Shows the BIC of the party whose data is reported on.
Report Owner Parent BIC	Shows the parent BIC of the party whose data is reported on.
System Entity Wide Report	Shows whether the report is a community report (covering all information referring to a system entity) or a party based report (covering all information referring to a party) from the possible values: <input type="checkbox"/> Yes <input type="checkbox"/> No
Forecast Date	Shows the date of the current (or following) settlement day. Displayed format is: YYYY-MM-DD
Statement	
Repetitive Block For Each Reported Dedicated Cash Account	
T2S Dedicated Cash Account Number	Shows the dedicated cash account number for which the report is created.
Currency	Shows the currency code.
T2S Dedicated Cash Account Owner BIC	Shows the BIC of the party owning the T2S dedicated cash account.

General Information	
T2S Dedicated Cash Account Owner Name	Shows the name of the party that owns the dedicated cash account.
T2S Dedicated Cash Account Servicer BIC	Shows the BIC of the party operating the T2S dedicated cash account.
Balance	
Repetitive Block For Each Reported Dedicated Cash Account	
Balance Type	Shows the type of the balance from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Actual cash balance ('Sum of cash balance on the T2S dedicated cash account') <input type="checkbox"/> Sum of Actual cash balance and pending liquidity transfers and settlement instructions eligible for cash forecast ('Projected sum of cash balance, liquidity transfer orders and settlement instructions') <input type="checkbox"/> Outstanding intraday credit from auto-collateralisation <input type="checkbox"/> Projected balance ¹⁷, i.e. Sum of cash balance and pending LTs and SI and Amount of Outstanding intraday credit from auto-collateralisation ('Sum projected cash balance')
Forecast Date	Shows the current date or the date of the next settlement day. Displayed format is: YYYY-MM-DD
Debit Credit Indicator	Shows if an operation is a credit or a debit from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> + (for 'Credit') <input type="checkbox"/> - (for 'Debit')
Balance	Shows the balance of the dedicated cash account. There will be always one cash balance per balance type.
Expected Postings	
Repetitive Block For Each Reported Dedicated Cash Account	

17 In UHB and T2S GUI, "projected balance" means the sum of cash balance and pending LTs and SIs and outstanding intraday credit from auto-collateralisation. In T2S UDFS and MyStandards, "projected balance" means the sum of cash balance and pending LTs and SIs (outstanding intraday credit from auto-collateralisation is not part of the calculation, i.e. is not subtracted).

General Information	
Posting Type	<p>Shows the type of posting to be settled until end of day (or to be effective for the forecast day) from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Debiting valid and eligible settlement instructions (i.e. matched and ready for settlement) <input type="checkbox"/> Debiting Settlement instructions, thereof non CORP released <input type="checkbox"/> Debiting Settlement instructions, thereof non CORP on hold <input type="checkbox"/> Debiting Settlement instructions, thereof CORP released <input type="checkbox"/> Debiting Settlement instructions, thereof CORP on hold <input type="checkbox"/> Crediting valid and eligible settlement instructions (i.e. matched and ready for settlement) <input type="checkbox"/> Crediting Settlement instructions, thereof non CORP released <input type="checkbox"/> Crediting Settlement instructions, thereof non CORP on hold <input type="checkbox"/> Crediting Settlement instructions, thereof CORP released <input type="checkbox"/> Crediting Settlement instructions, thereof CORP on hold <input type="checkbox"/> Debiting liquidity transfer orders
Number of Expected Postings	Shows the number of expected postings.
Debit Credit Indicator	<p>Shows if an operation is a credit or a debit from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> + (for 'Credit') <input type="checkbox"/> - (for 'Debit')
Expected postings	Shows the total amount (including currency) expected for all postings of the same posting type, to be settled until end of day.

2.4.1.4 Available Report - Statement of Accounts - Details Screen

Context of Usage

This screen displays detailed information on the selected statement of accounts. No new report will be created by entering this screen. The report will be displayed in a new window. The report displayed in this screen has to be already created in accordance with its report configuration.

For large reports the user has the option to view them in portions of up to 500 transactions. Only the current portion of the report will be available for a download or print.

This screen is not relevant for CSD, external CSD and CSD participant users.

Screen Access

- Services >> Additional Services >> Reports >> *Available reports – search/list* screen >> Click on the *details* button
- Services >> Additional Services >> Reports >> *Available reports – search/list* screen >> Click on the *search* and/or *details* button >> *Available report – details* screen >> Click on the *details* button

Privileges

To use this screen, you need the following privilege [**▶** 1911]:

- Report details query

References

User Instructions Part

This screen is part of the following business scenario:

- View available reports

Business Functionality Document

This screen corresponds to the following business function:

- Display generated report details (T2S.GUI.INSE.RPT.0090)

Screenshot

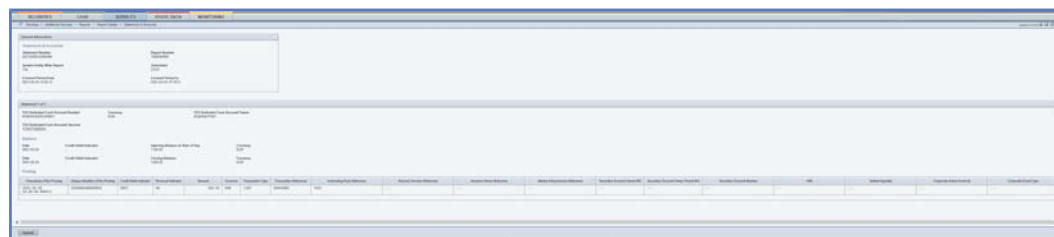


Illustration 96: Available report – statement of accounts – details screen

Field Description

General Statement Information	
Statement of Accounts	
Report Name	Shows the name of the report.
Delta Mode	Shows the delta mode of the report, which is always 'No'.

General Statement Information	
Statement Number	Shows the statement number of the report composed of the business date and report type (2-letter abbreviation depending on report type and Delta/Full-Flag) and the sequential number, which is unique in T2S. The number increases for each report.
Report Number	Shows the sequential number of the report which is specific for a report receiving party. This number will only be displayed if the sending user belongs to a report receiving party.
Scheduled	Shows the name of the business event or time triggering the report creation.
Covered Period from	Shows the date and time from which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Covered Period to	Shows the date and time until which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Report Owner BIC	Shows the BIC of the statement of accounts owning party.
Report Owner Parent BIC	Shows the parent BIC of the party whose statement of accounts is reported.
System Entity Wide Report	Shows whether the report is a community report (covering all information referring to a system entity) or a party based report (covering all information referring to a party) possible values: <input type="checkbox"/> True <input type="checkbox"/> False
Statement	
Repetitive Block For Each Reported Dedicated Cash Account	
T2S Dedicated Cash Account Number	Shows the T2S dedicated cash account number for which the report is created.
Currency	Shows the currency code.
T2S Cash Account Owner BIC	Shows the BIC of the party that owns the T2S dedicated cash account.
T2S Cash Account Servicer BIC	Shows the BIC of the party that operates the T2S dedicated cash account.
Balance	

General Statement Information	
Repetitive Block For Each Balance Type (Opening/ Closing) Within Every Statement Block	
Date	Shows the date of the balance. Displayed format is: YYYY-MM-DD
Debit Credit Indicator	Shows if an operation is a credit or a debit from the possible values: <input type="checkbox"/> + (for 'Credit') <input type="checkbox"/> - (for 'Debit')
Opening Balance at Start of Day/Closing Balance	Shows the balance of the T2S dedicated cash account.
Currency	Shows the currency code.
Postings	
Repetitive Block For Each Reported Dedicated Cash Account	
Date and Time of the Posting	Shows the date and the time when a booking took place. Displayed format is: YYYY-MM-DD hh:mm:ss
Posting Identifier	Shows the unique identification of a posting in T2S.
Debit Credit Indicator	Shows if an operation is a credit or a debit from the possible values: <input type="checkbox"/> + (for 'Credit') <input type="checkbox"/> - (for 'Debit')
Reversal Indicator	Indicates whether or not the entry is the result of a reversal. ¹⁸
Amount	Shows the amount of money.
Currency	Shows the currency code.
Transaction Type	Shows the proprietary bank transaction code related to the underlying transaction type.
Instructing Party Reference	Shows the unique identification assigned by the instructing party of the underlying transaction.
Transaction Reference	Shows the unique identification assigned by the underlying clearing system.
Account Servicer Reference	Shows the account servicer reference of the settlement instruction.

18 Please note that the described functionality won't be available before CSLD go-live.

General Statement Information	
	This field is only filled in, if the underlying instruction is a settlement instruction.
Account Owner Reference	Shows the account owner reference of the settlement instruction. This field is only filled in, if the underlying instruction is a settlement instruction.
Market Infrastructure Reference	Shows the identification of the settlement instruction as assigned by the T2S. This field is only filled in, if the underlying instruction is a settlement instruction.
Securities Account Owner BIC	Shows the BIC of the party owning the securities account. This field is only filled in, if the underlying instruction is a settlement instruction.
Securities Account Owner Parent BIC	Shows the parent BIC of the T2S party owning the securities account. This field is only filled in, if the underlying instruction is a settlement instruction.
Securities Account Number	Shows the number of the securities account to which the Dedicated Cash Account that this report refers to is linked and which was booked with the respective securities quantity
ISIN	Shows the ISIN of the security booked
Settled Quantity	Shows the quantity of securities that settled with this booking
Corporate Action Event Id	Shows the Corporate Action Event Id in case the Settlement Instruction is belonging to a Corporate Action
Corporate Action Event Type	Shows the value "OTHR", in case the Corporate Action Event Id is filled

2.4.1.5 Available Report - Statement of Executed Amendment Instructions for Intra-Balance Movements - Details Screen

Context of Usage

This screen displays detailed information on the selected statement of executed amendment instructions for intra-balance movements. The report in this screen has to be already created in accordance with its report configuration.

This screen is not relevant for CSD, external CSD and CSD participant users.

- Screen Access**
- Services >> Additional Services >> Reports >> *Available reports – search/list* screen >> Click on the *details* button
 - Services >> Additional Services >> Reports >> *Available reports – search/list* screen >> Click on the *details* button >> *Available report – details* screen >> Click on the *details* button

Privileges To use this screen you need the following privilege [▶ 1911]:

- Report details query

References **User Instructions Part**

This screen is part of the following business scenario:

- View available reports

Business Functionality Document

This screen corresponds to the following business function:

- Display generated report details (T2S.GUI.INSE.RPT.0090)

Screenshot

Illustration 97: Available report - statement of executed amendment instructions for intra-balance movements - details screen

Field Description

General Statement Information	
Report Name	Shows the name of the report
Delta Mode	Shows if the report is a delta report or not. Possible values are: <ul style="list-style-type: none"> <input type="checkbox"/> Yes <input type="checkbox"/> No

General Statement Information	
Statement Number	Shows the statement number of the report composed of the business date and report type (2-letter abbreviation depending on report type and delta/full-flag) and the sequential number, which is unique in T2S. The number increases for each report.
Report Number	Shows the sequential number of the report which is specific for a report receiving party. This number will only be displayed if the sending user belongs to a report receiving party.
Frequency	Shows the frequency of the report, which is always 'DAILY'.
Scheduled	Shows the name of the business event or time triggering the report creation.
Activity Indicator	Shows whether the report displays activities or only lists information from the possible values: <input type="checkbox"/> True <input type="checkbox"/> False
Covered Period from	Shows the date and time from which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Covered Period to	Shows the date and time until which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Report Owner BIC	Shows the BIC of the party whose data are reported.
Report Owner Parent BIC	Shows the parent BIC of the party whose data are reported.
System Entity Wide Report	Shows whether the report is a community report (covering all information referring to a system entity) or a party based report (covering all information referring to a party) from the possible values: <input type="checkbox"/> Yes <input type="checkbox"/> No
T2S Dedicated Cash Account Information	
T2S Dedicated Cash Account Number	Shows the T2S dedicated cash account number for which the report is created.

General Statement Information	
T2S Dedicated Cash Account Owner BIC	Shows the BIC of the party owning the T2S dedicated cash account.
T2S Dedicated Cash Account Servicer BIC	Shows the BIC of the party operating the T2S dedicated cash account.
Processing Status of the Amendment Instruction	
Repetitive Block For Each Amendment Instruction Of The Available Report	
Last Update of Status	Shows the date and time of the last status update. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Cancellation Status	Shows the status of the amendment instruction from the possible values: <input type="checkbox"/> Canc (for 'Cancelled') <input type="checkbox"/> Ncan (for 'Not Cancelled')
Execution Status	Shows the execution status of the amendment instruction from the possible values: <input type="checkbox"/> Excd (for 'Executed') <input type="checkbox"/> Nexd (for 'Not Executed')
Cancellation Status Reason Code	Shows the reason code for the cancellation.
Cancellation Status Additional Information	Shows additional information on the cancellation status.
Modification Information	
Actor Reference	Shows the identification of the instruction assigned by the T2S actor.
References assigned to the settlement restriction on the Cash Balance	
Account Owner Reference	Shows the identification of the instruction assigned by the account owner.
Account Servicer Reference	Shows the identification of the instruction assigned by the account servicer.
Amendment Instruction Technical Identifier	Shows the technical identification of the amendment instruction as assigned by T2S.
Third Party Reference	Shows the identification of the instruction assigned by a third party.

General Statement Information	
Linkage Type of Instruction	Shows the linkage type of the instruction from the possible values: <input type="checkbox"/> Link (for 'Linked') <input type="checkbox"/> Unlk (for 'Unlinked')
Priority	Shows the priority of the payment instruction assigned by the originator of the instruction from the possible values: <input type="checkbox"/> Normal <input type="checkbox"/> High <input type="checkbox"/> Top <input type="checkbox"/> Reserved
Further information on underlying settlement restriction	
Repetitive Block For Each Linked Instruction	
Settlement Amount	Shows the settlement amount which is restricted in the settlement restriction instruction.
Intended Settlement Date	Shows the date when the instruction is forwarded to settlement for the first time. Displayed format is: YYYY-MM-DD
Restriction Type Balance from	Shows the code to identify the restriction type balance from.
Restriction Type Balance to	Shows the code to identify the restriction type balance to.
Restriction Reference	Shows the identification of the restriction assigned by T2S.
Priority	Shows the priority of the payment instruction assigned by the originator of the instruction from the possible values: <input type="checkbox"/> Normal <input type="checkbox"/> High <input type="checkbox"/> Top <input type="checkbox"/> Reserved
Link Information	
Repetitive Block For Each Amendment Instruction Of The Available Report	
Link Type	Shows the link type of the instruction from the possible values:

General Statement Information	
	<input type="checkbox"/> Afte (for instruction has to be settled after or at the same time as the linked instruction) <input type="checkbox"/> Befo (for instruction has to be settled before or at the same time as the linked instruction) <input type="checkbox"/> With (for instruction has to be settled at the same time as the linked instruction) <input type="checkbox"/> Info (for specifies that the instructions are linked for information purposes only)
Actor Reference	Shows the identification of the instruction assigned by the T2S actor.
Instructing Party BIC	Shows the BIC of the instructing party.
Linked Instruction T2S Technical Identifier	Shows the technical identification of the linked instruction.
Message Number	Shows the message number.

2.4.1.6 Available Report - Statement of Executed Amendment Instructions for Intra-Position Movements and Settlement Instructions - Details Screen

Context of Usage

This screen displays detailed information on the selected statements of executed amendment instructions for intra-position movements and settlement instructions. The screen opens in a separate window.

This screen is not relevant for CB and payment bank users.

Screen Access

- Services >> Additional Services >> Reports >> *Available reports – search/list* screen >> Click on the *details* button
- Services >> Additional Services >> Reports >> *Available reports – search/list* screen >> Click on the *details* button >> *Available report – details* screen >> Click on the *details* button

Privileges

To use this screen, you need the following privilege [[▶ 1911](#)]:

- Report details query

References

User Instructions Part

This screen is part of the following business scenario:

- View available reports

Business Functionality Document

This screen corresponds to the following business function:

- Display generated report details (T2S.GUI.INSE.RPT.0090)

Screenshot

The screenshot shows a 'General Information' dialog box with the following data:

General Statement Information	
Report Statement of executed amendment instructions for Intra-Position Movements and Settlement Instructions	
Delta Mode Yes	Statement Number 20170116QD000372
Report Number 100003852	Scheduled 16:00
Frequency DAIL	Activity Indicator False
Covered Period from 2017-01-13 14:09:00	Covered Period to 2017-01-13 16:00:00
Report Owner BIC CSDYNAMM002	Report Owner Parent BIC TCSOTCS0XXX
System Entity Wide Report No	

Illustration 98: Available report - statement of executed amendment instruction for intra-position movements and settlement instructions – details screen

Field
Description

General Statement Information	
Report Name	Shows the name of the report.
Delta Mode	Shows if the report is a delta report or not. Possible values are: <input type="checkbox"/> Yes <input type="checkbox"/> No
Statement Number	Shows the statement number of the report composed of the business date and report type (2-letter abbreviation depending on report type and delta/full-flag) and the sequential number, which is unique in T2S. The number increases for each report.
Report Number	Shows the sequential number of the report which is specific for a report receiving party. This number will only be displayed if the sending user belongs to a report receiving party.
Scheduled	Shows the business event or time or time triggering the report creation.
Frequency	Shows the frequency of the report, which is always 'DAILY'.
Activity Indicator	Shows whether the report displays activities or only lists information from the possible values:

General Statement Information	
	<input type="checkbox"/> True <input type="checkbox"/> False
Covered Period from	Shows the date and time from which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Covered Period to	Shows the date and time until which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Report Owner BIC	Shows the BIC of the party whose data are reported.
Report Owner Parent BIC	Shows the parent BIC of the party whose data are reported.
System Entity Wide Report	Shows whether the report is a community report (covering all information referring to a system entity) or a party based report (covering all information referring to a party). from the possible values: <input type="checkbox"/> Yes <input type="checkbox"/> No
Amendment Instruction or Hold/Release Instruction Repetitive block for each amendment or hold/release instruction	
Securities Account Information	
Securities Account Number	Shows the number of the securities account.
Securities Account Owner BIC	Shows the BIC of the party owning the securities account.
Securities Account Owner Parent BIC	Shows the parent BIC of the party owning the securities account.
Status Information	
Execution Status	Shows the execution status of the instruction from the possible values: <input type="checkbox"/> EXCD (for executed) <input type="checkbox"/> NEXD (for not executed)
Cancellation Status	Shows the instruction status of the instruction from the possible values: <input type="checkbox"/> DEND (denied) <input type="checkbox"/> NDEN (not denied)

General Statement Information	
Last update of Status	Shows the date and time of the last status update. Displayed format is: YYYY-MM-DD hh:mm:ss
Instruction Information for Hold/Release Instruction	
This subframe is optional and only available for hold/release instructions	
Inbound Individual Message Identification	Shows the technical identification number of the inbound individual message.
Hold/Release Instruction Technical Identifier	Shows the technical identification number of the hold/release instruction.
Hold Status Type	Shows the type of hold status of the instruction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> CoSD hold <input type="checkbox"/> CSD hold <input type="checkbox"/> CSD validation hold <input type="checkbox"/> Party hold
On Hold Indicator	Shows whether the instruction is on hold or not from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Yes <input type="checkbox"/> No
CoSD Rule Identification	Shows the identification of the respective CoSD rule.
Instruction Information for amendment instructions	
This subframe is optional and only available for amendment instructions	
Amendment Instruction Technical Identifier	Shows the unique identification of the amendment instruction assigned by T2S.
Priority	Shows the priority of the payment instruction assigned by the originator of the instruction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> High <input type="checkbox"/> Normal <input type="checkbox"/> Reserved <input type="checkbox"/> Top
Partial Settlement Indicator	Shows if the settlement instruction is allowed to be subject to partial settlement from the possible values:

General Statement Information	
	<input type="checkbox"/> NPAR (for no partial settlement allowed) <input type="checkbox"/> PART (for partial settlement allowed without restrictions) <input type="checkbox"/> PARC (for partial settlement allowed but the settlement amount must not be below the threshold) <input type="checkbox"/> PARQ (for partial settlement allowed but the quantity must not be below the threshold)
Instruction Information for amendment instructions - Linkage Information	
This subframe is optional and only available for amendment instructions	
Linkage Type of Instruction	Shows the linkage type of the instruction from the possible values: <input type="checkbox"/> LINK (for linked) <input type="checkbox"/> UNLK (for unlinked)
Instruction Information for amendment instructions - Linkage Information – Instruction Link	
This subframe is optional and only available for amendment instructions	
Link Type	Shows the link type of the instruction from the possible values: <input type="checkbox"/> AFTE (Instruction has to be settled after or at the same time as the linked instruction) <input type="checkbox"/> BEFO (Instruction has to be settled before or at the same time as the linked instruction) <input type="checkbox"/> WITH (Instruction has to be settled at the same time as the linked instruction)
Linked Instruction T2S Technical Identifier	Shows the identification of the linked instruction assigned by T2S.
Linked Instruction Reference of Party	Shows the T2S actor reference of the LCMM instruction with which the relevant LCMM instruction is linked. If there is more than one link between LCMM instructions, the settlement link indicator is shown.
Received Account Owner BIC	Shows the BIC of the party who owns the identification of the instruction/pool to which this instruction is linked.
System Entity Reference Owner	Shows the identification of the system entity of the T2S party owner.

General Statement Information	
Message Number	Shows the identification of the message referenced in the linkage sequence.
Pool Instruction Reference	Shows the identification of the pool groups.
To be modified instruction	
Intra-Position Movement Information	
This subframe is optional and only available for intra-position movement information	
ISIN	Shows the ISIN of the security.
Original Settlement Quantity	Shows the quantity of securities to be settled.
Settlement Type	Shows the type of settlement from the possible values: <input type="checkbox"/> UNIT <input type="checkbox"/> FAMT
Intended Settlement Date	Shows the date when the instruction is forwarded to settlement for the first time. Displayed format is: YYYY-MM-DD
Restriction Type Balance from	Shows the restriction type code of a balance of a T2S dedicated cash account/position of a securities account which is debited.
Restriction Type Balance to	Shows a restriction type code of a balance of a T2S dedicated cash account/position of a securities account which is credited.
Restriction Reference	Shows the identification of the restriction assigned by T2S.
Priority	Shows the priority of the payment instruction assigned by the originator of the instruction from the possible values: <input type="checkbox"/> High <input type="checkbox"/> Normal <input type="checkbox"/> Reserved <input type="checkbox"/> Top
Intra-Position Movement Technical Identifier	Shows the unique technical identification of the intra-position movement assigned by T2S.

General Statement Information	
Account Owner Reference	Shows the identification of the instruction assigned by the delivering/receiving party.
Account Servicer Reference	Shows the identification of the transaction assigned by the CSD.
Third Party Reference	Shows the identification of the instruction assigned by a third party.
Settlement Instruction Information	
This subframe is optional and only available for settlement instruction information	
Settlement Instruction Technical Identifier	Shows the unique identification of the settlement instruction assigned by T2S.
Account Owner Reference	Shows the identification of the settlement instruction assigned by the delivering/receiving party.
Account Servicer Reference	Shows the identification of the settlement instruction assigned by the account servicer.
Third Party Reference	Shows the identification of the settlement instruction assigned by the third party.
ISIN	Shows the ISIN of the security.
Original Settlement Quantity	Shows the quantity of securities to be settled.
Settlement Type	Shows the type of settlement from the possible values: <input type="checkbox"/> UNIT (Units) <input type="checkbox"/> FAMT (Face amount)
Original Settlement Amount	Shows the amount as stated in the settlement instruction.
Settlement Currency	Shows the unique code of the currency.
Debit Credit Indicator	Shows if an operation is a credit or a debit from the possible values: <input type="checkbox"/> CRDT (for credit) <input type="checkbox"/> DBIT (for debit)
Intended Settlement Date	Shows the date when the instruction is forwarded to settlement for the first time. Displayed format is: YYYY-MM-DD
Trade Date	Shows the date when the contract was made.

General Statement Information	
	Displayed format is: YYYY-MM-DD
Delivering Settlement Parties	
This subframe is optional and only available for settlement instruction information	
Delivering Depository	
Party BIC	Shows the BIC of the delivering depository.
Delivering CSD Participant	
Party BIC	Shows the BIC of the CSD participant delivering the securities.
Processing Identification	Shows the unambiguous identification of the transaction as assigned by the delivering party.
Settlement Instruction Information – Settlement Parties – Delivering Settlement Parties – Client of the Delivering CSD Participant	
Specifying the party only via party BIC or its name or the triplet of identification, issuer and scheme name.	
Party BIC	Shows the BIC of the client of delivering CSD participant.
Identification	Shows the proprietary identification of the pre-mentioned party BIC.
Issuer	Shows the entity assigning the identification.
Scheme Name	Shows the name of the scheme.
Name	Shows the name of the client of delivering CSD participant.
Delivering Party 3	
Specifying the party only via party BIC or its name or the triplet of identification, issuer and scheme name.	
Party BIC	Shows the BIC of 'Delivering Party 3'.
Identification	Shows the proprietary identification of the pre-mentioned party BIC.
Issuer	Shows the entity assigning the identification.
Scheme Name	Shows the name of the scheme.
Name	Shows the name of 'Delivering Party 3'.
Delivering Party 4	
Specifying the party only via party BIC or its name or the triplet of identification, issuer and scheme name.	
Party BIC	Shows the BIC of 'Delivering Party 4'.

General Statement Information	
Identification	Shows the proprietary identification of the pre-mentioned party BIC.
Issuer	Shows the entity assigning the identification.
Scheme Name	Shows the name of the scheme.
Name	Shows the name of 'Delivering Party 4'.
Delivering Party 5	
Specifying the party only via party BIC or its name or the triplet of identification, issuer and scheme name.	
Party BIC	Shows the BIC of 'Delivering Party 5'.
Identification	Shows the proprietary identification of the pre-mentioned party BIC.
Issuer	Shows the entity assigning the identification. The fixed value is 'T2S'.
Scheme Name	Shows the name of the scheme.
Name	Shows the name of 'Delivering Party 5'.
Receiving Settlement Parties	
This subframe is optional and only available for settlement instruction information	
Receiving Depository	
Party BIC	Shows the BIC of the receiving depository.
Settlement Instruction Information – Settlement Parties – Receiving Settlement Parties – Receiving CSD Participant	
Party BIC	Shows the BIC of the CSD receiving the securities.
Processing Identification	Shows the unambiguous identification of the transaction as assigned by the receiving party.
Client of the Receiving CSD Participant	
Specifying the party only via party BIC or its name or the triplet of identification, issuer and scheme name.	
Party BIC	Shows the BIC of the client of receiving CSD participant.
Identification	Shows the proprietary identification of the pre-mentioned party BIC.
Issuer	Shows the entity assigning the identification.
Scheme Name	Shows the name of the scheme.
Name	Shows the name of the client of receiving CSD participant.

General Statement Information	
Receiving Party 3	
Specifying the party only via party BIC or its name or the triplet of identification, issuer and scheme name.	
Party BIC	Shows the BIC of Receiving Party 3'.
Identification	Shows the proprietary identification of the pre-mentioned party BIC.
Issuer	Shows the entity assigning the identification.
Scheme Name	Shows the name of the scheme.
Name	Shows the name of Receiving Party 3'.
Receiving Party 4	
Specifying the party only via party BIC or its name or the triplet of identification, issuer and scheme name.	
Party BIC	Shows the BIC of 'Receiving Party 4'.
Identification	Shows the proprietary identification of the pre-mentioned party BIC.
Issuer	Shows the entity assigning the identification.
Scheme Name	Shows the name of the scheme.
Name	Shows the name of 'Receiving Party 4'.
Receiving Party 5	
Specifying the party only via party BIC or its name or the triplet of identification, issuer and scheme name.	
Party BIC	Shows the BIC of 'Receiving Party 5'.
Identification	Shows the proprietary identification of the pre-mentioned party BIC.
Issuer	Shows the entity assigning the identification.
Scheme Name	Shows the name of the scheme.
Name	Shows the name of 'Receiving Party 5'.

2.4.1.7 Available Report - Statement of Executed Cancellation Instructions for Intra-Balance Movements - Details Screen

Context of Usage

This screen displays detailed information on the selected executed cancellation instruction for intra-balance movements.

The report displayed in this screen has to be already created in accordance with its report configuration.

This screen is not relevant for CSD, external CSD and CSD participant users.

Screen Access

- Services >> Additional Services >> Reports >> *Available reports – search/list* screen >> Click on the *details* button
- Services >> Additional Services >> Reports >> *Available reports – search/list* screen >> Click on the *search* and/or *details* button >> *Available report – details* screen >> Click on the *details* button

Privileges

To use this screen, you need the following privilege [**▶** 1911]:

- Report details query

References

User Instructions Part

This screen is part of the following business scenario:

- View available reports

Business Functionality Document

This screen corresponds to the following business function:

- Display generated report details (T2S.GUI.INSE.RPT.0090)

Screenshot

Illustration 99: Available report - statement of executed cancellation instructions for intra-balance movements - details screen

Field Description

General Statement Information	
Report Name	Shows the name of the report.
Delta Mode	Shows if the report is a delta report or not. Possible values are: <input type="checkbox"/> Yes

General Statement Information	
	<input type="checkbox"/> No
Statement Number	Shows the statement number of the report composed of the business date and report type (2-letter abbreviation depending on report type and Delta/Full-Flag) and the sequential number, which is unique in T2S. The number increases for each report.
Report Number	Shows the sequential number of the report which is specific for a report receiving party. This number will only be displayed if the sending user belongs to a report receiving party.
Frequency	Shows the frequency of the report, which is always 'DAILY'.
Scheduled	Shows the name of the business event or time triggering the report creation.
Activity Indicator	Shows whether the report displays activities or only lists information from the possible values: <input type="checkbox"/> True <input type="checkbox"/> False
Covered Period from	Shows the date and time from which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Covered Period to	Shows the date and time until which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Report Owner BIC	Shows the BIC of the party whose data are reported.
Report Owner Parent BIC	Shows the parent BIC of the party whose data are reported.
System Entity Wide Report	Shows whether the report is a community report (covering all information referring to a system entity) or a party based report (covering all information referring to a party) from the possible values: <input type="checkbox"/> True <input type="checkbox"/> False
General Information Repetitive block for each cancellation instruction for Intra-Balance Movement	

General Statement Information	
T2S Dedicated Cash Account Owner BIC	Shows the BIC of the party owning the T2S dedicated cash account.
T2S Dedicated Cash Account Owner Parent BIC	Shows the parent BIC of the party owning the dedicated cash account.
T2S Dedicated Cash Account Number	Shows the dedicated cash account number for which the report is created.
Request Reference	Shows the reference number of the request
Cancellation Status	Shows the status of the instruction from the possible values: <input type="checkbox"/> DEND (for denied) <input type="checkbox"/> NDEN (for not denied)
Reason Code	Shows the code of the reason why the instruction has a certain settlement status according to ISO20022.
Reason Code Description	Shows the detailed description of the reason code.
Execution Status	Shows the execution status of the instruction from the possible values: <input type="checkbox"/> EXCD (for executed) <input type="checkbox"/> NEXD (for not executed)
Reason Code	Shows the code of the reason why the instruction has a certain settlement status according to ISO 20022.
Reason Code Description	Shows the detailed description of the reason code.
Last Update of Status	Shows the date and time of the last status update. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Referenced Instruction	
References	
Account Owner Reference	Shows the identification of the instruction assigned by the T2S delivering/receiving party.
Account Servicer Reference	Shows the identification of the instruction assigned by the account servicer.
Third Party Reference	Shows the identification of the instruction assigned by a third party.

General Statement Information	
Intra-Balance Movement Reference	Shows the identification of the intra-balance movement.
Instruction Details	
Intended Settlement Date	Shows the date when the instruction is forwarded to settlement for the first time. Displayed format is: YYYY-MM-DD
Priority	Shows the priority of the payment instruction assigned by the originator of the instruction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> High <input type="checkbox"/> Normal <input type="checkbox"/> Reserved <input type="checkbox"/> Top
Settlement Amount	Shows the amount as stated in the settlement instruction.
Currency	Shows the currency code.
Restriction Reference	Shows the identification of the restriction assigned by T2S.
Balance from Type	Shows the code to identify the restriction type for the balance from.
Issuer	Shows the entity assigning the identification. The fixed value is 'T2S'.
Scheme Name	Shows the name of the scheme.
Balance to Type	Shows the code to identify the restriction type for the balance to.
Issuer	Shows the entity assigning the identification. The fixed value is 'T2S'.
Scheme Name	Shows the name of the scheme.

2.4.1.8 Available Report - Statement of Executed Cancellation Instructions for Intra-Position Movements and Settlement Instructions - Details Screen

Context of Usage

This screen displays detailed information on the selected executed cancellation instructions for intra-position movements and settlement instruction. The report displayed in this screen has to be already created in accordance with its report configuration.

This screen is not relevant for CB and payment bank/settlement bank users.

- Screen Access**
- Services >> Additional Services >> Reports >> *Available reports – search/list* screen >> Click on the *details* button
 - Services >> Additional Services >> Reports >> *Available reports – search/list* screen >> Click on the *search* and/or *details* button >> *Available report – details* screen >> Click on the *details* button

Privileges To use this screen, you need the following privilege [**▶ 1912**]:

- Report details query

References **User Instructions Part**

This screen is part of the following business scenario:

- View available reports

Business Functionality Document

This screen corresponds to the following business function:

- Display generated report details (T2S.GUI.INSE.RPT.0090)

Screenshot

The screenshot displays the 'Statement of Executed Cancellation Instructions' details screen. It features a top navigation bar with tabs for 'SECURITIES', 'CASH', 'SERVICES', 'STATIC DATA', and 'MONITORING'. The breadcrumb trail indicates the path: 'Services > Additional Services > Reports > Report Details > Statement of Executed Cancellation Instructions'. The main content is organized into two primary sections:

- General Information:** This section provides an overview of the report, including:
 - General Statement Information:** Report (Statement of executed cancellation instructions for Intra-Position Movements and Settlement Instructions), Delta Mode (No), Statement Number (20150519KF000029), Report Number (100004284), Scheduled (EESR), Frequency (DAIL), Activity Indicator (True), Covered Period from (2015-05-18 20:55:28), Covered Period to (2015-05-20 17:11:56), Report Owner BIC (LAVBINBBXXX), Report Owner Parent BIC (TCSOTCS0XXX), and System Entity Wide Report (Yes).
- Executed Cancellation Instruction 1 of 2:** This section details a specific instruction:
 - Cancellation Instruction Information:** Inbound LCMM Message Reference (IACNCL0406X01W3A), Securities Account (LAVBBLICSDPCNLCROSCSDK01), Account Owner (BLICLULXXX), and Account Servicer (LAVBINBBXXX).
 - References of underlying instruction:** Execution Status (---), Execution Status Reason Code (CANI), and Execution Status Additional Information (Cancelled by Yourself).
 - Underlying Instruction information:** Account Owner Reference (---), Account Servicer Reference (IACNCL0406X01W1A), and Third Party Reference (---).
 - T2S Reference:** 1505200049310720.

At the bottom of the screen, there are 'show Next' and 'show All' options, and a 'Cancel' button.

Illustration 100: Available report - statement of executed cancellation instructions for intra-position movements and settlement instructions - details screen

Field Description	General Statement Information	
Report Name		Shows the name of the report.
Delta Mode		Shows if the report is a delta report or not. Possible values are: <input type="checkbox"/> Yes <input type="checkbox"/> No
Statement Number		Shows the statement number of the report composed of the business date and report type (2-letter abbreviation depending on report type and Delta/Full-Flag) and the sequential number, which is unique in T2S. The number increases for each report.
Report Number		Shows the sequential number of the report which is specific for a report receiving party. This number will only be displayed if the sending user belongs to a report receiving party.
Frequency		Shows the frequency of the report from the possible value: <input type="checkbox"/> DAILY (for reports created on a daily basis)
Scheduled		Shows the name of the business event or time triggering the report creation.
Activity Indicator		Shows whether the report displays activities or only lists information from the possible values: <input type="checkbox"/> True <input type="checkbox"/> False
Covered Period from		Shows the date and time from which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Covered Period to		Shows the date and time until which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Report Owner BIC		Shows the BIC of the party whose data are reported.
Report Owner Parent BIC		Shows the parent BIC of the party whose data are reported.
System Entity Wide Report		Shows whether the report is a community report (covering all information referring to a system entity) or a party based report (covering all information referring to a party) from the possible values:

General Statement Information	
	<input type="checkbox"/> Yes <input type="checkbox"/> No
Cancellation Instruction Information	
Inbound LCMM Message Reference	Shows the reference assigned for a cancellation instruction by the sending party.
Securities Account Information	
Securities Account Number	Shows the number of the securities account.
Securities Account Owner BIC	Shows the BIC of the party owning the securities account.
Securities Account Servicer BIC	Shows the BIC of the securities account owner system entity.
Processing Status of the cancellation instruction	
Execution Status	Shows the execution status of the instruction from the possible values: <input type="checkbox"/> EXCD (for executed) <input type="checkbox"/> NEXD (for not executed)
Execution Status Reason Code	Shows the reason code according to ISO20022 why the related instruction is cancelled or the related cancellation request is executed.
Execution Status Additional Information	Shows additional information about the reason of the execution.
Execution Date and Time	Shows the business date when the LCMM instruction is executed or totally settled. Displayed format is: YYYY-MM-DD hh:mm:ss
Underlying Instruction Information	
Account Owner Reference	Shows the reference of an instruction as assigned by the T2S delivering/receiving party.
Account Servicer Reference	Shows the identification of the transaction assigned by the account servicer.
Third Party Reference	Shows the identification of the instruction assigned by a third party.
T2S Reference	Shows the identification of the instruction assigned by T2S.

General Statement Information	
ISIN	Shows the ISIN of the security.
Instruction Type	Shows the type of the instruction from the possible values: <input type="checkbox"/> SI (for settlement instruction) <input type="checkbox"/> SR (for settlement restriction)
Intended Settlement Date	Shows the date when the instruction is forwarded to settlement for the first time. Displayed format is: YYYY-MM-DD
Trade Date	Shows the date when the contract was made. Displayed format is: YYYY-MM-DD
Original Settlement Unit	Shows the number of securities which shall be settled.
Original Settlement Quantity Face Amount	Shows the face amount of securities which shall be settled.
Original Settlement Amount	Shows the amount as stated in the settlement instruction.
Currency	Shows the currency code.
Debit Credit Indicator	Shows if an operation is a credit or a debit from the possible values: <input type="checkbox"/> CRDT (for credit) <input type="checkbox"/> DBIT (for debit)
Priority	Shows the priority of the payment instruction assigned by the originator of the instruction from the possible values: <input type="checkbox"/> High <input type="checkbox"/> Normal <input type="checkbox"/> Reserved <input type="checkbox"/> Top
Restriction Reference	Shows the identification of the restriction assigned by T2S.
Restriction Type Balance from	Shows the code to identify the restriction type for the balance from
Issuer	Shows the entity assigning the identification.
Scheme Name	Shows the name of the scheme.

General Statement Information	
Restriction Type Balance to	Shows the code to identify the restriction type for the balance to
Issuer	Shows the entity assigning the identification.
Scheme Name	Shows the name of the scheme.
Settlement Parties	
Delivering Settlement Parties	
This subframe is optional and only available for settlement instruction information	
Delivering Depository	
Party BIC	Shows the BIC of the delivering depository.
Delivering CSD Participant	
Party BIC	Shows the BIC of the CSD participant delivering the securities.
Processing Identification	Shows the unambiguous identification of the transaction as assigned by the delivering party.
Client of the Delivering CSD Participant	
Specifying the party only via party BIC or its name or the triplet of identification, issuer and scheme name.	
Party BIC	Shows the BIC of the client of delivering CSD participant.
Identification	Shows the proprietary identification of the pre-mentioned party BIC.
Issuer	Shows the entity assigning the identification.
Scheme Name	Shows the name of the scheme.
Name	Shows the name of the client of delivering CSD participant.
Delivering Party 3	
Specifying the party only via party BIC or its name or the triplet of identification, issuer and scheme name.	
Party BIC	Shows the BIC of delivering party 3.
Identification	Shows the proprietary identification of the pre-mentioned party BIC.
Issuer	Shows the entity assigning the identification.
Scheme Name	Shows the name of the scheme.
Name	Shows the name of delivering party 3.

General Statement Information	
Delivering Party 4	
Specifying the party only via party BIC or its name or the triplet of identification, issuer and scheme name.	
Party BIC	Shows the BIC of delivering party 4.
Identification	Shows the proprietary identification of the pre-mentioned party BIC.
Issuer	Shows the entity assigning the identification.
Scheme Name	Shows the name of the scheme.
Name	Shows the name of delivering party 4.
Delivering Party 5	
Specifying the party only via party BIC or its name or the triplet of identification, issuer and scheme name.	
Party BIC	Shows the BIC of delivering party 5.
Identification	Shows the proprietary identification of the pre-mentioned party BIC.
Issuer	Shows the entity assigning the identification.
Scheme Name	Shows the name of the scheme.
Name	Shows the name of delivering party 5.
Receiving Settlement Parties	
This subframe is optional and only available for settlement instruction information	
Receiving Depository	
Party BIC	Shows the BIC of the receiving depository.
Underlying Instruction Information – Settlement Parties – Receiving Settlement Parties – Receiving CSD Participant	
Party BIC	Shows the BIC of the CSD receiving the securities.
Processing Identification	Shows the unambiguous identification of the transaction as assigned by the receiving party.
Client of the Receiving CSD Participant	
Specifying the party only via party BIC or its name or the triplet of identification, issuer and scheme name.	
Party BIC	Shows the BIC of the client of receiving CSD participant.
Identification	Shows the proprietary identification of the pre-mentioned party BIC.

General Statement Information	
Issuer	Shows the entity assigning the identification.
Scheme Name	Shows the name of the scheme.
Name	Shows the name of the client of receiving CSD participant.
Receiving Party 3	
Specifying the party only via party BIC or its name or the triplet of identification, issuer and scheme name.	
Party BIC	Shows the BIC of receiving party 3.
Identification	Shows the proprietary identification of the pre-mentioned party BIC.
Issuer	Shows the entity assigning the identification.
Scheme Name	Shows the name of the scheme.
Name	Shows the Name of receiving party 3.
Receiving Party 4	
Specifying the party only via party BIC or its name or the triplet of identification, issuer and scheme name.	
Party BIC	Shows the BIC of receiving party 4.
Identification	Shows the proprietary identification of the pre-mentioned party BIC.
Issuer	Shows the entity assigning the identification.
Scheme Name	Shows the name of the scheme.
Name	Shows the Name of receiving party 4.
Receiving Party 5	
Specifying the party only via party BIC or its name or the triplet of identification, issuer and scheme name.	
Party BIC	Shows the BIC of receiving party 5.
Identification	Shows the proprietary identification of the pre-mentioned party BIC.
Issuer	Shows the entity assigning the identification.
Scheme Name	Shows the name of the scheme.
Name	Shows the Name of receiving party 5.

2.4.1.9 Available Report - Statement of Holdings - Details Screen

Context of Usage	<p>This screen displays detailed information on the selected statement of holdings. No new report will be created by entering this screen. The statement provides information on the securities that are held within a securities account at the time of the report creation.</p> <p>This screen is not relevant for CB and payment bank/settlement bank users.</p>
Screen Access	<ul style="list-style-type: none"><input type="checkbox"/> Services >> Additional Services >> Reports >> <i>Available reports – search/list</i> screen >> Click on the <i>details</i> button<input type="checkbox"/> Services >> Additional Services >> Reports >> <i>Available reports – search/list</i> screen >> Click on the <i>search</i> and/or <i>details</i> button >> <i>Available report – details</i> screen >> Click on the <i>details</i> button
Privileges	<p>To use this screen, you need the following privilege [▶ 1912]:</p> <ul style="list-style-type: none"><input type="checkbox"/> Report details query
References	<p>User Instructions Part</p> <p>This screen is part of the following business scenario:</p> <ul style="list-style-type: none"><input type="checkbox"/> View available reports <p>Business Functionality Document</p> <p>This screen corresponds to the following business function:</p> <ul style="list-style-type: none"><input type="checkbox"/> Display generated report details (T2S.GUI.INSE.RPT.0090)

Screenshot



Illustration 101: Available report – statement of holdings – details screen

Field Description

General Statement Information	
Report Name	Shows the name of the report.
Delta Mode	Shows if the report is a delta report or not. Possible values are: <input type="checkbox"/> Yes

General Statement Information	
	<input type="checkbox"/> No
Statement Number	Shows the statement number of the report composed of the business date and report type (2-letter abbreviation depending on report type and Delta/Full-Flag) and the sequential number, which is unique in T2S. The number increases for each report.
Report Number	Shows the sequential number of the report which is specific for a report receiving party. This number will only be displayed if the sending user belongs to a report receiving party.
Frequency	Shows the frequency of the report from the possible value: <input type="checkbox"/> DAILY (for reports created on a daily basis)
Scheduled	Shows the name of the business event or time triggering the report creation.
Activity Indicator	Shows whether the report displays activities or only lists information from the possible values: <input type="checkbox"/> True <input type="checkbox"/> False
Statement Basis	Shows the basis of the statement from the possible value: <input type="checkbox"/> SETT (for settled) The statement basis is a mandatory field in the message. The ISO message allows several possible values, but T2S allows only 'SETT'.
Sub Account Indicator	Shows if the statement reports holdings at subsafekeeping account level.
Covered Period from	Shows the date and time from which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Covered Period to	Shows the date and time until which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Report Owner BIC	Shows the BIC of the party whose data are reported.
Report Owner Parent BIC	Shows the parent BIC of the party whose data are reported.

General Statement Information	
System Entity Wide Report	Shows whether the report is a community report (covering all information referring to a system entity) or a party based report (covering all information referring to a party) from one of the possible values: <input type="checkbox"/> True <input type="checkbox"/> False
Securities Account Information	
Securities Account Information	
Securities Account Number	Shows the number of the securities account on which the report is based.
Securities Account Owner BIC	Shows the BIC of the party owning the securities account.
Securities Account Servicer BIC	Shows the BIC of the party operating the securities account.
Information on the Positions of the Account	
Total Position of a ISIN	
ISIN	Shows the ISIN of the security.
Position Type	Shows the position of the ISIN from the possible values: <input type="checkbox"/> LONG (positive balance) <input type="checkbox"/> SHOR (negative balance)
Settlement Type	Shows the type of settlement from the possible values: <input type="checkbox"/> UNIT (Units) <input type="checkbox"/> FAMT (Face Amount)
Total Quantity	Shows the total quantity of the respective security. Provision of '99999...99' in case oversizing occurs in the integer portion. Truncation of decimals in case oversizing occurs in the decimal portion.
Availability	
Settlement Type	Shows the type of settlement from the possible values: <input type="checkbox"/> UNIT (Units) <input type="checkbox"/> FAMT (Face Amount)
Available Balance	Shows the total quantity the security that is available.

General Statement Information	
	Provision of '99999...99' in case oversizing occurs in the integer portion. Truncation of decimals in case oversizing occurs in the decimal portion.
Settlement Type	Shows the type of settlement from the possible values: <input type="checkbox"/> UNIT (Units) <input type="checkbox"/> FAMT (Face Amount)
Not Available Balance	Shows the total quantity of the security that is not available. Provision of '99999...99' in case oversizing occurs in the integer portion. Truncation of decimals in case oversizing occurs in the decimal portion.
Balance Breakdown per Restriction Processing Type	
Restriction Processing Type	Shows the type of restriction processing.
Settlement Type	Shows the type of settlement from the possible values: <input type="checkbox"/> UNIT (Units) <input type="checkbox"/> FAMT (Face Amount)
Quantity	Shows the quantity of securities in the sub-balance for the market-specific restriction processing type. Provision of '99999...99' in case oversizing occurs in the integer portion. Truncation of decimals in case oversizing occurs in the decimal portion.
Balance Breakdown per Restriction Type	
Restriction Type	Shows the identification and description of a restriction.
Settlement Type	Shows the type of settlement from the possible values: <input type="checkbox"/> UNIT (Units) <input type="checkbox"/> FAMT (Face Amount)
Quantity	Shows the quantity of securities in the sub-balance for the market-specific restriction type. Provision of '99999...99' in case oversizing occurs in the integer portion. Truncation of decimals in case oversizing occurs in the decimal portion.
Quantity Breakdown per Restriction	
Restriction Reference	Shows the identification of the restriction assigned to a restricted securities position by T2S.

General Statement Information	
Settlement Type	Shows the type of settlement from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> UNIT (Units) <input type="checkbox"/> FAMT (Face Amount)
Quantity	Shows the remaining quantity of a securities position. Provision of '99999...99' in case oversizing occurs in the integer portion. Truncation of decimals in case oversizing occurs in the decimal portion.

2.4.1.10 Available Report - Statement of Pending Amendment Instructions for Intra-Balance Movements - Details Screen

Context of Usage	<p>This screen displays detailed information on the selected statement of pending amendment instructions for intra-balance movements.</p> <p>The report displayed in this screen has to be already created in accordance with its report configuration.</p> <p>This screen is not relevant for CSD users, external CSD users or CSD participants.</p>
Screen Access	<ul style="list-style-type: none"> <input type="checkbox"/> Services >> Additional Services >> Reports >> <i>Available reports – search/list</i> screen >> Click on the <i>details</i> button <input type="checkbox"/> Services >> Additional Services >> Reports >> <i>Available reports – search/list</i> screen >> Click on the <i>search</i> and/or <i>details</i> button >> <i>Available report – details</i> screen >> Click on the <i>details</i> button
Privileges	<p>To use this screen, you need the following privilege [▶ 1912]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Report details query
References	<p>User Instructions Part</p> <p>This screen is part of the following business scenario:</p> <ul style="list-style-type: none"> <input type="checkbox"/> View available reports <p>Business Functionality Document</p> <p>This screen corresponds to the following business function:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Display generated report details (T2S.GUI.INSE.RPT.0090)

Screenshot

General Statement Information

Report Name Report 1	Mode DELTA
Statement Number 000000000012345	Report Number 12345
Frequency ADHOC	Scheduled End of Day
Activity Indicator True	Report Creation Date and Time 2012-07-05 13:12:54:89456
Covered Period 2012-06-05 00:00:00	Covered Period 2012-06-15 00:00:00
Report Owner BIC PAR1FRPPXXX	Report Owner Parent BIC CSD2ITRDXXX
System Entity Wide Report No	

T2S Dedicated Cash Account Information

T2S Dedicated Cash Account Number 1234567890	T2S Dedicated Cash Account Owner BIC CSD5ITRDXXX	T2S Dedicated Cash Account Servicer BIC PAR3FRPPXXX
--	--	---

Processing Status

Processing Status of the Amendment Instruction

Last Update of Status 2012-06-11 10:22:12:00000	Cancellation Status NDEN	
Execution Status NEXD	Cancellation Status Reason Code ---	Cancellation Status Additional Information ---

Modification Information

Actor Reference 12345	T2S Dedicated Cash Account Servicer Reference 54321	Amendment Instruction Technical Identifier T2S-A-009-AA
Third Party Reference 3RD_BXX	Linkage Type of Instruction LINK	Priority Normal

Link Information

Link Type	User Reference	Instructing Party BIC	Instructing Party Parent BIC	Linked Instruction T2S Technical Identifier	Message Number
INFO	REF-2012-L-2	PAR1FRPPXXX	CSD1DEFFXXX	TECH-ID-12-827301	MSG-ID-3554-12
WITH	REF-2012-L-1	PAR1FRPPXXX	CSD1DEFFXXX	TECH-ID-12-827299	MSG-ID-3421-12

Further Information on Underlying Settlement Restriction

Settlement Amount 123,123,123.12345	Intended Settlement Date 2012-06-12	
Restriction Type Balance from DELI	Restriction Type Balance To BLK1	Restriction Reference 34512
Priority Normal		

Illustration 102: Available report – statement of pending amendment instruction for intra-balance movements – details screen

Field Description

General Statement Information	
Report Name	Shows the name of the report.
Delta Mode	Shows if the report is a delta report or not. Possible values are: <ul style="list-style-type: none"> <input type="checkbox"/> Yes <input type="checkbox"/> No

General Statement Information	
Statement Number	Shows the statement number of the report composed of the business date and report type (2-letter abbreviation depending on report type and Delta/Full-Flag) and the sequential number, which is unique in T2S. The number increases for each report.
Report Number	Shows the sequential number of the report which is specific for a report receiving party. This number will only be displayed if the sending user belongs to a report receiving party.
Frequency	Shows the frequency of the report, which is always 'DAILY'.
Scheduled	Shows the name of the business event or time triggering the report creation.
Activity Indicator	Shows whether the report displays activities or only lists information from the possible values: <input type="checkbox"/> True <input type="checkbox"/> False
Covered Period From	Shows the date and time from which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Covered Period To	Shows the date and time until which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Report Owner BIC	Shows the BIC of the party whose data are reported.
Report Owner Parent BIC	Shows the parent BIC of the party whose data are reported.
System Entity Wide Report	Shows whether the report is a community report (covering all information referring to a system entity) or a party based report (covering all information referring to a party) from the possible values: <input type="checkbox"/> Yes <input type="checkbox"/> No
T2S Dedicated Cash Account Information Repetitive Block For Each Amendment Instruction	

General Statement Information	
T2S Dedicated Cash Account Number	Shows the T2S dedicated cash account for which the report is created.
Account Owner Reference	Shows the BIC of the party owning the T2S dedicated cash account.
Account Servicer Reference	Shows the BIC of the party operating the T2S dedicated cash account.
Processing Status	
Repetitive Block For Each Amendment Instruction	
Processing Status of the Amendment Instruction	
Last Update of Status	Shows the date and time of the last status update. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Cancellation Status	Shows the status of the instruction from the possible values: <input type="checkbox"/> cancelled <input type="checkbox"/> not cancelled
Execution Status	Shows the execution status of the instruction from the possible values: <input type="checkbox"/> EXCD (for executed) <input type="checkbox"/> NEXD (for not executed)
Cancellation Status Reason Code	Shows the reason code for the cancellation.
Cancellation Status Additional Information	Shows additional information on the cancellation status.
Modification Information	
Actor Reference	Shows the identification of the instruction assigned by the T2S actor.
References assigned to the settlement restriction on the Cash Balance	
Account Owner Reference	Shows the identification of the instruction as assigned by the T2S Delivering/Receiving Party.
Account Servicer Reference	Shows the identification of the instruction as assigned by the account servicer.
Amendment Instruction Technical Identifier	Shows the identification of the amendment instruction assigned by T2S.

General Statement Information	
Third Party Reference	Shows the identification of the instruction assigned by a third party.
Linkage Type of Instruction	Shows the linkage type of the instruction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> LINK (for linked) <input type="checkbox"/> UNLK (for unlinked)
Priority	Shows the priority of the payment instruction assigned by the originator of the instruction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> High <input type="checkbox"/> Normal <input type="checkbox"/> Reserved <input type="checkbox"/> Top
Link Information	
Link Type	Shows the link type of the instruction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> AFTE (Instruction has to be settled after or at the same time as the linked instruction) <input type="checkbox"/> BEFO (Instruction has to be settled before or at the same time as the linked instruction) <input type="checkbox"/> WITH (Instruction has to be settled at the same time as the linked instruction)
Actor Reference	Shows the identification of the instruction assigned by the instructing party.
Instructing Party BIC	Shows the BIC of the instructing party.
Linked Instruction T2S Technical Identifier	Shows the technical identification of the linked instruction.
Message Number	Shows the ISO code of the XML message.
Further Information on Underlying Settlement Restriction	
Repetitive Block For Each Amendment Instruction	
Settlement Amount	Shows the amount as stated in the settlement restriction.
Intended Settlement Date	Shows the date when the instruction is forwarded to settlement for the first time. Displayed format is: YYYY-MM-DD

General Statement Information	
Restriction Type Balance from	Shows the code to identify the restriction type for the balance from.
Restriction Type Balance To	Shows the code to identify the restriction type for the balance to.
Restriction Reference	Shows the identification of the restriction assigned by T2S.
Priority	Shows the priority of the payment instruction assigned by the originator of the instruction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> High <input type="checkbox"/> Normal <input type="checkbox"/> Reserved <input type="checkbox"/> Top

2.4.1.11 Available Report - Statement of Pending Amendment Instructions for Intra-Position Movements and Settlement Instructions - Details Screen

Context of Usage

This screen displays detailed information on the selected statement of pending amendment instructions for intra-position movements and settlement instructions.

The report displayed in this screen has to be already created in accordance with its report configuration.

This screen is not relevant for CB and payment bank/settlement bank users.

Screen Access

Services >> Additional Services >> Reports >> *Available reports – search/list* screen >> Click on the *details* button

Services >> Additional Services >> Reports >> *Available reports – search/list* screen >> Click on the *search* and/or *details* button >> *Available report – details* screen >> Click on the *details* button

Privileges

To use this screen, you need the following privilege [[▶ 1913](#)]:

Report details query

References

User Instructions Part

This screen is part of the following business scenario:

View available reports

Business Functionality Document

This screen corresponds to the following business function:

Display generated report details (T2S.GUI.INSE.RPT.0090)

Screenshot

General Information		
General Statement Information		
Report Statement of pending amendment instructions for Intra-Position Movements and Settlement Instructions		
Delta Mode Yes	Statement Number 20160628RD000326	Report Number 100003891
Frequency DAIL	Scheduled C1R1	Activity Indicator False
Covered Period from 2016-06-27 11:22:24	Covered Period to 2016-06-27 12:38:43	Report Owner BIC FITBITX1XXX
Report Owner Parent BIC TCSOTCS0XXX	System Entity Wide Report Yes	

Illustration 103: Available report – statement of pending amendment instructions for intra-position movements and settlement instructions – details screen

Field
Description

General Statement Information	
Report Name	Shows the name of the report.
Delta Mode	Shows if the report is a delta report or not. Possible values are: <input type="checkbox"/> Yes <input type="checkbox"/> No
Statement Number	Shows the statement number of the report composed of the business date and report type (2-letter abbreviation depending on report type and Delta/Full-Flag) and the sequential number, which is unique in T2S. The number increases for each report.
Report Number	Shows the sequential number of the report which is specific for a report receiving party. This number will only be displayed if the sending user belongs to a report receiving party.
Scheduled	Shows the name of the business event or time triggering the report creation.
Frequency	Shows the frequency of the report, which is always 'DAILY'.
Activity Indicator	Shows whether the report displays activities or only lists information from the possible values: <input type="checkbox"/> True <input type="checkbox"/> False

General Statement Information	
Covered Period from	Shows the date and time from which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Covered Period to	Shows the date and time until which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Report Owner BIC	Shows the BIC of the party whose data are reported.
Report Owner Parent BIC	Shows the parent BIC of the party whose data are reported.
System Entity Wide Report	Shows whether the report is a community report (covering all information referring to a system entity) or a party based report (covering all information referring to a party) from the possible values: <input type="checkbox"/> Yes <input type="checkbox"/> No
Amendment Instruction or Hold/Release Instruction Repetitive block for each amendment or hold/release instruction	
Securities Account Information	
Securities Account Number	Shows the number of the securities account on which the report is based.
Securities Account Owner BIC	Shows the BIC of the party owning the securities account.
Securities Account Owner Parent BIC	Shows the parent BIC of the party owning the securities account.
Status Information	
Execution Status	Shows the execution status of the instruction from the possible values: <input type="checkbox"/> EXCD (for executed) <input type="checkbox"/> NEXD (for not executed)
Instruction Status	Shows the status of the instruction from the possible values: <input type="checkbox"/> DEND (for denied) <input type="checkbox"/> NDEN (for not denied)
Last Update of Status	Shows the date and time of the last status update. Displayed format is: YYYY-MM-DD hh:mm:ss

General Statement Information	
Instruction Information for Hold/Release Instruction	
This subframe is optional and only available for hold/release instructions	
Inbound Individual Message Identification	Shows the identification of the inbound message.
Hold/Release Instruction Technical Identifier	Shows the unique identification of the hold/release instruction assigned by T2S.
Hold Status Type	Shows the status of the hold type from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> CoSD Hold <input type="checkbox"/> CSD Hold <input type="checkbox"/> CSD Validation Hold <input type="checkbox"/> Party Hold
On Hold Indicator	Shows whether the instruction is on hold from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> YES <input type="checkbox"/> NO
CoSD Rule Identification	Shows the identification of the respective CoSD rule.
Instruction Information for Amendment Instructions	
This subframe is optional and only available for amendment instructions	
Amendment Instruction Technical Identifier	Shows the unique identification of the amendment instruction assigned by T2S.
Priority	Shows the priority of the payment instruction assigned by the originator of the instruction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> High <input type="checkbox"/> Normal <input type="checkbox"/> Reserved <input type="checkbox"/> Top
Partial Settlement Indicator	Shows if the settlement instruction is allowed to be subject to partial settlement from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> NPAR (for no partial settlement allowed)

General Statement Information	
	<input type="checkbox"/> PART (for partial settlement allowed without restrictions) <input type="checkbox"/> PARC (for partial settlement allowed but the settlement amount must not be below the threshold) <input type="checkbox"/> PARQ (for partial settlement allowed but the quantity must not be below the threshold)
Instruction Information for amendment instructions - Linkage Information	
This subframe is optional and only available for amendment instructions	
Linkage Type of Instruction	Shows the linkage type of the instruction from the possible values: <input type="checkbox"/> LINK (for linked) <input type="checkbox"/> UNLK (for unlinked)
Instruction Information for amendment instructions - Linkage Information – Instruction Link	
This subframe is optional and only available for amendment instructions	
Link Type	Shows the link type of the instruction from the possible values: <input type="checkbox"/> AFTE (Instruction has to be settled after or at the same time as the linked instruction) <input type="checkbox"/> BEFO (Instruction has to be settled before or at the same time as the linked instruction) <input type="checkbox"/> WITH (Instruction has to be settled at the same time as the linked instruction)
Linked Instruction T2S Technical Identifier	Shows the identification of the linked instruction assigned by T2S.
Linked Instruction Reference of Party	Shows the T2S actor reference of the LCMM instruction with which the relevant LCMM instruction is linked. If there is more than 1 link between LCMM instructions, the settlement link indicator is shown.
Received Account Owner BIC	Shows the BIC of the party who owns the identification of the instruction/pool to which this instruction is linked.
System Entity Reference Owner	Shows the identification of the system entity of the T2S party owner.
Message Number	Shows the identification of the message referenced in the linkage sequence.

General Statement Information	
Pool Instruction Reference	Shows the identification of the pool groups.
Intra-Position Movement Information This subframe is optional and only available for intra-position movement information	
ISIN	Shows the ISIN of the security.
Original Settlement Quantity	Shows the quantity of securities to be settled.
Settlement Type	Shows the type of settlement from the possible values: <input type="checkbox"/> UNIT (Units) <input type="checkbox"/> FAMT (Face amount)
Intended Settlement Date	Shows the date when the instruction is forwarded to settlement for the first time. Displayed format is: YYYY-MM-DD
Restriction Type Balance From	Shows a restriction type code of the position of a securities account which is debited.
Restriction Type Balance To	Shows a restriction type code of the position of a securities account which is credited.
Restriction Reference	Shows the identification of the restriction assigned by T2S.
Priority	Shows the priority of the payment instruction assigned by the originator of the instruction from the possible values: <input type="checkbox"/> High <input type="checkbox"/> Normal <input type="checkbox"/> Reserved <input type="checkbox"/> Top
Intra-Position Movement Technical Identifier	Shows the unique technical identification of the intra-position movement assigned by T2S.
Account Owner Reference	Shows the identification of the instruction assigned by the delivering/receiving party.
Account Servicer Reference	Shows the identification of the transaction assigned by the CSD.
Third Party Reference	Shows the identification of the instruction assigned by a third party.

General Statement Information	
Settlement Instruction Information	
This subframe is optional and only available for settlement instruction information	
Settlement Instruction Technical Identifier	Shows the unique identification of the settlement instruction assigned by T2S.
Account Owner Reference	Shows the identification of the settlement instruction assigned by the delivering/receiving party.
Account Servicer Reference	Shows the identification of the settlement instruction assigned by the CSD.
Third Party Reference	Shows the identification of the settlement instruction assigned by the third party.
ISIN	Shows the ISIN of the security.
Original Settlement Quantity	Shows the quantity of securities to be settled.
Settlement Type	Shows the type of settlement from the possible values: <input type="checkbox"/> UNIT (Units) <input type="checkbox"/> FAMT (Face amount)
Original Settlement Amount	Shows the amount as stated in the settlement instruction.
Settlement Currency	Shows the unique code of the currency.
Debit Credit Indicator	Shows if an operation is a credit or a debit from the possible values: <input type="checkbox"/> CRDT (for credit) <input type="checkbox"/> DBIT (for debit)
Intended Settlement Date	Shows the date when the instruction is forwarded to settlement for the first time. Displayed format is: YYYY-MM-DD
Trade Date	Shows the date when the contract was made. Displayed format is: YYYY-MM-DD
Settlement Parties	
This subframe is optional and only available for settlement instruction information	
Delivering Settlement Parties	
Delivering Depository	

General Statement Information	
Party BIC	Shows the BIC of the delivering depository.
Delivering CSD Participant	
Party BIC	Shows the BIC of the CSD participant delivering the securities.
Processing Identification	Shows the unambiguous identification of the transaction as assigned by the delivering party.
Client of the Delivering CSD Participant	
Specifying the party only via party BIC or its name or the triplet of identification, issuer and scheme name.	
Party BIC	Shows the BIC of the client of delivering CSD participant.
Identification	Shows the proprietary identification of the pre-mentioned party BIC.
Issuer	Shows the entity assigning the identification.
Scheme Name	Shows the name of the scheme.
Name	Shows the name of the client of delivering CSD participant.
Delivering Party 3	
Specifying the party only via party BIC or its name or the triplet of identification, issuer and scheme name.	
Party BIC	Shows the BIC of delivering party 3.
Identification	Shows the proprietary identification of the pre-mentioned party BIC.
Issuer	Shows the entity assigning the identification.
Scheme Name	Shows the name of the scheme.
Name	Shows the BIC of delivering party 3.
Delivering Party 4	
Specifying the party only via party BIC or its name or the triplet of identification, issuer and scheme name.	
Party BIC	Shows the BIC of delivering party 4.
Identification	Shows the proprietary identification of the pre-mentioned party BIC.
Issuer	Shows the entity assigning the identification.
Scheme Name	Shows the name of the scheme.
Name	Shows the name of delivering party 4.

General Statement Information	
Delivering Party 5	
Specifying the party only via party BIC or its name or the triplet of identification, issuer and scheme name.	
Party BIC	Shows the BIC of delivering party 5.
Identification	Shows the proprietary identification of the pre-mentioned party BIC.
Issuer	Shows the entity assigning the identification. The fixed value is 'T2S'.
Scheme Name	Shows the name of the scheme.
Name	Shows the name of delivering party 5.
Receiving Settlement Parties	
Receiving Depository	
Party BIC	Shows the BIC of the receiving depository.
Receiving CSD Participant	
Party BIC	Shows the BIC of the CSD receiving the securities.
Processing Identification	Shows the unambiguous identification of the transaction as assigned by the receiving party.
Client of the Receiving CSD Participant	
Specifying the party only via party BIC or its name or the triplet of identification, issuer and scheme name.	
Party BIC	Shows the BIC of the client of receiving CSD participant.
Identification	Shows the proprietary identification of the pre-mentioned party BIC.
Issuer	Shows the entity assigning the identification.
Scheme Name	Shows the name of the scheme.
Name	Shows the name of the client of receiving CSD participant.
Receiving Party 3	
Specifying the party only via party BIC or its name or the triplet of identification, issuer and scheme name.	
Party BIC	Shows the BIC of receiving party 3.
Identification	Shows the proprietary identification of the pre-mentioned party BIC.
Issuer	Shows the entity assigning the identification.
Scheme Name	Shows the name of the scheme.

General Statement Information	
Name	Shows the Name of receiving party 3.
Receiving Party 4	
Specifying the party only via party BIC or its name or the triplet of identification, issuer and scheme name.	
Party BIC	Shows the BIC of receiving party 4.
Identification	Shows the proprietary identification of the pre-mentioned party BIC.
Issuer	Shows the entity assigning the identification.
Scheme Name	Shows the name of the scheme.
Name	Shows the Name of receiving party 4.
Receiving Party 5	
Specifying the party only via party BIC or its name or the triplet of identification, issuer and scheme name.	
Party BIC	Shows the BIC of receiving party 5.
Identification	Shows the proprietary identification of the pre-mentioned party BIC.
Issuer	Shows the entity assigning the identification.
Scheme Name	Shows the name of the scheme.
Name	Shows the Name of receiving party 5.
Status Information	
Repetitive Block For Each Hold/Release Instruction Or Amendment Instruction	
Cancellation Status Reason Code	Shows the reason code of the cancellation status.

2.4.1.12 Available Report - Statement of Pending Cancellation Instructions for Intra-Balance Movements - Details Screen

Context of Usage

This screen displays detailed information on the selected statement of pending cancellation instructions for intra-balance movements. The report displayed in this screen has to be already created in accordance with its report configuration.

This screen is not relevant for CSD, external CSD and CSD participant users.

Screen Access

Services >> Additional Services >> Reports >> *Available reports – search/list* screen >> Click on the *details* button

- Services >> Additional Services >> Reports >> **Available reports – search/list** screen >> Click on the **search** and/or **details** button >> **Available report – details** screen >> Click on the **details** button

Privileges To use this screen, you need the following privilege [**▶ 1913**]:

- Report details query

References **User Instructions Part**

This screen is part of the following business scenario:

- View available reports

Business Functionality Document

This screen corresponds to the following business function:

- Display generated report details (T2S.GUI.INSE.RPT.0090)

Screenshot

Illustration 104: Available report – statement of pending cancellation instruction for intra-balance movements – details screen

Field

Description

General Statement Information	
Report Name	Shows the name of the report.
Delta Mode	Shows if the report is a delta report or not. Possible values are: <ul style="list-style-type: none"> <input type="checkbox"/> Yes <input type="checkbox"/> No
Statement Number	Shows the sequential number of the report assigned by the account servicer. The number increases for each report.
Report Number	Shows the sequential number of the report which is specific for a report receiving party.

General Statement Information	
	This number will only be displayed if the sending user belongs to a report receiving party.
Frequency	Shows the frequency of the report, which is always 'DAILY'.
Scheduled	Shows the name of the business event or time triggering the report creation.
Activity Indicator	Shows whether the report displays activities or only lists information from the possible values: <input type="checkbox"/> True <input type="checkbox"/> False
Covered Period from	Shows the date and time from which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Covered Period to	Shows the date and time until which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Report Owner BIC	Shows the BIC of the party whose data are reported.
Report Owner Parent BIC	Shows the parent BIC of the party whose data are reported.
System Entity Wide Report	Shows whether the report is a community report (covering all information referring to a system entity) or a party based report (covering all information referring to a party). from the possible values: <input type="checkbox"/> Yes <input type="checkbox"/> No
T2S Dedicated Cash Account Information	
Repetitive Block For Each Cancellation Instruction For Intra-Balance Movements	
T2S Dedicated Cash Account Owner BIC	Shows the BIC of the party owning the T2S dedicated cash account owner.
T2S Dedicated Cash Account Owner Parent BIC	Shows the parent BIC of the party owning the dedicated cash account.
T2S Dedicated Cash Account Number	Shows the T2S dedicated cash account for which the report is created.

General Statement Information	
Cancellation Status	Shows the status of the instruction from the possible values: <input type="checkbox"/> DEND (for denied) <input type="checkbox"/> NDEN (for not denied)
Reason Code	Shows code of the reason why the instruction has a certain settlement status according to ISO20022.
Reason Code Description	Shows the detailed description of the reason code.
Execution Status	Shows the execution status of the instruction from the possible values: <input type="checkbox"/> EXCD (for executed) <input type="checkbox"/> NEXD (for not executed)
Reason Code	Shows the code of the reason why the instruction has a certain settlement status according to ISO20022.
Reason Code Description	Shows the detailed description of the reason code.
Request Reference	Shows the Inbound LCMM Message Reference
Last Update of Status	Shows the date and time of the last status update. Displayed format is: YYYY-MM-DD hh:mm:ss
Referenced Instruction	
Repetitive Block For Each Cancellation Instruction For Intra-Balance Movements	
References	
Account Owner Reference	Shows the identification of the instruction assigned by the T2S delivering/receiving party.
Account Servicer Reference	Shows the identification of the instruction assigned by the account servicer.
Third Party Reference	Shows the identification of the instruction assigned by a third party.
Intra-Balance Movement Reference	Shows the unique identification of the intra-balance movement assigned by T2S.
Instruction Details	
Intended Settlement Date	Shows the date when the instruction is forwarded to settlement for the first time. Displayed format is: YYYY-MM-DD

General Statement Information	
Priority	Shows the priority of the payment instruction assigned by the originator of the instruction from the possible values: <input type="checkbox"/> High <input type="checkbox"/> Normal <input type="checkbox"/> Reserved <input type="checkbox"/> Top
Settlement Amount	Shows the amount as stated in the settlement instruction.
Currency	Shows the currency code.
Restriction Reference	Shows the identification of the restriction assigned by T2S.
Balance from Type	Shows a code to identify the restriction.
Issuer	Shows the entity that assigns the identification.
Scheme Name	Shows the description of the scheme.
Balance to Type	Shows a code to identify the restriction.
Issuer	Shows the entity that assigns the identification.
Scheme Name	Shows the description of the scheme.

2.4.1.13 Available Report - Statement of Pending Cancellation Instructions for Intra-Position Movements and Settlement Instructions - Details Screen

Context of Usage	<p>This screen displays detailed information on pending cancellation instructions for intra-position movements and settlement instructions. The report displayed in this screen has to be already created in accordance with its report configuration.</p> <p>This screen is not relevant for CB and payment bank/settlement bank users.</p>
Screen Access	<ul style="list-style-type: none"> <input type="checkbox"/> Services >> Additional Services >> Reports >> <i>Available reports – search/list</i> screen >> Click on the <i>details</i> button <input type="checkbox"/> Services >> Additional Services >> Reports >> <i>Available reports – search/list</i> screen >> Click on the <i>search</i> and/or <i>details</i> button >> <i>Available report – details</i> screen >> Click on the <i>details</i> button
Privileges	<p>To use this screen, you need the following privilege [► 1913]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Report details query
References	<p>User Instructions Part</p> <p>This screen is part of the following business scenario:</p> <ul style="list-style-type: none"> <input type="checkbox"/> View available reports

Business Functionality Document

This screen corresponds to the following business function:

- Display generated report details (T2S.GUI.RPT.0090)

Screenshot

General Information	
General Statement Information	
Report Statement of pending cancellation instructions for Intra-Position Movements and Settlement Instructions	Delta Mode Yes
Statement Number 20170116LD000370	Report Number 100003842
Scheduled 16:00	Frequency DAIL
Covered Period from 2017-01-13 14:09:00	Covered Period to 2017-01-13 16:00:00
Report Owner BIC CSDYNAMM002	Report Owner Parent BIC TCSOTCS0XXX
	System Entity Wide Report No

Illustration 105: Available report – statement of pending cancellation instructions for intra-position movements and settlement instruction – details screen

Field Description

General Statement Information	
Report Name	Shows the name of the report.
Delta Mode	Shows if the report is a delta report or not. Possible values are: <input type="checkbox"/> Yes <input type="checkbox"/> No
Statement Number	Shows the statement number of the report composed of the business date and report type (2-letter abbreviation depending on report type and delta/full-flag) and the sequential number, which is unique in T2S. The number increases for each report.
Report Number	Shows the sequential number of the report which is specific for a report receiving party. This number will only be displayed if the sending user belongs to a report receiving party.
Scheduled	Shows the name of the business event or time triggering the report creation.
Frequency	Shows the frequency of the report from the possible value: <input type="checkbox"/> DAILY (for reports created on a daily basis)

General Statement Information	
Activity Indicator	Shows whether the report displays activities or only lists information from the possible values: <input type="checkbox"/> True <input type="checkbox"/> False
Covered Period from	Shows the date and time from which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Covered Period to	Shows the date and time until which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Report Owner BIC	Shows the BIC of the party whose data are reported.
Report Owner Parent BIC	Shows the parent BIC of the party whose data are reported.
System Entity Wide Report	Shows whether the report is a community report (covering all information referring to a system entity) or a party based report (covering all information referring to a party) from the possible values: <input type="checkbox"/> Yes <input type="checkbox"/> No
Cancellation Instruction Information	
Actor Reference	Shows the reference assigned for a cancellation instruction by the T2S actor.
Securities Account Information	
Securities Account Number	Shows the number of the securities account.
Securities Account Owner BIC	Shows the BIC of the securities account.
Securities Account Servicer BIC	Shows the BIC of the party operating the securities account.
Processing Status of the Cancellation Instruction	
Execution Status	Shows the execution status of the instruction from the possible values: <input type="checkbox"/> EXCD (for executed) <input type="checkbox"/> NEXD (for not executed)

General Statement Information	
Execution Status Reason Code	Shows the ISO 20022 reason code why the related instruction is cancelled or the related cancellation request is executed.
Execution Status Additional Information	Shows additional information about the execution status.
Cancellation Status	Shows the status of the instruction from the possible values: <input type="checkbox"/> DEND (for denied) <input type="checkbox"/> NDEN (for not denied)
Cancellation Status Reason Code	Shows the reason code for the cancellation.
Cancellation Status Additional Information	Shows additional information on the cancellation status.
Acknowledged Accepted	Shows whether the instruction passed all business validations within T2S from the possible values: <input type="checkbox"/> True <input type="checkbox"/> False
Pending Cancellation	Shows whether the cancellation instruction is pending from the possible values: <input type="checkbox"/> True <input type="checkbox"/> False
Pending Cancellation Reason Code	Shows the reason code why the cancellation instruction is pending.
Last Update of Status	Shows the date and time of the last status update. Displayed format is: YYYY-MM-DD hh:mm:ss
References of Underlying Instruction	
Account Owner Reference	Shows the reference of an instruction which is assigned by the T2S delivering/receiving party.
Account Servicer Reference	Shows the identification of the transaction assigned by the CSD.
Third Party Reference	Shows the identification of the instruction assigned by a third party.

General Statement Information	
T2S Reference	Shows the identification of the instruction assigned by T2S.
Underlying Instruction Information	
Instruction Type	Shows the type of instruction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> SI (for settlement instruction) <input type="checkbox"/> SR (for settlement restriction)
ISIN	Shows the ISIN of the security.
Intended Settlement Date	Shows the date when the instruction is forwarded to settlement for the first time. Displayed format is: YYYY-MM-DD
Trade Date	Shows the date when the contract was made. Displayed format is: YYYY-MM-DD
Original Settlement Unit	Shows the number of securities which shall be settled.
Original Settlement Quantity Face Amount	Shows the face amount of securities which shall be settled.
Original Settlement Amount	Shows the amount as stated in the settlement instruction.
Currency	Shows the currency code.
Debit Credit Indicator	Shows if an operation is a credit or a debit from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> CRDT (for credit) <input type="checkbox"/> DBIT (for debit)
Priority	Shows the priority of the payment instruction assigned by the originator of the instruction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> High <input type="checkbox"/> Normal <input type="checkbox"/> Reserved <input type="checkbox"/> Top
Restriction Reference	Shows the identification of the restriction assigned by T2S.
Restriction Type Balance from	Shows the restriction type code of a balance of a T2S DCA/position of a debited securities account. The code is defined by a CSD or the CB to identify the restriction.

General Statement Information	
Issuer	Shows the entity assigning the identification. The fixed value is 'T2S'.
Scheme Name	Shows the name of the scheme.
Restriction Type Balance to	Shows the restriction type code of a balance of a T2S DCA/position of a credited securities account. The code is defined by a CSD or the CB to identify the restriction.
Issuer	Shows the entity assigning the identification. The fixed value is 'T2S'.
Scheme Name	Shows the name of the scheme.
Settlement Parties	
Delivering Settlement Parties	
This subframe is optional and only available for settlement instruction information	
Delivering Depository	
Party BIC	Shows the BIC of the delivering depository.
Delivering CSD Participant	
Party BIC	Shows the BIC of the CSD participant delivering the securities.
Processing Identification	Shows the unambiguous identification of the transaction as assigned by the delivering party.
Client of the Delivering CSD Participant	
Specifying the party only via party BIC or its name or the triplet of identification, issuer and scheme name.	
Party BIC	Shows the BIC of the client of delivering CSD participant.
Identification	Shows the proprietary identification of the pre-mentioned party BIC.
Issuer	Shows the entity assigning the identification.
Scheme Name	Shows the name of the scheme.
Name	Shows the name of the client of delivering CSD participant.
Delivering Party 3	
Specifying the party only via party BIC or its name or the triplet of identification, issuer and scheme name.	
Party BIC	Shows the BIC of delivering party 3.

General Statement Information	
Identification	Shows the proprietary identification of the pre-mentioned party BIC.
Issuer	Shows the entity assigning the identification.
Scheme Name	Shows the name of the scheme.
Name	Shows the name of delivering party 3.
Delivering Party 4	
Specifying the party only via party BIC or its name or the triplet of identification, issuer and scheme name.	
Party BIC	Shows the BIC of delivering party 4.
Identification	Shows the proprietary identification of the pre-mentioned party BIC.
Issuer	Shows the entity assigning the identification.
Scheme Name	Shows the name of the scheme.
Name	Shows the name of delivering party 4.
Delivering Party 5	
Specifying the party only via party BIC or its name or the triplet of identification, issuer and scheme name.	
Party BIC	Shows the BIC of delivering party 5.
Identification	Shows the proprietary identification of the pre-mentioned party BIC.
Issuer	Shows the entity assigning the identification. The fixed value is 'T2S'.
Scheme Name	Shows the name of the scheme.
Name	Shows the name of delivering party 5.
Receiving Settlement Parties	
This subframe is optional and only available for settlement instruction information	
Receiving Depository	
Party BIC	Shows the BIC of the receiving depository.
Receiving CSD Participant	
Party BIC	Shows the BIC of the CSD receiving the securities.
Processing Identification	Shows the unambiguous identification of the transaction as assigned by the receiving party.
Client of the Receiving CSD Participant	

General Statement Information	
Specifying the party only via party BIC or its name or the triplet of identification, issuer and scheme name.	
Party BIC	Shows the BIC of the client of receiving CSD participant.
Identification	Shows the proprietary identification of the pre-mentioned party BIC.
Issuer	Shows the entity assigning the identification.
Scheme Name	Shows the name of the scheme.
Name	Shows the name of the client of receiving CSD participant.
Receiving Party 3	
Specifying the party only via party BIC or its name or the triplet of identification, issuer and scheme name.	
Party BIC	Shows the BIC of receiving party 3.
Identification	Shows the proprietary identification of the pre-mentioned party BIC.
Issuer	Shows the entity assigning the identification.
Scheme Name	Shows the name of the scheme.
Name	Shows the Name of receiving party 3.
Receiving Party 4	
Specifying the party only via party BIC or its name or the triplet of identification, issuer and scheme name.	
Party BIC	Shows the BIC of receiving party 4.
Identification	Shows the proprietary identification of the pre-mentioned party BIC.
Issuer	Shows the entity assigning the identification.
Scheme Name	Shows the name of the scheme.
Name	Shows the Name of receiving party 4.
Receiving Party 5	
Specifying the party only via party BIC or its name or the triplet of identification, issuer and scheme name.	
Party BIC	Shows the BIC of receiving party 5.
Identification	Shows the proprietary identification of the pre-mentioned party BIC.
Issuer	Shows the entity assigning the identification.
Scheme Name	Shows the name of the scheme.

General Statement Information	
Name	Shows the Name of receiving party 5.

2.4.1.14 Available Report - Statement of Pending Instructions - Details Screen

Context of Usage

This screen displays detailed information on pending instructions.

The report displayed in this screen has to be already created in accordance with its report configuration.

For large reports the user has the option view them in portions of up to 500 transactions. Only the current portion of the report will be available for a download or print.


This screen is not relevant for CB and payment bank/settlement bank users.

Screen Access

Services >> Additional Services >> Reports >> *Available reports – search/list* screen >> Click on the *details* button

Services >> Additional Services >> Reports >> *Available reports – search/list* screen >> Click on the *search* and/or *details* button >> *Available report – details* screen >> Click on the *details* button

Privileges

To use this screen, you need the following privilege [ 1914]:

Report details query

References

User Instructions Part

This screen is part of the following business scenario:

View available reports

Business Functionality Document

This screen corresponds to the following business function:

Display generated report details (T2S.GUI.INSE.RPT.0090)

Screenshot

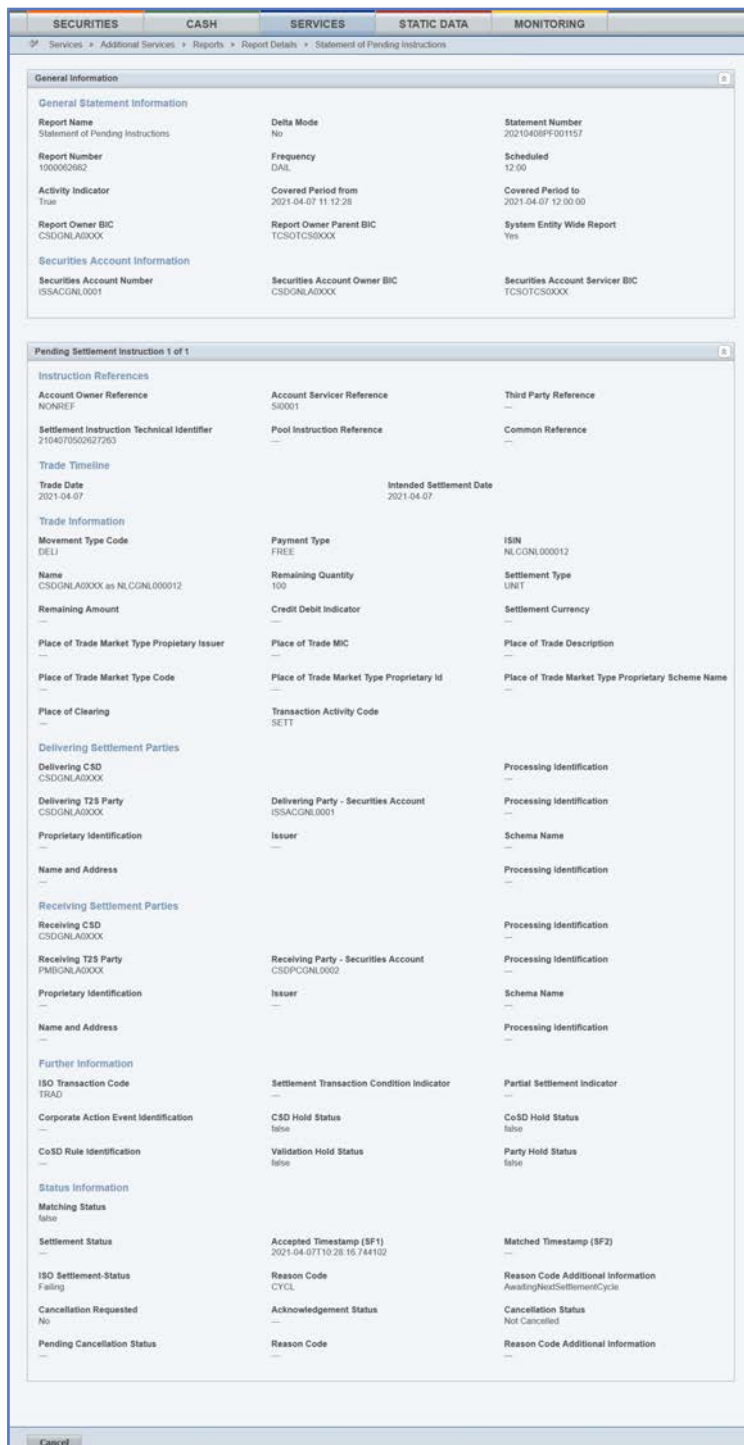


Illustration 106: Available report - statement of pending instructions - details screen

Field Description

General Statement Information	
Report Name	Shows the name of the report.
Delta Mode	Shows if the report is a delta report or not. Possible values are: <input type="checkbox"/> Yes

General Statement Information	
	<input type="checkbox"/> No
Statement Number	Shows the statement number of the report composed of the business date and report type (2-letter abbreviation depending on report type and delta/full-flag) and the sequential number, which is unique in T2S. The number increases for each report.
Report Number	Shows the sequential number of the report which is specific for a report receiving party. This number will only be displayed if the sending user belongs to a report receiving party.
Frequency	Shows the frequency of the report from the possible value: <input type="checkbox"/> DAILY (for reports created on a daily basis)
Scheduled	Shows the name of the business event or time triggering the report creation.
Activity Indicator	Shows whether the report displays activities or only lists information from the possible values: <input type="checkbox"/> True <input type="checkbox"/> False
Covered Period from	Shows the date and time from which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Covered Period to	Shows the date and time until which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Report Owner BIC	Shows the BIC of the party whose data are reported.
Report Owner Parent BIC	Shows the parent BIC of the party whose data are reported.
System Entity Wide Report	Shows whether the report is a community report (covering all information referring to a system entity) or a party based report (covering all information referring to a party) from the possible values: <input type="checkbox"/> Yes <input type="checkbox"/> No
Securities Account Information	

General Statement Information	
Securities Account Number	Shows the number of the securities account on which the report is based.
Securities Account Owner BIC	Shows the BIC of the party owning the securities account.
Securities Account Servicer BIC	Shows the BIC of the party operating the securities account.
Transaction	
Repetitive Block For Each Pending Settlement Instruction	
Statement - Instruction References	
Account Owner Reference	Shows the identification of the instruction assigned by the delivering/receiving party.
Account Servicer Reference	Shows the identification of an instruction as assigned by the CSD.
Third Party Reference	Shows the identification of the instruction assigned by a third party.
Settlement Instruction Technical Identifier	Shows the identification of a settlement instruction as assigned by T2S.
Pool Instruction Reference	Shows the identification of a pool of settlement instructions or settlement restrictions.
Common Reference	Shows the identification the 2 trade counterparties agreed in order to identify the trade. This field is optional.
Statement - Trade Timeline	
Trade Date	Shows the date when the contract was made. Displayed format is: YYYY-MM-DD
Intended Settlement Date	Shows the date when the instruction is forwarded to settlement for the first time. Displayed format is: YYYY-MM-DD
Statement - Trade Information	
Movement Type Code	Shows the movement on a securities account from the possible values: <input type="checkbox"/> Delivery <input type="checkbox"/> Receive
Payment Type	Shows the type of the payment from the possible values: <input type="checkbox"/> APMT (for against payment settlement)

General Statement Information	
	<input type="checkbox"/> FREE (for separate settlement)
ISIN	Shows the ISIN of the security.
Name	Shows the name of the party whose data are reported.
Remaining Quantity	Shows the quantity of securities to be settled. It shows the result of original settlement quantity deducting the settled settlement quantity.
Settlement Type	Shows the type of settlement from the possible values: <input type="checkbox"/> UNIT (Units) <input type="checkbox"/> FAMT (Face Amount)
Remaining Amount	Shows the amount to be settled. It shows the result of original/matched settlement amount deducting the settled settlement amount.
Debit Credit Indicator	Shows if an operation is a credit or a debit from the possible values: <input type="checkbox"/> CRDT (for credit) <input type="checkbox"/> DBIT (for debit)
Settlement Currency	Shows the currency of the settlement.
Place of Trade Market Identifier Code	Shows the identification of a financial market, as stipulated in the norm ISO 10383:2012 "Codes for exchanges and market identifications".
Place of Trade Description	Shows the description of the market when no Market Identifier Code is available.
Place of Trade Market Type Code	Shows the market type expressed as an ISO 20022 code.
Place of Trade Market Type Proprietary Identification	Shows the proprietary information, often a code, issued by the data source scheme issuer.
Place of Trade Market Type Proprietary Issuer	Shows the entity that assigns the identification.
Place of Trade Market Type Proprietary Scheme Name	Shows a short textual description of the scheme.
Place of Clearing	Shows the BIC code of the Clearing House involved in the settlement (if any).

General Statement Information	
Transaction Activity Code	Specifies the type of activity to which this instruction relates: <ul style="list-style-type: none"> <input type="checkbox"/> BOLE: Borrowing and Lending Activity; <input type="checkbox"/> CLAI: Market Claim; <input type="checkbox"/> COLL: Collateral Activity; <input type="checkbox"/> CORP: Corporate Action Activity; <input type="checkbox"/> SETT: Settlement and Clearing Activity
Settlement Parties	
Delivering Settlement Parties	
This subframe is optional and only available for settlement instruction information	
Delivering Depository	
Party BIC	Shows the BIC of the delivering depository.
Processing Identification	Shows the unambiguous identification of the transaction as assigned by the delivering depository.
Settlement Instruction Information – Settlement Parties – Delivering Settlement Parties – Delivering CSD Participant	
Party BIC	Shows the BIC of the CSD participant delivering the securities.
Securities Account Number	Shows the securities account number of the delivering CSD participant.
Processing Identification	Shows the unambiguous identification of the transaction as assigned by the delivering CSD participant.
Client of the Delivering CSD Participant	
Specifying the party only via party BIC or its name or the triplet of identification, issuer and scheme name.	
Party BIC	Shows the BIC of the client of delivering CSD participant.
Identification	Shows the proprietary identification of the pre-mentioned party BIC.
Issuer	Shows the entity assigning the identification.
Scheme Name	Shows the name of the scheme.
Name	Shows the name of the client of delivering CSD participant.
Processing Identification	Shows the unambiguous identification of the transaction as assigned by the client of delivering CSD participant.

General Statement Information	
Receiving Settlement Parties	
This subframe is optional and only available for settlement instruction information	
Receiving Depository	
Party BIC	Shows the BIC of the receiving depository.
Processing Identification	Shows the unambiguous identification of the transaction as assigned by the receiving depository.
Receiving CSD Participant	
Party BIC	Shows the BIC of the CSD receiving the securities.
Securities Account Number	Shows the securities account number of the receiving CSD participant.
Processing Identification	Shows the unambiguous identification of the transaction as assigned by the receiving CSD participant.
Client of the Receiving CSD Participant	
Specifying the party only via party BIC or its name or the triplet of identification, issuer and scheme name.	
Party BIC	Shows the BIC of the client of receiving CSD participant.
Identification	Shows the proprietary identification of the pre-mentioned party BIC.
Issuer	Shows the entity assigning the identification.
Scheme Name	Shows the name of the scheme.
Name	Shows the name of the client of receiving CSD participant.
Process Identification	Shows the unambiguous identification of the transaction as assigned by the client of receiving CSD participant.
Statement - Further Information	
ISO Transaction Code	Shows the transaction code as defined in ISO20022
Settlement Transaction Condition Indicator	Shows the Settlement Transaction Condition Indicator. This field can show multiple Settlement Transaction Condition Indicators.
Partial Settlement Indicator	Shows if the settlement instruction is allowed to be subject to partial settlement from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> NPAR (for no partial settlement allowed) <input type="checkbox"/> PART (for partial settlement allowed without restrictions)

General Statement Information	
	<input type="checkbox"/> PARC (for partial settlement allowed but the settlement amount must not be below the threshold) <input type="checkbox"/> PARQ (for partial settlement allowed but the quantity must not be below the threshold)
Corporate Action Event Identification	Shows the identification of the instruction assigned by the account servicer to identify a corporate action event.
CSD Hold Status	Shows the hold status as set by the CSD from the possible values: <input type="checkbox"/> Yes <input type="checkbox"/> No (default value)
CoSD Hold Status	Shows whether the settlement instruction fulfills 1 CoSD rule configured in reference data from the possible values: <input type="checkbox"/> Yes <input type="checkbox"/> No (default value)
CoSD Rule Identification	Shows the identification of the respective CoSD rule.
CSD Validation Hold Status	Shows whether the settlement instruction fulfills 1 market-specific restriction configured in reference data from the possible values: <input type="checkbox"/> Yes <input type="checkbox"/> No
Party Hold Status	Shows the hold status as set by the T2S party from the possible values: <input type="checkbox"/> Yes <input type="checkbox"/> No
Status Information	
Matching status	Shows the matching status of the (cancellation) instruction from the possible values: <input type="checkbox"/> MACH (matched) <input type="checkbox"/> NMAT (unmatched)
Accepted Timestamp (SF1)	Shows the accepted timestamp of the settlement instruction. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Matched Timestamp (SF2)	Shows the matched timestamp of the settlement instruction.

General Statement Information	
	In case the settlement instruction is unmatched no timestamp will be shown. Displayed format is: YYYY-MM-DD hh:mm:ss:μsμsμsμsμs
Settlement Status	Shows the status of the settlement instruction from the possible values: <input type="checkbox"/> USET (for unsettled) <input type="checkbox"/> PSET (for partially settled)
ISO Settlement Status	Shows the ISO settlement status of the settlement instruction from the possible values: <input type="checkbox"/> Pending <input type="checkbox"/> Failing
Settlement Status Reason Code	Shows the reason code why an instruction has a certain settlement status.
Settlement Status Reason Code Additional Information	Shows additional information on the reason code.
Cancellation Requested	Shows whether a cancellation was requested from the counterparty for this transaction from the possible values: <input type="checkbox"/> Yes <input type="checkbox"/> No
Acknowledged Accepted	Shows whether the account servicer acknowledged the instruction from the possible values: <input type="checkbox"/> Yes <input type="checkbox"/> No
Cancellation Status	Shows the current cancellation status of the instruction from the possible values: <input type="checkbox"/> Cancelled <input type="checkbox"/> Not cancelled
Pending Cancellation Status	Shows the status of the instruction from the possible values: <input type="checkbox"/> CANC (for cancelled) <input type="checkbox"/> NCAN (for not cancelled)
Pending Cancellation Status Reason Code	Shows the reason for the pending status.

General Statement Information	
Pending Cancellation Status – Reason Code Additional Information	Shows additional information on the reason code.

2.4.1.15 Available Report - Statement of Pending Intra-Balance Movements - Details Screen

Context of Usage

This screen displays detailed information on pending intra-balance movements.

The report displayed in this screen has to be already created in accordance with its report configuration.

This screen is not relevant for CSD, external CSD and CSD participant users.

Screen Access

Services >> Additional Services >> Reports >> *Available reports – search/list* screen >> Click on the *details* button

Services >> Additional Services >> Reports >> *Available reports – search/list* screen >> Click on the *search* and/or *details* button >> *Available report – details* screen >> Click on the *details* button

Privileges

To use this screen, you need the following privilege [▶ 1914]:

Report details query

References

User Instructions Part

This screen is part of the following business scenario:

View available reports

Business Functionality Document

This screen corresponds to the following business function:

Display generated report details (T2S.GUI.INSE.RPT.0090)

Screenshot

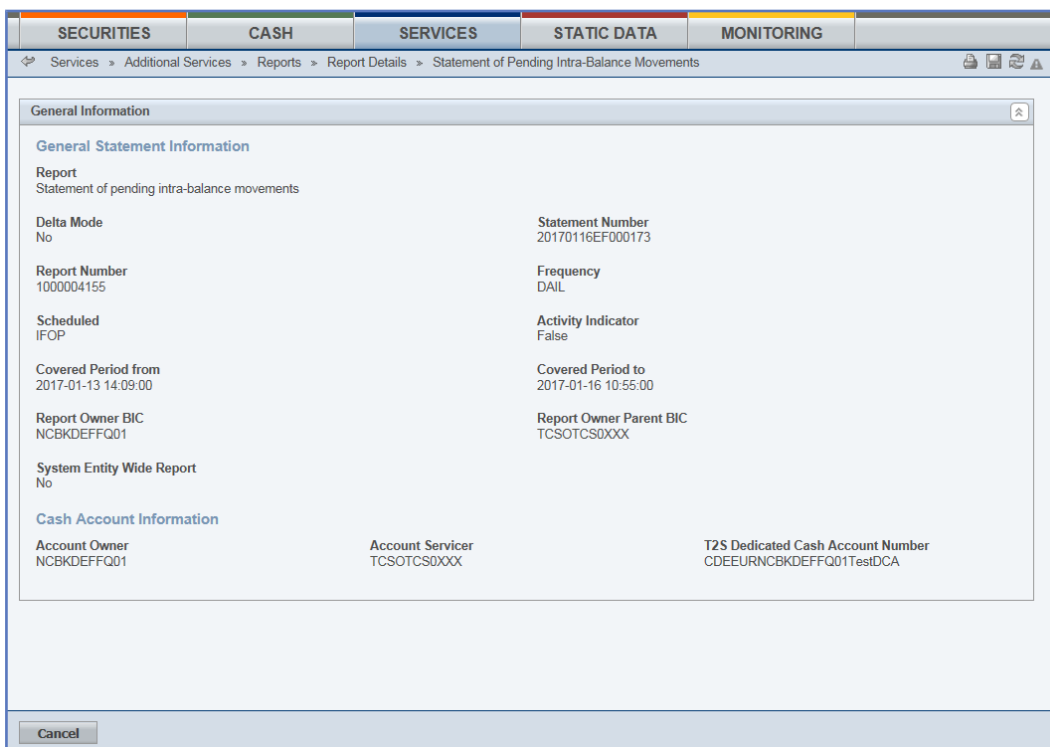


Illustration 107: Available report – statement of pending intra-balance movements – details screen

Field Description

General Statement Information	
Report Name	Shows the name of the report.
Delta Mode	Shows if the report is a delta report or not. Possible values are: <input type="checkbox"/> Yes <input type="checkbox"/> No
Statement Number	Shows the statement number of the report composed of the business date and report type (2-letter abbreviation depending on report type and Delta/Full-Flag) and the sequential number, which is unique in T2S. The number increases for each report.
Report Number	Shows the sequential number of the report which is specific for a report receiving party. This number will only be displayed if the sending user belongs to a report receiving party.
Frequency	Shows the frequency of the report, which is always 'DAILY'.
Scheduled	Shows the name of the business event or time triggering the report creation.

General Statement Information	
Activity Indicator	Shows whether the report displays activities or only lists information from the possible values: <input type="checkbox"/> True <input type="checkbox"/> False
Covered Period From	Shows the date and time from which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Covered Period To	Shows the date and time until which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Report Owner BIC	Shows the BIC of the party whose data are reported.
Report Owner Parent BIC	Shows the parent BIC of the party whose data are reported.
System Entity Wide Report	Shows whether the report is a community report (covering all information referring to a system entity) or a party based report (covering all information referring to a party). from the possible values: <input type="checkbox"/> Yes <input type="checkbox"/> No
Cash Account Information	
T2S Dedicated Cash Account Owner BIC	Shows the BIC of the party owning the T2S dedicated cash account.
T2S Dedicated Cash Account Owner Parent BIC	Shows the parent BIC of the party owning the dedicated cash account.
T2S Dedicated Cash Account Number	Shows the T2S dedicated cash account for which the report is created.
Intra-Balance Movement Information	
Repetitive Block For Each Intra-Balance Movement	
Intra-Balance Movement Information	
Settlement Status	Shows the settlement status of the instruction from the possible values: <input type="checkbox"/> USET (for unsettled) (default value) <input type="checkbox"/> SETT (for settled) <input type="checkbox"/> PSET (for partially settled)

General Statement Information	
ISO Settlement Status	Shows the ISO settlement status of the settlement restriction from the possible values: <input type="checkbox"/> Pending <input type="checkbox"/> Failing
Settlement Status Reason Code	Shows the reason code why an instruction has a certain settlement status.
Settlement Status Reason Information	Shows additional settlement status information.
Cancellation Status	Shows the status of the instruction from the possible values: <input type="checkbox"/> DEND (for denied) <input type="checkbox"/> NDEN (for not denied)
Cancellation Status Reason Code	Shows the reason code for the cancellation.
Cancellation Status Additional Information	Shows additional information on the cancellation status.
Last Update of Status	Shows the date and time of the last status update. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
References	
Intra-Balance Movement Technical Identifier	Shows the unique technical identification of the intra-balance movement assigned by T2S.
Account Owner Reference	Shows the reference of the instruction which is assigned by the T2S delivering/receiving party.
Account Servicer Reference	Shows the reference of the instruction assigned by the account referencer.
Third Party Reference	Shows the identification of the instruction assigned by a third party.
Pool Instruction Reference	Shows the identification of a pool of settlement instructions or settlement restrictions.
Restriction Reference	Shows the identification of the restriction assigned by T2S.
Settlement Information	

General Statement Information	
Restriction Type Balance from	Shows the restriction type code of a balance of a T2S dedicated cash account which is debited.
Restriction Type Balance to	Shows the restriction type code of a balance of a T2S dedicated cash account which is credited.
Currency	Shows the currency code.
Original Settlement Amount	Shows the amount as stated in the settlement instruction.
Intended Settlement Date	Shows the date when the instruction is forwarded to settlement for the first time. Displayed format is: YYYY-MM-DD
Priority	Shows the priority of the payment instruction assigned by the originator of the instruction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> High <input type="checkbox"/> Normal <input type="checkbox"/> Reserved <input type="checkbox"/> Top
Instruction Link Information	
Link Type	Shows the link type of the instruction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Afte (Instruction has to be settled after or at the same time as the linked instruction) <input type="checkbox"/> Befo (Instruction has to be settled before or at the same time as the linked instruction) <input type="checkbox"/> With (Instruction has to be settled at the same time as the linked instruction) <input type="checkbox"/> Info (Specifies that the instructions are linked for information purposes only)
Message Number	Shows the identification of the message referenced in the linked sequence.
Linked Instruction Reference of a Party	Shows the T2S actor reference of the LCMM instruction linked to the relevant LCMM instruction. In case a link between 2 or more LCMM instructions exists, the ISO settlement link indicators <ul style="list-style-type: none"> <input type="checkbox"/> After <input type="checkbox"/> Before

General Statement Information	
	<input type="checkbox"/> All-or-none <input type="checkbox"/> are used.
Linked Instruction T2S Technical Identifier	Shows the technical identification of the linked instruction.
Received Account Owner BIC	BIC of the T2S party owner of the reference of the instruction/pool which this instruction is linked to.
Pool Instruction Reference	Shows the identification of a pool of settlement instructions or settlement restrictions.
Instructing Party	
Instructing Party BIC	Shows the BIC of the instructing party.
Instructing Party Parent BIC	Shows the parent BIC of the instructing party.
Entry Date and Time	Shows the entry date and time of an instruction. Displayed format: YYYY-MM-DD hh:mm:ss

2.4.1.16 Available Report - Statement of Pending Intra-Position Movements - Details Screen

Context of Usage

This screen displays detailed information on the selected statement of pending intra-position movements. The displayed report in this screen has to be already created in accordance with its report configuration.

This screen is not relevant for CB and payment bank/settlement bank users.

Screen Access

Services >> Additional Services >> Reports >> *Available reports – search/list* screen >> Click on the *details* button

Services >> Additional Services >> Reports >> *Available reports – search/list* screen >> Click on the *search* and/or *details* button >> *Available report – details* screen >> Click on the *details* button

Privileges

To use this screen, you need the following privilege [▶ 1914]:

Report details query

References

User Instructions Part

This screen is part of the following business scenario:

View available reports

Business Functionality Document

This screen corresponds to the following business function:

Display generated report details (T2S.GUI.INSE.RPT.0090)

Screenshot

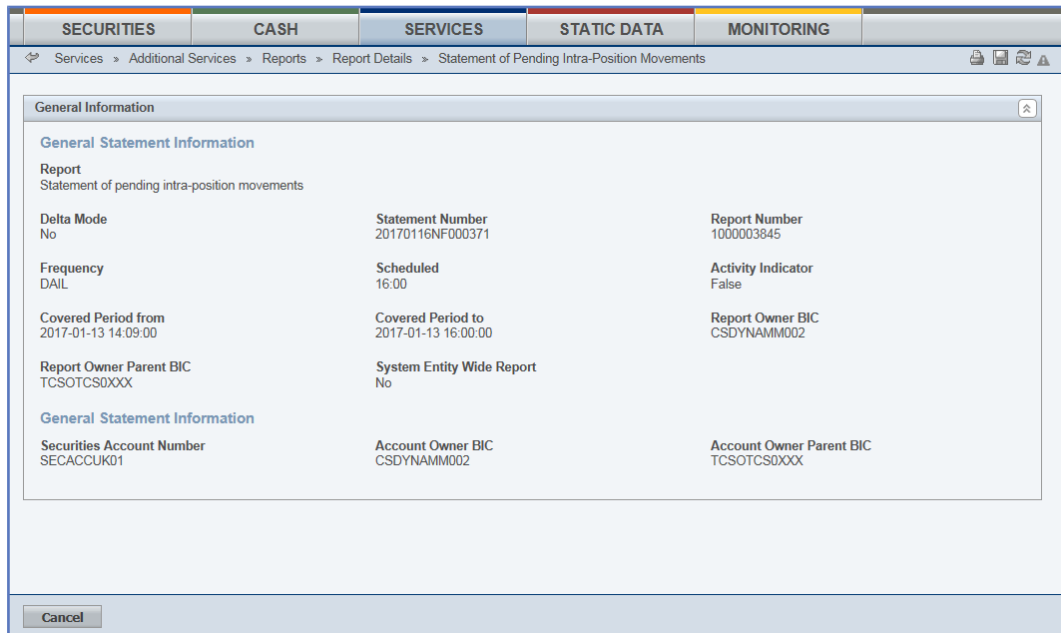


Illustration 108: Available report - statement of pending intra-position movements - details screen

Field

Description

General Statement Information	
Report Name	Shows the name of the report.
Delta Mode	Shows if the report is a delta report or not. Possible values are: <input type="checkbox"/> Yes <input type="checkbox"/> No
Statement Number	Shows the statement number of the report composed of the business date and report type (2-letter abbreviation depending on report type and delta/full-flag) and the sequential number, which is unique in T2S. The number increases for each report.
Report Number	Shows the sequential number of the report which is specific for a report receiving party. This number will only be displayed if the sending user belongs to a report receiving party.
Scheduled	Shows the name of the business event or time triggering the report creation.
Frequency	Shows the frequency of the report from the possible value: <input type="checkbox"/> DAILY (for reports created on a daily basis)
Activity Indicator	Shows whether the report displays activities or only lists information from the possible values: <input type="checkbox"/> True

General Statement Information	
	<input type="checkbox"/> False
Covered Period from	Shows the date and time from which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Covered Period to	Shows the date and time until which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Report Owner BIC	Shows the BIC of the party whose data are reported.
Report Owner Parent BIC	Shows the parent BIC of the party whose data are reported.
System Entity Wide Report	Shows whether the report is a community report (covering all information referring to a system entity) or a party based report (covering all information referring to a party) from the possible values: <input type="checkbox"/> Yes <input type="checkbox"/> No
General Information	
Securities Account Number	Shows the number of the securities account.
Account Owner BIC	Shows the BIC of the party which owns the securities account.
Account Owner Parent BIC	Shows the parent BIC of the party.
Intra-Position Movement Information	
Repetitive Block For Each Intra-Position Movement	
Intra-Position Movement References	
Account Owner Reference	Shows the identification of the instruction assigned by the T2S delivering/receiving party.
Account Servicer Reference	Shows the identification of the transaction assigned by the CSD.
Third Party Reference	Shows the identification of the instruction assigned by a third party.
Intra-Position Movement Reference	Shows the identification of the instruction assigned by the T2S system.
Pool Identification	Shows the grouped LCMM instructions.

General Statement Information	
Intra-Position Movement Details	
Instructing Party BIC	Shows the BIC of the instructing party.
Instructing Party Parent BIC	Shows the parent BIC of the instructing party.
Entry Date and Time	Shows the date and time when the instruction entered T2S. Displayed format is: YYYY-MM-DD hh:mm:ss
Intended Settlement Date	Shows the date when the instruction is forwarded to settlement for the first time. Displayed format is: YYYY-MM-DD
Priority	Shows the priority of the payment instruction assigned by the originator of the instruction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> High <input type="checkbox"/> Normal <input type="checkbox"/> Reserved <input type="checkbox"/> Top
ISIN	Shows the ISIN of the security.
Settlement Type	Shows the type of settlement from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> UNIT (Units) <input type="checkbox"/> FAMT (Face Amount)
Original Settlement Quantity	Shows the quantity of securities to be settled.
Restriction Type Balance from	Shows the restriction type code of a position of a debited securities account.
Issuer	Shows the entity assigning the identification. The fixed value is 'T2S'.
Scheme Name	Shows the name of the scheme.
Restriction Type Balance to	Shows the securities position to be credited.
Issuer	Shows the entity assigning the identification. The fixed value is 'T2S'.
Scheme Name	Shows the name of the scheme.

General Statement Information	
Restriction Reference	Shows the identification of the restriction assigned by T2S.
Status Information	
Last Update of Status	Shows the date and time of the last status update. Displayed format is: YYYY-MM-DD hh:mm:ss
Accepted Timestamp (SF1)	Shows the accepted timestamp of the settlement restriction. Displayed format is: YYYY-MM-DD hh:mm:ss:μsμsμsμsμs
Settlement Status	Shows the settlement status of the instruction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> USET (for unsettled) <input type="checkbox"/> SETT (for settled) <input type="checkbox"/> PSET (for partially settled)
ISO Settlement Status	Shows the ISO settlement status of the settlement restriction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Pending <input type="checkbox"/> Failing
Reason Code	Shows the code of the reason why the instruction has a certain settlement status according to ISO20022.
Reason Code Description	Shows the detailed description of the reason code.
Cancellation Status	Shows the status of the instruction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Cancelled <input type="checkbox"/> Not Cancelled
Reason Code	Shows the code of the reason why the related instruction has a certain settlement status according to ISO20022.
Reason Code Description	Shows the detailed description of the reason code.
Link Information	
Link Type	Shows the link type of the instruction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Afte (instruction has to be settled after or at the same time as the linked instruction)

General Statement Information	
	<input type="checkbox"/> Befo (instruction has to be settled before or at the same time as the linked instruction) <input type="checkbox"/> With (instruction has to be settled at the same time as the linked instruction) <input type="checkbox"/> Info (specifies that the instructions are linked for information purposes only)
Message Number	Shows the identification of the message referenced in the linked sequence.
T2S Instruction Reference	Shows the T2S technical identification of the linked instructions.
T2S Actor Instruction Reference	Shows the identification of the instruction assigned by the T2S actor.
Pool Identification	Shows the grouped LCMM instructions.
Received Account Owner BIC	Shows the BIC of the T2S party owner of the referenced instruction/pool which the instruction is linked to.

2.4.1.17 Available Report - Statement of Settled Intra-Balance Movements - Details Screen

Context of Usage	<p>This screen displays detailed information on the selected statement of settled intra-balance movements. The report displayed in this screen has to be already created in accordance with its report configuration.</p> <p>This screen is not relevant for CSD, external CSD and CSD participant users.</p>
Screen Access	<ul style="list-style-type: none"> <input type="checkbox"/> Services >> Additional Services >> Reports >> <i>Available reports – search/list</i> screen >> Click on the <i>details</i> button <input type="checkbox"/> Services >> Additional Services >> Reports >> <i>Available reports – search/list</i> screen >> Click on the <i>search</i> and/or <i>details</i> button >> <i>Available report – details</i> screen >> Click on the <i>details</i> button
Privileges	<p>To use this screen, you need the following privilege [▶ 1915]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Report details query
References	<p>User Instructions Part</p> <p>This screen is part of the following business scenario:</p> <ul style="list-style-type: none"> <input type="checkbox"/> View available reports <p>Business Functionality Document</p> <p>This screen corresponds to the following business function:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Display generated report details (T2S.GUI.INSE.RPT.0090)

Screenshot

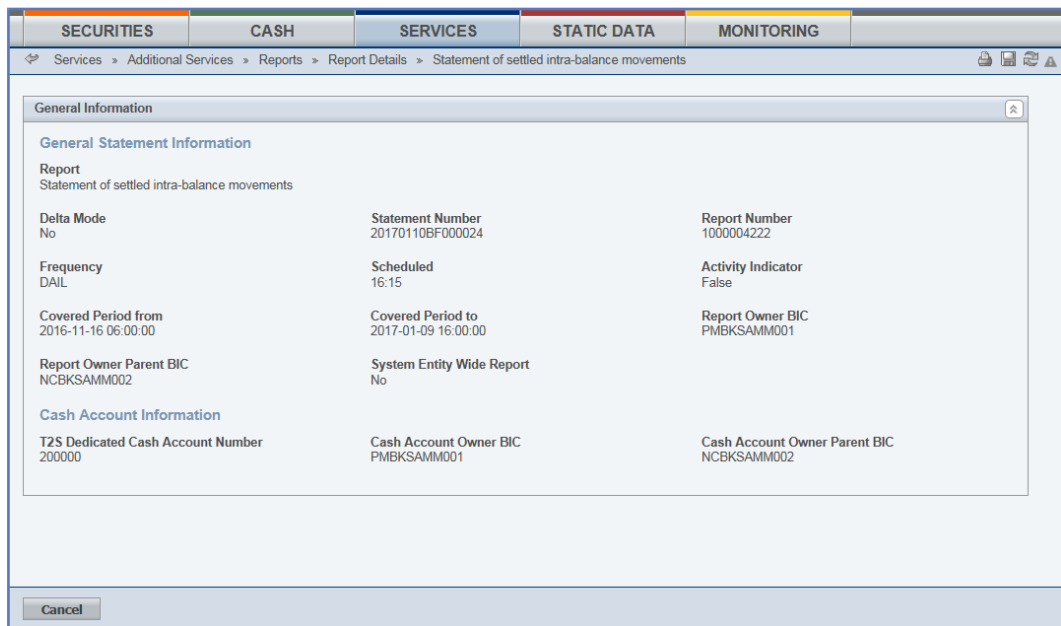


Illustration 109: Available report - statement of settled intra-balance movements - details screen

Field

Description

General Statement Information	
Report Name	Shows the name of the report.
Delta Mode	Shows if the report is a delta report or not. Possible values are: <input type="checkbox"/> Yes <input type="checkbox"/> No
Statement Number	Shows the statement number of the report composed of the business date and report type (2-letter abbreviation depending on report type and Delta/Full-Flag) and the sequential number, which is unique in T2S. The number increases for each report.
Report Number	Shows the sequential number of the report which is specific for a report receiving party. This number will only be displayed if the sending user belongs to a report receiving party.
Scheduled	Shows the name of the business event or time triggering the report creation.
Frequency	Shows the frequency of the report, which is always 'DAILY'.
Activity Indicator	Shows whether the report displays activities or only lists information from the possible values: <input type="checkbox"/> True

General Statement Information	
	<input type="checkbox"/> False
Covered Period From	Shows the date and time from which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Covered Period to	Shows the date and time until which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Report Owner BIC	Shows the BIC of the party whose data are reported.
Report Owner Parent BIC	Shows the parent BIC of the party whose data are reported.
System Entity Wide Report	Shows whether the report is a community report (covering all information referring to a system entity) or a party based report (covering all information referring to a party). from the possible values: <input type="checkbox"/> Yes <input type="checkbox"/> No
Intra-Balance Movement Information Repetitive Block for each Intra-Balance Movement	
Cash Account Information	
T2S Dedicated Cash Account Number	Shows the T2S dedicated cash account for which the report is created.
T2S Dedicated Cash Account Owner BIC	Shows the BIC of the party owning the T2S dedicated cash account.
T2S Dedicated Cash Account Owner Parent BIC	Shows the parent BIC of the party owning the dedicated cash account.
Intra-Balance Movement - References	
Intra-Balance Movement Technical Identifier	Shows the unique technical identification of the intra-balance movement assigned by T2S.
Actor Reference	Shows the identification of the instruction assigned by the T2S actor.

General Statement Information	
Account Owner Reference	Shows the identification of the instruction assigned by the T2S delivering/receiving party.
Account Servicer Reference	Shows the identification of the instruction assigned by the account servicer.
Third Party Reference	Shows the identification of the instruction assigned by a third party.
Pool Instruction Reference	Shows the identification of a pool of settlement instructions or settlement restrictions.
Restriction Reference	Shows the identification of the restriction assigned by T2S.
Intra-Balance Movement – Settlement Information	
Currency	Shows the currency code.
Settled Settlement Amount in the last status update	Shows the amount which was settled in the last status update.
Settled Settlement Amount	Shows the total settled amount.
Remaining Settlement Amount	Shows the settlement amount remaining still to be settled.
Actual Settlement Date	Shows the date of the settlement. Displayed format is: YYYY-MM-DD
Last Update of Status	Shows the date and time of the last status update. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Restriction Type Balance from	Shows the restriction type code of a balance of a T2S dedicated cash account which is debited.
Restriction Type Balance to	Shows the restriction type code of a balance of a T2S dedicated cash account which is credited.
Priority	Shows the priority of the payment instruction assigned by the originator of the instruction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> High <input type="checkbox"/> Normal <input type="checkbox"/> Reserved <input type="checkbox"/> Top
Intra-Balance Movement – Instructing Party	

General Statement Information	
Instructing Party BIC	Shows the BIC of the instructing party.
Instructing Party Parent BIC	Shows the parent BIC of the instructing party.
Entry Date and Time	Shows the date and time when the instructions entered in T2S. Displayed format is: YYYY-MM-DD hh:mm:ss
Intra-Balance Movement - Status	
Settlement Status	Shows the settlement status of the instruction from the possible values: <input type="checkbox"/> SETT (for settled) <input type="checkbox"/> PSET (for partially settled)
Intra-Balance Movement – Instruction Link Information	
Repetitive Block for each Intra-Balance Movement with Link Information	
Link Type	Shows the link type of the instruction from the possible values: <input type="checkbox"/> Afte (Instruction has to be settled after or at the same time as the linked instruction) <input type="checkbox"/> Befo (Instruction has to be settled before or at the same time as the linked instruction) <input type="checkbox"/> With (Instruction has to be settled at the same time as the linked instruction) <input type="checkbox"/> Info (Specifies that the instructions are linked for information purposes only)
Message Number	Shows the identification of the message referenced in the linked sequence.
Linked Instruction Reference of Party	Shows the T2S actor reference of the LCMM instruction linked to the relevant LCMM instruction. In case a link between 2 or more LCMM instructions exists, the ISO settlement link indicators are shown from the possible values: <input type="checkbox"/> After <input type="checkbox"/> Before <input type="checkbox"/> All-or-none

General Statement Information	
Linked Instruction T2S Technical Identifier	Shows the technical identification of the linked instruction.
Pool Instruction Reference	Shows the identification of a pool of settlement instructions or settlement restrictions.
Received Account Owner BIC	Shows the BIC of the T2S party owner of the reference of the instruction/pool which this instruction is linked to.

2.4.1.18 Available Report - Statement of Settled Intra-Position Movements - Details Screen

Context of Usage This screen displays detailed information on the selected statement of settled intra-position movements. The report displayed in this screen has to be already created in accordance with its report configuration.

This screen is not relevant for CB and payment bank/settlement bank users.

Screen Access

- Services >> Additional Services >> Reports >> *Available reports – search/list* screen >> Click on the *search* and/or *details* button
- Services >> Additional Services >> Reports >> *Available reports – search/list* screen >> Click on the *search* and/or *details* button >> *Available report – details* screen >> Click on the *details* button

Privileges To use this screen, you need the following privilege [[▶ 1915](#)]:

- Report details query

References **User Instructions Part**

This screen is part of the following business scenario:

- View available reports

Business Functionality Document

This screen corresponds to the following business function:

- Display generated reports list (T2S.GUI.INSE.RPT.0090)

Screenshot



Illustration 110: Available report – statement of settled intra-position movements – details screen

Field Description

General Statement Information	
Report Name	Shows the name of the report.
Delta Mode	Shows if the report is a delta report or not. Possible values are: <input type="checkbox"/> Yes <input type="checkbox"/> No
Statement Number	Shows the statement number of the report composed of the business date and report type (2-letter abbreviation depending on report type and delta/full-flag) and the sequential number, which is unique in T2S. The number increases for each report.
Report Number	Shows the sequential number of the report which is specific for a report receiving party. This number will only be displayed if the pending user belongs to a report receiving party.
Scheduled	Shows the name of the business event or time triggering the report creation.
Frequency	Shows the frequency of the report from the possible value: <input type="checkbox"/> DAILY (for reports created on a daily basis)
Activity Indicator	Shows whether the report displays activities or only lists information from the possible values: <input type="checkbox"/> True <input type="checkbox"/> False

General Statement Information	
Covered Period from	Shows the date and time from which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Covered Period to	Shows the date and time until which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Report Owner BIC	Shows the BIC of the party whose data are reported.
Report Owner Parent BIC	Shows the parent BIC of the party whose data are reported.
System Entity Wide Report	Shows whether the report is a community report (covering all information referring to a system entity) or a party based report (covering all information referring to a party) from the possible values: <input type="checkbox"/> True <input type="checkbox"/> False
General Information	
Securities Account Number	Shows the number of the securities account.
Securities Account Owner BIC	Shows the BIC of the party which owns the securities account.
Securities Account Owner Parent BIC	Shows the parent BIC of the party.
Intra-Position Movement Information	
Repetitive Block for each Intra-Position Movement	
T2S Intra-Position Movement Reference	
Intra-Position Movement Reference	Shows the identification of the instruction assigned by T2S.
Intra-Balance Movement Details	
ISIN	Shows the ISIN of the security.
Settlement Type	Shows the type of settlement from the possible values: <input type="checkbox"/> UNIT (Units) <input type="checkbox"/> FAMT (Face Amount)
Original Settlement Quantity	Shows the quantity of securities to be settled.

General Statement Information	
Previously Settled Quantity	Shows the quantity settled before the reporting period.
Remaining Quantity	Shows the remaining number of securities to be settled.
Settled Settlement Quantity	Shows the number of securities which was settled on the current business day/during the reporting period.
Actual Settlement Date	Shows the date of the settlement. Displayed format is: YYYY-MM-DD
Accepted Timestamp (SF1)	Shows the accepted timestamp of the settlement restriction. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Restriction Type Balance from	Shows the restriction type code of a position of the debited securities account.
Issuer	Shows the entity assigning the identification. The fixed value is 'T2S'.
Scheme Name	Shows the name of the scheme. The fixed value is 'RT' (for restriction type).
Restriction Type Balance to	Shows the restriction type code of a position of the credited securities account.
Issuer	Shows the entity assigning the identification. The fixed value is 'T2S'.
Scheme Name	Shows the name of the scheme. The fixed value is 'RT' (for restriction type).
Restriction Reference	Shows the identification of the restriction assigned by T2S.

2.4.1.19 Available Report - Statement of Settlement Allegements - Details Screen

Context of Usage

This screen displays detailed information on statements of settlement allegations, which provide information about the list of all settlement instructions received from other T2S actors missing a corresponding securities settlement instruction.

The report displayed in this screen has to be already created in accordance with its report configuration.

This screen is not relevant for CB and payment bank/settlement bank users.

Screen Access

Services >> Additional Services >> Reports >> *Available reports – search/list* screen >> Click on the *search* and/or *details* button

- Services >> Additional Services >> Reports >> **Available reports – search/list** screen >> Click on the **search** and/or **details** button >> **Available report – details** screen >> Click on the **details** button

Privileges

To use this screen, you need the following privilege [▶ 1915]:

- Report details query

References**User Instructions Part**

This screen is part of the following business scenario:

- View available reports

Business Functionality Document

This screen corresponds to the following business function:

- Display generated report details (T2S.GUI.INSE.RPT.0090)

Available Report - Statement of Settlement Allegements - Details Screen

Screenshot

SECURITIES	CASH	SERVICES	STATIC DATA	MONITORING																																																																				
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Illustration 111: Available report - statement of settlement allegements - details screen

Field
Descriptions

General Statement Information	
Statement of Settlement Allegements	
Report Name	Shows the name of the report.
Delta Mode	Shows if the report is a delta report or not. Possible values are:

General Statement Information	
	<input type="checkbox"/> Yes <input type="checkbox"/> No
Statement Number	<p>Shows the statement number of the report composed of the business date and report type (2-letter abbreviation depending on report type and Delta/Full-Flag) and the sequential number, which is unique in T2S.</p> <p>The number increases for each report.</p>
Report Number	<p>Shows the sequential number of the report which is specific for a report receiving party.</p> <p>This number will only be displayed if the sending user belongs to a report receiving party.</p>
Frequency	Shows the frequency of the report, which is always 'DAILY'.
Scheduled	Shows the name of the business event or time triggering the report creation.
Activity Indicator	<p>Shows whether the report displays activities or only lists information from the possible values:</p> <input type="checkbox"/> True <input type="checkbox"/> False
Covered Period from	<p>Shows the date and time from which the data are covered in the report.</p> <p>Displayed format is: YYYY-MM-DD hh:mm:ss</p>
Covered Period to	<p>Shows the date and time until which the data are covered in the report.</p> <p>Displayed format is: YYYY-MM-DD hh:mm:ss</p>
System Entity Wide Report	<p>Shows whether the report is a community report (covering all information referring to a system entity) or a party based report (covering all information referring to a party) from the possible values:</p> <input type="checkbox"/> True <input type="checkbox"/> False
Report Owner BIC	Shows the party BIC of the report owner.
Report Owner Parent BIC	Shows the parent BIC of the report owner.
Allegement Details	

General Statement Information	
Instruction References	
Account Owner Reference	Shows the identification of the transaction that could potentially match with the notified allegation.
Account Servicer Reference	Shows the identification of the transaction assigned by the CSD. This field is optional.
Allegation Technical Identifier	Shows the identification of the transaction assigned by T2S.
Third Party Reference	Shows the identification of the instruction assigned by a third party. This field is optional.
Trade Identification	Shows the identification of the trade assigned by the investor or the trading party. This reference is used throughout the trade life cycle to access or update the trade details. This field is optional and repetitive.
Common Reference	Shows the identification the 2 trade counterparties agreed in order to identify the trade. This field is optional.
Allegation Status	
Allegation Status	Shows the status of the allegation from the possible values: <input type="checkbox"/> Sent (default value) <input type="checkbox"/> Removed <input type="checkbox"/> Cancelled
Trade Timeline	
Trade Date	Shows the date when the contract was made. Displayed format is: YYYY-MM-DD
Settlement Date	Shows the date when the securities are to be delivered or received. Displayed format is: YYYY-MM-DD
Trade Information	
Securities Movement Type Code	Shows the movement on a securities account from the possible values: <input type="checkbox"/> DELI (for delivery)

General Statement Information	
	<input type="checkbox"/> RECE (for receive)
Payment Type	Shows the type of the payment from the possible values: <input type="checkbox"/> APMT (for against payment settlement) <input type="checkbox"/> FREE (for separate settlement)
Beneficial Ownership	Shows if there is a change of beneficial ownership <input type="checkbox"/> No <input type="checkbox"/> Yes
Place of Trade Identifier	Shows the identification of the place of trade via the market identifier code. Either this field or the description of the place of trade is available.
Place of Clearing	Shows the BIC code of the Clearing House involved in the settlement (if any).
Description	Shows the description of the place of trade. Either this field or the MIC Id is available.
Type Code	Shows the market type code from the possible values: <input type="checkbox"/> EXCH (for stock exchange) <input type="checkbox"/> OTCO (for over the counter) <input type="checkbox"/> PRIM (for primary market) <input type="checkbox"/> SECM (for secondary market) <input type="checkbox"/> VARI (for various) Either this field or the proprietary identification of the place of trade in addition to the issuer and scheme name is available.
Proprietary Identification	Shows the proprietary identification of the allegation assigned by the issuer of the data source scheme. Either this field in addition to the issuer and scheme name or the code of the place of trade is available.
Issuer	Shows the issuer of the proprietary identification. Either this field in addition to the proprietary identification and scheme name or the code of the place of trade is available.
Scheme Name	Shows the description of the data source scheme.

General Statement Information	
	Either this field in addition to the proprietary identification and the issuer or the code of the place of trade is available.
ISIN	Shows the ISIN of the security.
Securities Name	Shows the name of the security.
Deal Price - Yielded	Shows whether the price is expressed as a yield from the possible values: <input type="checkbox"/> Yes <input type="checkbox"/> No This field is optional.
Deal Price – Value Type	Shows the type of the value in which the price is expressed. This field is optional and only filled in if the deal price is not expressed as a yield.
Deal Price – Rate %	Shows the price expressed as a rate. This field is optional.
Deal Price - Amount	Shows price expressed as the value of the security. This field is optional.
Deal Price - Currency	Shows the currency of the security. This field is optional.
Number of Days Accrued	Shows the number of days on which the interest rate accrues. This field is optional.
Settlement Quantity	
Settlement Quantity	Shows the quantity of the security to be settled.
Settlement Type	Shows the type of settlement from the possible values: <input type="checkbox"/> UNIT (Units) <input type="checkbox"/> FAMT (Face Amount)
Settlement Amount	
Debit Credit Indicator	Shows if an operation is a credit or a debit from the possible values: <input type="checkbox"/> CRDT (for credit) <input type="checkbox"/> DBIT (for debit)

General Statement Information	
Settlement Amount	Shows the amount to be paid or received in exchange for the securities.
Settlement Currency	Shows the currency of the settlement.
Original Ordered Amount	Shows the posting or settlement amount in its original currency. This field is only available, if a conversion from/into another currency has occurred.
Original Ordered Currency	Shows the currency of the original ordered amount. This field is only available, if a conversion from/into another currency has occurred.
Unit Currency	Shows the currency in which the rate of exchange is expressed in a currency exchange. This field is optional.
Quoted Currency	Shows the currency into which the base currency is converted in a currency exchange. This field is optional.
Exchange Rate	Shows the factor used for the conversion of an amount from 1 currency into another reflecting the price at which the currency was bought with another currency. This field is optional.
Resulting Amount	Shows the value and currency after a currency conversion. This field is optional.
Settlement Parameters	
CUM/EX Indicator	Shows if a security is traded with or without coupon from the possible values: <input type="checkbox"/> CUM (for cum coupon) <input type="checkbox"/> EX (for ex coupon)
ISO Transaction Type Code	Shows the ISO 20022 code of the securities transaction type. For details please see UDFS chapter 4.2.1.
Opt-Out ISO Settlement Transaction Condition Indicator	Shows the conditions under which the order/trade is to be settled.
Partial Settlement Indicator	Shows if the settlement instruction is allowed to be subject to partial settlement from the possible values: <input type="checkbox"/> NPAR (for no partial settlement allowed)

General Statement Information	
	<input type="checkbox"/> PART (for partial settlement allowed without restrictions) <input type="checkbox"/> PARC (for partial settlement allowed but the settlement amount must not be below the threshold) <input type="checkbox"/> PARQ (for partial settlement allowed but the quantity must not be below the threshold)
Market Client Side	Shows the market or client side information as an ISO 20022 code from the possible values: <input type="checkbox"/> CLNT (for client side) <input type="checkbox"/> MAKT (for market side)
Settlement Parties	
Delivering Parties	
Delivering Depository	
Party BIC	Shows the BIC of the CSD delivering the securities.
Processing Identification	Shows the reference of the instruction assigned by the delivering depository. This field is optional.
Delivering CSD Participant	
Party BIC	Shows the BIC of the delivering CSD participant.
Securities Account Number	Shows the securities account number of the delivering CSD participant. This field is optional.
Processing Identification	Shows the reference of the instruction assigned by the delivering CSD participant. This field is optional.
Contact Details	Shows the contact details of the delivering CSD participant. This field is optional.
Declaration Details	Provides declaration details narrative relative to the party.
Client of the Delivering CSD Participant	
Party BIC	Shows the client of the delivering CSD participant BIC. This field is optional.
Identification	Shows the unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

General Statement Information	
	This field is optional.
Issuer	Shows the name of the issuer of the proprietary identifier. This field is optional.
Scheme Name	Shows the name of the scheme. This field is optional.
Name	Shows the name and address of the client. This field is optional.
Processing Identification	Shows the unambiguous identification of the transaction for the party identified. This field is optional.
Contact Details	Shows the contact details of the client. This field is optional.
Safekeeping Account	Account to or from which a securities entry is made.
Delivering Party 3	
Party BIC	Shows the BIC of the delivering party 3. This field is conditional.
Identification	Shows the identification of the processing provided by the party. This field is conditional.
Issuer	Shows the entity that assigns the identification. This field is conditional.
Scheme Name	Shows the name of the scheme. This field is conditional.
Name	Shows the name of the delivering party 3. This field is conditional.
Identification	Shows the unambiguous identification of the transaction for the delivering party 3 identified. This field is optional.
Contact Details	Shows the contact details of the delivering party 3. This field is optional.
Safekeeping Account	Account to or from which a securities entry is made.

General Statement Information	
Delivering Party 4	
Party BIC	Shows the BIC of the delivering party 4. This field is conditional.
Identification	Shows the identification of the processing provided by the party. This field is conditional.
Issuer	Shows the entity that assigns the identification. This field is conditional.
Scheme Name	Shows the name of the scheme. This field is conditional.
Name	Shows the name of the delivering party 4. This field is conditional.
Identification	Shows the unambiguous identification of the transaction for the delivering party 4 identified. This field is optional.
Contact Details	Shows the contact details of the delivering party 4. This field is optional.
Delivering Party 5	
Party BIC	Shows the BIC of the delivering party 5. This field is conditional.
Identification	Shows the identification of the processing provided by the party. This field is conditional.
Issuer	Shows the entity that assigns the identification. This field is conditional.
Scheme Name	Shows the name of the scheme. This field is conditional.
Name	Shows the name of the delivering party 5. This field is conditional.
Identification	Shows the unambiguous identification of the transaction for the delivering party 5 identified. This field is optional.
Contact Details	Shows the contact details of the delivering party 5.

General Statement Information	
	This field is optional.
Settlement Parties	
Receiving Parties	
Receiving Depository	
Party BIC	Shows the BIC of the CSD receiving the securities.
Processing Identification	Shows the reference of the instruction assigned by the receiving depository. This field is optional.
Receiving CSD Participant	
Party BIC	Shows the BIC of the receiving CSD participant.
Securities Account Number	Shows the securities account number of the receiving CSD participant. This field is optional.
Processing Identification	Shows the reference of the instruction assigned by the receiving CSD participant. This field is optional.
Contact Details	Shows the contact details of the receiving CSD participant. This field is optional.
Declaration Details	Provides declaration details narrative relative to the party.
Client of the Receiving CSD Participant	
Party BIC	Shows the client of the receiving CSD participant BIC. This field is optional.
Identification	Shows the unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme. This field is optional.
Issuer	Shows the name of the issuer of the proprietary identifier. This field is optional.
Scheme Name	Shows the name of the scheme. This field is optional.
Name	Shows the name and address of the client. This field is optional.
Processing Identification	Shows the unambiguous identification of the transaction for the party identified.

General Statement Information	
	This field is optional.
Contact Details	Shows the contact details of the client. This field is optional.
Safekeeping Account	Account to or from which a securities entry is made.
Receiving Party 3	
Party BIC	Shows the BIC of the receiving party 3. This field is conditional.
Identification	Shows the identification of the processing provided by the party. This field is conditional.
Issuer	Shows the entity that assigns the identification. This field is conditional.
Scheme Name	Shows the name of the scheme. This field is conditional.
Name	Shows the name of the receiving party 3. This field is conditional.
Identification	Shows the unambiguous identification of the transaction for the receiving party 3 identified. This field is optional.
Contact Details	Shows the contact details of the receiving party 3. This field is optional.
Safekeeping Account	Account to or from which a securities entry is made.
Receiving Party 4	
Party BIC	Shows the BIC of the receiving party 4. This field is conditional.
Identification	Shows the identification of the processing provided by the party. This field is conditional.
Issuer	Shows the entity that assigns the identification. This field is conditional.
Scheme Name	Shows the name of the scheme.

General Statement Information	
	This field is conditional.
Name	Shows the name of the receiving party 4. This field is conditional.
Identification	Shows the unambiguous identification of the transaction for the receiving party 4 identified. This field is optional.
Contact Details	Shows the contact details of the receiving party 4. This field is optional.
Receiving Party 5	
Party BIC	Shows the BIC of the receiving party 5. This field is conditional.
Identification	Shows the identification of the processing provided by the party. This field is conditional.
Issuer	Shows the entity that assigns the identification. This field is conditional.
Scheme Name	Shows the name of the scheme. This field is conditional.
Name	Shows the name of the receiving party 5. This field is conditional.
Identification	Shows the unambiguous identification of the transaction for the receiving party 5 identified. This field is optional.
Contact Details	Shows the contact details of the receiving party 5. This field is optional.

2.4.1.20 Available Report - Statement of Transactions - Details Screen

Context of Usage

This screen displays detailed information on the selected statement of transactions. No new report will be created by entering this screen. The report will be shown in a new window. The report displayed in this screen has to be already created in accordance with its report configuration.

For large reports the user has the option to view them in portions of up to 500 transactions. Only the current portion of the report will be available for a download or print.

This screen is not relevant for CB and payment bank/settlement bank users.

**Screen
Access**

Services >> Additional Services >> Reports >> *Available reports – search/list* screen >> Click on the *search* and/or *details* button >> *Available report – details* screen >> Click on the *details* button

Privileges

To use this screen, you need the following privilege [**▶** 1915]:

Report details query

References**User Instructions Part**

This screen is part of the following business scenario:

View available reports

Business Functionality Document

This screen corresponds to the following business function:

Display generated report details (T2S.GUI.INSE.RPT.0090)

Screenshot



Illustration 112: Available report - statement of transactions - details screen

Field
Descriptions

General Statement Information	
Report Name	Shows the name of the report.
Delta Mode	Shows if the report is a delta report or not. Possible values are: <input type="checkbox"/> Yes

General Statement Information	
	<input type="checkbox"/> No
Statement Number	Shows the statement number of the report composed of the business date and report type (2-letter abbreviation depending on report type and Delta/Full-Flag) and the sequential number, which is unique in T2S. The number increases for each report.
Report Number	Shows the sequential number of the report which is specific for a report receiving party. This number will only be displayed if the sending user belongs to a report receiving party.
Scheduled	Shows the name of the business event or time triggering the report creation.
Frequency	Shows the frequency of the report, which is always 'DAILY'.
Statement Basis	Shows the basis of the statement from the possible value: <input type="checkbox"/> SETT (for settled) The statement basis is a mandatory field in the message. The ISO message allows several possible values, but T2S allows only 'SETT'.
Activity Indicator	Shows whether the report displays activities or only lists information from the possible values: <input type="checkbox"/> True <input type="checkbox"/> False
Covered Period from	Shows the date and time from which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Covered Period to	Shows the date and time until which the data are covered in the report. Displayed format is: YYYY-MM-DD hh:mm:ss
Report Owner BIC	Shows the BIC whose data is reported on
Report Owner Parent BIC	Shows the Parent BIC whose data is reported on
System Entity Wide Report	Shows whether the report is a community report (covering all information referring to a system entity) or a party based report (covering all information referring to a party) from the possible values:

General Statement Information	
	<input type="checkbox"/> Yes <input type="checkbox"/> No
Securities Account Information	
Securities Account Number	Shows the number of the securities account on which the report is based.
Securities Account Owner BIC	Shows the BIC of the party owning the securities account.
Securities account Servicer BIC	Shows the BIC of the party operating the securities account.
Transaction	
Statement - Instruction References and Priority	
Account Owner Reference	Shows the identification of the instruction assigned by the T2S delivering/receiving party.
Account Servicer Reference	Shows the identification of the transaction assigned by the CSD.
Third Party Reference	Shows the identification of the instruction assigned by a third party.
Settlement Instruction Technical Identifier	Shows the identification of the instruction assigned by T2S.
Pool Instruction Reference	Shows the identification of a pool of settlement instructions or settlement restrictions.
Common Reference	Shows the identification the 2 trade counterparties agreed in order to identify the trade. This field is optional.
Statement - Trade Timeline	
Trade Date	Shows the date when the contract was made. Displayed format is: YYYY-MM-DD
Intended Settlement Date	Shows the date when the instruction is forwarded to settlement for the first time. Displayed format is: YYYY-MM-DD
Actual Settlement Date	Shows the date of the settlement. Displayed format is: YY-MM-DD
Accepted Timestamp (SF1)	Shows the accepted timestamp of the settlement instruction. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµsµs

General Statement Information	
Matched Timestamp (SF2)	Shows the matched timestamp of the settlement instruction. Displayed format is: YYYY-MM-DD hh:mm:ss:μsμsμsμsμs
Statement - Trade Information	
Movement Type Code	Shows the type of the movement on a securities account from the possible values: <input type="checkbox"/> DELI (for delivery) <input type="checkbox"/> RECE (for receive)
Payment Type	Shows the type of the payment from the possible values: <input type="checkbox"/> APMT (for against payment settlement) <input type="checkbox"/> FREE (for separate settlement)
ISIN	Shows the ISIN of the security.
Securities Name	Shows the name of the security.
Settled Settlement Quantity	Shows the settled settlement quantity which was settled on the current business day/during the reporting period.
Settlement Type	Shows the type of settlement from the possible values: <input type="checkbox"/> UNIT (Units) <input type="checkbox"/> FAMT (Face Amount)
Settled Settlement Amount	Shows the settled settlement amount which was settled on the current business day/during the reporting period.
Debit Credit Indicator	Shows if an operation is a credit or a debit from the possible values: <input type="checkbox"/> CRDT (for credit) <input type="checkbox"/> DBIT (for debit)
Currency	Shows the currency code.
Place of Trade Market Identifier Code	Shows the identification of a financial market, as stipulated in the norm ISO 10383:2012 "Codes for exchanges and market identifications".
Place of Trade Description	Shows the description of the market when no Market Identifier Code is available.
Place of Trade Market Type Code	Shows the market type expressed as an ISO 20022 code.
Place of Trade Market Type Proprietary Identification	Shows the proprietary information, often a code, issued by the data source scheme issuer.

General Statement Information	
Place of Trade Market Type Proprietary Issuer	Shows the entity that assigns the identification.
Place of Trade Market Type Proprietary Scheme Name	Shows a short textual description of the scheme.
Place of Clearing	Shows the BIC code of the Clearing House involved in the settlement (if any).
Beneficial Ownership	Shows if there is a change of beneficial ownership <input type="checkbox"/> Yes <input type="checkbox"/> No
Transaction Activity Code	Specifies the type of activity to which this instruction relates: <input type="checkbox"/> BOLE: Borrowing and Lending Activity; <input type="checkbox"/> CLAI: Market Claim; <input type="checkbox"/> COLL: Collateral Activity; <input type="checkbox"/> CORP: Corporate Action Activity; <input type="checkbox"/> SETT: Settlement and Clearing Activity
Settlement Parties	
Delivering Parties	
Delivering Depository	
Party BIC	Shows the BIC of the CSD delivering the securities.
Delivering CSD Participant	
Party BIC	Shows the BIC of the delivering CSD participant.
Securities Account Number	Shows the securities account number of the delivering CSD participant. This field is optional.
Processing Identification	Shows the reference of the instruction assigned by the delivering CSD participant. This field is optional.
Client of the Delivering CSD Participant	
Party BIC	Shows the client of the delivering CSD participant BIC. This field is optional.

General Statement Information	
Identification	Shows the unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme. This field is optional.
Issuer	Shows the name of the issuer of the proprietary identifier. This field is optional.
Scheme Name	Shows the name of the scheme. This field is optional.
Name	Shows the name and address of the client. This field is optional.
Delivering Party 3	
Party BIC	Shows the BIC of the delivering party 3. This field is conditional.
Identification	Shows the identification of the processing provided by the party. This field is conditional.
Issuer	Shows the entity that assigns the identification. This field is conditional.
Scheme Name	Shows the name of the scheme. This field is conditional.
Name	Shows the name of the delivering party 3. This field is conditional.
Delivering Party 4	
Party BIC	Shows the BIC of the delivering party 4. This field is conditional.
Identification	Shows the identification of the processing provided by the party. This field is conditional.
Issuer	Shows the entity that assigns the identification. This field is conditional.
Scheme Name	Shows the name of the scheme. This field is conditional.
Name	Shows the name of the delivering party 4.

General Statement Information	
	This field is conditional.
Delivering Party 5	
Party BIC	Shows the BIC of the delivering party 5. This field is conditional.
Identification	Shows the identification of the processing provided by the party. This field is conditional.
Issuer	Shows the entity that assigns the identification. This field is conditional.
Scheme Name	Shows the name of the scheme. This field is conditional.
Name	Shows the name of the delivering party 5. This field is conditional.
Settlement Parties	
Receiving Parties	
Receiving Depository	
Party BIC	Shows the BIC of the CSD receiving the securities.
Receiving CSD Participant	
Party BIC	Shows the BIC of the receiving CSD participant.
Securities Account Number	Shows the securities account number of the receiving CSD participant. This field is optional.
Client of the Receiving CSD Participant	
Party BIC	Shows the client of the receiving CSD participant BIC. This field is optional.
Identification	Shows the unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme. This field is optional.
Issuer	Shows the name of the issuer of the proprietary identifier. This field is optional.
Scheme Name	Shows the name of the scheme. This field is optional.

General Statement Information	
Name	Shows the name and address of the client. This field is optional.
Receiving Party 3	
Party BIC	Shows the BIC of the receiving party 3. This field is conditional.
Identification	Shows the identification of the processing provided by the party. This field is conditional.
Issuer	Shows the entity that assigns the identification. This field is conditional.
Scheme Name	Shows the name of the scheme. This field is conditional.
Name	Shows the name of the receiving party 3. This field is conditional.
Receiving Party 4	
Party BIC	Shows the BIC of the receiving party 4. This field is conditional.
Identification	Shows the identification of the processing provided by the party. This field is conditional.
Issuer	Shows the entity that assigns the identification. This field is conditional.
Scheme Name	Shows the name of the scheme. This field is conditional.
Name	Shows the name of the receiving party 4. This field is conditional.
Receiving Party 5	
Party BIC	Shows the BIC of the receiving party 5. This field is conditional.
Identification	Shows the identification of the processing provided by the party. This field is conditional.
Issuer	Shows the entity that assigns the identification.

General Statement Information	
	This field is conditional.
Scheme Name	Shows the name of the scheme. This field is conditional.
Name	Shows the name of the receiving party 5. This field is conditional.
Statement - Further Information	
ISO Transaction Code	Shows the code of the transaction code as defined in ISO 20022.
Settlement Transaction Condition Indicator	Shows the Settlement Transaction Condition Indicator. This field can show multiple Settlement Transaction Condition Indicators.
Partial Settlement Indicator	Shows if the settlement instruction is allowed to be subject to partial settlement from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> NPAR (for no partial settlement allowed) <input type="checkbox"/> PART (for partial settlement allowed without restrictions) <input type="checkbox"/> PARC (for partial settlement allowed but the settlement amount must not be below the threshold) <input type="checkbox"/> PARQ (for partial settlement allowed but the quantity must not be below the threshold)
Corporate Action Event Identification	Shows the identification of the instruction assigned by the account servicer to identify a corporate action event.

2.4.1.21 Available Report – Daily Penalty List – Details Screen

Context of Usage

This screen displays detailed information on the Daily Penalty List report. The report displayed in this screen has to be already created in accordance with its report configuration. Hence, no new report will be created by entering this screen.

For large reports the user has the option to view them in portions of up to 200 penalties. Only the current portion of the report will be available for downloading or for printing.

This screen is only available for CSD authorised users and T2S Operator user.

Screen Access Services >> Additional Services >> Reports >> *Available reports – search/list* screen >> Click on the *search* and/or *details* button >> *Available report – details* screen >> Click on the *details* button

Privileges To use this screen, you need the following privilege:
 Report details query

References **User Instructions Part**

This screen is part of the following business scenario:

- View available reports

Business Functionality Document

This screen corresponds to the following business function:

- Display generated report details (T2S.GUI.INSE.RPT.0090)

Screenshot

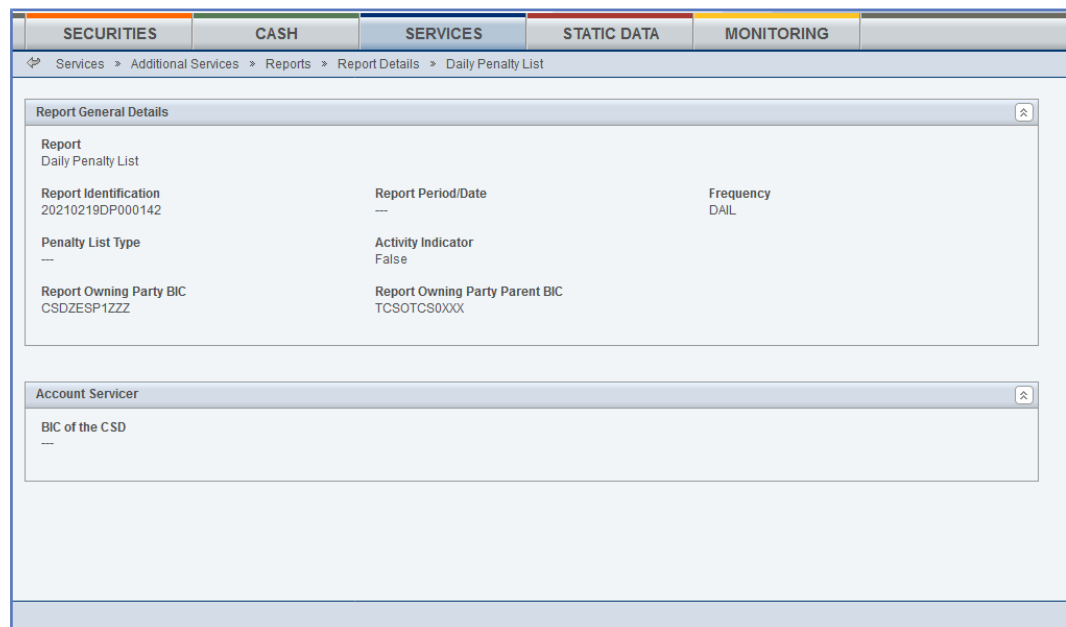


Illustration 113: Available report – daily penalty list – details screen

Field Description

Report General Details	
Report	Shows the name of the report.
Report Identification	Shows the penalty number of the report composed of the business date and report types, sent in pull and push mode by T2S, and the sequential number, which is unique in T2S. The number increases for each report.
Report Period/Date	Shows the date reported by the report.

Report General Details	
Frequency	Indicates the frequency of the generation of the report. The unique possible value is: <input type="checkbox"/> DAIL
Penalty List Type	Shows the new or updated/removed detected penalties. The unique possible value is: <input type="checkbox"/> FWIS this code is used to report a Daily Penalty List created for the first time.
Activity Indicator	Shows if the message contains records or not. The possible values are: <input type="checkbox"/> True <input type="checkbox"/> False
Report Owning Party BIC	Shows the Party BIC whose data is reported on.
Report Owning Party Parent BIC	Shows the Party Parent BIC whose data is reported on.
Account Servicer	
BIC of the CSD	Shows the CSD of the participant whose new penalties (i.e. the one detected on the previous business day) are reported in this message.
Currency, Date and Party	
Currency	Shows the currency of the penalties reported.
Date	Shows the detection date of the penalties.
Party BIC	Shows the BIC of the Party that manages the account on behalf of the party account owner.
Party Type	Shows the Party Type of the Party according to Reference Data. The possible values are:

Report General Details	
	<ul style="list-style-type: none"> <input type="checkbox"/> NCSD is provided when the CSD itself is the failing or non failing party of the penalty/claim. This is used to differentiate between the CSD and the CSD as participant of itself. It is needed because the AccountServicer/Depository of the party provided in the message is the BIC of the CSD of the party, not the parent BIC of the party. Consequently, without this additional identification, it wouldn't be possible to distinguish between a CSD and the CSD as participant of itself. <input type="checkbox"/> CCPA is provided when the failing or non failing party of the penalty/claim is a CCP; i.e.: in T2S this is done by checking if the party has a BIC in the Cash Penalties CCP List (list of BICs). <input type="checkbox"/> CSDP is provided when the failing or non failing party of the penalty/claim is neither a CSD nor a CCP and its party type is CSD Participant. <input type="checkbox"/> EXTE is provided when the failing or non failing party of the penalty/claim is neither a CSD nor a CCP and its party type is an external CSD in T2S.
Counterparty	
BIC of the CSD of the counterparty	Shows the CSD of the counterparty of the penalties reported in this block of the message
Counterparty BIC	Shows the BIC of the Party that manages the account on behalf of the counterparty account owner.

Report General Details	
Counterparty Type	<p>Shows the BIC of the Party that manages the account on behalf of the counterparty account owner.</p> <p>The possible values are:</p> <ul style="list-style-type: none"><input type="checkbox"/> NCSD<input type="checkbox"/> CCPA<input type="checkbox"/> CSDP<input type="checkbox"/> EXTE
Aggregated Amount	<p>Shows the net amount of all the newly detected penalties reported for the given business day in the related currency for the relevant party and counterparty.</p>
Credit/Debit	<p>Shows whether the Daily Aggregated Net Amount is due or to be received by the participant.</p> <p>The possible values are:</p> <ul style="list-style-type: none"><input type="checkbox"/> DBIT(Debit)<input type="checkbox"/> CRDT(Credit) <p>If no value is retrieve, it will show "---"</p>
Penalty	
Common ID	<p>Shows the reference assigned by T2S to the penalty that is common for both the failing and the non-failing participant.</p>
Individual ID	<p>Shows the reference assigned by T2S to the penalty that is the individual identification of the penalty for the relevant account owner.</p>
Type	<p>Shows the type of the penalty.</p> <p>The possible values are:</p> <ul style="list-style-type: none"><input type="checkbox"/> SEFP<input type="checkbox"/> LMFP
Status	<p>Shows the status of the penalty.</p> <p>The unique possible value is:</p>

Report General Details	
	<input type="checkbox"/> ACTV
Amount of the Penalty	Shows the amount computed for the penalty and its corresponding currency.
Credit/Debit Indicator	Shows whether the amount of the penalty is due or to be received by the participant. The possible values are: <ul style="list-style-type: none"> <input type="checkbox"/> DBIT(Debit) <input type="checkbox"/> CRDT(Credit)
Calculation Method	Shows the calculation method used to calculate the penalty. The possible values are: <ul style="list-style-type: none"> <input type="checkbox"/> SECU - The Penalty is based on the quantity of securities failed to be delivered and security penalty rate of the relevant Asset type. <input type="checkbox"/> MIXE - The Penalty is based on the quantity of securities failed to be delivered and penalty rate is the discount rate of the relevant currency. <input type="checkbox"/> CASH - Penalty is based on the amount of cash failed to be delivered and the penalty rate is the discount rate of the relevant currency. <input type="checkbox"/> BOTH - Penalty is the sum of "SECU" and "CASH".
Number of Days	Shows the number of business days the penalty is applicable to.
Calculation Data	
Date	Shows the business day of each sub-amount that forms a penalty.
Missing Reference Data	This information is only provided for penalties where there is missing reference data needed for their calculation. The possible values are:

Report General Details	
	<ul style="list-style-type: none"> <input type="checkbox"/> TRUE – There is missing reference data on the applicable business day. <input type="checkbox"/> FALSE – There is no missing reference data on the applicable business day. <p>If not provided, it means the penalty could be calculated and no reference was missing.</p>
ISIN	Shows the ISIN code of the security.
Security Subject to Penalties	<p>Shows whether the security is subject to penalties on this business day or not.</p> <p>The possible values are:</p> <ul style="list-style-type: none"> <input type="checkbox"/> TRUE - The security is subject to penalties. <input type="checkbox"/> FALSE - The security is not subject to penalties. <p>When not informed, it will mean "TRUE".</p>
Financial Instrument Type	<p>Shows the type of the financial instrument.</p> <p>The possible values are:</p> <ul style="list-style-type: none"> <input type="checkbox"/> SHRS <input type="checkbox"/> SOVR <input type="checkbox"/> DEBT <input type="checkbox"/> SECU <input type="checkbox"/> ETFS <input type="checkbox"/> UCIT <input type="checkbox"/> MMKT <input type="checkbox"/> EMAL <input type="checkbox"/> OTHR <p>If no value is retrieve, it will show "---"</p>
Liquidity	Binary classification of securities which indicates whether the underlying instrument is liquid or illiquid.

Report General Details	
	<p>Only provided if:</p> <ul style="list-style-type: none"> <input type="checkbox"/> The Security is Subject to penalties <input type="checkbox"/> The Financial Instrument Type of the security (Classification type) is “SHRS”, and <input type="checkbox"/> The Calculation method code is “SECU” or “BOTH”. <p>The possible values are:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Empty (“---”), it is not relevant for the calculation of the penalty or for information purposes whether the instrument is liquid or illiquid. <input type="checkbox"/> TRUE, the underlying instrument is liquid. <input type="checkbox"/> FALSE, the underlying instrument is illiquid.
Exchange Rate	<p>Shows the value of one currency expressed in relation to another currency (i.e. ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency).</p> <p>If no value is retrieve, it will show “---”</p>
Unit Currency	<p>Shows the currency in which the rate of exchange is expressed in a currency exchange. It is always EUR.</p> <p>If no value is retrieve, it will show “---”</p>
Quoted Currency	<p>Shows the currency into which the base currency is converted, in a currency exchange. Possible values are:</p> <p>For first FX: The quoted currency is either the currency of the price when the security is in Units, or the currency of the security if the security is in FAMT.</p> <p>If no value is retrieve, it will show “---”</p>

Report General Details	
Exchange Rate	Shows the second value of one currency expressed in relation to another currency (i.e. ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency), if any.
Unit Currency	Shows the currency in which the rate of exchange is expressed in a currency exchange. It is always EUR.
Quoted Currency	Shows the currency into which the base currency is converted, in a currency exchange. For second FX: The quoted currency is the currency of the penalty: For the time being, it is always DKK.
Place of Trade Market Identifier Code	Shows the identifier Code of the market in which a trade transaction has been executed. Informed only if Security is subject to penalties, Calculation method code is SECU or BOTH and the two underlying matched settlement instructions have the same MIC in their Place of Trade. If MIC differs, the value informed will be "empty".
SME Growth Market	Shows whether the instructions were traded in a SME Growth Market or not. Only provided if: <ul style="list-style-type: none"><input type="checkbox"/> The Security is Subject to penalties<input type="checkbox"/> The Financial Instrument Type of the security (Classification type) is different from SOVR, and<input type="checkbox"/> The Calculation method code is "SECU" or "BOTH". The possible values are:

Report General Details	
	<ul style="list-style-type: none"> <input type="checkbox"/> Empty (“---”), it is not relevant for the calculation of the penalty or for information purposes whether the instructions were traded on a SME Growth Market or not <input type="checkbox"/> TRUE, the instructions were traded on a SME Growth Market. <input type="checkbox"/> FALSE, the instructions were not traded on a SME Growth Market.
Security Penalty Rate	Shows the Securities Penalty Rate applicable for the Asset Type, used when the calculation method code of the penalty is “SECU” or “BOTH”.
Cash Discount Penalty Rate	Shows the discount rate of the relevant currency for the business date. It is provided if the Security is subject to penalties and if the Calculation Method code is “CASH”, “MIXE” or “BOTH”.
Sub-amount Securities	Shows the amount of the penalty for this business day. It is only provided if the number of days is higher than one (i.e. when it is a LMFP and the block calculation details could have more than one instance) or if the calculation method is “BOTH” (in this case also Sub-amount cash is provided)
Sub-amount Cash	Shows the amount of the penalty for this business day. It is only provided if the number of business days is higher than one (i.e. when it is a LMFP and the block calculation details could have more than one instance) or if the calculation method is “BOTH” (in this case also Sub-amount securities is provided)
Related Transaction	
References	

Report General Details	
Account Owner Reference	Shows the reference of an instruction as assigned by the T2S Delivering/Receiving Party.
Account Servicer Reference	Shows the reference of an instruction as assigned by the CSD.
Market Infrastructure Reference	Shows the T2S settlement instruction identification.
Third Party Reference	Shows the reference assigned by the Third Party, if any.
Common Reference	Shows the reference of the Settlement Instruction this Settlement Instruction is matched with.
Corporate Action Event Identification	Shows the identification assigned by the account servicer to unambiguously identify a corporate action event
T2S Matching Reference	Shows the reference provided by T2S when the instruction is matched.
Reference Owner BIC	Shows the BIC of the instructing party of the settlement instruction.
Other details	
Securities Transaction Type	Shows the securities transaction type expressed as an ISO 20022 code from the possible values: <ul style="list-style-type: none"><input type="checkbox"/> AUTO (for auto-collateralisation)<input type="checkbox"/> BSBK (for buy sell back)<input type="checkbox"/> BYIY (for buy-in)<input type="checkbox"/> CLAI (for market claim)<input type="checkbox"/> CNCB (for central bank collateral operation)<input type="checkbox"/> COLI (for collateral in)<input type="checkbox"/> COLO (for collateral out)<input type="checkbox"/> CONV (for depository receipt conversion)<input type="checkbox"/> CORP (for corporate action)<input type="checkbox"/> ETFT (for exchange traded funds)

Report General Details

- FCTA (for factor update)
- INSP (for move of stock)
- ISSU (for depository receipt issue)
- MKDW (for mark down)
- MKUP (for mark up)
- NETT (for netting)
- NSYN (for non syndicated)
- OWNE (for external account transfer)
- OWNI (for internal account transfer)
- PAIR (for pair off)
- PLAC (for placement)
- PORT (for portfolio move)
- REAL (for realignment)
- REBL (Rebalancing)
- REDI (for withdrawal)
- REDM (for redemption)
- RELE (for depository receipt release cancellation)
- REPU (for repo)
- RODE (for return delivery without matching)
- RVPO (for reverse repo)
- SBBK (for sell buy back)
- SBRE (for borrowing reallocation)
- SECB (for securities borrowing)
- SECL (for securities lending)
- SLRE (for lending reallocation)
- SUBS (for subscription)
- SWIF (Switch From)
- SWIT (Switch To)
- SYND (for syndicate underwriters)
- TBAC (for TBA closing)

Report General Details	
	<input type="checkbox"/> TRAD (for trade) <input type="checkbox"/> TRPO (for triparty repo) <input type="checkbox"/> TRVO (for triparty reverse repo) <input type="checkbox"/> TURN (for turnaround)
Intended Settlement Date	Shows the date at which the instruction becomes eligible to be submitted to settlement.
Securities Account Number	Shows the identification of the Securities Account of the Settlement Instruction.
Account Owner BIC	Shows the actual value for the party code owner of the Securities Account.
Securities Movement Type	Shows whether the movement on a securities account results from a deliver or a receive instruction. The possible values are: <input type="checkbox"/> DELI – Delivery <input type="checkbox"/> RECE – Receipt
Payment type code	Shows how the transaction is to be settled. The possible values are: <input type="checkbox"/> APMT – Against payment settlement <input type="checkbox"/> FREE – Separate settlement
Unit Quantity	Shows the unit quantity of securities failed to be delivered.
FAMT Quantity	Shows the FAMT quantity of securities failed to be delivered.
DCA Owner BIC	Shows the BIC of the T2S party owning the Dedicated Cash Account. Only in case of settlement instructions against payment.
Amount	Cash amount failed to be delivered, and its corresponding currency.

Report General Details	
	<p>In case the instruction was partially settled at the moment of the end of cut-off processing used for the calculation of the SEFP, it is the remaining amount at that point in time.</p> <p>For LMFPs it is the matched amount.</p>
Credit/Debit	<p>It must be present when the Original Settlement Amount has a value.</p> <p>The possible values are:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DBIT(Debit) <input type="checkbox"/> CRDT(Credit)
Acknowledged Status TS	Shows the Calendar Date when the acceptance (SF1) of the settlement instruction has occurred
Matched Status Timestamp	Shows the Calendar Date when the matching (SF2) of the settlement instruction has occurred
Failed Reason	<p>T2S sends this status when the Settlement Instruction has not been completely settled and the settlement is not possible at the Intended Settlement Date.</p> <p>It is only provided in case of 'SEFP'</p>
Additional Reason	<p>Shows the combination of the associated business rule and reason code reference,</p> <p>It is only provided in case of 'SEFP'</p>
BD Event Identification	<p>Shows the code identifying the event (i.e. Cut-off- Code identifying the event)</p> <p>It is only provided in case of 'SEFP'</p>
Scheme Name	<p>Shows the name of the scheme.</p> <p>Fixed content to 'EVTP'</p> <p>It is only provided in case of 'SEFP'</p>
Issuer	<p>Shows the entity assigning the identification.</p> <p>Fixed content to 'T2S'</p>

Report General Details	
	It is only provided in case of 'SEFP'
Scheduled Time	Shows the effective time for the schedule of the cut-off It is only provided in case of 'SEFP'
Start Time	Shows the effective time for the trigger of the cut-off It is only provided in case of 'SEFP'
End Time	Shows the effective time for the closure of the Cut-off It is only provided in case of 'SEFP'

2.4.1.22 Available Report – List of Modified Penalties - Details Screen

Context of Usage

This screen displays detailed information on the List of Modified Penalties report. The report displayed in this screen has to be already created in accordance with its report configuration. Hence, no new report will be created by entering this screen.

For large reports the user has the option to view them in portions of up to 200 penalties. Only the current portion of the report will be available for downloading or for printing.

This screen is only available for CSD authorised users and T2S Operator user.

Screen Access

Services >> Additional Services >> Reports >> *Available reports – search/list* screen >> Click on the *search* and/or *details* button >> *Available report – details* screen >> Click on the *details* button

Privileges

To use this screen, you need the following privilege:

Report details query

References

User Instructions Part

This screen is part of the following business scenario:

View available reports

Business Functionality Document

This screen corresponds to the following business function:

Display generated report details (T2S.GUI.INSE.RPT.0090)

Screenshot

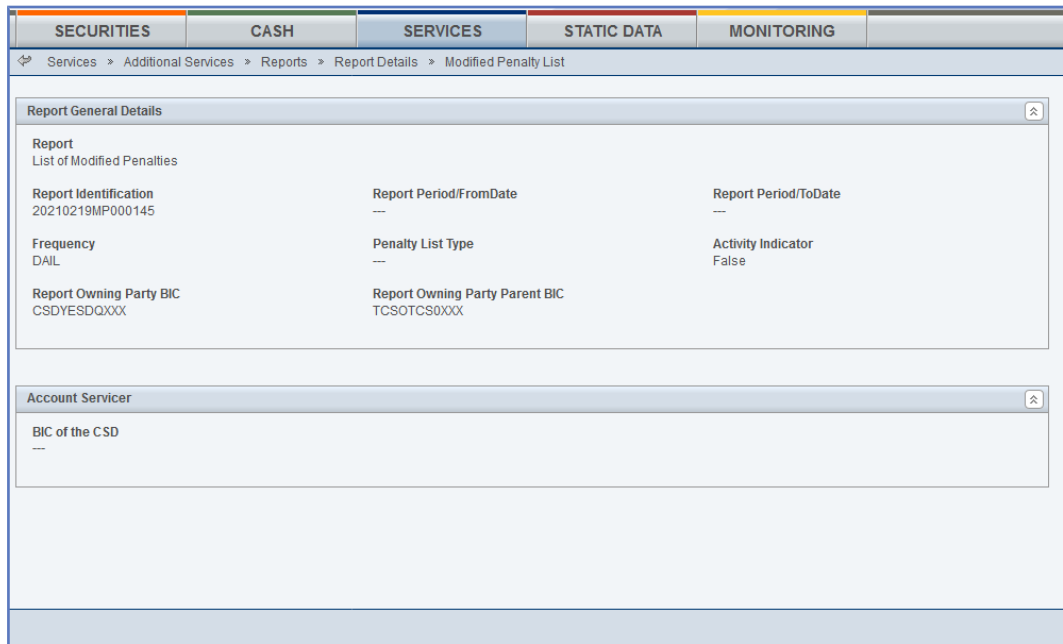


Illustration 114: Available report – list of modified penalties – details screen

Field

Description

Report General Details	
Report	Shows the name of the report.
Report Identification	Shows the penalty number of the report composed of the business date and report types, sent in pull and push mode by T2S, and the sequential number, which is unique in T2S. The number increases for each report.
Reporte Period/FromData	Shows the start date of the range.
Report Period/ToDate	Shows the end date of the range.
Frequency	Indicates the frequency of the generation of the report. The unique possible value is: <input type="checkbox"/> DAIL
Penalty List Type	Shows the new or updated/removed detected penalties. The unique possible value is: <input type="checkbox"/> FWAM this code is used to report a penalty list that has been amended.
Activity Indicator	Shows if the message contains records or not.

Report General Details	
	<p>The possible values are:</p> <ul style="list-style-type: none"> <input type="checkbox"/> True <input type="checkbox"/> False
Report Owning Party BIC	Shows the Party BIC whose data is reported on.
Report Owning Party Parent BIC	Shows the Party Parent BIC whose data is reported on.
Account Servicer	
BIC of the CSD	Shows the CSD of the participant whose modified penalties are reported in this message.
Currency, Date and Party	
Currency	Shows the currency of the modified penalties reported.
Date	Shows the detection date of the penalties.
Party BIC	Shows the BIC of the Party that manages the account on behalf of the party account owner.
Party Type	<p>Shows the Party Type of the Party according to Reference Data.</p> <p>The possible values are:</p> <ul style="list-style-type: none"> <input type="checkbox"/> NCSD is provided when the CSD itself is the failing or the non failing party of the penalty/claim. This is used to differentiate between the CSD and the CSD as participant of itself. It is needed because the AccountServicer/Depository of the party provided in the message is the BIC of the CSD of the party, not the parent BIC of the party. Consequently, without this additional identification, it wouldn't be possible to distinguish between a CSD and the CSD as participant of itself.

Report General Details	
	<ul style="list-style-type: none"> <input type="checkbox"/> CCPA is provided when the failing or non failing party of the penalty/ claim is a CCP; i.e. In T2S this is done by checking if the party has a BIC in the Cash Penalties CCP List (list of BICs). <input type="checkbox"/> CSDP is provided when the failing or non failing party of the penalty/ claim is neither a CSD nor a CCP and its party type is CSD Participant. <input type="checkbox"/> EXTE is provided when the failing or non failing party of the penalty/claim is neither a CSD nor a CCP and its party type is an external CSD in T2S.
Counterparty	
BIC of the CSD of the counterparty	Shows the CSD of the counterparty of the penalties reported in this block of the message.
Counterparty BIC	Shows the BIC of the Party that manages the account on behalf of the counterparty account owner.
Counterparty Type	Shows the BIC of the Party that manages the account on behalf of the counterparty account owner. The possible values are: <ul style="list-style-type: none"> <input type="checkbox"/> NCSD <input type="checkbox"/> CCPA <input type="checkbox"/> CSDP <input type="checkbox"/> EXTE
Aggregated Amount	Shows the net amount of all the penalties reported for the given business day in the related currency for the relevant party and counterparty.

Report General Details	
Credit/Debit	Shows whether the Daily Modified Aggregated Net Amount is due or to be received by the participant. The possible values are: <input type="checkbox"/> DBIT(Debit) <input type="checkbox"/> CRDT(Credit) If no value is retrieve, it will show “---”
Penalty	
Common ID	Shows the reference assigned by T2S to the penalty and that is common for both the failing and the non-failing participant.
Individual ID	Shows the reference assigned by T2S to the penalty and that is the individual identification of the penalty for the relevant account owner.
Reallocation ID	Shows the Common Reference of either the penalty from which the reported penalty was re-allocated or the penalty to which the reported penalty has been re-allocated.
Type	Shows the type of the penalty. The possible values are: <input type="checkbox"/> SEFP <input type="checkbox"/> LMFP
Status	Shows the status of the penalty. The possible values are: <input type="checkbox"/> ACTV <input type="checkbox"/> REMO Note: If the status of the penalty is REMO, the attributes of “Calculation Details” are not visible.
Reason	Shows the reason of the status. The possible values are:

Report General Details	
	<ul style="list-style-type: none"> <input type="checkbox"/> UPDT - Active penalty that has been updated. <input type="checkbox"/> NEWP (New penalty resulting from the insertion of a Security Subject to Penalties with Valid From in the past, or from a contingency scenario) <input type="checkbox"/> RALO - Active or Removed penalty has been re-allocated. <input type="checkbox"/> SWIC - Active penalty has been switched (the failing and the non-failing participant have been swapped by the CSD). <input type="checkbox"/> INSO - Penalty was removed because insolvency proceedings are opened against the failing participant. <input type="checkbox"/> SESU - Penalty was removed because of ISIN suspension from settlement due to a reconciliation issue under. <input type="checkbox"/> SUSP - Penalty was removed because of ISIN suspension from trading <input type="checkbox"/> SEMP - Penalty removed because of settlement on multiple platforms. <input type="checkbox"/> TECH - Penalty was removed because there were technical impossibilities at the CSD level that prevent settlement. <input type="checkbox"/> OTHR - Removed Penalty due to a non-standard reason by the CSD.
Additional Status Reason	Shows the additional description of the reason why the penalty has been Removed with Reason OTHR or Switched.
Amount of the Penalty	Shows the amount of the modified penalty and its corresponding currency.

Report General Details	
Credit/Debit Indicator	Shows whether the penalty is due or to be received by the participant. The possible values are: <input type="checkbox"/> DBIT <input type="checkbox"/> CRDT
Calculation Method	Shows the calculation method used to calculate the penalty. The possible values are: <input type="checkbox"/> SECU - The Penalty is based on the quantity of securities failed to be delivered and security penalty rate of the relevant Asset type. <input type="checkbox"/> MIXE - The Penalty is based on the quantity of securities failed to be delivered and penalty rate is the discount rate of the relevant currency. <input type="checkbox"/> CASH - Penalty is based on the amount of cash failed to be delivered and the penalty rate is the discount rate of the relevant currency <input type="checkbox"/> BOTH - Penalty is the sum of "SECU" and "CASH".
Number of Days	Shows the number of business days the penalty is applicable to.
Calculation Data	
Date	Shows the business day of each sub-amount that forms a penalty.
Missing Reference Data	This information is only provided for penalties where there is missing reference data needed for the penalty calculation. The possible values are: <input type="checkbox"/> TRUE – There is missing reference data on the applicable business day.

Report General Details	
	<p><input type="checkbox"/> FALSE – There is no missing reference data on the applicable business day.</p> <p>If not provided, it means the penalty could be calculated and no reference was missing.</p>
ISIN	Shows the ISIN code of the security.
Security Subject to Penalties	<p>Shows whether the security is subject to penalties on this business day or not.</p> <p>The possible values are:</p> <ul style="list-style-type: none"> <input type="checkbox"/> TRUE - The security is subject to penalties. <input type="checkbox"/> FALSE - The security is not subject to penalties. <p>When not informed, it will mean "TRUE".</p>
Financial Instrument Type	<p>Shows the type of the financial instrument.</p> <p>The possible values are:</p> <ul style="list-style-type: none"> <input type="checkbox"/> SHRS <input type="checkbox"/> SOVR <input type="checkbox"/> DEBT <input type="checkbox"/> SECU <input type="checkbox"/> ETFS <input type="checkbox"/> UCIT <input type="checkbox"/> MMKT <input type="checkbox"/> EMAL <input type="checkbox"/> OTHR <p>If no value is retrieve, it will show "---"</p>
Liquidity	<p>Binary classification of securities which indicates whether the underlying instrument is liquid or illiquid.</p> <p>Only provided if:</p> <ul style="list-style-type: none"> <input type="checkbox"/> The Security is Subject to penalties

Report General Details	
	<ul style="list-style-type: none"> <input type="checkbox"/> The Financial Instrument Type of the security (Classification type) is “SHRS”, and <input type="checkbox"/> The Calculation method code is “SECU” or “BOTH”. <p>The possible values are:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Empty (“---”), it is not relevant for the calculation of the penalty or for information purposes whether the instrument is liquid or illiquid. <input type="checkbox"/> TRUE, the underlying instrument is liquid. <input type="checkbox"/> FALSE, the underlying instrument is illiquid.
Exchange Rate	<p>Shows the value of one currency expressed in relation to another currency (i.e. ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency).</p> <p>If no value is retrieve, it will show “---”</p>
Unit Currency	<p>Shows the currency in which the rate of exchange is expressed in a currency exchange. It is always EUR.</p> <p>If no value is retrieve, it will show “---”</p>
Quoted Currency	<p>Shows the currency into which the base currency is converted, in a currency exchange. The possible values are:</p> <p>For first FX: The quoted currency is either the currency of the price when the security is in Units, or the currency of the security if the security is in FAMT.</p> <p>If no value is retrieve, it will show “---”</p>

Report General Details	
Exchange Rate	Shows the second value of one currency expressed in relation to another currency (i.e. ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency, if any.
Unit Currency	Shows the currency in which the rate of exchange is expressed in a currency exchange. It is always EUR.
Quoted Currency	Shows the currency into which the base currency is converted, in a currency exchange. For second FX: The quoted currency is the currency of the penalty: For the time being, it is always DKK.
Place of Trade Market Identifier Code	Shows the identifier Code of the market in which a trade transaction has been executed. Informed only if Security is subject to penalties, Calculation method code is SECU or BOTH and the two underlying matched settlement instructions have the same MIC in their Place of Trade. If MIC differs, the value informed will be "empty".
SME Growth Market	Shows whether the instructions were traded in a SME Growth Market or not. Only provided if: <ul style="list-style-type: none"><input type="checkbox"/> The Security is Subject to penalties<input type="checkbox"/> The Financial Instrument Type of the security (Classification type) is different from SOVR, and<input type="checkbox"/> The Calculation method code is "SECU" or "BOTH". The possible values are:

Report General Details	
	<ul style="list-style-type: none"> <input type="checkbox"/> Empty (“---”), it is not relevant for the calculation of the penalty or for information purposes whether the instructions were traded on a SME Growth Market or not <input type="checkbox"/> TRUE, the instructions were traded on a SME Growth Market. <input type="checkbox"/> FALSE, the instructions were not traded on a SME Growth Market.
Security Penalty Rate	Shows the Securities Penalty Rate applicable for the Asset Type, used when the calculation method code of the penalty is “SECU” or “BOTH”.
Cash Discount Penalty Rate	Shows the discount rate of the relevant currency for the business date. It is provided if the Security is subject to penalties and if the Calculation Method code is “CASH”, “MIXE” or “BOTH”.
Sub-amount Securities	Shows the amount of the penalty for this business day. It is only provided if the number of days is higher than one (i.e. when it is a LMFP and the block calculation details could have more than one instance) or if the calculation method is “BOTH” (in this case also Sub-amount cash is provided)
Sub-amount Cash	Shows the amount of the penalty for this business day. It is only provided if the number of days is higher than one (i.e. when it is a LMFP and the block calculation details could have more than one instance) or if the calculation method is “BOTH” (in this case also Sub-amount securities is provided)
Related Transactions	
References	

Report General Details	
Account Owner Reference	Shows the reference of an instruction as assigned by the T2S Delivering/Receiving Party.
Account Servicer Reference	Shows the reference of an instruction as assigned by the CSD.
Market Infrastructure Reference	Shows the T2S settlement instruction identification.
Third Party Reference	Shows the reference assigned by the Third Party, if any.
Common Reference	Shows the reference which indicates the Settlement Instruction this Settlement Instruction is matched with.
Corporate Action Event Identification	Shows the identification assigned by the account servicer to unambiguously identify a corporate action event
T2S Matching Reference	Shows the reference provided by T2S when the instruction is matched.
Reference Owner BIC	Shows the BIC for the instructing party of the settlement instruction.
Other details (displayed only for Penalties with Reason "NEWP")	
Securities Transaction Type	Shows the securities transaction type expressed as an ISO 20022 code from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> AUTO (for auto-collateralisation) <input type="checkbox"/> BSBK (for buy sell back) <input type="checkbox"/> BYIY (for buy-in) <input type="checkbox"/> CLAI (for market claim) <input type="checkbox"/> CNCB (for central bank collateral operation) <input type="checkbox"/> COLI (for collateral in) <input type="checkbox"/> COLO (for collateral out) <input type="checkbox"/> CONV (for depository receipt conversion) <input type="checkbox"/> CORP (for corporate action) <input type="checkbox"/> ETFT (for exchange traded funds)

Report General Details

- FCTA (for factor update)
- INSP (for move of stock)
- ISSU (for depository receipt issue)
- MKDW (for mark down)
- MKUP (for mark up)
- NETT (for netting)
- NSYN (for non syndicated)
- OWNE (for external account transfer)
- OWNI (for internal account transfer)
- PAIR (for pair off)
- PLAC (for placement)
- PORT (for portfolio move)
- REAL (for realignment)
- REBL (Rebalancing)
- REDI (for withdrawal)
- REDM (for redemption)
- RELE (for depository receipt release cancellation)
- REPU (for repo)
- RODE (for return delivery without matching)
- RVPO (for reverse repo)
- SBBK (for sell buy back)
- SBRE (for borrowing reallocation)
- SECB (for securities borrowing)
- SECL (for securities lending)
- SLRE (for lending reallocation)
- SUBS (for subscription)
- SWIF (Switch From)
- SWIT (Switch To)
- SYND (for syndicate underwriters)
- TBAC (for TBA closing)

Report General Details	
	<input type="checkbox"/> TRAD (for trade) <input type="checkbox"/> TRPO (for triparty repo) <input type="checkbox"/> TRVO (for triparty reverse repo) <input type="checkbox"/> TURN (for turnaround)
Intended Settlement Date	Shows the date at which the instruction becomes eligible to be submitted to settlement.
Securities Account Number	Shows the identification of the Securities Account of the Settlement Instruction.
Account Owner BIC	Shows the actual value for the party code owner of the Securities Account.
Securities Movement Type	Shows whether the movement on a securities account results from a deliver or a receive instruction. The possible values are: <input type="checkbox"/> DELI – Delivery <input type="checkbox"/> RECE – Receipt
Payment type code	Shows how the transaction is to be settled. The possible values are: <input type="checkbox"/> APMT – Against payment settlement <input type="checkbox"/> FREE – Separate settlement
Unit Quantity	Shows the unit quantity of securities failed to be delivered.
FAMT Quantity	Shows the FAMT quantity of securities failed to be delivered.
Dedicated Cash Account Number	Shows the Dedicated Cash Account as per SF1 (if APTM)
DCA Owner BIC	Shows the BIC of the T2S party owning the Dedicated Cash Account. Only in case of settlement instructions against payment.

Report General Details	
Amount	<p>Cash amount failed to be delivered, and its corresponding currency.</p> <p>In case the instruction was partially settled at the moment of the end of cut-off processing used for the calculation of the SEFP, it is the remaining amount at that point in time.</p> <p>For LMFPs it is the matched amount.</p>
Credit/Debit	<p>It must be present when the Original Settlement Amount has a value.</p> <p>The possible values are:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DBIT (Debit) <input type="checkbox"/> CRDT (Credit)
Acknowledged Status TS	Shows the Calendar Date when the acceptance (SF1) of the settlement instruction has occurred
Matched Status Timestamp	Shows the Calendar Date when the matching (SF2) of the settlement instruction has occurred
Failed Reason	<p>T2S sends this status when the Settlement Instruction has not been completely settled and the settlement is not possible at the Intended Settlement Date.</p> <p>It is only provided in case of 'SEFP'</p>
Additional Reason	<p>Shows the combination of the associated business rule and reason code reference,</p> <p>It is only provided in case of 'SEFP'</p>
BD Event Identification	<p>Shows the code identifying the event (i.e. Cut-off- Code identifying the event)</p> <p>It is only provided in case of 'SEFP'</p>
Scheme Name	<p>Shows the name of the scheme.</p> <p>Fixed content to 'EVTP'</p> <p>It is only provided in case of 'SEFP'</p>

Report General Details	
Issuer	Shows the entity assigning the identification. Fixed content to 'T2S' It is only provided in case of 'SEFP'
Scheduled Time	Shows the effective time for the schedule of the cut-off It is only provided in case of 'SEFP'
Start Time	Shows the effective time for the trigger of the cut-off It is only provided in case of 'SEFP'
End Time	Shows the effective time for the closure of the Cut-off It is only provided in case of 'SEFP'

2.4.1.23 Available Report – Monthly Aggregated Amounts – Details Screen

Context of Usage

This screen displays detailed information on the Monthly Aggregated Amounts report. The report displayed in this screen has to be already created in accordance with its report configuration. Hence, no new report will be created by entering this screen.

For large reports the user has the option to view them in portions of up to 500 penalties. Only the current portion of the report will be available for a downloading or for printing.

This screen is only available for CSD authorised users and T2S Operator user.

Screen Access

Services >> Additional Services >> Reports >> *Available reports – search/list* screen >> Click on the *search* and/or *details* button >> *Available report – details* screen >> Click on the *details* button

Privileges

To use this screen, you need the following privilege:

Report details query

References

User Instructions Part

This screen is part of the following business scenario:

View available reports

Business Functionality Document

This screen corresponds to the following business function:

- Display generated report details (T2S.GUI.INSE.RPT.0090)

Screenshot

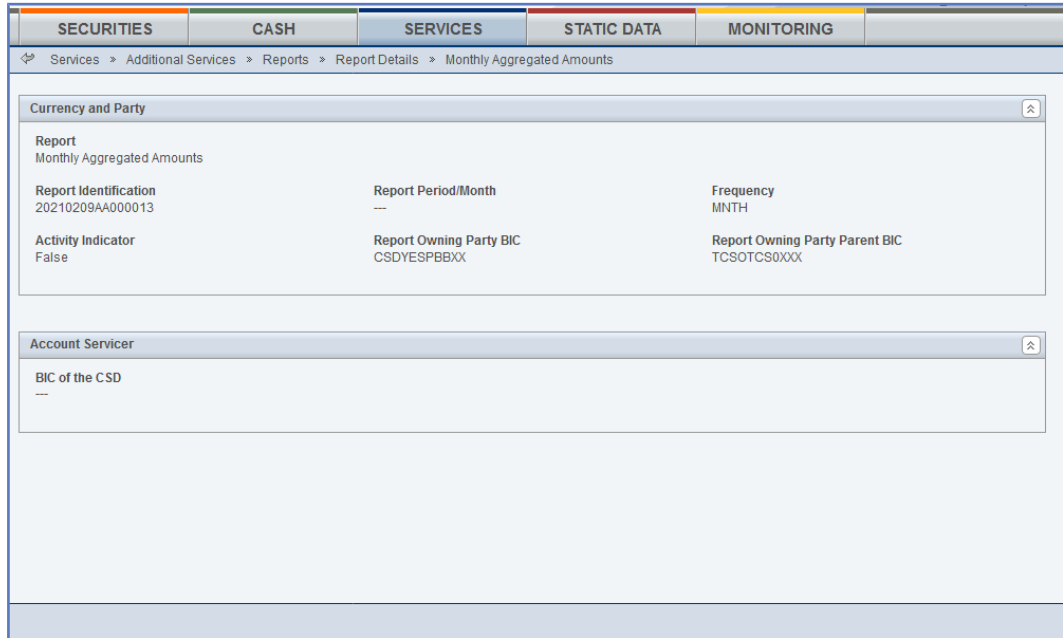


Illustration 115: Available report – monthly aggregated amounts – details screen

Field Description

Report General Details	
Report	Shows the name of the report.
Report Identification	Shows the penalty number of the report composed of the business date and report types, sent in pull and push mode by T2S, and the sequential number, which is unique in T2S. The number increases for each report.
Report Period/Month	Shows the month reported (whose penalties
Frequency	Indicates the frequency of the generation of the report. The unique possible value is: <input type="checkbox"/> MNTH
Activity Indicator	Shows if the message contains records or not. The possible values are: <input type="checkbox"/> True

Report General Details	
	<input type="checkbox"/> False
Report Owning Party BIC	Shows the Party BIC whose data is reported on.
Report Owning Party Parent BIC	Shows the Party Parent BIC whose data is reported on.
Account Servicer	
BIC of the CSD	Shows the CSD of the participant whose penalties are reported in this message.
Currency and Party	
Currency	Shows the currency of the penalties reported.
Party BIC	Shows the BIC of the Party that manages the account on behalf of the party account owner.
Party Type	<p>Shows the Party Type of the Party according to T2S Reference Data.</p> <p>The possible values are:</p> <ul style="list-style-type: none"> <input type="checkbox"/> NCSD is provided when the CSD itself is the failing or non failing party of the penalty/claim. This is used to differentiate between the CSD and the CSD as participant of itself. It is needed because the AccountServicer/Depository of the party provided in the message is the BIC of the CSD of the party, not the parent BIC of the party. Consequently, without this additional identification, it won't be possible to distinguish between a CSD and the CSD as participant of itself. <input type="checkbox"/> CCPA is provided when the failing or non failing party of the penalty/claim is a CCP; i.e. In T2S this is done by checking if the party has a BIC in the Cash Penalties CCP List (list of BICs).

Report General Details	
	<ul style="list-style-type: none"> <input type="checkbox"/> CSDP is provided when the failing or non failing party of the penalty/claim is neither a CSD nor a CCP and its party type is CSD Participant. <input type="checkbox"/> EXTE is provided when the failing or non failing party of the penalty/claim is neither a CSD nor a CCP and its party type is an external CSD in T2S.
Counterparty	
BIC of the CSD of the counterparty	Shows the CSD of the counterparty of the penalties reported in this block of the message.
Counterparty BIC	Shows the BIC of the Party that manages the account on behalf of the counterparty account owner.
Counterparty Type	Shows the BIC of the Party that manages the account on behalf of the counterparty account owner. The possible values are: <ul style="list-style-type: none"> <input type="checkbox"/> NCSD <input type="checkbox"/> CCPA <input type="checkbox"/> CSDP <input type="checkbox"/> EXTE
Aggregated Amount	Shows the monthly aggregated net amount of all the penalties computed for the business days of the previous month, in the related currency for the relevant party and counterparty.
Credit/Debit	Shows whether the Monthly Aggregated Net Amount is due or to be received by the participant. The possible values are: <ul style="list-style-type: none"> <input type="checkbox"/> DBIT(Debit) <input type="checkbox"/> CRDT(Credit)

Report General Details	
	If no value is retrieve, it will show "---"
Penalty	
Common ID	Shows the reference assigned by T2S to the penalty that is common for both the failing and the non-failing participant.
Individual ID	Shows the reference assigned by T2S to the penalty that is the individual identification of the penalty for the relevant account owner.
Reallocation ID	Shows the Common Reference of either the penalty from which the reported penalty was re-allocated or the penalty to which the reported penalty has been re-allocated.
Type	Shows the type of the penalty. The possible values are: <input type="checkbox"/> SEFP <input type="checkbox"/> LMFP
Amount of the Penalty	Shows the amount computed for the penalty and its corresponding currency.
Credit/Debit Indicator	Shows whether the penalty is due or to be received by the participant. The possible values are: <input type="checkbox"/> DBIT(Debit) <input type="checkbox"/> CRDT(Credit)
Calculation Method	Shows the calculation method used to calculate the penalty. The possible values are: <input type="checkbox"/> SECU - The Penalty is based on the quantity of securities failed to be delivered and security penalty rate of the relevant Asset type.

Report General Details	
	<ul style="list-style-type: none"> <input type="checkbox"/> MIXE - The Penalty is based on the quantity of securities failed to be delivered and penalty rate is the discount rate of the relevant currency. <input type="checkbox"/> CASH - Penalty is based on the amount of cash failed to be delivered and the penalty rate is the discount rate of the relevant currency <input type="checkbox"/> BOTH - Penalty is the sum of "SECU" and "CASH".
Number of Days	Shows the number of business days the penalty is applicable to.

2.4.1.24 Data Changes - Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for all static and dynamic data changes which were initiated in 4-eyes mode. You can use this screen to search for items which are waiting for approval, select those and approve or revoke them.

The search results will be displayed in a list, which is sorted by the values of the column 'Update Date and Time' in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.

This function is only available in U2A mode.

Screen Access

- Services >> Additional Services >> Data Changes
- Securities >> Settlement >> Data Changes
- Cash >> Liquidity >> Data Changes

Privileges

To use this screen you need the following privileges [▶ 1922]:

- Data changes of a business object list query
- Data changes of a business object details query

References

User Instructions Part

This screen is part of the following business scenarios:

- Initiate an action in 4-eyes mode
- Approve an action in 4-eyes mode

Business Functionality Document

This screen corresponds to the following business functions:

- Query data change approval requests (T2S.GUI.OSSE.APR.0010)
- Display data changes approval request list (T2S.GUI.OSSE.APR.0020)

Screenshot

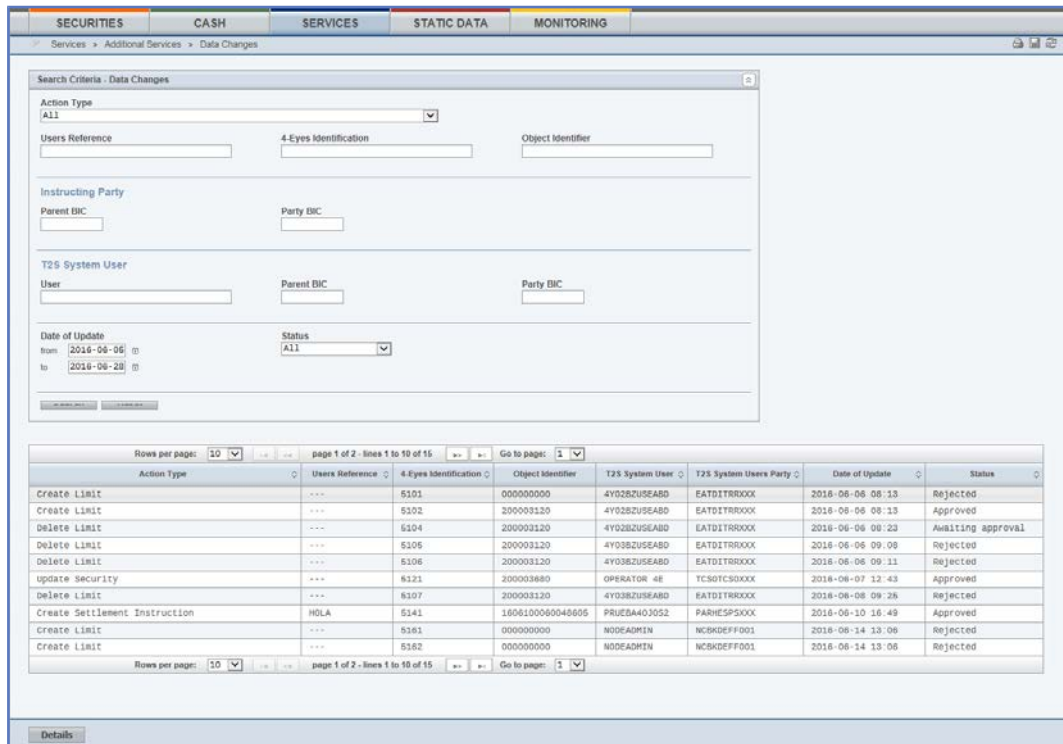


Illustration 116: Data changes – search/list screen

Field Description

Data Changes – Search Criteria	
Action Type	Select the type of action initiated by the first user for a dedicated static or dynamic data object from the possible values: <input type="checkbox"/> All <input type="checkbox"/> Each of the actions that can be done in 4-eyes-mode
Users Reference	Enter the identification of the first user added to his request. Required format is: max. 35 characters (SWIFT-x)
4-Eyes Identification	Enter the identification of the data change, automatically assigned by T2S to an operation that has to be authorised by a second user. Required format is: max. 35 characters (SWIFT-x)
Object Identifier	Enter the object identifier of the added / changed / deleted object. It is the technical identifier of an object unless a business identifier is available for this object. Required format is: max. 35 characters (SWIFT-x)
Instructing Party	
Parent BIC	Enter the parent BIC of the instructing party.

Data Changes – Search Criteria	
	<p>Required format is: max. 11 characters (SWIFT-x)</p> <p>If the 'Party BIC' field is filled in, this field is mandatory.</p> <p>This field is only filled for requests to 'Life Cycle Management and Matching' in 4-eyes mode.</p>
Party BIC	<p>Enter the BIC of the instructing party.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>If the 'Parent BIC' field is filled in, this field is mandatory.</p> <p>This field is only filled for requests to 'Life Cycle Management and Matching' in 4-eyes mode.</p>
Date of Update	
Date of Update - from	<p>Enter the lower bound of the date from which the data change was requested.</p> <p>Required format is: YYYY-MM-DD</p> <p>The default value is the current calendar date.</p> <p>References for error messages [▶ 2495]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC015 <input type="checkbox"/> QMPC016
Date of Update - to	<p>Enter the upper bound of the date until which the data change was requested.</p> <p>Required format is: YYYY-MM-DD</p> <p>The default value is the current calendar date.</p> <p>Reference for error message [▶ 2495]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC015
T2S System User	
User	Enter the name of the last user who entered, edited or deleted the static or dynamic data.
Party BIC	<p>Enter the BIC of the party which the last user belongs to.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>If the 'Parent BIC' field is filled in, this field is mandatory.</p>
Parent BIC	<p>Enter the parent BIC of the party which the last user belongs to.</p> <p>Required format is: max. 11 characters (SWIFT-x)</p> <p>If the 'Party BIC' field is filled in, this field is mandatory.</p>

Data Changes – Search Criteria	
Status	Select the processing status of the data change from the possible values: <input type="checkbox"/> All (Default value) <input type="checkbox"/> Awaiting approval <input type="checkbox"/> Queued <input type="checkbox"/> Approved <input type="checkbox"/> Rejected <input type="checkbox"/> Revoked
Data Changes - List	
Action Type	Shows the type of action, from all the actions that can be done in 4-eyes-mode, initiated by the first user for a dedicated static or dynamic data object.
Users Reference	Shows the identification by the first user added to his request.
4-Eyes Identification	Shows the identification of the change automatically assigned by T2S to an operation that has to be authorised by a second user.
Object Identifier	Shows the object identification returned by the subsequent module after successful business validation. This information will not be displayed for all records.
T2S System User	Shows the name of the last user who entered, edited or deleted the static or dynamic data.
T2S System User Party	Shows the BIC of the party which the last user belongs to.
Update Date and Time	Shows the timestamp when the first user entered, edited or deleted the static or dynamic data. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Status	Shows the status of the data change from the possible values: <input type="checkbox"/> Awaiting approval <input type="checkbox"/> Queued <input type="checkbox"/> Approved <input type="checkbox"/> Rejected <input type="checkbox"/> Revoked

Buttons

Buttons	
Search	<p>This function enables you to start a search according to the criteria entered. The results are displayed in a list on the same screen.</p> <p>If the search retrieves only one record, the <i>details</i> screen is displayed directly.</p> <p>Next screens:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Data changes – search/list</i> screen <input type="checkbox"/> <i>Data change – details</i> screen [▶ 620] <p>References for error messages [▶ 2495]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC015 <input type="checkbox"/> QMPC016
Reset	<p>This function enables you to set default search criteria and blanks out all optional criteria.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Data changes – search/list</i> screen
Details	<p>This function enables you to display the details of the selected data change.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Data change – details</i> screen [▶ 620]

2.4.1.25 Data Change - Details Screen

Context of Usage

This screen displays detailed information on the selected static or dynamic data changes, changes are highlighted in red. You can check the data and proceed further by clicking on the buttons below.

You can use this screen for approving/revoking static and dynamic data changes and to see details of already approved/revoked items.

This function is only available in U2A mode.

Screen Access

- Services >> Additional Services >> Data Changes >> *Data changes – search/list* screen >> Click on the *search* and/or *details* button
- Securities >> Settlement >> Data Changes >> *Data changes – search/list* screen >> Click on the *search* and/or *details* button
- Cash >> Liquidity >> Data Changes >> *Data changes – search/list* screen >> Click on the *search* and/or *details* button

Privileges

To use this screen, you need the following privileges [▶ 1922]:

- Data changes of a business object details query

- Data changes of a business object list query

References

User Instructions Part

This screen is part of the following business scenarios:

- Initiate an action in 4-eyes mode
- Approve an action in 4-eyes mode

Business Functionality Document

This screen corresponds to the following business functions:

- Display data change approval request details (T2S.GUI.OSSE.APR.0030)
- Data change approval request confirmation (T2S.GUI.OSSE.APR.0040)

Screenshot

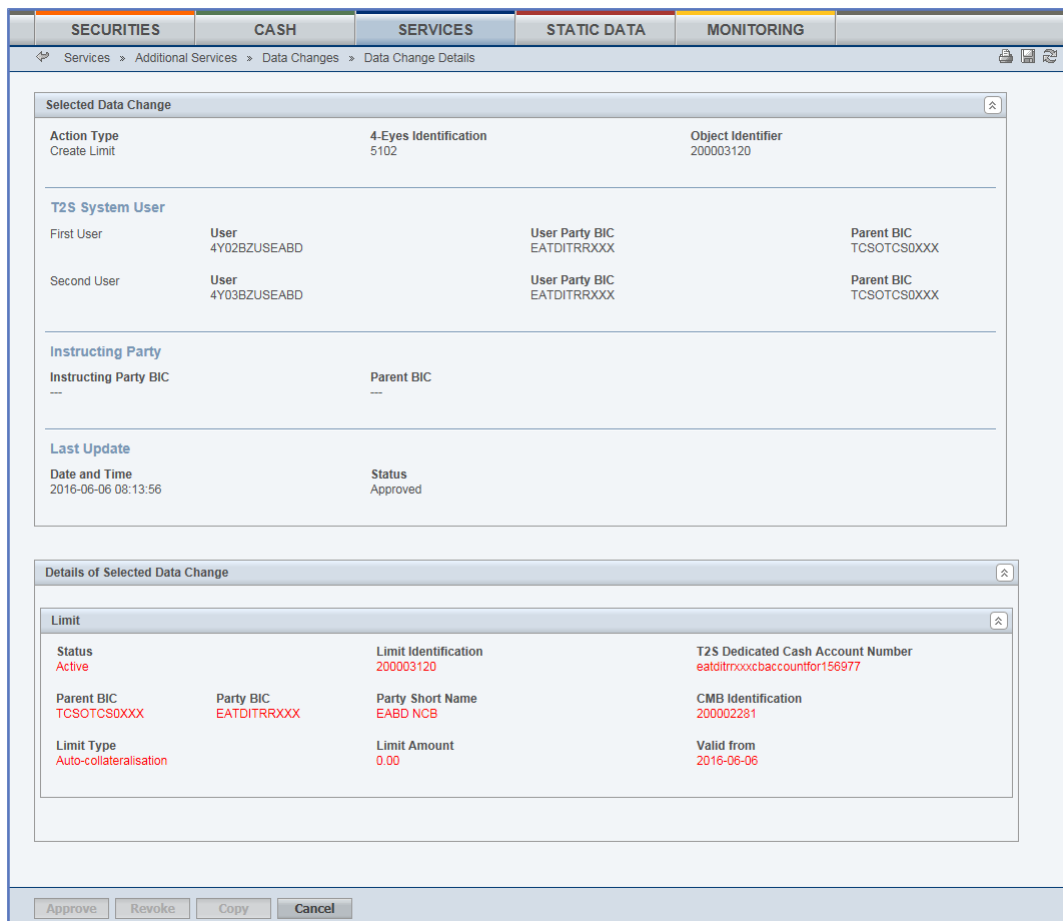


Illustration 117: Data change – details screen

Field

Description

Selected Data Change	
Action Type	Shows the type of action initiated by the first user.
Users Reference	Shows the identification the first user added to his request.
4-Eyes Identification	Shows the identification assigned by T2S to an operation that has to be approved by a second user.

Selected Data Change	
Object Identifier	<p>Shows the object identification of the static or dynamic data object returned by the subsequent module after a successful business validation. The object identifier includes the technical identification of the added, changed or deleted object.</p> <p>The object identification is not available for 'Life Cycle Management and Matching' data changes.</p>
Instructing Party	
Instructing Party BIC	<p>Shows the BIC of the instructing party.</p> <p>This field is only filled for requests to 'Life Cycle Management and Matching' in 4-eyes mode.</p>
Parent BIC	<p>Shows the parent BIC of the instructing party.</p> <p>This field is only filled for requests to 'Life Cycle Management and Matching' in 4-eyes mode.</p>
Date and Time	<p>Shows the timestamp when the user has entered the data.</p> <p>Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs</p>
T2S System User – First User	
User	<p>Shows the name of the first user who entered, edited or deleted the static or dynamic data.</p>
User Party BIC	<p>Shows the BIC of the party which the first user belongs to.</p>
Parent BIC	<p>Shows the parent BIC of the party which the first user belongs to.</p>
T2S System User – Second User	
User	<p>Shows the name of the second user who entered, edited or deleted the static or dynamic data.</p>
User Party BIC	<p>Shows the BIC of the party which the second user belongs to.</p>
Parent Party	<p>Shows the parent BIC of the party which the second user belongs to.</p>
Status	<p>Shows the processing status of the data change from the possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> Awaiting approval<input type="checkbox"/> Queued<input type="checkbox"/> Approved<input type="checkbox"/> Rejected

Selected Data Change	
	<input type="checkbox"/> Revoked
Details of Selected Data Change	
Shows a preview of the <i>details</i> screen of the object you are e.g. creating or editing.	
If the action type is set to 'New Data', this frame shows the new values which will be active after the second user approves the change.	
If the action type is set to 'Edit Data', this frame shows the newly changed values marked in a different colour.	
If the action type is set to 'Delete Data', this frame shows the currently active values which will be deleted after the second user approves the change.	
Immediate Liquidity Transfer (example)	
Debit Cash Account	
Account Number*	Shows the T2S dedicated cash account to be debited.
Account Owner*	Shows the name of the account owner.
Liquidity Transfer	
User Reference*	Shows the identification of the liquidity transfer assigned by the T2S actor.
Amount*	Shows the amount of the initiated Immediate Liquidity Transfer.
Credit Cash Account	
Outbound Liquidity Transfer	Shows that the immediate liquidity transfer is an outbound liquidity transfer, if the radio button is checked.
Internal Liquidity Transfer	Shows that the immediate liquidity transfer is an internal liquidity transfer, if the radio button is checked.
RTGS Account*	Shows the cash account to be credited for the outbound liquidity transfer. If the immediate liquidity transfer is an 'Internal Liquidity Transfer', this field is not filled and greyed out.
Account Number*	Shows the cash account to be credited for the internal liquidity transfer. If the immediate liquidity transfer is an 'Outbound Liquidity Transfer', this field is not filled and greyed out.
List of Modifications	
The List of Modifications may contain several entries	

Selected Data Change	
Field Name	Name of the field, where the first user has changed data, entered new data or deleted data.
Old value	The old value is the currently active value of the field before the second user approves the changes.
New value	The new value is the pending value of the field which will be active, after the second user approved the changes.

Buttons

Approve	<p>This function enables you to approve the action initiated by the first user. In case non-repudiation of origin is required: Upon clicking on the <i>approve</i> button you will be asked to enter your PIN for digital signature purposes (NRO). With the entry of the PIN, T2S attaches a digital signature to the instruction entered by the T2S actor.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Data changes – search/list</i> screen [▶ 616] <p>This function is only available if the processing status of the data change is set to 'Awaiting Approval' and the T2S system user has the necessary privileges.</p>
Revoke	<p>This function enables you to revoke the action initiated by the first user. In case non-repudiation of origin is required: Upon clicking on the <i>revoke</i> button you will be asked to enter your PIN for digital signature purposes (NRO). With the entry of the PIN, T2S attaches a digital signature to the instruction entered by the T2S actor.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Data changes – search/list</i> screen [▶ 616] <p>This function is only available if the processing status of the data change is set to 'Awaiting Approval' and the T2S system user has the necessary privileges.</p>
Copy	<p>This function enables you to copy fields to create a new data change with the same attributes.</p>

	<p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Respective new/edit</i> screen (filled with the values formerly entered by the first user.) <p>This function is only available if the processing status of the data change is set to 'Revoked' or 'Rejected'.</p>
Cancel	<p>This function enables you to cancel the process and return to the previous screen.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Data changes – search/list</i> screen [▶ 616]

2.4.2 Communication

2.4.2.1 Broadcast - Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for sent and received broadcasts. The search result will be displayed in a list, which is sorted by the values of the 'Creation Date and Time' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.

When exporting the content of this screen, you receive the list and the corresponding details for each data record.

This function is only available in U2A mode.

There is no usage restriction for this screen.



Hint

A user may only view broadcasts until their validity elapses. The respective expiration date is automatically set to the current business date of creation + three business days.

Screen Access

Services >> Communication >> Broadcasts

Privileges

To use this screen, you need the following privilege [▶ 1917]:

- Broadcast query
- New broadcast

References

User Instructions Part

This screen is part of the following business scenarios:

- Create a new broadcast [▶ 1280]

View a broadcast [[▶ 1281](#)]

Business Functionality Document

This screen corresponds to no business function.

Screenshot

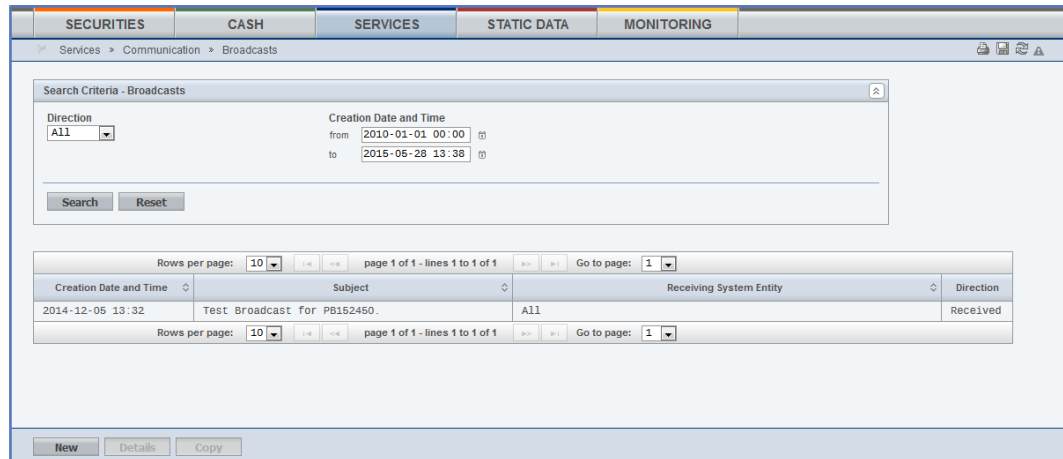


Illustration 118: Broadcasts - search/list screen

Field

Description

Broadcasts – Search Criteria	
Direction*	Select the information about the direction of the broadcast from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> Sent <input type="checkbox"/> Received
Creation Date and Time from	Enter the lower bound of the search range for the date and time from which the broadcasts shall be displayed or use the <i>calendar</i> icon. Required format is: YYYY-MM-DD hh:mm:ss The 'Creation Date and Time from' has to be a valid calendar date and time. Reference for error message [▶ 2484]: <ul style="list-style-type: none"> <input type="checkbox"/> QMPC015
Creation Date and Time to*	Enter the upper bound of the search range for the date and time until which the broadcasts shall be displayed or use the <i>calendar</i> icon. The default value is the current calendar date and time. In case the 'Creation Date and Time to' is removed the search will be executed with the default value. Required format is: YYYY-MM-DD hh:mm:ss The 'Creation Date and Time to' has to be a valid calendar date and time.

Broadcasts – Search Criteria	
	Reference for error message [▶ 2484]: <input type="checkbox"/> QMPC015
Broadcasts - List	
Creation Date and Time	Shows the creation date and time when the broadcasts was posted. Displayed format is: YYYY-MM-DD hh:mm:ss:μsμsμsμsμs
Subject	Shows the title of the broadcast.
Direction	Shows detailed information about the direction from the possible values: <input type="checkbox"/> All <input type="checkbox"/> Sent <input type="checkbox"/> Received
Receiving Party BIC	This function enables you to start a search according to the criteria entered. The results are displayed in a list on the same screen. If the search retrieves a single record, the details screen is displayed. Next screen: <input type="checkbox"/> Broadcasts – search/list screen <input type="checkbox"/> Broadcast – details screen [} 179] Reference for error message: <input type="checkbox"/> QMPC015

Buttons

Reset	This function enables you to set default search criteria and blanks out all optional criteria. Next screen: <i>Broadcasts – search/list</i> screen
Details	This function enables you to display details of the selected broadcast. Next screen: <i>Broadcast – details</i> screen [▶ 628]
New	This function enables you to create a new broadcast.

	Next screen: <i>Broadcast – new</i> screen [▶ 630]
Copy	This function enables you copy fields to create a new broadcast with the same attributes. Next screen: <i>Broadcast – new</i> screen [▶ 630]

2.4.2.2 Broadcast - Details Screen

Context of Usage	<p>This screen displays detailed information on the selected broadcast. You can check the data and proceed further by clicking on the buttons below.</p> <p>This function is only available in U2A mode.</p> <p>There is no usage restriction for this screen.</p>
Screen Access	<ul style="list-style-type: none">□ Services >> Communication >> Broadcasts >> <i>Broadcasts – search/list</i> screen >> Click on the <i>details</i> button□ Services >> Communication >> Broadcasts >> <i>Broadcasts – search/list</i> screen >> Click on the <i>search</i> button
Privileges	<p>To use this screen, you need the following privilege [▶ 1917]:</p> <ul style="list-style-type: none">□ Broadcast query
References	<p>User Instructions Part</p> <p>This screen is part of the following business scenario:</p> <ul style="list-style-type: none">□ View a broadcast [▶ 1281] <p>Business Functionality Document</p> <p>This screen corresponds to no business function.</p>

Screenshot

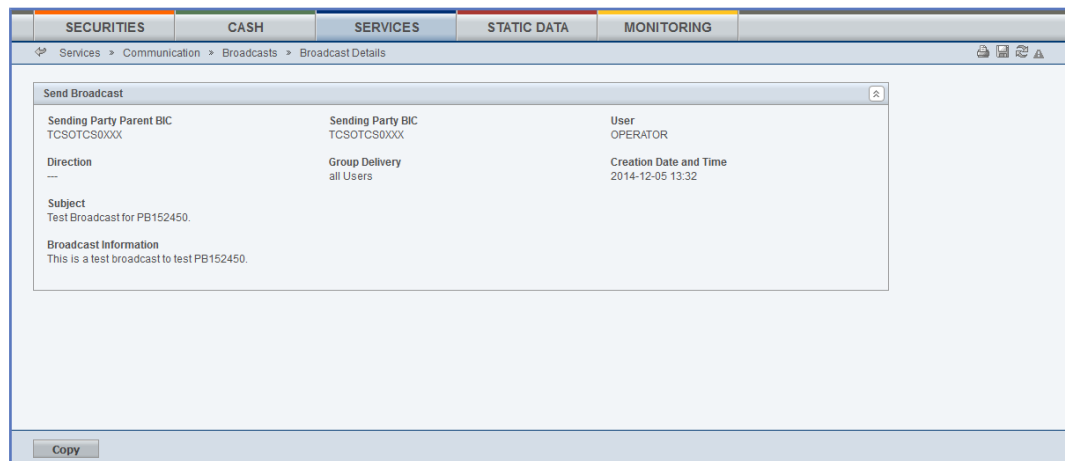


Illustration 119: Broadcast – details screen

Field Description

Broadcast – Details	
Sending Party Parent BIC	Shows the parent BIC of the sending party.
Sending Party BIC	Shows the BIC of the sending party.
User	Shows the initiating T2S system user.
Direction	Shows whether the broadcast status is received, sent or both from of possible values: <input type="checkbox"/> Received <input type="checkbox"/> Sent
Group Delivery	Shows the group which the broadcast has been delivered to.
Creation Date and Time	Shows the creation date and time of the broadcast. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµsµs
Subject	Shows the title of the broadcast.
Broadcast Information	Shows the detailed content of the broadcast.

Buttons

Copy	This function enables you copy fields to create a new broadcast with the same attributes. Next screen: <input type="checkbox"/> <i>Broadcast – new</i> screen [▶ 630]
------	---

2.4.2.3 Broadcast - New Screen

Context of Usage

This screen contains a number of fields regarding broadcasts. You can enter new data. Afterwards you can proceed further by clicking on the buttons below and send manual broadcasts to your CB/CSD and, all parties in your hierarchy.

This function is only available in U2A mode.

There is no usage restriction for this screen.

Screen Access

- Services >> Communication >> Broadcasts >> *Broadcast – search/list* screen >> Click on the *new* button
- Services >> Communication >> Broadcasts >> *Broadcast – search/list* screen >> Click on the *copy* button
- Services >> Communication >> Broadcasts >> *Broadcasts – search/list* screen >> Click on the *search* and/or *details* button >> *Broadcast – details* screen >> Click on the *copy* button

Privileges

To use this screen, you need the following privilege [[▶ 1917](#)]:

- New broadcast

References

User Instructions Part

This screen is part of the following business scenario:

- Create a new broadcast [[▶ 1280](#)]

Business Functionality Document

This screen corresponds to no business function.

Screenshot

Illustration 120: Broadcast - new screen

Field Description

Broadcast - New	
Group Delivery*	Shows the receiving party: <ul style="list-style-type: none"> <input type="checkbox"/> This field is always filled in with 'Single <input type="checkbox"/> CB/CSD and parties in its hierarchy

Broadcast - New	
Subject*	Enter the title of the broadcast. Required format is: max. 50 characters (SWIFT-x)
Text*	Enter the message content of the broadcast. Required format is: max. 1000 characters (SWIFT-x)

Buttons

Buttons	
Submit	This function enables you to create a new broadcast. Upon clicking on the <i>submit</i> button you will be asked to enter your PIN for digital signature purposes (NRO). With the entry of the PIN, T2S attaches a digital signature to the instruction entered by the T2S actor. Next screen: <input type="checkbox"/> <i>Broadcast – new</i> screen
Reset	This function enables you to set all fields to default value and blanks out all optional fields. Next screen: <input type="checkbox"/> <i>Broadcast – new</i> screen
Cancel	This function enables you to cancel the process and return to the previous screen. Next screen: <input type="checkbox"/> <i>Broadcasts – search/list</i> screen [▶ 625]

2.4.2.4 Inbound Files - Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for inbound files. The search results will be displayed in a list, which is sorted by the values of the 'Entry Date and Time' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below. Users can see Inbound Messages under their data-scope.

This function is only available in U2A mode.

There is no usage restriction for this screen.

Screen Access

Services >> Communication >> Inbound Files

Privileges

To use this screen, you need the following privilege [▶ 1926]:

Inbound files list query

References

User Instructions Part

This screen is part of the following business scenario:

- View inbound communication [▶ 1282]

Business Functionality Document

This screen corresponds to the following business functions:

- Query inbound file (T2S.GUI.CCSE.FIL.0010)
- Display inbound file list (T2S.GUI.CCSE.FIL.0020)

Screenshot

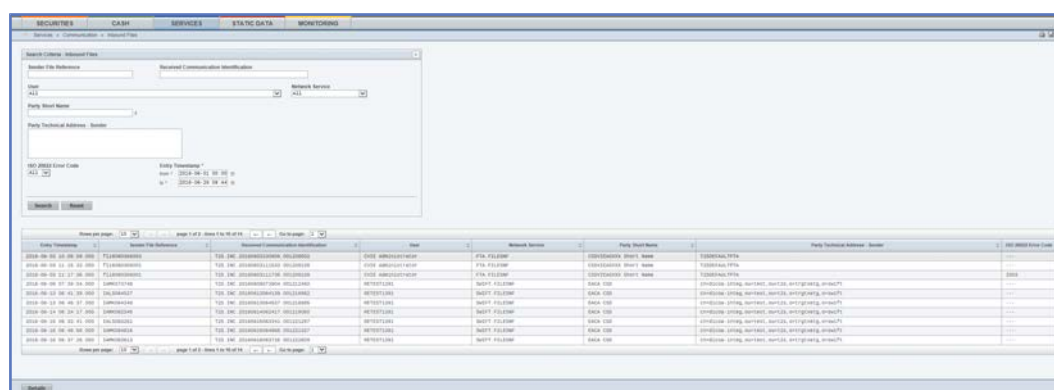


Illustration 121: Inbound files – search/list screen

Field Description

Inbound Files – Search Criteria	
Sender File Reference	Enter the identification of the original file containing the messages. Required format is: max. 35 characters (SWIFT-x)
Received Communication	Enter the internal identification for the inbound communication assigned by T2S. Required format is: max. 50 characters (SWIFT-x)
User	Enter or select the user who initiated the communication under the data scope of the requestor. Required format is: max. 35 characters. All available users which you are privileged to see are listed.
Network Service	Select the network service from the drop-down menu.
Sending Party Short Name	Enter or select the short name of the business sending party under the data scope of the requestor. Required format is: max. 35 characters. All available sending parties which you are privileged to see are listed.
Party Technical Address	Enter the technical address (i.e. distinguished name) of the party.

Inbound Files – Search Criteria	
	Required format is: max. 256 characters in UTF-8 except '<' ;>'&'
ISO 20022 Error Code	Select the error code for rejection from the drop-down menu.
Entry Date and Time from*	<p>Enter the lower bound of the search range for the calendar date and time from which the inbound file entered the system or use the <i>calendar</i> icon.</p> <p>Required format is: YYYY-MM-DD hh:mm:ss</p> <p>Default value is the current calendar date and time.</p> <p>The 'Entry Date and Time from' date must be earlier than or equal to the current business date and earlier than the 'Entry Date and Time to' date.</p> <p>References for error messages: [▶ 2519]</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC015 <input type="checkbox"/> QMPC073
Entry Date and Time to*	<p>Enter the upper bound of the search range for the calendar date and time until which the inbound file entered the system or use the <i>calendar</i> icon.</p> <p>Required format is: YYYY-MM-DD hh:mm:ss</p> <p>Default value is the current calendar date and time.</p> <p>The 'Entry Date and Time to' date must be later than the 'Entry Date and Time from' date.</p> <p>Reference for error message: [▶ 2519]</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC015
Inbound Files – List	
Repetitive block for each business object of an inbound file	
Entry Date and Time	<p>Shows the calendar date and time when the inbound file entered T2S.</p> <p>Displayed format is: YYYY-MM-DD hh:mm:ss:μsμsμsμsμs</p>
Sender File Reference	Shows the identification of the original file.
Received Communication	Shows the internal identification for the inbound communication assigned by T2S.
User	Shows the user who initiated the communication.
Network Service	Shows the name of the network service.

Inbound Files – Search Criteria	
Sending Party Short Name	Shows the short name of the business sending party.
Party Technical Address	Shows the technical address (i.e. distinguished name) of the party. Displayed format is: max. 256 characters in UTF-8 except '<' ;'>','&'
ISO20022 Error Code	Shows the error code for the rejection. In case of more than one error, this field shows 'various'.

Buttons

Search	<p>This function enables you to start a search according to the criteria entered. The results are displayed in a list on the same screen.</p> <p>If the search retrieves a single record, the <i>details</i> screen is displayed directly.</p> <p>Next screens:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Inbound files – search/list</i> screen <input type="checkbox"/> <i>Inbound file – details</i> screen [▶ 634] <p>References for error messages: [▶ 2519]</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC015 <input type="checkbox"/> QMPC073
Reset	<p>This function enables you to set default search criteria and blanks out all optional criteria.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Inbound files – search/list</i> screen
Details	<p>This function enables you to display the details of the selected inbound file.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Inbound file – details</i> screen [▶ 634]

2.4.2.5 Inbound File - Details Screen

Context of Usage

This screen displays detailed information on the selected inbound file. You can check the data and proceed further by clicking on the button below.

There is no usage restriction for this screen.

This function is only available in U2A mode.

Screen Access

- Services >> Communication >> Inbound Files >> *Inbound files – search/list* screen >> Click on the *search* and/or *details* button
- Services >> Communication >> Inbound Messages >> *Inbound messages – search/list* screen >> Click on the *inbound file* button
- Services >> Communication >> Inbound Messages >> *Inbound messages – search/list* screen >> Click on the *search* and/or *details* button >> *Inbound message – details* screen >> Click on the *inbound file* button

Privileges

To use this screen, you need the following privilege [▶ 1925]:

- Inbound files details query

References

User Instructions Part

This screen is part of the following business scenario:

- View inbound communication [▶ 1282]

Business Functionality Document

This screen corresponds to the following business functions:

- Display inbound file details (T2S.GUI.CCSE.FIL.0030)

Screenshot

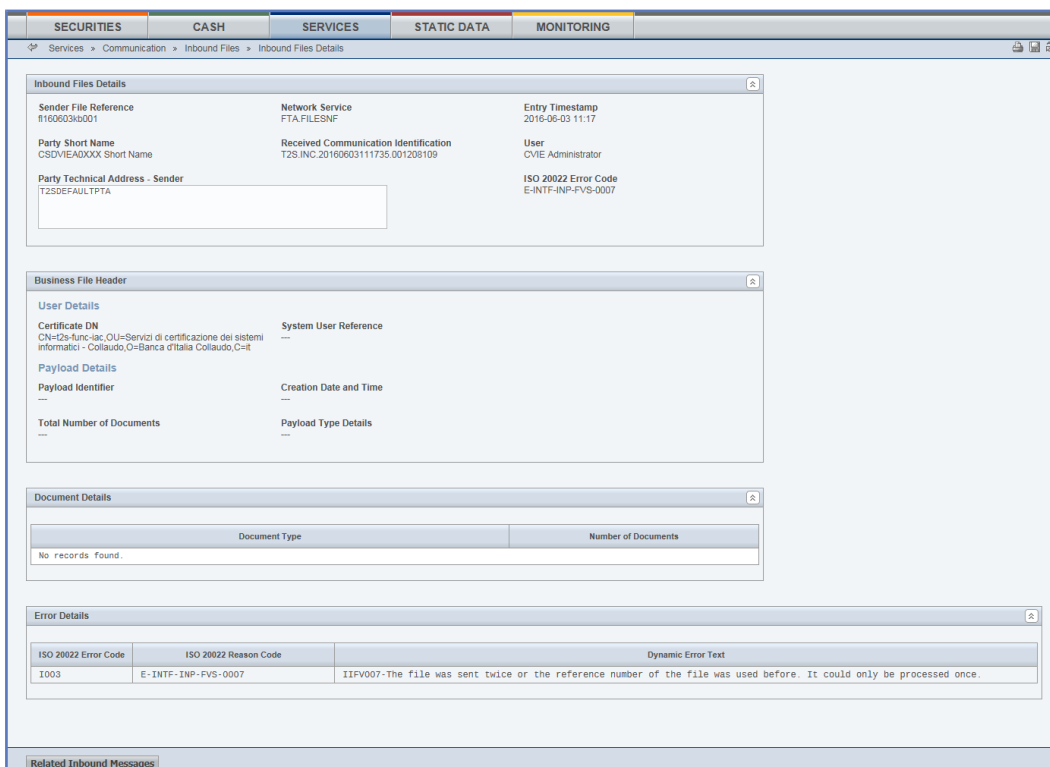


Illustration 122: Inbound file - details screen

Field Description

Inbound Files Details Information	
Sender File Reference	Shows the identification of the original file containing the messages.

Inbound Files Details Information	
Network Service	Shows the name of the network service.
Entry Date and Time	Shows the calendar date and time when the file entered T2S. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Sending Party Short Name	Shows the short name of the business sending party.
Received Communication	Shows the identification for the inbound communication assigned by T2S.
User	Shows the user who initiated the communication.
Party Technical Address	Shows the technical address (i.e. distinguished name) of the party.
ISO 20022 Error Code	Shows the ISO 20022 error code.
Business File Header	
User Information	
Certificate Distinguished Name	Shows the user information which was extracted from the signature tag in the file header.
System User Reference	Shows the identification of the system user which was extracted from the file header.
Payload Details	
Payload Identifier	Shows the identification of the file which was assigned by the sender of the file.
Creation Date and Time	Shows the date and time when the file was created by the sender. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Total Number of Documents	Shows the total number of messages within the file.
Payload Type Details	Shows the identification of the payload type and the declaration of the payload content.
Document Details	
(Repetitive block for each business object of an inbound file)	
Document Type	Shows the type of the document as specified in the ISO 20022 message type catalogue.
Number of Documents	Shows the number of messages for each declared type.

Inbound Files Details Information	
Error Details	
(Repetitive block for each message of an inbound file)	
ISO 20022 Error Code	Shows the error code for the rejection.
ISO 20022 Reason Code	Shows the reason code for the rejection.
Dynamic Error Text	Shows a detailed error text explaining why the file was rejected.

Buttons

Related Inbound Messages	<p>This function enables you to display the inbound messages related to the selected inbound file.</p> <p>Next screens:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Inbound messages – search/list</i> screen [▶ 637] (if the inbound file is linked to more than 1 inbound message) <input type="checkbox"/> <i>Inbound message – details</i> screen [▶ 644] (if the inbound file is linked to 1 inbound message only)

2.4.2.6 Inbound Messages - Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for inbound messages. The search results will be displayed in a list, which is sorted by the values of the 'Entry Date and Time' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below. Users can see Inbound Messages under their datascopes.

This screen shows all inbound messages irrespective of whether they were sent via file or as single message.

There is no usage restriction for this screen.

This function is only available in U2A mode.

Screen Access

- Services >> Communication >> Inbound Message
- Services >> Communication >> Inbound Files >> *Inbound files – search/list* screen >> Click on the *search* and/or *details* button >> *Inbound file – details* screen >> Click on the *related inbound messages* button
- Cash >> Liquidity >> Immediate Liquidity Transfers >> *Immediate liquidity transfers – search/list* screen >> Click on the *related inbound messages* button

- Cash >> Liquidity >> Immediate Liquidity Transfers >> *Immediate liquidity transfers – search/list screen* >> Click on the *search* and/or *details* button >> *Immediate liquidity transfer – details screen* >> Click on the *related inbound messages* button
- Cash >> Settlement >> Intra-Balance Movement >> *Intra-balance movements with current and historical status – search screen* >> Click on the *search* button >> *Intra-balance movements - list screen* >> Click on the *details* button >> *Intra-balance movement – details screen* >> Click on the *related inbound message* button
- Cash >> Settlement >> Maintenance Cash Instructions >> *Maintenance cash instructions – search screen* >> Click on the *search* button >> *Maintenance cash instructions - list screen* >> Click on the *details* button >> *Amendment cash instruction - details screen* >> Click on the *related inbound message* button
- Cash >> Settlement >> Maintenance Cash Instructions >> *Maintenance cash instructions – search screen* >> Click on the *search* button >> *Maintenance cash instructions – list screen* >> Click on the *details* button >> *Cancellation cash instruction - details screen* >> Click on the *related inbound message* button
- Security >> Settlement >> Intra-Position Movement >> *Intra-position movements with current and historical status – search screen* >> Click on the *search* button >> *Intra-position movements - list screen* >> Click on the *details* button >> *Intra-position movement – details screen* >> Click on the *related inbound message* button
- Securities >> Settlement >> Maintenance Securities Instructions >> *Maintenance securities instructions – search screen* >> Click on the *search* button >> *Maintenance securities instruction - list screen* >> Click on the *details* button >> *Amendment securities instruction - details screen* >> Click on the *related inbound message* button
- Securities >> Settlement >> Maintenance Securities Instructions >> *Maintenance securities instructions – search screen* >> Click on the *search* button >> *Maintenance securities instructions – list screen* >> Click on the *details* button >> *Cancellation securities instruction - details screen* >> Click on the *related inbound message* button
- Securities >> Settlement >> Maintenance Securities Instructions >> *Maintenance securities instructions – search screen* >> Click on the *search* button >> *Maintenance securities instructions – list screen* >> Click on the *details* button >> *Hold/release instruction - details screen* >> Click on the *related inbound message* button

- Securities >> Settlement >> Settlement Instructions>> **Settlement Instructions – search** screen >> Click on the *search* button >> **Settlement instructions - list** screen >> Click on *details* button >> **Settlement instruction - details** screen >> Click on the *related inbound messages* button

Privileges To use this screen, you need the following privilege [▶ 1926]:

- Inbound message list query

References **User Instructions Part**

This screen is part of the following business scenario:

- View inbound communication [▶ 1282]

Business Functionality Document

This screen corresponds to the following business functions:

- Query messages (T2S.GUI.CCSE.MSG.0010)
- Query inbound file (T2S.GUI.CCSE.FIL.0010)
- Display message list (T2S.GUI.CCSE.MSG.0020)

Screenshot

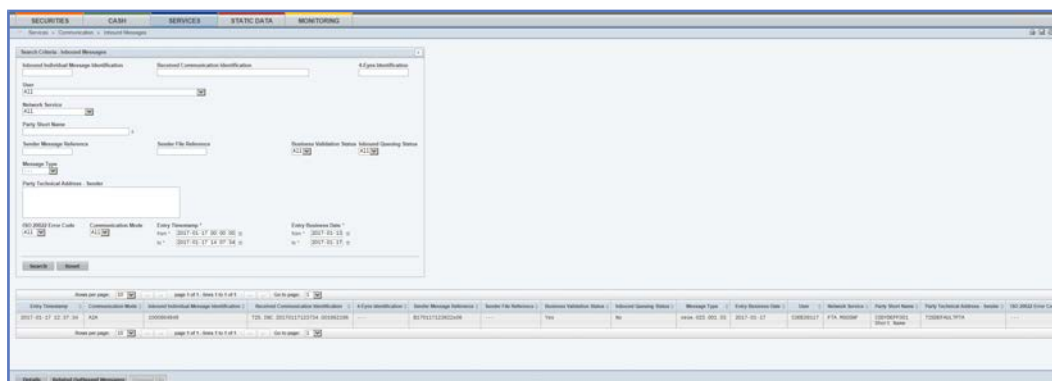


Illustration 123: Inbound messages – search/list screen

Field Descriptions

Inbound Messages – Search Criteria	
Inbound Individual Message	Enter the identification of the inbound individual message. Required format is: Integer
Received Communication Identification	Enter the technical identification for the inbound communication assigned by T2S. Required format is: max. 50 characters (SWIFT-x)
4-Eyes Identification	Enter the identification of the 4-eyes mode. Required format is: Integer
User	Enter or select the user who initiated the communication under the data scope of the requestor. Required format is: max. 35 characters. All available users which you are privileged to see are listed.

Inbound Messages – Search Criteria	
Network Service	Select the network service from the drop-down menu.
Sending Party Short Name	Select the short name of the sending party from the drop-down menu. All available sending parties which you are privileged to see are listed.
Sender Message Reference	Enter the identification of the original message as sent in the business application header. Required format is: max. 35 characters (SWIFT-x)
Sender File Reference	Enter the identification of the original file containing the message(s). Required format is: max. 35 characters (SWIFT-x)
Business Validation Status*	Select the status of the business validation from the possible values: <input type="checkbox"/> True (business validation completed) <input type="checkbox"/> False (business validation incomplete) <input type="checkbox"/> All (default value)
Inbound Queuing Status*	Select the status of the inbound queuing from the possible values: <input type="checkbox"/> True (request is currently queued) <input type="checkbox"/> False (request is not queued) <input type="checkbox"/> All (default value)
Message Type	Select the request type for the message according to ISO 20022 standards from the drop-down menu.
Party Technical Address - Sender	Enter the technical address (i.e. distinguished name) of the sending party. Required format is: max. 256 characters in UTF-8 except '<'; '>'; '&'
ISO 20022 Error Code	Select the error code for the rejection from the drop-down menu.
Communication Mode*	Select the mode of communication from the possible values: <input type="checkbox"/> A2A <input type="checkbox"/> U2A <input type="checkbox"/> All (default value)

Inbound Messages – Search Criteria	
Entry Date and Time from(*)	<p>Enter the lower bound of the search range for the calendar date and time of the system entry of the inbound message or use the <i>calendar</i> icon.</p> <p>Required format is: YYYY-MM-DD hh:mm:ss</p> <p>Default value is the current date and time – start of real-time settlement if the ‘Inbound Individual Message’ or the ‘Received Communication Identification’ is not populated from the previous screen.</p> <p>The ‘Entry Date and Time from’ date must be earlier than or equal to the current business date and earlier than the ‘Entry Date and Time to’ date.</p> <p>Reference for error message: [▶ 2520]</p> <p><input type="checkbox"/> QMPC015</p>
Entry Date and Time to(*)	<p>Enter the upper bound of the search range for the calendar date and time of the system entry of the inbound message or use the <i>calendar</i> icon.</p> <p>Required format is: YYYY-MM-DD hh:mm:ss</p> <p>Default value is the current date and time if the ‘Inbound Individual Message’ or the ‘Received Communication Identification’ is not populated from the previous screen.</p> <p>The ‘Entry Date and Time to’ date must be later than the ‘Entry Date and Time from’ date.</p> <p>Reference for error message: [▶ 2520]</p> <p><input type="checkbox"/> QMPC015</p>
Entry Business Date from(*)	<p>Enter the lower bound of the search range for the business date of the message entry or use the <i>calendar</i> icon.</p> <p>Required format is: YYYY-MM-DD</p> <p>Default value is the current business date -1 if the ‘Inbound Individual Message’ or the ‘Received Communication Identification’ is not populated from the previous screen.</p> <p>The ‘Entry Date from’ date must be earlier than or equal to the current business date and earlier than the ‘Entry Date to’ date.</p> <p>Reference for error message: [▶ 2520]</p> <p><input type="checkbox"/> QMPC073</p>

Inbound Messages – Search Criteria	
Entry Business Date to(*)	<p>Enter the upper bound of the search range for the business date of the message entry or use the <i>calendar</i> icon.</p> <p>Required format is: YYYY-MM-DD</p> <p>Default value is the current business date if the 'Inbound Individual Message' or the 'Received Communication Identification' is not populated from the previous screen.</p> <p>The 'Entry Date to' date must be later than the 'Entry Date from' date.</p>
<p>Inbound Messages – List</p> <p>Repetitive block for each business object of an inbound message</p>	
Entry Date and Time	<p>Shows the calendar date and time when the inbound message entered T2S.</p> <p>Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs</p>
Communication Mode	<p>Shows the mode of communication from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> U2A <input type="checkbox"/> A2A
Inbound Individual Message	Shows the identification of the individual message.
Received Communication Identification	Shows the internal generated identification for the inbound communication.
4-Eyes Identification	Shows the identification of the 4-eyes mode which is only used in case of an approval request in 4-eyes mode.
Sender Message Reference	Shows the identification of the original message sent in the business application header.
Sender File Reference	Shows the identification of the original file containing the message.
Business Validation Status	<p>Shows the business validation status from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> True (business validation successful) <input type="checkbox"/> False (business validation finished with error)
Inbound Queuing Status	<p>Shows the inbound queuing status from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> True (request is currently queued)

Inbound Messages – Search Criteria	
	<input type="checkbox"/> False (request is not queued)
Message Type	Shows the message type according to the ISO 20022 standard.
Entry Business Date	Shows the business date when T2S received the message. Displayed format is: YYYY-MM-DD
User	Shows the user who initiated the communication.
Network Service	Shows the name of the network service.
Sending Party Short Name	Shows the short name of the business sending party.
Party Technical Address - Sender	Shows the technical address of the sender. Displayed format is: max. 256 characters in UTF-8 except '<'; '>'; '&'
ISO 20022 Error Code	Shows the error code for the rejection.

Buttons

Search	<p>This function enables you to start a search according to the criteria entered. The results are displayed in a list on the same screen.</p> <p>If the search retrieves a single record, the <i>details</i> screen is displayed directly.</p> <p>Next screens:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Inbound messages – search/list</i> screen <input type="checkbox"/> <i>Inbound message – details</i> screen [▶ 644] <p>References for error messages: [▶ 2520]</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC015 <input type="checkbox"/> QMPC073
Reset	<p>This function enables you to set default search criteria and blanks out of all optional criteria.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Inbound messages – search/list</i> screen
Related Outbound Messages	<p>This function enables you to display the outbound messages related to the selected inbound message.</p> <p>Next screens:</p>

	<ul style="list-style-type: none"> <input type="checkbox"/> <i>Outbound messages – search/list</i> screen [▶ 654] (if the inbound message is linked to more than 1 outbound message) <input type="checkbox"/> <i>Outbound message – details</i> screen [▶ 662] (if the inbound message is linked to 1 outbound message only)
Inbound File	<p>This function enables you to display the inbound file related to the selected inbound message.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Inbound file – details</i> screen [▶ 634]
Details	<p>This function enables you to display the details of the selected inbound message.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Inbound message – details</i> screen [▶ 644]

2.4.2.7 Inbound Message - Details Screen

Context of Usage

This screen displays detailed information on the selected inbound message. You can check the data and proceed further by clicking on the buttons below.

There is no usage restriction for this screen.

This function is only available in U2A mode.

Screen Access

- Services >> Communication >> Inbound Messages >> *Inbound messages – search/list* screen >> Click on the *search* and/or *details* button
- Services >> Communication >> Outbound Messages >> *Outbound messages – search/list* screen >> Click on the *search* and/or *details* button >> *Outbound message – details* screen >> Click on the *related inbound message* button
- Services >> Communication >> Outbound Messages >> *Outbound messages – search/ list* screen >> Click on the *related inbound message* button
- Cash >> Liquidity >> Immediate Liquidity Transfers >> *Immediate liquidity transfers – search/list* screen >> Click on the *related inbound message* button
- Cash >> Liquidity >> Immediate Liquidity Transfers >> *Immediate liquidity transfers – search/list* screen >> Click on the *search* and/or *details* button >> *Immediate liquidity transfer – details* screen >> Click on the *related inbound message* button

- Cash >> Settlement >> Intra-Balance Movement >> *Intra-balance movements with current and historical status – search* screen >> Click on the *search* button >> *Intra-balance movements - list* screen >> Click on the *details* button >> *Intra-balance movement – details* screen >> Click on the *related inbound message* button
- Cash >> Settlement >> Maintenance Cash Instructions >> *Maintenance cash instructions – search* screen >> Click on the *search* button >> *Maintenance cash instructions - list* screen >> Click on the *details* button >> *Amendment cash instruction - details* screen >> Click on the *related inbound message* button
- Cash >> Settlement >> Maintenance Cash Instructions >> *Maintenance cash instructions – search* screen >> Click on the *search* button >> *Maintenance cash instructions – list* screen >> Click on the *details* button >> *Cancellation cash instruction – details* screen >> Click on the *related inbound message* button
- Services >> Communication >> Inbound Files >> *Inbound files – search/list* screen >> Click on the *search* and/or *details* button >> *Inbound file – details* screen >> Click on the *related inbound message* button
- Securities >> Settlement >> Intra-Position Movements >> *Intra-position movements with current and historical status – search* screen >> Click on the *search* button >> *Intra-position movements - list* screen >> Click on the *details* button >> *Intra-position movement – details* screen >> Click on the *related inbound message* button
- Securities >> Settlement >> Maintenance Securities Instructions >> *Maintenance securities instructions – search* screen >> Click on the *search* button >> *Maintenance securities instructions - list* screen >> Click on the *details* button >> *Amendment securities instruction - details* screen >> Click on the *related inbound message* button
- Securities >> Settlement >> Maintenance Securities Instructions >> *Maintenance securities instructions – search* screen >> Click on the *search* button >> *Maintenance securities instructions – list* screen >> Click on the *details* button >> *Cancellation securities instruction - details* screen >> Click on the *related inbound message* button
- Securities >> Settlement >> Maintenance Securities Instructions >> *Maintenance securities instructions – search* screen >> Click on the *search* button >> *Maintenance securities instructions – list* screen >> Click on the *details* button >> *Hold/release instruction - details* screen >> Click on the *related inbound message* button

- Securities >> Settlement >> Settlement Instructions>> **Settlement Instructions – search** screen >> Click on the **search** button >> **Settlement instructions - list** screen >> Click on **details** button >> **Settlement instruction - details** screen >> Click on the **related inbound messages** button

Privileges

To use this screen, you need the following privilege [▶ 1926]:

- Inbound message details query

References

User Instructions Part

This screen is part of the following business scenario:

- View inbound communication [▶ 1282]

Business Functionality Document

This screen corresponds to the following business function:

- Display message details (T2S.GUI.CCSE.MSG.0030)

Screenshot

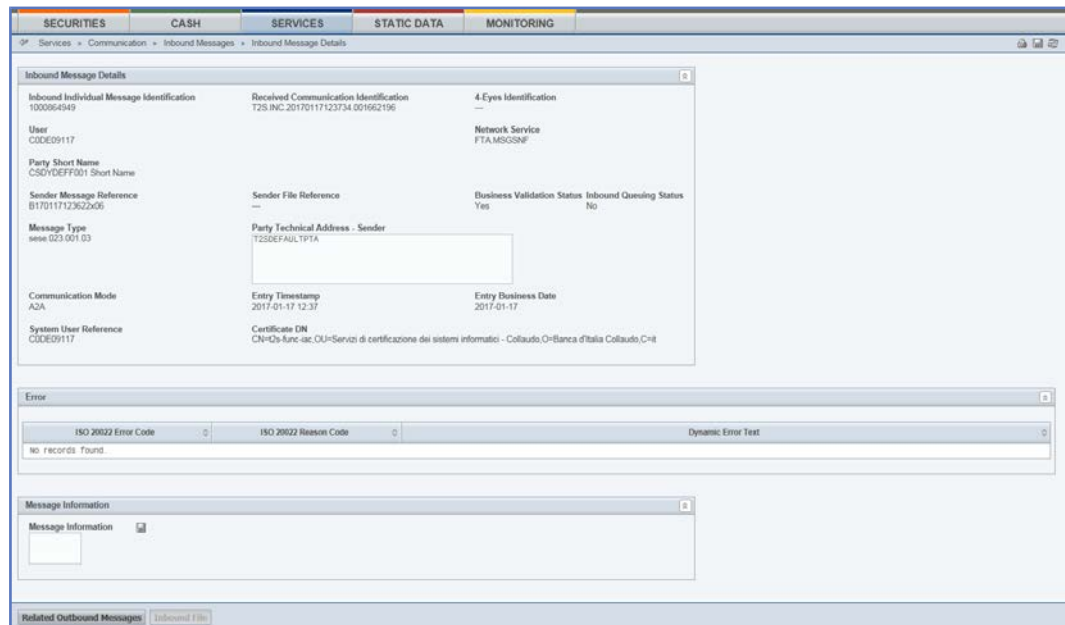


Illustration 124: Inbound message - details screen

Field

Description

Inbound Message Details Information	
Entry Date and Time	Shows the calendar date and time when the inbound message entered T2S. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Communication Mode	Shows the mode of communication from the possible values: <input type="checkbox"/> U2A <input type="checkbox"/> A2A
Inbound Individual Message	Shows the identification of the individual message.

Inbound Message Details Information	
Received Communication	Shows the internal generated identification for the inbound communication.
4-Eyes Identification	Shows the identification of the 4-eyes mode which is only used in case of an approval request in 4-eyes mode.
Sender Message Reference	Shows the identification of the original message sent in the business application header.
Sender File Reference	Shows the identification of the original file containing the message.
Business Validation Status	Shows the business validation status from the possible values: <input type="checkbox"/> True (business validation successful) <input type="checkbox"/> False (business validation finished with error)
Inbound Queuing Status	Shows the inbound queuing status from the possible values: <input type="checkbox"/> True (request is currently queued) <input type="checkbox"/> False (request is not queued)
Message Type	Shows the message type according to the ISO 20022 standard.
Entry Business Date	Shows the business date when T2S received the message. Displayed format is: YYYY-MM-DD
User	Shows the user who initiated the communication.
Network Service	Shows the name of the network service.
Sending Party Short Name	Shows the short name of the business sending party.
Party Technical Address - Sender	Shows the technical address (i.e. distinguished name) of the sender. Displayed format is: max. 256 characters in UTF-8 except '<';>'; '&'
ISO 20022 Error Code	Shows the error code for the rejection.
Certificate Distinguished Name	Shows the user information which was extracted from the signature tag in the file header.
System User Reference	Shows the identification of the system user as extracted from the business application header.

Inbound Message Details Information	
Repetitive block for each error	
ISO 20022 Error Code	Shows the error code for the rejection.
ISO 20022 Reason Code	Shows the reason code for the rejection.
Dynamic Error Text	Shows a detailed error text explaining why the file was rejected.
Message Information	
Message Information	<p>Shows the detailed information of the U2A or A2A communication.</p> <p>The content of A2A message/U2A request is only displayed in case of small A2A messages/U2A requests. Bigger A2A messages/U2A requests can be displayed via the separate message <i>export</i> icon.</p> <p>Please note that for the U2A request the communication is the representation of the related http request.</p> <p>Pressing this icon exports the message based on the xml document. In addition root elements are present at the beginning and the end of the exported document. On top of the export additional information about 'Time', 'User' and 'T2S Screen of export' is available for documentation purpose.</p>
Buttons	
Related Outbound Messages	<p>This function enables you to display the outbound messages related to selected inbound message.</p> <p>Next screens:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Outbound messages – search/list</i> screen [▶ 654] (if the inbound message is linked to more than 1 outbound message) <input type="checkbox"/> <i>Outbound message – details</i> screen [▶ 662] (if the inbound message is linked to 1 outbound message only)
Inbound File	<p>This function enables you to display the inbound file related to selected inbound message.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Inbound file – details</i> screen [▶ 634]

2.4.2.8 Outbound Files - Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for outbound files. The search result will be displayed in a list, which is sorted by the values of the 'Delivery Date and Time' column descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below. Users can see Outbound Files under their datascope.

There is no usage restriction for this screen.

This function is only available in U2A mode.

Screen Access

Services >> Communication >> Outbound Files

Privileges

To use this screen you need the following privileges [[▶ 1931](#)]:

- Outbound files list query
- Outbound files details query

References

User Instructions Part

This screen is part of the following business scenario:

- View outbound communication [[▶ 1284](#)]

Business Functionality Document

This screen corresponds to the following business functions:

- Query outbound file (T2S.GUI.CCSE.OFL.0010)
- Display outbound file list (T2S.GUI.CCSE.OFL.0020)
- Display outbound file details (T2S.GUI.CCSE.OFL.0030)

Screenshot

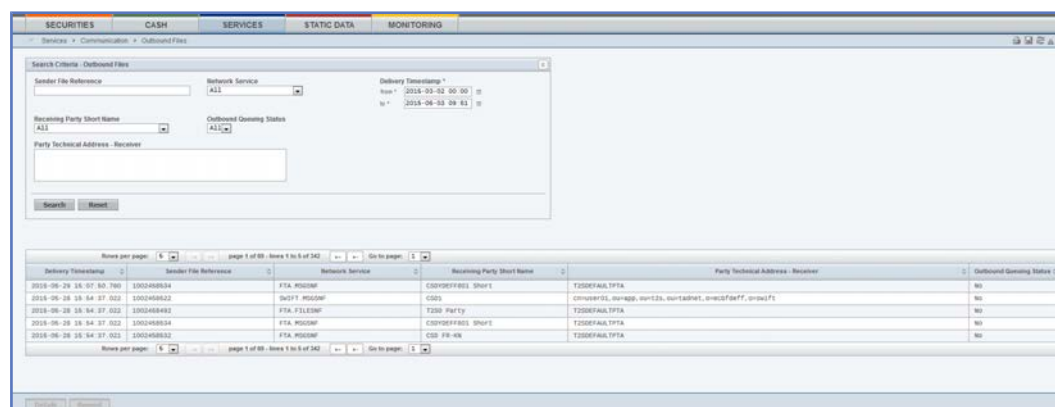


Illustration 125: Outbound files - search/list screen

Field Description

Outbound Files – Search Criteria	
Sender File Reference	Enter the sender file identification of the original file containing the message(s). Required format is: max. 16 characters (SWIFT-x)

Outbound Files – Search Criteria	
Network Service	Select the name of the network service from the drop-down menu. The default value is 'All'.
Outbound Communication ID	Enter the technical ID of the outbound communication. Required format is: Integer
Receiving Party Short Name	Select the short name of the receiving party from the drop-down menu. The default value is 'All'.
Party Technical Address	Enter the technical address (i.e. distinguished name) of the party. Required format is: max. 256 characters (UTF-8 except '<'; '>'; '&') Reference for error message [▶ 2571]: <input type="checkbox"/> IICR001
Outbound Queuing Status	Select the outbound queuing status of the file from the possible values: <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> All (default value)
Delivery Date and Time from*	Enter the lower bound of the search range for the date and time of the delivery or use the <i>calendar</i> icon. The default value is the current date – start of real-time settlement. Required format is: YYYY-MM-DD hh:mm:ss The 'Delivery Date and Time from' date must be earlier than or equal to the current business date and earlier than the 'Delivery Date and Time to' date. References for error messages [▶ 2571]: <input type="checkbox"/> QMPC015 <input type="checkbox"/> QMPC073
Delivery Date and Time to*	Enter the upper bound of the search range for the date and time of the delivery or use the <i>calendar</i> icon. The default value is the current date and time. Required format is: YYYY-MM-DD hh:mm:ss The 'Delivery Date and Time to' date must be later than the 'Delivery Date and Time from' date. Reference for error message [▶ 2571]: <input type="checkbox"/> QMPC015

Outbound Files – Search Criteria	
Outbound Files – List Repetitive block for each business object of an outbound file	
Delivery Date and Time	Shows the date and time when the outbound file was delivered. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµsµs
Sender File Reference	Shows the sender file identification of the original file containing the message(s).
Outbound Communication ID	Shows the technical ID of the outbound communication.
Network Service	Shows the name of the network service.
Receiving Party Short Name	Shows the short name of the receiving party.
Party Technical Address	Shows the technical address (i.e. distinguished name) of the party. Displayed format is: max. 256 characters (UTF-8 except '<'; '>'; '&')
Outbound Queuing Status	Shows the outbound queuing status of the file from the possible values: <input type="checkbox"/> Yes <input type="checkbox"/> No

Buttons

Search	This function enables you to start a search according to the criteria entered. The results are displayed in a list on the same screen. If the search retrieves a single record, the <i>details</i> screen is displayed directly. Next screens: <input type="checkbox"/> <i>Outbound files – search/list</i> screen <input type="checkbox"/> <i>Outbound file – details</i> screen [▶ 652] References for error messages [▶ 2571]: <input type="checkbox"/> QMPC015 <input type="checkbox"/> QMPC073

Reset	This function enables you to set default search criteria and blanks out all optional criteria. Next screen: <input type="checkbox"/> <i>Outbound files – search/list</i> screen
Details	This function enables you to display the details of the selected outbound file. Next screen: <input type="checkbox"/> <i>Outbound file – details</i> screen [▶ 652]

2.4.2.9 Outbound File - Details Screen

Context of Usage

This screen displays detailed information on the selected outbound file. You can check the data and proceed further by clicking on the buttons below.

There is no usage restriction for this screen.

This function is only available in U2A.

Screen Access

- Services >> Communication >> Outbound Files >> *Outbound files – search/list* screen >> Click on the *search* and/or *details* button
- Services >> Communication >> Outbound Messages >> *Outbound messages – search/list* screen >> Click on the *outbound file* button
- Services >> Communication >> Outbound Messages >> *Outbound messages – search/list* screen >> Click on the *search* and/or *details* button >> *Outbound message – details* screen >> Click on the *outbound file* button

Privileges

To use this screen you need the following privileges [▶ 1931]:

- Outbound files details query
- Resend Communication

References

User Instructions Part

This screen is part of the following business scenario:

- View outbound communication [▶ 1284]

Business Functionality Document

This screen corresponds to the following business functions:

- Display message details (T2S.GUI.CCSE.MSG.0030)
- Display outbound file details (T2S.GUI.CCSE.OFL.0030)

Screenshot

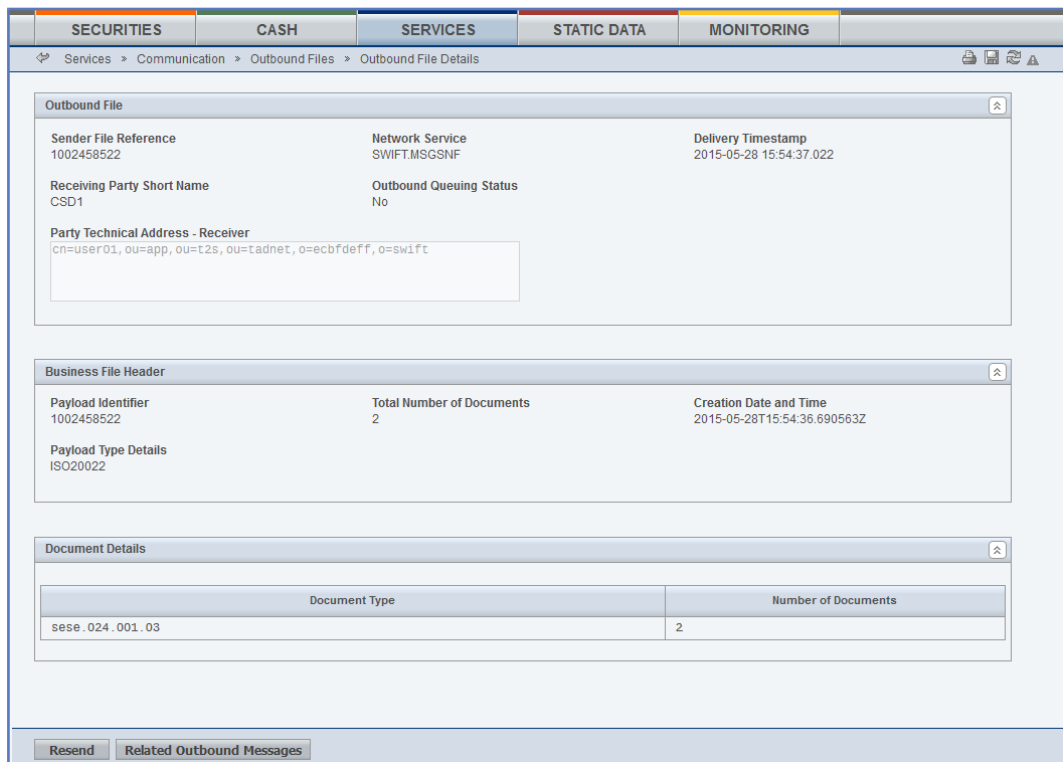


Illustration 126: Outbound file - details screen

Field Description

Outbound Files Details Information	
Delivery Date and Time	Shows date and time when the file was delivered. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Sender File Reference	Shows the sender file identification of the original file containing the message(s).
Network Service	Shows the name of the network service.
Receiving Party Short Name	Shows the short name of the receiving party.
Party Technical Address	Shows the technical address of a party. Reference for error message [▶ 2570]: <input type="checkbox"/> IICR001
Outbound Queuing Status	Shows the outbound queuing status of the outbound file from the possible values: <input type="checkbox"/> Yes <input type="checkbox"/> No
Business File Header	
Payload Details	
Payload Identifier	Shows the unique identification of the file delivered by the sender.

Outbound Files Details Information	
Creation Date and Time	Shows the date and time of the creation of the file. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Total Number of Documents	Shows the total number of documents within the file.
Payload Type Details	Shows information about the type of payload and declaration of the payload content.
Document Details	
Repetitive block for each business object of an outbound file	
Document Type	Shows the type of items contained in the document set.
Number of Documents	Shows the number of messages for each declared type.

Buttons

Related Outbound Messages	<p>This function enables you to display the outbound messages related to the selected outbound file.</p> <p>Next screens:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Outbound messages – search/list</i> screen [▶ 654] (if the outbound file is linked to more than one outbound message) <input type="checkbox"/> <i>Outbound message – details</i> screen [▶ 662] (if the outbound file is linked to one outbound message only)
Resend	<p>This function enables you to resend the selected outbound file.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Outbound file – details</i> screen <p>References for error messages [▶ 2570]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> IICR001 <input type="checkbox"/> IICR002

2.4.2.10 Outbound Messages - Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for subscribed outbound messages and those sent compulsory. The search result will be displayed in a list, which is sorted by the values of the 'Delivery Date and Time' column in descending order (default setting). Only mes-

sages subscribed via message subscription rules and those messages compulsory sent will be displayed. After selecting an entry, you can proceed further by clicking on the buttons below.

This screen shows all outbound messages irrespective of whether they were sent via file or as single message. Users can see Outbound Messages under their datascopes.

There is no usage restriction for this screen.

This function is only available in U2A mode.

Screen Access

- Services >> Communication >> Outbound Messages
- Services >> Communication >> Inbound Messages >> *Inbound messages – search/list* screen >> Click on the *related outbound messages* button
- Services >> Communication >> Inbound Messages >> *Inbound messages – search/list* screen >> Click on the *search* and/or *details* button >> *Inbound message - details* screen >> Click on the *related outbound messages* button
- Services >> Communication >> Outbound Files >> *Outbound files – search/list* screen >> Click on the *search* and/or *details* button >> *Outbound file - details* screen >> Click on the *related outbound messages* button
- Cash >> Liquidity >> Immediate Liquidity Transfers >> *Immediate liquidity transfers - search/list* screen >> Click on the *related outbound messages* button
- Cash >> Liquidity >> Immediate Liquidity Transfers >> *Immediate liquidity transfers – search/list* screen >> Click on the *search* and/or *details* button >> *Immediate liquidity transfers – details* screen >> Click on the *related outbound messages* button
- Cash >> Settlement >> Intra-Balance Movements >> *Intra-balance movements – search/list* screen >> Click on the *search* button >> *Intra-balance movements – search/list* screen >> Click on the *details* button >> *Intra-balance movement - details* screen >> Click on the *related outbound messages* button
- Cash >> Settlement >> Maintenance Cash Instructions >> *Maintenance cash instructions – search/list* screen >> Click on the *search* button >> *Maintenance cash instructions – search/list* screen >> Click on the *details* button >> *Amendment cash instruction - details* screen >> Click on the *related outbound message* button

- Securities >> Settlement >> Maintenance Securities Instructions >> *Maintenance securities instructions – search/list* screen >> Click on the *search* button >> *Maintenance securities instructions – search/list* screen >> Click on the *details* button >> *Amendment securities instruction - details* screen >> Click on the *related outbound message* button
- Securities >> Settlement >> Intra-Position Movements >> *Intra-position movements – search/list* screen >> Click on the *search* button >> *Intra-position movements – search/list* screen >> Click on the *details* button >> *Intra –position movement - details* screen >> Click on the *related outbound messages* button
- Cash >> Settlement >> Maintenance Cash Instructions >> *Maintenance cash instructions – search/list* screen >> Click on the *search* button >> *Maintenance cash instructions – search/list* screen >> Click on the *details* button >> *Cancellation cash instruction – details* screen >> Click on the *related outbound message* button
- Securities >> Settlement >> Maintenance Securities Instructions >> *Maintenance securities instructions – search/list* screen >> Click on the *search* button >> *Maintenance securities instructions – search/list* screen >> Click on the *details* button >> *Cancellation securities instruction - details* screen >> Click on the *related outbound message* button
- Securities >> Settlement >> Maintenance Securities Instructions >> *Maintenance securities instructions – search/list* screen >> Click on the *search* button >> *Maintenance securities instructions – search/list* screen >> Click on the *details* button >> *Hold/release instruction - details* screen >> Click on the *related outbound message* button
- Securities >> Settlement >> Settlement Instructions >> *Settlement Instructions – search/list* screen >> Click on the *search* button >> *Settlement instructions – search/list* screen >> Click on the *details* button >> *Settlement instruction - details* screen >> Click on the *related outbound messages* button

Privileges

To use this screen, you need the following privileges [▶ 1932]:

- Outbound message list query
- Outbound message details query
- Resend Communication

References**User Instructions Part**

This screen is part of the following business scenario:

- View outbound communication [▶ 1284]

Business Functionality Document

This screen corresponds to the following business functions:

- Query messages (T2S.GUI.CCSE.MSG.0010)
- Display message list (T2S.GUI.CCSE.MSG.0020)

Screenshot

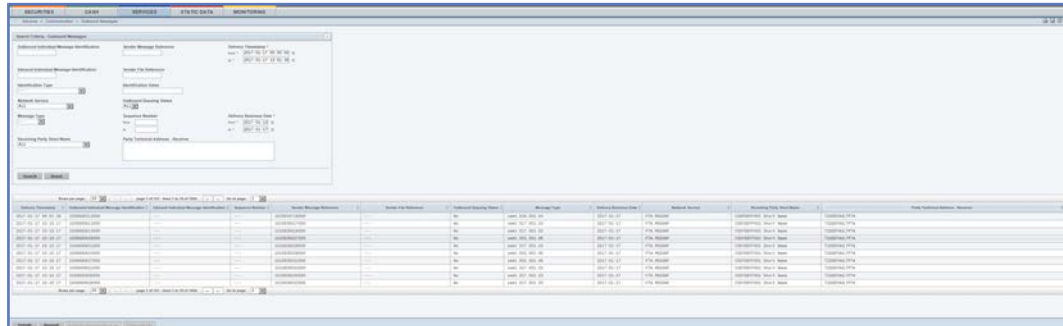


Illustration 127: Outbound messages - search/list Screen

Field Description

Outbound Messages – Search Criteria	
Outbound Individual Message Identification	Enter the technical identification of the outbound individual message. Required format is: Integer
Inbound Individual Message Identification	Enter the technical identification of the inbound individual message. Required format is: Integer
Network Service	Select the name of the network service from the drop-down menu. The default value is 'All'.
Receiving Party Short Name	Select the short name of the receiving party from the drop-down-menu. The default value is 'All'.
Party Technical Address	Enter the technical address (i.e. distinguished name) of the receiving party. Required format is: max. 256 characters (UTF-8 except '<'; '>'; '&') Reference for error message [▶ 2572): <input type="checkbox"/> IICR001
Message Type	Select the request type (ISO 20022) for the message from the drop-down menu. The default value is 'All'.
Sender Message Reference	Enter the identification of the message as assigned by the message sender. Required format is: max. 16 characters (SWIFT-x)
Sender File Reference	Enter the sender file identification of the file containing the message(s).

Outbound Messages – Search Criteria	
	Required format is: max. 16 characters (SWIFT-x)
Identification Type	<p>Select the type of identification of the message from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> T2S Instruction Reference <input type="checkbox"/> Transfer Identification (for Liquidity Transfers) <input type="checkbox"/> Blank (for non-usage of the search criterion and used as default value) <p>The Identification Type must be either 'T2S Instruction Reference' or 'Transfer Identification' if the Instruction Value is filled.</p> <p>The Identification Type must be blank if the Identification Value is blank.</p>
Identification Value	<p>Enter the unique identification of the Instruction and Liquidity Transfer if instruction type is not blank.</p> <p>The Identification Value must be filled if the Instruction Type is filled with 'T2S Instruction Reference' or 'Transfer Identification'.</p> <p>The Identification Value must not be filled if the Instruction Type is filled with 'blank'.</p>
Outbound Queuing Status*	<p>Select the outbound queuing status of the message from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> All (default value)
Delivery Date and Time from(*)	<p>Enter the lower bound of the search range for the calendar date and time of the system entry of the outbound message or use the <i>calendar</i> icon. The default value is the current date – start of real-time settlement if the 'Inbound Individual Message Identification' / 'T2S Instruction Reference' / 'Transfer Identification' / 'Sender File Reference' is not populated from the previous screen.</p> <p>Required format is: YYYY-MM-DD hh:mm:ss</p> <p>The 'Delivery Date and Time from' date must be a valid calendar date/time and earlier than or equal to the current T2S date. The 'Delivery Date and Time from' date must be earlier than the 'Delivery Date and Time to' date.</p>

Outbound Messages – Search Criteria	
	<p>Reference for error message [▶ 2572]:</p> <p><input type="checkbox"/> QMPC015</p>
Delivery Date and Time to(*)	<p>Enter the upper bound of the search range for the calendar date and time of the system entry of the outbound message or use the <i>calendar</i> icon. The default value is the current date and time if the 'Inbound Individual Message Identification' / 'T2S Instruction Reference' / 'Transfer Identification' / 'Sender File Reference' is not populated from the previous screen.</p> <p>Required format is: YYYY-MM-DD hh:mm:ss</p> <p>The 'Delivery Date and Time to' date must be later than the 'Delivery Date and Time from' date and a valid T2S calendar date/time.</p> <p>Reference for error message [▶ 2572]:</p> <p><input type="checkbox"/> QMPC015</p>
Delivery Business Date from(*)	<p>Enter the lower bound of the search range for the business date from which the outbound message was delivered or use the <i>calendar</i> icon. The default value is the current business date if the 'Inbound Individual Message Identification' / 'T2S Instruction Reference' / 'Transfer Identification' / 'Sender File Reference' is not populated from the previous screen.</p> <p>Required format is: YYYY-MM-DD</p> <p>The 'Delivery Business Date from' date must be a valid T2S calendar date earlier than or equal to the current T2S calendar date.</p> <p>Reference for error message [▶ 2572]:</p> <p><input type="checkbox"/> QMPC015</p>
Delivery Business Date to(*)	<p>Enter the upper bound of the search range for the date until which the outbound message was delivered or use the <i>calendar</i> icon. The default value is the current business date if the 'Inbound Individual Message Identification' / 'T2S Instruction Reference' / 'Transfer Identification' / 'Sender File Reference' is not populated from the previous screen.</p> <p>Required format is: YYYY-MM-DD</p>

Outbound Messages – Search Criteria	
	<p>The 'Delivery Business Date to' date must be a valid T2S business date.</p> <p>Reference for error message [▶ 2572]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC015
Outbound Messages – List	
Repetitive block for each business object of an outbound message	
Delivery Date and Time	<p>Shows the date and time when the message was delivered in T2S.</p> <p>Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs</p>
Outbound Individual Message Identification	Shows the identification of the outbound individual message.
Inbound Individual Message Identification	Shows the identification of the inbound individual message.
Outbound Message Sequence Number	Shows the counter for the external message sequence which is available once per party technical address.
Sender Message Reference	Shows the identification of the outbound message as assigned by the message sender.
Sender File Reference	Shows the identification of the original file containing the message(s).
Outbound Queuing Status	<p>Shows the outbound queuing status of the message from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Yes <input type="checkbox"/> No
Message Type	Shows the ISO 20022 request type of the message.
Delivery Business Date	<p>Shows the business date when T2S started the delivery of the message.</p> <p>Displayed format is: YYYY-MM-DD</p>
Network Service	Shows the name of the network service.
Receiving Party Short Name	Shows the short name of the receiving party.
Party Technical Address	Shows the technical address (i.e. distinguished name) of the receiving party.

Outbound Messages – Search Criteria	
	<p>Displayed format: max. 256 characters (UTF-8 except '<'; '>'; '&')</p> <p>Reference for error message [▶ 2572]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> IICR001

Buttons

Search	<p>This function enables you to start a search according to the criteria entered. The results are displayed in a list on the same screen.</p> <p>If the search retrieves a single record, the <i>details</i> screen is displayed directly.</p> <p>Next screens:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Outbound messages – search/list</i> screen <input type="checkbox"/> <i>Outbound message – details</i> screen [▶ 662] <p>Reference for error message [▶ 2572]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> QMPC015
Reset	<p>This function enables you to set default search criteria and blanks out all optional criteria.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Outbound messages – search/list</i> screen
Outbound File	<p>This function enables you to display the details of the related outbound file.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Outbound file – details</i> screen [▶ 652]
Related Inbound Messages	<p>This function enables you to display the inbound messages related to the selected outbound message.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Inbound message – details</i> screen [▶ 644]
Resend	<p>This function enables you to resend the selected outbound message.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Outbound messages – search/list</i> screen <p>References for error messages [▶ 2572]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> IICR001 <input type="checkbox"/> IICR002

Details	This function enables you to display the details of the selected outbound message. Next screen: <input type="checkbox"/> <i>Outbound message – details</i> screen [662]
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2.4.2.11 Outbound Message - Details Screen

Context of Usage

This screen displays detailed information on the selected outbound message. Only messages subscribed via message subscription rules and those messages compulsory sent will be displayed. You can check the data and proceed further by clicking on the buttons below.

There is no usage restriction for this screen.

This function is only available in U2A mode.

Screen Access

- Services >> Communication >> Outbound Messages >> *Outbound messages – search/list* screen >> Click on the *search* and/or *details* button
- Services >> Communication >> Inbound Messages >> *Inbound messages – search/list* screen >> Click on the *related outbound messages* button
- Services >> Communication >> Inbound Messages >> *Inbound messages – search/list* screen >> Click on the *search* and/or *details* button >> *Inbound message – details* screen >> Click on the *related outbound messages* button
- Services >> Communication >> Outbound Files >> *Outbound files – search/list* screen >> Click on the *search* and/or *details* button >> *Outbound file – details* screen >> Click on the *related outbound messages* button
- Cash >> Liquidity >> Immediate Liquidity Transfers >> *Immediate liquidity transfers - search/list* screen >> Click on the *related outbound messages* button
- Cash >> Liquidity >> Immediate Liquidity Transfers >> *Immediate liquidity transfers - search/list* screen >> Click on the *search* and/or *details* button >> *Immediate liquidity transfer – details* screen >> Click on the *related outbound messages* button
- Cash >> Settlement >> Intra-Balance Movements >> *Intra-balance movements – search/list* screen >> Click on the *search* button >> *Intra-balance movements – search/list* screen >> Click on the *details* button >> *Intra-balance movement - details* screen >> Click on the *related outbound messages* button

- Securities >> Settlement >> Intra-Position Movements >> *Intra-position movements – search/list* screen >> Click on the *search* button >> *Intra-position movements – search/list* screen >> Click on the *details* button >> *Intra-position movement - details* screen >> Click on the *related outbound messages* button
- Cash >> Settlement >> Maintenance Cash Instructions >> *Maintenance cash instructions – search/list* screen >> Click on the *search* button >> *Maintenance cash instructions – search/list* screen >> Click on the *details* button >> *Amendment cash instruction - details* screen >> Click on the *related outbound message* button
- Securities >> Settlement >> Maintenance Securities Instructions >> *Maintenance securities instructions – search/list* screen >> Click on the *search* button >> *Maintenance securities instructions – search/list* screen >> Click on the *details* button >> *Amendment securities instruction - details* screen >> Click on the *related outbound message* button
- Cash >> Settlement >> Maintenance Cash Instructions >> *Maintenance cash instructions – search/list* screen >> Click on the *search* button >> *Maintenance cash instructions – search/list* screen >> Click on the *details* button >> *Cancellation cash instruction – details* screen >> Click on the *related outbound message* button
- Securities >> Settlement >> Maintenance Securities Instructions >> *Maintenance securities instructions – search/list* screen >> Click on the *search* button >> *Maintenance securities instructions – search/list* screen >> Click on the *details* button >> *Cancellation securities instruction - details* screen >> Click on the *related outbound message* button
- Securities >> Settlement >> Maintenance Securities Instructions >> *Maintenance securities instructions – search/list* screen >> Click on the *search* button >> *Maintenance securities instructions – search/list* screen >> Click on the *details* button >> *Hold/release instruction - details* screen >> Click on the *related outbound message* button
- Securities >> Settlement >> Settlement Instructions >> *Settlement instructions – search/list* screen >> Click on the *search* button >> *Settlement instructions – search/list* screen >> Click on *details* button >> *Settlement instruction - details* screen >> Click on the *related outbound messages* button

Privileges

To use this screen, you need the following privileges [► 1932]:

- Outbound message details query
- Resend Communication

References

User Instructions Part

This screen is part of the following business scenario:

- View outbound communication [▶ 1284]

Business Functionality Document

This screen corresponds to the following business functions:

- Display message details (T2S.GUI.CCSE.MSG.0030)

Screenshot

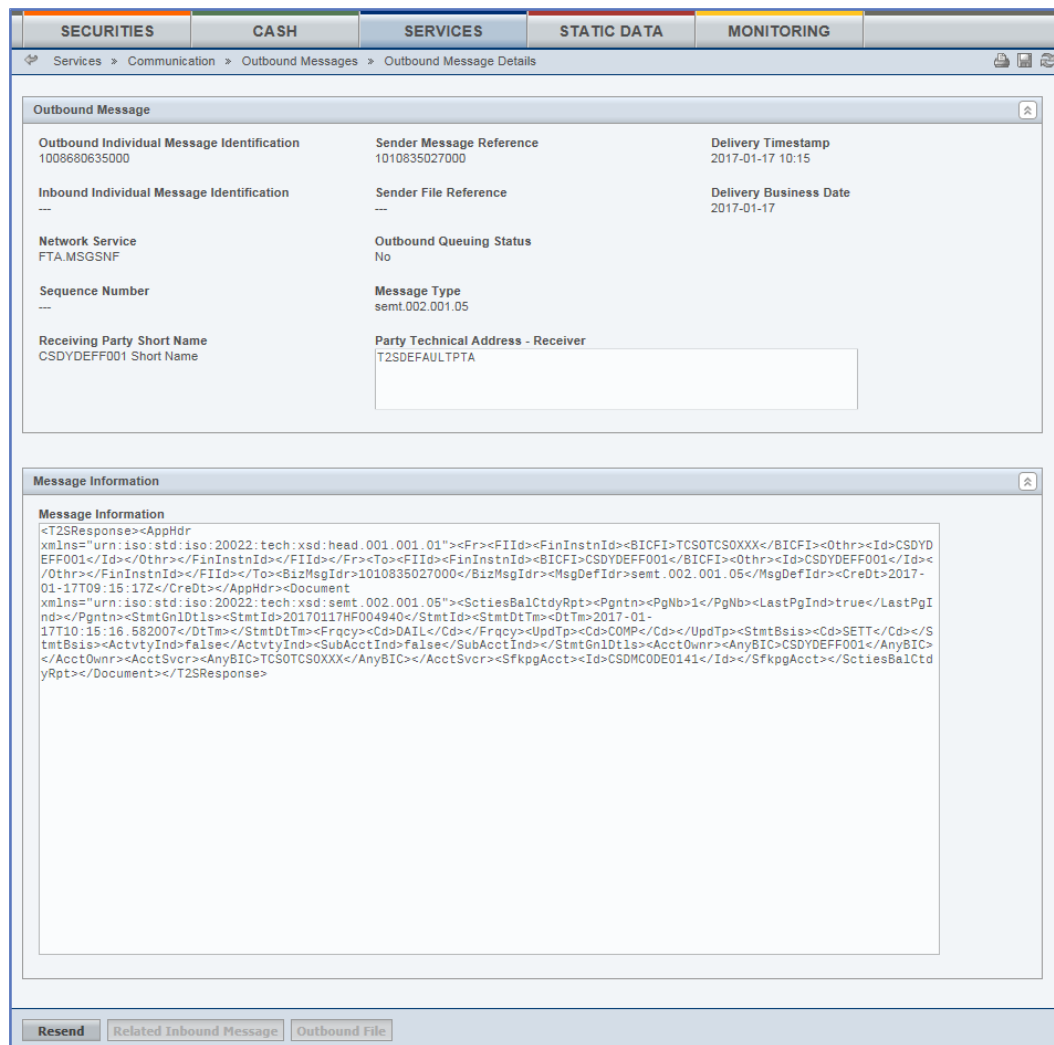


Illustration 128: Outbound message - details screen

Field Description

Outbound Message Details Information	
Delivery Date and Time	Shows the calendar date and time when the outbound message was delivered in T2S. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµsµs
Outbound Individual Message Identification	Shows the identification of the outbound individual message.

Outbound Message Details Information	
Inbound Individual Message Identification	Shows the identification of the inbound individual message.
Outbound Message Sequence Number	Shows the counter for the external message sequence which is available once per party technical address.
Sender Message Reference	Shows the identification of the outbound message as assigned by the message sender.
Sender File Reference	Shows the identification of the original file containing the message(s).
Outbound Queuing Status	Shows the outbound queuing status of the message from the possible values: <input type="checkbox"/> Yes <input type="checkbox"/> No
Message Type	Shows the ISO 20022 request type of the message.
Delivery Business Date	Shows the business date when T2S started the delivery of the message. Displayed format is: YYYY-MM-DD
Network Service	Shows the name of the network service.
Receiving Party Short Name	Shows the short name of the receiving party.
Party Technical Address	Shows the technical address (i.e. distinguished name) of the receiving party. Reference for error message [▶ 2572]: <input type="checkbox"/> IICR001
Message Information	
Message Information	Shows detailed information of the U2A or A2A request. The content of A2A message/U2A request is only displayed in case of small A2A messages/U2A requests. Bigger A2A messages/U2A requests can be displayed via the separate message <i>export</i> icon. Pressing this icon exports the message based on the xml document. In addition root elements are present at the beginning and the end of the exported document. On top of the export additional information about 'Time', 'User' and 'T2S Screen of export' is available for documentation purpose.

Buttons

Related Inbound Messages	This function enables you to display the inbound messages related to the selected outbound message. Next screen: <input type="checkbox"/> <i>Inbound message – details</i> screen [▶ 644]
Resend	This function enables you to resend the selected outbound message. Next screen: <input type="checkbox"/> <i>Outbound message – details</i> screen References for error messages [▶ 2572]: <input type="checkbox"/> IICR001 <input type="checkbox"/> IICR002
Outbound File	This function enables you to display the outbound file related to the selected outbound message. Next screen: <input type="checkbox"/> <i>Outbound file – details</i> screen [▶ 652]

2.4.2.12 Resend Communication Screen

Context of Usage

This screen contains a number of fields regarding resend communication. You may select already sent outbound messages by entering:

- a single sequence,
- a range of sequences with explicitly mentioned values for
 - 'Sequence – from' and 'Sequence – to' or
 - 'Sequence – from' and open end or
 - 'Sequence – to' and open start
- a combination of a range of sequences and an additional single sequence
- a T2S sender message reference.

You may also resend outbound files by entering a sender file reference. Afterwards you can proceed further by clicking on the buttons below.

You can use this screen either in 2-eyes or in 4-eyes mode.

There is no usage restriction for this screen.

Screen Access

- Services >> Communication >> Resend Communication

Privileges

To use this screen, you need the following privilege [▶ 1936]:

- Resend communication

References

User Instructions Part

This screen is part of the following business scenario:

- Resend communication [[▶ 1282](#)]

Business Functionality Document

This screen corresponds to the following business function:

- Request outbound message resend (T2S.GUI.CCSE.MSG.0040)

Screenshot

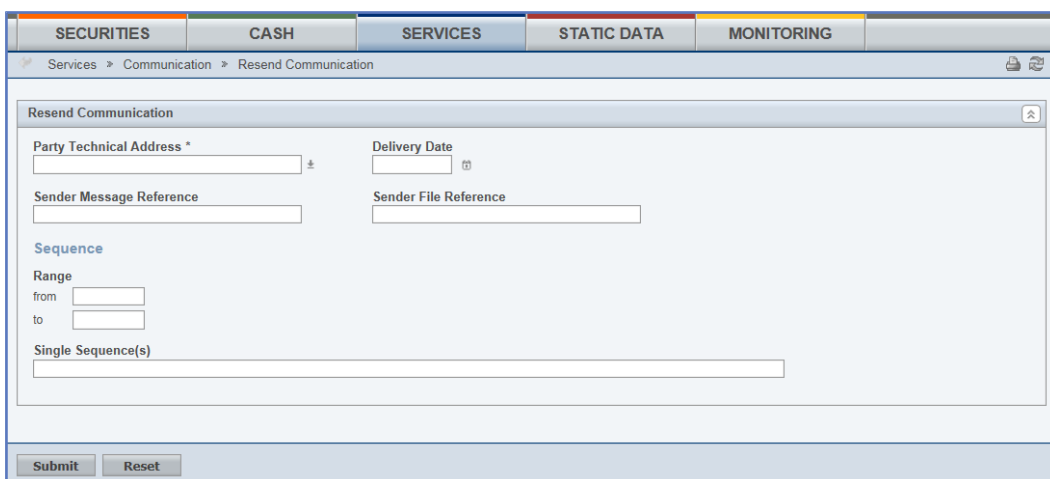


Illustration 129: Resend communication screen

Field Description

Resend Communication	
Party Technical Address*	<p>You can choose to either enter the 'Party Technical Address' or to select it from the suggested items in the dropdown menu.</p> <p>Required format is: max. 256 characters (SWIFT-x)</p> <p>References for error messages [▶ 2578]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> IICR001 <input type="checkbox"/> IICR004 <input type="checkbox"/> IICR006 <input type="checkbox"/> IICR007
Delivery Date	<p>Enter the date of the business day on which the outbound message was sent or use the <i>calendar</i> icon.</p> <p>Required format is: YYYY-MM-DD</p> <p>References for error messages [▶ 2578]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> IICR003 <input type="checkbox"/> IICR004 <input type="checkbox"/> IICR006

Resend Communication	
	<p><input type="checkbox"/> IICR007</p> <p>If you do not select a date, this field is filled in with the current business date.</p>
Sender Message Reference	<p>Enter the identification of the original message as sent in the business application header.</p> <p>Required format is: max. 16 characters (SWIFT-x)</p> <p>Reference for error message [▶ 2578]:</p> <p><input type="checkbox"/> IICR007</p> <p>You have to enter at least one field among 'Sender Message Reference', 'Sender File Reference', 'Sequence – from', 'Sequence – to' and 'Single Sequence(s)'.</p>
Sender File Reference	<p>Enter the identification of the original file as sent in the business application header.</p> <p>Required format is: max. 16 characters (SWIFT-x)</p> <p>Reference for error message [▶ 2578]:</p> <p><input type="checkbox"/> IICR006</p> <p>You have to enter at least one field among 'Sender Message Reference', 'Sender File Reference', 'Sequence – from', 'Sequence – to' and 'Single Sequence(s)'.</p>
Sequence	
Sequence - from	<p>Enter the lower bound of the specific outbound sequence reference.</p> <p>Required format is: max. 8 digits</p> <p>The 'Sequence – from' range must be lower than or equal to the 'Sequence – to' range.</p> <p>You have to enter at least one field among 'Sender Message Reference', 'Sender File Reference', 'Sequence – from', 'Sequence – to' and 'Single Sequence(s)'.</p> <p>Remark: To submit a resend of messages the sequence number has to be filled with up to 8 digits, without business date prefix. Leading zeros can be omitted. Business date is taken from the separate field. In case the business date field is not filled the current business date is used.</p>
Sequence - to	<p>Enter the upper bound of the specific outbound sequence reference.</p>

Resend Communication	
	<p>Required format is: max. 8 digits</p> <p>The 'Sequence – to' range must be higher than or equal to the 'Sequence – from' range.</p> <p>You have to enter at least one field among 'Sender Message Reference', 'Sender File Reference', 'Sequence – from', 'Sequence – to' and 'Single Sequence(s)'.</p> <p>Remark: To submit a resend of messages the sequence number has to be filled with up to 8 digits, without business date prefix. Leading zeros can be omitted. Business date is taken from the separate field. In case the business date field is not filled the current business date is used.</p>
Single Sequence(s)	<p>Enter the outbound sequence identification you want to resend.</p> <p>Required format is: max. 169 characters (SWIFT-x)</p> <p>Reference for error message [▶ 2578]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> IICR004 <p>You have to enter at least one field among 'Sender Message Reference', 'Sender File Reference', 'Sequence – from', 'Sequence – to' and 'Single Sequence(s)'.</p>

Buttons

Submit	<p>This function enables you to create a resend message request based on the selection you made, after confirmation.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Resend communication</i> screen <p>References for error messages [▶ 2578]:</p> <ul style="list-style-type: none"> <input type="checkbox"/> IICR001 <input type="checkbox"/> IICR002 <input type="checkbox"/> IICR003 <input type="checkbox"/> IICR004 <input type="checkbox"/> IICR006 <input type="checkbox"/> IICR007
Reset	<p>This function enables you to set all fields to default value and blanks out all optional fields.</p> <p>Next screen:</p>

- Resend communication screen

2.4.3 Revisions

2.4.3.1 Revisions/Audit Trail - List Screen

Context of Usage

This screen shows search results for revisions for the selected transactional objects in a list and is not present in T2S menu. After selecting an entry, you can proceed further by clicking on the button below.

When exporting the content of this screen, you receive the list and the corresponding details for each data record.

The screen can be reached via any other *list* or *details* screen by clicking on the *revisions* button.

Screen Access

- Screen name >> Click on the *revisions* button

Privileges

To use this screen, you need (depending on the underlying object) the following privileges [[▶ 1936](#)]:

- Amendment instruction for intra-position movement or settlement instruction audit trail list query
- Amendment instruction for intra-balance movement audit trail list query
- Cancellation instruction for intra-balance movement audit trail list query
- Cancellation instruction for intra-position movement or settlement instruction audit trail list query
- Intra-balance movement audit trail list query
- Intra-position movement audit trail list query
- Immediate liquidity transfer order audit trail list query
- Penalty audit trail query
- Settlement instruction audit trail query

References

User Instructions Part

This screen is part of the following business scenario:

- View revisions [[▶ 2580](#)]

Business Functionality Document

This screen corresponds to the following business function:

- Display revision list (T2S.GUI.OSSE.REV.0010)

Screenshot

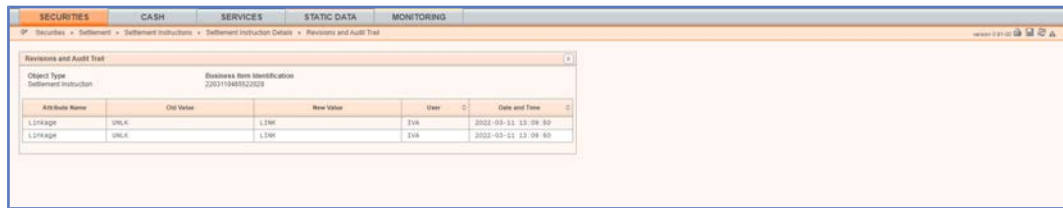


Illustration 130: Revisions/audit trail – list screen

Field

Description

Revisions – List	
Object Type	Shows the type of the selected object.
Business Item Identification 1	Shows the identification of the respective business object.
Revision/Audit Trail Entry	
Attribute Name	Shows the name of the field which changed.
Old Value	Shows the old value of an attribute which was subject to a change.
New Value	Shows the new value of an attribute after the change.
User	Shows the identification of the user who changed the data.
Date and Time	Shows the date and time at which the user changed the data. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµsµs

Buttons

Details	This function enables you to display the details only for: Unlinking/Linking for SI, IPM, IBM. The additional detailed information will be present in a pop-up window.
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2.5 Monitoring

2.5.1 Monitoring

2.5.1.1 Securities Positions - Search/List Screen

See *Securities Positions – Search/List Screen* [▶ 179].

2.5.1.2 Securities Position - Details Screen

See *Securities Positions – Details Screen* [▶ 184].

2.5.1.3 T2S DCA Balances - Search/List Screen

See *T2S DCA Balances – Search/List Screen* [▶ 366].

2.5.1.4 T2S DCA Balance - Details Screen

See *T2S DCA Balance – Details Screen* [▶ 370].

2.6 Billing

2.6.1 Invoice Data

2.6.1.1 Invoice Data – Search/List screen

Context of Usage

The result is returned on the basis of the entered criteria in a list and it is sorted by the values of the Service, Parent BIC, Party BIC, Period Start date, Period End date columns in ascending order (default setting).

This screen gives also the possibility to access the details of the Invoice Data, i.e. how the total amount is divided by Service category and Service Items, and to manage the Template Corrections and Manual Corrections related to the Invoice Data.

This screen is not relevant for payment banks and ancillary systems.

The content of the list depends on the authorised user that is performing the query: ECB users can see all Invoice Data related to a System Entity; Central Bank users can see the Invoice Data for their System Entity and the ones related to their community; CSD users can see the Invoice Data for their System Entity.

Screen Access

- Billing >> Invoice Data >> Search
- Billing >> Manual Correction >> Search >> Click on *Invoice Data* button

Privileges

To use this screen, the following Privileges [▶ 1852] are needed:

- Invoice Data List Query

Screenshot

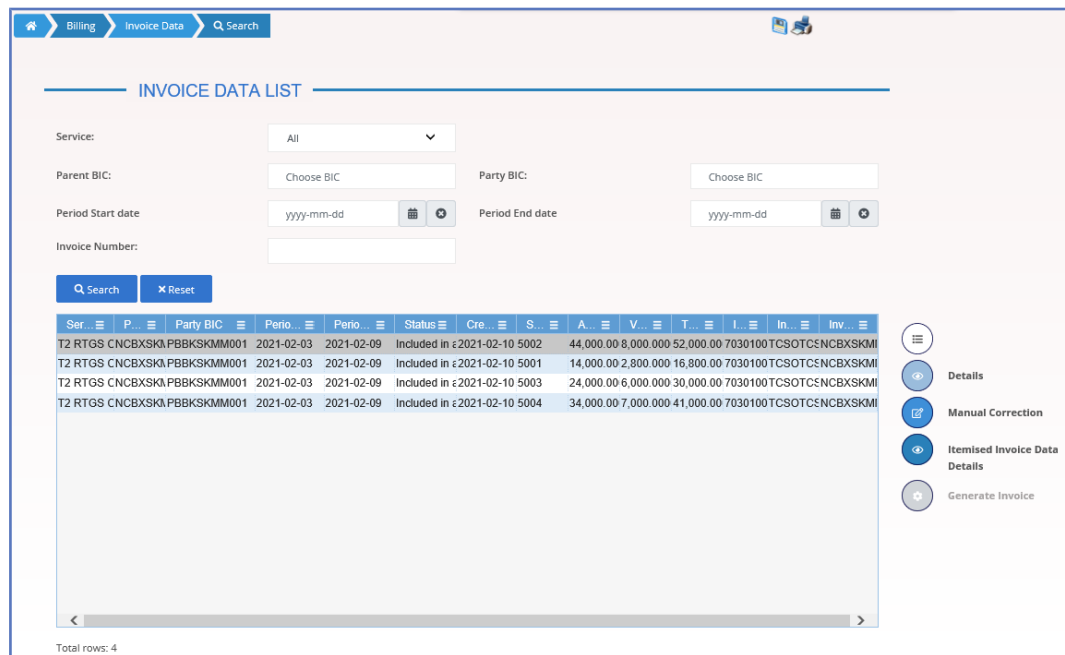


Illustration 131: Invoice Data – search/list screen

Fields

Description

Invoice data - Search Criteria	
Service	<p>Select the Service from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> TIPS <input type="checkbox"/> T2 RTGS COMPONENT <input type="checkbox"/> ECMS <input type="checkbox"/> T2S <p>The Service “T2 RTGS COMPONENT” is meant as T2 Service.</p>
Parent BIC	<p>Enter or select the Parent BIC of the Party to be charged.</p> <p>If the user is an ECB or CSD user, the field contains the BIC of the Operator and is read-only.</p> <p>Required format is: max. 11x characters.</p>
Party BIC	<p>Enter or select the Party BIC of the Party to be charged.</p> <p>If the user is a CSD user, this field contains the Party BIC of the CSD the user belongs to and it is read-only.</p> <p>Required format is: max. 11x characters.</p>
Period Start date	<p>Enter the date that defined the Start date of the relevant Billing Period for which the Invoice Data is searched.</p> <p>Required format is: Date.</p>

Invoice data - Search Criteria	
Period End date	<p>Enter the date that defined the End date of the relevant Billing Period for which the Invoice Data is searched.</p> <p>This field must be greater than the Period Start date but within the same month.</p> <p>Required format is: Date.</p>
Invoice Number	<p>Enter the invoice number to be searched.</p> <p>This field is meaningful only for Invoice Data already used for the creation of an Invoice and if the latter is not cancelled.</p> <p>Required format is: max. 35x characters.</p>

Invoice Data - List	
Service	Shows the Service of the returned Invoice Data.
Parent BIC	Shows the Parent BIC of the Party to be charged.
Party BIC	Shows the Party BIC of the Party to be charged.
Period Start Date	Shows the start date of the Billing Period the Invoice Data refers to.
Period End Date	Shows the end date of the Billing Period the Invoice Data refers to.
Status	Shows the status of the Invoice Data (generated, corrected, cancelled, included in an invoice).
Creation date	Shows the date in which the Invoice Data has been generated.
Service Item	Shows the Service Item of the Invoice data.
Amount without VAT	Shows the amount in EURO of the Invoice Data without VAT. The amount format foresees four decimals.
VAT amount	Shows the VAT amount in EURO of the Invoice Data. The amount format foresees four decimals. This field is shown only for Invoice Data related to Participants.
Total amount	Shows the total amount in EURO of the Invoice Data (VAT included). The amount format foresees four decimals.
Invoice Number	Shows the Invoice Number, when the invoice exists and its status is different from 'Cancelled'.
Invoicee Parent BIC	Shows the Parent BIC of the party receiving the invoice.
Invoicee Party BIC	Shows the Party BIC of the party receiving the invoice.

Buttons

Search	This function enables the user to start a search according to the entered criteria.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
Details	This function enables the user to display the details of the selected Invoice Data.
Manual Correction	This function enables the user to enter the Manual Correction list screen of the selected Invoice Data.
Itemised Invoice Data Details	This function enables the user to display the Itemised Invoice Data of the selected Invoice Data.

2.6.1.2 Invoice Data – Details screen

Context of Usage

This screen enables the authorised user to see a breakdown of the Total Amount and Amount without VAT into the specific Service Categories and Service Items. An aggregation for Service Items and applied price is shown under each service category.

Screen Access

- Billing >> Invoice Data >> *Invoice Data – Search/List* screen >> Click on the *Details* button

Privileges

To use this screen, the following Privileges [▶ 1852] are needed:

- Invoice Data Details Query

Screenshot



Illustration 132: Invoice Data – details screen

Fields

Description

Invoice Data	
Service	Shows the Service of the returned Invoice Data.
Parent BIC	Shows the Parent BIC of the Party to be charged.
Party BIC	Shows the Party BIC of the Party to be charged.
Party Short Name	Shows the Party Short Name of the Party to be charged.
Period Start Date	Shows the start date of the Billing Period the Invoice Data refers to.
Period End Date	Shows the end date of the Billing Period the Invoice Data refers to.
Status	Shows the status of the Invoice Data (generated, corrected, cancelled, included in an invoice).
Creation date	Shows the date in which the Invoice Data has been generated.
Amount without VAT	Shows the amount in EURO of the Invoice Data without VAT. The amount format foresees four decimals.
VAT amount	Shows the VAT amount in EURO of the Invoice Data. The amount format foresees four decimals. This field is shown only for Invoice data related to Participants.

Invoice Data	
Total amount	Shows the total amount in EURO of the Invoice Data (VAT included). The amount format foresees four decimals.
Invoice Number	Shows the Invoice Number, when the invoice exists and its status is different from 'Cancelled'.

Total number of Units and Total Amount per Service Item and unit price	
Settlement Services	
Service Item	Shows the code and the Service Item name.
Quantity	Shows the sum of the quantities of all the Invoice Data for the same Service Item.
Price	Shows the price applied. In case of bands or tariff, each band and tariff of the Service Items is reported in one row.
Fee Type	Shows the type of the fee applied.
Amount	Shows the sum of the Amount in EURO calculated for all the Invoice Data in the set for the same Service Item. The amount format foresees four decimals.
VAT Rate	Shows the VAT rate considered in the computation, based on VAT defined by the System Entity for the applied Service Item. This field is shown only for Invoice Data related to Participants.
Settlement Services sub-total	
Amount without VAT	Shows the sum of all the Amounts in the category. The amount format, in EURO, foresees four decimals.
VAT amount	Shows the sum of the VAT amount in EURO of the Invoice Data. The amount format foresees four decimals. This field is shown only for Invoice data related to Participants.
Total amount	Shows the sum of all the Amounts and VAT Amounts in the category. The amount format, in EURO, foresees four decimals.
Account Management Services	
Service Item	Shows the code and the Service Item name.
Quantity	Shows the sum of the quantities of all the Invoice Data for the same Service Item.
Price	Shows the price applied. In case of bands or tariff, each band and tariff of the Service Items is reported in one row.

Total number of Units and Total Amount per Service Item and unit price	
Fee Type	Shows the type of the fee applied.
Amount	Shows the sum of the Amount in EURO calculated for all the Invoice Data in the set for the same Service Item. The amount format foresees four decimals.
VAT Rate	Shows the VAT rate applied, basing on VAT defined by the System Entity for the applied Service Item. The amount format foresees four decimals. This field is shown only for Invoice data related to Participants.
Account Management Services sub-totals	
Amount without VAT	Shows the sum of all the Amounts in the category. The amount format, in EURO, foresees four decimals.
VAT amount	Shows the sum of the VAT amount in EURO of the Invoice Data. The amount format foresees four decimals. This field is shown only for Invoice data related to Participants.
Total amount	Shows the sum of all the Amounts and VAT Amounts in the category. The amount format, in EURO, foresees four decimals
Information Services	
Service Item	Shows the code and the Service Item name.
Quantity	Shows the sum of the quantities of all the Invoice Data for the same Service Item.
Price	Shows the price applied. In case of bands or tariff, each band and tariff of the Service Items is reported in one row.
Fee Type	Shows the type of the fee applied.
Amount	Shows the sum of the Amount in EURO calculated for all the Invoice Data in the set for the same Service Item. The amount format foresees four decimals.
VAT Rate	Shows the VAT rate applied, basing on VAT defined by the System Entity for the applied Service Item. The amount format foresees four decimals. This field is shown only for Invoice data related to Participants.
Information Services sub-totals	
Amount without VAT	Shows the sum of all the Amounts in the category. The amount format, in EURO, foresees four decimals.
VAT amount	Shows the sum of the VAT amount in EURO of the Invoice Data. The amount format foresees four decimals. This field is shown only for Invoice Data related to Participants.

Total number of Units and Total Amount per Service Item and unit price

Total amount	Shows the sum of all the Amounts and VAT Amounts in the category. The amount format, in EURO, foresees four decimals.
--------------	---

Buttons

Itemised Invoice Data Search	This function enables the user to search for itemised Invoice Data.
Itemised Invoice Data Details	This function enables the user to display the itemised Invoice Data of the selected Invoice Data.
Manual Correction	This function enables the user to enter the Manual Correction list screen of the selected Invoice Data.

2.6.1.3 Itemised Invoice Data – Search/List screen

Context of Usage

This screen enables the authorised user to display the list of Itemised Invoice Data that compose the Invoice Data selected in the previous screen. The information of the selected Invoice Data is shown in the header of the screen. Data shown in the screen are aggregated by the object the fee is charged to – a party or an account.

As default, when executing the search, this screen shows the list of all the objects involved in the Invoice Data, i.e. the Accounts and Parties. This screen gives the additional possibility to filter by a specific object in order to obtain the subset of invoice data referred to the searched element.

The search fields are shown depending on the type of search the user wants to perform. Moreover, some search fields are visible only for the Invoice Data related to a System Entity invoice.

Screen Access

- Billing >> *Invoice Data – search/list* screen >> Click on the *Details* button >> click on the *Itemised Invoice Data Search* button
- Billing >> *Invoice Data – search/list* screen >> Click on the *Details* button >> click on the *Itemised Invoice Data Details* button >> click on the *Itemised Invoice Data Search* button

Privileges

To use this screen, the following Privileges [[▶ 1852](#)] are needed:

- Itemised Invoice Data List Query
- Itemised Invoice Data Details Query

Screenshot

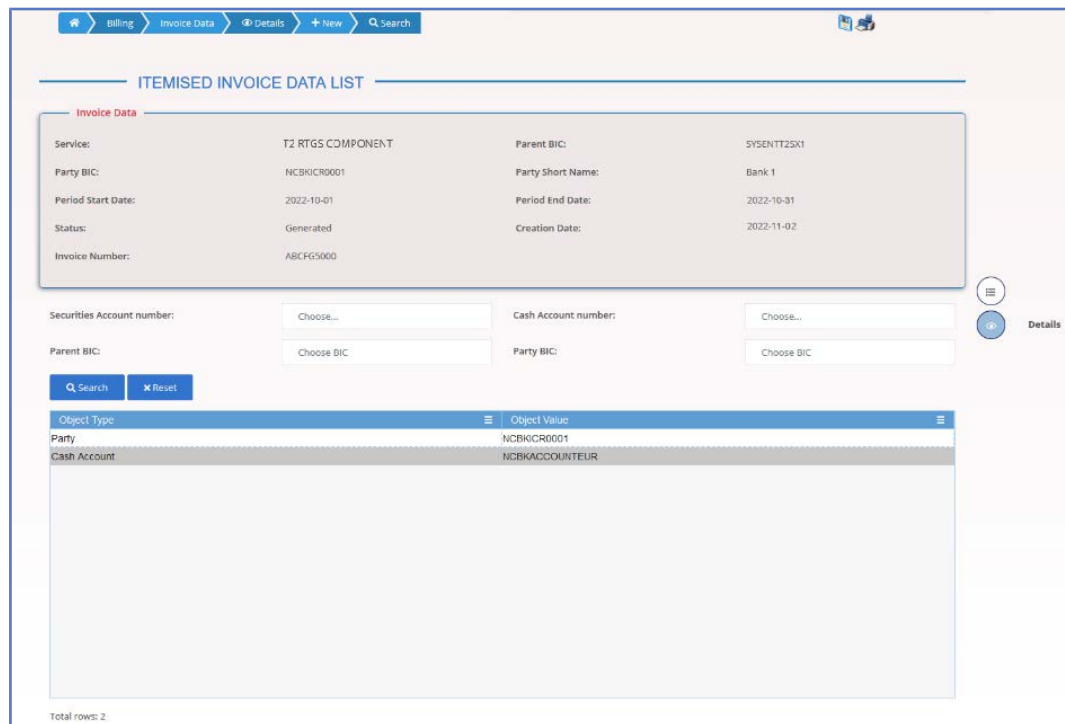


Illustration 133: Itemised Invoice Data – search/list screen

Fields

Description

Invoice Data	
Service	Shows the Service of the returned Invoice Data.
Parent BIC	Shows the Parent BIC of the Party to be charged.
Party BIC	Shows the Party BIC of the Party to be charged.
Party Short Name	Shows the Party Short Name of the Party to be charged.
Period Start Date	Shows the start date of the Billing Period the Invoice Data refers to.
Period End Date	Shows the end date of the Billing Period the Invoice Data refers to.
Status	Shows the status of the Invoice Data (generated, corrected, cancelled, included in an invoice).
Creation date	Shows the date in which the Invoice Data has been generated.
Invoice Number	Shows the Invoice Number, when the invoice exists and its status is different from 'Cancelled'.

Itemised Invoice data - Search Criteria	
Securities Account number	Enter or select a Securities Account which is relevant for the Party to be charged reported in the header.

Itemised Invoice data - Search Criteria	
	<p>This field is visible only when the Party to be charged is a CSD.</p> <p>Required format is: max. 35 characters.</p>
Cash Account number	<p>Enter or select a Cash Account which is relevant for the Party to be charged reported in the header.</p> <p>This field is visible only when the Party to be charged is a CB, Payment Bank or Ancillary System.</p> <p>Required format is: max. 34x characters.</p>
Parent BIC	<p>Enter or select a Parent BIC which is relevant for the Party to be charged reported in the header.</p> <p>This field is visible only when the Party to be charged is a CSD or CB.</p> <p>Required format is: max. 11x characters.</p>
Party BIC	<p>Enter or select a Party BIC which is relevant for the Party to be charged reported in the header.</p> <p>This field is visible only when the Party to be charged is a CSD or CB. Required format is: max. 11x characters.</p>

Itemised Invoice Data - List	
Object Type	Shows the object type the Itemised Invoice Data refers to.
Object Value	Shows the account number or party BIC according to the object value.

Buttons

Buttons	
Search	This function enables the user to start a search according to the entered criteria.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
Details	This function enables the user to display the details of the selected itemised Invoice Data object.

2.6.1.4 Itemised Invoice Data – Details screen

Context of Usage

This screen shows in details the data calculated for an Invoice in a specific billing period. The content depends on the access point and the type of search: if it is accessed from the Invoice Data screens, it contains all the possible details; if it is accessed from the Itemised Invoice Data search screens, it contains the details depending on the type of item selected in the list.

Screen Access

- Billing >> *Invoice Data – search/list* screen >> Click on the *Details* button >> click on the *Itemised Invoice Data Search* button >> Select an *item* and click on the *Details* button
- Billing >> *Invoice Data – search/list* screen >> Select an *item* and click on the *Itemised Invoice Data Details* button

Privileges

To use this screen, the following Privileges [**1853**] are needed:

- Itemised Invoice Data Details Query

Screenshot

The screenshot displays the 'ITEMISED INVOICE DATA DETAILS' screen. At the top, there is a navigation bar with 'Billing', 'Invoice Data', 'Details', 'New', 'Search', and 'Details' buttons. Below this, a summary box contains the following information:

- Service: T2 RTGS COMPONENT
- Parent BIC: SYSENT23X1
- Party BIC: NCBKCR0001
- Party Short Name: Bank 1
- Period Start Date: 2022-10-01
- Period End Date: 2022-10-31
- Status: Generated
- Creation Date: 2022-11-02
- Invoice Number: ABCFG0000

Below the summary, there are three sections of tables:

- Settlement Services:**
 - Cash Account ACCITA1001001:**

Service Item	Quantity	Price	Fee Type	Amount	VAT Rate
SAA1	1	0.2 €	Variable independent fee	0.2 €	0%
SAA2	1	100 €	Fixed independent fee	100 €	0%
Amount Without VAT			VAT Amount	Total Amount	
100.2 €			0 €	100.2 €	
 - Cash Account ANOTHERACC001001:**

Service Item	Quantity	Price	Fee Type	Amount	VAT Rate
SAA1	1	0.2 €	Variable independent fee	0.2 €	0%
Amount Without VAT			VAT Amount	Total Amount	
0.2 €			0 €	0.2 €	
- Account Management Services:**
 - Cash Account ACCITA1001001:**

Service Item	Quantity	Price	Fee Type	Amount	VAT Rate
AAA2	1	10 €	Variable independent fee	10 €	0%
Amount Without VAT			VAT Amount	Total Amount	
10 €			0 €	10 €	
- Information Services:**
 - Party BICA1111111 - BICB1111111:**

Service Item	Quantity	Price	Fee Type	Amount	VAT Rate
QUE1	1	0.2 €	Variable independent fee	0.2 €	0%
QUE2	1	0.6 €	Fixed independent fee	0.6 €	0%
Amount Without VAT			VAT Amount	Total Amount	
0.8 €			0 €	0.8 €	
 - Party BICA1111111 - BICB2222222:**

Service Item	Quantity	Price	Fee Type	Amount	VAT Rate
QUE3	1	0.2 €	Variable independent fee	0.2 €	0%
QUE4	1	0.6 €	Fixed independent fee	0.6 €	0%
Amount Without VAT			VAT Amount	Total Amount	
0.8 €			0 €	0.8 €	

Illustration 134: Itemised Invoice Data – details screen

Fields

Description

Invoice Data	
Service	Shows the Service of the returned Invoice Data.
Parent BIC	Shows the Parent BIC of the Party to be charged.

Invoice Data	
Party BIC	Shows the Party BIC of the Party to be charged.
Party Short Name	Shows the Party Short Name of the Party to be charged.
Period Start Date	Shows the start date of the Billing Period the Invoice Data refers to.
Period End Date	Shows the end date of the Billing Period the Invoice Data refers to.
Status	Shows the status of the Invoice Data (generated, corrected, cancelled, included in an invoice).
Creation date	Shows the date in which the Invoice Data has been generated.
Invoice Number	Shows the Invoice Number, when the invoice exists and its status is different from 'Cancelled'.

Itemised Invoice Data	
Settlement Services	
Repetitive block for each Securities Account or Cash Account	
Service Item	Shows the code and the Service Item name.
Quantity	Shows the number of billable events to be billed on the account for the specific Service Items.
Price	Shows the price applied.
Fee Type	Shows the type of the fee applied.
Amount	Shows the sum of the Amount in EURO calculated for the same Service Item and account. The amount format foresees four decimals.
VAT Rate	Shows the VAT rate considered in the computation, based on VAT defined by the System Entity for the applied Service Item. This field is shown only for Invoice data related to Participants.
Settlement Services sub-total	
Amount without VAT	Shows the sum of all the Amounts in the category. The amount format, in EURO, foresees four decimals.
VAT amount	Shows the sum of the VAT amount in EURO in the category. The amount format foresees four decimals. This field is shown only for Invoice data related to Participants.

Itemised Invoice Data	
Total amount	Shows the sum of all the Amounts and VAT Amounts in the category. The amount format, in EURO, foresees four decimals.
Account Management Services	
Repetitive block for each Securities Account or Cash Account	
Service Item	Shows the code and the Service Item name.
Quantity	Shows the number of billable events to be billed on the account for the specific Service Items.
Price	Shows the price applied. In case of bands or tariff, each band and tariff of the Service Items is reported in one row.
Fee Type	Shows the type of the fee applied.
Amount	Shows the sum of the Amount in EURO calculated for the same Service Item and account. The amount format foresees four decimals.
VAT Rate	Shows the VAT rate considered in the computation, based on VAT defined by the System Entity for the applied Service Item. This field is shown only for Invoice data related to Participants.
Account Management Services sub-totals	
Amount without VAT	Shows the sum of all the Amounts in the category. The amount format, in EURO, foresees four decimals.
VAT amount	Shows the sum of the VAT Amount in EURO in the category. The amount format foresees four decimals. This field is shown only for Invoice Data related to Participants.
Total amount	Shows the sum of all the Amounts and VAT Amounts in the category. The amount format, in EURO, foresees four decimals.
Information Services	
Repetitive block for each party	
Service Item	Shows the code and the Service Item name.
Quantity	Shows the number of billable events to be billed to the Party for the specific Service Items.
Price	Shows the price applied. In case of bands or tariff, each band and tariff of the Service Items is reported in one row.
Fee Type	Shows the type of the fee applied.

Itemised Invoice Data	
Amount	Shows the sum of the Amount in EURO calculated for the same Service Item and Party. The amount format foresees four decimals.
VAT Rate	Shows the VAT rate applied, basing on VAT defined by the System Entity for the applied Service Item. The amount format foresees four decimals. This field is shown only for Invoice data related to Participants.
Information Services sub-totals	
Amount without VAT	Shows the sum of all the Amounts in the category. The amount format, in EURO, foresees four decimals.
VAT amount	Shows the sum of the VAT amount in EURO of the Invoice Data. The amount format foresees four decimals. This field is shown only for Invoice data related to Participants.
Total amount	Shows the sum of all the Amounts and VAT Amounts in the category. The amount format, in EURO, foresees four decimals.

Buttons

Buttons	
Itemised Invoice Data Search	This function enables the user to search for Itemised Invoice Data.

2.6.2 Manual Corrections

2.6.2.1 Manual Corrections – Search/List screen

Context of Usage

This screen enables the authorised user to display the list of Manual Corrections or Template Corrections. The result is returned on the basis of the entered criteria in a list and it is sorted by the values of the Service, Status, Parent BIC, Party BIC, Period Start date, Period End, Correction Type date columns in ascending order (default setting).

This screen gives also the possibility to access the Invoice Data Search/List Screen.

The content of the list depends on the authorised user that is performing the query:

- Operators can see all Manual Corrections and Template Corrections performed in the selected period;
- ECB users can see all Manual Corrections and Template Corrections performed to a System Entity Invoice Data;

- CB users can see the Manual Corrections and Template Corrections for their System Entity and the ones related to their community.

Screen Access

- Billing >> Manual Correction >> Search

Privileges

To use this screen, the following Privileges [▶ 1853] are needed:

- Manual Correction List Query
- Invoice Data List Query

Screenshot

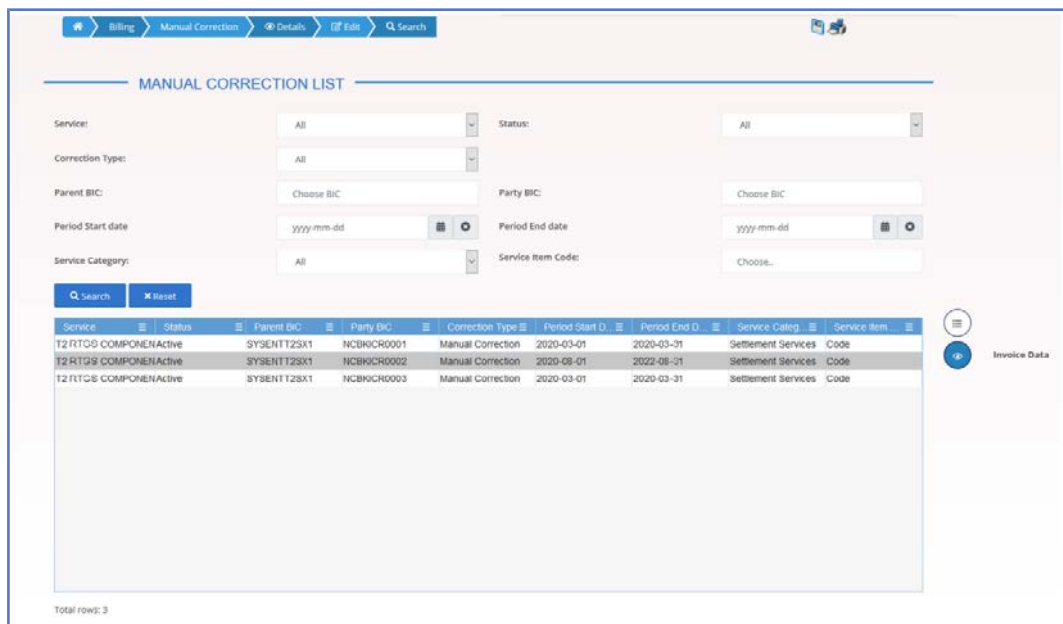


Illustration 135: Manual Correction – search/list screen

Fields

Description

Manual Correction - Search Criteria	
Service	<p>Select the Service from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> TIPS <input type="checkbox"/> T2 RTGS COMPONENT <input type="checkbox"/> ECMS <input type="checkbox"/> T2S <p>The Service “T2 RTGS COMPONENT” is meant as T2 Service.</p>
Status	<p>Select the status of the Correction from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted

Manual Correction - Search Criteria	
Correction Type	Select the Correction Type from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Template Correction <input type="checkbox"/> Manual Correction
Parent BIC	Enter or select the Parent BIC of the Party the Correction refers to. Required format is: max. 11 characters.
Party BIC	Enter or select the Party BIC the Correction is applied to. Required format is: max. 11 characters.
Period Start date	Enter or pick the date that defined the Start date of the relevant Billing Period for which the Correction must be searched. Required format is: Date.
Period End date	Enter or pick the date that defined the End date of the relevant Billing Period for which the Correction must be searched. It must be greater than the Period Start date but within the same month. Required format is: Date.
Service Category	Select the Service Category from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Settlement Services <input type="checkbox"/> Account management Services <input type="checkbox"/> Information Services This field is not selectable if Correction Type is Template Correction.
Service Item Code	Enter or select the code of the Service Item. This field is not selectable if Correction Type is Template Correction.

Manual Correction – List	
Service	Shows the Service of the returned Correction.
Status	Shows the Status of the Correction.

Manual Correction – List	
Parent BIC	Shows the Parent BIC of the Party the Correction is applied to.
Party BIC	Shows the Party BIC of the Party the Correction is applied to.
Correction Type	Shows the Correction Type.
Period Start Date	Shows the start date of the Billing Period the Correction refers to.
Period End Date	Shows the end date of the Billing Period the Correction refers to
Service Category	Shows the Service Item Category. This field is not filled in if Correction Type is Manual Correction.
Service Item Code	Shows the code of the Service Item. This field is not filled in if Correction Type is Manual Correction.

Buttons

Buttons	
Search	This function enables the user to start a search according to the criteria entered. The results are displayed in a list on the same screen.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
Invoice Data	This function enables the user to access the Invoice Data – search/list screen.

2.6.2.2 Manual Correction – Details screen

Context of Usage

This screen enables the authorised user to display the details and status of the Manual Corrections and the Template Corrections applied to a previously selected Invoice Data. The details of the selected Invoice Data and Template Correction are shown on top of the page. The Manual Corrections are displayed in a list sorted by the values of the Status, Name, Service Category, Service Item Code columns in ascending order (default setting).

This screen gives also the possibility to create, edit or delete Manual Corrections and Template Correction for the selected Invoice Data.

Screen Access

- Billing >> Invoice Data >> *Search/List* screen >> Click on *Manual Corrections* button

□ Billing >> Invoice Data >> *Details* screen >> Click on *Manual Corrections* button

Privileges

To use this screen, the following Privileges [▶ 1853] are needed:

- Manual Correction Details Query
- Manage Manual Correction

Screenshot

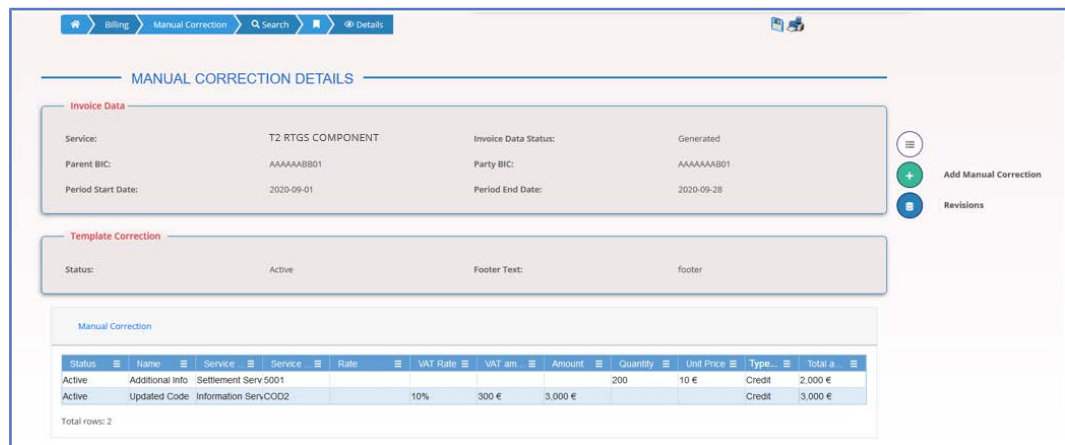


Illustration 136: Manual Correction – Details screen

Fields

Description

Invoice Data	
Service	Shows the Service of the Invoice Data the Manual Correction is applied to.
Invoice Data Status	Shows the Status of the Invoice Data the Manual Correction is applied to.
Parent BIC	Shows the Parent BIC of the Party related to the Invoice Data the Manual Correction is applied to.
Party BIC	Shows the Party BIC of the Party related to the Invoice Data the Manual Correction is applied to.
Period Start Date	Shows the start date of the Billing Period the Invoice Data refers to.
Period End Date	Shows the end date of the Billing Period the Invoice Data refers to.
Party BIC	Shows the Party BIC of the Party related to the Invoice Data the Manual Correction is applied to.
Period Start Date	Shows the start date of the Billing Period the Invoice Data refers to.
Period End Date	Shows the end date of the Billing Period the Invoice Data refers to.

Template Correction	
Status	Shows the Status of the Template Correction.
Footer Text	Shows the Footer text included in the PDF invoice.

Manual Correction	
Status	Shows the Status of the Manual Correction.
Name	Shows the description of the Manual Correction.
Service Category	Shows the Service Item Category.
Service Item Code	Shows the code of the Service Item
Rate	Shows the percentage of correction applicable to the Amount.
VAT Rate	Shows the applied percentage of VAT.
VAT Amount	Shows the VAT Amount computed for the Manual Correction.
Amount	Shows the Amount of the correction applied on the Service Item.
Quantity	Shows the consumption related to the selected Service item.
Unit Price	Shows the Unit Price applied to the selected Quantity.
Type of correction (Credit/Debit)	Shows whether the performed Manual Correction has to be debited or to be credited to the participant.
Total Amount	Shows the total amount of the Manual Correction.

Buttons

Buttons	
Add Manual Correction	This function enables the user to create or edit a Manual Correction for the selected Invoice Data. If the status of the selected Invoice Data is set to “Included in In-voice” this function is not available.
Revisions	This function enables the user to display the revisions list of the selected Manual Correction.

2.6.2.3 Manual Correction – New/Edit screen

Context of Usage

The screen “Manual Correction – New/Edit” enables the authorised user to perform Manual Correction on Invoice Data.

Manual and Template Correction are allowed only if the relevant invoice has not been created yet, or if it has been already cancelled.

This screen is relevant for ECB, CB users and Service Operator. The user can enter new data or edit existing data. Afterwards, the user can proceed further by clicking on the buttons below.

ECB users can insert Invoice manual corrections only for System Entity Invoices (which could be propagated to Participant invoices), while the CB users can manually correct only the Invoice Data of their Participants.

**Screen
Access**

- Billing >> Manual Correction >> *Manual Correction Details* screen >> Click on *Add Manual Correction* button

Privileges

To use this screen, the following Privileges [[▶ 1853](#)] are needed:

- Manage Manual Correction

Screenshot

Illustration 137: Manual Correction – New/Edit screen

Fields
Description

Invoice Data	
Service	Shows the Service of the Invoice Data the Manual Correction is applied to. The field is read-only.
Invoice Data Status	Shows the Status of the Invoice Data the Manual Correction is applied to.

Invoice Data	
	The field is read-only.
Parent BIC	Shows the Parent BIC of the Party related to the Invoice Data the Manual Correction is applied to. The field is read-only.
Party BIC	Shows the Party BIC of the Party related to the Invoice Data the Manual Correction is applied to. The field is read-only.
Period Start Date	Shows the start date of the Billing Period the Invoice Data refers to. The field is read-only.
Period End Date	Shows the end date of the Billing Period the Invoice Data refers to. The field is read-only.

Template Correction	
Status	Shows the status of the corresponding Template Correction if present.
Footer Text	Shows the Footer text of the corresponding Template Correction if present.
Add/Delete Template Correction	
Footer Text	Enter the Footer text to be included in the PDF invoice, in substitution to the one configured in CRDM. Required format is: max 1000x characters.

Manual Correction	
Status	Shows the status of the corresponding Manual Correction if present.
Name	Shows the description of the Manual Correction.
Service Category	Shows the Service Item Category.
Service Item Code	Shows the code of the Service Item
Rate	Shows the percentage of correction applicable to the Amount.
VAT Rate	Shows the applied percentage of VAT.
VAT Amount	Shows the new Computed VAT Amount.

Manual Correction	
Amount	Shows the Amount of the correction applied on the Service Item.
Quantity	Shows the consumption related to the selected Service item.
Unit Price	Shows the Unit Price applicable to the selected Quantity.
Type of correction (Credit/Debit)	Shows whether the performed Manual Correction has to be debited or to be credited to the participant.
Total Amount	Shows the total amount of the Manual Correction.
Add/Delete Manual Correction	
Name	Enter the description of the Manual Correction. This field is mandatory in create mode. Required format is: max. 35x characters. Reference for error message: [▶ 2166] <input type="checkbox"/> DBC5003
Service Category	Select the Service Category from the possible values: <input type="checkbox"/> Settlement Services <input type="checkbox"/> Account management Services <input type="checkbox"/> Information Services This field is mandatory in create mode.
Service Item Code	Enter a new Code or select the code of the Service Item from the list of the already existing Service Item Codes. This field is mandatory in create mode. Required format is: max. 4x characters. Reference for error message: [▶ 2166] <input type="checkbox"/> DBC5001 <input type="checkbox"/> DBC5003
Rate	Enter the percentage of correction applicable to the Amount. This field is not allowed if an already existing Service Item Code is selected. Required format is: Percentage.
VAT Rate	Enter the percentage of VAT rate to be applied. This field is not allowed if an already existing Service Item Code is selected.

Manual Correction	
	Required format is: Percentage.
VAT Amount	<p>Shows the Computed VAT Amount.</p> <p>This field is read only.</p> <p>It is equal to Amount times the VAT Rate defined for the selected Service Item if an already existing Service Item Code is selected.</p> <p>It is equal to Amount times the VAT Rate if a new Service Item Code is entered.</p> <p>Displayed format is: amount with four decimals.</p>
Amount	<p>Enter the Amount of the correction to be applied on the Service Item.</p> <p>Only one among Amount and Quantity fields must be defined.</p> <p>Required format is: amount with four decimals.</p>
Quantity	<p>Enter the consumption related to the selected Service item.</p> <p>Only one among Amount and Quantity fields must be defined.</p> <p>Required format is: Integer.</p> <p>Reference for error message: [▶ 2166]</p> <p><input type="checkbox"/> DBC5001</p>
Unit Price	<p>Enter the Unit Price applicable to the selected Quantity.</p> <p>This field is allowed only if Quantity is filled in.</p> <p>Required format is: amount with four decimals.</p> <p>Reference for error message: [▶ 2166]</p> <p><input type="checkbox"/> DBC5001</p>
Type of correction (Credit/Debit)	<p>Select whether the performed Manual Correction has to be debited or to be credited to the participant.</p> <p>Possible values:</p> <p><input type="checkbox"/> Credit</p> <p><input type="checkbox"/> Debit</p> <p>This field is mandatory in create mode.</p>
Total Amount	<p>Shows the total amount of the Manual Correction.</p> <p>It is equal to Amount if Rate is not filled in.</p>

Manual Correction	
	<p>It is equal to the Rate percentage of Amount if both are filled in.</p> <p>It is blank if only Quantity is filled in.</p> <p>It is equal to Quantity times Unit Price if both are filled in.</p> <p>The field is read-only.</p> <p>Displayed format is: amount with two decimals.</p>
Propagation	
Filter BIC	<p>Enter the BIC of the party to filter the 'Parties' to be selected for Manual Correction Propagation.</p> <p>Required format is: max. 11x characters.</p> <p>This field is available only for ECB users.</p>
Parent BIC	<p>Shows the Parent BIC of the party (i.e. the Party BIC of the Party related to the Invoice Data).</p> <p>This field is available only for ECB users.</p>
Party BIC	<p>Shows the Party BIC of the party.</p> <p>Select one or more BIC to link the Manual Correction to the Invoice Data of the selected Participant.</p> <p>The list contains the Party BIC of the Participants belonging to the datascope of the party to be charged related to the Invoice Data.</p> <p>This field is available only for ECB users.</p> <p>Reference for error message: [▶ 2166]</p> <ul style="list-style-type: none"> <input type="checkbox"/> DBC5005 <input type="checkbox"/> DBC5006 <input type="checkbox"/> DBC5007
<input type="checkbox"/> Manual Correction	<p><input type="checkbox"/> Select the couple Manual Correction Name, Service Item Code from the existing Manual Corrections defined for the selected Invoice Data.</p> <p><input type="checkbox"/> This field is mandatory.</p> <p><input type="checkbox"/> This field is available only for ECB users.</p> <p>Reference for error message: [▶ 2166]</p> <ul style="list-style-type: none"> <input type="checkbox"/> DBC5005 <input type="checkbox"/> DBC5006 <input type="checkbox"/> DBC5007

Buttons

<ul style="list-style-type: none"> <input type="checkbox"/> Submit Row 	<ul style="list-style-type: none"> <input type="checkbox"/> This function enables the user to add or update an item in the related list using the values inserted in the related fields. Reference for error message: [▶ 2166] <input type="checkbox"/> DBC5001 <input type="checkbox"/> DBC5002 <input type="checkbox"/> DBC5003 <input type="checkbox"/> DBC5004 <input type="checkbox"/> DBC5005 <input type="checkbox"/> DBC5006 <input type="checkbox"/> DBC5007
<ul style="list-style-type: none"> <input type="checkbox"/> Delete Row 	<ul style="list-style-type: none"> <input type="checkbox"/> This function enables the user to remove the selected item from the related list. <input type="checkbox"/> If the status of the selected Manual Correction is already set to 'Deleted', this function is not available.
<ul style="list-style-type: none"> <input type="checkbox"/> Reset 	<ul style="list-style-type: none"> <input type="checkbox"/> This function enables the user to set all fields to default value and blanks out all optional fields.
<ul style="list-style-type: none"> <input type="checkbox"/> Cancel 	<ul style="list-style-type: none"> <input type="checkbox"/> This function enables the user to cancel the process and return to the previous screen.

2.6.3 PDF Invoice

2.6.3.1 PDF Invoice – Search/List screen

Context of Usage

This screen enables the authorised user to display the list of Invoices that have been created for each billing period. The result is returned on the basis of the entered criteria in a list, which is sorted by the values of the Service, Parent BIC, Party BIC, Invoice Number, Due Date columns in ascending order (default setting).

The content of the list depends on the authorised user that is performing the query:

- Operators can see all Invoices;
- ECB users can see all the System Entity Invoices (identified by a CB or CSD Party BIC);

- CB users can see their own Invoice of the System Entity (identified by a CB Party BIC) and all the Participant Invoices (identified by Participants Party BICs) related to their community;
- CSD users can see their own Invoice of the System Entity (identified by a CSD Party BIC);
- Participants users can see their own Participant Invoices (identified by Participants Party BICs).

This screen gives also the possibility to view and download more than one PDF Invoice at the same time by means of a compressed file containing all the desired Invoices.

Screen Access

- Billing >> PDF Invoice >> Search

Privileges

- To use this screen, the following Privileges [▶ 1854] are needed:
- Manage Billing Data

Screenshot

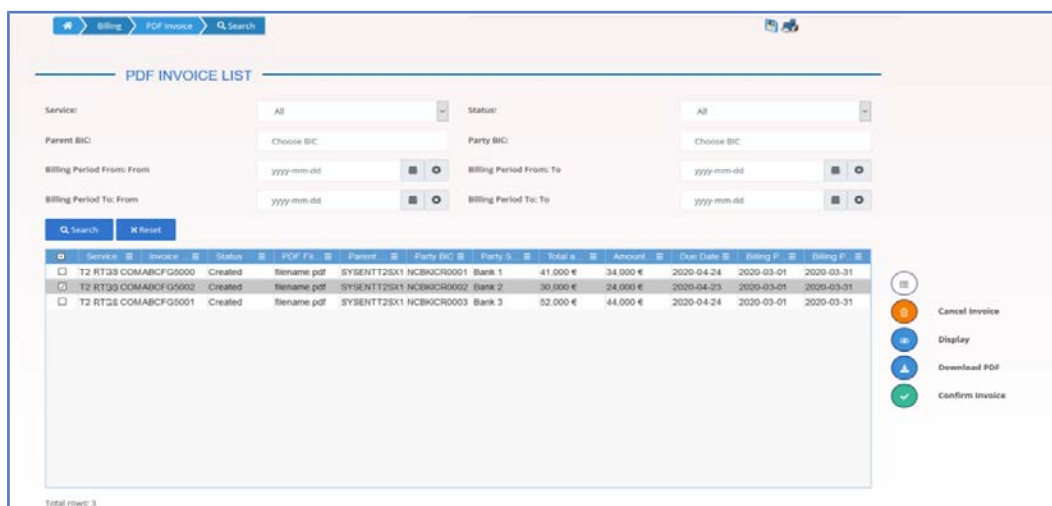


Illustration 138: PDF Invoice– search/list screen

Fields Description

PDF Invoice - Search Criteria	
Service	Select the Service from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> TIPS <input type="checkbox"/> T2 RTGS COMPONENT <input type="checkbox"/> ECMS <input type="checkbox"/> T2S The Service “T2 RTGS COMPONENT” is meant as T2 Service.
Status	Select the Status of the Invoice from the possible values:

PDF Invoice - Search Criteria	
	<input type="checkbox"/> All (default value) <input type="checkbox"/> Sent <input type="checkbox"/> Cancelled <input type="checkbox"/> Payment sent
Parent BIC	Enter or select the Parent BIC of the Party to be charged. Required format is: max. 11 characters (SWIFT-x).
Party BIC	Enter or select the Party BIC of the Party to be charged. Required format is: max. 11 characters (SWIFT-x).
Billing Period From: From	Enter or pick the lower bound of the Start date of the relevant Billing Period for which the Invoice must be searched. Required format is: Date.
Billing Period From: To	Enter or pick the upper bound of the Start date of the relevant Billing Period for which the Invoice must be searched. It must be greater than the "Billing Period From: From". Required format is: Date.
Billing Period To: From	Enter or pick the lower bound of the End date of the relevant Billing Period for which the Invoice must be searched. Required format is: Date.
Billing Period To: To	Enter or pick the upper bound of the End date of the relevant Billing Period for which the Invoice must be searched. It must be greater than the "Billing Period To: From". Required format is: Date.

PDF Invoice - List	
Service	Shows the Service of the returned Invoice.
Invoice Number	Shows the identification of the Invoice.
Status	Shows the Status of the returned Invoice.
PDF File Name	Shows the File Name of the PDF containing the returned Invoice.
Parent BIC	Shows the Parent BIC of the Party to be charged in the specified Billing Period.
Party BIC	Shows the Party BIC of the Party to be charged in the specified Billing Period.

PDF Invoice - List	
Party Short Name	Shows the Short name of the Party to be charged.
Total Amount	Shows the Total Amount (VAT included) of the returned Invoice.
Amount without VAT	Shows the Total Amount (no VAT included) of the returned Invoice.
Due Date	Shows the Due Date of the returned Invoice.
Billing Period From	Shows the start date of the Billing Period.
Billing Period To	Shows the end date of the Billing Period.

Buttons

Search	This function enables the user to start a search according to the criteria entered. The results are displayed in a list on the same screen.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
Display	This button allows to display the selected Invoice in PDF format.
Download PDF	This button allows to download one or more than one PDF Invoices, after selecting them.
Confirm Invoice	<p>This button allows to change the status of the selected Invoice from “Created” to “Sent”.</p> <p>If the status of the selected Invoice is already set to “Cancelled”, “Sent” or “Payment sent”, this function is not available.</p> <p>Only the Service Operator can Confirm an Invoice.</p>
Cancel Invoice	<p>This button allows to change the status of the selected Invoice from “Created” or “Sent” to “Cancelled”.</p> <p>If the status of the selected Invoice is already set to “Cancelled” or “Payment sent”, this function is not available.</p> <p>Only the Service Operator can Cancel an Invoice.</p>

2.6.4 Services

2.6.4.1 Outbound Messages - Search/List screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for subscribed outbound messages and those sent compulsory. The search result will be displayed in a list, which is sorted by the values of the 'Delivery Date and Time' column in descending order (default setting). Only messages subscribed via message subscription rules and those messages compulsory sent will be displayed. After selecting an entry, you can proceed further by clicking on the buttons below. Users can see Outbound Messages under their datascopes.

There is no usage restriction for this screen.

Screen Access

- Billing >> Services >> Outbound Messages >> Search

Privileges

To use this screen, the following Privileges are needed:

- Outbound Message List Query
- Outbound Message Details Query

Screenshot

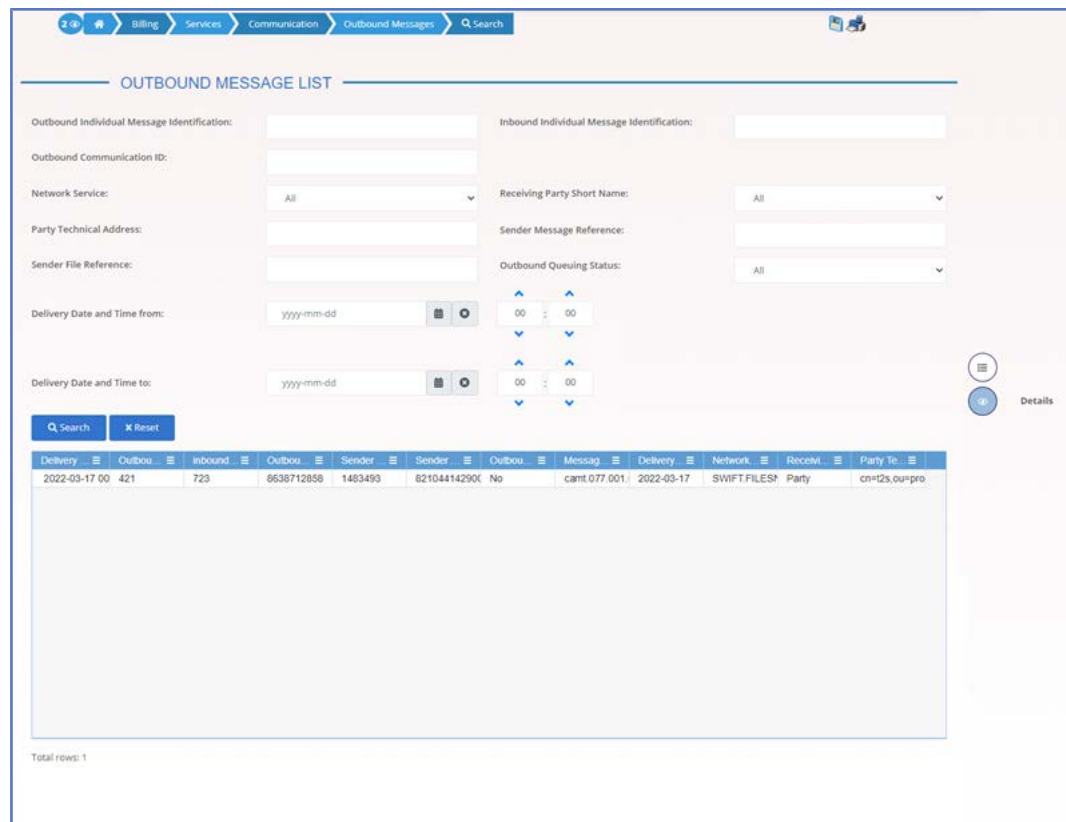


Illustration 139: Outbound Messages – search/list screen

Fields	Outbound Message – Search Criteria	
Description	Outbound Individual Message Identification	Enter the identification of the outbound individual message. Required format is: max. 35x characters.
	Outbound Communication ID (Service Operator only)	Enter the technical ID outbound communication. Required format is: max. 16x characters.
	Network Service	Select the name of the network service.
	Receiving Party Short Name	Enter or select the short name of the receiving party. Required format is: max. 35x characters.
	Party Technical Address	Enter the technical address (i.e. distinguished name) of the receiving party. Required format is: max. 256 characters (UTF-8 except '<'; '>'; '&')
	Sender Message Reference	Enter the identification of the message as assigned by the message sender. Required format is: max. 35x characters.
	Outbound Queuing Status	Select the outbound queuing status of the message from the possible values: <input type="checkbox"/> True <input type="checkbox"/> False <input type="checkbox"/> All (default value)
	Delivery Date and Time from	Enter or pick the lower bound of the search range for the calendar date and time of the system entry of the outbound message. The 'Delivery Date and Time from' date and time must be a valid calendar date/time earlier than the 'Delivery Date and Time to' date and time. This field is mandatory. Required format is: YYYY-MM-DD hh:mm:ss
	Delivery Date and Time to	Enter or pick the upper bound of the search range for the calendar date and time of the system entry of the outbound message. The 'Delivery Date and Time to' date and time must be a valid calendar date/time later than the 'Delivery Date and Time from' date and time.

Outbound Message – Search Criteria	
	This field is mandatory. Required format is: YYYY-MM-DD hh:mm:ss
Outbound Messages - List	
Delivery Timestamp	Shows the date and time when the message was delivered.
Outbound Individual Message Identification	Shows the identification of the outbound individual message.
Outbound Communication ID (Service Operator only)	Shows the technical identification of the outbound communication.
Sender Message Reference	Shows the identification of the outbound message as assigned by the message sender.
Outbound Queuing Status	Shows the outbound queuing status of the message.
Message Type	Shows the ISO 20022 request type of the message.
Delivery Business Date	Shows the business date when the related service started the delivery of the message.
Network Service	Shows the name of the network service.
Receiving Party Short Name	Shows the short name of the receiving party.
Party Technical Address - Sender	Shows the technical address (i.e. distinguished name) of the receiving party.

Buttons

Buttons	
Search	This function enables the User to start a search according to the criteria entered. The results are displayed in a list on the same screen.
Reset	This function enables the User to reset the selected outbound message.
Related Inbound Message	This function enables the User to display the inbound messages related to the selected outbound message.
Details	This function enables the User to display the details of the selected outbound message.

2.6.4.2 Outbound Messages - Details screen

Context of Usage	<p>This screen displays detailed information on the selected outbound message. Only messages subscribed via message subscription rules and those messages compulsory sent will be displayed. You can check the data and proceed further by clicking on the buttons below.</p> <p>There is no usage restriction for this screen.</p>
Screen Access	<ul style="list-style-type: none"><input type="checkbox"/> Billing >> Services >> Outbound Messages >> Outbound Messages – Search/List screen >> Click on the Details button<input type="checkbox"/> Billing >> Services >> Inbound Messages >> Inbound Messages – Search/List screen >> click on the Related Outbound Messages button<input type="checkbox"/> Billing >> Services >> Inbound Messages >> Inbound Messages – Search/List screen >> click on the Search and/or Details button >> Inbound Message – Details screen >> click on the Related Outbound Messages button
Privileges	<p>To use this screen, the following Privileges are needed:</p> <ul style="list-style-type: none"><input type="checkbox"/> Outbound Message Details Query
Screenshot	

OUTBOUND MESSAGE DETAILS

Delivery Timestamp:	2022-11-14 09:00	Outbound Individual Message Identification:	8001432045
Inbound Individual Message Identification:		Outbound Communication Id:	2211140900099580.5kCzpoibQDm3iojkdU8LcQ
Sender Message Reference:	LNAWFMRRFRIORQGA	Sender File Reference:	
Outbound Queuing Status:	False	Message Type:	camt.077.001.01
Delivery Business Date:	2022-11-11	Network Service:	T2SBILL.SWIFT.MSGRT
Receiving Party Short Name:	CSD of CBIT	Party Technical Address:	BILLTPTA

Message Information

```

Message Information:
<Response>
<AppHdr xmlns="urn:iso:std:iso:2002:tech:sd:head:001.001.01">
  <Fr>
    <Fld>
      <FinInstnd>
        <BICFI>TCSOTCS0XXX</BICFI>
        <Othr>
          <id>CSDAHUHBXXX</id>
        </Othr>
      </FinInstnd>
    </Fld>
  </Fr>
  <To>
    <Fld>
      <FinInstnd>
        <BICFI>CSDAHUHBXXX</BICFI>
        <Othr>
          <id>TCSOTCS0XXX</id>
        </Othr>
      </FinInstnd>
    </Fld>
  </To>
  <BizMsgId>LNAWFMRRFRIORQGA</BizMsgId>
  <MsgDefId>camt.077.001.01</MsgDefId>
  <CreDt>2022-11-11T11:23:37Z</CreDt>
</AppHdr>
<Document xmlns="urn:iso:std:iso:2002:tech:sd:DRAFT6camt.077.001.01">
  <BlgRpt>
    <MsgHdr>
      <MsgId>NONREF</MsgId>
      <ReqTp>
        <Prtry>
          <id>TEM</id>
        </Prtry>
      </ReqTp>
      <OrgnBizQry>
        <MsgId>hucl-invoice-27-31-10-2022</MsgId>
      </OrgnBizQry>
    </MsgHdr>
    <BlgRptOrErr>
      <BlgRpt>
        <RgltryData>
          <Invcr>
            <PstlAdr>
              <StrtNm>Main</StrtNm>
              <BldgNb>123</BldgNb>
              <PstCd>3210</PstCd>
              <TwnNm>Frankfurt</TwnNm>
            </PstlAdr>
          </Invcr>
        </RgltryData>
      </BlgRpt>
    </BlgRptOrErr>
  </Document>
  
```

Illustration 140: Outbound Messages – details screen

Fields	Outbound Message – Details screen	
Description	Delivery Timestamp	Shows the calendar date and time when the outbound message was delivered in the related service.
	Outbound Individual Message Identification	Shows the technical identification of the outbound individual message.
	Outbound Communication ID (Service Operator only)	Shows the technical ID of the outbound communication.

Outbound Message – Details screen	
Sender Message Reference	Shows the identification of the outbound message as assigned by the message sender.
Outbound Queuing Status	Shows the outbound queuing status of the message.
Network Service	Shows the name of the network service from the drop-down menu.
Delivery Business Date	Shows the business date when the related service started the delivery of the message.
Receiving Party Short Name	Shows the short name of the receiving party from the dropdown menu.
Party Technical Address	Shows the technical address (i.e. distinguished name) of the receiving party.
Message Information	
Message Information	<p>Shows detailed information of the request.</p> <p>The content of the message is only displayed in case of small messages. Bigger messages can be displayed via the separate message export icon.</p> <p>Pressing this icon exports the message based on the xml document. In addition, root elements are present at the beginning and the end of the exported document. On top of the export, additional information about 'Time', 'User' and 'Screen of export' is available for documentation purpose.</p>

2.7 Business Day Management

2.7.1 Settlement Day

2.7.1.1 Settlement Day - Search/List Screen

Context of Usage

This screen enables the user to display a list of Schedule Events of the Current Day Schedule of the specific service matching the entered criteria. The screen allows seeing the Scheduled Events of more than one service at the same time. When returning the list of Scheduled Events, the Service Operator is allowed to see the processes of a selected event, to edit or to force the completion of a selected Scheduled Event or of all Events in the same Chain of the selected Event. When entering, the screen shows the status of the current business day for each Service.

Screen Access Business Day Management >> Settlement Day >> Settlement Day >> Search

Privileges To use this screen, the following Privileges [1900] are needed:

- T2S diary query
- Current status of the T2S settlement day

Screenshot

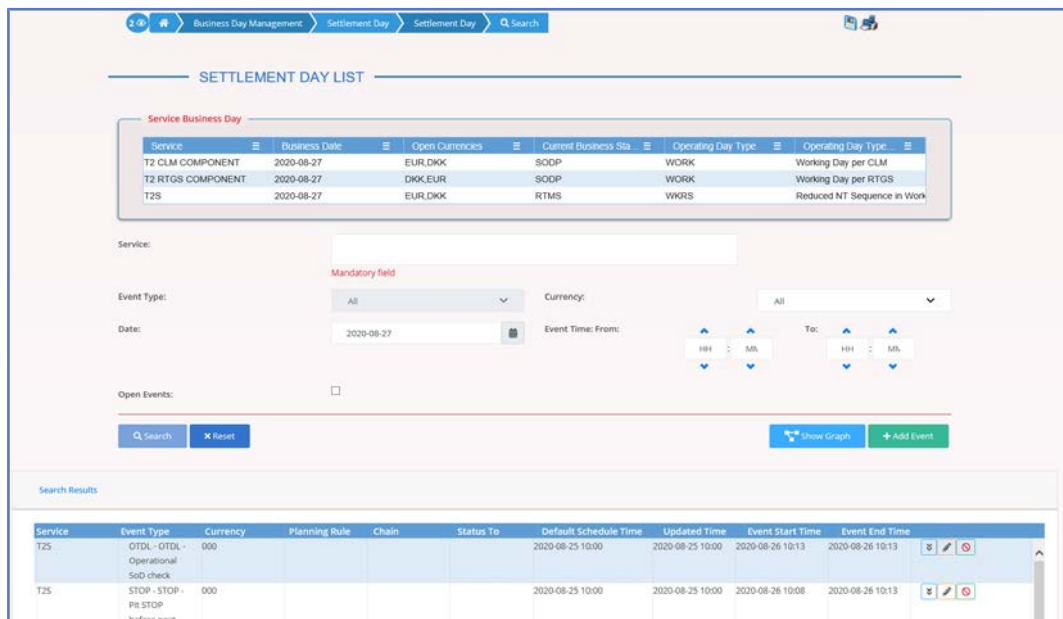


Illustration 141: Settlement Day – search/list screen

Fields Description

Service Business Days	
Service	Shows the description of the Business Day related Service.
Business date	Shows the date of the Service business day.
Open currencies	Shows the currencies open for settlement.
Current Business Status	Shows the current Service business status.

Settlement Day – Search Criteria	
Service	Select the list of services the user can see. It must be possible to select one or more Services. This field is mandatory.
Event Type	It contains the list of event descriptions of the selected service(s) defined in the system plus the item “All”. References for error messages [<input type="checkbox"/> 2401]:

Settlement Day – Search Criteria	
	<input type="checkbox"/> OSAC003 <input type="checkbox"/> OSAC006
Currency	Select a currency, “All currencies” or “No currencies”.
Date	Enter or select the date of the Events to be searched. Required format is: Date.
Event time: from	Enter the event time as lower bound of the search. Required format is:Time.
Event time: to	Enter the event time as upper bound of the search. Required format is: Tme.

Settlement Day – List	
Service	Shows the service of the returned item.
Event Type	Shows the event type description of the returned item.
Currency	Shows the currency code, if any, for which the returned item is configured.
Planning Rule	Shows the planning rule of the related event.
Event Chain	Shows the chain associated to the returned item.
Status to	Shows the new status of the service at the moment the returned item is triggered.
Default Schedule Time	Shows the default schedule time of the returned item.
Updated Time	Shows the possible updated time of the returned item.
Event Start Time	Shows the start time of the returned item.
Event End Time	Shows the end time of the returned item.

Buttons

Search	This function enables the user to start a search according to the filled in criteria. References for error messages [▶ 2401]: <input type="checkbox"/> OSAC003 <input type="checkbox"/> OSAC005 <input type="checkbox"/> OSAC006
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.

2.7.2 Calendar

2.7.2.1 Calendar - Details Screen

Context of Usage

The screen enables the user to consult the operative calendars of Target Services, specifying opening and closing days.

The system shows days, highlighted in a different colour, when the general rule has exception for specific currencies and permits the user to look at these exceptions. Week-ends are always closing days.

Default opening and closing days are defined by the system. Exception to the standard opening and closing days are defined by the Closing Days function.

Screen Access

Business Day Management >> Calendar >> Calendar

Privileges

To use this screen, the following Privileges [**1918**] are needed:

T2S calendar query

Screenshot

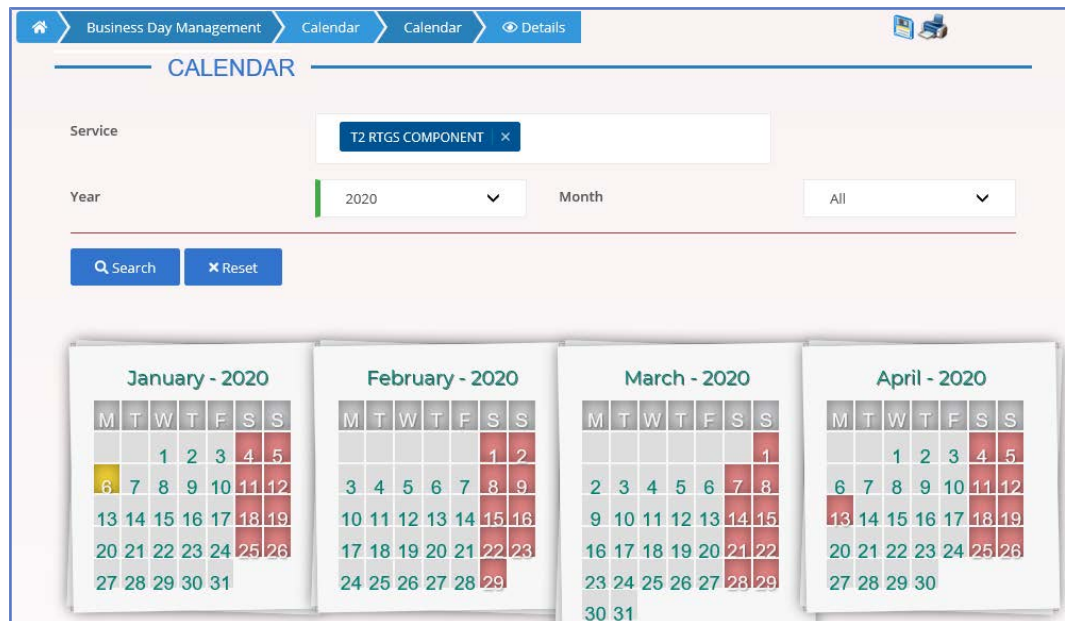


Illustration 142: Calendar - details screen

Fields

Description

Calendar – Search Criteria	
Service	Select the Calendar related Service.
Year	Select the year to display from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Previous year <input type="checkbox"/> Current year (default value) <input type="checkbox"/> Following year
Month	Select the month to display from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> All (default value)

Calendar – Search Criteria	
	<input type="checkbox"/> January
	<input type="checkbox"/> February
	<input type="checkbox"/> March
	<input type="checkbox"/> April
	<input type="checkbox"/> May
	<input type="checkbox"/> June
	<input type="checkbox"/> July
	<input type="checkbox"/> August
	<input type="checkbox"/> September
	<input type="checkbox"/> October
	<input type="checkbox"/> November
	<input type="checkbox"/> December

Calendar	
Month Name	Shows the name of the month and the referenced year.
Day	Shows the days of the month.
Description	Shows the opening and closing days or the days with exceptions for specific currencies. The days are marked with colours depending on their content: <ul style="list-style-type: none"> <input type="checkbox"/> Opening days are marked green <input type="checkbox"/> Closing days are marked red <input type="checkbox"/> Currency exceptions are marked yellow Further information is available via 'mouse-over', i.e. the list of currency exceptions for a specific day and the closure reasons.
Currency	Shows the currencies which are not available for cash settlement for the Services on the selected day.
Closure Reason	Shows the reason why a service is closed on the selected day.

Buttons

Search	This function enables the user to start a search according to the filled in criteria.

Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
-------	---

~~2.8 Common Reference Data Management~~

~~2.8.1 T2S~~

~~2.8.1.1 Parties~~

~~2.8.1.1.1 Eligible Counterpart CSDs Search/List Screen~~

Context of Usage

~~This screen enables the user to display a list of Eligible counterpart CSDs matching the entered criteria. The search results is displayed in a list, which is sorted by the values of the 'Valid from' column in ascending order (default setting). After selecting an entry, the user can proceed further by clicking on the buttons below.~~

~~This function is only available in U2A mode.~~

~~This screen is not relevant for NCB, CSD participant and Payment Bank users.~~

Screen Access

~~T2S >> Parties >> Eligible Counterpart CSDs >> Search~~

Privileges

~~To use this screen, the following Privileges are needed:~~

- ~~Eligible Counterpart CSD list query~~
- ~~Delete Eligible Counterpart CSD link~~

Screenshot

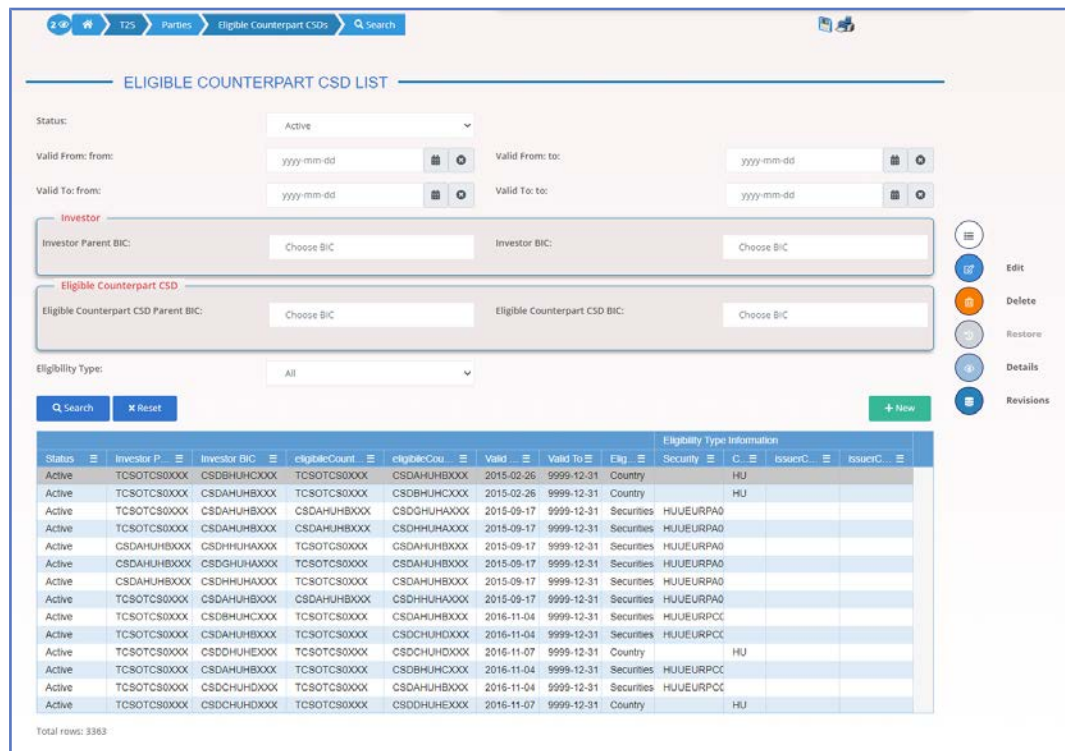


Illustration 143: Eligible Counterpart CSDs – search/list screen {Split book approach}

**Fields
Description**

Eligible Counterpart CSDs – Search Criteria	
Status	<p>Select the status of the Eligible Counterpart CSD from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All <input checked="" type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted
Valid from from	<p>Enter or pick the lower bound of the search range for the date from which the Eligible Counterpart CSD is valid.</p> <p>The lower bound of the search range must be earlier than the upper bound.</p> <p>Required format is: Date.</p>
Valid from to	<p>Enter or pick the upper bound of the search range for the date from which the Eligible Counterpart CSD is valid.</p> <p>The upper bound of the search range must be later than the lower bound.</p> <p>Required format is: Date.</p>

Eligible Counterpart CSDs – Search Criteria	
Valid to from	<p>Enter or pick the lower bound of the search range for the date until which the Eligible Counterpart CSD is valid.</p> <p>The lower bound of the search range must be earlier than the upper bound.</p> <p>Required format is: Date.</p>
Valid to to	<p>Enter or pick the upper bound of the search range for the date until which the Eligible Counterpart CSD is valid.</p> <p>The upper bound of the search range must be later than the lower bound and later than the lower bound of the 'Valid From' date.</p> <p>Required format is: Date.</p>
Investor Parent BIC	<p>Enter or select the Parent BIC of the investor CSD.</p> <p>Required format is: max. 11x characters.</p>
Investor BIC	<p>Enter or select the BIC of the investor CSD.</p> <p>Required format is: max. 11x characters.</p>
Eligible Counterpart CSD Parent BIC	<p>Enter or select the Parent BIC of the eligible counterpart CSD.</p> <p>Required format is: max. 11x characters.</p>
Eligible Counterpart CSD BIC	<p>Enter or select the BIC of the eligible counterpart CSD.</p> <p>Required format is: max. 11x characters.</p>
Eligibility Type	<p>Select the Eligibility Type from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> Securities <input type="checkbox"/> Issuer CSD <input type="checkbox"/> Country
ISIN	<p>Enter or select the ISIN of the security.</p> <p>This field is enabled only if the Eligibility Type is set to "Securities". It is disabled otherwise.</p> <p>Required format: max 12x characters.</p>
Eligible Counterpart CSDs – List	
Status	Shows the status of the Eligible Counterpart CSD.

Eligible Counterpart CSDs – List	
	Reference for error message: <input type="checkbox"/> DPD2001 <input type="checkbox"/> DPD2003 <input type="checkbox"/> DPD2012
Valid From	Shows the date from which the Eligible Counterpart CSD is valid. Reference for error message: <input type="checkbox"/> DPD2003 <input type="checkbox"/> DPD2110 <input type="checkbox"/> DPD2120
Valid To	Shows the date until which the Eligible Counterpart CSD is valid. Reference for error message: <input type="checkbox"/> DPD2003 <input type="checkbox"/> DPD2110 <input type="checkbox"/> DPD2120
Investor Parent BIC	Shows the Parent BIC of the investor CSD. References for error messages: <input type="checkbox"/> DPD2001 <input type="checkbox"/> DPD2070
Investor BIC	Shows the BIC of the investor CSD. Reference for error message: <input type="checkbox"/> DPD2001 <input type="checkbox"/> DPD2070
Eligible Counterpart CSD Parent BIC	Shows the Parent BIC of the Eligible Counterpart CSD. Reference for error message: <input type="checkbox"/> DPD2070
Eligible Counterpart CSD BIC	Shows the BIC of the Eligible Counterpart CSD. Reference for error message: <input type="checkbox"/> DPD2070
Eligibility Type	Shows the eligibility type.
Eligibility Type Information	Shows different information according to the eligibility type.

Eligible Counterpart CSDs – List

If the eligibility type is set to 'Security', this field shows the ISIN of the security.

If the eligibility type is set to 'Country', this field shows the country code.

If the eligibility type is set to 'Issuer CSD', this field shows the issuer CSD parent BIC and party BIC.

Reference for error message:

DPD2100

Buttons

Search	This function enables the user to start a search according to the filled in criteria.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
New	This function enables the user to create a new Eligible Counterpart CSD.
Edit	This function enables the user to edit the details of the selected Eligible Counterpart CSD. If the status of the selected Eligible Counterpart CSD is already set to 'Deleted', this function is not available.
Restore	This function enables the user to restore a previously deleted Eligible Counterpart CSD. If the status of the selected CMB Eligible Counterpart CSD is already set to 'Active' this function is not available. Reference for error message: <input type="checkbox"/> DPD2001 <input type="checkbox"/> DPD2012 <input type="checkbox"/> DPD2070 <input type="checkbox"/> DPD2071 <input type="checkbox"/> DPD2080 <input type="checkbox"/> DPD2100 <input type="checkbox"/> DPD2120
Details	This function enables the user to display the details of the selected Eligible Counterpart CSD.

	<p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DPD2001 <input type="checkbox"/> DPD2003 <input type="checkbox"/> DPD2110
Revisions	This function enables the user to display the revisions of the selected Eligible Counterpart CSD.
Delete	<p>This function enables the user to delete the selected Eligible Counterpart CSD.</p> <p>If the status of the selected Eligible Counterpart CSD is already set to 'Deleted', this function is not available. {Split book approach}</p>

~~2.8.1.1.2 Eligible Counterpart CSD – Details Screen~~

Context of Usage

~~This screen displays detailed information on the selected Eligible Counterpart CSD. Users can check the data and proceed further by clicking on the buttons below.~~

~~This function is only available in U2A mode.~~

~~This screen is not relevant for NCB, CSD participant or Payment Bank users.~~

Screen Access

- ~~T2S >> Parties >> Eligible Counterpart CSDs >> Eligible Counterpart CSDs – search/list screen >> Click on the search and/or details button~~

Privileges

~~To use this screen, the following Privileges are needed:~~

- ~~Eligible Counterpart CSD details query~~
- ~~Delete Eligible Counterpart CSD link~~

Screenshot

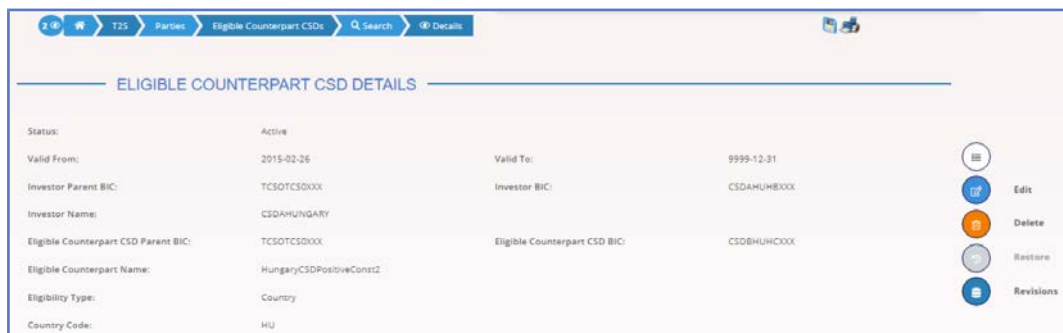


Illustration 144: Eligible Counterpart CSD – details screen {Split book approach}

Eligible Counterpart CSD	
Fields	
Description	
Status	Shows the status of the Eligible Counterpart CSD. Reference for error message: <input type="checkbox"/> DPD2001 <input type="checkbox"/> DPD2003 <input type="checkbox"/> DPD2012
Valid From	Shows the date from which the Eligible Counterpart CSD is valid. Reference for error message: <input type="checkbox"/> DPD2003 <input type="checkbox"/> DPD2110 <input type="checkbox"/> DPD2120
Valid To	Shows the date until which the Eligible Counterpart CSD is valid. Reference for error message: <input type="checkbox"/> DPD2003 <input type="checkbox"/> DPD2110 <input type="checkbox"/> DPD2120
Investor	
Investor Parent BIC	Shows the Parent BIC of the investor CSD. Reference for error message: <input type="checkbox"/> DPD2001 <input type="checkbox"/> DPD2070
Investor BIC	Shows the BIC of the investor CSD. Reference for error message: <input type="checkbox"/> DPD2001 <input type="checkbox"/> DPD2070
Investor Name	Shows the name of the investor CSD.
Eligible Counterpart CSD	
Eligible Counterpart CSD Parent BIC	Shows the Parent BIC of the Eligible Counterpart CSD. Reference for error message: <input type="checkbox"/> DPD2070
Eligible Counterpart	Shows the BIC of the Eligible Counterpart CSD. Reference for error message:

Eligible Counterpart CSD	
CSD-BIC	<input type="checkbox"/> DPD2070
Eligible Counterpart Name	Shows the name of the Eligible Counterpart CSD.
Eligibility Type	
Eligibility Type	Shows the eligibility type.
ISIN	Shows the ISIN of the security. This function is only available, if the selected eligibility type is set to 'Securities'. Reference for error message: <input type="checkbox"/> DPD2100
Securities Name	Shows the name of the security. This function is only available, if the selected eligibility type is set to 'Securities'.
Country Code	Shows the country code of the eligible securities. This function is only available, if the selected eligibility type is set to 'Country'. Reference for error message: <input type="checkbox"/> DPD2080
Issuer Parent BIC	Shows the Parent BIC of the external issuer. This function is only available, if the selected eligibility type is set to 'Issuer CSD'. Reference for error message: <input type="checkbox"/> DPD2071
Issuer BIC	Shows the BIC of the external issuer. This function is only available, if the selected eligibility type is set to 'Issuer CSD'. Reference for error message: <input type="checkbox"/> DPD2071
Issuer Name	Shows the name of the external issuer. This function is only available, if the selected eligibility type is set to 'Issuer CSD'.

Buttons

Edit	<p>This function enables the user to edit the details of the selected Eligible Counterpart CSD.</p> <p>If the status of the selected Eligible Counterpart CSD is already set to 'Deleted', this function is not available.</p>
Restore	<p>This function enables the user to restore a previously deleted Eligible Counterpart CSD.</p> <p>If the status of the selected Eligible Counterpart CSD is already set to 'Active', this function is not available.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"><input type="checkbox"/> DPD2001<input type="checkbox"/> DPD2012<input type="checkbox"/> DPD2070<input type="checkbox"/> DPD2071<input type="checkbox"/> DPD2080<input type="checkbox"/> DPD2100<input type="checkbox"/> DPD2120
Revisions	<p>This function enables the user to display the revisions of the selected Eligible Counterpart CSD.</p>
Delete	<p>This function enables the user to delete the selected Eligible Counterpart CSD.</p> <p>If the status of the selected Eligible Counterpart CSD is already set to 'Deleted', this function is not available.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"><input type="checkbox"/> DPD2001<input type="checkbox"/> DPD2003<input type="checkbox"/> DPD2110 {Split book approach }

2.8.1.1.3 Eligible Counterpart CSD — New/Edit Screen**Context of Usage**

This screen enables the user to create a new Eligible Counterpart CSD or to edit an existing one, previously selected. The screen has a slightly different behaviour depending on the function the user is submitting.

~~Eligible Counterpart CSD can only be created and updated by CSD users or the Service Operator. Users can only update Eligible Counterpart CSD entities whose Investor CSD is the CSD they belong to.~~

~~This screen is not relevant for NCB, CSD participant or Payment Bank users.~~

Screen Access

- ~~T2S >> Parties >> Eligible Counterpart CSD >> New~~
- ~~T2S >> Parties >> Eligible Counterpart CSD >> Eligible Counterpart CSDs – search/list screen >> Click on the new or edit button~~
- ~~T2S >> Parties >> Eligible Counterpart CSD >> Eligible Counterpart CSDs – search/list screen >> Click on the details button >> Eligible Counterpart CSD – details screen >> Click on the edit button~~

Privileges

~~To use this screen, the following Privileges are needed:~~

- ~~Create Eligible Counterpart CSD link~~
- ~~Update Eligible Counterpart CSD link~~

Screenshot

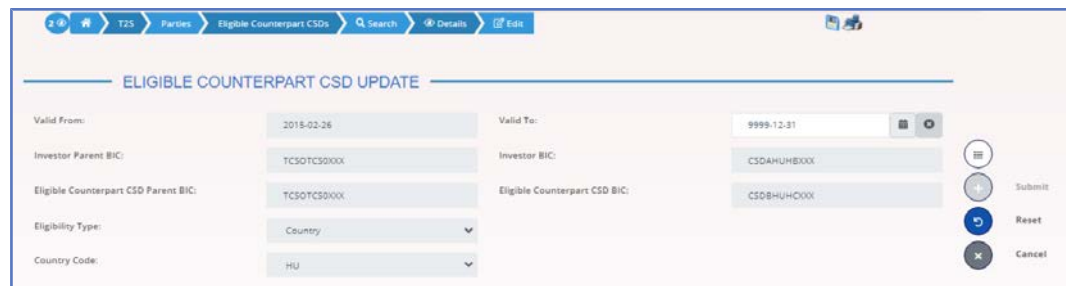


Illustration 145: ~~Eligible Counterpart CSD – new/edit screen {Split book approach}~~

Fields Description

Eligible Counterpart CSD	
Valid From	<p>Enter or pick the date from which the Eligible Counterpart CSD is valid.</p> <p>The 'Valid from' must be equal to or greater than the current business date.</p> <p>This field is mandatory in create mode.</p> <p>This field is read-only in edit mode.</p> <p>Required format is: Date.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DPC2120 <input type="checkbox"/> DPC2200 <input type="checkbox"/> DPU2200
Valid To	<p>Enter or pick the date until which the Eligible Counterpart CSD is valid.</p>

Eligible Counterpart CSD	
	<p>The 'Valid to' date must be equal to or later than the 'Valid from' date.</p> <p>Required format is: Date.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DPC2121 <input type="checkbox"/> DPC2200 <input type="checkbox"/> DPU2121 <input type="checkbox"/> DPU2200
Investor Parent BIC	<p>Enter or select the Parent BIC of the investor CSD.</p> <p>This field is mandatory in create mode.</p> <p>This field is read-only in edit mode.</p> <p>Required format is: 11x characters.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DPC2001 <input type="checkbox"/> DPC2070 <input type="checkbox"/> DPC2200 <input type="checkbox"/> DPU2001 <input type="checkbox"/> DPU2200
Investor BIC	<p>Enter or select the BIC of the investor CSD.</p> <p>This field is mandatory in create mode.</p> <p>This field is read-only in edit mode.</p> <p>Required format is: 11x characters.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DPC2001 <input type="checkbox"/> DPC2070 <input type="checkbox"/> DPC2200 <input type="checkbox"/> DPU2001 <input type="checkbox"/> DPU2200
Eligible Counterpart CSD Parent BIC	<p>Enter or select the Parent BIC of the Eligible Counterpart CSD.</p> <p>This field is mandatory in create mode.</p> <p>This field is read-only in edit mode.</p> <p>Required format is: 11x characters.</p>

Eligible Counterpart CSD	
	Reference for error message: <ul style="list-style-type: none"><input type="checkbox"/> DPC2070<input type="checkbox"/> DPC2200<input type="checkbox"/> DPU2200
Eligible Counterpart CSD-BIC	Enter or select the BIC of the Eligible Counterpart CSD: This field is mandatory in create mode. This field is read-only in edit mode. Required format is: 11x characters. Reference for error message: <ul style="list-style-type: none"><input type="checkbox"/> DPC2070<input type="checkbox"/> DPC2200<input type="checkbox"/> DPU2200
Eligibility Type	
Eligibility Type	Select the Eligibility Type from the possible values: <ul style="list-style-type: none"><input type="checkbox"/> Securities<input type="checkbox"/> Issuer CSD<input type="checkbox"/> Country This field is mandatory in create mode. This field is read-only in edit mode. Reference for error message: <ul style="list-style-type: none"><input type="checkbox"/> DPC2200<input type="checkbox"/> DPU2200
ISIN	Enter or select the ISIN of the security. In create mode, if the selected eligibility type is set to 'Securities', this field is mandatory, otherwise it is not available. This field is read-only in edit mode, if present. Required format is: 12x characters. Reference for error message: <ul style="list-style-type: none"><input type="checkbox"/> DPC2100<input type="checkbox"/> DPC2200

Eligibility Type	
	<input type="checkbox"/> DPU2200
Country Code	<p>Select the country code of the eligible securities.</p> <p>In create mode, if the selected eligibility type is set to 'Country', this field is mandatory, otherwise it is not available.</p> <p>This field is read-only in edit mode, if present.</p> <p>Reference for error message:</p> <input type="checkbox"/> DPC2080 <input type="checkbox"/> DPC2200 <input type="checkbox"/> DPU2200
Issuer Parent BIC	<p>Enter or select the Parent BIC of the issuer.</p> <p>In create mode, if the selected eligibility type is set to 'Issuer CSD', this field is mandatory, otherwise it is not available.</p> <p>This field is read-only in edit mode, if present.</p> <p>Required format is: 11x characters.</p> <p>References for error messages:</p> <input type="checkbox"/> DPC2071 <input type="checkbox"/> DPC2200 <input type="checkbox"/> DPU2200
Issuer BIC	<p>Enter or select the BIC of the issuer.</p> <p>In create mode, if the selected eligibility type is set to 'Issuer CSD', this field is mandatory, otherwise it is not available.</p> <p>This field is read-only in edit mode, if present.</p> <p>Required format is: 11x characters.</p> <p>References for error messages:</p> <input type="checkbox"/> DPC2071 <input type="checkbox"/> DPC2200 <input type="checkbox"/> DPU2200
Buttons	
Submit	<p>This function enables the user to create or edit a Eligible Counterpart CSD.</p>

	References for error messages: <ul style="list-style-type: none"> <input type="checkbox"/> DPC2001 <input type="checkbox"/> DPC2070 <input type="checkbox"/> DPC2071 <input type="checkbox"/> DPC2080 <input type="checkbox"/> DPC2100 <input type="checkbox"/> DPC2120 <input type="checkbox"/> DPC2121 <input type="checkbox"/> DPC2200 <input type="checkbox"/> DPU2001 <input type="checkbox"/> DPU2003 <input type="checkbox"/> DPU2121 <input type="checkbox"/> DPU2200
Reset	This function enables the user to set all fields to default value and blanks out all optional fields.
Cancel	This function enables the user to cancel the process and return to the previous screen. {Split book approach}

~~2.8.1.2 Securities~~

~~2.8.1.2.1 Auto-Collateralisation Eligibility Links—Search/List Screen~~

Context of Usage

~~This screen allows the user to search for Auto-Collateralisation Eligibility Links. The search results is displayed in a list, which is sorted by the values of the 'ISIN' column in ascending order (default setting). After selecting an entry, the user can proceed further by clicking on the buttons below.~~

~~Auto-collateralisation Eligibility Link can only be deleted/restored by Service Operator, NCB or Payment Bank. Users belonging to an NCB can only delete/restore Auto-collateralisation Eligibility Link for its own NCB or Parties that fall under their responsibility according to the Hierarchical Party Model. Users belonging to a Payment Bank can delete/restore only Auto-collateralisation Eligibility Link for their own Party.~~

~~This function is only available in U2A mode.~~

Screen Access **T2S >> Securities >> Auto-Collateralisation Eligibility Links >> Search**

Privileges **To use this screen, the following Privileges are needed:**

- Delete auto-collateralisation eligibility**
- Auto-Collateralisation Eligibility Links query**

Screenshot

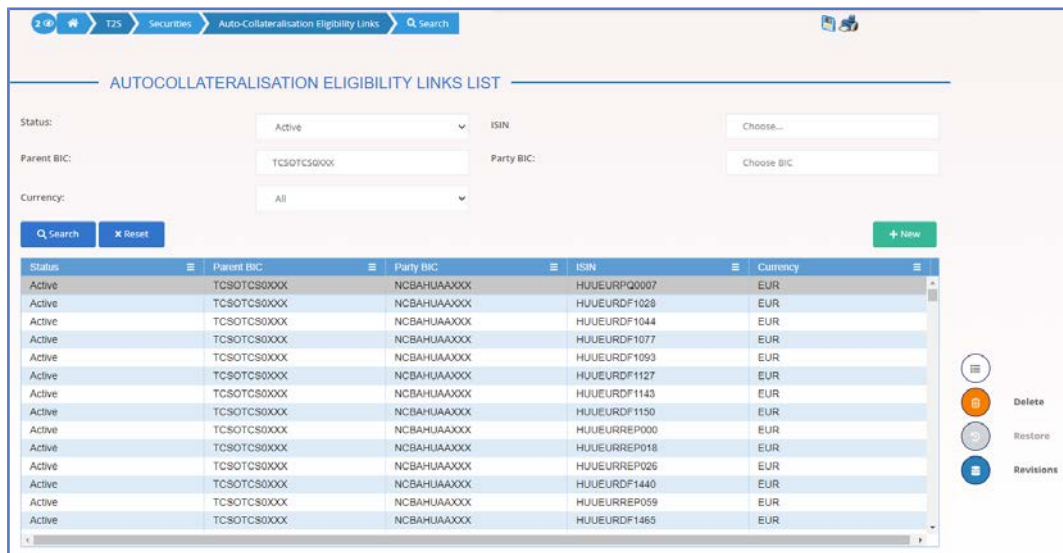


Illustration 146: Auto-Collateralisation Eligibility Links – search/list screen (Split book approach)

Fields

Description

Auto-Collateralisation Eligibility Links – Search Criteria	
Status	<p>Select the status of the Auto-Collateralisation Eligibility Links from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted
ISIN	<p>Enter or select the ISIN of the security to be searched. User has to enter at least one field among 'ISIN', 'Parent BIC', 'Party BIC' and 'Currency'.</p> <p>Required format is: max. 12x characters.</p>
Parent BIC	<p>Enter or select the parent BIC of the linked party. User has to enter at least one field among 'ISIN', 'Parent BIC', 'Party BIC' and 'Currency'.</p> <p>Required format is: max. 11x characters.</p>
Party BIC	<p>Enter or select the party BIC of the linked party. User has to enter at least one field among 'ISIN', 'Parent BIC', 'Party BIC' and 'Currency'.</p>

Auto-Collateralisation Eligibility Links – Search Criteria	
	Required format is: max. 11x characters.
Currency	Select the currency from the drop-down menu. User has to enter at least one field among ‘ISIN’, ‘Parent BIC’, ‘Party BIC’ and ‘Currency’. The default value is ‘All’.

Auto-Collateralisation Eligibility Links – List	
Status	Shows the status of the Auto-Collateralisation Eligibility Link. References for error messages: <input type="checkbox"/> DSD5002 <input type="checkbox"/> DSD5006
ISIN	Shows the ISIN of the security. References for error messages: <input type="checkbox"/> DSD5004 <input type="checkbox"/> DSD5005
Parent BIC	Shows the parent BIC of the linked party. References for error messages: <input type="checkbox"/> DSD5001 <input type="checkbox"/> DSD5007
Party BIC	Shows the party BIC of the linked party. References for error messages: <input type="checkbox"/> DSD5001 <input type="checkbox"/> DSD5007
Currency	Shows the currency eligible for auto-collateralisation of the security. References for error messages: <input type="checkbox"/> DSD5003 <input type="checkbox"/> DSD5005

Buttons

Buttons	
Search	This function enables the user to start a search according to the filled in criteria.

Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
New	This function enables the user to create a new Auto-Collateralisation Eligibility Link.
Restore	<p>This function enables the user to restore a previously deleted Auto-Collateralisation Eligibility Link.</p> <p>If the status of the selected Auto-Collateralisation Eligibility Link is already set to 'Active' this function is not available.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSD5001 <input type="checkbox"/> DSD5003 <input type="checkbox"/> DSD5004 <input type="checkbox"/> DSD5005 <input type="checkbox"/> DSD5006 <input type="checkbox"/> DSD5007
Revisions	This function enables the user to display the revisions of the selected Auto-Collateralisation Eligibility Link.
Delete	<p>This function enables the user to delete the selected Auto-Collateralisation Eligibility Link.</p> <p>If the status of the selected Auto-Collateralisation Eligibility Link is already set to 'Deleted', this function is not available.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSD5001 <input type="checkbox"/> DSD5002 {Split book approach}

~~2.8.1.2.2 Auto-Collateralisation Eligibility Link - New Screen~~

Context of Usage

~~This screen enables the user to create a new Auto-collateralisation Eligibility Link.~~

~~Auto-collateralisation Eligibility Link can only be created by Service Operator, NCB or Payment Bank. Users belonging to an NCB can only create Auto-collateralisation Eligibility Link for its own NCB or Parties that fall un-~~

~~der their responsibility according to the Hierarchical Party Model. Users belonging to a Payment Bank can create only Auto-collateralisation Eligibility Link for their own Party.~~

Screen Access

- ~~T2S >> Securities >> Auto-Collateralisation Eligibility Links >> New~~
- ~~T2S >> Securities >> Auto-Collateralisation Eligibility Links >> Auto-Collateralisation Eligibility Links – search/list screen >> Click on the new button~~

Privileges

To use this screen, the following Privileges are needed:

- ~~Create auto-collateralisation eligibility links~~

Screenshot

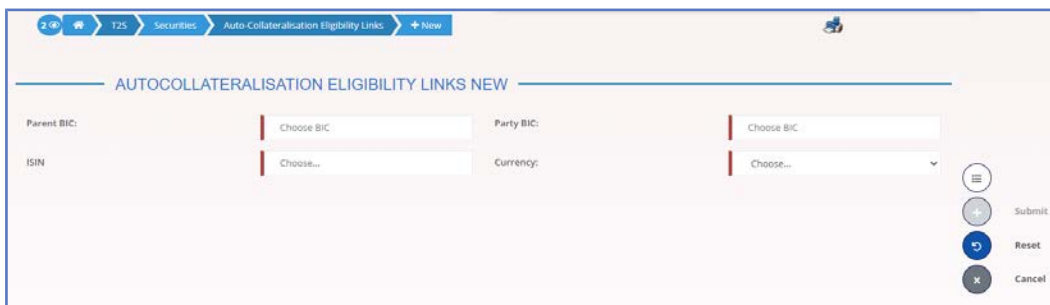


Illustration 147: ~~Auto-Collateralisation Eligibility Link – new screen~~ {Split book approach}

Fields Description

Auto-Collateralisation Eligibility Link	
ISIN	<p>Enter or select the ISIN of the security.</p> <p>This field is mandatory.</p> <p>Required format is: max 12x characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSC5002 <input type="checkbox"/> DSC5003
Parent BIC	<p>Enter or select the parent BIC of the linked party.</p> <p>This field is mandatory.</p> <p>Required format is: max 11x characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSC5001 <input type="checkbox"/> DSC5005
Party BIC	<p>Enter or select the party BIC of the linked party.</p> <p>This field is mandatory.</p> <p>Required format is: max 11x characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSC5001

Auto-Collateralisation Eligibility Link	
	<input type="checkbox"/> DSC5005
Currency	<p>Select the currency eligible for auto-collateralisation of the security from the drop-down menu. Default value is “blank”.</p> <p>This field is mandatory.</p> <p>References for error messages:</p> <input type="checkbox"/> DSC5003 <input type="checkbox"/> DSC5004

Buttons

Buttons	
Submit	<p>This function enables the user to create an Auto-Collateralisation Eligibility Link.</p> <p>References for error messages:</p> <input type="checkbox"/> DSC5001 <input type="checkbox"/> DSC5002 <input type="checkbox"/> DSC5003 <input type="checkbox"/> DSC5004 <input type="checkbox"/> DSC5005
Reset	<p>This function enables the user to set all fields to default value and blanks out all optional fields.</p>
Cancel	<p>This function enables the user to cancel the process and return to the previous screen. {Split book approach}</p>

~~2.8.1.2.3 Close Links Search/List Screen~~

Context of Usage

~~This screen allows the authorised users to search for the close links. This screen gives also the possibility to CB and Payment Bank users to manage Close Links. Finally it is possible to create a new Close Link. The result list is displayed sorted by default by “Status”, “Party BIC” and “ISIN”.~~

Screen Access

T2S >> Securities >> Close Links

Privileges

To use this screen, the following Privileges are needed:

- Close Links query

Delete-Close-Link

Screenshot

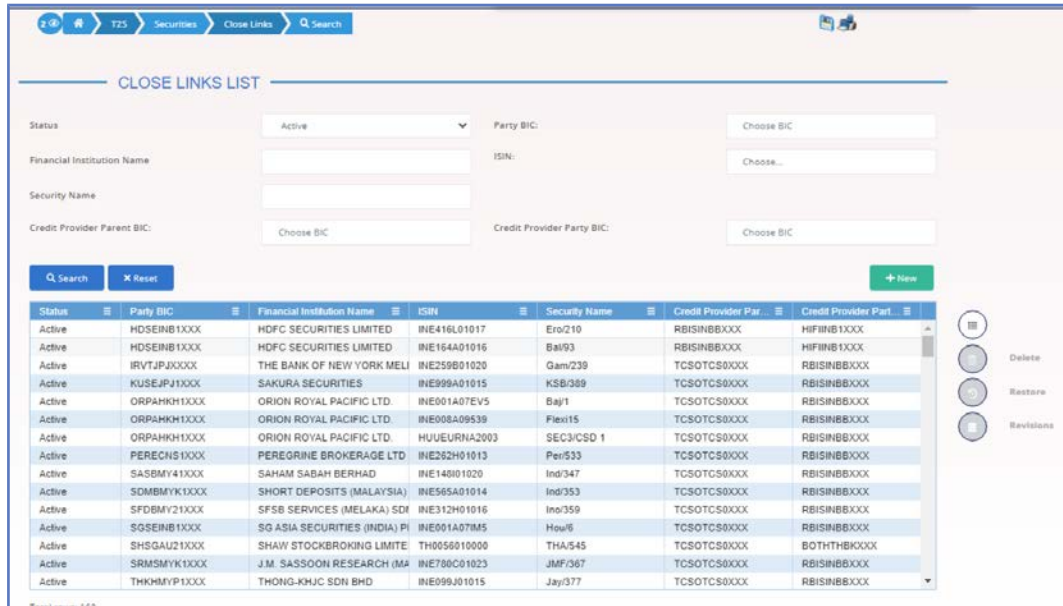


Illustration 148: **Close-Links** — search/list screen (Split book approach)

Fields

Description

Close-Links — Search Criteria	
Status	Select the status of the Limit from the possible values: <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted
Party BIC	Enter or select the Party BIC to be searched. Required format is: max. 11x characters.
Financial Institution Name	Enter the short name of the financial institution to be searched. Required format is: max. 107x characters.
ISIN	Enter or select the ISIN to be searched. Required format is: max. 12x characters.
Securities Name	Enter the short name of the security to be searched. Required format is: max. 35x characters.
Credit Provider Parent BIC	Enter or select the Credit Provider Parent BIC to be searched. Required format is: max. 11x characters.
Credit Provider Party BIC	Enter or select the Credit Provider Party BIC to be searched. Required format is: max. 11x characters.

Close Links – List	
Status	Shows the status of the Close Link. References for error messages: <input type="checkbox"/> DSD2003 <input type="checkbox"/> DSD2012
Party BIC	Shows the Party BIC of the Party associated to the close link. References for error messages: <input type="checkbox"/> DSD2013 <input type="checkbox"/> DSD2015
Financial Institution Name	Shows the short name of the financial institution. References for error messages: <input type="checkbox"/> DSD2014 <input type="checkbox"/> DSD2015
ISIN	Shows the ISIN of the security. References for error messages: <input type="checkbox"/> DSD2014 <input type="checkbox"/> DSD2015
Security Name	Shows the short name of the security.
Credit Provider Parent BIC	Shows the Credit Provider Parent BIC.
Credit Provider Party BIC	Shows the Credit Provider Party BIC.

Buttons

Search	This function enables the User to start a search according to the entered criteria. The results are displayed in a list on the same screen.
Reset	This function enables the User to set default search criteria and blanks out all optional criteria.
New	This function enables the user to create a new Close Link.
Restore	This function enables the user to restore a previously deleted Close Link.

	<p>If the status of the selected Limit is already set to 'Active' this function is not available.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSD2001 <input type="checkbox"/> DSD2012 <input type="checkbox"/> DSD2013 <input type="checkbox"/> DSD2014 <input type="checkbox"/> DSD2015
Revisions	<p>This function enables the user to display the revisions of the selected Close Link.</p>
Delete	<p>This function enables the user to delete the selected Close Link, after confirmation.</p> <p>If the status of the selected Close Link is already set to 'Deleted', this function is not available.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSD2001 <input type="checkbox"/> DSD2003 {Split book approach}

2.8.1.2.4 Close Link—New Screen

Context of Usage

This screen contains a number of fields regarding Close Links. You can enter new data. Afterwards you can proceed further by clicking on the buttons below.

Only the Service Operator, CB and Payment Bank users can access the screen via the menu.

Screen Access

- T2S >> Securities >> Close Links >> New
- T2S >> Securities >> Close Links >> Search >> click on New

Privileges

To use this screen, the following Privileges are needed:

- Create Close Link

Screenshot

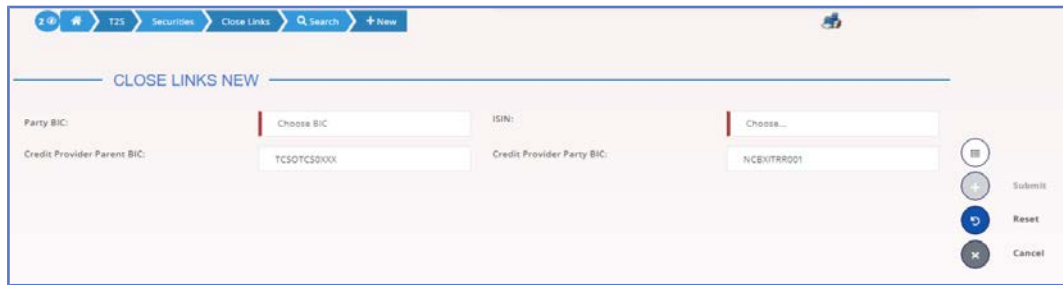


Illustration 149: **Close Links – new screen** {Split book approach}

Fields

Description

Close Links	
Party BIC	<p>Enter or select the Party BIC to be associated to the close link.</p> <p>This field is mandatory.</p> <p>Required format is: 11x characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSC2040 <input type="checkbox"/> DSC2050
ISIN	<p>Enter or select the ISIN.</p> <p>This field is mandatory.</p> <p>Required format is: 12x characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSC2030 <input type="checkbox"/> DSC2050
Credit Provider Parent BIC	<p>Enter or select the Credit Provider Parent BIC.</p> <p>If the requestor User belongs to a Central Bank or Payment Bank Party, this field is defaulted to that Party's Parent BIC.</p> <p>If the requestor User belongs to a Payment Bank Party, this field is read-only.</p> <p>Required format is: 11x characters.</p>
Credit Provider Party BIC	<p>Enter or select the Credit Provider Party BIC.</p> <p>If the requestor User belongs to a Central Bank or Payment Bank Party, this field is defaulted to that Party's BIC.</p> <p>If the requestor User belongs to a Payment Bank Party, this field is read-only.</p> <p>Required format is: 11x characters.</p>

Buttons

Submit	This function enables the user to create a Close Link. References for error messages: <ul style="list-style-type: none"><input type="checkbox"/> DSC2001<input type="checkbox"/> DSC2030<input type="checkbox"/> DSC2040<input type="checkbox"/> DSC2050<input type="checkbox"/> DSC2060
Cancel	This function enables the user to cancel the process and return to the previous screen. {Split book approach}

~~2.8.1.2.5 Securities – Search/List Screen~~**Context of Usage**

~~This screen allows the authorised users to search for Securities. The search results will display a list sorted by the values of the Securities Short Name column in ascending order (default setting).~~

~~Securities can only be created and edited by users belonging to the responsible CSD users endowed with the correct privilege. Securities can be viewed by any user with the correct privilege.~~

Screen Access

- ~~T2S >> Securities >> Securities >> Search~~

Privileges

~~To use this screen, the following Privileges are needed:~~

- ~~Securities reference data Query~~
- ~~ISIN List Query~~
- ~~Delete Security~~

Screenshot

Illustration 150: **Securities – search/list screen** (Split book approach)

Fields

Description

Securities – Search-Criteria	
Status	<p>Select the status of the Security from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted
ISIN	<p>Enter or select the ISIN of the security.</p> <p>Required format is: min 2x, max. 12x characters.</p>
CFI Code	<p>Enter the classification of financial instruments code of the security.</p> <p>Required format is: min 2x, max. 6x characters</p>
Security Short Name	<p>Enter the security short name.</p> <p>Required format is: min 4x, max. 35x characters</p>

Securities – Search Criteria	
Issue Date From	Enter or pick the lower bound for the issue date of the security. Required format is: Date. This field is mandatory.
Issue Date To	Enter or pick the upper bound for the issue date of the security. The 'Issue Date: To' must be greater than or equal to the 'Issue Date: From'. Required format is: Date.
Maturity Date From	Enter or pick the lower bound for the maturity date of the security. Required format is: Date.
Maturity Date To	Enter or pick the upper bound for the maturity date of the security. The 'Maturity Date: To' must be greater than or equal to the 'Maturity Date: From' Required format is: Date.
Issue currency	Select the issue currency of the security from the drop-down menu.
Country of issuance	Select the Country of issuance of the security from the drop-down menu.

Securities – List	
Status	Shows the status of the Security. References for error messages: <input type="checkbox"/> DSD1003 <input type="checkbox"/> DSD1012
ISIN	Shows the ISIN of the security. Reference for error message: <input type="checkbox"/> DSD1013
CFI Code	Shows the classification of financial instruments code of the security.
Security Short Name	Shows the security short name.
Issue Date	Shows the issue date of the security.

Securities – List	
	<p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSD1003
Maturity Date	<p>Shows the maturity date of the security.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSD1003
Issue currency	<p>Shows the currency in which the security was issued.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSD1022
Country of issuance	<p>Shows the Country where the security was issued.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSD1021

Buttons

Buttons	
Search	<p>This function enables the User to start a search according to the entered criteria. The results are displayed in a list on the same screen.</p>
Reset	<p>This function enables the User to set default search criteria and blanks out all optional criteria.</p>
New	<p>This function enables the User to create a new Security.</p>
Edit	<p>This function enables the User to edit the selected Security.</p>
Details	<p>This function enables the User to display the details of the selected Security.</p>
Restore	<p>This function enables the User to restore a previously deleted Securities.</p> <p>If the status of the selected Security is already set to 'Active', this function is not available.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSD1001 <input type="checkbox"/> DSD1012 <input type="checkbox"/> DSD1013 <input type="checkbox"/> DSD1021 <input type="checkbox"/> DSD1022 <input type="checkbox"/> DSD1024

	<ul style="list-style-type: none"> <input type="checkbox"/> DSD1252 <input type="checkbox"/> DSD1255 <input type="checkbox"/> DSD1256 <input type="checkbox"/> DSD1257 <input type="checkbox"/> DAD1001
Revisions	This function enables the User to access the Display Revisions List screen related to the selected Security.
CSD Links	This function enables the User to display the CSD links related to the selected security.
Delete	<p>This function enables the User to delete the selected Security, after confirmation.</p> <p>If the status of the selected Security is already set to 'Deleted', this function is not available.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DAD1001 <input type="checkbox"/> DSD1001 <input type="checkbox"/> DSD1003 <input type="checkbox"/> DSD1030 <input type="checkbox"/> DSD1300{Split book approach}

~~2.8.1.2.6 Security – Details Screen~~

Context of Usage	This screen displays detailed information on the selected security. You can check the data and proceed further by clicking on the buttons below.
Screen Access	<input type="checkbox"/> T2S >> Securities >> Securities – search/list screen >> Click on the search and/or details button
Privileges	<p>To use this screen, the following Privileges are needed:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Security reference data query <input type="checkbox"/> Delete Security

Screenshot

SECURITY DETAILS

Status: Active

ISIN: ITPNIRUMIQU0 ISIN Valid From: 2021-11-30

CFI Code: ESVTR Issue Date: 2021-11-30

Maturity Date: 9999-12-30 Issue currency: EUR

Country of Issuance: IT

Security Settlement Data

Settlement Type: Unit-quoted securities

Minimum Settlement Unit (as nominal): 0.01 Minimum Settlement Unit (as unit): 0.01

Settlement Unit Multiple (as nominal): 0.01 Settlement Unit Multiple (as unit): 0.01

Security Code

ISIN	ISIN Valid From
ITPNIRUMIQU0	2018-05-08

Total rows: 1

Security

Valid From	Long Name	Security Short Name
2018-05-08	SAMPLE sharex	SAMPLE / sharex

Total rows: 1

Deviating Settlement Units

Deviating Settlement Unit (as nominal)	Deviating Settlement Unit (as units)
0	0.02

Total rows: 1

Market-Specific Attributes

Attribute Name	Attribute Value
No Rows To Show	

Total rows: 0

Restrictions

Restriction Type	Valid From	Valid To
No Rows To Show		

Total rows: 0

Illustration 151: Security—details screen (Split book approach)

Fields

Description

Eligible Counterpart CSD	
Status	Shows the status of the Eligible Counterpart CSD. Reference for error message:
	<input type="checkbox"/> DPD2001
	<input type="checkbox"/> DPD2003

Eligible Counterpart CSD	
	<input type="checkbox"/> DPD2012
ISIN Valid from	Shows the date from which the ISIN of the security is valid.
ISIN	Shows the ISIN of the security. Reference for error message: <input type="checkbox"/> DSD1013
CFI Code	Shows the classification of financial instruments code of the security.
Issue Date	Shows the issue date of the security. Reference for error message: <input type="checkbox"/> DSD1003
Maturity Date	Shows the maturity date of the security. Reference for error message: <input type="checkbox"/> DSD1003
Issue currency	Shows the currency in which the security was issued. Reference for error message: <input type="checkbox"/> DSD1022
Country of issuance	Shows the Country where the security was issued. Reference for error message: <input type="checkbox"/> DSD1021
Security	
Valid from	Shows the date from which the name of the security is valid.
Long Name	Shows the full name of the security
Security Short Name	Shows the security short name.
Security Settlement Data	
Settlement Type	Shows the type of settlement foreseen for the security.
Minimum Settlement Unit (as nominal)	Shows Minimum Settlement Unit of the security as nominal.
Minimum Settlement Unit (as unit)	Shows Minimum Settlement Unit of the security as unit.

Eligible Counterpart CSD	
Settlement Unit Multiple (as nominal)	Shows the multiple as nominal defining the standard lot sizes eligible for settlement on condition of being equal or greater than the minimum settlement unit.
Settlement Unit Multiple (as unit)	Shows the multiple as unit defining the standard lot size eligible for settlement on condition of being equal or greater than the minimum settlement unit.

Security Name	
Valid from	Shows the date from which the name of the security is valid.
Long Name	Shows the full name of the security
Security Short Name	Shows the security short name.

Security Code	
ISIN Valid from	Shows the date from which the ISIN of the security is valid.
ISIN	Shows the ISIN of the security.

Deviating Settlement Unit	
Deviating SettlementUnit (as nominal)	Shows the stored deviating settlement units for a security as nominal that T2S allows for settlement in case the security has several odd lot sizes outside of the multiple that can be settled.
Deviating SettlementUnit (as units)	Shows the stored deviating settlement units for a security as unit that T2S allows for settlement in case the security has several odd lot sizes outside of the multiple that can be settled.

Market-Specific Attributes	
Attribute Name	Shows the name of the market-specific attribute related to the security. References for error messages: <input type="checkbox"/> DSD1252

Market-Specific Attributes	
Attribute Value	Shows the value of the market-specific attribute related to the security. References for error messages: <input type="checkbox"/> DSD1255 <input type="checkbox"/> DSD1256

Restrictions	
Restriction Type	Shows the type of restriction related to the security. Reference for error message: <input type="checkbox"/> DSD1024
Valid From	Shows the date and time from which the security is restricted from settlement.
Valid to	Shows the date and time until which the security is restricted from settlement.

Buttons

Buttons	
Edit	This function enables the user to edit the details of the selected security. If the status of the selected security is already set to 'Deleted' this function is not available.
Restore	This function enables the user to restore a previously deleted security. If the status of the selected security is already set to 'Active', this function is not available. References for error messages: <input type="checkbox"/> DSD1012 <input type="checkbox"/> DSD1013 <input type="checkbox"/> DSD1021 <input type="checkbox"/> DSD1022 <input type="checkbox"/> DSD1024 <input type="checkbox"/> DSD1252 <input type="checkbox"/> DSD1255 <input type="checkbox"/> DSD1256 <input type="checkbox"/> DSD1257

Revisions	This function enables the user to display the revisions of the selected security.
CSD Links	This function enables the User to display the CSD links related to the selected security.
Delete	<p>This function enables the user to delete the selected security, after confirmation.</p> <p>If the status of the selected security is already set to 'Deleted', this function is not available.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSD1001 <input type="checkbox"/> DSD1003 <input type="checkbox"/> DSD1030 <input type="checkbox"/> DSD1300 {Split book approach}

2.8.1.2.7 Security—New/Edit Screen

Context of Usage	<p>This screen contains a number of fields regarding Securities. You can enter new data or edit existing ones. Afterwards you can proceed further by clicking on the buttons below.</p> <p>This screen is relevant only for CSD users</p>
Screen Access	<ul style="list-style-type: none"> <input type="checkbox"/> T2S >> Securities >> Securities >> Search/List screen >> Click on the New button <input type="checkbox"/> T2S >> Securities >> Securities >> Search/List screen >> Click on the Edit button <input type="checkbox"/> T2S >> Securities >> Securities >> New
Privileges	<p>To use this screen, the following Privileges are needed:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Create Security <input type="checkbox"/> Update Security

Screenshot

SECURITY NEW

CR Code: Issue Date: 2023-01-01

Maturity Date: 2023-01-01 Issue Currency: All

Country of Issuance: All

Security Settlement Data

Settlement Type:

Security Name

Long Name: Security Short Name:

Mandatory field

Long Name	Security Short Name
No Rows To Show	

Total rows: 0

Security Code

ISIN:

Mandatory field

ISIN	ISIN Used From
No Rows To Show	

Total rows: 0

Denoting Settlement Unit

Denoting Settlement Unit (as number): Denoting Settlement Unit (as units):

Mandatory field

Denoting Settlement Unit (as number)	Denoting Settlement Unit (as units)
No Rows To Show	

Total rows: 0

Market Specific Attributes

Attribute Name: Attribute Value:

Mandatory field

Attribute Name	Attribute Value
No Rows To Show	

Total rows: 0

Restrictions

Restriction Type:

Valid From: 2023-01-01

Valid To: 2023-01-01

Mandatory field

Restriction Type	Valid From	Valid To
No Rows To Show		

Total rows: 0

Buttons: Submit, Reset, Cancel

Illustration 152: **Security – new/edit screen** (Split book approach)

Fields

Description

Security	
ISIN Valid from	<p>Shows the date from which the ISIN is valid.</p> <p>This field is not available in new screen and is read only in edit mode.</p> <p>References for error messages:</p>

Security	
	<input type="checkbox"/> DSU1007 <input type="checkbox"/> DSU1009
ISIN	<p>Enter the ISIN of the security.</p> <p>This field is mandatory and read only in edit mode.</p> <p>Required format is: 12x characters.</p> <p>References for error messages:</p> <input type="checkbox"/> DSC1013 <input type="checkbox"/> DSC1029 <input type="checkbox"/> DSU1006 <input type="checkbox"/> DSU1013 <input type="checkbox"/> DSU1029
CFI Code	<p>Enter the CFI code associated to the selected securities.</p> <p>This field is mandatory.</p> <p>Required format is: 6x characters.</p> <p>References for error messages:</p> <input type="checkbox"/> DSC1700 <input type="checkbox"/> DSU1410
Issue Date	<p>Enter or select the issue date of the security.</p> <p>This field is mandatory</p> <p>Required format is: Date.</p> <p>References for error messages:</p> <input type="checkbox"/> DSC1028 <input type="checkbox"/> DSU1026
Maturity Date	<p>Enter or select the maturity date of the security.</p> <p>This date must be later than or equal to the current date.</p> <p>Required format is: Date.</p> <p>References for error messages:</p> <input type="checkbox"/> DSC1025 <input type="checkbox"/> DSC1028 <input type="checkbox"/> DSU1003 <input type="checkbox"/> DSU1025

Security	
	<input type="checkbox"/> DSU1026
Issue currency	<p>Select the currency in which the security was issued. This field is mandatory.</p> <p>References for error messages:</p> <input type="checkbox"/> DSC1022 <input type="checkbox"/> DSU1022 <input type="checkbox"/> DSU1705
Country of issuance	<p>Select the country in which the security was issued. This field is mandatory.</p> <p>References for error messages:</p> <input type="checkbox"/> DSC1021 <input type="checkbox"/> DSU1400
Security Name	
Valid from	<p>Enter or pick the date from which the name of the security is valid. This date must be later than or equal to the current date. This field is not available in new screen</p> <p>Required format is: Date.</p> <p>References for error messages:</p> <input type="checkbox"/> DSC1027 <input type="checkbox"/> DSU1007 <input type="checkbox"/> DSU1009
Long Name	<p>Enter the full name of the security. Required format is: max. 350x.</p> <p>References for error messages:</p> <input type="checkbox"/> DSU1006
Security Short Name	<p>Enter the full name of the security. Required format is: max. 35x.</p> <p>References for error messages:</p> <input type="checkbox"/> DSU1006
Security Settlement Data	

Security	
Settlement Type	<p>Select the type of settlement foreseen for the security from the possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> Face Amount<input type="checkbox"/> Units <p>Reference for error message:</p> <ul style="list-style-type: none"><input type="checkbox"/> DSU1706
Minimum Settlement Unit (as nominal)	<p>Enter the minimum settlement unit of the security as nominal.</p> <p>Required format is: Amount.</p> <p>The field is visible and mandatory if the field settlement type is set to 'Face Amount'.</p> <p>References for error messages:</p> <ul style="list-style-type: none"><input type="checkbox"/> DSC1800<input type="checkbox"/> DSU1030<input type="checkbox"/> DSU1031<input type="checkbox"/> DSU1700<input type="checkbox"/> DSU1800
Minimum Settlement Unit (as unit)	<p>Enter the minimum settlement unit of the security as unit. Required format is: Amount.Quantity with up to 15 digits thereof maximum 14 decimals. In case of 14 decimals, the integer part must be 0.</p> <p>The field is visible and mandatory if the field settlement type is set to 'Unit'.</p> <p>References for error messages:</p> <ul style="list-style-type: none"><input type="checkbox"/> DSC1800<input type="checkbox"/> DSU1030<input type="checkbox"/> DSU1031<input type="checkbox"/> DSU1700<input type="checkbox"/> DSU1800
Settlement Unit Multiple (as nominal)	<p>Enter the settlement unit multiple of the security as nominal.</p> <p>Required format is: Amount.</p> <p>The field is visible and mandatory if the field settlement type is set to 'Face Amount'.</p>

Security	
	<p>References for error messages:</p> <ul style="list-style-type: none"><input type="checkbox"/> DSC1300<input type="checkbox"/> DSC1800<input type="checkbox"/> DSU1030<input type="checkbox"/> DSU1031<input type="checkbox"/> DSU1301<input type="checkbox"/> DSU1700<input type="checkbox"/> DSU1704<input type="checkbox"/> DSU1800
Settlement Unit Multiple (as unit)	<p>Enter the settlement unit multiple of the security as unit.</p> <p>Required format is: Amount.Quantity with up to 14 digits thereof maximum 9 decimals.</p> <p>The number of decimals of the SUM should define the maximum number of decimals that may be needed in the security lifecycle (e.g. for corporate actions).</p> <p>In case the requested update implies a decrease of the number of decimal positions for the Settlement Unit Multiple value, T2S will automatically include a number of trailing zeroes that allows keeping the number of decimal positions unchanged.</p> <p>The field is visible and mandatory if the field settlement type is set to 'Unit'.</p> <p>References for error messages:</p> <ul style="list-style-type: none"><input type="checkbox"/> DSC1300<input type="checkbox"/> DSC1800<input type="checkbox"/> DSU1030<input type="checkbox"/> DSU1031<input type="checkbox"/> DSU1301<input type="checkbox"/> DSU1700<input type="checkbox"/> DSU1800

Deviating Settlement Unit	
Deviating SettlementUnit (as nominal)	<p>Enter the deviating settlement unit of the security as nominal that T2S allows for settlement in case the security has several odd lot sizes outside of the multiple that can be settled.</p> <p>Required format is: Amount.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSC1032 <input type="checkbox"/> DSC1040 <input type="checkbox"/> DSC1800 <input type="checkbox"/> DSU1030 <input type="checkbox"/> DSU1031 <input type="checkbox"/> DSU1032 <input type="checkbox"/> DSU1700 <input type="checkbox"/> DSU1800
Deviating SettlementUnit (as units)	<p>Enter the deviating settlement unit of the security as unit that T2S allows for settlement in case the security has several odd lot sizes outside of the multiple that can be settled.</p> <p>Required format is: Amount. Quantity with up to 15 digits thereof maximum 14 decimals. In case of 14 decimals, the integer part must be 0.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSC1032 <input type="checkbox"/> DSC1040 <input type="checkbox"/> DSC1800 <input type="checkbox"/> DSU1030 <input type="checkbox"/> DSU1031 <input type="checkbox"/> DSU1032 <input type="checkbox"/> DSU1700 <input type="checkbox"/> DSU1800
Security Name	
Valid from	<p>Enter or pick the date from which the name of the security is valid.</p>

Security Name	
	<p>This date must be later than or equal to the current date.</p> <p>This field is not available in new screen.</p> <p>Required format is: Date.</p>
Long Name	<p>Enter the full name of the security.</p> <p>Required format is: max. 350x.</p>
Security Short Name	<p>Enter the short name of the security.</p> <p>Required format is: max. 35x.</p>

Security Code	
ISIN Valid from	<p>Enter or pick the date from which the ISIN is valid.</p> <p>This date must be later than or equal to the current date.</p> <p>This field is not available in new screen and it is available in edit mode only for Service Operator.</p>
ISIN	<p>Enter the ISIN of the security.</p> <p>This field is mandatory and read only in edit mode.</p> <p>Only Service Operator can update the ISIN.</p> <p>Required format is: 12x characters.</p>

Market-Specific Attributes	
Attribute Name	<p>Enter or select the name of the market-specific attribute related to the security.</p> <p>Duplicate Attribute Name values are not allowed.</p> <p>References for error messages:</p> <ul style="list-style-type: none"><input type="checkbox"/> DSC1252<input type="checkbox"/> DSU1252<input type="checkbox"/> DSU1254<input type="checkbox"/> DSU1256<input type="checkbox"/> DSU1258
Attribute Value	<p>Enter or Sselect the value of the market-specific attribute related to the security.</p> <p>References for error messages:</p>

Market-Specific Attributes	
	<input type="checkbox"/> DSC1252 <input type="checkbox"/> DSC1254 <input type="checkbox"/> DSC1256 <input type="checkbox"/> DSC1257 <input type="checkbox"/> DSU1254 <input type="checkbox"/> DSU1255 <input type="checkbox"/> DSU1256 <input type="checkbox"/> DSU1257

Restrictions	
Restriction-Type	<p>Enter or select the type of restriction applying to the security.</p> <p>Duplicate Restriction Type-Valid From combinations are not allowed.</p> <p>References for error messages:</p> <input type="checkbox"/> DSC1024 <input type="checkbox"/> DSC1040 <input type="checkbox"/> DSC1301 <input type="checkbox"/> DSU1024 <input type="checkbox"/> DSU1040 <input type="checkbox"/> DSU1041 <input type="checkbox"/> DSU1042 <input type="checkbox"/> DSU1300
Valid From	<p>Enter or pick the date and time from which the security is restricted from settlement.</p> <p>Displayed format is: Datetime</p> <p>To set an immediate restriction, input the timestamp '1000-01-01 00:01'.</p> <p>References for error messages:</p> <input type="checkbox"/> DSC1040 <input type="checkbox"/> DSC1301 <input type="checkbox"/> DSU1007 <input type="checkbox"/> DSU1009

Restrictions	
	<ul style="list-style-type: none"><input type="checkbox"/> DSU1040<input type="checkbox"/> DSU1042<input type="checkbox"/> DSU1300
Valid to	<p>Enter or pick the date and time until which the security is restricted from settlement.</p> <p>Displayed format is: Datetime</p> <p>To instantly remove a restriction, input the timestamp '9999-12-31 23:59'.</p> <p>References for error messages:</p> <ul style="list-style-type: none"><input type="checkbox"/> DSC1040<input type="checkbox"/> DSC1301<input type="checkbox"/> DSU1007<input type="checkbox"/> DSU1040<input type="checkbox"/> DSU1042<input type="checkbox"/> DSU1300

Buttons

Submit	<p>This function enables the user to create or edit a Security.</p> <p>After a security creation request is completed a prompt is shown with the following request: 'Do you want to configure the issuer now?'</p> <p>In case the user clicks on the 'Yes' button, the user will be redirected to the new CSD link screen with the following prefilled items:</p> <ul style="list-style-type: none"><input type="checkbox"/> ISIN field with Security-ISIN value;<input type="checkbox"/> Link type field is with ISSUER value;<input type="checkbox"/> Valid from with business date;<input type="checkbox"/> Issuer investor parent BIC and issuer investor BIC with user - related parent BIC (if the creating user is a CSD user, otherwise the 'Issuer Investor' fields are empty). <p>References for error messages:</p> <ul style="list-style-type: none"><input type="checkbox"/> DSC1001<input type="checkbox"/> DSC1013

- DSC1021**
- DSC1022**
- DSC1024**
- DSC1025**
- DSC1026**
- DSC1027**
- DSC1028**
- DSC1029**
- DSC1032**
- DSC1040**
- DSC1252**
- DSC1254**
- DSC1256**
- DSC1257**
- DSC1300**
- DSC1301**
- DSC1700**
- DSC1800**
- DSU1001**
- DSU1003**
- DSU1005**
- DSU1006**
- DSU1007**
- DSU1009**
- DSU1013**
- DSU1022**
- DSU1024**
- DSU1025**
- DSU1026**
- DSU1029**
- DSU1030**
- DSU1031**

<input type="checkbox"/>	DSU1032
<input type="checkbox"/>	DSU1040
<input type="checkbox"/>	DSU1041
<input type="checkbox"/>	DSU1042
<input type="checkbox"/>	DSU1252
<input type="checkbox"/>	DSU1254
<input type="checkbox"/>	DSU1255
<input type="checkbox"/>	DSU1256
<input type="checkbox"/>	DSU1257
<input type="checkbox"/>	DSU1258
<input type="checkbox"/>	DSU1300
<input type="checkbox"/>	DSU1301
<input type="checkbox"/>	DSU1400
<input type="checkbox"/>	DSU1410
<input type="checkbox"/>	DSU1700
<input type="checkbox"/>	DSU1704
<input type="checkbox"/>	DSU1705
<input type="checkbox"/>	DSU1706
<input type="checkbox"/>	DSU1800
Reset	This function enables the user to set all fields to default value and blanks out all optional fields.
Cancel	This function enables the user to cancel the process and return to the previous screen. {Split book approach}

~~2.8.1.2.8 Securities Valuations - Search/List Screen~~

Context of Usage

~~This screen allows the authorised users to search for the Securities Valuations.~~

~~The Service Operators, Central Bank and Payment Bank users can additionally create new Securities Valuations.~~

~~The result list is sorted by default by “Parent BIC” and “Party BIC”.~~

Screen Access

~~T2S >> Securities >> Securities Valuations >> Search~~

Privileges

To use this screen, the following Privileges are needed:

- Securities Valuation query**

Screenshot

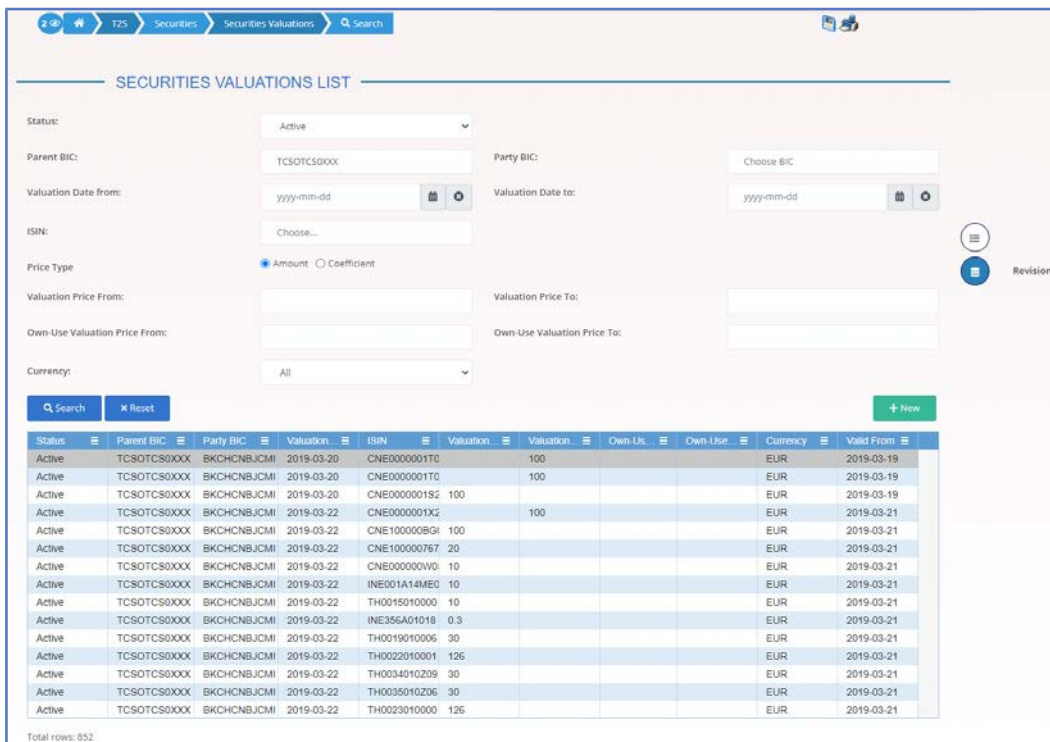


Illustration 153: Securities Valuation – search/list screen (Split book approach)

Fields Description

Securities Valuations – Search Criteria	
Status	Select the status of the Securities Valuation from the possible values: <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted
Parent BIC	Enter or select the parent BIC of the party. Required format is: max. 11x characters.
Party BIC	Enter or select the BIC of the party. Required format is: max. 11x characters.
Valuation Date From	Enter or pick the upper bound for the validity date of the Securities Valuation. Required format is: Date.
Valuation Date To	Enter or pick the lower bound for the validity date of the Securities Valuation. Required format is: Date.
ISIN	Enter or Select the ISIN of the security.

Securities Valuations – Search Criteria	
	Required format is: max. 12x characters.
Price Type	Select the price type from the possible values: <input type="checkbox"/> Amount <input type="checkbox"/> Coefficient
Valuation Price From	Enter the lower bound of the price. Required format: Amount
Valuation Price To	Enter the upper bound of the price. It must be equal or greater than Valuation Price From Required format: Amount
Own-Use Valuation Price From	Enter the lower bound of the own-use price. Required format: Amount
Own-Use Valuation Price To	Enter the upper bound of the own-use price. It must be equal to or greater than Own-Use Valuation Price From. Required format: Amount
Currency	Select the Currency from the drop-down menu. The default value is 'All'.

Security Valuation – List	
Status	Shows the status of the Securities Valuation.
Parent BIC	Shows the parent BIC of the party.
Party BIC	Shows the BIC of the party.
Valuation Date	Shows the valuation date.
ISIN	Shows the ISIN of the security
Valuation Price (as amount)	Shows the valuation price as amount.
Valuation Price (as coefficient)	Shows the valuation price as coefficient.
Own-Use Valuation Price (as amount)	Shows the own-use valuation price as amount.
Own-Use Valuation Price (as coefficient)	Shows the own-use valuation price as coefficient.

Security Valuation – List	
Currency	Shows the currency code.
Valid From	Shows the price of the security as coefficient.

Buttons

Search	This function enables the User to start a search according to the entered criteria. The results are displayed in a list on the same screen.
Reset	This function enables the User to set default search criteria and blanks out all optional criteria.
New	This function enables the User to create a new Securities Valuation.
Revisions	This function enables the User to access the Display Revisions List screen related to the selected Securities Valuation. {Split book approach}

2.8.1.2.9 Securities Valuation – New Screen

Context of Usage

This screen contains a number of fields regarding Securities Valuation. You can enter new data. Afterwards you can proceed further by clicking on the buttons below.

Only the Service Operator, Central Bank and Payment Bank users can access the screen via the menu.

Screen Access

- T2S >> Securities >> Securities Valuations >> Search/List screen >> Click on the New button
- T2S >> Securities >> Securities Valuation >> New

Privileges

To use this screen, the following Privileges are needed:

- Create Security Valuation

Screenshot

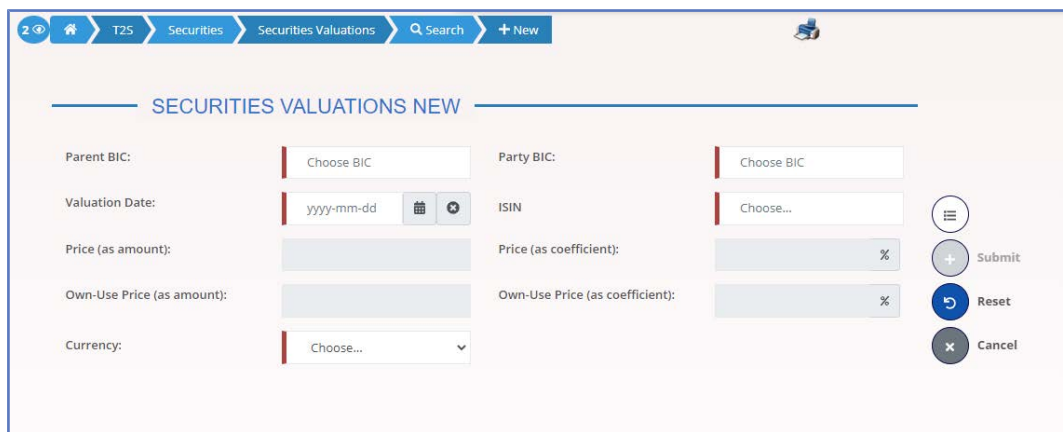


Illustration 154: Security Valuation – new screen {Split book approach}

Fields	Security Valuation	
Description	Parent BIC	Enter or select the parent BIC of the party. This field is mandatory. Required format is: 11x characters. References for error messages: <ul style="list-style-type: none"><input type="checkbox"/> DSC3001<input type="checkbox"/> DSC3050<input type="checkbox"/> DSC3060
	Party BIC	Enter or select the BIC of the party. This field is mandatory. Required format is: 11x characters. References for error messages: <ul style="list-style-type: none"><input type="checkbox"/> DSC3001<input type="checkbox"/> DSC3050<input type="checkbox"/> DSC3060
	Valuation date	Enter or pick the validity date of the Security Valuation. This field is mandatory. The valuation date must be equal or later than the current business date. Required format is: Date. References for error messages: <ul style="list-style-type: none"><input type="checkbox"/> DSC3050<input type="checkbox"/> DSC3205
	ISIN	Enter or select the ISIN of the security. This field is mandatory. Required format is: 12x characters. References for error messages: <ul style="list-style-type: none"><input type="checkbox"/> DSC3040<input type="checkbox"/> DSC3050
	Price (as amount)	Enter the price of the security as coefficient. Only one among Price as amount and Price as coefficient must be filled in.

Security Valuation	
	<p>If the Settlement Type of the selected Security is “Unit”, then the field Price (as amount) is mandatory.</p> <p>Required format is: Amount.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSC3020 <input type="checkbox"/> DSC3800
Price (as coefficient)	<p>Enter the price of the security as coefficient.</p> <p>Only one among Price as amount and Price as coefficient must be filled in.</p> <p>If the Settlement Type of the selected Security is “Face amount”, then the field Price (as coefficient) is mandatory.</p> <p>Required format is: PercentageRate with up to 18 digits thereof maximum 17 decimals.</p>
Own-Use Price (as amount)	<p>Enter the own-use price of the security in terms of amount of the valuation.</p> <p>Only one among Own-Use Price as amount and Own-Use Price as coefficient must be filled in.</p> <p>If the Settlement Type of the selected Security is “Unit”, then the field Own-Use Price (as amount) is mandatory.</p> <p>Required format is: Amount.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSC3030 <input type="checkbox"/> DSC3050
Own-Use Price (as coefficient)	<p>Enter the own-use price of the security in terms of coefficient of the valuation.</p> <p>Only one among Price as amount and Price as coefficient must be filled in.</p> <p>If the Settlement Type of the selected Security is “Face amount”, then the field Own-Use Price (as coefficient) is mandatory.</p> <p>Required format is: PercentageRate with up to 18 digits thereof maximum 17 decimals.</p>
Currency	Select the currency from the drop-down menu.

Security Valuation	
	This field is mandatory.
Buttons	
Submit	<p>This function enables the user to create a Security Valuation.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSC3001 <input type="checkbox"/> DSC3020 <input type="checkbox"/> DSC3030 <input type="checkbox"/> DSC3040 <input type="checkbox"/> DSC3050 <input type="checkbox"/> DSC3060 <input type="checkbox"/> DSC3205 <input type="checkbox"/> DSC3800
Cancel	This function enables the user to cancel the process and return to the previous screen. {Split book approach}

~~2.8.1.2.10 Security CSD Links - Search/List Screen~~

Context of Usage	<p>This screen allows the authorised users to search for Security CSD Links. The users are allowed to perform a normal search – in this case, Security CSD links under their datascope are returned – or a system-wide search – in this case their search is performed on all the possible Security CSD Links in the system. This second type of search is forcibly performed on a specific ISIN.</p> <p>The users can additionally manage the Security CSD Link creating new ones or editing, deleting, restoring or show the details of the existing ones.</p> <p>The search results are displayed in a list sorted by default by “ISIN” and “Valid From”.</p>
Screen Access	<ul style="list-style-type: none"> <input type="checkbox"/> T2S >> Securities >> Security CSD Links >> Search <input type="checkbox"/> T2S >> Securities >> Securities Search/List screen >> Click on search and/or details button >> click on CSD Links button
Privileges	<p>To use this screen, the following Privileges are needed:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Securities CSD Link Query <input type="checkbox"/> Delete Security CSD Link

Screenshot

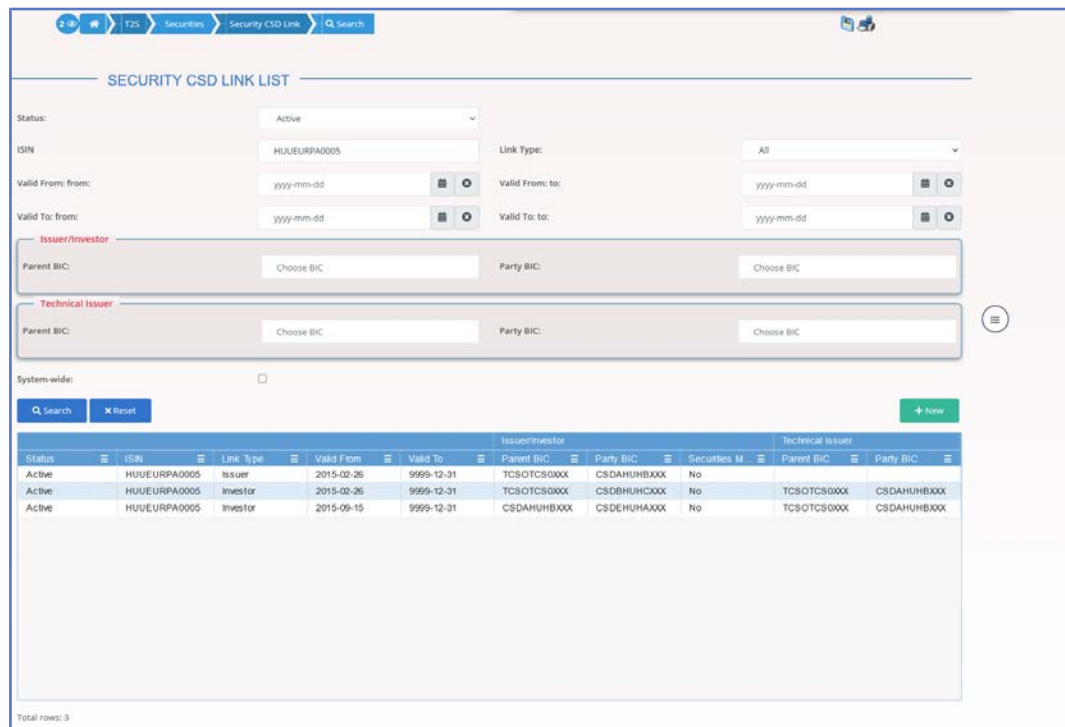


Illustration 155: Security CSD Links – search/list screen {Split book approach}

Fields Description

Security CSD Links – Search Criteria	
Status	<p>Select the status of the Security CSD Link from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted
ISIN	<p>Enter or select the ISIN of the security.</p> <p>Required format is: 12x characters.</p> <p>This field is mandatory if the System-wide flag is selected.</p>
Link Type	<p>Select the CSD link type from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> Investor <input type="checkbox"/> Issuer
System-wide	<p>Select this flag when the search must be performed on all Security CSD Links in the system.</p>
Valid From from	<p>Enter or pick the lower bound of the search range from which the Security CSD Link is valid.</p> <p>Required format is: Date.</p>

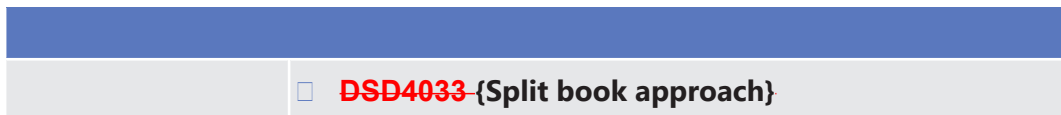
Security CSD Links – Search Criteria	
Valid From to	Enter or pick the upper bound of the search range from which the Security CSD Link is valid. Required format is: Date.
Valid To from	Enter or pick the lower bound of the search range until which the Security CSD Link is valid. Required format is: Date.
Valid To to	Enter or pick the upper bound of the search range until which the Security CSD Link is valid. Required format is: Date.
Issuer/Investor	
Parent BIC	Enter or select the parent BIC of the issuer or investor. Required format is: max. 11x characters.
Party BIC	Enter or select the party BIC of the issuer or investor. Required format is: max. 11x characters.
Technical Issuer	
Parent BIC	Enter or select the parent BIC of the technical issuer. Required format is: max. 11x characters.
Party BIC	Enter or select the party BIC of the technical issuer. Required format is: max. 11x characters.

Security CSD s Links – List	
Status	Shows the status of the Security CSD Link. References for error messages: <input type="checkbox"/> DSD4003 <input type="checkbox"/> DSD4012
ISIN	Shows the ISIN of the Securities Account. References for error messages: <input type="checkbox"/> DSD4002 <input type="checkbox"/> DSD4014
Link Type	Shows the Security CSD's Link Type. Reference for error message: <input type="checkbox"/> DSD4049
Valid From	Shows the date from which the link is valid.

Security CSD s Links – List	
	References for error messages: <ul style="list-style-type: none"><input type="checkbox"/> DSD4002<input type="checkbox"/> DSD4033<input type="checkbox"/> DSD4034<input type="checkbox"/> DSD4047
Valid To	Shows the date until which the link is valid. References for error messages: <ul style="list-style-type: none"><input type="checkbox"/> DSD4033<input type="checkbox"/> DSD4034<input type="checkbox"/> DSD4047
Parent BIC	Shows the parent BIC of the issuer or investor. References for error messages: <ul style="list-style-type: none"><input type="checkbox"/> DSD4001<input type="checkbox"/> DSD4002<input type="checkbox"/> DSD4013<input type="checkbox"/> DSD4047
Party BIC	Shows the party BIC of the issuer or investor. References for error messages: <ul style="list-style-type: none"><input type="checkbox"/> DSD4001<input type="checkbox"/> DSD4002<input type="checkbox"/> DSD4013<input type="checkbox"/> DSD4047
Securities Maintenance	Shows the value of the security maintenance flag. Reference for error message: <ul style="list-style-type: none"><input type="checkbox"/> DSD4043
Parent BIC	Shows the parent BIC of the technical issuer. Reference for error message: <ul style="list-style-type: none"><input type="checkbox"/> DSD4013
Party BIC	Shows the party BIC of the technical issuer. Reference for error message: <ul style="list-style-type: none"><input type="checkbox"/> DSD4013

Buttons

Search	This function enables the User to start a search according to the entered criteria. The results are displayed in a list on the same screen.
Reset	This function enables the User to set default search criteria and blanks out all optional criteria.
New	This function enables the User to create a new Security CSD Link.
Edit	This function enables the User to edit the selected Security CSD Link.
Restore	This function enables the User to restore a previously deleted Security CSD Link. If the status of the selected Security CSD Link is already set to 'Active', this function is not available. References for error messages: <ul style="list-style-type: none"><input type="checkbox"/> DSD4001<input type="checkbox"/> DSD4002<input type="checkbox"/> DSD4012<input type="checkbox"/> DSD4013<input type="checkbox"/> DSD4014<input type="checkbox"/> DSD4034<input type="checkbox"/> DSD4043<input type="checkbox"/> DSD4047<input type="checkbox"/> DSD4049<input type="checkbox"/> DSD4050
Revisions	This function enables the User to access the Display Revisions List screen related to the selected Security CSD Link.
Delete	This function enables the User to delete the selected Security CSD Link, after confirmation. If the status of the selected Security CSD Link is already set to 'Deleted', this function is not available. References for error messages: <ul style="list-style-type: none"><input type="checkbox"/> DSD4001<input type="checkbox"/> DSD4003



~~2.8.1.2.11 Security CSD Link – Details Screen~~

Context of Usage

~~This screen display detailed information on the selected Security CSD Link. This screen is not relevant for CB, payment bank and CSD participant users.~~

~~A security CSD link can only be deleted or restored by CSD, external CSD or by the T2S operator users. Users belonging to other CSDs can only delete or restore security CSD links to which they are linked as issuer or investor.~~

Screen Access

~~T2S >> Securities >> Security CSD Links >> Search/List screen >> Click on the Details button~~

Privileges

~~To use this screen, the following Privileges are needed:~~

- ~~Securities CSD Link Query~~
- ~~Delete Security CSD Link~~

Screenshot

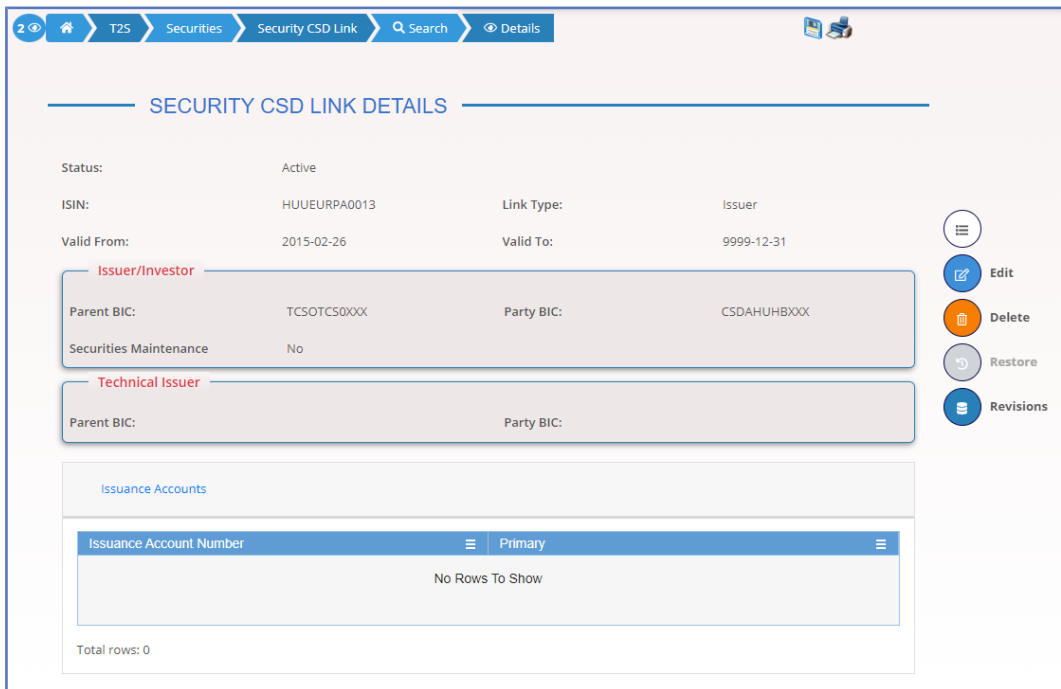


Illustration 156: ~~Security CSD Link – details screen {Split book approach }~~

Fields

Description

Security CSD Links – Details	
Status	Shows the status of the Security CSD Link. References for error messages: <input type="checkbox"/> DSD4003

Security CSD Links – Details	
	<input type="checkbox"/> DSD4012
ISIN	Shows the ISIN of the Securities Account. References for error messages: <input type="checkbox"/> DSD4002 <input type="checkbox"/> DSD4014
Link Type	Shows the Security CSD's Link Type. Reference for error message: <input type="checkbox"/> DSD4049
Valid From	Shows the date from which the link is valid. References for error messages: <input type="checkbox"/> DSD4002 <input type="checkbox"/> DSD4033 <input type="checkbox"/> DSD4034 <input type="checkbox"/> DSD4047
Valid To	Shows the date until which the link is valid. References for error messages: <input type="checkbox"/> DSD4033 <input type="checkbox"/> DSD4034 <input type="checkbox"/> DSD4047
Issuer/Investor	
Parent BIC	Shows the parent BIC of the issuer or investor. References for error messages: <input type="checkbox"/> DSD4001 <input type="checkbox"/> DSD4002 <input type="checkbox"/> DSD4013 <input type="checkbox"/> DSD4047
Party BIC	Shows the party BIC of the issuer or investor. References for error messages: <input type="checkbox"/> DSD4001 <input type="checkbox"/> DSD4002 <input type="checkbox"/> DSD4013 <input type="checkbox"/> DSD4047

Security CSD Links – Details	
Securities Maintenance	Shows the value of the security maintenance flag. Reference for error message: <input type="checkbox"/> DSD4043
Technical Issuer	
Parent BIC	Shows the parent BIC of the technical issuer. Reference for error message: <input type="checkbox"/> DSD4013
Party BIC	Shows the party BIC of the technical issuer. Reference for error message: <input type="checkbox"/> DSD4013
Issuance Accounts – List	
Issuance Account Number	Shows the number of the issuance account related to the security CSD link. References for error messages: <input type="checkbox"/> DSD4050
Primary	Shows whether the issuance account is primary or not.
Buttons	
Edit	This function enables the User to edit the details of the selected Security CSD Link.
Restore	This function enables the User to restore a previously deleted Security CSD Link. If the status of the selected Security CSD Link is already set to 'Active', this function is not available. References for error messages: <input type="checkbox"/> DSD4001 <input type="checkbox"/> DSD4002 <input type="checkbox"/> DSD4012 <input type="checkbox"/> DSD4013 <input type="checkbox"/> DSD4014 <input type="checkbox"/> DSD4034 <input type="checkbox"/> DSD4043 <input type="checkbox"/> DSD4047

	<ul style="list-style-type: none"> <input type="checkbox"/> DSD4049 <input type="checkbox"/> DSD4050
Revisions	This function enables the User to access the Display Revisions List screen related to the selected Security CSD Link.
Delete	This function enables the User to delete the selected Security CSD Link, after confirmation. If the status of the selected Security CSD Link is already set to 'Deleted', this function is not available. References for error messages: <ul style="list-style-type: none"> <input type="checkbox"/> DSD4001 <input type="checkbox"/> DSD4003 <input type="checkbox"/> DSD4033 {Split book approach }

~~2.8.1.2.12 Security CSD Link – New/Edit Screen~~

Context of Usage	This screen contains a number of fields regarding Security CSD Link. You can enter new data or edit existing ones. Afterwards you can proceed further by clicking on the buttons below.
Screen Access	<ul style="list-style-type: none"> <input type="checkbox"/> T2S >> Securities >> Security CSD Link >> Search/List screen >> Click on the New button <input type="checkbox"/> T2S >> Securities >> Security CSD Link >> Search/List screen >> Click on the Edit button <input type="checkbox"/> T2S >> Securities >> Security CSD Link >> New
Privileges	To use this screen, the following Privileges are needed: <ul style="list-style-type: none"> <input type="checkbox"/> Create Security CSD Link <input type="checkbox"/> Update Security CSD Link

Screenshot

Illustration 157: **Security CSD Link – new/edit screen** {Split book approach}

**Fields
Description**

Security CSD Links	
ISIN	<p>Enter or select the ISIN of the security.</p> <p>This field is mandatory and read only in edit mode.</p> <p>Required format is: 12x characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSC4002 <input type="checkbox"/> DSC4030 <input type="checkbox"/> DSC4043 <input type="checkbox"/> DSC4049
Link Type	<p>Select the CSD-link type from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Investor <input type="checkbox"/> Issuer <p>This field is mandatory and read only in edit mode.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSC4004

Security CSD Links	
	<input type="checkbox"/> DSC4044 <input type="checkbox"/> DSC4045 <input type="checkbox"/> DSC4046 <input type="checkbox"/> DSC4049
Valid From	<p>Enter or pick the date from which the Security CSD Link is valid.</p> <p>The 'Valid From' date must be equal to lower than the 'Valid To' date.</p> <p>This field is mandatory in create mode and the 'Valid From' date must be equal to or greater than the current business date.</p> <p>This field is mandatory and read only in edit mode if the 'Valid From' date is lower than the current business date.</p> <p>Required format is: Date.</p> <p>References for error messages:</p> <input type="checkbox"/> DSC4002 <input type="checkbox"/> DSC4020 <input type="checkbox"/> DSC4021 <input type="checkbox"/> DSC4045 <input type="checkbox"/> DSU4003
Valid To	<p>Enter or pick the date until which the Security CSD Link is valid.</p> <p>The 'Valid To' date must be equal to or greater than the current 'Valid From' date.</p> <p>This field is read only in edit mode if the 'Valid To' date is lower than the current business date.</p> <p>Required format is: Date.</p> <p>Reference for error message:</p> <input type="checkbox"/> DSC4021 <input type="checkbox"/> DSC4045 <input type="checkbox"/> DSU4021 <input type="checkbox"/> DSU4095
Issuer/Investor	

Security CSD Links	
Parent BIC	<p>Enter or select the parent BIC of the issuer or investor.</p> <p>This field is mandatory and read-only in edit mode.</p> <p>Required format is: max. 11x characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSC4001 <input type="checkbox"/> DSC4002 <input type="checkbox"/> DSC4040 <input type="checkbox"/> DSC4044 <input type="checkbox"/> DSC4045 <input type="checkbox"/> DSC4046 <input type="checkbox"/> DSC4047 <input type="checkbox"/> DSC4048 <input type="checkbox"/> DSC4049 <input type="checkbox"/> DSU4001
Party BIC	<p>Enter or select the party BIC of the issuer or investor.</p> <p>This field is mandatory and read-only in edit mode.</p> <p>Required format is: max. 11x characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSC4040 <input type="checkbox"/> DSC4044 <input type="checkbox"/> DSC4045 <input type="checkbox"/> DSC4046 <input type="checkbox"/> DSC4048 <input type="checkbox"/> DSC4049 <input type="checkbox"/> DSU4001
Securities Maintenance	<p>Select the value of the security maintenance flag.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSC4043 <input type="checkbox"/> DSC4044
Technical Issuer	
Parent BIC	<p>Enter or select the parent BIC of the technical issuer.</p> <p>If the link type is 'Issuer', this field is disabled.</p>

Security CSD Links	
	<p>If the link type is 'Investor', this field is mandatory. This field is read only in edit mode. Required format is: max. 11x characters. References for error messages:</p> <ul style="list-style-type: none"><input type="checkbox"/> DSC4041<input type="checkbox"/> DSC4048
Party BIC	<p>Enter or select the party BIC of the technical issuer. If the link type is 'Issuer', this field is disabled. If the link type is 'Investor', this field is mandatory. This field is read only in edit mode. Required format is: max. 11x characters. References for error messages:</p> <ul style="list-style-type: none"><input type="checkbox"/> DSC4041<input type="checkbox"/> DSC4048
Technical Issuer	
Issuance Account Number	<p>Enter or select the number of the issuance account related to the security CSD link. The list of issuance account is enabled only if Link Type is "Issuer CSD". This field is read only in edit mode. Required format is: max. 35 characters. References for error messages:</p> <ul style="list-style-type: none"><input type="checkbox"/> DSC4046<input type="checkbox"/> DSC4047<input type="checkbox"/> DSC4050
Primary	<p>Select whether the issuance account is primary or not (i.e. it is the one that is used for the realignment chain). This field is enabled only if Link Type is "Issuer CSD". This field is read only in edit mode. References for error messages:</p> <ul style="list-style-type: none"><input type="checkbox"/> DSC4050

Buttons

Submit	<p>This function enables the user to create or edit a Security CSD Link.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSC4001 <input type="checkbox"/> DSC4002 <input type="checkbox"/> DSC4020 <input type="checkbox"/> DSC4021 <input type="checkbox"/> DSC4030 <input type="checkbox"/> DSC4040 <input type="checkbox"/> DSC4041 <input type="checkbox"/> DSC4043 <input type="checkbox"/> DSC4044 <input type="checkbox"/> DSC4045 <input type="checkbox"/> DSC4046 <input type="checkbox"/> DSC4047 <input type="checkbox"/> DSC4048 <input type="checkbox"/> DSC4049 <input type="checkbox"/> DSC4050 <input type="checkbox"/> DSU4001 <input type="checkbox"/> DSU4003 <input type="checkbox"/> DSU4021 <input type="checkbox"/> DSU4095
Reset	<p>This function enables the user to set all fields to default value and blanks out all optional fields.</p>
Cancel	<p>This function enables the user to cancel the process and return to the previous screen. {Split book approach}</p>

~~2.8.1.2.13 Cash Discount Penalty Rate Search/List Screen~~

Context of Usage

~~This screen enables the user to display a list of Cash Discount Penalty Rate matching the entered criteria.~~

~~When accessed from the menu, this screen gives also the possibility to display, edit, delete and restore a selected Cash Discount Penalty Rate.~~

Finally it is possible to create a new Cash Discount Penalty Rate.

Screen Access

T2S >> Securities >> Cash Discount Penalty Rate

Privileges

To use this screen, the following Privileges are needed:

- Cash Discount Penalty Rate query**
- Delete Cash Discount Penalty Rate**

Screenshot

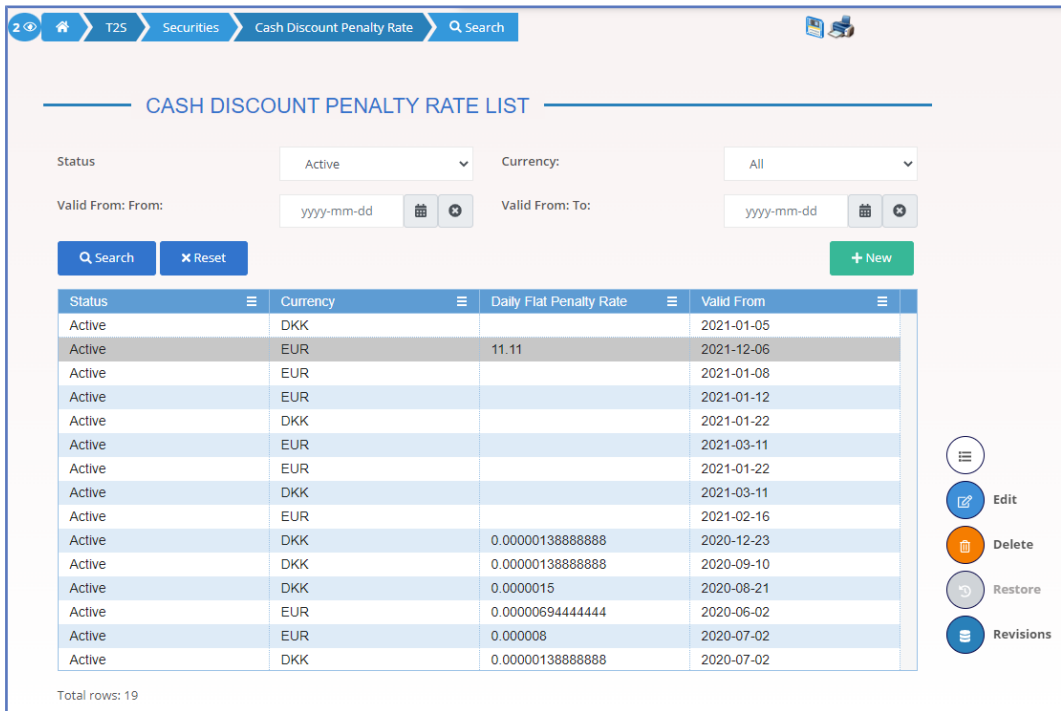


Illustration 158: **Cash Discount Penalty Rate – search/list screen** {Split book approach}

Fields Description

Cash Discount Penalty Rate – Search Criteria	
Status	<p>Select the status of the Cash Discount Penalty Rate from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted
Currency	Select the currency the rate applies to.
Valid From: From	<p>Enter or pick the lower bound of the date from which the cash discount penalty rate is open.</p> <p>Required format is: Date.</p>
Valid From: To	<p>Enter or pick the upper bound of the date from which the cash discount penalty rate is open.</p> <p>Required format is: Date</p>

Cash-Discount Penalty Rate – List	
Status	Shows the status of the Cash-Discount Penalty Rate. References for error messages: <input type="checkbox"/> DSD8002 <input type="checkbox"/> DSD8003
Currency	Shows the currency code. References for error messages: <input type="checkbox"/> DSD8004 <input type="checkbox"/> DSD8005
Daily Flat Penalty Rate	Shows the daily flat penalty rate.
Valid From	Shows the validity date of the cash discount penalty rate. References for error messages: <input type="checkbox"/> DSD8005

Buttons

Search	This function enables the user to start a search according to the entered criteria.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
Revisions	This function enables the user to display the revisions of the selected Cash-Discount Penalty Rate. {Split book approach}

2.8.1.2.14 Euro Foreign Exchange Reference Rate – Search/List Screen

Context of Usage

This screen allows the authorised users to search for Euro Foreign Exchange Reference Rates.

Euro Foreign Exchange Reference rate data can be viewed by any user with the correct privilege, while the Service Operator can view all Euro Foreign Exchange Reference rate data.

Screen Access

- T2S >> Securities >> Euro Foreign Exchange Reference Rate >> Search

Privileges

To use this screen, the following Privileges are needed:

- Euro Foreign Exchange Rates Query

Delete Euro Foreign Exchange Rates

Screenshot

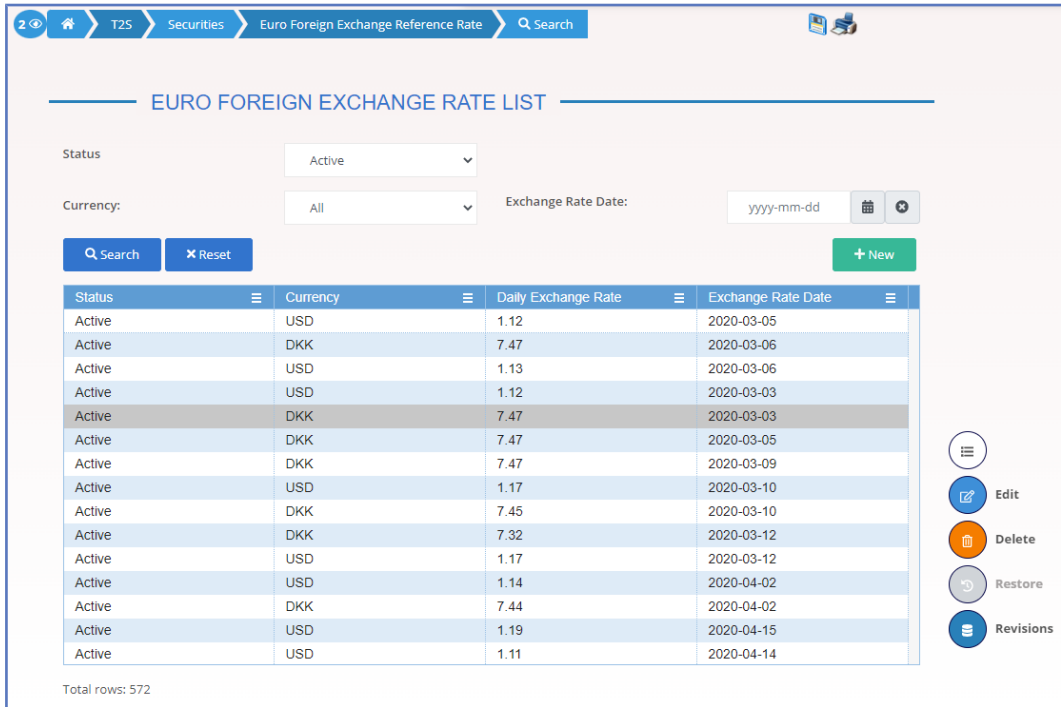


Illustration 159: Euro Foreign Exchange Reference Rate – search/list screen (Split book approach)

Fields

Description

Euro Foreign Exchange Reference Rate – Search Criteria	
Status	Select the status of the Euro Foreign Exchange Reference Rate from the possible values: <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted
Currency	Select the currency from the drop-down menu. The default value is 'All'.
Exchange Rate Date	Enter or pick the validity date of the Exchange Rate. Required format is: Date.
Euro Foreign Exchange Reference Rate – List	
Status	Shows the status of the Cash Discount Penalty Rate. References for error messages: <input type="checkbox"/> DSD8002 <input type="checkbox"/> DSD8003
Currency	Shows the currency code. References for error messages:

Euro Foreign Exchange Reference Rate – List

	<input type="checkbox"/> DSD8004 <input type="checkbox"/> DSD8005
Daily Exchange Rate	Shows the daily exchange rate.
Exchange Rate Date	Shows the date to which the exchange rate applies. References for error messages: <input type="checkbox"/> DSDA005

Buttons

Search	This function enables the User to start a search according to the entered criteria. The results are displayed in a list on the same screen.
Reset	This function enables the User to set default search criteria and blanks out all optional criteria.
New	This function enables the User to create a new Euro Foreign Exchange Reference Rate.
Edit	This function enables the User to edit the selected Euro Foreign Exchange Reference Rate.
Restore	This function enables the User to restore a previously deleted Euro Foreign Exchange Reference Rate. If the status of the selected Euro Foreign Exchange Reference Rate is already set to 'Active', this function is not available. References for error messages: <input type="checkbox"/> DSDA001 <input type="checkbox"/> DSDA003 <input type="checkbox"/> DSDA004 <input type="checkbox"/> DSDA005
Revisions	This function enables the User to access the Display Revisions List screen related to the selected Euro Foreign Exchange Reference Rate.
Delete	This function enables the User to delete the selected Euro Foreign Exchange Reference Rate, after confirmation.

If the status of the selected Euro Foreign Exchange Reference Rate is already set to 'Deleted', this function is not available.

References for error messages:

- DSDA001**
- DSDA002 (Split book approach)**

2.8.1.2.15 Euro Foreign Exchange Reference Rate – New/Edit Screen

Context of Usage

This screen contains a number of fields regarding Euro Foreign Exchange Reference Rate. You can enter new data or edit existing ones. Afterwards you can proceed further by clicking on the buttons below.

Euro Foreign Exchange Reference rate data can only be created and edited by users belonging to Service Operator and ECB with the correct privilege.

Screen Access

- T2S >> Securities >> Euro Foreign Exchange Reference Rate >> Search/List screen >> Click on the New button**
- T2S >> Securities >> Euro Foreign Exchange Reference Rate >> Search/List screen >> Click on the Edit button**
- T2S >> Securities >> Euro Foreign Exchange Reference Rate >> New**

Privileges

To use this screen, the following Privileges are needed:

- Create Euro Foreign Exchange Rate**
- Update Euro Foreign Exchange Rate**

Screenshot

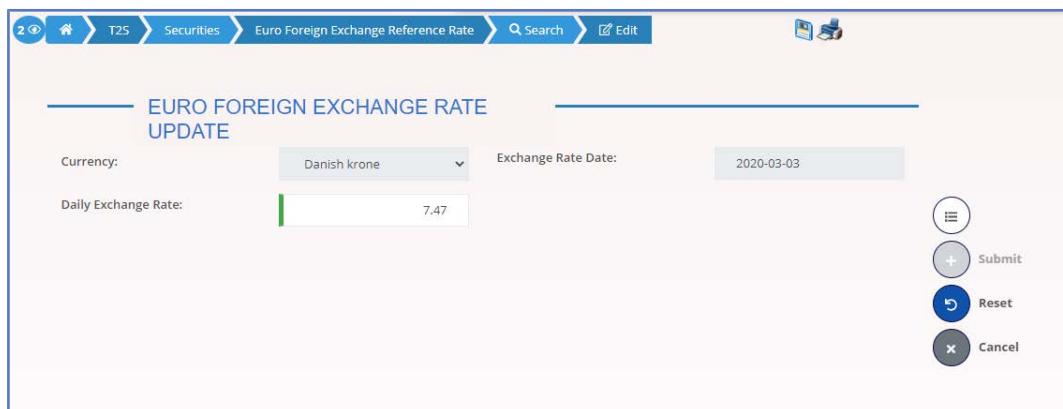


Illustration 160: Euro Foreign Exchange Reference Rate – new screen (Split book approach)

Fields

Description

Euro Foreign Exchange Reference Rate	
Currency	Select the currency code related to the exchange rate selecting it from the drop-down menu.

Euro Foreign Exchange Reference Rate	
	<p>This field is mandatory.</p> <p>This field is read-only in edit mode.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSDC002 <input type="checkbox"/> DSDC003
Daily Exchange Rate	<p>Enter the Daily Exchange Rate for the relevant currency code.</p> <p>This field is mandatory.</p> <p>Required format is: AmountRate with max. 18 integers and 5 decimals.</p>
Exchange Rate Date	<p>Enter or pick the validity date of the Exchange Date Rate.</p> <p>This field is mandatory.</p> <p>This field is read-only in edit mode.</p> <p>Required format is: Date.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSDC003

Buttons

Buttons	
Submit	<p>This function enables the user to create or edit a Euro Foreign Exchange Reference Rate.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSCA001 <input type="checkbox"/> DSCA002 <input type="checkbox"/> DSCA003 <input type="checkbox"/> DSUA001 <input type="checkbox"/> DSUA002
Reset	<p>This function enables the user to set all fields to default value and blanks out all optional fields.</p>
Cancel	<p>This function enables the user to cancel the process and return to the previous screen. {Split book approach}</p>

~~2.8.1.2.16 Daily Price – Search/List Screen~~

Context of Usage

~~This screen allows the authorised users to search for the Daily Prices. The Service Operators and CSD users can additionally manage the Daily Prices creating new ones or editing, deleting or restoring existing ones. The result list is sorted by default by “Status”, “ISIN” and “Price Date.~~

Screen Access

~~T2S >> Securities >> Daily Prices >> Search~~

Privileges

~~To use this screen, the following Privileges are needed:~~

- ~~Daily Price query~~
- ~~Delete Daily Price~~

Screenshot

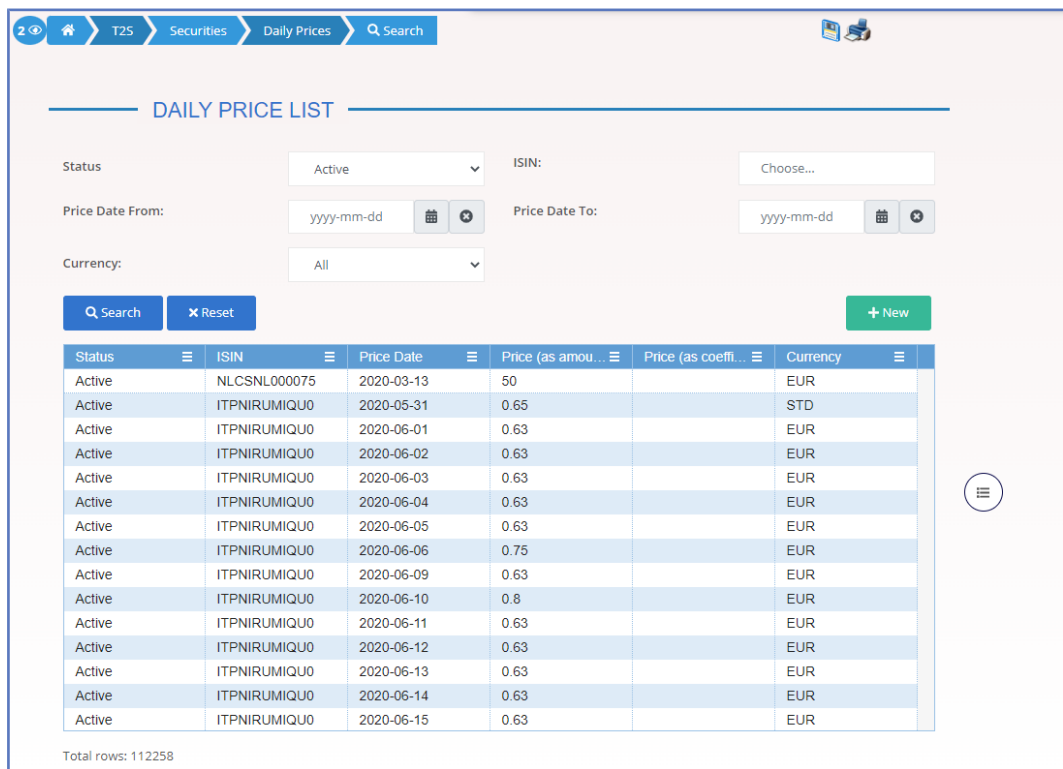


Illustration 161: ~~Daily Price – search/list screen~~ {Split book approach}

Fields Description

Daily Price – Search Criteria	
Status	<p>Select the status of the Euro Foreign Exchange Reference Rate from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted
ISIN	<p>Enter or Select the ISIN of the security the Daily Price applied to</p> <p>Required format is: max. 12x characters.</p>

Daily Price— Search Criteria	
Price date From	Enter or pick the lower bound for the validity date of the Daily Price. Required format is: Date.
Price date To	Enter or pick the upper bound for the validity date of the Daily Price. Required format is: Date.
Currency	Select the Currency associated to the Daily Price from the drop-down menu. This field is mandatory. The default value is 'All'.
Daily Price— List	
Status	Shows the status of the Daily Price. References for error messages: <input type="checkbox"/> DSD9002 <input type="checkbox"/> DSD9003
ISIN	Shows the ISIN of the security the Daily Price applied to. References for error messages: <input type="checkbox"/> DSD9004
Price date	Shows the validity date of the Daily Price. References for error messages: <input type="checkbox"/> DSD9006
Price (as amount)	Shows the price of the security as amount. References for error messages: <input type="checkbox"/> DSD9007
Price (as coefficient)	Shows the price of the security as coefficient. References for error messages: <input type="checkbox"/> DSD9007
Currency	Shows the currency of the Daily Price. References for error messages: <input type="checkbox"/> DSD9005

Buttons

Search	This function enables the User to start a search according to the entered criteria. The results are displayed in a list on the same screen.
Reset	This function enables the User to set default search criteria and blanks out all optional criteria.
New	This function enables the User to create a new Daily Price.
Edit	This function enables the User to edit the selected Daily Price.
Restore	This function enables the User to restore a previously deleted Daily Price. If the status of the selected Daily Price is already set to 'Active', this function is not available. References for error messages: <ul style="list-style-type: none"> <input type="checkbox"/> DSD9001 <input type="checkbox"/> DSD9003 <input type="checkbox"/> DSD9004 <input type="checkbox"/> DSD9005 <input type="checkbox"/> DSD9006 <input type="checkbox"/> DSD9007
Revisions	This function enables the User to access the Display Revisions List screen related to the selected Daily Price.
Delete	This function enables the User to delete the selected Daily Price, after confirmation. If the status of the selected Daily Price is already set to 'Deleted', this function is not available. References for error messages: <ul style="list-style-type: none"> <input type="checkbox"/> DSD9001 <input type="checkbox"/> DSD9002 {Split book approach}

2.8.1.2.17 Daily Price — New/Edit Screen**Context of Usage**

This screen contains a number of fields regarding Daily Price. You can enter new data or edit existing ones. Afterwards you can proceed further by clicking on the buttons below.

Only the Service Operator and the CSD users can access the screen via the menu:

- Screen Access**
- T2S >> Securities >> Daily Price >> Search/List screen >> Click on the New button
 - T2S >> Securities >> Daily Price >> Search/List screen >> Click on the Edit button
 - T2S >> Securities >> Daily Price >> New

- Privileges**
- To use this screen, the following Privileges are needed:
- Create Daily Price
 - Update Daily Price

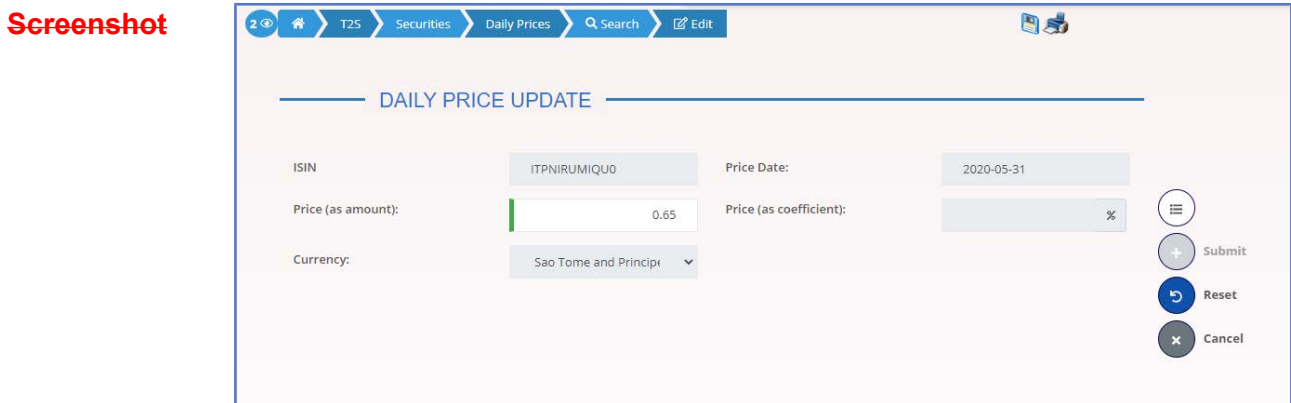


Illustration 162: Daily Price – new screen {Split book approach}

Daily Price	
Fields Description	
ISIN	<p>Enter or select the ISIN of the security the price applies to.</p> <p>This field is mandatory.</p> <p>This field is read-only in edit mode.</p> <p>Required format is: 12x characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSC9002
Price date	<p>Enter the validity date of the Daily Price.</p> <p>This field is mandatory.</p> <p>This field is read-only in edit mode.</p> <p>Required format is: Date.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSC9004
Price (as amount)	<p>Enter the price of the security as amount.</p>

Daily Price	
	<p>Only one among Price as amount and Price as coefficient must be filled in.</p> <p>Required format is: Amount with up to 18 digits thereof maximum 2 decimals.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSC9005 <input type="checkbox"/> DSC9006 <input type="checkbox"/> DSU9004 <input type="checkbox"/> DSU9005
Price (as coefficient)	<p>Enter the price of the security as coefficient.</p> <p>Only one among Price as amount and Price as coefficient must be filled in.</p> <p>Required format is: Percentagerate with up to 18 digits thereof maximum 17 decimals.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSC9006 <input type="checkbox"/> DSU9005
Currency	<p>Select the currency of the Daily Price selecting it from the drop-down menu.</p> <p>This field is mandatory.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSC9003 <input type="checkbox"/> DSU9003

Buttons

Buttons	
Submit	<p>This function enables the user to create or edit a Daily Price.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DSC9001 <input type="checkbox"/> DSC9002 <input type="checkbox"/> DSC9003 <input type="checkbox"/> DSC9004 <input type="checkbox"/> DSC9005 <input type="checkbox"/> DSC9006

	<input type="checkbox"/> DSU9001 <input type="checkbox"/> DSU9002 <input type="checkbox"/> DSU9003 <input type="checkbox"/> DSU9004 <input type="checkbox"/> DSU9005
Reset	This function enables the user to set all fields to default value and blanks out all optional fields.
Cancel	This function enables the user to cancel the process and return to the previous screen. {Split book approach}

~~2.8.1.2.18 Securities Subject to Cash Penalties - Search/List Screen~~

Context of Usage

~~This screen allows the authorised users to search for Securities Subject to Cash Penalties.~~

~~Securities subject to cash penalties can only be created and edited by users belonging to a CSD maintainer of the security and endowed with the correct privilege. Securities subject to cash penalties can be viewed by any user with the correct privilege.~~

Screen Access

~~T2S >> Securities >> Securities Subject to Cash Penalties >> Search~~

Privileges

~~To use this screen, the following Privileges are needed:~~

- ~~Securities Subject to Cash Penalties Query~~
- ~~Delete Securities Subject to Cash Penalties~~

Screenshot

SECURITIES SUBJECT TO CASH PENALTY LIST

Status: Active | ISIN: Choose... | Financial Instrument Type: All | Liquidity Status: All

Valid From: From: yyyy-mm-dd | Valid From: To: yyyy-mm-dd

Valid To: From: yyyy-mm-dd | Valid To: To: yyyy-mm-dd

Status	ISIN	Financial Instru...	Liquidity	Valid From	Valid To
Active	NLCSNL000075	SHRS	Liquid	2020-03-12	9999-12-31
Active	NLCSNL000091	SOVR		2020-03-13	9999-12-31
Active	NLCSNL000117	SECU		2020-03-13	9999-12-31
Active	NLCSNL000133	UCIT		2020-03-13	9999-12-31
Active	NLCSNL000158	EMAL		2020-03-13	9999-12-31
Active	NLCSNL000109	DEBT		2020-03-13	9999-12-31
Active	NLCSNL000125	ETFS		2020-03-13	9999-12-31
Active	NLCSNL000141	MMKT		2020-03-13	9999-12-31
Active	NLCSNL000166	OTHR		2020-03-13	9999-12-31
Active	NLCGNL000020	SHRS	Liquid	2020-03-20	9920-03-01
Active	ESUEURPENA09	OTHR		2020-03-24	9999-12-31
Active	ESUEURPENY01	SHRS	Liquid	2020-03-25	9999-12-31
Active	ESUEURPENN04	SHRS	Illiquid	2020-03-26	9999-12-31
Active	ESUEURPESS04	SOVR		2020-03-26	9999-12-31
Active	ESUEURPEBB02	DEBT		2020-03-26	9999-12-31

Total rows: 1308

Illustration 163: **Securities Subject to Cash Penalties – search/list screen** {Split book approach}

Fields

Description

Securities Subject to Cash Penalties – Search Criteria	
Status	<p>Select the status of the Security Subject to Cash Penalties from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted
ISIN	<p>Enter or select the ISIN of the security subject to cash penalties.</p> <p>Required format is: max. 12x.</p>
Financial Instrument Type	<p>Select the financial instrument type of the security subject to cash penalties from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> SHRS <input type="checkbox"/> SOVR <input type="checkbox"/> DEBT <input type="checkbox"/> SECU <input type="checkbox"/> ETFS

Securities Subject to Cash Penalties — Search Criteria	
	<input type="checkbox"/> UCIT <input type="checkbox"/> MMKT <input type="checkbox"/> EMAL <input type="checkbox"/> OTHR
Liquidity status	Select the liquidity status of the security subject to cash penalties from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Liquid <input type="checkbox"/> Illiquid
Valid From: From	Enter or pick the lower bound for the valid from date of the security subject to cash penalties. Required format is: Date.
Valid From: To	Enter or pick the upper bound for the valid from date of the security subject to cash penalties. The 'Valid From: To' must be greater than the 'Valid From: From'. Required format is: Date.
Valid To: From	Enter or pick the lower bound for the valid to date of the security subject to cash penalties. Required format is: Date.
Valid To: To	Enter or pick the upper bound for the valid to date of the security subject to cash penalties. The 'Valid To: To' must be greater than the 'Valid To: From'. Required format is: Date.
Securities Subject to Cash Penalties — List	
Status	Shows the status of the Daily Price. References for error messages: <input type="checkbox"/> DSD6002 <input type="checkbox"/> DSD6003
ISIN	Shows the ISIN of the security the Daily Price applied to. References for error messages: <input type="checkbox"/> DSD6004

Securities Subject to Cash Penalties—List	
Financial Instrument Type	Shows the financial instrument type of the security.
Liquidity	Shows the liquidity status of the security subject to cash penalties.
Valid From	Shows the valid from date of the securities subject to cash penalties. References for error messages: <ul style="list-style-type: none"><input type="checkbox"/> DSD6002<input type="checkbox"/> DSD6003<input type="checkbox"/> DSD6005
Valid To	Shows the valid to date of the securities subject to cash penalties. References for error messages: <ul style="list-style-type: none"><input type="checkbox"/> DSD6002<input type="checkbox"/> DSD6003<input type="checkbox"/> DSD6005

Buttons

Buttons	
Search	This function enables the User to start a search according to the entered criteria. The results are displayed in a list on the same screen.
Reset	This function enables the User to set default search criteria and blanks out all optional criteria.
New	This function enables the User to create a new Security Subject to Cash Penalties.
Edit	This function enables the User to edit the selected Security Subject to Cash Penalties.
Restore	This function enables the User to restore a previously deleted Securities Subject to Cash Penalties. If the status of the selected Security Subject to Cash Penalties is already set to 'Active', this function is not available. References for error messages: <ul style="list-style-type: none"><input type="checkbox"/> DSD6001<input type="checkbox"/> DSD6002

Revisions	This function enables the User to access the Display Revisions List screen related to the selected Security Subject to Cash Penalties.
Delete	This function enables the User to delete the selected Security Subject to Cash Penalties, after confirmation. If the status of the selected Security Subject to Cash Penalties is already set to 'Deleted', this function is not available. References for error messages: <input type="checkbox"/> DSD6001 <input type="checkbox"/> DSD6002 {Split book approach}

2.8.1.2.19 ~~Securities subject to Cash Penalties — New/Edit Screen~~

Context of Usage

This screen contains a number of fields regarding Securities Subject to Cash Penalties. You can enter new data or edit existing ones. Afterwards you can proceed further by clicking on the buttons below.

This screen is relevant only for CSD users.

Screen Access

- T2S >> Securities >> Securities Subject to Cash Penalties >> Search/List screen >> Click on the New button
- T2S >> Securities >> Securities Subject to Cash Penalties >> Search/List screen >> Click on the Edit button
- T2S >> Securities >> Securities Subject to Cash Penalties >> New

Privileges

To use this screen, the following Privileges are needed:

- Create Securities Subject to Cash Penalties**
- Update Securities Subject to Cash Penalties**

Screenshot

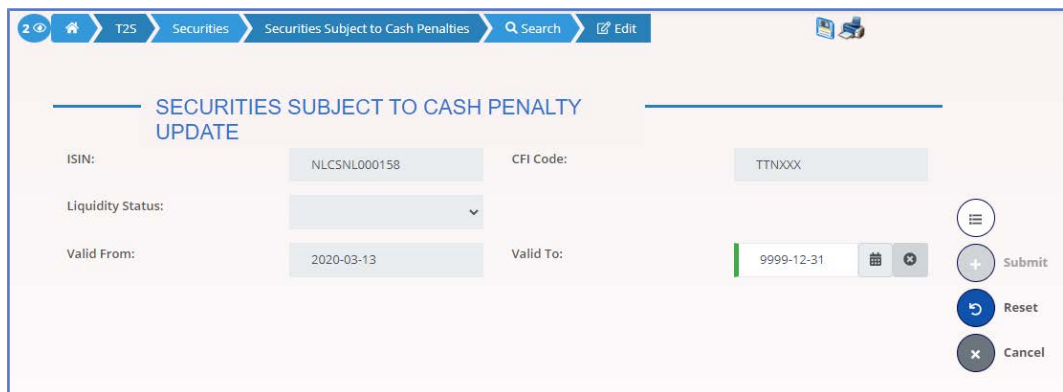


Illustration 164: ~~Securities Subject to Cash Penalties — new screen~~ {Split book approach}

Fields	Securities subject to Cash Penalties	
Description	ISIN	<p>Enter or select the ISIN of the security subject to cash penalties.</p> <p>Required format is: 12x.</p> <p>References for error messages:</p> <ul style="list-style-type: none"><input type="checkbox"/> DSC6002<input type="checkbox"/> DSC6005
	CFI Code	<p>Shows the CFI code associated to the selected securities.</p> <p>This field is read only.</p> <p>References for error messages:</p> <ul style="list-style-type: none"><input type="checkbox"/> DSC6003
	Liquidity status	<p>Select the liquidity status of the security subject to cash penalties from the possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> Liquid<input type="checkbox"/> Illiquid <p>This field is mandatory and selectable only for shares.</p> <p>References for error messages:</p> <ul style="list-style-type: none"><input type="checkbox"/> DSC6003<input type="checkbox"/> DSU6003
	Valid From	<p>Enter or pick the date from which the security is subject to cash penalties.</p> <p>This field is mandatory.</p> <p>This field is read only in edit mode if the Valid from Date is lower than or equal to the current business date.</p> <p>Required format is: Date.</p> <p>References for error messages:</p> <ul style="list-style-type: none"><input type="checkbox"/> DSC6005<input type="checkbox"/> DSU6006
	Valid To	<p>Enter or pick the date until which the security is subject to cash penalties.</p> <p>The “valid to” date, when present, must be greater than the “Valid From” date.</p> <p>Required format is: Date.</p>

Securities subject to Cash Penalties

References for error messages:

- DSC6004**
- DSC6005**
- DSU6004**
- DSU6005**
- DSU6006**

Buttons

Submit

This function enables the user to create or edit a Securities Subject to Cash Penalties.

References for error messages:

- DSC6001**
- DSC6002**
- DSC6003**
- DSC6004**
- DSC6005**
- DSU6001**
- DSU6002**
- DSU6003**
- DSU6004**
- DSU6005**
- DSU6006**

Reset

This function enables the user to set all fields to default value and blanks out all optional fields.

Cancel

This function enables the user to cancel the process and return to the previous screen. {Split book approach}

2.8.1.2.20 Security Penalty Rate - Search/List Screen

Context of Usage

This screen allows the authorised users to search for Security Penalty Rates.

The Service Operator users can additionally manage the Security Penalty Rate creating new ones or editing, deleting or restoring existing ones.

The result list is sorted by default by “Status” and “Asset Type”.

Screen Access **T2S >> Securities >> Security Penalty Rate >> Search**

Privileges **To use this screen, the following Privileges are needed:**

Security Penalty Rate Query

Screenshot

SECURITY PENALTY RATE LIST

Status: Active | Asset Type: Choose.. | Valid From from: yyyy-mm-dd | Valid From to: yyyy-mm-dd

Search: [Search] [Reset] [New]

Status	Asset Type	Daily Flat Penalty Rate	Valid From
Active	Corporate Bonds	0.00002	2020-03-05
Active	Corporate Bonds		2020-02-27
Active	Government and Municipal Bonds		2020-02-27
Active	Illiquid Shares		2020-02-27
Active	Other financial instruments		2020-02-27
Active	SME Growth Market Bonds		2020-02-27
Active	SME Growth Market (non-Bonds)		2020-02-27
Active	Other financial instruments		2020-03-05
Active	SME Growth Market Bonds	0.000015	2020-03-05
Active	Corporate Bonds	0.00002	2020-05-16
Active	SME Growth Market (non-Bonds)	0.000025	2020-05-28
Active	SME Growth Market Bonds	0.000015	2020-06-19
Active	SME Growth Market (non-Bonds)	0.000025	2020-07-02
Active	SME Growth Market Bonds	0.000015	2020-07-02
Active	Government and Municipal Bonds	0.000011	2020-09-10

Total rows: 60

Illustration 165: ~~Security Penalty Rate – search/list screen~~ (Split book approach)

Fields

Description

Security Penalty Rate – Search Criteria	
Status	<p>Select the status of the Security Penalty Rate from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted
Asset Type	<p>Select the Asset Type the rate applies to from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Liquid shares <input type="checkbox"/> Illiquid shares <input type="checkbox"/> SME Growth Market (non-bonds) <input type="checkbox"/> Corporate bonds <input type="checkbox"/> SME Growth Market bonds <input type="checkbox"/> Government and Municipal bonds <input type="checkbox"/> Other financial instruments

Security Penalty Rate – Search Criteria	
Valid From from	Enter or pick the lower bound from which the security penalty rate is open. Required format is: Date.
Valid From to	Enter or pick the upper bound from which the security penalty rate is open. Required format is: Date.

Security Penalty Rate – List	
Status	Shows the status of the Security Penalty Rate. References for error messages: <input type="checkbox"/> DSD7002 <input type="checkbox"/> DSD7003
Asset Type	Shows the asset type. References for error messages: <input type="checkbox"/> DSD7004
Daily flat penalty rate	Shows the daily flat penalty rate.
Valid From	Shows the validity date of the security penalty rate. References for error messages: <input type="checkbox"/> DSD7005

Buttons

Search	This function enables the User to start a search according to the entered criteria. The results are displayed in a list on the same screen.
Reset	This function enables the User to set default search criteria and blanks out all optional criteria.
Revisions	This function enables the User to access the Display Revisions List screen related to the selected Security Penalty Rate. {Split book approach}

2.8.1.2.21 SME Growth Market – Search/List Screen

Context of Usage

This screen allows the authorised users to search for SME Growth Markets. The Service Operator users can additionally manage the SME Growth Market creating new ones or editing, deleting or restoring existing ones.

The result list is sorted by default by “Status” and “Asset Type”.

Screen Access

- T2S >> Securities >> SME Growth Market >> Search

Privileges

To use this screen, the following Privileges are needed:

- SME Growth Market Query
- Delete SME Growth Market

Screenshot

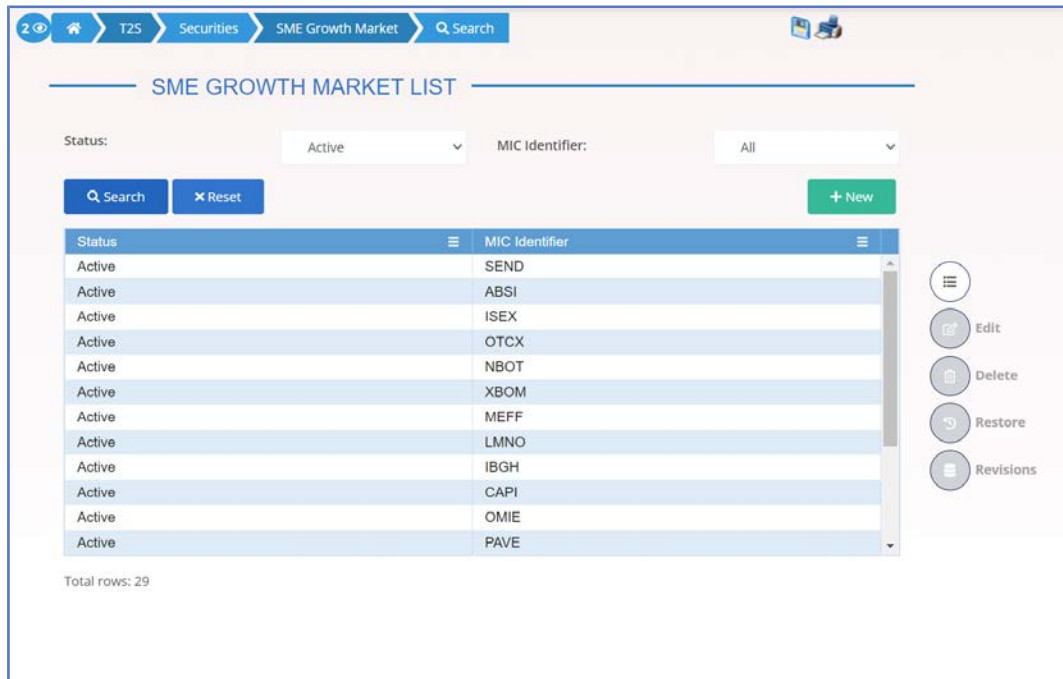


Illustration 166: SME Growth Market – search/list screen {Split book approach}

Fields

Description

SME Growth Market – Search Criteria	
Status	Select the status of the SME Growth Market from the possible values: <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted
MIC Identifier	Select the MIC Identifier. The default value is 'All'.

SME Growth Market – List	
Status	Shows the status of the SME Growth Market. References for error messages: <input type="checkbox"/> DSD0002 <input type="checkbox"/> DSD0003

SME Growth Market – List	
MIC Identifier	Shows the Market Identifier Codes (according to ISO10383) corresponding to a trading venues (Place of Trade) and belonging to a specific SME Growth Market segment. References for error messages: <input type="checkbox"/> DSD0004

Buttons

Search	This function enables the User to start a search according to the entered criteria. The results are displayed in a list on the same screen.
Reset	This function enables the User to set default search criteria and blanks out all optional criteria.
Revisions	This function enables the User to access the Display Revisions List screen related to the selected SME Growth Market. {Split book approach}

2.8.1.3 Securities Account

2.8.1.3.1 CSD Account Links – Search/List Screen

Context of Usage

This screen allows the user to search for CSD Account Links. The search results will be displayed in a list, which is sorted by the values of the 'Parent BIC' of the investor CSD column in ascending order (default setting). After selecting an entry, the user can proceed further by clicking on the buttons below.

CSD Account Link can only be deleted/restored by the Service Operator, CSD or External CSD users. A user different from the Service Operator can delete/restore only CSD Account Links where his own CSD is linked as Issuer or Investor.

This screen is not relevant for NCB, CSD Participant and Payment Bank users.

Screen Access

T2S >> Security Accounts >> CSD Account Links >> Search

Privileges

To use this screen, the following Privileges are needed:

- CSD account link query
- Delete CSD account link

Screenshot

Illustration 167: CSD Account Links – search/list screen {Split book approach}

Fields

Description

CSD Account Links – Search Criteria	
Status	<p>Select the status of the CSD Account Links from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted
Investor	
Parent BIC	<p>Enter or select the Parent BIC of the investor CSD. Required format is: max. 11x characters.</p>
Party BIC	<p>Enter or select the BIC of the investor CSD. Required format is: max. 11x characters.</p>
Technical Issuer	

CSD Account Links – Search Criteria	
Parent BIC	Enter or select the Parent BIC of the technical issuer. Required format is: max. 11x characters.
Party BIC	Enter or select the BIC of the technical issuer. Required format is: max. 11x characters.
Valid from from	Enter or pick the lower bound of the search range for the date from which the CSD Account Link is valid. The lower bound of the search range must be earlier than the upper bound. Required format is: Date.
Valid from to	Enter or pick the upper bound of the search range for the date from which the CSD Account Link is valid. The upper bound of the search range must be later than the lower bound. Required format is: Date.
Valid to from	Enter or pick the lower bound of the search range for the date until which the CSD Account Link is valid. The lower bound of the search range must be earlier than the upper bound. Required format is: Date.
Valid to to	Enter or pick the upper bound of the search range for the date until which the CSD Account Link is valid. The upper bound of the search range must be later than the lower bound and later than the lower bound of the 'Valid From' date. Required format is: Date.
CSD Participant Account Number	Enter or select the number of the CSD participant's account. Required format is: max. 35x characters.
External CSD Participant Account Number	Enter the number of the external CSD participant's account. Required format is: max. 35x characters.
Mirror Account Number	Enter or select the number of the mirror account. Required format is: max. 35x characters.
Inter-CSD Account Number	Enter or select the number of the inter-CSD account. Required format is: max. 35x characters.

CSD Account Links – Search Criteria

Omnibus Account Number	Enter or select the number of the omnibus account. Required format is: max. 35x characters.
-------------------------------	--

CSD Account Links – List

Status	Shows the status of the CSD Account Link from the possible.
Investor	
Parent BIC	Shows the Parent BIC of the investor CSD.
Party BIC	Shows the BIC of the investor CSD.
Technical Issuer	
Parent BIC	Shows the Parent BIC of the technical issuer.
Party BIC	Shows the BIC of the technical issuer.
Valid from	Shows the date from which the CSD Account Link is valid.
Valid from to	Shows the date until which the CSD Account Link is valid.
CSD Participant Account Number	Shows the number of the CSD participant's account.
External CSD Participant Account Number	Shows the number of the external CSD participant's account.
Mirror Account Number	Shows the number of the mirror account.
Inter-CSD Account Number	Shows the number of the inter-CSD account.
Omnibus Account Number	Shows the number of the omnibus account.

Buttons

Search	This function enables the user to start a search according to the filled in criteria.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
New	This function enables the user to create a new CSD Account Link.

Edit	This function enables the user to edit the details of the selected CSD Account Link. If the status of the selected CSD Account Link is already set to 'Deleted', this function is not available.
Delete	This function enables the user to delete the selected CSD Account Link. If the status of the selected CSD Account Link is already set to 'Deleted', this function is not available.
Restore	This function enables the user to restore a previously deleted CSD Account Link. If the status of the selected CSD Account Link is already set to 'Active' this function is not available.
Revisions	This function enables the user to display the revisions of the selected CSD Account Link. {Split book approach}

~~2.8.1.3.2 CSD Account Link – New/Edit Screen~~

Context of Usage

~~This screen enables the user to create a new CSD Account Link or to edit an existing one.~~

~~CSD Account Link can be created only by the Service Operator, CSD or External CSD users. A user different from the Service Operator can create or update only CSD Account Links where his CSD is linked as Investor.~~

~~This screen is not relevant for NCB, CSD Participant and Payment Bank users.~~

Screen Access

- ~~T2S >> Securities Account >> CSD Account Links >> New~~
- ~~T2S >> Securities Account >> CSD Account Links >> CSD Account Links – search/list screen >> Click on the new or edit button~~

Privileges

~~To use this screen, the following Privileges are needed:~~

- ~~Create CSD Account Link~~
- ~~Update CSD Account Link~~

Screenshot

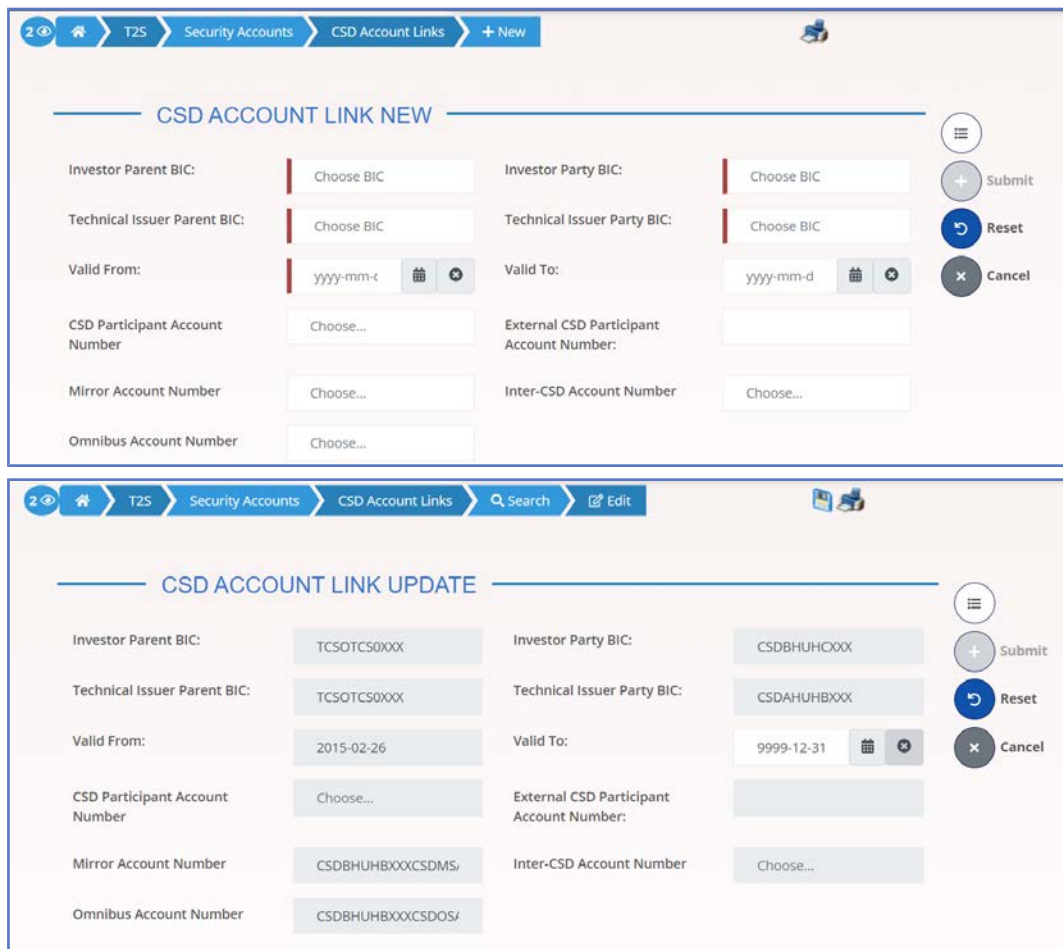


Illustration 168: **CSD Account Links – new/edit screen** (Split book approach)

Fields

Description

CSD Account Link	
Investor	
Parent BIC	<p>Enter or select the Parent BIC of the investor CSD.</p> <p>This field is mandatory in create mode.</p> <p>This field is read-only in edit mode.</p> <p>Required format is: max. 11x characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DAC2001 <input type="checkbox"/> DAC2002 <input type="checkbox"/> DAC2003 <input type="checkbox"/> DAC2020 <input type="checkbox"/> DAC2041 <input type="checkbox"/> DAC2050 <input type="checkbox"/> DAC2060

CSD Account Link	
	<input type="checkbox"/> DAC2070 <input type="checkbox"/> DAU2001
Party BIC	<p>Enter or select the BIC of the investor CSD.</p> <p>This field is mandatory in create mode.</p> <p>This field is read-only in edit mode.</p> <p>Required format is: max. 11x characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DAC2001 <input type="checkbox"/> DAC2002 <input type="checkbox"/> DAC2003 <input type="checkbox"/> DAC2020 <input type="checkbox"/> DAC2041 <input type="checkbox"/> DAC2050 <input type="checkbox"/> DAC2060 <input type="checkbox"/> DAC2070 <input type="checkbox"/> DAU2001
Technical Issuer	
Parent BIC	<p>Enter or select the Parent BIC of the technical issuer.</p> <p>This field is mandatory in create mode.</p> <p>This field is read-only in edit mode.</p> <p>Required format is: max. 11x characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DAC2002 <input type="checkbox"/> DAC2003 <input type="checkbox"/> DAC2030 <input type="checkbox"/> DAC2080
Party BIC	<p>Enter or select the BIC of the technical issuer.</p> <p>This field is mandatory in create mode.</p> <p>This field is read-only in edit mode.</p> <p>Required format is: max. 11x characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DAC2002

CSD Account Link	
	<ul style="list-style-type: none"> <input type="checkbox"/> DAC2003 <input type="checkbox"/> DAC2030 <input type="checkbox"/> DAC2080
Valid from	<p>Enter or pick the date from which the CSD Account Link is valid.</p> <p>This field is mandatory in create mode.</p> <p>This field is read-only in edit mode.</p> <p>Required format is: Date.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DAC2003 <input type="checkbox"/> DAC2100 <input type="checkbox"/> DAC2101 <input type="checkbox"/> DAU2029
Valid to	<p>Enter or pick the date until which the CSD Account Link is valid.</p> <p>The 'Valid to' date must be equal to or later than the 'Valid from' date.</p> <p>Required format is: Date.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DAC2003 <input type="checkbox"/> DAC2101 <input type="checkbox"/> DAU2029
CSD Participant Account Number	<p>Enter or select the number of the CSD participant's account.</p> <p>This field is disabled if the External CSD participant account is filled in.</p> <p>At least one field among 'CSD Participant Account Number', 'Mirror Account Number', 'External CSD Participant Account', 'Inter-CSD Account Number' and 'Omnibus Account Number must be filled in.</p> <p>This field is read-only in edit mode, if present.</p> <p>Required format is: max. 35x characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DAC2003

CSD Account Link	
	<input type="checkbox"/> DAC2041 <input type="checkbox"/> DAC2050
External CSD Participant Account Number	<p>Enter the number of the external CSD participant's account.</p> <p>This field is disabled if the CSD participant account is filled in.</p> <p>At least one field among 'CSD Participant Account Number', 'Mirror Account Number', 'External CSD Participant Account', 'Inter-CSD Account Number' and 'Omnibus Account Number' must be filled in.</p> <p>This field is read-only in edit mode, if present.</p> <p>Required format is: max. 35x characters.</p> <p>References for error messages:</p> <input type="checkbox"/> DAC2003
Mirror Account Number	<p>Enter or select the number of the mirror account.</p> <p>At least one field among 'CSD Participant Account Number', 'Mirror Account Number', 'External CSD Participant Account', 'Inter-CSD Account Number' and 'Omnibus Account Number' must be filled in.</p> <p>This field is read-only in edit mode, if present.</p> <p>Required format is: max. 35x characters.</p> <p>References for error messages:</p> <input type="checkbox"/> DAC2040 <input type="checkbox"/> DAC2060
Inter-CSD Account Number	<p>Enter or select the number of the inter-CSD account.</p> <p>At least one field among 'CSD Participant Account Number', 'Mirror Account Number', 'External CSD Participant Account', 'Inter-CSD Account Number' and 'Omnibus Account Number' must be filled in.</p> <p>This field is read-only in edit mode, if present.</p> <p>Required format is: max. 35x characters.</p> <p>References for error messages:</p> <input type="checkbox"/> DAC2042 <input type="checkbox"/> DAC2070

CSD Account Link	
Omnibus Account Number	<p>Enter or select the number of the omnibus account.</p> <p>At least one field among 'CSD Participant Account Number', 'Mirror Account Number', 'External CSD Participant Account', 'Inter-CSD Account Number' and 'Omnibus Account Number' must be filled in.</p> <p>This field is read-only in edit mode, if present.</p> <p>Required format is: max. 35x characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DAC2043 <input type="checkbox"/> DAC2080

Buttons

Buttons	
Submit	<p>This function enables the user to create or edit a CSD Account Link.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DAC2001 <input type="checkbox"/> DAC2002 <input type="checkbox"/> DAC2003 <input type="checkbox"/> DAC2020 <input type="checkbox"/> DAC2030 <input type="checkbox"/> DAC2040 <input type="checkbox"/> DAC2041 <input type="checkbox"/> DAC2042 <input type="checkbox"/> DAC2043 <input type="checkbox"/> DAC2050 <input type="checkbox"/> DAC2060 <input type="checkbox"/> DAC2070 <input type="checkbox"/> DAC2080 <input type="checkbox"/> DAC2100 <input type="checkbox"/> DAC2101 <input type="checkbox"/> DAU2001 <input type="checkbox"/> DAU2020 <input type="checkbox"/> DAU2029

Reset	This function enables the user to set all fields to default value and blanks out all optional fields.
Cancel	This function enables the user to cancel the process and return to the previous screen. {Split book approach}

~~2.8.1.3.3 Securities Accounts – Search/List Screen~~

Context of Usage

~~This screen allows the authorised users to search for Securities Account. The search results will display a list sorted by the values of the “Securities Account Type” column in ascending order (default setting).~~

~~Securities Account can only be created and edited by users belonging to the responsible CSD users endowed with the correct privilege.~~

~~This screen is not relevant for CB, PB and Ancillary system users.~~

Screen Access

- ~~T2S >> Securities Accounts >> Securities Accounts >> Search~~

Privileges

~~To use this screen, the following Privileges are needed:~~

- ~~Securities Account List Query~~
- ~~Delete Securities Account~~

Screenshot

SECURITIES ACCOUNT LIST

Status: Active

Securities Account Number: Choose...

Securities Account Type: All

Opening Date: from: yyyy-mm-dd to: yyyy-mm-dd

Closing Date: from: yyyy-mm-dd to: yyyy-mm-dd

Hold/Release: All

Negative Position: All

End Investor Account Flag: All

Account Holder

Parent BIC: Choose BIC

Party BIC: Choose BIC

Party Type: All

Search Reset + New

Status	Sec...	Sec...	Ope...	Clo...	Hol...	Neg...	End...	Par...	Part...	Part...
Active	CSDAHUH	Issuance A	2015-02-21	9999-12-3	Release	Yes	No account	TCSOTCS	CSDAHUH	Central Se
Active	CSDAHUH	CSD mirro	2015-02-21	9999-12-3	Release	Yes	No account	TCSOTCS	CSDAHUH	Central Se
Active	PARBHUH	CSD partic	2015-02-21	9997-12-3	Release	No	No account	CSDAHUH	PARBHUH	CSD Partic
Active	CSDBHUH	CSD Omni	2015-02-21	9999-12-3	Release	No	No account	CSDAHUH	CSDBHUH	CSD Partic
Active	CSDBHUH	Issuance A	2015-02-21	9999-12-3	Release	Yes	No account	TCSOTCS	CSDBHUH	Central Se
Active	PARAHUH	CSD partic	2015-02-21	9999-12-3	Release	No	No account	CSDBHUH	PARAHUH	CSD Partic
Active	PARBHUH	CSD partic	2015-02-21	9999-12-3	Release	No	No account	CSDBHUH	PARBHUH	CSD Partic
Active	PARAHUH	CSD partic	2015-02-21	9999-12-3	Release	No	No account	CSDAHUH	PARAHUH	CSD Partic
Active	PARCHUH	CSD partic	2015-02-21	9999-12-3	Release	No	No account	CSDAHUH	PARCHUH	CSD Partic
Active	CSDAHUH	CSD partic	2015-02-21	9999-12-3	Release	No	No account	CSDAHUH	CSDAHUH	CSD Partic
Active	CSDBHUH	CSD mirro	2015-02-21	9999-12-3	Release	Yes	No account	TCSOTCS	CSDBHUH	Central Se
Active	CSDAHUH	CSD Omni	2015-02-21	9999-12-3	Release	No	No account	CSDBHUH	CSDAHUH	CSD Partic
Active	PARCHUH	CSD partic	2015-02-21	9999-12-3	Release	No	No account	CSDBHUH	PARCHUH	CSD Partic
Active	NCBAHUH	CSD partic	2015-03-01	9999-12-3	Release	No	No account	CSDAHUH	NCBAHUA	CSD Partic

Total rows: 9570

Illustration 169: Securities Accounts – search/list screen (Split book approach)

Fields Description

Securities Account – Search Criteria	
Status	<p>Select the status of the Securities Account from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All <input checked="" type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted
Securities Account Number	<p>Enter or select the securities account number.</p> <p>Required format is max. 35 characters.</p>
Securities Account Type	<p>Select the securities account type from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> CSD mirror account <input type="checkbox"/> CSD omnibus account <input type="checkbox"/> CSD participant account

Securities Account – Search Criteria	
	<input type="checkbox"/> Inter-CSD account <input type="checkbox"/> Issuance account <input type="checkbox"/> T2S technical offset account
Opening Date From	Enter or pick the lower bound for the opening date of the Securities Account. Required format is: Date.
Opening Date To	Enter or pick the upper bound for the opening date of the Securities Account. The 'Opening Date: To' must be greater than the 'Opening Date: From'. Required format is: Date.
Closing Date From	Enter or pick the lower bound for the closing date of the Securities Account. Required format is: Date.
Closing Date To	Enter or pick the upper bound for the closing date of the Securities Account. The 'Closing Date: To' must be greater than the 'Closing Date: From'. Required format is: Date.
Hold/Release	Select the hold/release status from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Hold <input type="checkbox"/> Release
Negative Position	Select whether the securities account for possible account allocation charges from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> No account allocation <input type="checkbox"/> Account allocation <input type="checkbox"/> DVP/FOP
Account Holder	
Parent BIC	Enter or select the parent BIC of the party owning the account.

Securities Account – Search Criteria	
Party BIC	Enter or select the BIC of the party owning the account.
Party Type	Select the type of the party owning the account from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> Central securities depository (CSD) <input type="checkbox"/> CSD Participant <input type="checkbox"/> External CSD
Securities Account – List	
Status	Shows the status of the Securities Account. References for error messages: <ul style="list-style-type: none"> <input type="checkbox"/> DAD1020 <input type="checkbox"/> DAD1050
Securities Account Number	Shows the securities account number
Securities Account Type	Shows the securities account type. Reference for error message: <ul style="list-style-type: none"> <input type="checkbox"/> DAD1033
Opening Date	Shows the opening date of the Securities Account. References for error messages: <ul style="list-style-type: none"> <input type="checkbox"/> DAD1021 <input type="checkbox"/> DAD1052
Closing Date	Shows the closing date of the Securities Account. Reference for error message: <ul style="list-style-type: none"> <input type="checkbox"/> DAD1021 <input type="checkbox"/> DAD1052
Hold/Release	Shows the hold/release status of the securities account.
Negative Position	Shows whether the securities account can hold a negative balance or not.
End Investor Account Flag	Shows the option which has been set for account allocations charges.
Account Holder	

Securities Account – List	
Parent BIC	Shows the parent BIC of the party owning the account. Reference for error message: <input type="checkbox"/> DAD1001 <input type="checkbox"/> DAD1051 <input type="checkbox"/> DAU1001
Party BIC	Shows the BIC of the party owning the account. References for error messages: <input type="checkbox"/> DAD1001 <input type="checkbox"/> DAD1051 <input type="checkbox"/> DAU1001
Party Type	Shows the type of the party owning the account.

Buttons

Buttons	
Search	This function enables the User to start a search according to the entered criteria. The results are displayed in a list on the same screen. References for error messages: <input type="checkbox"/> DAD1021
Reset	This function enables the User to set default search criteria and blanks out all optional criteria.
New	This function enables the User to create a new Securities Account.
Edit	This function enables the User to edit the selected Securities Account.
Details	This function enables the User to display the details of the selected Securities Account.
Delete	This function enables the User to delete the selected Securities Account, after confirmation. If the status of the selected Securities Account is already set to 'Deleted', this function is not available. References for error messages: <input type="checkbox"/> DAU1001 <input type="checkbox"/> DAD1001 <input type="checkbox"/> DAD1020 <input type="checkbox"/> DAD1021

	<ul style="list-style-type: none"> <input type="checkbox"/> DAD1030 <input type="checkbox"/> DAD1300
Restore	<p>This function enables the User to restore a previously deleted Securities Account.</p> <p>If the status of the selected Securities Account is already set to 'Active', this function is not available.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DAU1001 <input type="checkbox"/> DAD1001 <input type="checkbox"/> DAD1024 <input type="checkbox"/> DAD1033 <input type="checkbox"/> DAD1050 <input type="checkbox"/> DAD1051 <input type="checkbox"/> DAD1052 <input type="checkbox"/> DAD1208 <input type="checkbox"/> DAD1252 <input type="checkbox"/> DAD1253 <input type="checkbox"/> DAD1254 <input type="checkbox"/> DAD1256
Account Links	<p>This function enables the User to display the CMB securities accounts links related to the selected Securities Account.</p>
Revisions	<p>This function enables the User to access the Display Revisions List screen related to the selected Securities Account.{Split book approach}</p>

~~2.8.1.3.4 Securities Account – Details Screen~~

Context of Usage

~~This screen displays detailed information on the selected Securities Account. You can check the data and proceed further by clicking on the buttons below.~~

~~This screen is not relevant for CB, PB and Ancillary system users.~~

Screen Access

T2S >> Securities Accounts >> Securities Accounts – search/list screen >> Click on the search and/or details button

Privileges

~~To use this screen, the following Privileges are needed:~~

- Securities Account reference data query**
- Delete Securities Account**

Screenshot

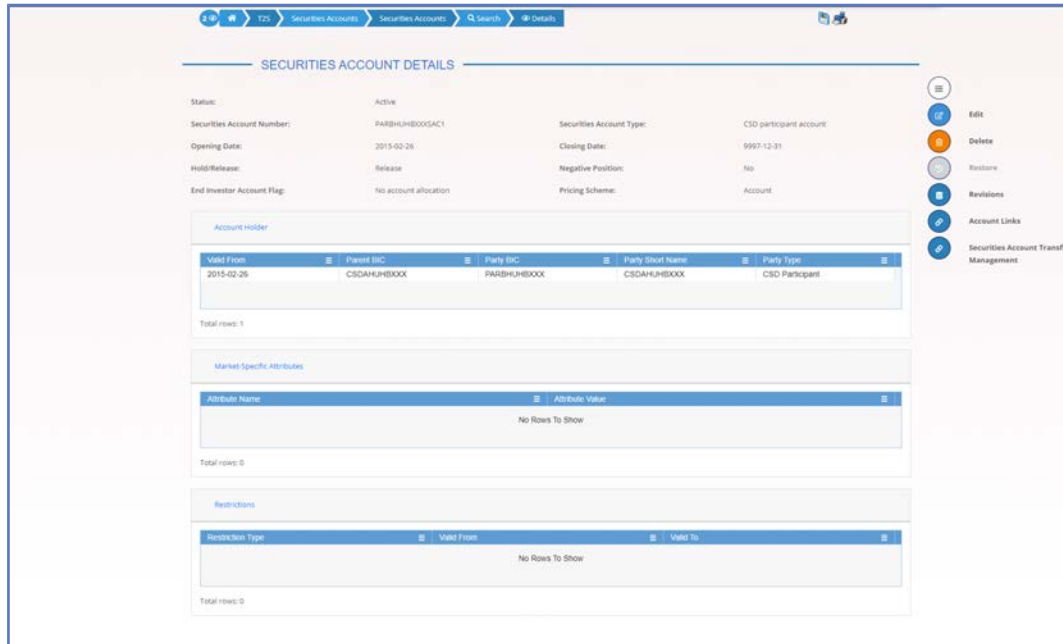


Illustration 170: **Securities Account – details screen** {Split book approach}

Fields

Description

Securities Account	
Status	<p>Shows the status of the Securities Account.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DAD1020 <input type="checkbox"/> DAD1050
Securities Account Number	<p>Shows the securities account number.</p>
Securities Account Type	<p>Shows the securities account type.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DAD1033
Opening Date	<p>Shows the opening date of the Securities Account.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DAD1021 <input type="checkbox"/> DAD1052
Closing Date	<p>Shows the closing date of the Securities Account.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DAD1021 <input type="checkbox"/> DAD1052

Securities Account	
Hold/Release	Shows the hold/release status of the securities account.
Negative Position	Shows whether the securities account can hold a negative balance or not.
End Investor Account Flag	Shows the option which has been set for account allocations charges.
Pricing Scheme	Shows the pricing scheme of the securities account.
Account Holder	
Valid from	Shows the date from which the party is owner of the account.
Parent BIC	Shows the parent BIC of the party owning the account. References for error message: <input type="checkbox"/> DAD1001 <input type="checkbox"/> DAD1051
Party BIC	Shows the BIC of the party owning the account. References for error messages: <input type="checkbox"/> DAD1001 <input type="checkbox"/> DAD1051
Party Short Name	Shows the short name of the party owning the account.
Party Type	Shows the type of the party owning the account.
Market-Specific Attributes	
Attribute Name	Shows the name of the market-specific attribute related to the Securities Account. References for error messages: <input type="checkbox"/> DAD1252 <input type="checkbox"/> DAD1253 <input type="checkbox"/> DAD1254 <input type="checkbox"/> DAD1256
Attribute Value	Shows the value of the market-specific attribute related to the Securities Account. References for error messages: <input type="checkbox"/> DAD1252

Market-Specific Attributes	
	<input type="checkbox"/> DAD1253 <input type="checkbox"/> DAD1254 <input type="checkbox"/> DAD1256

Restrictions	
Restriction Type	<p>Shows the type of restriction related to the Securities Account.</p> <p>Reference for error message:</p> <input type="checkbox"/> DAD1021 <input type="checkbox"/> DAD1024
Valid From	<p>Shows the date and time from which the restriction is valid.</p> <p>Reference for error message:</p> <input type="checkbox"/> DAD1208
Valid to	<p>Shows the date and time until which the restriction is valid.</p> <p>Reference for error message:</p> <input type="checkbox"/> DAD1208

Buttons

Buttons	
Edit	<p>This function enables the user to edit the details of the selected Securities Account.</p> <p>If the status of the selected Securities Account is already set to 'Deleted' this function is not available.</p>
Delete	<p>This function enables the user to delete the selected Securities Account, after confirmation.</p> <p>If the status of the selected securities account is already set to 'Deleted', this function is not available.</p> <p>References for error messages:</p> <input type="checkbox"/> DAD1001 <input type="checkbox"/> DAD1020 <input type="checkbox"/> DAD1021 <input type="checkbox"/> DAD1030 <input type="checkbox"/> DAD1300

Restore	<p>This function enables the user to restore a previously deleted Securities Account.</p> <p>If the status of the selected Securities Account is already set to 'Active', this function is not available.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DAD1001 <input type="checkbox"/> DAD1024 <input type="checkbox"/> DAD1033 <input type="checkbox"/> DAD1050 <input type="checkbox"/> DAD1051 <input type="checkbox"/> DAD1052 <input type="checkbox"/> DAD1208 <input type="checkbox"/> DAD1252 <input type="checkbox"/> DAD1253 <input type="checkbox"/> DAD1254 <input type="checkbox"/> DAD1256
Account Links	<p>This function enables the User to display the CMB securities account links related to the selected Securities Account.</p>
Revisions	<p>This function enables the user to display the revisions of the selected Securities Account. {Split book approach}</p>

2.8.1.3.5 Securities Account — New/Edit Screen

Context of Usage	<p>This screen contains a number of fields regarding Securities Account. You can enter new data or edit existing ones. Afterwards you can proceed further by clicking on the buttons below.</p> <p>This screen is not relevant for CB, PB and Ancillary system users.</p>
Screen Access	<ul style="list-style-type: none"> <input type="checkbox"/> T2S >> Securities Accounts >> Securities Accounts >> Search/List screen >> Click on the New button <input type="checkbox"/> T2S >> Securities Accounts >> Securities Accounts >> Search/List screen >> Click on the Edit button
Privileges	<p>To use this screen, the following Privileges are needed:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Create Securities Account

Update Securities Account

Screenshot

SECURITIES ACCOUNT NEW

Securities Account Number: [] Securities Account Type: []
 Opening Date: [yyyy-mm-dd] Closing Date: [yyyy-mm-dd]
 Hold/Release: [] Negative Position: []
 End Investor Account Flag: [] Pricing scheme: []

Account Holder

Parent BIC: [Choose BIC] Party BIC: [Choose BIC]

Valid From	Parent BIC	Party BIC
No Rows To Show		

Total rows: 0

Market Specific Attributes

Attribute Name: [Choose...] Attribute Value: [Choose...]

Attribute Name	Attribute Value
No Rows To Show	

Total rows: 0

Restrictions

Restriction Type: [Choose...]

Valid From: [yyyy-mm-dd] Valid To: [yyyy-mm-dd]

Restriction Type	Valid From	Valid To
No Rows To Show		

Total rows: 0

SECURITIES ACCOUNT UPDATE

Securities Account Number: PARSH-HBXXXSAC1 Securities Account Type: CSD participant account
 Opening Date: 2015-02-26 Closing Date: 9999-12-31
 Hold/Release: Release Negative Position: No
 End Investor Account Flag: No account allocation Pricing scheme: Account

Account Holder

Parent BIC: [Choose BIC] Party BIC: [Choose BIC]

Valid From	Parent BIC	Party BIC	Party Short Name	Party Type
2015-02-26	CSDAH-HBXXX	PARSH-HBXXX	CSDAH-HBXXX	CSD Participant

Total rows: 1

Illustration 171: Securities Account – new/edit screen (Split book approach)

Fields	Securities Account
Description	
Securities Account Number	<p>Enter the securities account number.</p> <p>Required format is: max. 35x.</p> <p>This field is mandatory and read only in edit mode.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"><input type="checkbox"/> DAC1023<input type="checkbox"/> DAC1024
Securities Account Type	<p>Select the securities account type from the possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> CSD mirror account<input type="checkbox"/> CSD omnibus account<input type="checkbox"/> CSD participant account<input type="checkbox"/> Inter-CSD account<input type="checkbox"/> Issuance account<input type="checkbox"/> T2S technical offset account <p>This field is mandatory and read only in edit mode.</p> <p>References for error messages:</p> <ul style="list-style-type: none"><input type="checkbox"/> DAC1031<input type="checkbox"/> DAC1032<input type="checkbox"/> DAC1033<input type="checkbox"/> DAC1034
Opening Date	<p>Enter or pick the lower bound for the opening date of the Securities Account.</p> <p>The 'Opening Date' must be later or equal to the current date.</p> <p>Required format is: Date.</p> <p>This field is mandatory.</p> <p>References for error messages:</p> <ul style="list-style-type: none"><input type="checkbox"/> DAC1205<input type="checkbox"/> DAC1208<input type="checkbox"/> DAU1208<input type="checkbox"/> DAU1250
Closing Date	<p>Enter or pick the upper bound for the closing date of the Securities Account.</p>

Securities Account	
	<p>The 'Closing Date: To' must be greater than the 'Closing Date: From'</p> <p>Required format is: Date.</p> <p>References for error messages:</p> <ul style="list-style-type: none"><input type="checkbox"/> DAC1207<input type="checkbox"/> DAC1208<input type="checkbox"/> DAC1209<input type="checkbox"/> DAC1210<input type="checkbox"/> DAU1205<input type="checkbox"/> DAU1207<input type="checkbox"/> DAU1209<input type="checkbox"/> DAU1030
Hold/Release	<p>Select the hold/release status from the possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> Hold<input type="checkbox"/> Release <p>This field is mandatory.</p>
Negative Position	<p>Select whether the securities account can hold a negative balance from the possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> Yes<input type="checkbox"/> No <p>This field is mandatory.</p>
End Investor Account Flag	<p>Select an option to set the account for possible account allocation charges from the possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> No account allocation<input type="checkbox"/> Account allocation<input type="checkbox"/> DVP/FOP <p>This field is mandatory.</p>
Pricing Scheme	<p>Select the pricing scheme from the possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> Account<input type="checkbox"/> ISIN <p>This field is mandatory.</p>

Account Holder	
Valid from	<p>Shows the date from which the party securities account relationship is valid.</p> <p>This field is not visible in create mode.</p>
Parent BIC	<p>Enter or select the parent BIC of the party owning the account</p> <p>This field is mandatory and read-only in edit mode.</p> <p>Required format is: 11x.</p> <p>References for error messages:</p> <ul style="list-style-type: none"><input type="checkbox"/> DAC1001<input type="checkbox"/> DAC1030<input type="checkbox"/> DAC1031<input type="checkbox"/> DAC1032<input type="checkbox"/> DAC1034<input type="checkbox"/> DAU1001<input type="checkbox"/> DAU1211<input type="checkbox"/> DAU1300<input type="checkbox"/> DAU1305<input type="checkbox"/> DAU1400
Party BIC	<p>Enter or select the BIC of the party owning the account</p> <p>This field is mandatory and read-only in edit mode.</p> <p>Required format is: 11x.</p> <p>References for error messages:</p> <ul style="list-style-type: none"><input type="checkbox"/> DAC1001<input type="checkbox"/> DAC1030<input type="checkbox"/> DAC1031<input type="checkbox"/> DAC1032<input type="checkbox"/> DAC1034<input type="checkbox"/> DAU1001<input type="checkbox"/> DAU1305
Party Short Name	<p>Shows the short name of the party owning the account</p> <p>This field is not visible in create.</p>

Account Holder	
Party Type	Shows the type of the party owning the account This field is not visible in create.

Market-Specific Attributes	
Attribute Name	Enter or select the name of the market-specific attribute related to the Securities Account. Duplicate Attribute Name values are not allowed. References for error messages: <ul style="list-style-type: none"> <input type="checkbox"/> DAC1252 <input type="checkbox"/> DAC1255 <input type="checkbox"/> DAU1252 <input type="checkbox"/> DAU1253 <input type="checkbox"/> DAU1255 <input type="checkbox"/> DAU1258 <input type="checkbox"/> DAU1305
Attribute Value	Enter or select the name of the market-specific attribute related to the Securities Account. Duplicate Attribute Name values are not allowed. References for error messages: <ul style="list-style-type: none"> <input type="checkbox"/> DAC1252 <input type="checkbox"/> DAC1255 <input type="checkbox"/> DAU1252 <input type="checkbox"/> DAU1253 <input type="checkbox"/> DAU1255 <input type="checkbox"/> DAU1258 <input type="checkbox"/> DAU1305

Restrictions	
Restriction Type	Enter or select the type of restriction applying to the Securities Account. Duplicate Restriction Type-Valid From combinations are not allowed.

Restrictions	
	<p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DAC1024 <input type="checkbox"/> DAC1025 <input type="checkbox"/> DAU1024 <input type="checkbox"/> DAU1025 <input type="checkbox"/> DAU1241 <input type="checkbox"/> DAU1305
Valid From	<p>Enter or pick the date and time from which the Securities Account is restricted.</p> <p>Required format is: Datetime</p> <p>To set an immediate restriction, input the timestamp '1000-01-01 00:01'.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DAC1025 <input type="checkbox"/> DAC1208 <input type="checkbox"/> DAC1209 <input type="checkbox"/> DAU1025 <input type="checkbox"/> DAU1208 <input type="checkbox"/> DAU1209 <input type="checkbox"/> DAU1241
Valid to	<p>Enter or pick the date and time from which the Securities Account is restricted.</p> <p>Required format is: Datetime</p> <p>To set an immediate restriction, input the timestamp '1000-01-01 00:01'.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DAC1025 <input type="checkbox"/> DAC1208 <input type="checkbox"/> DAC1209 <input type="checkbox"/> DAU1025 <input type="checkbox"/> DAU1208 <input type="checkbox"/> DAU1209 <input type="checkbox"/> DAU1241

Buttons

Buttons	
Submit	<p>This function enables the user to create or edit a Securities Account.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DAC1001 <input type="checkbox"/> DAC1023 <input type="checkbox"/> DAC1024 <input type="checkbox"/> DAC1025 <input type="checkbox"/> DAC1030 <input type="checkbox"/> DAC1031 <input type="checkbox"/> DAC1032 <input type="checkbox"/> DAC1033 <input type="checkbox"/> DAC1034 <input type="checkbox"/> DAC1205 <input type="checkbox"/> DAC1206 <input type="checkbox"/> DAC1207 <input type="checkbox"/> DAC1208 <input type="checkbox"/> DAC1209 <input type="checkbox"/> DAC1210 <input type="checkbox"/> DAC1252 <input type="checkbox"/> DAC1253 <input type="checkbox"/> DAC1255 <input type="checkbox"/> DAC1256 <input type="checkbox"/> DAU1001 <input type="checkbox"/> DAU1005 <input type="checkbox"/> DAU1024 <input type="checkbox"/> DAU1030 <input type="checkbox"/> DAU1205 <input type="checkbox"/> DAU1206 <input type="checkbox"/> DAU1207 <input type="checkbox"/> DAU1208 <input type="checkbox"/> DAU1209 <input type="checkbox"/> DAU1211

	<input type="checkbox"/> DAU1241 <input type="checkbox"/> DAU1250 <input type="checkbox"/> DAU1253 <input type="checkbox"/> DAU1255 <input type="checkbox"/> DAU1256 <input type="checkbox"/> DAU1258 <input type="checkbox"/> DAU1300 <input type="checkbox"/> DAU1305 <input type="checkbox"/> DAU1400
Reset	This function enables the user to set all fields to default value and blanks out all optional fields.
Cancel	This function enables the user to cancel the process and return to the previous screen.
Securities Account Transfer Management	This function enables the user to edit party-securities account relationships. This function is only available in edit mode. {Split book approach}

~~2.8.1.3.6 Securities Account Transfer Management – Edit Screen~~

Context of Usage

~~This screen contains a number of fields regarding securities account transfer management. You can edit existing data. Afterwards you can proceed further by clicking on the buttons below.~~

~~You can use this screen either in 2-eyes or in 4-eyes mode.~~

~~This function is only available in U2A mode.~~

~~This screen is not relevant for CB, Payment Bank or Ancillary System users.~~

Screen Access

- ~~T2S >> Securities Accounts >> Securities Accounts >> Securities Account – search/list screen >> Click on the edit button >> Securities Account – new/edit screen >> Click on the security account transfer management button~~
- ~~T2S >> Securities Accounts >> Securities Accounts >> Securities Account – search/list screen >> Click on search and/or details button >> Securities Account – Details screen >> Click on the edit button >> Securities Account – new/edit screen >> Click on the security account transfer management button~~

Privileges To use this screen, the following Privileges are needed:

- Update Securities Account**

Screenshot

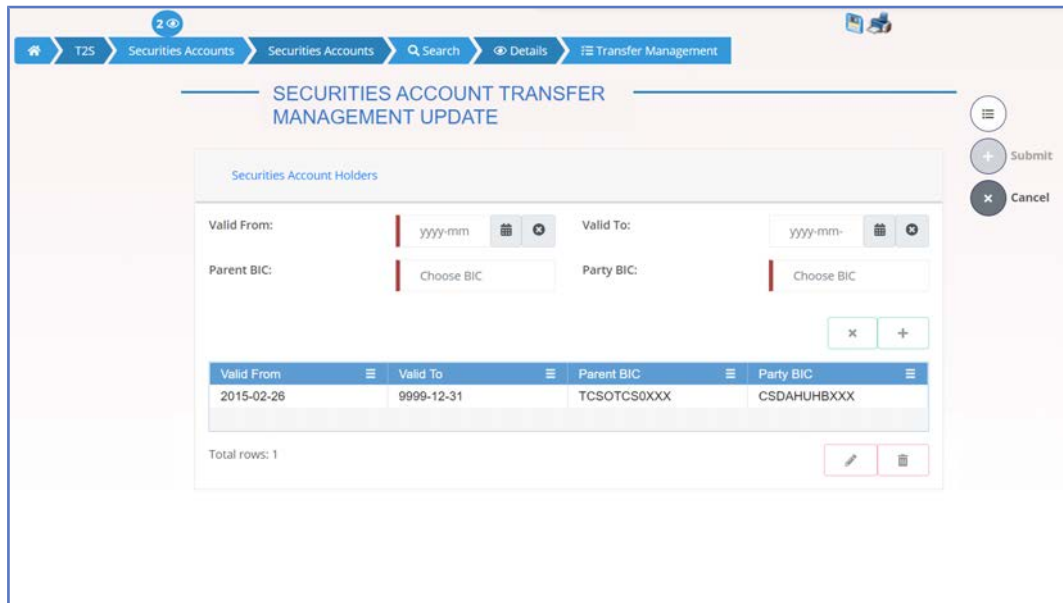


Illustration 172: **Securities Account Transfer Management – new/edit screen** (Split book approach)

Fields

Description

Securities Account Holders	
Valid From	<p>Enter or pick the date from which the securities account is valid. The field is only editable when no future record exists.</p> <p>Displayed format is: Date</p> <p>The 'Valid from' date must be later than the current business date.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DAU1206 <input type="checkbox"/> DAU1211
Valid To	<p>Enter or pick the date until which the securities account is valid.</p> <p>Required format is: Date</p> <p>When closing an account, the date must be equal to the closing date of the account.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DAU1207
Parent BIC	<p>Enter or select the Parent BIC of the party.</p> <p>Required format is: max. 11 characters</p>

Securities Account Holders	
	<p>The field is only editable when no future record exists 19 .</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DAU1001 <input type="checkbox"/> DAU1300 <input type="checkbox"/> DAU1305 <input type="checkbox"/> DAU1400
Party BIC	<p>Enter or select the Party BIC of the party.</p> <p>Required format is: max. 11 characters</p> <p>The field is only editable when no future record exists.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DAU1001 <input type="checkbox"/> DAU1300 <input type="checkbox"/> DAU1305 <input type="checkbox"/> DAU1400
Delete	<p>Select a future securities account party relationship to be deleted.</p> <p>If you check this field and submit, the system deletes the future relationship.</p>

Buttons

Buttons	
Submit	<p>This function enables the user to create or edit a securities account party relationships according to the information entered in the fields.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DAU1001 <input type="checkbox"/> DAU1205 <input type="checkbox"/> DAU1206 <input type="checkbox"/> DAU1207 <input type="checkbox"/> DAU1211 <input type="checkbox"/> DAU1252 <input type="checkbox"/> DAU1300

19 ~~If you want to amend the BICs in the future record, you need to delete the future record and submit the record. After re-entering the screen you can create a new Party Securities Account Relationship using the new BICs (Split book approach)~~

	<input type="checkbox"/> DAU1305 <input type="checkbox"/> DAU1400
Cancel	This function enables the user to cancel the process and return to the previous screen. {Split book approach}

~~2.8.1.3.7 Securities Accounts Links – Search/List Screen~~

Context of Usage

~~This screen allows the authorised users to search for Securities Accounts Links.~~

~~The users can additionally manage the Securities Accounts Link creating new ones or editing, deleting or restoring existing ones.~~

~~This screen is not relevant for payment bank users.~~

~~The search results are displayed in a list is sorted by default by “Status” and “Securities Account Number”.~~

Screen Access

- ~~T2S >> Securities Account >> Securities Accounts Links >> Search~~
- ~~T2S >> Securities Account >> Securities Accounts Search/List screen >> Click on Search and/or Details button >> Securities Account – Details screen >> Click on Accounts Links button~~

Privileges

~~To use this screen, the following Privileges are needed:~~

- ~~CMB Securities Account Link List Query~~
- ~~Delete CMB Securities Account Link~~

Screenshot

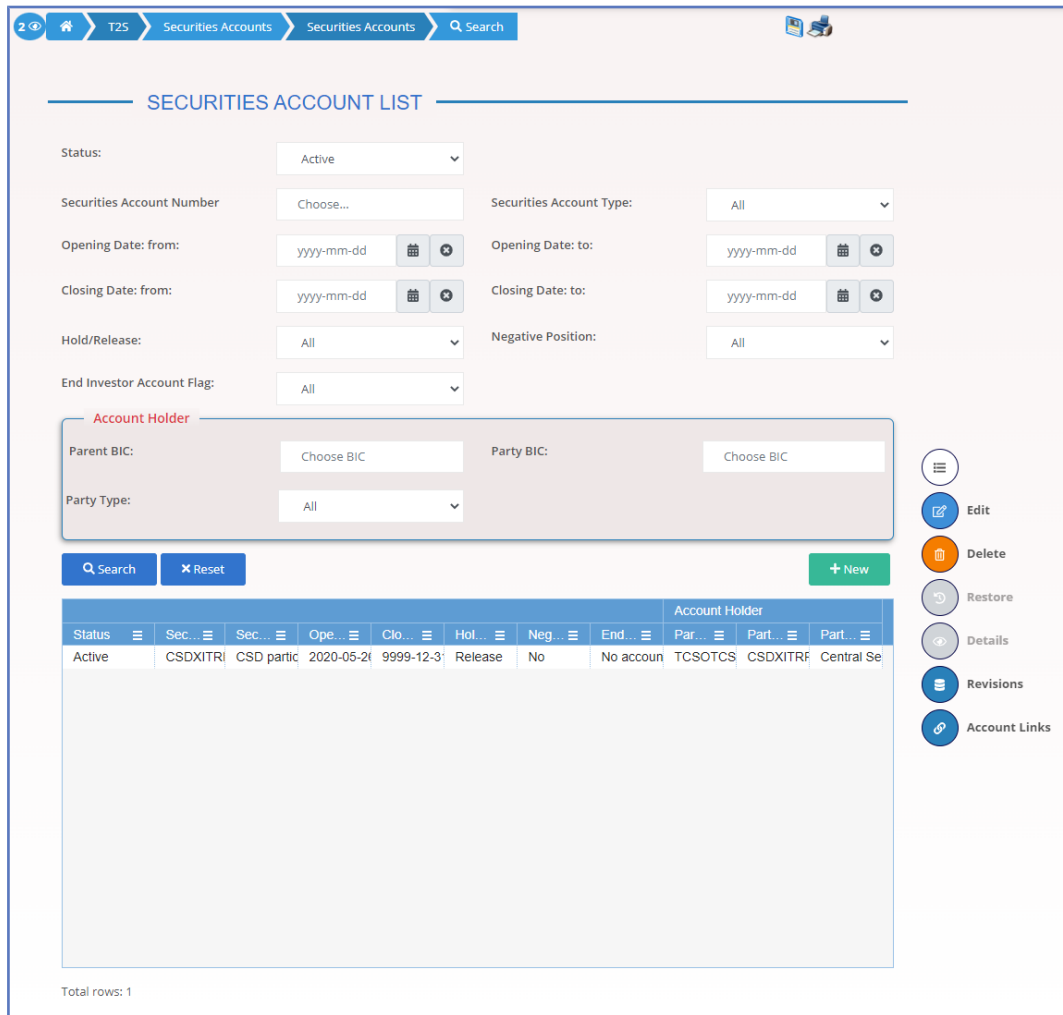


Illustration 173: **Securities Accounts Links – search/list screen** {Split book approach}

Fields Description

Securities Accounts Links – Search Criteria	
Status	<p>Select the status of the Securities Accounts Links from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted
Securities Account Number	<p>Enter or Select the Securities Account Number to which the securities account link is linked.</p> <p>The User have to enter at least one field among ‘Securities Account Number’ or ‘T2S Dedicated Cash Account Number’.</p> <p>Required format is: max. 35 characters</p>

Securities Accounts Links – Search Criteria	
T2S Dedicated Cash Account Number	<p>Enter or Select the T2S Dedicated Cash Account Number of the credit memorandum balance to which the securities account link is linked.</p> <p>The User have to enter at least one field among 'Securities Account Number' or 'T2S Dedicated Cash Account Number'.</p> <p>Required format is: max. 34 characters</p>
Valid From from	<p>Enter or pick the lower bound of the search range from which the Securities Accounts Link is valid.</p> <p>Required format is: Date.</p>
Valid From to	<p>Enter or pick the upper bound of the search range from which the Securities Accounts Link is valid.</p> <p>Required format is: Date.</p>
Valid To from	<p>Enter or pick the lower bound of the search range until which the Securities Accounts Link is valid.</p> <p>Required format is: Date.</p>
Valid To to	<p>Enter or pick the upper bound of the search range until which the Securities Accounts Link is valid.</p> <p>Required format is: Date.</p>
Default Link	<p>Select whether the T2S dedicated cash account is the default link from the possible values :</p> <ul style="list-style-type: none"><input type="checkbox"/> All (default value)<input type="checkbox"/> Yes<input type="checkbox"/> No
Collateralisation Link	<p>Select whether T2S can use securities, earmarked as collateral and held on the securities account, for auto-collateralisation operations on the linked T2S dedicated cash account from the possible values :</p> <ul style="list-style-type: none"><input type="checkbox"/> All (default value)<input type="checkbox"/> Yes<input type="checkbox"/> No
Cash settlement Link	<p>Select whether T2S can use the link between the securities account and the T2S dedicated cash account for the settlement of the cash leg of a settlement instruction from the possible values :</p>

Securities Accounts Links – Search Criteria	
	<input type="checkbox"/> All (default value) <input type="checkbox"/> Yes <input type="checkbox"/> No
Securities Accounts Links – List	
Status	<p>Shows the status of the Securities Accounts Link.</p> <p>References for error messages:</p> <input type="checkbox"/> DAD3020 <input type="checkbox"/> DAD3050
Securities Account Number	<p>Shows the Number of the Securities Account.</p> <p>References for error messages:</p> <input type="checkbox"/> DAD3001 <input type="checkbox"/> DAD3030 <input type="checkbox"/> DAD3040 <input type="checkbox"/> DAD3100
T2S Dedicated Cash Account Number	<p>Shows the T2S Dedicated Cash Account Number of the credit memorandum balance defined in the securities accounts link.</p> <p>Reference for error message:</p> <input type="checkbox"/> DAD3024 <input type="checkbox"/> DAD3100
Valid From	<p>Shows the T2S Dedicated Cash Account Number of the credit memorandum balance defined in the securities accounts link.</p> <p>Reference for error message:</p> <input type="checkbox"/> DAD3020 <input type="checkbox"/> DAD3024 <input type="checkbox"/> DAD3100
Valid To	<p>Shows the date until which the link is valid.</p> <p>References for error messages:</p> <input type="checkbox"/> DAD3020 <input type="checkbox"/> DAD3100
Default Link	<p>Shows whether the T2S dedicated cash account is the default link for the securities account.</p>

Securities Accounts Links – List	
	Reference for error message: <input type="checkbox"/> DAD3050
Collateralisation Link	Shows whether T2S can use securities, earmarked as collateral and held on the securities account, for auto-collateralisation operations on the linked T2S dedicated cash account.
Cash settlement Link	Shows whether T2S can use the link between the securities account and the T2S dedicated cash account for the settlement of the cash leg of a settlement instruction.

Buttons

Buttons	
Search	This function enables the User to start a search according to the entered criteria. The results are displayed in a list on the same screen.
Reset	This function enables the User to set default search criteria and blanks out all optional criteria.
New	This function enables the User to create a new Securities Accounts Link.
Edit	This function enables the User to edit the selected Securities Accounts Link.
Delete	This function enables the User to delete the selected Securities Accounts Link, after confirmation. If the status of the selected Securities Accounts Link is already set to 'Deleted', this function is not available.
Restore	This function enables the User to restore a previously deleted Securities Accounts Link. If the status of the selected Securities Accounts Link is already set to 'Active', this function is not available. References for error messages: <input type="checkbox"/> DAD3001 <input type="checkbox"/> DAD3024 <input type="checkbox"/> DAD3030 <input type="checkbox"/> DAD3040 <input type="checkbox"/> DAD3050

	<input type="checkbox"/> DAD3100
Revisions	<p>This function enables the User to access the Display Revisions List screen related to the selected Securities Accounts Link.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DAD3001 <input type="checkbox"/> DAD3020 {Split book approach}

~~2.8.1.3.8 Securities Accounts Links – New/Edit Screen~~

Context of Usage

~~This screen contains a number of fields regarding Securities Accounts Link. You can enter new data or edit existing ones. Afterwards you can proceed further by clicking on the buttons below.~~

~~This screen is not relevant for CB or payment bank users.~~

Screen Access

- ~~T2S >> Securities Account >> Securities Accounts Link >> Search/List screen >> Click on the New button~~
- ~~T2S >> Securities Account >> Securities Accounts Link >> Search/List screen >> Click on the Edit button~~
- ~~T2S >> Securities Account >> Securities Accounts Link >> New~~

Privileges

~~To use this screen, the following Privileges are needed:~~

- ~~Create CMB Securities Account Link~~
- ~~Update CMB Securities Account Link~~

Screenshot

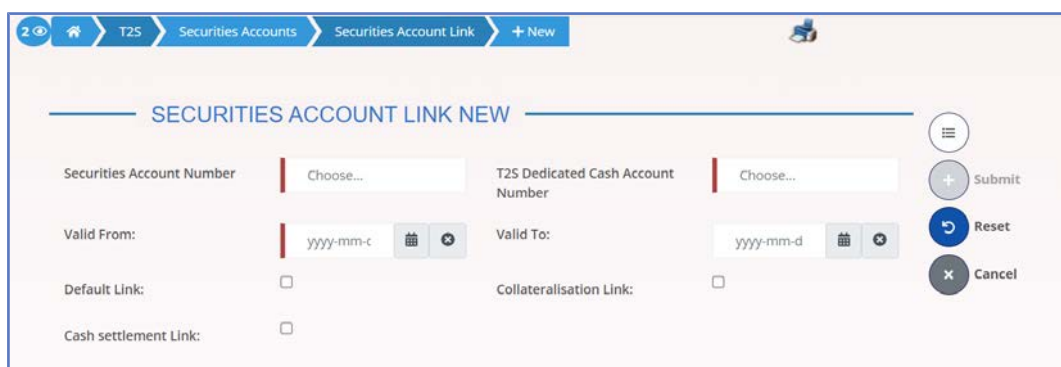


Illustration 174: ~~Securities Accounts Links – new/edit screen~~ {Split book approach}

Fields

Description

Securities Accounts Links	
Securities Account Number	Enter or Select the unique number related to the Securities Account to be linked to the securities accounts link.

Securities Accounts Links	
	<p>This field is mandatory in create mode and read-only in edit mode.</p> <p>Required format is: max. 35 characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DAC3001 <input type="checkbox"/> DAC3030 <input type="checkbox"/> DAC3040 <input type="checkbox"/> DAC3100 <input type="checkbox"/> DAU3001 <input type="checkbox"/> DAU3100
T2S Dedicated Cash Account Number	<p>Enter or Select the T2S Dedicated Cash Account Number of the credit memorandum balance to be linked to the securities accounts link.</p> <p>This field is mandatory in create mode and read-only in edit mode.</p> <p>Required format is: max. 34 characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DAC3024 <input type="checkbox"/> DAC3050 <input type="checkbox"/> DAC3051 <input type="checkbox"/> DAC3052 <input type="checkbox"/> DAC3100 <input type="checkbox"/> DAU3100
Valid From	<p>Enter or pick the date from which the Securities Accounts Link is valid.</p> <p>The Valid From date must be equal to or greater than the current business date.</p> <p>This field is mandatory in create mode.</p> <p>This field is read-only in edit mode if the current business date is greater than the Valid From date.</p> <p>Required format is: Date.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DAC3100 <input type="checkbox"/> DAC3205

Securities Accounts Links	
	<ul style="list-style-type: none"> <input type="checkbox"/> DAC3206 <input type="checkbox"/> DAC3207 <input type="checkbox"/> DAC3208 <input type="checkbox"/> DAU3050 <input type="checkbox"/> DAU3100 <input type="checkbox"/> DAU3207
Valid To	<p>Enter or pick the date until which the Securities Accounts Link is valid.</p> <p>Valid To date must be equal to or greater than the current date and equal to or greater than the Valid From date.</p> <p>Required format is: Date.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DAC3100 <input type="checkbox"/> DAC3207 <input type="checkbox"/> DAC3209 <input type="checkbox"/> DAU3005 <input type="checkbox"/> DAU3050 <input type="checkbox"/> DAU3100 <input type="checkbox"/> DAU3207
Default Link	<p>Select whether the T2S dedicated cash account is the default link.</p> <p>This field is read only in edit mode.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DAC3050 <input type="checkbox"/> DAC3051
Collateralisation Link	<p>Select whether T2S can use securities, earmarked as collateral and held on the securities account, for auto-collateralisation operations on the linked T2S dedicated cash account.</p> <p>This field is read only in edit mode.</p>

Securities Accounts Links	
Cash settlement Link	<p>Select whether T2S can use the link between the securities account and the T2S dedicated cash account for the settlement of the cash leg of a settlement instruction.</p> <p>This field is read only in edit mode.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DAC3052

Buttons

Buttons	
Submit	<p>This function enables the user to create or edit a Securities Accounts Link.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DAC3001 <input type="checkbox"/> DAC3024 <input type="checkbox"/> DAC3030 <input type="checkbox"/> DAC3040 <input type="checkbox"/> DAC3050 <input type="checkbox"/> DAC3051 <input type="checkbox"/> DAC3052 <input type="checkbox"/> DAC3100 <input type="checkbox"/> DAC3205 <input type="checkbox"/> DAC3206 <input type="checkbox"/> DAC3207 <input type="checkbox"/> DAC3208 <input type="checkbox"/> DAC3209 <input type="checkbox"/> DAU3001 <input type="checkbox"/> DAU3005 <input type="checkbox"/> DAU3050 <input type="checkbox"/> DAU3100 <input type="checkbox"/> DAU3207
Reset	<p>This function enables the user to set all fields to default value and blanks out all optional fields.</p>

Cancel	This function enables the user to cancel the process and return to the previous screen. {Split book approach}
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~~2.8.1.4 Cash~~

~~2.8.1.4.1 CMBs - Search/List Screen~~

Context of Usage

~~This screen enables the user to display a list of Credit Memorandum Balances (CMBs) matching the entered criteria. The search results will be displayed in a list, which is sorted by the values of the 'T2S Dedicated Cash Account Number' column in ascending order (default setting). After selecting an entry, the user can proceed further by clicking on the buttons below.~~

~~This function is only available in U2A mode.~~

~~This screen is not relevant for CSD, CSD participant or external CSD users.~~

Screen Access

- ~~T2S >> Cash >> CMB >> Search~~
- ~~Common >> Cash >> Cash Accounts >> Cash Accounts – search/list screen >> click on the Search and/or Details button >> Click on the Credit Memorandum Balance button~~

Privileges

~~To use this screen, the following Privileges are needed:~~

- ~~Delete Credit Memorandum Balance~~
- ~~CMB list query~~

Screenshot

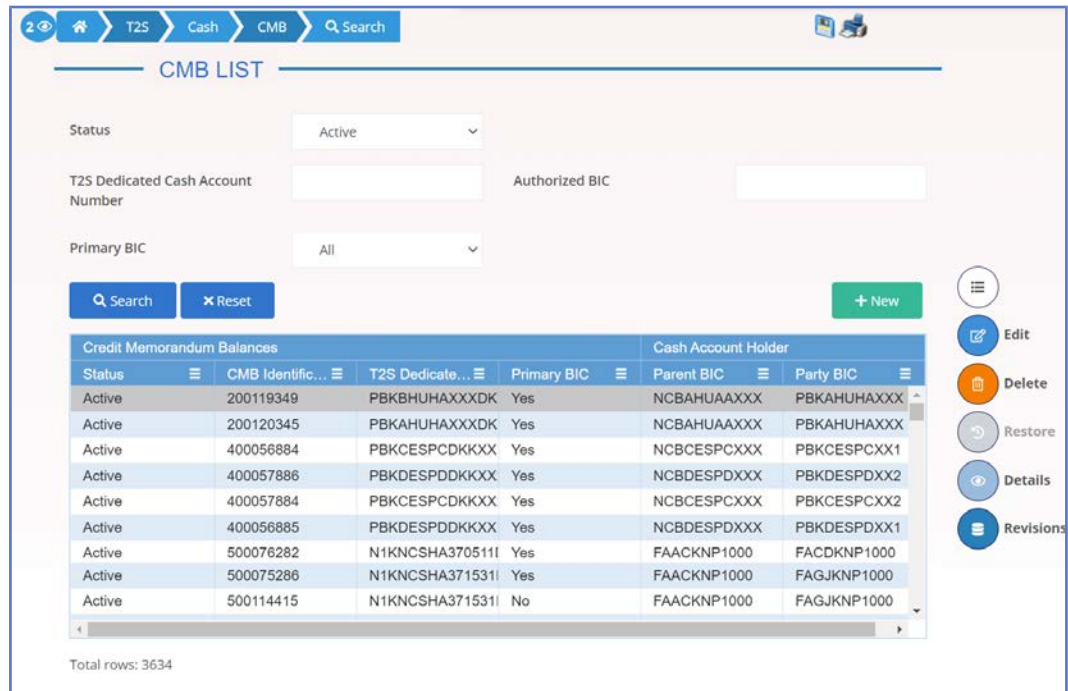


Illustration 175: **CMBs—search/list screen** (Split book approach)

Fields

Description

CMBs—Search Criteria	
Status	<p>Select the status of the CMB from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All <input checked="" type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted
T2S Dedicated Cash Account Number	<p>Enter the number of the Cash Account.</p> <p>If user has accessed this screen via another screen, this field is already filled with the previous account identification.</p> <p>Required format is: max. 34x characters.</p>
Authorized BIC	<p>Enter the BIC authorised for the CMBs.</p> <p>Required format is: max. 11x characters.</p>
Primary BIC	<p>Select the filter on the primary CMB flag from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> Yes <input type="checkbox"/> No

CMBs—List
Credit Memorandum Balance

CMBs— List	
Status	Shows the status of the CMB. References for error messages: <input type="checkbox"/> DCD6020 <input type="checkbox"/> DCD6050
CMB Identification	Shows the identification of the CMB.
T2S Dedicated Cash Account Number	Shows the cash account linked to the CMB. Reference for error message: <input type="checkbox"/> DCD6030
Primary BIC	Shows whether the CMB is the primary one or not.
Cash Account Holder	
Parent BIC	Shows the Parent BIC of the party owning the account.
Party BIC	Shows the Party BIC of the party owning the account.

Buttons

Buttons	
Search	This function enables the user to start a search according to the filled-in criteria.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
New	This function enables the user to create a new CMB.
Edit	This function enables the user to edit the details of the selected CMB. If the status of the selected CMB is already set to 'Deleted', this function is not available.
Delete	This function enables the user to delete the selected CMB. If the status of the selected CMB is already set to 'Deleted', this function is not available. References for error messages: <input type="checkbox"/> DCD6001 <input type="checkbox"/> DCD6020 <input type="checkbox"/> DCD6040
Restore	This function enables the user to restore a previously deleted CMB.

	<p>If the status of the selected CMB is already set to 'Active' this function is not available.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCD6001 <input type="checkbox"/> DCD2024 <input type="checkbox"/> DCD6025 <input type="checkbox"/> DCD6030 <input type="checkbox"/> DCD6032 <input type="checkbox"/> DCD6050
Details	This function enables the user to display the details of the selected CMB.
Revisions	This function enables the user to display the revisions of the selected CMB. {Split book approach}

2.8.1.4.2 CMB - Details Screen

Context of Usage	<p>This screen displays detailed information on the selected CMB. Users can check the data and proceed further by clicking on the buttons below.</p> <p>This function is only available in U2A mode.</p> <p>This screen is not relevant for CSD, CSD participant or external CSD users.</p>
Screen Access	<ul style="list-style-type: none"> <input type="checkbox"/> T2S >> Cash >> CMB >> CMBs – search/list screen >> Click on the details button <input type="checkbox"/> Common >> Cash >> Limits >> Limits – search/list screen >> Click on the CMB Details button
Privileges	<p>To use this screen, the following Privileges are needed:</p> <ul style="list-style-type: none"> <input type="checkbox"/> CMB details query <input type="checkbox"/> Delete credit memorandum balance

Screenshot

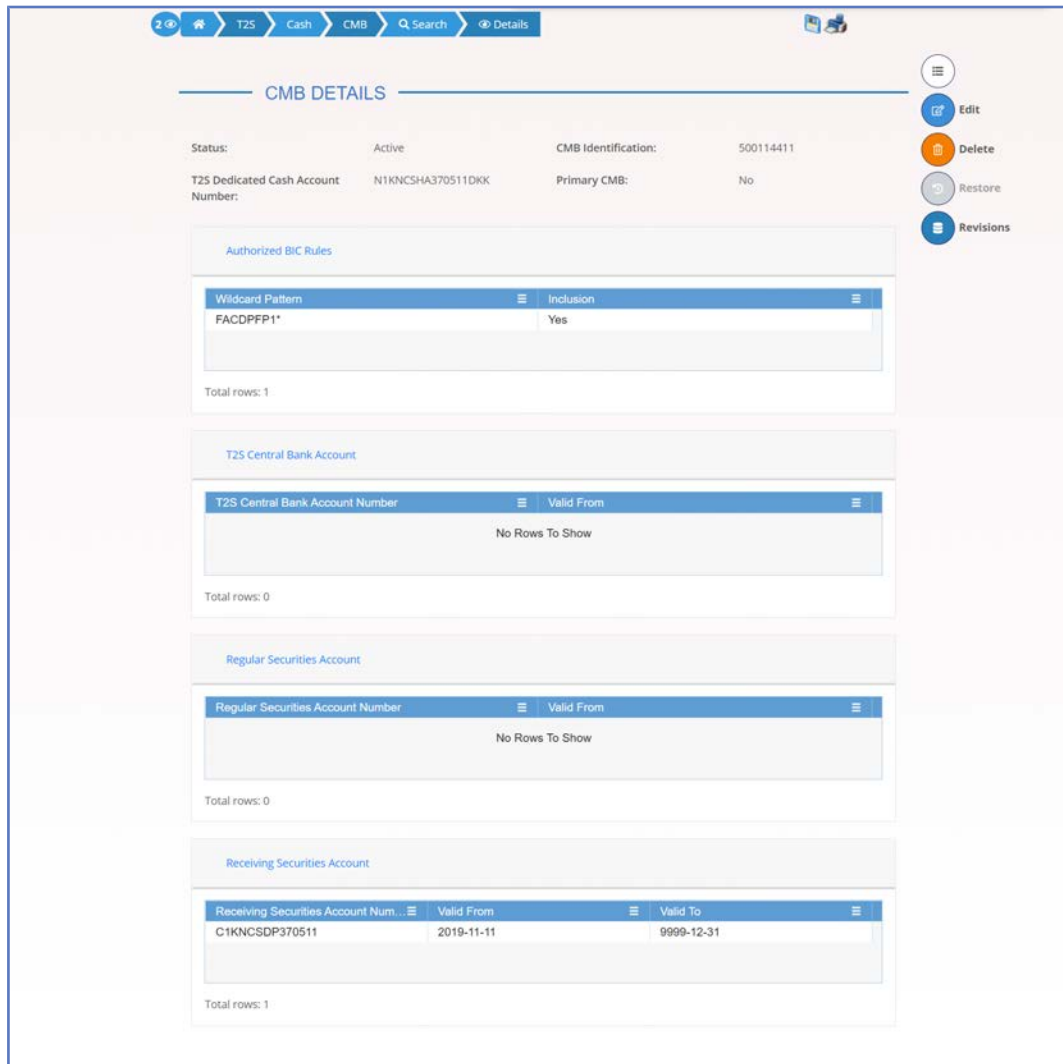


Illustration 176: ~~CMB--details-screen~~ {Split book approach}

Fields

Description

Credit Memorandum Balance	
Status	<p>Shows the status of the CMB.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCD6020 <input type="checkbox"/> DCD6050
CMB Identification	Shows the technical identification of the CMB.
T2S Dedicated Cash Account Number	<p>Shows the unique number of the related T2S Dedicated Cash Account.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCD6030
Primary CMB	Shows whether the CMB is the primary one or not.

Authorized BIC Rules	
Wildcard Pattern	Shows the pattern identifying associations between CMB and BICs.
Inclusion	Shows the attribute specifying whether the related wildcard pattern should be included or not.

T2S Central Bank Account	
T2S Central Bank Account Number	Shows the unique number of the T2S Central Bank Account related to the CMB. Reference for error message: <input type="checkbox"/> DCD6032
Valid From	Shows the date from which the link between CMB and NCB T2S Dedicated Cash Account is valid.

Regular Securities Account	
Regular Securities Account Number	Shows the unique number of the regular securities account related to the CMB. Reference for error message: <input type="checkbox"/> DCD6024
Valid From	Shows the date from which the link between CMB and regular securities account is valid.

Receiving Securities Account	
Receiving Securities Account Number	Shows the unique number of the receiving securities account related to the CMB. Reference for error message: <input type="checkbox"/> DCD6025
Valid From	Shows the date from which the link between CMB and receiving securities account is valid.
Valid To	Shows the date until which the link between CMB and receiving securities account is valid.

Buttons

Edit	This function enables the user to edit the details of the selected CMB.
------	---

	If the status of the selected CMB is already set to 'Deleted', this function is not available.
Delete	<p>This function enables the user to delete the selected CMB.</p> <p>If the status of the selected CMB is already set to 'Deleted', this function is not available.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCD6001 <input type="checkbox"/> DCD6020 <input type="checkbox"/> DCD6040
Restore	<p>This function enables the user to restore a previously deleted CMB.</p> <p>If the status of the selected CMB is already set to 'Active', this function is not available.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCD6001 <input type="checkbox"/> DCD6024 <input type="checkbox"/> DCD6025 <input type="checkbox"/> DCD6030 <input type="checkbox"/> DCD6032 <input type="checkbox"/> DCD6050
Revisions	This function enables the user to display the revisions of the selected CMB. {Split book approach}

~~2.8.1.4.3 CMB – New/Edit Screen~~

Context of Usage

~~This screen enables the user to create a new Credit Memorandum Balance (CMB) or to edit an existing one, previously selected. The screen has a slightly different behaviour depending on the function the user is submitting.~~

~~When performing a Credit Memorandum Balance update request, the Party Type of the Requestor must be T2S Operator, NCB or Payment Bank. A user belonging to a NCB can only update Credit Memorandum Balance for T2S Dedicated Cash Account falling under his responsibility, according to the Hierarchical Party Model. A user belonging to a Payment Bank can only~~

amend Credit Memorandum Balance with Primary CMB flag set to false for T2S Dedicated Cash Account falling under his responsibility according to the Hierarchical Party Model.

Screen Access

- T2S >> Cash >> CMB >> New
- T2S >> Cash >> CMB >> CMBs – search/list screen >> Click on the new or edit button
- T2S >> Cash >> CMB >> CMBs – search/list screen >> Click on the details button >> CMB – details screen >> Click on the edit button

Privileges

To use this screen, the following Privileges are needed:

- Create credit memorandum balance
- Update credit memorandum balance

Screenshot

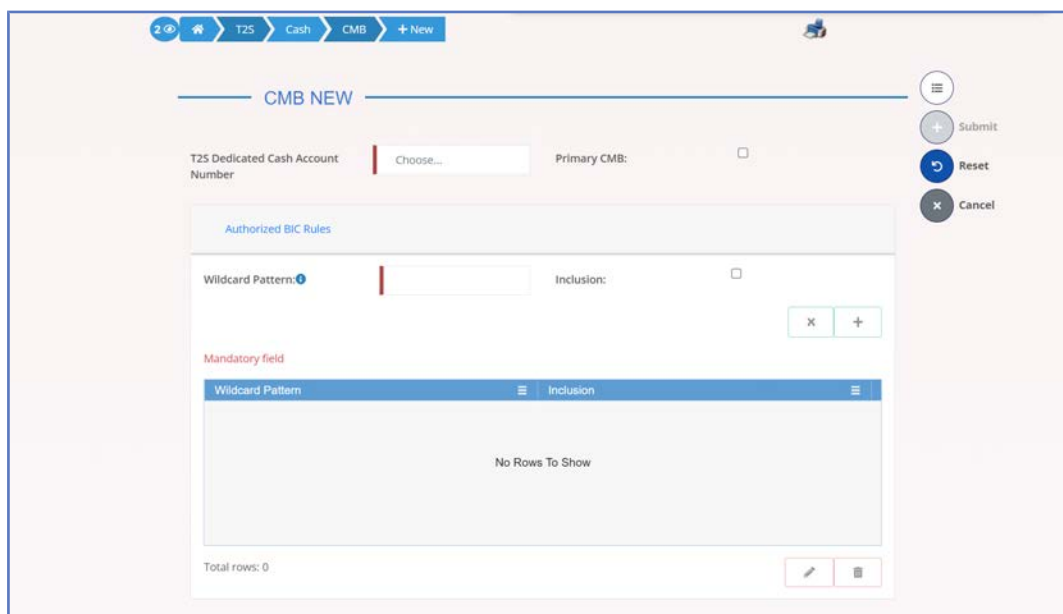


Illustration 177: CMB – new/edit screen {Split book approach}

Fields

Description

Credit Memorandum Balance	
CMB Identification	Shows the technical identification of the CMB. This field is read-only and only available in edit mode.
T2S Dedicated Cash Account Number	Enter or select the unique number of the related T2S Dedicated Cash Account object. This field is mandatory in create mode. This field is read-only in edit mode. Required format is: max 345x characters. References for error messages: <input type="checkbox"/> DCC6001 <input type="checkbox"/> DCC6030

Credit Memorandum Balance	
	<input type="checkbox"/> DCC6040 <input type="checkbox"/> DCC6042 <input type="checkbox"/> DCC6043 <input type="checkbox"/> DCC6045 <input type="checkbox"/> DCC6046 <input type="checkbox"/> DCC6047 <input type="checkbox"/> DCC6050 <input type="checkbox"/> DCC6052 <input type="checkbox"/> DCC6053 <input type="checkbox"/> DCC6070 <input type="checkbox"/> DCU6001 <input type="checkbox"/> DCU6040 <input type="checkbox"/> DCU6041 <input type="checkbox"/> DCU6045 <input type="checkbox"/> DCU6070
Primary CMB	<p>Select whether the CMB is the primary one or not. This field is read-only in edit mode. References for error messages:</p> <input type="checkbox"/> DCC6040 <input type="checkbox"/> DCC6042 <input type="checkbox"/> DCC6045 <input type="checkbox"/> DCC6046 <input type="checkbox"/> DCC6047 <input type="checkbox"/> DCC6052 <input type="checkbox"/> DCC6053 <input type="checkbox"/> DCC6056 <input type="checkbox"/> DCU6040 <input type="checkbox"/> DCU6041 <input type="checkbox"/> DCU6042 <input type="checkbox"/> DCU6043 <input type="checkbox"/> DCU6044 <input type="checkbox"/> DCU6045

Authorized BIC Rules	
Wildcard Pattern	<p>Enter the pattern identifying the associations between CMB and BICs.</p> <p>This field is mandatory.</p> <p>Required format is: max 11x characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC6050 <input type="checkbox"/> DCC6060 <input type="checkbox"/> DCU6048 <input type="checkbox"/> DCU6049 <input type="checkbox"/> DCU6050 <input type="checkbox"/> DCU6058 <input type="checkbox"/> DCU6064 <input type="checkbox"/> DCU6080
Inclusion	<p>Select whether the related wildcard pattern should be included or not.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC6050 <input type="checkbox"/> DCC6060 <input type="checkbox"/> DCU6050 <input type="checkbox"/> DCU6058

T2S Central Bank Account	
T2S Central Bank Account Number	<p>Enter or select the unique number of the T2S Central Bank Account related to the CMB.</p> <p>If Valid From is entered, this field is mandatory.</p> <p>This field is read-only in edit mode if contains data for current and future item (it is only possible to insert a new element, when a future one is not already present).</p> <p>Required format is: max 345x characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC6032

T2S Central Bank Account	
	<ul style="list-style-type: none"> <input type="checkbox"/> DCC6041 <input type="checkbox"/> DCC6042 <input type="checkbox"/> DCC6044 <input type="checkbox"/> DCC6056 <input type="checkbox"/> DCC6057 <input type="checkbox"/> DCU6030 <input type="checkbox"/> DCU6042 <input type="checkbox"/> DCU6043 <input type="checkbox"/> DCU6044 <input type="checkbox"/> DCU6057 <input type="checkbox"/> DCC6070 <input type="checkbox"/> DCU6062 <input type="checkbox"/> DCU6063 <input type="checkbox"/> DCU6070
Valid From	<p>Enter or pick the date from which the link between CMB and T2S Central Bank Account is valid.</p> <p>If a T2S Central Bank Account is entered, this field is mandatory.</p> <p>This field is read-only in edit mode if contains data for current and future item (it is only possible to insert a new element, when a future one is not already present).</p> <p>Valid From must be greater than the current business date.</p> <p>Required format is: Date.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC6057 <input type="checkbox"/> DCU6057 <input type="checkbox"/> DCU6059 <input type="checkbox"/> DCU6061

Regular Securities Account	
Regular Securities Account Number	<p>Enter the unique number of the regular securities account related to the CMB.</p> <p>If Valid From is entered, this field is mandatory.</p> <p>This field is read-only in edit mode if contains data for current and future item (it is only possible to insert a new element, when a future one is not already present).</p> <p>Required format is: max 35x characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC6024 <input type="checkbox"/> DCC6040 <input type="checkbox"/> DCC6041 <input type="checkbox"/> DCC6051 <input type="checkbox"/> DCC6052 <input type="checkbox"/> DCU6024 <input type="checkbox"/> DCU6040 <input type="checkbox"/> DCU6041 <input type="checkbox"/> DCU6051 <input type="checkbox"/> DCU6062
Valid From	<p>Enter or pick the date from which the link between CMB and Regular Securities Account is valid.</p> <p>If a Regular Securities Account is entered, this field is mandatory.</p> <p>This field is read-only in edit mode if contains data for current and future item (it is only possible to insert a new element, when a future one is not already present).</p> <p>Valid From must be greater than the current business date.</p> <p>Required format is: Date.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC6051 <input type="checkbox"/> DCU6051 <input type="checkbox"/> DCU6061

Regular Securities Account	
Receiving Securities Account Number	<p>Enter the unique number of the receiving securities account related to the CMB.</p> <p>If Valid From is entered, this field is mandatory.</p> <p>This field is read-only in edit mode if the related "Valid From" date is in the past.</p> <p>Required format is: max 35x characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC6025 <input type="checkbox"/> DCC6043 <input type="checkbox"/> DCC6044 <input type="checkbox"/> DCC6045 <input type="checkbox"/> DCC6053 <input type="checkbox"/> DCC6054 <input type="checkbox"/> DCC6055 <input type="checkbox"/> DCU6025 <input type="checkbox"/> DCU6043 <input type="checkbox"/> DCU6044 <input type="checkbox"/> DCU6045 <input type="checkbox"/> DCU6046 <input type="checkbox"/> DCU6053 <input type="checkbox"/> DCU6054 <input type="checkbox"/> DCU6055 <input type="checkbox"/> DCU6060 <input type="checkbox"/> DCU6063
Valid From	<p>Enter or pick the date from which the link between CMB and Receiving Securities Account is valid.</p> <p>If a Receiving Securities Account is entered, this field is mandatory.</p> <p>This field is read-only in edit mode if the related date is in the past.</p> <p>Valid From must be greater than the current business date.</p>

Regular Securities Account	
	<p>Required format is: Date.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC6054 <input type="checkbox"/> DCU6053 <input type="checkbox"/> DCU6054 <input type="checkbox"/> DCU6055 <input type="checkbox"/> DCU6061
Valid To	<p>Enter or pick the date until which the link between CMB and Receiving Securities Account is valid.</p> <p>Valid To, when present, must be greater than the current date and greater than the Valid From.</p> <p>Required format is: Date.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC6055 <input type="checkbox"/> DCU6055

Buttons

Buttons	
Add Row	<p>This function enables the user to add an item in “Authorized BIC Rules” list using the values inserted in the related fields.</p>
Delete Row	<p>This function enables the user to remove an item in “Authorized BIC Rules” list using the values inserted in the related fields.</p>
Submit	<p>This function enables the user to create or edit a CMB.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC6001 <input type="checkbox"/> DCC6024 <input type="checkbox"/> DCC6025 <input type="checkbox"/> DCC6030 <input type="checkbox"/> DCC6032 <input type="checkbox"/> DCC6040 <input type="checkbox"/> DCC6041 <input type="checkbox"/> DCC6042 <input type="checkbox"/> DCC6043

- DCC6044**
- DCC6045**
- DCC6046**
- DCC6047**
- DCC6050**
- DCC6051**
- DCC6052**
- DCC6053**
- DCC6054**
- DCC6055**
- DCC6056**
- DCC6057**
- DCC6060**
- DCC6070**
- DCU6001**
- DCU6005**
- DCU6024**
- DCU6025**
- DCU6030**
- DCU6040**
- DCU6041**
- DCU6042**
- DCU6043**
- DCU6044**
- DCU6045**
- DCU6046**
- DCU6048**
- DCU6049**
- DCU6050**
- DCU6051**
- DCU6053**
- DCU6054**

	<input type="checkbox"/> DCU6055 <input type="checkbox"/> DCU6057 <input type="checkbox"/> DCU6058 <input type="checkbox"/> DCU6059 <input type="checkbox"/> DCU6060 <input type="checkbox"/> DCU6061 <input type="checkbox"/> DCU6062 <input type="checkbox"/> DCU6063 <input type="checkbox"/> DCU6064 <input type="checkbox"/> DCU6070 <input type="checkbox"/> DCU6080
Reset	This function enables the user to set all fields to default value and blanks out all optional fields.
Cancel	This function enables the user to cancel the process and return to the previous screen. {Split book approach}

~~2.8.1.4.4 External RTGS Accounts Search/List Screen~~

Context of Usage

~~This screen contains a number of search fields. By inputting the relevant data, you can search for External RTGS Accounts. The search results are displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.~~

~~This screen is not relevant for CSD, CSD participant, external CSD or payment bank users.~~

Screen Access

- ~~Common >> Cash >> External RTGS Accounts >> Search~~

Privileges

~~To use this screen, the following Privileges are needed:~~

- ~~External RTGS Account list query~~
- ~~Delete External RTGS Account~~

Screenshot

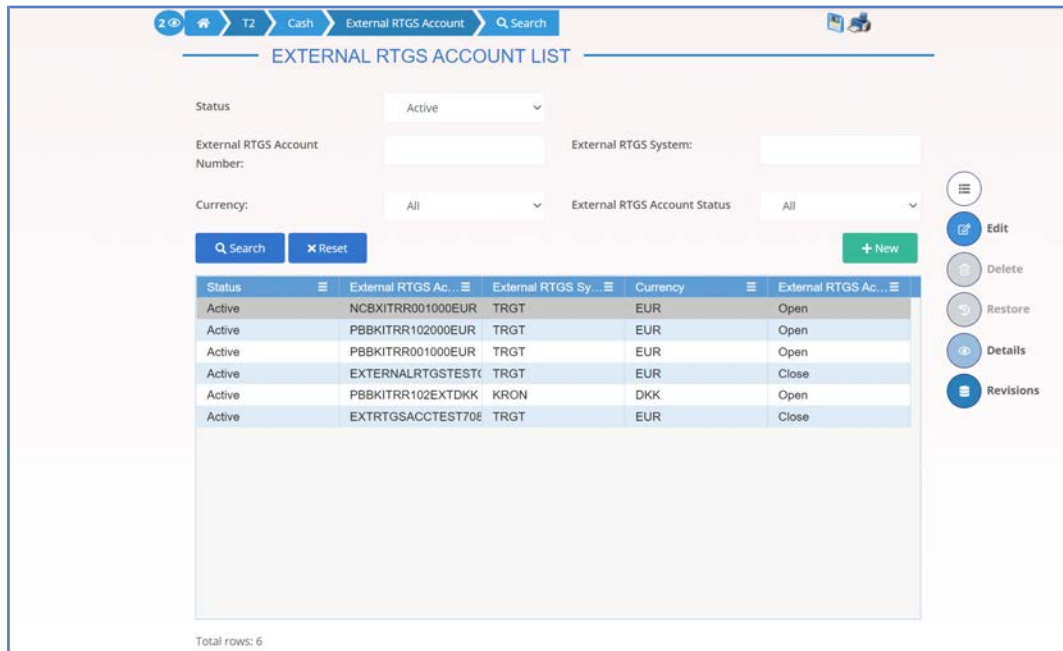


Illustration 178: External RTGS Accounts – search/list screen {Split book approach}

Fields

Description

External RTGS Account – Search Criteria	
Status	<p>Select the status of the External RTGS Account from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted
External RTGS Account Number	<p>Enter the number of the External RTGS Account to be searched.</p> <p>Required format is: max. 35x characters.</p>
External RTGS System	<p>Enter the name of the RTGS System in which the External RTGS Account is held.</p> <p>Required format is: max. 4x characters.</p>
Currency	<p>Select the currency code of the External RTGS Account from the drop-down menu.</p> <p>The default value is 'All'.</p>
External RTGS Account Status	<p>Select the status of the External RTGS Account from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> Open <input type="checkbox"/> Close

External RTGS Account – List	
Status	Shows the status of the External RTGS Account. References for error messages: <input type="checkbox"/> DCD3003 <input type="checkbox"/> DCD3012
External RTGS Account Number	Shows the number of the External RTGS Account. References for error messages: <input type="checkbox"/> DCD3101
External RTGS System	Shows the name of the RTGS System in which the External RTGS Account is held.
Currency	Shows the currency of the external RTGS account. Reference for error messages: <input type="checkbox"/> DCD3021
External RTGS Account Status	Shows the status of the External RTGS Account.

Buttons

Buttons	
Search	This function enables the User to start a search according to the entered criteria.
Reset	This function enables the User to set default search criteria and blanks out all optional criteria.
New	This function enables the User to create a new External RTGS Account.
Edit	This function enables the User to edit the details of the selected External RTGS Account. If the status of the selected External RTGS Account is already set to 'Closed' or the status is already set to 'Deleted', this function is not available.
Delete	This function enables the User to delete the selected External RTGS Account. If the status of the selected External RTGS Account is already set to 'Open' or the status is already set to 'Deleted', this function is not available. References for error messages: <input type="checkbox"/> DCD3001 <input type="checkbox"/> DCD3030

Restore	<p>This function enables the User to restore the selected External RTGS Account.</p> <p>If the status of the selected External RTGS Account is already set to 'Active', this function is not available.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCD3001 <input type="checkbox"/> DCD3012 <input type="checkbox"/> DCD3021 <input type="checkbox"/> DCD3024 <input type="checkbox"/> DCD3101
Details	<p>This function enables the User to display the details of the selected External RTGS Account.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCD3003
Revisions	<p>This function enables the User to display the revisions of the selected External RTGS Account. {Split book approach}</p>

2.8.1.4.5 External RTGS Account - Details Screen

Context of Usage	<p>This screen displays detailed information on the selected External RTGS Account. You can check the data and proceed further by clicking on the buttons below.</p> <p>This screen is not relevant for CSD, CSD participant, external CSD or payment bank users.</p>
Screen Access	<ul style="list-style-type: none"> <input type="checkbox"/> T2S >> Cash >> CMB >> CMBs – search/list screen >> Click on the details Common >> Cash >> External RTGS Account >> External RTGS Account – Search/List screen >> Click on the search and/or details button
Privileges	<p>To use this screen, the following Privileges are needed:</p> <ul style="list-style-type: none"> <input type="checkbox"/> External RTGS Account details query <input type="checkbox"/> Delete External RTGS Account

Screenshot

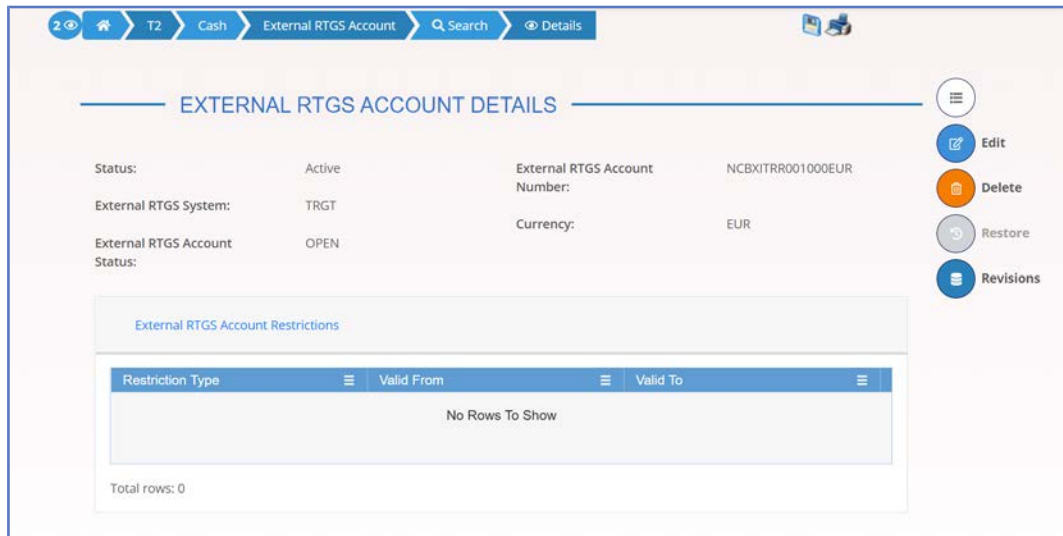


Illustration 179: **External RTGS Account – details screen** {Split book approach}

Fields

Description

External RTGS Account	
Status	Shows the status of the External RTGS Account. References for error messages: <input type="checkbox"/> DCD3003 <input type="checkbox"/> DCD3012
External RTGS Account Number	Shows the number of the External RTGS Account. References for error messages: <input type="checkbox"/> DCD3101
External RTGS System	Shows the name of the RTGS System in which the External RTGS Account is held.
Currency	Shows the currency of the external RTGS account. References for error messages: <input type="checkbox"/> DCD3021
External RTGS Account Status	Shows the status of the External RTGS Account.
External RTGS Account Restrictions	
Restriction Type	Shows the relevant Restriction Type. References for error messages: <input type="checkbox"/> DCD3024
Valid from	Shows the date and time from which the restriction is valid.
Valid to	Shows the date and time until which the restriction is valid.

Buttons

Edit	<p>This function enables the User to edit the details of the displayed External RTGS Account.</p> <p>If the status of the displayed External RTGS Account is already set to 'Closed' or the status is already set to 'Deleted', this function is not available.</p>
Delete	<p>This function enables the User to delete the displayed External RTGS Account.</p> <p>If the status of the displayed External RTGS Account is already set to 'Open' or the status is already set to 'Deleted', this function is not available.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCD3001 <input type="checkbox"/> DCD3003 <input type="checkbox"/> DCD3030
Restore	<p>This function enables the User to restore the displayed External RTGS Account.</p> <p>If the status of the displayed External RTGS Account is already set to 'Active', this function is not available.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCD3001 <input type="checkbox"/> DCD3012 <input type="checkbox"/> DCD3021 <input type="checkbox"/> DCD3024 <input type="checkbox"/> DCD3101
Revisions	<p>This function enables the user to display the revisions of the displayed External RTGS Account. {Split book approach}</p>

~~2.8.1.4.6 External RTGS Account – New/Edit Screen~~

Context of Usage

~~This screen contains a number of fields regarding External RTGS Account. You can enter new data or edit existing data. Afterwards you can proceed further by clicking on the buttons below.~~

~~The edit screen only allows you to close the account or to edit the restriction list.~~

~~This screen is not relevant for CSD, CSD participant, external CSD or payment bank users.~~

Screen Access

- ~~Common >> Cash >> External RTGS Account >> New~~
- ~~Common >> Cash >> External RTGS Account >> External RTGS Account – Search/List screen >> click on New or Edit button~~

Privileges

~~To use this screen, the following Privileges are needed:~~

- ~~Create External RTGS Account~~
- ~~Update External RTGS Account~~

Screenshot

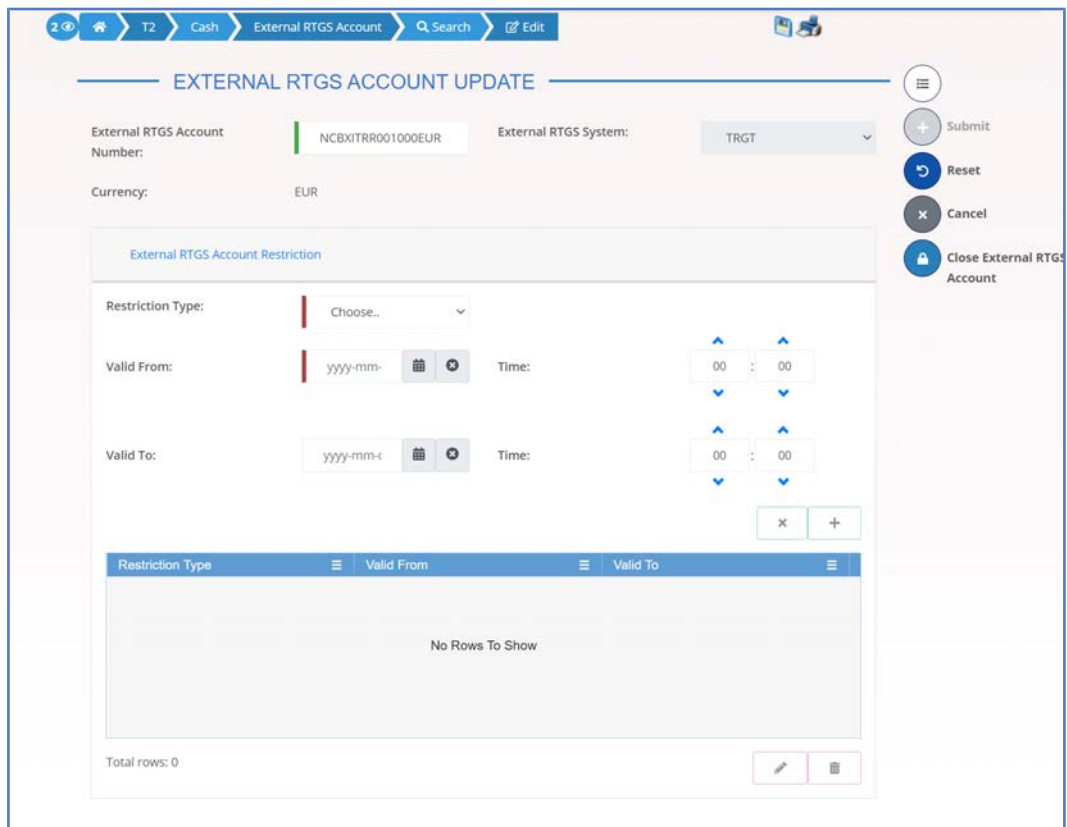


Illustration 180: External RTGS Account – new/edit screen (Split book approach)

**Fields
Description**

External RTGS Account	
External RTGS Account Number	<p>Enter the number of the External RTGS Account. This field is mandatory. Required format is: 35x characters. References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC3101 <input type="checkbox"/> DCU3101
External RTGS System	<p>Select the name of the RTGS System in which the External RTGS Account is held. This field is mandatory. This field is read-only in edit mode.</p>
Currency	Shows the currency of the external RTGS account.
External RTGS Account Restriction	
Restriction Type	<p>Select the restriction type of the external RTGS account from the drop-down menu. This field is mandatory.</p>

External RTGS Account Restriction	
	<p>This field is read-only in edit mode.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC3024 <input type="checkbox"/> DCC3300 <input type="checkbox"/> DCU3024 <input type="checkbox"/> DCU3217 <input type="checkbox"/> DCU3300
Valid From	<p>Enter or pick the date and time from which the restriction is valid.</p> <p>This field is mandatory.</p> <p>This field is read-only in edit mode.</p> <p>Required format is: Datetime.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC3025 <input type="checkbox"/> DCC3300 <input type="checkbox"/> DCU3211 <input type="checkbox"/> DCU3216 <input type="checkbox"/> DCU3300
Valid To	<p>Enter or pick the date and time until which the restriction is valid.</p> <p>This field must be equal greater than the current date and greater than the Valid From.</p> <p>Required format is: Datetime.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC3212 <input type="checkbox"/> DCC3300 <input type="checkbox"/> DCU3030 <input type="checkbox"/> DCU3212 <input type="checkbox"/> DCU3216 <input type="checkbox"/> DCU3300

Buttons

Buttons	
Add Row	This function adds an item in “External RTGS Account Restriction” list using the values inserted in the related fields.
Delete Row	This function removes the selected item from “External RTGS Account Restriction” list.
Update Row	This function updates the selected item in “External RTGS Account Restriction” list using the values inserted in the related fields.
Submit	<p>This function enables the User to create or edit an External RTGS Account according to the information filled in the fields.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC3001 <input type="checkbox"/> DCC3024 <input type="checkbox"/> DCC3025 <input type="checkbox"/> DCC3100 <input type="checkbox"/> DCC3101 <input type="checkbox"/> DCC3212 <input type="checkbox"/> DCC3300 <input type="checkbox"/> DCU3001 <input type="checkbox"/> DCU3003 <input type="checkbox"/> DCU3024 <input type="checkbox"/> DCU3030 <input type="checkbox"/> DCU3101 <input type="checkbox"/> DCU3211 <input type="checkbox"/> DCU3212 <input type="checkbox"/> DCU3216 <input type="checkbox"/> DCU3217 <input type="checkbox"/> DCU3300
Reset	This function restores the situation shown at opening of the page.
Cancel	This function enables the User to cancel the process and return to the previous screen.

Close External RTGS Account	This function enables the User to set the status of the displayed external RTGS account to 'Closed'. {Split book approach}
------------------------------------	---

2.8.1.5 Market Specific configuration

2.8.1.5.1 Market Specific Attributes – Search/List Screen

Context of Usage

This screen allows the user to search for market-specific attributes. The search results will be displayed in a list, which is sorted by the values of the 'Attribute Name' column in ascending order (default setting). After selecting an entry, the user can proceed further by clicking on the buttons below.

This function is only available in U2A mode.

There is no usage restriction for this screen.

Screen Access

- T2S >> Market-Specific Configuration >> Market-Specific Attributes >> Search

Privileges

To use this screen, the following Privileges are needed:

- Delete market-specific attribute
- Market-Specific Attributes list query

Screenshot

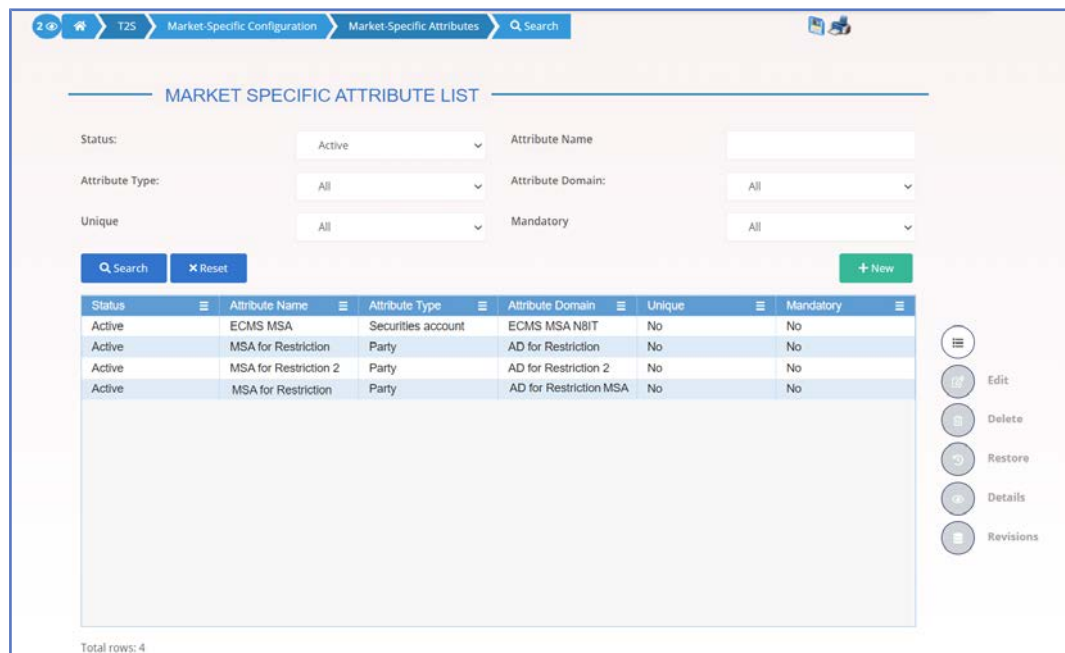


Illustration 181: Market-Specific Attributes – search/list screen {Split book approach}

Fields	Market-Specific Attributes – Search Criteria	
Description	Status	Select the status of the Market-Specific Attributes from the possible values: <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted
	Attribute Name	Enter the name of the Market-Specific Attribute. Required format is: max. 35x characters.
	Attribute Type	Select the type of the Market-Specific Attribute from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Party <input type="checkbox"/> Security account <input type="checkbox"/> Security
	Attribute Domain	Select the name of the attribute domain related to the Market-Specific Attribute from the drop-down menu. The default value is 'All'.
	Unique	Select whether the Market-Specific Attribute is unique from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Yes <input type="checkbox"/> No
	Mandatory	Select whether the Market-Specific Attribute is unique from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Yes <input type="checkbox"/> No
Market-Specific Attributes – List		
	Status	Shows the status of the Market-Specific Attribute. References for error messages: <input type="checkbox"/> DRDK003 <input type="checkbox"/> DRDK004
	Attribute Name	Shows the name of the Market-Specific Attribute.

Market-Specific-Attributes – List	
	<p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDK006
Attribute Type	Shows the type of the Market-Specific Attribute.
Attribute Domain	<p>Shows the name of the attribute domain related to the Market-Specific Attribute.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDK005
Unique	Shows the name of the attribute domain related to the Market-Specific Attribute.
Mandatory	Reference for error message:

Buttons

Search	This function enables the user to start a search according to the filled in criteria.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
New	This function enables the user to create a new Market-Specific Attribute.
Edit	<p>This function enables the user to edit the details of the selected Market-Specific Attribute.</p> <p>If the status of the selected Market-Specific Attribute is already set to 'Deleted', this function is not available.</p>
Delete	<p>This function enables the user to delete the selected Market-Specific Attribute.</p> <p>If the status of the selected Market-Specific Attribute is already set to 'Deleted', this function is not available.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDK001 <input type="checkbox"/> DRDK003 <input type="checkbox"/> DRDK040
Restore	<p>This function enables the user to restore a previously deleted Market-Specific Attribute.</p> <p>If the status of the selected Market-Specific Attribute is already set to 'Active' this function is not available.</p>

	<p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDK001 <input type="checkbox"/> DRDK004 <input type="checkbox"/> DRDK005 <input type="checkbox"/> DRDK006
Details	This function enables the user to display the details of the selected Market-Specific Attribute.
Revisions	This function enables the user to display the revisions of the selected Market-Specific Attribute. {Split book approach}

2.8.1.5.2 Market-Specific Attribute – Details Screen

Context of Usage

This screen displays detailed information on the selected Market-Specific Attribute. Users can check the data and proceed further by clicking on the buttons below.

Screen Access

- T2S >> Cash >> Market-Specific Configuration >> Market-Specific Attributes >> Market-Specific Attributes – search/list screen >> Click on the details button

Privileges

To use this screen, the following Privileges are needed:

- Market-Specific attributes details query
- Delete market-specific attribute

Screenshot

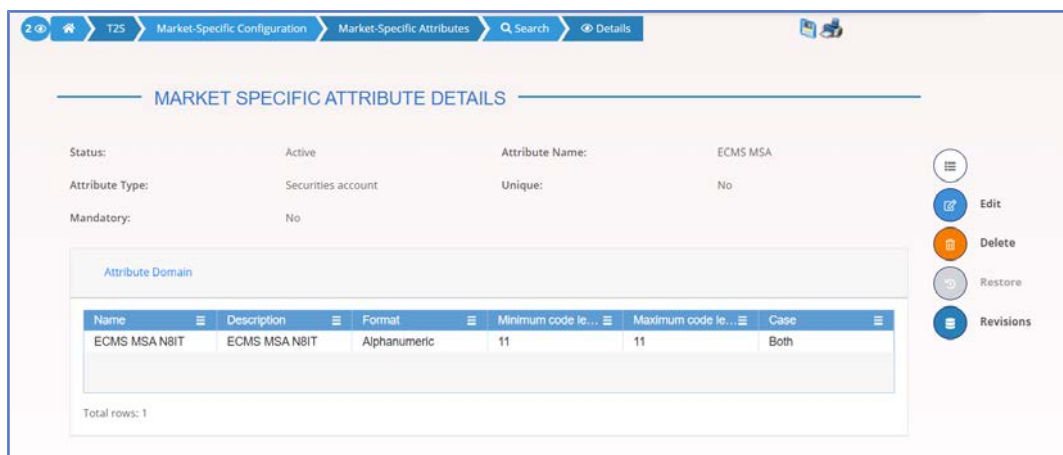


Illustration 182: Market-Specific Attribute – details screen {Split book approach}

Fields

Description

Receiving Securities Account	
Status	Shows the status of the Market-Specific Attribute. References for error messages:

Receiving Securities Account	
	<input type="checkbox"/> DRDK003 <input type="checkbox"/> DRDK004
Attribute Name	Shows the name of the Market-Specific Attribute. References for error messages: <input type="checkbox"/> DRDK006
Attribute Type	Shows the type of the Market-Specific Attribute.
Unique	Shows whether the Market-Specific Attribute is unique or not.
Mandatory	Shows whether the Market-Specific Attribute is mandatory or not.
Attribute Domain	
Name	Shows the name of the attribute domain related to the Market-Specific Attribute. References for error messages: <input type="checkbox"/> DRDK005
Description	Shows the description of the attribute domain.
Format	Shows the format of the attribute domain.
Minimum code length	Shows the minimum length of the code for a value in the attribute domain.
Maximum code length	Shows the maximum length of the code for a value in the attribute domain.
Case	Shows the case type of the attribute format.

Buttons

Edit	This function enables the user to edit the details of the selected Market-Specific Attribute. If the status of the selected Market-Specific Attribute is already set to 'Deleted', this function is not available.
Delete	This function enables the user to delete the selected Market-Specific Attribute. If the status of the selected Market-Specific Attribute is already set to 'Deleted', this function is not available. References for error messages:

	<ul style="list-style-type: none"> <input type="checkbox"/> DRDK001 <input type="checkbox"/> DRDK003 <input type="checkbox"/> DRDK040
Restore	<p>This function enables the user to restore a previously deleted Market-Specific Attribute.</p> <p>If the status of the selected Market-Specific Attribute is already set to 'Active', this function is not available.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDK001 <input type="checkbox"/> DRDK004 <input type="checkbox"/> DRDK005 <input type="checkbox"/> DRDK006
Revisions	<p>This function enables the user to display the revisions of the selected Market-Specific Attribute. {Split book approach}</p>

2.8.1.5.3 ~~Market-Specific Attribute – New/Edit Screen~~

Context of Usage

~~This screen enables the user to create a new Market-Specific Attribute or to edit an existing one. The screen has a slightly different behaviour depending on the function you are submitting.~~

~~Only the Service Operator, NCBs or CSDs can create or edit Market-Specific Attributes.~~

Screen Access

- ~~T2S >> Market-Specific Configuration >> Market-Specific Attributes >> New~~
- ~~T2S >> Market-Specific Configuration >> Market-Specific Attributes >> Market-Specific Attributes – search/list screen >> Click on the new or edit button~~
- ~~T2S >> Market-Specific Configuration >> Market-Specific Attributes >> Market-Specific Attributes – search/list screen >> Click on the details button >> Market-Specific Attributes – details screen >> Click on the edit button~~

Privileges

~~To use this screen, the following Privileges are needed:~~

- ~~Create market-specific attribute~~
- ~~Update market-specific attribute~~

Screenshot

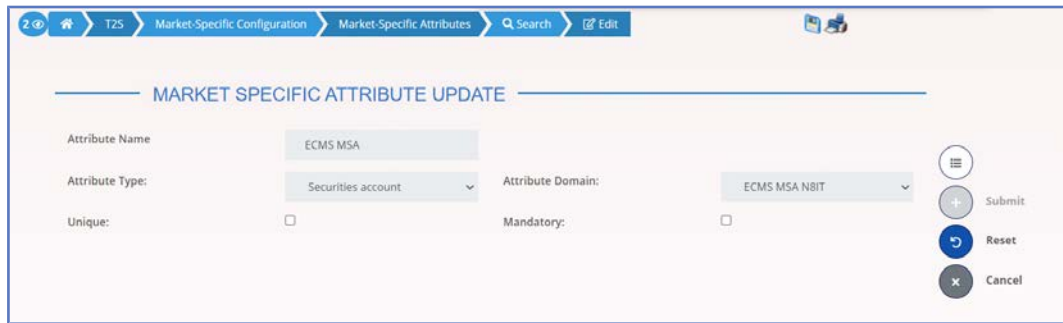


Illustration 183: **Market-Specific Attribute – new/edit screen** {Split book approach}

**Fields
Description**

Market-Specific Attributes	
System Entity code	<p>Select the system entity code in order to to work on behalf of a participant.</p> <p>This field is only visible to the Service Operator, in create mode.</p> <p>Reference for error message:</p> <p><input type="checkbox"/> DRCK003</p>
Attribute Name	<p>Enter the name of the Market-Specific Attribute.</p> <p>This field is mandatory in create mode.</p> <p>This field is read-only in edit mode.</p> <p>Required format is: max 35x characters.</p>
Attribute Type	<p>Select the type of the attribute from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Party <input type="checkbox"/> Securities account <input type="checkbox"/> Security <p>Default value is “blank”.</p> <p>This field is mandatory in create mode.</p> <p>This field is read-only in edit mode.</p>
Attribute domain	<p>Select the attribute domain of the Market-Specific Attribute from the drop-down menu. Default value is “blank”.</p> <p>This field is mandatory in create mode.</p> <p>This field is read-only in edit mode.</p> <p>Reference for error message:</p> <p><input type="checkbox"/> DRCK002</p>
Unique	<p>Select whether the Market-Specific Attribute is unique.</p>

Market-Specific Attributes	
Mandatory	<p>Select whether the Market-Specific Attribute is mandatory.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCK100 <input type="checkbox"/> DRUK005

Buttons

Submit	<p>This function enables the user to create or edit a Market-Specific Attribute.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCK001 <input type="checkbox"/> DRCK002 <input type="checkbox"/> DRCK003 <input type="checkbox"/> DRCK100 <input type="checkbox"/> DRUK001 <input type="checkbox"/> DRUK003 <input type="checkbox"/> DRUK005
Reset	<p>This function enables the user to set all fields to default value and blanks out all optional fields.</p>
Cancel	<p>This function enables the user to cancel the process and return to the previous screen. {Split book approach}</p>

2.8.2 Common

2.8.2.1 Parties

2.8.2.1.1 Parties – Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for parties. The search results will be displayed in a list, which is sorted by the values of the ‘BIC’ column in ascending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.

Party reference data can only be created and edited by users belonging to the responsible CSD, CB or by the Service operator (for 'NCB' and 'CSD' party type). Party reference data can only be viewed by users belonging to the responsible CSD, CB or to the party itself, while the Service operator can view all party data.

Screen Access

~~Common >> Parties >> Parties >> Search~~

Privileges

To use this screen, the following Privileges are needed:

- ~~Party list query~~
- ~~Party reference data query~~
- ~~Delete party~~

Screenshot

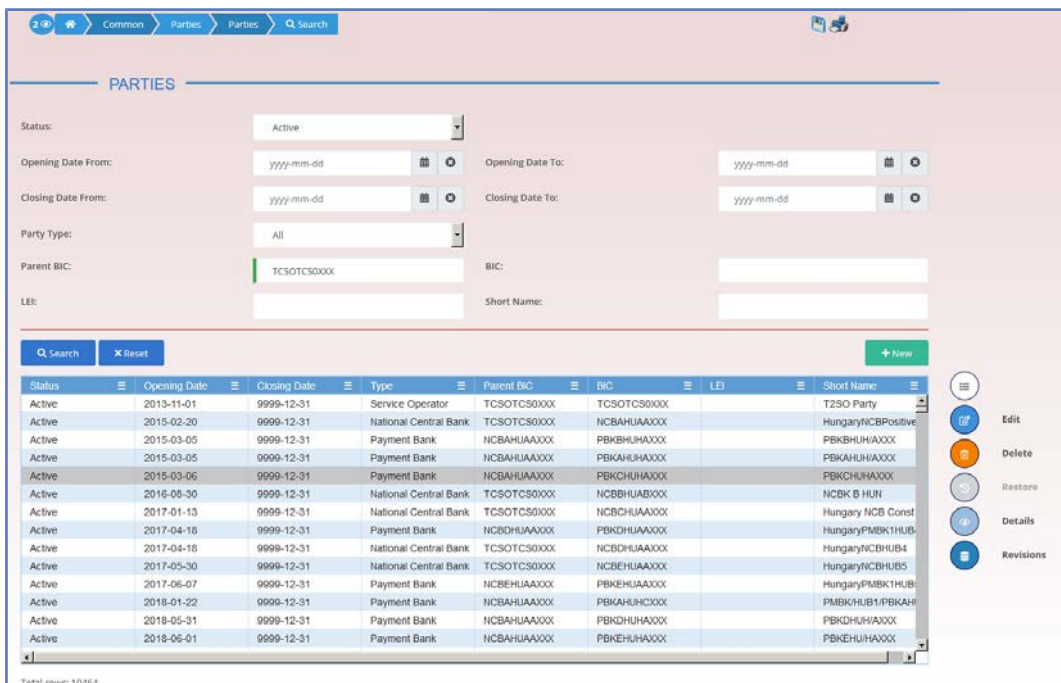


Illustration 184: **Parties – search/list screen** {Split book approach}

Fields

Description

Parties – Search Criteria	
Status	<p>Select the status of the party from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DPD1003 <input type="checkbox"/> DPD1004

Parties — Search Criteria	
Opening Date From	<p>Enter or pick the lower bound of the date from which the party is open.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DPD1003 <input type="checkbox"/> DPD1004 <p>The lower bound of the opening date must be earlier than or equal to the upper bound.</p> <p>Required format is: Date.</p>
Opening Date To	<p>Enter or pick the upper bound of the date from which the party is open.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DPD1003 <input type="checkbox"/> DPD1004 <p>The upper bound of the opening date must be later than or equal to the lower bound.</p> <p>Required format is: Date.</p>
Closing Date From	<p>Enter the lower bound of the date from which the party is closed or use the calendar icon.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DPD1003 <input type="checkbox"/> DPD1004 <p>The lower bound of the closing date must be earlier than or equal to the upper bound and later than the lower bound of the opening date.</p> <p>Required format is: Date.</p>
Closing Date To	<p>Enter the upper bound of the date from which the party is closed or use the calendar icon.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DPD1003 <input type="checkbox"/> DPD1004 <p>The upper bound of the closing date must be later than or equal to the lower bound and later than the lower bound of the opening date.</p> <p>Required format is: Date.</p>

Parties — Search Criteria	
Party Type	<p>Select the type of party from the possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> All (default value)<input type="checkbox"/> Service Operator<input type="checkbox"/> Payment bank<input type="checkbox"/> National Central Bank (NCB)<input type="checkbox"/> Ancillary System<input type="checkbox"/> Central Securities Depository (CSD)<input type="checkbox"/> CSD Participant<input type="checkbox"/> External CSD <p>Reference for error message:</p> <ul style="list-style-type: none"><input type="checkbox"/> DPD1005 <p>This field can be already filled in or have fewer values depending on your screen access.</p>
Parent BIC	<p>Enter or select the parent BIC of the party.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"><input type="checkbox"/> DPD1013<input type="checkbox"/> DPD1180 <p>Required format is: max. 11x characters.</p>
BIC	<p>Enter or select the BIC of the party.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"><input type="checkbox"/> DPD1013<input type="checkbox"/> DPD1180 <p>Required format is: max. 11x characters.</p>
LEI	<p>Enter the Legal Entity Identifier of the Party, as defined by ISO 17442 standard.</p> <p>Required format is: 20x characters (ISO 17442).</p>
Short Name	<p>Enter the short name of the party.</p> <p>Required format is: max. 35x characters.</p>
Auto-collateralisation Rule	<p>Select the type of collateralisation procedure application for the NCB.</p> <p>The exhaustive list of possible values is as follows:</p> <ul style="list-style-type: none"><input type="checkbox"/> All (default value)<input type="checkbox"/> Pledge

Parties — Search Criteria	
	<input type="checkbox"/> Pledge Sub-account <input type="checkbox"/> Repo <p>This field has to be specified only for NCB and Payment Bank Party Type.</p> <p>This field is for T2S only.</p>

Parties — List	
Status	<p>Shows the status of the party.</p> <p>Reference for error message:</p> <input type="checkbox"/> DPD1003 <input type="checkbox"/> DPD1004
Opening Date	<p>Shows the date from which the party is open.</p> <p>Reference for error message:</p> <input type="checkbox"/> DPD1003 <input type="checkbox"/> DPD1004
Closing Date	<p>Shows the date from which the party is closed.</p> <p>Reference for error message:</p> <input type="checkbox"/> DPD1003 <input type="checkbox"/> DPD1004
Type	<p>Shows the type of party.</p> <p>Reference for error message:</p> <input type="checkbox"/> DPD1005
Parent BIC	<p>Shows the parent BIC of the party.</p> <p>Reference for error message:</p> <input type="checkbox"/> DPD1013 <input type="checkbox"/> DPD1180
BIC	<p>Shows the BIC of the party.</p> <p>Reference for error message:</p> <input type="checkbox"/> DPD1013 <input type="checkbox"/> DPD1180
LEI	<p>Shows the Legal Entity Identifier of the Party, as defined by ISO 17442 standard.</p>

Parties—List	
Short Name	Shows the short name of the party.
Auto-collateralisation Rule	<p>Select the type of collateralisation procedure application for the NCB.</p> <p>The exhaustive list of possible values is as follows:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> Pledge <input type="checkbox"/> Pledge Sub-account <input type="checkbox"/> Repo <p>This field has to be specified only for NCB and Payment Bank Party Type.</p> <p>This field is for T2S only.</p>

Buttons

Buttons	
Search	This function enables the user to start a search according to the filled in criteria.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
New	This function enables the user to create a new party.
Edit	<p>This function enables the user to edit the details of the selected party.</p> <p>If the status of the selected party is set to 'Deleted', this function is not available.</p> <p>If you have accessed this screen via another screen, this function is not available.</p>
Delete	<p>This function enables the user to delete the selected party</p> <p>If the status of the selected party is already set to 'Deleted', this function is not available.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DPD1001 <input type="checkbox"/> DPD1003 <input type="checkbox"/> DPD1030 <input type="checkbox"/> DPD1300 <p>If you have accessed this screen via another screen, this function is not available.</p>

Details	This function enables the user to display the details of the selected party.
Restore	<p>This function enables the user to restore the selected party.</p> <p>If the status of the selected party is already set to 'Active', this function is not available.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DPD1001 <input type="checkbox"/> DPD1004 <input type="checkbox"/> DPD1005 <input type="checkbox"/> DPD1013 <input type="checkbox"/> DPD1021 <input type="checkbox"/> DPD1024 <input type="checkbox"/> DPD1040 <input type="checkbox"/> DPD1180 <input type="checkbox"/> DPD1207 <input type="checkbox"/> DPD1208 <input type="checkbox"/> DPD1252 <input type="checkbox"/> DPD1254 <input type="checkbox"/> DPD1256 <input type="checkbox"/> DPD1257
Revisions	This function enables the user to display the revisions list of the selected party.
Restricted Parties	<p>This function enables the user to search for restricted parties.</p> <p>This button is for T2S only. {Split book approach}</p>

~~2.8.2.1.2 Party - Details Screen~~

Context of Usage

~~This screen displays detailed information on the selected party. You can check the data and proceed further by clicking on the buttons below.~~

Screen Access

- ~~Common >> Parties >> Parties >> Parties – search/list screen >> Click on the search and/or details button~~

Privileges

~~To use this screen, the following Privileges are needed:~~

- ~~Delete party~~

- Party reference data query
- Technical address network service link details query

Screenshot

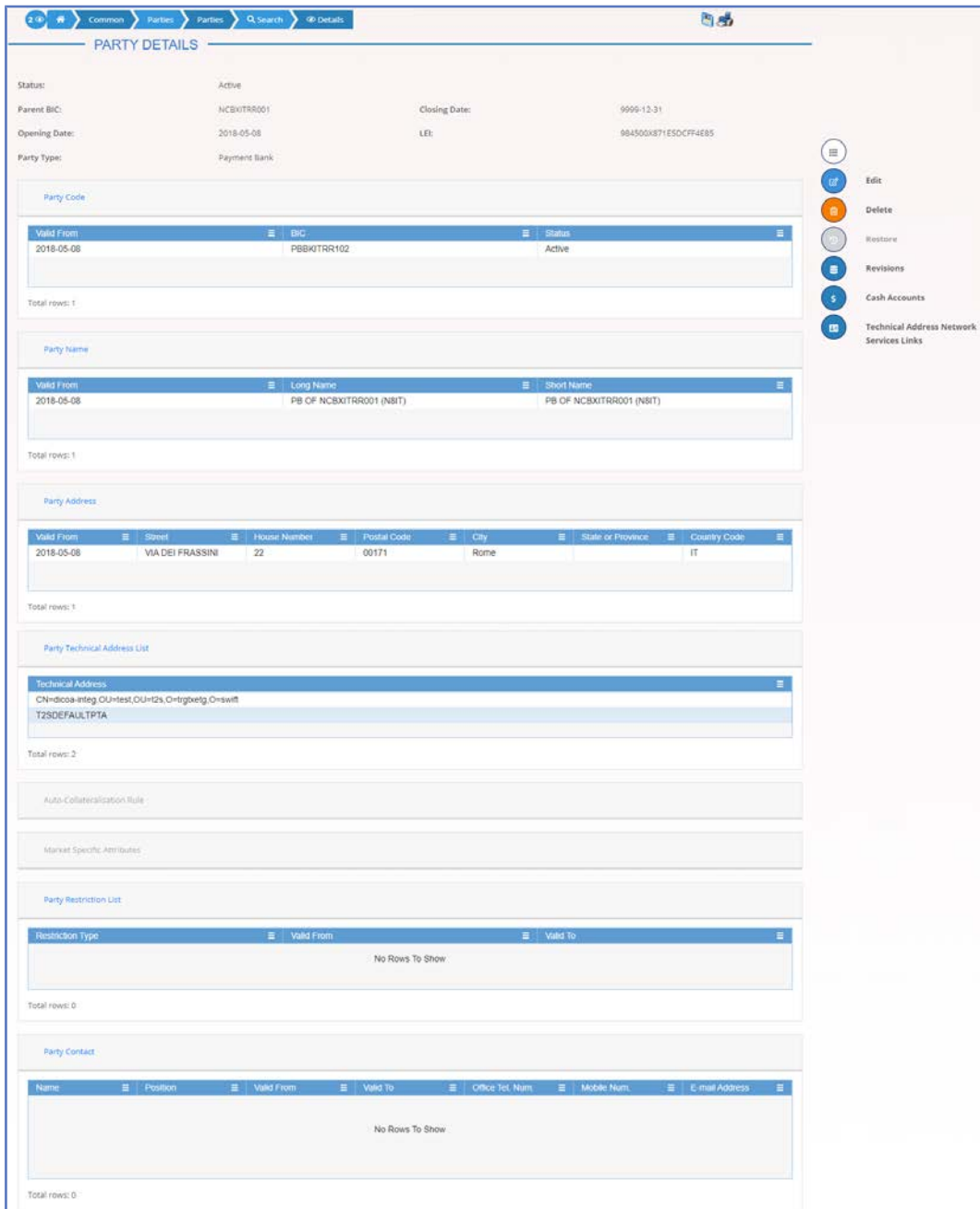


Illustration 185: Party – details screen {Split book approach}

Fields

Description

Party	
Status	<p>Shows the status of the party.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DPD1003 <input type="checkbox"/> DPD1004
Parent BIC	<p>Shows the parent BIC of the party.</p>

Party	
	Reference for error message: <input type="checkbox"/> DPD1013 <input type="checkbox"/> DPD1180
Opening Date	Shows the opening date of the party. Reference for error message: <input type="checkbox"/> DPD1003 <input type="checkbox"/> DPD1004
Closing Date	Shows the closing date of the party. Reference for error message: <input type="checkbox"/> DPD1003 <input type="checkbox"/> DPD1004
Party Type	Shows the type of party. Reference for error message: <input type="checkbox"/> DPD1005
LEI	Shows the Legal Entity Identifier of the Party, as defined by ISO 17442 standard.

Party Code	
Valid From	Shows the starting validity date for the party code.
BIC	Shows the BIC of the party. Reference for error message: <input type="checkbox"/> DPD1013
Status	Shows the status of the party code.

Party Name	
Valid From	Shows the starting validity date for the party name.
Long Name	Shows the long name of the party.
Short Name	Shows the short name of the party.

Party Address	
Valid From	Shows the starting validity date for the party address.
Street	Shows the name of the street for the address.

Party Address	
House Number	Shows the house number for the address.
Postal Code	Shows the postal code for the address.
City	Shows the city for the address.
State or Province	Shows the State or the province for the address.
Country Code	Shows the country code of the address. Reference for error message: <input type="checkbox"/> DPD1021

Party Technical Address List	
Technical Address	Shows the list of Technical Addresses for the party. Reference for error message: <input type="checkbox"/> DPD1207

Auto-Collateralisation Rule (For T2S only)	
Collateralisation Procedure	Type of collateralisation procedure application for the NCB. The exhaustive list of possible values is as follows: <input type="checkbox"/> Pledge <input type="checkbox"/> Pledge Sub-account <input type="checkbox"/> Repo The field is visible only to NCBs and Payment Banks.
Minimum Amount for Auto-collateralisation	This attribute shall specify the minimum amount to be sourced in an autocollateralisation operation. This field is only available for Payment Banks.
Minimum Amount for Client collateralisation	This attribute shall specify the minimum amount to be sourced in a client collateralisation operation. This field is only available for Payment Banks.

Market Specific Attributes (For T2S only)	
Attribute Name	Shows the name of market-specific attribute related to Party.
Attribute Value	Shows the value of market-specific attribute related to Party.

Market Specific Attributes (For T2S only)	
	<p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DPD1252 <input type="checkbox"/> DPD1254 <input type="checkbox"/> DPD1256 <input type="checkbox"/> DPD1257

Party Restriction List	
Restriction	<p>Shows the restriction type for the party.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DPD1024
Valid From	<p>Shows the starting validity date and time for the restriction.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DPD1208
Valid To	<p>Shows the ending validity date and time for the restriction.</p>

Party Contact	
Name	Shows the name of the Party Contact.
Position	Shows the position of the Party Contact.
Valid From	Shows the starting validity date for the Party Contact.
Valid To	Shows the ending validity date for the Party Contact.
Office Telephone Number	Shows the office Telephone Number of the Party Contact.
Mobile Number	Shows the mobile Number of the Party Contact.
E-mail address	Shows the e-mail address of the Party Contact.

Buttons

Edit	<p>This function enables the user to edit the details of the selected party.</p> <p>If the status of the selected party is set to 'Deleted', this function is not available.</p>
------	--

	If the user has accessed this screen via another screen, this function is not available.
Delete	This function enables the user to delete the selected party. If the status of the selected party is already set to 'Deleted', this function is not available. Reference for error message: <input type="checkbox"/> DPD1001 <input type="checkbox"/> DPD1003 <input type="checkbox"/> DPD1030 <input type="checkbox"/> DPD1300 If you have accessed this screen via another screen, this function is not available.
Restore	This function enables the user to restore the selected party. If the status of the selected party is already set to 'Active', this function is not available. Reference for error message: <input type="checkbox"/> DPD1001 <input type="checkbox"/> DPD1004 <input type="checkbox"/> DPD1005 <input type="checkbox"/> DPD1013 <input type="checkbox"/> DPD1021 <input type="checkbox"/> DPD1024 <input type="checkbox"/> DPD1040 <input type="checkbox"/> DPD1180 <input type="checkbox"/> DPD1207 <input type="checkbox"/> DPD1208 <input type="checkbox"/> DPD1252 <input type="checkbox"/> DPD1254 <input type="checkbox"/> DPD1256 <input type="checkbox"/> DPD1257
Securities Accounts	This function enables the user to display the securities accounts related to the selected party.

	This button is for T2S only.
Cash Accounts	This function enable the user to access the Cash Account list screen related to the party. This button is visible only in case of the shown party is a Central Banks, an Ancillary System or a Payment Bank.
Technical Addresses Network Services Link	This function enable the user to see the list of the link among Technical Addresses associated to the Party and the Network Services.
Revisions	This function enables the user to display the revisions list of the selected party. {Split book approach}

2.8.2.1.3 Party New/Edit Screen

Context of Usage

~~This screen enables the user to create a new party or to modify an existing one, previously selected.~~

Screen Access

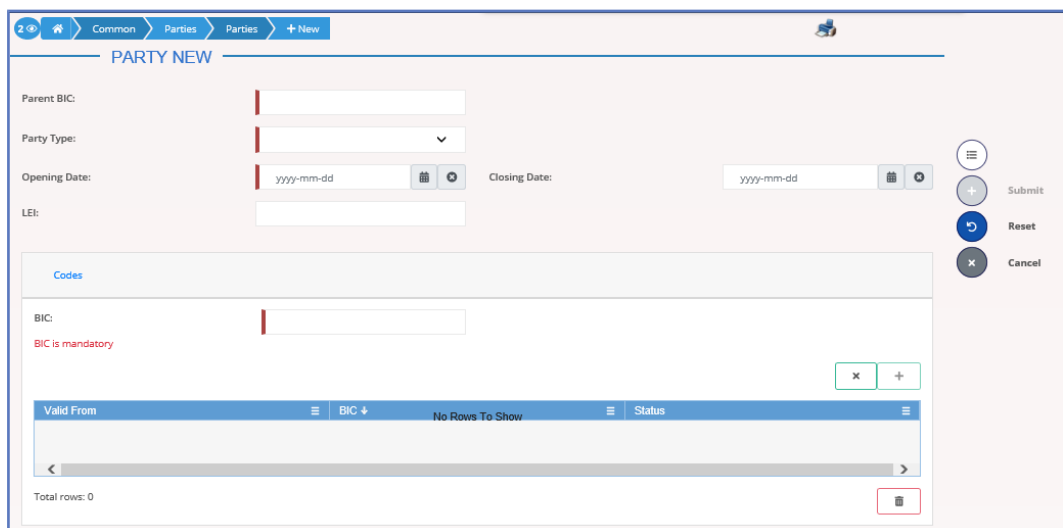
- ~~Common >> Parties >> Parties >> New~~
- ~~Common >> Parties >> Parties >> Search >> click on New or Edit button~~

Privileges

~~To use this screen, the following Privileges are needed:~~

- ~~Create Party~~
- ~~Update Party~~

Screenshot



Names

Long Name: Short Name:

Name is mandatory

x +

Valid From	Long Name	Short Name
No Rows To Show		

Total rows: 0 🗑️

Addresses

Street: House Number:

Postal Code: City:

State or Province: Country Code:

Address is mandatory

x +

Valid From	Street	House Number	Postal Code	City	Country Code
No Rows To Show					

Total rows: 0 🗑️

Technical Addresses

Technical Address:

Technical Address is mandatory

x +

Technical Address
No Rows To Show

Total rows: 0 🗑️

Restrictions

Restriction:

Valid From Date: 🗑️ + Valid From Time:

Valid To Date: 🗑️ + Valid To Time:

x +

Restriction Type	Valid From	Valid To
No Rows To Show		

Total rows: 0 ✎ 🗑️

Illustration 186: Party – new/edit screen (Split book approach)

**Fields
Description**

Party	
Parent-BIC	<p>Enter the parent BIC of the party.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DPC1001 <input type="checkbox"/> DPU1001 <input type="checkbox"/> DPU1013 <p>This field is mandatory if the Party Type is not NCB.</p> <p>This field is read-only in edit mode.</p> <p>Required format is: max. 11x characters.</p>
Party Type	<p>Select the type of party from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Payment bank <input type="checkbox"/> National Central Bank <input type="checkbox"/> Ancillary System <input type="checkbox"/> Central Securities Depository (CSD) <input type="checkbox"/> CSD Participant <input type="checkbox"/> External CSD <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DPC1002 <input type="checkbox"/> DPC1005 <p>This field is mandatory in create mode.</p> <p>This field is read-only in edit mode.</p>
Opening Date	<p>Enter the opening date of the party or use the calendar icon.</p>

Party	
	<p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DPC1205 <input type="checkbox"/> DPU1206 <input type="checkbox"/> DPU1208 <p>The inserting opening date must be equal or greater than the current business date.</p> <p>This field is mandatory in create mode.</p> <p>This field is read-only in edit mode if the current business date is greater than the "opening date".</p> <p>Required format is: Date.</p>
Closing Date	<p>Enter the closing date of the party or use the calendar icon.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DPC1206 <input type="checkbox"/> DPU1003 <input type="checkbox"/> DPU1205 <input type="checkbox"/> DPU1208 <input type="checkbox"/> DPU1250 <p>The Closing Date must be greater than or equal to the current business date and greater than the opening date.</p> <p>Required format is: Date.</p>
LEI	<p>Enter the Legal Entity Identifier of the Party, as defined by ISO 17442 standard.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DPU1360 <p>Required format is: 20x characters (ISO 17442).</p>
Party Code	
BIC	<p>Enter the BIC of the party.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DPC1013 <input type="checkbox"/> DPC1180 <input type="checkbox"/> DPU1005

Party-Code	
	<p><input type="checkbox"/> DPU1180</p> <p>This field is mandatory.</p> <p>Required format is: max. 11x characters.</p>
Valid From	<p>In edit mode, user can enter or update the date from which the party code is valid, also using the calendar icon.</p> <p>Valid From date must be equal to or greater than the current business date.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DPC1300 <input type="checkbox"/> DPU1009 <input type="checkbox"/> DPU1010 <input type="checkbox"/> DPU1500 <input type="checkbox"/> DPU1501 <p>Required format is: Date.</p>
Party-Name	
Long Name	<p>Enter the long name of the party in a time line basis.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DPU1005 <p>This field is mandatory.</p> <p>Required format is: max. 350x characters.</p>
Short Name	<p>Enter the short name of the party in a time line basis.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DPU1005 <p>This field is mandatory.</p> <p>Required format is: max. 35x characters.</p>
Valid From	<p>In edit mode, user can enter or update the date from which the party name is valid, also using the calendar icon.</p> <p>Valid From date must be equal to or greater than the current business date.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DPC1302

Party Name	
	<input type="checkbox"/> DPU1010 Required format is: Date.
Party Address	
Street	Enter the name of the street for the address. Reference for error message: <input type="checkbox"/> DPU1005 This field is mandatory. Required format is: max. 70x characters.
House Number	Enter the house number for the address. Reference for error message: <input type="checkbox"/> DPU1005 This field is mandatory. Required format is: max. 16x characters.
Postal Code	Enter the postal code for the address. Reference for error message: <input type="checkbox"/> DPU1005 This field is mandatory. Required format is: max. 16x characters.
City	Enter the city for the address. Reference for error message: <input type="checkbox"/> DPU1005 This field is mandatory. Required format is: max. 35x characters.
State or Province	Enter the State or the province for the address. Reference for error message: <input type="checkbox"/> DPU1005 Required format is: max. 35x characters.
Country Code	Select the country code of the address. Reference for error message: <input type="checkbox"/> DPC1021 <input type="checkbox"/> DPU1005 This field is mandatory.

Party Address	
Valid From	<p>In edit mode, user can enter or update the date from which the party address is valid, also using the calendar icon.</p> <p>Valid From date must be equal to or greater than the current business date.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DPC1301 <input type="checkbox"/> DPU1010 <p>Required format is: Date.</p>
Party Technical Address List	
Technical Address	<p>Enter the Technical Address of the party.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DPU1006 <input type="checkbox"/> DPU1007 <p>This field is mandatory to ensure it is present for A2A parties and that U2A parties use a standard entry.</p> <p>Required format is: max 256x characters (UTF-8 except '>', '<', '&').</p>
Party Restriction List	
Restriction	<p>Select a restriction type from the suggested items in the drop-down menu.</p> <p>If Valid From is entered, this field is mandatory.</p>
Valid From	<p>Enter the starting validity date and time for the restriction.</p> <p>If a restriction is selected, this field is mandatory.</p> <p>Valid From date must be equal to or greater than the current business date.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DPC1025 <input type="checkbox"/> DPC1208 <input type="checkbox"/> DPU1005 <input type="checkbox"/> DPU1010 <input type="checkbox"/> DPU1025

Party Restriction List	
	<input type="checkbox"/> DPU1208 Required format is: DateTime.
Valid To	Enter the ending validity date and time for the restriction. Reference for error message: <input type="checkbox"/> DPC1025 <input type="checkbox"/> DPC1207 <input type="checkbox"/> DPU1005 <input type="checkbox"/> DPU1207 Required format is: DateTime.
Party Contact	
Name	Enter the name of the Party Contact. If the user wants to enter Party Contacts, this field is mandatory. Reference for error message: <input type="checkbox"/> DPC1150 <input type="checkbox"/> DPU1150 Required format is: max. 140x characters.
Position	Enter the position of the Party Contact. If the user wants to enter Party Contacts, this field is mandatory. Required format is: max. 35x characters.
Valid From	Enter the starting validity date for the Party Contact. If the user wants to enter Party Contacts, this field is mandatory. Valid From date must be equal to or greater than the current business date. Reference for error message: <input type="checkbox"/> DPC1150 <input type="checkbox"/> DPU1005 <input type="checkbox"/> DPU1009 <input type="checkbox"/> DPU1010 <input type="checkbox"/> DPU1150

Party-Contact	
	<input type="checkbox"/> DPU1500 Required format is: DateTime.
Valid To	Enter the ending validity date for the Party Contact. Reference for error message: <input type="checkbox"/> DPC1150 <input type="checkbox"/> DPU1005 Required format is: DateTime.
Office Telephone Number	Enter the office Telephone Number of the Party Contact. Required format is: Telephone Number.
Mobile Number	Enter the mobile Number of the Party Contact. Required format is: Telephone Number.
E-mail address	Enter the e-mail address of the Party Contact. Required format is: max. 254x characters, SWIFT-x including \!#\$%&*^_{}~";<>@[].
Auto-Collateralisation Rule (For T2S only)	
Collateralisation Procedure	Select the type of collateralisation procedure chosen for the party by the national central bank from the possible values: <input type="checkbox"/> Pledge <input type="checkbox"/> Pledge Sub-account <input type="checkbox"/> Repo For payment banks this attribute, if inserted, must be equal to "Repo" (Pledge and Pledge Sub-account cannot be selected). Reference for error message: <input type="checkbox"/> DPC1304 <input type="checkbox"/> DPU1304
Minimum Amount for Auto-collateralisation	Enter the minimum amount to be sourced in an auto-collateralisation operation involving the Party. This field is only available for Payment Banks. Reference for error message: <input type="checkbox"/> DPC1303

Auto-Collateralisation Rule (For T2S only)	
	<input type="checkbox"/> DPU1303 Required format is: Amount.
Minimum Amount for Client collateralisation	This attribute shall specify the minimum amount to be sourced in a client collateralisation operation. This field is only available for Payment Banks. Reference for error message: <input type="checkbox"/> DPC1303 <input type="checkbox"/> DPU1303 Required format is: Amount.

Market Specific Attributes (For T2S only)	
Attribute Name	Enter the name of the market-specific attribute related to the party. Reference for error message: <input type="checkbox"/> DPU1252 <input type="checkbox"/> DPU1257 Required format is: max. 35x characters.
Attribute Value	Enter the value of the market-specific attribute related to the party. Reference for error message: <input type="checkbox"/> DPC1252 <input type="checkbox"/> DPC1254 <input type="checkbox"/> DPC1256 <input type="checkbox"/> DPC1257 <input type="checkbox"/> DPU1254 <input type="checkbox"/> DPU1256 Required format is: max. 350x characters.

Buttons

Update Row	This function enables the user to edit the selected item in the related list using the values inserted in the related fields.
Delete Row	This function enables the user to remove the selected item from the related list.

Add Row	This function enables the user to add an item in the related list using the values inserted in the related fields.
Clone Row	This function enables the user to fill the input fields with the related data, after selecting a row and pushing this button.
Submit	<p>This function enables the user to create or edit a party.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DPC1001 <input type="checkbox"/> DPC1001 <input type="checkbox"/> DPC1002 <input type="checkbox"/> DPC1005 <input type="checkbox"/> DPC1013 <input type="checkbox"/> DPC1021 <input type="checkbox"/> DPC1024 <input type="checkbox"/> DPC1025 <input type="checkbox"/> DPC1150 <input type="checkbox"/> DPC1180 <input type="checkbox"/> DPC1205 <input type="checkbox"/> DPC1206 <input type="checkbox"/> DPC1207 <input type="checkbox"/> DPC1208 <input type="checkbox"/> DPC1252 <input type="checkbox"/> DPC1254 <input type="checkbox"/> DPC1256 <input type="checkbox"/> DPC1257 <input type="checkbox"/> DPC1300 <input type="checkbox"/> DPC1301 <input type="checkbox"/> DPC1302 <input type="checkbox"/> DPC1303 <input type="checkbox"/> DPC1304 <input type="checkbox"/> DPC1305 <input type="checkbox"/> DPC1306

- DPC1600**
- DPU1001**
- DPU1003**
- DPU1005**
- DPU1006**
- DPU1007**
- DPU1009**
- DPU1010**
- DPU1013**
- DPU1021**
- DPU1024**
- DPU1025**
- DPU1030**
- DPU1150**
- DPU1180**
- DPU1205**
- DPU1206**
- DPU1207**
- DPU1208**
- DPU1250**
- DPU1252**
- DPU1254**
- DPU1255**
- DPU1256**
- DPU1257**
- DPU1258**
- DPU1300**
- DPU1303**
- DPU1304**
- DPU1305**
- DPU1306**
- DPU1308**

	<input type="checkbox"/> DPU1350 <input type="checkbox"/> DPU1351 <input type="checkbox"/> DPU1360 <input type="checkbox"/> DPU1500 <input type="checkbox"/> DPU1501 <input type="checkbox"/> DPU1600
Reset	This function enables the user to set all fields to default value and blanks out all optional fields.
Cancel	This function enables the user to cancel the process and return to the previous screen.
Technical Addresses Network Services Link	This function enables the user to enter the page in which is possible to associate list of the link among Technical Addresses associated to the Party and the Network Services. {Split book approach}

~~2.8.2.1.4 Restricted Parties – Search/List Screen~~

Context of Usage

~~This screen allows the authorised users to search for Restricted Parties. The result list is sorted by default by “Short Name”. This screen is relevant for all users according to their datascope.~~

Screen Access

- ~~Common >> Parties >> Restricted Parties >> Search~~

Privileges

~~To use this screen, the following Privileges are needed:~~

- ~~Restricted Party query~~

Screenshot

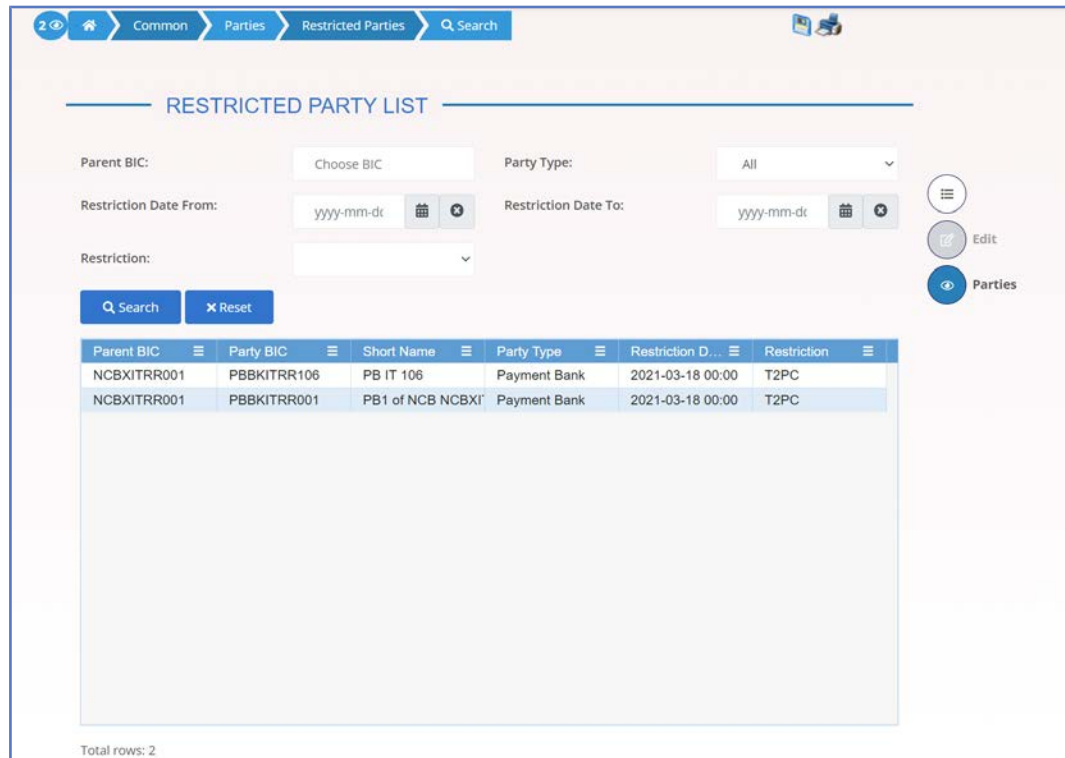


Illustration 187: **Restricted Party – search/list screen** (Split book approach)

Fields

Description

Restricted Parties – Search Criteria	
Parent BIC	Enter or select the parent BIC of the party. Required format is: max. 11x characters.
Party Type	Select the type of party from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Payment bank <input type="checkbox"/> National Central Bank (NCB) <input type="checkbox"/> Central Securities Depository (CSD) <input type="checkbox"/> CSD Participant <input type="checkbox"/> External CSD <input type="checkbox"/> Ancillary System
Restriction Date from	Enter or pick the lower bound for the validity date of the Restriction. Required format is: Date.
Restriction Date to	Enter or pick the upper bound for the validity date of the Restriction. Required format is: Date.
Restriction	Select the restriction from the drop-down menu.

Restricted Party – List	
Parent BIC	Shows the parent BIC of the party.
Party BIC	Shows the BIC of the party.
Short Name	Shows the short name of the party.
Party Type	Shows the type of party.
Restriction Date and Time	Shows the date and time from which the restriction is valid.
Restriction	Shows the identification of the restriction.

Buttons

Buttons	
Search	This function enables the User to start a search according to the entered criteria. The results are displayed in a list on the same screen.
Reset	This function enables the User to set default search criteria and blanks out all optional criteria.
Details	This function enables the user to display the details of the selected party.
Edit	This function enables the user to edit the details of the selected party.
Parties	This function enables the user to display the parties list. This function enables the user to display the parties list. {Split book approach}

2.8.2.1.5 Technical Addresses Network Services Link – Details Screen

Context of Usage	This screen displays detailed information on the selected technical addresses network services link. You can check the data and proceed further by clicking on the buttons below.
Screen Access	<input type="checkbox"/> Common >> Parties >> Parties >> Parties – search/list screen >> Click on the search and/or details button >> Party – details screen >> Click on the technical address network services links button
Privileges	To use this screen, the following Privileges are needed: <ul style="list-style-type: none"> <input type="checkbox"/> Technical address network service link details query

Screenshot

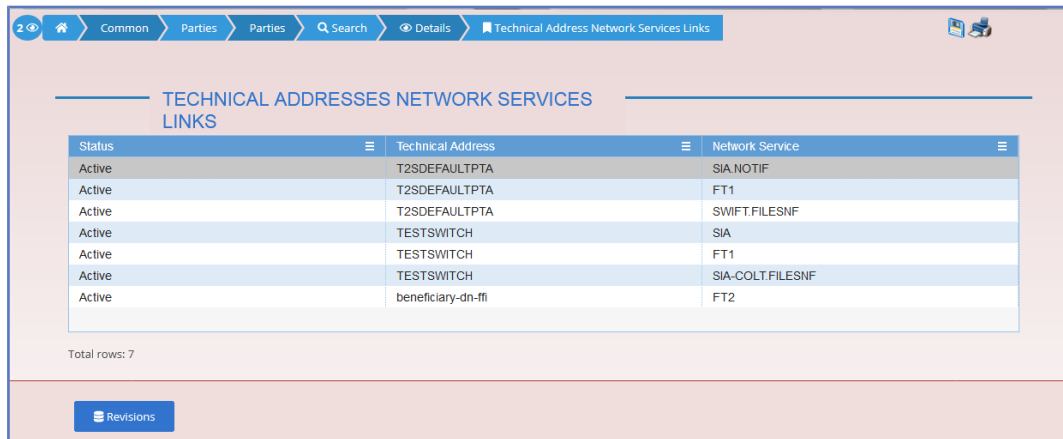


Illustration 188: **Technical Addresses Network Services Links -- details screen (Split book approach)**

Fields

Description

Technical Addresses Network Services Links	
Status	Shows the status of the corresponding technical address network service link.
Technical Address	Shows the unique technical address of the party.
Network Service	Shows the name of the network service.

Buttons

Revisions	This function enables the user to access the Display Revision List screen related to the selected Technical Address Network Service Link. {Split book approach}
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2.8.2.1.6 Technical Addresses Network Services Link — New/Edit Screen

Context of Usage

This screen contains a number of fields regarding technical addresses network services links. You can enter new data or edit existing data. Afterwards you can proceed further by clicking on the buttons below.

This screen is not relevant for payment bank users.

Screen Access

- Common >> Parties >> Parties >> Parties — search/list screen >> Click on the new or edit button >> Party — new/edit screen >> Click on the technical addresses network services links button
- Common >> Parties >> Parties >> Parties — search/list screen >> Click on the search and/or details button >> Party — details screen >> Click on the edit button >> Party — edit screen >> Click on the technical addresses network services links button

Privileges

To use this screen, the following Privileges are needed:

- Create Technical Address Network Service Link

Delete Technical Address Network Service Link

Screenshot

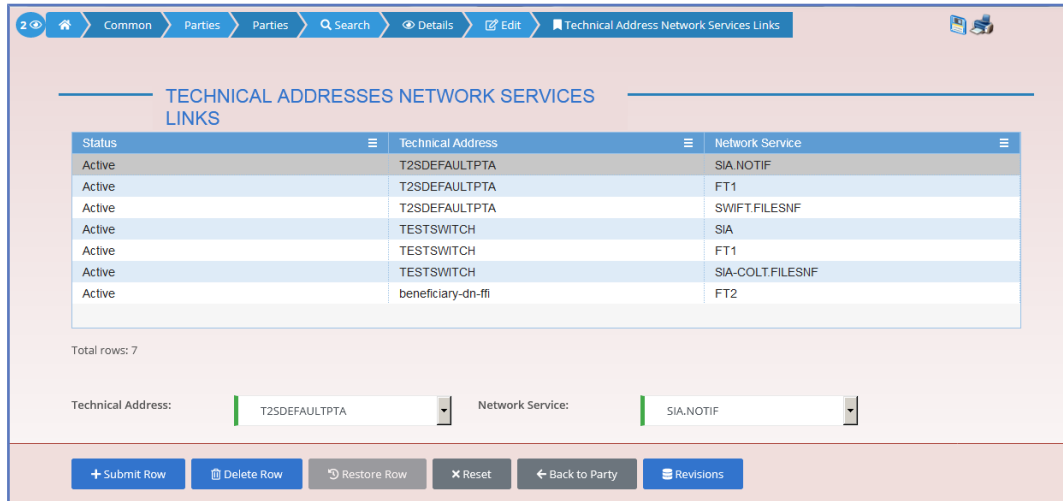


Illustration 189: **Technical Addresses Network Services Links – new/edit screen** {Split book approach}

Fields Description

Technical Addresses Network Services Links	
Status	Shows the status of the corresponding technical address network service link.
Technical Address	Shows the unique technical address of the party. Reference for error message: <input type="checkbox"/> DPC3003 <input type="checkbox"/> DPC3005 <input type="checkbox"/> DPD3003 <input type="checkbox"/> DPD3005
Network Service	Shows the name of the network service. Reference for error message: <input type="checkbox"/> DPC3004 <input type="checkbox"/> DPD3007
Add/Delete Tech. Address Network Service Link	
Technical Address	Select the unique technical address of the party. Reference for error message: <input type="checkbox"/> DPC3003 <input type="checkbox"/> DPC3005 <input type="checkbox"/> DPD3003 <input type="checkbox"/> DPD3005 This field is mandatory.

Technical Addresses Network Services Links	
Network Service	<p>Select the name of the network service.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DPC3004 <input type="checkbox"/> DPD3007 <p>This field is mandatory.</p>

Buttons

Buttons	
Submit Row	<p>This function enables the user to create a Technical Address Network Service Link according to the information inserted in the fields.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DPC3001 <input type="checkbox"/> DPC3002 <input type="checkbox"/> DPC3003 <input type="checkbox"/> DPC3004 <input type="checkbox"/> DPC3005 <input type="checkbox"/> DPC3006
Delete Row	<p>This function enables the user to delete a Technical Address Network Service Link selected in the list. After this button is pushed, the system calls the back end flow and reloads the page updating the list.</p> <p>The button is enabled only in case the user selects a link with status "active".</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DPD3001 <input type="checkbox"/> DPD3003
Restore Row	<p>This function enables the user to restore a previously deleted element. After this button is pushed, the system calls the back end flow and reloads the page updating the list.</p> <p>The button is enabled only in case the user selects a link with status "deleted".</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DPD3001 <input type="checkbox"/> DPD3004

	<ul style="list-style-type: none"> <input type="checkbox"/> DPD3005 <input type="checkbox"/> DPD3006 <input type="checkbox"/> DPD3007 <input type="checkbox"/> DPD3008 <input type="checkbox"/> DPD3009
Reset	This function enables the user to set all fields to default value and blanks out all optional fields.
Back to Party	This function enables the user to cancel the action and return to the previous screen. No operations are performed.
Revisions	This function enables the user to display the Revisions List of the selected Technical Address Network Service Link. {Split book approach}

2.8.2.2 Cash

2.8.2.2.1 Cash Accounts - Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for Cash Accounts. The search results will display a list sorted by the values of the 'Cash Account Number' column in ascending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.

Users can see Cash Accounts under their datascope and the ones for which they have been defined as Co-Manager.

Screen Access

- Common >> Cash >> Cash Accounts >> Search**
- Common >> Parties >> Parties >> Parties – search/list screen >> Click on the search and details button >> Click on the cash accounts button**

Privileges

To use this screen, the following Privileges are needed:

- Delete Cash Account**
- Cash Account list query**
- Cash Account reference data query**

Screenshot

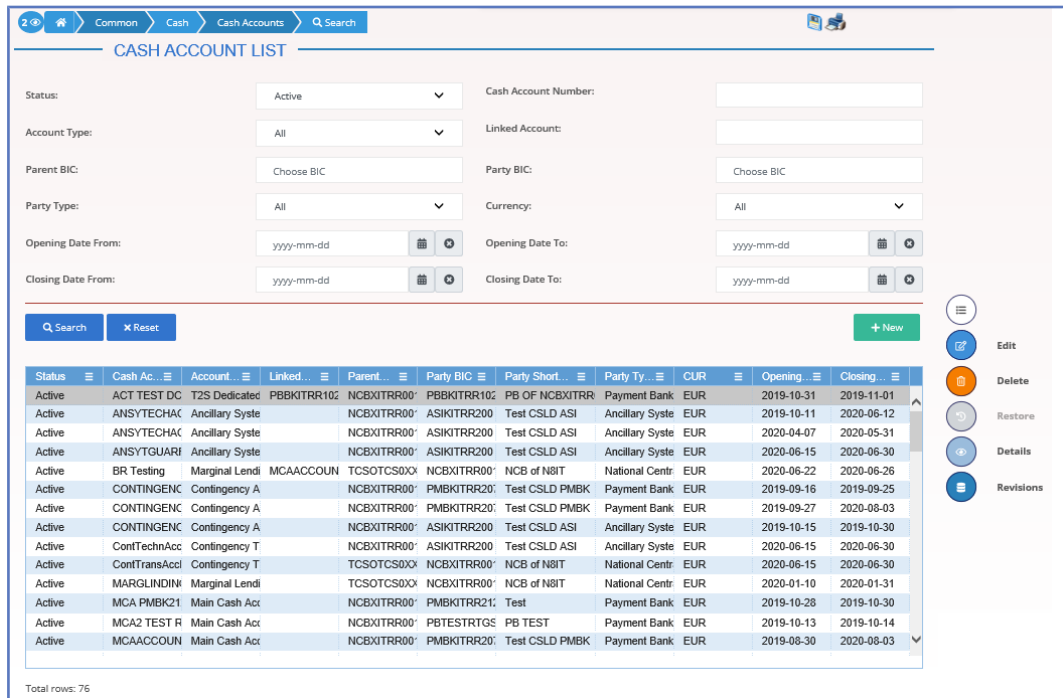


Illustration 190: Cash Accounts – search/list screen {Split book approach}

Fields
Description

Cash Accounts – Search Criteria	
Status	<p>Select the status of the cash account from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCD1003 <input type="checkbox"/> DCD1012
Cash Account Number	<p>Enter the number of the Cash Account. Required format is: max. 34x characters.</p>
Account Type	<p>Select the type of the cash account from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> TIPS Account <input type="checkbox"/> TIPS Transit Account <input type="checkbox"/> TIPS Ancillary System Technical Account <input type="checkbox"/> TIPS Credit Memorandum Balance <input type="checkbox"/> RTGS Dedicated Cash Account

Cash Accounts— Search Criteria	
	<ul style="list-style-type: none"> <input type="checkbox"/> RTGS Sub-Account <input type="checkbox"/> RTGS Central Bank Account <input type="checkbox"/> RTGS Dedicated Transit Account <input type="checkbox"/> Ancillary System Guarantee Funds Account <input type="checkbox"/> Ancillary System Technical Account <input type="checkbox"/> Main Cash Account <input type="checkbox"/> Overnight Deposit Account <input type="checkbox"/> Marginal Lending Account <input type="checkbox"/> CLM Central Bank Account <input type="checkbox"/> Central Bank ECB Account <input type="checkbox"/> ECB Mirror Account <input type="checkbox"/> CLM Dedicated Transit Account for T2S <input type="checkbox"/> CLM Dedicated Transit Account for TIPS <input type="checkbox"/> CLM Dedicated Transit Account for RTGS <input type="checkbox"/> Contingency Cash Account <input type="checkbox"/> CB Contingency Account <input type="checkbox"/> Contingency Technical Account <input type="checkbox"/> Contingency Transit Account <input type="checkbox"/> T2S Dedicated Transit Account <input type="checkbox"/> T2S Central Bank Account <input type="checkbox"/> T2S Dedicated Cash Account <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCD1013 <input type="checkbox"/> DCD1014 <input type="checkbox"/> DCD1555
Linked Account	<p>Enter the number of the cash account to which the Cash Account can be linked.</p> <p>Required format is: max. 34x characters.</p>
Parent BIC	<p>Enter or select the parent BIC of the party.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCD1083 <p>Required format is: max. 11x characters.</p>

Cash Accounts— Search Criteria	
Party BIC	<p>Enter or select the BIC of the party.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"><input type="checkbox"/> DCD1083 <p>Required format is: max. 11x characters.</p>
Party type	<p>Select the type of party from the possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> All (default value)<input type="checkbox"/> Payment Bank<input type="checkbox"/> National Central Bank<input type="checkbox"/> Ancillary System <p>Reference for error message:</p> <ul style="list-style-type: none"><input type="checkbox"/> DCD1555
Currency	<p>Select the currency of the account from the drop-down menu. The default value is 'All'.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"><input type="checkbox"/> DCD1082<input type="checkbox"/> DCD1207
Opening Date From	<p>Enter the lower bound for the opening date of the account or use the calendar icon.</p> <p>Required format is: Date.</p>
Opening Date To	<p>Enter the upper bound for the opening date of the account or use the calendar icon.</p> <p>The 'Opening Date To' must be greater than the 'Opening Date From'.</p> <p>Required format is: Date.</p>
Closing Date From	<p>Enter the lower bound for the closing date of the account or use the calendar icon.</p> <p>The 'Closing Date From' must be later than the 'Opening Date From'.</p> <p>Required format is: Date.</p>
Closing Date To	<p>Enter the upper bound for the closing date of the account or use the calendar icon.</p> <p>The 'Closing Date To' must be later than the 'Closing Date From'.</p>

Cash Accounts— Search Criteria	
	Required format is: Date.
Cash Accounts— List	
Status	<p>Shows the status of the cash account.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCD1003 <input type="checkbox"/> DCD1012
Cash Account Number	Shows the identification of the cash account.
Account Type	<p>Shows the type of cash account.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCD1003 <input type="checkbox"/> DCD1012
Linked Account	<p>Shows the cash account to which the cash account is linked.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCD1092
Parent BIC	<p>Shows the parent BIC of the party account holder.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCD1083
Party BIC	<p>Shows the party BIC of the party account holder.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCD1083
Party Short Name	Shows the party short name of the account holder.
Party Type	<p>Shows the type of party.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCD1555
CUR	<p>Shows the currency of the cash account.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCD1082 <input type="checkbox"/> DCD1207
Opening Date	<p>Shows the opening date of the cash account.</p> <p>Reference for error message:</p>

Cash Accounts— List	
	<input type="checkbox"/> DCD1003 <input type="checkbox"/> DCD1012
Closing Date	<p>Shows the closing date of the cash account.</p> <p>Reference for error message:</p> <input type="checkbox"/> DCD1003 <input type="checkbox"/> DCD1012 <input type="checkbox"/> DCD1250

Buttons

Buttons	
Search	<p>This function enables the user to start a search according to the filled in criteria.</p>
Reset	<p>This function enables the user to set default search criteria and blanks out all optional criteria.</p>
New	<p>This function enables the user to create a new cash account.</p>
Edit	<p>This function enables the user to edit the details of the selected cash account.</p> <p>If the status of the selected cash account is already set to 'Deleted', this function is not available.</p>
Delete	<p>This function enables the user to delete the selected cash account.</p> <p>If the status of the selected cash account is already set to 'Deleted', this function is not available.</p> <p>Reference for error message:</p> <input type="checkbox"/> DCD1001 <input type="checkbox"/> DCD1003 <input type="checkbox"/> DCD1012 <input type="checkbox"/> DCD1014 <input type="checkbox"/> DCD1030 <input type="checkbox"/> DCD1300
Restore	<p>This function enables the user to restore a previously deleted cash account.</p> <p>If the status of the selected cash account is already set to 'Active' this function is not available.</p> <p>Reference for error message:</p>

	<input type="checkbox"/> DCD1001 <input type="checkbox"/> DCD1012 <input type="checkbox"/> DCD1013 <input type="checkbox"/> DCD1082 <input type="checkbox"/> DCD1083 <input type="checkbox"/> DCD1084 <input type="checkbox"/> DCD1085 <input type="checkbox"/> DCD1086 <input type="checkbox"/> DCD1087 <input type="checkbox"/> DCD1088 <input type="checkbox"/> DCD1090 <input type="checkbox"/> DCD1091 <input type="checkbox"/> DCD1092 <input type="checkbox"/> DCD1207 <input type="checkbox"/> DCD1250 <input type="checkbox"/> DCD1400 <input type="checkbox"/> DCD1401 <input type="checkbox"/> DCD1402 <input type="checkbox"/> DCD1410 <input type="checkbox"/> DCD1431 <input type="checkbox"/> DCD1532 <input type="checkbox"/> DCD1555
Details	This function enables the user to display the details of the selected cash account.
Revisions	This function enables the user to display the revisions of the selected cash account. {Split book approach}

~~2.8.2.2.2 Cash Account – Details Screen~~

Context of Usage

~~This screen displays detailed information on the selected cash account. You can check the data and proceed further by clicking on the buttons below.~~

Screen Access

- ~~Common >> Cash >> Cash Accounts >> Cash Accounts – search/list screen >> Click on the search and/or details button~~

Privileges

To use this screen, the following Privileges are needed:

- Cash account reference data query**
- Delete Cash account list query**

Screenshot



Illustration 191: **Cash Account – details screen** {Split book approach}

Fields

Description

Cash Accounts	
Status	<p>Shows the status of the cash account.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCD1003 <input type="checkbox"/> DCD1012 <p>If the status is set to 'Deleted', the selected cash account cannot be deleted or edited.</p> <p>If the status is set to 'Active', the selected cash account cannot be restored.</p>
Cash Account Number	<p>Shows the unique number of the cash account.</p>
Opening Date	<p>Shows the opening date of the cash account.</p> <p>Reference for error message:</p>

Cash Accounts	
	<input type="checkbox"/> DCD1003 <input type="checkbox"/> DCD1012
Closing Date	Shows the closing date of the cash account. Reference for error message: <input type="checkbox"/> DCD1003 <input type="checkbox"/> DCD1012 <input type="checkbox"/> DCD1250
Account type	Shows the type of cash account. Reference for error message: <input type="checkbox"/> DCD1013 <input type="checkbox"/> DCD1014 <input type="checkbox"/> DCD1555
Currency	Shows the currency of the cash account. Reference for error message: <input type="checkbox"/> DCD1082 <input type="checkbox"/> DCD1207
Parent BIC	Shows the Parent BIC of the party. Reference for error message: <input type="checkbox"/> DCD1083
Party BIC	Shows the BIC of the party. Reference for error message: <input type="checkbox"/> DCD1083
Party Short Name	Shows the short name of the party.
Party Type	Shows the type of party. Reference for error message: <input type="checkbox"/> DCD1555
Floor notification Amount	Shows the lower threshold for notifying the cash manager.
Ceiling notification Amount	Shows the upper threshold for notifying the cash manager.
Linked Cash Account	Shows the unique number of the Cash Account to which the Cash Account is linked to. Reference for error message:

Cash Accounts	
	<input type="checkbox"/> DCD1092
Account Threshold Configuration	
Target Amount After Breaching Floor	Shows the target amount after breaching floor notification amount.
Target Amount After Breaching Ceiling	Shows the target amount after breaching ceiling notification amount.
Associated LT Account	Shows the unique number of the associated LT account. Reference for error message: <input type="checkbox"/> DCD1091
Floor Notification	Shows whether the floor notification is activated or not.
Ceiling Notification	Shows whether the ceiling notification is activated or not.
Rule-Based LT for Queued High Priority Payments	Shows whether the Rule-Based LT for queued high priority payments is configured or not.
Rule-Based LT for Queued Urgent Priority Payments	Shows whether the Rule-Based LT for queued urgent priority payments is configured or not.
Reserve Management Account Configuration	
Minimum Reserve Calculation	Shows whether the minimum reserve calculation is configured or not. Reference for error message: <input type="checkbox"/> DCD1431
Default MCA	Shows whether the cash account is a default MCA.
Interest Calculation	Shows the interest calculation method.
Interest Rate Type	Shows the interest rate type.
Automated Generation of Interest Payment (System Generated)	Shows whether the automated generation of interest payment (system generated) is configured or not. Reference for error message: <input type="checkbox"/> DCD1400

Additional Account Configuration	
Co-managed	Shows whether the cash account is co-managed or not.
Co-manager Parent BIC	Shows the Parent BIC of the co-manager.
Co-manager Party BIC	Shows the BIC of the co-manager.
Default RTGS Account	Shows whether the cash account is a default RTGS account or not.
Credit-Based Only	Shows whether the credit-based only is configured or not.
Non-published	Shows whether the cash account should be excluded from CLM Repository/RTGS Directory or not. If no value is specified, the cash account is considered included/published.
Maximum Amount to be Debited per Day	Shows the maximum amount to be debited per day.

Cash Account Restrictions	
Restriction	Shows the type of the restriction related to the cash account.
Valid From	Shows the valid from of the cash account restriction.
Valid To	Shows the valid to of the cash account restriction.

Buttons

Edit	<p>This function enables the user to edit the details of the selected cash account.</p> <p>If the status of the selected cash account is already set to 'Deleted' this function is not available.</p>
Delete	<p>This function enables the user to delete the selected cash account, after confirmation.</p> <p>If the status of the selected cash account is already set to 'Deleted', this function is not available.</p> <p>Reference for error message:</p> <p><input type="checkbox"/> DCD1001</p>

	<ul style="list-style-type: none"> <input type="checkbox"/> DCD1003 <input type="checkbox"/> DCD1012 <input type="checkbox"/> DCD1014 <input type="checkbox"/> DCD1030 <input type="checkbox"/> DCD1300
Restore	<p>This function enables the user to restore a previously deleted cash account.</p> <p>If the status of the selected cash account is already set to 'Active', this function is not available.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCD1001 <input type="checkbox"/> DCD1012 <input type="checkbox"/> DCD1013 <input type="checkbox"/> DCD1082 <input type="checkbox"/> DCD1083 <input type="checkbox"/> DCD1084 <input type="checkbox"/> DCD1085 <input type="checkbox"/> DCD1086 <input type="checkbox"/> DCD1087 <input type="checkbox"/> DCD1088 <input type="checkbox"/> DCD1090 <input type="checkbox"/> DCD1091 <input type="checkbox"/> DCD1092 <input type="checkbox"/> DCD1207 <input type="checkbox"/> DCD1250 <input type="checkbox"/> DCD1400 <input type="checkbox"/> DCD1401 <input type="checkbox"/> DCD1402 <input type="checkbox"/> DCD1410 <input type="checkbox"/> DCD1431 <input type="checkbox"/> DCD1532 <input type="checkbox"/> DCD1555

Revisions	This function enables the user to display the revisions of the selected cash account.
Limits	This function enables the user to display the limits related to the selected cash accounts. If the status of the selected cash account is already set to 'Deleted', this function is not available.
Credit Memorandum Balance	This function enable the user to access the Credit Memorandum Balance screen related to the CSA. This button is not visible in case of the deletion status of the shown item is "Deleted". This button is not editable. {Split book approach}

2.8.2.2.3 Cash Account — New/Edit Screen

Context of Usage

This screen contains a number of fields regarding cash accounts. You can enter new data or edit existing data. Afterwards you can proceed further by clicking on the buttons below.

Central Bank users can edit Cash Accounts under their datascope and the ones for which they have been defined as Co-Manager.

Payment Bank and Ancillary System users can only edit floor/ceiling attributes (Floor Notification Amount, Ceiling Notification Amount, Target Amount After Breaching Floor, Target Amount After Breaching Ceiling, Floor Notification and Ceiling Notification) for Cash Accounts under their datascope and for the ones for which they have been defined as Co-Manager.

Screen Access

- Common >> Cash >> Cash Accounts >> New
- Common >> Cash >> Cash Accounts >> Search >> click on New or Edit button

Privileges

To use this screen, the following Privileges are needed:

- Create Cash Account
- Update Cash Account

Screenshot

Common > Cash > Cash Accounts > + New
🏠

CASH ACCOUNT NEW

Cash Account Number: Account Type: RTGS Dedicated Cash Account

Opening Date: Closing Date:

Parent BIC: Choose BIC Party BIC: Choose BIC 🔍 Party

Floor notification Amount: Ceiling notification Amount:

Currency: ▼ Linked Account Reference:

Linked Account Type: ▼

Account Threshold Configuration

Target Amount after Breaching Floor: <input type="text"/>	Target Amount after Breaching Ceiling: <input type="text"/>
Associated LT Account: Choose...	
Floor Notification: <input type="checkbox"/>	Ceiling Notification: <input type="checkbox"/>
Rule Based LT for Queued High Priority Payments: <input type="checkbox"/>	Rule Based LT for Queued Urgent Priority Payments/AS Transfer Orders: <input type="checkbox"/>

✕
+

Target Amount After	Target Amount After	Associated LT Acco	Floor Notification	Ceiling Notification	Automated LT for Q	Automated LT for Q
No Rows To Show						

Total rows: 0 ✎ 🗑

Reserve Management Account Configuration

Minimum Reserve Calculation: <input type="checkbox"/>	Default MCA: <input type="checkbox"/>
Interest Calculation: ▼	Interest Rate Type: ▼
Automated Generation of Interest Payment (System Generated): <input type="checkbox"/>	

✕
+

Minimum Reserve Calculation	Default MCA	Interest Calculation	Interest Rate Type	Automated Generation of Inter
No Rows To Show				

Total rows: 0 ✎ 🗑

Additional Account Configuration

Co-managed: <input type="checkbox"/>	Co-manager Parent BIC: Choose BIC
Co-manager Party BIC: Choose BIC	Co-manager Party BIC: Choose BIC
Default RTGS Account: <input type="checkbox"/>	Credit-Based Only: <input type="checkbox"/>
Non-published: <input type="checkbox"/>	Maximum Amount to be Debited per Day: <input type="text"/>

✕
+

Co-managed	Co-manager Parent BIC	Co-manager Party BIC	Default RTGS Acco	Credit-Based Only	Non-published	Maximum Amount t
No Rows To Show						

Total rows: 0 ✎ 🗑

Submit

Reset

Cancel

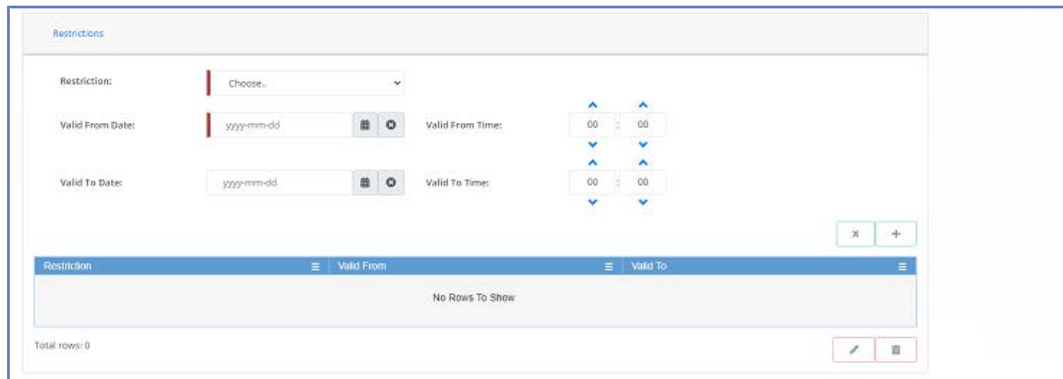


Illustration 192: **Cash Account -- new/edit screen** (Split book approach)

Fields

Description

Cash Accounts	
Cash Account Number	<p>Enter the number of the Cash Account.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC1103 <p>This field is mandatory in create mode.</p> <p>This field is read-only in edit mode.</p> <p>Required format is: max. 34x characters.</p>
Account Type	<p>Select the type of the cash account from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> TIPS Account <input type="checkbox"/> TIPS Transit Account <input type="checkbox"/> TIPS Ancillary System Technical Account <input type="checkbox"/> TIPS Credit Memorandum Balance <input type="checkbox"/> RTGS Dedicated Cash Account <input type="checkbox"/> RTGS Sub-Account <input type="checkbox"/> RTGS Central Bank Account <input type="checkbox"/> RTGS Dedicated Transit Account <input type="checkbox"/> Ancillary System Guarantee Funds Account <input type="checkbox"/> Ancillary System Technical Account <input type="checkbox"/> Main Cash Account <input type="checkbox"/> Overnight Deposit Account <input type="checkbox"/> Marginal Lending Account <input type="checkbox"/> CLM Central Bank Account <input type="checkbox"/> Central Bank ECB Account <input type="checkbox"/> ECB Mirror Account

Cash Accounts	
	<p><input type="checkbox"/> CLM Dedicated Transit Account for T2S</p> <p><input type="checkbox"/> CLM Dedicated Transit Account for TIPS</p> <p><input type="checkbox"/> CLM Dedicated Transit Account for RTGS</p> <p><input type="checkbox"/> Contingency Cash Account</p> <p><input type="checkbox"/> GB Contingency Account</p> <p><input type="checkbox"/> Contingency Technical Account</p> <p><input type="checkbox"/> Contingency Transit Account</p> <p><input type="checkbox"/> T2S Dedicated Transit Account</p> <p><input type="checkbox"/> T2S Central Bank Account</p> <p><input type="checkbox"/> T2S Dedicated Cash Account</p> <p>Reference for error message:</p> <p><input type="checkbox"/> DCC1531</p> <p><input type="checkbox"/> DCC1532</p> <p>This field is mandatory in create mode.</p> <p>This field is read-only in edit mode.</p>
Opening Date	<p>Enter the opening date of the cash account or use the calendar icon.</p> <p>Reference for error message:</p> <p><input type="checkbox"/> DCC1205</p> <p><input type="checkbox"/> DCC1213</p> <p>The 'Opening Date' must be equal to or later than the current business date.</p> <p>This field is mandatory in create mode.</p> <p>This field is read-only in edit mode if the current business date is greater than the "opening date".</p> <p>Required format is: Date.</p>
Closing Date	<p>Enter the closing date of cash account or use the calendar icon.</p> <p>Reference for error message:</p> <p><input type="checkbox"/> DCC1210</p> <p><input type="checkbox"/> DCC1250</p> <p><input type="checkbox"/> DCC1532</p> <p><input type="checkbox"/> DCU1210</p>

Cash Accounts	
	<ul style="list-style-type: none"><input type="checkbox"/> DCU1250<input type="checkbox"/> DCU1313 <p>The 'Closing Date' must be equal to or later than the 'Opening Date' and the current business date.</p> <p>Required format is: Date.</p>
Parent BIC	<p>Enter or select the parent BIC of the party holding the account.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"><input type="checkbox"/> DCC1001<input type="checkbox"/> DCC1101<input type="checkbox"/> DCC1524<input type="checkbox"/> DCU1001 <p>You can choose to either enter the parent BIC of the party holding the account or to select it from the suggested items in the drop-down menu.</p> <p>This field is mandatory in create mode.</p> <p>This field is read-only in edit mode.</p> <p>Required format is: max. 11x characters.</p>
Party BIC	<p>Enter or select the BIC of the party holding the account.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"><input type="checkbox"/> DCC1001<input type="checkbox"/> DCC1524<input type="checkbox"/> DCU1001 <p>You can choose to either enter the BIC of the party holding the account or to select it from the suggested items in the drop-down menu.</p> <p>This field is mandatory in create mode.</p> <p>This field is read-only in edit mode.</p> <p>Required format is: max. 11x characters.</p>
Party Short Name	<p>Shows the Party short name of the owner of the account.</p> <p>This field is visible only in edit mode and is read-only.</p>
Party type	<p>Shows the Party type of the owner of the account.</p>

Cash Accounts	
	<p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC1555 <p>This field is visible in edit mode and is read-only.</p>
Floor Notification Amount	<p>Enter the lower threshold for notifying the cash manager.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC1800 <input type="checkbox"/> DCU1313 <input type="checkbox"/> DCU1800 <p>Required format is: Amount. In case this amount is not specified or it is set to zero, no floor notification will be triggered. In order to configure a floor threshold that triggers a notification when a balance becomes negative, the threshold value should be set to 0.01.</p> <p>This field is allowed for :</p> <ul style="list-style-type: none"> <input type="checkbox"/> TIPS Account <input type="checkbox"/> TIPS Credit Memorandum Balance <input type="checkbox"/> TIPS Ancillary System Technical Account <input type="checkbox"/> RTGS DCA <input type="checkbox"/> RTGS CB Account <input type="checkbox"/> Main Cash Account <input type="checkbox"/> CLM CB Account <input type="checkbox"/> T2S Central Bank Account <input type="checkbox"/> T2S Dedicated Cash Account
Ceiling Notification Amount	<p>Enter the upper threshold for notifying the cash manager.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC1800 <input type="checkbox"/> DCU1800 <p>Required format is: Amount. In case this amount is not specified or it is set to zero, no ceiling notification will be triggered. In order to configure a ceiling</p>

Cash Accounts	
	<p>threshold that triggers a notification when a balance becomes positive, the threshold value should be set to 0.01.</p> <p>This field is allowed for :</p> <ul style="list-style-type: none"> <input type="checkbox"/> TIPS Account <input type="checkbox"/> TIPS Credit Memorandum Balance <input type="checkbox"/> TIPS Ancillary System Technical Account <input type="checkbox"/> RTGS DCA <input type="checkbox"/> RTGS CB Account <input type="checkbox"/> Main Cash Account <input type="checkbox"/> CLM CB Account <input type="checkbox"/> T2S Central Bank Account <input type="checkbox"/> T2S Dedicated Cash Account
Currency	<p>Select the currency of the account selecting it from the drop-down menu.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC1100 <input type="checkbox"/> DCC1207 <input type="checkbox"/> DCC1530 <input type="checkbox"/> DCC1531 <input type="checkbox"/> DCC1550 <p>This field is not allowed if the Cash Account Type is TIPS Credit Memorandum Balance.</p> <p>It is mandatory in all other cases.</p>
Linked Account Type	<p>Select the type of cash account to which the cash account can be linked.</p> <p>Possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Cash Account <input type="checkbox"/> External RTGS Account <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCU1209 <p>This field is disabled until the Account Type field is selected. If the Account Type field is cleared then the Linked Account Type is emptied.</p>

Cash Accounts	
	<p>This field is enabled and mandatory when Account Type is:</p> <ul style="list-style-type: none"> <input type="checkbox"/> TIPS Credit Memorandum Balance <input type="checkbox"/> RTGS Sub-Account <input type="checkbox"/> T2S Dedicated Transit Account <input type="checkbox"/> T2S Central Bank Account <input type="checkbox"/> T2S Dedicated Cash Account <input type="checkbox"/> Contingency Cash Account <input type="checkbox"/> CB Contingency Account <p>This field is enabled and optional when Account Type is:</p> <ul style="list-style-type: none"> <input type="checkbox"/> RTGS Dedicated Cash Account <input type="checkbox"/> Overnight Deposit Account <input type="checkbox"/> Marginal Lending Account <p>It remains disabled in all other cases.</p>
Linked Account Number	<p>Enter or select the number of the Cash Account or the External RTGS Account to which the Cash Account can be linked.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC1204 <input type="checkbox"/> DCC1219 <input type="checkbox"/> DCU1041 <input type="checkbox"/> DCU1207 <input type="checkbox"/> DCU1208 <input type="checkbox"/> DCU1209 <input type="checkbox"/> DCU1215 <p>This field is mandatory if Linked Account Type is used. It is not allowed otherwise.</p> <p>Required format is: max. 34x characters.</p>
Account Threshold Configuration	
<p>These attributes are allowed for all RTGS and CLM accounts. Once created, this group cannot be deleted.</p>	

Account Threshold Configuration	
Target Amount After Breaching Floor	<p>Enter the target amount after breaching floor notification amount.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC1800 <input type="checkbox"/> DCU1232 <input type="checkbox"/> DCU1800 <p>This field is only allowed if "Floor Notification Amount" is used.</p> <p>Required format is: Amount.</p>
Target Amount After Breaching Ceiling	<p>Enter the target amount after breaching ceiling notification amount.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC1800 <input type="checkbox"/> DCU1232 <input type="checkbox"/> DCU1800 <p>This field is only allowed if "Ceiling Notification Amount" is used.</p> <p>Required format is: Amount.</p>
Associated LT Account	<p>Enter or select the unique number of the associated LT account.</p> <p>This field is only allowed if Account Type is Main Cash Account or RTGS Dedicated Cash Account. It is disabled and empty otherwise.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC1220 <input type="checkbox"/> DCU1230 <p>Required format is: max. 34x characters.</p>
Floor Notification	<p>Select whether the floor notification is configured or not.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC1101 <input type="checkbox"/> DCU1101 <p>This field is only allowed if "Floor Notification Amount" is used.</p>

Account Threshold Configuration	
Ceiling Notification	<p>Select whether the ceiling notification is configured or not.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC1101 <input type="checkbox"/> DCU1101 <p>This field is only allowed if "Ceiling Notification Amount" is used.</p>
Rule-Based LT for Queued High Priority Payments	<p>Select whether the Rule-Based LT for queued high priority payments is configured or not.</p> <p>This field can only be used for RTGS DCA and it is only allowed if "Associated LT Account" is used.</p> <p>This field is selectable only in edit mode.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC1440 <input type="checkbox"/> DCU1231
Rule-Based LT for Queued Urgent Priority Payments	<p>Select whether the Rule-Based LT for queued urgent priority payments is configured or not.</p> <p>This field can only be used for RTGS DCA and it is only allowed if "Associated LT Account" is used.</p> <p>This field is selectable only in edit mode.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC1440 <input type="checkbox"/> DCU1231
Reserve Management Account Configuration	
<p>These attributes are allowed for TIPS Accounts, T2S DCA and all RTGS and CLM accounts except RTGS sub-accounts, Overnight Deposit Accounts, Marginal Lending Accounts, CB ECB Accounts, ECB mirror accounts and the Dedicated Transit Accounts. Once created, this group cannot be deleted.</p>	
Minimum Reserve Calculation	<p>Select whether the minimum reserve calculation is configured or not.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC1431 <input type="checkbox"/> DCU1242

Reserve Management Account Configuration	
	<input type="checkbox"/> DCU1431
Default MCA	<p>Select whether the MCA is the default MCA or not.</p> <p>Reference for error message:</p> <input type="checkbox"/> DCC1401 <input type="checkbox"/> DCU1240 <input type="checkbox"/> DCU1401
	<p>This field is mandatory if Account Type is Main Cash Account or CLM CB Account. It is disabled and empty otherwise.</p>
Interest Calculation	<p>Select the interest calculation mode.</p> <p>Reference for error message:</p> <input type="checkbox"/> DCU1242 <input type="checkbox"/> DCU1243
	<p>This field is mandatory if Minimum Reserve Calculation is not selected. It is not allowed otherwise.</p> <p>Possible values are:</p> <input type="checkbox"/> Minimum reserve calculation period <input type="checkbox"/> Monthly <input type="checkbox"/> No
Interest Rate Type	<p>Select the interest rate type associated with the item.</p> <p>This field is mandatory if Interest Calculation is set to a value different from "No". It is not allowed otherwise.</p> <p>Possible values are:</p> <input type="checkbox"/> Minimum reserve interest rate <input type="checkbox"/> Minimum reserve penalty rate type 1 <input type="checkbox"/> Minimum reserve penalty rate type 2 <input type="checkbox"/> Excess reserve interest rate (exempt tier) <input type="checkbox"/> Excess reserve interest rate (non-exempt tier) <input type="checkbox"/> Overnight deposit interest rate <input type="checkbox"/> Marginal lending interest rate
	<p>Reference for error message:</p> <input type="checkbox"/> DCU1243

Reserve-Management Account Configuration	
Automated Generation of Interest Payment (System Generated)	<p>Select whether the automated generation of interest payment (system-generated) is configured or not.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC1400 <input type="checkbox"/> DCU1400
Additional Account Configuration	
<p>These attributes are allowed for all RTGS and CLM accounts. Once created, this group cannot be deleted.</p>	
Co-managed	<p>Select whether the cash account is co-managed or not.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCU1244 <input type="checkbox"/> DCU1245 <p>This field is only allowed if Account Type is Main Cash Account.</p>
Co-manager Parent BIC	<p>Enter or select the Parent BIC of the Co-manager.</p> <p>This field is not allowed if "Co-managed" is set to FALSE. It is mandatory if "Co-managed" is set to TRUE.</p> <p>Required format is: max. 11x characters.</p>
Co-manager Party BIC	<p>Enter or select the party BIC of the Co-manager.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC1410 <input type="checkbox"/> DCU1001 <input type="checkbox"/> DCU1245 <input type="checkbox"/> DCU1410 <p>This field is not allowed if "Co-managed" is set to FALSE. It is mandatory if "Co-managed" is set to TRUE.</p> <p>Required format is: max. 11x characters.</p>
Default RTGS Account	<p>Select whether the RTGS Account is the default RTGS Account or not.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC1402

Additional Account Configuration	
	<input type="checkbox"/> DCU1241 <input type="checkbox"/> DCU1402 <p>This field is mandatory if Account Type is "RTGS DCA". It is disabled and empty otherwise.</p>
Credit-Based Only	<p>Select whether the credit-based only is configured or not.</p> <p>Reference for error message:</p> <input type="checkbox"/> DCC1420 <input type="checkbox"/> DCU1420 <p>This field is only allowed for CLM and RTGS account types.</p>
Non-published	<p>Select whether the cash account should be excluded from CLM Repository/RTGS Directory or not. If no value is specified, the cash account is considered included/published.</p> <p>Reference for error message:</p> <input type="checkbox"/> DCC1540 <input type="checkbox"/> DCU1540 <p>This field is only allowed for CLM and RTGS account types.</p>
Maximum Amount to be Debited per Day	<p>Enter the maximum amount to be debited per day.</p> <p>Reference for error message:</p> <input type="checkbox"/> DCC1540 <input type="checkbox"/> DCU1540 <p>This field is only allowed for CLM and RTGS account types.</p> <p>If no value is specified, it is considered as zero.</p> <p>Required format is: Amount.</p>
Restrictions	
Restrictions	<p>Enter or select the restriction type from the suggested items in the drop-down menu.</p> <p>Reference for error message:</p> <input type="checkbox"/> DCU1300

Restrictions	
	<p>This field is also available as a show field for existing records. Duplicate Restriction Type-Valid From combinations are not allowed and you will be shown an error message to indicate ‘Duplicate key fields’. There are two possible cases when this error message is shown:</p> <ul style="list-style-type: none"> <input type="checkbox"/> New entry in sub-table with a duplicate key <input type="checkbox"/> When in one session (prior submitting) a row is deleted and a new row with the same values (from the deleted entry) in key fields is entered
Valid from	<p>Enter the date and time from which the cash account restriction is valid or use the calendar icon.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC1025 <input type="checkbox"/> DCC1208 <input type="checkbox"/> DCC1209 <input type="checkbox"/> DCC1300 <input type="checkbox"/> DCU1211 <input type="checkbox"/> DCU1216 <input type="checkbox"/> DCU1219 <input type="checkbox"/> DCU1300 <p>This field is also available as a show field for existing records.</p> <p>Duplicate Restriction Type-Valid From combinations are not allowed and you will be shown an error message to indicate ‘Duplicate key fields’. There are 2 possible cases when this error message is shown:</p> <ul style="list-style-type: none"> <input type="checkbox"/> New entry in sub-table with a duplicate key <input type="checkbox"/> When in one session (prior submitting) a row is deleted and a new row with the same values (from the deleted entry) in key fields is entered. <p>Required format is: DateTime.</p>

Restrictions	
Valid to	<p>Enter the date and time until which the cash account restriction is valid or use the calendar icon.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC1212 <input type="checkbox"/> DCC1300 <input type="checkbox"/> DCU1212 <input type="checkbox"/> DCU1220 <input type="checkbox"/> DCU1300 <p>This field is also available as a show field for existing records.</p> <p>Required format is: DateTime.</p>

Buttons

Buttons	
Search Party	<p>This function enables the user to activate a Search Parties pop-up screen. It is visible only in create mode.</p>
Submit	<p>This function enables the user to create or edit a cash account.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC1001 <input type="checkbox"/> DCC1024 <input type="checkbox"/> DCC1025 <input type="checkbox"/> DCC1100 <input type="checkbox"/> DCC1101 <input type="checkbox"/> DCC1103 <input type="checkbox"/> DCC1204 <input type="checkbox"/> DCC1205 <input type="checkbox"/> DCC1206 <input type="checkbox"/> DCC1207 <input type="checkbox"/> DCC1208 <input type="checkbox"/> DCC1209 <input type="checkbox"/> DCC1210 <input type="checkbox"/> DCC1212 <input type="checkbox"/> DCC1216

- DCC1217**
- DCC1219**
- DCC1220**
- DCC1222**
- DCC1250**
- DCC1300**
- DCC1400**
- DCC1401**
- DCC1402**
- DCC1410**
- DCC1420**
- DCC1430**
- DCC1431**
- DCC1440**
- DCC1524**
- DCC1530**
- DCC1531**
- DCC1532**
- DCC1533**
- DCC1534**
- DCC1535**
- DCC1536**
- DCC1540**
- DCC1550**
- DCC1555**
- DCC1601**
- DCC1800**
- DCU1001**
- DCU1003**
- DCU1024**
- DCU1030**
- DCU1040**

- DCU1041
- DCU1101
- DCU1204
- DCU1206
- DCU1207
- DCU1208
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- DCU1232
- DCU1240
- DCU1241
- DCU1242
- DCU1243
- DCU1244
- DCU1245
- DCU1250
- DCU1300
- DCU1313
- DCU1400
- DCU1401

	<input type="checkbox"/> DCU1402 <input type="checkbox"/> DCU1410 <input type="checkbox"/> DCU1420 <input type="checkbox"/> DCU1430 <input type="checkbox"/> DCU1431 <input type="checkbox"/> DCU1532 <input type="checkbox"/> DCU1534 <input type="checkbox"/> DCU1540 <input type="checkbox"/> DCU1555 <input type="checkbox"/> DCU1556 <input type="checkbox"/> DCU1557 <input type="checkbox"/> DCU1558 <input type="checkbox"/> DCU1590 <input type="checkbox"/> DCU1600 <input type="checkbox"/> DCU1800
Reset	This function enables the user to set all fields to default value and blanks out all optional fields.
Cancel	This function enables the user to cancel the process and return to the previous screen. {Split book approach}

~~2.8.2.2.4 Limits Search/List Screen~~

Context of Usage

~~This screen contains a number of search fields. By inputting the relevant data, you can search to limits applicable to TIPS Credit Memorandum Balances, RTGS DCAs or T2S DCAs. The search results are displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.~~

Screen Access

- ~~Common >> Cash >> Limits >> Search~~
- ~~Common >> Cash >> Cash Account >> Search >> click on Details button >> click on Limits button~~

Privileges

~~To use this screen, the following Privileges are needed:~~

- ~~Delete Limit~~
- ~~Limit query~~

Screenshot

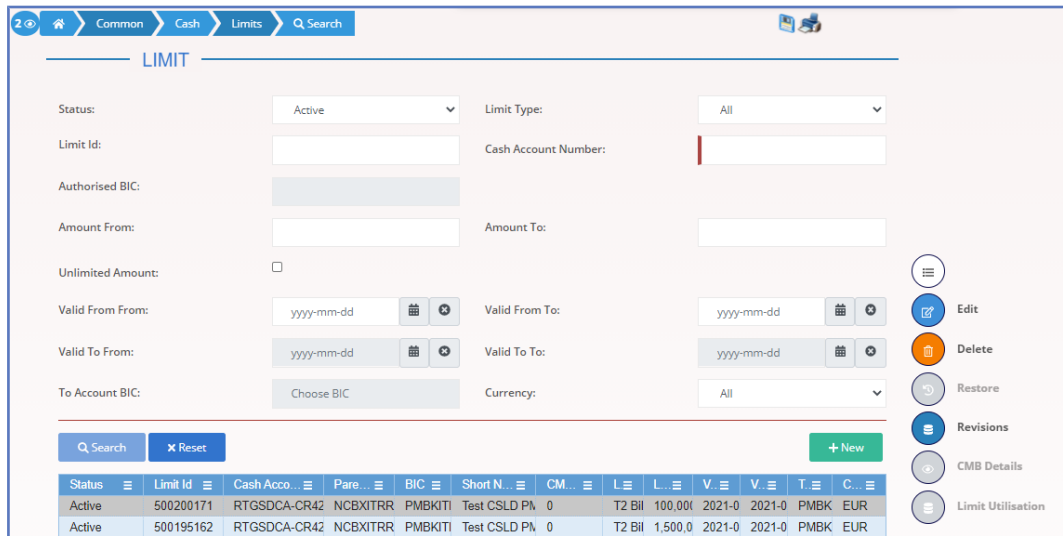


Illustration 193: Limits – search/list screen (Split book approach)

Fields

Description

Limits – Search Criteria	
Status	<p>Select the status of the Limit from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRD9003 <input type="checkbox"/> DRD9004
Limit Type	<p>Enter the type of the Limit to be searched.</p> <p>Possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> TIPS CMB Limit <input type="checkbox"/> T2 Bilateral Limit <input type="checkbox"/> T2 Multilateral Limit <input type="checkbox"/> T2S Auto-collateralisation Limit <input type="checkbox"/> T2S External Guarantee Limit <input type="checkbox"/> T2S Unsecured credit Limit <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRD9101

Limits— Search Criteria	
Limit Id	<p>Enter the technical id for the Limit the user wants to search.</p> <p>Required format is: Integer.</p>
Cash Account Number	<p>If the Limit Type is TIPS CMB, enter the number of the TIPS Credit Memorandum Balance the limit is related to.</p> <p>If the Limit Type is T2 Bilateral Limit or T2 Multilateral Limit, enter the number of the Cash Account the limit is related to.</p> <p>If the Limit Type is T2S Auto-collateralisation Limit, T2S External Guarantee Limit or T2S Unsecured credit Limit, enter the number of the T2S Dedicated Cash Account of the CMB the limit is related to.</p> <p>Required format is: max. 34x characters.</p>
Authorised BIC	<p>Enter the BIC that is authorised to receive credit on the T2S dedicated cash account for which the limit is inserted.</p> <p>This field is visible only if the field Limit Type is selected and equal to "T2S Auto-collateralisation Limit", "T2S External Guarantee Limit" or "T2S Unsecured credit Limit".</p> <p>Required format is: max. 11x characters.</p>
Amount From	<p>Enter the amount of the Limit: lower bound.</p> <p>Required format is: Amount.</p>
Amount To	<p>Enter the amount of the Limit: upper bound.</p> <p>Required format is: Amount.</p>

Limits— Search Criteria	
Unlimited Amount	If selected only Limit with 'Unlimited Amount' value selected will be searched.
Valid From – From	Enter the lower bound for the opening date of the Limit or use the calendar icon. Required format is: Date.
Valid From – To	Enter the upper bound for the opening date of the Limit or use the calendar icon. Required format is: Date.
Valid To – From	Enter the lower bound for the closing date of the Limit or use the calendar icon. This field is visible and used only if the field Limit Type is selected and equal to "T2 Bilateral Limit" or "T2 Multilateral Limit". Required format is: Date.
Valid To – To	Enter the upper bound for the closing date of the Limit or use the calendar icon. This field is visible and used only if the field Limit Type is selected and equal to "T2 Bilateral Limit" or "T2 Multilateral Limit". Required format is: Date.
To Account BIC	Enter the Account BIC linked to the Limit. Reference for error message: <input type="checkbox"/> DRD9080 <input type="checkbox"/> DRD9101 This field is visible and used only if the field Limit Type is selected and equal to "T2 Bilateral Limit".

Limits – Search Criteria	
	Required format is: max. 11x characters.
Currency	Enter the currency associated to the Cash Account linked to the limit. Reference for error message: <input type="checkbox"/> DRD9150 It contains the list of currencies associated with the Cash Accounts under the data scope of the user. The default value is All.

Limits – List	
Status	Shows the status of the Limit. Reference for error message: <input type="checkbox"/> DRD9003 <input type="checkbox"/> DRD9004
Limit Type	Shows the type of the returned Limit. Reference for error message: <input type="checkbox"/> DRD9101
Limit Id	Shows the technical id of the returned Limit.
Cash Account Number	Shows the number of the Cash Account of the returned Limit.
Parent BIC	If the Limit type is TIPS CMB Limit, shows the Parent BIC of the NCB. If the Limit type is T2 Bilateral Limit or T2 Multilateral Limit, shows the Parent BIC of the account holder. If the Limit is for auto-collateralisation, shows the Parent BIC of the NCB. If the Limit is for client collateralisation, shows the Parent BIC of the holder of the T2S DCA.

Limits— List	
Party BIC	<p>If the Limit type is TIPS CMB Limit, shows the Party BIC of the TIPS Participant owner of the TIPS Account related to the relevant TIPS Credit Memorandum Balance.</p> <p>If the Limit type is T2 Bilateral Limit or T2 Multilateral Limit, shows the Party BIC of the account holder.</p> <p>If the Limit is for auto-collateralisation, shows the Party BIC of the NCB.</p> <p>If the Limit is for client collateralisation, shows the Party BIC of the holder of the DCA.</p>
Party Short Name	<p>If the Limit type is TIPS CMB Limit, it shows the Party Short Name of the TIPS Participant owner of the TIPS Account related to the relevant TIPS Credit Memorandum Balance.</p> <p>If the Limit type is T2 Bilateral Limit or T2 Multilateral Limit, shows the Party Short Name of the account holder.</p> <p>If the Limit is for auto-collateralisation, shows the Short Name of the NCB.</p> <p>If the Limit is for client collateralisation, shows the Short Name of the holder of the DCA.</p>
CMB Id	<p>Shows the technical id of the returned TIPS CMB the Limit is related to.</p> <p>This field is visible only if the field Limit Type is equal to “TIPS CMB Limit”.</p>
Limit Amount	<p>Shows the Limit Amount.</p> <p>Reference for error message:</p>

Limits— List	
	<input type="checkbox"/> DRD9003 <input type="checkbox"/> DRD9150
Valid From	<p>Shows the date and time from which the Limit is valid.</p> <p>Reference for error message:</p> <input type="checkbox"/> DRD9205 <input type="checkbox"/> DRD9206
Valid To	<p>Shows the date and time until which the Limit is valid.</p> <p>Reference for error message:</p> <input type="checkbox"/> DRD9206
To Account BIC	<p>Shows the Account BIC linked to the Limit.</p> <p>Reference for error message:</p> <input type="checkbox"/> DRD9080 <input type="checkbox"/> DRD9101
Currency	<p>Shows the currency associated to the Cash Account linked to the limit.</p> <p>Reference for error message:</p> <input type="checkbox"/> DRD9150

Buttons

Buttons	
Search	<p>This function enables the user to start a search according to the filled in criteria. The results are displayed on the same screen.</p> <p>If the search retrieves a single record, the details screen is directly displayed.</p>
Reset	<p>This function enables the user to set default search criteria and blanks out all optional criteria.</p>
New	<p>This function enables the user to create a new Limit.</p>
Edit	<p>This function enables the user to edit the details of the selected Limit.</p>

	<p>If the status of the selected Limit is already set to 'Deleted', this function is not available.</p>
Delete	<p>This function enables the user to delete the selected Limit, after confirmation.</p> <p>If the status of the selected Limit is already set to 'Deleted', this function is not available.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRD9001 <input type="checkbox"/> DRD9003
Restore	<p>This function enables the user to restore a previously deleted Limit.</p> <p>If the status of the selected Limit is already set to 'Active' this function is not available.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRD9004 <input type="checkbox"/> DRD9064 <input type="checkbox"/> DRD9065 <input type="checkbox"/> DRD9066 <input type="checkbox"/> DRD9080 <input type="checkbox"/> DRD9101 <input type="checkbox"/> DRD9150 <input type="checkbox"/> DRD9205 <input type="checkbox"/> DRD9206
Revisions	<p>This function enables the user to display the revisions of the selected Limit.</p>
CMB Details	<p>This function enables the user to display the details of the CMB related to the selected limit.</p> <p>This button is for T2S only. {Split book approach}</p>

2.8.2.2.5 Limit - New/Edit Screen

Context of Usage

This screen contains a number of fields regarding Limit. You can enter new data or edit existing data, previously selected. Afterwards you can proceed further by clicking on the buttons below. This screen is relevant for Central Bank or Payment Bank users responsible for the account to be debited.

Screen Access

- Common >> Cash >> Limits >> New
- Common >> Cash >> Limits >> Search >> click on New or Edit button

Privileges

To use this screen, the following Privileges are needed:

- Create Limit
- Update Limit

Screenshot

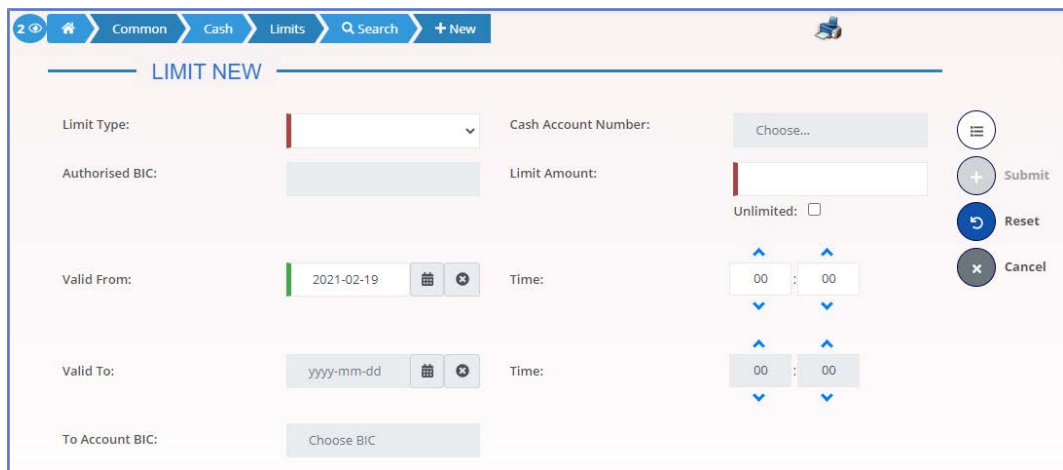


Illustration 194: Limit – new/edit screen {Split book approach}

Fields Description

Limits	
Limit Id	Shows the technical id of the Limit. This field is present only in edit mode, it is mandatory and read only. Required format is: Integer.
Limit Type	Select the type of the Limit from the drop-down menu. Possible values are: <ul style="list-style-type: none"> <input type="checkbox"/> TIPS CMB Limit <input type="checkbox"/> T2 Bilateral Limit <input type="checkbox"/> T2 Multilateral Limit <input type="checkbox"/> T2S Auto-collateralisation Limit <input type="checkbox"/> T2S External Guarantee Limit <input type="checkbox"/> T2S Unsecured credit Limit

Limits	
	<p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRC9054 <input type="checkbox"/> DRC9058 <input type="checkbox"/> DRC9100 <input type="checkbox"/> DRC9101 <input type="checkbox"/> DRU9101 <input type="checkbox"/> DRU9207 <p>This field is mandatory.</p> <p>This field is read only in edit mode.</p>
Cash Account Number	<p>If the Limit Type is TIPS CMB, it contains the Cash Accounts with type TIPS CMB visible to the connected user and it represents the CMB the limit is related to.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRC9001 <input type="checkbox"/> DRC9052 <input type="checkbox"/> DRC9100 <p>If the Limit Type is T2 Bilateral Limit or T2 Multilateral Limit, it contains the list of Cash Accounts the connected user can see and it represents the Cash Account the limit is related to.</p> <p>This field is mandatory.</p> <p>If the Limit Type is T2S Auto-collateralisation, T2S External Guarantee or T2S Unsecured Credit, it contains the list of Cash Accounts the connected user can see and it represents the Cash Account of the CMB the limit is linked related to.</p> <p>This field is read-only in create mode if the user enters the screen coming from “Cash Account – Details”</p>

Limits	
	<p>screen. In this case, the field is filled with the passed Cash Account number.</p> <p>This field is visible and editable only if the field Limit Type is selected.</p> <p>This field is read only in edit mode.</p> <p>Required format is: max. 34x characters.</p>
Authorised BIC	<p>Enter the BIC that is authorised to receive credit on the T2S dedicated cash account for which the limit is inserted.</p> <p>This field is visible and mandatory only if the field Limit Type is selected and equal to "T2S Auto-collateralisation Limit", "T2S External Guarantee Limit" or "T2S Unsecured credit Limit".</p> <p>This field is read only in edit mode.</p> <p>Required format is: max. 11x characters.</p>
CMB Id	<p>Shows the identifier of the CMB the Limit is related to.</p> <p>This field is present only in edit mode and is read only.</p> <p>This field is visible only if the field Limit Type is equal to "TIPS CMB", "T2S Auto-collateralisation Limit", "T2S External Guarantee Limit" or "T2S Unsecured credit Limit".</p>
Limit Amount	<p>Enter the Limit Amount.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRC9150 <input type="checkbox"/> DRC9800 <input type="checkbox"/> DRU9055 <input type="checkbox"/> DRU9056

Limits	
	<ul style="list-style-type: none"> <input type="checkbox"/> DRU9150 <input type="checkbox"/> DRU9800 <p>This field is mandatory.</p> <p>This field is disabled if the Cash Account type is T2S Central Bank Account.</p> <p>Required format is: Amount.</p>
Unlimited Amount	If selected, the Limit Amount is set to 'Unlimited' value.
Valid From	<p>Enter the date and time from which the credit Limit is valid.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRC9100 <input type="checkbox"/> DRC9205 <input type="checkbox"/> DRC9206 <input type="checkbox"/> DRU9206 <p>This field is mandatory in create mode.</p> <p>This field is read only in edit mode.</p> <p>Required format is: DateTime.</p>
Valid To	<p>Enter the date and time until which the credit Limit is valid.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRC9206 <input type="checkbox"/> DRU9206 <input type="checkbox"/> DRU9207 <p>This field can be filled only if Limit Type is "T2 Bilateral Limit" or "T2 Multilateral Limit". It is empty if Limit Type is different.</p> <p>This field must be equal to or greater than the current date and equal to or greater than the Valid From.</p> <p>Required format is: DateTime.</p>

Limits	
To Account BIC	<p>Enter or select the Account BIC linked to the Limit.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRC9059 <input type="checkbox"/> DRC9100 <input type="checkbox"/> DRC9101 <input type="checkbox"/> DRU9101 <p>This field is mandatory in create mode if Limit Type is equal to "T2 Bilateral Limit". It is not allowed in any other case.</p> <p>This field is read-only in edit mode.</p> <p>Required format is: max. 11x characters.</p>

Buttons

Buttons	
Submit	<p>This function enables the user to create or edit a Limit.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRC9001 <input type="checkbox"/> DRC9052 <input type="checkbox"/> DRC9053 <input type="checkbox"/> DRC9054 <input type="checkbox"/> DRC9055 <input type="checkbox"/> DRC9056 <input type="checkbox"/> DRC9057 <input type="checkbox"/> DRC9058 <input type="checkbox"/> DRC9059 <input type="checkbox"/> DRC9100 <input type="checkbox"/> DRC9101 <input type="checkbox"/> DRC9150 <input type="checkbox"/> DRC9205 <input type="checkbox"/> DRC9206 <input type="checkbox"/> DRC9800

	<input type="checkbox"/> DRU9001 <input type="checkbox"/> DRU9003 <input type="checkbox"/> DRU9055 <input type="checkbox"/> DRU9056 <input type="checkbox"/> DRU9101 <input type="checkbox"/> DRU9150 <input type="checkbox"/> DRU9206 <input type="checkbox"/> DRU9207 <input type="checkbox"/> DRU9800
Reset	This function enables the user to set all fields to default value and blanks out all optional fields.
Cancel	This function enables the user to cancel the process and return to the previous screen. {Split book approach}

~~2.8.2.2.6 Standing/Predefined Liquidity Transfer Orders – Search/List Screen~~

Context of Usage

~~This screen contains a number of search fields. By inputting the relevant data, you can search for Standing/Predefined Liquidity Transfer Orders. The search results will display a list sorted by the values of the 'Order Reference' column in ascending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.~~

~~Central Bank, Ancillary System and Payment Bank users can see all Standing/Predefined Liquidity Transfer Orders depending on their datascope. Payment Bank users can see the ones under their datascope and the ones where the debtor cash account is under their datascope or for which they have been defined as Co-Managers. Duly authorised users can manage Standing/Predefined Liquidity Transfer Orders on Cash Accounts for which they are defined as Co-Managers.~~

~~AS users can see the ones under their datascope.~~

Screen Access

Common >> Cash >> Standing/Predefined Liquidity Transfer Order >> Search

Privileges

To use this screen, the following Privileges are needed:

~~Delete Liquidity Transfer Order~~

- Liquidity Transfer Order list query
- Liquidity Transfer Order detail query

Screenshot

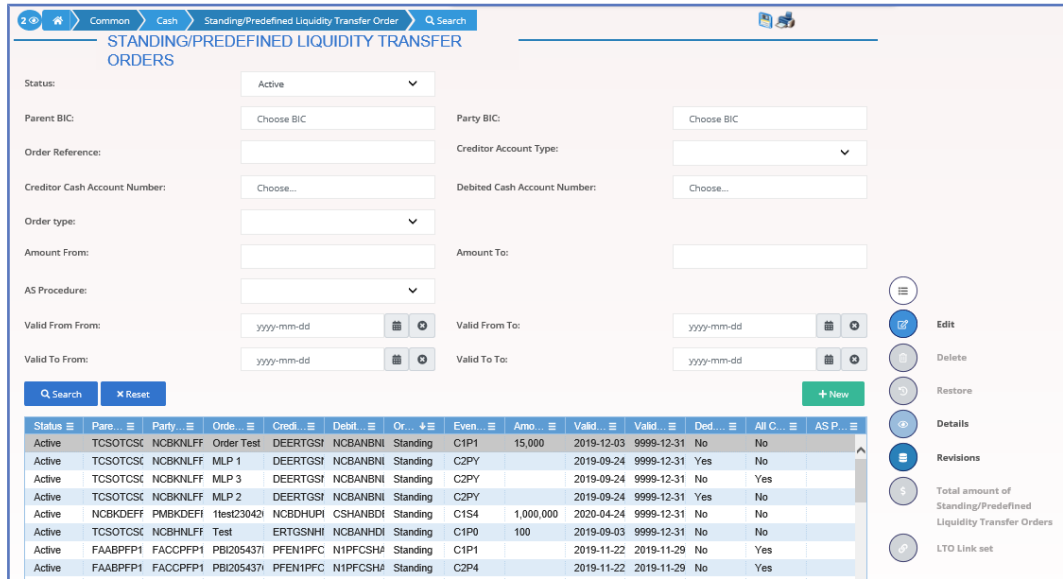


Illustration 195: Standing/Predefined Liquidity Transfer Order – search/list screen (Split book approach)

Fields Description

Standing/Predefined Liquidity Transfer Order – Search Criteria	
Status	<p>Select the status of the Liquidity Transfer Order from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCD4003 <input type="checkbox"/> DCD4012
Parent BIC	<p>Enter or select the parent BIC of the party whose debited accounts the user wants to search.</p> <p>Required format is: max. 11x characters.</p>
Party BIC	<p>Enter or select the BIC of the party whose debited accounts the user wants to search.</p> <p>Required format is: max. 11x characters.</p>
Order Reference	<p>Enter the unique reference for the Liquidity Transfer Order the user wants to search.</p> <p>Required format is: max. 35x characters.</p>

Standing/Predefined Liquidity Transfer Order – Search Criteria	
Creditor Account Type	Select the creditor account type the user wants to search. Possible values: <input type="checkbox"/> Cash Account <input type="checkbox"/> External RTGS Account This field is blank as for default value.
Creditor Cash Account Number	Enter the number of the creditor Cash Account of the searched Liquidity Transfer Order. Reference for error message: <input type="checkbox"/> DCD4020 Required format is: max. 34x characters.
Debited Cash Account Number	Enter the number of the debited Cash Account of the searched Liquidity Transfer Order. Reference for error message: <input type="checkbox"/> DCD4022 Required format is: max. 34x characters.
Order Type	Select the classification for the Liquidity Transfer Order. The exhaustive list of all possible value is as follows: <input type="checkbox"/> Predefined <input type="checkbox"/> Standing <input type="checkbox"/> Rule-Based Floor <input type="checkbox"/> Rule-Based Ceiling This field is blank as for default value.
Amount From	Amount of the order: lower bound. Required format is: Amount.
Amount To	Amount of the order: upper bound. Required format is: Amount.
AS Procedure	Select the Ancillary System Procedure. Possible values: <input type="checkbox"/> Procedure C Automated <input type="checkbox"/> Procedure C Manual <input type="checkbox"/> Procedure D Automated This field is blank as for default value.

Standing/Predefined Liquidity Transfer Order – Search Criteria	
Valid From From	Enter the lower bound for the opening date of the Liquidity Transfer Order or use the calendar icon. Required format is: Date.
Valid From To	Enter the upper bound for the opening date of the Liquidity Transfer Order or use the calendar icon. Required format is: Date.
Valid To From	Enter the lower bound for the closing date of the Liquidity Transfer Order or use the calendar icon. Required format is: Date.
Valid To To	Enter the upper bound for the closing date of the Liquidity Transfer Order or use the calendar icon. Required format is: Date.

Standing/Predefined Liquidity Transfer Order – List	
Status	Shows the status of the Liquidity Transfer Order. Reference for error message: <input type="checkbox"/> DCD4003 <input type="checkbox"/> DCD4012
Parent BIC	Shows the parent BIC of the Party.
Party BIC	Shows the BIC of the party.
Order Reference	Shows the unique id for the returned Liquidity Transfer Order.
Creditor Cash Account Number	Shows the number of the creditor Cash Account of the returned Liquidity Transfer Order. Reference for error message: <input type="checkbox"/> DCD4020
Debited Cash Account Number	Shows the number of the debited Cash Account of the returned Liquidity Transfer Order. Reference for error message: <input type="checkbox"/> DCD4022
Order Type	Shows the classification for the Liquidity Transfer Order from the possible values: <input type="checkbox"/> Predefined <input type="checkbox"/> Standing Order

Standing/Predefined Liquidity Transfer Order – List	
Event Type/Execution Time	Shows the Event Type or the Timestamp that triggers the execution of the Liquidity Transfer Order. Event type shows the allowed event type codes for the setup of liquidity transfer orders. Reference for error message: <input type="checkbox"/> DCD4100 <input type="checkbox"/> DCD4200 <input type="checkbox"/> DCD4081
Amount	Shows the amount to be credited or debited through the Liquidity Transfer Order.
Valid From	Shows the date from which the returned Liquidity Transfer Order is valid.
Valid To	Shows the date to which the returned Liquidity Transfer Order is valid.
Dedicated Amount	Shows whether the Liquidity Transfer Order transfers a dedicated amount stemming from a specific business event triggering the Liquidity Transfer Order.
All Cash	Shows whether the Liquidity Transfer Order transfers any remaining liquidity on the debit cash account.
AS Procedure	Shows the Ancillary System Procedure of the returned Liquidity Transfer Order.

Buttons

Search	This function enables the user to start a search according to the filled in criteria. The results are displayed on the same screen.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
New	This function enables the user to create a new Liquidity Transfer Order.
Details	This function enables the user to display the details of the selected Liquidity Transfer Order.
Edit	This function enables the user to edit the details of the selected Liquidity Transfer Order. If the status of the selected Liquidity Transfer Order is already set to 'Deleted', this function is not available.

Delete	<p>This function enables the user to delete the selected Liquidity Transfer Order, after confirmation.</p> <p>If the status of the selected Liquidity Transfer Order is already set to 'Deleted', this function is not available.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"><input type="checkbox"/> DCD4001<input type="checkbox"/> DCD4003<input type="checkbox"/> DCD4012<input type="checkbox"/> DCD4030
Restore	<p>This function enables the user to restore a previously deleted Liquidity Transfer Order.</p> <p>If the status of the selected Liquidity Transfer Order is already set to 'Active' this function is not available.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"><input type="checkbox"/> DCD4020<input type="checkbox"/> DCD4021<input type="checkbox"/> DCD4022<input type="checkbox"/> DCD4075<input type="checkbox"/> DCD4081<input type="checkbox"/> DCD4090<input type="checkbox"/> DCD4100<input type="checkbox"/> DCD4200
Revisions	<p>This function enables the user to display the revisions of the selected Liquidity Transfer Order.</p>
Total amount of Standing/Pre-defined Liquidity Transfer Orders	<p>This function enables the user to display the total amount of standing/predefined liquidity transfer orders.</p> <p>This button is for T2S only.</p>
LTO Link set	<p>This function enables the user to display the related liquidity transfer order link sets.</p> <p>This button is for T2S only. {Split book approach}</p>

2.8.2.2.7 Standing/Predefined Liquidity Transfer Orders – Details Screen

Context of Usage This screen displays detailed information on the selected Standing/Predefined Liquidity Transfer Order. You can check the data and proceed further by clicking on the buttons below. Duly authorised users can manage Standing/Predefined Liquidity Transfer Orders on Cash Accounts for which they are defined as Co-Managers.

Screen Access Common >> Cash >> Standing/Predefined Liquidity Transfer Order >> Standing/Predefined Liquidity Transfer Order – Search screen >> Click on Search and/or details button

Privileges To use this screen, the following Privileges are needed:

- Delete Liquidity Transfer Order
- Liquidity Transfer Order detail query

Screenshot

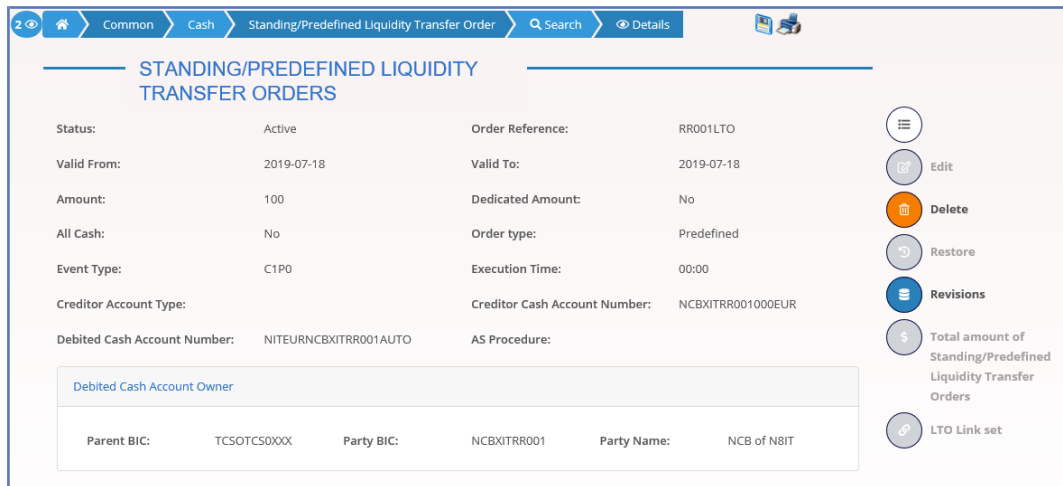


Illustration 196: Standing/Predefined Liquidity Transfer Order – details screen (Split book approach)

Fields

Description

Standing/Predefined Liquidity Transfer Order	
Status	Shows the status of the Liquidity Transfer Order. If the status is set to 'Deleted', the selected Liquidity Transfer Order cannot be deleted or edited. If the status is set to 'Active', the selected Liquidity Transfer Order cannot be restored. Reference for error message: <input type="checkbox"/> DCD4003 <input type="checkbox"/> DCD4012
Order Reference	Shows the unique reference for the Liquidity Transfer Order assigned by the instructing party.

Standing/Predefined Liquidity Transfer Order	
Valid From	Shows the date from which the Liquidity Transfer Order is valid.
Valid To	Shows the date to which the Liquidity Transfer Order is valid.
Amount	Shows the amount to be credited or debited through the Liquidity Transfer Order.
Dedicated Amount	Shows whether the liquidity transfer order transfer a dedicated amount stemming from a specific business event triggering the liquidity transfer order.
All Cash	Shows whether the liquidity transfer order transfer any remaining liquidity on the debit cash account.
Order type	Shows the classification for the Liquidity Transfer Order.
Event Type	Shows the Event Type that triggers the execution of the Liquidity Transfer Order. Event type shows the allowed event type codes for the setup of liquidity transfer orders. Reference for error message: <ul style="list-style-type: none"><input type="checkbox"/> DCD4100<input type="checkbox"/> DCD4200<input type="checkbox"/> DCD4081
Execution Time	Shows the Timestamp that triggers the execution of the Liquidity Transfer Order.
Creditor Account Type	Shows the type of the creditor Cash Account of the searched Liquidity Transfer Order.
Creditor Cash Account Number	Shows the number of the creditor Cash Account of the searched Liquidity Transfer Order. Reference for error message: <ul style="list-style-type: none"><input type="checkbox"/> DCD4020
Debited Cash Account Number	Shows the number of the debited Cash Account of the searched Liquidity Transfer Order. Reference for error message: <ul style="list-style-type: none"><input type="checkbox"/> DCD4022
Debited Cash Account Owner	Shows the Account owner data (parent BIC – party BIC – short name).

Buttons

Standing/Predefined Liquidity Transfer Order	
AS Procedure	Shows the Ancillary System Procedure of the searched Liquidity Transfer Order.
Edit	<p>This function enables the user to edit the details of the selected Liquidity Transfer Order.</p> <p>If the status of the selected Liquidity Transfer Order is already set to 'Deleted', this function is not available.</p>
Delete	<p>This function enables the user to delete the selected Liquidity Transfer Order, after confirmation.</p> <p>If the status of the selected Liquidity Transfer Order is already set to 'Deleted', this function is not available.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCD4001 <input type="checkbox"/> DCD4003 <input type="checkbox"/> DCD4012 <input type="checkbox"/> DCD4030
Restore	<p>This function enables the user to restore a previously deleted Liquidity Transfer Order.</p> <p>If the status of the selected Liquidity Transfer Order is already set to 'Active' this function is not available.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCD4020 <input type="checkbox"/> DCD4021 <input type="checkbox"/> DCD4022 <input type="checkbox"/> DCD4075 <input type="checkbox"/> DCD4081 <input type="checkbox"/> DCD4090 <input type="checkbox"/> DCD4100 <input type="checkbox"/> DCD4200
Revisions	This function enables the user to display the revisions of the selected Liquidity Transfer Order.

Total amount of Standing/Pre-defined Liquidity Transfer Orders	<p>This function enables the user to display the total amount of standing/predefined liquidity transfer orders.</p> <p>This button is for T2S only.</p>
LTO Link set	<p>This function enables the user to display the related liquidity transfer order link sets.</p> <p>This button is for T2S only. {Split book approach}</p>

~~2.8.2.2.8 Standing/Predefined Liquidity Transfer Orders - New/Edit Screen~~

Context of Usage

~~This screen contains a number of fields regarding Standing/Predefined Liquidity Transfer Order. You can enter new data or edit existing data, previously selected. Afterwards you can proceed further by clicking on the buttons below. This screen is relevant for Central Bank, Payment Bank or Ancillary System users responsible for the account to be debited. Duly authorised users can manage Standing/Predefined Liquidity Transfer Orders on Cash Accounts for which they are defined as Co-Managers.~~

~~This screen is also used to configure rule-based floor/ceiling liquidity transfers.~~

Screen Access

- ~~Common >> Cash >> Standing/Predefined Liquidity Transfer Order >> New~~
- ~~Common >> Cash >> Standing/Predefined Liquidity Transfer Order >> Search >> click on New or Edit button~~

Privileges

~~To use this screen, the following Privileges are needed:~~

- ~~Create Liquidity Transfer Order~~
- ~~Update Liquidity Transfer Order~~

Screenshot

Illustration 197: Standing/Predefined Liquidity Transfer Order – new/edit screen {Split book approach}

Fields

Description

Standing/Predefined Liquidity Transfer Order	
Order Reference	<p>Enter the unique reference for the Liquidity Transfer Order the user wants to search.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC4075 <p>This field is mandatory in create mode.</p> <p>This field is read-only in edit mode.</p> <p>Required format is: max. 35x characters.</p>
Valid From	<p>Enter the opening date of the Liquidity Transfer Order or use the calendar icon.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC4120 <input type="checkbox"/> DCU4010 <input type="checkbox"/> DCU4140 <input type="checkbox"/> DCU4141 <p>This field is mandatory in create mode and it must be equal to or later than the current business date.</p> <p>In edit mode, if the date is equal to or lower than the current business date, this field is read-only.</p> <p>Required format is: Date.</p>
Valid To	<p>Enter the closing date of the Liquidity Transfer Order or use the calendar icon.</p>

Standing/Predefined Liquidity Transfer Order	
	<p>The “valid to” date, when present, must be equal to or greater than the current date and equal to or greater than the “Valid From” date.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC4121 <input type="checkbox"/> DCU4010 <input type="checkbox"/> DCU4130 <p>Required format is: Date.</p>
Amount	<p>Enter the amount of the Liquidity Transfer Order.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC4091 <input type="checkbox"/> DCC4092 <input type="checkbox"/> DCC4093 <input type="checkbox"/> DCC4800 <input type="checkbox"/> DCU4092 <input type="checkbox"/> DCU4093 <input type="checkbox"/> DCU4800 <p>This field is mandatory if Order Type is “Standing” or “Predefined” and “All Cash” is set to “No”. It is disabled and empty otherwise.</p> <p>Required format is: Amount.</p>
Currency	<p>Shows the currency code associated with RTGS systems.</p> <p>The currency field is read-only.</p> <p>This field is dependent on and shown after the choice of the selected Debited Cash Account Number.</p>
Dedicated Amount	<p>Select whether the liquidity transfer order transfers a dedicated amount stemming from a specific business event triggering the liquidity transfer order.</p> <p>This field can only be used when a T2S Account is selected.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC4082 <input type="checkbox"/> DCC4091

Standing/Predefined Liquidity Transfer Order	
	<ul style="list-style-type: none"> <input type="checkbox"/> DCC4092 <input type="checkbox"/> DCC4093 <input type="checkbox"/> DCU4082 <input type="checkbox"/> DCU4091 <input type="checkbox"/> DCU4092 <input type="checkbox"/> DCU4093
All Cash	<p>Select whether the liquidity transfer order transfer any remaining liquidity on the debit cash account.</p> <p>This field can only be used when a T2S Account is selected.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC4082 <input type="checkbox"/> DCC4091 <input type="checkbox"/> DCC4092 <input type="checkbox"/> DCC4093 <input type="checkbox"/> DCU4082 <input type="checkbox"/> DCU4091 <input type="checkbox"/> DCU4092 <input type="checkbox"/> DCU4093
Order type	<p>Select the classification for the Liquidity Transfer Order from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Predefined <input type="checkbox"/> Standing <input type="checkbox"/> Rule-Based Floor <input type="checkbox"/> Rule-Based Ceiling <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC4202 <input type="checkbox"/> DCU4202 <p>This field is mandatory.</p>
Event Type	<p>Select the event type code that trigger the Liquidity Transfer Order.</p> <p>The field displays the subset of allowed business events for the setup of liquidity transfer orders.</p>

Standing/Predefined Liquidity Transfer Order	
	<p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC4081 <input type="checkbox"/> DCC4200 <input type="checkbox"/> DCC4210 <input type="checkbox"/> DCU4081 <input type="checkbox"/> DCU4200 <input type="checkbox"/> DCU4210 <p>The field is blank and disabled if one between 'Execution Time' and "AS Procedure" filled in.</p> <p>This field depends on the selected debited cash account number. This field is only allowed for "Standing" and "Predefined" Order Types. It is disabled and empty otherwise.</p>
Execution Time	<p>Enter the Execution Time that trigger the Liquidity Transfer Order.</p> <p>The field is blank and disabled if one between 'Event Type' and "AS Procedure" is filled in.</p> <p>This field is only allowed for "Standing" and "Predefined" Order Types and if the debited account is a T2S DCA or T2S Central Bank Account. It is disabled and empty otherwise.</p>
Creditor Account Type	<p>Select the creditor account type. Possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Cash Account <input type="checkbox"/> External RTGS Account <p>This field is mandatory in create mode.</p> <p>This field is read only in edit mode.</p>
Creditor Cash Account Number	<p>Enter or select the number of the Creditor Cash Account.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC4078 <input type="checkbox"/> DCC4079 <input type="checkbox"/> DCC4080 <input type="checkbox"/> DCC4085 <input type="checkbox"/> DCC4185

Standing/Predefined Liquidity Transfer Order	
	<p>This field is mandatory in create mode.</p> <p>This field is read only in edit mode.</p> <p>Required format is: max. 34x characters.</p>
Debited Cash Account Number	<p>Enter or select the number of the Debtor Cash Account.</p> <p>The debited cash account can be chosen also among the ones for which the Party of user is co-manager.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC4069 <input type="checkbox"/> DCC4070 <input type="checkbox"/> DCC4071 <input type="checkbox"/> DCC4082 <input type="checkbox"/> DCC4085 <input type="checkbox"/> DCC4185 <input type="checkbox"/> DCU4082 <p>This field is mandatory in create mode.</p> <p>This field is read only in edit mode.</p> <p>Required format is: max. 34x characters.</p>
AS Procedure	<p>Select the Ancillary System Procedure. Possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Procedure C automated <input type="checkbox"/> Procedure C manual <input type="checkbox"/> Procedure D automated <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC4201 <input type="checkbox"/> DCU4201 <p>The field is blank and disabled if one between 'Event Type' and "Execution Time" is filled in.</p> <p>This field is only allowed for "Standing" and "Pre-defined" Order Types and if the debited account is an RTGS DCA or RTGS CB Account. It is disabled and empty otherwise.</p>

Buttons**Submit**

~~This function enables the user to create or edit a Liquidity Transfer Order.~~

Reference for error message:

- DCC4001**
- DCC4069**
- DCC4070**
- DCC4075**
- DCC4078**
- DCC4079**
- DCC4080**
- DCC4081**
- DCC4082**
- DCC4085**
- DCC4090**
- DCC4091**
- DCC4092**
- DCC4093**
- DCC4120**
- DCC4121**
- DCC4185**
- DCC4200**
- DCC4201**
- DCC4202**
- DCC4210**
- DCC4800**
- DCU4001**
- DCU4003**
- DCU4010**
- DCU4030**
- DCU4081**
- DCU4082**
- DCU4090**

	<input type="checkbox"/> DCU4091 <input type="checkbox"/> DCU4092 <input type="checkbox"/> DCU4093 <input type="checkbox"/> DCU4130 <input type="checkbox"/> DCU4140 <input type="checkbox"/> DCU4141 <input type="checkbox"/> DCU4200 <input type="checkbox"/> DCU4201 <input type="checkbox"/> DCU4202 <input type="checkbox"/> DCU4210 <input type="checkbox"/> DCU4800
Reset	This function enables the user to set all fields to default value and blanks out all optional fields.
Cancel	This function enables the user to cancel the process and return to the previous screen. {Split book approach}

~~2.8.2.2.9 Standing/Predefined Liquidity Transfer Order Link Sets -- Search/List Screen~~

Context of Usage

~~This screen contains a number of search fields. By inputting the relevant data, you can search for Standing/Predefined Liquidity Transfer Order Link Sets related to a T2S dedicated cash account. The search results will display a list sorted by the values of the 'Order Reference' column in ascending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.~~

~~This screen is relevant for T2S users only.~~

~~This screen is not relevant for CSD, CSD participant and external CSD users.~~

Screen Access

- ~~T2S >> Cash >> Standing/Predefined Liquidity Transfer Order Link Set >> Search~~
- ~~T2S >> Cash >> Standing/Predefined Liquidity Transfer Order Search/List screen >> Click on LTO Link set button~~

- ❑ **T2S >> Cash >> Standing/Predefined Liquidity Transfer Order Search/ List screen >> Click on Search button and Details button >> Standing/ Predefined Liquidity Transfer Order Details screen >> Click on LTO Link set button**

Privileges To use this screen, the following Privileges are needed:

- ❑ **Delete Liquidity Transfer Order Link Set**
- ❑ **Liquidity Transfer Order Link Set Query**

Screenshot

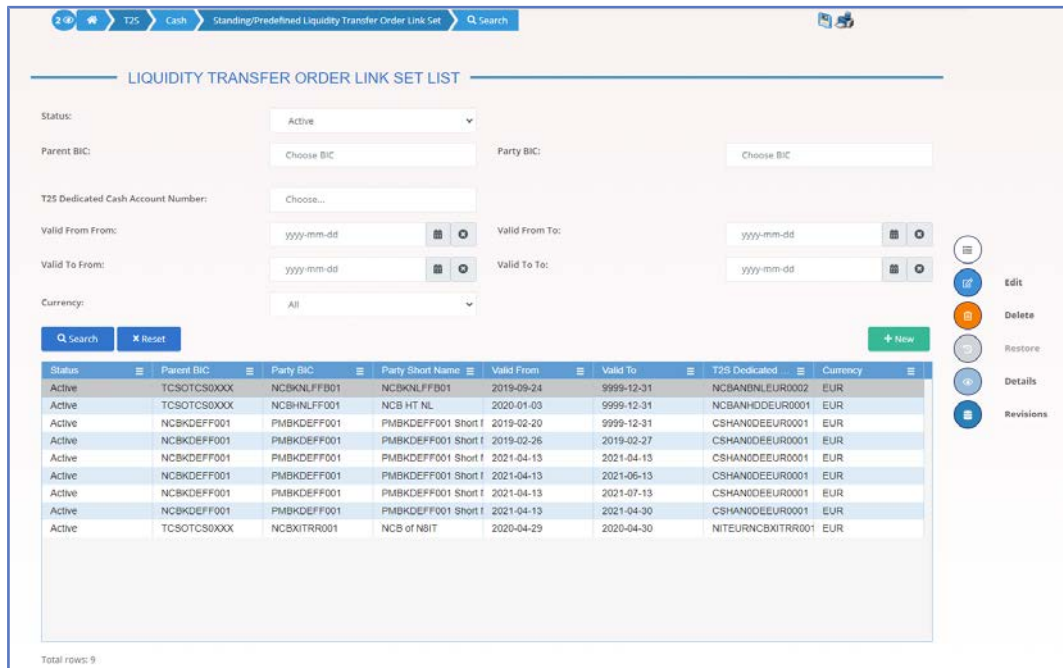


Illustration 198: Standing/Predefined Liquidity Transfer Order Link Sets – search/list screen (Split book approach)

Fields Description

Liquidity Transfer Order Link Set – Search Criteria	
Status	Select the status of the Liquidity Transfer Order Link Set from the possible values: <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted
Parent BIC	Enter or select the parent BIC of the party that owns the T2S dedicated cash account. Required format is: 11x characters.
Party BIC	Enter or select the party BIC of the party that owns the T2S dedicated cash account. Required format is: 11x characters.

Liquidity Transfer Order Link Set – Search Criteria	
T2S Dedicated Cash Account Number	Enter or select the number of the T2S dedicated cash account related to the Liquidity Transfer Order Link Set. Required format is: max. 34x characters.
Valid From From	Enter or pick the lower bound for the opening date of the Liquidity Transfer Order Link Set. Required format is: Date.
Valid From To	Enter or pick the upper bound for the opening date of the Liquidity Transfer Order Link Set. Required format is: Date.
Valid To From	Enter or pick the lower bound for the closing date of the Liquidity Transfer Order Link Set. Required format is: Date.
Valid To To	Enter or pick the upper bound for the closing date of the Liquidity Transfer Order Link Set. Required format is: Date.
Currency	Select the currency related to the T2S dedicated cash account from the drop-down menu. The default value is 'All'.
Liquidity Transfer Order Link Set – List	
Status	Shows the status of the Liquidity Transfer Order Link Set. References for error messages: <input type="checkbox"/> DCD5003 <input type="checkbox"/> DCD5012 <input type="checkbox"/> DCD5080
Parent BIC	Shows the parent BIC of the Party that owns the T2S dedicated cash account.
Party BIC	Shows the BIC of the party that owns the T2S dedicated cash account.
Party Short Name	Shows the party short name of the party that owns the T2S dedicated cash account.
Valid From	Shows the date from which the returned Liquidity Transfer Order Link Set is valid. References for error messages:

Liquidity Transfer Order Link Set – List	
	<input type="checkbox"/> DCD5033
Valid To	Shows the date to which the returned Liquidity Transfer Order Link Set is valid. References for error messages: <input type="checkbox"/> DCD5033
T2S Dedicated Cash Account Number	Shows the number of the T2S Dedicated Cash Account of the returned Liquidity Transfer Order Link Set. References for error messages: <input type="checkbox"/> DCD5092
Currency	Shows the currency related to the T2S Dedicated Cash Account.

Buttons

Search	This function enables the user to start a search according to the filled in criteria. The results are displayed on the same screen.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
New	This function enables the user to create a new Liquidity Transfer Order Link Set.
Details	This function enables the user to display the details of the selected Liquidity Transfer Order Link Set.
Edit	This function enables the user to edit the details of the selected Liquidity Transfer Order Link Set. If the status of the selected Liquidity Transfer Order Link Set is already set to 'Deleted', this function is not available.
Delete	This function enables the user to delete the selected Liquidity Transfer Order Link Set, after confirmation. If the status of the selected Liquidity Transfer Order Link Set is already set to 'Deleted', this function is not available. References for error messages: <input type="checkbox"/> DCD5001 <input type="checkbox"/> DCD5003

	<ul style="list-style-type: none"> <input type="checkbox"/> DCD5033
Restore	<p>This function enables the user to restore a previously deleted Liquidity Transfer Order Link Set.</p> <p>If the status of the selected Liquidity Transfer Order Link Set is already set to 'Active' this function is not available.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCD5001 <input type="checkbox"/> DCD5012 <input type="checkbox"/> DCD5080 <input type="checkbox"/> DCD5092
Revisions	<p>This function enables the user to display the revisions of the selected Liquidity Transfer Order Link Set. {Split book approach}</p>

~~2.8.2.2.10 Standing/Predefined Liquidity Transfer Order Link Sets – Details Screen~~

Context of Usage	<p>This screen displays detailed information on the selected Standing/Predefined Liquidity Transfer Order Link Set. You can check the data and proceed further by clicking on the buttons below.</p> <p>This screen is only relevant for T2S users belonging to the relevant CB, payment bank or to the Service Operator users.</p>
Screen Access	<ul style="list-style-type: none"> <input type="checkbox"/> T2S >> Cash >> Standing/Predefined Liquidity Transfer Order Link Set >> Standing/Predefined Liquidity Transfer Order Link Set – Search screen >> Click on Search and/or details button
Privileges	<p>To use this screen, the following Privileges are needed:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Delete Liquidity Transfer Order Link Set <input type="checkbox"/> Liquidity Transfer Order Link Set Query <input type="checkbox"/> Liquidity Transfer Order of Liquidity Transfer Order Link Set Query

Screenshot

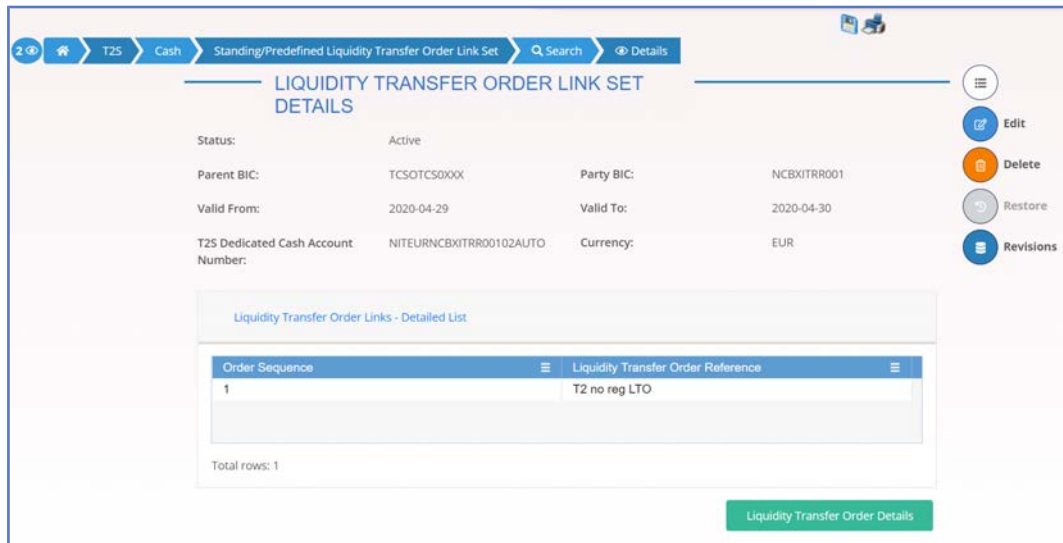


Illustration 199: **Standing/Predefined Liquidity Transfer Order Link Sets – details screen** {Split book approach}

Fields

Description

Liquidity Transfer Order Link Set	
Status	<ul style="list-style-type: none"> <input type="checkbox"/> Shows the status of the Liquidity Transfer Order Link Set. <input type="checkbox"/> References for error messages: <ul style="list-style-type: none"> <input type="checkbox"/> DCD5003 <input type="checkbox"/> DCD5012 <input type="checkbox"/> DCD5080
Parent BIC	Shows the parent BIC of the Party that owns the T2S dedicated cash account.
Party BIC	Shows the BIC of the party that owns the T2S dedicated cash account.
Valid From	Shows the date from which the returned Liquidity Transfer Order Link Set is valid. References for error messages: <ul style="list-style-type: none"> <input type="checkbox"/> DCD5033
Valid To	Shows the date to which the returned Liquidity Transfer Order Link Set is valid. References for error messages: <ul style="list-style-type: none"> <input type="checkbox"/> DCD5033
T2S Dedicated Cash Account Number	Shows the number of the T2S Dedicated Cash Account of the returned Liquidity Transfer Order Link Set. References for error messages:

Liquidity Transfer Order Link Set	
	<input type="checkbox"/> DCD5092
Currency	Shows the currency related to the T2S Dedicated Cash Account.
Liquidity Transfer Order Links – Detailed List	
Order Sequence	Shows the position of the liquidity transfer order in the sequence of orders.
Liquidity transfer Order Reference	Shows the list of liquidity transfer order references related to the selected T2S dedicated cash account in the order of their execution.
Shown after selecting Liquidity Transfer Order Details button	
Valid From	Shows the date from which the Liquidity Transfer Order Set is valid. This field is only available in the detailed list. References for error messages: <input type="checkbox"/> DCD5033
Valid To	Shows the date to which the Liquidity Transfer Order Set is valid. This field is only available in the detailed list. References for error messages: <input type="checkbox"/> DCD5033
T2S Dedicated Cash Account Number	Shows the number of the T2S Dedicated Cash Account to be debited. This field is only available in the detailed list. References for error messages: <input type="checkbox"/> DCD5092
External RTGS Account Number	Shows the external RTGS account number of the liquidity transfer order link to be credited. This field is only available in the detailed list.
Liquidity transfer Order Type	Shows the type of the liquidity transfer order. This field is only available in the detailed list.
Event Type Code/ Execution Time	Shows the event type or the date and time that triggered the execution of the order. This field is only available in the detailed list.

Liquidity Transfer Order Links – Detailed List	
Amount	Shows the amount that has to be credited or debited through to the external RTGS account as a result of the liquidity transfer order. This field is only available in the detailed list.
All Cash	Shows if the liquidity transfer order transfers a dedicated amount to the external RTGS account as a result of a specific business event that triggers the liquidity transfer order. This field is only available in the detailed list.
Dedicated Amount	Shows if the liquidity transfer order transfers any liquidity left on the debited cash account to the external RTGS account. This field is only available in the detailed list.

Buttons

Buttons	
Edit	This function enables the user to edit the details of the selected Liquidity Transfer Order Link Set. If the status of the selected Liquidity Transfer Order Link Set is already set to 'Deleted', this function is not available.
Delete	This function enables the user to delete the selected Liquidity Transfer Order Link Set, after confirmation. If the status of the selected Liquidity Transfer Order Link Set is already set to 'Deleted', this function is not available. References for error messages: <ul style="list-style-type: none"> <input type="checkbox"/> DCD5001 <input type="checkbox"/> DCD5003 <input type="checkbox"/> DCD5033
Restore	This function enables the user to restore a previously deleted Liquidity Transfer Order Link Set. If the status of the selected Liquidity Transfer Order Link Set is already set to 'Active' this function is not available. References for error messages: <ul style="list-style-type: none"> <input type="checkbox"/> DCD5001

	<input type="checkbox"/> DCD5012 <input type="checkbox"/> DCD5080 <input type="checkbox"/> DCD5092
Revisions	This function enables the user to display the revisions of the selected Liquidity Transfer Order Link Set.
Liquidity Transfer Order Details	This function enables the user to display the detailed list of the liquidity transfer order related to the link set. If the detailed list is displayed, this function is not available. {Split book approach}

~~2.8.2.2.11 Standing/Predefined Liquidity Transfer Order Link Set – New/Edit Screen~~

Context of Usage	<p>This screen contains a number of fields regarding Standing/Predefined Liquidity Transfer Order Link Set. You can enter new data or edit existing data, previously selected. Afterwards you can proceed further by clicking on the buttons below. The liquidity transfer orders in a link set must refer to the same T2S dedicated cash account.</p> <p>This screen is only relevant for T2S users belonging to the relevant CB, payment bank or to Service Operator users.</p>
Screen Access	<ul style="list-style-type: none"> <input type="checkbox"/> T2S >> Cash >> Standing/Predefined Liquidity Transfer Order Link Set >> New <input type="checkbox"/> T2S >> Cash >> Standing/Predefined Liquidity Transfer Order Link Set Search/List screen >> click on New or Edit button <input type="checkbox"/> T2S >> Cash >> Standing/Predefined Liquidity Transfer Order Link Set Details screen >> click on Edit button
Privileges	<p>To use this screen, the following Privileges are needed:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Create Liquidity Transfer Order Link Set <input type="checkbox"/> Update Liquidity Transfer Order Link Set

Screenshot

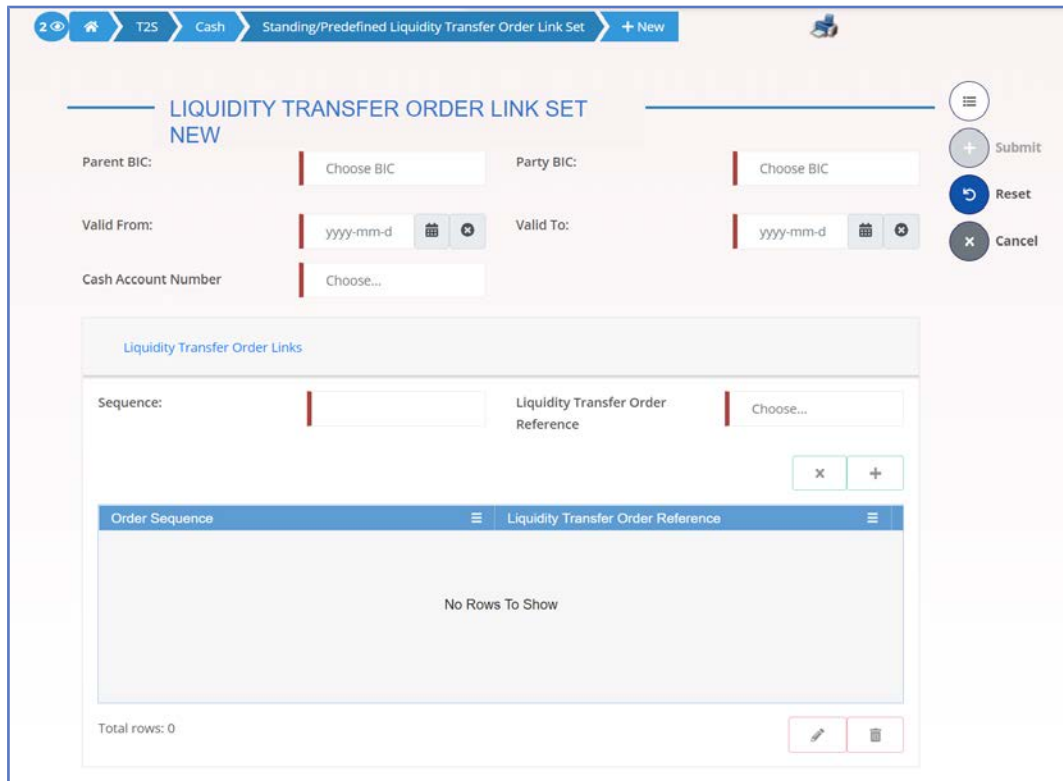


Illustration 200: Standing/Predefined Liquidity Transfer Order Link Set – new/edit screen (Split book approach)

Fields Description

Liquidity Transfer Order Link Set–	
Parent BIC	<p>Enter or select the parent BIC of the party holding the account.</p> <p>You can choose to either enter the parent BIC of the party holding the account or to select it from the suggested items in the drop-down menu.</p> <p>This field is mandatory in create mode.</p> <p>This field is read-only in edit mode.</p> <p>Required format is: 11x characters.</p>
Party BIC	<p>Enter or select the BIC of the party holding the account.</p> <p>You can choose to either enter the BIC of the party holding the account or to select it from the suggested items in the drop-down menu.</p> <p>This field is mandatory in create mode.</p> <p>This field is read-only in edit mode.</p> <p>Required format is: 11x characters.</p>

Liquidity Transfer Order Link Set	
Valid From	<p>Enter or pick the opening date of the Liquidity Transfer Order Link Set.</p> <p>This field is mandatory in create mode and it must be equal to or later than the current business date.</p> <p>In edit mode, if the date is lower than the current business date, this field is read-only.</p> <p>Required format is: Date.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC5120 <input type="checkbox"/> DCC5130 <input type="checkbox"/> DCU5120 <input type="checkbox"/> DCU5130
Valid To	<p>Enter or pick the closing date of the Liquidity Transfer Order Link Set.</p> <p>The “valid to” date, when present, must be equal to or greater than the current date and greater than the “Valid From” date. Required format is: Date.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC5130 <input type="checkbox"/> DCU5130
T2S Dedicated Cash Account Number	<p>Enter or select the number of the T2S Dedicated Cash Account related to the Liquidity Transfer Order Link Set.</p> <p>This field is mandatory in create mode.</p> <p>This field is read only in edit mode.</p> <p>Required format is: max. 34x characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC5001 <input type="checkbox"/> DCC5070 <input type="checkbox"/> DCU5001 <input type="checkbox"/> DCU5090

Liquidity Transfer Order Links	
Order Sequence	<p>Enter the position of the liquidity transfer order in the sequence of orders.</p> <p>This field is also available as a show field for existing records.</p> <p>Required format is: Numeric.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC5042 <input type="checkbox"/> DCU5042
Liquidity transfer Order Reference	<p>Enter or select the liquidity transfer order reference related to the selected T2S dedicated cash account.</p> <p>This field is also available as a show field for existing records.</p> <p>Required format is: max. 35x characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC5080 <input type="checkbox"/> DCC5090

Buttons

Buttons	
Submit	<p>This function enables the user to create or edit a Liquidity Transfer Order Link Set.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DCC5001 <input type="checkbox"/> DCC5042 <input type="checkbox"/> DCC5070 <input type="checkbox"/> DCC5080 <input type="checkbox"/> DCC5090 <input type="checkbox"/> DCC5120 <input type="checkbox"/> DCC5130 <input type="checkbox"/> DCU5001 <input type="checkbox"/> DCU5003 <input type="checkbox"/> DCU5042 <input type="checkbox"/> DCU5050 <input type="checkbox"/> DCU5080 <input type="checkbox"/> DCU5090

	<input type="checkbox"/> DCU5120 <input type="checkbox"/> DCU5130
Reset	This function enables the user to set all fields to default value and blanks out all optional fields.
Cancel	This function enables the user to cancel the process and return to the previous screen. {Split book approach}

2.8.2.3 Scheduling

2.8.2.3.1 Closing Days -- Search/List Screen

Context of Usage

~~This screen contains a number of search fields. By inputting the relevant data, you can display a list of Closing Days matching the entered criteria. The search results are displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.~~

Screen Access

Common >> Scheduling >> Closing Days >> Search

Privileges

To use this screen, the following Privileges are needed:

Closing Day query

Screenshot

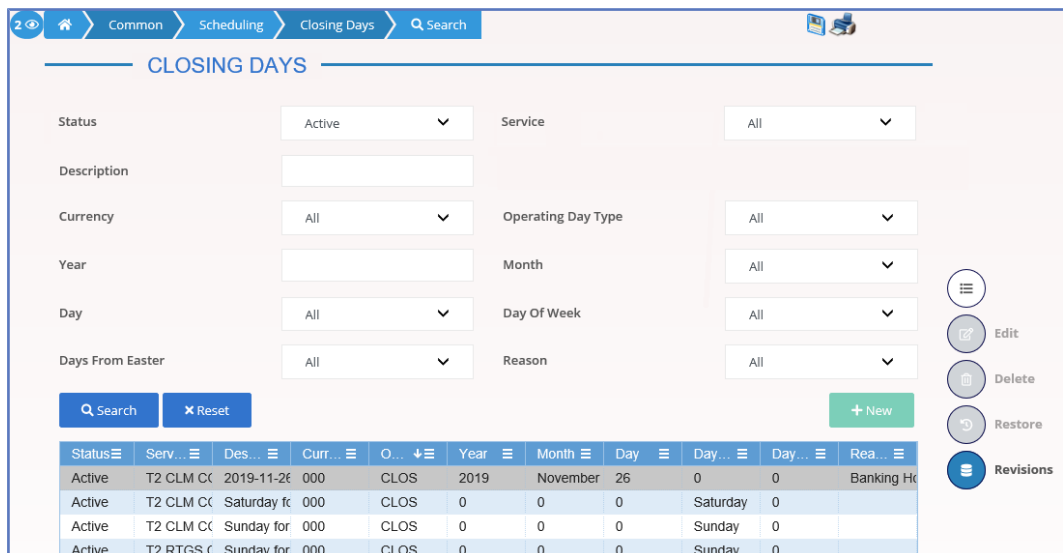


Illustration 201: Closing Days -- search/list screen {Split book approach}

Fields

Description

Closing Days-- Search Criteria	
Status	Select the status of the Closing Day from the possible values:

Closing Days— Search Criteria	
	<input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted
Service	<p>Select the service of the Closing Day from the possible values:</p> <input type="checkbox"/> All (default value) <input type="checkbox"/> T2-RTGS COMPONENT <input type="checkbox"/> T2-CLM COMPONENT
Description	<p>Enter the description of the Closing Day. Required format is: max. 127x characters.</p>
Currency	<p>Select the currency of the Closing Day from the drop-down menu. Default value is “All”.</p>
Operating Day Type	<p>Select the operating day type from the drop-down menu. Default value is “All”.</p>
Year	<p>Enter the year of the Closing Day date. Required format is: max. 4x characters (Integer).</p>
Month	<p>Select the month of the Closing Day from the possible values:</p> <input type="checkbox"/> All (default value) <input type="checkbox"/> January <input type="checkbox"/> February <input type="checkbox"/> March <input type="checkbox"/> April <input type="checkbox"/> May <input type="checkbox"/> June <input type="checkbox"/> July <input type="checkbox"/> August <input type="checkbox"/> September <input type="checkbox"/> October <input type="checkbox"/> November <input type="checkbox"/> December

Closing Days— Search Criteria	
Day	Select the day of a specific or monthly Closing Day from the drop-down menu. If both a day and a month are specified, these must be consistent. Default value is “All”.
Day of Week	Select the Closing Day of the week from the drop-down menu. Default value is “All”.
Days from Easter	Select the days from Easter from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Yes <input type="checkbox"/> No
Reason	Select the reason from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Allowed Downtime Window <input type="checkbox"/> Banking Holiday <input type="checkbox"/> No Operation <input type="checkbox"/> Recovery <input type="checkbox"/> System Maintenance

Closing Days— List	
Status	Shows the status of the Closing Day.
Service	Shows the service of the Closing Day.
Description	Shows the description of the Closing Day.
Currency	Shows the currency of the Closing Day.
Operating Day Type	Shows the operating day type.
Year	Shows the year of the Closing Day date.
Month	Shows the month of the Closing Day.
Day	Shows the day of the Closing Day.
Day of Week	Shows the weekday of the Closing Day.
Days from Easter	Select the days from Easter of a Closing Day.
Reason	Shows the reason of the Closing Day.

Buttons

Search	This function enables the user to start a search according to the entered criteria.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
Revisions	This function enables the user to display the revisions of the selected Closing Day. {Split book approach}

2.8.2.3.2 Event Types – Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can display a list of Event Types matching the entered criteria. The search results are displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.

Screen Access

Common >> Scheduling >> Event Types >> Search

Privileges

To use this screen, the following Privileges are needed:

Event Type List query

Screenshot

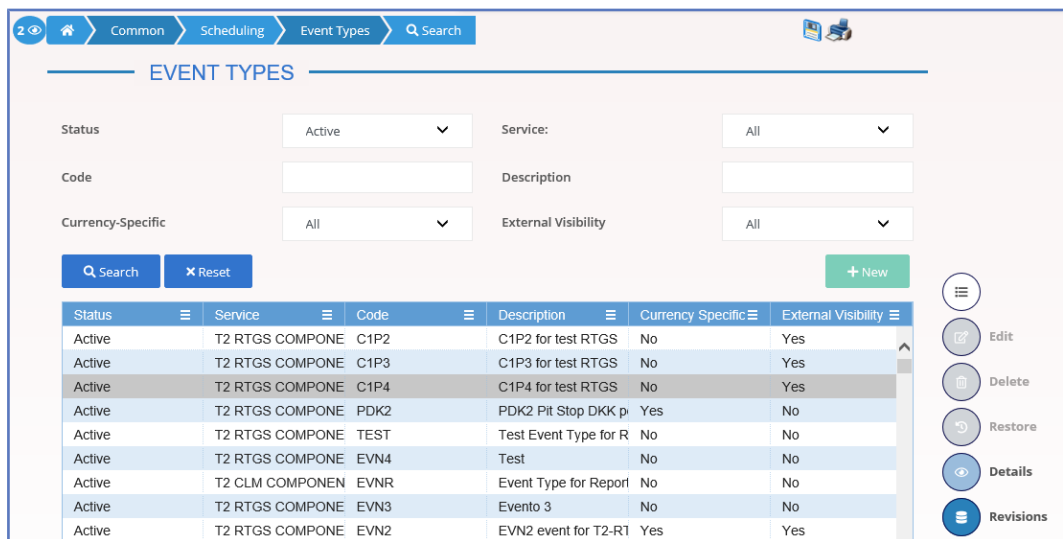


Illustration 202: Event Types – search/list screen {Split book approach}

Fields

Description

Event Types – Search Criteria	
Status	Select the status of the Event Type from the possible values: <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted

Event Types— Search Criteria	
Service	Select the service of the Event Type from the possible values: All (default value) <input type="checkbox"/> T2-RTGS COMPONENT <input type="checkbox"/> T2-CLM COMPONENT
Code	Enter the code of the Event Type. Required format is: max. 4x characters.
Description	Enter the description of the Event Type. Required format is: max. 127x characters.
Currency-Specific	Select whether the Event Type can be triggered at different times for different currencies from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Yes <input type="checkbox"/> No
External Visibility	Select whether the Event Type is available for external queries from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Yes <input type="checkbox"/> No

Event Types— List	
Status	Shows the status of the Event Type.
Service	Shows the service for which the Event Type has been created.
Code	Shows the code of the Event Type.
Description	Shows the description of the Event Type.
Currency-Specific	Shows whether the event type can be triggered at different times for different currencies.
External Visibility	Shows whether the event type is available for external queries.

Buttons

Search	This function enables the user to start a search according to the entered criteria.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
Details	This function enables the user to display the details of the selected Event Type.
Revisions	This function enables the user to display the revisions of the selected Event Type. {Split book approach}

2.8.2.3.3 Event Type – Details Screen

Context of Usage

This screen displays detailed information on the selected Event Type. You can check the data and proceed further by clicking on the buttons below.

Screen Access

- Common >> Scheduling >> Event Types >> Event Types – search/list screen >> Click on the search and/or details button

Privileges

To use this screen, the following Privileges are needed:

- Event Type Details query

Screenshot

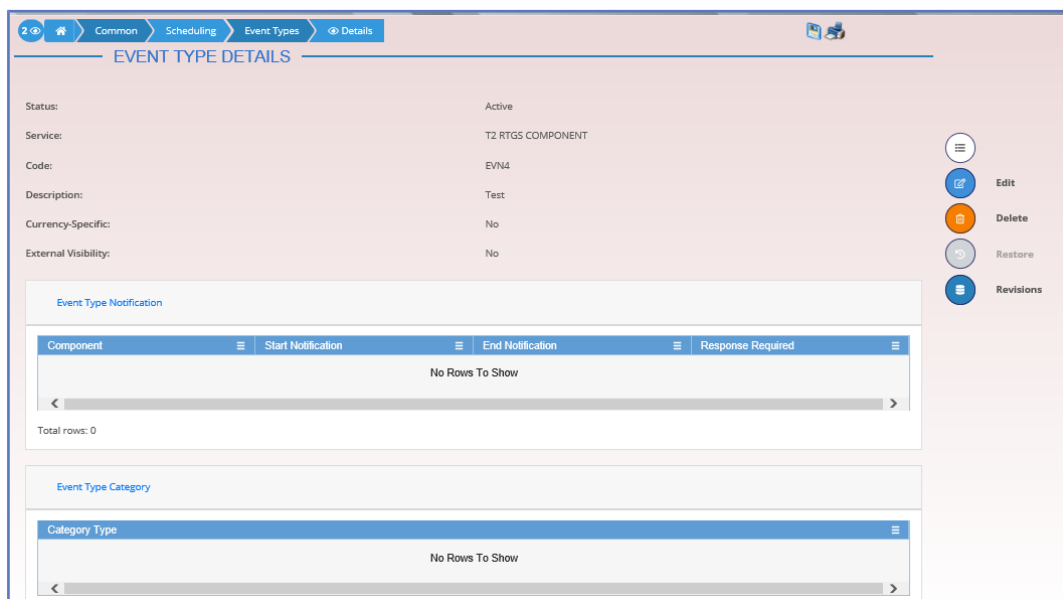


Illustration 203: Event Type – details screen {Split book approach}

Fields

Description

Event Type	
Status	Shows the status of the Event Type.
Service	Shows the service of the Event Type.
Code	Shows the code of the Event Type.
Description	Shows the description of the Event Type.

Event Type	
Currency-Specific	Shows if the event type can be triggered at different times for different currencies.
External Visibility	Shows if the event type is available for external queries.

Event Type Notification	
Component	Shows the code of the component to be notified of the start or of the end of the event type.
Start Notification	Shows if the component must receive a notification at the beginning of the event type.
End Notification	Shows if the component must receive a notification at the end of the event type.
Response Required	Shows whether other modules receiving the corresponding event should provide a response to the scheduling module when the related processing is completed.

Event Type Category	
Category Type	Shows the category type of the event.

Buttons

Buttons	
Revisions	This function enables the user to display the revisions of the selected Event Type. {Split book approach}

2.8.2.4 Access Rights

2.8.2.4.1 User Search/List Screen

Context of Usage

This screen enables the user to display a list of Users matching the entered criteria.

This screen contains a number of search fields. By inputting the relevant data, you can search for users. The search results will be displayed in a list, which is sorted by the values of the 'Login Name' column in ascending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.

Screen Access

- Common >> Access Rights Management >> Users >> Search

Privileges

To use this screen, the following Privileges are needed:

- System user query
- Delete user

Screenshot

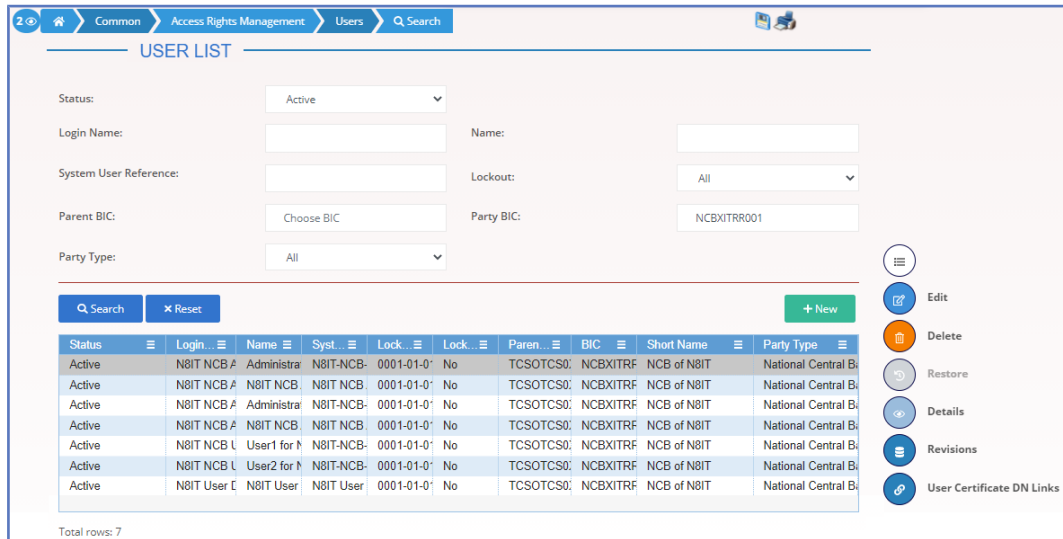


Illustration 204: User – search/list screen {Split book approach}

Fields

Description

Users – Search Criteria	
Status	<p>Select the status of the user from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRD7003 <input type="checkbox"/> DRD7004
Login Name	<p>Enter the login name of the user.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRD7002 <p>Required format is: max. 35x characters.</p>
Name	<p>Enter the name of the user.</p> <p>Required format is: max. 127x characters.</p>
System User Reference	<p>Enter the system user reference associated to the user.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRD7005 <p>Required format is: max. 35x characters.</p>

Users — Search Criteria	
Lockout Status	<p>Select the lockout status of the user from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> Yes <input type="checkbox"/> No
Parent BIC	<p>Enter or select the parent BIC of the party related to the user.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRD7007 <p>Required format is: max. 11x characters.</p>
Party BIC	<p>Enter or select the BIC of the party related to the user.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRD7007 <p>Required format is: max. 11x characters.</p>
Party Type	<p>Select the type of party related to the user from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> Service operator <input type="checkbox"/> Payment bank <input type="checkbox"/> Ancillary System <input type="checkbox"/> National Central Bank (NCB)
User — List	
Status	<p>Shows the status of the user.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRD7003 <input type="checkbox"/> DRD7004
Login Name	<p>Shows the login name of the user.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRD7002
Name	<p>Shows the name of the user.</p>
System User Reference	<p>Shows the system user reference associated to the user.</p> <p>Reference for error message:</p>

User—List	
	<input type="checkbox"/> DRD7005
Lockout Status since	Shows the date and time from which the user is locked out of the system or the date and time from which the user is locked in again. Displayed format is: DateTime.
Lockout Status	Shows the lockout status of the user.
Parent BIC	Shows the parent BIC of the party related to the user. Reference for error message: <input type="checkbox"/> DRD7007
Party BIC	Shows the BIC of the party related to the user. Reference for error message: <input type="checkbox"/> DRD7007
Party Short Name	Shows the short name of the party related to the user.
Party Type	Shows the party type of the party related to the user.

Buttons

Buttons	
Search	This function enables the user to start a search according to the entered criteria. If the search retrieves a single record, the details screen is displayed directly.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
New	This function enables the user to create a new system user.
Edit	This function enables the user to edit the details of the selected system user. If the status of the selected user is already set to 'Deleted', this function is not available.
Delete	This function enables the user to delete the selected system user, after confirmation. If the status of the selected user is already set to 'Deleted', this function is not available. Reference for error message: <input type="checkbox"/> DRD7001 <input type="checkbox"/> DRD7003

	<input type="checkbox"/> DRD7008
Details	This function enables the user to display the details of the selected system user.
Restore	This function enables the user to restore a previously deleted system user. If the status of the selected user is already set to 'Active', this function is not available. Reference for error message: <input type="checkbox"/> DRD7001 <input type="checkbox"/> DRD7002 <input type="checkbox"/> DRD7003 <input type="checkbox"/> DRD7004 <input type="checkbox"/> DRD7005 <input type="checkbox"/> DRD7007 <input type="checkbox"/> DRD7008
User Certificate Distinguished Name Links	This function enables the user to access the User certificate distinguished name links screen for the selected user.
Revisions	This function enable the user to access the Revisions – Select/List screen related to the selected User. {Split book approach}

~~2.8.2.4.2 User Details Screen~~

Context of Usage

~~This screen displays detailed information on the selected user. It is possible to check the data and proceed further by clicking on the buttons below.~~

Screen Access

- ~~Common >> Access Rights Management >> Users >> Users – search/list screen >> Click on the search and/or details button~~

Privileges

~~To use this screen, the following Privileges are needed:~~

- ~~System user query~~
- ~~Delete user~~

Screenshot

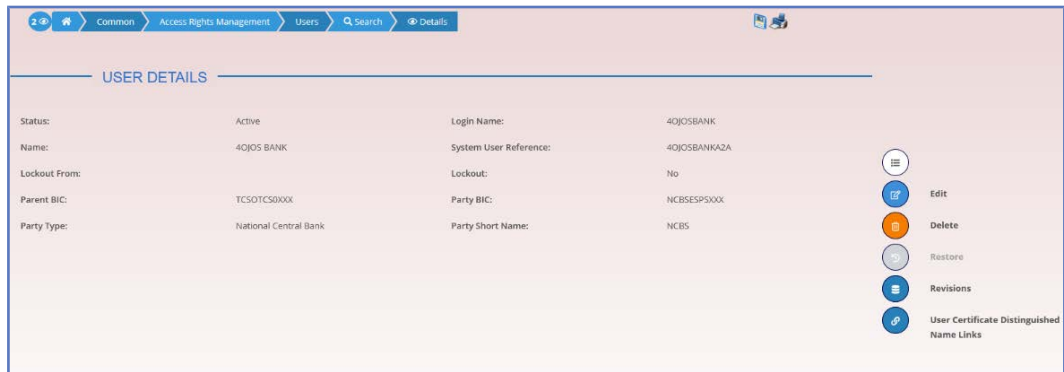


Illustration 205: **User – details screen** {Split book approach}

Fields

Description

User	
Status	Shows the status of the user. Reference for error message: <input type="checkbox"/> DRD7003 <input type="checkbox"/> DRD7004
Login Name	Shows the login name of the user. Reference for error message: <input type="checkbox"/> DRD7002
User Name	Shows the name of the user.
System User Reference	Shows the system user reference associated to the user. Reference for error message: <input type="checkbox"/> DRD7005
Lockout Status since	Shows the date and time from which the user is locked out from the system or the date and time from which the user is locked in again according to the lockout status shown in the 'Lockout Status' field.
Lockout Status	Shows the lockout status of the user.
Parent BIC	Shows the parent BIC of the party related to the user. Reference for error message: <input type="checkbox"/> DRD7007
Party BIC	Shows the BIC of the party related to the user. Reference for error message: <input type="checkbox"/> DRD7007
Party Short Name	Shows the short name of the party related to the user.
Party Type	Shows the party type of the party related to the user.

Buttons

Edit	<p>This function enables the user to edit the details of the selected system user.</p> <p>If the status of the selected user is already set to 'Deleted', this function is not available.</p>
Delete	<p>This function enables the user to delete the selected system user, after confirmation. If the status of the selected user is already set to 'Deleted', this function is not available.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRD7001 <input type="checkbox"/> DRD7003 <input type="checkbox"/> DRD7008
Revisions	<p>This function enables the user to display the revisions of the selected CRDM user.</p>
Restore	<p>This function enables the user to restore a previously deleted user. If the status of the selected user is already set to 'Active', this function is not available.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRD7001 <input type="checkbox"/> DRD7002 <input type="checkbox"/> DRD7003 <input type="checkbox"/> DRD7004 <input type="checkbox"/> DRD7005 <input type="checkbox"/> DRD7007 <input type="checkbox"/> DRD7008
User Certificate Distinguished Name Links	<p>This function enables the user to access the User certificate distinguished name links screen for the selected user. {Split book approach}</p>

2.8.2.4.3 User – New/Edit Screen

Context of Usage

The screen "User – New/Edit" enables the user to create a new User or to edit an existing one. This screen enables also to lockout a user to enter the system and removing the lockout. Locking out a user means that the "lock-out" flag is selected and a lockout timestamp is provided. Deleting a lock-out means that the "lockout" flag is deselected.

It is possible to use this screen either in 2-eyes or in 4-eyes mode.

The screen has a slightly different behaviour depending on the function the user is submitting.

Screen Access

- Common >> Access Rights Management >> Users >> New**
- Common >> Access Rights Management >> Users >> Users – search/list screen >> Click on the new or edit button**
- Common >> Access Rights Management >> Users >> Users – search/list screen >> Click on the search and/or details button >> User – details screen >> Click on the edit button**

Privileges

To use this screen, the following Privileges are needed:

- Create User**
- Update User**

Screenshot

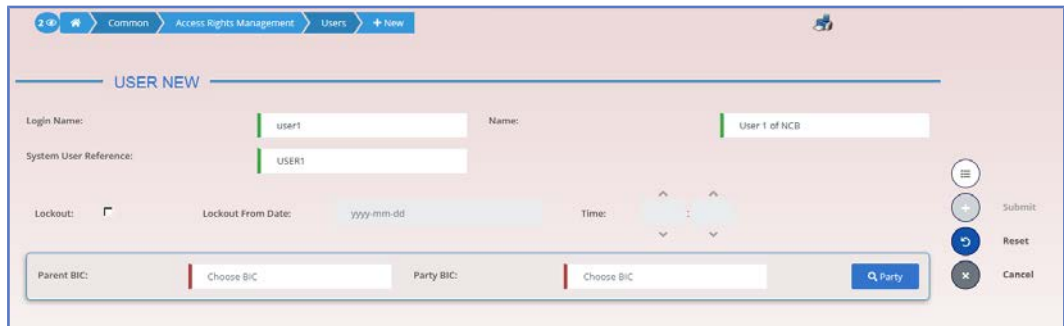


Illustration 206: User – new/edit screen (Split book approach)

Fields

Description

User	
Login Name	<p>Enter the login name of the user.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRC7006 <input type="checkbox"/> DRU7008 <p>This field is mandatory.</p> <p>Required format is: max. 35x characters.</p>
Name	<p>Enter the name of the user.</p> <p>This field is mandatory.</p> <p>Required format is: max. 127x characters.</p>
System User Reference ²⁰	<p>Enter the unique system user reference associated to the user.</p> <p>Reference for error message:</p>

20

This field is used for addressing inbound messages and files (see also CRDM UDFS—3. Catalogue of Messages) (Split book approach)

User	
	<input type="checkbox"/> DRC7007 <input type="checkbox"/> DRU7005 This field is mandatory. Required format is: max. 35x characters.
Lockout From	Shows the date since the user is locked out from the system. The field is a timestamp, represented by two different but related fields (Date and Time). Only timestamp greater than system timestamp can be inserted. When "lockout" is selected, the system shows the system date, but the user can change it. When lockout is deselected, this field is read-only and empty. Required format is: DateTime.
Lockout	Select the lockout status. When the select field is selected the user cannot log into the system as from the date specified in the 'Lockout Status since' field.
Parent BIC	Enter or select the parent BIC of the party related to the user. Reference for error message: <input type="checkbox"/> DRC7005 This field is mandatory in create mode. This field is read-only in edit mode. Required format is: max. 11x characters.
Party BIC	Enter or select the BIC of the party related to the user. Reference for error message: <input type="checkbox"/> DRC7005 This field is mandatory in create mode. This field is read-only in edit mode. Required format is: max. 11x characters.

Buttons

Buttons	
Submit	This function enables the user to create or edit a User according to the information filled in the fields. Reference for error message: <input type="checkbox"/> DRC7001

	<input type="checkbox"/> DRC7005 <input type="checkbox"/> DRC7006 <input type="checkbox"/> DRC7007 <input type="checkbox"/> DRU7001 <input type="checkbox"/> DRU7003 <input type="checkbox"/> DRU7005 <input type="checkbox"/> DRU7008
Cancel	This function enables the user to cancel the creation or the edit action.
Reset	This function enables the user to set all fields to default value and blanks out all optional fields. This function restores the situation shown at opening of the page. {Split book approach}

~~2.8.2.4.4 Certificate Distinguished Names—Search/List Screen~~

Context of Usage

~~This screen enables the user to display a list of Certificate Distinguished Names matching the entered criteria.~~

~~This screen gives also the possibility to delete and restore a selected Certificate Distinguished Name and to show Revisions and Audit trail of a selected one.~~

Screen Access

- ~~Common >> Access Rights Management >> Certificate Distinguished Names >> Search~~

Privileges

~~To use this screen, the following Privileges are needed:~~

- ~~Certificate query~~
- ~~Delete certificate distinguished name~~

Screenshot

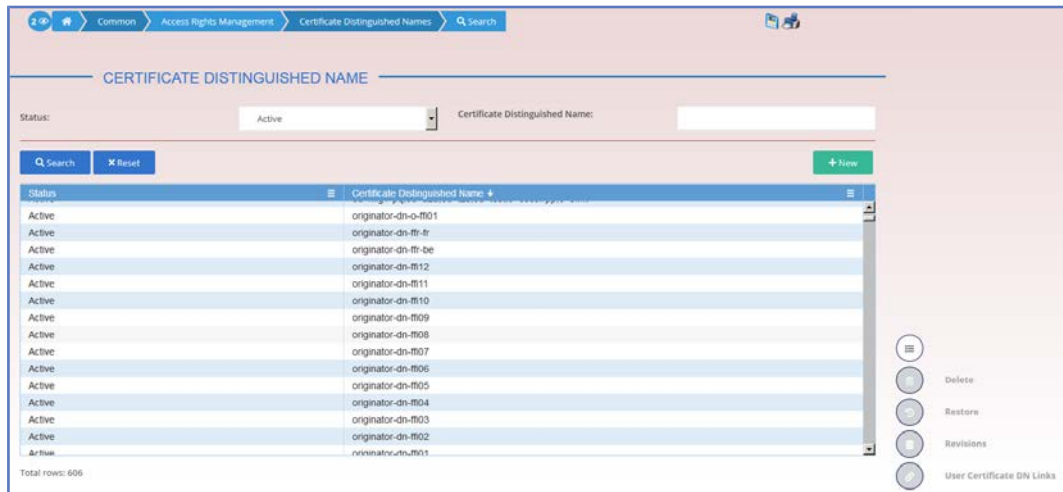


Illustration 207: **Certificate Distinguished Names – search/list screen** {Split book approach}

Fields Description

Certificate Distinguished Names – Search Criteria	
Status	<p>Select the status of the Certificate Distinguished Names from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDA003 <input type="checkbox"/> DRDA004 <p>This field is mandatory.</p>
Certificate Distinguished Name	<p>Enter the Distinguished Name of the Certificate you want to search.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDA002 <p>Required format is: max 256x characters (UTF-8 except '>', '<', '&').</p>
Certificate Distinguished Names – List	
Status	<p>Shows the status of the Certificate Distinguished.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDA003 <input type="checkbox"/> DRDA004
Certificate Distinguished Name	<p>Shows the login name of the user.</p> <p>Reference for error message:</p>

Buttons

Certificate Distinguished Names – List	
	<input type="checkbox"/> DRD7002
Search	This function enables the user to start a search according to the criteria entered. The results are displayed in a list on the same screen.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
New	This function enables the user to create a new user certificate distinguished name.
Delete	This function enables the user to delete the selected certificate distinguished name, after confirmation. Reference for error message: <input type="checkbox"/> DRDA001 <input type="checkbox"/> DRDA003 <input type="checkbox"/> DRDA010
Restore	This function enables the user to restore a previously deleted certificate distinguished name. If the status of the selected certificate distinguished name is already set to 'Active', this function is not available. Reference for error message: <input type="checkbox"/> DRDA001 <input type="checkbox"/> DRDA002 <input type="checkbox"/> DRDA004
Revisions	This function enables the user to display the revisions of the selected certificate distinguished name.
User Certificate Distinguished Name Links	This function enables the user to display the user certificate distinguished name links. {Split book approach}

2.8.2.4.5 Certificate Distinguished Names – New Screen

Context of Usage

The screen “Certificate Distinguished Name – New” enables the user to create a new Certificate Distinguished Name.

- Screen Access**
- ~~Common >> Access Rights Management >> Certificate Distinguished Names >> Certificate distinguished names – search/list screen >> Click on the new button~~
 - ~~Common >> Access Rights Management >> Certificate Distinguished Names >> New~~

Privileges To use this screen, the following Privileges are needed:

- ~~Create Certificate Distinguished Name~~

Screenshot

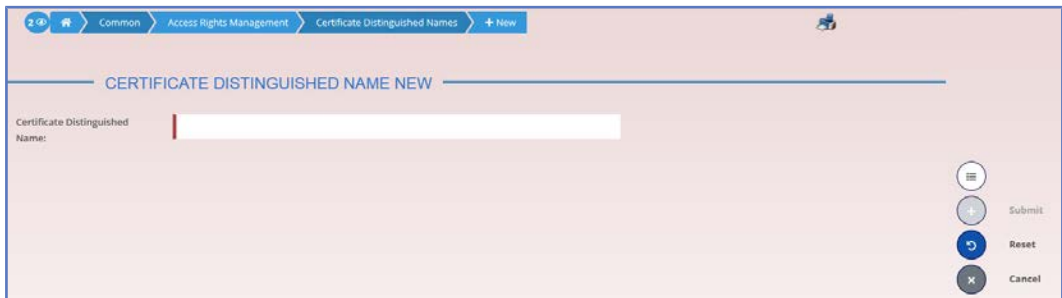


Illustration 208: ~~Certificate Distinguished Names – new screen~~ {Split book approach}

Fields Description

Certificate Distinguished Names – New	
Certificate Distinguished Name	<p>Enter the distinguished name of the certificate you want to create.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCA002 <p>The field is mandatory.</p> <p>Required format is: max 256x characters (UTF-8 except '>', '<', '&').</p>

Buttons

Submit	<p>This function enables the user to create a new certificate distinguished name according to the information entered in the fields.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCA001 <input type="checkbox"/> DRCA002
Cancel	<p>This function enables the user to cancel the process and return to the previous screen.</p>
Reset	<p>This function enables the user to set all fields to default value and blanks out all optional fields. {Split book approach}</p>

2.8.2.4.6 User Certificate Distinguished Name Links – Search/ List Screen

Context of Usage

This screen enables the user to display a list of User Certificate Distinguished Name Links matching the entered criteria. A User Certificate Distinguished Name Link is a Link between a User and a Certificate Distinguished Name.

The search results will be displayed in a list, which is sorted by the values of the ‘Login Name’ column in ascending order (default setting).

This screen gives also the possibility to delete and restore a selected User Certificate Distinguished Name Link.

There is no usage restriction for this screen.

Screen Access

- Common >> Access Rights Management >> User Certificate Distinguished Name Links >> Search
- Common >> Access Rights Management >> Users >> Users – search/list screen >> Click on the user certificate distinguished name links button
- Common >> Access Rights Management >> Users >> Users – search/list screen >> Click on the search and/or the details button >> User – details screen >> Click on the user certificate distinguished name links button
- Common >> Access Rights Management >> Certificate Distinguished Names >> Certificate distinguished names – search/list screen >> Click on the user certificate distinguished name links button

Privileges

To use this screen, the following Privileges are needed:

- Delete User Certificate Distinguished Name Links
- User Certificate Distinguished Name Links Query

Screenshot

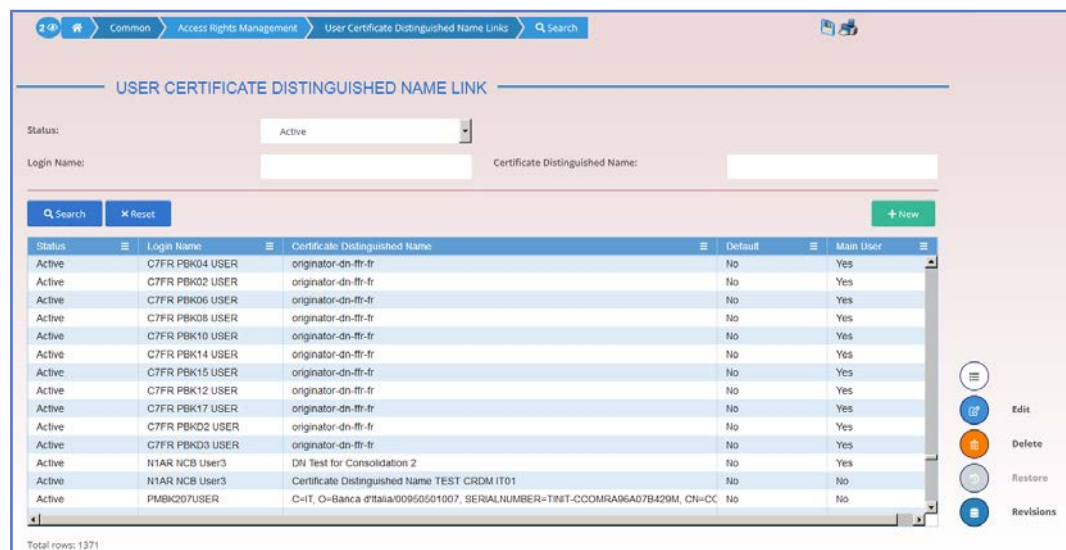


Illustration 209: User Certificate Distinguished Name Links – search/list screen {Split book approach}

User Certificate Distinguished Name Links – Search Criteria	
Fields	
Description	
Status	<p>Select the status of the User Certificate Distinguished Name Links from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRD0002 <input type="checkbox"/> DRD0007 <p>This field is mandatory.</p>
Login Name	<p>Enter the Login Name of the user to be searched.</p> <p>If user accesses this screen via the users – search/list or user – details screen, this field is already filled in.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRD0003 <input type="checkbox"/> DRD0005 <p>Required format is: max. 35x characters.</p>
Certificate Distinguished Name	<p>Enter the Certificate Name of the user to be searched.</p> <p>If user accesses this screen via the certificate distinguished names – search/list screen, this field is already filled in.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRD0004 <input type="checkbox"/> DRD0005 <p>Required format is: max 256x characters (UTF-8 except '>', '<', '&').</p>
Certificate Distinguished Names – List	
Status	<p>Shows the status of the User Certificate Distinguished Name Link.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRD0002 <input type="checkbox"/> DRD0007
Login Name	<p>Shows the Login Name of the user.</p> <p>Reference for error message:</p>

Certificate Distinguished Names — List	
	<input type="checkbox"/> DRD0003 <input type="checkbox"/> DRD0005
Certificate Distinguished Name	<p>Shows the Distinguished Name of the certificate linked to the user.</p> <p>Reference for error message:</p> <input type="checkbox"/> DRD0004 <input type="checkbox"/> DRD0005
Default	<p>Shows whether the displayed certificate is the default certificate for the user.</p> <p>Reference for error message:</p> <input type="checkbox"/> DRD0006
Main User	<p>Shows if the certificate is the main certificate for the TIPS User.</p> <p>This field is only relevant for TIPS.</p> <p>Reference for error message:</p> <input type="checkbox"/> DRD0008

Buttons

Buttons	
Search	This function enables the user to start a search according to the entered criteria.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
New	This function enables the user to create a new link between an existing User and an existing Certificate Distinguished Name.
Edit	<p>This function enables the user to edit the selected link between Users and Certificate Distinguished Names.</p> <p>If the status of the selected User Certificate Distinguished Name Link is already set to 'Deleted' this function is not available</p>
Restore	<p>This function enables the user to restore a previously deleted User Certificate Distinguished Name Link.</p> <p>If the status of the selected User Certificate Distinguished Name Link is already set to 'Active', this function is not available.</p> <p>Reference for error message:</p>

	<ul style="list-style-type: none"> <input type="checkbox"/> DRD0001 <input type="checkbox"/> DRD0003 <input type="checkbox"/> DRD0004 <input type="checkbox"/> DRD0005 <input type="checkbox"/> DRD0006 <input type="checkbox"/> DRD0007 <input type="checkbox"/> DRD0008
Revisions	This function enable the user to access the Display Revisions List screen related to the selected link between Users and Certificate Distinguished Names.
Delete	<p>This function enables the user to delete the selected User Certificate Distinguished Name Link, after confirmation.</p> <p>If the status of the selected User Certificate Distinguished Name Link is already set to 'Active', this function is not available.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRD0001 <input type="checkbox"/> DRD0002 {Split book approach}

~~2.8.2.4.7 User Certificate Distinguished Name Link - New Screen~~

Context of Usage

~~This screen contains a number of fields regarding User Certificate Distinguished Name Links. You can enter new data or edit existing ones. Afterwards you can proceed further by clicking on the buttons below.~~

~~There is no usage restriction for this screen.~~

Screen Access

- ~~Common >> Access Rights Management >> User Certificate Distinguished Name Links >> New~~
- ~~Common >> Access Rights Management >> User Certificate Distinguished Name Links >> User certificate distinguished name links search/list screen >> Click on the new button~~
- ~~Common >> Access Rights Management >> Users >> Users - search/list screen >> Click on the user certificate distinguished name links button >> User certificate distinguished name links - search/list screen >> Click on the new button~~

- Common >> Access Rights Management >> Users >> Users – search/list screen >> Click on the search and/or the details button >> User – details screen >> Click on the user certificate distinguished name links button >> User certificate distinguished name links – search/list screen >> Click on the new button**
- Common >> Access Rights Management >> Certificate Distinguished Names >> Certificate distinguished names – search/list screen >> Click on the user certificate distinguished name links button >> User certificate distinguished name links – search/list screen >> Click on the new button**

Privileges

To use this screen, the following Privileges are needed:

- Create User Certificate Distinguished Name Links**
- Update User Certificate Distinguished Name Links**

Screenshot

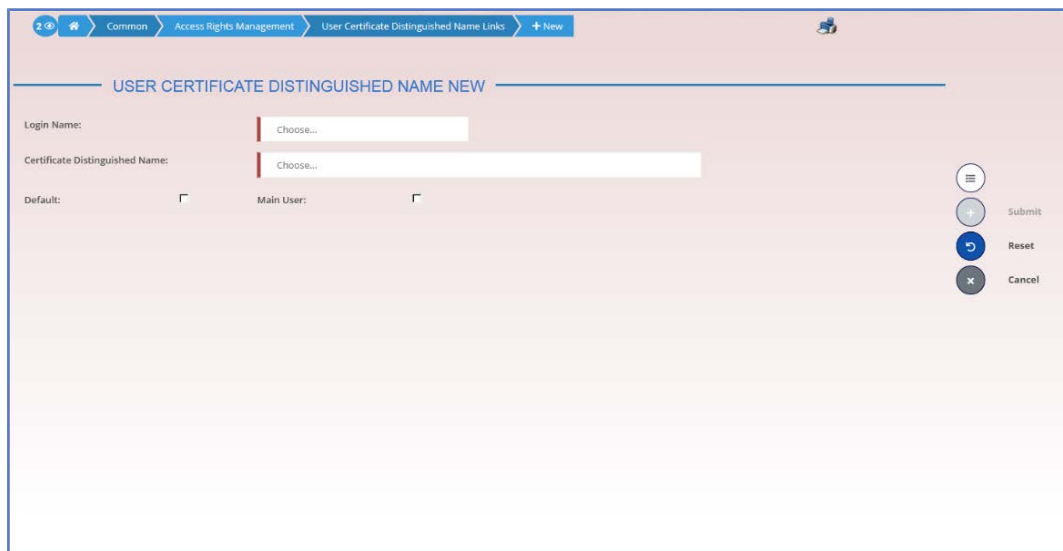


Illustration 210: **User Certificate Distinguished Name Links – new/edit screen** {Split book approach}

Fields

Description

User Certificate Distinguished Name Links	
Login Name	<p>Enter or select the Login Name of the user that you want to link to the certificate.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRC0002 <input type="checkbox"/> DRC0003 <input type="checkbox"/> DRC0005 <p>This field is mandatory in create mode.</p> <p>This field is read-only in edit mode.</p>

User Certificate Distinguished Name Links	
Certificate Distinguished Name	<p>Enter or select the Distinguished Name of the certificate that you want to link to the User.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRC0004 <input type="checkbox"/> DRC0005 <p>This field is mandatory in create mode.</p> <p>This field is read-only in edit mode.</p>
Default	<p>Select whether this should be the default certificate for the selected User.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRC0006 <input type="checkbox"/> DRC0007 <p>This field is read-only in edit mode.</p>
Main User	<p>Select whether this should be the main certificate for the TIPS User.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRU0007 <input type="checkbox"/> DRU0008

Buttons

Buttons	
Submit	<p>This function enables the user to create or edit a User Certificate Distinguished Name Link according to the information filled in the fields.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRC0001 <input type="checkbox"/> DRC0002 <input type="checkbox"/> DRC0003 <input type="checkbox"/> DRC0004 <input type="checkbox"/> DRC0005 <input type="checkbox"/> DRC0006 <input type="checkbox"/> DRC0007 <input type="checkbox"/> DRU0001 <input type="checkbox"/> DRU0002 <input type="checkbox"/> DRU0007

	<input type="checkbox"/> DRU0008
Reset	This function restores the situation shown at opening of the page.
Cancel	This function enables the user to cancel the creation/ editing action and return to List page. {Split book approach}

2.8.2.4.8 Privileges—Search/List Screen

Context of Usage

~~This screen allows the authorised users to search for the Privileges. The Service Operators can additionally manage the Privileges creating new ones or editing, deleting or restoring existing ones. The result list is sorted by default by “Name”, “Description”, “Privilege type”.~~

Screen Access

~~Common >> Access Rights Management >> Privileges >> Search~~

Privileges

To use this screen, the following Privileges are needed:

- ~~Privilege query~~
- ~~Delete Privilege~~

Screenshot

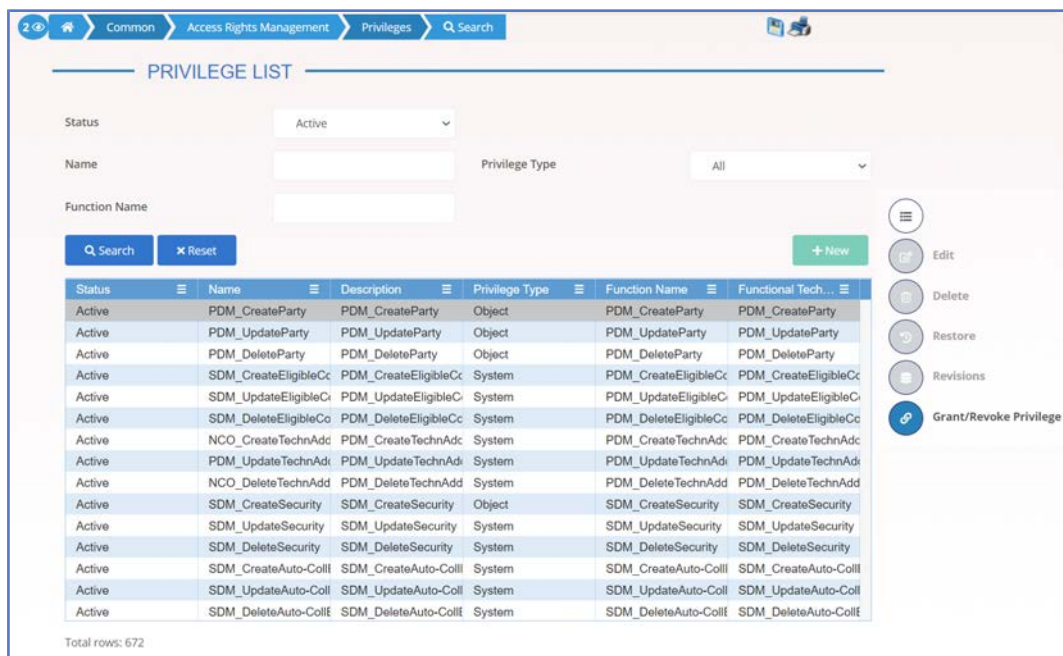


Illustration 211: **Privileges – SearchList Screen** {Split book approach}

Fields

Description

Privileges—Search Criteria	
Status	Select the status of the Privileges from the possible values: <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted
Name	Enter the name of the privilege. Required format is: max. 35x characters.
Privilege Type	Select the type of privilege from the drop-down menu. The default value is 'All'.
Function Name	Enter the name of the function associated to the privilege. Required format is: max. 35x characters.

Privileges—List	
Status	Shows the status of the Privilege.
Name	Shows the name of the privilege.
Description	Shows the description of the privilege.
Privilege Type	Shows the type of the Privilege.
Function Name	Shows the name of the function associated to the Privilege.
Functional Technical Identification	Shows the functional technical identification of the privilege.

Buttons

Search	This function enables the User to start a search according to the entered criteria. The results are displayed in a list on the same screen.
Reset	This function enables the User to set default search criteria and blanks out all optional criteria.
Grant/Revoke Privilege	This function enables the User to access the Grant/Revoke privileges Search screen. {Split book approach}

2.8.2.4.9 Roles – Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can display a list of Roles matching the entered criteria. The search results are displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.

Screen Access

- Common >> Access Rights Management >> Roles >> Search

Privileges

To use this screen, the following Privileges are needed:

- Role List Query
- Delete Role

Screenshot

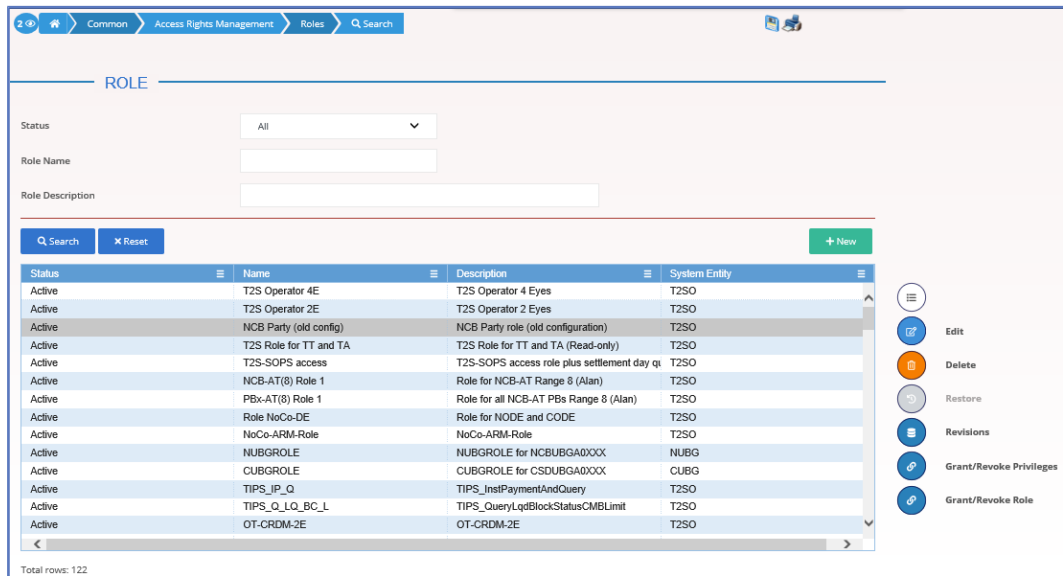


Illustration 212: Roles – search/list screen {Split book approach}

Fields

Description

Roles – Search Criteria	
Status	<p>Select the status of the Role from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRD6003 <input type="checkbox"/> DRD6044 <p>This field is mandatory.</p>
Role Name	<p>Enter the name of the Role.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRD6002

Roles – Search Criteria	
	Required format is: max. 35x characters.
Role Description	Enter the description of the Role. Required format is: max. 127x characters.
Roles – List	
Status	Shows the status of the Role. Reference for error message: <input type="checkbox"/> DRD6003 <input type="checkbox"/> DRD6044
Name	Shows the name of the Role. Reference for error message: <input type="checkbox"/> DRD6002
Description	Shows the description of the Role.
System Entity	Shows the system entity of the user who created the Role.

Buttons

Buttons	
Search	This function enables the user to start a search according to the entered criteria.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
New	This function enables the user to create a new Role.
Edit	This function enables the user to edit the details of the selected Role. If the status of the selected Role is already set to 'Deleted', this function is not available.
Delete	This function enables the user to delete the selected Role, after confirmation. If the status of the selected Role is already set to 'Deleted', this function is not available. Reference for error message: <input type="checkbox"/> DRD6001 <input type="checkbox"/> DRD6003 <input type="checkbox"/> DRD6050

Restore	<p>This function enables the user to restore a previously deleted Role.</p> <p>If the status of the selected Role is already set to 'Active', this function is not available.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRD6001 <input type="checkbox"/> DRD6002 <input type="checkbox"/> DRD6044
Revisions	This function enables the user to display the revisions of the selected Role.
Grant/Revoke Privileges	This function enables the user to be redirected to the Grant/Revoke System Privileges screens.
Grant/Revoke Role	This function enables the user to be redirected to the Grant/Revoke Roles screens. {Split book approach}

2.8.2.4.10 Role — New/Edit Screen

Context of Usage

This screen contains a number of fields regarding roles. You can enter new data or edit existing data. Afterwards you can proceed further by clicking on the buttons below.

This screen is not relevant for payment bank users.

Screen Access

- Common >> Access Rights Management >> Roles >> New
- Common >> Access Rights Management >> Roles >> Search >> click on New or Edit button

Privileges

To use this screen, the following Privileges are needed:

- Create Role
- Update Role

Screenshot

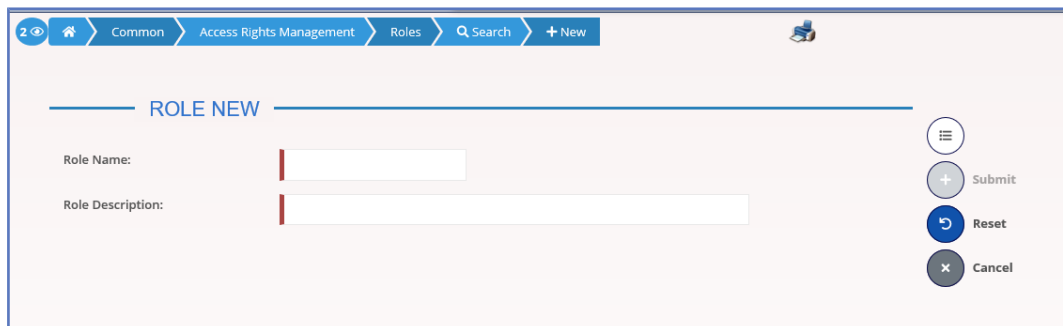


Illustration 213: Role — new/edit screen {Split book approach}

Fields	Role	
Description	Role Name	Enter the name of the Role. References for error messages: <input type="checkbox"/> DRC6006 <input type="checkbox"/> DRU6006 This field is mandatory. Required format is: max. 35x characters.
	Role Description	Enter the description of the Role. This field is mandatory. Required format is: max. 127x characters.
Buttons	Submit	This function enables the user to create or edit a Role. References for error messages: <input type="checkbox"/> DRC6001 <input type="checkbox"/> DRC6006 <input type="checkbox"/> DRU6001 <input type="checkbox"/> DRU6003 <input type="checkbox"/> DRU6006
	Reset	This function enables the user to set all fields to default value and blanks out all optional fields.
	Cancel	This function enables the user to cancel the process and return to the previous screen. {Split book approach}

2.8.2.4.11 Grant/Revoke Privileges – Search/List Screen

Context of Usage This screen contains a number of search fields. By inputting the relevant data, you can search for privileges granted to a role, party or user. You can proceed further by clicking on the buttons below.

This screen's behaviour varies slightly depending on the user accessing it. If the user belongs to a CSD Participant, External CSD, Ancillary System or Payment Bank party the Party blocks will show the 'Intra-party' and 'Cross-party' options, which allow to review the privileges granted to their own party or to grant privileges to other parties within their own system entity,

respectively. In all other cases, the Party blocks will show the 'Intra-system entity' and 'Cross-system entity' options, which allow to grant privileges to parties within and outside of the user's system entity, respectively

This function is available in U2A mode only.

Screen Access

~~Common >> Access Rights Management >> Grant/Revoke System Privileges~~

Privileges

To use this screen, the following Privileges are needed:

~~Privilege query~~

Screenshot

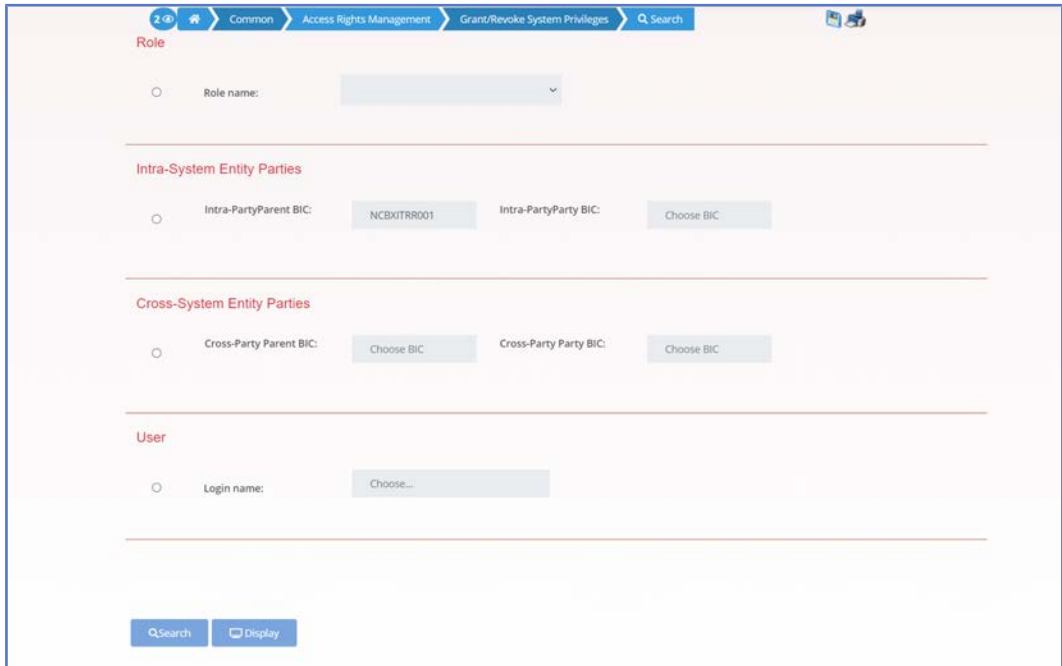


Illustration 214: ~~Grant/Revoke System Privileges – search/list screen (Split book approach)~~

Fields

Description

Grant/Revoke System Privileges – Search Criteria	
Role	
Role Name	Select the name of the role. If the corresponding option is selected, the field is enabled and mandatory, otherwise it is not available.
Intra-System Entity Parties/Intra-Party	
Parent BIC	Shows the BIC of the user's system entity. If the corresponding option is selected, the field is enabled and mandatory, otherwise it is not available.
Party BIC	Enter or select the BIC of the party from a list of values filtered by the relevant parent BIC. Required format is: max. 11 characters.

Grant/Revoke System Privileges – Search Criteria	
	If the corresponding option is selected, the field is enabled and mandatory, otherwise it is not available.
Cross-System Entity Parties/Cross-Party	
Parent BIC	Enter the Parent BIC of grantee party. Required format is: 11 characters. If the corresponding option is selected, the field is enabled and mandatory, otherwise it is not available.
Party BIC	Enter the BIC of grantee party. Required format is: 11 characters. If the corresponding option is selected, the field is enabled and mandatory, otherwise it is not available.
User	
Login Name	Enter or select the login name of the user. Required format is: max. 35 characters. If the corresponding option is selected, the field is enabled and mandatory, otherwise it is not available.

Buttons

Buttons	
Search	This function enables the user to start a search according to the filled-in criteria. If you select Cross-System Entity Parties/Cross-Party radio button, you will be directed to the grant/revoke cross-system entity object privilege – new/edit screen. If you select any other radio button, you will be directed to grant/revoke system privilege – new/edit screen. If no option is selected, this function is not available.
Display	This function enables the user to display the details according to the filled-in criteria. If you select Cross-System Entity Parties/Cross-Party radio button, you will be directed to the grant/revoke cross-system entity object privilege – details screen. If you select any other radio button, you will be directed to grant/revoke system privilege – details screen. If no option is selected, this function is not available. {Split book approach}

~~2.8.2.4.12 Grant/Revoke Cross-System Entity Object Privilege – Details Screen~~

Context of Usage

~~This screen displays detailed information on (the selected) object privileges to be granted or revoked in cross-system entity mode. You can check the data and proceed further by clicking on the buttons below. Only directly assigned privileges are available for cross-system entity object privilege granting. You can check the data and proceed further by clicking on the buttons below.~~

Screen Access

- ~~Common >> Access Rights >> Grant/Revoke System Privileges >> Grant/Revoke System privileges – search screen >> Click on the cross system entity or cross party radio button and then click on display button~~

Privileges

~~To use this screen, the following Privileges are needed:~~

- ~~Granted Object Privileges List Query~~

Screenshot

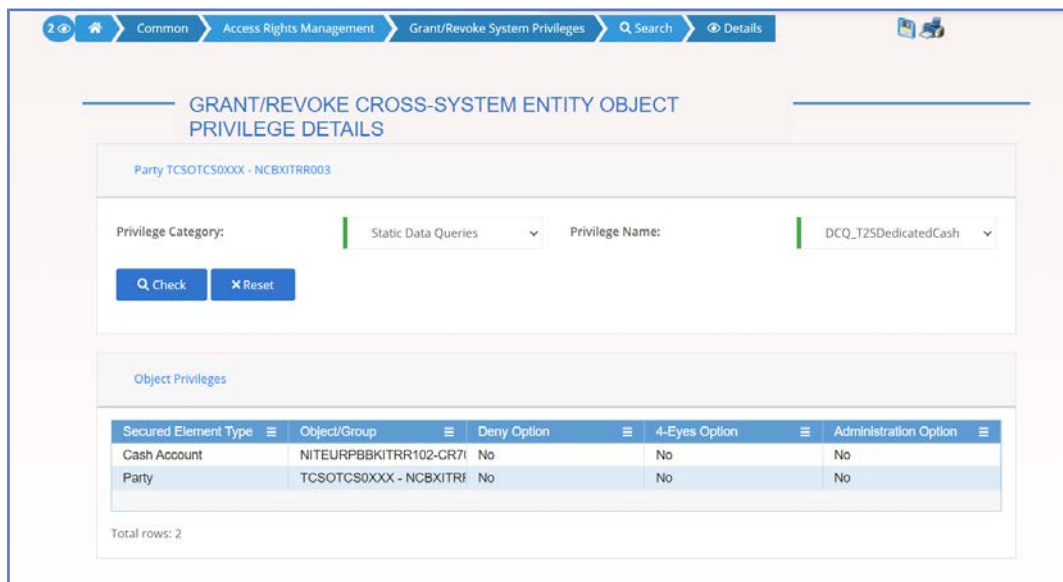


Illustration 215: ~~Grant/Revoke Cross-System Entity Object Privilege – Details Screen (Split book approach)~~

Fields

Description

Party <Parent BIC + Party BIC>	
Privilege Category	Select the name of the group the privilege belongs to.
Privilege Name	Select the name of the granted privilege

Object Privileges	
Secured Element Type	Shows the element type of the object privilege.

Object Privileges	
Object/Group	Shows the technical identification of the secured group or the object identification, i.e. parent BIC and BIC, security account number, cash account number, ISIN.
Deny Option	Shows whether the object privilege is explicitly denied or not.
4-Eyes Option	Shows whether the 4-eyes mode is required in order to perform the activity linked to the object privilege or not.
Administration Option	Shows whether the party administrator of the grantee party is allowed to grant the same privilege to other parties or not. If not, the privilege can be granted only to users and roles of the same party.

Buttons

Buttons	
Check	When the user clicks on this button, the system checks if the selected system privilege has been already directly granted to the grantee (privileges assigned via role are not available for cross-system entity object privilege assignment). In case of positive result, the system shows the list of objects privileges. Otherwise, no object privileges can be granted. You can only change 'privilege category' and 'privilege name' and execute a new check.
Reset	This function enables the user to set 'Privilege category' and 'Privilege name' fields to the initial blank value. The object privilege panel is reset accordingly. {Split book approach}

2.8.2.4.13 Grant/Revoke Cross-System Entity Object Privilege -New/Edit Screen

Context of Usage

This screen contains a number of fields regarding granting and revoking object privileges in cross-system entity mode, which is available only on directly assigned privileges. You can enter new data or edit existing data. Afterwards you can proceed further by clicking on the button(s) below.

Screen Access

- Common >> Access Rights >> Grant/Revoke System Privileges >> Grant/Revoke System privileges – search screen >> Click on the cross system entity or cross party radio button and then click on search button**

Privileges To use this screen, the following Privileges are needed:

- Grant Privilege**
- Revoke Privilege**

Screenshot

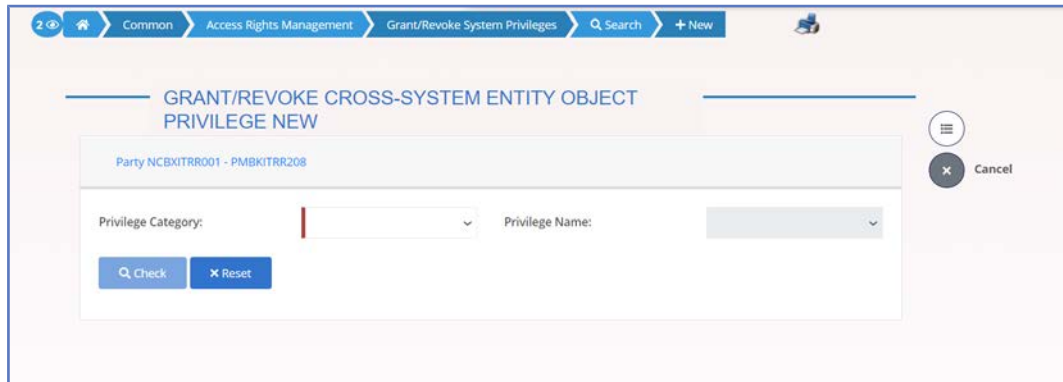


Illustration 216: **Grant/Revoke Cross-System Entity Object Privilege – new/edit screen (Split book approach)**

Fields

Description

Party <Parent BIC + Party BIC>	
Privilege Category	Select the name of the group the privilege belongs to.
Privilege Name	Select the name of the granted privilege. References for error messages: <input type="checkbox"/> DRGP001 <input type="checkbox"/> DRGP002 <input type="checkbox"/> DRGP001
Object Privileges	
Secured Element Type	Shows the element type of the object privilege.
Object/Group	Shows the technical identification of the secured group or the object identification, i.e. parent BIC and BIC, security account number, cash account number, ISIN.
Deny Option	Shows whether the object privilege is explicitly denied or not.
4-Eyes Option	Shows whether the 4-eyes mode is required in order to perform the activity linked to the object privilege or not.
Administration Option	Shows whether the party administrator of the grantee party is allowed to grant the same privilege to other parties or not. If not, the privilege can be granted only to users and roles of the same party.

Object Privileges	
Add/Remove Value	
Secured Element Type	<p>Select the element type of the object privilege from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> System Entity <input type="checkbox"/> Secured Group <input type="checkbox"/> Party <input type="checkbox"/> Security <input type="checkbox"/> Party and its accounts <input type="checkbox"/> Securities Account <input type="checkbox"/> Cash Account <p>This field is mandatory.</p>
Parent BIC	<p>Enter or select the parent BIC of the secured object party.</p> <p>If the secured element type is set to 'System Entity', 'Party' or 'Party and its accounts' then this field is present and mandatory. It is not present otherwise.</p> <p>Required format is: 11 characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRGP010 <input type="checkbox"/> DRGP013 <input type="checkbox"/> DRGP009
Party BIC	<p>Enter or select the party BIC of the secured object party.</p> <p>If the secured element type is set to 'System Entity', 'Party' or 'Party and its accounts' then this field is present and mandatory. It is not present otherwise.</p> <p>Required format is: 11 characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRGP010 <input type="checkbox"/> DRGP013 <input type="checkbox"/> DRGP009
ISIN	<p>Enter or select the ISIN of the secured object security.</p>

Object Privileges	
	<p>If the secured element type is set to 'Security' then this field is present and mandatory. It is not present otherwise.</p> <p>Required format is: 12 characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRGP010 <input type="checkbox"/> DRGP013
Securities Account Number	<p>Enter or select the number of the secured object securities account.</p> <p>If the secured element type is set to 'Securities Account' then this field is present and mandatory. It is not present otherwise.</p> <p>Required format is: max. 35 characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRGP010 <input type="checkbox"/> DRGP013
Cash Account Number	<p>Enter or select the number of the secured object cash account.</p> <p>If the secured element type is set to 'Cash Account' then this field is present and mandatory. It is not present otherwise.</p> <p>Required format is: max. 34 characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRGP010 <input type="checkbox"/> DRGP013
Secured Group Identification	<p>Enter the technical identification of the secured group identification. If the secured element type is set to 'Secured Group' then this field is present and mandatory. It is not present otherwise.</p> <p>Required format is: numeric with max. 9 digits35 characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRGP011 <input type="checkbox"/> DRGP013

Object Privileges	
Deny Option	Select whether the object privilege is explicitly denied or not. References for error messages: <input type="checkbox"/> DRGP017
4-Eyes Option	Select whether the 4-eyes mode is required in order to perform the activity linked to the object privilege or not. References for error messages: <input type="checkbox"/> DRGP018
Administration Option	Select whether the party administrator of the grantee party is allowed to grant the same privilege to other parties or not. If not selected, the privilege can be granted only to users and roles of the same party.

Buttons

Buttons	
Check	When the user clicks on this button, the system checks if the selected system privilege has been already directly granted to the grantee (privileges assigned via role are not available for cross-system entity-object privilege assignment). In case of positive result, the system shows the list of objects privileges. Otherwise, no object privileges can be granted. You can only change 'privilege category' and 'privilege name' and execute a new check.
Add and Submit	This function enables the user to add an item in the 'Object privilege' list by granting the inserted object privilege. References for error messages: <input type="checkbox"/> DRGP001 <input type="checkbox"/> DRGP002 <input type="checkbox"/> DRGP004 <input type="checkbox"/> DRGP005 <input type="checkbox"/> DRGP006 <input type="checkbox"/> DRGP007 <input type="checkbox"/> DRGP008 <input type="checkbox"/> DRGP009

	<ul style="list-style-type: none"> <input type="checkbox"/> DRGP010 <input type="checkbox"/> DRGP011 <input type="checkbox"/> DRGP012 <input type="checkbox"/> DRGP013 <input type="checkbox"/> DRGP016 <input type="checkbox"/> DRGP017 <input type="checkbox"/> DRGP018 <input type="checkbox"/> DRGP001 <input type="checkbox"/> DRGP004 <input type="checkbox"/> DRGP005 <input type="checkbox"/> DRGP006
<p>Delete and Submit</p>	<p>This function enables the user to delete the selected item from the 'Object privilege' list and revokes it.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRGP001 <input type="checkbox"/> DRGP002 <input type="checkbox"/> DRGP004 <input type="checkbox"/> DRGP005 <input type="checkbox"/> DRGP006 <input type="checkbox"/> DRGP007 <input type="checkbox"/> DRGP008 <input type="checkbox"/> DRGP009 <input type="checkbox"/> DRGP010 <input type="checkbox"/> DRGP011 <input type="checkbox"/> DRGP012 <input type="checkbox"/> DRGP013 <input type="checkbox"/> DRGP016 <input type="checkbox"/> DRGP017 <input type="checkbox"/> DRGP001 <input type="checkbox"/> DRGP004 <input type="checkbox"/> DRGP005

Cancel	This function enables the user to cancel the process and return to the previous screen.
Reset	This function enables the user to set 'Privilege category' and 'Privilege name' fields to the initial blank value. The object privilege panel is reset accordingly. {Split book approach}

2.8.2.4.14 Grant/Revoke Object Privilege – Details Screen

Context of Usage

This screen displays detailed information on all the objects and secured groups to which a specific object privilege is applied. You can check the data.

This screen is not relevant for CSD participant, payment bank and ancillary system users.

Screen Access

- Common >> Access Rights >> Grant/Revoke System Privileges >> Grant/Revoke System privileges – search screen >> Click on the display button >> Grant/revoke system privilege – details screen >> Click on the object privilege button

Privileges

To use this screen, the following Privileges are needed:

- Granted Object Privileges List Query**

Screenshot

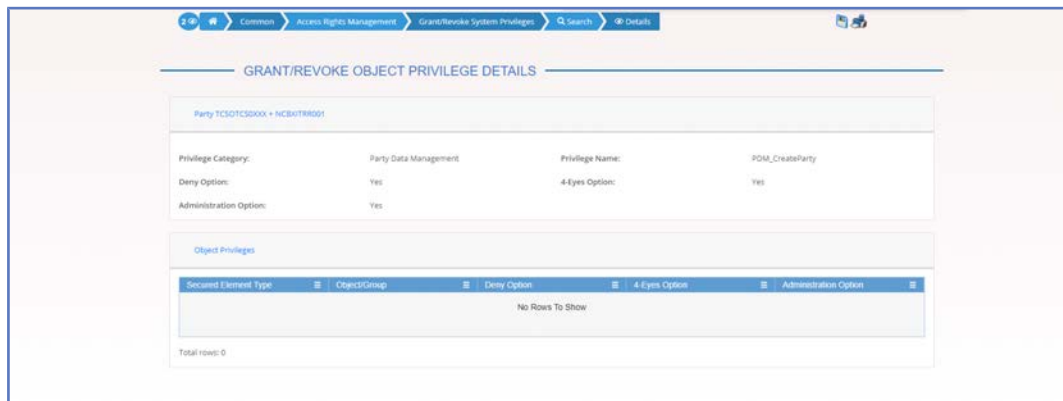


Illustration 217: **Grant/Revoke Object Privilege – Details Screen** {Split book approach}

Fields Description

	Role <Role Name> OR Party <Parent BIC + Party BIC> OR User <User Login Name>
Privilege Category	Select the name of the group the privilege belongs to.
Privilege Name	Select the name of the granted privilege.

Role <Role Name> OR Party <Parent BIC + Party BIC> OR User <User Login Name>	
Deny Option	Shows whether the object privilege is explicitly denied or not.
4-Eyes Option	Shows whether the 4-eyes mode is required in order to perform the activity linked to the object privilege or not.
Administration Option	If the grantee of the privilege is a user or a role, it shows whether the grantee is allowed to grant the same privilege to another user or role of the same party or not.

Object Privileges	
Secured Element Type	Shows the element type of the object privilege.
Object/Group	Shows the technical identification of the secured group or the object identification, i.e. parent BIC and BIC, security account number, cash account number, ISIN.
Deny Option	Shows whether the object privilege is explicitly denied or not.
4-Eyes Option	Shows whether the 4-eyes mode is required in order to perform the activity linked to the object privilege or not.
Administration Option	<p>If the grantee of the privilege is a user or a role, it shows whether the grantee is allowed to grant the same privilege to another user or role of the same party or not.</p> <p>If the grantee of the privilege is a party, it shows whether the party administrator of the grantee party is allowed to grant the same privilege to other parties or not. If not, the privilege can be granted only to users and roles of the same party. {Split book approach}</p>

~~2.8.2.4.15 Grant/Revoke Object Privilege – New/Edit Screen~~

Context of Usage

~~This screen contains a number of fields regarding object privileges granted to a user, party or role. You can grant new object privileges or revoke those that have been previously granted. Afterwards you can proceed further by clicking on the buttons below. To grant a privilege at object level, the privilege must first be granted at system level. After that you can specify the objects on which the privilege must be applied.~~

~~This screen is not relevant for CSD participant, payment bank and ancillary system users.~~

Screen Access

- ~~Common >> Access Rights >> Grant/Revoke System Privileges >> Grant/Revoke System privileges – search screen >> Click on the search button >> Grant/revoke system privilege – new/edit screen >> Click on the object privilege button~~
- ~~Common >> Access Rights >> Roles >> Roles – search/list screen >> Click on the grant/revoke privileges button >> Grant/revoke system privilege – new/edit screen >> Click on the object privilege button~~

Privileges

~~To use this screen, the following Privileges are needed:~~

- ~~Grant Privilege~~
- ~~Revoke Privilege~~

Screenshot

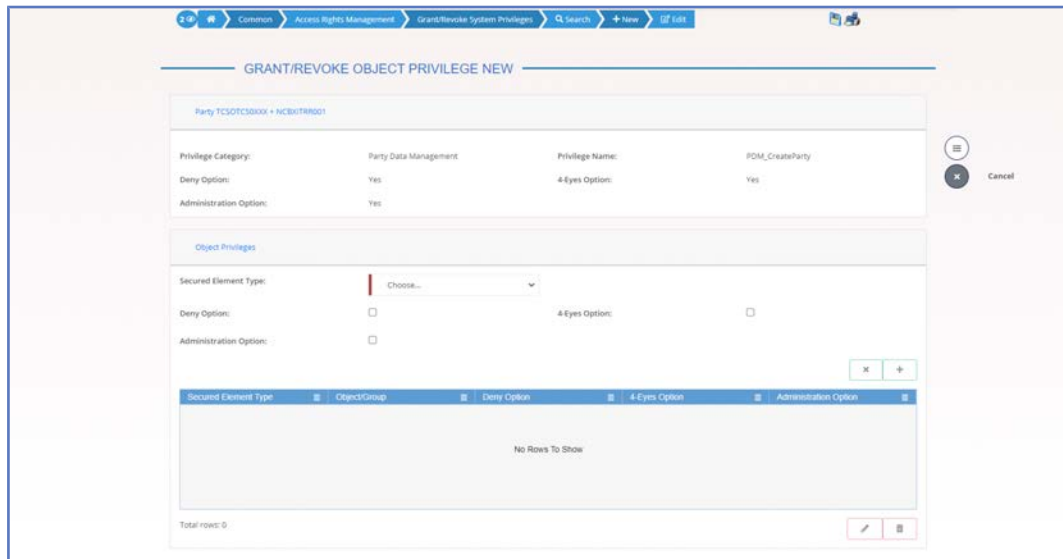


Illustration 218: ~~Grant/Revoke Cross-System Entity Object Privilege – new/edit screen~~ {Split book approach}

Fields Description	Role <Role Name> OR Party <Parent BIC + Party BIC> OR User <User Login Name>
Privilege Category	Select the name of the group the privilege belongs to.
Privilege Name	Select the name of the granted privilege. References for error messages: <input type="checkbox"/> DRGP001 <input type="checkbox"/> DRGP002 <input type="checkbox"/> DRGP001
Deny Option	Shows whether the object privilege is explicitly denied or not.
4-Eyes Option	Shows whether the 4-eyes mode is required in order to perform the activity linked to the object privilege or not.
Administration Option	If the grantee of the privilege is a user or a role, it shows whether the grantee is allowed to grant the same privilege to another user or role of the same party or not. If the grantee of the privilege is a party, it shows whether the party administrator of the grantee party is allowed to grant the same privilege to other parties or not. If not, the privilege can be granted only to users and roles of the same party
Object Privileges	
Secured Element Type	Shows the element type of the object privilege.
Object/Group	Shows the technical identification of the secured group or the object identification, i.e. parent BIC and BIC, security account number, cash account number, ISIN.
Deny Option	Shows whether the object privilege is explicitly denied or not.
4-Eyes Option	Shows whether the 4-eyes mode is required in order to perform the activity linked to the object privilege or not.

Object Privileges	
Administration Option	<p>If the grantee of the privilege is a user or a role, it shows whether the grantee is allowed to grant the same privilege to another user or role of the same party or not.</p> <p>If the grantee of the privilege is a party, it shows whether the party administrator of the grantee party is allowed to grant the same privilege to other parties or not. If not, the privilege can be granted only to users and roles of the same party</p>
Add/Remove Value	
Secured Element Type	<p>Select the element type of the object privilege from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> System Entity <input type="checkbox"/> Secured Group <input type="checkbox"/> Party <input type="checkbox"/> Security <input type="checkbox"/> Party and its accounts <input type="checkbox"/> Securities Account <input type="checkbox"/> Cash Account <p>This field is mandatory.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRGP021
Parent BIC	<p>Enter or select the parent BIC of the secured object party.</p> <p>If the secured element type is set to 'System Entity', 'Party' or 'Party and its accounts' then this field is present and mandatory. It is not present otherwise.</p> <p>Required format is: 11 characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRGP009 <input type="checkbox"/> DRGP010 <input type="checkbox"/> DRGP013 <input type="checkbox"/> DRGP021

Object Privileges	
Party BIC	<p>Enter or select the party BIC of the secured object party.</p> <p>If the secured element type is set to 'System Entity', 'Party' or 'Party and its accounts' then this field is present and mandatory. It is not present otherwise.</p> <p>Required format is: 11 characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"><input type="checkbox"/> DRGP009<input type="checkbox"/> DRGP010<input type="checkbox"/> DRGP013<input type="checkbox"/> DRGP021
ISIN	<p>Enter or select the ISIN of the secured object security.</p> <p>If the secured element type is set to 'Security' then this field is present and mandatory. It is not present otherwise.</p> <p>Required format is: 12 characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"><input type="checkbox"/> DRGP010<input type="checkbox"/> DRGP013
Securities Account Number	<p>Enter or select the number of the secured object securities account.</p> <p>If the secured element type is set to 'Securities Account' then this field is present and mandatory. It is not present otherwise.</p> <p>Required format is: max. 35 characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"><input type="checkbox"/> DRGP010<input type="checkbox"/> DRGP013
Cash Account Number	<p>Enter or select the number of the secured object cash account.</p> <p>If the secured element type is set to 'Cash Account' then this field is present and mandatory. It is not present otherwise.</p> <p>Required format is: max. 34 characters.</p>

Object Privileges	
	<p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRGP010 <input type="checkbox"/> DRGP013
Secured Group Identification	<p>Enter the technical identification of the secured group identification. If the secured element type is set to 'Secured Group' then this field is present and mandatory. It is not present otherwise.</p> <p>Required format is: numeric with max. 9 digits 35 characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRGP011 <input type="checkbox"/> DRGP013
Deny Option	<p>Select whether the object privilege is explicitly denied or not.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRGP017 <input type="checkbox"/> DRGP021
4-Eyes Option	<p>Select whether the 4-eyes mode is required in order to perform the activity linked to the object privilege or not.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRGP018
Administration Option	<p>Select whether the party administrator of the grantee party is allowed to grant the same privilege to other parties or not. If not selected, the privilege can be granted only to users and roles of the same party.</p>

Buttons

Buttons	
Add and Submit	<p>This function enables the user to add an item in the 'Object privilege' list by granting the inserted object privilege.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRGP001 <input type="checkbox"/> DRGP002 <input type="checkbox"/> DRGP004

	<ul style="list-style-type: none"> <input type="checkbox"/> DRGP005 <input type="checkbox"/> DRGP006 <input type="checkbox"/> DRGP007 <input type="checkbox"/> DRGP008 <input type="checkbox"/> DRGP009 <input type="checkbox"/> DRGP010 <input type="checkbox"/> DRGP011 <input type="checkbox"/> DRGP012 <input type="checkbox"/> DRGP013 <input type="checkbox"/> DRGP016 <input type="checkbox"/> DRGP017 <input type="checkbox"/> DRGP018 <input type="checkbox"/> DRGP021 <input type="checkbox"/> DRGP001 <input type="checkbox"/> DRGP004 <input type="checkbox"/> DRGP005 <input type="checkbox"/> DRGP006 <input type="checkbox"/> DRGP007
<p>Delete and Submit</p>	<p>This function enables the user to delete the selected item from the 'Object privilege' list and revokes it.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRGP001 <input type="checkbox"/> DRGP002 <input type="checkbox"/> DRGP004 <input type="checkbox"/> DRGP005 <input type="checkbox"/> DRGP006 <input type="checkbox"/> DRGP007 <input type="checkbox"/> DRGP008 <input type="checkbox"/> DRGP009 <input type="checkbox"/> DRGP010 <input type="checkbox"/> DRGP011 <input type="checkbox"/> DRGP012

	<input type="checkbox"/> DRGP013 <input type="checkbox"/> DRGP016 <input type="checkbox"/> DRGP017 <input type="checkbox"/> DRGP001 <input type="checkbox"/> DRGP004 <input type="checkbox"/> DRGP005 <input type="checkbox"/> DRGP006 <input type="checkbox"/> DRGP007
Cancel	This function enables the user to cancel the process and return to the previous screen.
Reset	This function enables the user to set all fields to default value and blanks out all optional fields. {Split book approach}

~~2.8.2.4.16 Grant/Revoke Roles—Search/List Screen~~

Context of Usage

~~This screen contains a number of search fields. By inputting the relevant data, you can search for:~~

- ~~Parties and users the role is granted to~~
- ~~Roles granted to the selected party~~
- ~~Roles granted to the selected user~~

Screen Access

- ~~Common >> Access Rights Management >> Grant/Revoke Roles~~

Privileges

~~To use this screen, the following Privileges are needed:~~

- ~~Granted roles list query (display button available)~~
- ~~Grant/revoke role (search button available)~~

Screenshot

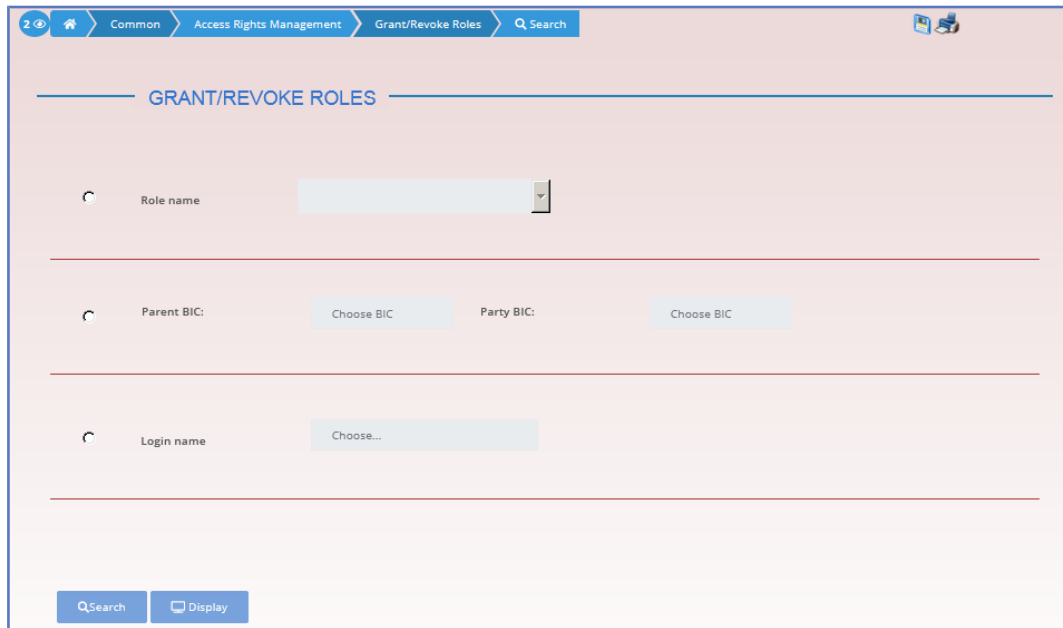


Illustration 219: ~~Grant/Revoke roles – search screen~~ {Split book approach}

Fields

Description

Grant/Revoke Roles – Search Criteria	
Roles	
Role Name	<p>Enter or select the name of the role.</p> <p>Required format is: max. 35 characters, input sensitive field.</p> <p>If the corresponding option is selected, the field is enabled and mandatory, otherwise it is not available.</p>
Parties	
Parent BIC	<p>Shows the BIC of the user's system entity.</p> <p>If the corresponding option is selected, the field is enabled and mandatory, otherwise it is not available.</p>
Party BIC	<p>Enter or select the BIC of the party.</p> <p>Required format is: max. 11 characters, input sensitive field.</p> <p>If the corresponding option is selected, the field is enabled and mandatory, otherwise it is not available.</p>
User	
Login Name	<p>Enter or select the login name of the user.</p> <p>Required format is: max. 35 characters, input sensitive field.</p>

Buttons

Search	The function enables the user to start a search according to the criteria entered accessing the grant/revoke role – new/edit screen.
Display	The function enables the user to start a search according to the criteria entered accessing the grant/revoke role – details screen. {Split book approach}

2.8.2.4.17 Grant/Revoke Roles – Details Screen

Context of Usage

This screen displays detailed information on users and parties that are granted a specific role.

Screen Access

- Common >> Access Rights Management >> Grant/Revoke Roles >> Grant/Revoke Roles – search/list screen >> Click on the display button

Privileges

To use this screen, the following Privileges are needed:

- Granted roles list query

Screenshot

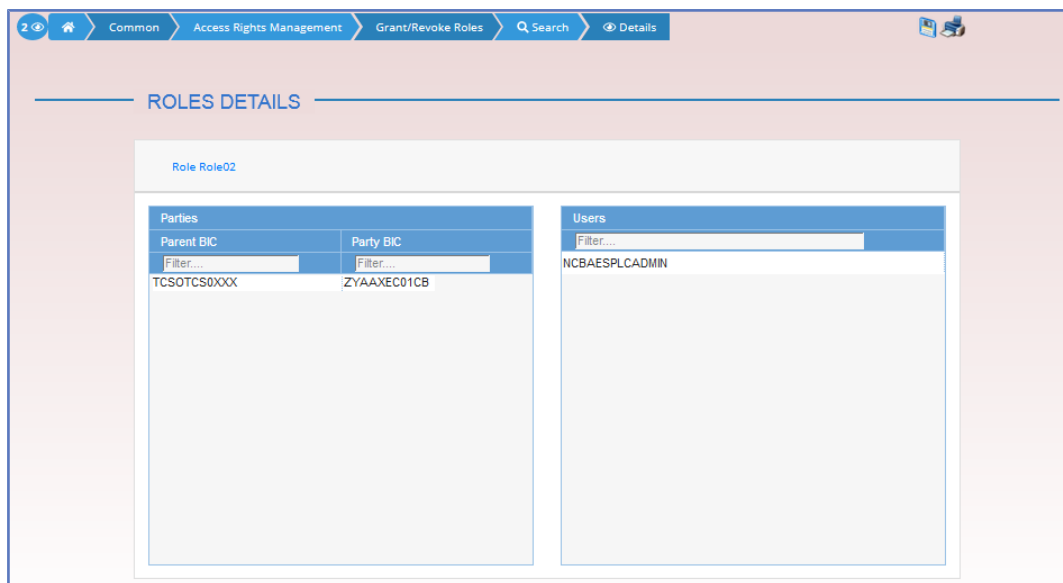


Illustration 220: Grant/Revoke roles – details screen (role mode) {Split book approach}

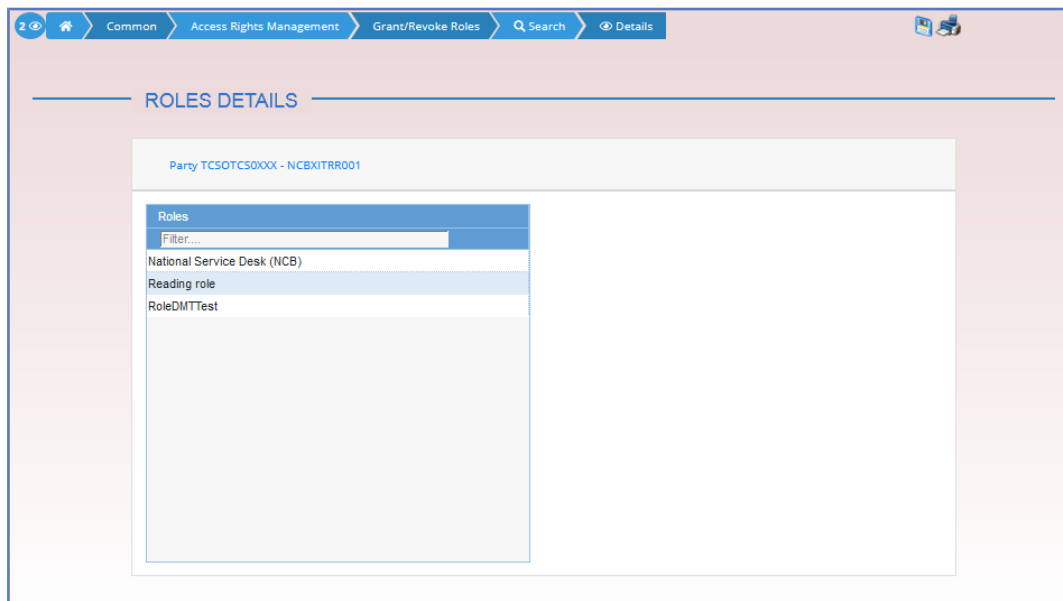


Illustration 221: **Grant/Revoke role – details screen (party roles mode) (Split book approach)**

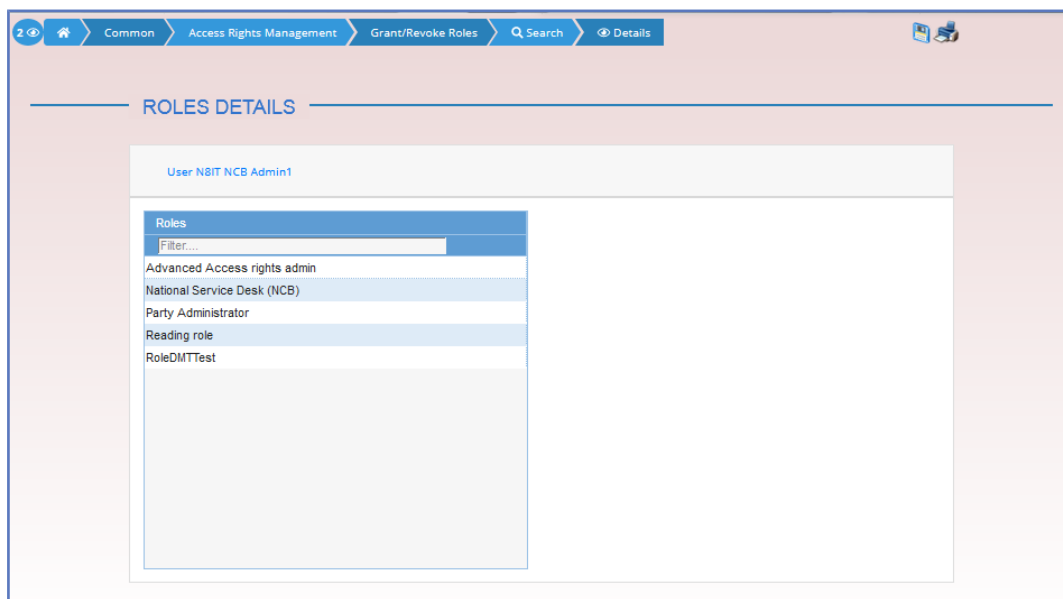


Illustration 222: **Grant/Revoke role – details screen (user roles mode) (Split book approach)**

**Fields
Description**

	<p>Role <Role Name></p> <p>OR</p> <p>Party <Parent BIC + BIC></p> <p>OR</p> <p>User <User Login Name></p>
Filter Parent BIC	<p>Enter the parent BIC of the party to filter the ‘Parties’ and the ‘Current Granted Parties’ lists.</p> <p>Required format is: max. 11 characters.</p> <p>This field is only available in the ‘Role’ mode.</p>

<p>Role <Role Name></p> <p>OR</p> <p>Party <Parent BIC + BIC></p> <p>OR</p> <p>User <User Login Name></p>	
Filter BIC	Enter the BIC of the party to filter the 'Parties' and the 'Current Granted Parties' lists. Required format is: max. 11 characters. This field is only available in the 'Role' mode.
<p>Current Granted Parties</p>	
Parent BIC	Shows the parent BIC of the party. This field is only available in the 'Role' mode.
Party BIC	Shows the BIC of the party. This field is only available in the 'Role' mode.
<p>Filter User</p>	
Filter User	Enter the login name of the user to filter the current granted users list. Required format is: max. 35 characters. This field is only available in the 'Role' mode.
Users	Shows the login name of the user the role is granted to. This field is only available in the 'Role' mode.
<p>Filter Role</p>	
Filter Role	Enter the name of the role to filter the current granted roles list. Required format is: max. 35 characters. This field is only available in the 'Party Roles' and 'User Roles' mode.
Roles	Shows the name of the granted role. This field is only available in the 'Party Roles' and 'User Roles' mode. {Split book approach}

2.8.2.4.18 Grant/Revoke Roles – New/Edit Screen

Context of Usage

This screen contains a number of fields regarding roles. You can grant new roles to users or parties or revoke those that have been previously granted. You can use this screen either in 2-eyes or in 4-eyes mode.

Screen Access

- Common >> Access Rights Management >> Grant/Revoke Roles >> Grant/Revoke roles – search screen >> Click on the search button
- Common >> Access Rights Management >> Roles >> Roles – search/list screen >> Click on the grant/revoke role button

Privileges

To use this screen, the following Privileges are needed:

- Grant/revoke role

Screenshot

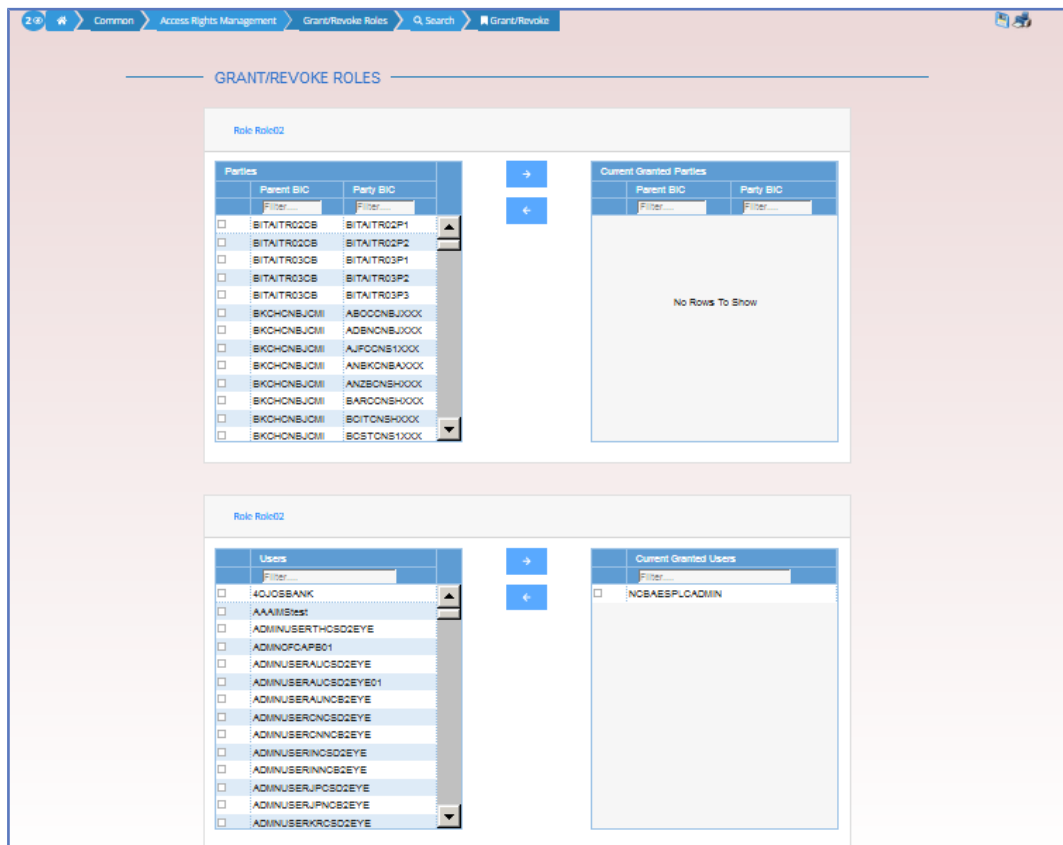


Illustration 223: Grant/Revoke roles – edit screen (role mode){Split book approach}

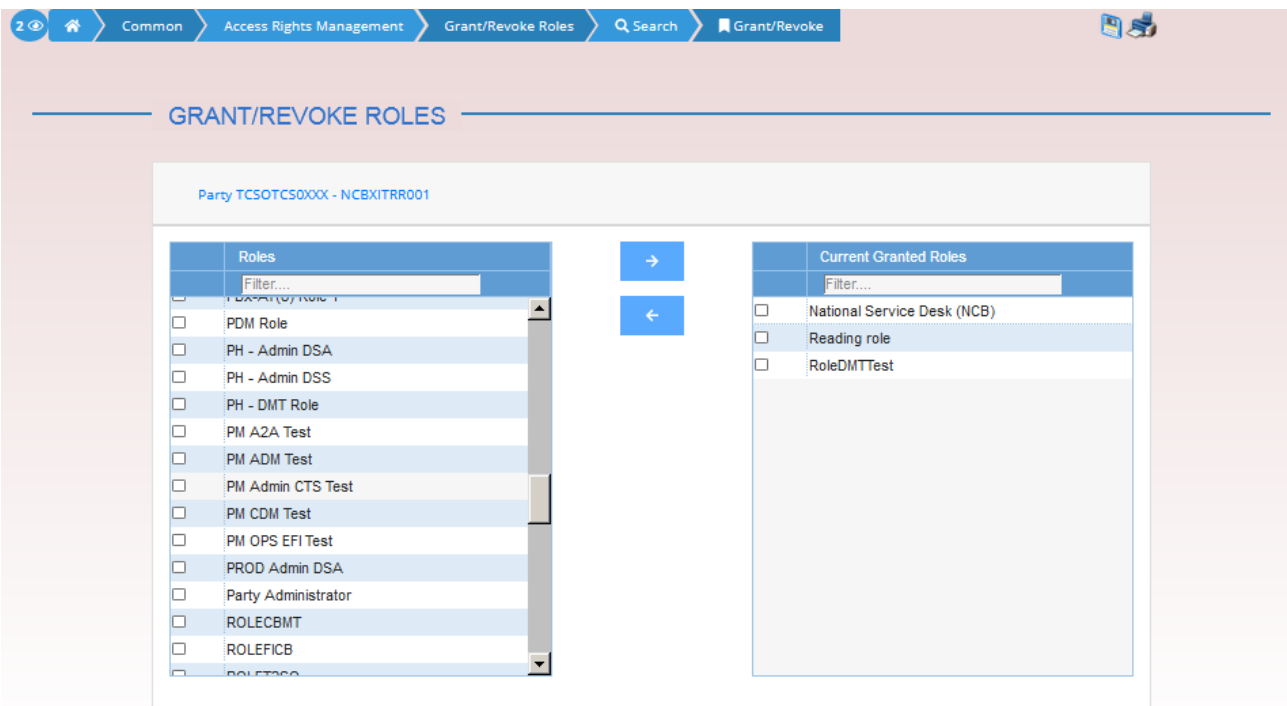


Illustration 224: Grant/Revoke role – edit screen (party roles mode) (Split book approach)

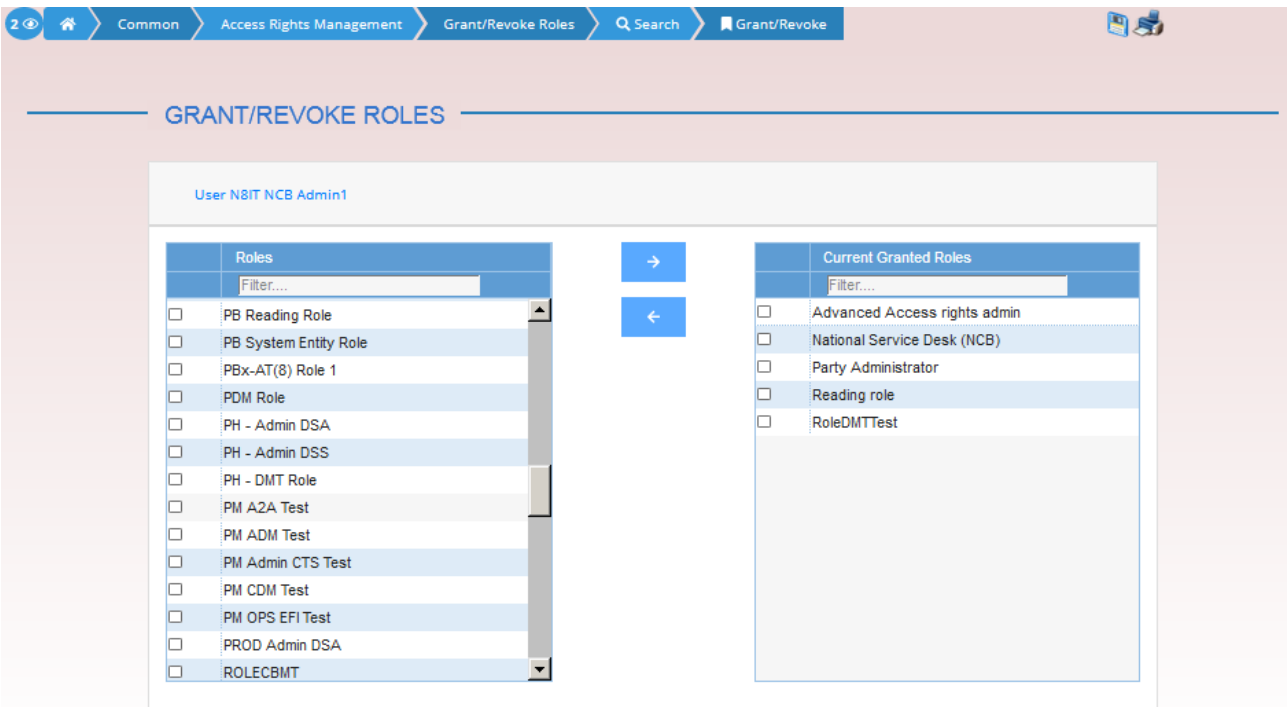




Illustration 225: Grant/Revoke role – edit screen (user roles mode) (Split book approach)

**Fields
Description**

<p>Role <Role-Name></p> <p>OR</p> <p>Party <Parent-BIC + BIC></p> <p>OR</p> <p>User <User Login Name></p>	
Filter Parent-BIC	<p>Enter the parent BIC of the party to filter the 'Parties' and the 'Current-Granted Parties' lists.</p> <p>Required format is: max. 11 characters.</p> <p>This field is only available in the 'Role' mode.</p>
Filter BIC	<p>Enter the BIC of the party to filter the 'Parties' and the 'Current-Granted Parties' lists.</p> <p>Required format is: max. 11 characters.</p> <p>This field is only available in the 'Role' mode.</p>
Parties	
Parent-BIC	<p>Shows the parent BIC of the party.</p> <p>This field is only available in the 'Role' mode.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRGR002 <input type="checkbox"/> DRGR005
Party-BIC	<p>Shows the BIC of the party.</p> <p>This field is only available in the 'Role' mode.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRGR002 <input type="checkbox"/> DRGR005
Current-Granted Parties	
Parent-BIC	<p>Shows the parent BICs of the parties which are currently granted the role.</p> <p>This field is only available in the 'Role' mode.</p>
Party-BIC	<p>Shows the BICs of the parties which are currently granted the role.</p> <p>This field is only available in the 'Role' mode.</p>
Filter User	<p>Enter the login name of the user to filter the 'Users' and 'Current-Granted Users' lists.</p>

<p>Role <Role Name></p> <p>OR</p> <p>Party <Parent BIC + BIC></p> <p>OR</p> <p>User <User Login Name></p>	
	<p>Required format is: max. 35 characters.</p> <p>This field is only available in the 'Role' mode.</p>
Users	<p>Shows the login name of the user.</p> <p>This field is only available in the 'Role' mode.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRGR002 <input type="checkbox"/> DRGR005
Current Granted Users	<p>Shows the names of the users which are currently granted the role.</p> <p>This field is only available in the 'Role' mode.</p>
Filter Role	<p>Enter the name of the role to filter the 'Roles' and 'Current Granted Roles' lists.</p> <p>Required format is: max. 35 characters.</p> <p>This field is only available in the 'Party Roles' and 'User Roles' mode.</p>
Roles	<p>Shows the name of the role.</p> <p>This field is only available in the 'Party Roles' and 'User Roles' mode.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRGR001 <input type="checkbox"/> DRGR003 <input type="checkbox"/> DRGR007
Current Granted Roles	<p>Shows the name of the roles which are currently granted to the user or party.</p> <p>This field is only available in the 'Party Roles' and 'User Roles' mode.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRGR004

Buttons

Buttons	
<p>Grant </p>	<p>This function enables the user to grant the selected role to the party or the user by moving the selected items from the 'Not Granted' list to the 'Granted' list.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRGR001 <input type="checkbox"/> DRGR002 <input type="checkbox"/> DRGR003 <input type="checkbox"/> DRGR005 <input type="checkbox"/> DRGR007
<p>Revoke </p>	<p>This function enables the user to revoke the selected role from the party or the user by moving the selected items from the 'Granted' list to the 'Not Granted' list.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRGR001 <input type="checkbox"/> DRGR002 <input type="checkbox"/> DRGR004 <input type="checkbox"/> DRGR005{Split book approach}

~~2.8.2.4.19 Grant/Revoke System Privilege – Details Screen~~

Context of Usage	This screen displays detailed information on all system privileges granted to a specific role. You can check the data and proceed further by clicking on the buttons below.
Screen Access	<ul style="list-style-type: none"> <input type="checkbox"/> Common >> Access Rights Management >> Grant/Revoke System Privileges Grant/Revoke System Privileges – search screen >> Click on the display button
Privileges	<p>To use this screen, the following Privileges are needed:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Granted system privileges list query

Screenshot

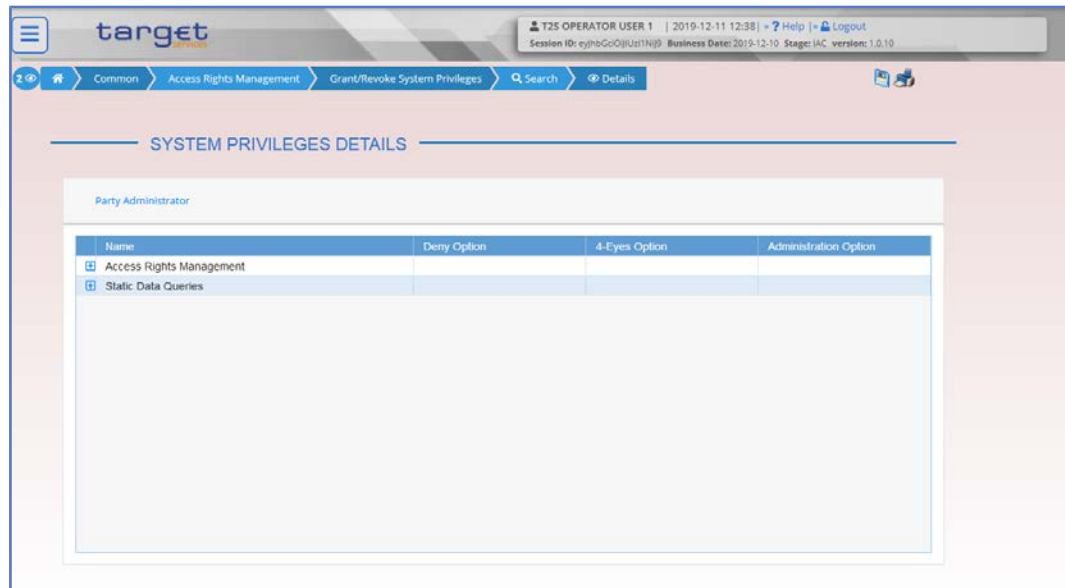


Illustration 226: **Grant/Revoke System Privileges – details screen** (Split book approach)

Fields




Description

	Role <Role-Name> OR Party <Parent BIC + Party BIC> OR User <User Login Name>
Name	Shows the privileges related to the selected group. All the privileges have been grouped according to their name. If the user expands the group, the privilege names will be shown
Privilege Name	Shows the privileges related to the selected group. If the privilege type is 'Object', the privilege name and the field are highlighted. Privilege names are shown if you expand the group
Deny Option	Shows whether the system privilege is explicitly denied.
4-Eyes Option	Shows whether the 4-eyes mode is required in order to perform the activity linked to the system privilege or not.
Administration Option	It shows whether the grantee is allowed to grant the same privilege to another role of the same party or not.

Role <Role Name>
OR
Party <Parent BIC + Party BIC>
OR
User <User Login Name>

Object	If the privilege type is 'Object', this field contains a button allowing the user to display the objects on which the privilege has been applied.
---------------	---

Buttons

<expand group> 	This function enables the user to show all the privileges related to the group.
<close group> 	This function enables the user to hide all the privileges related to the group.
Object Privilege 	This function enables the user to display the objects on which the privilege has been applied. {Split book approach}

2.8.2.4.20 Grant/Revoke System Privilege – New/Edit Screen

Context of Usage

This screen contains a number of fields regarding system privileges. User can grant new system privileges to roles, parties or users, or revoke those that have been previously granted. The screen shows two lists:

- on the left side, the list of all privileges the user can see that have not been granted to the specified grantee item.
- on the right side, the list of privileges that have been granted to the specified grantee item.

Afterwards you can proceed further by clicking on the buttons below. This screen is not relevant for payment bank users. You can use this screen either in 2-eyes or in 4-eyes mode

Screen Access

- Common >> Access Rights Management >> Grant/Revoke System Privileges Grant/Revoke System Privileges – search screen >> Click on the search button
- Common >> Access Rights Management >> Roles >> Roles – search/list screen >> Click on the grant/revoke privilege button

Privileges

To use this screen, the following Privileges are needed:

- Grant Privilege
- Revoke Privilege

Granted System Privileges List Query

Screenshot

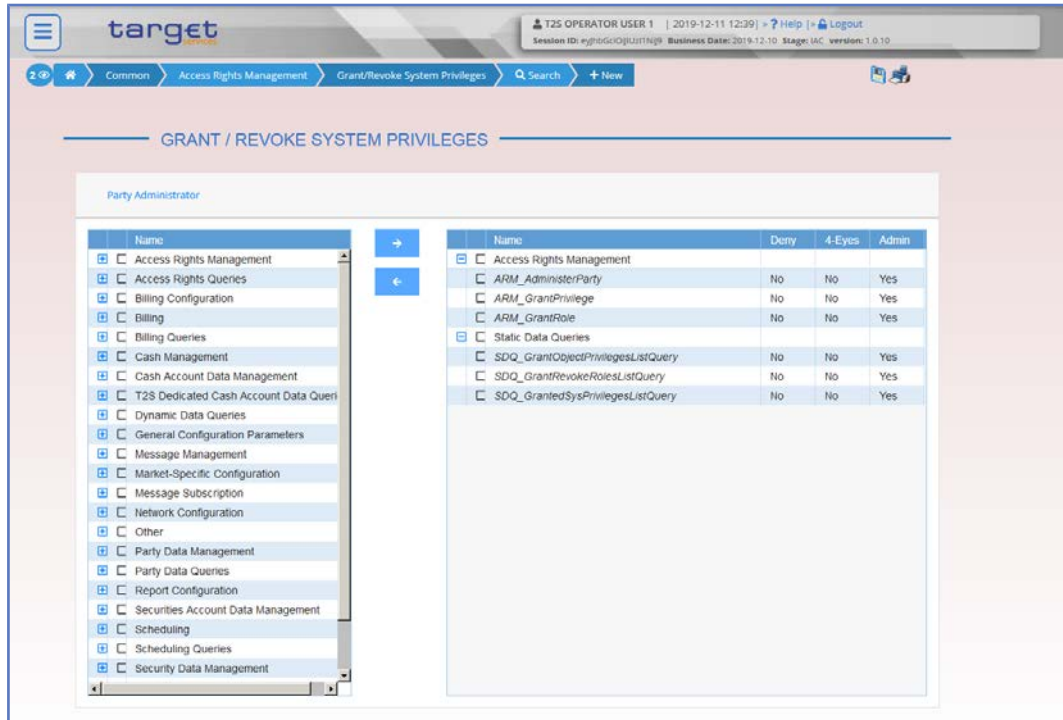


Illustration 227: **Grant/Revoke System Privileges – new/edit screen** (Split book approach)

Fields



Description




<p>Role <Role-Name></p> <p>OR</p> <p>Party <Parent BIC + Party BIC></p> <p>OR</p> <p>User <User-Login Name></p>	
<p>Current not Granted Privileges</p>	
Group Name	Shows the full list of all group names related to the currently not granted privileges.
Privilege Name	Shows the names of not currently granted privileges. References for error messages:
	<input type="checkbox"/> DRGP001 <input type="checkbox"/> DRGP002 <input type="checkbox"/> DRRP001
<p>Current Granted Privileges</p>	
Group Name	Shows the full list of all group names related to the currently granted privileges.
Privilege Name	Shows the names of the currently granted privileges.

Role <Role Name> OR Party <Parent BIC + Party BIC> OR User <User Login Name>	
	If the privilege type is set to 'Object', the privilege name and the field are highlighted.
Deny	Shows whether the system privilege is explicitly denied or not.
4-Eyes	Shows whether the 4-eyes mode is required in order to perform the activity linked to the system privilege or not.
Administration option	Shows whether the grantee is allowed to grant the same privilege to another role of the same party or not.
Object	If the privilege type is 'Object', this field contains a button allowing the user to display the objects on which the privilege has been applied.

Input Additional Data (data input prompt)	
Deny Option	Select if the system privilege is explicitly denied. References for error messages: <input type="checkbox"/> DRGP017
4-Eyes Option	Select if the 4-eyes mode is required to perform the activity linked to the system privilege. References for error messages: <input type="checkbox"/> DRGP018
Administration Option	Select if is required to allow the grantee to grant the same privilege to another role of the same party

Buttons

Grant 	This function enables the user to grant the selected privileges to the role. The function opens the 'Input Additional Data' prompt to specify additional information.
Revoke 	This function enables the user to revoke the selected privileges from the role by moving them from the 'Granted' list to the 'Not Granted' list.

	<p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRRP001 <input type="checkbox"/> DRRP003 <input type="checkbox"/> DRRP004 <input type="checkbox"/> DRRP005 <input type="checkbox"/> DRRP006
<expand-group> 	This function enables the user to show all the privileges related to the group.
<close-group> 	This function enables the user to hide all the privileges related to the group.
Object Privilege 	This function enables the user to display the objects on which the privilege can be applied.
Submit (Input additional data)	<p>This function enables the user to move the selected privileges from the 'Not Granted' list to the 'Granted' list inserting the additional information to privileges.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRGP001 <input type="checkbox"/> DRGP002 <input type="checkbox"/> DRGP004 <input type="checkbox"/> DRGP005 <input type="checkbox"/> DRGP006 <input type="checkbox"/> DRGP007 <input type="checkbox"/> DRGP008 <input type="checkbox"/> DRGP013 <input type="checkbox"/> DRGP016 <input type="checkbox"/> DRGP017 <input type="checkbox"/> DRGP018 <input type="checkbox"/> DRGP019 <input type="checkbox"/> DRGP020
Close (Input additional data)	<p>This function enables the user to close the Input additional data pop-up and no operations are performed.</p> <p>{Split book approach}</p>

~~2.8.2.4.21 Secured Groups – Search/List Screen~~

Context of Usage

~~This screen contains a number of search fields. By inputting the relevant data, you can search for secured groups. The search results will be displayed in a list, which is sorted by the values of the ‘Secured Group Identification’ column in ascending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.~~

~~This screen is not relevant for CSD participant, external CSD, payment bank or ancillary system users.~~

Screen Access

~~Common >> Access Right Management >> Secured Groups >> Search~~

Privileges

~~To use this screen, the following Privileges are needed:~~

- ~~Secured Group list query~~
- ~~Secured Group Details query~~
- ~~Delete Secured Group~~

Screenshot

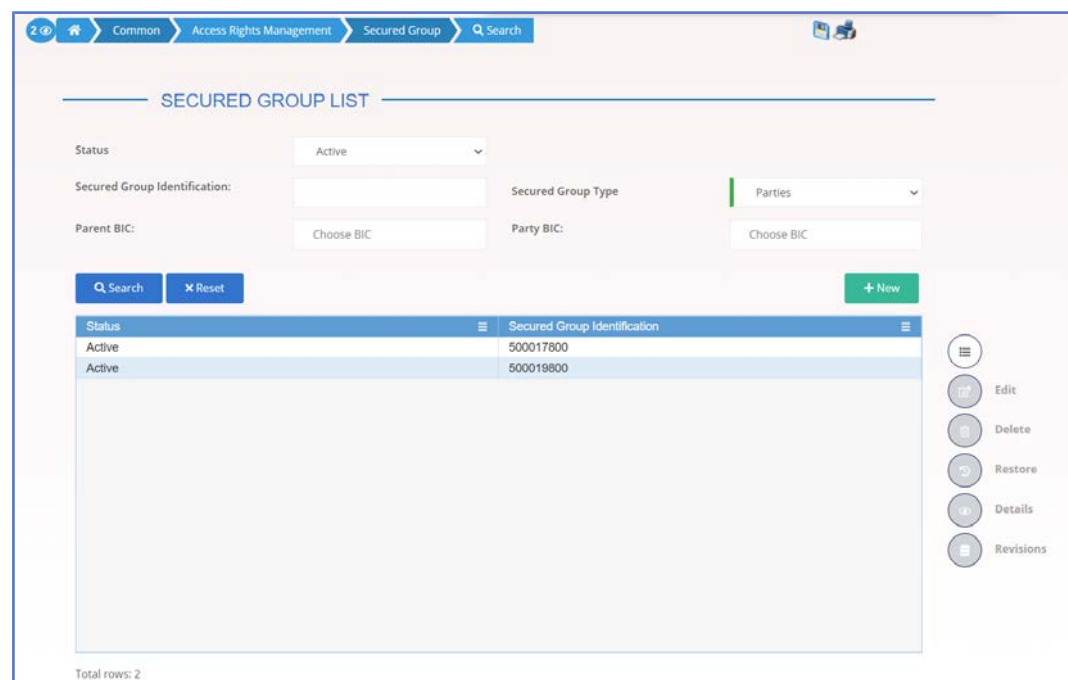


Illustration 228: ~~Secured Groups – search/list screen~~ {Split book approach}

Fields

Description

Secured Groups – Search Criteria	
Status	<p>Select the status of the Secured Group from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted

Secured Groups – Search Criteria	
Secured Group Identification	Enter the identification number of the Secured Group. Required format is: max. 9x characters.
Secured Group Type	Select the type of secured group. Possible values are: <input type="checkbox"/> Parties <input type="checkbox"/> Securities <input type="checkbox"/> Securities Accounts <input type="checkbox"/> Cash Accounts This field is mandatory.
Parent BIC	Enter or select the parent BIC of the secured party. This field is only available if the secured group type is set to 'Parties'. Required format is: max. 11x characters.
Party BIC	Enter or select the BIC of the secured party. This field is only available if the secured group type is set to 'Parties'. Required format is: max. 11x characters.
ISIN	Enter or select the ISIN of the secured security. This field is only available if the secured group type is set to 'Securities'. Required format is: max. 12x characters.
Securities Account Number	Enter or select the number of the secured securities account. This field is only available if the secured group type is set to 'Securities Account'. Required format is: max. 35x characters.
Cash Account Number	Enter or select the number of the secured cash account. This field is only available if the secured group type is set to 'Cash Account'. Required format is: max. 34x characters.
Secured Groups – List	
Status	Shows the status of the Secured Group.

Secured-Groups--List	
	<p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDT002 <input type="checkbox"/> DRDT005 <input type="checkbox"/> DRDT044
Secured-Group Identification	Shows the identification number of the Secured-Group

Buttons

Buttons	
Search	This function enables the user to start a search according to the filled in criteria.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
New	This function enables the user to create a new Secured-Group.
Edit	<p>This function enables the user to edit the details of the selected Secured-Group.</p> <p>If the status of the selected Secured-Group is set to 'Deleted', this function is not available.</p>
Details	This function enables the user to display the details of the selected Secured-Group.
Delete	<p>This function enables the user to delete the selected Secured-Group</p> <p>If the status of the selected Secured-Group is already set to 'Deleted', this function is not available.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDT001 <input type="checkbox"/> DRDT002 <input type="checkbox"/> DRDT040 <input type="checkbox"/> DRDT050
Revisions	This function enables the user to display the revisions list of the selected Secured-Group.
Restore	<p>This function enables the user to restore the selected Secured-Group.</p> <p>If the status of the selected Secured-Group is already set to 'Active', this function is not available.</p>

References for error messages:	
<input type="checkbox"/>	DRDT001
<input type="checkbox"/>	DRDT003
<input type="checkbox"/>	DRDT005
<input type="checkbox"/>	DRDT040
<input type="checkbox"/>	DRDT044 {Split book approach}

2.8.2.4.22 Secured Group – Details Screen

Context of Usage

This screen displays detailed information on the selected secured group. The user can check the data and proceed further by clicking on the buttons below.

This screen is not relevant for CSD participant, external CSD, payment bank or ancillary system users.

Screen Access

- Common >> Access Right Management >> Secured Groups – search/list screen >> Click on the search and/or details button

Privileges

To use this screen, the following Privileges are needed:

- Delete Secured Group
- Secured Group Details query

Screenshot

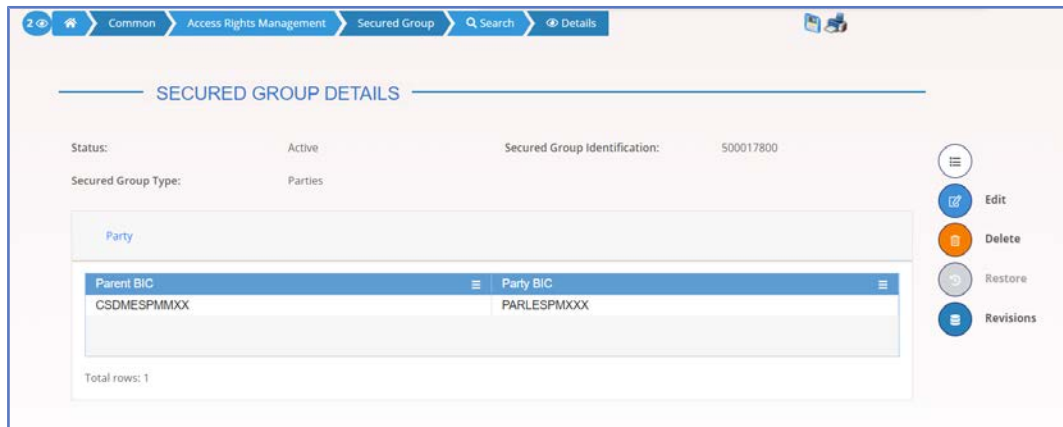


Illustration 229: Secured Group – details screen {Split book approach}

Fields Description

Secured Group	
Status	<p>Shows the status of the Secured Group.</p> <p>If the status is set to 'Deleted', the selected Secured Group cannot be deleted or edited.</p> <p>If the status is set to 'Active', the selected Secured Group cannot be restored.</p>

Secured Group	
	<p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDT002 <input type="checkbox"/> DRDT044
Secured Group Identification	Shows the identification number of the Secured Group.
Secured Group Type	Shows the type of the Secured Group.

Secured Objects	
Parties	
Parent BIC	<p>Shows the parent BIC of the secured party.</p> <p>This field is only available if the secured group type is set to 'Parties'.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDT003 <input type="checkbox"/> DRDT005
Party BIC	<p>Shows the BIC of the secured party.</p> <p>This field is only available if the secured group type is set to 'Parties'.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDT003 <input type="checkbox"/> DRDT005
Securities	
ISIN	<p>Shows the ISIN of the secured security.</p> <p>This field is only available if the secured group type is set to 'Securities'.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDT003 <input type="checkbox"/> DRDT005
Securities Account	
Securities Account Number	<p>Shows the number of the secured securities account.</p> <p>This field is only available if the secured group type is set to 'Securities Account'.</p>

Secured Objects	
	<p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDT003 <input type="checkbox"/> DRDT005
Cash Account	
Cash Account Number	<p>Shows the number of the secured cash account.</p> <p>This field is only available if the secured group type is set to 'Cash Account'.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDT003 <input type="checkbox"/> DRDT005

Buttons

Buttons	
Edit	<p>This function enables the user to edit the details of the selected Secured Group.</p> <p>If the status of the selected Secured Group is set to 'Deleted', this function is not available.</p>
Delete	<p>This function enables the user to delete the selected Secured Group.</p> <p>If the status of the selected Secured Group is already set to 'Deleted', this function is not available.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDT001 <input type="checkbox"/> DRDT002 <input type="checkbox"/> DRDT040 <input type="checkbox"/> DRDT050
Restore	<p>This function enables the user to restore the selected Secured Group.</p> <p>If the status of the selected Secured Group is already set to 'Active', this function is not available.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDT001 <input type="checkbox"/> DRDT003 <input type="checkbox"/> DRDT005 <input type="checkbox"/> DRDT040

	<input type="checkbox"/> DRDT044
Revisions	This function enables the user to display the revisions list of the selected Secured Group. {Split book approach}

~~2.8.2.4.23 Secured Group – New/Edit Screen~~

Context of Usage ~~This screen enables the user to create a new Secured Group or to modify an existing one, previously selected.~~

Screen Access

- ~~T2S >> Access Right Management >> Secured Groups >> New~~
- ~~T2S >> Access Right Management >> Secured Groups >> Search >> click on New or Edit button~~

Privileges ~~To use this screen, the following Privileges are needed:~~

- ~~Create Secured Group~~
- ~~Update Secured Group~~

Screenshot

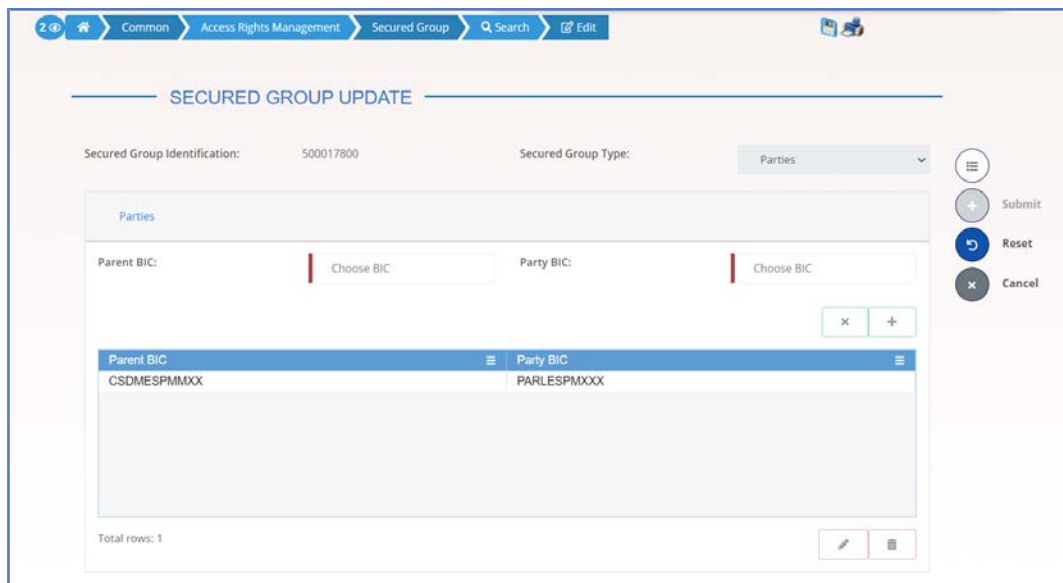


Illustration 230: ~~Secured Group – new/edit screen~~ {Split book approach}

Fields

Description

Secured Group	
Secured Group Identification	Shows the identification number of the secured group. This field is present only in edit mode, it is mandatory and read-only.
Secured Group Type	Enter the type of secured group from the possible values: <input type="checkbox"/> Parties

Secured-Group	
	<ul style="list-style-type: none"> <input type="checkbox"/> Securities <input type="checkbox"/> Securities Accounts <input type="checkbox"/> Cash Accounts <p>This field is mandatory.</p> <p>This field is read-only in edit mode.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCT002 <input type="checkbox"/> DRUT002

Secured-Objects	
Parties	
Parent BIC	<p>Enter or select the parent BIC of the secured party.</p> <p>This field is mandatory and visible only if the secured group type is set to 'Parties'.</p> <p>Required format is: 11x characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCT002 <input type="checkbox"/> DRCT003 <input type="checkbox"/> DRUT002 <input type="checkbox"/> DRUT007 <input type="checkbox"/> DRUT008
Party BIC	<p>Enter or select the BIC of the secured party.</p> <p>This field is mandatory and visible only if the secured group type is set to 'Parties'.</p> <p>Required format is: 11x characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCT002 <input type="checkbox"/> DRCT003 <input type="checkbox"/> DRUT002 <input type="checkbox"/> DRUT007 <input type="checkbox"/> DRUT008
Securities	

Secured Objects	
ISIN	<p>Enter or select the ISIN of the secured security.</p> <p>This field is mandatory and visible only if the secured group type is set to 'Securities'.</p> <p>Required format is: 12x characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCT002 <input type="checkbox"/> DRCT003 <input type="checkbox"/> DRUT002 <input type="checkbox"/> DRUT007 <input type="checkbox"/> DRUT008
Securities Account	
Securities Account Number	<p>Enter or select the number of the secured securities account.</p> <p>This field is mandatory and visible only if the secured group type is set to 'Securities Account'.</p> <p>Required format is: 35x characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCT002 <input type="checkbox"/> DRCT003 <input type="checkbox"/> DRUT002 <input type="checkbox"/> DRUT007 <input type="checkbox"/> DRUT008
Cash Account	
Cash Account Number	<p>Enter or select the number of the secured cash account.</p> <p>This field is mandatory and visible only if the secured group type is set to 'Cash Account'. The specified cash account must be an</p> <p>Required format is: 35x characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCT002 <input type="checkbox"/> DRCT003 <input type="checkbox"/> DRUT002

Secured Objects	
	<input type="checkbox"/> DRUT007 <input type="checkbox"/> DRUT008

Buttons

Buttons	
Submit	<p>This function enables the user to create or edit a Secured Group.</p> <p>References for error messages:</p> <input type="checkbox"/> DRCT001 <input type="checkbox"/> DRCT002 <input type="checkbox"/> DRCT003 <input type="checkbox"/> DRUT001 <input type="checkbox"/> DRUT002 <input type="checkbox"/> DRUT003 <input type="checkbox"/> DRUT007 <input type="checkbox"/> DRUT008
Reset	<p>This function enables the user to set all fields to default value and blanks out all optional fields.</p>
Cancel	<p>This function enables the user to cancel the process and return to the previous screen. {Split book approach}</p>

~~2.8.2.4.24 User Access Rights – Search Screen~~

Context of Usage	<p>This screen allows the authorised users to search for Users Access Rights. Users can search for all access rights granted to the system users of a given party.</p>
Screen Access	<input type="checkbox"/> Common >> Access Right Management >> User Access Rights Check >> Search
Privileges	<p>To use this screen, the following Privileges are needed:</p> <input type="checkbox"/> Access Rights Query

Screenshot

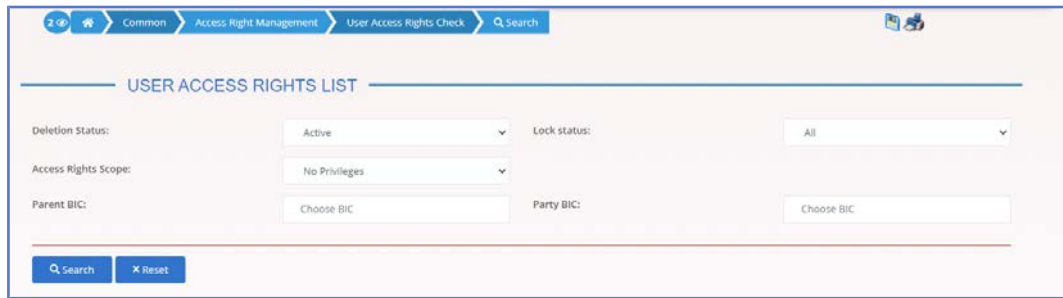


Illustration 231: **User Access Rights – search screen** (Split book approach)

Fields

Description

User Access Rights Check – Search Criteria	
Deletion Status	Select the status of the User Access Rights from the possible values: <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted
Lock Status	Select the status of the User Access Rights from the possible values: <input type="checkbox"/> All <input type="checkbox"/> Not Locked (default value) <input type="checkbox"/> Locked
Access Rights Scope	Select the status of the User Access Rights from the possible values: <input type="checkbox"/> No Privileges (default value) <input type="checkbox"/> Privileges <input type="checkbox"/> Privileges and objects
Parent BIC	Enter or select the parent BIC of the party. Required format is: max. 11x characters.
Party BIC	Enter or select the BIC of the party. Required format is: max. 11x characters.

Buttons

Search	This function enables the User to start a search according to the entered criteria. The results are displayed on a different screen.
Reset	This function enables the User to set default search criteria and blanks out all optional criteria. (Split book approach)

2.8.2.4.25 User Access Rights - List Screen

Context of Usage

This screen contains the list of the system users of the selected parties, their roles (comprehensive of privileges and possible extended/reduced data scopes) and their system privileges with possible extended/reduced data scopes. Each user can see only data related to the party they belong to.

The result depends on the data selected in the User Access Rights – search screen.

Screen Access

Common >> Access Right Management >> User Access Rights Check - Search screen >> Click on the Search button

Privileges

To use this screen, the following Privileges are needed:

Access Rights Query

Screenshot

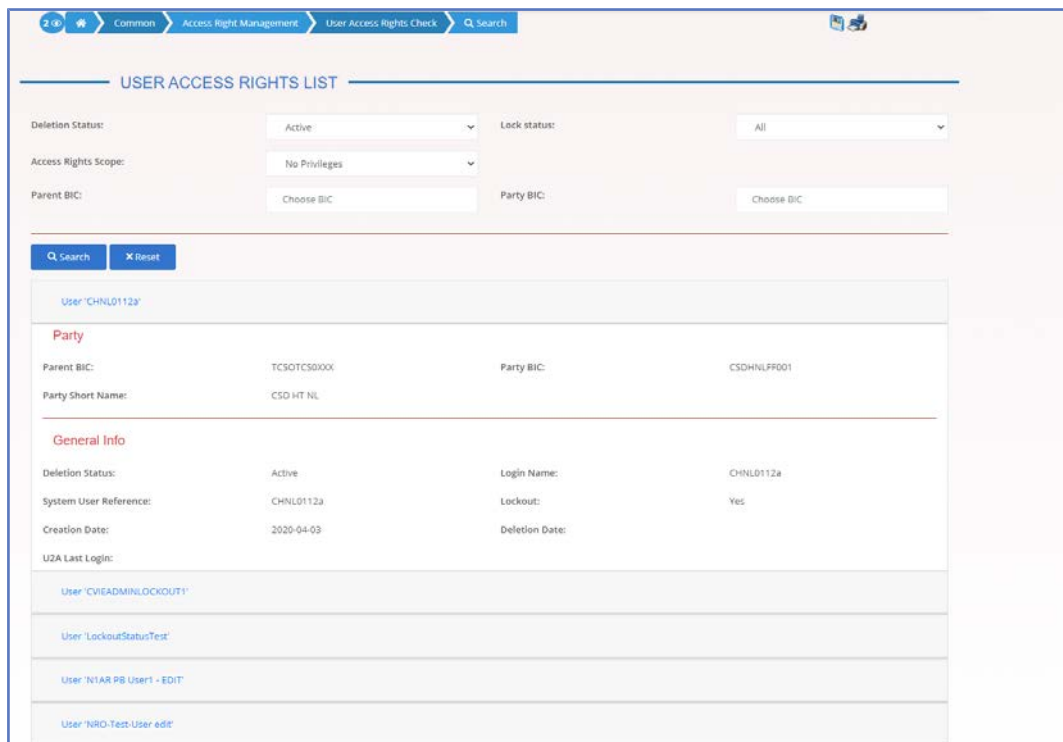


Illustration 232: User Access Rights – list screen {Split book approach}

Fields Description

User Access Rights – List Criteria	
Party	
Parent BIC	Shows the parent BIC of the party whose user/s are shown.
Party BIC	Shows the party BIC of the party whose user/s are shown
Party Short Name	Shows the short name of the party whose user/s are shown

User 'System User' – general info	
Deletion Status	Shows the status of the user.
Login Name	Shows the Login Name of the user.
System User Reference	Shows the system user reference associated to the user.
Lockout	Shows the Lockout status of the user.
Creation Date	Shows the creation date of the user.
Deletion Date	Shows the deletion date of the user.
U2A Last Login	Shows the last U2A login of the user.

User 'System User' – Role System Privileges	
Role Name	Shows the name of the role assigned to the user.
Privilege Name	Shows the name of the privilege.
Deny	Shows the deny option associated to the correspondent system privilege.
4-Eyes	Shows the 4-eyes mode associated to the correspondent system privilege.
Administration	Shows the administration option associated to the correspondent system privilege.

User 'System User' – Role System Privileges – Object Privileges	
Secured Element Type	Shows the element type of the object privilege
Object/Group	Shows the technical identification of the secured group or the object identification, i.e. parent BIC and BIC, security account number, T2S dedicated cash account number, ISIN.
Deny	Shows the deny option associated to the correspondent object privilege.
4-Eyes	Shows the 4-eyes option associated to the correspondent object privilege.
Administration	Shows the administration option associated to the correspondent object privilege.

User 'System-User' – System Privileges	
Privilege Name	Shows the name of the privilege.
Deny	Shows the deny option associated to the correspondent system privilege.
4-Eyes	Shows the 4-eyes option associated to the correspondent system privilege.
Administration	Shows the administration option associated to the correspondent system privilege.

User 'System-User' – System Privileges – Object Privileges	
Secured Element Type	Shows the element type of the object privilege
Object/Group	Shows the technical identification of the secured group or the object identification, i.e. parent BIC and BIC, security account number, T2S dedicated cash account number, ISIN.
Deny	Shows the deny option associated to the correspondent object privilege.
4-Eyes	Shows the 4-eyes option associated to the correspondent object privilege.
Administration	Shows the administration option associated to the correspondent object privilege. {Split book approach}

2.8.2.5 Messages and Reports

2.8.2.5.1 Message Subscription Rule Sets – Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for message subscription rule sets. The search results are displayed in a list, which is sorted by the values of the 'Rule Set Identification' column in ascending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.

Screen Access

- Common >> Messages and Reports >> Message Subscription Rule Set >> Search

Privileges

To use this screen, the following Privileges are needed:

- Message subscription rule set list query
- Delete message subscription rule set

Screenshot

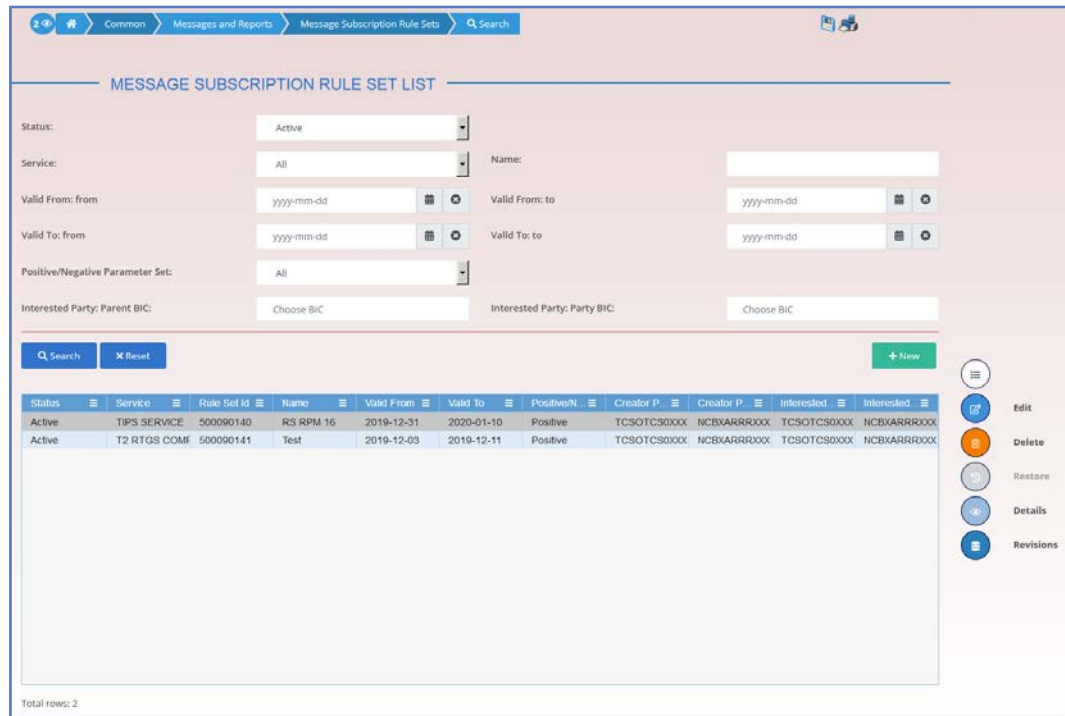


Illustration 233: Message Subscription Rule Set – search/list screen (Split book approach)

Fields

Description

Message Subscription Rule Set – Search Criteria	
Status	<p>Select the status of the Message Subscription Rule Set from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDE003 <input type="checkbox"/> DRDE044
Service	<p>Select the type of Service of the Message Subscription Rule Set from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> TIPS SERVICE <input type="checkbox"/> T2 CLM COMPONENT <input type="checkbox"/> T2 RTGS COMPONENT <input type="checkbox"/> T2S <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDE004

Message Subscription Rule Set – Search Criteria	
Name	<p>Enter the Name of the Message Subscription Rule Set to be searched.</p> <p>Reference for error message:</p> <p><input type="checkbox"/> DRDE200</p> <p>Required format is: max. 35x characters.</p>
Valid From: from	<p>Enter the lower bound of the search range for the date from which the Message Subscription Rule Set is valid or use the calendar icon.</p> <p>Required format is: Date.</p>
Valid From: to	<p>Enter the upper bound of the search range for the date from which the Message Subscription Rule Set is valid or use the calendar icon.</p> <p>Required format is: Date.</p>
Valid To: from	<p>Enter the lower bound of the search range for the date until which the Message Subscription Rule Set is valid or use the calendar icon.</p> <p>Required format is: Date.</p>
Valid To: to	<p>Enter the upper bound of the search range for the date until which the Message Subscription Rule Set is valid or use the calendar icon.</p> <p>Required format is: Date.</p>
Positive/Negative Parameter Set	<p>Select in which way the message subscription rule set must be used from the possible values:</p> <p><input type="checkbox"/> All (default value)</p> <p><input type="checkbox"/> Positive</p> <p><input type="checkbox"/> Negative</p>
Interested Party: Parent BIC	<p>Enter or select the Parent BIC of the interested party.</p> <p>Reference for error message:</p> <p><input type="checkbox"/> DRDE002</p> <p>Required format is: max. 11x characters.</p>
Interested Party: Party BIC	<p>Enter or select the Party BIC of the interested party.</p> <p>Reference for error message:</p> <p><input type="checkbox"/> DRDE002</p> <p>Required format is: max. 11x characters.</p>

Message Subscription Rule Set— List	
Status	Shows the status of the Message Subscription Rule Set. Reference for error message: <input type="checkbox"/> DRDE003 <input type="checkbox"/> DRDE044
Service	Shows the service to which the Message Subscription Rule Set is applied for. Reference for error message: <input type="checkbox"/> DRDE004
Rule Set Id	Shows the Message Subscription Rule Set Technical Identifier.
Name	Shows the Name of the rule set. Reference for error message: <input type="checkbox"/> DRDE200
Valid From	Shows the date from which the Message Subscription Rule Set is valid. Reference for error message: <input type="checkbox"/> DRDE003 <input type="checkbox"/> DRDE006
Valid To	Shows the date until which the Message Subscription Rule Set is valid. Reference for error message: <input type="checkbox"/> DRDE003 <input type="checkbox"/> DRDE006
Positive/Negative	Shows if the Message Subscription Rule Set is used in a positive or negative way.
Creator Party: Parent BIC	Shows the Parent BIC of the creator party.
Creator Party: Party BIC	Shows the BIC of the creator party.
Interested Party: Parent BIC	Shows the Parent BIC of the interested party. Reference for error message: <input type="checkbox"/> DRDE002

Message Subscription Rule Set— List

Interested Party: Party BIC	Shows the Party BIC of the interested party. Reference for error message: <input type="checkbox"/> DRDE002
--	---

Buttons

Buttons

Search	This function enables the user to start a search according to the filled in criteria.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
New	This function enables the user to create a new Message Subscription Rule Set.
Edit	This function enables the user to edit the details of the selected Message Subscription Rule Set. If the status of the selected Message Subscription Rule Set is already set to 'Deleted', or it is closed, this function is not available.
Delete	This function enables the user to delete the selected Message Subscription Rule Set. If the status of the selected Message Subscription Rule Set is already set to 'Deleted', or it is closed, this function is not available. Reference for error message: <input type="checkbox"/> DRDE001 <input type="checkbox"/> DRDE003 <input type="checkbox"/> DRDE040
Restore	This function enables the user to restore the selected Message Subscription Rule Set. If the status of the selected Message Subscription Rule Set is already set to 'Active', this function is not available. Reference for error message: <input type="checkbox"/> DRDE001 <input type="checkbox"/> DRDE002 <input type="checkbox"/> DRDE004 <input type="checkbox"/> DRDE006

	<ul style="list-style-type: none"> <input type="checkbox"/> DRDE044 <input type="checkbox"/> DRDE200 <input type="checkbox"/> DRDE300
Details	This function enables the user to read the details of the selected Message Subscription Rule Set.
Revisions	This function enables the user to display the revisions of the selected Message Subscription Rule Set. {Split book approach}

~~2.8.2.5.2 Message Subscription Rule Set – Details Screen~~

Context of Usage

~~This screen displays detailed information on the selected message subscription rule set. It also contains a number of fields regarding message subscription rules set, for which you can enter new data or edit existing data. You can check the data and proceed further by clicking on the buttons below. Message Subscription Rule List is only shown after clicking on the related button, if the user is granted with the required privileges. Same principles applied for editing or deleting a Message Subscription Rule. This screen also allows you to show the list of related Message Subscription Rules, including their details on the same list.~~

Screen Access

- ~~Common >> Messages and Reports >> Message Subscription Rule Set >> Message Subscription Rule Set – search/list screen >> Click on the search and/or details button~~

Privileges

~~To use this screen, the following Privileges are needed:~~

- ~~Message subscription rule set details query~~
- ~~Delete message subscription rule set~~
- ~~Message subscription rule list query~~
- ~~Update message subscription rule~~
- ~~Delete message subscription rule~~

Screenshot

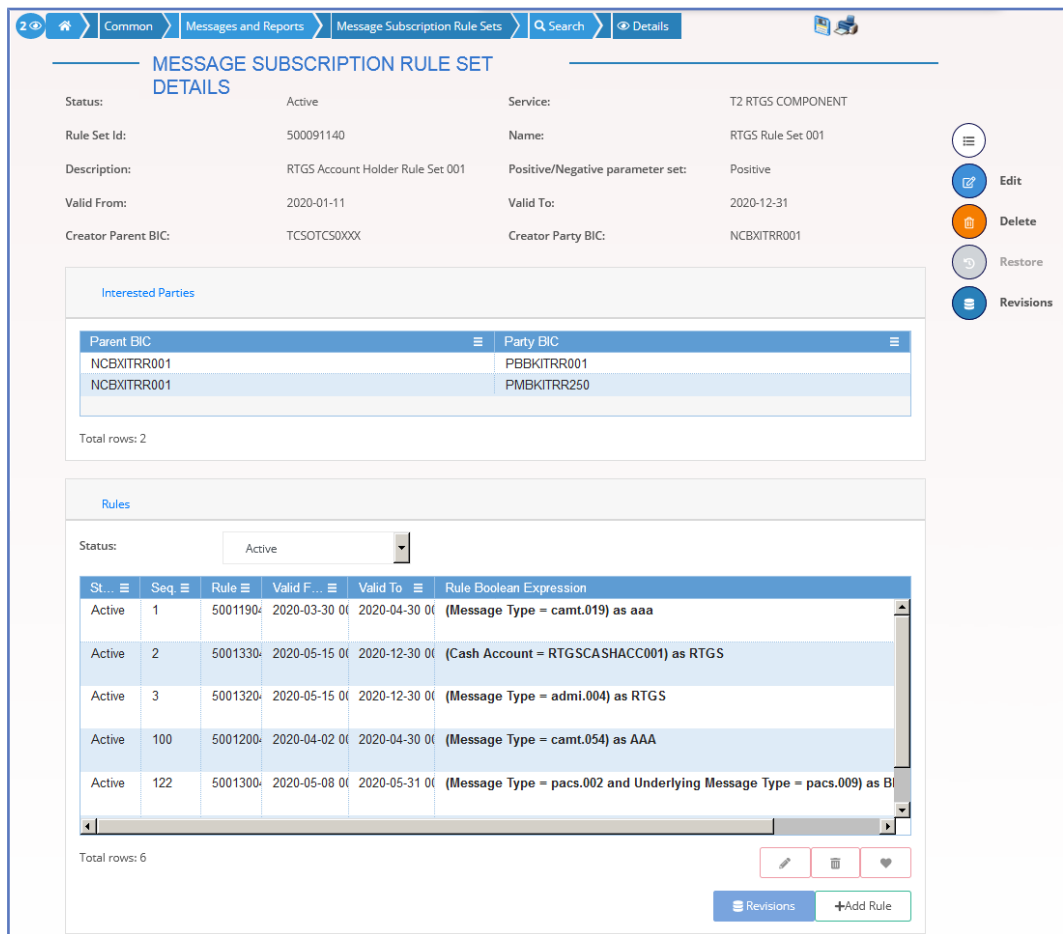


Illustration 234: **Message Subscription Rule Set—details screen** {Split book approach}

Fields

Description

Message Subscription Rule Set	
Status	<p>Shows the status of the Message Subscription Rule Set.</p> <p>If the status is set to 'Deleted', the selected Message Subscription Rule Set cannot be deleted or edited.</p> <p>If the status is set to 'Active', the selected Message Subscription Rule Set cannot be restored.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDE003 <input type="checkbox"/> DRDE044
Service	<p>Shows the service to which the Message Subscription Rule Set is applied for.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDE004
Rule Set Id	<p>Shows the technical identification of the Message Subscription Rule Set.</p>

Message Subscription Rule Set	
Name	Shows the name of the Message Subscription Rule Set. Reference for error message: <input type="checkbox"/> DRDE200
Description	Shows the description of the Message Subscription Rule Set.
Valid From	Shows the date from which the Message Subscription Rule Set is valid. Reference for error message: <input type="checkbox"/> DRDE003 <input type="checkbox"/> DRDE006
Valid To	Shows the date until which the Message Subscription Rule Set is valid. Reference for error message: <input type="checkbox"/> DRDE003 <input type="checkbox"/> DRDE006
Positive/Negative parameter set	Shows the way in which the message subscription rule set shall be used.
Creator Parent BIC	Shows the Parent BIC of the Party who sets up the rule sets for the "Interested Parties".
Creator Party BIC	Shows the Party BIC of the Party who sets up the rule sets for the "Interested Parties".
Interested Parties	
Parent BIC	Shows the Parent BIC of the interested party. Reference for error message: <input type="checkbox"/> DRDE002
Party BIC	Shows the Party BIC of the interested party. Reference for error message: <input type="checkbox"/> DRDE002

Rules	
Status	<p>Select the status of the Message subscription rule from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted
Status	<p>Shows the status of the Message Subscription Rule. If the status is set to 'Deleted', the selected Message Subscription Rule cannot be deleted or edited. If the status is set to 'Active', the selected Message Subscription Rule cannot be restored.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDF002 <input type="checkbox"/> DRDF003 <input type="checkbox"/> DRDF044
Seq.	<p>Shows the sequence related to the Message Subscription Rule.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDF005
Rule	<p>Shows the technical identification of the Message Subscription Rule.</p>
Valid From	<p>Shows the date from which the Message Subscription Rule is valid.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDF003 <input type="checkbox"/> DRDF007
Valid To	<p>Shows the date until which the Message Subscription Rule is valid.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDF003 <input type="checkbox"/> DRDF008
Rule Boolean Expression	<p>Shows the parameters applicable to the rule.</p>

Buttons

Edit	<p>This function enables the user to edit the details of the displayed Message Subscription Rule Set.</p> <p>If the status of the displayed Message Subscription Rule Set is already set to 'Deleted', or it is closed, this function is not available.</p>
Delete	<p>This function enables the user to delete the displayed Message Subscription Rule Set.</p> <p>If the status of the displayed Message Subscription Rule Set is already set to 'Deleted', or it is closed, this function is not available.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDE001 <input type="checkbox"/> DRDE003 <input type="checkbox"/> DRDE040
Restore	<p>This function enables the user to restore the displayed Message Subscription Rule Set.</p> <p>If the status of the displayed Message Subscription Rule Set is already set to 'Active', this function is not available.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDE001 <input type="checkbox"/> DRDE002 <input type="checkbox"/> DRDE004 <input type="checkbox"/> DRDE006 <input type="checkbox"/> DRDE044 <input type="checkbox"/> DRDE200 <input type="checkbox"/> DRDE300
Revisions	<p>This function enables the user to display the revisions of the displayed Message Subscription Rule Set.</p>
Add Rule	<p>This function enables the user to create a new Messages Subscription Rule.</p>
Revisions	<p>This function enable the user to access the Revisions – Select/List screen related to the selected Messages Subscription Rule. {Split book approach}</p>

2.8.2.5.3 Message Subscription Rule Set – New/Edit Screen

Context of Usage

This screen contains a number of fields regarding message subscription rule sets. You can enter new data or edit existing data. Afterwards you can proceed further by clicking on the buttons below. You can use this screen either in 2-eyes or in 4-eyes mode. There is no usage restriction for this screen.

Screen Access

- Common >> Messages and Reports >> Message Subscription Rule Set >> New
- Common >> Messages and Reports >> Message Subscription Rule Set >> Message Subscription Rule Set – search/list screen >> click on New or Edit button

Privileges

To use this screen, the following Privileges are needed:

- Create Message Subscription Rule Set
- Update Message Subscription Rule Set

Screenshot

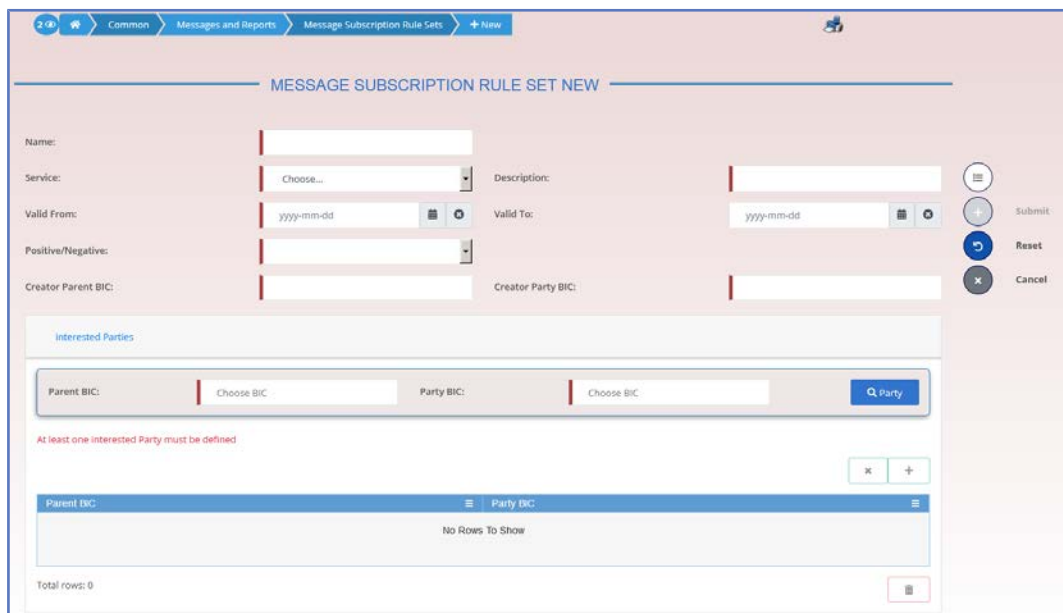


Illustration 235: Message Subscription Rule Set – new/edit screen {Split book approach}

Fields

Description

Message Subscription Rule Set	
Rule Set Id	Shows the technical identification of the Message Subscription Rule Set. This field is visible only in edit mode and it is read-only.
Name	Enter the name assigned to the Message Subscription Rule Set. Reference for error message: <input type="checkbox"/> DRCE200

Message Subscription Rule Set	
	<p><input type="checkbox"/> DRUE200</p> <p>This field is mandatory.</p> <p>Required format is: max. 35x characters.</p>
Description	<p>Enter the description assigned to the Message Subscription Rule Set.</p> <p>This field is mandatory.</p> <p>Required format is: max. 350x characters.</p>
Service	<p>Select the service to which the Message Subscription Rule Set shall be applied for. Possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> TIPS SERVICE <input type="checkbox"/> T2 CLM COMPONENT <input type="checkbox"/> T2 RTGS COMPONENT <input type="checkbox"/> T2S <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCE003 <p>This field is mandatory.</p> <p>This field is read-only in edit mode.</p>
Valid From	<p>Enter the date from which the Message Subscription Rule Set is valid or use the calendar icon.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCE009 <input type="checkbox"/> DRCE010 <input type="checkbox"/> DRUE205 <input type="checkbox"/> DRUE206 <input type="checkbox"/> DRUE207 <p>This field is mandatory in create mode.</p> <p>In edit mode, if the date is in the past and if the user is different from Service Operator, this field is read-only. Otherwise the field should be editable.</p> <p>Required format is: Date.</p>
Valid To	<p>Enter the date until which the Message Subscription Rule Set is valid or use the calendar icon.</p> <p>Reference for error message:</p>

Message Subscription Rule Set	
	<p><input type="checkbox"/> DRCE010</p> <p><input type="checkbox"/> DRUE206</p> <p><input type="checkbox"/> DRUE207</p> <p>Required format is: Date.</p>
Positive/Negative parameter set	<p>Select the way in which the Message Subscription Rule Set must be used from the possible values:</p> <p><input type="checkbox"/> Positive</p> <p><input type="checkbox"/> Negative</p> <p>This field is mandatory.</p> <p>For TIPS and T2, only Positive value is possible.</p>
Creator Parent BIC	<p>Enter or select the Parent BIC of the Party who sets up the rule sets for the “Interested Parties”.</p> <p>This field is mandatory in create mode.</p> <p>This field is read only in edit mode.</p> <p>This field is visible only when the user is Service Operator or NCB user.</p> <p>If the user is a NCB user, this field contains the parent BIC of the party the user is performing the operation belongs to.</p> <p>Reference for error message:</p> <p><input type="checkbox"/> DRCE001</p> <p><input type="checkbox"/> DRCE006</p> <p><input type="checkbox"/> DRUE010</p> <p>Required format is: max. 11x characters.</p>
Creator Party BIC	<p>Enter or select the Party BIC of the Party who sets up the rule sets for the “Interested Parties”.</p> <p>This field is mandatory in create mode.</p> <p>This field is read only in edit mode.</p> <p>This field is visible and enabled only when the user is NCB user.</p> <p>Reference for error message:</p> <p><input type="checkbox"/> DRCE001</p> <p><input type="checkbox"/> DRCE006</p> <p><input type="checkbox"/> DRUE010</p>

Message Subscription Rule Set	
	Required format is: max. 11x characters.
Interested Parties	
Parent BIC	<p>Enter or select the Parent BIC of the interested party.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCE002 <input type="checkbox"/> DRCE005 <input type="checkbox"/> DRUE004 <input type="checkbox"/> DRUE005 <input type="checkbox"/> DRUE007 <input type="checkbox"/> DRUE010 <p>This field is mandatory in create mode.</p> <p>Required format is: max. 11x characters.</p>
Party BIC	<p>Enter or select the Party BIC of the interested party.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCE002 <input type="checkbox"/> DRCE005 <input type="checkbox"/> DRUE004 <input type="checkbox"/> DRUE005 <input type="checkbox"/> DRUE007 <input type="checkbox"/> DRUE010 <p>This field is mandatory in create mode.</p> <p>Required format is: max. 11x characters.</p>

Buttons

Buttons	
Submit	<p>This function enables the user to create or edit a Message Subscription Rule Set.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCE001 <input type="checkbox"/> DRCE002 <input type="checkbox"/> DRCE003 <input type="checkbox"/> DRCE005 <input type="checkbox"/> DRCE006 <input type="checkbox"/> DRCE009

	<input type="checkbox"/> DRCE010 <input type="checkbox"/> DRCE100 <input type="checkbox"/> DRCE200 <input type="checkbox"/> DRCE300 <input type="checkbox"/> DRUE001 <input type="checkbox"/> DRUE003 <input type="checkbox"/> DRUE004 <input type="checkbox"/> DRUE005 <input type="checkbox"/> DRUE006 <input type="checkbox"/> DRUE007 <input type="checkbox"/> DRUE008 <input type="checkbox"/> DRUE010 <input type="checkbox"/> DRUE200 <input type="checkbox"/> DRUE205 <input type="checkbox"/> DRUE206 <input type="checkbox"/> DRUE207 <input type="checkbox"/> DRUE300
Reset	This function enables the user to set all fields to default value and blanks out all optional fields.
Cancel	This function enables the user to cancel the process and return to the previous screen. {Split book approach}

Context of Usage

2.8.2.5.4 Message Subscription Rule—New/Edit Screen

The screen “New/Edit Messages Subscription Rule” enables the user to create a new Messages Subscription Rule or to edit an existing one. A Messages Subscription Rule consists of grouped parameters; every parameter is of a specific Parameter Type. Each rule is a box and contains a set of boxes for the related groups. The users can add or drop an entire group or add or delete specific parameters inside a group. Duly authorised users can refer, when managing a message subscription, to accounts under their Party ownership or for which their party is configured as co-manager. There is no usage restriction for this screen.

- Screen Access**
- ~~Common >> Messages and Reports >> Message Subscription Rule Set >> Message Subscription Rule Set – search/list screen >> Click on the search button >> Click on the details button >> Click on the Message Subscription Rule button >> Click on the add rule button~~
 - ~~Common >> Messages and Reports >> Message Subscription Rule Set >> Message Subscription Rule Set – search/list screen >> Click on the search button >> Click on the details button >> Click on the Message Subscription Rule button >> select a Rule and click on edit rule button~~

Privileges To use this screen, the following Privileges are needed:

- ~~Create Message Subscription Rule~~
- ~~Update Message Subscription Rule~~
- ~~Message Subscription Rule List Query~~
- ~~Message Subscription Rule Set Details Query~~
- ~~Message Subscription Rule Set List Query~~

Screenshot

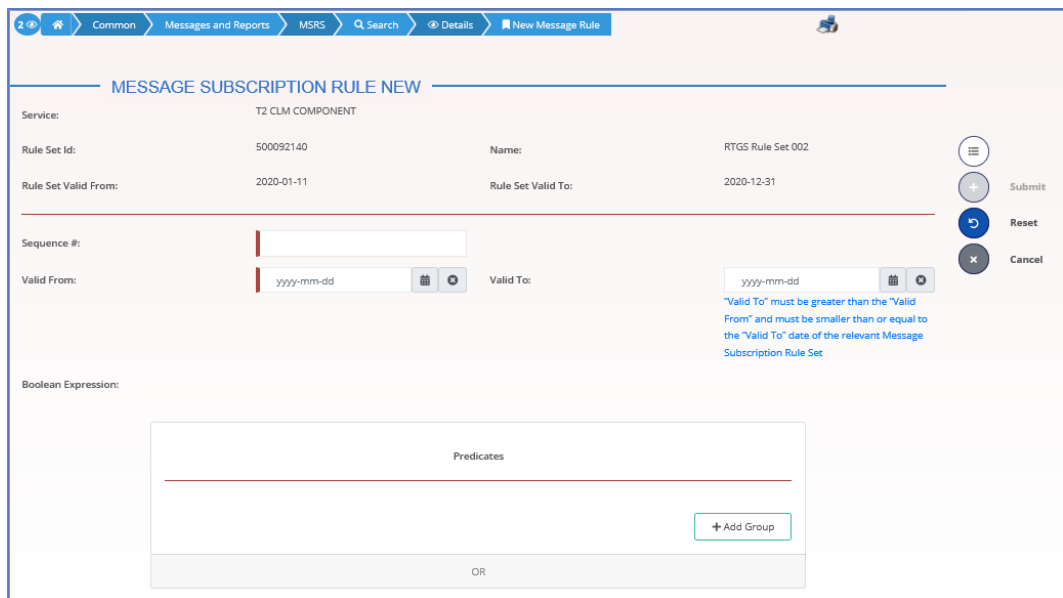


Illustration 236: ~~Message Subscription Rule Set – new screen~~ {Split book approach}

Fields

Description

Message Subscription Rule	
Service	Shows the Service of the message subscription rule set. This field is read only.
Rule Set Id	Shows the technical identification of the message subscription rule set. This field is read only.
Name	Shows the name of the message subscription rule set. This field is read only.

Message Subscription Rule	
Rule Set Valid From	Shows the date from which the message subscription rule is valid. This field is read only. Displayed format is: Date.
Rule Set Valid To	Shows the date until which the message subscription rule is valid. This field is read only. Displayed format is: Date.
Rule data	
Rule	Shows the technical identification of the message subscription rule. This field is read only and visible only in edit mode.
Sequence #	This field enables the user to enter the sequence number related to the message subscription rule. Reference for error message: <input type="checkbox"/> DRCF003 <input type="checkbox"/> DRCF005 This field is mandatory. Required format is: Numeric
Valid From	This field enables the user to enter the date from which the message subscription rule is valid. Reference for error message: <input type="checkbox"/> DRCF005 <input type="checkbox"/> DRCF006 <input type="checkbox"/> DRCF007 <input type="checkbox"/> DRUF004 <input type="checkbox"/> DRUF006 <input type="checkbox"/> DRUF007 This field is mandatory. If the date is in the past, this field is read-only. Required format is: Date.
Valid To	This field enables the user to enter the date until which the message subscription rule is valid.

Rule data	
	<p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCF007 <input type="checkbox"/> DRUF004 <input type="checkbox"/> DRUF007 <p>Required format is: Date.</p>
Boolean Expression	<p>This field shows the groups of parameters related to a message subscription rule.</p> <p>This field is read only. It is filled by adding a new group to the groups' box.</p> <p>Example of a Boolean expression of a rule with two groups: (Message type = XX AND Cash Account = YYY) as Group A OR (Message type = WW AND Cash Account = ZZZ) as Group B.</p>
Predicates (Set of groups of Parameters)	
<p>Enables the user to enter the groups of parameters related to a message subscription rule.</p> <p>It is possible to add one or more predicate to a group. A predicate is a parameter and the user is allowed to enter the predicates one by one. The user must select the type of parameter to be added in a select box and the relevant input field(s) is/are shown.</p> <p>When adding a predicate to a group, select box must show the parameter types consistent with the selected Service:</p> <p>In a group a parameter can appear only once. The aforementioned select box must not show a parameter type if already present in the group.</p> <p>The already inserted parameters in a group can be amended.</p>	
Group	<p>Group of the Parameters related to a Rule. When the user clicks on the edit group button, the displayed pop-up shows a text field where inserting the Group Name.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCF060 <input type="checkbox"/> DRCF300 <input type="checkbox"/> DRUF300 <input type="checkbox"/> DRUF600 <p>This field is always present and mandatory.</p>

Predicates (Set of groups of Parameters)	
Messages Type	<p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing a set of values allowed for this field.</p> <p>This parameter type is selectable and mandatory for each service.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCF008 <input type="checkbox"/> DRCF010 <input type="checkbox"/> DRUF008 <input type="checkbox"/> DRUF010
Instruction Type	<p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing a set of values allowed for this field.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCF008 <input type="checkbox"/> DRUF008 <p>This parameter type is selectable when the service linked to the Rule Set is T2S.</p>
Message Status	<p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing a set of values allowed for this field.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCF008 <input type="checkbox"/> DRUF008 <p>This parameter type is selectable when the service linked to the Rule Set is T2S.</p>

Predicates (Set of groups of Parameters)	
Party	<p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows two auto-complete select boxes, Parent BIC and BIC with format 11x each.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"><input type="checkbox"/> DRCF008<input type="checkbox"/> DRUF008 <p>This parameter type is selectable when the service linked to the Rule Set is T2S.</p>
Securities Account Number	<p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows an auto-complete select box where inserting the Securities Account Identification Number.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"><input type="checkbox"/> DRCF008<input type="checkbox"/> DRUF008 <p>This parameter type is selectable when the service linked to the Rule Set is T2S.</p>
ISIN	<p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows an input-sensitive select box where inserting the Security ISIN.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"><input type="checkbox"/> DRCF008<input type="checkbox"/> DRUF008 <p>This parameter type is selectable when the service linked to the Rule Set is T2S.</p>
Cash Account	<p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button,</p>

Predicates (Set of groups of Parameters)	
	<p>the displayed pop-up shows an auto-complete select box where inserting the Cash Account Identification Number.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCF008 <input type="checkbox"/> DRCF051 <input type="checkbox"/> DRUF008 <input type="checkbox"/> DRUF051 <p>This parameter type is selectable for each service.</p>
Instruction Status	<p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing a set of values allowed for this field.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCF008 <input type="checkbox"/> DRUF008 <p>This parameter type is selectable when the service linked to the Rule Set is T2S.</p>
Instruction Status Reason Code	<p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing a set of values allowed for this field.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCF008 <input type="checkbox"/> DRUF008 <p>This parameter type is selectable when the service linked to the Rule Set is T2S.</p>
ISO Transaction Code	<p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing a set of values allowed for this field.</p> <p>Reference for error message:</p>

Predicates (Set of groups of Parameters)	
	<p><input type="checkbox"/> DRCF008</p> <p><input type="checkbox"/> DRUF008</p> <p>This parameter type is selectable when the service linked to the Rule Set is T2S.</p>
Currency	<p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing the currencies code.</p> <p>Reference for error message:</p> <p><input type="checkbox"/> DRCF008</p> <p><input type="checkbox"/> DRUF008</p> <p>This parameter type is selectable when the service linked to the Rule Set is T2S.</p>
Already Matched Flag	<p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing a set of values allowed for this field.</p> <p>Reference for error message:</p> <p><input type="checkbox"/> DRCF008</p> <p><input type="checkbox"/> DRUF008</p> <p>This parameter type is selectable when the service linked to the Rule Set is T2S.</p>
Settlement Transaction Condition Code	<p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing a set of values allowed for this field.</p> <p>Reference for error message:</p> <p><input type="checkbox"/> DRCF008</p> <p><input type="checkbox"/> DRUF008</p> <p>This parameter type is selectable when the service linked to the Rule Set is T2S.</p>

Predicates (Set of groups of Parameters)	
Business Sending Party	<p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows two auto-complete select boxes, Parent BIC and BIC with format 11x each.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCF008 <input type="checkbox"/> DRUF008 <p>This parameter type is selectable when the service linked to the Rule Set is T2S.</p>
Business Sending User	<p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows a Select box that allows the customer to enter or choose a single Business Sending User.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCF008 <input type="checkbox"/> DRUF008 <p>This parameter type is selectable when the service linked to the Rule Set is T2S.</p>
Instructing Party	<p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows two auto-complete select boxes, Parent BIC and BIC with format 11x each.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCF008 <input type="checkbox"/> DRUF008 <p>This parameter type is selectable when the service linked to the Rule Set is T2S.</p>
Multi-addressee BIC	<p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows an auto-complete select box, BIC with format 11x.</p>

Predicates (Set of groups of Parameters)	
	<p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCF008 <input type="checkbox"/> DRUF008 <p>This parameter type is selectable when the service linked to the Rule Set is T2 RTGS.</p>
Business Case Code	<p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing a set of values allowed for this field.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCF008 <input type="checkbox"/> DRUF008 <p>This parameter type is selectable if the service linked to the Rule Set is T2 CLM or T2 RTGS.</p>
Priority	<p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing a set of values allowed for this field.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCF008 <input type="checkbox"/> DRUF008 <p>This parameter type is selectable when the service linked to the Rule Set is T2 RTGS</p>
Underlying Message Type	<p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing a set of values allowed for this field.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCF008 <input type="checkbox"/> DRCF011 <input type="checkbox"/> DRUF008 <input type="checkbox"/> DRUF011

Predicates (Set of groups of Parameters)	
	This parameter type is selectable if the service linked to the Rule Set is T2 CLM or T2 RTGS.
Debit/Credit Indicator	<p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing a set of values allowed for this field.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCF008 <input type="checkbox"/> DRUF008 <p>This parameter type is selectable when the service linked to the Rule Set is TIPS.</p>

Buttons

Submit	<p>This function enables the user to create or edit a Message Subscription Rule according to the information filled in the fields.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCF001 <input type="checkbox"/> DRCF002 <input type="checkbox"/> DRCF003 <input type="checkbox"/> DRCF004 <input type="checkbox"/> DRCF005 <input type="checkbox"/> DRCF006 <input type="checkbox"/> DRCF007 <input type="checkbox"/> DRCF008 <input type="checkbox"/> DRCF009 <input type="checkbox"/> DRCF010 <input type="checkbox"/> DRCF011 <input type="checkbox"/> DRCF050 <input type="checkbox"/> DRCF051 <input type="checkbox"/> DRCF060 <input type="checkbox"/> DRCF200 <input type="checkbox"/> DRCF300 <input type="checkbox"/> DRCF310

	<input type="checkbox"/> DRUF001 <input type="checkbox"/> DRUF003 <input type="checkbox"/> DRUF004 <input type="checkbox"/> DRUF005 <input type="checkbox"/> DRUF006 <input type="checkbox"/> DRUF007 <input type="checkbox"/> DRUF008 <input type="checkbox"/> DRUF009 <input type="checkbox"/> DRUF010 <input type="checkbox"/> DRUF011 <input type="checkbox"/> DRUF044 <input type="checkbox"/> DRUF050 <input type="checkbox"/> DRUF051 <input type="checkbox"/> DRUF200 <input type="checkbox"/> DRUF300 <input type="checkbox"/> DRUF310 <input type="checkbox"/> DRUF600
Add Group	This function enables the user to add a group in the groups box in which the user can enter the name of the new group. After inserting a name and pushing the “ok” button in the popup, the group name is added to the Boolean Expression.
Edit Group	This function enables the user to edit a group name.
Delete Group	Pushing this button, the selected group is removed from the Boolean Expression with all its parameters.
Reset	This function restores the situation shown at opening of the page.
Cancel	This function enables the user to cancel the creation or the edit action. No operations are performed.
Add predicate	If the user pushes this button, the system shows a pop-up with select box to choose the proper parameter type. After selecting the parameter type, the field(s) for the parameter value is/are shown. After in-

	serting a value and pushing the “ok” button in the popup, a value is inserted in the selected relevant group box.
Edit predicate	If the user pushes this button, the system shows a pop-up with a editable select box containing the actual Parameter Value. The user can change the Parameter Value. After editing a new value and pushing the “ok” button in the popup, the new value appears in the relevant group box.
Delete predicate	Pushing this button, the selected predicate is removed from the relevant group. {Split book approach}

2.8.2.5.5 Report Configurations - Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for report configuration. The search results are displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below. Duly authorised users can see and manage Report configuration under their datascope or configured for Cash Accounts they own or co-manage

Screen Access

- Common >> Messages and Reports >> Report Configurations >> Search

Privileges

To use this screen, the following Privileges are needed:

- Report configuration list query
- Delete report configuration

Screenshot

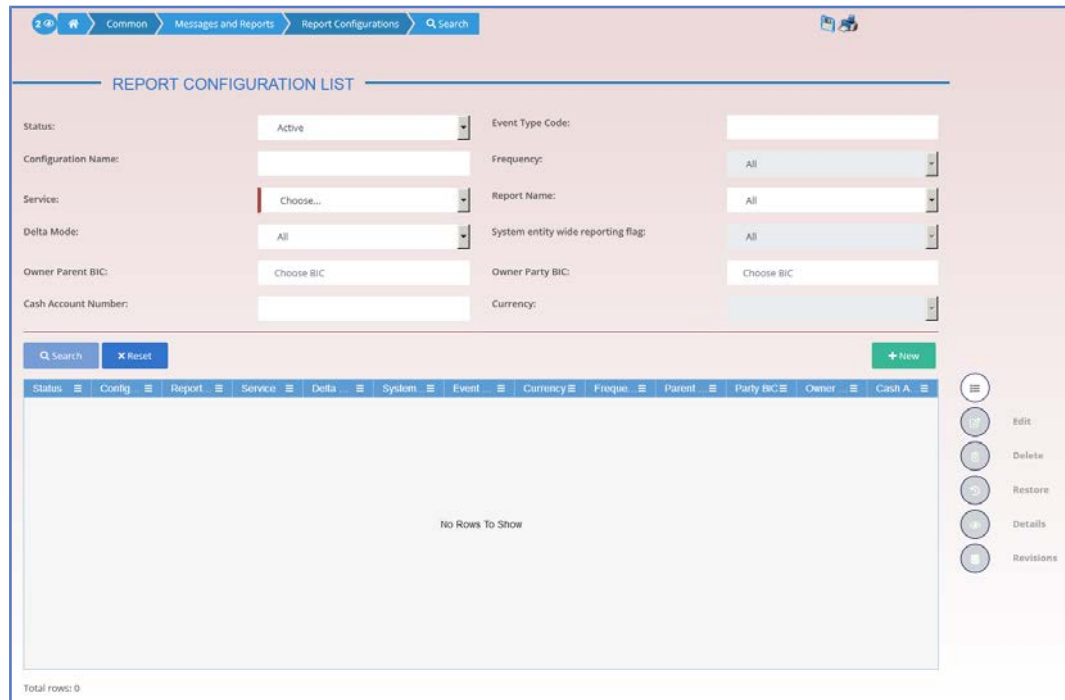


Illustration 237: **Report Configuration – search/list screen** {Split book approach}

**Fields
Description**

Report Configuration – Search Criteria	
Status	<p>Select the status of the Report Configuration from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDV009 <input type="checkbox"/> DRDV020 <input type="checkbox"/> DRDV030
Configuration Name	<p>Enter the Name of the Report Configuration to be searched.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDV008 <p>Required format is: max. 35x characters.</p>
Report Name	<p>Select the name of the report type from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> TIPS Statement of Accounts <input type="checkbox"/> TIPS Statement of Account Turnover

Report Configuration – Search Criteria

- TIPS Directory**
- TIPS Directory Update**
- MPL Repository Maintenance Report**
- CLM Statement of Accounts**
- CLM Repository**
- CLM Repository Update**
- RTGS Statement of Accounts**
- RTGS Repository**
- RTGS Repository Update**
- 2S Statement of holdings**
- T2S Statement of transactions**
- T2S Statement of pending instructions**
- T2S Statement of settlement allegements**
- T2S Statement of accounts**
- T2S Statement of static data for parties**
- T2S Statement of static data for securities**
- T2S Statement of static data for securities ac-
counts**
- T2S Statement of static data for T2S dedicated
cash accounts**
- T2S Current settlement day cash information re-
port**
- T2S Following settlement day cash forecast report**
- T2S Statement of executed amendment instruc-
tions for intra-balance movements**
- T2S Statement of executed amendment instruc-
tions for intra-position movements and settlement
instructions**
- T2S Statement of executed cancellation instruc-
tions for intra-balance movements**
- T2S Statement of executed cancellation instruc-
tions for intra-position movements and settlement
instructions**

Report Configuration – Search Criteria	
	<ul style="list-style-type: none"> <input type="checkbox"/> T2S Statement of pending amendment instructions for intra-balance movements <input type="checkbox"/> T2S Statement of pending amendment instructions for intra-position movements and settlement instructions <input type="checkbox"/> T2S Statement of pending cancellation instructions for intra-balance movements <input type="checkbox"/> T2S Statement of pending cancellation instructions for intra-position movements and settlement instructions <input type="checkbox"/> T2S Statement of pending intra-balance movements <input type="checkbox"/> T2S Statement of pending intra-position movements <input type="checkbox"/> T2S Statement of settled intra-balance movements <input type="checkbox"/> T2S Statement of settled intra-position movements <input type="checkbox"/> T2S Daily penalty list <input type="checkbox"/> T2S List of modified penalties <input type="checkbox"/> T2S Monthly aggregated amounts <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDV007
Service	<p>Select the service the report is created for, from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> TIPS SERVICE <input type="checkbox"/> T2-CLM COMPONENT <input type="checkbox"/> T2-RTGS COMPONENT <input type="checkbox"/> T2S <p>This field is blank as for default value.</p>
Event Type Code	<p>Enter the code of the event type that triggers the report.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDV006 <p>This field is enabled if the Service is different from TIPS.</p>

Report Configuration – Search Criteria	
	<p>Required format is: max. 4x characters.</p>
Currency	<p>Select the currency possibly linked to the event from the select box.</p> <p>This field must be enabled only if the field Event Type contains a search value, otherwise is disabled and not valid as search criteria.</p> <p>This field is enabled if the Service is different from TIPS SERVICE, T2 CLM COMPONENT, T2 RTGS COMPONENT.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDV070
Delta Mode	<p>Select whether the recipient receives the relevant report in delta mode or in full mode from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> Yes <input type="checkbox"/> No <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDV110
System entity wide reporting flag	<p>Select whether the report should include information about the entire system entity.</p> <p>This field is enabled only if the Service is T2S.</p>
Frequency	<p>Select the frequencies with which the reports are generated from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> 3 <input type="checkbox"/> 6 <input type="checkbox"/> 12 <p>If a non-TIPS Report is contained as search value in the field Report Name, this field must be disabled and not valid as search criteria.</p> <p>This field is enabled only if the Service is equal to TIPS.</p>
Owner Parent BIC	<p>Enter or select the Parent BIC of the interested report.</p>

Report Configuration – Search Criteria	
	<p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDV004 <p>This field is enabled if the Service is different from TIPS.</p> <p>Required format is: max. 11x characters.</p>
Owner Party BIC	<p>Enter or select the Party BIC of the interested report.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDV004 <p>This field is enabled if the Service is different from TIPS.</p> <p>Required format is: max. 11x characters.</p>
Cash Account Number	<p>Enter the Cash Account for which the report has been subscribed.</p> <p>This field is enabled if the Service is different from TIPS and T2S.</p> <p>Required format is: max. 34x characters.</p>
Report Configuration – List	
Status	<p>Shows the status of the Report Configuration.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDV009 <input type="checkbox"/> DRDV020 <input type="checkbox"/> DRDV030
Configuration Name	<p>Shows the name of the Report Configuration.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDV008
Report Name	<p>Shows the name of the report type.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDV007
Service	<p>Shows the service of the report.</p>
Delta Mode	<p>Shows whether the recipient receives the relevant report in delta mode or in full mode.</p> <p>Reference for error message:</p>

Report Configuration – List	
	<input type="checkbox"/> DRDV110
System-entity wide reporting flag	Shows whether the report should include information about the entire system entity.
Event Type	Shows the code of the event type that triggers the report. Reference for error message: <input type="checkbox"/> DRDV006
Currency	Shows the currency related to the event.
Frequency	Shows the frequencies with which the reports are generated.
Parent BIC	Shows the Parent BIC of the interested report. Reference for error message: <input type="checkbox"/> DRDV004 <input type="checkbox"/> DRDV005
Party BIC	Shows the Party BIC of the interested report. Reference for error message: <input type="checkbox"/> DRDV004 <input type="checkbox"/> DRDV005
Owner Party Short Name	Shows the short name of the party which owns the report.
Cash Account Number	Shows the cash account for which the report has been subscribed.

Buttons

Search	This function enables the user to start a search according to the filled in criteria.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
New	This function enables the user to create a new Report Configuration.
Edit	This function enables the user to edit the details of the selected Report Configuration. If the status of the selected Report Configuration is already set to 'Deleted', this function is not available.

<p>Delete</p>	<p>This function enables the user to delete the selected Report Configuration.</p> <p>If the status of the selected Report Configuration is already set to 'Deleted', this function is not available.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDV001 <input type="checkbox"/> DRDV020 <input type="checkbox"/> DRDV060
<p>Restore</p>	<p>This function enables the user to restore the selected Report Configuration.</p> <p>If the status of the selected Report Configuration is already set to 'Active', this function is not available.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDV001 <input type="checkbox"/> DRDV004 <input type="checkbox"/> DRDV005 <input type="checkbox"/> DRDV006 <input type="checkbox"/> DRDV007 <input type="checkbox"/> DRDV008 <input type="checkbox"/> DRDV009 <input type="checkbox"/> DRDV030 <input type="checkbox"/> DRDV050 <input type="checkbox"/> DRDV070 <input type="checkbox"/> DRDV100 <input type="checkbox"/> DRDV110 <input type="checkbox"/> DRDV120
<p>Details</p>	<p>This function enables the user to read the details of the selected Report Configuration.</p>
<p>Revisions</p>	<p>This function enables the user to display the revisions of the selected Report Configuration. {Split book approach}</p>

2.8.2.5.6 Report Configurations – Details Screen

Context of Usage

This screen displays detailed information on the selected report configuration. It also contains a number of fields regarding report configuration, for which you can enter new data or edit existing data. You can check the data and proceed further by clicking on the buttons below. There is no usage restriction for this screen. Duly authorised users can see and manage Report configuration under their datascope or configured for Cash Accounts they own or co-manage.

Screen Access

- Common >> Messages and Reports >> Report Configuration >> Report Configuration – search/list screen >> Click on the search and/or details button

Privileges

To use this screen, the following Privileges are needed:

- Report Configuration details query
- Delete Report Configuration

Screenshot

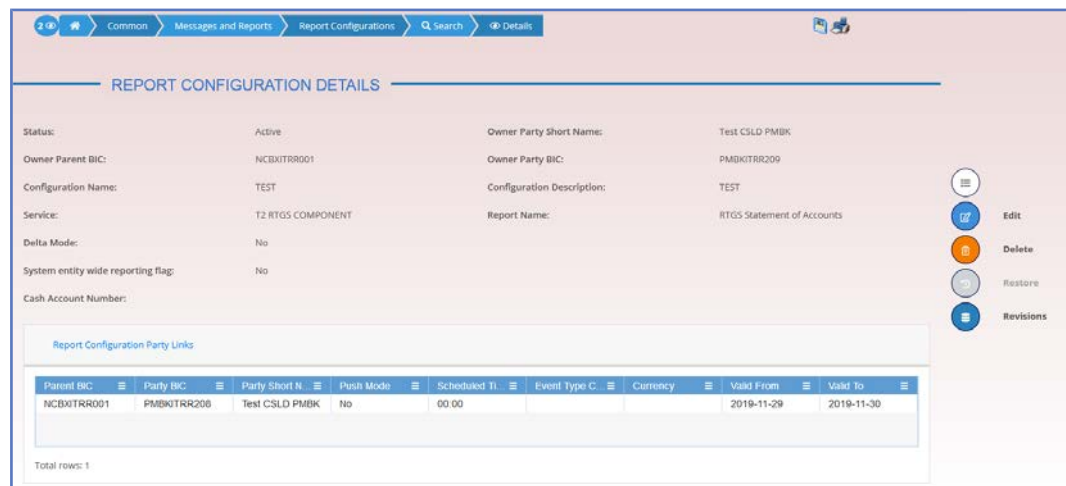


Illustration 238: Report Configuration – details screen (Split book approach)

Fields Description

Report Configuration –	
Status	<p>Shows the status of the Report Configuration.</p> <p>If the status is set to 'Deleted', the selected Report Configuration cannot be deleted or edited.</p> <p>If the status is set to 'Active', the selected Report Configuration cannot be restored.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDV009 <input type="checkbox"/> DRDV020 <input type="checkbox"/> DRDV030

Report Configuration –	
Owner Parent BIC	Shows the parent BIC of the party which owns the Report Configuration. Reference for error message: <input type="checkbox"/> DRDV004
Owner Party BIC	Shows the BIC of the party which owns the Report Configuration. Reference for error message: <input type="checkbox"/> DRDV004
Owner Party Short Name	Shows the short name of the party which owns the Report Configuration
Configuration Name	Shows the name of the Report Configuration. Reference for error message: <input type="checkbox"/> DRDV008
Service	Shows the service of the Report Configuration.
Configuration Description	Shows the description of the Report Configuration.
Delta Mode	Shows whether the recipient receives the relevant report in delta mode or in full mode.
Report Name	Shows the name of the report type. Reference for error message: <input type="checkbox"/> DRDV007
Frequency	Shows the frequencies with which the reports are generated. This field is not present if: <input type="checkbox"/> the Service is different from TIPS <input type="checkbox"/> the linked Report Name is a TIPS Report and the Delta Mode is set to FALSE.
System entity wide reporting flag	Shows whether the report should include information about the entire system entity. This field is set to “No”.
Cash Account Number	Shows the cash account for which the report has been subscribed.

Report Configuration Party Link	
Parent BIC	Shows the parent BIC of the party entitled to receive the report. Reference for error message: <input type="checkbox"/> DRDV005
Party BIC	Shows the BIC of the party entitled to receive the report. Reference for error message: <input type="checkbox"/> DRDV005
Party Short Name	Shows the short name of the party entitled to receive the report.
Push Mode	Shows whether the party will receive the report in push mode or not.
Scheduled time	Shows the time of execution of the report.
Event Type Code	Shows the code of the event type that triggers the report.
Currency	Shows the currency possibly linked to the event to which the single Report Configuration Party Link is linked to.
Valid from	Shows the date from which the Report Configuration Party Link is valid.
Valid to	Shows the date until which the Report Configuration Party Link is valid.

Buttons

Buttons	
Edit	This function enables the user to edit the details of the displayed Report Configuration. If the status of the displayed Report Configuration is already set to 'Deleted', this function is not available.
Delete	This function enables the user to delete the displayed Report Configuration. If the status of the displayed Report Configuration is already set to 'Deleted', this function is not available. Reference for error message: <input type="checkbox"/> DRDV001 <input type="checkbox"/> DRDV020 <input type="checkbox"/> DRDV060

Restore	<p>This function enables the user to restore the displayed Report Configuration.</p> <p>If the status of the displayed Report Configuration is already set to 'Active', this function is not available.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDV001 <input type="checkbox"/> DRDV004 <input type="checkbox"/> DRDV005 <input type="checkbox"/> DRDV006 <input type="checkbox"/> DRDV007 <input type="checkbox"/> DRDV008 <input type="checkbox"/> DRDV009 <input type="checkbox"/> DRDV030 <input type="checkbox"/> DRDV050 <input type="checkbox"/> DRDV070 <input type="checkbox"/> DRDV100 <input type="checkbox"/> DRDV110 <input type="checkbox"/> DRDV120
Revisions	<p>This function enables the user to display the revisions of the displayed Report Configuration. {Split book approach}</p>

~~2.8.2.5.7 Report Configuration—New/Edit Screen~~

Context of Usage

~~This screen contains a number of fields regarding report configuration. You can enter new data or edit existing data. Afterwards you can proceed further by clicking on the buttons below. Each report configuration specifies a type of report, its data scope (i.e. full or delta report), the set of parties entitled to get said type of report and the mode they get it (i.e. push or pull).~~

~~The screen has a slightly different behaviour depending on the function the user is submitting. Duly authorised users can see and manage Report configuration under their datascope or configured for Cash Accounts they own or co-manage.~~

~~You can use this screen either in 2-eyes or in 4-eyes mode.~~

Screen Access

- ~~Common >> Messages and Reports >> Report Configuration >> New~~

- ❑ **Common >> Messages and Reports >> Report Configuration >> Report Configuration – search/list screen >> click on New or Edit button**

Privileges

To use this screen, the following Privileges are needed:

- ❑ **Create Report configuration**
- ❑ **Update Report configuration**

Screenshot

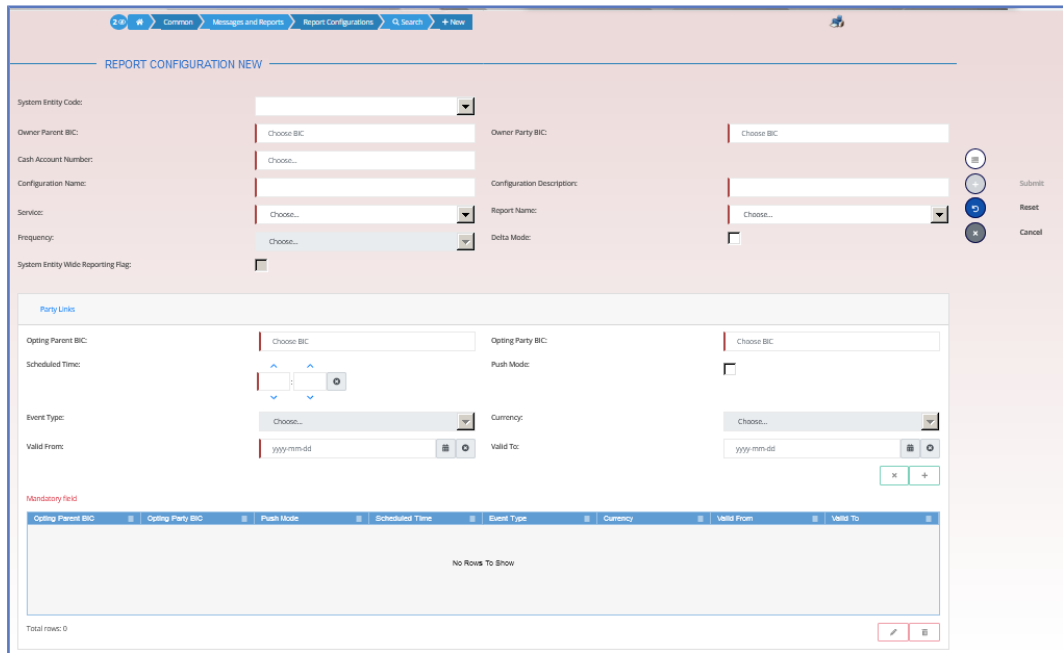


Illustration 239: Report Configuration – new/edit screen {Split book approach}

Fields

Description

Report Configuration –	
System-Entity Code	<p>Select the system entity for which a Service Operator wants to create a Report Configuration, acting on behalf of a certain user.</p> <p>This field is visible only to Service Operator.</p> <p>This field is read-only in edit mode.</p> <p>This field is enabled if the Service is different from TIPS.</p>
Owner Parent BIC	<p>Enter or select the parent BIC of the party which owns the report.</p> <p>In T2 CLM COMPONENT/T2 RTGS COMPONENT, this field is mandatory if Cash Account Number is not used. It is not allowed otherwise.</p>

Report Configuration –	
	<p>In creation mode, when the report is for T2S or TIPS service and the user belongs to a CSD Participant, Payment Bank or Ancillary System, the field contains the Parent BIC of the party the connected user belongs to, and it is read-only.</p> <p>This field is mandatory for TIPS and T2S.</p> <p>This field is read-only in edit mode.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCV004 <input type="checkbox"/> DRUV001 <p>Required format is: max. 11x characters.</p>
Owner Party BIC	<p>Enter or select the BIC of the party which owns the report.</p> <p>In T2 CLM COMPONENT/T2 RTGS COMPONENT, this field is mandatory if ash Account Number is not used. It is not allowed otherwise.</p> <p>This field is mandatory for TIPS and T2S.</p> <p>In creation mode, when the report is for T2S or TIPS service and the user belongs to a CSD Participant, Payment Bank or Ancillary System, the field contains the Party BIC of the party the connected user belongs to, and it is read-only.</p> <p>This field is read-only in edit mode.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCV004 <input type="checkbox"/> DRUV001 <p>Required format is: max. 11x characters.</p>
Cash Account Number	<p>Enter or select the Cash Account for which the report has to be subscribed.</p> <p>This field is mandatory if Parent and Party BIC are not used. It is not allowed otherwise.</p> <p>This field is read-only in edit mode.</p> <p>This field is not allowed for TIPS and T2S.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCV007

Report Configuration –	
	Required format is: max. 34x characters.
Configuration Name	<p>Enter the name of the Report Configuration.</p> <p>This field is mandatory in create mode.</p> <p>This field is read-only in edit mode.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCV008 <p>Required format is: max. 35x characters.</p>
Configuration Description	<p>Enter the description of the Report Configuration.</p> <p>This field is mandatory in create mode.</p> <p>This field is read-only in edit mode.</p> <p>Required format is: max. 350x characters.</p>
Report Name	<p>Select the name of the report type from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> TIPS Statement of Accounts <input type="checkbox"/> TIPS Statement of Account Turnover <input type="checkbox"/> TIPS Directory <input type="checkbox"/> TIPS Directory Update <input type="checkbox"/> MPL Repository Maintenance Report <input type="checkbox"/> CLM Statement of Accounts <input type="checkbox"/> CLM Repository <input type="checkbox"/> CLM Repository Update <input type="checkbox"/> RTGS Statement of Accounts <input type="checkbox"/> RTGS Directory <input type="checkbox"/> RTGS Directory Update <input type="checkbox"/> T2S Statement of holdings <input type="checkbox"/> T2S Statement of transactions <input type="checkbox"/> T2S Statement of pending instructions <input type="checkbox"/> T2S Statement of settlement alleggements <input type="checkbox"/> T2S Statement of accounts <input type="checkbox"/> T2S Statement of static data for parties <input type="checkbox"/> T2S Statement of static data for securities <input type="checkbox"/> T2S Statement of static data for securities accounts

Report Configuration –	
	<ul style="list-style-type: none"> <input type="checkbox"/> T2S Statement of static data for T2S dedicated cash accounts <input type="checkbox"/> T2S Current settlement day cash information report <input type="checkbox"/> T2S Following settlement day cash forecast report <input type="checkbox"/> T2S Statement of executed amendment instructions for intra-balance movements <input type="checkbox"/> T2S Statement of executed amendment instructions for intra-position movements and settlement instructions <input type="checkbox"/> T2S Statement of executed cancellation instructions for intra-balance movements <input type="checkbox"/> T2S Statement of executed cancellation instructions for intra-position movements and settlement instructions <input type="checkbox"/> T2S Statement of pending amendment instructions for intra-balance movements <input type="checkbox"/> T2S Statement of pending amendment instructions for intra-position movements and settlement instructions <input type="checkbox"/> T2S Statement of pending cancellation instructions for intra-balance movements <input type="checkbox"/> T2S Statement of pending cancellation instructions for intra-position movements and settlement instructions <input type="checkbox"/> T2S Statement of pending intra-balance movements <input type="checkbox"/> T2S Statement of pending intra-position movements <input type="checkbox"/> T2S Statement of settled intra-balance movements <input type="checkbox"/> T2S Statement of settled intra-position movements <input type="checkbox"/> T2S Daily penalty list <input type="checkbox"/> T2S List of modified penalties <input type="checkbox"/> T2S Monthly aggregated amounts <p>This field is mandatory in create mode.</p> <p>This field is read-only in edit mode.</p>

Report Configuration –	
	<p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCV009 <input type="checkbox"/> DRCV080 <input type="checkbox"/> DRCV110 <input type="checkbox"/> DRCV120 <input type="checkbox"/> DRCV125 <input type="checkbox"/> DRCV130 <input type="checkbox"/> DRUV080 <input type="checkbox"/> DRUV110 <input type="checkbox"/> DRUV120 <input type="checkbox"/> DRUV150
Service	<p>Select the service the report is created for, from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> TIPS SERVICE <input type="checkbox"/> T2-CLM COMPONENT <input type="checkbox"/> T2-RTGS COMPONENT <input type="checkbox"/> T2S <p>This field is mandatory in create mode.</p> <p>This field is read-only in edit mode.</p>
Delta Mode	<p>Select whether the recipient shall receive the relevant report in delta mode or in full mode.</p> <p>The Delta Mode option can be chosen only if the linked Report Name has the Delta Availability value set to true.</p> <p>If the Report Name is TIPS Directory, TIPS Directory Update, RTGS Directory, RTGS Directory Update, CLM Repository or CLM Repository Update, this field is disabled.</p> <p>This field is read-only in edit mode.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCV130 <input type="checkbox"/> DRCV135 <input type="checkbox"/> DRCV140

Report Configuration	
	<input type="checkbox"/> DRUV135
Frequency	<p>Select the frequencies with which the reports are generated from the possible values:</p> <input type="checkbox"/> 3 <input type="checkbox"/> 6 <input type="checkbox"/> 12 <p>In create and edit mode this field is enabled if the linked Report Name is a TIPS Report and the Delta Mode is set to TRUE.</p> <p>Reference for error message:</p> <input type="checkbox"/> DRCV130 <input type="checkbox"/> DRUV130
System Entity Wide Reporting Flag	<p>Select whether the report should include information about the entire system entity.</p> <p>If the selected Service is TIPS SERVICE, T2 CLM COMPONENT or T2 RTGS COMPONENT, the field is automatically set to false.</p> <p>This field is read-only in edit mode.</p> <p>Reference for error message:</p> <input type="checkbox"/> DRCV030 <input type="checkbox"/> DRCV135 <input type="checkbox"/> DRUV135
Party Links	
Opting Parent BIC	<p>Enter or select the parent BIC of the party entitled to receive the report.</p> <p>If the linked Report Name is a TIPS Report, the field is automatically filled with the Parent BIC of the Owner Party and it is not editable.</p> <p>This field is mandatory in create mode.</p> <p>This field is read-only in edit mode while editing the values of an existing item.</p> <p>Reference for error message:</p> <input type="checkbox"/> DRUV110 <p>Required format is: max. 11x characters.</p>

Party Links	
Opting Party BIC	<p>Enter or select the BIC of the party entitled to receive the report.</p> <p>If the linked Report Name is a TIPS Report, the field is automatically filled with the Party BIC of the Owner Party and it is not editable.</p> <p>This field is mandatory in create mode.</p> <p>This field is read-only in edit mode while editing the values of an existing item.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCV136 <input type="checkbox"/> DRUV110 <input type="checkbox"/> DRUV136 <input type="checkbox"/> DRUV140 <p>Required format is: max. 11x characters.</p>
Push Mode	<p>Select whether the party will receive the report in push mode or not.</p> <p>If the linked Report Name is a TIPS Report, the field is automatically set to TRUE.</p> <p>If this field is set to TRUE, the Opting Party cannot be defined as U2A-only in the relevant Service.</p> <p>This field is read-only in edit mode while editing the values of an existing item.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCV150 <input type="checkbox"/> DRUV120 <input type="checkbox"/> DRUV140
Scheduled Time	<p>Enter the time of execution of the report.</p> <p>If the linked Report Name is a TIPS Report or is equal to RTGS Directory, RTGS Directory Update, CLM Repository or CLM Repository Update, this field is disabled.</p> <p>When the Event Type Code is filled the Execution Time must be empty.</p> <p>This field is read-only in edit mode while editing the values of an existing item.</p>

Party Links	
	<p>Required format is: Time</p>
Event Type	<p>Select the code of the event type that triggers the report.</p> <p>If the linked Report Name is a TIPS Report or is equal to RTGS Directory, RTGS Directory Update, CLM Repository or CLM Repository Update, this field is disabled.</p> <p>When the Execution Time is filled the Event Type Code must be empty.</p> <p>This field is read-only in edit mode while editing the values of an existing item.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCV006 <input type="checkbox"/> DRCV080 <input type="checkbox"/> DRUV006 <input type="checkbox"/> DRUV080
Currency	<p>Select the currency possibly linked to the event to which the single Report Configuration Party Link is linked to.</p> <p>If the linked Report Name is a TIPS SERVICE, T2 CLM COMPONENT or T2 RTGS COMPONENT Report, the field is disabled.</p> <p>It is enabled when an Event Type currency dependent is selected, otherwise is disabled.</p> <p>This field is read-only in edit mode while editing the values of an existing item.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCV070 <input type="checkbox"/> DRCV080 <input type="checkbox"/> DRUV070 <input type="checkbox"/> DRUV080 <input type="checkbox"/> DRUV150
Valid from	<p>Enter the date from which the report configuration is valid or use the calendar icon.</p> <p>This field is mandatory in create mode.</p>

Party Links	
	<p>This field is read-only in edit mode while editing the values of an existing item.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCV040 <input type="checkbox"/> DRUV020 <input type="checkbox"/> DRUV030 <input type="checkbox"/> DRUV040 <p>Required format is: Date.</p>
Valid to	<p>Enter the date until which the report configuration is valid or use the calendar icon.</p> <p>Only the “valid to” field can be modified in edit mode while editing the values of an existing item.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCV050 <input type="checkbox"/> DRUV020 <input type="checkbox"/> DRUV040 <p>Required format is: Date.</p>

Buttons

Buttons	
Add Row	<p>This function adds an item in “Report Configuration Party Link” list using the values inserted in the related fields.</p>
Delete Row	<p>This function removes the selected item from “Report Configuration Party Link” list.</p>
Update Row	<p>This function updates the selected item in “Report Configuration Party Link” list using the values inserted in the related fields.</p>
Submit	<p>This function enables the user to create or edit a Report Configuration according to the information filled in the fields.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCV001 <input type="checkbox"/> DRCV004 <input type="checkbox"/> DRCV005 <input type="checkbox"/> DRCV006

<input type="checkbox"/>	DRCV007
<input type="checkbox"/>	DRCV008
<input type="checkbox"/>	DRCV009
<input type="checkbox"/>	DRCV020
<input type="checkbox"/>	DRCV030
<input type="checkbox"/>	DRCV040
<input type="checkbox"/>	DRCV050
<input type="checkbox"/>	DRCV070
<input type="checkbox"/>	DRCV080
<input type="checkbox"/>	DRCV100
<input type="checkbox"/>	DRCV110
<input type="checkbox"/>	DRCV120
<input type="checkbox"/>	DRCV125
<input type="checkbox"/>	DRCV130
<input type="checkbox"/>	DRCV135
<input type="checkbox"/>	DRCV136
<input type="checkbox"/>	DRCV140
<input type="checkbox"/>	DRCV150
<input type="checkbox"/>	DRUV001
<input type="checkbox"/>	DRUV003
<input type="checkbox"/>	DRUV005
<input type="checkbox"/>	DRUV006
<input type="checkbox"/>	DRUV010
<input type="checkbox"/>	DRUV020
<input type="checkbox"/>	DRUV030
<input type="checkbox"/>	DRUV040
<input type="checkbox"/>	DRUV070
<input type="checkbox"/>	DRUV080
<input type="checkbox"/>	DRUV100
<input type="checkbox"/>	DRUV110
<input type="checkbox"/>	DRUV120
<input type="checkbox"/>	DRUV130

	<input type="checkbox"/> DRUV135 <input type="checkbox"/> DRUV136 <input type="checkbox"/> DRUV140 <input type="checkbox"/> DRUV150
Reset	This function restores the situation shown at opening of the page.
Cancel	This function enables the user to cancel the creation or the update action. {Split book approach}

2.8.2.6 Network Configuration

2.8.2.6.1 Network Services – Search/List Screen

Context of Usage

This screen allows the authorised users to search for the Network Services. The Service Operators users can additionally manage the Network Services creating new ones or editing, deleting or restoring existing ones. The result list is sorted by default by “Network Service”, “Status” and “Network Service Description”.

Screen Access

Common >> Network Configuration >> Network Services >> Search

Privileges

To use this screen, the following Privileges are needed:

- Network Service List query
- Delete Network Service

Screenshot

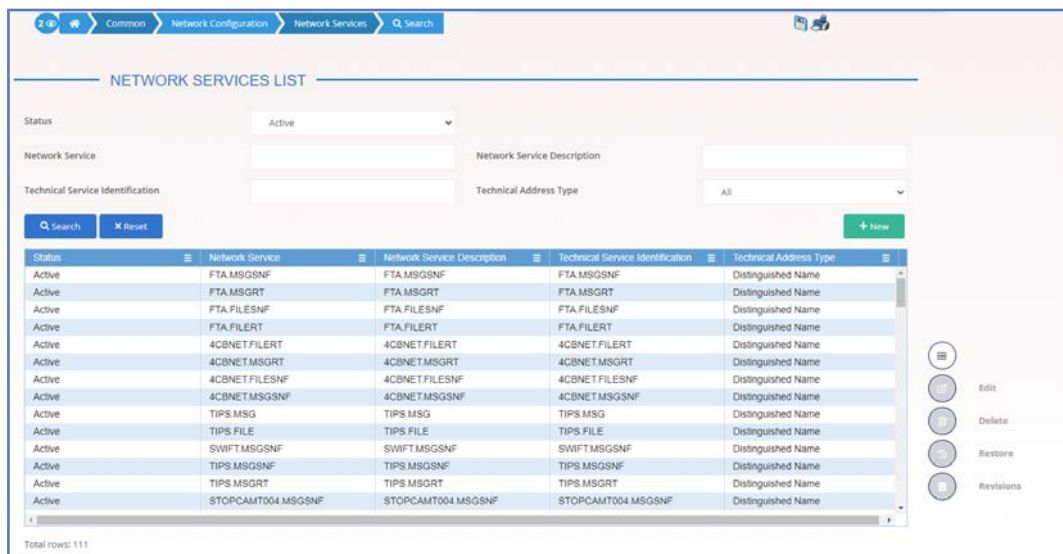


Illustration 240: Network Services – search/list screen {Split book approach}

Network Services – Search Criteria	
Fields	
Description	
Status	Select the status of the Network Services from the possible values: <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted
Network Service	Enter the name of the network service. Required format is: max. 35 characters
Network Service Description	Enter the name of the network service description. Required format is: max. 350 characters
Technical Service Identification	Enter the information needed to identify and use the network service. Required format is: max. 350 characters
Technical Address Type	Select the Technical Address Type from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> BIC <input type="checkbox"/> Distinguished Name <input type="checkbox"/> IP Address

Network Services – List	
Status	Shows the status of the network service.
Network Service	Shows the name of the network service.
Network Service Description	Shows the description of the network service.
Technical Service Identification	Shows the information needed to identify and use the network service.
Technical Address Type	Shows the type of technical address specified for the network service.

Buttons	
Search	This function enables the User to start a search according to the entered criteria. The results are displayed in a list on the same screen.

Reset	This function enables the User to set default search criteria and blanks out all optional criteria.
Revisions	This function enables the User to access the Display Revisions List screen related to the selected Network Services. {Split book approach }

2.8.2.6.2 Routing Search/List Screen

Context of Usage

This screen contains a number of fields regarding Routing configurations. By inputting the relevant data you can search for Routing configurations. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.

Screen Access

- Common >> Network Configuration >> Routing >> Search

Privileges

To use this screen, the following Privileges are needed:

- Routing List Query
- Delete Routing

Screenshot

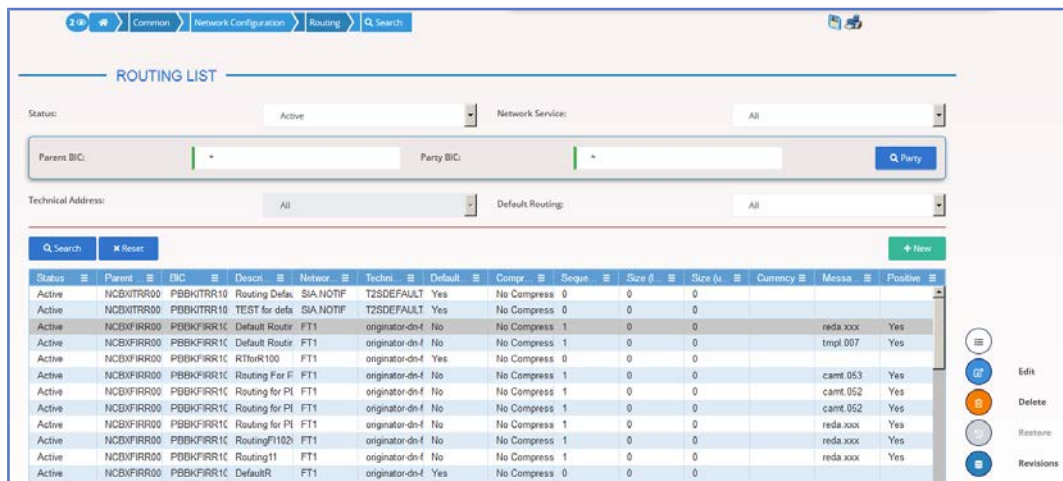


Illustration 241: Routing – search/list screen {Split book approach}

Fields Description

Routing – Search Criteria	
Status	Select the status of the Routing from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted

Routing – Search Criteria	
Network Service	Select the name of the network service related to the routing from the drop-down menu. The default value is All. Reference for error message: <input type="checkbox"/> DRDW003
Parent BIC	Enter or select the parent BIC of your party.
Party BIC	Enter or select the BIC of your party.
Technical Address	Select the technical address of the party from the drop-down menu. This field is initially blank and not selectable if the party and the relevant network service are not selected. This field contains the list of the Technical Addresses of the selected Party filtered by the selected Network Service (on the basis of the Technical Address Network Services Links). Reference for error message: <input type="checkbox"/> DRDW004
Default Routing	Select whether to filter default routings only from the drop-down menu. The default value is 'All'.
Routing – List	
Status	Shows the status of the Routing. Reference for error message: <input type="checkbox"/> DRDW002 <input type="checkbox"/> DRDW044
Parent BIC	Shows the parent BIC of your party. Reference for error message: <input type="checkbox"/> DRDW005
Party BIC	Shows the BIC of your party. Reference for error message: <input type="checkbox"/> DRDW005
Description	Shows the description of the Routing.
Network Service	Shows the name of the network service related to the Routing.

Routing – List	
	Reference for error message: <input type="checkbox"/> DRDW003
Technical Address	Shows the technical address of the party. Reference for error message: <input type="checkbox"/> DRDW004
Default Routing	Shows whether the routing is the default routing for all the services. Reference for error message: <input type="checkbox"/> DRDW007
Compression flag	Shows the type of compression selected.
Sequence	Shows the order in which the routing conditions for the same party are processed. Reference for error message: <input type="checkbox"/> DRDW006
Size (lower bound)	Shows the lower bound for file-based or message based network services.
Size (upper bound)	Shows the upper bound for file-based or message based network services.
Currency	Shows the linked currency code.
Message Type	Shows the name of the message related to the linked message type.
Positive	Shows whether the set of conditions represent a positive or negative list.

Buttons

Search	This function enables the user to start a search according to the criteria entered. The results are displayed on the same screen.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
New	This function enables the user to create a new Routing.
Edit	This function enables the user to edit the details of the selected Routing. If the status of the selected routing is set to 'Deleted', this function is not available.

Restore	<p>This function enables the user to restore a previously deleted routing. If the status of the selected routing is already set to 'Active', this function is not available.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDW001 <input type="checkbox"/> DRDW003 <input type="checkbox"/> DRDW004 <input type="checkbox"/> DRDW005 <input type="checkbox"/> DRDW006 <input type="checkbox"/> DRDW044
Revisions	<p>This function enables the user to display the revisions of the selected Routing.</p>
Delete	<p>This function enables the user to delete the selected routing, after confirmation. If the status of the selected routing is already set to 'Deleted', this function is not available.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDW001 <input type="checkbox"/> DRDW002 <input type="checkbox"/> DRDW007 {Split book approach}

2.8.2.6.3 Routing – New/Edit Screen

Context of Usage	<p>This screen contains a number of fields regarding Routing configurations. You can enter new data or edit existing data. Afterwards you can proceed further by clicking on the buttons below. NCBs can create Routing configurations acting on behalf of their Participants. Payment Banks, CSD Participant and Ancillary System can create Routing configurations for their own Technical Addresses.</p>
Screen Access	<ul style="list-style-type: none"> <input type="checkbox"/> Common >> Network Configuration >> Routing >> New <input type="checkbox"/> Common >> Network Configuration >> Routing >> Search >> click on New or Edit button
Privileges	<p>To use this screen, the following Privileges are needed:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Create Routing <input type="checkbox"/> Update Routing

Screenshot

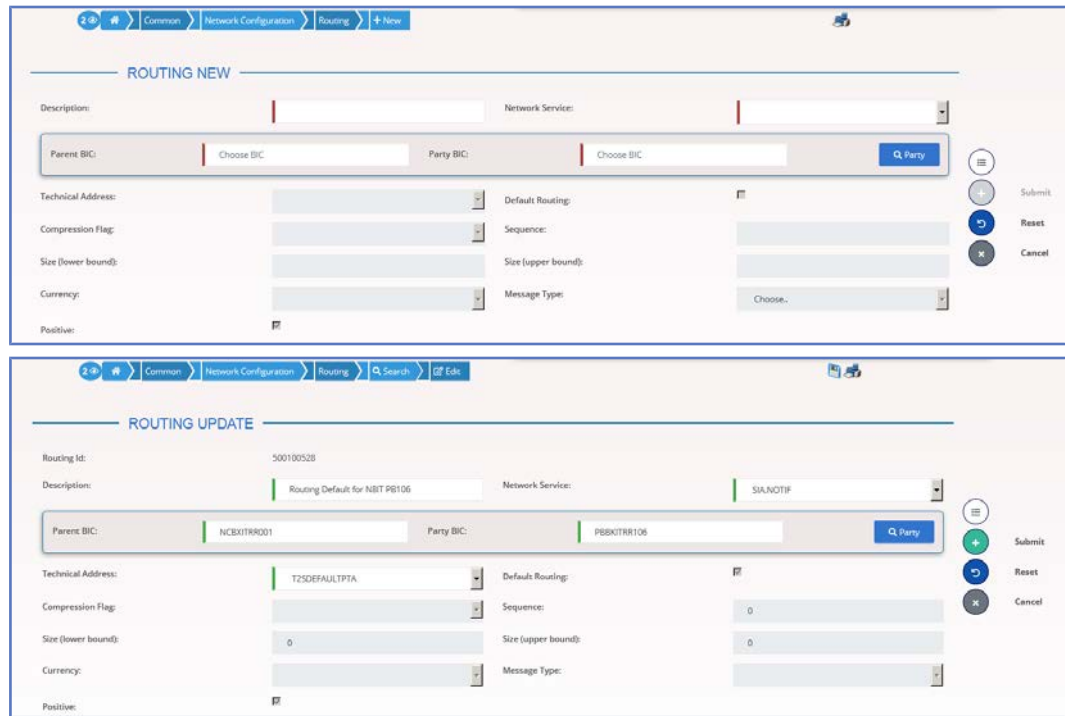


Illustration 242: Routing – new/edit screen (Split book approach)

Fields
Description

Routing	
Routing Identification	Shows the technical identification of the routing. The field is visible only in Edit mode and is read-only.
Description	Enter the description of the routing configuration. Required format is: max. 127x characters. This field is mandatory.
Network Service	Select the name of the network service related to the routing from the drop-down menu. In create mode only Network Services related to T2S/ TIPS/T2-CLM COMPONENT/T2RTGS COMPONENT services must be shown. In edit mode only Network Services that refer to the same Service of the existing instance must be shown. Required format is: max. 35x characters. This field is mandatory. For T2S night-time settlement related messages, only file-based network services can be selected. References for error messages: <input type="checkbox"/> DRCW002 <input type="checkbox"/> DRCW007

Routing	
	<input type="checkbox"/> DRCW014 <input type="checkbox"/> DRUW004 <input type="checkbox"/> DRUW007 <input type="checkbox"/> DRUW015 <input type="checkbox"/> DRUW016
Parent BIC	<p>Enter or select the parent BIC of the party.</p> <p>Required format is: max. 11x characters.</p> <p>This field is mandatory.</p> <p>References for error messages:</p> <input type="checkbox"/> DRCW003 <input type="checkbox"/> DRUW001
Party BIC	<p>Enter or select the BIC of the party.</p> <p>Required format is: max. 11x characters.</p> <p>This field is mandatory.</p> <p>References for error messages:</p> <input type="checkbox"/> DRCW003 <input type="checkbox"/> DRUW001
Technical Address	<p>Select the unique technical address of a party in CRDM (Distinguished Name).</p> <p>This field is empty and not selectable if the party and the relevant network service are not selected. This field contains the list of the Technical Addresses of the selected Party filtered by the selected Network Service name (on the basis of the Technical Address Network Services Links).</p> <p>Required format is: max. 256x characters (UTF-8 except for "<", ">" and "&").</p> <p>This field is mandatory.</p> <p>References for error messages:</p> <input type="checkbox"/> DRCW004 <input type="checkbox"/> DRUW006
Default Routing	<p>Select whether the routing is the default routing for the service and party.</p>

Routing	
	<p>This field is enabled only after the selection of the Network Service.</p> <p>This field is read-only in edit mode.</p> <p>If the selected Network Service is a T2S one, if this field is selected, the fields “Sequence”, “Size (lower bound)”, “Size (upper bound)”, “Currency”, “Message type” and “Positive” must be empty. If the selected Network Service is a TIPS or T2 (RTGS or CLM) one, if this field is selected, the field “Message type” must be empty.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCW006 <input type="checkbox"/> DRCW007 <input type="checkbox"/> DRCW008 <input type="checkbox"/> DRUW007
Compression Flag	<p>Select the type of compression selected.</p> <p>This field is allowed and mandatory only for T2S Network Services.</p> <p>The following compression values are admitted:</p> <ul style="list-style-type: none"> <input type="checkbox"/> No-Compression <input type="checkbox"/> ZIP-Algorithm <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCW014 <input type="checkbox"/> DRUW016
Sequence	<p>Enter the order number in which the routing conditions for the same party are processed.</p> <p>Required format is: Numeric.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCW005 <input type="checkbox"/> DRCW006 <input type="checkbox"/> DRCW008 <input type="checkbox"/> DRCW014 <input type="checkbox"/> DRUW008 <input type="checkbox"/> DRUW016

Routing	
	<p>This field is shown only when the selected Network Service is a T2S one.</p> <p>This field is mandatory if default routing is not selected and, if default routing is selected the field is read only. It is not allowed otherwise.</p>
Size (lower bound)	<p>Enter the number format, expressed as dimension in kilobyte, for lower bound size.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCW006 <input type="checkbox"/> DRCW008 <input type="checkbox"/> DRCW013 <input type="checkbox"/> DRCW014 <input type="checkbox"/> DRUW013 <input type="checkbox"/> DRUW014 <input type="checkbox"/> DRUW016 <p>This field is shown only when the selected Network Service is a T2S one.</p> <p>The lower bound value is in the range 0-32768 (0-32Mb), since the value is an integer expressed in kilobyte.</p> <p>If default routing is selected the field is read only.</p> <p>For night-time settlement related messages, this field cannot be used.</p>
Size (upper bound)	<p>Enter the number format, expressed as dimension in kilobyte, for upper bound size.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCW006 <input type="checkbox"/> DRCW008 <input type="checkbox"/> DRCW009 <input type="checkbox"/> DRCW013 <input type="checkbox"/> DRCW014 <input type="checkbox"/> DRUW009 <input type="checkbox"/> DRUW013

Routing	
	<p><input type="checkbox"/> DRUW014</p> <p><input type="checkbox"/> DRUW016</p> <p>This field is shown only when the selected Network Service is a T2S one.</p> <p>The upper bound value is in the range 0-32768 (0-32Mb), since the value is an integer expressed in kilobyte.</p> <p>If default routing is selected the field is read-only.</p> <p>For night-time settlement related messages, this field cannot be used.</p>
Currency	<p>Select the currency from the drop-down menu.</p> <p>If 'Default Routing' is selected, this field is read-only.</p> <p>References for error messages:</p> <p><input type="checkbox"/> DRCW006</p> <p><input type="checkbox"/> DRCW008</p> <p><input type="checkbox"/> DRCW011</p> <p><input type="checkbox"/> DRCW014</p> <p><input type="checkbox"/> DRUW011</p> <p><input type="checkbox"/> DRUW014</p> <p><input type="checkbox"/> DRUW016</p> <p>This field is shown only when the selected Network Service is a T2S one.</p>
Message Type	<p>Select the linked message type from the drop-down menu containing the list of type of messages defined in CRDM for the relevant Service (code without version and description) plus "NightTimeSettlementRelatedMessages".</p> <p>If 'Default Routing' is selected, this field is read-only.</p> <p>This field is disabled until the 'Network Service' field is selected. Then it must contain the Message Types configured in CRDM for the relevant Service.</p> <p>References for error messages:</p> <p><input type="checkbox"/> DRCW006</p>

Routing	
	<ul style="list-style-type: none"> <input type="checkbox"/> DRCW008 <input type="checkbox"/> DRCW010 <input type="checkbox"/> DRCW012 <input type="checkbox"/> DRUW007 <input type="checkbox"/> DRUW010 <input type="checkbox"/> DRUW012 <input type="checkbox"/> DRUW014
Positive	<p>This flag specifies whether the set of conditions represent a positive or negative list. A positive setting would specify the combinations of size boundaries, currencies and message types that shall be routed to a specific technical address using a specific network service. A negative setting would specify the combinations of size boundaries, currencies and message types that shall not be routed to the same technical address, via a specific network service.</p> <p>This field is mandatory if default routing is not selected.</p> <p>If default routing is selected the field is read only</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCW006 <input type="checkbox"/> DRCW008 <input type="checkbox"/> DRCW014 <input type="checkbox"/> DRUW016 <p>This field is shown only when the selected Network Service is a T2S one.</p>

Buttons

Submit	<p>This function enables the user to create or edit a routing according to the information filled in the fields.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCW001 <input type="checkbox"/> DRCW002 <input type="checkbox"/> DRCW003 <input type="checkbox"/> DRCW004

	<input type="checkbox"/> DRCW005 <input type="checkbox"/> DRCW006 <input type="checkbox"/> DRCW007 <input type="checkbox"/> DRCW008 <input type="checkbox"/> DRCW009 <input type="checkbox"/> DRCW010 <input type="checkbox"/> DRCW011 <input type="checkbox"/> DRCW012 <input type="checkbox"/> DRCW013 <input type="checkbox"/> DRCW014 <input type="checkbox"/> DRUW001 <input type="checkbox"/> DRUW003 <input type="checkbox"/> DRUW004 <input type="checkbox"/> DRUW006 <input type="checkbox"/> DRUW007 <input type="checkbox"/> DRUW008 <input type="checkbox"/> DRUW009 <input type="checkbox"/> DRUW010 <input type="checkbox"/> DRUW011 <input type="checkbox"/> DRUW012 <input type="checkbox"/> DRUW013 <input type="checkbox"/> DRUW014 <input type="checkbox"/> DRUW015 <input type="checkbox"/> DRUW016
Cancel	This function enables the user to cancel the process and return to the previous screen.
Reset	This function enables the user to set all fields to default value and blanks out all optional fields. {Split book approach}

2.8.2.6.4 BIC Directory Items - Search/List Screen

Context of Usage

~~This screen enables the user to display a list of BICs matching the entered criteria.~~

This screen contains a number of search fields. By inputting the relevant data, you can search for BIC directory objects. The search results will be displayed in a list, which is sorted by the values of the 'BIC' column in ascending order (default setting).

Screen Access

Common >> Network Configuration >> BIC Directory >> Search

Privileges

To use this screen, the following Privileges are needed:

BIC Query

Screenshot

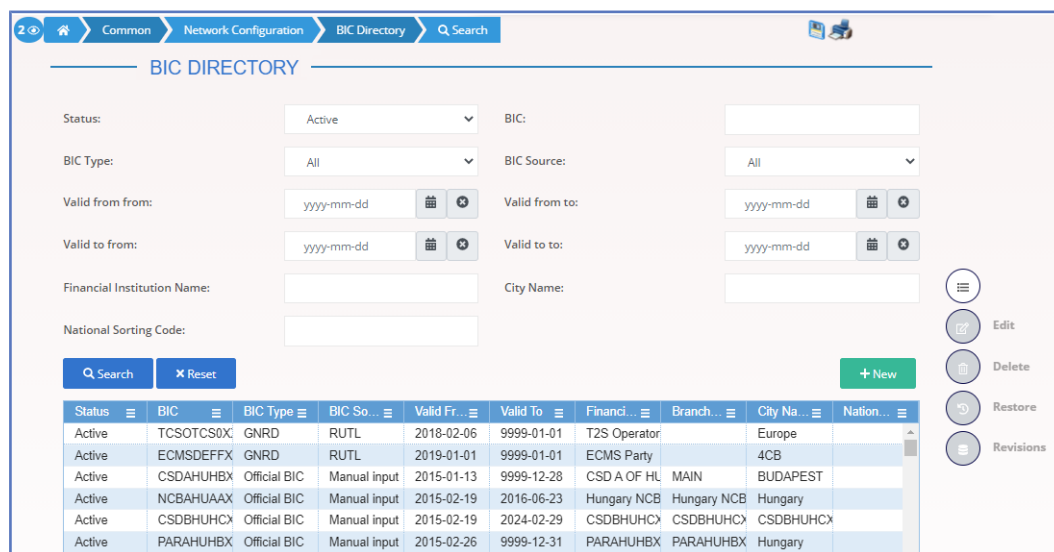


Illustration 243: BIC Directory – search/list screen (Split book approach)

Fields Description

BIC Directory – Search Criteria	
Status	<p>Select the status of the BIC Directory from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted
BIC	<p>Enter the BIC or the first part of the BIC you want to search.</p> <p>Required format is: max. 11 characters.</p>
BIC Type	<p>Select the classification for the BIC Type.</p> <p>The exhaustive list of possible values is as follows:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> Official BIC <input type="checkbox"/> Internal technical BIC
BIC Source	<p>Select the source of the BIC from the possible values:</p>

BIC Directory – Search Criteria	
	<input type="checkbox"/> All (default value) <input type="checkbox"/> Manual Input <input type="checkbox"/> Automated Loading
Valid from from	Enter or pick the lower bound of the search range for the date from which the BIC is valid. Required format is: Date.
Valid from to	Enter or pick the upper bound of the search range for the date from which the BIC is valid. Required format is: Date.
Valid to from	Enter or pick the lower bound of the search range for the date until which the BIC is valid. Required format is: Date.
Valid to to	Enter or pick the upper bound of the search range for the date until which the BIC is valid. Required format is: Date.
Financial Institution Name	Enter the institution name. Required format is: max. 35x characters.
City Name	Enter the name of the city in which the financial institution resides. Required format is: max. 35x characters.
National Sorting Code	Enter the code for the nation in which the financial institution resides. Required format is: max. 15x characters.

BIC Directory – List	
Status	Shows the status of the BIC.
BIC	Shows the BIC.
BIC Type	Shows the type of the BIC.
BIC Source	Shows the source of the BIC.
Valid From	Shows the date from which the BIC is valid.
Valid To	Shows the date until which the BIC is valid.
Financial Institution Name	Shows the name of the financial institution.

BIC Directory – List	
Branch Information	Shows the branch information of the financial institution.
City Name	Shows the name of the city in which the financial institution resides.
National Sorting Code	Shows the code for the nation in which the financial institution resides.

Buttons

Buttons	
Search	This function enables the user to start a search according to the criteria entered.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
Revisions	This function enables the user to access the Display Revisions List screen related to the selected BIC. {Split book approach}

2.8.2.7 Market Specific Configuration

2.8.2.7.1 CoSD Rule Sets - Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for CoSD rule sets. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.

This screen is not relevant for CB, payment bank and ancillary system users.

Screen Access

- Common >> Market-Specific Configuration >> CoSD Rule Set >> Search

Privileges

To use this screen, the following Privileges are needed:

- Conditional Security Delivery Rule List Query
- Delete Conditional Security Delivery Rule Set

Screenshot

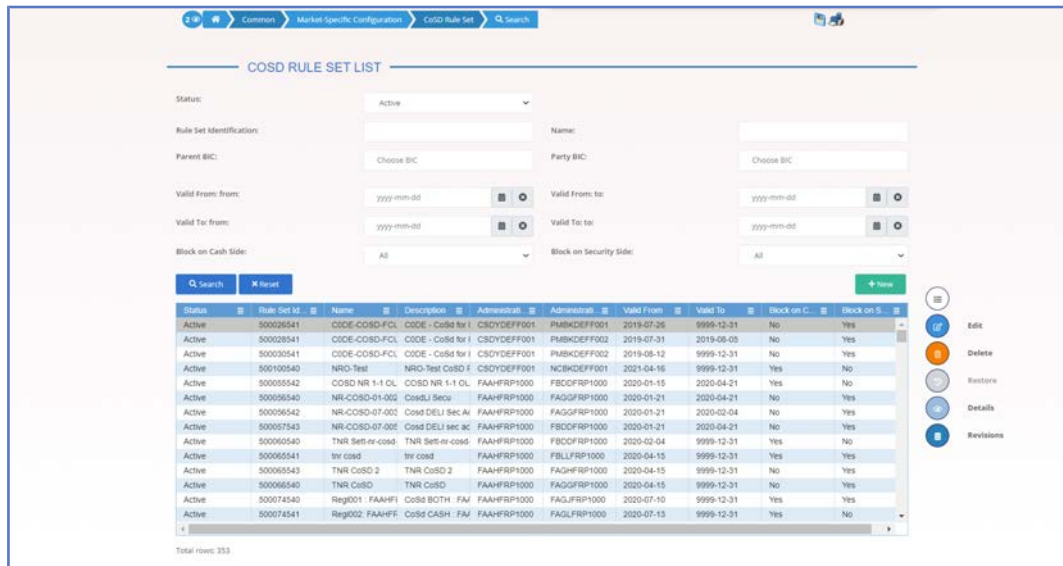


Illustration 244: CoSD Rule Sets – search/list screen (Split book approach)

Fields

Description

CoSD Rule Set – Search Criteria	
Status	<p>Select the status of the conditional securities delivery rule set from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All <input checked="" type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted
Rule Set Identification	<p>Enter the identification of the conditional securities delivery rule set.</p> <p>Required format is: Numeric</p>
Name	<p>Enter the Name of the conditional securities delivery rule set.</p> <p>Required format is: max. 35x characters.</p>
Administrating Parent BIC	<p>Enter or select the Parent BIC of the administrating party.</p> <p>Required format is: max. 11x characters.</p>
Administrating Party BIC	<p>Enter or select the Party BIC of the administrating party.</p> <p>Required format is: max. 11x characters.</p>
Valid From: from	<p>Enter or pick the lower bound of the search range for the date from which the conditional securities delivery rule set is valid.</p> <p>Required format is: Date.</p>

CoSD Rule Set – Search Criteria	
Valid From: to	Enter or pick the upper bound of the search range for the date from which the conditional securities delivery rule set is valid. Required format is: Date.
Valid To: from	Enter or pick the lower bound of the search range for the date until which the conditional securities delivery rule set is valid. Required format is: Date.
Valid To: to	Enter or pick the upper bound of the search range for the date until which the conditional securities delivery rule set is valid. Required format is: Date.
Block on Cash Side	Select whether T2S shall block cash when an instruction is marked for conditional delivery from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Yes <input type="checkbox"/> No
Block on Security Side	Select whether T2S shall block securities when an instruction is marked for conditional delivery from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Yes <input type="checkbox"/> No

CoSD Rule Set – List	
Status	Shows the status of the conditional securities delivery rule set. References for error messages: <input type="checkbox"/> DRDY003 <input type="checkbox"/> DRDY004
Rule Set Identification	Shows the identification of the conditional securities delivery rule set.
Name	Shows the name of the conditional securities delivery rule set. References for error messages:

CoSD Rule Set – List	
	<input type="checkbox"/> DRDY030
Description	Shows the description of the conditional securities delivery rule set.
Administrating Parent BIC	Shows the parent BIC of the administrating party. References for error messages: <input type="checkbox"/> DRDY005
Administrating Party BIC	Shows the party BIC of the administrating party.
Valid From	Shows the date from which the conditional securities delivery rule set is valid. References for error messages: <input type="checkbox"/> DRDY003 <input type="checkbox"/> DRDY004
Valid To	Shows the date until which the conditional securities delivery rule set is valid. References for error messages: <input type="checkbox"/> DRDY003 <input type="checkbox"/> DRDY004
Block on Cash Side	Shows whether T2S shall block cash when an instruction is marked for conditional delivery.
Block on Security Side	Shows whether T2S shall block securities when an instruction is marked for conditional delivery.

Buttons

Search	This function enables the user to start a search according to the criteria entered. The results are displayed in a list on the same screen.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
New	This function enables the user to create a new conditional securities delivery rule set.
Edit	This function enables the user to edit the details of the selected conditional securities delivery rule set. If the status of the selected conditional securities delivery rule set is already set to 'Deleted', this function is not available.

Delete	<p>This function enables the user to delete the selected conditional securities delivery rule set.</p> <p>If the status of the selected conditional securities delivery rule set is already set to 'Deleted', this function is not available.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDY001 <input type="checkbox"/> DRDY003
Restore	<p>This function enables the user to restore a previously deleted conditional securities delivery rule set.</p> <p>If the status of the selected conditional securities delivery rule set is set to 'Active', this function is not available.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDY001 <input type="checkbox"/> DRDY004 <input type="checkbox"/> DRDY005 <input type="checkbox"/> DRDY030
Details	<p>This function enables the user to display the details of the selected conditional securities delivery rule set.</p>
Revisions	<p>This function enables the user to display the revisions of the selected conditional securities delivery rule set.</p> <p>{Split book approach}</p>

~~2.8.2.7.2 CoSD Rule Sets – Details Screen~~

Context of Usage

~~This screen displays detailed information on the selected CoSD Rule Set. You can check the data and proceed further by clicking on the buttons below.~~

~~CoSD Rule List is only shown after clicking on the related button, if the user is granted with the required privileges. Same principles applied for editing or deleting a CoSD Rule.~~

~~This screen is not relevant for CB, payment bank and ancillary system users.~~

Screen Access

- ~~Common >> Market Specific Configuration >> CoSD Rule Set >> CoSD Rule Set – search/list screen >> Click on the search and/or details button~~

Privileges To use this screen, the following Privileges are needed:

- ~~Conditional Security Delivery Rule Set Query~~
- ~~Delete Conditional Security Delivery Rule Set~~
- ~~Conditional Security Delivery Rule List Query~~
- ~~Delete Conditional Security Delivery Rule~~

Screenshot

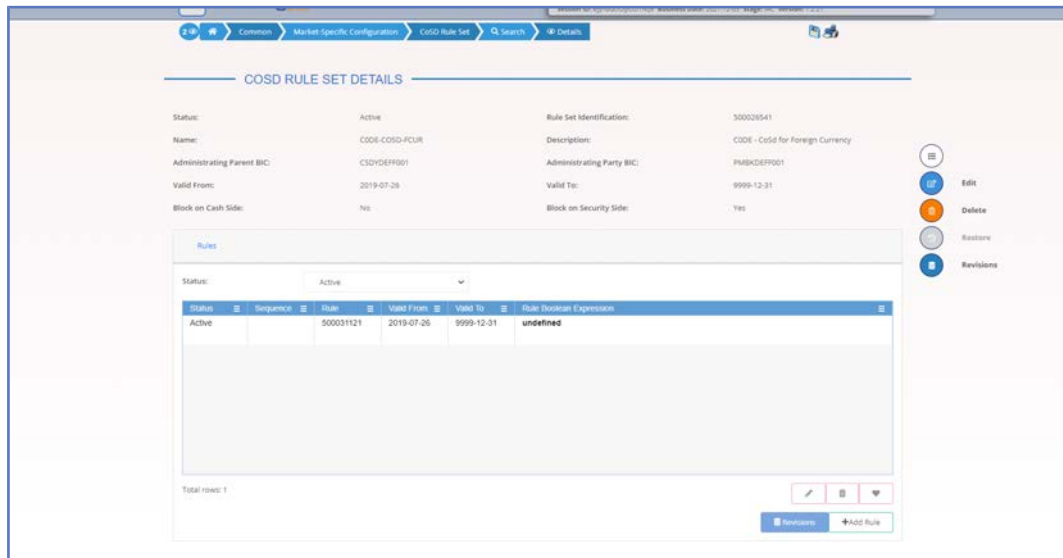


Illustration 245: CoSD Rule Sets – details screen {Split book approach}

Fields Description

CoSD Rule Set	
Status	Shows the status of the conditional securities delivery rule set.
Rule Set Identification	Shows the identification of the conditional securities delivery rule set.
Name	Shows the name of the conditional securities delivery rule set.
Description	Shows the description of the conditional securities delivery rule set.
Administrating Parent BIC	Shows the parent BIC of the administrating party.
Administrating Party BIC	Shows the party BIC of the administrating party.
Valid From	Shows the date from which the conditional securities delivery rule set is valid.
Valid To	Shows the date until which the conditional securities delivery rule set is valid.
Block on Cash Side	Shows whether T2S shall block cash when an instruction is marked for conditional delivery.

CoSD Rule Set	
Block-on Security Side	Shows whether T2S shall block securities when an instruction is marked for conditional delivery.

CoSD Rules	
Status	Select the status of the conditional securities delivery rule from the possible values: <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted
Status	Shows the status of the conditional securities delivery rule. If the status is set to 'Deleted', the selected conditional securities delivery rule cannot be deleted or edited. If the status is set to 'Active', the selected conditional securities delivery rule cannot be restored.
Sequence Number	Shows the sequence related to the conditional securities delivery rule.
Rule	Shows the technical identification of the conditional securities delivery rule.
Valid From	Shows the date from which the conditional securities delivery rule is valid.
Valid To	Shows the date until which the conditional securities delivery rule is valid.
Rule Boolean Expression	Shows the parameters applicable to the rule.

Buttons

Buttons	
Search	This function enables the user to access the list of conditional securities delivery rules related to the displayed rule set.
Edit	This function enables the user to edit the details of the displayed conditional securities delivery rule set. If the status of the displayed conditional securities delivery rule set is already set to 'Deleted', this function is not available.

Delete	<p>This function enables the user to delete the displayed conditional securities delivery rule set.</p> <p>If the status of the displayed conditional securities delivery rule set is already set to 'Deleted', this function is not available.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDY001 <input type="checkbox"/> DRDY003
Restore	<p>This function enables the user to restore the displayed conditional securities delivery rule set.</p> <p>If the status of the displayed conditional securities delivery rule set is set to 'Active', this function is not available.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDY001 <input type="checkbox"/> DRDY004 <input type="checkbox"/> DRDY005 <input type="checkbox"/> DRDY030
Revisions	<p>This function enables the user to display the revisions of the displayed conditional securities delivery rule set.</p>
Add Rule	<p>This function enables the user to create a new conditional securities delivery rule.</p>
Revisions	<p>This function enable the user to access the Revisions – Search/List screen related to the selected conditional securities delivery rule. {Split book approach}</p>

2.8.2.7.3 CoSD Rule Sets – New/Edit Screen

Context of Usage

This screen contains a number of fields regarding CoSD rule sets. You can enter new data or edit existing data. Afterwards you can proceed further by clicking on the buttons below.

This screen is only relevant for CSDs.

Screen Access

- Common >> Market Specific Configuration >> CoSD Rule Set >> New
- Common >> Market Specific Configuration >> CoSD Rule Set >> CoSD Rule Set – search/list screen >> click on new or edit button

Privileges To use this screen, the following Privileges are needed:

- ~~Create Conditional Security Delivery Rule Set~~
- ~~Update Conditional Security Delivery Rule Set~~

Screenshot

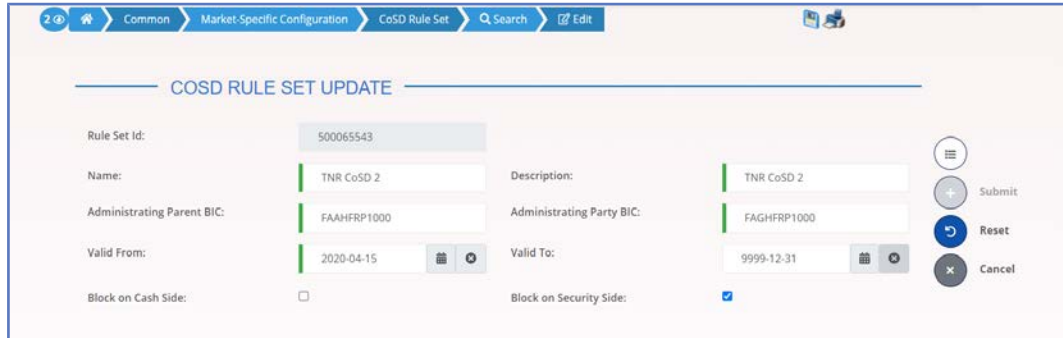


Illustration 246: ~~CoSD Rule Sets – new/edit screen~~ {Split book approach}

Fields

Description

CoSD Rule Set	
System Entity	<p>Select the system entity for which the Service Operator wants to create a conditional securities delivery rule set, acting on behalf of a certain user.</p> <p>This field is only visible to Service Operator.</p> <p>This field is only present in create mode.</p>
Rule Set Id	<p>Shows the technical identification of the conditional securities delivery rule set.</p> <p>This field is visible only in edit mode and it is read-only.</p>
Name	<p>Enter the name of the conditional securities delivery rule set.</p> <p>This field is mandatory.</p> <p>Required format is: max. 35x characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCY030 <input type="checkbox"/> DRUY030
Description	<p>Enter the description of the conditional securities delivery rule set.</p> <p>This field is mandatory.</p> <p>Required format is: max. 350x characters.</p>
Administrating Parent BIC	<p>Enter or select the Parent BIC of the administrating party.</p> <p>This field is mandatory.</p>

CoSD-Rule-Set	
	<p>If the user is a CSD user, this field contains the party BIC of the party the user is performing the operation belongs to.</p> <p>Required format is: max. 11x characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCY005 <input type="checkbox"/> DRUY001 <input type="checkbox"/> DRUY005
Administrating Party-BIC	<p>Enter or select the Party BIC of the administrating party.</p> <p>This field is mandatory.</p> <p>Required format is: max. 11x characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCY005 <input type="checkbox"/> DRUY001 <input type="checkbox"/> DRUY005
Valid From	<p>Enter or pick the date from which the conditional securities delivery rule set is valid.</p> <p>This field is mandatory.</p> <p>In edit mode this field is read only if the date is in the past and if the user is different from Service Operator, otherwise the field should be editable.</p> <p>Required format is: Date.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCY006 <input type="checkbox"/> DRCY010 <input type="checkbox"/> DRUY003 <input type="checkbox"/> DRUY006
Valid To	<p>Enter or pick the date until which the conditional securities delivery rule set is valid.</p> <p>Required format is: Date.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCY010 <input type="checkbox"/> DRUY010

CoSD-Rule-Set	
Block-on-Cash Side	<p>Select whether T2S shall block cash when an instruction is marked for conditional delivery or not.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCY040 <input type="checkbox"/> DRUY040
Block-on-Security Side	<p>Select whether T2S shall block securities when an instruction is marked for conditional delivery or not.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCY040 <input type="checkbox"/> DRUY040

Buttons

Buttons	
Submit	<p>This function enables the user to create or edit a conditional securities delivery rule set.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCY001 <input type="checkbox"/> DRCY005 <input type="checkbox"/> DRCY006 <input type="checkbox"/> DRCY010 <input type="checkbox"/> DRCY030 <input type="checkbox"/> DRCY040 <input type="checkbox"/> DRUY001 <input type="checkbox"/> DRUY003 <input type="checkbox"/> DRUY005 <input type="checkbox"/> DRUY006 <input type="checkbox"/> DRUY010 <input type="checkbox"/> DRUY030 <input type="checkbox"/> DRUY040
Reset	<p>This function enables the user to set all fields to default value and blanks out all optional fields.</p>
Cancel	<p>This function enables the user to cancel the process and return to the previous screen. {Split book approach}</p>

2.8.2.7.4 CoSD Rule – New/Edit Screen

Context of Usage

The screen “New/Edit CoSD Rule” enables the user to create a new CoSD Rule or to edit an existing one. A CoSD Rule consists of grouped parameters; every parameter is of a specific Parameter Type. Each rule is a box and contains a set of boxes for the related groups. The users can add or drop an entire group or add or delete specific parameters inside a group.

This screen is only relevant for CSD users.

Screen Access

- Common >> Market-Specific Configuration >> CoSD Rule Sets >> CoSD Rule Sets – search/list screen >> Click on the search button >> Click on the details button >> Click on the search button >> Click on the add rule button
- Common >> Market-Specific Configuration >> CoSD Rule Set >> CoSD Rule Sets – search/list screen >> Click on the search button >> Click on the details button >> Click on the search button >> select a Rule and click on edit rule button

Privileges

To use this screen, the following Privileges are needed:

- Create Conditional Security Delivery Rule
- Update Conditional Security Delivery Rule
- Conditional Security Delivery Rule List Query
- Conditional Security Delivery Rule Set Query

Screenshot

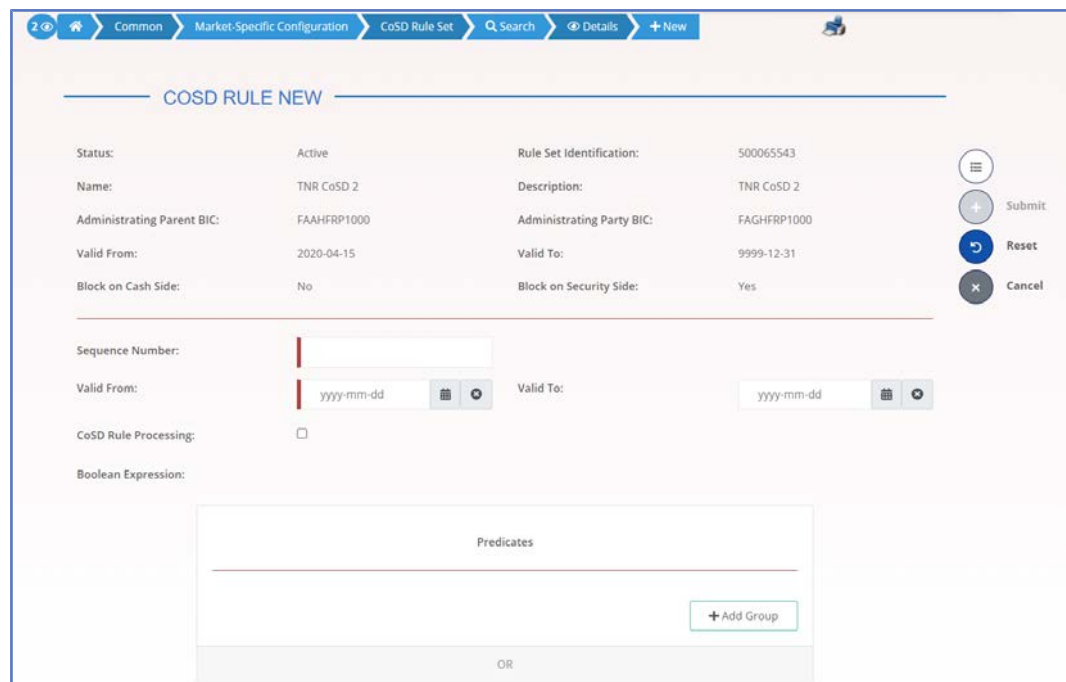


Illustration 247: CoSD Rule Set – new screen {Split book approach}

Fields	CoSD-Rule-Set	
Description	Status	Shows the status of the conditional securities delivery rule set.
	Rule Set Identification	Shows the identification of the conditional securities delivery rule set.
	Name	Shows the name of the conditional securities delivery rule set.
	Administrating Parent BIC	Shows the parent BIC of the administrating party.
	Administrating Party BIC	Shows the party BIC of the administrating party.
	Valid From	Shows the date from which the conditional securities delivery rule set is valid.
	Valid To	Shows the date until which the conditional securities delivery rule set is valid.
	Block on Cash Side	Shows whether T2S shall block cash when an instruction is marked for conditional delivery.
	Block on Security Side	Shows whether T2S shall block securities when an instruction is marked for conditional delivery.

CoSD-Rule	
Rule	Shows the technical identification of the conditional securities delivery rule. This field is read only and visible only in edit mode.
Sequence Number	Enter the sequence number related to the conditional securities delivery rule. This field is mandatory. Required format is: Numeric. References for error messages: <input type="checkbox"/> DRCO040 <input type="checkbox"/> DRUO040
Valid From	Enter or pick the date from which the conditional securities delivery rule is valid. This field is mandatory. If the date is in the past, this field is read-only. Required format is: Date.

CoSD Rule	
	<p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCO020 <input type="checkbox"/> DRUO010 <input type="checkbox"/> DRUO020
Valid To	<p>Enter or pick the date until which the conditional securities delivery rule is valid.</p> <p>Required format is: Date.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCO030 <input type="checkbox"/> DRUO030
CoSD Rule Processing	<p>Select whether the settlement instruction matching the rule must be settled conditionally or not.</p>
Boolean Expression	<p>This field shows the groups of parameters related to a conditional securities delivery rule.</p> <p>This field is read-only.</p> <p>It is filled by adding a new group to the groups' box.</p> <p>Example of a Boolean expression of a rule with two groups: (ISIN = XX AND Securities Account Number = YYY) as Group A OR (ISIN = WW AND Securities Account Number = ZZZ) as Group B.</p>
Predicates (Set of groups of Parameters)	
<p>Enables the user to enter the groups of parameters related to a conditional securities delivery rule.</p> <p>It is possible to add one or more predicate to a group. A predicate is a parameter and the user is allowed to enter the predicates one by one. The user must select the type of parameter to be added in a select box and the relevant input field(s) is/are shown.</p> <p>In a group a parameter can appear only once. The aforementioned select box must not show a parameter type if already present in the group.</p> <p>The already inserted parameters in a group can be amended.</p>	
Group	<p>Group of the Parameters related to a Rule. When the user clicks on the edit group button, the displayed pop-up shows a text field where inserting the Group Name.</p> <p>This field is always present and mandatory.</p>

Predicates (Set of groups of Parameters)	
	<p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCO300
ISIN	<p>Enter or select the ISIN code of the security.</p> <p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows an input-sensitive select box where inserting the Security ISIN.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCO200 <input type="checkbox"/> DRCO310 <input type="checkbox"/> DRCO600 <input type="checkbox"/> DRUO200 <input type="checkbox"/> DRUO300 <input type="checkbox"/> DRUO310 <input type="checkbox"/> DRUO600
Settlement Currency	<p>Select the Settlement Currency.</p> <p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing the currencies code.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCO200 <input type="checkbox"/> DRCO310 <input type="checkbox"/> DRCO600 <input type="checkbox"/> DRUO200 <input type="checkbox"/> DRUO300 <input type="checkbox"/> DRUO310 <input type="checkbox"/> DRUO600
CSD	<p>Enter or select the BIC of the CSD.</p>

Predicates (Set of groups of Parameters)	
	<p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows an auto-complete select box, Parent BIC and BIC with format 11x each.</p> <p>References for error messages:</p> <ul style="list-style-type: none"><input type="checkbox"/> DRCO200<input type="checkbox"/> DRCO310<input type="checkbox"/> DRCO600<input type="checkbox"/> DRUO200<input type="checkbox"/> DRUO300<input type="checkbox"/> DRUO310<input type="checkbox"/> DRUO600
Securities Account Number	<p>Enter or select the Securities Account Number.</p> <p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows an auto-complete select box where inserting the Securities Account Number.</p> <p>References for error messages:</p> <ul style="list-style-type: none"><input type="checkbox"/> DRCO200<input type="checkbox"/> DRCO310<input type="checkbox"/> DRCO600<input type="checkbox"/> DRUO200<input type="checkbox"/> DRUO300<input type="checkbox"/> DRUO310<input type="checkbox"/> DRUO600
Country of Issuance	<p>Select the country code.</p> <p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing a set of values allowed for this field.</p> <p>References for error messages:</p> <ul style="list-style-type: none"><input type="checkbox"/> DRCO200

Predicates (Set of groups of Parameters)	
	<ul style="list-style-type: none"> <input type="checkbox"/> DRCO310 <input type="checkbox"/> DRCO600 <input type="checkbox"/> DRUO200 <input type="checkbox"/> DRUO300 <input type="checkbox"/> DRUO310 <input type="checkbox"/> DRUO600
Place of Settlement	<p>Enter or select the “Parent BIC” and “BIC”.</p> <p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows two auto-complete select boxes, Parent BIC and BIC with format 11x each.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCO200 <input type="checkbox"/> DRCO310 <input type="checkbox"/> DRCO600 <input type="checkbox"/> DRUO200 <input type="checkbox"/> DRUO300 <input type="checkbox"/> DRUO310 <input type="checkbox"/> DRUO600
ISO Transaction Code	<p>Select the ISO Transaction Code.</p> <p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing a set of values allowed for this field.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCO200 <input type="checkbox"/> DRCO310 <input type="checkbox"/> DRCO600 <input type="checkbox"/> DRUO200 <input type="checkbox"/> DRUO300 <input type="checkbox"/> DRUO310 <input type="checkbox"/> DRUO600

Predicates (Set of groups of Parameters)	
Securities Movement Code	<p>Select the Securities Movement Code.</p> <p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing a set of values allowed for this field.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCO200 <input type="checkbox"/> DRCO310 <input type="checkbox"/> DRCO600 <input type="checkbox"/> DRUO200 <input type="checkbox"/> DRUO300 <input type="checkbox"/> DRUO310 <input type="checkbox"/> DRUO600
Payment Type Code	<p>Select the Payment Type Code.</p> <p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing a set of values allowed for this field.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCO200 <input type="checkbox"/> DRCO310 <input type="checkbox"/> DRCO600 <input type="checkbox"/> DRUO200 <input type="checkbox"/> DRUO300 <input type="checkbox"/> DRUO310 <input type="checkbox"/> DRUO600
Debit Credit Indicator of the Settlement Instruction	<p>Select the Debit Credit Indicator of the Settlement Instruction.</p> <p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing a set of values allowed for this field.</p>

Predicates (Set of groups of Parameters)	
	<p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCO200 <input type="checkbox"/> DRCO310 <input type="checkbox"/> DRCO600 <input type="checkbox"/> DRUO200 <input type="checkbox"/> DRUO300 <input type="checkbox"/> DRUO310 <input type="checkbox"/> DRUO600
Settlement Transaction Condition Code	<p>Select the Settlement Transaction Condition Code.</p> <p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing a set of values allowed for this field.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCO200 <input type="checkbox"/> DRCO600 <input type="checkbox"/> DRUO200 <input type="checkbox"/> DRUO300 <input type="checkbox"/> DRUO310 <input type="checkbox"/> DRUO600
Issuer CSD	<p>Select whether the CSD is issuer or not.</p> <p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing a set of values allowed for this field.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCO200 <input type="checkbox"/> DRCO600 <input type="checkbox"/> DRUO200 <input type="checkbox"/> DRUO300 <input type="checkbox"/> DRUO310 <input type="checkbox"/> DRUO600

Predicates (Set of groups of Parameters)	
Delivering CSD	<p>Select whether the CSD is delivering or not.</p> <p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing a set of values allowed for this field.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCO200 <input type="checkbox"/> DRCO600 <input type="checkbox"/> DRUO200 <input type="checkbox"/> DRUO300 <input type="checkbox"/> DRUO310 <input type="checkbox"/> DRUO600
Receiving CSD	<p>Select whether the CSD is receiving or not.</p> <p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing a set of values allowed for this field.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCO200 <input type="checkbox"/> DRCO600 <input type="checkbox"/> DRUO200 <input type="checkbox"/> DRUO300 <input type="checkbox"/> DRUO310 <input type="checkbox"/> DRUO600
BIC of issuer CSD	<p>Enter or select the BIC of the issuer CSD.</p> <p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows an auto-complete select box, Parent BIC and BIC with format 11x each.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCO200 <input type="checkbox"/> DRCO600

Predicates (Set of groups of Parameters)	
	<input type="checkbox"/> DRUO200 <input type="checkbox"/> DRUO300 <input type="checkbox"/> DRUO310 <input type="checkbox"/> DRUO600
BIC of delivering CSD	<p>Enter or select the BIC of the delivering CSD.</p> <p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows an auto-complete select box, Parent BIC and BIC with format 11x each.</p> <p>References for error messages:</p> <input type="checkbox"/> DRCO200 <input type="checkbox"/> DRCO600 <input type="checkbox"/> DRUO200 <input type="checkbox"/> DRUO300 <input type="checkbox"/> DRUO310 <input type="checkbox"/> DRUO600
BIC of receiving CSD	<p>Enter or select the BIC of the receiving CSD.</p> <p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows an auto-complete select box, Parent BIC and BIC with format 11x each.</p> <p>References for error messages:</p> <input type="checkbox"/> DRCO200 <input type="checkbox"/> DRCO600 <input type="checkbox"/> DRUO200 <input type="checkbox"/> DRUO300 <input type="checkbox"/> DRUO310 <input type="checkbox"/> DRUO600

Buttons

Submit	<p>This function enables the user to create or edit a conditional securities delivery rule according to the information filled in the fields.</p>

	<p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCO001 <input type="checkbox"/> DRCO004 <input type="checkbox"/> DRCO010 <input type="checkbox"/> DRCO020 <input type="checkbox"/> DRCO030 <input type="checkbox"/> DRCO040 <input type="checkbox"/> DRCO200 <input type="checkbox"/> DRCO300 <input type="checkbox"/> DRCO600 <input type="checkbox"/> DRUO001 <input type="checkbox"/> DRUO003 <input type="checkbox"/> DRUO010 <input type="checkbox"/> DRUO020 <input type="checkbox"/> DRUO030 <input type="checkbox"/> DRUO040 <input type="checkbox"/> DRUO044 <input type="checkbox"/> DRUO200 <input type="checkbox"/> DRUO300 <input type="checkbox"/> DRUO310 <input type="checkbox"/> DRUO600
Add Group	This function enables the user to add a group in the groups box in which the user can enter the name of the new group. After inserting a name and pushing the “ok” button in the popup, the group name is added to the Boolean Expression.
Edit Group	This function enables the user to edit a group name.
Delete Group	Pushing this button, the selected group is removed from the Boolean Expression with all its parameters.
Reset	This function restores the situation shown at opening of the page.
Cancel	This function enables the user to cancel the creation or the edit action. No operations are performed.

Add predicate	If the user pushes this button, the system shows a pop-up with select box to choose the proper parameter type. After selecting the parameter type, the field(s) for the parameter value is/are shown. After inserting a value and pushing the “ok” button in the popup, a value is inserted in the selected relevant group box.
Edit predicate	If the user pushes this button, the system shows a pop-up with a editable select box containing the actual Parameter Value. The user can change the Parameter Value. After editing a new value and pushing the “ok” button in the popup, the new value appears in the relevant group box.
Delete predicate	Pushing this button, the selected predicate is removed from the relevant group. {Split book approach}

2.8.2.7.5 Restriction Types - Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can display a list of Restriction Types matching the entered criteria. The search results are displayed in a list, which is sorted by the values of the ‘Restriction Type’ column in ascending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.

Screen Access

- Common >> Market Specific Configuration >> Restriction Types >> Search

Privileges

To use this screen, the following Privileges are needed:

- Market-specific Restriction List Query
- Delete Restriction Type

Screenshot

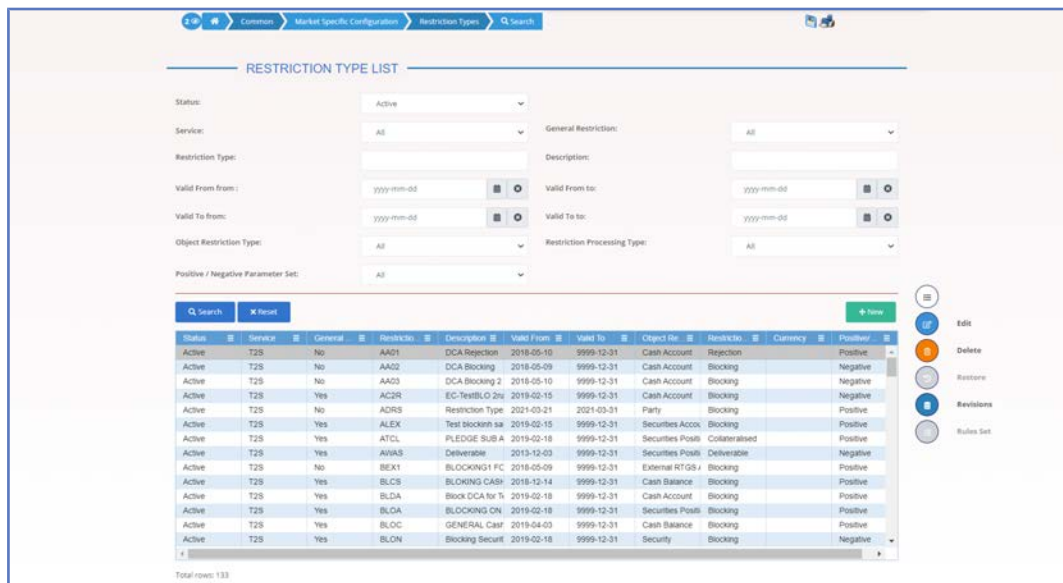


Illustration 248: **Restriction Types – search/list screen** {Split book approach}

Fields

Description

Restriction Types – Search Criteria	
Status	<p>Select the status of the Restriction Type from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDL003 <input type="checkbox"/> DRDL004
Service	<p>Select the service for which the Restriction Type is valid from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> T2 RTGS COMPONENT <input type="checkbox"/> T2 CLM COMPONENT <input type="checkbox"/> T2S <input type="checkbox"/> TIPS
General Restriction	<p>Select whether the Restriction Type is a general restriction defined by the Service Operator and available to everyone from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> Yes <input type="checkbox"/> No

Restriction Types – Search Criteria	
Restriction Type	<p>Enter the Restriction Type code.</p> <p>Required format: max. 4x characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"><input type="checkbox"/> DRDL005
Description	<p>Enter the description of the Restriction Type.</p> <p>Required format: max. 350x characters.</p>
Valid from from	<p>Enter or pick the lower bound of the search range for the date from which the Restriction Type is valid.</p> <p>The lower bound of the 'Valid from' date must be earlier than the upper bound.</p> <p>Required format: Date.</p>
Valid from to	<p>Enter or pick the upper bound of the search range for the date from which the restriction type is valid.</p> <p>The upper bound of the 'Valid from' date must be equal to or later than the lower bound.</p> <p>Required format: Date.</p>
Valid to from	<p>Enter or pick the lower bound of the search range for the date until which the restriction type is valid.</p> <p>The lower bound of the 'Valid to' date must be earlier than the upper bound.</p> <p>Required format: Date.</p>
Valid to to	<p>Enter or pick the upper bound of the search range for the date until which the restriction type is valid.</p> <p>The upper bound of the 'Valid to' date must be equal to or later than the lower bound.</p> <p>Required format: Date.</p>
Object Restriction Type	<p>Select the object restriction type from the possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> All (default value)<input type="checkbox"/> Cash balance<input type="checkbox"/> External RTGS account<input type="checkbox"/> Intra-Position Movement instruction<input type="checkbox"/> Intra-Balance Movement instruction<input type="checkbox"/> Party

Restriction Types – Search Criteria	
	<input type="checkbox"/> Security <input type="checkbox"/> Securities account <input type="checkbox"/> Securities position <input type="checkbox"/> Settlement instruction <input type="checkbox"/> Cash Account
Restriction Processing Type	Select the restriction processing type from the drop-down menu from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Balance Type / Earmarking <input type="checkbox"/> Blocking <input type="checkbox"/> Collateralized <input type="checkbox"/> CoSD Blocking <input type="checkbox"/> CSD Validation Hold <input type="checkbox"/> Deliverable <input type="checkbox"/> Earmarking for Auto-collateralization <input type="checkbox"/> Rejection <input type="checkbox"/> Reservation
Positive / Negative Parameter Set	Select the Positive/Negative parameter set from the drop-down menu from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Positive <input type="checkbox"/> Negative
Restriction Types – List	
Status	Shows the status of the Restriction Type. References for error messages: <input type="checkbox"/> DRDL003 <input type="checkbox"/> DRDL004
Service	Shows the service for which the Restriction Type is valid.
General Restriction	Shows whether the Restriction Type is a general restriction defined by the Service Operator and available to everyone or not.
Restriction Type	Shows the Restriction Type code. References for error messages:

Restriction Types— List	
	<input type="checkbox"/> DRDL005
Description	Shows the description of the Restriction Type.
Valid from	Shows the date from which the Restriction Type is valid. References for error messages: <input type="checkbox"/> DRDL003
Valid to	Shows the date until which the Restriction Type is valid. References for error messages: <input type="checkbox"/> DRDL003
Object Restriction Type	Shows the object on which the Restriction Type can be applied.
Restriction Processing Type	Shows the restriction processing type.
Currency	Shows the currency linked to the Restriction Type, if available. References for error messages: <input type="checkbox"/> DRDL006
Positive / Negative Parameter Set	Shows whether the rules of the Restriction Type represent a positive or negative set of parameters.

Buttons

Buttons	
Search	This function enables the user to start a search according to the entered criteria.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
New	This function enables the user to create a new Restriction Type.
Edit	This function enables the user to edit the details of the selected Restriction Type. If the status of the selected Restriction Type is already set to 'Deleted', or it is already closed, this function is not available.
Delete	This function enables the user to delete the selected Restriction Type, after confirmation.

	<p>If the status of the selected Restriction Type is already set to 'Deleted', or it is already closed, this function is not available.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDL001 <input type="checkbox"/> DRDL003 <input type="checkbox"/> DRDL040
Restore	<p>This function enables the user to restore a previously deleted Restriction Type.</p> <p>If the status of the selected Restriction Type is already set to 'Active' this function is not available.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDL001 <input type="checkbox"/> DRDL004 <input type="checkbox"/> DRDL005 <input type="checkbox"/> DRDL006
Revisions	<p>This function enables the user to display the revisions of the selected Restriction Type.</p>
Details	<p>This function enables the user to read the details of the selected Restriction Type Rule Set. {Split book approach}</p>

2.8.2.7.6 Restriction Type – Details Screen

Context of Usage

This screen displays detailed information on the selected Restriction Type rule set. It also contains a number of fields regarding Restriction Type rules set, for which you can enter new data or edit existing data. You can check the data and proceed further by clicking on the buttons below. Restriction Type Rules List is only shown after clicking on the related button, if the user is granted with the required privileges. Same principles applied for editing or deleting a Restriction Type Rule.

Screen Access

- Common >> Market Specific Configuration >> Restriction Type >> Restriction Type – search/list screen >> Click on the details button

Privileges

- To use this screen, the following Privileges are needed:
- Market-specific Restriction Type Rule Set List Query

- ~~Delete Restriction Type~~
- ~~Market-specific Restriction Type Rule Detail Query~~
- ~~Update Restriction Type Rule~~
- ~~Delete Restriction Type Rule~~

Screenshot

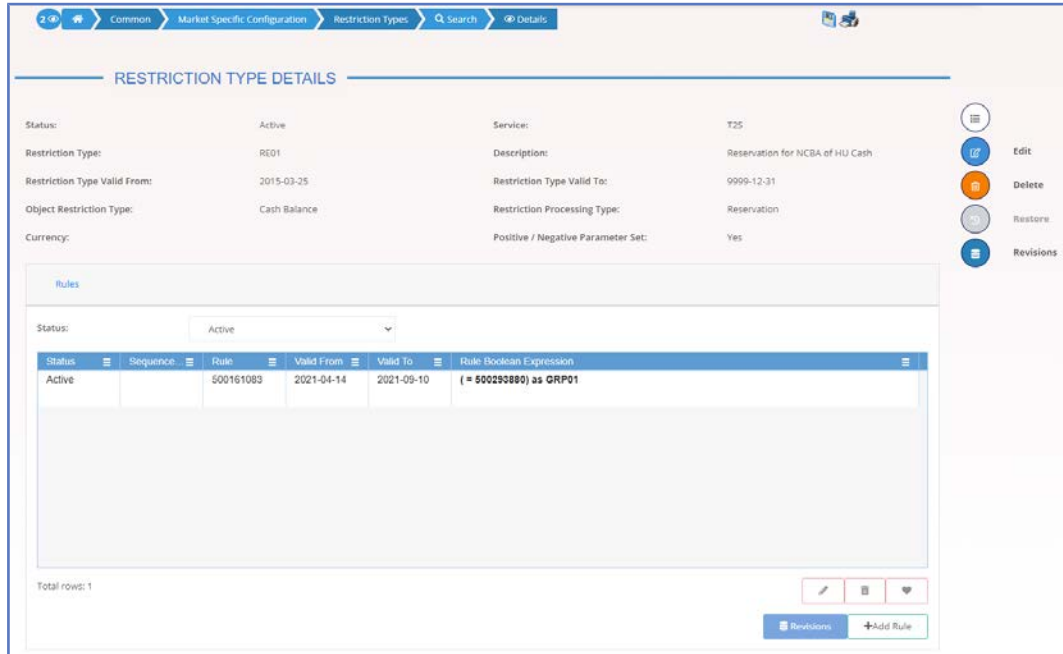


Illustration 249: ~~Restriction Type – details screen~~ {Split book approach}

Fields

Description

Restriction Type	
Status	<p>Shows the status of the Restriction Type.</p> <p>If the status is set to 'Deleted', the selected Restriction Type cannot be deleted or edited.</p> <p>If the status is set to 'Active', the selected Restriction Type cannot be restored.</p>
Service	<p>Shows the service to which the Restriction Type is applied for.</p>
Restriction Type	<p>Shows the Restriction Type code.</p>
Description	<p>Shows the description of the Restriction Type.</p>
Valid From	<p>Shows the date from which the Restriction Type is valid.</p>
Valid To	<p>Shows the date until which the Restriction Type is valid.</p>
Object Restriction Type	<p>Shows the object Restriction Type.</p>

Restriction Type	
Restriction Processing Type	Shows the restriction processing type.
Currency	Shows the currency linked to the Restriction Type
Positive / Negative Parameter Set	Shows whether the rules of the Restriction Type represent a positive or negative set of parameters.

Restriction Type Rules	
Status	Select the status of the Restriction Type rule from the possible values: <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted
Status	Shows the status of the Restriction Type Rule. If the status is set to 'Deleted', the selected Restriction Type Rule cannot be deleted or edited. If the status is set to 'Active', the selected Restriction Type Rule cannot be restored.
Sequence	Shows the sequence related to the Restriction Type Rule.
Rule	Shows the technical identification of the Restriction Type Rule.
Valid From	Shows the date from which the Restriction Type Rule is valid.
Valid To	Shows the date until which the Restriction Type Rule is valid.
Rule Boolean Expression	Shows the parameters applicable to the rule.

Buttons

Buttons	
Search	This function enables the user to access the list of Restriction Type Rules related to the selected rule set.
Edit	This function enables the user to edit the details of the displayed Restriction Type. If the status of the displayed Restriction Type is already set to 'Deleted', or it is closed, this function is not available.

Delete	<p>This function enables the user to delete the displayed Restriction Type.</p> <p>If the status of the displayed Restriction Type is already set to 'Deleted', or it is closed, this function is not available.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDL001 <input type="checkbox"/> DRDL003 <input type="checkbox"/> DRDL040
Restore	<p>This function enables the user to restore the displayed Restriction Type.</p> <p>If the status of the displayed Restriction Type is already set to 'Active', this function is not available.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDL001 <input type="checkbox"/> DRDL004 <input type="checkbox"/> DRDL005 <input type="checkbox"/> DRDL006
Revisions	<p>This function enables the user to display the revisions of the displayed Restriction Type.</p>
Add Rule	<p>This function enables the user to create a new Restriction Type Rule.</p>
Revisions	<p>This function enable the user to access the Revisions – Select/List screen related to the selected Restriction Type. {Split book approach}</p>

2.8.2.7.7 Restriction Type - New/Edit Screen

Context of Usage

This screen enables the user to create a new Restriction Type or to edit an existing one, previously selected. The screen has a slightly different behaviour depending on the function the user is submitting.

This screen is relevant for the Service Operator (any Service), CB and CSD users (T2S Service only).

Screen Access

- Common >> Market Specific Configuration >> Restriction Types >> New
- Common >> Market Specific Configuration >> Restriction Types >> Search >> click on New or Edit button

Privileges To use this screen, the following Privileges are needed:

- Create Restriction Type**
- Update Restriction Type**

Screenshot

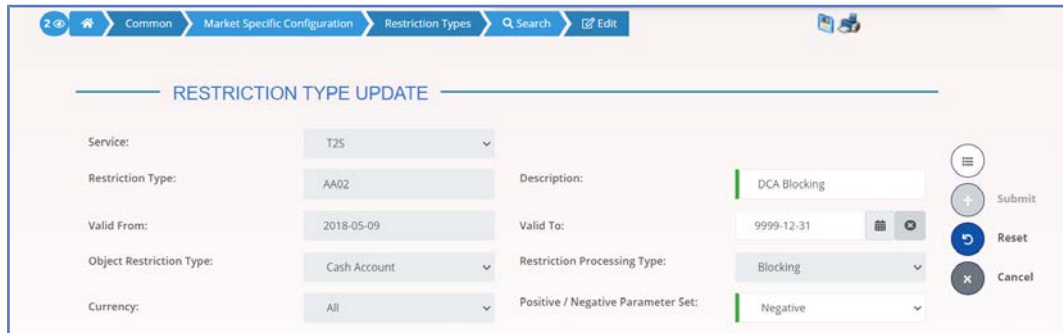


Illustration 250: **Restriction Type – new/edit screen** {Split book approach}

Fields

Description

Restriction Type	
System Entity	<p>Select the system entity code of the owner of the Restriction Type.</p> <p>This field is not available in edit mode.</p> <p>This field is visible only to Service Operator.</p>
Service	<p>Select the service for which the Restriction Type is valid from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> T2 RTGS COMPONENT <input type="checkbox"/> T2 CLM COMPONENT <input type="checkbox"/> T2S <input type="checkbox"/> TIPS <p>This field is mandatory in create mode.</p> <p>This field is not available in edit mode.</p> <p>If the user is different from the Service Operator, the field contains T2S and it is disabled.</p>
Restriction Type	<p>Enter the Restriction Type code.</p> <p>This field is mandatory in create mode.</p> <p>This field is read-only in edit mode.</p> <p>Required format is: max. 4x characters.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDL005
Description	<p>Enter the description of the Restriction Type.</p> <p>Required format is: max. 350x characters.</p>

Restriction Type	
	This field is mandatory.
Valid From	<p>Enter or pick the date from which the Restriction Type is valid.</p> <p>This field is mandatory in create mode and it must be equal to or later than the current business date.</p> <p>In edit mode, if the date is equal to or lower than the current business date, this field is read-only.</p> <p>Required format: Date.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCL007 <input type="checkbox"/> DRUL005 <input type="checkbox"/> DRUL007
Valid To	<p>Enter or pick the date until which the Restriction Type is valid.</p> <p>The 'Valid to' date must be later than the current business date and the 'Valid from' date.</p> <p>Required format: Date.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCL008 <input type="checkbox"/> DRUL008
Object Restriction Type	<p>Select the object Restriction Type from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Cash balance <input type="checkbox"/> External RTGS account <input type="checkbox"/> Intra-Position Movement instruction <input type="checkbox"/> Intra-Balance Movement instruction <input type="checkbox"/> Party <input type="checkbox"/> Security <input type="checkbox"/> Securities account <input type="checkbox"/> Securities position <input type="checkbox"/> Settlement instruction <input type="checkbox"/> Cash Account <p>For TIPS, T2-CLM and T2-RTGS only "Party" and "Cash Account" are available.</p>

Restriction Type	
	<p>This field is mandatory in create mode.</p> <p>This field is read-only in edit mode.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCL100 <input type="checkbox"/> DRCL101 <input type="checkbox"/> DRCL102 <input type="checkbox"/> DRCL103 <input type="checkbox"/> DRCL104
Restriction Processing Type	<p>Select the restriction processing type from the drop-down menu from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Balance Type / Earmarking <input type="checkbox"/> Blocking <input type="checkbox"/> Collateralized <input type="checkbox"/> CoSD Blocking <input type="checkbox"/> CSD Validation Hold <input type="checkbox"/> Deliverable <input type="checkbox"/> Earmarking for Auto-collateralization <input type="checkbox"/> Rejection <input type="checkbox"/> Reservation <p>For TIPS, T2 CLM and T2 RTGS only “Blocking” is available.</p> <p>This field is mandatory in create mode.</p> <p>This field is read-only in edit mode.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCL007 <input type="checkbox"/> DRCL100 <input type="checkbox"/> DRCL101 <input type="checkbox"/> DRCL102 <input type="checkbox"/> DRCL103 <input type="checkbox"/> DRCL104 <input type="checkbox"/> DRCL106
Currency	<p>Select the currency linked to the Restriction Type.</p>

Restriction Type	
	<p>This field is enabled and mandatory if the chosen Restriction Processing Type is “Earmarking for Auto-collateralization”. Not allowed otherwise.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCL006 <input type="checkbox"/> DRCL106
Positive / Negative Parameter Set	<p>Select whether the rules of the Restriction Type represent a positive or negative set of parameters from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Positive <input type="checkbox"/> Negative <p>This field is mandatory.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCL200

Buttons

Buttons	
Submit	<p>This function enables the user to create or edit a Restriction Type.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCL001 <input type="checkbox"/> DRCL005 <input type="checkbox"/> DRCL006 <input type="checkbox"/> DRCL007 <input type="checkbox"/> DRCL008 <input type="checkbox"/> DRCL100 <input type="checkbox"/> DRCL101 <input type="checkbox"/> DRCL102 <input type="checkbox"/> DRCL103 <input type="checkbox"/> DRCL104 <input type="checkbox"/> DRCL106 <input type="checkbox"/> DRCL200 <input type="checkbox"/> DRUL001 <input type="checkbox"/> DRUL005 <input type="checkbox"/> DRUL007

	<input type="checkbox"/> DRUL008 <input type="checkbox"/> DRUL040
Reset	This function enables the user to set all fields to default value and blanks out all optional fields.
Cancel	This function enables the user to cancel the process and return to the previous screen. {Split book approach}

~~2.8.2.7.8 Restriction Type Rule — New/Edit Screen~~

Context of Usage

~~The screen “New/Edit Restriction Types Rule” enables the user to create a new Restriction Types Rule or to edit an existing one. A Restriction Types Rule consists of grouped parameters; every parameter refers to a specific Parameter Type or, for CSD only, to a Market Specific Attribute. The users can add or drop an entire group or add or delete specific parameters inside a group. There is no usage restriction for this screen.~~

Screen Access

- ~~Common >> Market Specific Configuration >> Restriction Type >> Restriction Type - search/list screen >> Click on the search button >> Click on the details button >> Click on the add rule button~~
- ~~Common >> Market Specific Configuration >> Restriction Type >> Restriction Type - search/list screen >> Click on the search button >> Click on the details button >> Click on Search button >> select a Rule and click on edit rule button~~

Privileges

~~To use this screen, the following Privileges are needed:~~

- ~~Create Restriction Type Rule~~
- ~~Update Restriction Type Rule~~
- ~~Market-specific Restriction Type Rule Detail Query~~
- ~~Market-specific Restriction Type Rule Parameter Details Query~~
- ~~Market-specific Restriction Type Rule Set List Query~~

Screenshot

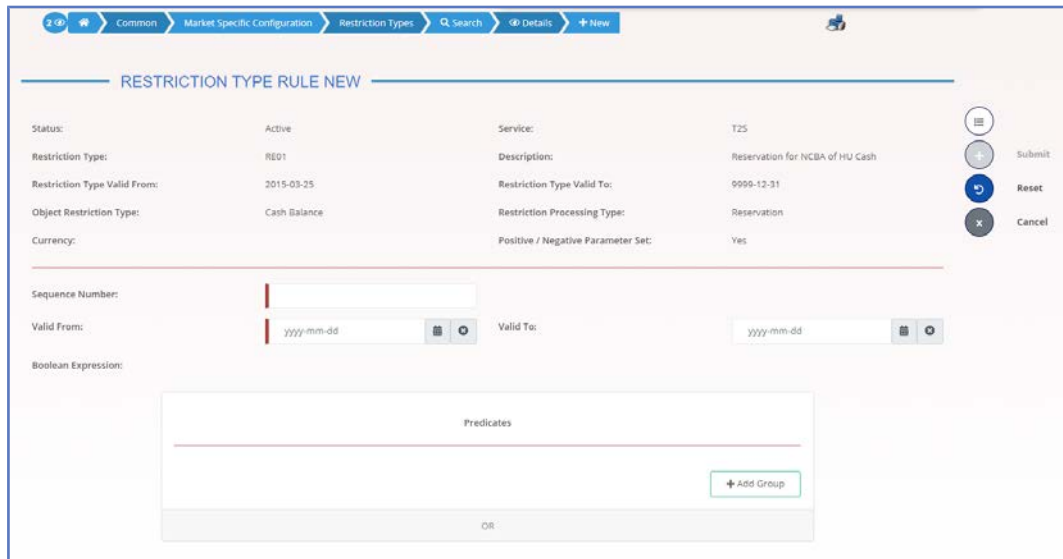


Illustration 251: **Restriction Type Rule – new screen** {Split book approach}

Fields

Description

Restriction Type	
Status	Shows the status of the Restriction Type Rule Set. This field is read-only.
Service	Shows the Service of the Restriction Type rule set. This field is read-only.
Restriction Type	Shows the Restriction Type code. This field is read-only.
Description	Shows the description of the Restriction Type Rule Set. This field is read-only.
Restriction Type Valid From	Shows the date from which the Restriction Type Rule Set is valid. This field is read-only. Displayed format is: Date.
Restriction Type Valid To	Shows the date until which the Restriction Type rule Set is valid. This field is read-only. Displayed format is: Date.

Restriction Type Rule Data	
Rule	Shows the technical identification of the Restriction Type rule.

Restriction Type Rule Data	
	This field is read only and visible only in edit mode.
Sequence Number	<p>Enter the sequence number related to the Restriction Type rule.</p> <p>This field is mandatory.</p> <p>Required format is: Numeric.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCX004 <input type="checkbox"/> DRUX005
Valid From	<p>Enter or pick the date from which the Restriction Type rule is valid.</p> <p>This field is mandatory.</p> <p>If the date is in the past, this field is read-only.</p> <p>Required format is: Date.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCX010 <input type="checkbox"/> DRUX004 <input type="checkbox"/> DRUX010
Valid To	<p>Enter or pick the date until which the Restriction Type rule is valid.</p> <p>Required format is: Date.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCX011 <input type="checkbox"/> DRUX011
Boolean Expression	<p>This field shows the groups of parameters related to a Restriction Type rule.</p> <p>This field is read-only.</p> <p>It is filled by adding a new group to the groups' box.</p> <p>Example of a Boolean expression of a rule with two groups: (Message type = XX AND Cash Account = YYY) as Group A OR (Message type = WW AND Cash Account = ZZZ) as Group B.</p>

Predicates (Set of groups of Parameters)	
<p>Enables the user to enter the groups of parameters related to a Restriction Type Rule.</p> <p>It is possible to add parameters of predefined types or related to the market-specific attributes available to the requestor user. It is possible to add one or more predicate to a group. A predicate is a parameter and the user is allowed to enter the predicates one by one. The user must select the type of parameter to be added in a select box and the relevant input field(s) is/are shown.</p> <p>In a group, a parameter can appear only once. The aforementioned select box must not show a parameter type if already present in the group.</p> <p>The already inserted parameters in a group can be amended.</p>	
Group	<p>Group of the Parameters related to a Rule. When the user clicks on the edit group button, the displayed pop-up shows a text field where inserting the Group Name.</p> <p>This field is always present and mandatory.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCX300 <input type="checkbox"/> DRUX300
Category	<p>Select the category of the predicate from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Predefined <input type="checkbox"/> MSA
Securities Movement Type	<p>Select the type of Securities Movement Type.</p> <p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value.</p> <p>When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing a set of values allowed for this field.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCX007 <input type="checkbox"/> DRCX008 <input type="checkbox"/> DRCX060 <input type="checkbox"/> DRCX200 <input type="checkbox"/> DRCX310

Predicates (Set of groups of Parameters)	
	<ul style="list-style-type: none"> <input type="checkbox"/> DRCX600 <input type="checkbox"/> DRUX008 <input type="checkbox"/> DRUX009 <input type="checkbox"/> DRUX200 <input type="checkbox"/> DRUX310 <input type="checkbox"/> DRUX600
Payment	<p>Select the type of type of cash movement.</p> <p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing a set of values allowed for this field.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCX007 <input type="checkbox"/> DRCX008 <input type="checkbox"/> DRCX060 <input type="checkbox"/> DRCX200 <input type="checkbox"/> DRCX310 <input type="checkbox"/> DRCX600 <input type="checkbox"/> DRUX008 <input type="checkbox"/> DRUX009 <input type="checkbox"/> DRUX200 <input type="checkbox"/> DRUX310 <input type="checkbox"/> DRUX600
Transaction Identification	<p>Select the ISO Transaction Code of the instruction.</p> <p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing a set of values allowed for this field.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCX007 <input type="checkbox"/> DRCX008 <input type="checkbox"/> DRCX060

Predicates (Set of groups of Parameters)	
	<ul style="list-style-type: none"> <input type="checkbox"/> DRCX200 <input type="checkbox"/> DRCX310 <input type="checkbox"/> DRCX600 <input type="checkbox"/> DRUX008 <input type="checkbox"/> DRUX009 <input type="checkbox"/> DRUX200 <input type="checkbox"/> DRUX310 <input type="checkbox"/> DRUX600
Securities Account Owner Party Type	<p>Select the party type of the account owner.</p> <p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing a set of values allowed for this field.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCX007 <input type="checkbox"/> DRCX008 <input type="checkbox"/> DRCX060 <input type="checkbox"/> DRCX200 <input type="checkbox"/> DRCX310 <input type="checkbox"/> DRCX600 <input type="checkbox"/> DRUX008 <input type="checkbox"/> DRUX009 <input type="checkbox"/> DRUX200 <input type="checkbox"/> DRUX310 <input type="checkbox"/> DRUX600
Instructing Party Type	<p>Select the party type of the account owner.</p> <p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing a set of values allowed for this field.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCX007

Predicates (Set of groups of Parameters)	
	<ul style="list-style-type: none"> <input type="checkbox"/> DRCX008 <input type="checkbox"/> DRCX060 <input type="checkbox"/> DRCX200 <input type="checkbox"/> DRCX310 <input type="checkbox"/> DRCX600 <input type="checkbox"/> DRUX008 <input type="checkbox"/> DRUX009 <input type="checkbox"/> DRUX200 <input type="checkbox"/> DRUX310 <input type="checkbox"/> DRUX600
Party BIC	<p>Enter or select the BIC of the instructing party.</p> <p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows an auto-complete select box where inserting the BIC with format 11x.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCX007 <input type="checkbox"/> DRCX008 <input type="checkbox"/> DRCX060 <input type="checkbox"/> DRCX200 <input type="checkbox"/> DRCX310 <input type="checkbox"/> DRCX600 <input type="checkbox"/> DRUX008 <input type="checkbox"/> DRUX009 <input type="checkbox"/> DRUX200 <input type="checkbox"/> DRUX310 <input type="checkbox"/> DRUX600
ISIN	<p>Enter or select the ISIN code of the security.</p> <p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows an input-sensitive select box where inserting the Security ISIN with format 12x.</p>

Predicates (Set of groups of Parameters)	
	<p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCX007 <input type="checkbox"/> DRCX008 <input type="checkbox"/> DRCX060 <input type="checkbox"/> DRCX200 <input type="checkbox"/> DRCX310 <input type="checkbox"/> DRCX600 <input type="checkbox"/> DRUX008 <input type="checkbox"/> DRUX009 <input type="checkbox"/> DRUX200 <input type="checkbox"/> DRUX310 <input type="checkbox"/> DRUX600
Matching Status	<p>Select the matching status of the instruction.</p> <p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value.</p> <p>When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing a set of values allowed for this field.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCX007 <input type="checkbox"/> DRCX008 <input type="checkbox"/> DRCX060 <input type="checkbox"/> DRCX200 <input type="checkbox"/> DRCX310 <input type="checkbox"/> DRCX600 <input type="checkbox"/> DRUX008 <input type="checkbox"/> DRUX009 <input type="checkbox"/> DRUX200 <input type="checkbox"/> DRUX310 <input type="checkbox"/> DRUX600
Debited Position/ Balance	<p>Select the restriction type code of the delivering position or the debited balance of the instruction.</p>

Predicates (Set of groups of Parameters)	
	<p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing a set of values allowed for this field.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCX007 <input type="checkbox"/> DRCX008 <input type="checkbox"/> DRCX060 <input type="checkbox"/> DRCX200 <input type="checkbox"/> DRCX310 <input type="checkbox"/> DRCX600 <input type="checkbox"/> DRUX008 <input type="checkbox"/> DRUX009 <input type="checkbox"/> DRUX200 <input type="checkbox"/> DRUX310 <input type="checkbox"/> DRUX600
Credited Position/ Balance	<p>Select the restriction type code of the delivering position or the credited balance of the instruction.</p> <p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing a set of values allowed for this field.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCX007 <input type="checkbox"/> DRCX008 <input type="checkbox"/> DRCX060 <input type="checkbox"/> DRCX200 <input type="checkbox"/> DRCX310 <input type="checkbox"/> DRCX600 <input type="checkbox"/> DRUX008 <input type="checkbox"/> DRUX009 <input type="checkbox"/> DRUX200

Predicates (Set of groups of Parameters)	
	<ul style="list-style-type: none"> <input type="checkbox"/> DRUX310 <input type="checkbox"/> DRUX600
Securities Account Number	<p>Enter or select the Securities Account Number.</p> <p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows an auto-complete select box where inserting the Securities Account Number.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCX007 <input type="checkbox"/> DRCX008 <input type="checkbox"/> DRCX060 <input type="checkbox"/> DRCX200 <input type="checkbox"/> DRCX310 <input type="checkbox"/> DRCX600 <input type="checkbox"/> DRUX008 <input type="checkbox"/> DRUX009 <input type="checkbox"/> DRUX200 <input type="checkbox"/> DRUX310 <input type="checkbox"/> DRUX600
Cash Account Number	<p>Enter or select the Cash Account Number.</p> <p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows an auto-complete select box where inserting the Cash Account Number.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCX007 <input type="checkbox"/> DRCX008 <input type="checkbox"/> DRCX060 <input type="checkbox"/> DRCX200 <input type="checkbox"/> DRCX310 <input type="checkbox"/> DRCX600 <input type="checkbox"/> DRUX008

Predicates (Set of groups of Parameters)	
	<input type="checkbox"/> DRUX009 <input type="checkbox"/> DRUX200 <input type="checkbox"/> DRUX310 <input type="checkbox"/> DRUX600
Credit Debit Indicator	<p>Select the value of the Debit/Credit Indicator.</p> <p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing a set of values allowed for this field.</p> <p>References for error messages:</p> <input type="checkbox"/> DRCX007 <input type="checkbox"/> DRCX008 <input type="checkbox"/> DRCX050 <input type="checkbox"/> DRCX060 <input type="checkbox"/> DRCX200 <input type="checkbox"/> DRCX310 <input type="checkbox"/> DRCX600 <input type="checkbox"/> DRUX008 <input type="checkbox"/> DRUX009 <input type="checkbox"/> DRUX050 <input type="checkbox"/> DRUX200 <input type="checkbox"/> DRUX310 <input type="checkbox"/> DRUX600
Country if Issuance	<p>Select the country of issuance of the security.</p> <p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing a set of values allowed for this field.</p>
Settlement Currency	<p>Select the Settlement Currency.</p>

Predicates (Set of groups of Parameters)	
	<p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing the currencies code.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCX007 <input type="checkbox"/> DRCX008 <input type="checkbox"/> DRCX060 <input type="checkbox"/> DRCX200 <input type="checkbox"/> DRCX310 <input type="checkbox"/> DRCX600 <input type="checkbox"/> DRUX008 <input type="checkbox"/> DRUX009 <input type="checkbox"/> DRUX200 <input type="checkbox"/> DRUX310 <input type="checkbox"/> DRUX600
Cash Account Type	<p>Select the cash account type.</p> <p>If the Group contains a Parameter of this type, this field contains the corresponding Parameter Value. When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing a set of values allowed for this field.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCX007 <input type="checkbox"/> DRCX008 <input type="checkbox"/> DRCX060 <input type="checkbox"/> DRCX200 <input type="checkbox"/> DRCX310 <input type="checkbox"/> DRCX600 <input type="checkbox"/> DRUX008 <input type="checkbox"/> DRUX009 <input type="checkbox"/> DRUX200 <input type="checkbox"/> DRUX310

Predicates (Set of groups of Parameters)	
	<input type="checkbox"/> DRUX600
Market-Specific Attribute Name	<p>Enter or select the value of the parameter.</p> <p>This field is only available if MSA parameter has been added to the group. When the user clicks on the “Add predicate” button, the displayed pop-up shows a select box containing the attribute values for the selected MSA. If the attribute values are not specified in the attribute domain, the entered value must be consistent with the format of the attribute domain.</p> <p>This field is only available for object restriction type equal to Settlement Instruction, Intra-position movement and Intra-balance movement.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCX002 <input type="checkbox"/> DRCX012 <input type="checkbox"/> DRCX013 <input type="checkbox"/> DRCX050 <input type="checkbox"/> DRCX200 <input type="checkbox"/> DRCX310 <input type="checkbox"/> DRCX320 <input type="checkbox"/> DRCX330 <input type="checkbox"/> DRUX003 <input type="checkbox"/> DRUX012 <input type="checkbox"/> DRUX013 <input type="checkbox"/> DRUX050 <input type="checkbox"/> DRUX200 <input type="checkbox"/> DRUX310 <input type="checkbox"/> DRUX320 <input type="checkbox"/> DRUX330 <input type="checkbox"/> DRUX600
Market-Specific Attribute Name – Credit	<p>Select whether the relevant parameter value applies specifically to the receiving leg of the settlement instruction.</p>

Predicates (Set of groups of Parameters)	
	<p>This field is only applicable in case the market-specific attribute type is 'party' or 'account'. When the selected market-specific attribute type is 'Security', this field is not applicable. If the selected market-specific attribute type is 'party' or 'account' and neither the 'Debit' field nor the 'Credit' field are selected, then the parameter applies independently from the leg of the settlement instruction.</p> <p>This field is also available as a show field for existing records.</p> <p>This field is only available for object restriction type equal to Settlement Instruction, Intra-position movement and Intra-balance movement.</p> <p>This field is empty and read-only when a Market Specific Attribute type is "Security".</p>
Market-Specific Attribute Name – Debit	<p>Select whether the relevant parameter value applies specifically to the delivering leg of the settlement instruction.</p> <p>This field is only applicable in case the market-specific attribute type is 'party' or 'account'. When the selected market-specific attribute type is 'Security', this field is not applicable.</p> <p>If the selected market-specific attribute type is 'party' or 'account' and neither the 'Debit' field nor the 'Credit' field are selected, then the parameter applies independently from the leg of the settlement instruction.</p> <p>This field is also available as a show field for existing records.</p> <p>This field is only available for object restriction type equal to Settlement Instruction, Intra-position movement and Intra-balance movement.</p> <p>This field is empty and read-only when a Market Specific Attribute type is "Security".</p>

Buttons

Buttons	
Submit	<p>This function enables the user to create or edit a Restriction Type Rule according to the information filled in the fields.</p>

References for error messages:

- DRCX001**
- DRCX002**
- DRCX004**
- DRCX005**
- DRCX006**
- DRCX007**
- DRCX008**
- DRCX010**
- DRCX011**
- DRCX012**
- DRCX013**
- DRCX045**
- DRCX050**
- DRCX200**
- DRCX300**
- DRCX310**
- DRCX320**
- DRCX330**
- DRCX600**
- DRUX001**
- DRUX002**
- DRUX003**
- DRUX004**
- DRUX005**
- DRUX008**
- DRUX009**
- DRUX010**
- DRUX011**
- DRUX012**
- DRUX013**
- DRUX044**

	<ul style="list-style-type: none"> <input type="checkbox"/> DRUX050 <input type="checkbox"/> DRUX200 <input type="checkbox"/> DRUX300 <input type="checkbox"/> DRUX310 <input type="checkbox"/> DRUX320 <input type="checkbox"/> DRUX330 <input type="checkbox"/> DRUX600
Add Group	This function enables the user to add a group in the groups box in which the user can enter the name of the new group. After inserting a name and pushing the “ok” button in the popup, the group name is added to the Boolean Expression.
Edit Group	This function enables the user to edit a group name.
Delete Group	Pushing this button, the selected group is removed from the Boolean Expression with all its parameters.
Reset	This function restores the situation shown at opening of the page.
Cancel	This function enables the user to cancel the creation or the edit action. No operations are performed.
Add predicate	If the user pushes this button, the system shows a pop-up with select box to choose the proper parameter type. After selecting the parameter type, the field(s) for the parameter value is/are shown. After inserting a value and pushing the “ok” button in the popup, a value is inserted in the selected relevant group box.
Edit predicate	If the user pushes this button, the system shows a pop-up with a editable select box containing the actual Parameter Value. The user can change the Parameter Value. After editing a new value and pushing the “ok” button in the popup, the new value appears in the relevant group box.
Delete predicate	Pushing this button, the selected predicate is removed from the relevant group. {Split book approach}

2.8.2.8 General Configuration Parameters

2.8.2.8.1 Attribute Domains — Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for attribute domains. The search results will be displayed in a list, which is sorted by the values of the 'Name' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.

This screen is not relevant for CSD participants, external CSDs, payment banks or ancillary systems users.

Screen Access

Common >> General Configuration Parameters >> Attribute Domains >> Search

Privileges

To use this screen, the following Privileges are needed:

- Attribute Domain List Query
- Delete Attribute Domain

Screenshot

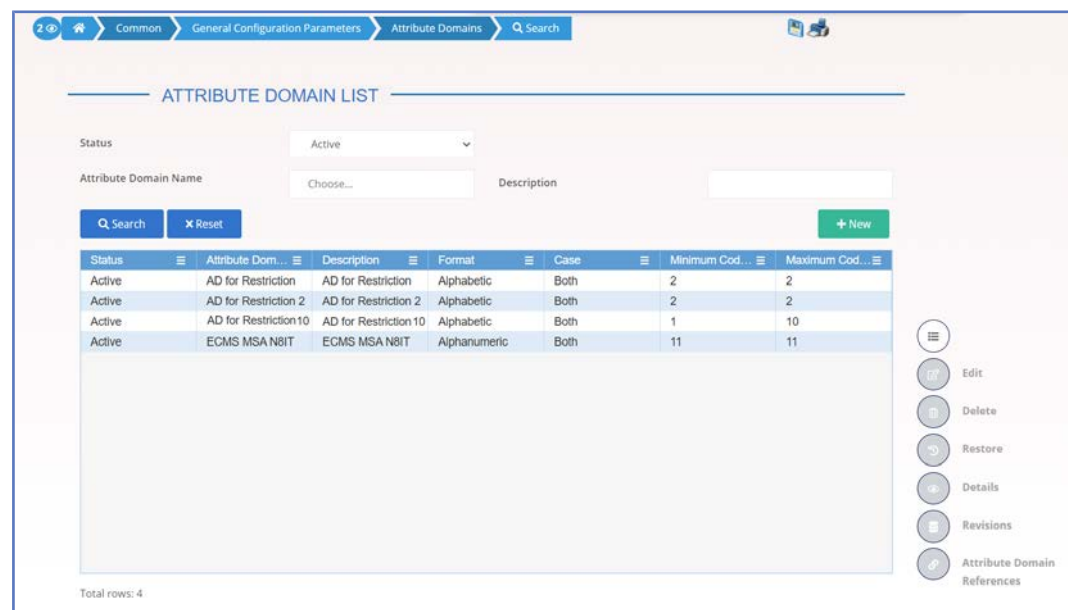


Illustration 252: Attribute Domains — search/list screen {Split book approach}

Fields Description

Attribute Domains — Search Criteria	
Status	Select the status of the attribute domain from the possible values: <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted
Attribute Domain Name	Enter or select the name of the attribute domain.

Attribute Domains — Search Criteria	
	Required format is: max. 35x characters.
Description	Enter the description of the attribute domain name. Required format is: max. 350 characters.

Attribute Domains — List	
Status	Shows the status of the attribute domain. References for error messages: <input type="checkbox"/> DRDB003 <input type="checkbox"/> DRDB004
Attribute Domain Name	Shows the name of the attribute domain. References for error messages: <input type="checkbox"/> DRDB002
Description	Shows the description of the attribute domain name.
Format	Shows the format of the attribute domain.
Case	Shows the case type of the attribute domain format.
Minimum Code Length	Shows the minimum length of the code allowed in the attribute domain.
Maximum Code Length	Shows the maximum length of the code allowed in the attribute domain.

Buttons

Buttons	
Search	This function enables the user to start a search according to the filled in criteria.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
New	This function enables the user to create a new attribute domain.
Edit	This function enables the user to edit the details of the selected attribute domain. If the status of the selected attribute domain is already set to 'Deleted', this function is not available.
Delete	This function enables the user to delete the selected attribute domain. If the status of the selected attribute domain is already set to 'Deleted', this function is not available. References for error messages:

	<ul style="list-style-type: none"> <input type="checkbox"/> DRDB003 <input type="checkbox"/> DRDB040
Restore	<p>This function enables the user to restore a previously deleted attribute domain.</p> <p>If the status of the selected attribute domain is already set to 'Active' this function is not available.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDB002 <input type="checkbox"/> DRDB004
Details	This function enables the user to display the details of the selected attribute domain.
Revisions	This function enables the user to display the revisions of the selected attribute domain.
Attribute Domain References	This function enables the user to display the attribute domain references of the selected attribute domain. {Split book approach}

~~2.8.2.8.2 Attribute Domain - Details Screen~~

Context of Usage	<p>This screen displays detailed information on the selected attribute domain. You can check the data and proceed further by clicking on the buttons below.</p> <p>This screen is not relevant for CSD participants, external CSDs, payment banks or ancillary systems users.</p>
Screen Access	<ul style="list-style-type: none"> <input type="checkbox"/> Common >> General Configuration Parameters >> Attribute Domains – search/list screen >> Click on the search and/or details button
Privileges	<p>To use this screen, the following Privileges are needed:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Attribute Domain Details Query <input type="checkbox"/> Delete Attribute Domain

Screenshot

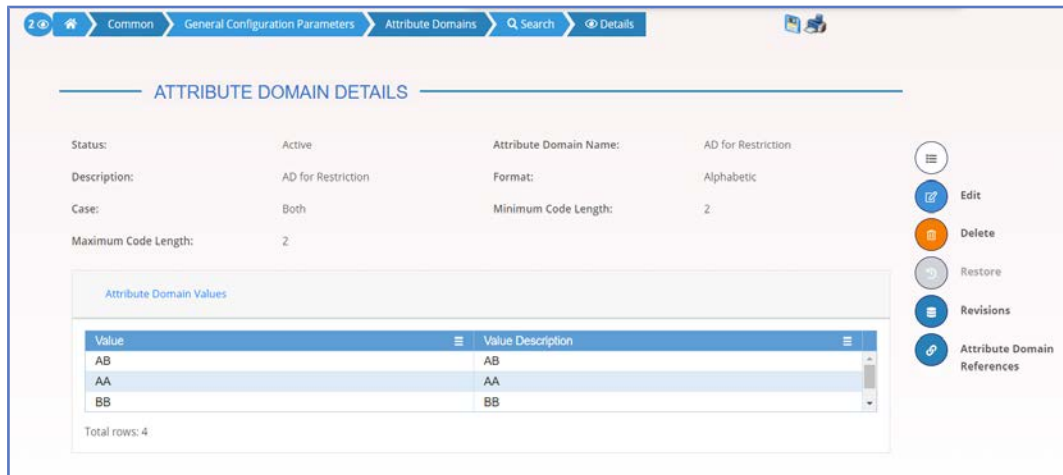


Illustration 253: **Attribute Domain – details screen** (Split book approach)

Fields

Description

Attribute Domain	
Status	Shows the status of the attribute domain. References for error messages: <input type="checkbox"/> DRDB003 <input type="checkbox"/> DRDB004
Attribute Domain Name	Shows the name of the attribute domain. References for error messages: <input type="checkbox"/> DRDB002
Description	Shows the description of the attribute domain name.
Format	Shows the format of the attribute domain.
Case	Shows the case type of the attribute domain format.
Minimum Code Length	Shows the minimum length of the code allowed in the attribute domain.
Maximum Code Length	Shows the maximum length of the code allowed in the attribute domain.

Attribute Values	
Value	Shows the value of the attribute domain.
Value Description	Shows the description of the attribute domain value.

Buttons

Edit	This function enables the user to edit the details of the selected attribute domain. If the status of the selected attribute domain is already set to 'Deleted' this function is not available.
-------------	--

Delete	<p>This function enables the user to delete the selected attribute domain.</p> <p>If the status of the selected attribute domain is already set to 'Deleted', this function is not available.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDB001 <input type="checkbox"/> DRDB003 <input type="checkbox"/> DRDB040
Restore	<p>This function enables the user to restore a previously deleted attribute domain.</p> <p>If the status of the selected attribute domain is already set to 'Active', this function is not available.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDB001 <input type="checkbox"/> DRDB002 <input type="checkbox"/> DRDB004
Revisions	<p>This function enables the user to display the revisions of the displayed attribute domain.</p>
Attribute Domain References	<p>This function enables the user to display the attribute domain references of the selected attribute domain.</p> <p>If the status of the selected attribute domain is already set to 'Deleted', this function is not available. {Split book approach}</p>

2.8.2.8.3 Attribute Domain - New/Edit Screen

Context of Usage

This screen contains a number of fields regarding attribute domains. You can enter new data or edit existing data. Afterwards you can proceed further by clicking on the buttons below.

In edit mode, the screen displays a list of existing values for the attribute domain, which are sorted in ascending alphabetical order.

This screen is not relevant for CSD participants, external CSDs, payment banks or ancillary systems users.

Screen Access

- Common >> General Configuration Parameters >> Attribute Domains >> New

- ~~Common >> General Configuration Parameters >> Attribute Domains >> Attribute Domains – search/list screen >> Click on the new or edit button~~
- ~~Common >> General Configuration Parameters >> Attribute Domains >> Attribute Domains – search/list screen >> Click on the search and/or details button >> Attribute Domain – details screen >> Click on the edit button~~

Privileges To use this screen, the following Privileges are needed:

- ~~Create Attribute Domain~~
- ~~Update Attribute Domain~~

Screenshot

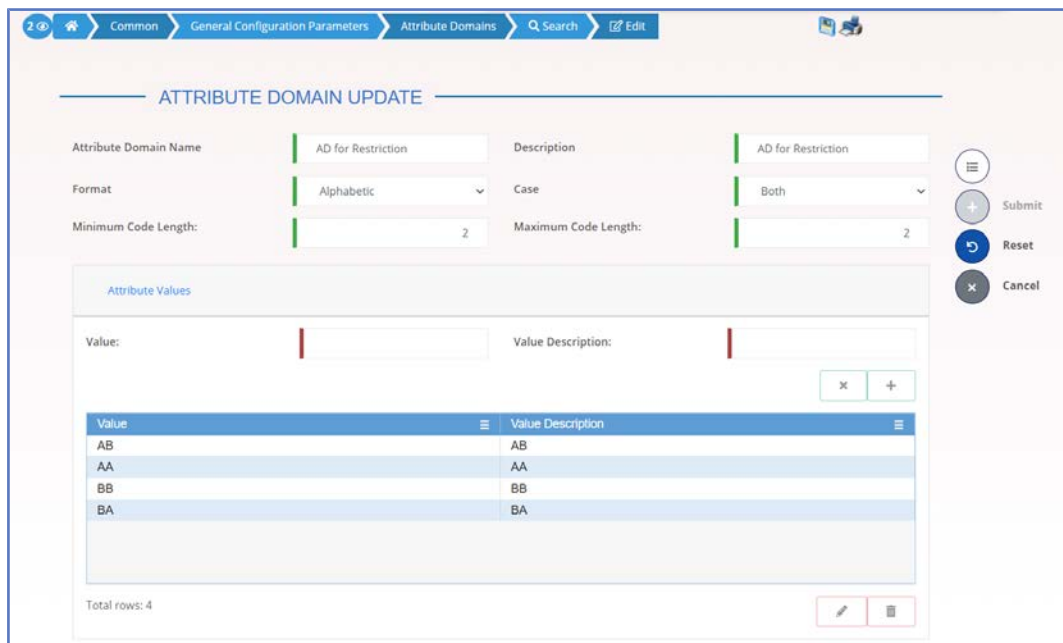


Illustration 254: ~~Attribute Domain – new/edit screen~~ {Split book approach}

Fields

Description

Attribute Domain	
System Entity	<p>Select the system entity for which the Service Operator wants to create an attribute domain, acting on behalf of a certain user.</p> <p>This field is only visible to Service Operator and is mandatory.</p> <p>This field is only present in create mode.</p>
Attribute Domain Name	<p>Enter the name of the attribute domain.</p> <p>This field is mandatory in create mode.</p> <p>Required format is: max 35x characters.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCB002

Attribute Domain	
	<input type="checkbox"/> DRUB002
Description	<p>Enter the description of the attribute domain.</p> <p>This field is mandatory in create mode.</p> <p>Required format is: max 350x characters.</p>
Format	<p>Select the format of the attribute domain from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Alphabetic <input type="checkbox"/> Alphanumeric <input type="checkbox"/> Numeric <p>The detail of the available attribute domain formats is:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Alphanumeric: should be handled analogue to the text fields, meaning: Character set SWIFT-x <input type="checkbox"/> Numeric: numbers from 0-9 (without any special characters) <input type="checkbox"/> Alphabetic: letters from a-z and A-Z (without any special characters) <p>This field is mandatory in create mode.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRUB004
Case	<p>Select the case type of the attribute domain from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Both <input type="checkbox"/> Upper-Case <input type="checkbox"/> Lower-Case <p>If Format is set to 'Numeric' this field is blank and disabled.</p> <p>This field is mandatory in create mode.</p> <p>Reference for error message:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRUB004
Minimum Code Length	<p>Enter the minimum length of the code allowed in the attribute domain.</p>

Attribute Domain	
	<p>This field is mandatory in create mode.</p> <p>Required format is: Numeric.</p> <p>Reference for error message:</p> <p><input type="checkbox"/> DRUB004</p>
Maximum Code Length	<p>Enter the maximum length of the code allowed in the attribute domain.</p> <p>This field is mandatory in create mode.</p> <p>Required format is: Numeric.</p> <p>References for error messages:</p> <p><input type="checkbox"/> DRUB004</p>

Attribute Values	
Value	<p>Enter the value of the attribute domain.</p> <p>The required format is variable and depending on the 'Format' field. The required length is variable and depending on 'Minimum Code Length' and 'Maximum Code Length' fields.</p> <p>References for error messages:</p> <p><input type="checkbox"/> DRCB003</p> <p><input type="checkbox"/> DRUB005</p> <p><input type="checkbox"/> DRUB010</p>
Value Description	<p>Enter the description of the attribute domain value.</p> <p>Required format is: max 350x characters (UTF-8 except for '<', '>' and '&').</p>

Buttons

Buttons	
Submit	<p>This function enables the user to create or edit an attribute domain.</p> <p>References for error messages:</p> <p><input type="checkbox"/> DRCB001</p> <p><input type="checkbox"/> DRCB002</p> <p><input type="checkbox"/> DRCB003</p> <p><input type="checkbox"/> DRUB001</p> <p><input type="checkbox"/> DRUB002</p> <p><input type="checkbox"/> DRUB003</p>

	<input type="checkbox"/> DRUB004 <input type="checkbox"/> DRUB005 <input type="checkbox"/> DRUB006 <input type="checkbox"/> DRUB010
Reset	This function enables the user to set all fields to default value and blanks out all optional fields.
Cancel	This function enables the user to cancel the process and return to the previous screen. {Split book approach}

~~2.8.2.8.4 Attribute Domain References – List Screen~~

Context of Usage

~~The results will be displayed in a list, which is sorted by the values of the 'Name' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.~~

~~This screen is not relevant for CSD participants, external CSDs, payment banks or ancillary systems users.~~

Screen Access

- ~~Common >> General Configuration Parameters >> Attribute Domains >> Attribute Domains – search/list screen >> Click on the attribute domain references button~~
- ~~Common >> General Configuration Parameters >> Attribute Domains >> Attribute Domains – search/list screen >> Click on the search and/or details button >> Attribute Domain – details screen >> Click on the attribute domain references button~~

Privileges

~~To use this screen, the following Privileges are needed:~~

- ~~Attribute Reference List Query~~
- ~~Delete Attribute Reference~~

Screenshot

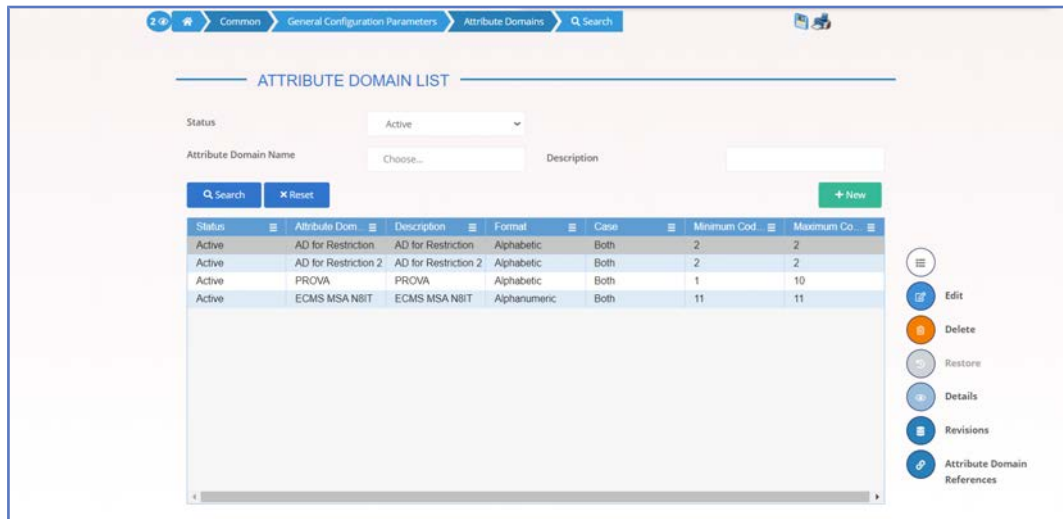


Illustration 255: **Attribute Domain References – list screen** (Split book approach)

Fields

Description

Attribute Domain	
Status	Shows the status of the attribute domain.
Attribute Domain Name	Shows the name of the attribute domain.
Description	Shows the description of the attribute domain.

Attribute Domain References – List	
Status	Shows the status of the attribute domain reference. References for error messages: <input type="checkbox"/> DRDC003 <input type="checkbox"/> DRDC004
Name	Shows the name of the attribute domain reference.
Description	Shows the description of the attribute domain reference.
Format	Shows the format of the attribute domain reference.
Case	Shows the case type of the attribute domain reference format.
Minimum Code Length	Shows the minimum length allowed.
Maximum Code Length	Shows the maximum length allowed.
Mandatory	Shows whether the attribute domain reference is mandatory or not.

Buttons

New	This function enables the user to create a new attribute domain reference.
Edit	This function enables the user to edit the details of the selected attribute domain reference. If the status of the selected attribute domain is already set to 'Deleted', this function is not available.
Delete	This function enables the user to delete the selected attribute domain reference. If the status of the selected attribute domain is already set to 'Deleted', this function is not available. References for error messages: <input type="checkbox"/> DRDC001 <input type="checkbox"/> DRDC003
Restore	This function enables the user to restore a previously deleted attribute domain reference. If the status of the selected attribute domain is already set to 'Active' this function is not available. References for error messages: <input type="checkbox"/> DRDC001 <input type="checkbox"/> DRDC004
Details	This function enables the user to display the details of the selected attribute domain reference.
Revisions	This function enables the user to display the revisions of the selected attribute domain reference. {Split book approach}

~~2.8.2.8.5 Attribute Domain Reference – Details Screen~~

Context of Usage

~~This screen displays detailed information on the selected attribute domain reference. You can check the data and proceed further by clicking on the buttons below.~~

~~This screen is not relevant for CSD participants, external CSDs, payment banks or ancillary systems users.~~

Screen Access

- ~~Common >> General Configuration Parameters >> Attribute Domains >> Attribute Domains – search/list screen >> Click on the attribute domain references button >> Attribute Domain References – list screen >> Click on the details button~~

- ~~Common >> General Configuration Parameters >> Attribute Domains >> Attribute Domains – search/list screen >> Click on the search and/or details button >> Attribute Domain – details screen >> Click on the attribute domain references button >> Attribute Domain References – list screen >> Click on the details button~~

Privileges To use this screen, the following Privileges are needed:

- ~~Attribute Reference Details Query~~
- ~~Delete Attribute Reference~~

Screenshot

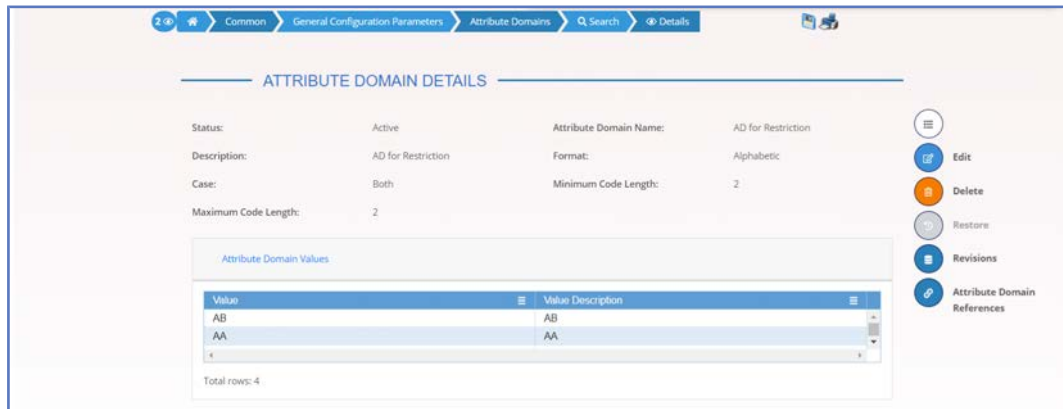


Illustration 256: ~~Attribute Domain – details screen (Split book approach)~~

Fields Description

Attribute Domain	
Status	Shows the status of the related attribute domain.
Attribute Domain Name	Shows the name of the attribute domain.
Description	Shows the description of the attribute domain name.

Attribute Domain Reference	
Status	Shows the status of the attribute domain reference. References for error messages: <input type="checkbox"/> DRDC003 <input type="checkbox"/> DRDC004
Attribute Domain Reference Name	Shows the name of the attribute domain reference.
Description	Shows the description of the attribute domain reference.
Format	Shows the format of the attribute domain reference.
Case	Shows the case type of the attribute domain reference format.

Attribute Domain Reference	
Minimum Code Length	Shows the minimum length allowed.
Maximum Code Length	Shows the maximum length allowed.
Mandatory	Shows whether the attribute domain reference is mandatory or not.

Attribute Domain Reference Values	
Attribute Domain Value	Shows the value of the referenced attribute domain.
Reference Value	Shows the value of the attribute reference.
Reference Value Description	Shows the description of the attribute reference value.

Buttons

Buttons	
Edit	<p>This function enables the user to edit the details of the selected attribute domain reference.</p> <p>If the status of the selected attribute domain is already set to 'Deleted' this function is not available.</p>
Delete	<p>This function enables the user to delete the selected attribute domain reference.</p> <p>If the status of the selected attribute domain is already set to 'Deleted', this function is not available.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDC001 <input type="checkbox"/> DRDC003
Restore	<p>This function enables the user to restore a previously deleted attribute domain reference.</p> <p>If the status of the selected attribute domain is already set to 'Active', this function is not available.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRDC001 <input type="checkbox"/> DRDC004

Revisions	This function enables the user to display the revisions of the displayed attribute domain reference. {Split book approach}
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~~2.8.2.8.6 Attribute Domain Reference - New/Edit Screen~~

Context of Usage

~~This screen contains a number of fields regarding attribute domain references. You can enter new data or edit existing data. Afterwards you can proceed further by clicking on the buttons below.~~

~~In edit mode, the screen displays a list of existing values for the attribute domain reference, which are sorted in ascending alphabetical order.~~

~~This screen is not relevant for CSD participants, external CSDs, payment banks or ancillary systems users.~~

Screen Access

- ~~Common >> General Configuration Parameters >> Attribute Domains >> Attribute Domains – search/list screen >> Click on the attribute domain references button >> Attribute Domain References – list screen >> Click on the new or edit button~~
- ~~Common >> General Configuration Parameters >> Attribute Domains >> Attribute Domains – search/list screen >> Click on the attribute domain references button >> Attribute Domain References – list screen >> Click on the details button >> Attribute Domain Reference – details screen >> Click on the edit button~~

Privileges

~~To use this screen, the following Privileges are needed:~~

- ~~Create Attribute Reference~~
- ~~Update Attribute Reference~~

Screenshot

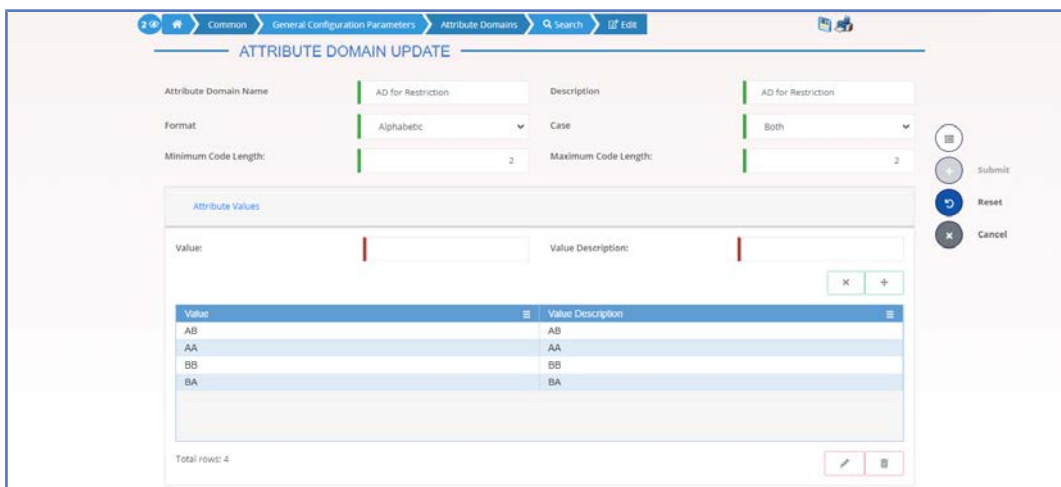


Illustration 257: ~~Attribute Domain Reference – new/edit screen {Split book approach}~~

Fields	Attribute Domain	
Description	Attribute Domain Name	Shows the name of the attribute domain. References for error messages: <input type="checkbox"/> DRCC005 <input type="checkbox"/> DRUC005
	Description	Shows the description of the attribute domain name.
	Attribute Domain Reference	
Attribute Domain Reference Name	Enter the name of the attribute domain reference. This field is mandatory in create mode. Required format is: max 35x characters.	
Description	Enter the description of the attribute domain reference. This field is mandatory in create mode. Required format is: max 350x characters.	
Format	Select the format of the attribute domain reference from the possible values: <input type="checkbox"/> Alphabetic <input type="checkbox"/> Alphanumeric <input type="checkbox"/> Numeric This field is mandatory in create mode.	
Case	Select the case type of the attribute domain reference from the possible values: <input type="checkbox"/> Both <input type="checkbox"/> Upper-Case <input type="checkbox"/> Lower-Case If Format is set to 'Numeric' this field is blank and disabled. This field is mandatory in create mode. References for error messages: <input type="checkbox"/> DRUC004	
Minimum Code Length	Enter the minimum length of the code allowed in the attribute domain reference.	

Attribute Domain Reference	
	<p>This field is mandatory in create mode.</p> <p>Required format is: Numeric.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRUC004
Maximum Code Length	<p>Enter the maximum length of the code allowed in the attribute domain reference.</p> <p>This field is mandatory in create mode.</p> <p>Required format is: Numeric.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRUC004
Mandatory	Select whether the reference is mandatory or not.

Attribute Domain Reference Values	
Attribute Domain Value	<p>Select the attribute domain value of the referenced attribute domain.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRUC006
Reference Value	<p>Enter the value of the attribute domain reference.</p> <p>The required format is variable and depending on the 'Format' field. The required length is variable and depending on 'Minimum Code Length' and 'Maximum Code Length' fields.</p> <p>References for error messages:</p> <ul style="list-style-type: none"> <input type="checkbox"/> DRCC003 <input type="checkbox"/> DRUC006 <input type="checkbox"/> DRUC010
Reference Value Description	<p>Enter the description of the attribute domain reference value.</p> <p>Required format is: max 350x characters (UTF-8 except for '<', '>' and '&').</p>

Buttons

Buttons	
Submit	<p>This function enables the user to create or edit an attribute domain reference.</p> <p>References for error messages:</p>

	<input type="checkbox"/> DRCC001 <input type="checkbox"/> DRCC003 <input type="checkbox"/> DRCC005 <input type="checkbox"/> DRCC006 <input type="checkbox"/> DRUC001 <input type="checkbox"/> DRUC003 <input type="checkbox"/> DRUC004 <input type="checkbox"/> DRUC005 <input type="checkbox"/> DRUC006 <input type="checkbox"/> DRUC007 <input type="checkbox"/> DRUC010
Reset	This function enables the user to set all fields to default value and blanks out all optional fields.
Cancel	This function enables the user to cancel the process and return to the previous screen. {Split book approach}

~~2.8.2.8.7 Countries Search/List Screen~~

Context of Usage

~~This screen allows the authorised users to search for the Countries. The Service Operators can additionally manage the Countries creating new ones or editing, deleting or restoring existing ones. The result list is sorted by default by "Status", "Country Code".~~

Screen Access

~~Common >> General Configuration Parameters >> Countries >> Search~~

Privileges

~~To use this screen, the following Privileges are needed:~~

- ~~Country query~~
- ~~Delete Country~~

Screenshot

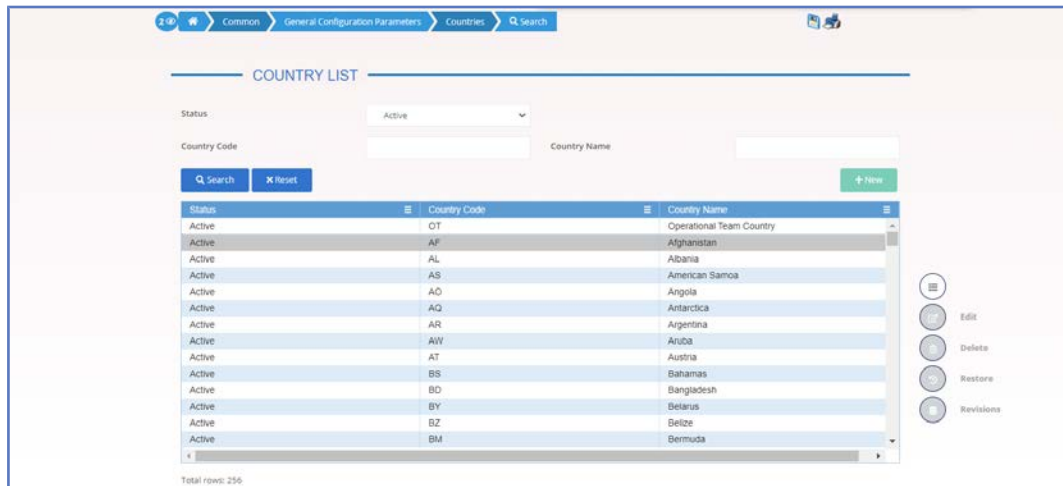


Illustration 258: **Country – search/list screen** {Split book approach}

Fields

Description

Countries – Search Criteria	
Status	Select the status of the Country from the possible values: <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted
Country Code	Enter the country code. Required format is: max. 2x characters.
Country Name	Enter the name of the Country. Required format is: max. 35x characters.

Countries – List	
Status	Shows the status of the country.
Country Code	Shows the country code.
Country Name	Shows the name of the country.

Buttons

Search	This function enables the User to start a search according to the entered criteria. The results are displayed in a list on the same screen.
Reset	This function enables the User to set default search criteria and blanks out all optional criteria. {Split book approach}

2.8.2.8.8 Currencies – Search/List Screen

Context of Usage

~~This screen allows the authorised users to search for the Currencies. The Service Operators can additionally manage the Currencies creating new ones or editing, deleting or restoring existing ones. The result list is sorted by default by “Status”, “Currency”.~~

Screen Access

~~Common >> General Configuration Parameters >> Currencies >> Search~~

Privileges

~~To use this screen, the following Privileges are needed:~~

- ~~Currency query~~
- ~~Delete Currency~~

Screenshot

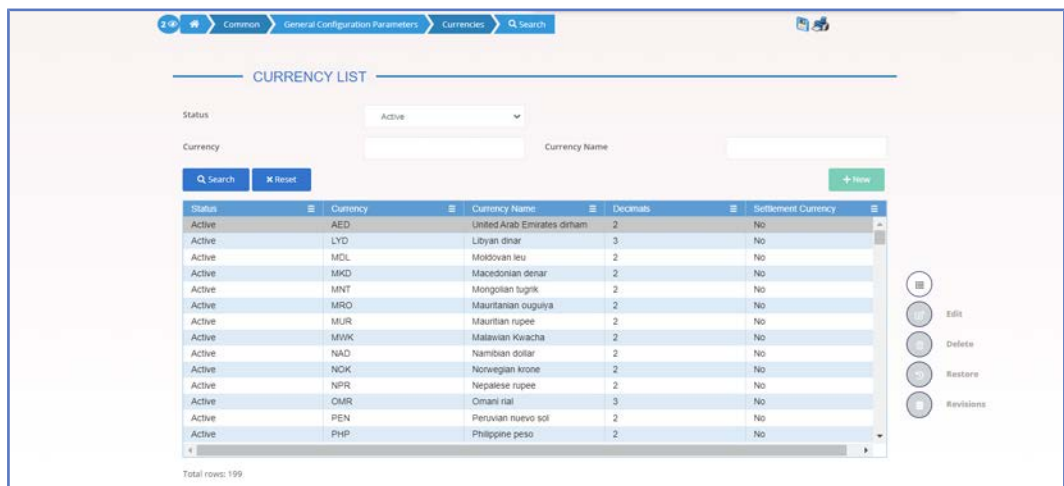


Illustration 259: Currency – search/list screen (Split book approach)

Fields

Description

Currencies – Search Criteria	
Status	Select the status of the Currency from the possible values: <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted
Currency	Enter the Currency code. Required format is: max. 3x characters.
Currency Name	Enter the name of the Currency. Required format is: max. 35x characters.

Currencies – List	
Status	Shows the status of the Currency.

Currencies— List	
Currency	Shows the Currency code.
Currency Name	Shows the name of the Currency.
Decimals	Shows the number of decimals a currency has.
Settlement Currency	Show whether the currency is eligible for settlement.

Buttons

Search	This function enables the User to start a search according to the entered criteria. The results are displayed in a list on the same screen.
Reset	This function enables the User to set default search criteria and blanks out all optional criteria. {Split book approach}

2.8.2.8.9 Partial Settlement Thresholds— Search/List Screen

Context of Usage

This screen allows the authorised users to search for the Partial Settlement Thresholds.

The Service Operators can additionally manage the Partial Settlement Thresholds creating new ones or editing, deleting or restoring existing ones.

The result list is displayed sorted by default by “Status”, “Settlement Type” and “Currency”.

Screen Access

- Common >> General Configuration Parameters >> Partial Settlement Thresholds >> Search

Privileges

To use this screen, the following Privileges are needed:

- Partial Settlement Threshold query
- Delete Partial Settlement Threshold

Screenshot

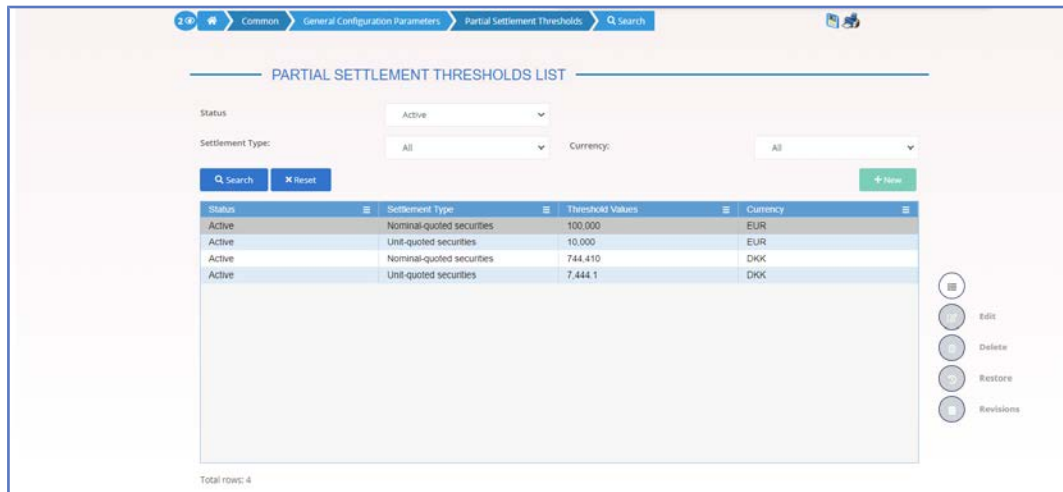


Illustration 260: **Partial Settlement Threshold – search/list screen** (Split book approach)

Fields Description

Partial Settlement Thresholds – Search Criteria	
Status	Select the status of the Partial Settlement Threshold from the possible values: <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted
Settlement Type	Select the type of Partial Settlement Threshold from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Unit-quoted securities <input type="checkbox"/> Nominal-quoted securities
Currency	Select the currency code of the Partial Settlement Threshold from the drop-down menu. The default value is 'All'.

Partial Settlement Threshold – List	
Status	Shows the status of the Partial Settlement Threshold.
Settlement Type	Shows the type of the Partial Settlement Threshold.
Threshold Values	Shows the Partial Settlement Threshold as an amount in cash.
Currency	Shows the currency code of the Partial Settlement Threshold.

Buttons

Search	This function enables the User to start a search according to the entered criteria. The results are displayed in a list on the same screen.
Reset	This function enables the User to set default search criteria and blanks out all optional criteria. {Split book approach}

~~2.8.2.8.10 System Entities – Search/List Screen~~

Context of Usage

~~This screen contains a number of search fields. By inputting the relevant data, you can search for System Entities. The results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the buttons below.~~

Screen Access

~~Common >> General Configuration Parameters >> System Entity >> Search~~

Privileges

~~To use this screen, the following Privileges are needed:~~

~~System Entity query~~

Screenshot

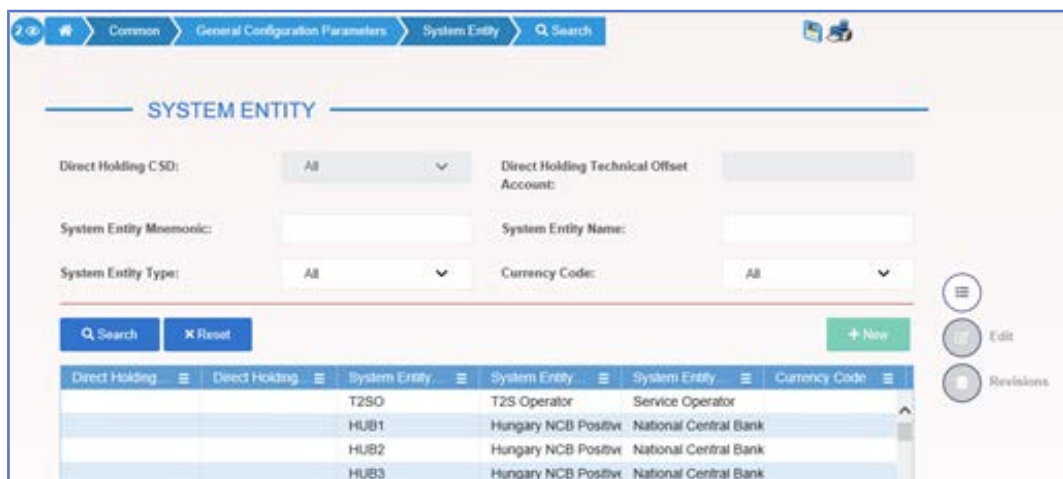


Illustration 261: ~~System Entity – search/list screen {Split book approach}~~

Fields

Description

System Entity – Search Criteria	
Direct Holding CSD	Select whether the system entity is a CSD operating in a direct holding market from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> Yes <input type="checkbox"/> No

System Entity – Search Criteria	
	This field is for T2S only.
Direct Holding Technical Offset Account	Enter the direct holding technical offset account number that T2S requires for settlement of settlement instructions in a direct holding market. This field is for T2S only. Required format is: max. 35x characters.
System Entity Mnemonic	Enter the system entity code. At least one field between Mnemonic and Name must be specified. Required format is: max. 4x characters.
System Entity Name	Enter the name of the system entity. At least one field between Mnemonic and Name must be specified. Required format is: max. 35x characters.
System Entity Type	Select the system entity type from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> T2S Operator <input type="checkbox"/> Central securities depository (CSD) <input type="checkbox"/> National central bank (NCB)
Currency Code	Select the currency related to the system entity. The default value is 'All'.

System Entity – List	
Direct Holding CSD	Shows whether the system entity is a CSD operating in a direct holding market. This field is for T2S only.
Direct Holding Technical Offset Account	Shows the direct holding technical offset account number that T2S requires for settlement of settlement instructions in a direct holding market. This field is for T2S only.
System Entity Mnemonic	Shows the system entity code.

System Entity—List	
System Entity Name	Shows the name of the system entity.
System Entity Type	Shows the system entity type.
Currency Code	Shows the currency related to the system entity.

Buttons

Search	This function enables the user to start a search according to the filled-in criteria.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
Revisions	This function enables the user to display the revisions of the selected system entity. {Split book approach}

2.8.2.8.11 Tolerance Amounts—Search/List Screen

Context of Usage

This screen allows the authorised users to search for the tolerance amounts.

The Service Operators can additionally manage the tolerance amounts creating new ones or editing, deleting or restoring existing ones.

The result list is displayed sorted by default by “Status, “Valid from”, “Currency”.

Screen Access

- Common >> General Configuration Parameters >> Tolerance Amounts >> Search

Privileges

To use this screen, the following Privileges are needed:

- Tolerance Amount query
- Delete Tolerance Amount

Screenshot

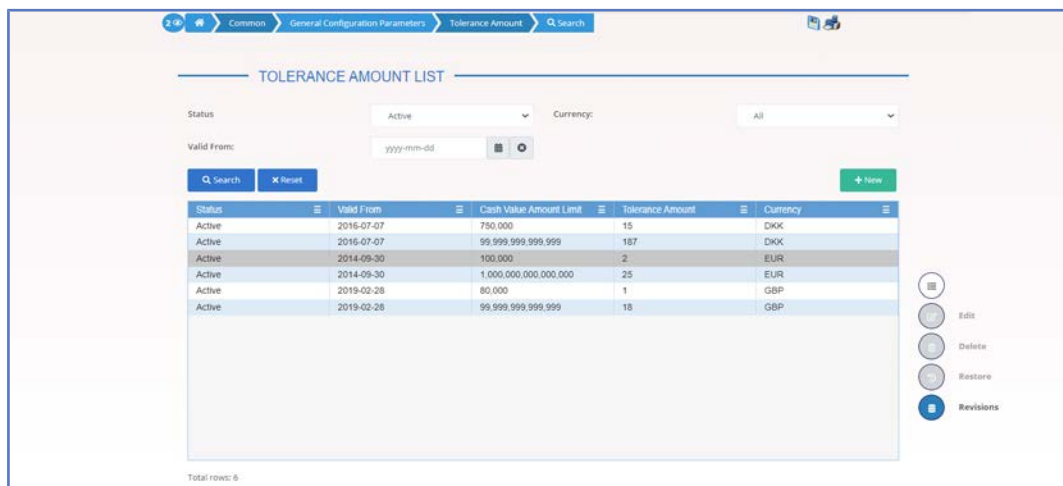


Illustration 262: Tolerance Amount—search/list screen {Split book approach}

Tolerance Amounts – Search Criteria	
Fields	
Description	
Status	Select the status of the tolerance amount from the possible values: <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted
Valid From	Enter or pick the date from which the set of tolerance amount values is valid. Required format is: Date.
Currency	Select the currency code of the tolerance amount from the drop-down menu. The default value is 'All'.

Tolerance Amount – List	
Status	Shows the status of the tolerance amount.
Valid From	Shows the date from which the set of tolerance amount values is valid.
Cash Value Amount Limit	Shows the cash value until which the tolerance amount is valid.
Tolerance Amount	Shows the tolerance amount value within the range identified by the cash value amount limit.
Currency	Shows the currency code related to the tolerance amount.

Buttons	
Search	This function enables the User to start a search according to the entered criteria. The results are displayed in a list on the same screen.
Reset	This function enables the User to set default search criteria and blanks out all optional criteria. {Split book approach}

2.8.2.9 Revision

2.8.2.9.1 Revisions/Audit Trail – List Screen

Context of Usage

This screen is used to receive an overview of the Revisions and the Audit Trail for a chosen reference data object. The search results will be displayed in a list. After selecting an entry, you can proceed further by clicking on the Details button. There is no usage restriction for this screen. The Revisions / Audit Trail (List Screen) can be reached via every other List or Details Screen, where the user pushes the Revisions Button.

Screen Access

- CRDM >> Screen Name >> Click on Revision button
- Common >> Revisions >> Revisions >> Static data revisions – search/ list screen >> Click on search and/or details button

Privileges

To use this screen, the following Privileges are needed:

- Party audit trail query
- Cash Account audit trail query
- Securities audit trail query
- Securities Account audit trail query
- Residual Static Data audit trail query

Screenshot

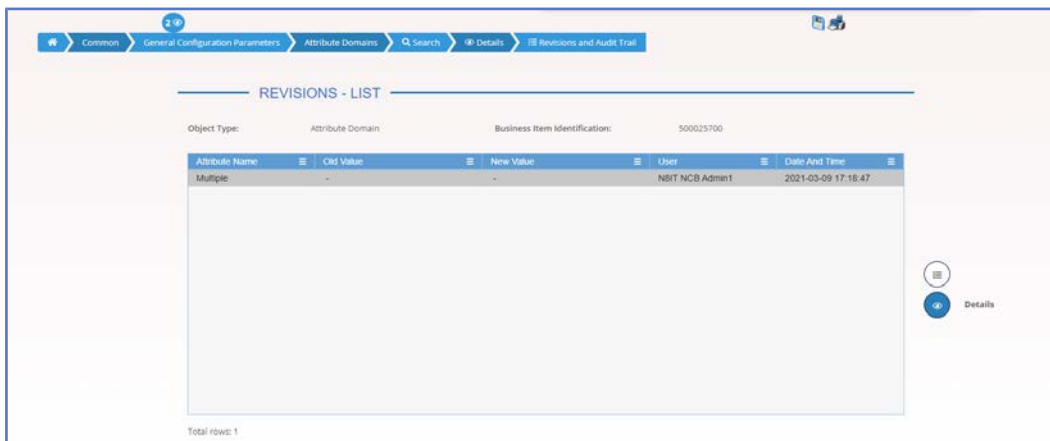


Illustration 263: Revisions/Audit Trail – list screen {Split book approach}

Fields Description

Revisions/Audit Trail – List	
Object Type	Shows the type of the selected object.
Business-Item Identification 1	Shows the identification of the respective business object.
Business-Item Identification 2	Shows the additional identification of the respective business object. This field is only available in case a second business identification is needed.

Revisions/Audit Trail – List	
Revisions/Audit Trail entry	
Attribute Name	Shows the name of the field which changed.
Old Value	Shows the old value of an attribute which was subject to a change.
New Value	Shows the new value of an attribute after the change.
User	Shows the identification of the user who changed the data.
Date and Time	Shows the date and time at which the user changed the data. Displayed format is timestamp.

Buttons

Buttons	
Details	This function enables you to display the details of the selected revisions. {Split book approach}

2.8.2.9.2 Revisions/Audit Trail – Details Screen

Context of Usage

This screen displays detailed information on a specific revision of a selected object, including the data of this revision as well as the data of the previous revision from the details screen of the object.

Screen Access

- Screen name >> Click on the revisions button >> Revisions/audit trail – list screen >> Click on the details button
- Common >> Revisions >> Revisions >> Static data revisions – search/ list screen >> Click on the search and/or details button >> Revisions/ audit trail – list screen >> Click on the details button

Privileges

To use this screen, the following Privileges are needed:

- Party audit trail query
- Cash Account audit trail query
- Securities audit trail query
- Securities Account audit trail query
- Residual Static Data audit trail query

Screenshot

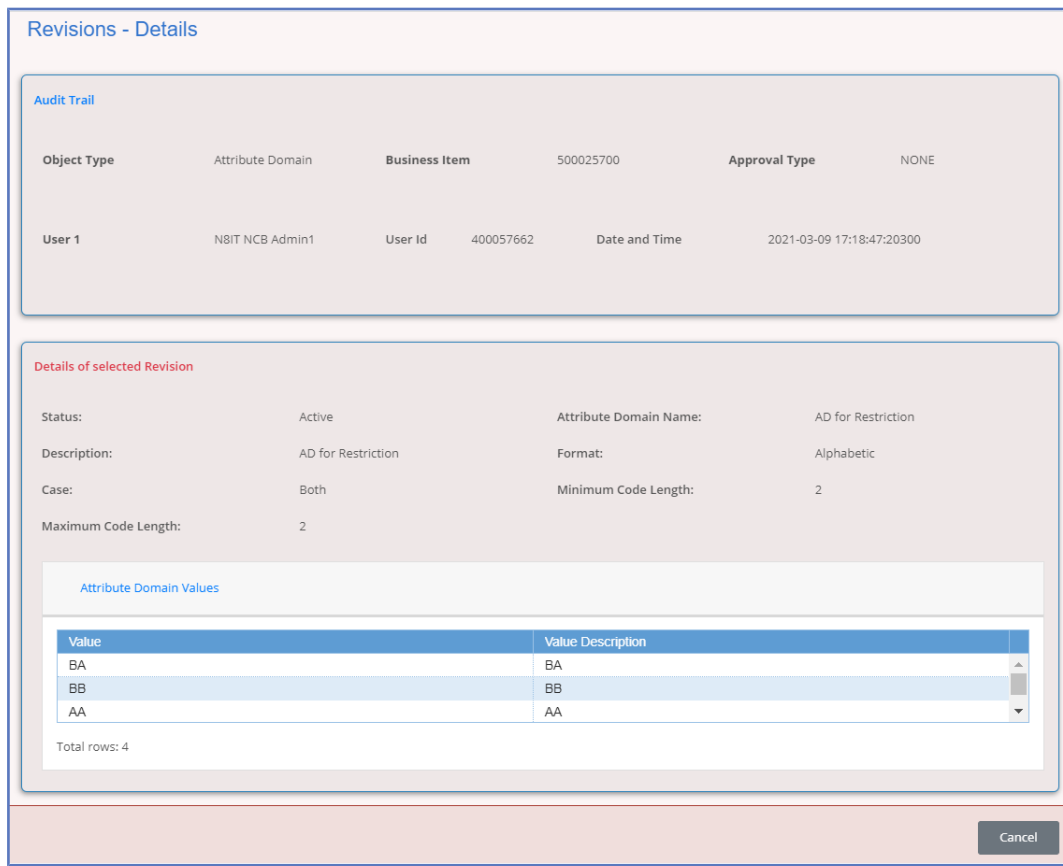


Illustration 264: **Revisions/Audit Trail – details screen** (Split book approach)

Fields Description

Revisions List	
Object Type	Shows the type of object of the selected revisions.
Business Item Identification 1	Shows the identification of the business object.
Business Item Identification 2	Shows the additional identification of the respective business object. This field is only available in case a second business identification is needed.
Approval Type	Shows the type of the approval from the possible values: <input type="checkbox"/> None (2-eyes mode) <input type="checkbox"/> Approval (4-eyes mode)
User 1	Shows the identification of the user who changed the data.
Date and Time	Shows the date and time at which 'User 1' changed the data. Displayed format is Timestamp.

Revisions-List	
User 2	Shows the identification of the user who confirmed the change of data (in case of 4-eyes mode). This field is only available when a second user confirmed the change of data.
Date and Time	Shows the date and time at which 'User 2' confirmed the change of data. Displayed format is Timestamp.
New Values	
New Values	Shows all elements which are part of the respective details screen. All changed data compared to the previous values are highlighted in red.
Previous Values	
Previous Values	Shows all elements which are part of the respective details screen.

Buttons

Buttons	
Cancel	This function enables you to return to the previous screen. {Split book approach}

2.8.2.9.3 Common Reference Data Revisions – Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, user can search for Common Reference Data revisions. After selecting an entry, user can proceed further by clicking on the buttons below. When exporting the content of this screen, user receives the list and the corresponding details for each data record.

Screen Access

- Common->>Revisions->>Static Data Revisions

Privileges

To use this screen, the following Privileges are needed:

- Party audit trail query
- Cash Account audit trail query
- Securities audit trail query
- Securities Account audit trail query
- Residual Static Data audit trail query

Screenshot

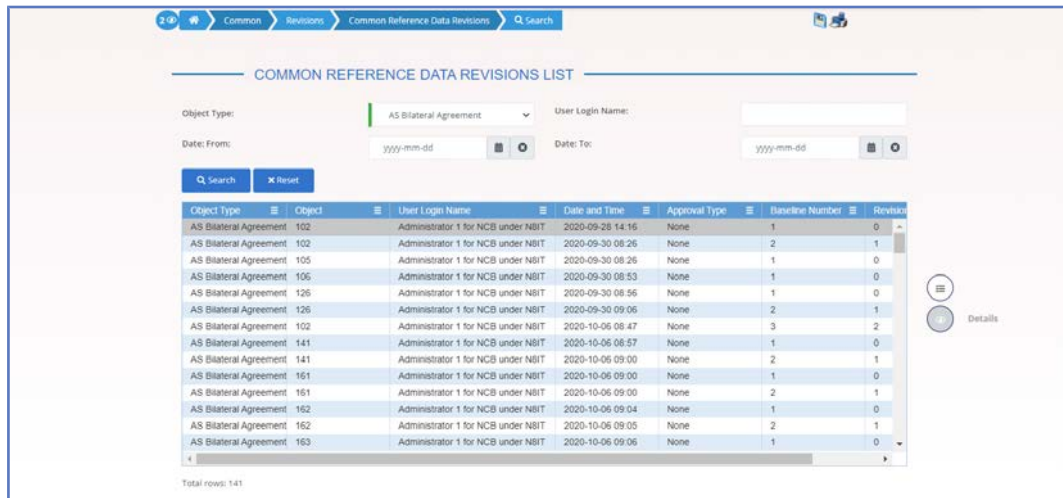


Illustration 265: **Common Reference Data Revisions – search/list screen** (Split book approach)

Fields

Description

CRDM Revisions – Search Criteria	
Object Type	Select the Common Reference Data domain related to the audit trail occurrence from the drop-down menu. The default value is 'Blank'.
User Login Name	Enter the login name of the user who made the amendment. No wildcards allowed. Required format is: max. 35x characters.
Date: From	Enter or pick the lower bound of the search range for the date. Required format is: Date.
Date: To	Enter or pick the upper bound of the search range for the date. Required format is: Date.

Common Reference Data Revisions – List	
Object Type	Shows the Common Reference Data domain related to the audit trail occurrence.
Object	Shows the valid Parent BIC and Party BIC if the object type is set to 'Party'; the valid ISIN if the object type is set to 'Security'; the account number if the object type is set to 'Cash Account', the account number if the object

Common Reference Data Revisions — List	
	<p>type is set to 'Securities Account' or the account reference if the object type is set to 'External RTGS account'.</p> <p>Shows the technical identification of the object if none of the previous object types are selected.</p>
User Login Name	Shows the user who made the amendment.
Date and Time	Shows the date and time of the change.
Approval Type	Shows the type of approval.
Baseline number	Shows the identification of a set of coherent versions of instances within a 'Major-Minor' group.
Revision number	Shows the identification of the revision.

Buttons

Buttons	
Search	This function enables the user to start a search according to the entered criteria.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
Details	This function enables the user to display the list of revisions for the selected static data object. {Split book approach}

2.8.2.10 Services

2.8.2.10.1 Data Changes — Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for all reference data changes which were initiated in 4-eyes mode. You can use this screen to search for items which are waiting for approval, select those and approve or revoke them.

The search results will be displayed in a list, which is sorted by the values of the column 'Update Date and Time' in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.

Screen Access

- Common >> Services >> Data Changes

Privileges

To use this screen, the following Privileges are needed:

- Data Changes of a business object list query

- Data Changes of a business object details query**

References

User Instructions Part

This screen is part of the following business scenarios:

- Initiate an action in 4-eyes mode**
- Approve an action in 4-eyes mode**

Screenshot

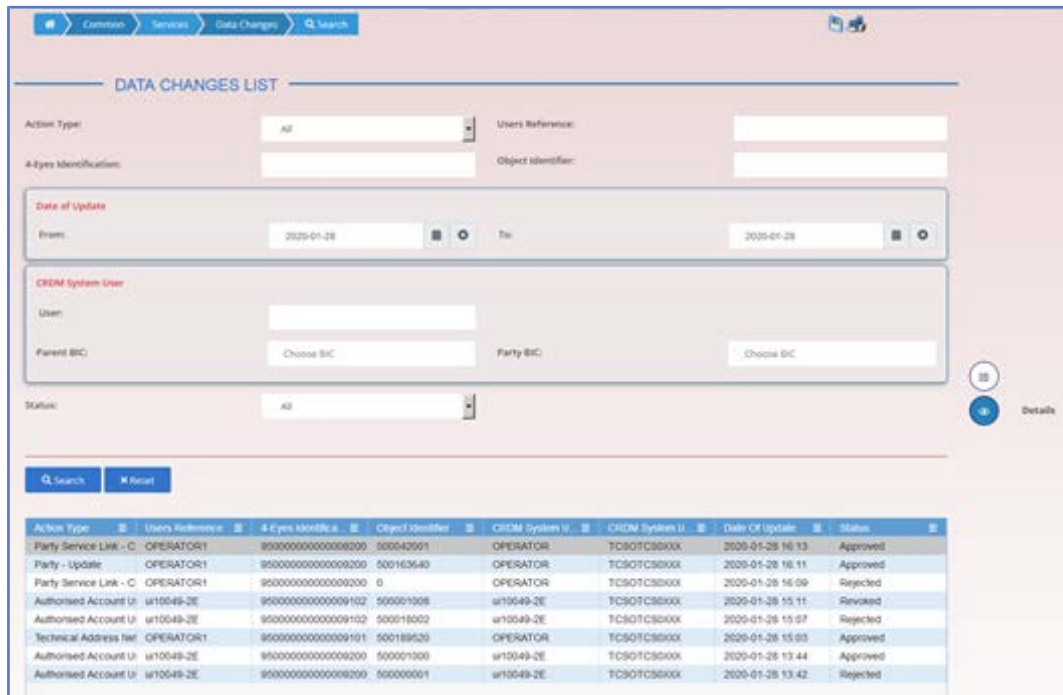


Illustration 266: **Data-changes – search/list screen** {Split book approach}

Fields

Description

Data Changes – Search Criteria	
Action-type	<p>Select the type of action initiated by the first user for a dedicated reference data object from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> Each of the actions that can be done in 4-eyes-mode
Users reference	<p>Enter the identification the first user added to his request.</p> <p>Required format is: max. 35x characters.</p>
4-Eyes Identification	<p>Enter the identification of the data change, automatically assigned by CRDM to an operation that has to be authorised by a second user.</p> <p>Required format is: max. 35x characters.</p>

Data Changes – Search Criteria	
Object Identifier	<p>Enter the object identifier of the added / changed / deleted object. It is the technical identifier of an object unless a business identifier is available for this object.</p> <p>Required format is: max. 35x characters.</p>
Date of Update	
From	<p>Enter or pick the lower bound of the date from which the data change was requested.</p> <p>The default value is the current calendar date.</p> <p>Required format is: Date.</p>
To	<p>Enter or pick the upper bound of the date until which the data change was requested.</p> <p>The default value is the current calendar date.</p> <p>Required format is: Date.</p>
CRDM System User	
User	<p>Enter the name of the last user who entered, edited or deleted the reference data.</p> <p>Required format is: max. 35x characters.</p>
Parent BIC	<p>Enter or select the Parent BIC of the party which the last user belongs to.</p> <p>Required format is: max. 11x characters.</p>
Party BIC	<p>Enter or select the BIC of the party which the last user belongs to.</p> <p>Required format is: max. 11x characters.</p>
Status	<p>Select the processing status of the data change from the possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> All (Default value)<input type="checkbox"/> Awaiting approval<input type="checkbox"/> Queued<input type="checkbox"/> Approved<input type="checkbox"/> Rejected<input type="checkbox"/> Revoked

Data Changes – List	
Action type	Shows the type of action initiated by the first user for a dedicated reference data object.
Users Reference	Shows the identification by the first user added to his request.
4-Eyes Identification	Shows the identification of the change automatically assigned by CRDM to an operation that has to be authorised by a second user.
Object Identifier	Shows the object identifier of the added / changed / deleted object. It is the technical identifier of an object unless a business identifier is available for this object.
CRDM System User	Shows the name of the last user who entered, edited or deleted the static or dynamic data.
CRDM System Users Party	Shows the BIC of the party which the last user belongs to.
Date of Update	Shows the timestamp when the first user entered, edited or deleted the static or dynamic data. Displayed format is: Timestamp.
Status	Shows the status of the data change.

Buttons

Search	This function enables the user to start a search according to the entered criteria. The results are displayed in a list on the same screen. If the search retrieves only one record, the details screen is displayed directly.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
Details	This function enables the user to display the details of the selected data change. {Split book approach}

2.8.2.10.2 Data Changes – Details Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for all reference data changes which were initiated in 4-eyes mode. You can use this screen to search for items which are waiting for approval, select those and approve or revoke them.

~~The search results will be displayed in a list, which is sorted by the values of the column 'Update Date and Time' in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.~~

Screen Access ~~Common >> Services >> Data Changes >> search/list screen >> Click on the search and/or details button~~

Privileges ~~To use this screen, the following Privileges are needed:~~

~~Data Changes of a Business Object Details Query~~

References ~~User Instructions Part~~

~~This screen is part of the following business scenarios:~~

~~Initiate an action in 4-eyes mode~~

~~Approve an action in 4-eyes mode~~

Screenshot

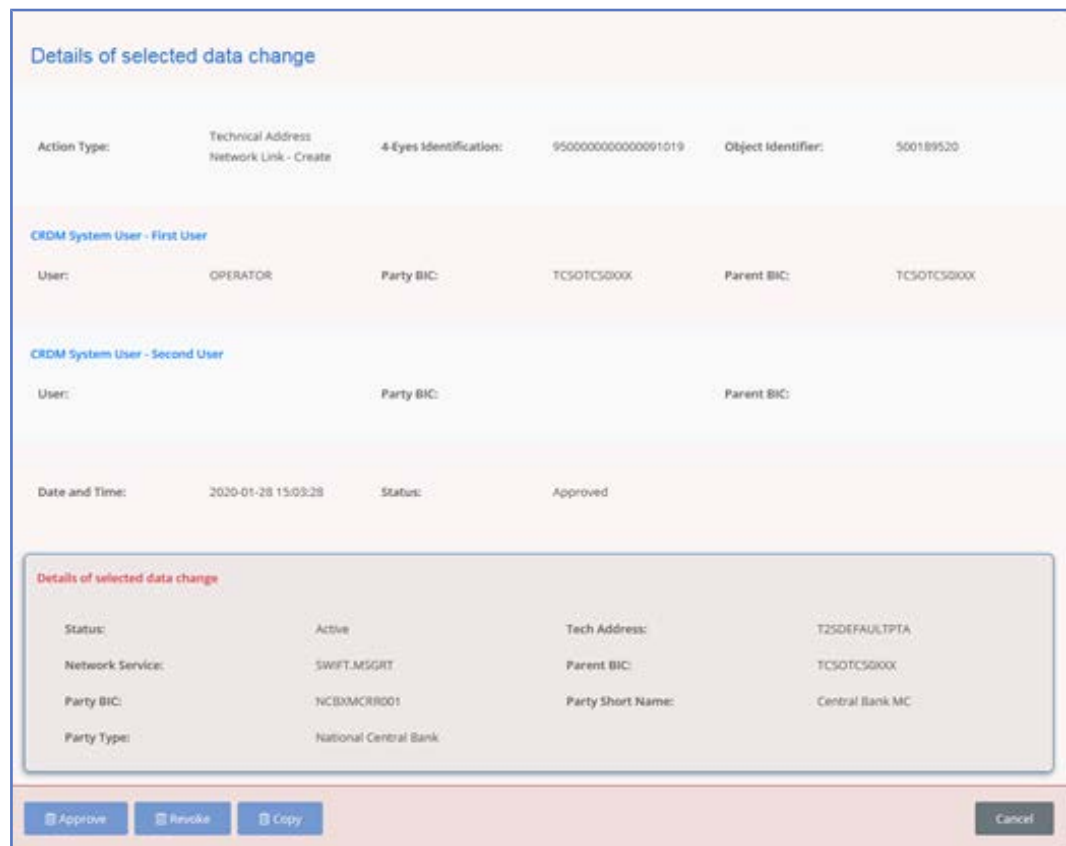


Illustration 267: ~~Data changes — details screen~~ {Split book approach}

Fields

Description

Selected Data Changes	
Action type	Shows the type of action initiated by the first user.
4-Eyes Identification	Shows the identification assigned by CRDM to an operation that has to be approved by a second user.

Selected Data Changes	
Object Identifier	Shows the object identification of the reference data object returned by the subsequent module after a successful business validation. The object identifier includes the technical identification of the added, changed or deleted object.
CRDM System User – First User	
User	Shows the name of the first user who entered, edited or deleted the reference data.
Party BIC	Shows the BIC of the party which the first user belongs to.
Parent BIC	Shows the parent BIC of the party which the first user belongs to.
CRDM System User – Second User	
User	Shows the name of the second user who entered, edited or deleted the static or dynamic data.
Party BIC	Shows the BIC of the party which the second user belongs to.
Parent BIC	Shows the parent BIC of the party which the second user belongs to.
Date and Time	Shows the date and time when the user changed the data.
Status	Shows the processing status of the data change.
Details of selected Data Change	
The whole pane consists of the respective screen of the object that is subject to the 4-eyes-action.	
<ul style="list-style-type: none"> <input type="checkbox"/> If the action type is “Delete Data”, then the currently active data should be presented in this screen. <input type="checkbox"/> If the action type is “Edit Data”, then the new values of the fields (which are pending at that moment and which should be approved) should be presented. 	
If the action type is “New Data”, then the new values of the fields should be presented	
List of Modification	
Field Name	Shows the name of the field where the first user has changed data, entered new data or deleted data.

Selected Data Changes	
	The following field should only be presented to the user if the action type is "Edit Data".
Old Value	Shows the old value which is the currently active before the second user approves the changes. The following field should only be presented to the user if the action type is "Edit Data".
New Value	Shows the pending value of the field which will be active after the second user approved the changes. The following field should only be presented to the user if the action type is "Edit Data".

Buttons

Selected Data Changes	
Approve	This function enables the user to approve the action initiated by the first user. This function is only available if the processing status of the data change is set to 'Awaiting Approval' and the system user has the necessary privileges.
Revoke	This function enables the user to revoke the action initiated by the first user. This function is only available if the processing status of the data change is set to 'Awaiting Approval' and the system user has the necessary privileges.
Copy	This function enables the user to copy fields to create a new data change with the same attributes. This function is only available if the processing status of the data change is set to 'Revoked'.
Cancel	This function enables the user to cancel the process and return to the previous screen. {Split book approach}

2.8.2.10.3 Inbound Files — Search/List

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, users can search for inbound files, specifying the selection criteria (e.g. the sender file reference or the entry date, or range of dates). The search results will be displayed in a list, which is sorted by the values of

the 'Entry Date and Time' column in descending order (default setting). After selecting an entry, users can proceed further by clicking on the buttons below.

This function is only available in U2A mode.

There is no usage restriction for this screen.

Screen Access

- Common >> Services >> Inbound Files >> Search**

Privileges

To use this screen, the following Privileges are needed:

- Inbound files list query**

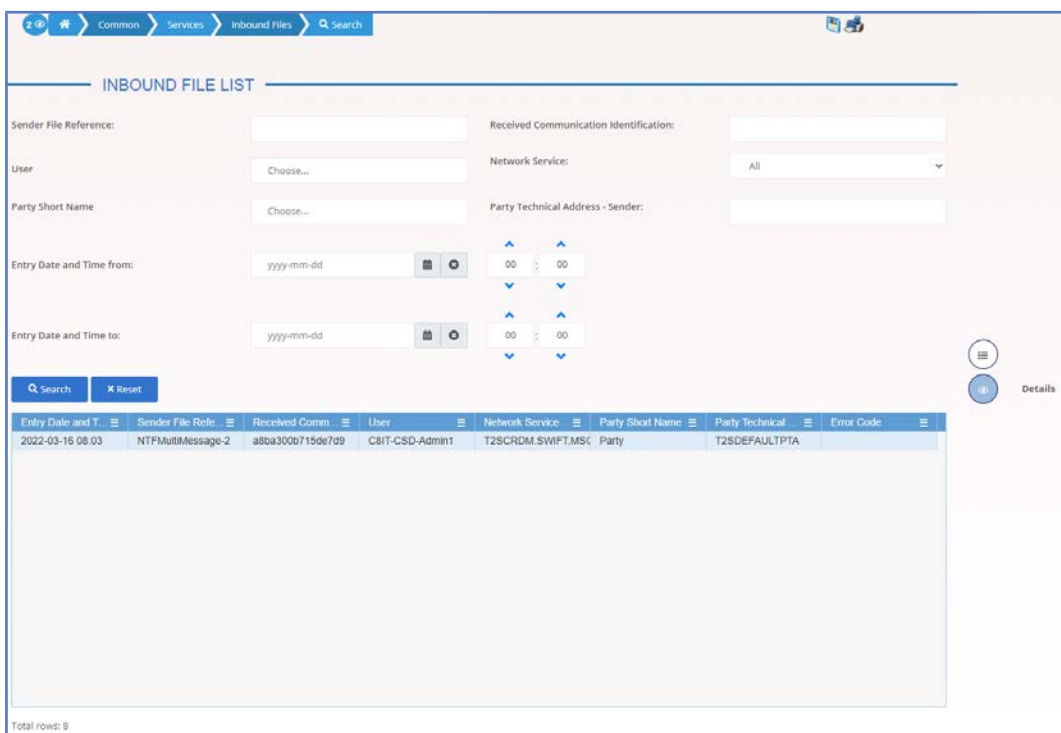


Illustration 268: **Inbound File – search/list screen**{Split book approach}

Fields

Description

Input Files – Search Criteria	
Sender File Reference	Enter the identification of the original file containing the messages. Required format is: max. 35x characters.
Received Communication Identification	Enter the internal identification for the inbound communication assigned by the system. Required format is: max. 50x characters.
User	Enter or select the user who initiated the communication under the data scope of the requestor.

Input Files — Search Criteria	
	Required format is: max. 35 characters.
Network Service	Select the network service from the drop-down menu.
Party Short Name	Select the short name of the business sending party from the drop-down menu.
Party Technical Address – Sender	Enter the technical address (i.e. distinguished name) of the party. Required format is: max 256 characters (UTF-8 except '>', '<', '&').
Entry Date and Time – From	Enter or pick the lower bound of the search range for the calendar date and time from which the inbound file entered the system. Default value is the current calendar date and time. This field must be earlier than or equal to the current business date and earlier than the 'Entry Date and Time – To' field date. Required format is: DateTime.
Entry Date and Time – To	Enter or pick the upper bound of the search range for the calendar date and time until which the inbound file entered the system. Default value is the current calendar date and time. This field must be later than the 'Entry Date and Time – From' field date. Required format is: DateTime.

Inbound Files — List	
Entry Date and Time	Shows the calendar date and time when the inbound file entered the system.
Sender File Reference	Shows the identification of the original file.
Received Communication Identification	Shows the internal identification for the inbound communication assigned by the system.
User	Shows the user who initiated the communication.
Network Service	Shows the name of the network service.
Party Short Name	Shows the short name of the business sending party.

Inbound Files — List	
Party Technical Address – Sender	Shows the technical address (i.e. distinguished name) of the party.
Error Code	Shows the error code for the rejection.

Buttons

Buttons	
Search	This function enables the user to start a search according to the filled in criteria.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
Details	This function enables the user to display the details of the selected inbound file. {Split book approach}

2.8.2.10.4 Inbound File — Details

Context of Usage This screen enables the user to display detailed information on the selected inbound file. User can check the data and proceed further by clicking on the button below.

There is no usage restriction for this screen.

This function is only available in U2A mode.

Screen Access

- Common >> Services >> Inbound Files – search/list screen >> Click on the details button
- Common >> Services >> Inbound Messages – search/list screen >> Click on the Inbound File button
- Common >> Services >> Inbound Messages – search/list screen >> Click on the search and/or details button >> Inbound Messages – details screen >> Click on the Inbound File button

Privileges

To use this screen, the following Privileges are needed:

- Inbound files details query

Screenshot

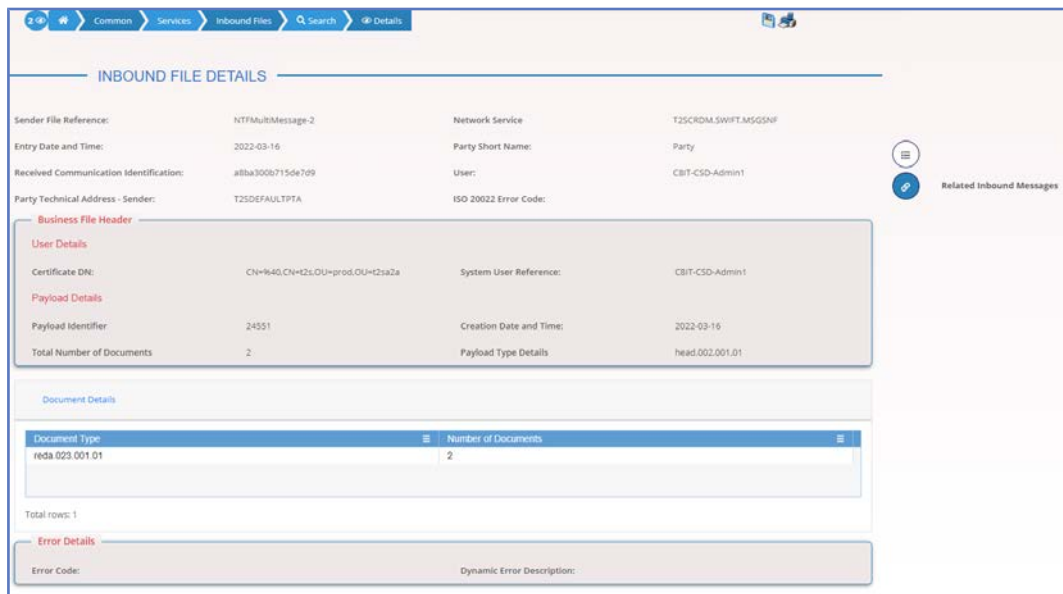


Illustration 269: **Inbound File – details screen** (Split book approach)

Fields

Description

Inbound File Details	
Sender File Reference	Shows the identification of the original file containing the messages.
Network Service	Shows the name of the network service.
Entry Date and Time	Shows the calendar date and time when the inbound file entered the system.
Party Short Name	Shows the short name of the business sending party.
Received Communication Identification	Shows the identification for the inbound communication assigned by the system.
User	Shows the user who initiated the communication.
Party Technical Address – Sender	Shows the technical address (i.e. distinguished name) of the party.
ISO 20022 Error Code	Shows the ISO 20022 error code.

Business File Header	
User Details	
Certificate DN	Shows the user information which was extracted from the signature tag in the file header.
System User Reference	Shows the identification of the system user which was extracted from the file header.

Business File Header	
Payload Details	
Payload Identifier	Shows the identification of the file which was assigned by the sender of the file.
Creation Date and Time	Shows the date and time when the file was created by the sender.
Total Number of Documents	Shows the total number of messages within the file.
Payload Type Details	Shows the identification of the payload type and the declaration of the payload content.

Document Details	
Document Type	Shows the type of the document as specified in the ISO 20022 message type catalogue.
Number of Documents	Shows the number of messages for each declared type.

Error Details	
Error Code	Shows the error code for the rejection.
Dynamic Error Description	Shows a detailed error description explaining why the file was rejected.

Buttons

Related Inbound Messages	This function enables the user to display the inbound messages related to the selected inbound file. {Split book approach}
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2.8.2.10.5 Inbound Messages — Search/List

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for Inbound messages. The search result will be displayed in a list, which is sorted by the values of the 'Entry Date and Time' column in descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.

There is no usage restriction for this screen.

Screen Access

- Common >> Services >> Communication >> Inbound Messages >> Search

- Common >> Services >> Communication >> Outbound Messages – Search/List screen >> click on the Related Inbound Messages button**
- Common >> Services >> Communication >> Outbound Messages – Search/List screen >> click on the Search and/or Details button >> Outbound Message – Details screen >> click on the Related Inbound Messages button**
- Common >> Services >> Communication >> Inbound Files – Search/List screen >> click on the Search and/or Details button >> Inbound File – Details screen >> click on the Related Inbound Messages button**

Privileges

To use this screen, the following Privileges are needed:

- Inbound messages list query**
- Inbound messages details query**

Screenshot

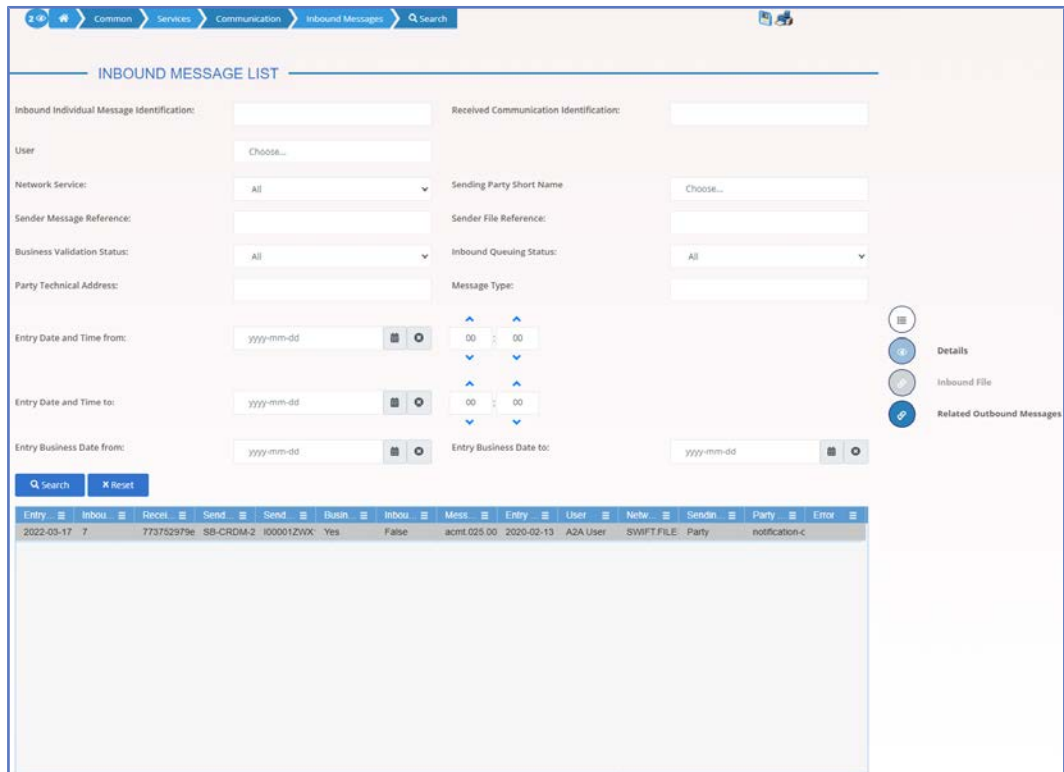


Illustration 270: Inbound Message – search/list screen {Split book approach}

Fields

Description

Inbound Message – Search Criteria	
Inbound Individual Message Identification	Enter the identification of the Inbound individual message. Required format is: max. 35 characters.
Received Communication Identification	Enter the technical identification of the inbound communication. Required format is: max. 35 characters.

Inbound Message — Search Criteria	
User	Enter or select the user who initiated the communication under the data scope of the requestor.
Network Service	Select the name of the network service.
Sending Party Short Name	Enter or select the short name of the sending party under the data scope of the requestor. Required format is: max. 35 characters.
Sender Message Reference	Enter the reference of the original message as sent in Business Application Header. Required format is: max. 35 characters.
Sender File Reference	Sender File Reference of the origin file containing the message(s). Required format is: max. 35 characters.
Business Validation Status	Select the status of the Business Validation from the possible values: <input type="checkbox"/> True (business validation complete) <input type="checkbox"/> False (business validation incomplete) <input type="checkbox"/> All (default value)
Inbound Queuing Status	Select the status of the Inbound Queuing from the possible values: <input type="checkbox"/> True (request is currently queued) <input type="checkbox"/> False (request is not queued) <input type="checkbox"/> All (default value)
Party Technical Address	Enter the technical address (i.e. distinguished name) of the sending party. Required format is: max. 256 characters (UTF-8 except '<'; '>'; '&')
Message Type	Select the request type (ISO 20022) for the message.
Entry Date and Time from	Enter or pick the lower bound of the search range for the calendar date and time of the system entry of the Inbound message. This field is mandatory.

Inbound Message — Search Criteria	
	<p>The default value is the current date and time — start of real-time settlement if the ‘Inbound Individual Message Identification’ or the ‘Received Communication Identification’ is not populated from the previous screen.</p> <p>The ‘Entry Date and Time from’ date must be earlier than the ‘Entry Date and Time to’ date.</p> <p>Required format is: YYYY-MM-DD hh:mm:ss</p>
Entry Date and Time to	<p>Enter or pick the upper bound of the search range for the calendar date and time of the system entry of the Inbound message.</p> <p>This field is mandatory.</p> <p>The default value is the current date and time if the ‘Inbound Individual Message Identification’ or the ‘Received Communication Identification’ is not populated from the previous screen.</p> <p>The ‘Entry Date and Time to’ date must be later than the ‘Entry Date and Time from’ date.</p> <p>Required format is: YYYY-MM-DD hh:mm:ss</p>
Entry Business Date from	<p>Enter or pick the lower bound of the search range for the business date of the message entry.</p> <p>This field is mandatory.</p> <p>The default value is the current business date minus one if the ‘Inbound Individual Message Identification’ or the ‘Received Communication Identification’ is not populated from the previous screen.</p> <p>The ‘Entry Business Date from’ date must be earlier than or equal to the current calendar date and ‘Entry Business Date to’ date.</p> <p>Required format is: Date</p>
Entry Business Date to	<p>Enter or pick the upper bound of the search range for the business date of the message entry.</p> <p>This field is mandatory.</p>

Inbound Message — Search Criteria	
	<p>The default value is the current business date if the 'Inbound Individual Message Identification' or the 'Received Communication Identification' is not populated from the previous screen.</p> <p>The 'Entry Business Date to' date must be later than or equal to the current calendar date and 'Entry Business Date from' date.</p> <p>Required format is: Date</p>

Inbound Messages — List	
Entry Timestamp	Shows the date and time when the inbound message was sent in the related service.
Inbound Individual Message Identification	Shows the identification of the Inbound individual message.
Received Communication Identification	Shows the technical identification of the inbound communication
Sender Message Reference	Shows the identification of the Inbound message as assigned by the message sender.
Sender File Reference	Shows the identification of the original file containing the message(s).
Business Validation Status	Shows the Business Validation status of the message.
Inbound Queuing Status	Shows the Inbound queuing status of the message.
Message Type	Shows the ISO 20022 message type of the message.
Entry Business Date	Shows the business date when the inbound message was sent in the related service.
User	Shows the user who initiated the communication.
Network Service	Shows the name of the network service.
Sending Party Short Name	Shows the short name of the sending party.
Party Technical Address - Sender	Shows the technical address (i.e. distinguished name) of the sending party.

Inbound Messages – List	
Error	Shows the error code and description of the Business rule retrieved in the message if present.

Buttons

Buttons	
Search	This function enables the User to start a search according to the criteria entered. The results are displayed in a list on the same screen.
Reset	This function enables the User to set default search criteria and blanks out all optional criteria.
Inbound File	This function enables the User to display the inbound file related to the selected inbound message.
Related Outbound Messages	This function enables the User to display the outbound messages related to the selected inbound message.
Details	This function enables the User to display the details of the related inbound message. {Split book approach}

2.8.2.10.6 Inbound Message – Details

Context of Usage

This screen displays detailed information on the selected Inbound message.

You can check the data and proceed further by clicking on the buttons below.

There is no usage restriction for this screen.

Screen Access

- Common >> Services >> Communication >> Inbound Messages – Search/List screen >> Click on the Details button
- Common >> Services >> Communication >> Outbound Messages – Search/List screen >> click on the Related Inbound Messages button
- Common >> Services >> Communication >> Inbound Messages – Search/List screen >> click on the Search and/or Details button >> Inbound Message – Details screen >> click on the Related Inbound Messages button
- Common >> Services >> Communication >> Outbound Files – Search/List screen >> click on the Search and/or Details button >> Outbound File – Details screen >> click on the Related Inbound Messages button

Privileges

To use this screen, the following Privileges are needed:

- Inbound messages details query

Screenshot

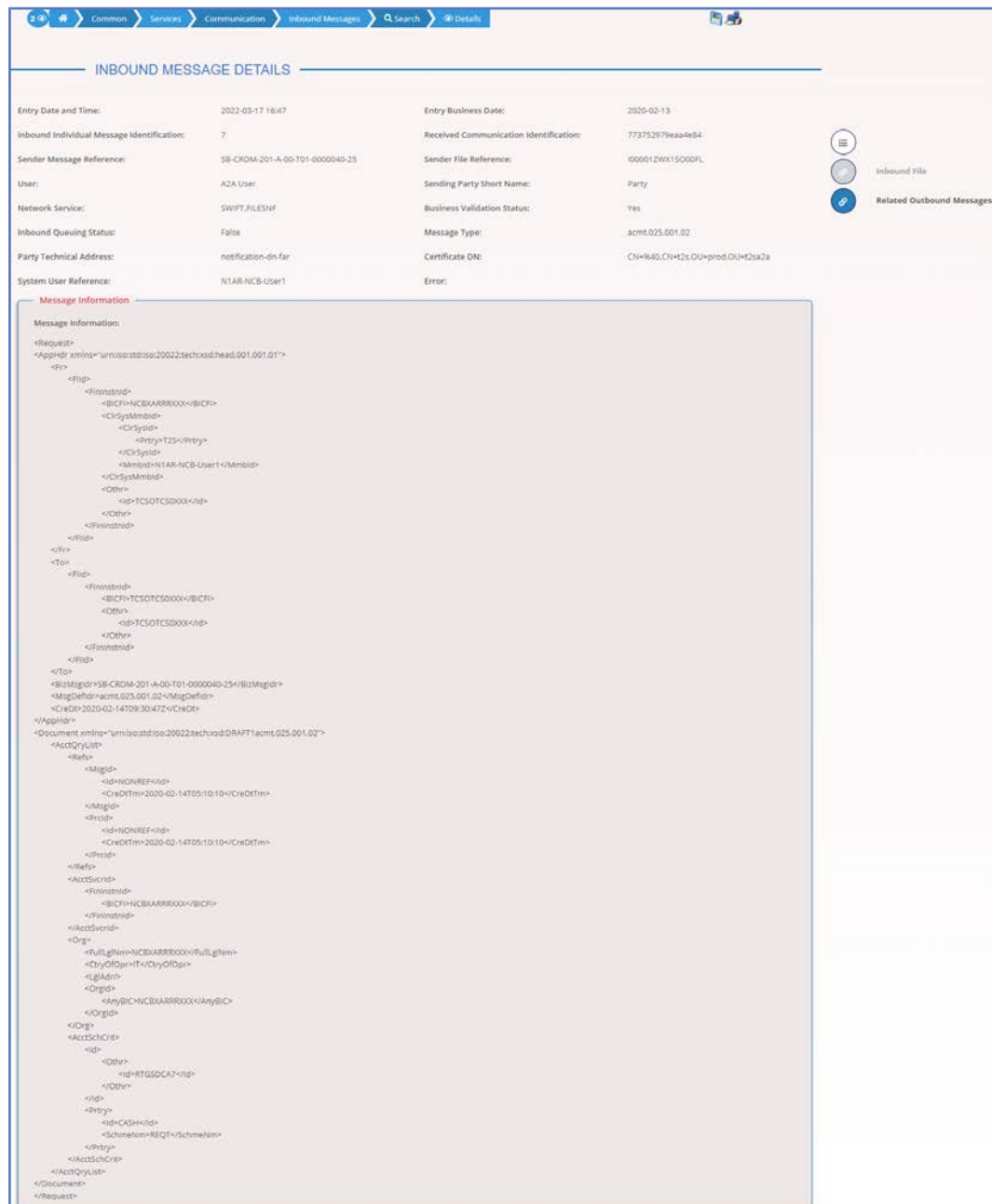


Illustration 271: Inbound Message – details screen (Split book approach)

Fields Description

Inbound Message – Details screen	
Entry Date and Time	Shows the calendar date and time when the Inbound message entered into the related service.
Entry Business Date	Shows the business date when the inbound message entered into the related service.
Inbound Individual Message Identification	Shows the technical identification of the Inbound individual message.

Inbound Message – Details screen	
Received Communication Identification	Shows the technical identification of the inbound individual message.
Sender Message Reference	Shows the reference of the original message as sent in Business Application Header.
Sender File Reference	Shows the Sender File Reference of the original file containing the message(s).
User	Shows the user who initiated the communication.
Sending Party Short Name	Show the short name of the sending party.
Network Service	Shows the name of the network service.
Business Validation Status	Shows the status of the Business Validation.
Inbound Queuing Status	Shows the status of the Inbound Queuing.
Message Type	Shows the ISO 20022 request type of the message.
Party Technical Address	Shows the technical address (i.e. distinguished name) of the sending party.
Certificate DN	Shows the user information which was extracted from the signature tag in the file header.
System User Reference	Shows the identification of the system user as extracted from the business application header.
Error	Shows the error code and description of the Business rule retrieved in the message if present.
Message Information	
Message Information	Shows detailed information of the request. The content of the message is only displayed in case of small messages. Bigger messages can be displayed via the separate message export icon. Pressing this icon exports the message based on the xml document. In addition, root elements are present at the beginning and the end of the exported document. On top of the export, additional information about 'Time', 'User' and 'Screen of export' is available for documentation purpose.

Buttons

Related Outbound Message	This function enables the User to display the outbound messages related to the selected inbound message.
Inbound File	This function enables the User to display the details of the related Inbound file. {Split book approach}

2.8.2.10.7 Outbound Files – Search/List

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for outbound files. The search result will be displayed in a list, which is sorted by the values of the 'Delivery Date and Time' column descending order (default setting). After selecting an entry, you can proceed further by clicking on the buttons below.

There is no usage restriction for this screen.

This function is only available in U2A mode.

Screen Access

- Common >> Services >> Outbound Files >> Search

Privileges

To use this screen, the following Privileges are needed:

- Outbound Files List Query
- Outbound Files Details Query

Screenshot

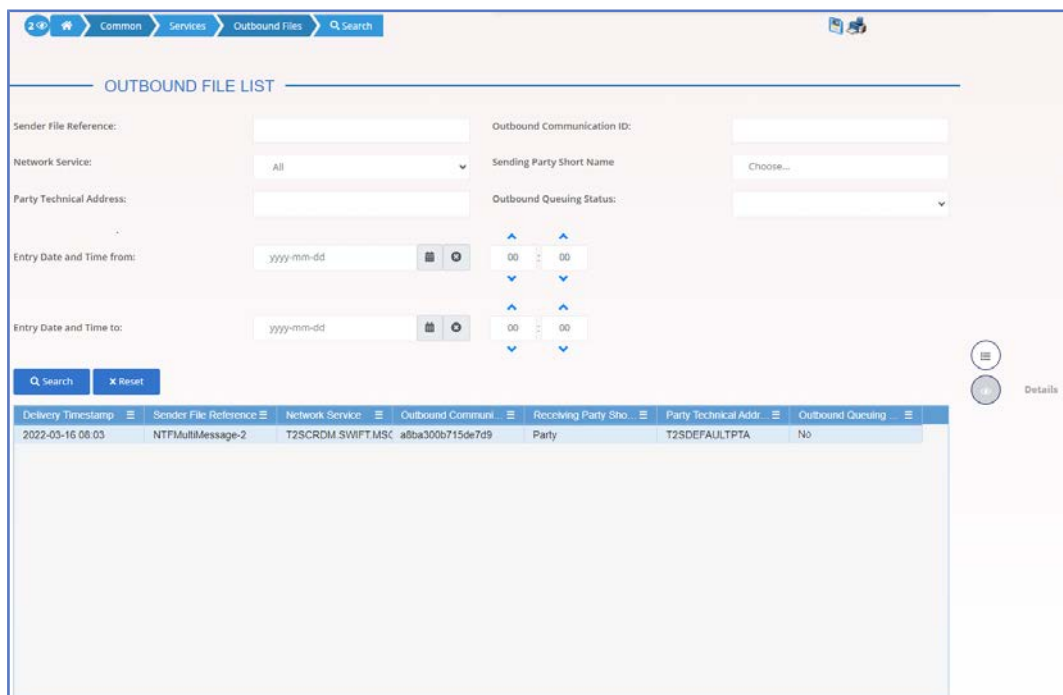


Illustration 272: **Outbound File – search/list screen** {Split book approach}

Fields	Outbound Files— Search Criteria	
Description	Sender File Reference	<p>Enter the sender file identification of the original file containing the message(s).</p> <p>Required format is: max. 35x characters.</p>
	Outbound Communication ID (Service Operator Only)	<p>Enter the technical ID of the outbound communication.</p> <p>Required format is: max. 16x characters.</p>
	Network Service	<p>Select the name of the network service. The default value is 'All'.</p>
	Receiving Party Short Name	<p>Enter or select the short name of the receiving party from the dropdown menu. The default value is 'All'.</p>
	Party Technical Address	<p>Enter the technical address (i.e. distinguished name) of the party.</p> <p>Required format is: max. 256 characters (UTF-8 except '<', '>', '&').</p>
	Outbound Queuing Status	<p>Select the outbound queuing status of the file from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> Active <input type="checkbox"/> Deleted
	Delivery Timestamp-- From	<p>Enter or pick the lower bound of the search range for the date and time of the delivery. The default value is the current date – start of real-time settlement.</p> <p>Required format is: YYYY-MM-DD hh:mm:ss</p> <p>This field must be earlier than or equal to the current business date and earlier than the 'Delivery Timestamp-- To' date.</p>
	Delivery Timestamp-- To	<p>Enter or pick the upper bound of the search range for the date and time of the delivery. The default value is the current date and time.</p> <p>Required format is: YYYY-MM-DD hh:mm:ss</p> <p>This field must be later than the 'Delivery Timestamp-- From' date.</p>
Outbound Files— List		
	Delivery Timestamp	<p>Shows the date and time when the outbound file was delivered.</p>

Outbound Files— List	
Sender File Reference	Shows the sender file identification of the original file containing the message(s).
Outbound Communication ID (Service Operator Only)	Shows the technical ID of the outbound communication.
Network Service	Shows the name of the network service.
Receiving Part Short Name	Shows the short name of the receiving party.
Party Technical Address	Shows the technical address (i.e. distinguished name) of the party.
Outbound Queuing Status	Shows the outbound queuing status of the file.

Buttons

Search	This function enables the user to start a search according to the criteria entered.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
Details	This function enables the user to display the details of the selected outbound file. {Split book approach}

2.8.2.10.8 Outbound File—Details

Context of Usage

This screen displays detailed information on the selected outbound file. You can check the data and proceed further by clicking on the buttons below.

There is no usage restriction for this screen.

This function is only available in U2A.

Screen Access

- Common >> Services >> Outbound Files – search/list screen >> Click on the search and/or details button
- Common >> Services >> Outbound Messages – search/list screen >> Click on the Outbound File button
- Common >> Services >> Outbound Messages – search/list screen >> Click on the search and/or details button >> Outbound Messages – details screen >> Click on the Outbound File button

Privileges

To use this screen, the following Privileges are needed:

- Outbound files details query

Screenshot

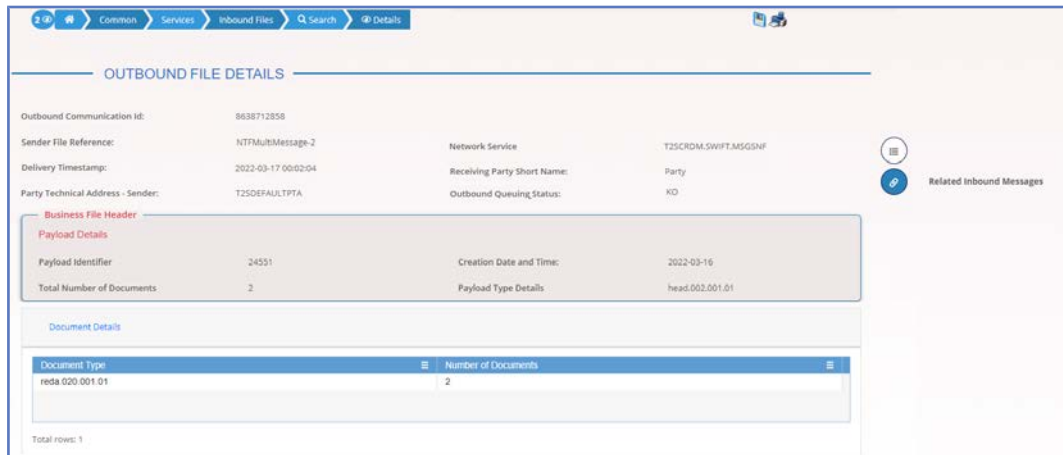


Illustration 273: Outbound File – details screen (Split book approach)

Fields

Description

Outbound File Details	
Outbound Communication ID (Service Operator Only)	Shows the technical ID of the outbound communication.
Sender File Reference	Shows the sender file identification of the original file containing the message(s).
Network Service	Shows the name of the network service.
Delivery Timestamp	Shows date and time when the file was delivered.
Receiving Party Short Name	Shows the short name of the receiving party.
Party Technical Address	Shows the technical address of a party.
Outbound Queuing Status	Shows the outbound queuing status of the outbound file.
Business File Header	
Payload Details	
Payload Identifier	Shows the unique identification of the file delivered by the sender.
Creation Date and Time	Shows the date and time of the creation of the file.
Total Number of Documents	Shows the total number of documents within the file.
Payload Type Details	Shows information about the type of payload and declaration of the payload content.

Business File Header	
Document Type	Shows the type of items contained in the document set
Number of Documents	Shows the number of messages for each declared type.

Buttons

Related Outbound Messages	This function enables the user to display the outbound messages related to the selected outbound file. {Split book approach}
---------------------------	---

2.8.2.10.9 Outbound Messages – Search/List

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for subscribed outbound messages and those sent compulsory. The search result will be displayed in a list, which is sorted by the values of the 'Delivery Date and Time' column in descending order (default setting). Only messages subscribed via message subscription rules and those messages compulsory sent will be displayed. After selecting an entry, you can proceed further by clicking on the buttons below. This screen shows all outbound messages irrespective of whether they were sent via file or as single message.

There is no usage restriction for this screen.

Screen Access

- Common >> Services >> Communication >> Outbound Messages >> Search
- Common >> Services >> Communication >> Inbound Messages – Search/List screen >> click on the Related Outbound Messages button
- Common >> Services >> Communication >> Inbound Messages – Search/List screen >> click on the Search and/or Details button >> Inbound Message – Details screen >> click on the Related Outbound Messages button
- Common >> Services >> Communication >> Outbound Files – Search/List screen >> click on the Search and/or Details button >> Outbound File – Details screen >> click on the Related Outbound Messages button

Privileges

To use this screen, the following Privileges are needed:

- Outbound Message List Query
- Outbound Message Details Query

Screenshot

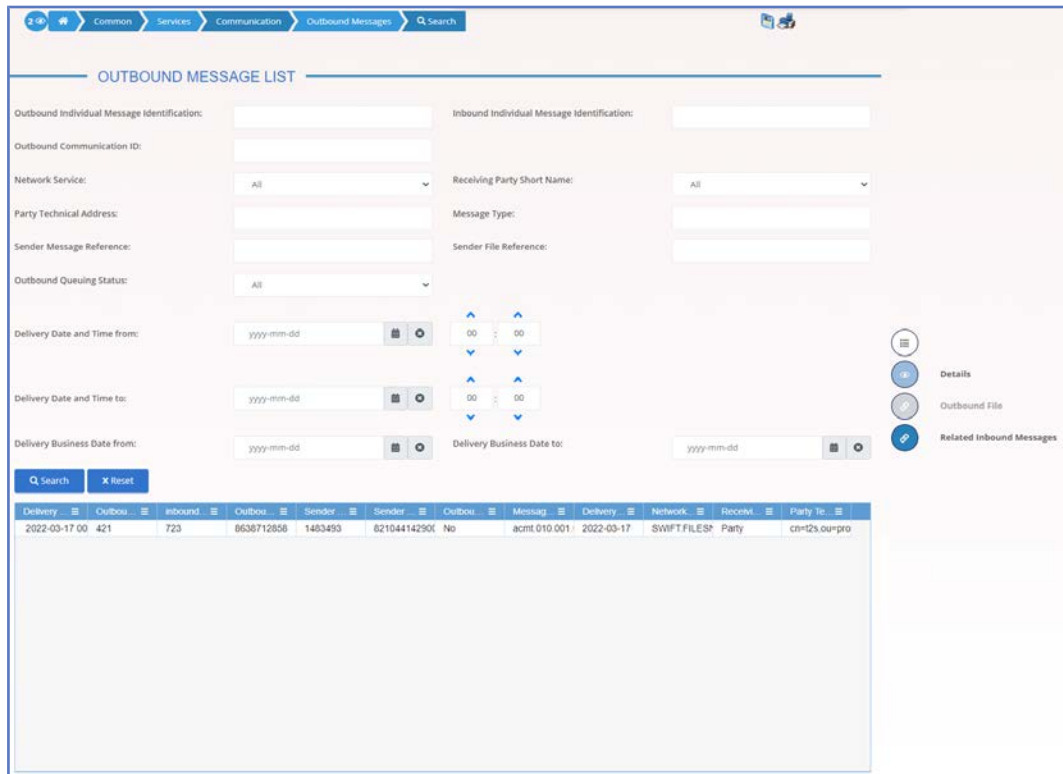


Illustration 274: **Outbound Message – search/list screen** {Split book approach}

Fields

Description

Outbound Message – Search Criteria	
Outbound Individual Message Identification	Enter the identification of the outbound individual message. Required format is: max. 35x characters.
Inbound Individual Message Identification	Enter the identification of the inbound individual message. Required format is: max. 35x characters.
Outbound Communication ID (Service Operator only)	Enter the technical ID outbound communication. Required format is: max. 16x characters.
Network Service	Select the name of the network service.
Receiving Party Short Name	Select the short name of the receiving party. Required format is: max. 35x characters.
Party Technical Address	Enter the technical address (i.e. distinguished name) of the receiving party. Required format is: max. 256 characters (UTF-8 except '<', '>', '&')
Message Type	Select the request type (ISO 20022) for the message.

Outbound Message – Search Criteria	
Sender Message Reference	<p>Enter the identification of the message as assigned by the message sender.</p> <p>Required format is: max. 35x characters.</p>
Sender File Reference	<p>Enter the sender file identification of the file containing the message(s).</p> <p>Required format is: max. 35x characters.</p>
Outbound Queueing Status	<p>Select the outbound queueing status of the message from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> True <input type="checkbox"/> False <input type="checkbox"/> All (default value)
Delivery Date and Time from	<p>Enter or pick the lower bound of the search range for the calendar date and time of the system entry of the outbound message.</p> <p>The default value is the current date and time – start of real-time settlement if the ‘Inbound Individual Message Identification’ or ‘Sender File Reference’ is not populated from the previous screen.</p> <p>The ‘Delivery Date and Time from’ date and time must be a valid calendar date/time earlier than the ‘Delivery Date and Time to’ date and time.</p> <p>This field is mandatory.</p> <p>Required format is: Timestamp</p>
Delivery Date and Time to	<p>Enter or pick the upper bound of the search range for the calendar date and time of the system entry of the outbound message.</p> <p>The default value is the current date and time if the ‘Inbound Individual Message Identification’ or ‘Sender File Reference’ is not populated from the previous screen.</p> <p>The ‘Delivery Date and Time to’ date and time must be a valid calendar date/time later than the ‘Delivery Date and Time from’ date and time.</p> <p>This field is mandatory.</p> <p>Required format is: timestamp</p>

Outbound Message – Search Criteria	
Delivery Business Date from	<p>Enter or pick the lower bound of the search range for the business date from which the outbound message was delivered.</p> <p>The default value is the day before the current business date if the 'Inbound Individual Message Identification' or 'Sender File Reference' is not populated from the previous screen.</p> <p>The 'Delivery Business Date from' date must be a valid calendar date and time earlier than or equal to the current calendar date/time and 'Delivery Business Date to' date and time.</p> <p>This field is mandatory.</p> <p>Required format is: Date.</p>
Delivery Business Date to	<p>Enter or pick the upper bound of the search range for the business date until which the outbound message was delivered.</p> <p>The default value is the current business date if the 'Inbound Individual Message Identification' or 'Sender File Reference' is not populated from the previous screen.</p> <p>The 'Delivery Business Date to' date must be a valid calendar date later than or equal to the 'Delivery Business Date from' date.</p> <p>This field is mandatory.</p> <p>Required format is: Date.</p>
Outbound Messages – List	
Delivery Timestamp	Shows the date and time when the message was delivered.
Outbound Individual Message Identification	Shows the identification of the outbound individual message.
Inbound Individual Message Identification	Shows the identification of the inbound individual message.
Outbound Communication ID (Service Operator only)	Shows the technical identification of the outbound communication.

Outbound Messages—List	
Sender Message Reference	Shows the identification of the outbound message as assigned by the message sender.
Sender File Reference	Shows the identification of the original file containing the message(s).
Outbound Queueing Status	Shows the outbound queueing status of the message.
Message Type	Shows the ISO 20022 request type of the message.
Delivery Business Date	Shows the business date when the related service started the delivery of the message.
Network Service	Shows the name of the network service.
Receiving Party Short Name	Shows the short name of the receiving party.
Party Technical Address – Sender	Shows the technical address (i.e. distinguished name) of the receiving party.

Buttons

Search	This function enables the User to start a search according to the criteria entered. The results are displayed in a list on the same screen.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
Outbound File	This function enables the User to display the details of the related outbound file.
Related Inbound Message	This function enables the User to display the inbound messages related to the selected outbound message.
Details	This function enables the User to display the details of the selected outbound message. {Split book approach}

2.8.2.10.10 Outbound Message—Details

Context of Usage

This screen displays detailed information on the selected outbound message. Only messages subscribed via message subscription rules and those messages compulsory sent will be displayed. You can check the data and proceed further by clicking on the buttons below.

There is no usage restriction for this screen.

Screen Access

- Common >> Services >> Communication >> Outbound Messages – Search/List screen >> Click on the Details button

- ~~Common >> Services >> Communication >> Inbound Messages – Search/List screen >> click on the Related Outbound Messages button~~
- ~~Common >> Services >> Communication >> Inbound Messages – Search/List screen >> click on the Search and/or Details button >> Inbound Message – Details screen >> click on the Related Outbound Messages button~~
- ~~Common >> Services >> Communication >> Outbound Files – Search/List screen >> click on the Search and/or Details button >> Outbound File – Details screen >> click on the Related Outbound Messages button~~

Privileges

To use this screen, the following Privileges are needed:

- ~~Outbound Message Details Query~~

Screenshot

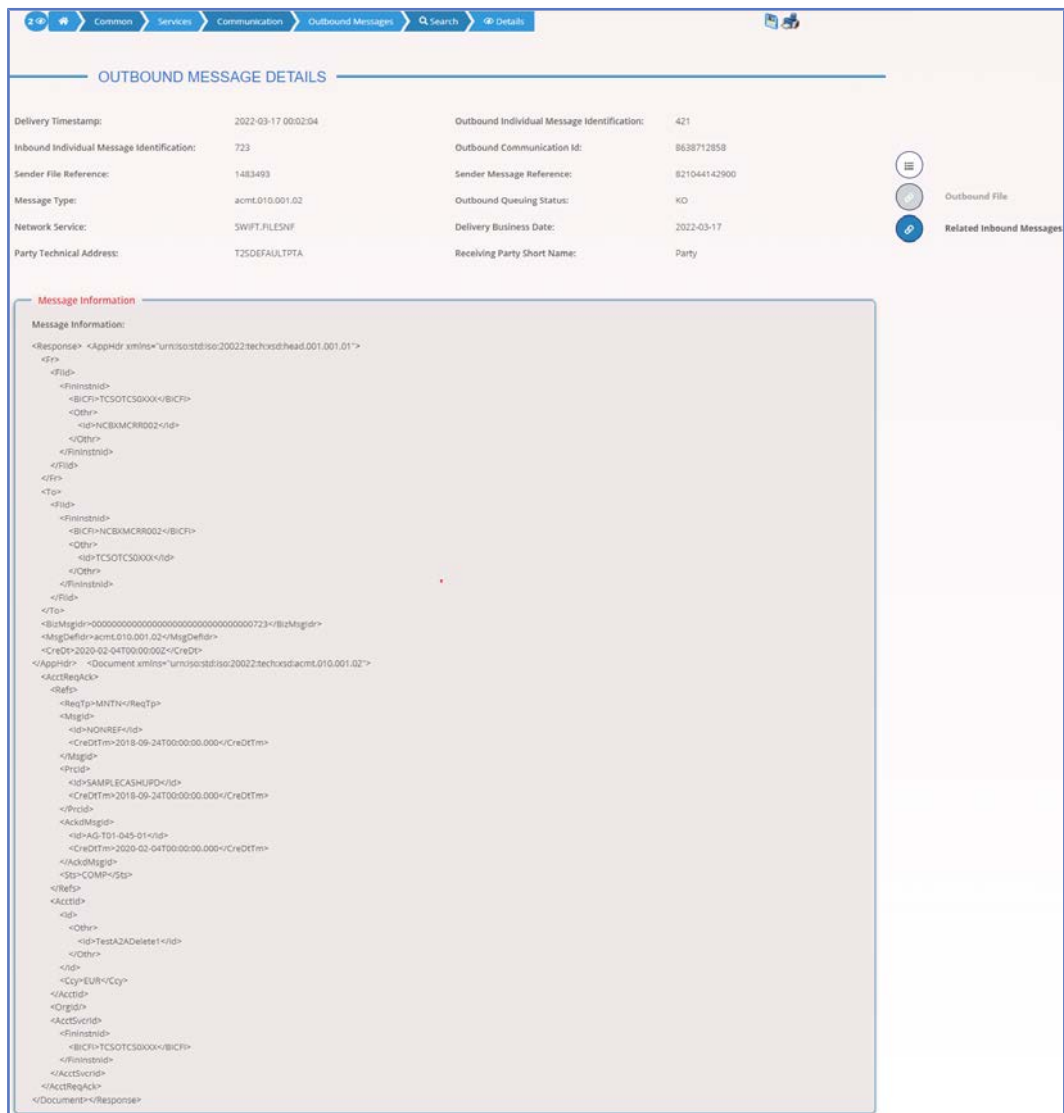


Illustration 275: Outbound Message – details screen (Split book approach)

Fields	Outbound Message — Details screen	
Description	Delivery Timestamp	Shows the calendar date and time when the outbound message was delivered in the related service.
	Outbound Individual Message Identification	Shows the technical identification of the outbound individual message.
	Inbound Individual Message Identification	Shows the technical identification of the inbound individual message.
	Outbound Communication ID (Service Operator only)	Shows the technical ID of the outbound communication.
	Sender Message Reference	Shows the identification of the outbound message as assigned by the message sender.
	Sender File Reference	Shows the identification of the original file containing the message(s).
	Outbound Queuing Status	Shows the outbound queuing status of the message.
	Message Type	Shows the ISO 20022 request type of the message.
	Delivery Business Date	Shows the business date when the related service started the delivery of the message.
	Network Service	Shows the name of the network service from the dropdown menu.
	Receiving Party Short Name	Shows the short name of the receiving party from the dropdown menu.
	Party Technical Address	Shows the technical address (i.e. distinguished name) of the receiving party.
	Message Information	
	Message Information	Shows detailed information of the U2A or A2A request. The content of A2A message/U2A request is only displayed in case of small A2A messages/U2A requests. Bigger A2A messages/U2A requests can be displayed via the separate message export icon. Pressing this icon exports the message based on the xml document. In addition root elements are present at the beginning and the end of the exported document. On top

Outbound Message — Details screen	
	of the export additional information about 'Time', 'User' and 'Screen of export' is available for documentation purpose.
Buttons	
Related Inbound Message	This function enables the User to display the inbound messages related to the selected outbound message.
Outbound File	This function enables the User to display the details of the related outbound file. {Split book approach}

2.8.2.10.11 Available Reports — Search/List

Context of Usage

This screen provides the user with the possibility to query the latest available report. By inputting the relevant data, the user can search for the latest available reports. The search results will be displayed in a list, which is sorted by the values of the 'Report Type' column in descending order (default setting). After selecting an entry, the user can proceed further by clicking on the buttons below. Users can see Available Reports under their data-scope.

There is no usage restriction for this screen.

Screen Access

- Common >> Services >> Available Reports >> Search

Privileges

To use this screen, the following Privileges are needed:

- Report list query

Screenshot

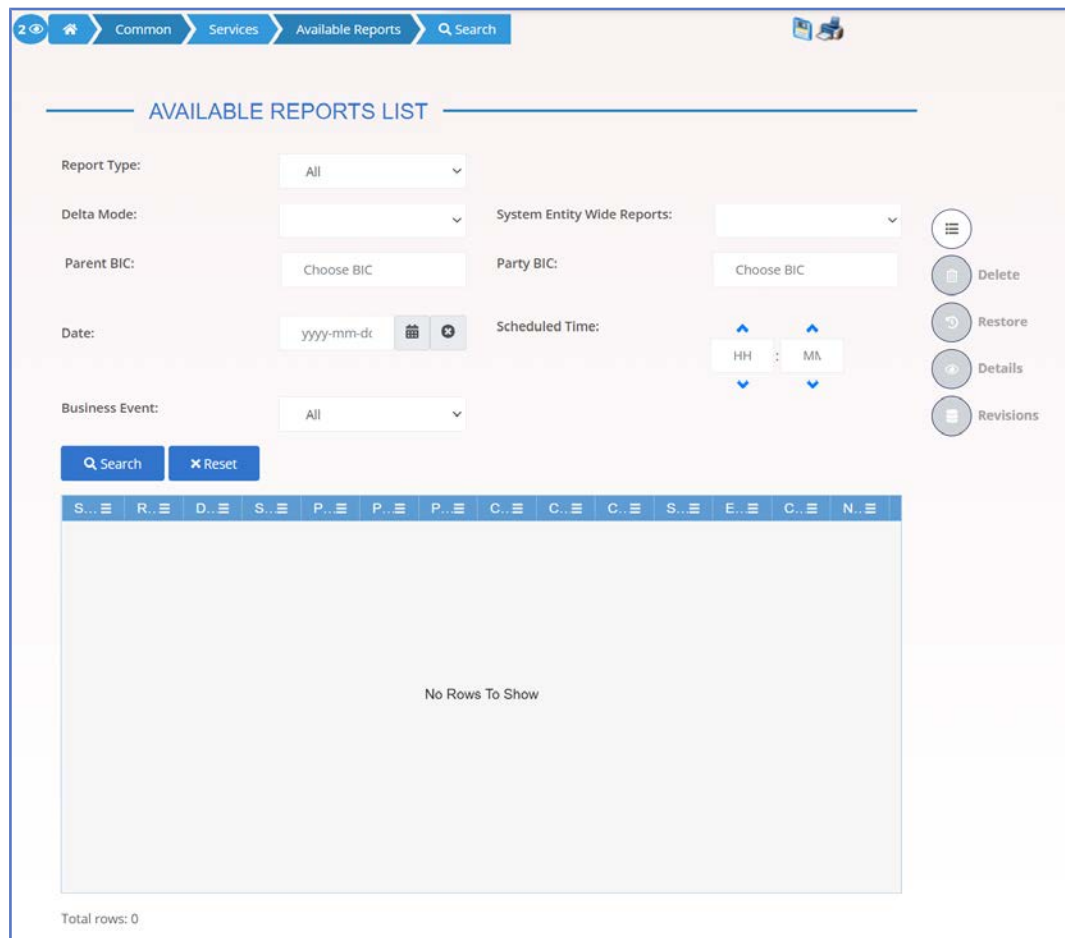


Illustration 276: **Available Reports – search/list screen** {Split book approach}

Fields

Description

Available Reports – Search Criteria	
Report Type	<p>Select the type of report from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> Statement of static data for T2S Dedicated Cash Accounts <input type="checkbox"/> Statement of static data for Securities Accounts <input type="checkbox"/> Statement of static data for Securities <input type="checkbox"/> Statement of static data for Parties
Delta Mode	<p>Select the mode of report from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> Yes <input type="checkbox"/> No

Available Reports — Search Criteria	
System Entity Wide Reports	Select whether the report is a community report (covering all information referring to a system entity) or a party based report (covering all information referring to a party) from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Yes <input type="checkbox"/> No
Parent BIC	Enter or select the Parent BIC of the party whose data are reported. Required format is: max. 11x characters.
Party BIC	Enter or select the BIC of the party whose data are reported. Required format is: max. 11x characters.
Date	Enter or pick the creation date of the report. Required format is: Date.
Scheduled Time	Enter or pick the scheduled time of the (business) event triggering the report creation. If this field is filled, the 'Business Event' field must not be filled and vice versa. Required format is: Time.
Business Event	Select the business event. If this field is filled, the 'Scheduled Time' field must not be filled and vice versa.

Available Reports — Search Criteria	
Report Type	Shows the report type.
Delta Mode	Shows if the report is a delta report or not.
System Entity Wide Report	Shows whether the report is a community report (covering all information referring to a system entity) or a party based report (covering all information referring to a party).
Parent BIC	Shows the Parent BIC of the party whose data are reported.
Party BIC	Shows the Party BIC of the party whose data are reported.

Available Reports— Search Criteria	
Party Short Name	Shows the short name of the party.
Creation Date and Time	Shows the date and time of the report creation.
Covered Period From	Shows the date and time from which the data are covered in the report.
Covered Period To	Shows the date and time until which the data are covered in the report.
Scheduled Time	Shows the scheduled time which triggered the report creation.
Event	Shows the configured business event which triggered the creation of the report.
Currency	Shows the currency of a specific event in case it was specified in the report configuration.
Number of Items	Shows the number of business items which are reported with this report.

Buttons

Buttons	
Search	This function enables the user to start a search according to the filled in criteria.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
Details	This function enables the user to display the details of the selected available report. {Split book approach}

2.8.2.10.12 Available Reports—Details

Context of Usage This screen enables the user to display detailed information on created reports. User can check the data and proceed further by clicking on the button below.

There is no usage restriction for this screen.

Screen Access Common >> Services >> Available Reports – search/list screen >> Click on the details button

Privileges To use this screen, the following Privileges are needed:

- Report list query
- Report details query

Screenshot Available Report – details screen

Fields Description	Available Report	
	Report Type	Shows the report type.
Delta Mode	Shows if the report is a delta report or not.	
System Entity Wide Report	Shows whether the report is a community report (covering all information referring to a system entity) or a party based report (covering all information referring to a party).	
Parent BIC	Shows the Parent BIC of the party whose data are reported.	
Party BIC	Shows the Party BIC of the party whose data are reported.	
Party Short Name	Shows the short name of the party.	
Creation Date and Time	Shows the date and time of the report creation.	
Covered Period From	Shows the date and time from which the data are covered in the report.	
Covered Period To	Shows the date and time until which the data are covered in the report.	
Scheduled Time	Shows the scheduled time which triggered the report creation.	
Event	Shows the configured business event which triggered the creation of the report.	
Currency	Shows the currency of a specific event in case it was specified in the report configuration.	
Number of Items	Shows the number of business items which are reported with this report.	

Reported Business Item	
Business Item Type	Shows the information on the type of the report message segregating entity.
Business Item Identification	Shows the business identifier of the reported business item (T2S Dedicated Cash Account Number, Securities Account Number, Currency Code or Party BIC).

Buttons

Buttons	
Details	This function enables the user to display the single business item of the selected report. {Split book approach}

~~2.8.2.10.13 Available Reports - Statement of Common Reference Data details~~

Context of Usage

~~This screen displays detailed information on statements of static data, which provide information on the changes of static data related to cash accounts, securities accounts, securities or parties. Only 1 type of statement is shown per report.~~

~~The report displayed in this screen has to be already created in accordance with its report configuration.~~

~~There is no usage restriction for this screen.~~

Screen Access

- ~~Common >> Services >> Available Reports >> Available reports - search/list screen >> Click on the search and/or details button >> Available report - details screen >> Click on the details button~~

Privileges

~~To use this screen, the following Privileges are needed:~~

- ~~Report Details Query~~

Screenshot

~~Available Report - Statement of Static Data - search/list screen~~

Fields

Description

General Statement Information	
Report Name	Shows the name of the report.
Delta Mode	Shows the delta mode of the report, which is always 'No'
Scheduled	Shows the name of the business event or time triggering the report creation
Covered Period - From	Shows the date and time from which the data are covered in the report.
Covered Period - To	Shows the date and time until which the data are covered in the report.
Report Owner Parent BIC	Shows the parent BIC of the party whose data are reported.
Report Owner Party BIC	Shows the BIC of the party.

General Statement Information	
System Entity Wide Report	Shows whether the report is a community report (covering all information referring to a system entity) or a party based report (covering all information referring to a party).

List – Securities	
ISIN	Shows the ISIN whose static data are displayed. This field is only available if the statement of static data refers to securities.
Name of the Changed Field	Shows the name of the changed field.
Old Value	Shows the old value of the attribute that was changed.
New Value	Shows the new value of the attribute that was changed.
Change Date and Time	Shows the date and time of the change.

List – Cash Accounts	
Cash Account Number	Shows the T2S dedicated cash account for which the report is created. This field is only available if the statement of static data refers to Cash Accounts.
Name of the Changed Field	Shows the name of the changed field.
Old Value	Shows the old value of the attribute that was changed.
New Value	Shows the new value of the attribute that was changed.
Change Date and Time	Shows the date and time of the change.

List – Parties	
Parent BIC	Shows the parent BIC of the party whose data are reported.

List – Parties	
	This field is only available if the statement of static data refers to parties.
Party BIC	Shows the party BIC whose data are reported. This field is only available if the statement of static data refers to parties.
Name of the Changed Field	Shows the name of the changed field.
Old Value	Shows the old value of the attribute that was changed.
New Value	Shows the new value of the attribute that was changed.
Change Date and Time	Shows the date and time of the change.

List – Securities Accounts	
Securities Account Number	Shows the number of the securities account. This field is only available if the statement of static data refers to securities accounts.
Name of the Changed Field	Shows the name of the changed field.
Old Value	Shows the old value of the attribute that was changed.
New Value	Shows the new value of the attribute that was changed.
Change Date and Time	Shows the date and time of the change.

Buttons

Cancel	This function enables the user to cancel the process and return to the previous screen. {Split book approach}

2.8.2.10.14 Queued Data Changes – Search/List

Context of Usage

~~This screen contains a number of search fields. By inputting the relevant data, you can search for queued maintenance instructions of a subset of CRDM objects if those have an impact on the settlement process. The screen only shows items in status ‘Queued’. In the listed cases, items inserted/amended in 2-eyes mode are immediately set to status ‘Queued’,~~

while the ones inserted/amended in 4-eyes mode are only 'Queued' after their approval. After selecting an entry, you can proceed further by clicking on the buttons below

Screen Access

Common >> Services >> Queued Data Changes

Privileges

To use this screen, the following Privileges are needed:

Data Changes of a Business Object List Query

Screenshot

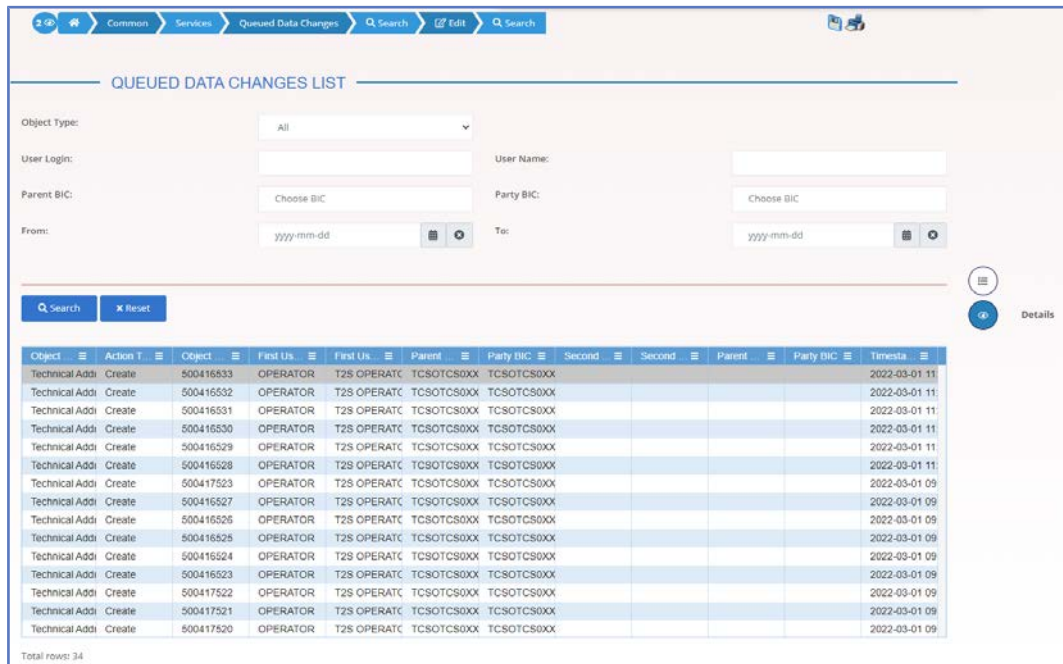


Illustration 277: Queued Data Changes – search/list screen {Split book approach}

Fields

Description

Queued Data Changes – Search Criteria	
Object Type	<p>Select the object type from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> External RTGS account <input type="checkbox"/> Limit <input type="checkbox"/> Party <input type="checkbox"/> Routing <input type="checkbox"/> Securities account <input type="checkbox"/> Security <input type="checkbox"/> Technical address network service link <input type="checkbox"/> T2S dedicated cash account
User Login	<p>Enter the login of the user that acted on the CRDM object or the one that approved the change.</p>

Queued Data Changes — Search Criteria	
	Required format is: max. 35 characters
User Name	Enter the name of the user that acted on the CRDM object or the one that approved the change. Required format is: max. 127 characters
Party Parent BIC	Enter the parent BIC of the party to which the T2S system user, that acted on the CRDM object or approved the changes, belongs to. Required format is: max. 11 characters
Party BIC	Enter the BIC of the party to which the T2S system user, that acted on the CRDM object or approved the changes, belongs to. Required format is: max. 11 characters
From	Enter or pick the lower bound of the timestamp of the queuing. Required format is: Date
To	Enter or pick the upper bound of the timestamp of the queuing. Required format is: Date

Queued Data Changes — List	
Object Type	Shows the object type.
Action Type	Shows the type of the action that a T2S System user initiated.
Object Reference	Shows the reference (technical identification) of the selected object.
First User Login	Shows the login of the user who performed the CRDM maintenance action of the selected object at first ('2-eyes mode' and '4-eyes mode 1st step').
First User Name	Shows the name of the user who performed the CRDM maintenance action of the selected object at first ('2-eyes mode' and '4-eyes mode 1st step').
Parent BIC	Shows the parent BIC of the party to which the first user belongs to
Party BIC	Shows the BIC of the party to which the first user belongs to.

Queued Data Changes— List	
Second User Login	Shows the login of the user who approved the data change as second user ('4-eyes mode 2nd step').
Second User Name	Shows the name of the user who approved the data change as second user ('4-eyes mode 2nd step').
Parent BIC	Shows the parent BIC of the party to which the second user belongs to
Party BIC	Shows the BIC of the party to which the second user belongs to.
Timestamp of queuing	Shows the timestamp of the queuing of the selected object. Displayed format is: YYYY-MM-DD hh:mm:ss

Buttons

Buttons	
Search	This function enables the user to start a search according to the filled-in criteria.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
Details	This function enables the user to display the details of the selected queued data change. {Split book approach}

2.8.2.10.15 Queued Data Changes—Details

Context of Usage

The screen displays detailed information on the selected queued data change, changes are highlighted in a different red. You can check the data and proceed further by clicking on the buttons below.

You can use this screen to revoke queued data changes and to see details of already revoked items.

Screen Access

- Common >> Services >> Queued Data Changes – search/list screen >> Click on the search and/or details button

Privileges

To use this screen, the following Privileges are needed:

- Data Changes of a Business Object Details Query

Screenshot

Details of selected data change

Object Type:	Technical Address Network Link	Action Type:	Technical Address Network Link - Create
Object Reference:	500416533		
First User Login:	OPERATOR	First User Name:	T2S OPERATOR USER 1
Party BIC:	TCSOTCS0XXX	Parent BIC:	TCSOTCS0XXX
Second User Login:		Second User Name:	
Party BIC:		Parent BIC:	
Timestamp of queuing:	2022-03-01 11:11:55		

Details of Selected Data Change

Revoke
Cancel

Illustration 278: **Queued Data Change – details screen** (Split book approach)

**Fields
Description**

Queued Data Change	
Object Type	Shows the object type.
Action Type	Shows the type of the action that a T2S System user initiated.
Object Reference	Shows the reference (technical identification) of the selected object.
First User Login	Shows the login of the user who performed the CRDM maintenance action of the selected object at first ('2-eyes mode' and '4-eyes mode 1st step').
First User Name	Shows the name of the user who performed the CRDM maintenance action of the selected object at first ('2-eyes mode' and '4-eyes mode 1st step').
Parent BIC	Shows the parent BIC of the party to which the first user belongs to
Party BIC	Shows the BIC of the party to which the first user belongs to.
Second User Login	Shows the login of the user who approved the data change as second user ('4-eyes mode 2nd step').

Queued Data Change	
Second User Name	Shows the name of the user who approved the data change as second user ('4-eyes mode 2nd step').
Parent BIC	Shows the parent BIC of the party to which the second user belongs to
Party BIC	Shows the BIC of the party to which the second user belongs to.
Timestamp of queuing	Shows the timestamp of the queuing of the selected object. Displayed format is: YYYY-MM-DD hh:mm:ss

Details of Selected Data Change	
Details of selected data change	This frame consists of the respective details screen of the object that is subject to the action (e. g. if the action type refers to an action regarding a security, then the security – details screen is displayed). All the fields are read-only.

List of Modification	
Field Name	Shows the name of the field, where the first user has entered new data or edited or deleted existing data
Old Value	Shows the currently active value of the field before the second user approves the changes.
New Value	Shows the pending value of the field, which will be active after the second user approved the changes

Buttons

Revoke	This function enables the user to revoke the queued action. This function is only available if the user is allowed to revoke the data changes.
Cancel	This function enables the user to cancel the process and return to the previous screen {Split book approach}

2.8.2.11 Billing

2.8.2.11.1 Service Items – Search/List Screen

Context of Usage

This screen allows you to search for the Service Items. By inputting the relevant data, you can search for the Service Items satisfying the searching parameters. A user can use this screen for searching, displaying details and download the service items.

The result list is displayed sorted by the values of the 'Service Item ID' column in ascending order (default setting).

Screen Access

Common >> Billing >> Service Items >> Search

Privileges

To use this screen, the following Privileges are needed:

Service item list query

Screenshot

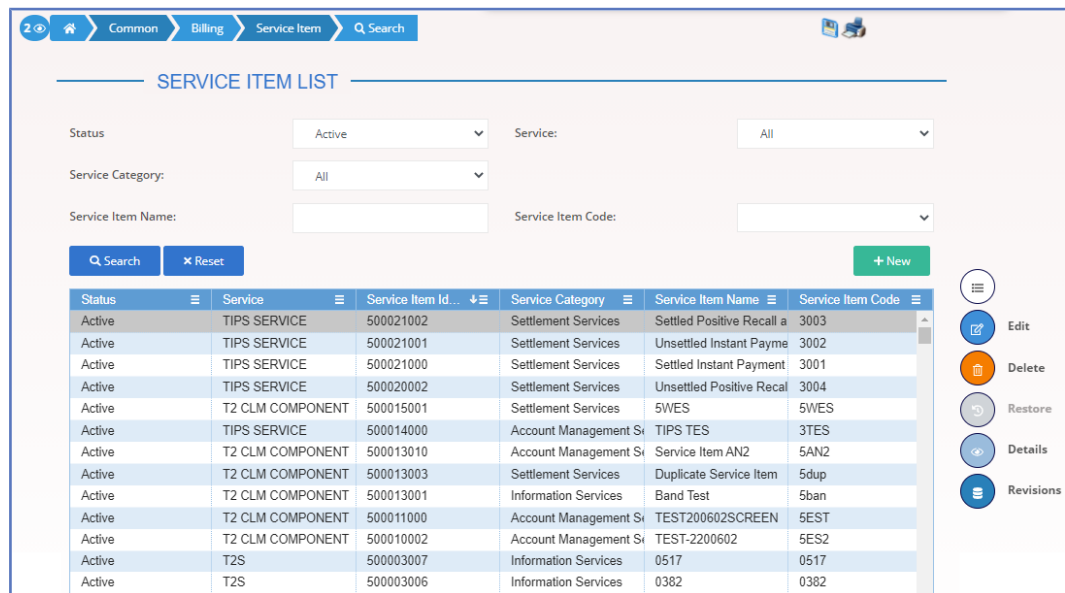


Illustration 279: Service Items – search/list screen (Split book approach)

Fields

Description

Service Items — Search Criteria	
Status	<p>Select the status of the Service Item from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All <input type="checkbox"/> Active (default value) <input type="checkbox"/> Deleted
Service	<p>Select the Service from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> All (default value) <input type="checkbox"/> TIPS SERVICE

Service Items — Search Criteria	
	<input type="checkbox"/> T2 RTGS COMPONENT <input type="checkbox"/> ECMS SERVICE <input type="checkbox"/> T2S
Service Category	Select the Service Category from the possible values: <input type="checkbox"/> All (default value) <input type="checkbox"/> Settlement Services <input type="checkbox"/> Account Management Services <input type="checkbox"/> Information Services
Service Item Name	Enter the Name of the Service Item to be searched. Required format is: max. 35x characters.
Service Item Code	Select the Code of the Service Item to be searched. Required format is: 4 digits.

Service Items — List	
Status	Shows the status of the Service Item.
Service	Shows the Service of the Service Item.
Service Item Identification	Shows the identification number of the Service Item.
Service Category	Shows the Service Category of the Service Item.
Service Item Name	Shows the Name of the Service Item.
Service Item Code	Shows the Code of the Service Item.

Buttons

Buttons	
Search	This function enables the user to start a search according to the entered criteria.
Reset	This function enables the user to set default search criteria and blanks out all optional criteria.
Details	This function enables the user to display the details of the selected Service Item.
Revisions	This function enable the user to access the Revisions List screen related to the selected Service Item. {Split book approach}

2.8.2.11.2 Service Item – Details Screen

Context of Usage

This screen displays detailed information on the selected Service Item. The User can check the data and proceed further by clicking on the buttons below.

Screen Access

- Common >> Billing >> Service Items >> Service Item – search/list screen >> Click on Search and/or Details button

Privileges

To use this screen, the following Privileges are needed:

- Service Item reference data query

Screenshot

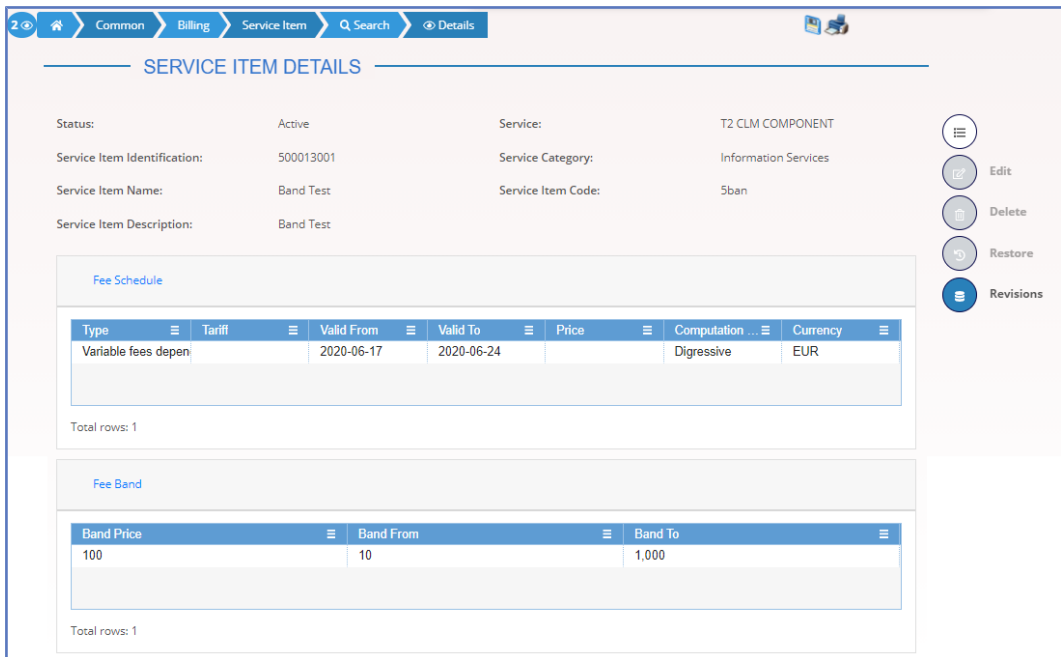


Illustration 280: Service Item – details screen {Split book approach}

Fields Description

Service Item	
Status	Shows the status of the Service Item.
Service	Shows the Service of the Service Item.
Service Item Identification	Shows the identification number of the Service Item.
Service Category	Shows the Service Category of the Service Item.
Service Item Name	Shows the Name of the Service Item.
Service Item Code	Shows the Code of the Service Item.
Service Item Description	Shows the description of the Service Item.
Fee Schedule	
Type	Shows the type of the fee.

Fee Schedule	
Tariff	Shows the tariff the price depends on.
Valid From	Shows the starting validity date for the fee schedule.
Valid To	Shows the ending validity date for the fee schedule.
Price	Shows the price to be applied to the selected Service Item.
Computation Method	Shows the calculation method to be applied to the selected price.
Currency	Show the currency associated to the selected fee schedule.

Fee Band	
Band ID	Shows the Identification of the Band.
Band Price	Shows the price to be applied to the selected Band.
Band From	Shows the lower bound of the Band.
Band To	Shows the upper bound of the Band.

Buttons

Revisions	This function enables the user to display the revisions list of the selected Service Item. {Split book approach}

3 User Instructions Part

3.1 General

The user instructions part comprises scenarios adapted from typical user workflows. They are grouped into related activities, called business packages, which are further grouped into categories.

Furthermore, you can find business scenarios dedicated only to the T2S operator are highlighted by the adding 'T2S operator only' in the title.

Categories

The categories are a structural element and do not contain any information.

Business Packages

The business packages define an overall task. They include an overview and a list of the business scenarios. In detail, each business package description has the same structure and contains the following elements.

Overview

The overview describes the aim and the background of the business package. It also explains the interrelation of the business scenarios.

List of Business Scenarios

This section contains a list of all business scenarios belonging to the business package.

The list is unordered if the business scenarios represent alternative ways to achieve the aim of the business package. It is ordered if the business scenarios have to be carried out sequentially. Sequential business scenarios do not necessarily have to be carried out directly after each other. The sequence only implies that 1 business scenario is a prerequisite for the following ones.

Business Scenarios

Each business scenario represents a single workflow and consists of single action steps, intermediate results and a final result. In detail, each business scenario description has the same structure and contains the following elements.

Context of Usage

The context of usage describes the aim of the business scenario and indicates whether this business scenario is mandatory or optional in order to fulfill the overall business package. It also contains the usage restrictions and the pre-requisites (excluding the privileges), if available.

Privileges

In this section, all the necessary privileges to access and use the involved screens are listed. First the privileges to access the first screen are mentioned, followed by the privileges necessary to proceed with the business scenario. Afterwards, the privileges for the following screens are mentioned in the same order.

Reference

This section lists all references to the screens involved, in order of their appearance in the business scenario.

Instructions Each workflow is described from the start to the end, beginning with the access to the starting screen. The instructions involve a number of separate steps which lead you through a series of screens and actions. Each action step is focused on 1 single action. Intermediate results are included and the instructions end with a final result. Possible alternatives and repetitions are highlighted with a notice sign.



Example

1. Action step 1
2. Action step 2
 - ➔ Intermediate result
3. Action step 3
 - ➔ Result

~~3.2 Common Reference Data~~

~~3.2.1 Digital Signature~~

~~3.2.1.1 Digital Signature (NRO)~~

Overview

~~This business section describes in a general way the interaction of the actors with the Digital Signature. Signed actions in the T2S GUI are archived together with the signature of the relevant user.~~

~~Application of a Digital Signature is applicable for the following GUI screens when performing the listed actions:~~

Digital Signature	
Attribute Domain – Details screen	<input type="checkbox"/> Delete <input type="checkbox"/> Restore
Attribute Domain – New/edit screen	<input type="checkbox"/> Submit
Attribute Domain – Search/List screen	<input type="checkbox"/> Delete <input type="checkbox"/> Restore
Attribute Domain Reference – Details screen	<input type="checkbox"/> Delete <input type="checkbox"/> Restore

Digital Signature	
Attribute Domain Reference – List screen	<input type="checkbox"/> Delete <input type="checkbox"/> Restore
Attribute Domain Reference – New/edit screen	<input type="checkbox"/> Submit
Cash Account - New/Edit screen	<input type="checkbox"/> Submit
CoSD Rule – New/Edit screen	<input type="checkbox"/> Submit
CoSD Rule Sets – New/Edit screen	<input type="checkbox"/> Submit
CoSD Rule Sets – Search/List screen	<input type="checkbox"/> Delete <input type="checkbox"/> Restore
CoSD Rule Sets – Details screen	<input type="checkbox"/> Delete <input type="checkbox"/> Restore
CSD Account Link – New/Edit screen	<input type="checkbox"/> Submit
CSD Account Links – Search/List screen	<input type="checkbox"/> Delete <input type="checkbox"/> Restore
Data Changes – Details screen	<input type="checkbox"/> Submit
Eligible Counterpart CSD – Details screen	<input type="checkbox"/> Delete <input type="checkbox"/> Restore
Eligible Counterpart CSD – New/Edit screen	<input type="checkbox"/> Submit
Eligible Counterpart CSD – Search/List screen	<input type="checkbox"/> Delete <input type="checkbox"/> Restore
Grant/Revoked Privileges – Search/List screen	<input type="checkbox"/> Grant <input type="checkbox"/> Revoke
Grant/Revoke Role – New/Edit screen	<input type="checkbox"/> Grant

Business Scenario

Digital Signature	
	<input type="checkbox"/> Revoke
Grant/Revoke System Privilege - New/Edit screen	<input type="checkbox"/> Grant <input type="checkbox"/> Revoke
Grant/Revoke Object Privilege - New/Edit screen	<input type="checkbox"/> Grant <input type="checkbox"/> Revoke
Market-Specific Attribute – Details screen	<input type="checkbox"/> Delete <input type="checkbox"/> Restore
Market-Specific Attribute – New/Edit screen	<input type="checkbox"/> Submit
Market-Specific Attributes – Search/List screen	<input type="checkbox"/> Delete <input type="checkbox"/> Restore
Restriction Types – Search/List screen	<input type="checkbox"/> Delete <input type="checkbox"/> Restore
Restriction Type - New/Edit screen	<input type="checkbox"/> Submit
Restriction Type – Details screen	<input type="checkbox"/> Delete <input type="checkbox"/> Restore
Restriction Types Rules – New/Edit screen	<input type="checkbox"/> Submit
Roles – Search/List screen	<input type="checkbox"/> Delete <input type="checkbox"/> Restore
Role – New/Edit screen	<input type="checkbox"/> Submit
Secured Group – Details screen	<input type="checkbox"/> Delete <input type="checkbox"/> Restore
Secured Group - New/edit screen	<input type="checkbox"/> Submit

Secured Groups - Search/List screen	<input type="checkbox"/> Delete <input type="checkbox"/> Restore
Securities Account - Details screen	<input type="checkbox"/> Delete <input type="checkbox"/> Restore
Securities Account - New/Edit screen	<input type="checkbox"/> Submit
Securities Account Transfer Management - Edit screen	<input type="checkbox"/> Submit
Securities Accounts - Search/List screen	<input type="checkbox"/> Delete <input type="checkbox"/> Restore
Security CSD Link - Details screen	<input type="checkbox"/> Delete <input type="checkbox"/> Restore
Security CSD Link - New/Edit screen	<input type="checkbox"/> Submit
Security CSD Links - Search/List screen	<input type="checkbox"/> Delete <input type="checkbox"/> Restore
Standing/Pre-defined Liquidity Transfer Order Link Set - Details screen	<input type="checkbox"/> Delete <input type="checkbox"/> Restore
Standing/Pre-defined Liquidity Transfer Order Link Set - New/Edit screen	<input type="checkbox"/> Submit
Standing/Pre-defined Liquidity Transfer Order Link Sets - Search/List screen	<input type="checkbox"/> Delete <input type="checkbox"/> Restore

Standing/Pre-defined Liquidity Transfer Order – Details screen	<input type="checkbox"/> Delete <input type="checkbox"/> Restore
Standing/Pre-defined Liquidity Transfer Order – New/Edit screen	<input type="checkbox"/> Submit
Standing/Pre-defined Liquidity Transfer Orders – Search/List screen	<input type="checkbox"/> Delete <input type="checkbox"/> Restore
User – Details screen	<input type="checkbox"/> Delete <input type="checkbox"/> Restore
User – New/Edit screen	<input type="checkbox"/> Submit
Users – Search/List screen	<input type="checkbox"/> Delete <input type="checkbox"/> Restore
User-Certificate DN Links – Search/List screen	<input type="checkbox"/> Delete <input type="checkbox"/> Restore
User-Certificate DN Link – New/Edit screen	<input type="checkbox"/> Submit

~~The actor that is performing a business scenario linked to the screens and the buttons listed above must consider these steps as part of the specific business scenario.~~

- ~~1. Select the needed item or input the desired values, then click on the action button (Submit, Delete, Restore, Grant, Revoke).~~
- ~~2. Once prompted with the request of inserting the PIN associated with the digital identity, insert the PIN and click the OK button.~~
- ~~3. Click the Verify NRO button to submit the operation~~

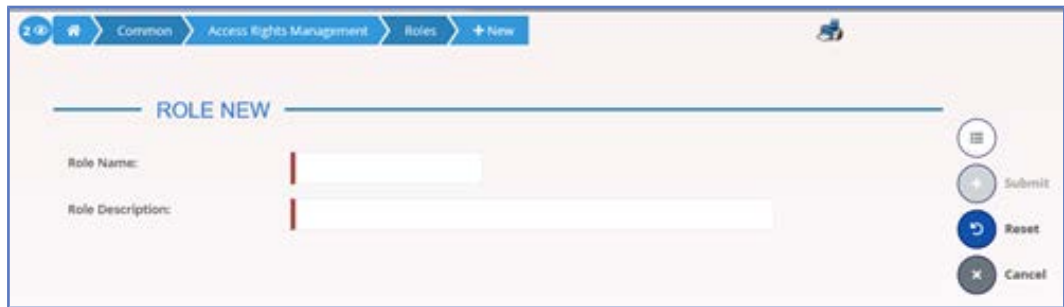
~~The action initiated by the actor is concluded and the request is digitally signed.~~

~~{Split book approach}~~

~~3.2.2 Access Rights~~

~~3.2.2.1 Configuration of a Role~~

Overview	<p>This business package describes the configuration of a role.</p> <p>To configure a role, you first have to select a role and then to assign privileges or other roles to the selected role.</p> <p>Afterwards you can assign the new role to a user or to a party.</p> <p>To configure a role, carry out the business scenarios in the predefined order and choose the business scenario relevant to you whenever options are given.</p>
Business Scenarios	<p>In the GUI all mandatory fields are marked with a red line in the label. All marked fields have to be filled in before you are allowed to proceed. The red line is turned into green when the field contains a value or is selected.</p> <ol style="list-style-type: none">1. Create a new role2. Grant Privileges to a Role3. Assign a role to a party4. Assign a role to a user {Split book approach}
Context of Usage	<h4>3.2.2.1.1 Create a New Role</h4> <p>This business scenario describes how to create a new role.</p> <p>A role is a set of privileges, which can be granted to users and parties. You can create a new role for your participant and for yourself.</p> <p>Creating a new role is mandatory for configuration of a role.</p> <p>This business scenario is not relevant for CSD participants, payment bank and ancillary system users.</p>
Privileges	<p>To carry out this business scenario, you need the following privileges:</p> <ul style="list-style-type: none"><input type="checkbox"/> Role list query<input type="checkbox"/> Create role
Reference	<p>Further information on screens involved can be found in the screen reference part:</p> <ul style="list-style-type: none"><input type="checkbox"/> Roles – search screen<input type="checkbox"/> Role – new/edit screen
Instructions	<ol style="list-style-type: none">1. Go to the roles – search/list screen: Common >> Access Rights Management >> Roles2. Click on the new button. ⇒ The role – new screen is displayed.



3. Enter all mandatory information about the role you want to create.



Alternatives

To set all fields to default value and blank out all optional fields, click on the reset button.

To cancel the process and return to the previous screen, click on the cancel button.

4. Click on the submit button.

➔ The new role has been created. {Split book approach}

3.2.2.1.2 Grant System and Object Privileges to a Role

Context of Usage

This business scenario describes how to grant a system privilege to a role. Before you start, make sure that you have already been granted the same privilege and that the role belongs to your system entity.

This business scenario is not relevant for CSD participant, payment bank and ancillary system users.

Privileges

To carry out this business scenario, you need the following privileges:

- Grant privilege
- Granted System Privileges List Query

Reference

Further information on screens involved can be found in the screen reference part:

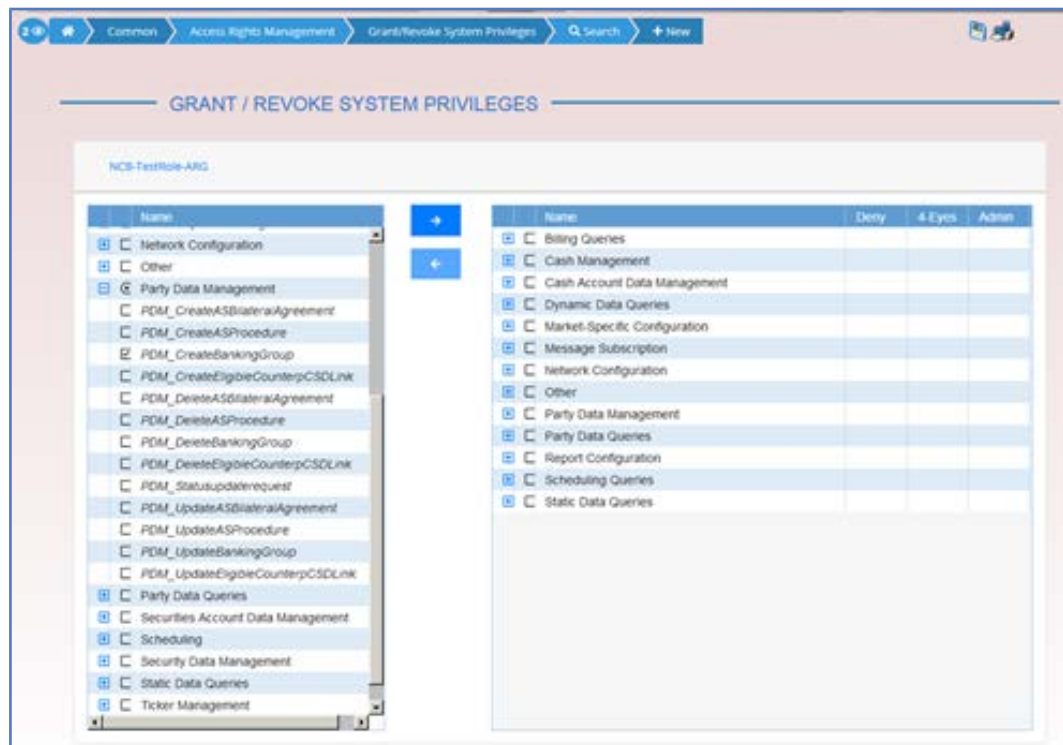
- Grant/revoke privileges – search screen
- Grant/revoke system privilege – new/edit screen
- Grant/revoke object privilege – new/edit screen

Instructions

1. Go to the grant/revoke privileges – search screen:
Common >> Access Rights Management >> Grant/Revoke Privileges
2. Select “Role name” and choose the name of the relevant Role from the drop-down menu.

The screenshot shows a web application interface for managing system privileges. At the top, there is a breadcrumb trail: 'Common' > 'Access Rights Management' > 'Grant/Revoke System Privileges'. A search bar is located in the top right corner. The main title of the page is 'GRANT/REVOKE SYSTEM PRIVILEGES'. Below the title, there are several input fields: a 'Role name' field with a dropdown arrow, a 'Parent BIC' field with a 'Choose BIC' button, a 'Party BIC' field with a 'Choose BIC' button, and a 'Login name' field with a 'Choose...' button. At the bottom of the form, there are two buttons: 'Search' and 'Display'.

3. **Click on the search button.**
 - ⇒ **The grant/revoke system privilege – new/edit screen is displayed.**
4. **Search the relevant category in the 'Privileges' frame, open it by clicking the expand group icon and select the relevant privilege.**
 - ⇒ **If the privilege has been already granted to the role, you can finish the business scenario with this step.**
 - ⇒ **If the privilege has not been granted to the role, proceed with the next step.**
5. **Select the privilege(s) you want to grant to the Role.**
6. **Click on the arrow icon.**



➔ **The privilege has been granted to the role.**



Repetition

To grant more privileges to the role, repeat step 4 and 5 or select all the privileges you want to grant before clicking the arrow icon.



Object Privileges

To grant an object privilege to the role, extend the chosen system privilege with the desired object in Grant/Revoke Objects Privilege – New/Edit screen. The extension applied to the privilege will be applied to the Role accordingly. {Split book approach}

3.2.2.1.3 Assign a Role to a Party

Context of Usage

This business scenario describes how to assign a role to a party. A role is a homogenous group of privileges. To grant a role to a party, you must own that role. You can grant a role to a party not belonging to your system entity only if you are the party administrator of the party that owns the role. This business scenario is not relevant for CSD participant, payment bank and ancillary system users.

Privileges

To carry out this business scenario, you need the following privileges:

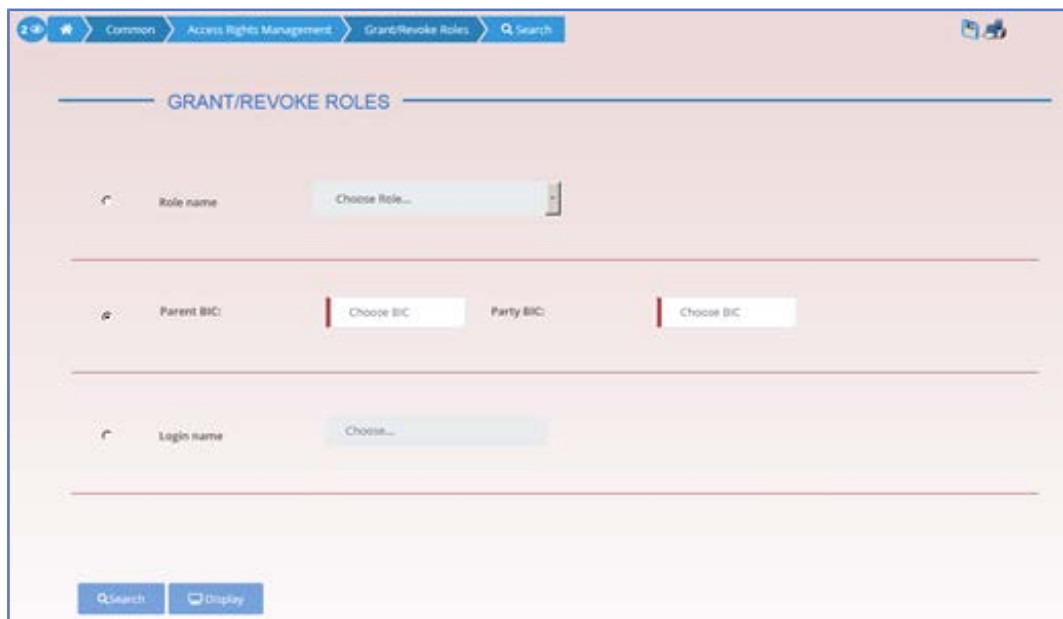
- Role-list query**
- Grant/revoke role**

Reference Further information on screens involved can be found in the screen reference part:

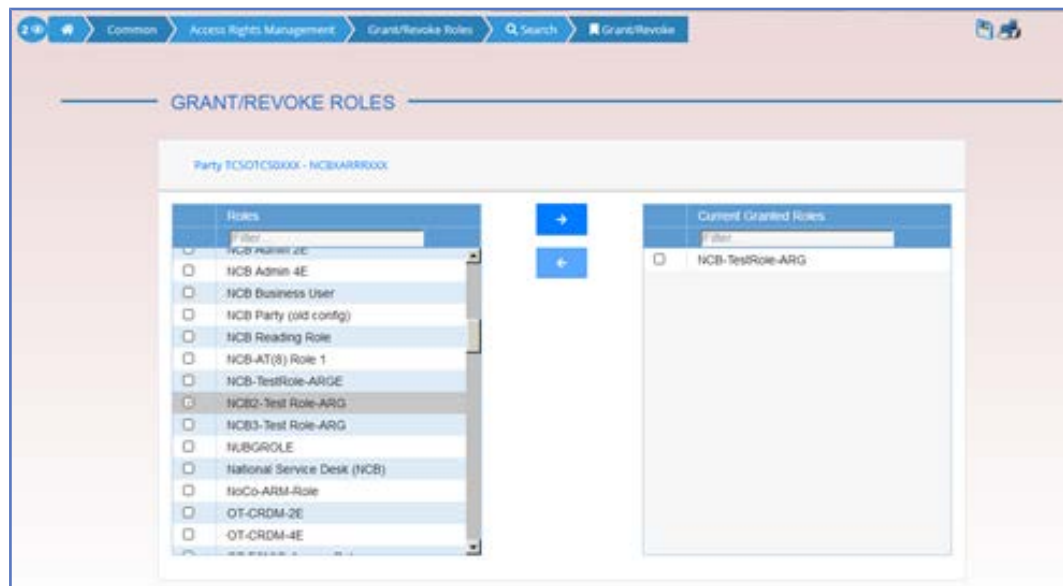
- Grant/revoke privileges – search screen**
- Grant/revoke role – new/edit screen**

Instructions

1. **Go to the grant/revoke roles – search screen:
Common >> Access Rights Management >> Grant/Revoke Roles**
2. **Select the parent BIC and the BIC of the party you would like to assign the role to**



3. **Click on the search button.**
 - ⇒ **The grant/revoke roles – new/edit screen is displayed.**
4. **Check if the party has been already granted to the relevant roles in the left column.**
 - ⇒ **If the party has been already granted to the role, you can finish the business scenario with this step.**
 - ⇒ **If the party has not been granted to the role, proceed with the next step.**
5. **Select the role(s) you want to grant to the party in the 'Roles' frame.**
6. **Click on the arrow icon.**



➔ The role has been granted to the party.



Repetition

To grant more roles to the party, repeat step 4 and 5 or select all the roles you want to grant before clicking the arrow icon. **{Split book approach}**

3.2.2.1.4 Assign a Role to a User

Context of Usage

This business scenario describes how to assign a role to a user. A role is a homogenous group of privileges. To grant a role to a user, you must own that role. You can only grant a role to a user that belongs to your system entity.

Privileges

To carry out this business scenario, you need the following privileges:

- Role list query
- Grant/revoke role

Reference

Further information on screens involved can be found in the screen reference part:

- Grant/revoke roles – search screen
- Grant/revoke role – new/edit screen

Instructions

1. Go to the grant/revoke roles – search screen:
Common >> Access Rights Management >> Grant/Revoke Roles
2. Enter the login name of the relevant party in the 'User' subframe.

Common > Access Rights Management > Grant/Revoke Roles

GRANT/REVOKE ROLES

Role name: Choose Role...

Parent BIC: Choose BIC... Party BIC: Choose BIC...

Login name: Choose...

Search Display

3. **Click on the search button.**
 - ⇒ **The grant/revoke role – new/edit screen is displayed.**
4. **Check if the user has been already granted to the relevant roles in the left column.**
 - ⇒ **If the user has been already granted to the role, you can finish the business scenario with this step.**
 - ⇒ **If the user has not been granted to the role, proceed with the next step.**
5. **Select the role(s) you want to grant to the user in the 'Roles' frame.**
6. **Click on the arrow icon.**

Common > Access Rights Management > Grant/Revoke Roles > Search > Grant/Revoke

GRANT/REVOKE ROLES

User N1AR NCB User1

Roles	Current Granted Roles
<input type="checkbox"/> NCB-AT(B) Role 1	<input type="checkbox"/> Advanced Access rights admin
<input type="checkbox"/> NCB-TestRole-ARGE	<input type="checkbox"/> NCB-TestRole-ARG
<input type="checkbox"/> NCB2-Test Role-ARG	<input type="checkbox"/> Party Administrator
<input type="checkbox"/> NCB3-Test Role-ARG	
<input type="checkbox"/> NUBGROLE	
<input type="checkbox"/> National Service Desk (NCB)	
<input type="checkbox"/> NoCo-ARM-Role	
<input type="checkbox"/> OT-CROM-2E	
<input type="checkbox"/> OT-CROM-4E	
<input type="checkbox"/> OT-ESMO-Access-Role	
<input type="checkbox"/> OT-TIPS-2E	
<input type="checkbox"/> OT-TIPS-4E	
<input type="checkbox"/> PB Advanced Access Rights Admin	
<input type="checkbox"/> PR CRM Manager	

➔ ~~The role has been granted to the user.~~



Repetition

~~To grant more roles to the user, repeat step 4 and 5 or select all the roles you want to grant before clicking the arrow icon. {Split book approach}~~

~~3.2.2.2 Configuration of a Privilege (Two-Step Approach)~~

Overview

~~This business package describes the configuration of a privilege. A privilege identifies the capability of triggering one or several T2S user functions and it is the basic element to assign access rights to users. Each privilege, just after its creation, is only available to the party administrators of the T2S operator. The privilege becomes available to an administrator of a party different from the T2S operator only after this privilege has been granted to this party. From this moment on, the party administrator can grant this privilege. This is the so called two-step approach.~~

~~A privilege can be granted to a party, a role or to another user, respecting the order imposed by the two-step approach:~~

~~1° step:~~

~~The user of the superior hierarchical party (T2S operator/CSD or CB users) grants all the relevant privileges to the inferior hierarchical party (CSD or CB/CSD participant or payment bank);~~

~~The user of the superior hierarchical party (T2S operator/CSD or CB users) grants the following privileges to the party administrator users of the inferior hierarchical party (CSD or CB/CSD participant or payment bank):~~

- ~~Administer party~~
- ~~Grant privilege~~
- ~~Grant/revoke role~~
- ~~Granted system privileges list query~~
- ~~Granted object privileges list query~~
- ~~Granted roles list query~~

~~2° step:~~

~~From now on the party administrator user can manage the access rights for all the other users belonging to that party and to its participants.~~

~~To configure a privilege, carry out the following business scenarios in the predefined order.~~

Business Scenarios	<ol style="list-style-type: none">1. Grant a privilege to a party2. Grant a privilege to a user3. View privilege details {Split book approach}
Context of Usage	<p>3.2.2.2.1 Grant a Privilege to a Party</p> <p>This business scenario describes how to grant a system or an object privilege to a party.</p> <p>You can grant a privilege to a party on a single object and on a group of objects (secured group).</p> <p>CSDs and central bank users can grant a privilege to a party at intra system entity level and at cross system entity level. This last action can be performed only if you are a party administrator of a central bank or CSD. CSD Participant, External CSD and Payment Bank party administrators can grant privileges at cross party level to other parties within their system entity.</p> <p>Before you start, in intra system entity case make sure that you have already been granted with the same privilege. In cross system/cross party entity case you have to perform this check at your party level.</p>
Privileges	<p>To carry out this business scenario, you need the following privileges:</p> <ul style="list-style-type: none"><input type="checkbox"/> Grant privilege<input type="checkbox"/> Granted system privileges list query<input type="checkbox"/> Granted object privileges list query
Reference	<p>Further information on screens involved can be found in the screen reference parts:</p> <ul style="list-style-type: none"><input type="checkbox"/> Grant/revoke privileges – search screen<input type="checkbox"/> Grant/revoke system privilege – new/edit screen<input type="checkbox"/> Grant/revoke object privilege – new/edit screen
Instructions	<ol style="list-style-type: none">1. Go to the grant/revoke privileges – search screen:- Static Data >> Access Rights >> Grant/Revoke Privileges2. Select ‘Party’ in the ‘Search Criteria – Privileges’ frame and: a) In an intra-system entity case: select the BIC of the party you would like to grant the privilege to from the drop-down menu. b) In a cross system entity/cross party case: enter the parent BIC and BIC of the party you would like to grant the privilege to.3. Click on the search button.<ul style="list-style-type: none">⇒ The grant/revoke system privilege – new/edit screen is displayed.



Notice

~~You can grant a system privilege to a party only at intra system level. In this case check if the party has already been granted with the relevant system privilege.~~

~~If the system privilege has already been granted to the party, proceed with step 8.~~

~~If the system privilege has not yet been granted to the party, proceed with the next step.~~

- ~~4. Search the relevant category in the 'Privileges' frame. Open it by clicking the expand group icon and select the relevant privilege.~~
- ~~5. Click on the grant icon.~~
 - ~~⇒ The grant/revoke system privilege – new/edit screen is displayed.~~
- ~~6. Select one or more of the available options in the prompt.~~



Notice

Deny

~~The option specifies whether the associated user function is allowed or explicitly denied: if it is set to 'true', the relevant function cannot be used.~~

4-Eyes

~~If set to 'true', a confirmation is needed in order to trigger the specific privilege.~~

~~The option is only relevant when 'Deny' is set to 'false'.~~

~~It is not relevant for privileges related to queries.~~

Administration

~~If set to 'true', it enables administrative rights on such privilege. More precisely if the grantee of the privilege is a user or a role, it allows to grant the same privilege to another user or role of the same party. If the grantee is a party, this option specifies whether the party administrators of the grantee party are allowed to grant the same privilege also to other parties.~~



Alternatives

~~To cancel the process and return to the previous screen, click on the cancel button.~~

- ~~7. Click on the ok button.~~
 - ~~⇒ The grant/revoke system privilege – new/edit screen is displayed.~~



Repetition

~~To grant more system privileges to the role, repeat steps 4 to 7 or select all the privileges you want to grant before clicking the grant icon.~~

- ~~8. If you want to grant an object privilege to a party, a) In a cross system entity/cross party case: check if the grantee party has already been granted with the privilege as a system privilege using the search fields 'Privilege Category' and 'Privilege Name' in the 'Party' frame and clicking on the check button. The grant/revoke cross-system entity object privilege – new/edit screen is displayed.~~
- ~~9. b) In an intra-system entity case: click on the arrow icon in the 'Object' column.
⇒ The grant/revoke object privilege – new/edit screen is displayed.~~
- ~~10. Select the single static data object or the secured group the privilege has to be granted to and enter all mandatory information in the 'Object Privileges' frame.~~
- ~~11. Click on the add and submit button.~~



Repetition

~~To add more object privileges, repeat steps 9 and 10.~~

- ~~➔ The object privilege has been granted to the party. {Split book approach}~~

~~3.2.2.2.2 Grant a Privilege to a User~~

Context of Usage

~~This business scenario describes how to grant a system or an object privilege to a user.~~

~~You can grant a privilege to a user at object level and at system level.~~

~~You can grant an object privilege to a user on a single object or on a group of objects (secured group).~~

~~Before you start, make sure that you have already been granted the same privilege and that the user belongs to your system entity.~~

~~It is possible for CSD and CB Party administrator to assign the following privileges to the party administrator of a party belonging to its default data scope:~~

- ~~Administer party~~
- ~~Grant privilege~~
- ~~Grant/revoke role~~

- ~~Granted system privileges list query~~
- ~~Granted object privileges list query~~
- ~~Granted roles list query~~

Privileges ~~To carry out this business scenario, you need the following privileges:~~

- ~~Grant privilege~~
- ~~Granted system privileges list query~~
- ~~Granted object privileges list query~~

References ~~Further information on screens involved can be found in the screen reference part:~~

- ~~Grant/revoke privileges – search screen~~
- ~~Grant/revoke system privilege – new/edit screen~~
- ~~Grant/revoke object privilege – new/edit screen~~

Instructions

- ~~1. Go to the grant/revoke privileges – search screen:-
Static Data >> Access Rights >> Grant/Revoke Privileges~~
- ~~2. Select ‘User’ and select the login name of the user (CB or CSD) you would like to grant the privilege to.~~
- ~~3. Click on the search button.~~
 - ~~⇒ The grant/revoke system privilege – new/edit screen is displayed.~~



Notice

~~To grant a system privilege to a user check if the user has already been granted with the relevant system privilege.~~

~~If the system privilege has already been granted to the user, proceed with step 8.~~

~~If the system privilege has not yet been granted to the user, proceed with the next step.~~

- ~~4. Search the relevant category in the ‘Privileges’ frame. Open it by clicking the expand group icon and select the relevant privilege.~~
- ~~5. Click on the grant icon.~~
 - ~~⇒ The grant/revoke system privilege – new/edit screen is displayed.~~
- ~~6. Select one or more of the available options in the prompt.~~



Notice

Deny

~~The option specifies whether the associated user function is allowed or explicitly denied: if it is set to 'true', the relevant function cannot be used.~~

4-Eyes

~~If set to 'true', a confirmation is needed in order to trigger the specific privilege.~~

~~The option is only relevant when 'Deny' is set to 'false'.~~

~~It is not relevant for privileges related to queries.~~

Administration

~~If set to 'true', it enables administrative rights on such privilege. More precisely if the grantee of the privilege is a user or a role, it allows to grant the same privilege to another user or role of the same party. If the grantee is a party, this option specifies whether the party administrators of the grantee party are allowed to grant the same privilege also to other parties.~~



Alternative

~~To cancel the process and return to the previous screen, click on the cancel button.~~

~~7. Click on the ok button.~~

~~⇒ The grant/revoke system privilege – new/edit screen is displayed.~~



Repetition

~~To grant more system privileges to the user, repeat steps 4 to 7 or select all the privileges you want to grant before clicking the grant icon.~~

~~8. To grant an object privilege to a user, click on the arrow icon in the 'Object' column.~~

~~9. b) In an intra-system entity case: click on the arrow icon in the 'Object' column.~~

~~⇒ The grant/revoke object privilege – new/edit screen is displayed.~~

~~10. Select the single static data object or the secured group the privilege has to be granted to and enter all mandatory information in the 'Object Privileges' frame.~~

~~11. Click on the add and submit button.~~



Repetition

To add more object privileges, repeat steps 9 and 10.

- ➔ The object privilege has been granted to the party. {Split book approach}

~~3.2.2.2.3 Configuration of a User~~

Overview

~~This business package describes the configuration of a user. A user is a reference data object that allows an individual or an application to interact with the shared services. The functions that can be triggered depend on the privileges granted to the user. It is possible to grant roles to the new user after its creation. To configure a user, carry out the following business scenarios in the predefined order.~~

Business Scenario

- ~~1. Create a new user~~
- ~~2. Create a new user certificate distinguished name link~~
- ~~3. Assign a role to a user {Split book approach}~~

~~3.2.2.2.3.1 Create a New User~~

Context of Usage

~~This business scenario describes how to create a new user. A user is a reference data object that allows an individual or an application to interact with one or many Eurosystem Market Infrastructure services. The functions that can be triggered within each service depend on the privileges granted to the user.~~

~~The user is mainly defined by the 'Login Name' and 'System User Reference' that must be unique in the system. All the users are linked to a specific party and can be created by another authorised user. There is no usage restriction for this business scenario.~~

Privileges

~~To carry out this business scenario, you need the following privileges:~~

- ~~System-user query~~
- ~~Create User~~

Reference

~~Further information on screens involved can be found in the screen reference part:~~

- ~~Users – search/list screen~~
- ~~User – new/edit screen~~

Instructions

- ~~1. Go to the users – new/edit screen:
Common >> Access Rights Management >> Users >> New
⇒ The user – new screen is displayed.~~
- ~~2. Enter all mandatory information in the 'User' frame.~~



Alternatives

To set all fields to default value and blank out all optional fields, click on the reset button.

To cancel the process and return to the previous screen, click on the cancel button.

3. Click on the submit button.

⇒ The new user has been created. (Split bool approach)

3.2.2.2.3.2 Create a New User Certificate Distinguished Name

Context of Usage

This business scenario describes how to create a new user certificate distinguished name.

Privileges

To carry out this business scenario, you need the following privileges:

- Certificate query
- Create certificate distinguish name

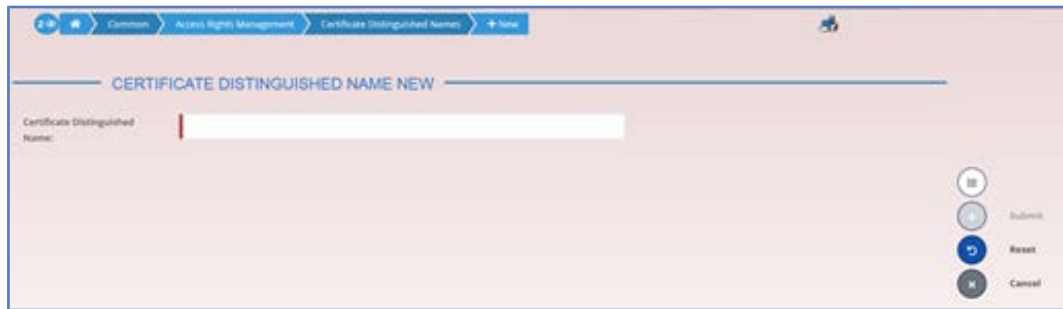
Reference

Further information on screens involved can be found in the screen reference part:

- Certificate distinguished names – search/list screen
- Certificate distinguished name – new screen

Instructions

1. Go to the certificate distinguished name – new/edit screen:
Common >> Access Rights Management >> Certificate Distinguished Name >> New
⇒ The certificate distinguished name – new screen is displayed.
2. Enter all mandatory information in the ‘Certificate Distinguished Name’ field.



Alternatives

~~To set default criteria and blank out all optional fields, click on the reset button.~~

~~To cancel the process and return to the previous screen, click on the cancel button.~~

3. ~~Click on the submit button.~~

⇒ ~~The new certificate distinguished name has been created.~~{Split book approach}

~~3.2.2.2.3.3 Create a New User Certificate Distinguished Name Link~~

Context of Usage

~~This business scenario describes how to create a new user certificate distinguished name link.~~

~~You need to create a link between a certificate distinguished name and a user login name in order to allow your users or applications to access to the system via the interface.~~

~~Before you start please check if a certificate distinguished name has been available and if a link between the selected user and the certificate distinguished name has been already created.~~

~~You can link more than one certificate to a single login name and one certificate to more than one user.~~

Privileges

~~To carry out this business scenario, you need the following privileges:~~

- ~~Create user certificate distinguish name link~~
- ~~Query user certificate distinguish name link~~
- ~~System user link query~~

Reference

~~Further information on screens involved can be found in the screen reference part:~~

- ~~User certificate distinguished name links – search/list screen~~

- Instructions**
- ~~User certificate distinguished name link – new screen~~
 - 1. ~~Go to the user certificate distinguished name links – new/edit screen: Common >> Access Rights Management >> User Certificate Distinguished Name Links >> New~~
 - ⇒ ~~The user certificate distinguished name link – new screen is displayed.~~
 - 2. ~~Enter all mandatory information in the ‘User Certificate Distinguished Name Links’ frame.~~



Alternatives

~~To set default criteria and blank out all optional fields, click on the reset button.~~

~~To cancel the process and return to the previous screen, click on the cancel button.~~

- 3. ~~Click on the submit button.~~
 - ⇒ ~~The new certificate distinguished name link has been created. {Split book approach}~~

~~3.2.2.2.3.4 Assign a Role to a User~~

Context of Usage ~~This business scenario describes how to assign a role to a user. A role is a homogenous group of privileges. To grant a role to a user, you must own that role. You can only grant a role to a user that belongs to your system entity.~~

~~You can link more than one certificate to a single login name and one certificate to more than one user.~~

Privileges ~~To carry out this business scenario, you need the following privileges:~~

- ~~Role list query~~
- ~~Grant/revoke Role~~

Reference ~~Further information on screens involved can be found in the screen reference part:~~

Grant/revoke roles – search screen

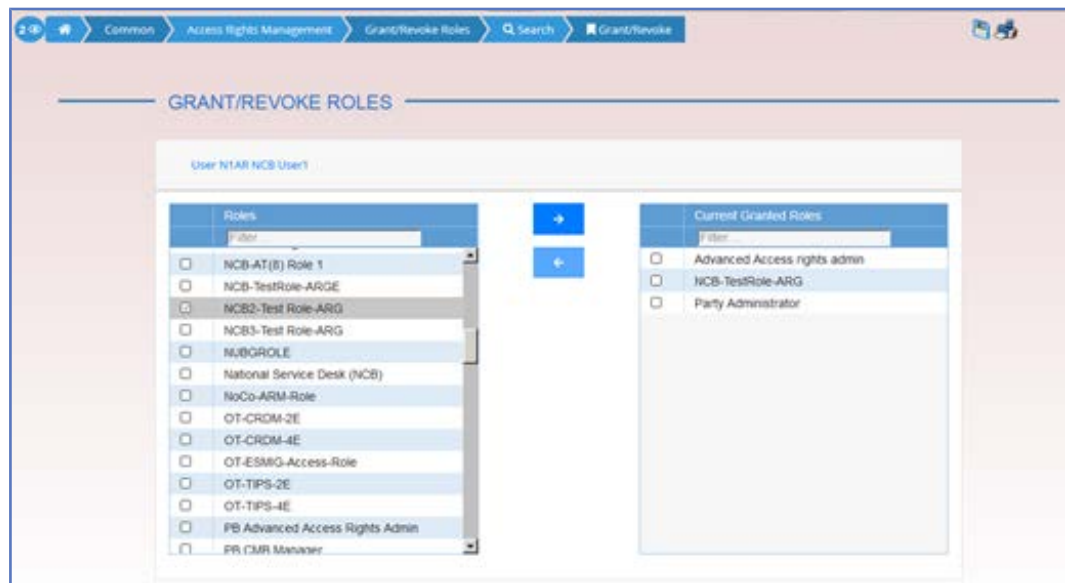
Grant/revoke role – new/edit screen

Instructions

1. **Go to the grant/revoke roles – search screen:**
Common >> Access Rights Management >> Grant/Revoke Roles
⇒ **The user certificate distinguished name link – new screen is displayed.**
2. **Enter the login name of the relevant party in the ‘User’ subframe.**

The screenshot shows the 'GRANT/REVOKE ROLES' search screen. The breadcrumb trail is 'Common > Access Rights Management > Grant/Revoke Roles > Search'. The main content area is titled 'GRANT/REVOKE ROLES'. It contains three input fields: 'Role name' with a dropdown menu showing 'Choose Role...', 'Parent BIC' with a dropdown menu showing 'Choose BIC', and 'Party BIC' with a dropdown menu showing 'Choose BIC'. Below these is a 'Login name' field with a dropdown menu showing 'Choose...'. At the bottom left, there are two buttons: 'Search' and 'Display'.

3. **Click on the search button.**
⇒ **The grant/revoke role – new/edit screen is displayed.**
4. **Check if the user has been already granted to the relevant roles in the left column.**
⇒ **If the user has been already granted to the role, you can finish the business scenario with this step.**
⇒ **If the user has not been granted to the role, proceed with the next step.**
5. **Select the role(s) you want to grant to the user in the ‘Roles’ frame.**
6. **Click on the arrow icon.**



➔ The role has been granted to the user.



Repetition

To grant more roles to the user, repeat step 4 and 5 or select all the roles you want to grant before clicking the arrow icon. **{Split book approach}**

~~3.2.2.2.4 Usage of the 4-Eyes Mode~~

Overview

~~This business package describes the usage of the 4-eyes mode. The use of the 2-eyes or the 4-eyes mode depends on your access rights configuration.~~

Business Scenario

~~To use the CRDM in 4-eyes mode, carry out the following business scenarios in the predefined order:~~

- ~~1. Initiate an action in 4-eyes mode~~
- ~~2. Approve an action in 4-eyes mode **{Split book approach}**~~

~~3.2.2.2.4.1 Initiate an Action in 4-Eyes Mode~~

Context of Usage

~~This Business scenario describes how to initiate an action via an enter (new/edit) screen, which has to be approved by a second user. Whether your actions in CRDM (new/edit/delete) have to be approved by a second user depends on your access right configuration. Initiating an action in 4-eyes mode is mandatory for the usage of the 4-eyes mode.~~

Privileges

~~To carry out this business scenario, you need the privileges necessary for the action you want to initiate.~~

Reference	Further information on screens involved can be found in the screen reference part (see business scenario or screen description of the underlying action).
Instructions	<ol style="list-style-type: none">1. Go to the relevant edit or new screen.2. Enter all mandatory information in the enter screen on which you want to initiate an action or delete a request by clicking on the delete button.3. Click on the submit button:<ul style="list-style-type: none">⇒ A success message is displayed on the screen indicating that the request was sent in 4-eyes mode and showing the 4-eyes principle ID assigned by CRDM.⇒ You have initiated an action carrying the 'Awaiting approval' status. {Split book approach}
Context of Usage	<h3>3.2.2.2.4.2 Approve an Action in 4 Eyes Mode</h3><p>This Business scenario describes how to approve an action, which has been initiated by a different user in 4-eyes mode. The use of 2-eyes or 4-eyes mode depends on your access rights configuration.</p><p>Approving an action is mandatory for the usage of the 4-eyes mode.</p><p>Before you start, the action has to be initiated by a different user.</p>
Privileges	To carry out this business scenario, you need the following privileges:<ul style="list-style-type: none">□ Data changes of a business object list query.□ Data changes of a business object details query.
Reference	Further information on screens involved can be found in the screen reference part:<ul style="list-style-type: none">□ Data changes – search/list screen□ Data Change – details screen
Instructions	<ol style="list-style-type: none">1. Go to the Data changes – search/list screen.2. Enter the search criteria known to you (e.g. user reference of the change) about the action waiting for your approval. Ask the first user for the 4-eyes principle ID submitted in the success message to identify the action easily.3. In the status field, select the status 'Awaiting approval'.4. Click on the search button:<ul style="list-style-type: none">⇒ The search results are displayed in a list on the same screen.⇒ If the search retrieves only one data change waiting for your approval, the data change – details screen is displayed directly. Proceed with step 7.

5. ~~Select the action you would like to approve by clicking on an entry in the list.~~
6. ~~Click on the details button:~~
 - ⇒ ~~The data change – details screen is displayed.~~
7. ~~Check the data. If everything is correct, click on the approve button.~~
 - ➔ ~~The action has been approved and is submitted for execution. The data changes – search/list screen is displayed with the updated result of the previously selected criteria. {Split book approach}~~

~~3.2.2.3 Rule set~~

~~Overview~~

~~This business package describes how to configure a new message subscription rule set.~~

~~You can only create a new message subscription rule set, if you have the relevant privilege. You can configure the specific set of messages (or copies of messages) you want to receive for yourself and for your directly connected parties based on a set of rules.~~

~~Each Actor can have many active rule sets at any given point in time. Each rule set can include many rules. Each rule can include many groups of parameters. The maximum overall number of groups of parameters for the active rule sets is 1,500. Each rule can include many parameters. Within each rule, each parameter can be linked to many distinct values. The maximum overall number of distinct values for the active rule sets is 50.~~

~~Business Scenario~~

~~To configure a new message subscription rule set, carry out the following business scenario:~~

- ~~Create a new message subscription rule set {Split book approach}~~

~~3.2.2.3.1 Configuration of a New Message Subscription Rule Set~~

~~Context of Usage~~

~~This Business scenario describes how to create new message subscription rule sets, which are used by the CRDM application for each outgoing message. These rules determine to which interested party or parties the given message has to be sent or copied.~~

~~Creating a new message subscription rule set is mandatory for the creation of a new message subscription rule set.~~

~~The creation of a message subscription rule set can be performed by all parties owning the relevant privilege.~~

~~Privileges~~

~~To carry out this business scenario, you need the following privileges:~~

- ~~Create message subscription rule set~~
- ~~Message subscription rule set list query~~
- ~~Message subscription rule list query~~
- ~~Create message subscription rule~~

Reference Further information on screens involved can be found in the screen reference part:

- ~~Message subscription rule sets – search/list screen~~
- ~~Message subscription rule set – new/edit screen~~

Instructions

1. ~~Go to the message subscription rule sets – new/edit screen:
Common >> Messages and Reports >> Message Subscription Rule Set >> New~~
 - ⇒ ~~The message subscription rule set – new screen is displayed.~~
2. ~~Enter all mandatory information about the rule set you want to create in the ‘Message Subscription Rule Set’ and ‘Interested Parties’ frames.~~

The screenshot shows a web form titled "MESSAGE SUBSCRIPTION RULE SET NEW". The form has several input fields and dropdown menus. The fields are: Name (text), Service (dropdown menu), Valid From (date picker), Position/Negative (dropdown menu), Creator Parent BIC (text), Description (text), Valid To (date picker), and Creator Party BIC (text). To the right of the form are buttons for Submit, Reset, and Cancel. Below the main form is a section titled "Interested Parties" which contains a table with columns for "Parent BIC" and "Party BIC". There is a search button labeled "Q Party" and a message that says "At least one interested Party must be defined." The table currently displays "No Rows To Show".

3. ~~Click on the submit button.~~
 - ⇒ ~~The new message subscription rule set has been created without any rule attached.~~
4. ~~Go to the message subscription rule sets – search/list screen:
Common >> Messages and Reports >> Message Subscription Rule Set >> Search~~
5. ~~Enter all search criteria known to you about the message subscription rule set you have just created.~~

MESSAGE SUBSCRIPTION RULE SET LIST

Status: Active

Service: All

Name: [Text Field]

Valid From: from: yyyy-mm-dd

Valid From: to: yyyy-mm-dd

Valid To: from: yyyy-mm-dd

Valid To: to: yyyy-mm-dd

Positive/Negative Parameter Set: All

Interested Party: Parent BIC: Choose BIC

Interested Party: Party BIC: Choose BIC

Search Reset Add

6. **Click on the search button.**
 - ⇒ **A list containing the search results is displayed on the screen.**
7. **Select the message subscription rule set you just created by clicking on an entry in the list.**
8. **Click on the details button.**
 - ⇒ **The message subscription rule set – details screen is displayed.**
9. **Click on the Add Rule button.**

Enter all mandatory information about the rule you want to create in the 'Message Subscription Rules' frame.

Predicate

Choose... Choose...

Cancel Ok

10. **Click on the Ok icon.**
2. **Click on the submit button. At least one rule should be present in the rule set.**
 - ➔ **The new message subscription rule has been created. {Split book approach}**

3.2.2.4 Party Management

Overview

This business package describes all activities a CB can perform for the administration of its participants during their lifecycle. The administration of participants has the aim of making sure that all parties are registered in CRDM and that their reference data are always up to date.

~~After the initial creation of participants, a party administrator has to edit the previously entered reference data whenever a change takes place. The Central Bank party administrator can also decide to close a party, imposing a new closing date. If a party ceases to exist, the party administrator has to delete it in CRDM.~~

Business Scenario ~~To administer your participants, carry out the following business scenarios in the predefined order:~~

- ~~Create a new participant~~
- ~~Edit an existing participant~~
- ~~Delete an existing participant (Split book approach)~~

3.2.2.4.1 Administration of Participants

Context of Usage ~~This business scenario describes how to create a new participant. Each NCB is responsible for the creation of the party reference data for their payment banks or ancillary systems. Each CSD is responsible for the creation of the party reference data for their CSD participants and External CSD.~~

~~Creating a new participant is mandatory for the administration of participants. It is optional for the configuration for a central bank or CSDs.~~

~~Before you start, check whether the participant has not already been created by someone else. Also, make sure that the party BIC you want to use for the new participant has been created in the BIC directory.~~

Privileges ~~To carry out this business scenario, you need the following privileges:~~

- ~~Party list query~~
- ~~Create Party~~

Reference ~~Further information on screens involved can be found in the screen reference part:~~

- ~~Parties – search/list screen~~
- ~~Party – new/edit screen~~

Instructions ~~1. Go to the Party – new/edit screen:~~

~~Common >> Parties >> Parties >> New~~

~~⇒ The party – new screen is displayed.~~

- ~~2. Enter all mandatory information, also in the 'Codes', 'Name', 'Addresses' and 'Technical Addresses' frames.~~

The screenshot shows a web-based form titled "PARTY NEW". At the top, there are navigation tabs for "Common", "Party", and "Party". The form contains several input fields: "System Entity" (a dropdown menu), "Parent BIC" (a text field), "Party Type" (a dropdown menu), "Opening Date" (a date field with a calendar icon), and "Closing Date" (a date field with a calendar icon). Below these fields are several expandable sections, each with a blue header: "Code", "Name", "Address", "Technical Address", "Restriction", and "Party Contact". On the right side of the form, there are three buttons: "Submit" (a blue button with a white arrow), "Reset" (a blue button with a white circular arrow), and "Cancel" (a grey button with a white 'X').

3. **To add a technical address, enter all mandatory information in the 'Technical Addresses' and 'Restrictions' frames. At least one technical address has to be defined.**
 - ⇒ **A new technical address or restriction has been added to the list.**
4. **Click on the submit button.**
 - ➔ **The new participant has been created. {Split book approach}**

3.2.2.4.1.1 Initiate an Action in 4-Eyes Mode

Context of Usage

This business scenario describes how to edit the reference data of an existing participant. Each national central bank is responsible for maintaining the reference data for their payment banks or ancillary systems.

Editing an existing participant is optional for the administration of participants.

If you want to close a party, verify that all the reference data of all objects linked to that party have a closing date equal to or earlier than the party closing date or have been deleted.

Before you start, check whether the participant has not already been created by someone else. Also, make sure that the party BIC you want to use for the new participant has been created in the BIC directory. Finally you have to check if a mandatory market-specific attribute is required.

Privileges

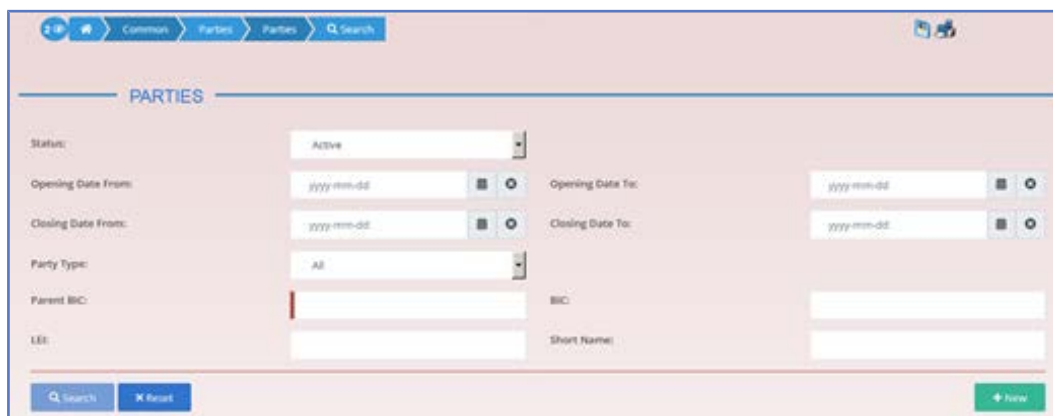
To carry out this business scenario, you need the following privileges:

- Party list query
- Party reference data query
- Update Party

Reference ~~Further information on screens involved can be found in the screen reference part:~~

- ~~Parties – search/list screen~~
- ~~Party – details screen~~
- ~~Party – new/edit screen~~

Instructions 1. ~~Go to the parties – search/list screen:~~
~~Common >> Parties >> Parties >> Search~~



2. ~~Enter all search criteria known to you about the party you want to edit.~~
3. ~~Click on the search button.~~
 - ⇒ ~~A list containing the search results is displayed on the screen.~~
 - ⇒ ~~If the search retrieves a single party, the party – details screen is displayed directly. Proceed with step 6.~~
4. ~~Select the relevant party from the search results by clicking on an entry in the list.~~
5. ~~Click on the details button.~~
 - ⇒ ~~The party – details screen is displayed.~~
6. ~~Click on the edit button.~~
 - ⇒ ~~The party – edit screen is displayed.~~
7. ~~To add or change current values in the 'Party' or other frames, enter the new values in the respective fields.~~

Common Parties Parties Search Details Edit

PARTY UPDATE

Parent BIC:

Party Type: National Central Bank AEE:

Opening Date: Closing Date:

Codes

BIC: Valid From:

Valid From	BIC	Status
2019-07-09	NCBXARR00X	Active

Total rows: 1

Names

Short Name: Long Name:

Valid From:

Valid From	Long Name	Short Name
2019-07-09	NCBXAR	NCBXAR

Total rows: 1

Addresses

Street: House Number:

Postal Code: City:

State or Province: Country Code:

Valid From:

Valid From	Street	House Number	Postal Code	City	Country Code
2019-07-09	Reconquista	266	C1003 ABF	Buenos Aires	AR

Total rows: 1

Technical Addresses

Technical Address:

Technical Address
notification-dn-tar

Total rows: 1

Name	Position	Valid From	Valid To	Office Tel. Num.	Mobile Num.	E-mail Address
Tester	CDM	2020-01-24	2020-01-31	26000	3280000000	nome.prova@bancaditalc

8. **To add future values in the 'Party' or other frames, click on the pencil icon in the lower right-hand corner of the frame.**
 - ⇒ **Empty fields are displayed.**
 - ⇒ **Changes in the current values are reset.**
9. **Enter all mandatory information in the newly displayed fields. The 'Valid From' date must be later than today.**
10. **To delete existing values in the 'Party' or other frames, clear the content and leave the respective fields blank or use the delete row icon.**
11. **To add new technical addresses, enter new data in the 'Technical Address' sub-frames.**

Technical Address
notification-df-far

12. **Click on the add row icon.**
 - ⇒ **A technical address or restriction has been added to the list.**
13. **To delete a technical address, select the relevant entry from the list.**

Technical Address
notification-df-far
DfV Test

14. **Click on the delete row icon.**
 - ⇒ **The data of the technical address has been deleted from the list.**



Repetition

Repeat steps 11 and 12 to add more technical addresses.

Repeat steps 13 to delete more technical addresses.

You can add or delete up to 10 technical addresses.



Alternatives

To set all fields to default value and blank out all optional fields, click on the reset button.

To cancel the process and return to the previous screen, click on the cancel button.

15. Click on the submit button.

➔ The edited party reference data are stored. {Split book approach}

3.2.2.4.1.2 Edit an Existing Participant

Context of Usage

Each central bank is responsible for maintaining the reference data for their payment banks or ancillary systems. Each CSD is responsible for maintaining the reference data for their CSD participants and External CSDs. If a party ceases to exist, the party administrator has to delete it in CRDM.

Deleting an existing participant is an optional step for the administration of participants.

Before you delete a participant, check the opening and closing date of the participant. A participant can only be deleted if the closing date has passed or if the opening date has not yet been reached (future opening date). If the closing date is equal to the current date, you have to wait for the new business day to delete the participant. In addition, make sure that all the linked instances in a higher position within the deletion hierarchy (i.e. Cash account) have been deleted.

Privileges

To carry out this business scenario, you need the following privileges:

- Party list query
- Party reference data query
- Delete party

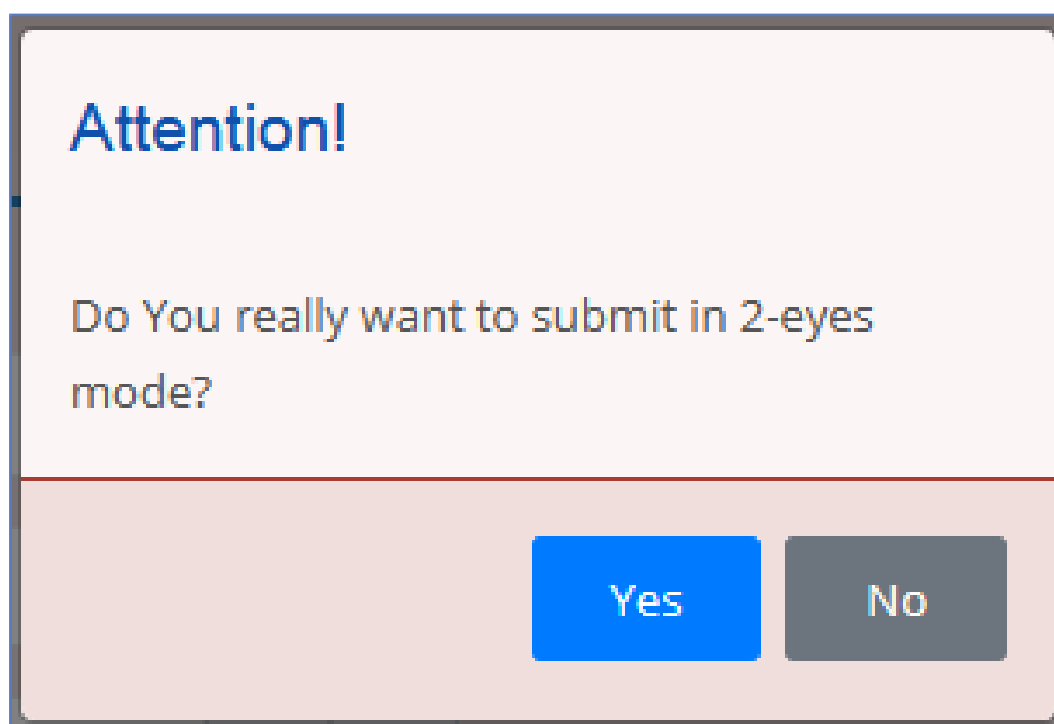
Reference

Further information on the involved screens can be found in the screen reference part:

- Parties – search/list screen
- Party – details screen

Instructions

1. ~~Go to the parties – search/list screen:-
Common >> Parties >> Parties >> Search~~
2. ~~Enter all search criteria known to you about the party you want to delete.~~
3. ~~Click on the search button.~~
 - ⇒ ~~A list containing the search results is displayed on the screen.~~
 - ⇒ ~~If the search retrieves a single party, the party – details screen is displayed directly. Proceed with step 6.~~
4. ~~Select the relevant party from the search results by clicking on an entry in the list.~~
5. ~~Click on the details button.~~
 - ⇒ ~~The party – details screen is displayed.~~
6. ~~Click on the delete button if the opening date is not reached and/or the closing date is later than the current date.~~
 - ⇒ ~~A confirmation prompt is displayed.~~



7. ~~Click on yes if you really want to delete the party reference data.~~
 - ➔ ~~The party reference data are deleted. {Split book approach}~~

3.2.2.5 Reports

3.2.2.5.1 Report Management

3.2.2.5.1.1 Create a New Report Configuration

Context of Usage

This business scenario describes how to create a new report to receive specific information about dynamic or reference data through a predefined report. Each report is periodically created.

You can check the created report via the CRDM graphical user interface.

You can configure a report only in U2A mode.

Privileges

To carry out this business scenario, you need the following privileges:

- Report configuration list query
- Create report configuration

Reference

Further information on screens involved can be found in the screen reference part:

- Report configuration – search/list screen
- Report configuration – new/edit screen

Instructions

1. Go to the report configuration – new/edit screen:
Common >> Messages and Reports >> Report Configurations >> New
⇒ The report configuration – new screen is displayed.
2. Enter all mandatory information about the report configuration you want to create.

REPORT CONFIGURATION NEW

System Entity Code: [dropdown]

Owner Parent BIC: [Choose BIC] Owner Party BIC: [Choose BIC]

Cash Account Number: [Choose...]

Configuration Name: [text input]

Service: [Choose...]

Report Name: [Choose...]

Frequency: [Choose...]

Delta Mode:

System Entity Wide Reporting Flag:

3. Enter all mandatory information about the addressee of the report in the 'Party Links' frame.

The screenshot shows a web form titled "Party Links". It contains several input fields: "Opting Parent BIC" (dropdown), "Scheduled Time" (calendar), "Event Type" (dropdown), "Valid From" (calendar), "Opting Party BIC" (dropdown), "Push Mode" (checkbox), "Currency" (dropdown), and "Valid To" (calendar). Below the form is a table with columns for each field. The table is currently empty, showing "No Rows To Show" and "Total rows: 0".

4. **Click on the add row icon.**
 - ⇒ **The report configuration party link has been added.**
5. **5. Click on the submit button.**
 - ➔ **A new report configuration has been created. {Split book approach}**

3.2.2.6 Revisions

3.2.2.6.1 Monitoring of Revisions

Overview

This business package describes the monitoring of revisions. It enables you to view the historical status and historical data of a dataset in order to monitor revisions.

Business Scenario

To monitor revisions, carry out the following business scenario:

- View revisions {Split book approach}**

3.2.2.6.1.1 View Revisions

Context of Usage

This business scenario describes how to view the historical status of a dataset and the history of attribute values, the identification of the user who created/edited/deleted the dataset as well as the timestamp when this action happened, since every creation/modification/deletion of a dataset is stored within CRDM.

In case of the 4-eyes mode any action of the first and confirming user including the timestamp is displayed. Viewing revisions is mandatory for the monitoring of revisions.

Privileges

To carry out this business scenario, you need (depending on the underlying object) the following privileges:

- ~~Party audit trail query~~
- ~~Cash Account audit trail query~~
- ~~Residual Static Data audit trail query~~

Reference ~~Further information on screens involved can be found in the screen reference part:~~

- ~~Revisions/audit trail – list screen~~
- ~~Revisions/audit trail – details screen~~

Instructions

- ~~1. Go to the relevant search/list or details screen.~~
 - ~~2. Click on the revisions button.~~
 - ~~3. Select the item you want to view by clicking on an entry in the list.~~
 - ~~4. Click on the details button.~~
- ➔ ~~The revisions/audit trail – details screen is displayed.~~ {Split book approach}

~~3.2.2.7 Account Management~~

~~3.2.2.7.1 Administration of Cash Accounts~~

~~3.2.2.7.1.1 Create a New Cash Account~~

Context of Usage

~~This business scenario describes how to create a new cash account. Each central bank is responsible for opening cash accounts for itself and its Payment Banks or Ancillary Systems, in order to settle Instant Payment transactions.~~

~~You have to link each of your cash accounts to a specific settlement currency. This business scenario is mandatory for the administration of cash accounts, for the configuration for a central bank.~~

Privileges

~~To carry out this business scenario, you need the following privileges:~~

- ~~Create cash account~~
- ~~Cash account list query~~

Reference

~~Further information on screens involved can be found in the screen reference part:~~

- ~~Cash Account – search/list screen~~
- ~~Cash Account – new/edit screen~~

Instructions

- ~~1. Go to the cash accounts – search/list screen:
Common >> Cash >> Cash Accounts >> New~~
- ⇒ ~~The cash account – new screen is displayed.~~

2. Enter all mandatory information in the ‘Cash Account’ frame.

3. Enter all mandatory information in the available fields of the “Restrictions” frame.

4. Click on the submit button.

➔ **The new cash account has been created. {Split book approach}**

3.2.2.7.1.2 Edit an Existing Cash Account

Context of Usage

This business scenario describes how to edit an existing cash account. Each NCB is responsible to maintain the reference data for the cash account of their participants, which means Payment Banks and Ancillary Systems, since this business scenario is not relevant for them.

Privileges

To carry out this business scenario, you need the following privileges:

- Cash account list query
- Update cash account
- Delete cash account

Reference

Further information on screens involved can be found in the screen reference part:

- Cash Account – search/list screen
- Cash Account – new/edit screen
- Cash Account – details screen

Instructions

1. Go to the cash accounts – search/list screen:
Common >> Cash >> Cash Accounts >> Search
2. Enter the search criteria known to you about the cash account you want to edit.
3. Click on the search button.
 - ⇒ A list containing the search results is displayed on the screen.

- ⇒ **If the search retrieves a single cash account, the cash account – details screen is displayed directly. Proceed with step 5.**
- Select the relevant cash account by clicking on an entry in the list.**
 - Click on the edit button.**
- ⇒ **The cash account – edit screen is displayed.**

CASH ACCOUNT UPDATE

Cash Account Number: RTGS DCA 3 Account Type: RTGS Dedicated Cash Account

Opening Date: 2019-10-14 Closing Date: 2099-12-01

Parent BIC: NCDXAR33XXX BIC: PBBKAR33XXX

Short Name: PBBKAR1 Party Type: Payment Bank

Floor notification Amount: Ceiling notification Amount:

Currency: Euro

Linked Account Type: Cash Account Linked Account Reference: MCAtestforNSAR

Account Threshold Configuration

Account Billing Configuration

Reserve Management Account Configuration

Additional Account Configuration

Related Data

- To add or edit current values of the cash account reference data, enter all mandatory information in the “cash account” frame.**
- To add a field in a subtable click on the related icon “**
- Enter all mandatory information in the available fields in the frame.**
- Click on the add row button.**
- To edit a field in a subtable, select the relevant entry from the list by clicking on it.**
- Click on the copy row icon**
- Update the data.**
- Click on the add row button.**
- ⇒ **The data has been changed in the list.**
- To delete an entry in a subtable, select the relevant entry from the list.**
- Click on the delete row button.**
- ⇒ **The row has been deleted from the list.**

16. Click on the submit button.

➔ ~~The edited cash account reference data is stored. {Split book approach}~~

~~3.2.2.7.1.3 Delete an Existing Cash Account~~

Context of Usage

~~This business scenario describes how to delete an existing cash account. Each NCB is responsible to delete the cash account of their participants, which means Payment Banks and Ancillary Systems. Deleting an existing cash account is an optional step in the administration of a cash account.~~

~~The request to delete a cash account must refer to an existing and active cash account. Before you delete the cash account, check the opening and closing day: a cash account can be deleted if the closing date is earlier than or equal to the current business date or if the opening date is earlier than the current business date. In case of the deletion of a future RTGS dedicated transit account, check that no active cash account with the same currency exists. This business scenario is not relevant for Payment Bank and Ancillary System users.~~

Privileges

~~To carry out this business scenario, you need the following privileges:~~

- ~~Cash account list query~~
- ~~Cash account reference data query~~
- ~~Delete cash account~~

Reference

~~Further information on screens involved can be found in the screen reference part:~~

- ~~Cash Account – search/list screen~~
- ~~Cash Account – details screen~~

Instructions

- ~~1. Go to the cash accounts – search/list screen:
Common >> Cash >> Cash Accounts >> Search~~
- ~~2. Enter the search criteria known to you about the cash account you want to delete.~~
- ~~3. Click on the search button.~~
 - ~~⇒ A list containing the search results is displayed on the screen.~~
 - ~~⇒ If the search retrieves a single cash account, the cash account – details screen is displayed directly. Proceed with step 6.~~
- ~~4. Select the relevant cash account by clicking on an entry in the list.~~
- ~~5. Click on the details button.~~
 - ~~⇒ The cash account – details screen is displayed.~~
- ~~6. Click on the delete button if the opening date is not reached and/or the closing date is equal or greater than the current date.~~

➔ ~~The cash account has been deleted. {Split book approach}~~

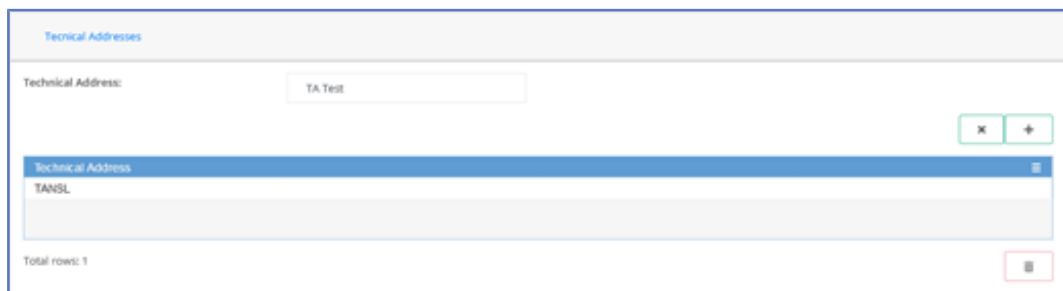
~~3.2.2.8 Technical Addresses~~

~~3.2.2.8.1 Configuration of a Technical Address~~

Overview	This business package describes the configuration of a technical address. The technical address is used by the shared services to exchange data with the party. A complete configuration foresees the specification of a technical address connected to a network service via a technical address service link.
Business Scenario	To configure a technical address, carry out the following business scenarios in the predefined order: <ul style="list-style-type: none"><input type="checkbox"/> Add a new technical address to a party<input type="checkbox"/> Create a new technical address service link {Split book approach}
Context of Usage	3.2.2.8.1.1 Add a new Technical Address to a Party This business scenario describes how to add a new technical address to a party. Each Central Bank is responsible for defining all the required technical addresses for its parties as a first step to have a complete routing configuration. Adding a new technical address to a party is mandatory for the configuration of a technical address. Before you add a technical address to yourself or one of your participants, check whether the technical address exists in CRDM. You have to add at least one technical address for each of your participants. This business scenario is not relevant for Payment Bank and Ancillary System users.
Privileges	To carry out this business scenario, you need the following privileges: <ul style="list-style-type: none"><input type="checkbox"/> Party list query<input type="checkbox"/> Party reference data query<input type="checkbox"/> Technical address network service link details query<input type="checkbox"/> Update party
Reference	Further information on screens involved can be found in the screen reference part: <ul style="list-style-type: none"><input type="checkbox"/> Parties – search/list screen<input type="checkbox"/> Party – details screen<input type="checkbox"/> Party – new/edit screen

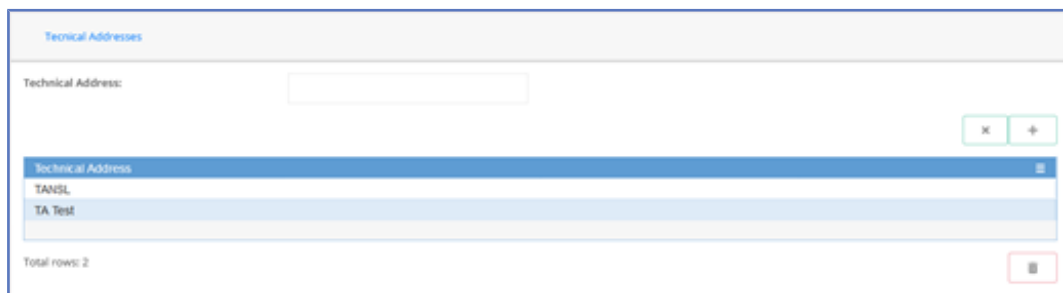
Instructions

1. **Go to the parties – search/list screen:
Common >> Parties >> Parties >> Search**
2. **Enter all search criteria known to you about the party to which you want to add the technical address.**
3. **Click on the search button.**
 - ⇒ **A list containing the search results is displayed on the screen.**
 - ⇒ **If the search retrieves only one party, the party – details screen is displayed directly. Proceed with step 5.**
4. **Select the relevant party by clicking on an entry in the list.**
5. **Click on the edit button.**
 - ⇒ **The party – edit screen is displayed.**
6. **To add a technical address, enter its name in the ‘Technical Addresses’ frame.**



The screenshot shows the 'Technical Addresses' form. At the top, there is a header 'Technical Addresses'. Below it, a 'Technical Address:' label is followed by a text input field containing 'TA Test'. To the right of the input field are two buttons: a close button (X) and an add button (+). Below the input field is a table with one row: 'Technical Address' | TANSL. Below the table, it says 'Total rows: 1'. At the bottom right of the table area is a delete button (trash icon).

7. **Click on the add row button.**
 - ⇒ **The technical address has been added to the list.**



The screenshot shows the 'Technical Addresses' form after adding a second entry. The 'Technical Address:' input field is now empty. The table now has two rows: 'Technical Address' | TANSL and 'Technical Address' | TA Test. Below the table, it says 'Total rows: 2'. The delete button (trash icon) is still present at the bottom right.

**Repetition**

To add more technical addresses, repeat steps 6 and 7.

You can add up to 10 technical addresses.



Alternatives

~~To set all fields to default value and blank out all optional fields, click on the reset button.~~

~~To cancel the process and return to the previous screen, click on the cancel button.~~

8. ~~Click on the submit button.~~

➔ ~~The new technical address has been created. {Split book approach}~~

~~3.2.2.8.2 Create a New Technical Address Service Link~~

Context of Usage

~~This business scenario describes how to create a new technical address in order to connect a party technical address to a specific network service. This connection allows the shared services to route outbound communication.~~

~~You can assign the same network service to more than one technical address of the same party and you can also assign more than one network service to the same technical address.~~

~~Before you start, check whether the network service and the technical address exist in CRDM.~~

~~This business scenario is not relevant for Payment Bank and Ancillary System users.~~

Privileges

~~To carry out this business scenario, you need the following privileges:~~

- ~~Party list query~~
- ~~Party reference data query~~
- ~~Update party~~
- ~~Create technical address network service link~~

Reference

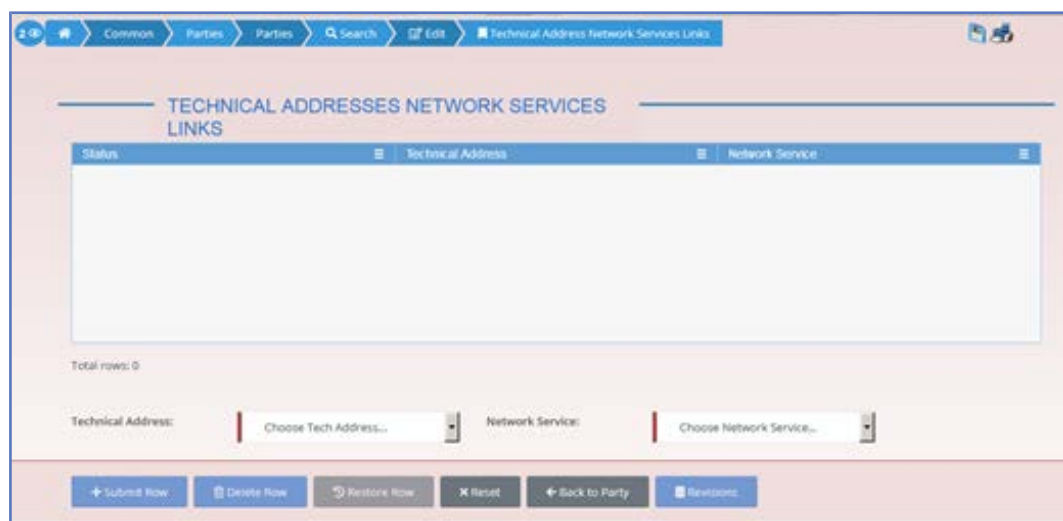
~~Further information on screens involved can be found in the screen reference part:~~

- ~~Parties – search/list screen~~
- ~~Party – details screen~~
- ~~Party – new/edit screen~~
- ~~Technical addresses network services link – new/edit screen~~

Instructions

- ~~1. Go to the parties – search/list screen:
Common >> Parties >> Parties >> Search~~
- ~~2. Enter all search criteria known to you about the party to which you want to create a technical address service link.~~
- ~~3. Click on the search button.~~

- ⇒ ~~A list containing the search results is displayed on the screen.~~
- ⇒ ~~If the search retrieves only one party, the party – details screen is displayed directly. Proceed with step 6.~~
- 4. ~~Select the relevant party by clicking on an entry in the list.~~
- 5. ~~Click on the edit button.~~
 - ⇒ ~~The party – edit screen is displayed.~~
- 6. ~~Click on the technical addresses network services links button.~~
 - ⇒ ~~The technical address network services links – edit screen is displayed.~~



- 7. ~~Enter all mandatory information in the 'Add Tech. Address Network Service Link' frame.~~
- 8. ~~Click on the submit row button.~~



Repetition

~~To add more technical addresses network services links, repeat steps 7 and 8.~~

~~You can add up to 10 technical addresses network services links.~~

~~A new technical address network service link has been created. {Split book approach}~~

3.3 Communication

3.3.1 Usage of Broadcasts

Overview

This business package describes the creation of a new broadcast and how to view existing broadcasts.

To handle broadcasts, carry out the business scenario relevant to you from the list below.

- Business Scenarios**
- Create a new broadcast [▶ 1280]
 - View a broadcast [▶ 1281]

3.3.1.1 Create a New Broadcast

Context of Usage This business scenario describes the creation of a new broadcast in T2S. A broadcast is an information message simultaneously available to a selected group of T2S participants. It can either be a general notification or an operational notification of a planned event in the T2S system. A broadcast can be sent to all parties linked to a system entity.

Privileges To carry out this business scenario, you need the following privileges:

- Broadcast query
- New broadcast

References Further information on screens involved can be found in the screen reference part:

- Broadcasts – search/list* screen [▶ 625]
- Broadcast – new* screen [▶ 630]

- Instructions**
1. Go to the *broadcasts – search/list* screen:
`Services >> Communication >> Broadcasts`
 2. Click on the *new* button.
 - ⇒ The *broadcast – new* screen is displayed.
 3. Enter all mandatory information on the screen.



Alternatives

To set all field to default value and blank out all optional fields, click on the *reset* button.

To cancel the process and return to the previous screen, click on the *cancel* button.

-
4. Click on the *submit* button.
 2. Enter your PIN for digital signature purposes (NRO).
 - ➔ A new broadcast has been created.

3.3.1.2 View a Broadcast

Context of Usage

This business scenario describes how to search for broadcasts and to view detailed information on a broadcast.



Hint

A user may only view broadcasts until their validity elapses. The respective expiration date is automatically set to the current business date of creation + three business days.

Privileges

To carry out this business scenario, you need the following privilege:

- Broadcast query

References

Further information on screens involved can be found in the screen reference part:

- Broadcasts – search/list* screen [▶ 625]
- Broadcast – details* screen [▶ 628]

Instructions

1. Go to the *broadcasts –search/list* screen:
`Services >> Communication >> Broadcasts`
2. Enter the relevant search criteria known to you (e.g. date, subject etc.).
3. Click on the *search* button.
 - ⇒ The search result is displayed in a list on the same screen.
 - ⇒ If the search retrieves only one record, the *broadcast – details* screen is displayed directly.



Alternative

To set all fields to default value and blank out all optional fields, click on the *reset* button.

4. Select the broadcast you would like to view in detail by clicking on an entry in the list.
5. Click on the *details* button.
 - ➔ The *broadcasts – details* screen is displayed for the selected record.

3.3.2 Monitoring of Communication

Overview

This business package describes the monitoring of incoming and outgoing files or messages.

It enables you to resend outgoing communication or to check the incoming and outgoing files or messages (e.g. hold/release instructions, settlement instructions, amendment cash instructions) to/from T2S.

To monitor your communication, carry out the business scenarios relevant to you from the list below:

Business Scenarios

- Resend communication [▶ 1282]
- View inbound communication [▶ 1282]
- View outbound communication [▶ 1284]

3.3.2.1 Resend Communication

Context of Usage

This business scenario describes how to resend communication in case you detect a gap. You can send already sent outbound messages by using a T2S message reference, a message sequence or a range of message sequences again. You may also resend outbound files by entering a sender file reference. This might be necessary if an outbound message or outbound file was lost.

Resending communication is one option for the monitoring of communication.

In order to resend messages or files the same privileges are required as for the initial message or file.

Privileges

To carry out this business scenario, you need the following privilege:

- Resend communication

Reference

Further information on screens involved can be found in the screen reference part:

- Resend communication* screen [▶ 666]

Instructions

1. Go to the *resend communication* screen:
`Services >> Communication >> Resend Communication`
2. Enter all mandatory information about the message or file you want to resend in the 'Resend Communication' frame.

**Alternative**

To set all fields to default value and blank out all optional fields, click on the *reset* button.

3. Click on the *submit* button.
 - ➔ You have resent one or more outbound messages or files.

3.3.2.2 View Inbound Communication

Context of Usage

This business scenario describes how to search for inbound messages and files and to view detailed information on these business items.

Viewing inbound communication is one option for the monitoring of communication.

This business scenario is not relevant for CSD participant and payment bank users.

Privileges

To carry out this business scenario, you need the following privileges:

- Inbound message list query
- Inbound message details query
- Inbound files list query
- Inbound files details query

Reference

Further information on screens involved can be found in the screen reference part:

- Inbound messages – search/list* screen [▶ 637]
- Inbound message – details* screen [▶ 644]
- Inbound files – search/list* screen [▶ 631]
- Inbound file – details* screen [▶ 634]

Instructions

1. Go to the *inbound messages – search/list* screen:
Services >> Communication >> Inbound Messages
2. Enter all search criteria known to you about the inbound message you want to view.
3. Click on the *search* button.
 - ⇒ The *inbound messages – list* screen is displayed.
 - ⇒ If the search retrieves only one inbound message, the *inbound message – details* screen is displayed directly.

**Alternative**

You can also search for inbound files:

1. Go to the *inbound files – search/list* screen:
Services >> Communication >> Inbound Files
 2. Enter all search criteria known to you about the inbound file you want to view. According to your privileges, the party and other search criteria are already filled in.
 3. Click on the *search* button.
 - ➔ The *inbound files – list* screen is displayed.
 - ➔ If the search retrieves only one inbound file, the *inbound file – details* screen is displayed directly.
-



Alternative

To set all fields to default value and blank out all optional fields, click on the *reset* button.

4. Select the inbound message/file you are looking for by clicking on the entry in the list.
5. Click on the *details* button.
 - ⇒ The *inbound message – details* screen/*inbound file – details* screen is displayed.
 - ➔ You have viewed the details of the selected inbound message or file.



Hint

With the *inbound file* button on the *inbound message – details* screen and *related inbound messages* button on the *inbound file – details* screen you can directly access the related inbound file or message to your displayed business item.

3.3.2.3 View Outbound Communication

Context of Usage

This business scenario describes how to search for outbound messages and files and to view detailed information on these business items.

Viewing outbound communication is one option for the monitoring of communication.

This business scenario is not relevant for CSD participant and payment bank users.

Privileges

To carry out this business scenario, you need the following privileges:

- Outbound message list query
- Outbound message details query
- Outbound files list query
- Outbound files details query

Reference

Further information on screens involved can be found in the screen reference part:

- Outbound messages – search/list* screen
- Outbound message – details* screen
- Outbound files – search/list* screen
- Outbound file – details* screen

Instructions

1. Go to the *outbound messages – search/list* screen:
Services >> Communication >> Outbound Messages

2. Enter all search criteria known to you about the outbound message you want to view.
3. Click on the *search* button.
 - ⇒ The *outbound messages – list* screen is displayed.
 - ⇒ If the search retrieves only one outbound message, the *outbound message – details* screen is displayed directly.



Alternative

You can also search for outbound files:

1. Go to the *Outbound files – search/list* screen:
`Services >> Communication >> Outbound Files`
2. Enter all search criteria known to you about the outbound file you want to view. According to your privileges, the party and other search criteria are already filled in.
3. Click on the *search* button.
 - ➔ The *outbound files – list* screen is displayed.
 - ➔ If the search retrieves only one outbound file, the *outbound file – details* screen is displayed directly.



Alternative

To set all fields to default value and blank out all optional fields, click on the *reset* button.

4. Select the outbound message/file you are looking for by clicking on the entry in the list.
5. Click on the *details* button.
 - ⇒ The *outbound message – details* screen/*outbound file – details* screen is displayed.
 - ➔ You have viewed the details of the selected outbound message or file.



Hint

With the *outbound file* button on the *outbound message – details* screen and *related outbound messages* button on the *outbound file – details* screen you can directly access the related outbound file or message to your displayed business item.

~~3.4 Rule Sets~~

~~Note: Due to the migration to CRDM the "User Instruction", chapters have been removed from T2S UHB v7.0. Even if they are no longer described, the functionalities remain unchanged. For further information on the functionalities, please see T2S UHB v6.2.~~

~~Please notice that the screens look different then described in T2S UHB v6.2 as of T2S R6.0 and the migration to CRDM. Even though the layout has changed, the functionalities remain unchanged. In case of ambiguity or inconsistencies, please refer to the respective "Screen Reference" Part of T2S UHB v7.0. {Split book approach}~~

3.5 Liquidity Transfers

3.5.1 Creation of a New Immediate Liquidity Transfer Order

Overview

This business package describes the creation of a new liquidity transfer order, in order to transfer liquidity between two T2S dedicated cash accounts or from a T2S dedicated cash account to an external RTGS account.

To create a new liquidity transfer order, carry out the business scenario relevant to you from the list below.

Business Scenarios

- Create a new immediate liquidity transfer order
- Copy an immediate liquidity transfer order

3.5.1.1 Copy an Immediate Liquidity Transfer Order

Context of Usage

This business scenario describes how to create a new immediate liquidity transfer order which can be an internal liquidity transfer (between T2S dedicated cash accounts) or an outbound liquidity transfer (from a T2S dedicated cash account to an external RTGS account) based on the data of an already executed immediate liquidity transfer.

Copying a new immediate liquidity transfer order is one option for the creation of a new liquidity transfer order.

Before you create a new immediate liquidity transfer order, check whether the account to be debited exists in T2S. In the case of an internal liquidity transfer, check also whether the account to be credited exists in T2S. In the case of an outbound liquidity transfer, check whether the account to be credited is known to T2S.

- Privileges** To carry out this business scenario, you need the following privileges:
- Immediate liquidity transfer order list query
 - Send new liquidity transfer
- Reference** Further information on screens involved can be found in the screen reference part:
- Immediate liquidity transfer orders – search/list* screen
 - Immediate liquidity transfer order – new* screen [▶ 395]
- Instructions**
1. Go to the *immediate liquidity transfer orders – search/list* screen:
Cash >> Liquidity >> Immediate Liquidity Transfers
 2. Enter all search criteria known to you about the immediate liquidity transfer order you want to copy.
 3. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the screen.
 4. Select the immediate liquidity transfer order you want to copy by clicking on an entry in the list.
 5. Click on the *copy* button.
 - ⇒ The *immediate liquidity transfer order – new* screen is displayed including all the information except for the user reference of the selected liquidity transfer.
 6. Check all values of the immediate liquidity transfer and edit them if necessary.



Alternatives

To set all fields to default value and blank out all optional fields, click on the *reset* button.

To cancel the process and return to the previous screen, click on the *cancel* button.

-
7. Click on the *submit* button.
 8. Enter your PIN for digital signature purposes (NRO).
 - ➔ A new immediate liquidity transfer order has been created.

~~3.6 Market-Specific Attributes~~

~~**Note:** Due to the migration to CRDM the "User Instruction", chapters have been removed from T2S UHB v7.0. Even if they are no longer described, the functionalities remain unchanged. For further information on the functionalities, please see T2S UHB v6.2.~~

Please notice that the screens look different than described in T2S UHB v6.2 as of T2S R6.0 and the migration to CRDM. Even though the layout has changed, the functionalities remain unchanged. In case of ambiguity or inconsistencies, please refer to the respective "Screen Reference" Part of T2S UHB v7.0. (Split book approach)

3.7 Cash and Settlement Monitoring

3.7.1 Monitoring of Cash, Collateral and Limits Situation

Overview

This business package describes the monitoring of the cash, collateral and limits situation. The aim of this business package is to support your business monitoring. To monitor your cash, collateral and limits situation, carry out the business scenario relevant to you from the list below.

Business Scenarios

- View cash balances details and related postings [▶ 1288]
- View cash balances details and related restrictions [▶ 1289]
- View immediate liquidity transfer details [▶ 1291]
- View standing/predefined liquidity transfer order details [▶ 1292]
- View overall liquidity [▶ 1293]
- Change the limit of a payment bank [▶ 1295]
- Reimburse an outstanding intraday credit [▶ 1296]

3.7.1.1 View Cash Balances Details and Related Postings

Context of Usage

This business scenario describes how to view the cash balance details and related postings allowing you to monitor and manage your balances, for instruction investigations, through queries.

It also allows you to view the instructions impacting particular cash balances during investigation and reconciliation procedures. In order to monitor the cash balances, you can query balances for a given CB or payment bank and then switch to the detailed view for a breakdown by restriction type or postings for a list of relevant instructions. To perform these queries and use these screens you must consult a party with existing T2S dedicated cash accounts.

Viewing cash balances details and related postings is one option for the monitoring of the cash, collateral and limits situation.

Privileges

To carry out this business scenario, you need the following privileges:

- T2S dedicated cash account balance query
- T2S dedicated cash account posting query

Reference	<p>Further information on screens involved can be found in the screen reference part:</p> <ul style="list-style-type: none">□ <i>T2S DCA balances - search/list</i> screen [▶ 366]□ <i>T2S DCA balance - details</i> screen [▶ 370]□ <i>T2S DCA postings - search/list</i> screen [▶ 372]
Instructions	<ol style="list-style-type: none">1. Go to the <i>T2S DCA balances – search/list</i> screen: Cash >> Cash Account >> Cash Account Balances2. Enter all search criteria known to you about the relevant cash balances you want to view.3. Click on the <i>search</i> button.<ul style="list-style-type: none">⇒ A list containing the search results is displayed on the screen.⇒ If the search retrieves only one cash balance, the <i>T2S DCA balance - details</i> screen is displayed directly.4. Select the cash balance for which you want to view additional details by clicking on an entry in the list.5. Click on the <i>details</i> button.<ul style="list-style-type: none">⇒ The <i>T2S DCA balance - details</i> screen is displayed.6. To display the postings related to a particular cash balance detail, select the relevant restriction type by clicking on an entry in the list.7. Click on the <i>postings</i> button.<ul style="list-style-type: none">⇒ The <i>T2S DCA postings - search/list</i> screen is displayed, containing a list of postings including the instruction type and references along with the timestamp. <p>➔ You have viewed the cash balances details and related postings.</p>

3.7.1.2 View Cash Balances Details and Related Restrictions

Context of Usage This business scenario describes how to view the cash balance details and related restrictions allowing you to monitor and manage your balances, for restriction use, through queries.

It is relevant for any T2S actors within payments banks, CBs or CSDs who wish to ensure that sufficient funds are present within a particular cash balance before any potential utilisation. It also allows you to view the restriction references available for use within future instructions. In order to monitor the cash balances, you can query balances for a given CB or payment bank and then switch to the de-

tailed view for a breakdown by restriction type or reference. To perform these queries and use these screens you must consult a party with existing T2S dedicated cash accounts.

Viewing cash balances details and related restrictions is one option for the monitoring of the cash, collateral and limits situation.

Privileges

To carry out this business scenario, you need the following privileges:

- T2S dedicated cash account balance query
- T2S dedicated cash account balance detailed restrictions query

Reference

Further information on screens involved can be found in the screen reference part:

- T2S DCA balances - search/list* screen [▶ 366]
- T2S DCA balance - details* screen [▶ 370]
- Cash restriction details - search/list* screen [▶ 347]

Instructions

1. Go to the *T2S DCA balances – search/list* screen:
Cash >> Cash Account >> Cash Account Balances
⇒ The *T2S DCA balances - search/list* screen is displayed.
 2. Enter all known search criteria known to you about the relevant cash balances you wish to view.
 3. Click on the *search* button.
⇒ A list containing the search results is displayed on the screen.
⇒ If the search retrieves only one cash balance, the *T2S DCA balance - details* screen is displayed directly.
 4. Select the cash balance for which you want to view additional details by clicking on an entry in the list.
 5. Click on the *details* button.
⇒ The *T2S DCA balance – details* screen is displayed.
 6. To display the restrictions related to a particular cash balance detail, select the relevant restriction type by clicking on an entry in the list.
 7. Click on the *restriction references* button.
⇒ The *cash restriction details - search/list* screen is displayed, containing a list of the related restriction references and remaining, used and to be pre-empted amounts.
- ➔ You have viewed the cash balances details and related restrictions.

3.7.1.3 View Immediate Liquidity Transfer Order Details

Context of Usage

This business scenario describes how to monitor your immediate liquidity transfer orders which can be internal liquidity transfers (between T2S dedicated cash accounts), inbound liquidity transfers (from an external RTGS account to a T2S dedicated cash account) or outbound liquidity transfers (from a T2S dedicated cash account to an external RTGS account). Furthermore, you can obtain detailed information on a specific immediate liquidity transfer order including general, technical, party and status information.

Viewing immediate liquidity transfer order details is one option for the monitoring of cash, collateral and limits situation.

Privileges

To carry out this business scenario, you need the following privileges:

- Immediate liquidity transfer order list query
- Immediate liquidity transfer order detail query

Reference

Further information on screens involved can be found in the screen reference part:

- Immediate liquidity transfer orders – search/list* screen [▶ 382]
- Immediate liquidity transfer order – details* screen [▶ 390]

Instructions

1. Go to the *immediate liquidity transfer orders – search/list* screen:
Cash >> Liquidity >> Immediate Liquidity Transfers
2. Enter all search criteria known to you about the immediate liquidity transfer order you want to view. According to your privileges, the party and other search criteria are already filled in. If you have privileges for many accounts, select the search criteria taking into account the intended result.
3. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the screen.
 - ⇒ If the search retrieves only one immediate liquidity transfer order, the *immediate liquidity transfer order – details* screen is displayed directly.
4. Select any of the 'Sort By' criteria of the immediate liquidity transfer order to sort the list. In order to rearrange the sorted list, you can select another 'Sort By' criterion at any time.

View Standing/Predefined Liquidity Transfer Order Details

- ⇒ The screen is split into different sections according to the selected sorting criterion (e.g. per T2S dedicated account number there will be a section which contains a table with the information of the immediate liquidity transfer orders belonging to one T2S dedicated cash account number).

Transfers grouped by Dedicated Cash Account Number												
100005												
T2S Dedicated Cash Account Number	T2S Reference	Settlement Date and Time	Parent BIC	Party	Debit Cash Account Number	Credit Cash Account Number	Amount	Currency	Settlement Status	Sending Time	RTGS Status	Value Date
100005	7051	2012-11-08 15:18:18	NSBKDEFF33	PMBKDEFFA02	100006	100006	0.00	EUR	Not executed	...	Not applicable	2012-10-25 00:00:00
100005	7052	9999-01-01 00:00:00	NSBKDEFF33	PMBKDEFFA02	100005	100064	0.00	EUR	Not executed	...	Not applicable	2012-10-25 00:00:00
100005	7053	2012-11-08 16:07:18	NSBKDEFF33	PMBKDEFFA02	100005	100064	0.00	EUR	Not executed	...	Not applicable	2012-10-25 00:00:00
100005	7053	9999-01-01 00:00:00	NSBKDEFF33	PMBKDEFFA02	100005	100064	0.00	EUR	Not executed	...	Not applicable	2012-10-25 00:00:00
100005	7081	2012-11-09 09:10:57	NSBKDEFF33	PMBKDEFFA02	100006	100006	0.00	EUR	Not executed	...	Not applicable	2012-10-25 00:00:00
100005	7082	2012-11-09 14:22:32	NSBKDEFF33	PMBKDEFFA02	100005	100006	0.00	EUR	Not executed	...	Not applicable	2012-10-25 00:00:00
100005	7127	2012-11-13 18:11:10	NSBKDEFF33	PMBKDEFFA02	100005	100006	0.00	EUR	Not executed	...	Not applicable	2012-10-25 00:00:00
100005	7143	9999-01-01 00:00:00	NSBKDEFF33	PMBKDEFFA02	100005	100006	0.00	EUR	Not executed	...	Not applicable	2012-10-25 00:00:00

100006												
T2S Dedicated Cash Account Number	T2S Reference	Settlement Date and Time	Parent BIC	Party	Debit Cash Account Number	Credit Cash Account Number	Amount	Currency	Settlement Status	Sending Time	RTGS Status	Value Date
100006	7047	2012-11-07 18:12:25	NSBKDEFF33	PMBKDEFFA02	100006	100005	0.00	EUR	Not executed	...	Not applicable	2012-10-25 00:00:00
100006	7084	2012-11-08 12:55:07	NSBKDEFF33	PMBKDEFFA02	100006	100005	0.00	EUR	Not executed	...	Not applicable	2012-10-25 00:00:00

- Select the immediate liquidity transfer for which you want to view the details by clicking on an entry in the list.
- Click on the *details* button.
 - ⇒ The *immediate liquidity transfer order – details* screen is displayed.
- You have viewed the details of the selected immediate liquidity transfer order.

3.7.1.4 View Standing/Predefined Liquidity Transfer Order Details

Context of Usage

~~This business scenario describes how to view the execution of standing and predefined liquidity transfer orders scheduled for the current business day.~~

~~Viewing standing/predefined liquidity transfer orders is one option for the monitoring of cash, collateral and limits situation.~~

Privileges

~~To carry out this business scenario, you need the following privilege:~~

- ~~Total amount of standing and predefined orders query~~

Reference

~~Further information on screens involved can be found in the screen reference part:~~

- ~~Total amount of standing/predefined liquidity transfer orders – search/list screen~~

Instructions

- ~~Go to the total amount of standing/predefined liquidity transfer orders – search/list screen:
Cash >> Liquidity >> Total Standing/Predefined Liquidity Transfers~~
- ~~Enter the parent BIC or party BIC of the standing/predefined liquidity transfer.~~



Alternative

~~To set all fields to default value and blank out all optional fields, click on the reset button.~~

- ~~3. Click on the search button.~~
 - ~~⇒ A list containing the search results is displayed on the screen.~~
 - ~~4. Select one entry from the list for further information.~~
 - ~~⇒ The details of the selected entry are displayed at the end of the screen.~~
- ➔ ~~You have viewed the standing/predefined liquidity transfer order details.~~ **{Split book approach}**

3.7.1.5 View Overall Liquidity

Context of Usage

This business scenario describes how to view the overall liquidity if you wish to monitor cash through queries. This is mainly relevant for treasurers of credit providers or credit consumers, but also for T2S actors within payments banks, CBs or CSDs enquiring about cash balances and limits.

In order to monitor the cash situation, you can view the overall liquidity for a given CB or payment bank and then switch to the detailed view to view the components of the available liquidity. You then have the option to view the limit utilisation for the same credit consumer(s) to identify the collateral limits which are soon reached and those which are unused. You can also view the cash forecast for the same credit consumer(s) to identify any potential lack of cash. You may then react to the overall liquidity by any necessary increases or decreases to your cash balances or limits.

To perform these queries and use these screens you must be a user of a party which is a credit consumer or credit provider with existing T2S dedicated cash accounts and their associated limits.

Privileges

To carry out this business scenario, you need the following privileges:

- T2S overall liquidity query
- Limit utilisation query
- Limit utilisation journal query
- Cash forecast query

Reference

Further information on screens involved can be found in the screen reference part:

- Overall liquidity – search/list* screen [▶ 405]
- Limit utilisation – search/list* screen [▶ 357]

Instructions

- *Limit utilisation journal – search/list* screen [▶ 362]
- *Cash forecast – search/list* screen [▶ 333]
- *Cash forecast – details* screen [▶ 341]

1. Go to the *overall liquidity – search/list* screen:

Cash >> Liquidity >> T2S Overall Liquidity

2. Enter the relevant party and parent BIC for which you want to view liquidity information.

**Hint**

If you are a CB user, you can restrict the view to one payment bank by entering its party BIC.

**Alternative**

To set all fields to default value and blank out all optional fields, click on the *reset* button.

3. Click on the *search* button.

⇒ An overview list containing the the limit, its utilisation and available liquidity per party is displayed on the screen.

4. To display all the components of the available liquidity, those related to collateral as well as those related to cash balances, click on the *detailed list* button.

⇒ A detailed list is displayed on the screen, containing the collateral value, available cash, blocked cash and reserved cash. The available liquidity of the previous screen is calculated as the sum of the cash balances and the minimum of the auto-collateralisation headroom (difference between the auto-collateralisation limit and its utilisation) and the collateral value.

5. To focus on the components related to collateral, go to the *limit utilisation – search/list* screen:

Cash >> Cash Accounts >> Limit Utilisations

6. Enter the same party and parent BIC as in step 2.

7. Click on the *search* button.

⇒ A list containing the search results is displayed on the screen showing the credit consumers' limit, utilisation and headroom for each credit provider and T2S dedicated cash account.

8. To display the journal of the collateral usage, select the relevant account by clicking on an entry in the list.

9. Click on the *journal of limit utilisation* button.

- ⇒ The *limit utilisation journal – search/list* screen is displayed, showing the impact of each instruction on the limit utilisation and headroom.
- 10. To focus on the components related to the cash balances, go to the *cash forecasts – search/list* screen:
Cash >> Cash Accounts >> Cash Forecasts
- 11. Enter the same party and parent BIC as in step 2 as well as all other mandatory information.
- 12. Click on the *search* button.
 - ⇒ A list containing the search results is displayed, containing the cash forecast reflecting the sum of the projected cash balance and outstanding collateral for each party.
- 13. To display the details of the cash forecast, select the relevant cash forecast by clicking on an entry in the list.
- 14. Click on the *details* button.
 - ⇒ The *cash forecast – details* screen is displayed, showing the global cash forecast and the details for each T2S dedicated cash account.
 - ➔ You have obtained an overview of the different cash components allowing you to monitor the overall liquidity of your cash situation. You may then react to this overall situation by adapting your buy sell strategies, injecting cash or making limit adjustments.

3.7.1.6 Change the Limit of a Payment Bank

Context of Usage

~~This business scenario describes how to change the limits of a payment bank.~~

~~A limit defines the total amount of credit that can be provided on a specific cash account.~~

~~For credit provided by a payment bank there are three limits: external guarantee, client collateralisation and unsecured credit.~~

~~The total amount of credit that can be provided on a cash account is given by their sum.~~

~~The business scenario is not relevant for CSD and CSD participant user.~~

Privileges

~~To carry out this business scenario, you need the following privileges:~~

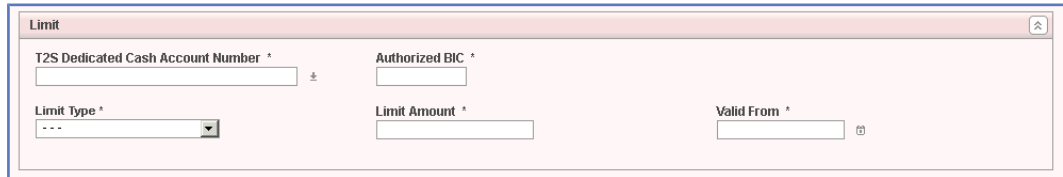
- ~~Limit query~~
- ~~Create limit~~

References

~~Further information on screens involved can be found in the screen reference parts:~~

- ~~Limits – search/list screen~~

- Instructions**
- **Limit – new/edit screen**
 - 1. **Go to the limits – search/list screen:**
 - 2. **Click on the new button.**
 - ⇒ **The limit – new screen is displayed.**



- 3. **Enter all required information about the limit you want to create.**
- 4. **You can select three types of limits into the 'Limit Type' select box: Auto-collateralisation, external guarantee, unsecured credit.**



Alternatives

To set all fields to default value and blank out all optional fields, click on the reset button.

To cancel the process and return to the previous screen, click on the cancel button.

- 5. **Click on the submit button.**
 - ➔ **The new auto-collateralisation limit has been created. (Split book approach)**

3.7.1.7 Reimburse an Outstanding Intraday Credit

Context of Usage

This business scenario describes how to reimburse an outstanding intraday credit. This is done by first finding all reverse collateral instructions generated by T2S during auto-collateralisation that are still on party hold. Once identified, these reverse collateral instructions are released by changing the party hold status to false. Finding and releasing these instructions is necessary to enable their settlement. Settlement instructions put on party hold are not eligible for settlement until they are released. The settlement of these instructions results in the reimbursement of the related intraday credit.

A settlement instruction is eligible to be released for party hold if it is not cancelled, settled nor already released for party hold. You can check the status of your settlement instruction before creating the release instruction through the details screen as described in the business scenario view settlement instruction details [▶ 1322].

Reimbursing an outstanding intraday credit is one option for the monitoring of cash, collateral and limits situation.

This business scenario is not relevant for CB users.

Privileges

To carry out this business scenario, you need the following privileges:

- Settlement instruction query
- Settlement instruction current status query
- Settlement instruction status audit trail query
- Release party hold settlement instruction on a securities account or on behalf of an external CSD
- Send new instruction using a specific instructing party

Reference

Further information on screens involved can be found in the screen reference part:

- Settlement instructions – search/list* screen
- Settlement instruction – details* screen
- Hold/release instruction - new* screen [▶ 136]

Instructions

1. Go to the *settlement instructions - search/list* screen:
Securities >> Settlement >> Settlement Instructions
2. Enter all mandatory search criteria enabling you to find all the reverse collateral instructions generated by T2S for auto-collateralisation that are currently on party hold. This includes all instructions where the security transaction type is either COLI or COLO and the party hold status is 'YES'.
3. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the screen.
 - ⇒ If the search retrieves only one settlement instruction, the *settlement instruction - details* screen is displayed directly. Proceed with step 6.
4. Select the settlement instruction on party hold you want to release by clicking on an entry in the list.
5. Click on the *details* button.
 - ⇒ The *settlement instruction – details* screen is displayed.
6. Check the details of the settlement instruction and click on the *hold/release* button.
 - ⇒ The *hold/release instruction – new* screen is displayed.
7. Enter all mandatory information in the 'General' subframe.

8. Select the 'Party Release' check box in the 'Hold/Release' subframe.

The screenshot shows a 'Hold/Release' subframe with three columns: 'Current Hold Status', 'Hold Types', and 'Release Types'. The 'Party Release' checkbox in the 'Release Types' column is highlighted with a red box.

Current Hold Status	Hold Types	Release Types
Party Hold Status Hold	Party Hold <input type="checkbox"/>	Party Release <input type="checkbox"/>
CSD Hold Status Released	CSD Hold <input type="checkbox"/>	CSD Release <input type="checkbox"/>
CSD Validation Hold Status Released		CSD Validation Release <input type="checkbox"/>
CoSD Hold Status Released		CoSD Release <input type="checkbox"/>
		CoSD Rule Identification * <input type="text"/>

9. Click on the *submit* button.

- ➔ A new release instruction for party hold has been created relating to the T2S generated settlement instruction for the reimbursement of intraday credit.

3.7.2 Monitoring of Securities Positions

Overview

This business package describes the monitoring of securities positions.

To monitor your securities positions, carry out the business scenario relevant to you from the list below.

Business Scenarios

- View current securities positions and related postings [▶ 1298]
- View current securities positions and related restrictions [▶ 1299]
- View historic securities positions for a specific period [▶ 1302]
- View historic securities positions on a specific date [▶ 1301]

3.7.2.1 View Current Securities Positions and Related Postings

Context of Usage

This business scenario describes how to view the details of securities positions and related postings allowing you to monitor and manage your positions. For reconciliation purpose, you may have to retrieve the instructions impacting particular securities positions. To achieve this, you query positions for a given CSD or settlement bank and then switch to the detailed breakdown by postings for a list of the relevant instructions.

Viewing current securities positions and related postings is one option for the monitoring of securities positions.

Privileges

To carry out this business scenario, you need the following privileges:

- Securities account position query
- Securities posting query

- Reference** Further information on screens involved can be found in the screen reference part:
- *Securities positions - search/list* screen [▶ 179]
 - *Securities position – details* screen [▶ 184]
 - *Securities postings - search/list* screen [▶ 92]
- Instructions**
1. Go to the *securities positions – search/list* screen:
Securities >> Securities Account >> Securities Positions
 2. Enter all search criteria known to you about the securities positions you want to view.
 3. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the screen, showing the securities account, ISIN, restriction type, date, currency and total quantity.
 - ⇒ If the search retrieves a single securities position, the *securities positions – details* screen is displayed directly. Proceed with step 6.
 4. Select the securities position for which you want to view additional details by clicking on an entry in the list.
 5. Click on the *details* button.
 - ⇒ The *securities position – details* screen is displayed, showing the details of the securities position by restriction type including the quantity to be pre-empted in the case of reservations.
 6. To view the postings related to a particular securities position detail, select the relevant restriction type by clicking on an entry in the list.
 7. Click on the *postings* button.
 - ⇒ The *securities postings – search/list* screen is displayed, showing a list of postings for the selected securities position including the instruction type and references along with the timestamp.
- ➔ You have viewed your securities positions and related postings.

3.7.2.2 View Current Securities Positions and Related Restrictions

Context of Usage

This business scenario describes how to view the details of securities positions and related restrictions allowing you to monitor and manage your positions. You can investigate your securities positions to ensure that sufficient securities are present within a particular blocked, reserved or earmarked position before any potential utilisation. You can also view the restriction references available for use

within future instructions. To achieve this, you query positions for a given CSD or settlement bank and then switch to the detailed view for a breakdown by restriction type and reference.

Viewing current securities positions and related restrictions is one option for the monitoring of securities positions.

Privileges

To carry out this business scenario, you need the following privileges:

- Securities account position query
- Securities position detailed restriction details query

Reference

Further information on screens involved can be found in the screen reference part:

- Securities positions - search/list* screen [▶ 179]
- Securities position – details* screen [▶ 184]
- Securities restriction detail – search/list* screen [▶ 96].

Instructions

1. Go to the *securities positions – search/list* screen:
`Securities >> Securities Account >> Securities Positions`
⇒ The *securities positions search/list* screen is displayed.
2. Enter all search criteria known to you about the securities positions you want to view.
3. Click on the *search* button.
⇒ A list containing the search results is displayed on the screen, showing the securities account, ISIN, restriction type, date, currency and total quantity.
⇒ If the search retrieves a single securities position, the *securities positions – details* screen is displayed directly. Proceed with step 6.
4. Select the securities position for which you want to view additional details by clicking on an entry in the list.
5. Click on the *details* button.
⇒ The *securities positions – details* screen is displayed, showing the details of the securities position by restriction type including the quantity to be pre-empted in the case of reservations.
6. To view the restrictions related to a particular securities position detail, select the relevant restriction type by clicking on an entry in the list.
7. Click on the *restriction references* button.
⇒ The *securities restriction detail – search/list* screen is displayed, showing a list of the detailed securities restrictions for the selected securities position including the related restriction reference and remaining, used and to-be pre-empted quantities.

- ➔ You have viewed your securities positions and related restrictions.

3.7.2.3 View Historic Securities Positions for a Specific Date

Context of Usage

This business scenario describes how to view the details of securities positions for a specific date allowing you to monitor and manage your positions. You can enquire about securities positions or perform investigations and reconciliations on particular positions. In order to monitor the securities positions, you can query positions for a given CSD or settlement bank and then switch to the detailed view for a breakdown by restriction type.

Viewing historic securities positions is one option for the monitoring of securities positions.

Privileges

To carry out this business scenario, you need the following privilege:

- Securities account position query

Reference

Further information on screens involved can be found in the screen reference part:

- Securities positions - search/list* screen [▶ 179]
- Securities position – details* screen [▶ 184]

Instructions

1. Go to the *securities positions – search/list* screen:
`Securities >> Securities Account >> Securities Positions`
 2. Enter all search criteria about the securities positions you want to view, including the same relevant past date in both the 'Date From' and 'Date To' fields.
 3. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the screen, showing the securities account, ISIN, restriction type, date, currency and total quantity.
 - ⇒ If the search retrieves a single securities position, the *securities position – details* screen is displayed directly.
 4. Select the securities position for which you want to view additional details by clicking on an entry in the list.
 5. Click on the *details* button.
 - ⇒ The *securities positions – details* screen is displayed, showing the details of the securities position by restriction type including the quantity to be pre-empted in the case of reservations.
- ➔ You have viewed your historic securities positions details.

3.7.2.4 View Historic Securities Positions for a Specific Period

Context of Usage

This business scenario describes how to view the details of securities positions for a specific period allowing you to monitor and manage your positions. Using queries, you can enquire about the evolution of securities positions over a given time period while performing investigations and reconciliations on particular positions. In order to monitor the securities positions, you can query positions for a given CSD or settlement bank and then switch to the detailed view for a breakdown by restriction type.

Viewing historic securities positions for a specific period is one option for the monitoring of securities positions.

Privileges

To carry out this business scenario, you need the following privilege:

- Securities account position query

Reference

Further information on screens involved can be found in the screen reference part:

- Securities positions - search/list* screen [▶ 179]
- Securities position – details* screen [▶ 184]

Instructions

1. Go to the *securities positions – search/list* screen:
`Securities >> Securities Account >> Securities Positions`
 2. Enter all search criteria about the securities positions you want to view, including the relevant period by specifying different past dates in the 'Date From' and 'Date To' fields.
 3. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the screen, showing the securities account, ISIN, restriction type, date, currency and total quantity.
 - ⇒ If the search retrieves a single securities position, the *securities position – details* screen is displayed directly.
 4. Select the securities position for which you want to view additional details by clicking on an entry in the list.
 5. Click on the *details* button.
 - ⇒ The *securities positions - details* screen is displayed, showing the details of the securities position by restriction type including the quantity to be pre-empted in the case of reservations.
- ➔ You have viewed your historic securities positions for a specific period.

~~3.8 Party Management~~

~~Note: Due to the migration to CRDM the "User Instruction", chapters have been removed from T2S UHB v7.0. Even if they are no longer described, the functionalities remain unchanged. For further information on the functionalities, please see T2S UHB v6.2.~~

~~Please notice that the screens look different then described in T2S UHB v6.2 as of T2S R6.0 and the migration to CRDM. Even though the layout has changed, the functionalities remain unchanged. In case of ambiguity or inconsistencies, please refer to the respective "Screen Reference" Part of T2S UHB v7.0. {Split book approach}~~

~~3.9 Reports~~

~~Note: Due to the migration to CRDM the "User Instruction", chapters have been removed from T2S UHB v7.0. Even if they are no longer described, the functionalities remain unchanged. For further information on the functionalities, please see T2S UHB v6.2.~~

~~Please notice that the screens look different then described in T2S UHB v6.2 as of T2S R6.0 and the migration to CRDM. Even though the layout has changed, the functionalities remain unchanged. In case of ambiguity or inconsistencies, please refer to the respective "Screen Reference" Part of T2S UHB v7.0. {Split book approach}~~

~~3.10 Restriction Types~~

~~Note: Due to the migration to CRDM the "User Instruction", chapters have been removed from T2S UHB v7.0. Even if they are no longer described, the functionalities remain unchanged. For further information on the functionalities, please see T2S UHB v6.2.~~

~~Please notice that the screens look different then described in T2S UHB v6.2 as of T2S R6.0 and the migration to CRDM. Even though the layout has changed, the functionalities remain unchanged. In case of ambiguity or inconsistencies, please refer to the respective "Screen Reference" Part of T2S UHB v7.0. {Split book approach}~~

3.11 Revisions

3.11.1 Monitoring of Revisions

Overview This business package describes the monitoring of revisions. It enables you to view the historical status and historical data of a dataset in order to monitor revisions.

To monitor revisions, carry out the following business scenario:

Business Scenario View revisions {}

3.11.1.1 View Revisions

Context of Usage This business scenario describes how to view the historical status of a dataset and the history of attribute values, the identification of the user who created/edited/deleted the dataset as well as the timestamp when this action happened, since every creation/modification/deletion of a dataset is stored within T2S.

In case of the 4-eyes mode any action of the first and confirming user including the timestamp is displayed.

Viewing revisions is mandatory for the monitoring of revisions.

Privileges To carry out this business scenario, you need (depending on the underlying object) the following privileges:

- Amendment instruction for intra-balance movement audit trail list query
- Amendment instruction for intra-position movement or settlement instruction audit trail list query
- Cancellation instruction for intra-balance movement audit trail list query
- Cancellation instruction intra-position movement or settlement instruction audit trail list query
- Immediate liquidity transfer order audit trail list query
- Intra-balance movement audit trail list query
- Intra-position movement audit trail list query
- Party audit trail query
- Residual static data audit trail query
- Securities audit trail query
- Securities account audit trail query
- Settlement instruction audit trail privilege
- T2S dedicated cash account audit trail query

- Reference** ~~Further information on screens involved can be found in the screen reference part:~~
- ~~Revisions/audit trail – list screen~~
 - ~~Revisions/audit trail – details screen {Split book approach}~~
 - ~~Common reference data revisions – search/list screen {Split book approach}~~

- Instructions**
- ~~1. Go to the relevant search/list or details screen.~~
 - ~~2. Click on the revisions button.~~



Alternative

- ~~1. Go to the Common reference data revisions – search/list screen:
Common reference data >> Revisions >> Revisions~~
 - ~~2. Click on the details button.~~
 - ~~➔ The revisions/audit trail – list screen is displayed. {Split book approach}~~
-
- ~~3. Select the item you want to view by clicking on an entry in the list.~~
 - ~~2. Click on the details button.~~
 - ~~⇒ The revisions/audit trail – details screen is displayed.~~
 - ~~➔ You have viewed the revisions related to the selected object. {Split book approach}~~

~~3.12 Static Data Configuration for the Settlement Process~~

~~Note: Due to the migration to CRDM the "User Instruction", chapters have been removed from T2S UHB v7.0. Even if they are no longer described, the functionalities remain unchanged. For further information on the functionalities, please see T2S UHB v6.2.~~

~~Please notice that the screens look different then described in T2S UHB v6.2 as of T2S R6.0 and the migration to CRDM. Even though the layout has changed, the functionalities remain unchanged. In case of ambiguity or inconsistencies, please refer to the respective "Screen Reference" Part of T2S UHB v7.0. {Split book approach}~~

~~3.13 Account Management~~

~~Note: Due to the migration to CRDM the "User Instruction", chapters have been removed from T2S UHB v7.0. Even if they are no longer described, the functionalities remain unchanged. For further information on the functionalities, please see T2S UHB v6.2.~~

~~Please notice that the screens look different then described in T2S UHB v6.2 as of T2S R6.0 and the migration to CRDM. Even though the layout has changed, the functionalities remain unchanged. In case of ambiguity or inconsistencies, please refer to the respective "Screen Reference" Part of T2S UHB v7.0. {Split book approach}~~

~~3.14 Securities~~

~~Note: Due to the migration to CRDM the "User Instruction", chapters have been removed from T2S UHB v7.0. Even if they are no longer described, the functionalities remain unchanged. For further information on the functionalities, please see T2S UHB v6.2.~~

~~Please notice that the screens look different then described in T2S UHB v6.2 as of T2S R6.0 and the migration to CRDM. Even though the layout has changed, the functionalities remain unchanged. In case of ambiguity or inconsistencies, please refer to the respective "Screen Reference" Part of T2S UHB v7.0. {Split book approach}~~

3.15 Settlement Instructions

3.15.1 Creation of a New Settlement Instruction

Overview

This business package describes the creation of a new settlement instruction.

You can create a new settlement instruction either introducing all the necessary information, copying it from an already existing settlement instruction or responding an allegation instruction. Once the instruction has been created, you can also check its lifecycle in T2S.

To create a new settlement instruction, carry out the business scenarios in the predefined order and choose the business scenario relevant to you whenever options are given.

Business Scenarios

1. Create a new settlement instruction
 - Enter a settlement instruction [▶ 1307]
 - Copy a settlement instruction [▶ 1311]
 - Respond to an allegation instruction [▶ 1317]

2. View instruction details

- View settlement instruction details [[▶ 1322](#)]
- View allegation settlement instruction details [[▶ 1323](#)]

3.15.1.1 Enter a Settlement Instruction

Context of Usage

This business scenario describes how to create a new settlement instruction.

Entering a new settlement instruction is one option for the creation of a new settlement instruction [[▶ 1306](#)].

This business scenario is not relevant for CB users.

Privileges

To carry out this business scenario, you need the following privileges:

- Settlement instruction query
- Settlement instruction current status query
- Settlement instruction status audit trail query
- Send new settlement instruction/settlement restriction on securities either on a securities account or on behalf of an external CSD
- Send new instruction using a specific instructing party
- Send settlement instruction with non-modifiable flag activated
- Link to an instruction/pool reference belonging to a specific party
- Use ISO transaction code AUTO (auto-collateralisation)
- Use ISO transaction code BYIY (buy-in)
- Use ISO transaction code BSBK (buy sell back)
- Use ISO transaction code CLAI (market claim)
- Use ISO transaction code CNCB (central bank collateral operation)
- Use ISO transaction code COLI (collateral in)
- Use ISO transaction code COLO (collateral out)
- Use ISO transaction code CONV (depository receipt conversion)
- Use ISO transaction code CORP (corporate action)
- Use ISO transaction code ETFT (exchange traded funds)
- Use ISO transaction code FCTA (factor update)
- Use ISO transaction code INSP (move of stock)
- Use ISO transaction code ISSU (issuance)
- Use ISO transaction code MKDW (mark down)
- Use ISO transaction code MKUP (mark up)
- Use ISO transaction code NETT (netting)

- Use ISO transaction code NSYN (non syndicated)
- Use ISO transaction code OWNE (external account transfer)
- Use ISO transaction code OWNI (internal account transfer)
- Use ISO transaction code PAIR (pair off)
- Use ISO transaction code PLAC (placement)
- Use ISO transaction code PORT (portfolio move)
- Use ISO transaction code REAL (realignment)
- Use ISO transaction code REDI (withdrawal)
- Use ISO transaction code REDM (redemption)
- Use ISO transaction code RELE (depository receipt release cancellation)
- Use ISO transaction code REPU (repo)
- Use ISO transaction code RODE (return delivery without matching)
- Use ISO transaction code RVPO (reverse repurchase agreement)
- Use ISO transaction code SBBK (sell buy back)
- Use ISO transaction code SBRE (borrowing reallocation)
- Use ISO transaction code SECB (securities borrowing)
- Use ISO transaction code SECL (securities lending)
- Use ISO transaction code SLRE (lending reallocation)
- Use ISO transaction code SUBS (subscription)
- Use ISO transaction code SWIF (switch from)
- Use ISO transaction code SWIT (switch to)
- Use ISO transaction code SYND (syndicate underwriters)
- Use ISO transaction code TBAC (TBA closing)
- Use ISO transaction code TRAD (trade)
- Use ISO transaction code TRPO (triparty repo)
- Use ISO transaction code TRVO (triparty reverse repo)
- Use ISO transaction code TURN (turnaround)
- Party hold settlement instruction on a securities account or on behalf of an external CSD
- CSD hold settlement instruction either on a securities account or on behalf of the CSD in T2S

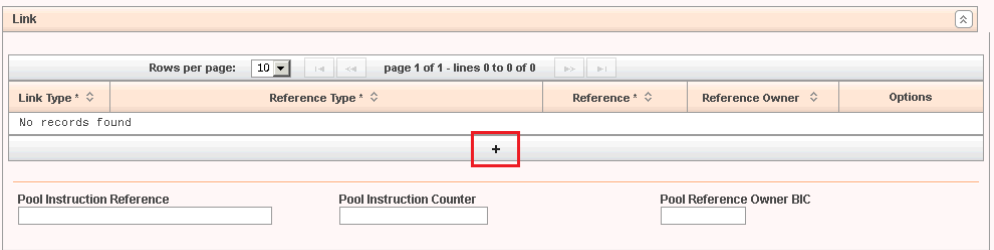
Reference

Further information on screens involved can be found in the screen reference parts:

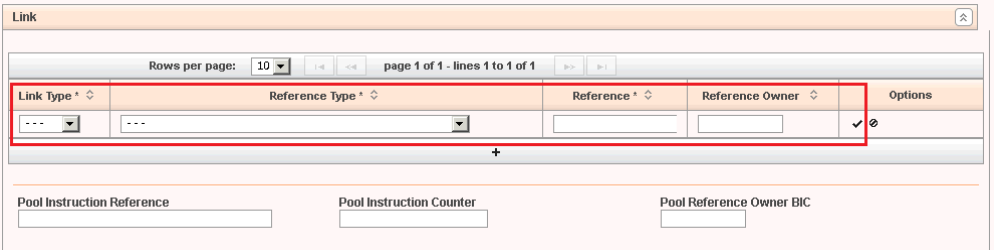
- Settlement instructions– search/list* screen

Instructions

- *Settlement instruction - new screen* [▶ 220]
1. Go to the *settlement instructions– search/list* screen:
Securities >> Settlement >> Settlement Instructions
 2. Click on the *new* button.
⇒ The *settlement instruction - new* screen is displayed.
 3. Enter all mandatory information about the settlement instruction you want to create in the 'General Information', and the 'Detailed Information' frame.
 4. Optionally, you can enter additional information about the settlement instruction into the optional fields in all the available frames.
 5. To link your settlement instruction to another instruction, click on the *add row* button to add a new link.

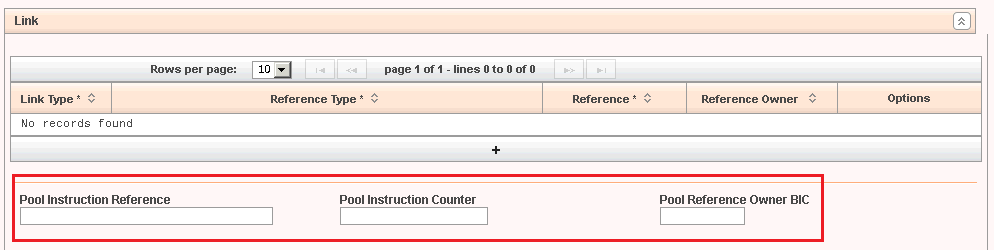


6. Select and add all the requested information to identify the instruction you want your settlement instruction to be linked with. Once all the information is introduced click on the *submit changes* icon in the 'Options' column.



⇒ The link has been added to the list.

7. If you want to add your settlement restriction on securities to a pool, enter the 'Pool Identification' field, 'Pool Instruction Counter' and the 'Pool Reference Owner BIC' field instead.





Repetition

To add more links, repeat steps 5 to 6.

8. To remove a link from the list of links to be added to the settlement instruction, click on the *delete row* icon in the 'Options' column.
 - ⇒ The link has been deleted from the list of links.
9. To add a restriction, click on the *add row* icon to add a new restriction reference.

10. Enter the restriction reference you want to make use of and click on the *submit changes* icon in the 'Options' column.
 - ⇒ A new restriction reference has been added to the list.



Repetition

To add more restrictions, repeat steps 9 and 10.

11. To remove a restriction reference from the list of restriction references to be added to the settlement instruction, click on the *delete row* icon in the 'Options' column.
 - ⇒ The restriction reference has been deleted from the list of restriction references.
12. To enter additional data related to the parties involved in the settlement instruction, click on the *add row* icon in the 'Additional Receiving Parties' and/or 'Additional Delivering Parties' frames and enter all relevant information.

13. To add additional information, select the value from the 'Level 1' drop-down menu in the 'Additional Information' frame.

14. Continue this selection within the interdependent menus from the 'Level 2' drop-down menu till the 'Level 6' drop-down menu.
Each level is dependent on the next level in a successive order. The content of the select boxes varies through the different levels.
15. Once you have selected all the relevant levels, introduce the value and click on the *add row* icon.

Level 1	Level 2	Level 3
CashParties	Debtor	AlternateIdentification
Level 4	Level 5	Level 6
IdentificationType	Proprietary	Issuer
Value *		
Add Row		
Path	Value	Options
No additional info added yet		

⇒ The additional information has been added to the list.



Repetition

To add more additional information paths, repeat steps 14 to 15.

16. To remove an entry from the list of additional information to be added to the settlement instruction, click on the *delete row* icon in the 'Options' column.

⇒ The entry has been deleted from the list of additional information.



Alternatives

To set all fields to default value and blank out all optional fields, click on the *reset* button.

To cancel the process and return to the previous screen, click on the *cancel* button.

17. Click on the *submit* button.
18. Enter your PIN for digital signature purposes (NRO).
➔ The new settlement instruction has been created.

3.15.1.2 Copy a Settlement Instruction

Context of Usage

This business scenario describes how to copy a settlement instruction based on an existing T2S settlement instruction in order to deliver or receive securities and/or cash between your own accounts or between one of your accounts and a counterpart's account, based on already executed settlement.

Copying an existing settlement instruction is one option for the creation of a new settlement instruction.

This business scenario is not relevant for CB users.

Privileges

To carry out this business scenario, you need the following privileges:

- Settlement instruction query
- Settlement instruction current status query
- Settlement instruction status audit trail query
- Send new settlement instruction/settlement restriction on securities either on a securities account or on behalf of an external CSD
- Send new instruction using a specific instructing party
- Send settlement instruction with non-modifiable flag activated
- Link to an instruction/pool reference belonging to a specific party
- Use ISO transaction code AUTO (Auto-Collateralisation)
- Use ISO transaction code BSBK (Buy Sell Back)
- Use ISO transaction code CLAI (Market Claim)
- Use ISO transaction code CNCB (Central Bank Collateral Operation)
- Use ISO transaction code COLI (Collateral In)
- Use ISO transaction code COLO (Collateral Out)
- Use ISO transaction code CONV (Depository Receipt Conversion)
- Use ISO transaction code CORP (Corporate Action)
- Use ISO transaction code ETFT (Exchange Traded Funds)
- Use ISO transaction code FCTA (Factor Update)
- Use ISO transaction code INSP (Move Of Stock)
- Use ISO transaction code ISSU (Issuance)
- Use ISO transaction code MKDW (Mark Down)
- Use ISO transaction code MKUP (Mark Up)
- Use ISO transaction code NETT (Netting)
- Use ISO transaction code NSYN (Non Syndicated)
- Use ISO transaction code OWNE (External Account Transfer)
- Use ISO transaction code OWNI (Internal Account Transfer)
- Use ISO transaction code PAIR (Pair Off)
- Use ISO transaction code PLAC (Placement)
- Use ISO transaction code PORT (Portfolio Move)
- Use ISO transaction code REAL (Realignment)
- Use ISO transaction code REDI (Withdrawal)
- Use ISO transaction code REDM (Redemption)

- Use ISO transaction code RELE (Depository Receipt Release Cancellation)
- Use ISO transaction code REPU (Repo)
- Use ISO transaction code RODE (Return Delivery Without Matching)
- Use ISO transaction code RVPO (Reverse Repo)
- Use ISO transaction code SBBK (Sell Buy Back)
- Use ISO transaction code SBRE (Borrowing Reallocation)
- Use ISO transaction code SECB (Securities Borrowing)
- Use ISO transaction code SECL (Securities Lending)
- Use ISO transaction code SLRE (Lending Reallocation)
- Use ISO transaction code SUBS (Subscription)
- Use ISO transaction code SYND (Syndicate Underwriters)
- Use ISO transaction code TBAC (TBA Closing)
- Use ISO transaction code TRAD (Trade)
- Use ISO transaction code TRPO (Triparty Repo)
- Use ISO transaction code TRVO (Triparty Reverse Repo)
- Use ISO transaction code TURN (Turnaround)
- Party hold settlement instruction on a securities account or on behalf of an external CSD
- CSD hold settlement instruction either on a securities account or on behalf of the CSD in T2S

Reference Further information on screens involved can be found in the screen reference part:

- Settlement instructions – search/list* screen
- Settlement instruction - details* screen
- Settlement instruction - new* screen [▶ 220]

Instructions

1. Go to the *settlement instructions – search/list* screen:
`Securities >> Settlement >> Settlement Instructions`
2. Enter the information known to you about the settlement instruction you want to copy. At least one field of the general criteria frame has to be specified to perform the search.
3. Click on the *search* button.
 - ⇒ The *settlement instructions – search/list* screen is displayed. A list containing the search results is displayed on the screen.
 - ⇒ If the search retrieves a single settlement instruction, the *settlement instruction - details* screen is displayed directly, proceed with step 6.

4. Select the settlement instruction you want to copy by clicking on an entry in the list.
5. Click on the *details* button.
 - ⇒ The *settlement instruction - details* screen is displayed.
6. Click on the *copy row* icon.
 - ⇒ The *settlement instruction - new* screen is displayed taking by default the following fields from the original instruction: instructing party BIC, instructing party parent BIC, intended settlement date, trade date, priority, allowed modification flag, partial settlement indicator, threshold type, ISIN, securities description, securities account number, settlement quantity, settlement type, payment type code, credit/debit code, dedicated cash account, settlement amount, currency, delivering depository, delivering CSD participant information, client of the delivering CSD participant, receiving depository, receiving CSD participant, client of the receiving CSD participant.
7. Check if all the default values that have been copied from the settlement instruction in all the available frames are right for the new settlement instruction or modify them if needed.
8. Check that all mandatory information in the mandatory fields of the 'General Information' and the 'Detailed Information' frame is filled in. If not, enter all mandatory information.
9. Optionally, you can enter additional information about the settlement instruction into the optional fields in all the available frames.
10. To link your settlement instruction to another instruction, click on the *add row* icon to add a new link.

Link Type	Reference Type	Reference	Reference Owner	Options
No records found				
+				

Pool Instruction Reference:

Pool Instruction Counter:

Pool Reference Owner BIC:

11. Select and add all the requested information to identify the instruction you want your settlement instruction to be linked to. Once all the information is introduced click on the *submit changes* icon in the 'Options' column.

Link Type	Reference Type	Reference	Reference Owner	Options
...	...	<input type="text"/>	<input type="text"/>	✓
+				

Pool Instruction Reference:

Pool Instruction Counter:

Pool Reference Owner BIC:

⇒ The link has been added to the list.

12. If you want to add your settlement restriction on securities to a pool, enter the 'Pool Identification' field, 'Pool Instruction Counter' field and the 'Pool Reference Owner BIC' field instead.

The screenshot shows a table titled 'Link' with the following columns: Link Type, Reference Type, Reference, Reference Owner, and Options. The table currently displays 'No records found'. Below the table, there are three input fields: 'Pool Instruction Reference', 'Pool Instruction Counter', and 'Pool Reference Owner BIC'. These fields are highlighted with a red box.



Repetition

To add more links, repeat steps 10 to 11.

13. To remove a link from the list of links to be added to the settlement instruction, click on the *delete row* icon in the 'Options' column.

⇒ The link has been deleted from the list of links.

14. To add a restriction, click on the *add row* icon to add a new restriction reference.

The screenshot shows a table titled 'Use of Restriction' with the following columns: Restriction Reference and Options. The table currently displays 'No records found'. Below the table, there is a red box around the '+' icon, indicating the 'add row' button.

15. Enter the restriction reference you want to make use of and click on the *submit changes* icon in the 'Options' column.

⇒ A new restriction reference has been added to the list.



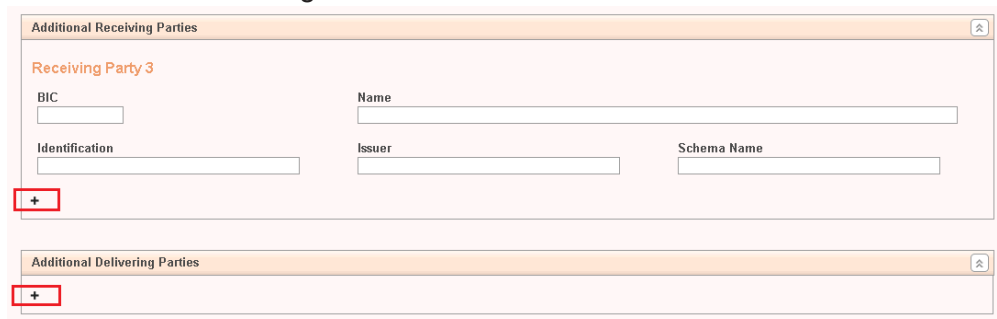
Repetition

To add more restrictions, repeat steps 14 and 15.

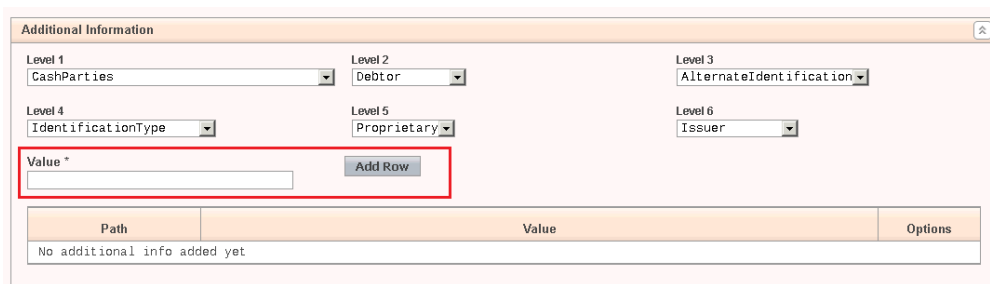
16. To remove a restriction reference from the list of restriction references to be added to the settlement instruction, click on the *delete row* icon in the 'Options' column.

⇒ The restriction reference has been deleted from the list of restriction references.

17. To enter additional data related to the parties involved in the settlement instruction, click on the *add row* icon in the 'Additional Receiving Parties' and/or 'Additional Delivering Parties' frames and enter all relevant information.



18. To add additional information, select the value from the 'Level 1' drop-down menu in the 'Additional Information' frame.
19. Continue this selection within the interdependent menus from the 'Level 2' drop-down menu till the 'Level 6' drop-down menu. Each level depends on the next in a successive order. The content of the select boxes varies through the different levels.
20. Once you have selected all the relevant levels, fill in the value and click on the *add row* icon.



⇒ The additional information has been added to the list.



Repetition

To add more additional information paths, repeat steps 19 to 20.

21. To remove an entry from the list of additional information to be added to the settlement instruction, click on the *delete row* icon in the 'Options' column.

⇒ The entry has been deleted from the list of additional information.



Alternatives

To set all fields to default value and blank out all optional fields, click on the *reset* button.

To cancel the process and return to the previous screen, click on the *cancel* button.

22. Click on the *submit* button.

23. Enter your PIN for digital signature purposes (NRO).

- ➔ The new settlement instruction has been created based on a copied settlement instruction.

3.15.1.3 Respond to an Allegement Instruction

Context of Usage

This business scenario describes how to create a new settlement instruction based on the information provided by an allegement instruction. When receiving an allegement instruction, T2S indicates you that there is a settlement instruction alleged against you. To confirm it, you need to respond to the allegement by creating your settlement instruction.

Responding to an allegement instruction is one option for the creation of a new settlement instruction.

This business scenario is not relevant for CB users.

Privileges

To carry out this business scenario, you need the following privileges:

- Allegement query
- Send new settlement instruction/settlement restriction on securities either on a securities account or on behalf of an external CSD
- Send new instruction using a specific instructing party
- Send settlement instruction with non-modifiable flag activated
- Link to an instruction/pool reference belonging to a specific party
- Use ISO transaction code AUTO (auto-collateralisation)
- Use ISO transaction code BSBK (buy sell back)
- Use ISO transaction code CLAI (market claim)
- Use ISO transaction code CNCB (central bank collateral operation)
- Use ISO transaction code COLI (collateral in)
- Use ISO transaction code COLO (collateral out)
- Use ISO transaction code CONV (depository receipt conversion)
- Use ISO transaction code CORP (corporate action)
- Use ISO transaction code ETFT (exchange traded funds)
- Use ISO transaction code FCTA (factor update)
- Use ISO transaction code INSP (move of stock)
- Use ISO transaction code ISSU (issuance)
- Use ISO transaction code MKDW (mark down)
- Use ISO transaction code MKUP (mark up)

- Use ISO transaction code NETT (netting)
- Use ISO transaction code NSYN (non syndicated)
- Use ISO transaction code OWNE (external account transfer)
- Use ISO transaction code OWNI (internal account transfer)
- Use ISO transaction code PAIR (pair off)
- Use ISO transaction code PLAC (placement)
- Use ISO transaction code PORT (portfolio move)
- Use ISO transaction code REAL (realignment)
- Use ISO transaction code REDI (withdrawal)
- Use ISO transaction code REDM (redemption)
- Use ISO transaction code RELE (depository receipt release cancellation)
- Use ISO transaction code REPU (repo)
- Use ISO transaction code RODE (return delivery without matching)
- Use ISO transaction code RVPO (reverse repo)
- Use ISO transaction code SBBK (sell buy back)
- Use ISO transaction code SBRE (borrowing reallocation)
- Use ISO transaction code SECB (securities borrowing)
- Use ISO transaction code SECL (securities lending)
- Use ISO transaction code SLRE (lending reallocation)
- Use ISO transaction code SUBS (subscription)
- Use ISO transaction code SYND (syndicate underwriters)
- Use ISO transaction code TBAC (TBA closing)
- Use ISO transaction code TRAD (trade)
- Use ISO transaction code TRPO (triparty repo)
- Use ISO transaction code TRVO (triparty reverse repo)
- Use ISO transaction code TURN (turnaround)
- Party hold settlement instruction on a securities account or on behalf of an external CSD
- CSD hold settlement instruction either on a securities account or on behalf of the CSD in T2S

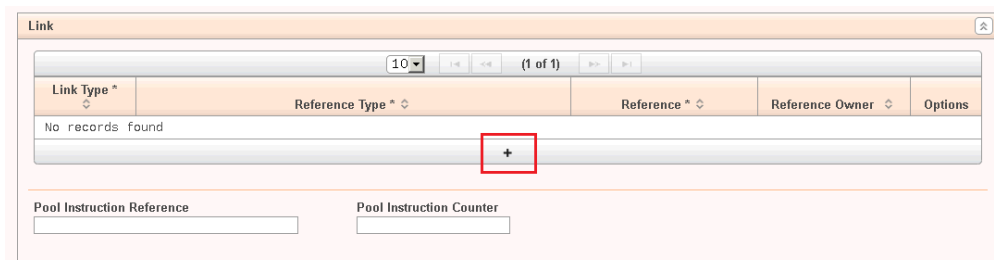
Reference

Further information on screens involved can be found in the screen reference part:

- Allegement instructions – search/list* screen [▶ 100]
- Allegement instruction – details* screen [▶ 104]

Instructions

- *Settlement instruction – new screen* [▶ 220]
1. Go to the *allegement instructions – search/list* screen:
Securities >> Settlement >> Settlement Instruction Allegements
 2. Enter all search criteria known to you about the allegement instruction you want to respond to.
 3. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the screen.
 - ⇒ If the search retrieves only one allegement instruction, the *allegement instruction - details* screen is displayed directly. Proceed with step 6.
 4. Select the allegement instruction you want to respond to by clicking on an entry in the list.
 5. Click on the *details* button.
 - ⇒ The *allegement instruction - details* screen is displayed.
 6. Click on the *new settlement instruction* button.
 - ⇒ The *settlement instruction - new* screen is displayed, taking by default the following fields from the original instruction: trade date, intended settlement date, cash amount, currency, securities quantity, ISIN, partial settlement indicator, securities transaction type.
 7. Check if the copied default values are right for the new settlement instruction or modify them if needed.
 8. Check that all mandatory fields of the ‘General Information’ and the ‘Detailed Information’ frames are filled in. If not, enter all mandatory information.
 9. Optionally, you can enter additional information about the settlement instruction into the optional fields in all the available frames.
 10. To link your settlement instruction to another instruction, click on the *add row* icon to add a new link.



11. Select and add all the requested information to identify the instruction you want your settlement instruction to be linked with. Once all the information is filled in click on the *submit changes* icon in the 'Options' column.

Link Type *	Reference Type *	Reference *	Reference Owner *	Options
---	---			✓

Pool Instruction Reference:

Pool Instruction Counter:

⇒ The link has been added to the list.

12. If you want to add your settlement instruction to a pool, enter the pool identification and the pool instruction counter instead.

Link Type *	Reference Type *	Reference *	Reference Owner *	Options
No records found				

Pool Instruction Reference:

Pool Instruction Counter:



Repetition

To add more links, repeat steps 10 to 11.

13. To remove a link from the list of links to be added to the settlement instruction, click on the *delete row* icon in the 'Options' column.

⇒ The link has been deleted from the list of links.

14. To add a restriction, click on the *add row* icon to add a new restriction reference.

Cash SubBalance Type:

Securities SubBalance Type:

Restriction Reference *	Options
No records found	+

15. Enter the restriction reference you want to make use of and click on the *submit changes* icon in the 'Options' column.

⇒ A new restriction reference has been added to the list.



Repetition

To add more restrictions, repeat steps 14 and 15.

16. To remove a restriction reference from the list of restriction references to be added to the settlement instruction, click on the *delete row* icon in the 'Options' column.
 - ⇒ The restriction reference has been deleted from the list of restriction references.
17. To enter additional data related to the parties involved in the settlement instruction, click on the *add row* icon in the 'Additional Receiving Parties' and/or 'Additional Delivering Parties' frames and enter all relevant information.

18. To add additional information, select the value from the 'Level 1' drop-down menu in the 'Additional Information' frame.
19. Continue this selection within the interdependent menus from the 'Level 2' drop-down menu till the 'Level 6' drop-down menu. Each level depends on the next in a successive order. The content of the drop-down menu varies through the different levels.
20. Once you have selected all the relevant levels, enter the value and click on the *add row* icon.

- ⇒ The additional information has been added to the list.



Repetition

To add more additional information paths, repeat steps 19 to 20.

21. To remove an entry from the list of additional information to be added to the settlement instruction, click on the *delete row* icon in the 'Options' column.
 - ⇒ The entry has been deleted from the list of additional information.



Alternatives

To set all fields to default value and blank out all optional fields, click on the *reset* button.

To cancel the process and return to the previous screen, click on the *cancel* button.

22. Click on the *submit* button.

23. Enter your PIN for digital signature purposes (NRO).

➔ The new settlement instruction has been created.

3.15.1.4 View Settlement Instruction Details

Context of Usage

This business scenario describes how to view the details of a settlement instruction.

Once a settlement instruction is created in T2S it might be necessary for you to check its details to be aware of updates during the lifecycle of the settlement instruction.

Viewing settlement instruction details is optional for the creation of a new settlement instruction.

This business scenario is not relevant for CB users.

Privileges

To carry out this business scenario, you need the following privileges:

- Settlement instruction query
- Settlement instruction current status query

Reference

Further information on screens involved can be found in the screen reference part:

- Settlement instructions – search/list* screen
- Settlement instruction – details* screen

Instructions

1. Go to the *settlement instructions – search/list* screen:
Securities >> Settlement >> Settlement Instructions
2. Enter at least one general search criterion known to you about the settlement instruction for which you want to view the details.
3. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the screen.
 - ⇒ If the search retrieves only one settlement instruction, the *settlement instruction – details* screen is displayed directly.

**Alternative**

To set all fields to default value and blank out all optional fields, click on the *re-set* button.

4. Select the settlement instruction for which you want to view the details by clicking on the entry in the list.
 5. Click on the *details* button.
 - ⇒ The *settlement instruction – details* screen is displayed.
- ➔ You have viewed the settlement instruction details.

3.15.1.5 View Allegement Settlement Instruction Details

Context of Usage

This business scenario describes how to view the details of an allegement instruction. Once the allegement instruction is created in T2S it might be necessary for you to check its status.

Viewing allegement settlement instruction details is optional for the creation of a new settlement instruction.

This business scenario is not relevant for CB users.

Privileges

To carry out this business scenario, you need the following privilege:

- Allegement query

Reference

Further information on screens involved can be found in the screen reference part:

- Allegement instructions – search/list* screen [▶ 100]
- Allegement instruction - details* screen [▶ 104]

Instructions

1. Go to the *allegement instructions – search/list* screen:
Securities >> Settlement >> Settlement Instruction Allegements
2. Enter all search criteria known to you about the allegement instruction for which you want to view the details.

**Alternative**

To set all fields to default value and blank out all optional fields, click on the *re-set* button.

3. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the screen.
 - ⇒ If the search retrieves only one allegement instruction, the *allegement instruction - details* screen is displayed directly.

4. Select the allegation instruction for which you want to view the details by clicking on an entry in the list.
5. Click on the *details* button.
 - ⇒ The *allegation instruction - details* screen is displayed.
 - ➔ You have viewed the allegation settlement instruction details.

3.15.2 Creation of a New Amendment Instruction for a Settlement Instruction

Overview

This business package describes the creation of a new amendment instruction for a settlement instruction.

You can create a new amendment instruction for a settlement instruction and afterwards, you can also check the information of the amendment instruction in T2S.

To create a new amendment instruction for a settlement instruction, carry out the business scenarios in the predefined order and choose the business scenario relevant to you whenever options are given.

Business Scenarios

1. Create a new amendment instruction for a settlement instruction
 - Add a link for a settlement instruction [▶ 1324]
 - Amend the partial settlement indicator for a settlement instruction [▶ 1327]
 - Amend the priority for a settlement instruction [▶ 1328]
 - Remove a link for a settlement instruction [▶ 1330]
2. View amendment instruction for a settlement instruction details [▶ 1332]

3.15.2.1 Add a Link for a Settlement Instruction

Context of Usage

This business scenario describes how to link a settlement instruction in order to settle it at the same time, after or before another settlement instruction or settlement restriction.

Adding a link for a settlement instruction is one option for the creation of an amendment instruction for a settlement instruction.

Before you add a link for your settlement instruction, check whether the settlement instruction is neither cancelled, partially settled, settled nor on CoSD hold.

You can check the status of your settlement instruction before creating the amendment instruction through the details screen as described in the business scenario view settlement instruction details [▶ 1322].

The number of links that can be added per amendment instruction is unbounded.

This business scenario is not relevant for NCB users.

- Privileges** To carry out this business scenario, you need the following privileges:
- Settlement instruction query
 - Settlement instruction current status query
 - Settlement instruction status audit trail query
 - Send new instruction using a specific instructing party
 - Link to an instruction/pool reference belonging to a specific party
 - Amend process indicator of a settlement instruction / settlement restriction on securities either on a securities account or on behalf of the CSD in T2S or on behalf of an external CSD
 - Amend process indicator of a non-modifiable settlement instruction on behalf of the instructing party of the underlying instruction
- Reference** Further information on screens involved can be found in the screen reference part:
- Settlement instructions – search/list* screen
 - Settlement instruction - details* screen
 - Amendment securities instruction - new* screen [▶ 113]
- Instructions**
1. Go to the *settlement instructions – search/list* screen:
Securities >> Settlement >> Settlement Instructions
 2. Enter the relevant information about the settlement instruction you want to link.
 3. Click on the *search* button.
 - ⇒ The *settlement instructions – search/list* screen is displayed.
 - ⇒ If the search retrieves a single settlement instruction, the *settlement instruction - details* screen is displayed directly. Proceed with step 6.
 4. Select the settlement instruction you want to amend by clicking on an entry in the list.
 5. Click on the *details* button.
 - ⇒ The *settlement instruction - details* screen is displayed.
 6. Click on the *amendment instruction* button in the button bar.
 - ⇒ The *amendment securities instruction - new* screen is displayed.
 7. Enter all mandatory information in the 'General' frame.
 8. Select the *linkage information* radio button, located on the lower center side of the *amendment securities instruction - new* screen.



Amendment Type

Priority Linkage Information Partial Settlement Indicator

⇒ The 'Linkage Information' and the 'Link' frames are displayed.

9. Select the *link* radio button to add a link.



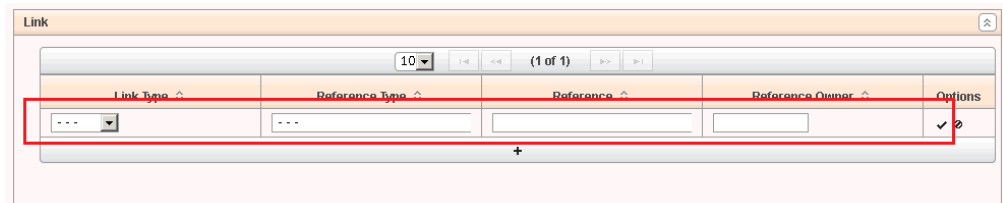
The screenshot shows a window titled 'Linkage Information'. At the bottom, there are two radio buttons: 'LINK' (which is selected) and 'UNLINK'.

10. Click on the *add row* icon in the 'Link' frame to display the links related input fields.



The screenshot shows a window titled 'Link' containing a table. The table has five columns: 'Link Type', 'Reference Type', 'Reference', 'Reference Owner', and 'Options'. The table is currently empty, showing 'No records found'. A red box highlights a '+' icon in the 'Options' column, which is used to add a new row.

11. Enter the relevant information to identify the instruction you want your instruction to be linked with in the 'Link' frame and click on the *submit changes* icon in the 'Options' column.



The screenshot shows the 'Link' frame with a table that now contains one row. The row has values in the 'Link Type', 'Reference Type', 'Reference', and 'Reference Owner' columns. A red box highlights the 'Options' column, which now contains a checkmark icon, indicating that the link has been successfully added.

⇒ The link has been added to the list.

12. To remove an entry from the list of links to be added, click on the *delete row* icon in the 'Options' column.

⇒ The link has been deleted from the list.



Repetition

To add more links, repeat steps 10 to 11.

You can add as many links as you like.



Alternatives

To set default criteria and blank out all optional fields, click on the *reset* button.

To cancel the process and return to the previous screen, click on the *cancel* button.

13. Click on the *submit* button.

14. Enter your PIN for digital signature purposes (NRO).

➔ A new amendment instruction to add a link for a settlement instruction has been created.

3.15.2.2 Amend the Partial Settlement Indicator for a Settlement Instruction

Context of Usage

This business scenario describes how to amend the partial settlement indicator for a settlement instruction. You can create a new amendment instruction for your settlement instruction to change its partial settlement indicator and threshold type.

Amending the partial settlement indicator is one option for the creation of an amendment instruction for a settlement instruction.

Before you amend the partial settlement indicator for your settlement instruction, check whether it is neither cancelled nor settled nor on CoSD hold. In addition, partial settlement is not possible for linked settlement instructions. You can check the status of your settlement instruction before creating the amendment instruction through the details screen as described in the business scenario view settlement instruction details [▶ 1322].

Privileges

To carry out this business scenario, you need the following privileges:

- Settlement instruction query
- Settlement instruction current status query
- Settlement instruction status audit trail query
- Send new instruction using a specific instructing party
- Link to an instruction/pool reference belonging to a specific party
- Amend process indicator of a settlement instruction / settlement restriction on securities either on a securities account or on behalf of the CSD in T2S or on behalf of an external CSD
- Amend process indicator of a non-modifiable settlement instruction on behalf of the instruction party of the underlying instruction

Reference

Further information on screens involved can be found in the screen reference part:

- Settlement instructions – search/list* screen
- Settlement instruction – details* screen
- Amendment securities instruction – new* screen [▶ 113]


Instructions

1. Go to the *settlement instructions – search/list* screen:
Securities >> Settlement >> Settlement Instructions
2. Enter at least one general search criterion known to you about the settlement instruction for which you want to amend the partial settlement indicator.
3. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the *settlement instructions – search/list* screen.

- ⇒ If the search retrieves a single settlement instruction, the *settlement instruction – details* screen is displayed directly. Proceed with step 6.
4. Select the settlement instruction you want to amend by clicking on an entry in the list.
 5. Click on the *details* button.

⇒ The *settlement instruction – details* screen is displayed.
 6. Click on the *amendment instruction* button, located on the lower lefthand side of the *settlement instruction – details* screen.

⇒ The *amendment securities instruction – new* screen is displayed.
 7. Click on the *partial settlement indicator* radio button, located on the lower right hand side of the *amendment securities instruction - new* screen.



Amendment Type

Priority Linkage Information Partial Settlement Indicator

- ⇒ The *partial settlement indicator* frame is displayed.
8. Select the new partial settlement indicator from the drop-down menu.



Partial Settlement Indicator

Partial Settlement Indicator *

Partial allowed

Partial allowed

Partial not Allowed

Partial Settlement Cash Threshold allowed

Partial Settlement Quantity Threshold allowed



Alternatives

To set all fields to default criteria and blank out all optional fields, click on the *reset* button.

To cancel the process and return to the previous screen, click on the *cancel* button.

9. Click on the *submit* button.
10. Enter your PIN for digital signature purposes (NRO).

➔ A new amendment instruction to change the partial settlement indicator has been created.

3.15.2.3 Amend the Priority for a Settlement Instruction

Context of Usage

This business scenario describes how to amend the priority for a settlement instruction. You can create a new amendment instruction to amend the priority for your settlement instruction to prioritise or delay its settlement.

Amending the priority for a settlement instruction is one option for the creation of an amendment instruction for a settlement instruction.

Before you amend the priority for a settlement instruction, check whether it is neither cancelled nor settled nor on CoSD Hold. You can check the status of your settlement instruction before creating the amendment instruction, through the *details* screen as described in the business scenario view settlement instruction details [▶ 1322].

This business scenario is not relevant for CB users.

Privileges

To carry out this business scenario, you need the following privileges:

- Link to an instruction/pool reference belonging to a specific party
- Settlement instruction query
- Settlement instruction current status query
- Settlement instruction audit trail query
- Send new instruction using a specific instructing party
- Amend process indicator of a settlement instruction/settlement restriction on securities either on a securities account or on behalf of the CSD in T2S or on behalf of an external CSD
- Amend process indicator of a non-modifiable settlement instruction on behalf of the instructing party of the underlying instruction

Reference

Further information on screens involved can be found in the screen reference part:

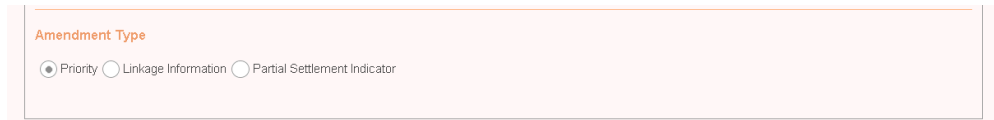
- Settlement instructions – search/list* screen
- Settlement instruction – details* screen
- Amendment securities instruction - new* screen [▶ 113]

Instructions

1. Go to the *settlement instructions – search/list* screen:
Securities >> Settlement >> Settlement Instructions
2. Enter all search criteria known to you about the settlement instruction for which you want to amend the priority.
3. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the screen.
 - ⇒ If the search retrieves only one settlement instruction, the *settlement instruction – details* screen is displayed directly. Proceed with step 6.
4. Select the settlement instruction for which you want to amend the priority by clicking on an entry in the list.
5. Click on the *details* button.
 - ⇒ The *settlement instruction – details* screen is displayed.
6. Check the current priority value and click on the *amendment instruction* button.

⇒ The *amendment securities instruction – new* screen is displayed.

7. Click on the *priority* radio button, located on the lower left-hand side of the screen.



Amendment Type

Priority Linkage Information Partial Settlement Indicator

⇒ The 'Priority' frame is displayed.

8. Select the new priority from the drop-down menu.



Priority

Priority Level *

High
Normal
Reserved



Alternatives

To set default criteria and blank out all optional fields, click on the *reset* button.

To cancel the process and return to the previous screen, click on the *cancel* button.

9. Click on the *submit* button.
 10. Enter your PIN for digital signature purposes (NRO).
- ➔ A new amendment instruction to change the priority has been created.

3.15.2.4 Remove a Link for a Settlement Instruction

Context of Usage

This business scenario describes how to remove an existing link in a settlement instruction.

Removing a link for a settlement instruction is one option for the creation of a new amendment instruction for a settlement instruction.

Before you remove a link for your settlement instruction, check whether it is neither settled nor cancelled. You can check the status of your settlement instruction before creating the amendment instruction through the details screen as described in the business scenario view settlement instruction details [▶ 1322].

The number of links that can be removed per amendment instruction is limited to the number of existing linkages in the settlement instruction.

If you want to modify an already existing link in your settlement instruction, you have first to remove the existing link and then add a new link to your settlement instruction.

This business scenario is not relevant for CB users.

Privileges

To carry out this business scenario, you need the following privileges:

- Settlement instruction query

- Settlement instruction current status query
- Settlement instruction status audit trail query
- Link to an instruction/pool reference belonging to a specific party
- Amend process indicator of a settlement instruction/settlement restriction on securities either on a securities account or on behalf of the CSD in T2S or on behalf of an external CSD
- Amend process indicator of a non-modifiable settlement instruction on behalf of the instructing party of the underlying instruction
- Send new instruction using a specific instructing party

Reference

Further information on screens involved can be found in the screen reference part:

- Settlement instructions – search/list* screen
- Settlement instruction – details* screen
- Amendment securities instruction – new* screen [▶ 113]

Instructions

1. Go to the *settlement instructions – search/list* screen:
Securities >> Settlement >> Settlement Instructions
2. Enter all search criteria known to you about the settlement instruction you want to amend.
3. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the screen.
 - ⇒ If the search retrieves only one settlement instruction, the *settlement instructions – details* screen is displayed directly. Proceed with step 6.
4. Select the settlement instruction you want to amend by clicking on an entry in the list.
5. Click on the *details* button.
 - ⇒ The *settlement instruction – details* screen is displayed.
6. Click on the *amendment instruction* button, located on the lower left-hand side of the screen.
 - ⇒ The *amendment securities instruction – new* screen is displayed.
7. Enter all mandatory information in the 'General' subframe.
8. Click on the *linkage information* radio button, located on the lower center side of the *amendment securities instruction – new* screen.



Amendment Type

Priority Linkage Information Partial Settlement Indicator

- ⇒ The 'Linkage Information' and 'Link' frames are displayed.

9. Select the *unlink* radio button.



⇒ The existing links of the referenced settlement instruction are displayed in the 'Link' frame below.

10. Select the link(s) to be removed from the list.



Alternatives

To set default criteria and blank out all optional fields, click on the *reset* button. To cancel the process and return to the previous screen, click on the *cancel* button.

11. Click on the *submit* button.

12. Enter your PIN for digital signature purposes (NRO).

➔ A new amendment instruction to remove a link for a settlement instruction has been created.

3.15.2.5 View Amendment Instruction for a Settlement Instruction Details

Context of Usage

This business scenario describes how to view the details of an amendment instruction for a settlement instruction. Once an amendment instruction for a settlement instruction has been created in T2S it might be necessary for you to check its details to be aware of updates during the lifecycle of the amendment instruction.

Viewing an amendment instruction for a settlement instruction is optional for the creation of a new amendment instruction for a settlement instruction.

This business scenario is not relevant for CB users.

Privileges

To carry out this business scenario, you need the following privileges:

- Maintenance for intra-position movements and settlement instructions query
- Amendment instruction query for intra-position movements and settlement instructions

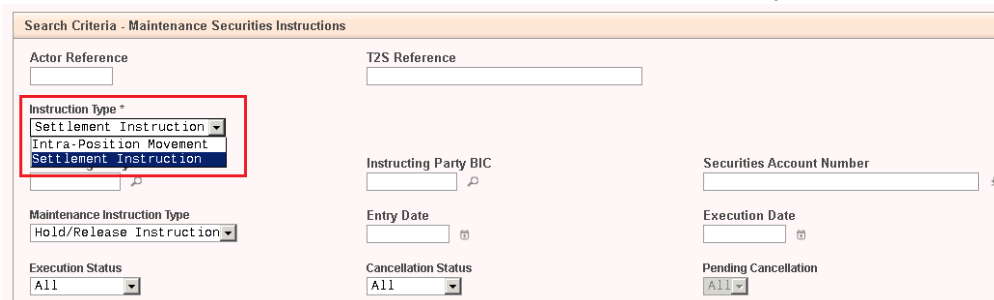
Reference

Further information on screens involved can be found in the screen reference part:

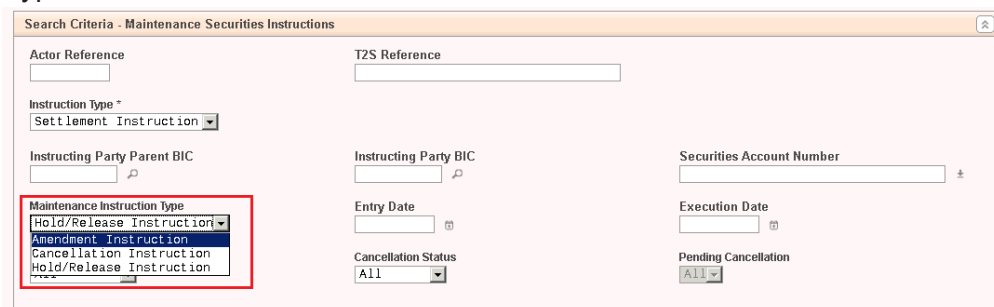
- Maintenance securities instructions – search/list* screen [▶ 174]
- Amendment securities instruction – details* screen [▶ 108]

Instructions

1. Go to the *maintenance securities instructions – search/list* screen:
Securities >> Settlement >> Maintenance Securities Instructions
2. Enter all search criteria known to you about the amendment instruction for a settlement instruction for which you want to view the details.
3. Select the value 'Settlement Instruction' in the 'Instruction Type' field.



4. Select the value 'Amendment Instruction' in the 'Maintenance Instruction Type' field.

**Alternative**

To set all fields to default value and blank out all optional fields, click on the *reset* button.

5. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the screen.
 - ⇒ If the search retrieves only one amendment instruction for a settlement instruction, the *amendment securities instruction – details* screen is displayed directly.
6. Select the amendment instruction for a settlement instruction for which you want to view the details by clicking on an entry in the list.
7. Click on the *details* button.
 - ⇒ The *amendment securities instruction – details* screen is displayed.
- ➔ You have viewed the details of an amendment instruction for a settlement instruction.

3.15.3 Creation of a New Hold/Release Instruction for a Settlement Instruction

Overview

This business package describes the creation of a new hold/release instruction for a settlement instruction.

You can create a new hold/release instruction and afterwards check the information of the hold/release instruction in T2S.

To create a new hold/release instruction for a settlement instruction, carry out the business scenarios in the predefined order and choose the business scenario relevant to you whenever options are given:

Business Scenarios

1. Create a new hold instruction
 - Put a settlement instruction on party hold [[▶ 1334](#)]
 - Put a settlement instruction on CSD hold [[▶ 1336](#)]
2. Create a new release instruction
 - Release a settlement instruction from party hold [[▶ 1338](#)]
 - Release a settlement instruction from CSD hold [[▶ 1341](#)]
 - Release a settlement instruction from CoSD hold [[▶ 1343](#)]
 - Release a settlement instruction from CSD validation hold [[▶ 1345](#)]
3. View hold/release instruction details [[▶ 1346](#)]

3.15.3.1 Put a Settlement Instruction on Party Hold

Context of Usage

This business scenario describes how to put a settlement instruction on party hold through the creation of a hold instruction. Settlement instructions put on party hold are not eligible for settlement until they are released. This is necessary if you want to prevent the settlement instruction to be settled.

Putting a settlement instruction on party hold is one option for the creation of a new hold/release instruction for a settlement instruction.

Before you create a new hold instruction for party hold, check whether the settlement instruction is eligible for party hold. A settlement instruction is eligible to be put on party hold if it is not cancelled, settled, already put on party hold nor identified as CoSD. You can check the status of your settlement instruction before creating the hold instruction through the details screen as described in the business scenario view settlement instruction details [[▶ 1322](#)].

This business scenario is not relevant for CB users.

Privileges

To carry out this business scenario, you need the following privileges:

- Settlement instruction query
- Settlement instruction current status query

- Settlement instruction status audit trail query
- Party hold settlement instruction on a securities account or on behalf of an external CSD
- Send new instruction using a specific instructing party

Reference

Further information on screens involved can be found in the screen reference part:

- Settlement instructions – search/list* screen
- Settlement instruction – details* screen
- Hold/release instruction - new* screen [▶ 136]

Instructions

1. Go to the *settlement instructions – search/list* screen:
Securities >> Settlement >> Settlement Instructions
2. Enter at least one general search criterion known to you about the settlement instruction you want to put on party hold.
3. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the screen.
 - ⇒ If the search retrieves only one settlement instruction, the *settlement instruction – details* screen is displayed directly. Proceed with step 6.
4. Select the settlement instruction you want to put on party hold by clicking on an entry in the list.
5. Click on the *details* button.
 - ⇒ The *settlement instruction – details* screen is displayed.
6. Check the details of the settlement instruction and click on the *hold/release* button.
 - ⇒ The *hold/release instruction – new* screen is displayed.
7. Enter all mandatory information in the ‘General’ subframe.
8. Select the ‘Party Hold’ check box in the ‘Hold/Release’ subframe.

Current Hold Status	Hold Types	Release Types
Party Hold Status Released	Party Hold <input checked="" type="checkbox"/>	Party Release <input type="checkbox"/>
CSD Hold Status Released	CSD Hold <input type="checkbox"/>	CSD Release <input type="checkbox"/>
CSD Validation Hold Status Released		CSD Validation Release <input type="checkbox"/>
CoSD Hold Status Released		CoSD Release <input type="checkbox"/>
		CoSD Rule Identification <input type="text"/>



Alternative

To set all fields to default value and blank out all optional fields, click on the *reset* button.

To cancel the process and return to the previous screen, click on the *cancel* button.

9. Click on the *submit* button.

10. Enter your PIN for digital signature purposes (NRO).

➔ A new hold instruction for party hold has been created.

3.15.3.2 Put a Settlement Instruction on CSD Hold

Context of Usage

This business scenario describes how to put a settlement instruction on CSD hold through the creation of a hold instruction. This is necessary if you want to prevent the settlement instruction to be settled. Settlement instructions put on CSD hold are not eligible for settlement until they are released.

Putting a settlement instruction on CSD hold is one option for the creation of a new hold/release instruction for a settlement instruction.

Before you create a new hold instruction for CSD hold, check whether the settlement instruction is eligible for CSD hold. A settlement instruction is eligible to be put on CSD hold if it is not cancelled, settled, already put on CSD hold nor identified as CoSD. You can check the status of your settlement instruction before creating the hold instruction through the details screen as described in the business scenario view settlement instruction details [▶ 1322].

This business scenario is not relevant for CB users.

Privileges

To carry out this business scenario, you need the following privileges:

- Settlement instruction query
- Settlement instruction current status query
- Settlement instruction status audit trail query
- CSD hold settlement instruction either on a securities account or on behalf of the CSD in T2S
- Send new instruction using a specific instructing party

Reference

Further information on screens involved can be found in the screen reference part:

- Settlement instructions - search/list* screen
- Settlement instruction - details* screen
- Hold/release instruction - new* screen [▶ 136]

Instructions

1. Go to the *settlement instructions – search/list* screen:
Securities >> Settlement >> Settlement Instructions
2. Enter at least one general search criterion known to you about the settlement instruction you want to put on CSD hold.
3. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the screen.
 - ⇒ If the search retrieves only one settlement instruction, the *settlement instruction - details* screen is displayed directly. Proceed with step 6.
4. Select the settlement instruction you want to put on CSD hold by clicking on an entry in the list.
5. Click on the *details* button.
 - ⇒ The *settlement instruction – details* screen is displayed.
6. Check the details of the settlement instruction and click on the *hold/release* button.
 - ⇒ The *hold/release instruction – new* screen is displayed.
7. Enter all mandatory information in the ‘General’ subframe.
8. Select the ‘CSD Hold’ check box in the ‘Hold/Release’ subframe.

Current Hold Status	Hold Types	Release Types
Party Hold Status Released	Party Hold <input type="checkbox"/>	Party Release <input type="checkbox"/>
CSD Hold Status Released	CSD Hold <input checked="" type="checkbox"/>	CSD Release <input type="checkbox"/>
CSD Validation Hold Status Released		CSD Validation Release <input type="checkbox"/>
CoSD Hold Status Released		CoSD Release <input type="checkbox"/>
		CoSD Rule Identification <input type="text"/>

**Alternative**

To set all fields to default value and blank out all optional fields, click on the *reset* button.

To cancel the process and return to the previous screen, click on the *cancel* button.

9. Click on the *submit* button.
10. Enter your PIN for digital signature purposes (NRO).
 - ➔ A new hold instruction for CSD hold has been created.

3.15.3.3 Release a Settlement Instruction from Party Hold

Context of Usage

This business scenario describes how to release a settlement instruction from party hold. This is necessary if you want to release a settlement instruction that was previously put on party hold, in order to settle it. Settlement instructions put on party hold are not eligible for settlement until they are released.

Releasing a settlement instruction for party hold is one option for the creation of a new hold/release instruction for a settlement instruction.

Before you create a new release instruction for party hold, check whether the settlement instruction is eligible for the release from party hold. A settlement instruction is eligible to be released for party hold if it is not cancelled, settled nor already released for party hold. You can check the status of your settlement instruction before creating the release instruction through the details screen as described in the business scenario view settlement instruction details [▶ 1322].

This business scenario is not relevant for CB users.

Privileges

To carry out this business scenario, you need the following privileges:

- Settlement instruction query
- Settlement instruction current status query
- Settlement instruction status audit trail query
- Release party hold settlement instruction on a securities account or on behalf of an external CSD
- Release party hold auto-collateralisation instruction on a securities account
- Send new instruction using a specific instructing party

Reference

Further information on screens involved can be found in the screen reference part:

- Settlement instructions – search/list* screen
- Settlement instruction – details* screen
- Hold/release instruction - new* screen [▶ 136]

Instructions

1. Go to the *settlement instructions – search/list* screen:
Securities >> Settlement >> Settlement Instructions
2. Enter at least one general search criterion known to you about the settlement instruction you want to release from party hold.
3. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the screen.
 - ⇒ If the search retrieves only one settlement instruction, the *settlement instruction - details* screen is displayed directly. Proceed with step 6.

4. Select the settlement instruction you want to release for a party hold by clicking on an entry in the list.
5. Click on the *details* button.
 - ⇒ The *settlement instruction – details* screen is displayed.
6. Check the details of the settlement instruction and click on the *hold/release* button.
 - ⇒ The *hold/release instruction – new* screen is displayed.
7. Enter all mandatory information in the ‘General’ subframe.
8. Select the ‘Party Release’ check box in the ‘Hold/Release’ subframe.

The screenshot shows a 'Hold/Release' subframe with three columns: 'Current Hold Status', 'Hold Types', and 'Release Types'. Under 'Current Hold Status', there are four rows: 'Party Hold Status' (On Hold), 'CSD Hold Status' (Released), 'CSD Validation Hold Status' (Released), and 'CoSD Hold Status' (Released). Under 'Hold Types', there are two rows: 'Party Hold' and 'CSD Hold', both with unchecked checkboxes. Under 'Release Types', there are four rows: 'Party Release' (checked, highlighted with a red box), 'CSD Release', 'CSD Validation Release', and 'CoSD Release', all with unchecked checkboxes. At the bottom, there is a 'CoSD Rule Identification *' field with a text input box.



Alternative

To set all fields to default value and blank out all optional fields, click on the *reset* button.

To cancel the process and return to the previous screen, click on the *cancel* button.

9. Click on the *submit* button.
10. Enter your PIN for digital signature purposes (NRO).
 - ➔ A new release instruction for party hold has been created.

3.15.3.4 Partially Release a Settlement Instruction from Party Hold

Context of Usage

This business scenario describes how to partially release a settlement instruction from party hold. This is necessary if you want to partially release a settlement instruction that was previously put on party hold, in order to partially settle it. Settlement instructions put on party hold are not eligible for partial settlement until they are released or partially released.

Before you create a new partial release instruction for party hold, check whether the settlement instruction is eligible for the release from party hold. A business settlement instruction is eligible to be partially released for party hold if it is the delivering leg of the transaction, it is matched, its ISD has been reached, it is not cancelled, settled, already released for party hold and no other hold applies to the transaction. You can check the status of your settlement instruction before creating the partial release instruction through the details screen as described in the business scenario View Settlement Instruction Details [▶ 1322].

This business scenario is not relevant for CB users.

Privileges

To carry out this business scenario, you need the following privileges:

- Settlement instruction query
- Settlement instruction current status query
- Settlement instruction status audit trail query
- Release party hold settlement instruction on a securities account or on behalf of an external CSD
- Release party hold auto-collateralisation instruction on a securities account
- Send new instruction using a specific instructing party

Reference

Further information on screens involved can be found in the screen reference part:

- Settlement Instructions - Search/List Screen [▶ 187]
- Settlement Instruction - Details Screen [▶ 201]
- Hold/Release Instruction - New Screen [▶ 136]

Instructions

1. Go to the settlement instructions – search/list screen: Securities >> Settlement >> Settlement Instructions
2. Enter at least one general search criterion known to you about the settlement instruction you want to release from party hold.
3. Click on the search button.
 - ⇒ A list containing the search results is displayed on the screen.
 - ⇒ If the search retrieves only one settlement instruction, the settlement instruction - details screen is displayed directly. Proceed with step 6.
4. Select the settlement instruction you want to release for a party hold by clicking on an entry in the list.
5. Click on the details button.
 - ⇒ The settlement instruction – details screen is displayed.
6. Check the details of the settlement instruction and click on the hold/release button.

⇒ The hold/release instruction – new screen is displayed.

7. Enter all mandatory information in the 'General' subframe.
8. Select the 'Party Release' check box in the 'Hold/Release' subframe.
9. Insert the quantity to be released in the 'Released quantity' field.



Alternative

To set all fields to default value and blank out all optional fields, click on the reset button.

To cancel the process and return to the previous screen, click on the cancel button.

10. Click on the submit button.
 11. Enter your PIN for digital signature purposes (NRO).
- ➔ A new partial release instruction for party hold has been created.

3.15.3.5 Release a Settlement Instruction from CSD Hold

Context of Usage

This business scenario describes how to release a settlement instruction from CSD hold. Settlement instructions put on CSD hold are not eligible for settlement until they are released. This is necessary if you want to release a settlement instruction that was previously put on CSD hold, in order to settle it.

Releasing a settlement instruction for CSD hold is one option for the creation of a new hold/release instruction for a settlement instruction.

Before you create a new release instruction for CSD hold, check whether the settlement instruction is eligible for the release from CSD hold. A settlement instruction is eligible for CSD hold release if it is not cancelled, settled, or already released from CSD hold. You can check the status of your settlement instruction before creating the release instruction through the details screen as described in the business scenario view settlement instruction details [▶ 1322].

This business scenario is not relevant for CB users.

Privileges

To carry out this business scenario, you need the following privileges:

- Settlement instruction query
- Settlement instruction current status query
- Settlement instruction status audit trail query
- Release CSD hold settlement instruction either on a securities account or on behalf of the CSD in T2S
- Send new instruction using a specific instructing party

Reference Further information on screens involved can be found in the screen reference part:

- Settlement instructions – search/list* screen
- Settlement instruction – details* screen
- Hold/release instruction - new* screen [▶ 136]

Instructions

1. Go to the *settlement instructions – search/list* screen:
Securities >> Settlement >> Settlement Instructions
2. Enter at least one general search criterion known to you about the settlement instruction you want to release from CSD hold.
3. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the screen.
 - ⇒ If the search retrieves only one settlement instruction, the *settlement instruction – details* screen is displayed directly. Proceed with step 6.
4. Select the settlement instruction you want to release from CSD hold by clicking on an entry in the list.
5. Click on the *details* button.
 - ⇒ The *settlement instruction – details* screen is displayed.
6. Check the details of the settlement instruction and click on the *hold/release* button.
 - ⇒ The *hold/release instruction – new* screen is displayed.
7. Enter all mandatory information in the ‘General’ subframe.
8. Select the ‘CSD Release’ check box in the ‘Hold/Release’ subframe.

The screenshot shows the 'Hold/Release' subframe with three columns: 'Current Hold Status', 'Hold Types', and 'Release Types'. The 'CSD Release' checkbox is highlighted with a red box.

Current Hold Status	Hold Types	Release Types
Party Hold Status Released	Party Hold <input type="checkbox"/>	Party Release <input type="checkbox"/>
CSD Hold Status On Hold	CSD Hold <input type="checkbox"/>	CSD Release <input checked="" type="checkbox"/>
CSD Validation Hold Status Released		CSD Validation Release <input type="checkbox"/>
CoSD Hold Status Released		CoSD Release <input type="checkbox"/>
		CoSD Rule Identification * <input type="text"/>

**Alternative**

To set all fields to default value and blank out all optional fields, click on the *re-set* button.

To cancel the process and return to the previous screen, click on the *cancel* button.

9. Click on the *submit* button.
10. Enter your PIN for digital signature purposes (NRO).
 - ➔ A new release instruction for CSD hold has been created.

3.15.3.6 Release a Settlement Instruction from CoSD Hold

Context of Usage

This business scenario describes how to release a settlement instruction from CoSD hold. This process is necessary if you want to release a settlement instruction that was previously put on CoSD hold, in order to settle it. Settlement instructions put on CoSD hold are not eligible for settlement until they are released.

Releasing a settlement instruction for CoSD hold is one option for the creation of a new hold/release instruction for a settlement instruction.

Before you create a new release instruction for CoSD hold, check whether the settlement instruction is eligible for the release of CoSD hold. A settlement instruction is eligible to be released from CoSD hold if it is not cancelled, settled, already released for CoSD hold or if the settlement restrictions of the type CoSD blocking related to the CoSD process have not been settled yet. You can check the status of your settlement instruction before creating the release instruction through the details screen as described in the business scenario view settlement instruction details [▶ 1322].

This business scenario is not relevant for CB users.

Privileges

To carry out this business scenario, you need the following privileges:

- Settlement instruction query
- Settlement instruction current status query
- Settlement instruction status audit trail query
- Release CoSD rule of settlement instruction on behalf of administering party
- Send new instruction using a specific instructing party

Reference

Further information on screens involved can be found in the screen reference part:

- Settlement instructions – search/list* screen
- Settlement instruction – details* screen
- Hold/release instruction - new* screen [▶ 136]

Instructions

1. Go to the *settlement instructions – search/list* screen:
Securities >> Settlement >> Settlement Instructions
2. Enter at least one general search criterion known to you about the settlement instruction you want to release from CoSD hold.
3. Click on the *search* button.

- ⇒ A list containing the search results is displayed on the screen.
- ⇒ If the search retrieves only one settlement instruction, the *settlement instruction - details* screen is displayed directly. Proceed with step 6.
- 4. Select the settlement instruction you want to release from CoSD hold by clicking on an entry in the list.
- 5. Click on the *details* button.
 - ⇒ The *settlement instruction – details* screen is displayed.
- 6. Check the details of the settlement instruction and click on the *hold/release* button.
 - ⇒ The *hold/release instruction – new* screen is displayed.
- 7. Enter all mandatory information in the ‘General’ subframe.
- 8. Select the ‘CoSD Release’ check box and enter the reference of the relevant CoSD rule to be released in the ‘Hold/Release’ subframe.

The screenshot shows a form titled 'Hold/Release' with three columns: 'Current Hold Status', 'Hold Types', and 'Release Types'. The 'Current Hold Status' column has four rows: 'Party Hold Status Released', 'CSD Hold Status Released', 'CSD Validation Hold Status Released', and 'CoSD Hold Status On Hold'. The 'Hold Types' column has two rows: 'Party Hold' and 'CSD Hold', each with an unchecked checkbox. The 'Release Types' column has four rows: 'Party Release', 'CSD Release', 'CSD Validation Release', and 'CoSD Release', each with an unchecked checkbox. The 'CoSD Release' checkbox and the 'CoSD Rule Identification' text input field below it are highlighted with a red border.



Alternative

To set all fields to default value and blank out all optional fields, click on the *reset* button.

To cancel the process and return to the previous screen, click on the *cancel* button.

- 9. Click on the *submit* button.
- 10. Enter your PIN for digital signature purposes (NRO).
 - ➔ A new release instruction for CoSD hold has been created.

3.15.3.7 Release a Settlement Instruction from CSD Validation Hold

Context of Usage

This business scenario describes how to release a settlement instruction from CSD validation hold. This is necessary if you want to release a settlement instruction that was previously put on CSD validation hold in order to settle it. Settlement instructions put on CSD validation hold are not eligible for settlement until they are released.

Releasing a settlement instruction for CSD validation hold is one option for the creation of a new hold/release instruction for a settlement instruction.

Before you create a new release instruction for CSD validation hold, check whether the settlement instruction is eligible for the release from CSD validation hold. A settlement instruction is eligible to be released for a CSD validation hold if it is not cancelled, settled nor already released for CSD validation hold. You can check the status of your settlement instruction before creating the release instruction through the details screen as described in the business scenario view settlement instruction details [▶ 1322].

This business scenario is not relevant for CB users.

Privileges

To carry out this business scenario, you need the following privileges:

- Settlement instruction query
- Settlement instruction current status query
- Settlement instruction status audit trail query
- Release CSD validation hold settlement instruction either on a securities account or on behalf of the CSD in T2S
- Send new instruction using a specific instructing party

Reference

Further information on screens involved can be found in the screen reference part:

- Settlement instructions – search/list* screen
- Settlement instruction – details* screen
- Hold/release instruction - new* screen [▶ 136]

Instructions

1. Go to the *settlement instructions – search/list* screen:
Securities >> Settlement >> Settlement Instructions
2. Enter at least one general search criterion known to you about the settlement instruction you want to release from CSD validation hold.
3. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the screen.
 - ⇒ If the search retrieves only one settlement instruction, the *settlement instruction – details* screen is displayed directly. Proceed with step 6.

4. Select the settlement instruction you want to release CSD validation hold by clicking on an entry in the list.
5. Click on the *details* button.
 - ⇒ The *settlement instruction – details* screen is displayed.
6. Check the details of the settlement instruction and click on the *hold/release* button.
 - ⇒ The *hold/release instruction – new* screen is displayed.
7. Enter all mandatory information in the ‘General’ subframe.
8. Select the ‘CSD Validation Release’ check box in the ‘Hold/Release’ sub-frame.

The screenshot shows a form titled 'Hold/Release' with three columns: 'Current Hold Status', 'Hold Types', and 'Release Types'. Under 'Current Hold Status', there are four rows: 'Party Hold Status Released' (checkbox checked), 'CSD Hold Status Released' (checkbox checked), 'CSD Validation Hold Status On Hold' (checkbox checked), and 'CoSD Hold Status Released' (checkbox checked). Under 'Hold Types', there are two rows: 'Party Hold' (checkbox unchecked) and 'CSD Hold' (checkbox unchecked). Under 'Release Types', there are four rows: 'Party Release' (checkbox unchecked), 'CSD Release' (checkbox unchecked), 'CSD Validation Release' (checkbox unchecked, highlighted with a red box), and 'CoSD Release' (checkbox unchecked). At the bottom right, there is a 'CoSD Rule Identification' text input field.



Alternative

To set all fields to default value and blank out all optional fields, click on the *reset* button.

To cancel the process and return to the previous screen, click on the *cancel* button.

9. Click on the *submit* button.
10. Enter your PIN for digital signature purposes (NRO).
 - ➔ A new release instruction for CSD validation hold has been created.

3.15.3.8 View Hold/Release Instruction Details

Context of Usage

This business scenario describes how to view the details of a hold/release instruction. Once the hold/release instruction is created in T2S it might be necessary for you to check its details to be aware of updates during the lifecycle of the hold/release instruction.

Viewing a hold/release instruction details is one option for the creation of a new hold/release instruction for a settlement instruction.

This business scenario is not relevant for CB users.

Privileges

To carry out this business scenario, you need the following privileges:

- Amendment instruction query for intra-position movements and settlement instructions
- Hold/release instruction audit trail list query
- Maintenance for intra-position movements and settlement instructions query
- Cancellation instruction query for intra-position movements and settlement instructions

Reference

Further information on screens involved can be found in the screen reference part:

- Maintenance securities instructions – search/list* screen [▶ 174]
- Hold/release instruction – details* screen [▶ 132]

Instructions

1. Go to *maintenance securities instructions – search/list* screen:
Securities >> Settlement >> Maintenance Securities Instructions
2. Enter all search criteria known to you about the hold/release instruction for which you want to view the details.
3. Select the value 'Settlement Instruction' in the 'Instruction Type' field.

4. Select the value 'Hold/Release Instruction' in the 'Maintenance Instruction Type' field.



Alternatives

To set all fields to default value and blank out all optional fields, click on the *re-set* button.

5. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the screen.
 - ⇒ If the search retrieves only one hold/release instruction, the *hold/release instruction – details* screen is displayed directly.
6. Select the hold/release instruction for which you want to view the details.
7. Click on the *details* button.
 - ⇒ The *hold/release instruction – details* screen is displayed.
- ➔ You have viewed the details of a hold/release instruction.

3.15.4 Creation of a New Cancellation Instruction for a Settlement Instruction

Overview

This business package describes the creation of a new cancellation instruction for a settlement instruction.

You can create a new cancellation instruction for a settlement instruction and afterwards you can check the information of the cancellation instruction in T2S.

To create a new cancellation instruction for a settlement instruction, carry out the following business scenarios in the predefined order.

Business Scenarios

1. Cancel a settlement instruction [▶ 1348]
2. View cancellation instruction for a settlement instruction details [▶ 1350]
3. View alleged cancellation instructions [▶ 1351]

3.15.4.1 Cancel a Settlement Instruction

Context of Usage

This business scenario describes how to create a new cancellation instruction for a settlement instruction. This is necessary if you want to cancel a settlement instruction so it is not further processed in T2S.

Cancelling a settlement instruction is mandatory for the creation of a cancellation instruction for a settlement instruction.

Before you cancel a settlement instruction, check whether the settlement instruction is neither already cancelled, settled nor on CoSD hold. You can check the status of your settlement instruction before creating the cancellation instruction through the details screen as described in the business scenario view settlement instruction details [▶ 1322].

This business scenario is not relevant for CB users.

Privileges

To carry out this business scenario, you need the following privileges:

- Settlement instruction query
- Settlement instruction current status query

- Settlement instruction status audit trail query
- Cancel settlement instruction / settlement restriction on securities either on a securities account or on behalf of the CSD in T2S, on behalf of an external CSD or on behalf of an administering party
- Cancel non-modifiable instructions on behalf of the instructing party of the underlying settlement instruction
- Send new instruction using a specific instructing party

Reference

Further information on screens involved can be found in the screen reference part:

- Settlement instructions – search/list* screen
- Settlement instruction - details* screen
- Cancellation securities instruction - new* screen [▶ 127]

Instructions

1. Go to the *settlement instructions – search/list* screen:
Securities >> Settlement >> Settlement Instructions
2. Enter at least one general search criterion known to you about the settlement instruction for which you want to view the details.
3. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the *settlement instructions – search/list* screen.
 - ⇒ If the search retrieves a single settlement instruction, the *settlement instruction - details* screen is displayed directly. Proceed with step 6.
4. Select the settlement instruction you want to cancel by clicking on an entry in the list.
5. Click on the *details* button.
 - ⇒ The *settlement instruction - details* screen is displayed.
6. Click on the *cancellation instruction* button.
 - ⇒ The *cancellation securities instruction - new* screen is displayed.
7. Enter all relevant information in the 'General' frame if needed.

**Alternative**

To set all fields to default value and blank out all optional fields, click on the *reset* button.

To cancel the process and return to the previous screen, click on the *cancel* button.

8. Click on the *submit* button.
9. Enter your PIN for digital signature purposes (NRO).

➔ A new cancellation instruction for a settlement instruction has been created.

3.15.4.2 View Cancellation Instruction for a Settlement Instruction Details

Context of Usage

This business scenario describes how to view the details of a cancellation instruction for a settlement instruction. Once the amendment instruction for a settlement instruction is created in T2S it might be necessary for you to check its details to be aware of updates during the lifecycle of the cancellation instruction.

Viewing a cancellation instruction for a settlement instruction details is optional for the creation of a new cancellation instruction for a settlement instruction.

This business scenario is not relevant for CB users.

Privileges

To carry out this business scenario, you need the following privileges:

- Amendment instruction query for intra-position movements and settlement instructions
- Maintenance for intra-position movements and settlement instructions query
- Cancellation instruction query for intra-position movements and settlement instructions
- Cancellation instruction for intra-position movement or settlement instruction audit trail details query

Reference

Further information on screens involved can be found in the screen reference part:

- Maintenance securities instructions – search/list* screen [▶ 174]
- Cancellation securities instruction - details* screen [▶ 123]

Instructions

1. Go to the *maintenance securities instructions – search/list* screen:
Securities >> Settlement >> Maintenance Securities Instructions
2. Enter all search criteria known to you about the cancellation instruction for a settlement instruction for which you want to view the details.
3. Select the value 'Settlement Instruction' in the 'Instruction Type' field.

4. Select the value 'Cancellation Instruction' in the 'Maintenance Instruction Type' field.

The screenshot shows a search criteria form for 'Maintenance Securities Instructions'. The form includes several input fields and dropdown menus. The 'Maintenance Instruction Type' dropdown menu is highlighted with a red box, and 'Cancellation Instruction' is selected. Other fields include 'Actor Reference', 'T2S Reference', 'Instruction Type*' (Settlement Instruction), 'Instructing Party Parent BIC', 'Instructing Party BIC', 'Securities Account Number', 'Entry Date', 'Execution Date', 'Cancellation Status' (All), and 'Pending Cancellation' (All).



Alternative

To set all fields to default value and blank out all optional fields, click on the *reset* button.

5. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the screen.
 - ⇒ If the search retrieves only one cancellation instruction for a settlement instruction, the *cancellation securities instruction - details* screen is displayed directly.
6. Select the cancellation instruction for a settlement instruction for which you want to view the details.
7. Click on the *details* button.
 - ⇒ The *cancellation securities instruction - details* screen is displayed.
- ➔ You have viewed the details of a cancellation instruction for a settlement instruction.

3.15.4.3 View Alleged Cancellation Instructions

Context of Usage

This business scenario describes how to check if there is any settlement instruction requested for cancellation by the counterparty of the transaction in T2S. Once a settlement instruction is created in T2S it might be necessary for you to check if it has been requested for cancellation by the counterparty to create your own cancellation instruction in order to cancel the transaction in T2S. Once a settlement instruction is matched in T2S with its counterpart, bilateral cancellation by the two legs of the transaction is required.

Viewing alleged cancellation instructions is one option for the creation of a new cancellation instruction for a settlement instruction.

This business scenario is not relevant for CB users.

Privileges

To carry out this business scenario, you need the following privileges:

- Settlement instruction query

- Settlement instruction current status query
- Settlement instruction status audit trail query

Reference

Further information on screens involved can be found in the screen reference part:

- Settlement instructions– search/list* screen
- Settlement instruction - details* screen

Instructions

1. Go to the *settlement instructions– search/list* screen:
Securities >> Settlement >> Settlement Instructions
2. Enter at least one general search criterion known to you about the settlement instruction for which you want to check if it has been requested for cancellation.
3. Click on the *search* button.
 - ⇒ The *settlement instructions – search/list* screen is displayed.
 - ⇒ If the search retrieves only one settlement instruction, the *settlement instruction - details* screen is displayed directly. Proceed with step 6.
4. Select the settlement instruction you want to check if it has been requested for cancellation by its counterpart by clicking on an entry in the list.

**Alternative**

To set all fields to default value and blank out all optional fields, click on the *reset* button.

To cancel the process and return to the previous screen, click on the *cancel* button.

5. Click on the *details* button.
 - ⇒ The *settlement instruction - details* screen is displayed.
6. Check if the 'Cancellation Requested' field in the 'Status Information' frame has the value 'Yes'. If the value is 'Yes', the settlement instruction has been requested for cancellation by the counterparty. In order to execute the cancellation another cancellation instruction needs to be received in T2S for this leg.

**Hint**

If you want to send a cancellation instruction to complete the cancellation request, continue with step 6 of the 'Cancel a Settlement Instruction [▶ 1348]' business scenario.

- ➔ You have viewed your alleged cancellation instructions.

3.15.5 Monitoring of the Lifecycle of a Settlement Instruction

Overview

This business package describes the monitoring of the lifecycle of a settlement instruction.

You can check the status updates during the lifecycle of a settlement instruction once it has been created in T2S.

To monitor the lifecycle of a settlement instruction, carry out the following business scenarios.

Business Scenarios

- View settlement instruction status history [▶ 1353]
- View SF1/SF2 timestamps of Settlement Instructions in case of Insolvency situation [▶ 1354]

3.15.5.1 View Settlement Instruction Status History

Context of Usage

This business scenario describes how to view the status history of a settlement instruction.

Once a settlement instruction is created in T2S it might be necessary for you to check its status history to be aware of its status changes during the lifecycle of the settlement instruction.

Viewing settlement instruction status history is mandatory for the monitoring of the lifecycle of a settlement instruction

This business scenario is not relevant for CB users.

Privileges

To carry out this business scenario, you need the following privileges:

- Settlement instruction query
- Settlement instruction current status query
- Settlement instruction status audit trail query
- Settlement instruction audit trail query

Reference

Further information on screens involved can be found in the screen reference part:

- Settlement instructions – search/list* screen
- Settlement instruction – details* screen
- Status history – details* screen [▶ 276]

Instructions

1. Go to the *settlement instructions – search/list* screen:
`Securities >> Settlement >> Settlement Instructions`
2. Enter at least one general search criterion known to you about the settlement instruction for which you want to view the details.
3. Click on the *search* button.

- ⇒ A list containing the search results is displayed on the screen.
- ⇒ If the search retrieves only one settlement instruction, the *settlement instruction – details* screen is displayed directly. Proceed with step 6.



Alternative

To set all fields to default value and blank out all optional fields, click on the *reset* button.

4. Select the settlement instruction for which you want to view the details.
 5. Click on the *details* button.
 - ⇒ The *settlement instruction – details* screen is displayed.
 6. Click on the *status history* button.
 - ⇒ The *status history - details* screen is displayed.
- ➔ You have viewed the settlement instruction status history.

3.15.5.2 View SF1/SF2 timestamps of Settlement Instructions in case of Insolvency situation

Context of Usage

This business scenario describes how to retrieve the SF1/SF2 timestamps of settlement instructions in case of insolvency situation.

Once a settlement instruction is created in T2S it might be necessary for you to retrieve the SF1/SF2 timestamps for insolvency proceedings during the lifecycle of the settlement instruction.

This business scenario is not relevant for CB users.

Privileges

To carry out this business scenario, you need the following privilege:

- Settlement instruction matched and accepted status query privilege

Reference

Further information on screens involved can be found in the screen reference part:

- Insolvency procedure settlement instructions – search/list* screen [► 279]

Instructions

1. Go to the *insolvency procedure settlement instructions – search/list* screen:
Securities >> Settlement >> Insolvency procedure Settlement Instructions
2. Enter at least one insolvent object criterion known to you to retrieve SF1 and SF2 timestamps of settlement instructions under insolvency procedures.
3. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the screen.

- ➔ You have retrieved SF1/SF2 timestamps of Settlement Instructions in case of Insolvency situation



Alternative

To set all fields to default value and blank out all optional fields, click on the *re-set* button.

3.15.6 View the list of links data preventing settlement of a settlement instruction

Context of Usage

This business scenario describes how to view the list of data preventing settlement of a selected settlement instruction.

When a linked settlement instruction is unsettled, it might be useful for you to check the list of the settlement instructions or settlement restrictions preventing its settlement with the associated constraints.

This business scenario is not relevant for the following cases:

- Settled, cancelled and not matched instructions
- Settlement instructions with an intended settlement date in the future

Privileges

To carry out this business scenario, you need the following privileges:

- Settlement instruction query
- Settlement instruction current status query

Reference

Further information on screens involved can be found in the screen reference part:

- Settlement instructions – search/list
- Settlement instruction – details
- Links preventing settlement – list

Instructions

1. Go to the settlement instructions – search/list screen:
Securities >> Settlement >> Settlement Instructions
2. Enter at least one general search criterion known to you about the settlement instruction for which you want to view the links data preventing its settlement.
3. Click on the search button.
 - A list containing the search results is displayed on the screen
 - If the search retrieves only one settlement instruction, the settlement instruction – details screen is displayed directly. Proceed with step 6.

4. Select the settlement instruction for which you want to view the links data preventing settlement by clicking on an entry in the list.
5. Click on the details button.
 - The settlement instructions – details screen is displayed.
6. Check the details of the settlement instruction and click on the links button.
 - The links preventing settlement – list screen is displayed.
 - You have viewed the list of the settlement instructions and/or settlement instructions preventing the settlement of the selected settlement instruction with the associated constraints.

3.15.7 Monitoring of Penalties

Overview

This business package describes the monitoring of the penalties computed by T2S for failing settlement instructions.

You can search for penalties computed by T2S, view the individual details of a penalty, modify existing penalties, view the list of penalties related to a settlement instruction and check the monthly net amounts of the penalties computed for the business days of a previous month.

To monitor penalties, the following business scenarios are available.

Business Scenarios

- View the individual penalty details
- Modification of existing penalties
- View list of penalties
- View the monthly net amount of penalties

3.15.7.1 View the Individual Penalty Details

Context of Usage

This business scenario describes how to retrieve the details of a penalty associated to a settlement instruction.

Once a penalty is computed for a given settlement instruction it might be necessary for you to retrieve its details.

This function will not be available either during the Maintenance Window or during the processing of penalties, i.e. between the Events “PMSS” (Penalty Mechanism- Stop Screens and penalty modification requests) and “PMRR” (Penalty Mechanism- Restart GUI and Restart processing penalty modification requests).

This business scenario is only available for CSD authorized users and T2S Operator user.

Privileges

To carry out this business scenario, you need the following privileges:

- Penalty Details Query Privilege

- Settlement Instruction Audit Trail Query

Reference

Further information on screens involved can be found in the screen reference part:

- Penalty – search/list screen
- Penalty – details screen
- Settlement instruction – details screen

Instructions

1. Go to the Penalty – search/list screen:
Securities >> Settlement >> Daily Penalties
2. Enter a valid combination of search criteria. For this, you can either choose:
 - ⇒ To perform the query with a reference. For this you should specify at least one or a valid combination of the search criteria.
This query cannot use the Additional Search Criteria for Penalty Query by ISIN Code Criteria, by T2S Party Criteria and System Entity Criteria.
This query cannot be combined with any other query.
 - ⇒ To perform the query by ISIN Code Criteria and/or by T2S Party Criteria.
These two queries can be combined or used separately.
If combined together, the parameters will be combined through AND logic, and the minimum search criteria of at least one of the two queries shall be respected.
At least the minimum search criteria shall be specified. Additional search criteria can be used to limit the query results.
 - ⇒ To perform a System Entity Criteria query. For this you should at least enter the minimum search criteria.
Additional search criteria can be used to limit the query results.
This query cannot be combined with any other query.
3. Click on the search button.
 - ⇒ If the search retrieves more than one penalty a list containing the search results is displayed on the screen.
 - ⇒ If the search retrieves only one penalty, the penalty – details screen is displayed directly.
 - ⇒ You have viewed the individual penalty details.



Alternative

4. Select the penalty for which you want to view the details by clicking on the entry in the list.
5. Click on the details button.

- ⇒ The penalty – details screen is displayed.
- ⇒ You have viewed the individual penalty details.



Alternative

6. In the Settlement Instruction – details screen >> Click on the associated penalties button.
 - ⇒ If more than one penalty is retrieved by T2S, the penalty – search/list screen will be displayed.
 - ⇒ If only one penalty is retrieved by T2S, the penalty – details screen will be displayed.
- ➔ You have viewed the individual penalty details



Alternative

To set all fields to default value and blank out all optional fields, click on the reset button

3.15.7.2 Modification of existing penalties

Context of Usage

This business scenario describes how to remove, re-include, re-allocate or switch an existing penalty computed for a settlement instruction.

CSDs and T2S Operator will be able to request the removal of a penalty only when the penalty was imposed to one of its participants or to itself. To cater for mistakes in the removal of penalties, T2S allows CSDs and T2S Operator to re-include a penalty that the CSD has previously removed.

Also, when an already matched settlement instruction is sent late to T2S, CSDs and T2S Operator are able to re-allocate the penalty from the Instructing Party, initially assigned as failing and non-failing party, to the delivering party and the receiving party of the instruction. Finally, if T2S calculates and assigns the penalty to a party which, due to external conditions outside T2S, should not be the party penalised but entitled to receive the penalty, CSDs and T2S Operator will be able to switch the failing and non-failing party of the penalty.

You may remove, re-include, re-allocate or switch existing penalties anytime of the day except during the Maintenance Window or during the processing of penalties, i.e. between the Events “PMSS” (Penalty Mechanism- Stop Screens and penalty modification requests) and “PMRR” (Penalty Mechanism- Restart GUI and Restart processing penalty modification requests).

These functionalities are only available during the appeal period of the penalty.

Additionally:

- If the status of the penalty is not 'Active', the remove function will not be available.
- If the status of the penalty is not 'Removed', the re-include function will not be available.
- If either the status of the penalty is not 'Active', or the penalty is a SEFP, or if it is a LMFP but the underlying instruction was not sent as already matched to T2S, or the LMFP has already been re-allocated before, the re-allocate function will not be available.
- If the status of the penalty is not 'Active', the switch function will not be available.
- This business scenario is only available for CSD authorized users and T2S Operator user.

Privileges

To carry out this business scenario, you need the following privileges:

- Penalty Details Query Privilege
- Settlement Instruction Audit Trail Query
- Modification of Penalties Privilege

References

Further information on screens involved can be found in the screen reference part:

- Penalty – details screen
- Penalty removal screen
- Penalty re-inclusion screen
- Penalty re-allocation screen
- Penalty switch screen

Instructions

1. Go to the Penalty – search/list screen:
Securities >> Settlement >> Daily Penalties
2. Enter a valid combination of search criteria. For this, you can either choose:
 - ⇒ To perform the query with a reference. For this you should specify at least one or a valid combination of the search criteria.
This query cannot use the Additional Search Criteria for Penalty Query by ISIN Code Criteria, by T2S Party Criteria and System Entity Criteria.
This query cannot be combined with any other query.
 - ⇒ To perform the query by ISIN Code Criteria and/or by T2S Party Criteria.
These two queries can be combined or used separately.
If combined together, the parameters will be combined through AND logic, and the minimum search criteria of at least one of the two queries shall be

respected.

At least the minimum search criteria shall be specified. Additional search criteria can be used to limit the query results.

- ⇒ To perform a System Entity Criteria query. For this you should at least enter the minimum search criteria.

Additional search criteria can be used to limit the query results.

This query cannot be combined with any other query.

3. Click on the search button.

- ⇒ If the search retrieves more than one penalty a list containing the search results is displayed on the screen.
- ⇒ If the search retrieves only one penalty, the penalty – details screen is displayed directly.



Alternative

4. Select the penalty for which you want to view the details by clicking on the entry in the list.

5. Click on the details button.

- ⇒ The penalty – details screen is displayed.

6. To remove a penalty:

- ⇒ Click on the “Remove” button. This function will not be available if the status of the penalty is not ‘Active’.
- ⇒ Penalty removal screen will pop up with the relevant information to create a Removal Request based on the Penalty of the screen.
- ⇒ Select the reason code, insert the Description text if the reason selected is OTHR, and click on the Submit button.
- ⇒ Enter your PIN for digital signature purposes (NRO).

➔ You have removed the penalty.

7. To re-include a penalty:

- ⇒ Click on the “Re-include” button. This function will not be available if the status of the penalty is not ‘Removed’.
- ⇒ A confirmation prompt will pop-up. Hence, the action is triggered (i.e. the Re-inclusion Request is sent) and the Penalty - details screen is displayed again updated (i.e. Penalty with new status ACTV, (Active), new Reason Code UPDT (Updated) and description (if applies), the penalty amount

and Calculation details before the penalty was removed and the To be re-calculated flag set to YES) if the Re-inclusion Request is confirmed and executed (the action was triggered in Two-eyes).

- ⇒ Click on the Yes button.
 - ⇒ Enter your PIN for digital signature purposes (NRO).
 - ➔ You have re-included the penalty.
8. To re-allocate a penalty:
- ⇒ Click on the “Re-allocate” button. This function will not be available if either the status of the penalty is not ‘Active’, if the penalty is a SEFP or a LMFP but the underlying instruction was not sent as already matched to T2S, or if the LMFP has already been re-allocated before.
 - ⇒ Penalty re-allocation screen will pop-up with the relevant information to create a Re-allocation Request based on the Penalty of the screen.
 - ⇒ Select the New failing party and, only in case the two BIC’s retrieved for the New failing Party BIC are the same, additionally select the T2S Reference of the Failing Settlement Instruction. Finally, click on the Submit button.
 - ⇒ Enter your PIN for digital signature purposes (NRO).
- ➔ You have re-allocated the penalty
9. To switch a penalty:
- ⇒ Click on the “Switch” button. This function will not be available if the status of the penalty is not ‘Active’.
 - ⇒ Penalty switch screen will pop-up with the relevant information to create a Switch Request based on the Penalty of the screen.
 - ⇒ Insert the Description text and click on the Submit button.
 - ⇒ Enter your PIN for digital signature purposes (NRO).
- ➔ You have switched the penalty.



Alternative

10. In the Settlement Instruction – details screen >> Click on the associated penalties button.
- ⇒ If more than one penalty is retrieved by T2S, the penalty – search/list screen will be displayed.
 - ⇒ If only one penalty is retrieved by T2S, the penalty – details screen will be displayed.
2. To remove a penalty:

- ⇒ Click on the “Remove” button. This function will not be available if the status of the penalty is not ‘Active’.
 - ⇒ Penalty removal screen will pop up with the relevant information to create a Removal Request based on the Penalty of the screen.
 - ⇒ Select the reason code, insert the Description text if reason selected is OTHR, and click on the Submit button.
 - ⇒ Enter your PIN for digital signature purposes (NRO).
 - ➔ You have removed the penalty.
12. To re-include a penalty:
- ⇒ Click on the “Re-include” button. This function will not be available if the status of the penalty is not ‘Removed’.
 - ⇒ A confirmation prompt will pop-up. Hence, the action is triggered (i.e. the Re-inclusion Request is sent) and the Penalty - details screen is displayed again updated (i.e. Penalty with new status ACTV, (Active), new Reason Code UPDT (Updated) and description (if applies), the penalty amount and Calculation details before the penalty was removed and the flag To be recalculated set to YES) if the Re-inclusion Request is confirmed and executed (the action was triggered in Two-eyes).
 - ⇒ Click on the Yes button.
 - ⇒ Enter your PIN for digital signature purposes (NRO).
 - ➔ You have re-included the penalty.
13. To re-allocate a penalty:
- ⇒ Click on the “Re-allocate” button. This function will not be available if either the status of the penalty is not ‘Active’, if the penalty is a SEFP or a LMFP but the underlying instruction was not sent as already matched to T2S, or if the LMFP has been re-allocated before.
 - ⇒ Penalty re-allocation screen will pop-up with the relevant information to create a Re-allocation Request based on the Penalty of the screen.
 - ⇒ Select the New failing party and only in case the two BIC’s retrieved for the New failing Party BIC are the same, additionally select the T2S Reference of Failing Settlement Instruction. Finally, click on the Submit button.
 - ⇒ Enter your PIN for digital signature purposes (NRO).
 - ➔ You have re-allocated the penalty.
14. To switch a penalty:
- ⇒ Click on the “Switch” button. This function will not be available if the status of the penalty is not ‘Active’.
 - ⇒ Penalty switch screen will pop-up with the relevant information to create a Switch Request based on the Penalty of the screen.

- ⇒ Insert the Description text and click on the Submit button.
- ⇒ Enter your PIN for digital signature purposes (NRO).
- ➔ You have switched the penalty.

3.15.7.3 View List of Penalties

Context of Usage

This business scenario describes how to retrieve the list of penalties associated to a settlement instruction.

Once a given settlement instruction is subject to the calculation of penalties it might be necessary for you to retrieve them.

This function will not be available either during the Maintenance Window or during the processing of penalties, i.e. between the Events “PMSS” (Penalty Mechanism- Stop Screens and penalty modification requests) and “PMRR” (Penalty Mechanism- Restart GUI and Restart processing penalty modification requests).

This business scenario is only available for CSD authorized users and T2S Operator user.

Privileges

To carry out this business scenario, you need the following privileges:

- Penalty List Query Privilege
- Settlement Instruction Audit Trail Query

Reference

Further information on screens involved can be found in the screen reference part:

- Penalty – search/list screen
- Settlement instruction – details screen

Instructions

1. Go to the Penalty – search/list screen:
Securities >> Settlement >> Daily Penalties
2. Enter a valid combination of search criteria. For this, you can either choose:
 - ⇒ To perform the query with a reference. For this you should specify at least one or a valid combination of the search criteria.
This query cannot use the Additional Search Criteria for Penalty Query by ISIN Code Criteria, by T2S Party Criteria and System Entity Criteria.
This query cannot be combined with any other query.
 - ⇒ To perform the query by ISIN Code Criteria and/or by T2S Party Criteria.
These two queries can be combined or used separately.
If combined together, the parameters will be combined through AND logic, and the minimum search criteria of at least one of the two queries shall be respected.
At least the minimum search criteria shall be specified. Additional search criteria can be used to limit the query results.

- ⇒ To perform a System Entity Criteria query. For this you should at least enter the minimum search criteria.
Additional search criteria can be used to limit the query results.
This query cannot be combined with any other query.
- 3. Click on the search button.
 - ⇒ If the search retrieves more than one penalty a list containing the search results is displayed on the screen.
 - ⇒ You have viewed the list of penalties.
 - ⇒ If the search retrieves only one penalty, the penalty – details screen is displayed directly.



Alternative

- 4. In the Settlement Instruction – details screen >> Click on the associated penalties button.
 - ⇒ If more than one penalty is retrieved by T2S, the penalty – search/list screen will be displayed.
 - ⇒ You have viewed the list of penalties.
 - ⇒ If only one penalty is retrieved by T2S, the penalty – details screen will be displayed.



Alternative

To set all fields to default value and blank out all optional fields, click on the reset button.

3.15.7.4 View monthly aggregated net amounts of penalties

Context of Usage

This business scenario describes how to retrieve the monthly aggregated net amounts of the penalties computed by T2S for the business days of a previous month, for a given T2S Party and counterparty(ies) and per relevant currency.

Once the appeal period of the penalties of a given month has ended, you may need to retrieve the monthly net amounts for all the penalties computed by T2S to ease the penalty collection and distribution process.

This amount shall provide, per currency and party, the bilateral net amounts against each of the counterparties of the penalties computed, and will be equal to:

- The sum of all penalties of the relevant/previous month in the relevant currency that this party is entitled to receive (reported as credit) from the same counterparty (i.e. to be paid by this counterparty);

Minus

- The sum of all penalties of the relevant/previous month in the relevant currency, that were imposed to this party (reported as debit), and to be paid to a given counterparty.

The monthly net amounts of a particular month will be available only once T2S has produced the Monthly Aggregated Amounts report of that month.

Only the monthly net amounts for the past 3 months will be available.

This function will not be available during the Maintenance Window.

This business scenario is only available for CSD authorized users and T2S Operator user.

Privileges

To carry out this business scenario, you need the following privileges:

- Penalty Details Query Privilege
- Monthly Net Amount Query Privilege

Reference

Further information on screens involved can be found in the screen reference part:

- Monthly Net Penalty Amounts – search/list screen

Instructions

1. Go to the Penalty – search/list screen:
Securities >> Settlement >> Monthly Penalties
 2. Enter at least the minimum search criteria.
Depending on the search criteria specified, one or more results can be displayed (i.e. depending on the currency or on the counterparty).
 3. Click on the search button.
 - ⇒ If the search retrieves more than one monthly net amount, a list containing the search results is displayed on the screen.
 - ⇒ If the search retrieves only one monthly net amount, it will be displayed in the same format used by the list screen.
- ➔ You have viewed the monthly net amount.

3.16 Settlement Restrictions

3.16.1 Creation of a New Settlement Restriction on Securities

Overview

This business package describes the creation of a new settlement restriction on securities.

You can create a new settlement restriction on securities either by entering all the necessary information or by copying it from an already existing settlement restriction on securities. Once the settlement restriction on securities has been created, you can also check its lifecycle in T2S.

To create a new settlement restriction on securities, carry out the following business scenarios in the predefined order and choose the business scenario relevant to you whenever options are given.

Business Scenarios

1. Create a new settlement restriction on securities
 - Enter a settlement restriction on securities [▶ 1366]
 - Copy a settlement restriction on securities [▶ 1368]
2. View settlement restriction on securities details [▶ 1371]

3.16.1.1 Enter a Settlement Restriction on Securities

Context of Usage

This business scenario describes how to create a new settlement restriction on securities. You can create a new settlement restriction on securities (intra-position movement) to move securities into a specific securities position of a securities account and make them available for a specific purpose (blocking, reservation or earmarking). Optionally, you can create your settlement restriction on securities including a link to another instruction or to a pool of instructions.

Entering a settlement restriction on securities is one option for the creation of a settlement restriction on securities.

This business scenario is not relevant for CB users.

Privileges

To carry out this business scenario, you need the following privileges:

- Send new settlement instruction/new settlement restriction on securities either on a securities account or on behalf of an external CSD
- Unblock CoSD securities blocking related to cancelled CoSD settlement instructions on behalf of the relevant CSD in T2S
- Link to an instruction/pool reference belonging to a specific party
- Intra-position movements query
- Send new instruction using a specific instructing party

Reference Further information on screens involved can be found in the screen reference part:

- *Intra-position movements– search/list* screen [▶ 147]
- *Intra-position movement – new* screen [▶ 161]

Instructions

1. Go to the *intra-position movements– search/list* screen:
Securities >> Settlement >> Intra-Position Movements
2. Click on the *new* button.
⇒ The *intra-position movement - new* screen is displayed.
3. Enter all mandatory information about the settlement restriction you want to create in the 'Intra-Position Movement' frame.
4. Optionally, you can enter additional information about the settlement restriction on securities into the optional fields.
5. To link your settlement restriction on securities to another instruction, click on the *add row* icon to add a new link

6. Select and add all the requested information to identify the instruction you want your settlement restriction on securities to be linked with. Once all the information is introduced click on the *submit changes* icon in the 'Options' column.

⇒ The link has been added to the list.

7. If you want to add your settlement restriction on securities to a pool, enter the 'Pool Identification' field, 'Pool Instruction Counter' field and the 'Pool Reference Owner BIC' field instead.

Link Type	Reference Type	Reference	Reference Owner	Options
No records found				
+				
Pool Identification	Pool Instruction Counter	Pool Reference Owner BIC		



Repetition

To add more links, repeat steps 5 to 6.

- To remove a link from the list of links to be added to the settlement restriction on securities, click on the *Delete Row* icon in the 'Options' column.
⇒ The link has been deleted from the list of links



Alternatives

To set all fields to default value and blank out all optional fields, click on the *reset* button.

To cancel the process and return to the previous screen, click on the *cancel* button.

- Click on the *submit* button.
- Enter your PIN for digital signature purposes (NRO).
➔ The new settlement restriction on securities has been created.

3.16.1.2 Copy a Settlement Restriction on Securities

Context of Usage

This business scenario describes how to create a new settlement restriction on securities. You can create a new settlement restriction on securities (intra-position movement) to move securities into a specific securities position of a securities account, and make them available for a specific purpose.

Copying a settlement restriction on securities is 1 option for the creation of a settlement restriction on securities.

It allows you to use an already existing settlement restriction on securities as a template and modify the content as you need.

You can also create your settlement restriction on securities including a link to another instruction or to a pool of instructions.

This business scenario is not relevant for CB users.

Privileges

To carry out this business scenario, you need the following privileges:

- Send new settlement restriction on securities either on a securities account or on behalf of an external CSD

- Unblock CoSD securities blocking related to cancelled CoSD settlement instructions on behalf of the relevant CSD in T2S
- Link to an instruction/pool reference belonging to a specific party
- Intra-position movements query
- Send new instruction using a specific instructing party

Reference

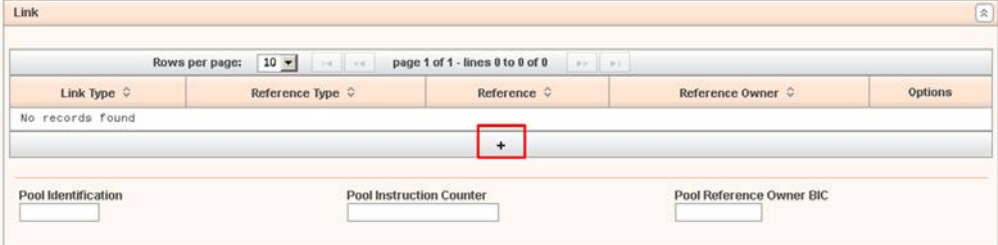
Further information on screens involved can be found in the screen reference part:

- *Intra-position movements – search/list* screen [▶ 147]
- *Intra-position movement - details* screen
- *Intra-position movement - new* screen [▶ 161]

Instructions

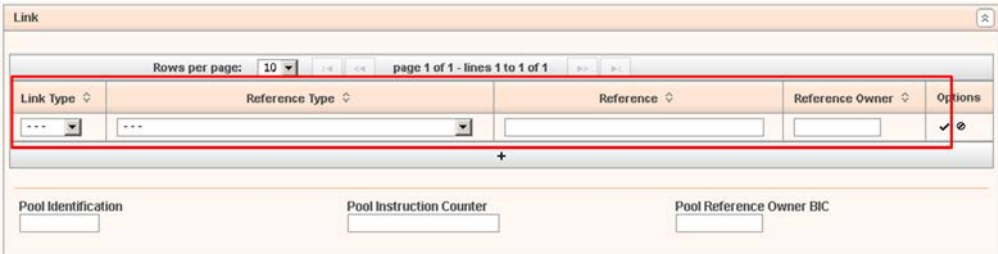
1. Go to the *intra-position movements – search/list* screen:
Securities >> Settlement >> Intra-Position Movements
2. Enter all search criteria known to you about the settlement restriction on securities you want to copy.
3. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the screen.
 - ⇒ If the search retrieves only one settlement restriction on securities, the *intra position movement - details* screen is displayed directly. Proceed with step 6.
4. Select the settlement restriction on securities you want to copy by clicking on an entry in the list.
5. Click on the *details* button.
 - ⇒ The *intra-position movement - details* screen is displayed.
6. Click on the *copy* button.
 - ⇒ The *intra-position movement - new* screen is displayed taking by default the following fields from the original settlement restriction: instructing party BIC, instructing party parent BIC, intended settlement date, corporate action event id, priority, movement type, securities account securities, ISIN, quantity, balance from, balance to and restriction reference.
7. Check if all the default values that have been copied from the settlement restriction on securities are right for the new settlement restriction on securities or edit them if needed.
8. You can enter additional information about the settlement restriction on securities into the optional fields.

- To link your settlement restriction on securities to another instruction, click on the *add row* icon to add a new link.



The screenshot shows a table titled 'Link' with the following columns: Link Type, Reference Type, Reference, Reference Owner, and Options. The table is currently empty, displaying 'No records found'. A red box highlights a '+' icon located below the table header, which is used to add a new row. Below the table, there are three input fields: 'Pool Identification', 'Pool Instruction Counter', and 'Pool Reference Owner BIC'.

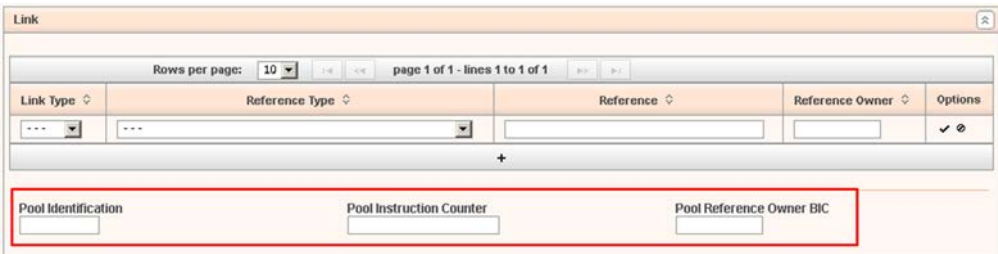
- Select and add all the requested information to identify the instruction you want your settlement restriction on securities to be linked with.
- Click on the *submit changes* icon in the 'Options' column.



The screenshot shows the 'Link' table with one row added. The row contains dropdown menus for 'Link Type' and 'Reference Type', and input fields for 'Reference' and 'Reference Owner'. The 'Options' column contains a checkmark and a delete icon. A red box highlights the entire row. Below the table, the same three input fields are visible.

⇒ The link has been added to the list.

- If you want to add your settlement restriction on securities to a pool, enter the 'Pool Identification' field, 'Pool Instruction Counter' field and the 'Pool Reference Owner BIC' field instead.



The screenshot shows the 'Link' table with one row added. A red box highlights the three input fields below the table: 'Pool Identification', 'Pool Instruction Counter', and 'Pool Reference Owner BIC'.



Repetition

To add more links, repeat steps 9 to 10.

- To remove a link from the list of links to be added to the settlement restriction on securities, click on the *delete row* icon in the 'Options' column.
⇒ The link has been deleted from the list of links.



Alternatives

To set all fields to default value and blank out all optional fields, click on the *reset* button.

To cancel the process and return to the previous screen, click on the *cancel* button.

14. Click on the *submit* button.

15. Enter your PIN for digital signature purposes (NRO).

- ➔ The new settlement restriction on securities has been created based on a copied settlement restriction on securities.

3.16.1.3 View Settlement Restriction on Securities Details

Context of Usage

This business scenario describes how to view the details of a settlement restriction on securities.

Once a settlement restriction on securities is created in T2S it might be necessary for you to check its details to be aware of updates during the lifecycle of the settlement restriction.

Viewing settlement restriction on securities details is optional for the creation of a new settlement restriction on securities.

This business scenario is not relevant for CB users.

Privileges

To carry out this business scenario, you need the following privilege:

- Intra-position movements query

Reference

Further information on screens involved can be found in the screen reference part:

- Intra-position movements – search/list* screen [▶ 147]
- Intra-position movement – details* screen

Instructions

1. Go to the *intra-position movements – search/list* screen:
`Securities >> Settlement >> Intra-Position Movements`
2. Enter all search criteria known to you about the settlement restriction on securities for which you want to view the details.
3. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the screen.
 - ⇒ If the search retrieves only one settlement restriction on securities, the *intra-position movement – details* screen is displayed directly.



Alternative

To set all fields to default value and blank out all optional fields, click on the *re-set* button.

4. Select the settlement restriction on securities for which you want to view the details by clicking on the entry in the list.
5. Click on the *details* button.
 - ➔ The *intra-position movement – details* screen is displayed and you have viewed the settlement restriction on securities details.

3.16.2 Creation of a New Settlement Restriction on Cash

Overview

This business package describes the creation of a new settlement restriction on cash. You can create a new settlement restriction on cash either by entering all the necessary information or by copying it from an already existing settlement restriction on cash. Once the settlement restriction on cash has been created, you can also check its lifecycle in T2S.

To create a new settlement restriction on cash, carry out the following business scenarios in the predefined order and choose the business scenario relevant to you whenever options are given.

Business Scenarios

1. Create a new settlement restriction on cash
 - Enter a settlement restriction on cash [▶ 1372]
 - Copy a settlement restriction on cash [▶ 1374]
2. View settlement restriction on cash details [▶ 1377]

3.16.2.1 Enter a Settlement Restriction on Cash

Context of Usage

This business scenario describes how to create a new settlement restriction on cash (intra-balance movement). You can block or reserve cash sub-balances on a T2S dedicated cash account. You can also create a new settlement restriction on cash to increase or decrease the cash in an existing restricted cash sub-balance. Optionally, you can include a restriction reference, a link to another instruction or to a pool of instructions.

Entering a new settlement restriction on cash is one option for the creation of a settlement restriction on cash.

Before you create a new settlement restriction on cash to increase or decrease an existing cash sub-balance, check if the restricted balance has been created, and if the restriction reference exists.

This business scenario is not relevant for CSD users.

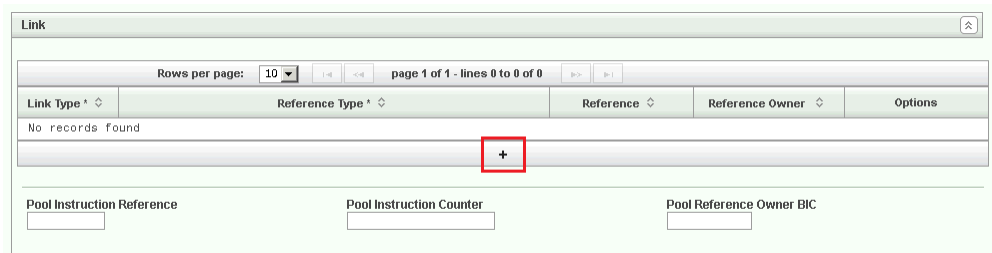
- Privileges** To carry out this business scenario, you need the following privileges:
- Send new settlement restriction on cash on a dedicated cash account
 - Unblock CoSD cash blocking related to cancelled CoSD settlement instructions on behalf of the relevant CSD in T2S
 - Link to an instruction/pool reference belonging to a specific party
 - Intra-balance movements query
 - Send new instruction using a specific instructing party

Reference Further information on screens involved can be found in the screen reference part:

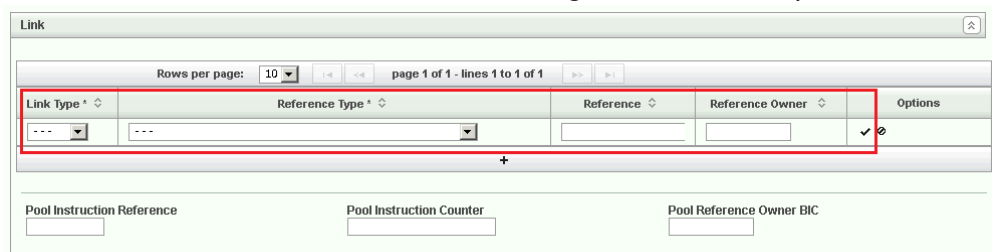
- Intra-balance movements – search/list* screen [▶ 431]
- Intra-balance movement - new* screen [▶ 445]

Instructions

1. Go to the *intra-balance movements – search/list* screen:
Cash >> Settlement >> Intra-balance movements
2. Click on the *new* button.
⇒ The *intra-balance movement - new* screen is displayed.
3. Enter all mandatory information in the ‘Intra-Balance Movement’ frame.
4. Optionally, you can enter additional information about the settlement restriction on cash into the optional fields.
5. To link your settlement restriction on cash to another instruction, click on the *add row* icon to add a new link.



6. Select and add all the requested information to identify the instruction you want your settlement restriction on cash to be linked to. Once all the information are introduced click on the *submit changes* icon in the ‘Options’ column.



⇒ The link has been added to the list.

- If you want to add your settlement restriction on securities to a pool, enter the 'Pool Identification' field, 'Pool Instruction Counter' field and the 'Pool Reference Owner BIC' field instead.

Link Type ^	Reference Type ^	Reference	Reference Owner	Options
No records found				
+				
Pool Instruction Reference	Pool Instruction Counter	Pool Reference Owner BIC		



Repetition

To add more links, repeat steps 5 to 6.

- To remove a link from the list of links to be added to the settlement restriction on cash, click on the *delete row* icon in the 'Options' column.

⇒ The link has been deleted from the list of links



Alternatives

To set all fields to default value and blank out all optional fields, click on the *reset* button.

To cancel the process and return to the previous screen, click on the *cancel* button.

- Click on the *submit* button.
- Enter your PIN for digital signature purposes (NRO).
➔ The new settlement restriction on cash has been created.

3.16.2.2 Copy a Settlement Restriction on Cash

Context of Usage

This business scenario describes how to create a new settlement restriction on cash (intra-balance movement) based on an already existing settlement restriction on cash. You can block or reserve cash sub-balances on a T2S dedicated cash account. You can also create a new settlement restriction on cash to increase or decrease the cash in an existing restricted cash sub-balance. Optionally, you can include a restriction reference, a link to another instruction or to a pool of instructions.

This business scenario is relevant for all situations where you want to create a new settlement restriction on cash based on the information of an already existing one in T2S.

Copying an existing settlement restriction on cash is one option for the creation of a settlement restriction on cash.

Before you create a new settlement restriction on cash to increase or decrease an existing cash sub-balance, check whether if the restricted balance has been created and if the restriction reference exists.

This business scenario is not relevant for CSD users.

Privileges

To carry out this business scenario, you need the following privileges:

- Send new settlement restriction on cash on a dedicated cash account
- Unblock CoSD cash blocking related to cancelled CoSD settlement instructions on behalf of the relevant CSD in T2S
- Link to an instruction/pool reference belonging to a specific party
- Intra-balance movements query
- Intra-balance movement audit trail list query
- Send new instruction using a specific instructing party

Reference

Further information on screens involved can be found in the screen reference part:

- Intra-balance movements – search/list* screen [▶ 431]
- Intra-balance movement - details* screen
- Intra-balance movement - new* screen [▶ 445]

Instructions

1. Go to the *intra-balance movements – search/list* screen:
Cash >> Settlement >> Intra-Balance Movements
2. Enter all search criteria known to you about the settlement restriction on cash you want to copy.
3. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the screen.
 - ⇒ If the search retrieves only one settlement restriction on cash, the *intra-balance movement - details* screen is displayed directly. Proceed with step 6.
4. Select the settlement restriction on cash you want to copy by clicking on an entry in the list.
5. Click on the *details* button.
 - ⇒ The *intra-balance movement - details* screen is displayed.
6. Click on the *copy* button.
 - ⇒ The *intra-balance movement - new* screen is displayed taking by default values of the following fields from the original settlement restriction on cash: instructing party BIC, instructing party parent BIC, actor reference, intended settlement date, dedicated cash account, amount, balance from and balance to, restriction reference.

7. Check if the default values are correct or edit them if needed.
8. You can enter additional information about the settlement restriction on cash into the optional fields.
9. To link your settlement restriction on cash to another instruction, click on the *add row* icon to add a new link.

10. Select and add all the requested information (reference type, reference, reference owner) to identify the instruction you want your settlement restriction on cash to be linked with.
11. Click on the *submit changes* icon in the 'Options' column.

⇒ The link has been added to the list.

12. If you want to add your settlement restriction on securities to a pool, enter the 'Pool Identification' field, 'Pool Instruction Counter' field and the 'Pool Reference Owner BIC' field instead.



Repetition

To add more links, repeat steps 9 to 10.

13. To remove a link from the list of links to be added to the settlement restriction on cash, click on the *delete row* icon in the 'Options' column.
 - ⇒ The link has been deleted from the list of links.



Alternatives

To set all fields to default value and blank out all optional fields, click on the *reset* button.

To cancel the process and return to the previous screen, click on the *cancel* button.

14. Click on the *submit* button.

15. Enter your PIN for digital signature purposes (NRO).

- ➔ The new settlement restriction on cash has been created based on a copied settlement restriction on cash.

3.16.2.3 View Settlement Restriction on Cash Details

Context of Usage

This business scenario describes how to view the details of a settlement restriction on cash. Once a settlement restriction on cash is created in T2S it might be necessary for you to check its details to be aware of updates during the lifecycle of the settlement restriction.

Viewing settlement restriction on cash details is optional for the creation of a new settlement restriction on cash.

This business scenario is not relevant for CSD users, external CSD users and CSD participants.

Privileges

To carry out this business scenario, you need the following privilege:

- Intra-balance movements query

Reference

Further information on screens involved can be found in the screen reference part:

- Intra-balance movements – search/list* screen [▶ 431]
- Intra-balance movement – details* screen

Instructions

1. Go to the *intra-balance movements – search/list* screen:
Cash >> Settlement >> Intra-Balance Movements
2. Enter all search criteria known to you about the settlement restriction on cash for which you want to view the details.
3. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the screen.
 - ⇒ If the search retrieves only one settlement restriction on cash, the *intra-balance movement – details* screen is displayed directly.



Alternative

To set all fields to default value and blank out all optional fields, click on the *reset* button.

4. Select the settlement restriction on cash for which you want to view the details by clicking on the entry in the list.
5. Click on the *details* button.
 - ➔ The *intra-balance movement – details* screen is displayed and you have viewed your settlement restriction on cash details.

3.16.3 Creation of a New Amendment Instruction for a Settlement Restriction on Securities

Overview

This business package describes the creation of a new amendment instruction for a settlement restriction on securities.

You can create a new amendment instruction for a settlement restriction on securities and afterwards you can check the information of the amendment instruction in T2S.

To create a new amendment instruction for a settlement restriction on securities, carry out the business scenarios in the predefined order and choose the business scenario relevant to you whenever options are given.

Business Scenarios

1. Create a new amendment instruction for a settlement restriction on securities
 - Add a link for a settlement restriction on securities [▶ 1378]
 - Amend the priority for a settlement restriction on securities [▶ 1381]
 - Remove a link for a settlement restriction on securities [▶ 1383]
2. View amendment instruction for a settlement restriction on securities details [▶ 1385]

3.16.3.1 Add a Link for a Settlement Restriction on Securities

Context of Usage

This business scenario describes how to link a settlement restriction on securities (intra-position movement). You can link your settlement restriction on securities in case you want to settle it at the same time, after or before the settlement of another settlement restriction or settlement instruction.

Adding a link for a settlement restriction on securities is 1 option for the creation of an amendment instruction for a settlement restriction on securities.

Before you add a link to your settlement restriction on securities, check whether it is neither cancelled nor partially settled nor settled. You can check the status of your settlement restriction on securities before creating the amendment instruction through the details screen as described in the business scenario view settlement restriction on securities details [▶ 1371].

The number of links that can be added per amendment instruction is unbounded. If you want to modify an already existing link in your settlement restriction on securities, you first have to remove the existing link and then add a new link to your settlement restriction on securities.

This business scenario is not relevant for CB users.

Privileges

To carry out this business scenario, you need the following privileges:

- Amend process indicator of a settlement instruction/settlement restriction on securities either on a securities account or on behalf of the CSD in T2S or on behalf of an external CSD
- Amend process indicator of a non-modifiable settlement instruction on behalf of the instruction party of the underlying instruction
- Link to an instruction/pool reference belonging to a specific party
- Intra-position movements query
- Intra-position movement audit trail query
- Send new instruction using a specific instructing party

Reference

Further information on screens involved can be found in the screen reference part:

- Intra-position movements – search/list* screen [▶ 147]
- Intra-position movement - details* screen
- Amendment securities instruction - new* screen [▶ 113]

Instructions

1. Go to the *intra-position movements –search/list* screen:
Securities >> Settlement >> Intra-Position Movements
2. Enter all search criteria known to you about the settlement restriction on securities you want to link.
3. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the screen.
 - ⇒ If the search retrieves only 1 intra-position movement, the *intra-position movement - details* screen is displayed directly. Proceed with step 6.
4. Select the settlement restriction on securities you want to amend by clicking on an entry in the list.
5. Click on the *details* button.

- ⇒ The *intra-position movement – details* screen is displayed.
6. Click on the *amendment instruction* button, located on the lower left side of the screen.
- ⇒ The *amendment securities instruction - new* screen is displayed.
7. Enter all mandatory information in the 'General' frame.
8. Click on the *linkage information* radio button, located on the lower center side of the amendment securities screen.

Amendment Type

Priority Linkage Information

- ⇒ The 'Linkage Information' and 'Link' frames are displayed.
9. Select the *link* radio button to add a link.

Linkage Information

LINK UNLINK

10. Click on the *add row* icon in the 'Link' frame to display the links related enter fields.

Link

Link Type	Reference Type	Reference	Reference Owner	Options
No records found				
				+

11. Enter the relevant information to identify the instruction you want your instruction to be linked with in the 'Link' frame and click on the *submit changes* icon in the 'Options' column.

Link

Link Type	Reference Type	Reference	Reference Owner	Options
...	...			✓
+				

- ⇒ The link has been added to the list.
12. To remove an entry from the list of links to be added, click on the *cancel changes* icon in the 'Options' column.
- ⇒ The link has been deleted from the list.



Repetition

To add more links, repeat steps 10 to 11.
You can add as many links as you like.

**Alternative**

To set all fields to default value and blank out all optional fields, click on the *reset* button.

To cancel the process and return to the previous screen, click on the *cancel* button.

13. Click on the *submit* button.

14. Enter your PIN for digital signature purposes (NRO).

- ➔ A new amendment instruction to add a link for a settlement restriction on securities has been created.

3.16.3.2 Amend the Priority for a Settlement Restriction on Securities

Context of Usage

This business scenario describes how to amend the priority for a settlement restriction on securities (intra-position movement). You can create a new amendment instruction to amend the priority for your settlement restriction on securities in case you want to prioritise or delay its settlement.

Amending the priority for a settlement restriction on securities is one option for the creation of an amendment instruction for a settlement restriction on securities.

Before you amend the priority for your settlement restriction on securities, check whether it is neither cancelled nor settled. You can check the status of your settlement restriction on securities before creating the amendment instruction through the details screen as described in the business scenario view settlement restriction on securities details [▶ 1371].

This business scenario is not relevant for CB users.

Privileges

To carry out this business scenario, you need the following privileges:

- Amend process indication for a non-modifiable settlement instruction on behalf of the instruction party of the underlying instruction
- Amend process indicator of a settlement instruction/settlement restriction on securities either on a securities account or on behalf of the CSD in T2S or on behalf of an external CSD
- Send new instruction using a specific instructing party
- Intra-position movements query
- Intra-position movement audit trail list query
- Link to an instruction/pool reference belonging to a specific party

Reference

Further information on screens involved can be found in the screen reference part:

- Intra-position movements – search/list* screen [▶ 147]

Instructions

- *Intra-position movement – details* screen
 - *Amendment securities instruction - new* screen [▶ 113]
1. Go to the *intra-position movements – search/list* screen:
Securities >> Settlement >> Intra-Position Movements
 2. Enter at least one general search criterion known to you about the settlement restriction on securities you want to amend.
 3. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the screen.
 - ⇒ If the search retrieves only one intra-position movement, the *intra-position movement - details* screen is displayed directly. Proceed with step 6.
 4. Select the settlement restriction on securities for which you want to amend the priority by clicking on an entry in the list.
 5. Click on the *details* button.
 - ⇒ The *intra-position movement – details* screen is displayed.
 6. Check the current priority value and click on the *amendment instruction* button.
 - ⇒ The *amendment securities instruction - new* screen is displayed.
 7. Click on the *priority* radio button, located on the lower left-hand side of the screen.

Amendment Type

Priority Linkage Information

- ⇒ The 'Priority' frame is displayed.
8. Select the new priority from drop-down menu.

Priority

Priority Level *

High

Normal

Reserved

**Alternative**

To set all fields to default value and blank out all optional fields, click on the *reset* button.

To cancel the process and return to the previous screen, click on the *cancel* button.

9. Click on *submit* button.
10. Enter your PIN for digital signature purposes (NRO).

- ➔ A new amendment instruction to change the priority of a settlement restriction on securities has been created.

3.16.3.3 Remove a Link for a Settlement Restriction on Securities

Context of Usage

This business scenario describes how to remove an existing link in a settlement restriction on securities (intra-position movement).

Removing a link for a settlement restriction on securities is 1 option for the creation of a new amendment instruction for a settlement restriction on securities.

Before you remove a link for your settlement restriction on securities, check if it is neither settled nor cancelled. You can check the status of your settlement restriction on securities before creating the amendment instruction through the details screen as described in the business scenario view settlement restriction on securities details [▶ 1371].

The number of links that can be removed per amendment instruction is limited to the number of existing linkages in the settlement restriction.

If you want to modify an already existing link in your settlement restriction on securities, first you have to remove the existing link and then add a new link to your settlement restriction on securities.

This business scenario is not relevant for CB users.

Privileges

To carry out this business scenario, you need the following privileges:

- Intra-position movements query
- Link to an instruction/pool reference belonging to a specific party
- Amend process indicator of a settlement instruction/settlement restriction on securities either on a securities account or on behalf of the CSD in T2S or on behalf of an external CSD
- Send new instruction using a specific instructing party

Reference

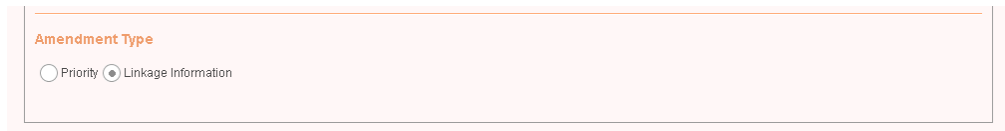
Further information on screens involved can be found in the screen reference part:

- Intra-position movements– search/list* screen [▶ 147]
- Intra-position movement – details* screen
- Amendment securities instruction - new* screen [▶ 113]

Instructions


1. Go to the *intra-position movements– search/list* screen:
Securities >> Settlement >> Intra-Position Movements
2. Enter all search criteria known to you about the settlement restriction on securities you want to amend.
3. Click on the *search* button.

- ⇒ A list containing the search results is displayed on the screen.
- ⇒ If the search retrieves only one settlement restriction on securities, the *intra-position movement – details* screen is displayed directly. Proceed with step 6.
- 4. Select the settlement restriction on securities you want to amend by clicking on an entry in the list.
- 5. Click on the *details* button.
 - ⇒ The *intra-position movement – details* screen is displayed.
- 6. Click on the *amendment instruction* button, located on the lower left hand side of the screen.
 - ⇒ The *amendment securities instruction - new* screen is displayed.
- 7. Enter all mandatory information in the 'General' frame.
- 8. Click on the *linkage information* radio button, located on the lower center side of the amendment securities screen.



Amendment Type

Priority Linkage Information

- ⇒ The 'Linkage Information' and 'Link' frames are displayed.
 - 9. Click on the *unlink* radio button
- 
- Linkage Information
- LINK UNLINK
- ⇒ The existing links of the referenced settlement restriction on securities are displayed in the 'Link' frame below.
 - 10. Select the link(s) to be removed from the list.



Alternatives

To set all fields to default value and blank out all optional fields, click on the *reset* button.

To cancel the process and return to the previous screen, click on the *cancel* button.

- 11. Click on the *submit* button.
- 12. Enter your PIN for digital signature purposes (NRO).
 - ➔ A new amendment instruction to remove a link for a settlement restriction on securities has been created.

3.16.3.4 View Amendment Instruction for a Settlement Restriction on Securities Details

Context of Usage

This business scenario describes how to view the details of an amendment instruction for a settlement restriction on securities. Once an amendment instruction for a settlement restriction on securities has been created in T2S it might be necessary for you to check its details to be aware of updates during the lifecycle of the amendment instruction.

Viewing an amendment instruction for a settlement restriction on securities details is optional for the creation of a new amendment instruction for a settlement restriction on securities.

This business scenario is not relevant for CB users.

Privileges

To carry out this business scenario, you need the following privileges:

- Maintenance for intra-position movements and settlement instructions query
- Amendment instruction query for intra-position movements and settlement instructions

Reference

Further information on screens involved can be found in the screen reference part:

- Maintenance securities instructions – search/list* screen [▶ 174]
- Amendment securities instruction – details* screen [▶ 108]

Instructions

1. Go to the *maintenance securities instructions – search/list* screen:
Securities >> Settlement >> Maintenance Securities Instructions
2. Enter all search criteria known to you about the amendment instruction for a settlement restriction on securities for which you want to view the details.
3. Select the value 'Intra-Position Movement' in the 'Instruction Type' field.

4. Select the value 'Amendment Instruction' in the 'Maintenance Instruction Type' field.



Alternative

To set all fields to default value and blank out all optional fields, click on the *re-set* button.

5. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the screen.
 - ⇒ If the search retrieves only one amendment instruction for a settlement restriction on securities, the *amendment securities instruction – details* screen is displayed directly.
 6. Select the amendment instruction for a settlement restriction on securities for which you want to view the details by clicking on an entry in the list.
 7. Click on the *details* button.
 - ⇒ The *amendment securities instruction – details* screen is displayed.
- ➔ You have viewed the details of an amendment instruction for a settlement restriction on securities.

3.16.4 Creation of a New Amendment Instruction for a Settlement Restriction on Cash

Overview

This business package describes the creation of a new amendment instruction for a settlement restriction on cash.

You can create a new amendment instruction for a settlement restriction on cash and afterwards check if the amendment instruction has been successfully created or executed.

To create a new amendment instruction for a settlement restriction on cash, carry out the business scenarios in the predefined order and choose the business scenario relevant to you whenever options are given.

Business Scenarios

1. Create a new amendment instruction for a settlement restriction on cash
 - Add a link for a settlement restriction on cash [▶ 1387]
 - Amend the priority for a settlement restriction on cash [▶ 1389]
 - Remove a link for a settlement restriction on cash [▶ 1391]
2. View amendment instruction for a settlement restriction on cash details [▶ 1393]

3.16.4.1 Add a Link for a Settlement Restriction on Cash

Context of Usage

This business scenario describes how to add a link for a settlement restriction on cash (intra-balance movement). You can link your settlement restriction on cash in case you want to settle it at the same time, after or before another settlement restriction or settlement instruction.

Adding a link for a settlement restriction on cash is one option for the creation of an amendment instruction for a settlement restriction on cash.

Before you add a link for a settlement restriction on cash, check whether it is neither cancelled nor partially settled nor settled. You can check the status of your settlement restriction on cash before creating the amendment instruction through the details screen as described in the business scenario view settlement restriction on cash details [▶ 1377].

The number of links that can be added per amendment instruction is unbounded.

This business scenario is not relevant for CSD users.

Privileges

To carry out this business scenario, you need the following privileges:

- Link to an instruction/pool reference belonging to a specific party
- Amend process indicator settlement restriction on cash on a dedicated cash account
- Intra-balance movements query
- Intra-balance movement audit trail list query
- Send new instruction using a specific instructing party

Reference


Further information on screens involved can be found in the screen reference part:

- Intra-balance movements - search/list* screen [▶ 431]
- Intra-balance movement - details* screen
- Amendment cash instruction - new* screen [▶ 418]

Instructions

1. Go to the *intra-balance movements – search/list* screen:
Cash >> Settlement >> Intra-Balance Movements
2. Enter all search criteria known to you about the settlement restriction on cash you want to link.
3. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the screen.
 - ⇒ If the search retrieves only 1 intra-balance movement, the *intra-balance movement - details* screen is displayed directly. Proceed with step 6.

4. Select the settlement restriction on cash you want to amend by clicking on an entry in the list.
5. Click on the *details* button.
 - ⇒ The *intra-balance movement - details* screen is displayed.
6. Click on the *amendment instruction* button, located on the bottom of the screen.
 - ⇒ The *amendment cash instruction - new* screen is displayed.
7. Enter all mandatory information in the 'General' frame.
8. Click on the *linkage information* radio button, located on the lower center side of the screen.



Amendment Type

Priority Linkage Information

⇒ The 'Linkage Information' and 'Link' frames are displayed.

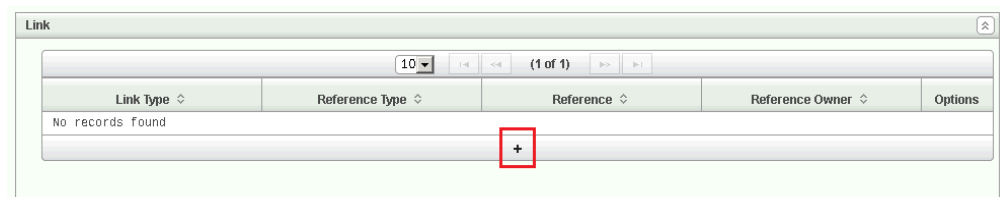
9. Select the *link* radio button to add a link.



Linkage Information

LINK UNLINK

10. Click on the *add row* icon in the 'Link' frame to display the links related enter fields.

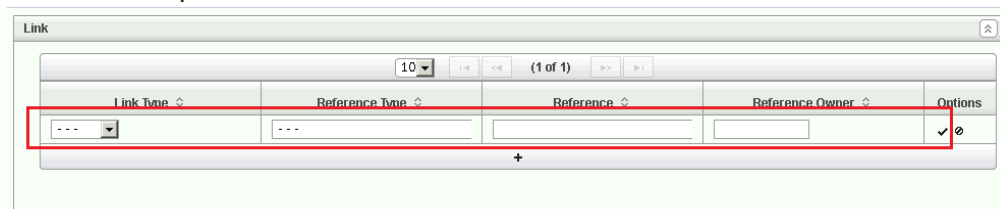


Link

10 (1 of 1)

Link Type	Reference Type	Reference	Reference Owner	Options
No records found				
				+

11. Enter the relevant information to identify the instruction you want your instruction to be linked with in the 'Link' frame and click on the *submit changes* icon in the 'Options' column.



Link

10 (1 of 1)

Link Type	Reference Type	Reference	Reference Owner	Options
...	...			✓
				+

⇒ The link has been added to the list.

12. To remove an entry from the list of links to be added, click on the *cancel changes* icon in the 'Options' column.

⇒ The link has been deleted from the list.

**Repetition**

To add more links, repeat steps 10 to 11.

You can add as many links as you like.

**Alternatives**

To set default criteria and blank out all optional fields, click on the *reset* button.

To cancel the process and return to the previous screen, click on the *cancel* button.

13. Click on the *submit* button.

14. Enter your PIN for digital signature purposes (NRO).

- ➔ A new amendment instruction to add a link for a settlement restriction on cash has been created.

3.16.4.2 Amend the Priority for a Settlement Restriction on Cash

Context of Usage

This business scenario describes how to amend the priority for a settlement restriction on cash (intra-balance movement). You can create a new amendment instruction to amend the priority for your settlement restriction on cash to prioritise or delay its settlement. In case the settlement restriction on cash was originally sent without a priority, you can set it or modify the existing one.

Amending the priority for a settlement restriction on cash is 1 option for the creation of an amendment instruction for a settlement restriction on cash.

Before you amend the priority for your settlement restriction on cash, check whether it is neither cancelled nor settled. You can check the status of your settlement restriction on cash before creating the amendment instruction through the details screen as described in the business scenario view settlement restriction on cash details [▶ 1377].

This business scenario is not relevant for CSD users.

Privileges

To carry out this business scenario, you need the following privileges:

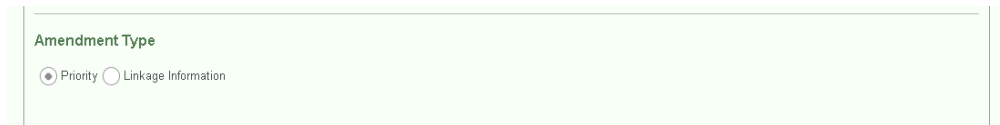
- Amend process indicator settlement restriction on cash on a dedicated cash account
- Link to an instruction/pool reference belonging to a specific party
- Send new instruction using a specific instructing party
- Intra-balance movements query
- Intra-balance movement audit trail list query

Reference Further information on screens involved can be found in the screen reference part:

- Intra-balance movements – search/list* screen [▶ 431]
- Intra-balance movement – details* screen
- Amendment cash instruction - new* screen [▶ 418]

Instructions


1. Go to the *intra-balance movements –search/list* screen:
Cash >> Settlement >> Intra-Balance Movements
2. Enter all search criteria known to you about the settlement restriction on cash for which you want to amend the priority.
3. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the screen.
 - ⇒ If the search retrieves only one intra-balance movement, the *intra-balance movement - details* screen is displayed directly. Proceed with step 6.
4. Select the settlement restriction on cash you want to amend by clicking on an entry in the list.
5. Click on the *details* button.
 - ⇒ The *intra-balance movement – details* screen is displayed.
6. Check the current priority value and click on the *amendment instruction* button.
 - ⇒ The *amendment cash instruction – new* screen is displayed.
7. Click on the *priority* radio button, located on the lower center side of the screen.



Amendment Type

Priority Linkage Information

- ⇒ The 'Priority' frame is displayed.
8. Select the new priority from the drop-down menu.



Priority

Priority Level *

High

Normal

Reserved



Alternatives

To set all fields to default value and blank out all optional fields, click on the *reset* button.

To cancel the process and return to the previous screen, click on the *cancel* button.

9. Click on the *submit* button.
10. Enter your PIN for digital signature purposes (NRO).
 - ➔ A new amendment instruction for a settlement restriction on cash has been created.

3.16.4.3 Remove a Link for a Settlement Restriction on Cash

Context of Usage

This business scenario describes how to remove an existing link in a settlement restriction on cash (intra-balance movement).

Removing a link for a settlement restriction on cash is one option for the creation of an amendment instruction for a settlement restriction on cash.

Before you remove a link for your settlement restriction on cash, check whether it is neither settled nor cancelled. You can check the status of your settlement restriction on cash before creating the amendment instruction through the details screen as described in the business scenario view settlement restriction on cash details [▶ 1377].

The number of links that can be removed with one amendment instruction is limited to the number of existing linkages in the settlement restriction on cash.

If you want to modify an already existing link in your settlement restriction on cash, you have first to remove the existing link and then add a new link to your settlement restriction on cash.

This business scenario is not relevant for CSD users.

Privileges

To carry out this business scenario, you need the following privileges:

- Link to an instruction/pool reference belonging to a specific party
- Amend process indicator settlement restriction on cash on a dedicated cash account
- Send new instruction using a specific instructing party
- Intra balance movement query

Reference

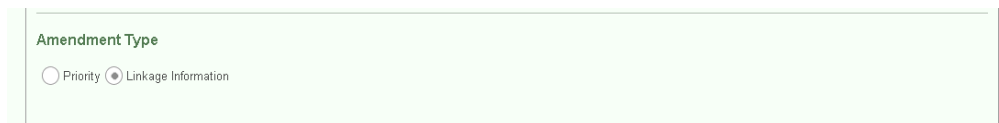
Further information on screens involved can be found in the screen reference part:

- Intra-balance movements – search/list* screen [▶ 431]
- Intra-balance movement – details* screen
- Amendment cash instruction - new* screen [▶ 418]

Instructions

1. Go to the *intra-balance movements – search/list* screen:
Cash >> Settlement >> Intra-Balance Movements
2. Enter all search criteria known to you about the settlement restriction on cash you want to amend.

3. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the screen.
 - ⇒ If the search retrieves only one settlement restriction on cash, the *intra-balance movement – details* screen is displayed directly. Proceed with step 6.
4. Select the settlement restriction on cash you want to amend by clicking on an entry in the list.
5. Click on the *details* button.
 - ⇒ The *intra-balance movement – details* screen is displayed.
6. Click on the *amendment cash instruction* button.
 - ⇒ The *amendment cash instruction – new* screen is displayed.
7. Enter all mandatory information in the ‘General’ frame.
8. Click on the *linkage information* radio button, located on the lower center side of the *amendment cash instruction – new* screen.



Amendment Type

Priority Linkage Information

- ⇒ The ‘Linkage Information’ and ‘Link’ frames are displayed.
9. Select the *unlink* radio button to remove a link.



Linkage Information

LINK UNLINK

- ⇒ The existing links of the referenced settlement restriction on cash are displayed in the ‘Link’ frame below.
10. Select the link(s) to be removed from the list.



Alternatives

To set all fields to default value and blank out all optional fields, click on the *reset* button.

To cancel the process and return to the previous screen, click on the *cancel* button.

11. Click on the *submit* button.
12. Enter your PIN for digital signature purposes (NRO).
 - ➔ A new amendment instruction to remove a link for a settlement restriction on cash has been created.

3.16.4.4 View Amendment Instruction for a Settlement Restriction on Cash Details

Context of Usage

This business scenario describes how to view the details of an amendment instruction for a settlement restriction on cash. Once an amendment instruction for a settlement restriction on cash has been created in T2S it might be necessary for you to check its details to be aware of updates during the lifecycle of the amendment instruction.

Viewing an amendment instruction for a settlement restriction on cash details is optional for the creation of a new amendment instruction for a settlement restriction on cash.

This business scenario is not relevant for CSD users.

Privileges

To carry out this business scenario, you need the following privileges:

- Maintenance instruction for intra-balance movements query
- Amendment instruction query for intra-balance movements

Reference

Further information on screens involved can be found in the screen reference part:

- Maintenance cash instructions – search/list* screen [▶ 456]
- Amendment cash instruction - details* screen [▶ 413]

Instructions

1. Go to the *maintenance cash instructions – search/list* screen:
Cash >> Settlement >> Maintenance Cash Instructions
2. Enter all search criteria known to you about the amendment instruction for a settlement restriction on cash for which you want to view the details.
3. Check that the value selected in the 'Maintenance Instruction Type' field is 'Amendment Instruction' (i.e. it is the default value for this field).

The screenshot shows a search form titled "Search Criteria - Maintenance Cash Instructions". It contains several input fields and dropdown menus. The "Maintenance Instruction Type" dropdown menu is highlighted with a red box and shows "Amendment Instruction" selected. Other fields include Actor Reference, T2S Reference, Instructing Party Parent BIC, Instructing Party BIC, Dedicated Cash Account, Entry Date, Execution Date, Execution Status, and Cancellation Status.



Alternative

To set all fields to default value and blank out all optional fields, click on the *reset* button.

4. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the screen.

⇒ If the search retrieves only one amendment instruction for a settlement restriction on cash, the *amendment cash instruction - details* screen is displayed directly.

5. Select the amendment instruction for a settlement restriction on cash for which you want to view the details by clicking on an entry in the list.

6. Click on the *details* button.

⇒ The *amendment cash instruction – details* screen is displayed.

➔ You have viewed the details of an amendment instruction for a settlement restriction on cash.

3.16.5 Creation of a New Cancellation Instruction for a Settlement Restriction on Securities

Overview

This business package describes the creation of a new cancellation instruction for a settlement restriction on securities.

You can create a new cancellation instruction for a settlement restriction on securities and afterwards you can check the information of the cancellation instruction in T2S.

To create a new cancellation instruction for a settlement restriction on securities, carry out the following business scenarios in the predefined order.

Business Scenarios

1. Cancel a settlement restriction on securities [▶ 1394]
2. View cancellation instruction for a settlement restriction on securities details [▶ 1396]

3.16.5.1 Cancel a Settlement Restriction on Securities

Context of Usage

This business scenario describes how to create a new cancellation instruction for a settlement restriction on securities. This is necessary if you want to cancel a settlement restriction on securities so it is not processed further in T2S.

Cancelling a settlement restriction on securities is mandatory for the creation of a cancellation instruction for a settlement restriction on securities.

Before you create a new cancellation instruction for a settlement restriction on securities, check that it is not already cancelled nor settled. You can check the status of your settlement restriction on securities before creating the cancellation instruction through the details screen as described in the business scenario view settlement restriction on securities details [▶ 1371].

This business scenario is not relevant for CB users.

Privileges

To carry out this business scenario, you need the following privileges:

- Intra-position movements query

- Intra-position movement audit trail list query
- Cancel non-modifiable instructions on behalf of the instruction party of the underlying settlement instruction
- Cancel settlement instruction/settlement restriction on securities either on a securities account or on behalf of the CSD in T2S, on behalf of an external CSD or on behalf of an administering party
- Send new instruction using a specific instructing party

Reference

Further information on screens involved can be found in the screen reference part:

- Intra-position movements – search/list* screen [▶ 147]
- Intra-position movement - details* screen
- Cancellation securities instruction - new* screen [▶ 127]

Instructions

1. Go to the *intra-position movements – search/list* screen:
`Securities >> Settlement >> Intra-Position Movements`
2. Enter at least one general search criterion known to you about the settlement restriction on securities for which you want to view the details.
3. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the screen.
 - ⇒ If the search retrieves only one settlement restriction on securities, the *intra-position movement - details* screen is displayed directly. Proceed with step 6.
4. Select the settlement restriction on securities you want to cancel by clicking on an entry in the list.
5. Click on the *details* button.
 - ⇒ The *intra-position movement - details* screen is displayed.
6. Click on the *cancellation instruction* button.
 - ⇒ The *cancellation securities instruction - new* screen is displayed.
7. Enter all relevant information in the 'General' frame.

**Alternative**

To set all fields to default value and blank out all optional fields, click on the *reset* button.

To cancel the process and return to the previous screen, click on the *cancel* button.

8. Click on the *submit* button.
9. Enter your PIN for digital signature purposes (NRO).

- ➔ A new cancellation instruction for a settlement restriction on securities has been created.

3.16.5.2 View Cancellation Instruction for a Settlement Restriction on Securities Details

Context of Usage

This business scenario describes how to view the details of a cancellation instruction for a settlement restriction on securities. Once the cancellation instruction for a settlement restriction on securities is created in T2S it might be necessary for you to check its details to be aware of updates during the lifecycle of the cancellation instruction.

Viewing a cancellation instruction for a settlement restriction on securities details is optional for the creation of a new cancellation instruction for a settlement restriction on securities.

This business scenario is not relevant for CB users.

Privileges

To carry out this business scenario, you need the following privileges:

- Maintenance for intra position movements and settlement instructions query
- Cancellation instruction query for intra-position movements and settlement instructions
- Cancellation instruction for intra-position movement or settlement instruction audit trail details query
- Amendment instruction query for intra-position movements and settlement instructions

Reference

Further information on screens involved can be found in the screen reference part:

- Maintenance securities instructions – search/list* screen [▶ 174]
- Cancellation securities instruction - details* screen [▶ 123]

Instructions

1. Go to the *maintenance securities instructions – search/list* screen:
Securities >> Settlement >> Maintenance Securities Instructions
2. Enter all search criteria known to you about the cancellation instruction for a settlement restriction on securities for which you want to view the details.
3. Select the value 'Intra-Position Movement' in the 'Instruction Type*' field.

4. Select the value 'Cancellation Instruction' in the 'Maintenance Instruction Type' field.

5. Select the value 'Cancellation Instruction' from the 'Maintenance Instruction Type' field in the 'General Criteria' frame to search for a cancellation instruction. If the relevant value is not selected, the default value 'All' applies.



Alternative

To set all fields to default value and blank out all optional fields, click on the *reset* button.

6. Click on the *search* button.

- ⇒ A list containing the search results is displayed on the screen.
- ⇒ If the search retrieves only one cancellation instruction for a settlement restriction on securities, the *cancellation securities instruction - details* screen is displayed directly.

7. Select the cancellation instruction for a settlement restriction on securities for which you want to view the details.

8. Click on the *details* button.

- ⇒ The *cancellation securities instruction – details* screen is displayed.

- ➔ You have viewed the details of a cancellation instruction for a settlement restriction on securities.

3.16.6 Creation of a New Cancellation Instruction for a Settlement Restriction on Cash

Overview

This business package describes the creation of a new cancellation instruction for a settlement restriction on cash.

You can create a new cancellation instruction for a settlement restriction on cash and afterwards you can check if the cancellation instruction has been successfully created or executed.

To create a new cancellation instruction for a settlement restriction on cash, carry out the following business scenarios in the predefined order.

Business Scenarios

1. Cancel a settlement restriction on cash [[▶ 1398](#)]
2. View cancellation instruction for a settlement restriction on cash details [[▶ 1399](#)]

3.16.6.1 Cancel a Settlement Restriction on Cash

Context of Usage

This business scenario describes how to create a new cancellation instruction for a settlement restriction on cash. This is necessary if you want to cancel a settlement restriction on cash so it is not further processed in T2S.

Cancelling a settlement restriction on cash is mandatory for the creation of a cancellation instruction for a settlement restriction on cash.

Before you create a new cancellation instruction for a settlement restriction on cash, check that it is neither already cancelled nor settled. You can check the status of your settlement restriction on cash before creating the cancellation instruction on the details screen as described in the business scenario view settlement restriction on cash details [[▶ 1377](#)].

This business scenario is not relevant for CSD users.

Privileges

To carry out this business scenario, you need the following privileges:

- Intra-balance movements query
- Intra-balance movement audit trail list query
- Cancel settlement restriction on cash on a dedicated cash account
- Send new instruction using a specific instructing party

Reference

Further information on screens involved can be found in the screen reference part:

- Intra-balance movements – search/list* screen [[▶ 431](#)]
- Intra-balance movement - details* screen

Instructions

- **Cancellation cash instruction - new screen** [▶ 428]
- 1. Go to the *intra-balance movements – search/list* screen:
Cash >> Settlement >> Intra-Balance Movements
- 2. Enter at least one general search criterion known to you about the settlement restriction on cash for which you want to view the details.
- 3. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the screen.
 - ⇒ If the search retrieves only one settlement restriction on cash, the *intra-balance movement - details* screen is displayed directly. Proceed with step 6.
- 4. Select the settlement restriction on cash you want to cancel by clicking on an entry in the list.
- 5. Click on the *details* button.
 - ⇒ The *intra-balance movement - details* screen is displayed.
- 6. Click on the *cancellation instruction* button.
 - ⇒ The *cancellation cash instruction - new screen* is displayed.
- 7. Enter all relevant information in the 'General' frame.

**Alternative**

To set all fields to default value and blank out all optional fields, click on the *reset* button.

To cancel the process and return to the previous screen, click on the *cancel* button.

- 8. Click on the *submit* button.
- 9. Enter your PIN for digital signature purposes (NRO).
 - ➔ A new cancellation instruction for a settlement restriction on cash has been created.

3.16.6.2 View Cancellation Instruction for a Settlement Restriction on Cash Details

Context of Usage

This business scenario describes how to view the details of a cancellation instruction for a settlement restriction on cash. Once a cancellation instruction is created in T2S it might be necessary for you to check its details to be aware of updates during the lifecycle of the cancellation instruction.

Viewing a cancellation instruction for a settlement restriction on cash details is one option for the creation of a new cancellation instruction for a settlement restriction on cash.

This business scenario is not relevant for CSD users.

Privileges

To carry out this business scenario, you need the following privileges:

- Cancellation instructions for intra-balance movements query
- Maintenance instructions for intra-balance movements query
- Cancellation instruction for intra-balance movement audit trail list query
- Amendment instruction query for intra-balance movements
- Amendment instruction for intra-balance movement audit trail list query

Reference

Further information on screens involved can be found in the screen reference part:

- Maintenance cash instructions – search/list* screen [▶ 456]
- Cancellation cash instruction - details* screen [▶ 425]

Instructions

1. Go to the *maintenance cash instructions – search/list* screen:
Cash >> Settlement >> Maintenance Cash Instructions
2. Enter at least one general search criterion known to you about the cancellation instruction for a settlement restriction on cash for which you want to view the details.
3. Select the value 'Cancellation Instruction' in the 'Maintenance Instruction Type' field.

Search Criteria - Maintenance Cash Instructions

Actor Reference	T2S Reference	
<input type="text"/>	<input type="text"/>	
Instructing Party Parent BIC	Instructing Party BIC	Dedicated Cash Account
<input type="text"/>	<input type="text"/>	<input type="text"/>
Maintenance Instruction Type	Entry Date	Execution Date
<input type="text" value="Cancellation Instruction"/>	<input type="text"/>	<input type="text"/>
Amendment Instruction	Cancellation Status	
<input type="text"/>	<input type="text" value="All"/>	
Cancellation Instruction		
<input type="text"/>		

4. Click on the *search* button.
 - ⇒ A list containing the search results is displayed on the screen.
 - ⇒ If the search retrieves only one cancellation instruction for a settlement restriction on cash, the *cancellation cash instruction - details* screen is displayed directly.
5. Select the cancellation instruction for a settlement restriction on cash for which you want to view the details.



Alternative

To set all fields to default value and blank out all optional fields, click on the *reset* button.

6. Click on the *details* button.

⇒ The *cancellation cash instruction - details* screen is displayed.

- ➔ You have viewed the details of a cancellation instruction for a settlement restriction on cash.

3.16.7 View the list of links data preventing settlement of a settlement restriction on securities

Context of Usage

This business scenario describes how to view the list of data preventing settlement of a selected settlement restriction on securities.

When a linked settlement restriction on securities is unsettled, it might be useful for you to check the list of the settlement instructions or settlement restrictions preventing its settlement with the associated constraints.

This business scenario is not relevant for the following cases:

- Settled, cancelled settlement restrictions on securities
- Settlement restrictions on securities with an intended settlement date in the future

Privileges

To carry out this business scenario, you need the following privileges:

- Intra-position movements query

Reference

Further information on screens involved can be found in the screen reference part:

- Intra-position movements – search/list screen
- Intra-position movement – details screen
- Links preventing settlement – list screen

Instructions

1. Go to the intra-position movements – search/list screen:
Securities Settlement Intra-position Movements
2. Enter at least one general search criterion known to you about the settlement restriction on securities for which you want to view the links data preventing its settlement.
3. Click on the search button.
 - A list containing the search results is displayed on the screen
 - If the search retrieves only one settlement restriction on securities, the intra-position movement – details screen is displayed directly. Proceed with step 6.
4. Select the settlement on securities for which you want to view the links data preventing settlement by clicking on an entry in the list.
5. Click on the details button.
 - The intra-position movement – details screen is displayed.

6. Check the details of the settlement restriction on securities and click on the links button.
 - The links preventing settlement – list screen is displayed.
 - You have viewed the list of the settlement instructions and/or settlement instructions preventing the settlement of the selected settlement restriction on securities with the associated constraints.

3.16.8 View the list of links data preventing settlement of a settlement restriction on cash

Context of Usage

This business scenario describes how to view the list of data preventing settlement of a selected settlement restriction on cash.

When a linked settlement restriction on cash is unsettled, it might be useful for you to check the list of the settlement instructions or settlement restrictions preventing its settlement with the associated constraints.

This business scenario is not relevant for the following cases:

- Settled, cancelled settlement restrictions on cash
- Settlement restrictions on cash with an intended settlement date in the future

Privileges

To carry out this business scenario, you need the following privileges:

- Intra balance movement audit trail list query

Reference

Further information on screens involved can be found in the screen reference part:

- Intra-balance movements – search/list screen
- Intra-balance movement – details screen
- Links preventing settlement – list screen

Instructions

1. Go to the intra-balance-movements – search/list screen:
Cash Settlement Intra-balance Movements
2. Enter at least one general search criterion known to you about the settlement restriction on cash for which you want to view the links data preventing its settlement.
3. Click on the search button
 - A list containing the search results is displayed on the screen
 - If the search retrieves only one settlement restriction on cash, the intra-position movement – details screen is displayed directly. Proceed with step 6.
4. Select the settlement on cash for which you want to view the links data preventing settlement by clicking on an entry in the list.

5. Click on the details button.
 - The intra-balance movement – details screen is displayed.
6. Check the details of the settlement restriction on cash and click on the links button.
 - The links preventing settlement – list screen is displayed.
 - You have viewed the list of the settlement instructions and/or settlement instructions preventing the settlement of the selected settlement restriction on cash with the associated constraints.

3.17 ~~Technical Addresses~~

~~**Note: Due to the migration to CRDM the "User Instruction", chapters have been removed from T2S UHB v7.0. Even if they are no longer described, the functionalities remain unchanged. For further information on the functionalities, please see T2S UHB v6.2.**~~

~~**Please notice that the screens look different then described in T2S UHB v6.2 as of T2S R6.0 and the migration to CRDM. Even though the layout has changed, the functionalities remain unchanged. In case of ambiguity or inconsistencies, please refer to the respective "Screen Reference" Part of T2S UHB v7.0. {Split book approach}**~~

3.18 Billing Data

3.18.1 Invoice Data Query

3.18.1.1 Querying Invoice Data

Context of Usage	This business package describes all activities the authorised user can perform for displaying the list of created Invoice Data and their related status.
Privileges	To carry out this business scenario, the user needs the following privilege: <ul style="list-style-type: none"><input type="checkbox"/> Invoice Data List Query
Reference	Further information on screens involved can be found in the screen reference part: <ul style="list-style-type: none"><input type="checkbox"/> Invoice data – search/list screen [▶ 672]<input type="checkbox"/> Invoice data – details screen [▶ 675]
Instructions	<ol style="list-style-type: none">1. Go to the Invoice Data – search/list screen:<ul style="list-style-type: none">Billing >> Invoice Data >> SearchBilling >> Manual Correction >> Search >> Click on Invoice Data button <p>⇒ The Invoice Data – search/list is displayed.</p>

2. Enter all the information of interest, choosing whether to specify the 'Service', the 'Party BIC', the Start/End date of the relevant Billing period, or the Invoice Number (only if already used for the creation of an Invoice which is not cancelled).

3. Click on the Search button.
➔ The Invoice Data List is displayed.

3.18.2 Querying Itemised Invoice Data

Context of Usage

This business package describes all activities the authorised user can perform for displaying the list of Itemised Invoice Data that compose the Invoice Data that could be selected from the previous screen.

Privileges

To carry out this business scenario, the user needs the following privilege:

- Itemised Invoice Data List Query
- Itemised Invoice Data Details Query

Reference

Further information on screens involved can be found in the screen reference part:

- Itemised invoice data – search/list screen [[▶ 679](#)]
- Itemised invoice data – details screen [[▶ 682](#)]

Instructions

1. Go to the Itemised Invoice Data – search/list screen:
Billing >> Invoice Data – search/list screen >> Click on the Details button >> click on the Itemised Invoice Data Search button
➔ The Itemised Invoice Data – search/list is displayed.

2. Enter all the information of interest, choosing whether to specify the 'Securities Account number', the 'Cash Account number', the 'Parent BIC' or the 'Party BIC'.

ITEMISED INVOICE DATA LIST			
Invoice Data			
Service:	T2 RTGS COMPONENT	Parent BIC:	SYSENT25X1
Party BIC:	NCBKICR0001	Parent BIC:	Bank 1
Period Start Date:	2020-02-28	Period End Date:	2022-02-28
Status:	Active	Creation Date:	
Invoice Number:	ABCFG5000		

Search filters:

Securities Account number:

Cash Account number:

Parent BIC:

Party BIC:

3. Click on the Search button.
➔ The Itemised Invoice Data List is displayed.

3.18.3 Querying PDF Invoice

Context of Usage

This business package describes all activities the authorised user can perform for displaying the list of PDF Invoices and their related status.

Privileges

To carry out this business scenario, the user needs the following privilege:

- Manage Billing Data

Reference

Further information on screens involved can be found in the screen reference part:

- PDF invoice – search/list screen [▶ 697]

Instructions

1. Go to the PDF Invoice – search/list screen:
Billing >> PDF Invoice >> Search
⇒ The PDF Invoice – search/list screen is displayed.
2. Enter all the information of interest, choosing whether to specify the 'Service', the 'Status', the 'Parent BIC', the 'Party BIC' and the Start/End date of the relevant Billing period.
3. Click on the Search button.

⇒ The list of PDF Invoices is displayed.

4. Select one or more than one PDF Invoices and then click on the Download PDF button.

⇒ The PDF Invoice or the list of PDF Invoices is downloaded.

3.19 Configuring of a Manual Correction

3.19.1 Create a New Manual Correction

Context of Usage

This business package describes how to create a new Manual Correction starting from a predefined group of Invoice Data.

In the example below the user performs:

- A Template Correction that leads to the substitution of the previously configured Invoice Footer;
- A Manual Correction with the introduction of an additional service item containing an Amount and a VAT rate.

Privileges

To carry out this business scenario, the user needs the following privilege:

- Invoice Data List Query
- Manual Correction Details Query
- Manage Manual Correction

Reference

Further information on screens involved can be found in the screen reference part:

- Invoice Data – search/list screen [▶ 672]
- Manual Correction – details screen [▶ 688]
- Manual Correction – new/edit screen [▶ 690]

Instructions

1. Go to the Invoice Data – search/list screen:

Billing >> Invoice Data >> Search

Billing >> Manual Correction >> Search >> Click on Invoice Data button

⇒ The Invoice Data – search/list screen is displayed.

2. Enter all the information of interest, choosing whether to specify the 'Service', the 'Party BIC', the Start/End date of the relevant Billing period.
3. Click on the Search button.
 - ⇒ The Invoice Data List is displayed.
4. Select an Invoice Data and click on Manual Correction button.
 - ⇒ The Manual Correction – details screen is displayed

MANUAL CORRECTION DETAILS

Invoice Data

Service:	TZ RTGS COMPONENT	Invoice Data Status:	Generated
Parent BIC:	AAAAA8801	Party BIC:	AAAAA8801
Period Start Date:	2020-09-01	Period End Date:	2020-09-28

Template Correction

Status:	Active	Footer Text:	Footer
---------	--------	--------------	--------

Manual Correction

Status	Name	Service	Service	Rate	VAT Rate	VAT am	Amount	Quantity	Unit Price	Type	Total
Active	Additional info	Settlement Serv	5001					200	10 €	Credit	2,000 €
Active	Updated Code	Information Serv	CO02		10%	300 €	3,000 €			Credit	3,000 €

Total rows: 2

5. Click on Add Manual Correction button.
 - ⇒ The Manual Correction – new/edit screen is displayed
6. Enter the 'Footer Text'.
7. Click the Submit Row button in the Template Correction section.
8. Enter the 'Name', select the 'Service Item Category', enter the 'Service Item Code'; then enter the 'Amount', the 'VAT Rate' and select the 'Type of correction (Credit/Debit)'.
9. Click the Submit Row button in the Manual Correction section.

➔ The new Manual Correction has been created and is shown in the list.

MANUAL CORRECTION NEW

Invoice Data Set

Service:	T2 RTGS COMPONENT	Invoice Data Status:	Generated
Parent BIC:	SYSENT25X1	Party BIC:	NCB9ICR0001
Period Start Date:	2020-12-08	Period End Date:	2020-12-28

Template Correction

Footer Text:

Max number of Template Correction allowed reached

Status	Footer Text
Active	footer

Total rows: 1

Manual Correction

Name: Service Category:

Service Item Code: Rate:

VAT Rate: VAT Amount:

Amount: Quantity:

Unit Price: Type of correction (Credit/Debit):

Total Amount:

Status	Name	Service	Service	Rate	VAT Rate	VAT am.	Amount	Quantity	Unit Price	Type of Cor.	Total a.
Active	Additional Info	Settlement Serv	5001		10%	300 €	3,000 €	200	10 €	Credit	2,000 €
Active	Updated Code	Information Serv	0002		10%	300 €	3,000 €			Credit	3,000 €

Total rows: 2

3.19.2 Create a New Template Correction (CB)

Context of Usage

This business package describes how to create a new Template Correction starting from a predefined group of Invoice Data.

In the example below the CB user performs a Template Correction that leads to the substitution of the previously configured Invoice Footer in a Participant Invoice.

Privileges

To carry out this business scenario, the user needs the following privilege:

- Invoice Data List Query
- Manual Correction Details Query
- Manage Manual Correction

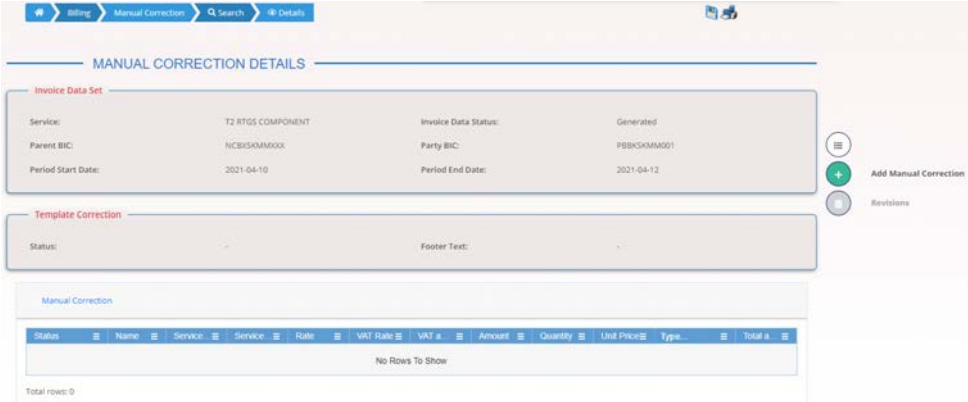
Reference

Further information on screens involved can be found in the screen reference part:

- Invoice Data – search/list screen [▶ 672]

Instructions

- Manual Correction – details screen [▶ 688]
 - Manual Correction – new/edit screen [▶ 690]
1. Go to the Invoice Data – search/list screen:
Billing >> Invoice Data >> Search
Billing >> Manual Correction >> Search >> Click on Invoice Data button
⇒ The Invoice Data – search/list screen is displayed.
 2. Enter all the information of interest, choosing whether to specify the 'Service', the 'Parent BIC', the 'Party BIC', the Start/End date of the relevant Billing period.
 3. Click on the Search button.
⇒ The Invoice Data List is displayed.
 4. Select an Invoice Data and click on Manual Correction button.
⇒ The Manual Correction – details screen is displayed



MANUAL CORRECTION DETAILS

Invoice Data Set

Service:	T2 RTGS COMPONENT	Invoice Data Status:	Generated
Parent BIC:	NCEBSKMM00X	Party BIC:	PBBKSKMM001
Period Start Date:	2021-04-10	Period End Date:	2021-04-12

Template Correction

Status:		Footer Text:	
---------	--	--------------	--

Manual Correction

Status	Name	Service	Service	Rate	VAT Rate	VAT a	Amount	Quantity	Unit Price	Type	Total a
No Rows To Show											

Total rows: 0

5. Click on Add Manual Correction button.
⇒ The Manual Correction – new/edit screen is displayed
6. Enter the 'Footer Text'.
7. Click the Submit Row button in the Template Correction section.

➔ The new Template Correction has been created and is shown in the list.

3.19.3 Create a New Manual Correction (CB)

Context of Usage

This business package describes how to create a new Manual Correction starting from a predefined group of Invoice Data.

In the example below the CB user performs a Manual Correction that inserts quantity and unit price of a new Service Item for a Participant Invoice.

Privileges

To carry out this business scenario, the user needs the following privilege:

- Invoice Data List Query
- Manual Correction Details Query
- Manage Manual Correction

Reference

Further information on screens involved can be found in the screen reference part:

- Invoice Data – search/list screen [▶ 672]

Instructions

- Manual Correction – details screen [▶ 688]
 - Manual Correction – new/edit screen [▶ 690]
1. Go to the Invoice Data – search/list screen:
Billing >> Invoice Data >> Search
Billing >> Manual Correction >> Search >> Click on Invoice Data button
⇒ The Invoice Data – search/list screen is displayed.
 2. Enter all the information of interest, choosing whether to specify the ‘Service’, the ‘Parent BIC’, the ‘Party BIC’, the Start/End date of the relevant Billing period.
 3. Click on the Search button.
⇒ The Invoice Data List is displayed.
 4. Select an Invoice Data and click on Manual Correction button.
⇒ The Manual Correction – details screen is displayed

MANUAL CORRECTION DETAILS

Invoice Data Set

Service:	T2 RTGS COMPONENT	Invoice Data Status:	Generated
Parent BIC:	NCBKSKMM00X	Party BIC:	PBBKSKMM001
Period Start Date:	2021-04-10	Period End Date:	2021-04-12

Template Correction

Status:		Footer Text:	
---------	--	--------------	--

Manual Correction

Status	Name	Service	Service	Rate	VAT Rate	VAT a	Amount	Quantity	Unit Price	Type	Total a
No Rows To Show											

Total rows: 0

5. Click on Add Manual Correction button.
⇒ The Manual Correction – new/edit screen is displayed
6. Enter the ‘Name’, select the ‘Service Item Category’, enter a new ‘Service Item Code’; then enter the ‘Quantity’, ‘Unit Price’ and select the ‘Type of Correction (Credit/Debit)’.
7. Click the Submit Row button in the Manual Correction section.

⇒ The new Manual Correction has been created and is shown in the list.

3.19.4 Create a New Manual Correction without propagation (ECB)

Context of Usage

This business package describes how to create a new Manual Correction starting from a predefined group of Invoice Data.

In the example below the ECB user performs a Manual Correction that amends the quantity of an existing Service Item in a System Entity Invoice. No propagation to Participant Invoices is performed.

Privileges

To carry out this business scenario, the user needs the following privilege:

- Invoice Data List Query
- Manual Correction Details Query
- Manage Manual Correction

Reference

Further information on screens involved can be found in the screen reference part:

Instructions

- Invoice Data – search/list screen [▶ 679]
 - Manual Correction – details screen [▶ 688]
 - Manual Correction – new/edit screen [▶ 690]
1. Go to the Invoice Data – search/list screen:
Billing >> Invoice Data >> Search
Billing >> Manual Correction >> Search >> Click on Invoice Data button
⇒ The Invoice Data – search/list screen is displayed.
 2. Enter all the information of interest, choosing whether to specify the ‘Service’, the ‘Party BIC’, the Start/End date of the relevant Billing period.
 3. Click on the Search button.
⇒ The Invoice Data List is displayed.
 4. Select an Invoice Data and click on Manual Correction button.
⇒ The Manual Correction – details screen is displayed

MANUAL CORRECTION DETAILS

Invoice Data Set

Service:	T2 RTGS COMPONENT	Invoice Data Status:	Generated
Parent BIC:	TCSOTCS0000	Party BIC:	NCBKSNM000
Period Start Date:	2021-04-10	Period End Date:	2021-04-12

Template Correction

Status:		Footer Text:	
---------	--	--------------	--

Manual Correction

Status	Name	Service	Service	Rate	VAT Rate	VAT %	Amount	Quantity	Unit Price	Type	Total
No Rows To Show											

Total rows: 0

5. Click on Add Manual Correction button.
⇒ The Manual Correction – new/edit screen is displayed
6. Enter the ‘Name’, select the ‘Service Item Category’, enter or select the ‘Service Item Code’; then enter the ‘Quantity’ and select the ‘Type of Correction (Credit/Debit)’.
7. Click the Submit Row button in the Manual Correction section.

➔ The new Manual Correction has been created and is shown in the list.

➔ Billing ➔ Manual Correction ➔ Details ➔ + New

MANUAL CORRECTION NEW

Invoice Data Set

Service:	T2 RTGS COMPONENT	Invoice Data Status:	Generated
Parent BIC:	TCSOTCS00X	Party BIC:	NCBKSMM00X
Period Start Date:	2021-04-10	Period End Date:	2021-04-12

Template Correction

Footer Text:

Status	Footer Text
No Rows To Show	

Total rows: 0

Manual Correction

Name: Service Category:

Service Item Code: Rate:

VAT Rate: VAT Amount:

Amount: Quantity:

Unit Price: Type of correction (Credit/Debit):

Total Amount:

Status	Name	Service C.	Ser.	Rate	VAT	VAT	Am.	Qus	Unit	Type of Corr.	Total
Active	Manual Correction No Propagation Settlement Servio:5001							100		Credit	

Total rows: 1

Propagation

Parent BIC: NCBKSMM00X Party BIC (mandatory):

Manual Correction:

- PBBKSESS001
- PBBKSKMM001
- PBBKSKMM002
- ANSYSKMM001
- PBBKSKMM003
- PBBKSKMM007
- PBBKSKMM009
- PBBKSKMM004
- PBBKSKMM005
- PBBKSKMM006

Manual Correction	Parent BIC	Party BIC
No Rows To Show		

Total rows: 0

3.19.5 Propagation of a Manual Correction to one Participant (ECB)

Context of Usage

This business package describes how to propagate a Manual Correction starting from an existing and active Manual Correction on an Invoice Data.

In the example below the ECB user propagates a Manual Correction that amends the quantity of an existing Service Item to a Participant Invoice.

Privileges

To carry out this business scenario, the user needs the following privilege:

- Invoice Data List Query
- Manual Correction Details Query
- Manage Manual Correction

Reference

Further information on screens involved can be found in the screen reference part:

- Invoice Data – search/list screen [▶ 672]
- Manual Correction – details screen [▶ 688]
- Manual Correction – new/edit screen [▶ 690]

Instructions

1. Go to the Invoice Data – search/list screen:
Billing >> Invoice Data >> Search
Billing >> Manual Correction >> Search >> Click on Invoice Data button
⇒ The Invoice Data – search/list screen is displayed.
2. Enter all the information of interest, choosing whether to specify the ‘Service’, the ‘Party BIC’, the Start/End date of the relevant Billing period.
3. Click on the Search button.
⇒ The Invoice Data List is displayed.
4. Select an Invoice Data and click on Manual Correction button.
⇒ The Manual Correction – details screen is displayed

The screenshot displays the 'MANUAL CORRECTION DETAILS' screen. It is divided into three main sections: 'Invoice Data Set', 'Template Correction', and 'Manual Correction'. The 'Invoice Data Set' section contains fields for Service (T2 RTGS COMPONENT), Parent BIC (TCSOTCS000X), Period Start Date (2021-04-10), Invoice Data Status (Generated), Party BIC (HCBSKHM000X), and Period End Date (2021-04-12). The 'Template Correction' section has fields for Status and Footer Text. The 'Manual Correction' section features a table with the following data:

Status	Name	Service C	Ser	Rate	VAT	VAT	Amt	Date	Unit	Type of Cor	Totals
Active	Manual Correction No Propagation Settlement Service:5001						100			Credit	

Total rows: 1

5. Click on Add Manual Correction button.
⇒ The Manual Correction – new/edit screen is displayed

3.19.6 Propagation of a Manual Correction to all Participants (ECB)

Context of Usage

This business package describes how to propagate a Manual Correction starting from an existing and active Manual Correction on a new Service Item already inserted in a System Entity Invoice Data.

In the example below the ECB user propagates the Manual Correction to all the Participants under the Central Bank scope.

Privileges

To carry out this business scenario, the user needs the following privilege:

- Invoice Data List Query
- Manual Correction Details Query
- Manage Manual Correction

Reference

Further information on screens involved can be found in the screen reference part:

- Invoice Data – search/list screen [▶ 672]
- Manual Correction – details screen [▶ 688]
- Manual Correction – new/edit screen [▶ 690]

Instructions

1. Go to the Invoice Data – search/list screen:
Billing >> Invoice Data >> Search
Billing >> Manual Correction >> Search >> Click on Invoice Data button
⇒ The Invoice Data – search/list screen is displayed.
2. Enter all the information of interest, choosing whether to specify the 'Service', the 'Party BIC', the Start/End date of the relevant Billing period.
3. Click on the Search button.
⇒ The Invoice Data List is displayed.
4. Select an Invoice Data and click on Manual Correction button.
⇒ The Manual Correction – details screen is displayed

MANUAL CORRECTION DETAILS

Invoice Data Set

Service:	T2 RTGS COMPONENT	Invoice Data Status:	Generated
Parent BIC:	TC50TC5000	Party BIC:	NCEB53MME000
Period Start Date:	2021-04-10	Period End Date:	2021-04-12

Template Correction

Status:	-	Footer Text:	-
---------	---	--------------	---

Manual Correction

Status	Name	Service	Service	Rate	VAT Rate	VAT a	Amount	Quantity	Unit Pk	Type of Cor	Total a
Active	Free Text	Settlement Ser-FREE						100	0.2	Debit	20 €

Total rows: 1

5. Click on Add Manual Correction button.

- ⇒ The Manual Correction – new/edit screen is displayed
- 6. Select the ‘Manual Correction’, select the ‘Party BIC’ of all the Participants.
- 7. Click the Submit Row button in the Propagation section.
- ➔ The Manual Corrections have been propagated and are shown in the list.

MANUAL CORRECTION NEW

Invoice Data Set

Service:	T2 RTGS COMPONENT	Invoice Data Status:	Generated
Parent BIC:	TCSOTCS00XX	Party BIC:	NCBXKMM00X
Period Start Date:	2021-04-10	Period End Date:	2021-04-12

Template Correction

Footer Text:

Status	Footer Text
No Rows To Show	

Total rows: 0

Manual Correction

Name: Service Category:

Service Item Code: Rate:

VAT Rate: VAT Amount:

Amount: Quantity:

Unit Price: Type of correction (Credit/Debit):

Total Amount:

Status	Name	Service	Service	Rate	VAT Rate	VAT a.	Amount	Quantity	Unit Pri.	Type of Cor.	Total a.
Active	Free Text	Settlement Ser\FREE					100	0.2		Debit	20 €

Total rows: 1

Propagation

Parent BIC: NCBXKMM00X Party BIC (mandatory):

Manual Correction:

Filter:

- PBBKSESS001
- PBBKSKMM001
- PBBKSKMM002
- ANSYSKMM001

Manual Correction	Parent BIC	Party BIC
Free Text	NCBXKMM00X	PBBKSESS001
Free Text	NCBXKMM00X	PBBKSKMM001
Free Text	NCBXKMM00X	PBBKSKMM002
Free Text	NCBXKMM00X	ANSYSKMM001

Total rows: 4

4 Trouble Management System Part

4.1 General

General

The Trouble Management System (TMS) part offers an overview of the TMS screens that are available in U2A mode. The aim of this section is to provide a guide which describes and explains the usage and the functionalities of the TMS external interface to the relevant users.

TMS is a tool and database which is used to track and follow-up on relevant communication between the T2S users and the T2S Service Desk for which a trouble case has been created.

The T2S Service Desk is responsible for opening a trouble case ticket whenever it is contacted by a T2S user who would like to raise a service request or who experiences problems (e. g. due to abnormal situations or reduced performance) with T2S. In TMS a trouble case is classified either as service request or incident.

A *service request* is a trouble case to track information requests or information on any specific issue that is part of standard operation.

An *incident* is a trouble case reporting any event which does not fall within standard operation and causes an interruption or reduction in quality of a T2S service.

Access to TMS

Please refer to ESMIG qualified configurations document annexed to the ESMIG UDFS.

Statuses in TMS

A status is assigned by the T2S Service Desk to every trouble case and will change during its life cycle. For a complete list of statuses see chapter 4.2.2 (*Trouble Case – Details Screen*).

Priorities in TMS

The T2S Service Desk has to assign a priority to every trouble case created in TMS. There are four different priority types in TMS: *Low*, *Medium*, *Urgent*, and *Critical*. *Low* is usually assigned to service requests and any incident which does not affect the T2S performance directly. *Medium* is the priority for trouble case incidents affecting the performance moderately but with no impact on the general availability of T2S services. *Urgent* is the priority assigned to incident cases which describe the unavailability of one or more services or a heavily impacted overall performance of the T2S system. A workaround has to be put in place within the defined time according to the T2S Service Level Agreement. In case of complete unavailability of the T2S System, the priority *Critical* is assigned to the TMS trouble case.

Screen Reference Part

The screen reference part offers a complete overview of all TMS screens that are available to the T2S users including a detailed description.

- Context of Usage** The context of usage explains what a screen displays, the functions of the screen and which information you can get from it, e.g. the resolution progress of a trouble case. It also defines the different options of monitoring of a trouble case.
- Screen Access** This part explains how to access the different available screens. Please note that with the exception of the main screen, all screens are pop-up screens which can be accessed directly from the main screen. Screen access explains how to open these pop-up screens.
- Reference** This section lists all references to the business scenarios containing the respective screen (reference to the user instructions part).
- Screenshot** The screenshot can help to ease orientation for the work in the UHB. Deviations between the screenshot and the description are possible in case of specific selections you have made. Values shown in the screenshot might deviate from the default values indicated in the description.
- Field Description** The field description provides all the relevant information related to the respective field name.

It is structured in table format with a separate table for each frame. The following illustration explains the structure of a field description.

1 Case Details	
Institution	Shows the parent BIC and party BIC related to the trouble case.
2 Trouble Case ID	3 Shows the alphanumeric number of the trouble case assigned by the system. 4 Note: In case of incidents and service requests the trouble case ID always starts with 'INC', in case of problems with 'PBI'.
Customer Trouble Case ID	Shows the number of the trouble case assigned by the customer.
Case Type	Shows the case type of the trouble case from the possible values: Incident Problem Service Request
Open Date	Shows the date and time of the opening of the trouble case. 5 Displayed format is: MM/DD/YYYY hh:mm:ss

Position No.	Element	Description
1	Frame Name	The frame name indicates the respective name of each screen frame.
2	Field Name	The field name indicates the respective name of each screen field.
3	Field description	The field description indicates the field type as well as possible and/or required content.
4	Additional Information	The additional information section indicates useful information regarding a field's or button's function.
5	Required/Displayed format	<p>The required/displayed format indicates all field format requirements related to the fields. If there is more than 1 format requirement, they are separated with a comma.</p> <p>In read-only fields the displayed format is only mentioned, if the field content is ambiguous. This applies especially to date fields.</p> <p>The following keywords are used for the format requirements:</p> <ul style="list-style-type: none"> <input type="checkbox"/> 'Digit' indicates numbers <input type="checkbox"/> 'Character' indicates a character from the SWIFT-x character set

User Instructions Part

The user instructions part comprises scenarios adapted from typical user workflows grouped in a business package.

List of Business Scenarios

This section contains a list of all business scenarios which represent individual workflows and consist of single action steps, intermediate results and a final result.

Instructions

The instructions section explains in detail which steps have to be taken e. g. to view a trouble case. This section lists all references to the screens involved, sorted by their appearance in the business scenario.

4.2 Screen Reference Part

4.2.1 Trouble Case – Search/List Screen

Context of Usage

This screen contains a number of search fields. By inputting the relevant data, you can search for trouble cases (incidents/requests and problems) related to your system entity, trouble cases opened by parties related to your system entity and in addition trouble cases of general importance visible to all parties (default). After selecting an entry, you can proceed further by clicking on the buttons below.

Screen Access

This screen is the main screen of the Trouble Management System (TMS) and appears directly when accessing the TMS.

References

User Instructions Part

This screen is part of the following business scenarios:

- View trouble case [▶ 1441]
- Execute a report [▶ 1441]

Screenshot

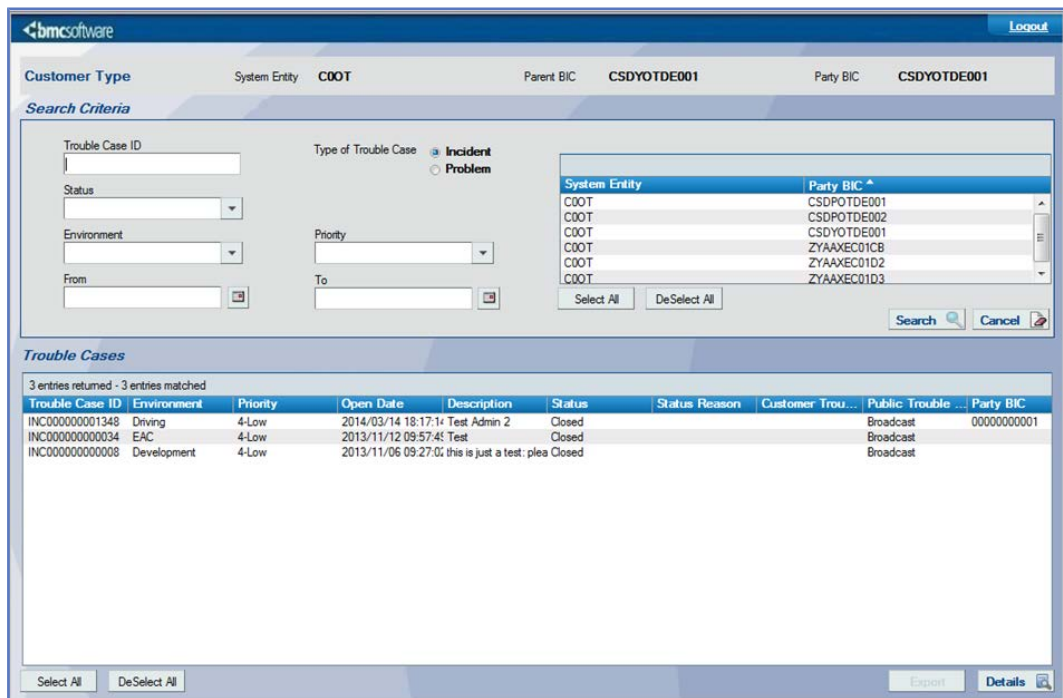


Illustration 281: Trouble case – list screen

Field Description

Trouble Case – Search/List	
Customer Type	
System Entity	Shows the system entity your user belongs to.
Parent BIC	Shows the parent BIC of your party.
Party BIC	Shows all the possible party BICs related to the parent BIC.
Search Criteria	

Trouble Case – Search/List	
Trouble Case ID	Enter a trouble case ID number of the T2S TMS for single search (available both for problems and incidents).
Status	<p>Select the status of the trouble case.</p> <p>If the type of trouble case is set to 'Incident', select the status of the trouble case from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Assigned <input type="checkbox"/> In Progress <input type="checkbox"/> Pending <input type="checkbox"/> Resolved <input type="checkbox"/> Closed <input type="checkbox"/> Cancelled <input type="checkbox"/> All Open <input type="checkbox"/> (Empty) (default value no filter will be applied) <p>If the type of trouble case is set to 'Problem', select the status of the trouble case from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Under Review <input type="checkbox"/> Request for Authorization <input type="checkbox"/> Assigned <input type="checkbox"/> Under Investigation <input type="checkbox"/> Pending <input type="checkbox"/> Completed <input type="checkbox"/> Rejected <input type="checkbox"/> Closed <input type="checkbox"/> Cancelled <input type="checkbox"/> All Open <input type="checkbox"/> (Empty) (default value no filter will be applied)
Environment	<p>Select the environment of the trouble case.</p> <ul style="list-style-type: none"> <input type="checkbox"/> Production <input type="checkbox"/> Customer <input type="checkbox"/> INTEG <input type="checkbox"/> Driving <input type="checkbox"/> Development <input type="checkbox"/> IAC

Trouble Case – Search/List	
	<input type="checkbox"/> EAC <input type="checkbox"/> MIG1 <input type="checkbox"/> MIG2 <input type="checkbox"/> UTEST <input type="checkbox"/> (Empty) (default value no filter will be applied)
Priority	Select the Priority of the trouble case. <input type="checkbox"/> 1 – Critical <input type="checkbox"/> 2 – Urgent <input type="checkbox"/> 3 – Medium <input type="checkbox"/> 4 – Low <input type="checkbox"/> (Empty) (default value no filter will be applied)
Type of Trouble Case*	Select the type of trouble case from the possible values: <input type="checkbox"/> Incident (default value) <input type="checkbox"/> Problem Note: Case type = Request is classified as type of trouble case = Incident (further distinction available in the 'Trouble Cases part').
From	Enter the lower bound of the search range for the date and time from which the trouble case is opened or use the <i>calendar</i> icon. Required format is: YYYY/MM/DD hh:mm:ss The 'From' date and time must be a valid date earlier than or equal to the current business and earlier than or equal to the 'To' date and time. Reference for error message [▶ 2651]: <input type="checkbox"/> ARERR10000
To	Enter the upper bound of the search range for the date and time until which the trouble case is opened or use the <i>calendar</i> icon. Required format is: YYYY/MM/DD hh:mm:ss The 'To' date and time must be a valid date later than or equal to the 'From' date and time. Reference for error message [▶ 2651]: <input type="checkbox"/> ARERR10000

Trouble Case – Search/List	
Costumer	Select the party BIC related to your system entity you want to include in your search result. You can select more than one related party BIC.
Trouble Cases	
Trouble Case ID	Shows the alphanumeric number of the trouble case assigned by the system. Note: In case of incidents and requests, the Trouble Case ID always starts with 'INC'. In case of problems the Trouble Case ID always starts with 'PBI'.
Environment	Shows the environment chosen for the ticket. Normally it is the environment where the issue was detected.
Priority	Shows the priority assigned to the ticket from the possible values: <input type="checkbox"/> Critical <input type="checkbox"/> Urgent <input type="checkbox"/> Medium <input type="checkbox"/> Low
Open Date	Shows the date and time the trouble case have been opened. Displayed format is: YYYY/MM/DD hh:mm:ss
Description	Shows the description of the trouble case.
Status	Shows the current status of the trouble case. If the type of trouble case is set to 'Incident', this field shows the status from the possible values: <input type="checkbox"/> Assigned <input type="checkbox"/> In Progress <input type="checkbox"/> Pending <input type="checkbox"/> Resolved <input type="checkbox"/> Closed <input type="checkbox"/> Cancelled <input type="checkbox"/> All Open <input type="checkbox"/> (Empty) (default value no filter will be applied) If the type of trouble case is set to 'Problem', this field shows the status from the possible values:

Trouble Case – Search/List	
	<ul style="list-style-type: none"> <input type="checkbox"/> Under Review <input type="checkbox"/> Request for Authorization <input type="checkbox"/> Assigned <input type="checkbox"/> Under Investigation <input type="checkbox"/> Pending <input type="checkbox"/> Completed <input type="checkbox"/> Rejected <input type="checkbox"/> Closed <input type="checkbox"/> Cancelled <input type="checkbox"/> All Open <input type="checkbox"/> (Empty) (default value no filter will be applied)
Status Reason	<p>Shows the reason for the current status of the trouble case.</p> <p>If the status of the trouble case is set to 'Pending', this field shows the reason from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Automated Resolution Reported <input type="checkbox"/> Client Hold <input type="checkbox"/> Client Action Required <input type="checkbox"/> Support Contact Hold <input type="checkbox"/> Local Site Action Required <input type="checkbox"/> Purchase Order Approval <input type="checkbox"/> Registration Approval <input type="checkbox"/> Supplier Delivery <input type="checkbox"/> Third Party Vendor Action Required <input type="checkbox"/> Infrastructure Change (only if Case Type is set to 'Problem') <input type="checkbox"/> Pending Original Problem (only if Case Type is set to 'Problem') <input type="checkbox"/> Request <input type="checkbox"/> Monitoring Incident <input type="checkbox"/> Monitoring Problem (only if Case Type is set to 'Problem') <input type="checkbox"/> Future Enhancement

Trouble Case – Search/List	
	<p>If the status of the trouble case is set to 'Resolved', this field shows the reason from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Automated Resolution Reported <input type="checkbox"/> Customer Follow-Up Required <input type="checkbox"/> Temporary Corrective Action <input type="checkbox"/> Future Enhancement <input type="checkbox"/> Monitoring Incident <input type="checkbox"/> No Further Action Required <p>If the status of the trouble case is set to 'Closed', this field shows the reason from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Successful <input type="checkbox"/> Successful with Problem <input type="checkbox"/> Unsuccessful <input type="checkbox"/> (Empty) <p>If the status of the trouble case is set to 'Completed', this field shows the reason from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Known Error <input type="checkbox"/> Solution Database <input type="checkbox"/> Enhancement Request <input type="checkbox"/> Unresolvable <p>If the status of the trouble case is set to 'Cancelled', this field shows the reason from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> No longer a Causal CI (only in case of incident/request ticket) <input type="checkbox"/> Duplicate Investigation (only if Case Type is set to 'Problem') <input type="checkbox"/> Not a Defect (only in case of problem tickets) <input type="checkbox"/> (Empty) <p>This field is only filled in if the status of the trouble case is equal to 'Pending', 'Resolved' or 'Completed' or if the status is equal to 'Closed' or 'Cancelled' and the status reason has been indicated during data acquisition.</p>
Customer Trouble Case ID	Shows the internal identification reported by the Customer itself when opening the ticket.

Action Buttons

Trouble Case – Search/List	
Public Trouble Case	Shows whether the trouble is public from the possible values: <input type="checkbox"/> Yes <input type="checkbox"/> Broadcast
Party BIC	It shows the party BIC of the system entity for which the ticket was open.
Action Buttons	
Select All	This function enables you to select all values from the related list.
DeSelect All	This function enables you to deselect all values from the related list.
Search	This function enables you to start a search according to the criteria entered. The results are displayed in a list on the same screen. Next screen: <input type="checkbox"/> <i>Trouble case – search/list</i> screen Reference for error message [▶ 2651]: <input type="checkbox"/> ARERR10000
Cancel	This function enables you to cancel the process and set all search fields to default value. Next screen: <input type="checkbox"/> <i>Trouble case – search/list</i> screen
Export	This function enables you to export the search result with all the appropriately visible fields as a report. Next screen: <input type="checkbox"/> <i>Trouble case - export</i> screen [▶ 1434]
Details	This function enables you to display the details of the selected trouble case. Next screen: <input type="checkbox"/> <i>Trouble case – details</i> screen [▶ 1428]

4.2.2 Trouble Case - Details Screen

Context of Usage

This screen displays detailed information on a trouble case.

Screen Access *Trouble case – list* screen >> Click on the *details* button

References **User Instructions Part**

This screen is part of the following business scenario:

View trouble case [[▶ 1441](#)]

Screenshot

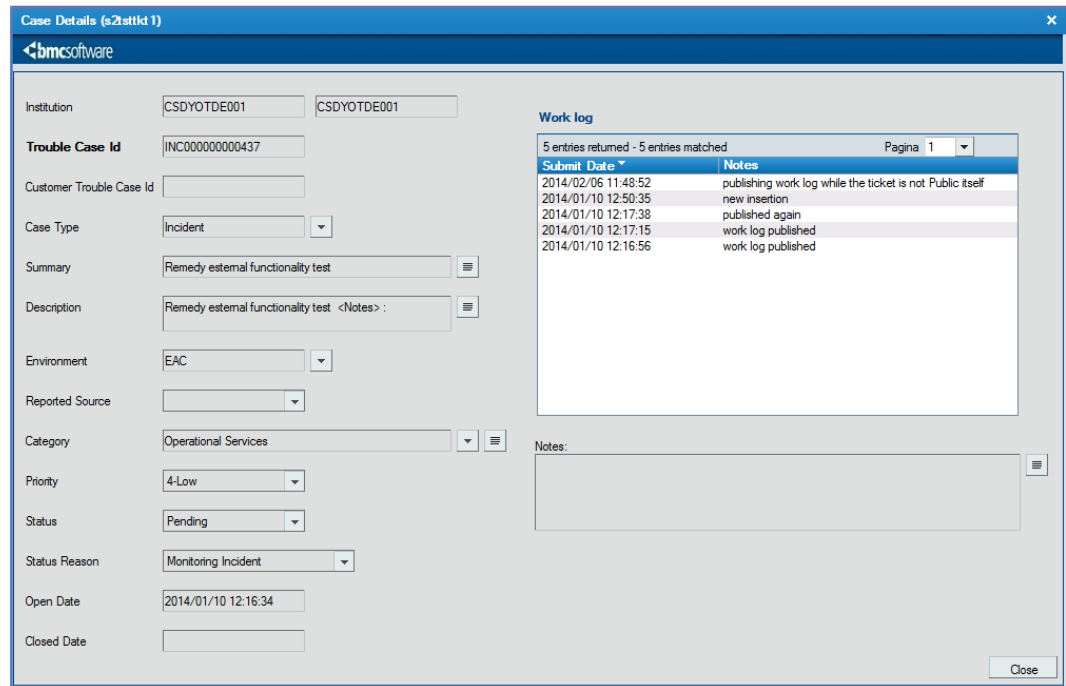


Illustration 282: Trouble case – details screen

Field Description

Case Details	
Institution	Shows the parent BIC and party BIC related to the trouble case.
Trouble Case ID	Shows the alphanumeric number of the trouble case assigned by the system. Note: In case of incidents and requests, the Trouble Case ID always starts with 'INC'. In case of problems the Trouble Case ID always starts with 'PBI'.
Customer Trouble Case ID	Shows the alphanumeric identification of the trouble case reported by the customer when opening the ticket.
Case Type	Shows the case type of the trouble case from the possible values: <input type="checkbox"/> Incident <input type="checkbox"/> Problem <input type="checkbox"/> Request
Summary	Shows a brief summary of the trouble case.

Case Details	
Description	Shows in addition to a brief summary also a detailed description of the trouble case.
Environment	Shows the environment related to the trouble case from one of the possible values for L2 tickets (other possible internal environment are although present in the search functionalities for this field): <ul style="list-style-type: none"> <input type="checkbox"/> Production <input type="checkbox"/> Customer <input type="checkbox"/> EAC <input type="checkbox"/> MIG1 <input type="checkbox"/> MIG2
Reported Source	Shows the method the trouble case has been reported from one of the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Direct Input <input type="checkbox"/> Email <input type="checkbox"/> External Escalation <input type="checkbox"/> Fax <input type="checkbox"/> Phone <input type="checkbox"/> Voice Mail <input type="checkbox"/> Other <p>This field is only filled in if the reporting method has been indicated during data acquisition of the trouble case.</p>
Category	Shows the category the trouble case is assigned to from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> ALL OR MULTIPLE MODULES <input type="checkbox"/> Infrastructure <input type="checkbox"/> Interface <input type="checkbox"/> Lifecycle Management (LCMM) <input type="checkbox"/> Liquidity Management <input type="checkbox"/> Migration Tools <input type="checkbox"/> Operational Services <input type="checkbox"/> SECURITY REQUEST <input type="checkbox"/> Settlement <input type="checkbox"/> Reference Data Management

Case Details	
	<ul style="list-style-type: none"> <input type="checkbox"/> Statistics, Reports, Queries and Archive <input type="checkbox"/> UNKNOWN OR NO MODULES
Priority	<p>Shows the priority of the trouble case from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> 4-Low <input type="checkbox"/> 3-Medium <input type="checkbox"/> 2-Urgent <input type="checkbox"/> 1-Critical
Status	<p>Shows the current status of the trouble case.</p> <p>If the type of trouble case is set to 'Incident', this field shows the status from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Assigned <input type="checkbox"/> In Progress <input type="checkbox"/> Pending <input type="checkbox"/> Resolved <input type="checkbox"/> Closed <input type="checkbox"/> Cancelled <p>If the type of trouble case is set to 'Problem', this field shows the status from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Under Review <input type="checkbox"/> Assigned <input type="checkbox"/> Under Investigation <input type="checkbox"/> Pending <input type="checkbox"/> Completed <input type="checkbox"/> Closed <input type="checkbox"/> Cancelled
Status Reason	<p>Shows the reason for the current status of the trouble case.</p> <p>If the status of the trouble case is set to 'Pending', this field shows the reason from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Automated Resolution Reported <input type="checkbox"/> Client Hold <input type="checkbox"/> Client Action Required

Case Details	
	<ul style="list-style-type: none"> <input type="checkbox"/> Support Contact Hold <input type="checkbox"/> Local Site Action Required <input type="checkbox"/> Purchase Order Approval <input type="checkbox"/> Registration Approval <input type="checkbox"/> Supplier Delivery <input type="checkbox"/> Third Party Vendor Action Required <input type="checkbox"/> Infrastructure Change (only if Case Type is set to 'Problem') <input type="checkbox"/> Pending Original Problem (only if Case Type is set to 'Problem') <input type="checkbox"/> Request <input type="checkbox"/> Monitoring Incident <input type="checkbox"/> Monitoring Problem (only if Case Type is set to 'Problem') <input type="checkbox"/> Future Enhancement <p>If the status of the trouble case is set to 'Resolved', this field shows the reason from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Automated Resolution Reported <input type="checkbox"/> Customer Follow-Up Required <input type="checkbox"/> Temporary Corrective Action <input type="checkbox"/> Future Enhancement <input type="checkbox"/> Monitoring Incident <input type="checkbox"/> No Further Action Required <p>If the status of the trouble case is set to 'Closed', this field shows the reason from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Successful <input type="checkbox"/> Successful with Problem <input type="checkbox"/> Unsuccessful <input type="checkbox"/> (Empty) <p>If the status of the trouble case is set to 'Completed', this field shows the reason from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Known Error <input type="checkbox"/> Solution Database

Case Details	
	<ul style="list-style-type: none"> <input type="checkbox"/> Enhancement Request <input type="checkbox"/> Unresolvable <p>If the status of the trouble case is set to 'Cancelled', this field shows the reason from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> No longer a Causal CI (only if Case Type is set to 'Incident') <input type="checkbox"/> Duplicate Investigation (only if Case Type is set to 'Problem') <input type="checkbox"/> Not a Defect <input type="checkbox"/> (Empty) <p>This field is only filled in if the status of the trouble case is equal to 'Pending', 'Resolved' or 'Completed' or if the status is equal to 'Closed' or 'Cancelled' and the status reason has been indicated during data acquisition.</p>
Open Date	Shows the date and time of the opening of the trouble case. Displayed format is: YYYY/MM/DD hh:mm:ss
Closed Date	Shows the date and time of the closure of the trouble case. Displayed format is: YYYY/MM/DD hh:mm:ss
Work Log (Customer relevant information entered by the T2S operator are shown)	
Submit Date	Shows date and time when the information present in the Work log-Notes is entered. Displayed format is: YYYY/MM/DD hh:mm:ss
Notes	Shows the work log information added to the trouble case by the T2S operator. Each entry is displayed in a different row and can be selected for complete display in the bottom 'Notes' dedicated area.
Action Buttons	
Close	<p>This function enables you to close the <i>details</i> screen and return to the previous screen.</p> <p>Next screen:</p> <ul style="list-style-type: none"> <input type="checkbox"/> <i>Trouble case list</i> screen [▶ 1422]

4.2.3 Trouble Case - Export Screen

Context of Usage This screen enables you to export your trouble cases as a report (PDF, Power-Point, Word, PostScript or Excel) or to print a report.

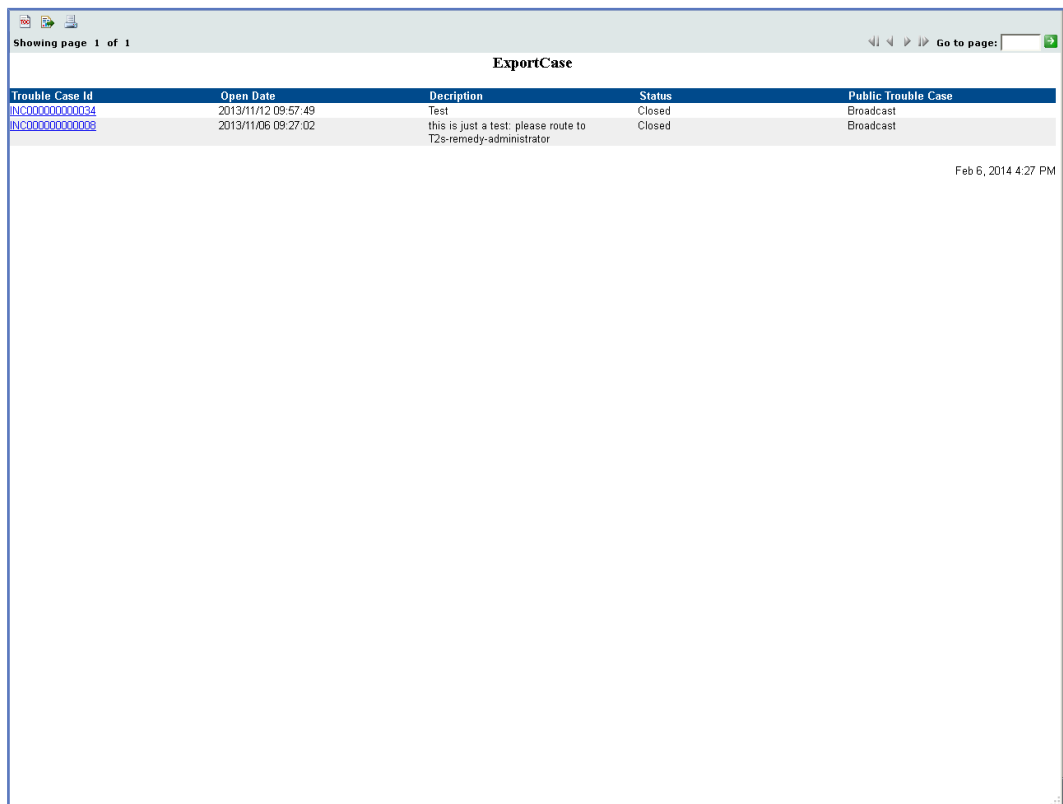
Screen Access *Trouble case – list* screen >> Click on the *report* button

References **User Instructions Part**

This screen is part of the following business scenario:

Execute a report [▶ 1441]

Screenshot



Trouble Case Id	Environment	Priority	Open Date	Description	Status	Status Reason	Customer Trouble Case Id	Public Trouble Case	Party BIC
INC000000001348	Driving	4-Low	2014/03/14 18:17:14	Test Admin 2	Closed			Broadcast	0000000001
INC000000000660	EAC	4-Low	2014/01/23 16:16:32	test of external interface <Notes> : test of external interface	Closed	Automated Resolution Reported		Yes	CSDYOTDE001
INC000000000670	EAC	4-Low	2014/01/17 17:06:39	test of external interface <Notes> : test of external interface	Closed	Successful	123456	Yes	CSDYOTDE001
INC000000000639	EAC	2-Urgent	2014/01/16 15:07:40	Cash > Liquidity > Data Changes > Data Change Details screen U2A BIC AGBLLT2000X User PB-AGBLLT2X-2 Description : Business Validation: Accepted liquidity transfer Execution: full execution, 4-eyes-principle, 1. User Detailed Trigger: must use an <Notes> : LOT1 - LQMG-LT-284-15-1 - Approval screen not showing all details	Closed	Successful	143	Yes	CSDYOTDE001
INC000000000437	EAC	4-Low	2014/01/10 12:16:34	Remedy external functionality test <Notes> :	Pending	Monitoring Incident		Yes	CSDYOTDE001
INC000000000414	IAC	4-Low	2014/01/09 14:14:18	Testing External interface of TMS <Notes> : Description : i am testing the External TMS interface entering Public visibility	In Progress			Yes	CSDPOTDE001
INC000000000034	EAC	4-Low	2013/11/12 09:57:49	Test	Closed			Broadcast	
INC000000000008	Development	4-Low	2013/11/06 09:27:02	this is just a test, please route to T2s-remedy-administrator	Closed			Broadcast	

Illustration 283: Trouble case – export screen

Field Description

Customer Type	
System Entity	Shows the system entity your user belongs to.
Parent BIC	Shows the parent BIC of your party.
Party BIC	Shows all the possible party BIC related to the parent BIC.
Search Criteria	
Trouble Case ID	Field where it is possible to enter a trouble case ID number of the T2S TMS for single search (available both for problems and incidents).
Status	Select the status of the trouble case. If the type of trouble case is set to 'Incident', select the status of the trouble case from one of the possible values: <input type="checkbox"/> Assigned <input type="checkbox"/> In Progress <input type="checkbox"/> Pending <input type="checkbox"/> Resolved <input type="checkbox"/> Closed <input type="checkbox"/> Cancelled <input type="checkbox"/> All Open

Customer Type	
	<input type="checkbox"/> (Empty) (default value no filter will be applied) If the type of trouble case is set to 'Problem', select the status of the trouble case from one of the possible values: <input type="checkbox"/> Under Review <input type="checkbox"/> Request for Authorization <input type="checkbox"/> Assigned <input type="checkbox"/> Under Investigation <input type="checkbox"/> Pending <input type="checkbox"/> Completed <input type="checkbox"/> Rejected <input type="checkbox"/> Closed <input type="checkbox"/> Cancelled <input type="checkbox"/> All Open <input type="checkbox"/> (Empty) (default value no filter will be applied)
Environment	Select the environment of the trouble case. <input type="checkbox"/> Production <input type="checkbox"/> Customer <input type="checkbox"/> INTEG <input type="checkbox"/> Driving <input type="checkbox"/> Development <input type="checkbox"/> IAC <input type="checkbox"/> EAC <input type="checkbox"/> MIG1 <input type="checkbox"/> MIG2 <input type="checkbox"/> UTEST <input type="checkbox"/> (Empty) (default value no filter will be applied)
Priority	Select the priority of the trouble case. <input type="checkbox"/> 1 – Critical <input type="checkbox"/> 2 – Urgent <input type="checkbox"/> 3 – Medium <input type="checkbox"/> 4 – Low <input type="checkbox"/> (Empty) (default value no filter will be applied)

Customer Type	
Type of Trouble Case*	<p>Select the type of trouble case from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Incident (default value) <input type="checkbox"/> Problem <p>Note: Case type = Request is classified as type of trouble case = Incident (further distinction available in the 'Trouble Cases part').</p>
From	<p>Enter the lower bound of the search range for the date and time from which the trouble case is opened or use the <i>calendar</i> icon.</p> <p>Required format is YYYY/MM/DD hh:mm:ss</p> <p>The 'From' date and time must be a valid date earlier than or equal to the current business and earlier than or equal to the 'To' date and time.</p> <p>Reference for error message: [2652]</p> <ul style="list-style-type: none"> <input type="checkbox"/> ARERR10000
To	<p>Enter the upper bound of the search range for the date and time until which the trouble case is opened or use the <i>calendar</i> icon.</p> <p>Required format is: YYYY/MM/DD hh:mm:ss</p> <p>The 'To' date and time must be a valid date later than or equal to the 'From' date and time.</p> <p>Reference for error message: [2652]</p> <ul style="list-style-type: none"> <input type="checkbox"/> ARERR10000
Customer	<p>Select the party BIC related to your system entity you want to include in your search result.</p> <p>You can select more than one related party BIC.</p>
Trouble Cases	
Trouble Case ID	<p>Shows the alphanumeric number of the trouble case assigned by the system.</p> <p>Note: In case of incidents and requests, the trouble case ID always starts with 'INC'. In case of problems the trouble case ID always starts with 'PBI'.</p>
Environment	Shows the environment chosen for the ticket. Normally it is the environment where the issue was detected.
Priority	Shows the priority assigned to the ticket.

Customer Type	
Open Date	Shows the date and time the trouble case has been opened. Displayed format is: YYYY/MM/DD hh:mm:ss
Description	Shows the description of the trouble case.
Status	Shows the current status of the trouble case. If the type of trouble case is set to 'Incident', this field shows one of the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Assigned <input type="checkbox"/> In Progress <input type="checkbox"/> Pending <input type="checkbox"/> Resolved <input type="checkbox"/> Closed <input type="checkbox"/> Cancelled <input type="checkbox"/> All Open <input type="checkbox"/> (Empty) (default value no filter will be applied) If the type of trouble case is set to 'Problem', this field shows one of the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Under Review <input type="checkbox"/> Request for Authorization <input type="checkbox"/> Assigned <input type="checkbox"/> Under Investigation <input type="checkbox"/> Pending <input type="checkbox"/> Completed <input type="checkbox"/> Rejected <input type="checkbox"/> Closed <input type="checkbox"/> Cancelled <input type="checkbox"/> All Open <input type="checkbox"/> (Empty) (default value no filter will be applied)
Status Reason	Shows the reason for the current status of the trouble case. If the status of the trouble case is set to 'Pending', this field shows the reason from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Automated Resolution Reported

Customer Type	
	<ul style="list-style-type: none"> <input type="checkbox"/> Client Hold <input type="checkbox"/> Client Action Required <input type="checkbox"/> Support Contact Hold <input type="checkbox"/> Local Site Action Required <input type="checkbox"/> Purchase Order Approval <input type="checkbox"/> Registration Approval <input type="checkbox"/> Supplier Delivery <input type="checkbox"/> Third Party Vendor Action Required <input type="checkbox"/> Infrastructure Change (only if Case Type is set to 'Problem') <input type="checkbox"/> Pending Original Problem (only if Case Type is set to 'Problem') <input type="checkbox"/> Request <input type="checkbox"/> Monitoring Incident <input type="checkbox"/> Monitoring Problem (only if Case Type is set to 'Problem') <input type="checkbox"/> Future Enhancement <p>If the status of the trouble case is set to 'Resolved', this field shows the reason from the possible value:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Automated Resolution Reported <input type="checkbox"/> Customer Follow-Up Required <input type="checkbox"/> Temporary Corrective Action <input type="checkbox"/> Future Enhancement <input type="checkbox"/> Monitoring Incident <input type="checkbox"/> No Further Action Required <p>If the status of the trouble case is set to 'Closed', this field shows the reason from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Successful <input type="checkbox"/> Successful with Problem <input type="checkbox"/> Unsuccessful <input type="checkbox"/> (Empty) <p>If the status of the trouble case is set to 'Completed', this field shows the reason from the possible values:</p>

Customer Type	
	<ul style="list-style-type: none"> <input type="checkbox"/> Known Error <input type="checkbox"/> Solution Database <input type="checkbox"/> Enhancement Request <input type="checkbox"/> Unresolvable <p>If the status of the trouble case is set to 'Cancelled', this field shows the reason from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> No longer a Causal CI (only in case of incident/request Ticket) <input type="checkbox"/> Duplicate Investigation (only if case type is set to 'Problem') <input type="checkbox"/> Not a Defect (only in case of problem tickets) <input type="checkbox"/> (Empty) <p>This field is only filled in if the status of the trouble case is equal to 'Pending', 'Resolved' or 'Completed' or if the status is equal to 'Closed' or 'Cancelled' and the status reason has been indicated during data acquisition.</p>
Customer Trouble Case ID	Shows the internal identifier reported by the Customer it-self when opening the ticket
Public Trouble Case	Shows whether the trouble case is public, i.e. only visible to the related system entity of the party BIC, or visible to all parties from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Yes <input type="checkbox"/> Broadcast
Party BIC	It shows the party BIC of the system entity for which the ticket was opened.

Buttons

All available buttons in this screen are related to your browser used and therefore not described in this chapter.

4.3 User Instructions Part

4.3.1 Monitoring of a Trouble Case

Overview

This business package describes the monitoring of a trouble case. To monitor a trouble case, carry out the business scenarios relevant to you from the list below.

Business Scenarios

- View trouble case [▶ 1441]
- Execute a report [▶ 1441]

4.3.1.1 View Trouble Case

Context of Usage	This business scenario describes how to view trouble cases related to your system entity, trouble cases opened upon request of parties related to your system entity and in addition trouble cases of general importance visible to all parties. Viewing a trouble case is one option of monitoring of a trouble case.
References	Further information on screens involved can be found in the screen reference part: <ul style="list-style-type: none"><input type="checkbox"/> <i>Trouble case – search/list</i> screen [▶ 1422]<input type="checkbox"/> <i>Trouble case – details</i> screen [▶ 1428]
Instructions	<ol style="list-style-type: none">1. Go to the <i>trouble case – search/list</i> screen.2. Depending on your system entity you will see a list of available parties (system entity and party BIC) to be included in your search. Select at least 1 of the displayed Party BICs.3. Enter all search criteria known to you about the trouble case you want to view.4. Click on the <i>search</i> button.<ul style="list-style-type: none">⇒ A list containing the search results is displayed on the screen.5. Select the trouble case you would like to display in detail.6. Click on the <i>details</i> button.<ul style="list-style-type: none">➔ The details of the selected ticket are displayed.

4.3.1.2 Execute a Report

Context of Usage	This business scenario describes how to execute and export or print a report on trouble cases related to your system entity, trouble cases opened by parties related to your system entity and in addition trouble cases broadcasted to all parties. <p>Executing a report is 1 option of monitoring of trouble cases.</p>
Privileges	To carry out this business scenario, you need the following privilege: <ul style="list-style-type: none"><input type="checkbox"/> TMS Access
References	Further information on screens involved can be found in the screen reference part: <ul style="list-style-type: none"><input type="checkbox"/> <i>Trouble case – search/list</i> screen [▶ 1422]<input type="checkbox"/> <i>Trouble case – export</i> screen [▶ 1434]
Instructions	<ol style="list-style-type: none">1. Go to the <i>trouble case – search/list</i> screen.2. Click on the <i>search</i> button.



Hint

You can also restrict your search results by inputting the relevant search parameters.

⇒ A list containing the search results is displayed on the bottom part of the screen.

3. Select the trouble cases to be included in your report (clicking on each of the 'column name fields' allows ordering the list in ascending or descending order for the selected column).



Alternative action

Click on the *select all* button to include all trouble cases from the list.

4. Click on the *export* button.

⇒ The *trouble case - export* screen is displayed.



Note

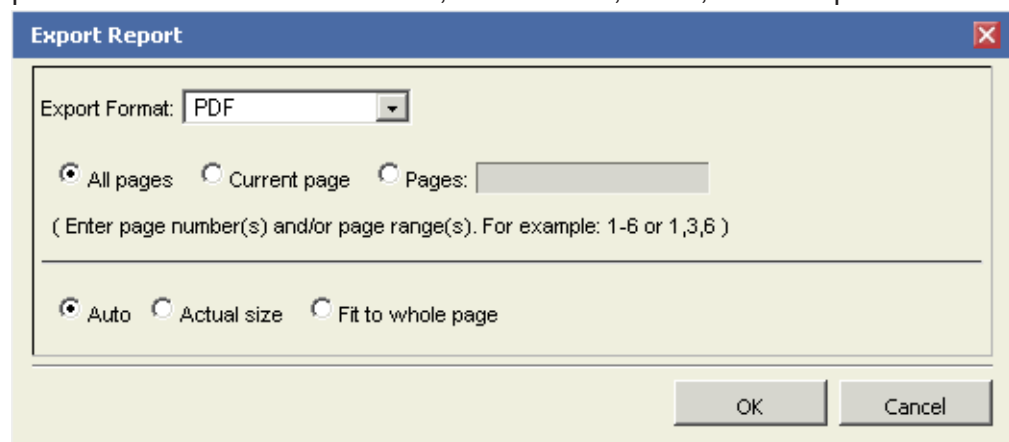
Depending on your browser used and local settings the buttons to be used to export or print a report and the further steps may differ. In the following example Mozilla Firefox has been used.

5. Click on the *export report* button.



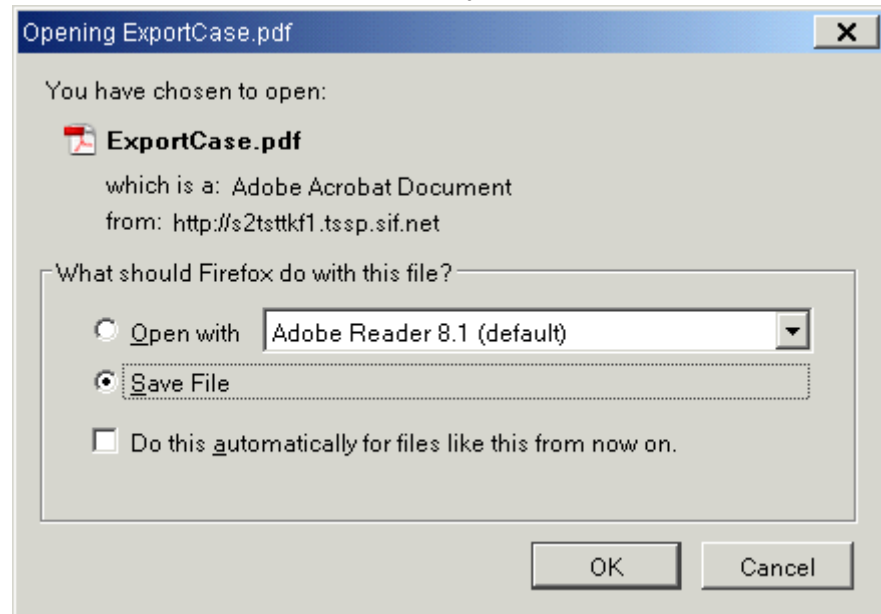
⇒ The export report window of your browser is opened.

6. Select the export format and in case of need the pages of the report to be exported. Possible format are: PDF, Power Point, Word, Post Script and Excel.



7. Click on the *ok* button.

- ⇒ The standard download window of your browser is opened.



8. Click on the **OK** button.

- ➔ The report is downloaded and saved in the standard download folder of your browser.

5 Statistical Information Part

5.1 Introduction

5.1.1 Purpose of the document

Aim	The Data Warehouse (DWH) User Handbook, hereinafter referred to as UHB, aims to facilitate the use of the Data Warehouse Graphical User Interface. It is addressed to all DWH users, regardless of the parties and describes the full range of functionalities available in user-to-application (U2A) mode. The UHB provides detailed reference information on all GUI functionalities and step-by-step descriptions. Thus the DWH UHB provides helpful information on the use of the DWH GUI.
Actors	There is only one handbook for DWH addressing all actors: Central Banks (CB), Payment Banks (PB) and Ancillary Systems (AS). Specific chapters for CB users with advanced user's profile (see chapter Overview [▶ 1445]) are marked with the annex "for advanced user" in the title of the chapter. All other chapters are relevant for each reader. ²¹

5.1.1.1 UHB Structure

The UHB is divided in eight chapters:

Chapter 1	The chapter Introduction explains the aim, the content and the approach of both the UHB and the DWH GUI. While the first section explains how to use the UHB, the second section focuses on technical requirements.
Chapter 2	The chapter Introduction to the Data Warehouse provides background information about the Data Warehouse itself and also about the software tool used as GUI.
Chapter 3	The chapter First steps & basics provides the reader with basic elements and the structure of the DWH GUI.
Chapter 4	The chapter Working with reports explains the reader how to <ul style="list-style-type: none">□ copy and save a report (see chapter Copy, save and export [▶ 1499])□ run a report (see chapter Running reports [▶ 1506])□ schedule a report (see chapter Scheduling reports [▶ 1519])
Chapter 5	The chapter Predefined reports provides the reader with information about all predefined reports.

21 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

5.1.2 Set-up and Login

5.1.2.1 GUI Access

Users are directed to an initial page named ESMIG portal that ensures proper routing to the web applications the user has been granted to enter. Refer to the Connectivity Guide specified in the ESMIG UDFS document, current version available, for details on the communication network and services.²²

5.1.2.2 Technical Requirements

Before entering the GUI, make sure to implement all necessary preparations described below.

Such preparations may be subject to periodical review/update to comply with changing technical/regulatory scenarios.

Supported Web- Browsers

Please refer to ESMIG qualified configurations document annexed to the ESMIG UDFS.²³

5.2 Introduction to the Data Warehouse

5.2.1 Overview

Context of Usage

The aim of the DWH is to store and compile information coming from TARGET2-Securities (T2S) and common components i.e. Common Reference Data Management (CRDM), Billing (BILL) and Business Day Management (BDM) in a homogenous manner at business level.

The DWH provides access to data in U2A mode and permits access to data within the databases. It gives a business-oriented view of the collected data without any detailed technical database terminology. Therefore, no knowledge about the database structure of T2S is required. It provides information through reports as predefined reports.

Parties

The DWH consolidates the content of the sources into a single database for reporting purposes and offers pre-defined reports to the users of the following T2S parties:

- Central Banks (CB),
- Central Securities Depositories (CSD)
- External CSDs (eCSD),

²² This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

²³ This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

- Payment Banks (PB).

Access Rights The precondition for a user to obtain access to the DWH is a setup in CRDM by the respective party. Access to the DWH depends on the user's profile, which ensures that the user is allowed to perform the requested action(s). There are two user profiles reflected as privileges in CRDM:

- Normal user profile: will be available for all party types.
- Advanced user profile: will be available for CB/CSD users only.

The data scope in the DWH follows the following rules:

- Users have access to their parties' data based on the data scope according to the hierarchical model (for details please refer to CRDM UDFS).
- For CBs/CSDs: Each CB/CSD has access to granular data for all parties belonging to its system entity. Moreover, each CB/CSD has access to aggregated data of all system entities.

DWH platform The DWH uses Cognos as platform. It is an enterprise wide Business Intelligence (BI) solution, mostly used for reporting. Cognos is a web-based solution. Both the development and the end user interface are displayed on the web. It supports Relational Online Analytical Processing (ROLAP) and Multidimensional Online Analytical Processing (MOLAP) data sources.

A2A & U2A mode The DWH provides reports to users in different formats in user-to-application mode (U2A) or to other applications in application-to-application mode (A2A). The user can run a report directly in the DWH GUI (Cognos) and export the result. The second option is A2A delivery of the report as a file. To achieve this, the report has to be scheduled as described in chapter Scheduling reports [► 1519].

Note: A CB user with advanced user profile was used in order to show the full scope of all screenshot pictures presented in this document. ²⁴

5.2.2 Process of the Data Warehouse

DWH layers DWH receives data from different services and common components and processes the data in order to make them available via reports and files. The data passes through the following three layers:

1. landing zone,
2. core layer,
3. enterprise layer.

The data are transmitted into the landing zone of the DWH as a copy after the end of each business day (EoD). The landing zone buffers the data before they are selected and loaded into the core layer.

24 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

The core layer stores only granular, validated, harmonised and historicised data.

From the core layer the data are loaded into the enterprise layer. In the enterprise layer the data are aggregated, calculated and prepared for reporting and analysis in a dimensional structure.

The stored data cannot be retrieved in the landing zone; instead, detailed structured and aggregated data can be accessed through the reports. The reports are built using the data in the core and the enterprise layer.

After processing (data transformation and pre-calculations) of the transmitted data within the DWH, data from the previous business day are available in the DWH at the start of the new calendar day at the latest.

Diagram

The following diagram provides a high-level overview of the flow from the source through the different DWH layers: ²⁵

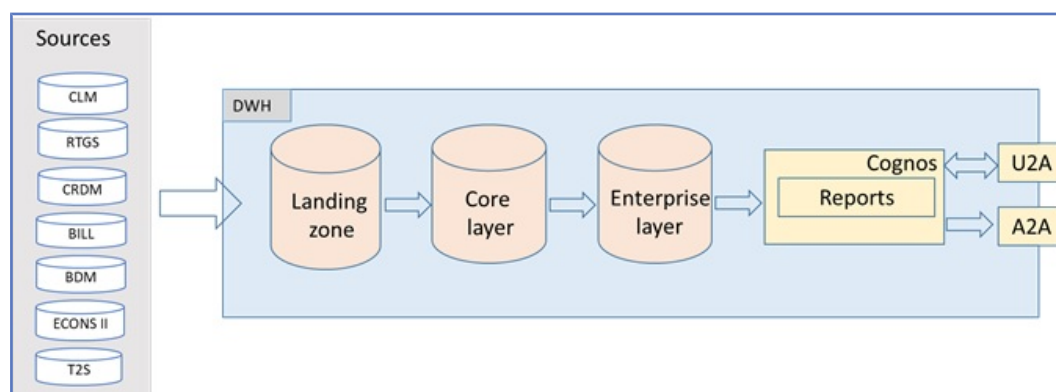


Illustration 284: DWH high level overview ²⁵

5.3 First steps & basics

5.3.1 Access to the DWH

Access to the DWH is managed through the Eurosystem Single Market Infrastructure Gateway (ESMIG). ESMIG provides to the DWH specific credentials found in CRDM for a specific user-id. This ensures that you have access to the relevant information depending on the party you belong to, the party type and your privilege. ²⁶

As soon as your login is successful for the first time, you will see the welcome page.

²⁵ This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

²⁶ For details on the network communication and services, refer to the Connectivity Guide specified in the ESMIG UDFS.

Welcome Page

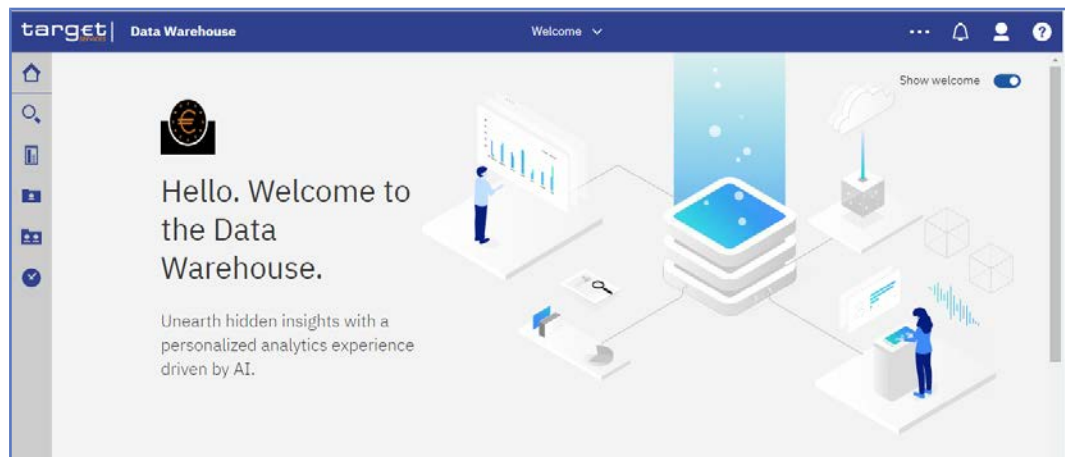


Illustration 285: Welcome page ²⁷

5.3.2 Navigating in the DWH GUI – toolbar

5.3.2.1 Navigate between different views

In the DWH GUI different pages each containing another report can be open at the same time. The Welcome menu allows to switch from one page to another. In case you run more than one report the “Welcome” menu in the middle of the toolbar provides a convenient way to navigate among them.

Tool Bar

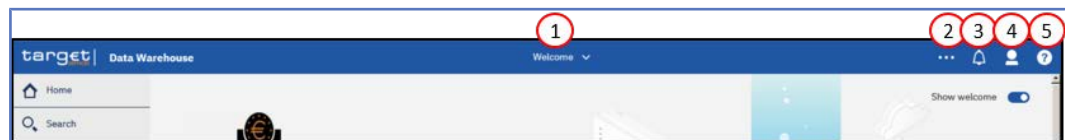


Illustration 286: Welcome page - navigation view

Position No.	Description
1	Welcome menu
2	More icon
3	Notification icon
4	Personal menu
5	Help menu ²⁸

5.3.2.2 Welcome menu

In the following example, you open a report and a report view. The “Welcome” menu provides the ability to view the reports and the “Welcome” page.

²⁷ This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

²⁸ This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

By clicking on the report or the report view, you can switch between the report and the report view:

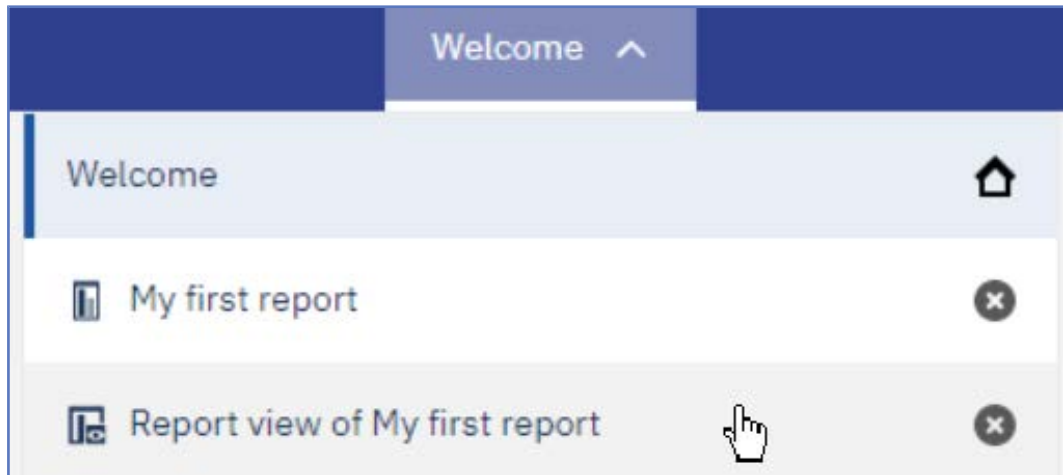


Illustration 287: Welcome page – navigation view – items – report view selection ²⁹

5.3.2.3 More icon

With the “More” icon you can set any page of your choice as your welcome page.

If you view saved output and you want to know when there is a new version of the report, you can tap the “Notify me” icon.

“Notify” Icon



“Set as Home” Icon

To change the welcome page you navigate to the page you want to specify as welcome page and click on the “More” icon and select “Set as home”. Next time you log in to the DWH you will directly enter to your selected personal welcome page. ²⁹

²⁹ This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

5.3.2.4 Set Notifications

Under the “*Notification*” icon you can find system messages and the result of executed reports.

If you scheduled a report or are running a report in background that shall be saved in the DWH you are notified every time the result is available.

“Notification”
Items

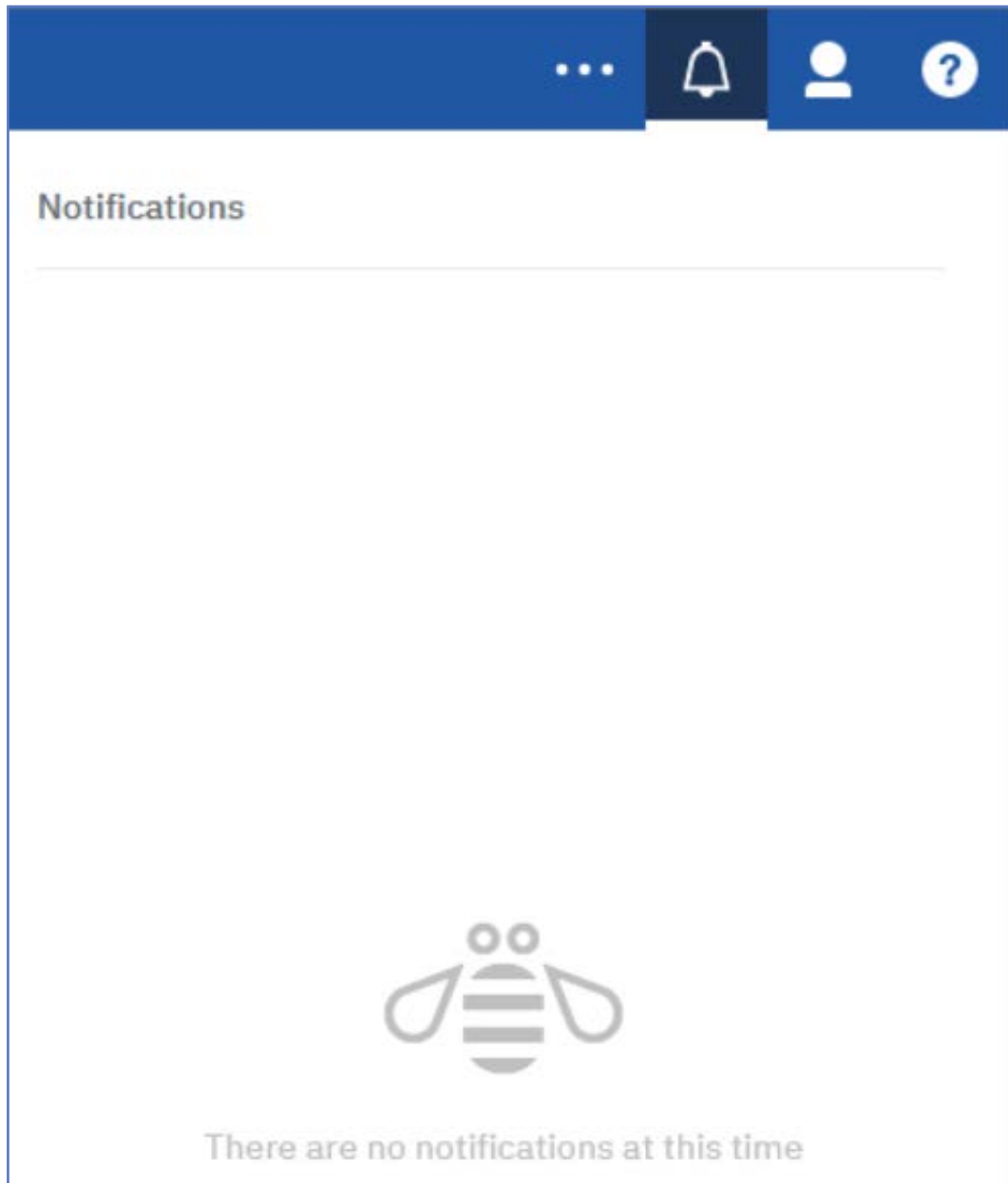


Illustration 288: Welcome page – Notification – notification items

Example

The process of Notifications can be explained in the following example:

1. Create a Report view:

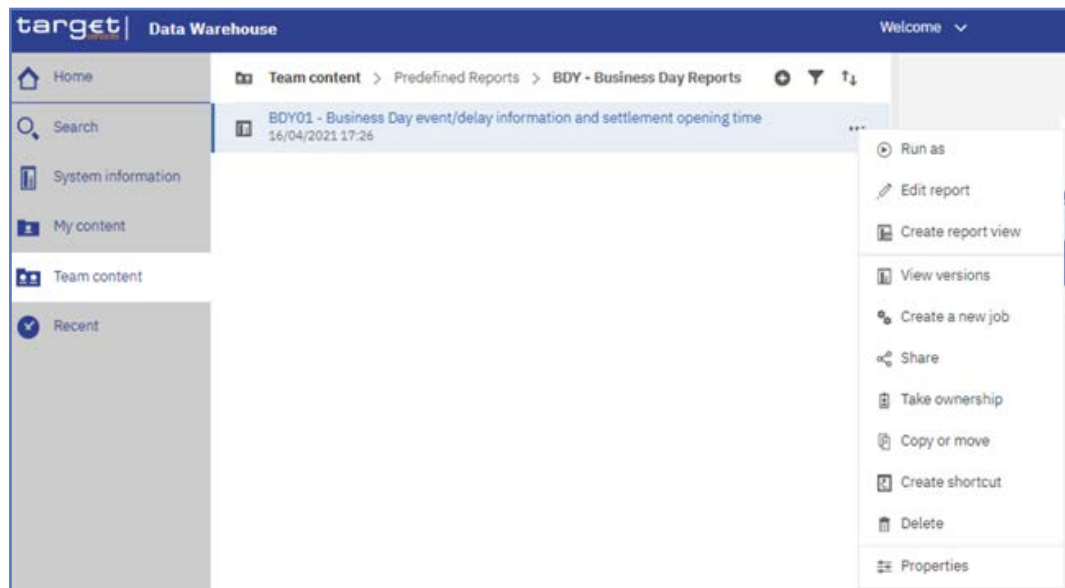


Illustration 289: New report version – Notify me creation – Create a Report view

2. Save it in a file under “My content”:

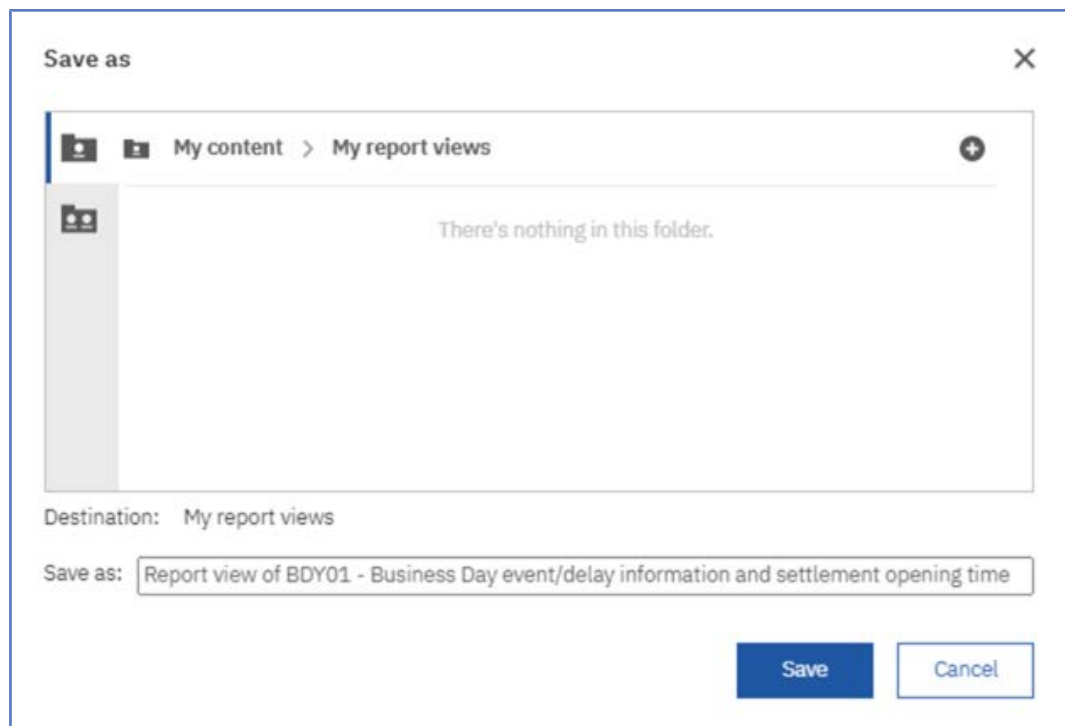


Illustration 290: New report version – Notify me creation –Save Report view in “My content”

3. Click on the tree dots to the right of the report view to view the properties of the report:

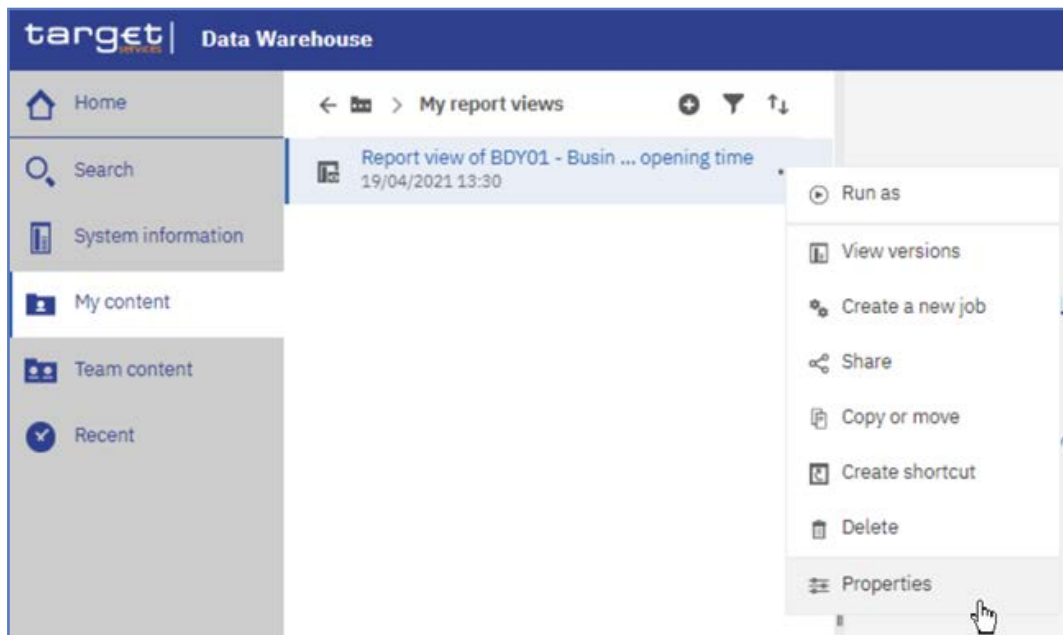


Illustration 291: New report version – Notify me creation –Report view properties

4. Create a schedule by clicking on the “Schedule” tab:

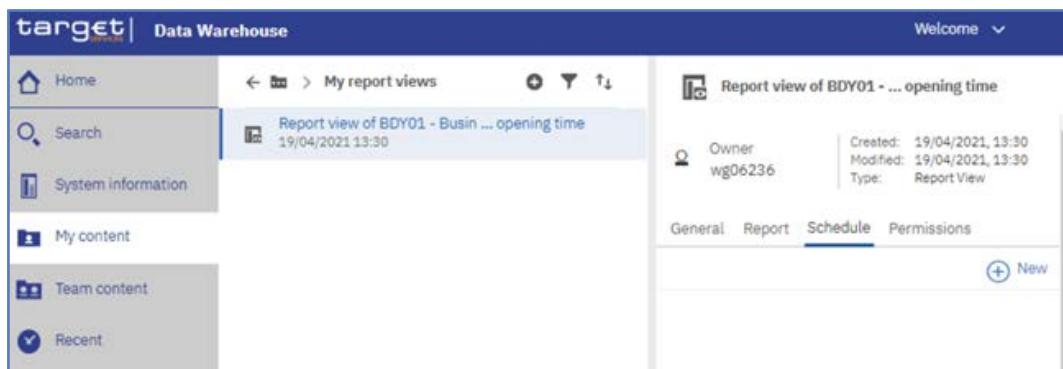


Illustration 292: New report version – Notify me creation –Report view – Create Schedule

5. Click on “New” to create a schedule:

< Back **Create schedule**

Schedule Weekly

Period

Start 2021-04-19 13:36

End 2021-07-19 13:36

No end date

Run every 1 week(s)

On day(s) M T W T F S S

Daily time interval

Options

Format HTML

Delivery Save

Prompts Set values

Languages English (United Kingdom)

PDF Select

Illustration 293: New report version – Notify me creation –Report view – Create schedule settings
6. Click on “Set values” and “Set” to enter prompt values:

Illustration 294: New report version – Notify me creation –Report view – Create schedule – Set values

7. In the pop up window enter the desired values and click on “Finish”:

Illustration 295: New report version – Notify me creation –Report view – Create schedule – prompt values

- Set the “Start” and “End” of the schedule and click on “Create” to create the schedule:

The screenshot shows a mobile application interface for creating a schedule. At the top, there is a back arrow and the title "Create schedule". Below this, the "Schedule" is set to "Weekly". The "Period" section includes "Start" (2021-04-19 13:41) and "End" (2021-07-19 13:36) fields, with a "No end date" checkbox. The "Run every" field is set to "1 week(s)". The "On day(s)" field shows a calendar grid with "M" selected. There is a "Daily time interval" checkbox. The "Options" section includes "Format" (HTML), "Delivery" (Save), "Prompts" (4 schedule prompt values), and "Languages" (English (United Kingdom)). At the bottom, there is a "PDF" option with a "Select" button and a "Classic View" link. A blue "Create" button and a white "Cancel" button are at the very bottom.

Illustration 296: New report version – Notify me creation –Report view – Create schedule – Start and End period

- Click on the tree dots to the right of the report view to view the menu, and click on “View versions”:

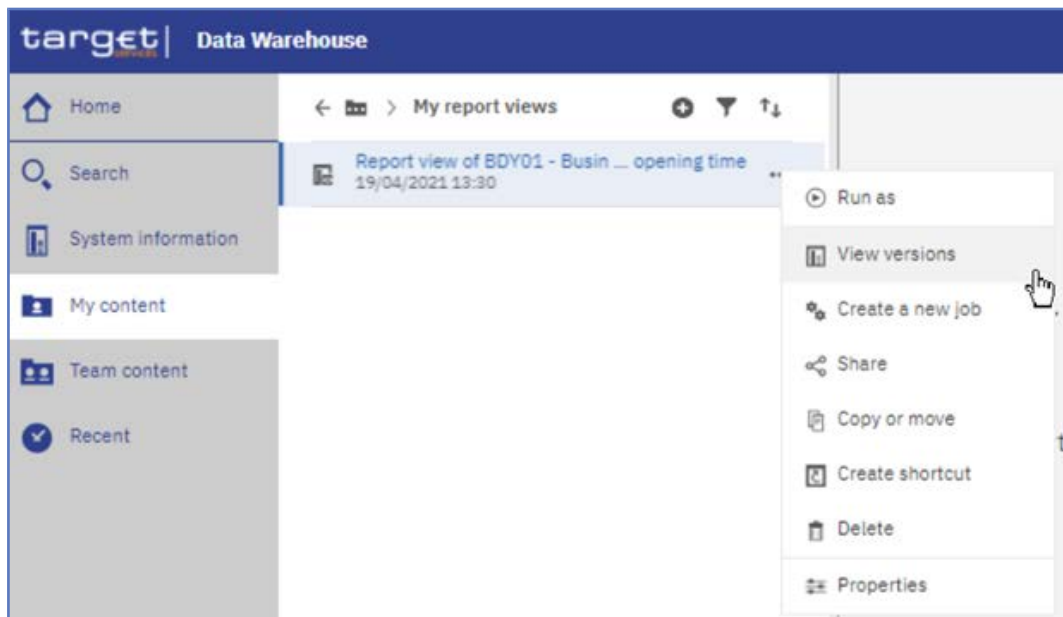


Illustration 297: New report version – Notify me creation –Report view – View versions

10. Click on the date of the report view run and click on the HTML icon to view the result:

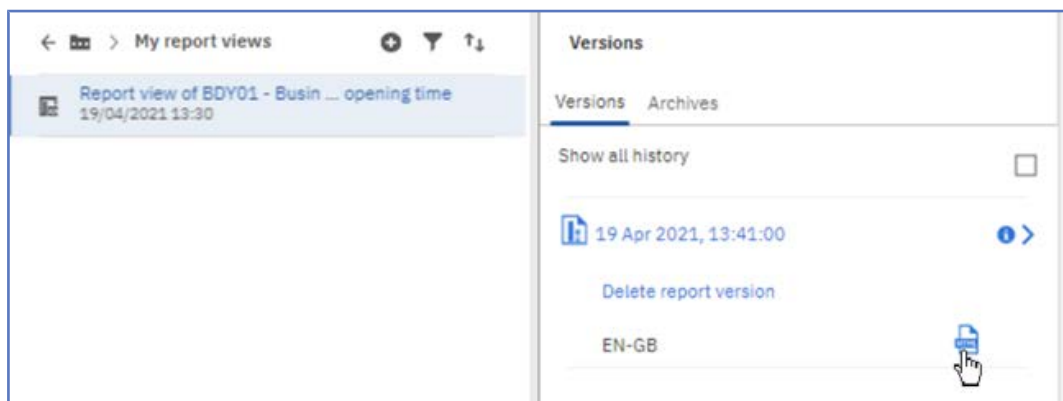


Illustration 298: New report version – Notify me creation –Report view – Versions

11. Click on the three dots to right of the blue ribbon to view the "Notify me" icon:

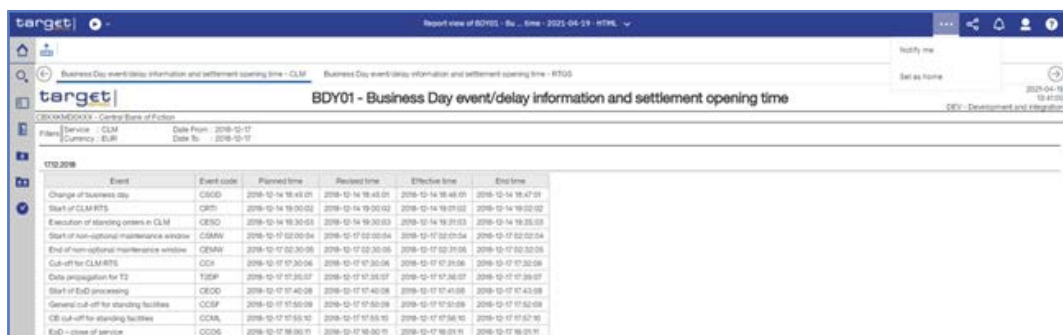


Illustration 299: New report version – Notify me creation –Report view – Three dots – Notify me

➔ By clicking on the "Notify me", you will be presented with a message of your notification on the event of a future change:

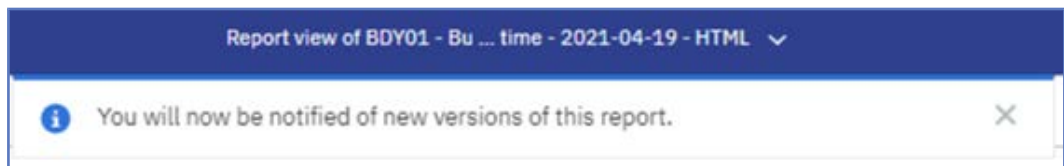


Illustration 300: New report version – Notify me creation –Report view – Notify me enabled ³¹

5.3.2.5 Personal menu

In the “*Personal menu*” icon you can find settings to customise your DWH experience.

You can view and edit your personal and regional information, monitor the system activities etc. The user can edit the time zone, the product language and view the capabilities granted by the administrator. For further information, refer to chapter My preferences [▶ 1468]. ³²

5.3.2.5.1 My schedules and subscriptions

This menu item allows you to browse through the list of all report runs scheduled for the day. You can view all your scheduled activities and subscriptions on the “*My schedules and subscriptions*” panel.

Subscription Option

The subscription option is available when you run and view a report, but not when you are in editing mode or when you view saved output.

1. In order to subscribe to a report: Run a report.
2. In the application bar, tap “*More*” icon in the application bar and then tap the “*Subscribe*” text.
3. Select your subscription options, and then tap “*Create*”.
The subscriptions have the following characteristics:
A subscriber requires only Read and Execute permissions for the report.
There can be up to 32 subscriptions for the same report.
4. Created by running a report and, in view mode, tapping the “*More*” icon in the application bar.
Only the subscriber can view their subscription.
Only the most recent saved report output is available.

The report can be run daily or weekly.

Scheduled Activities

You can view a list of your scheduled activities that are current, past, or upcoming on a specific day.

31 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

32 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.



Illustration 301: Welcome screen – Personal menu – My schedules and subscriptions

You can filter the list to show the entries you want to see by clicking on the down arrow next to the “Schedule”.



Illustration 302: Welcome screen – Personal menu – My schedules and subscriptions - Filter

The following screenshots show the upcoming and the past schedules respectively:



Illustration 303: Welcome screen – Personal menu – My schedules and subscriptions – Upcoming schedules

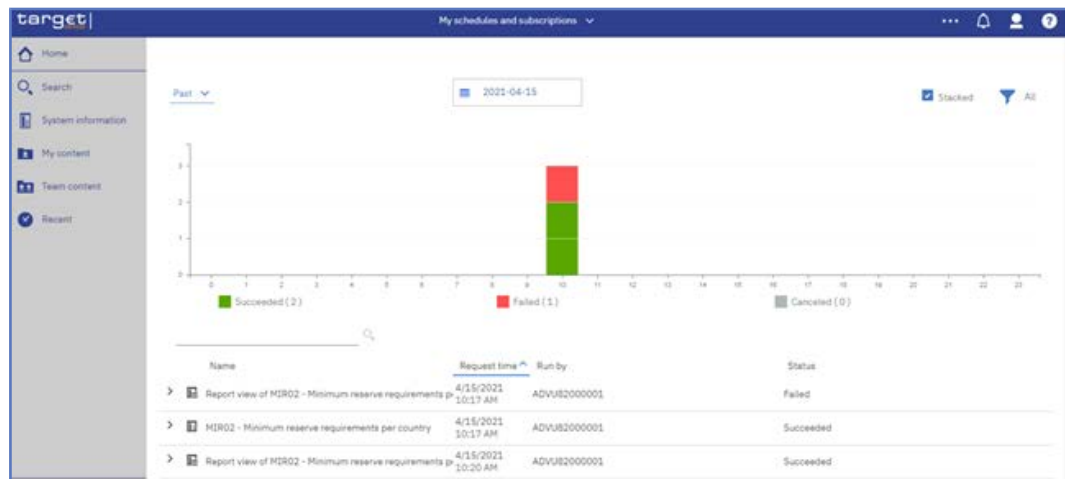


Illustration 304: Welcome screen – Personal menu – My schedules and subscriptions – Past schedules

Subscriptions In general you can enable, disable, modify, or remove subscriptions, and view their saved outputs or the archived version details panel for information such as the run status and run time.

All this information are available by clicking on the “More” icon:



Illustration 305: Welcome screen – Personal menu – My schedules and subscriptions

Status “Enabled” The Status “Enabled” informs the user that the schedule is active. By clicking on “Run once”, the user can run the report once:

Run as

Run in background

Excel

Excel Data

PDF

HTML

CSV

XML

Prompt me

Advanced

Run

Illustration 306: Welcome screen – Personal menu – My schedules and subscriptions – Run as

You can find further information on how to run a report in the chapter Run a report step-by-step [► 1506].

Properties

By clicking on “*Properties*”, the user can find information regarding the report:

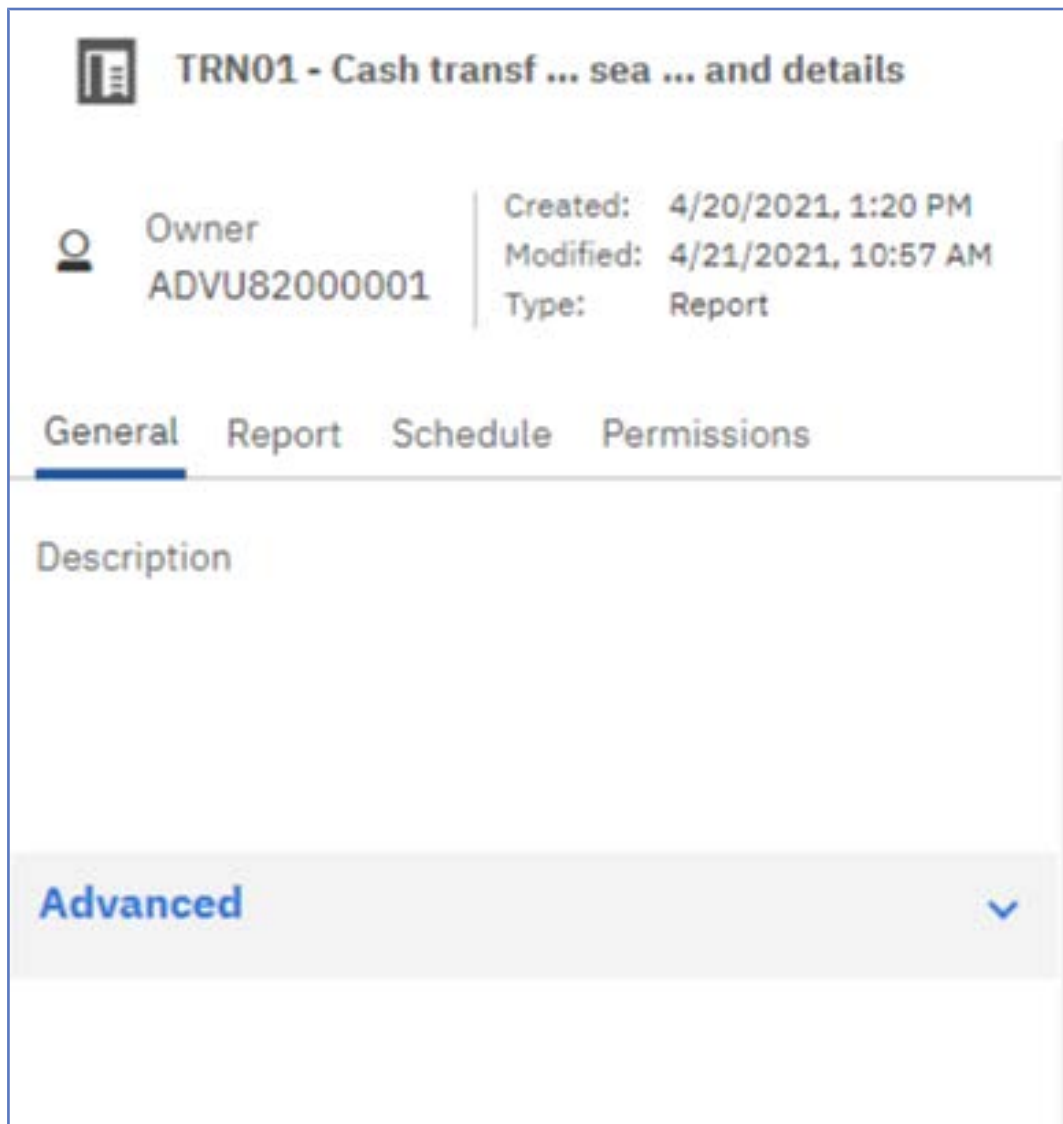


Illustration 307: Welcome screen – Personal menu – My schedules and subscriptions - Properties

Modify

Change the settings for the scheduled report.

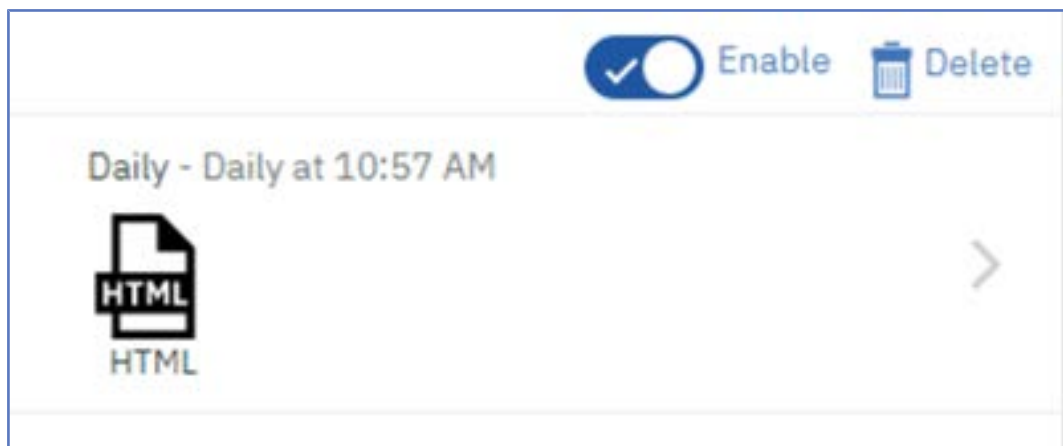


Illustration 308: Welcome screen – Personal menu – My schedules and subscriptions – Modify

View Versions The versions of the report, that is the date it was executed after it was successfully scheduled.

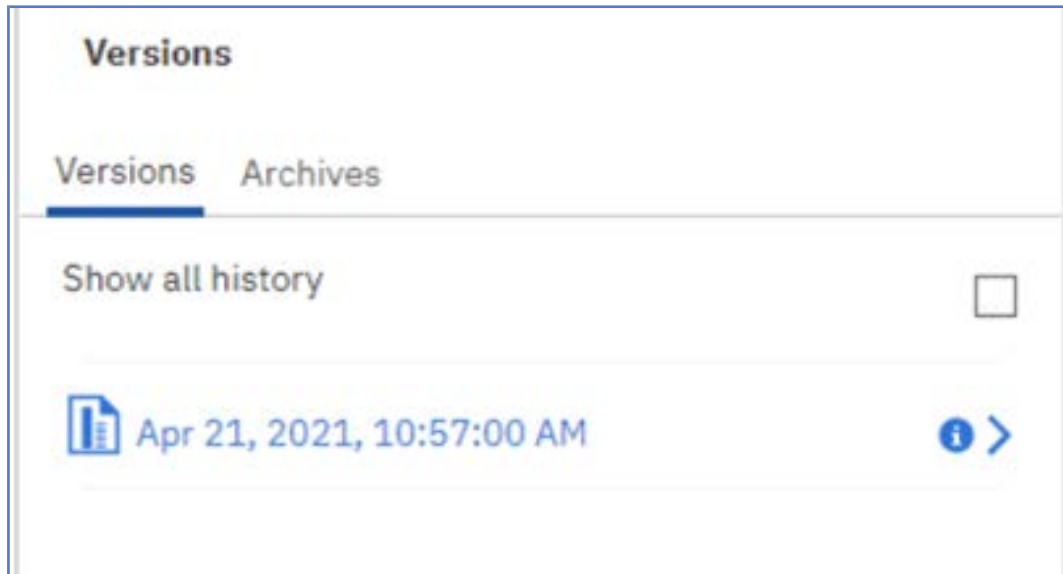


Illustration 309: Welcome screen – Personal menu – My schedules and subscriptions – View versions

Disable Schedule

By clicking this option, the user disables the schedule.

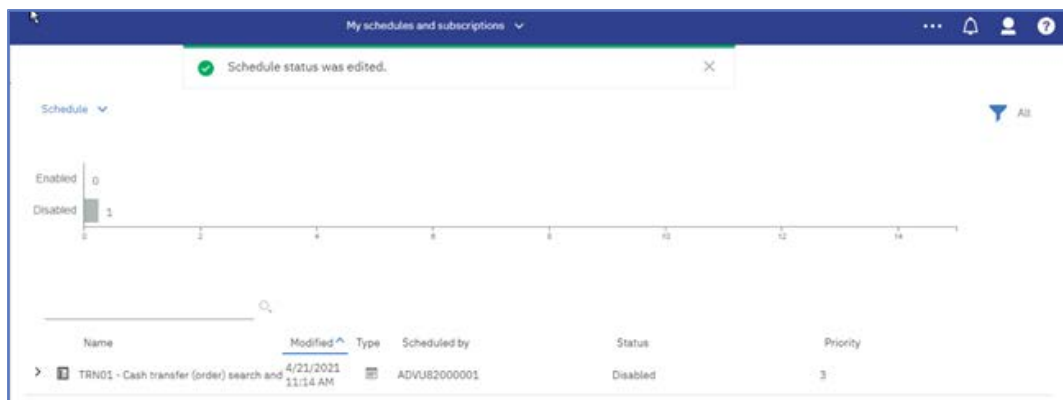


Illustration 310: Welcome screen – Personal menu – My schedules and subscriptions – Disable schedule

Remove Schedule

The schedule will be deleted.

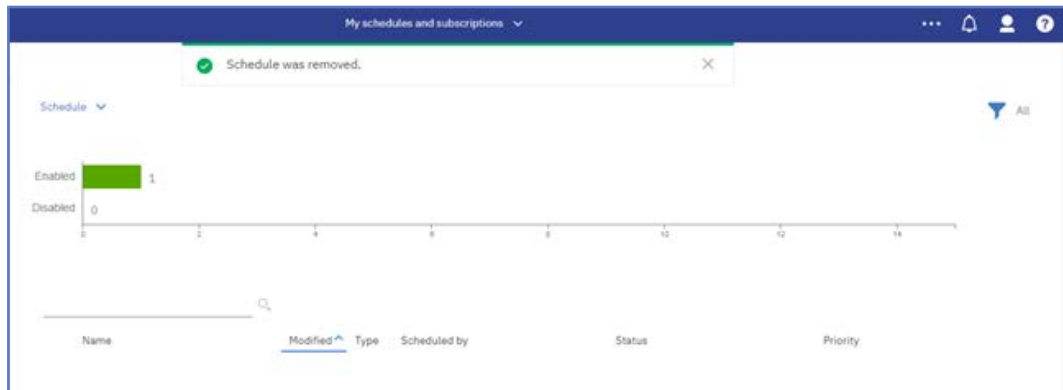


Illustration 311: Welcome screen – Personal menu – My schedules and subscriptions – Remove schedule

Filter

In the top right corner, the user will find another filter.



Illustration 312: Welcome screen – Personal menu – My schedules and subscriptions – Filter (2)

By clicking on “All” button, the user will be presented with further options.



Illustration 313: Welcome screen – Personal menu – My schedules and subscriptions – Filter (2) -

Further options

Subscription Type

By clicking on the dropdown next to the “Subscription type” the user will be able to filter to see either the subscriptions or the activities.



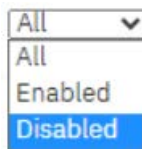
Verify the choice by clicking on the “Apply” button.



Illustration 314: Welcome screen – Personal menu – My schedules and subscriptions - Subscription type

Status

By clicking on the dropdown next to the “Status”, the user can choose to see either the “Enabled” or the “Disabled” schedules:



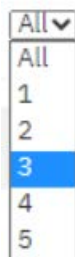
Verify the choice by clicking on the “Apply” button.



Illustration 315: Welcome screen – Personal menu – My schedules and subscriptions – Status disabled

Priority

By clicking on the dropdown next to the “Priority”, the user can choose to select different schedules according to the priority.



Verify the choice by clicking on the “Apply” button.



Illustration 316: Welcome screen – Personal menu – My schedules and subscriptions – Schedules Priority

Further Options

By clicking on the down arrow next to “Advanced”, the user is presented with further options.

Filter

[Clear all](#)

Subscription type ▼

Status ▼

Priority ▼

Advanced ▲

Type [All >](#)

[Apply](#)

Illustration 317: Welcome screen – Personal menu – My schedules and subscriptions – Advanced

Type of Schedule

By clicking on the text next to the “Type”, the user can choose which type of schedule to see, i.e. Report or Repot view:

< Back	Type
Export	<input type="radio"/>
Import	<input type="radio"/>
Index update	<input type="radio"/>
Job	<input type="radio"/>
Migration	<input type="radio"/>
Notebook	<input type="radio"/>
Planning macro	<input type="radio"/>
Planning task	<input type="radio"/>
PowerPlay report	<input type="radio"/>
PowerPlay view	<input type="radio"/>
Query	<input type="radio"/>
Query service administration task	<input type="radio"/>
Report	<input checked="" type="radio"/>
Report view	<input type="radio"/>
Workspace	<input type="radio"/>

Illustration 318: Welcome screen – Personal menu – My schedules and subscriptions – Advanced - All - Type of schedule

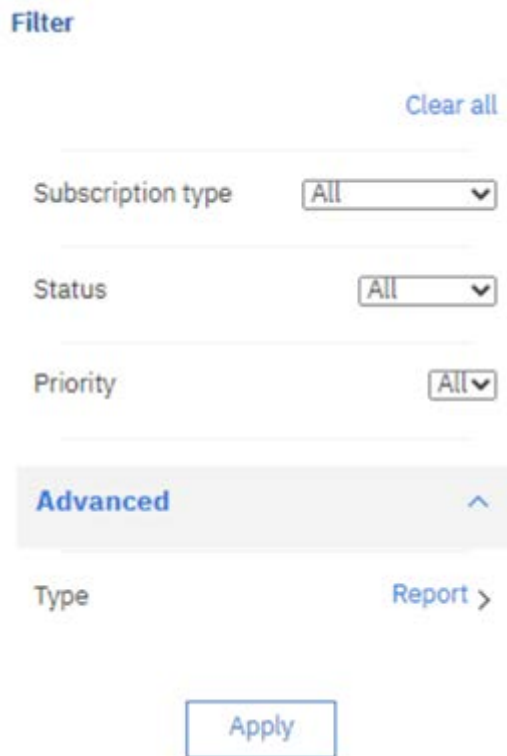


Illustration 319: Welcome screen – Personal menu – My schedules and subscriptions – Advanced - All - Type of schedule – Report

By clicking on “Back” text in the upper left corner and clicking on the “Apply” button, the user can see the type of schedule that has been chosen.



Illustration 320: Welcome screen – Personal menu – My schedules and subscriptions – Advanced - All - Type of schedule - Report ³³

5.3.2.5.2 My preferences

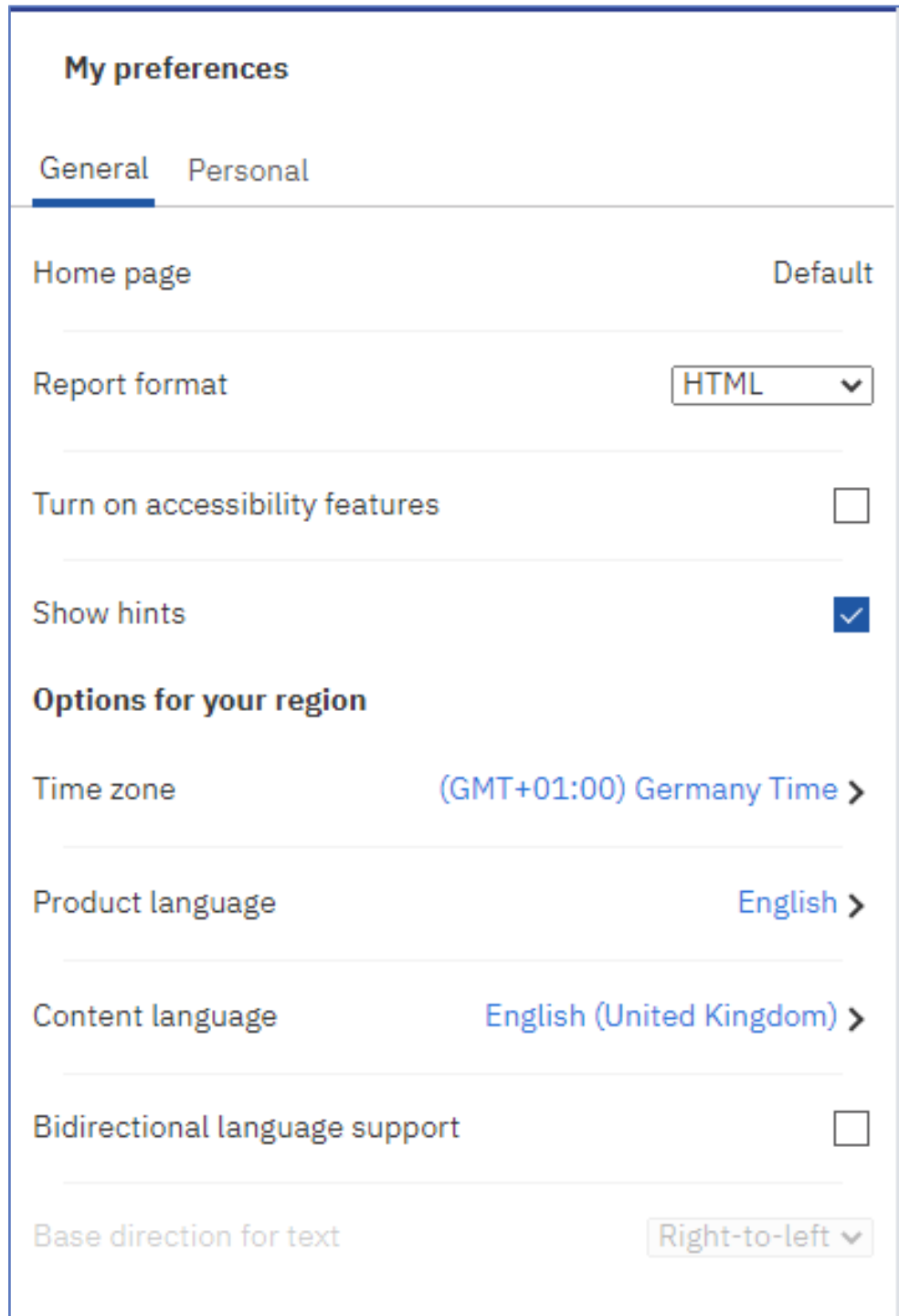
The chapter “My preferences” is divided in two chapters. One describes the preferences under general the second explains the preferences under personal. ³⁴

³³ This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

³⁴ This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

5.3.2.5.2.1 My preferences - general

You can set up preferences for your DWH applications. To set your preferences in the personal menu click on “*My preferences*”.



My preferences

General Personal

Home page Default

Report format HTML

Turn on accessibility features

Show hints

Options for your region

Time zone (GMT+01:00) Germany Time >

Product language English >

Content language English (United Kingdom) >

Bidirectional language support

Base direction for text Right-to-left

Illustration 321: Welcome screen – Personal menu – My preferences

In case a different page than the default is set, the user can reset to the default.

Report Format The default value for the report format is html.

But you can choose also one of the following formats:

- Excel (same content as html but as Excel file)
- Excel Data (only the report data is exported – Not recommended since it does not work properly)
- Pdf
- Html
- Csv
- Xml

Accessibility Features Accessibility features assist users who have a disability, such as restricted mobility or limited vision, to use information technology content successfully.

Show Hints When you first sign in to the DWH, you can see hints that help you navigate through the user interface. If you turn the hints off, but want to show them again, select the “*Show hints*” check box.

Language Settings The default language is English.
You can select the language that you prefer for the DWH user interface. If your data and reports are available in multiple languages, you can also select the language that you prefer for the content. There is also support for bidirectional languages such as Hebrew, Arabic, Urdu, and Farsi. Report authors can control the display of native digits and the direction of text, crosstabs, and charts. ³⁵

5.3.2.5.2.2 My preferences - Personal

In the “*My preferences*” menu you can find more information about yourself under “*Personal*”. (Note: Some of the settings displayed have to be changed in CRDM e.g. User information, granted privilege.)

You will see your email if available in CRDM, and with a click on the arrow next to “*Advanced*”, further information are shown.

You can also find information about “*Groups and roles*” and “*My capabilities*” described in detail hereafter.

35 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

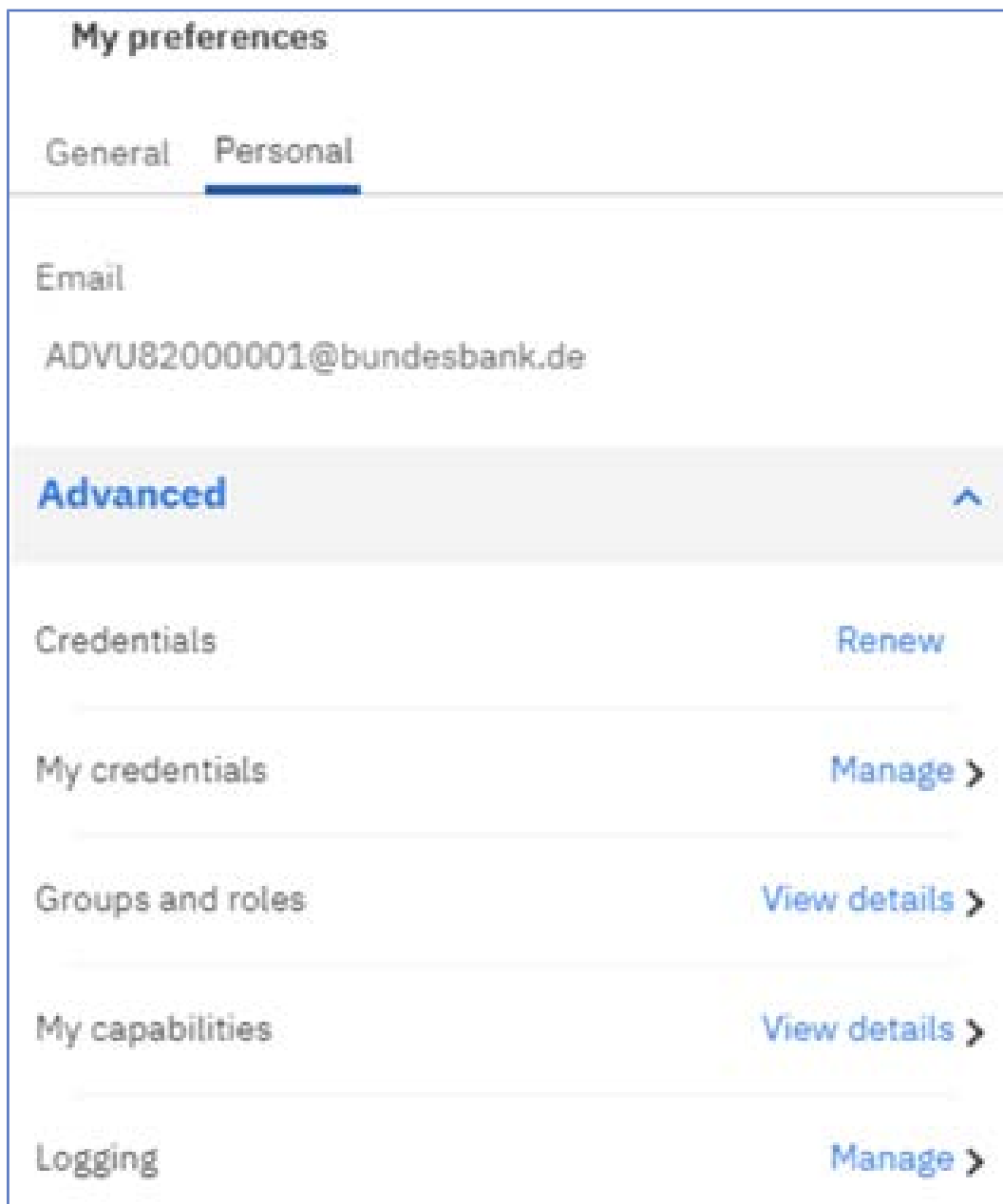


Illustration 322: Welcome screen – Personal menu – My preferences – Personal – Advanced

Groups and Roles

You can find the groups and roles you are associated to by clicking on the respective menu item.

In this example, the user belongs to a Central Bank with country code “KM”. In CRDM the role containing the “Data Warehouse Advanced User”-privilege is granted to the user.

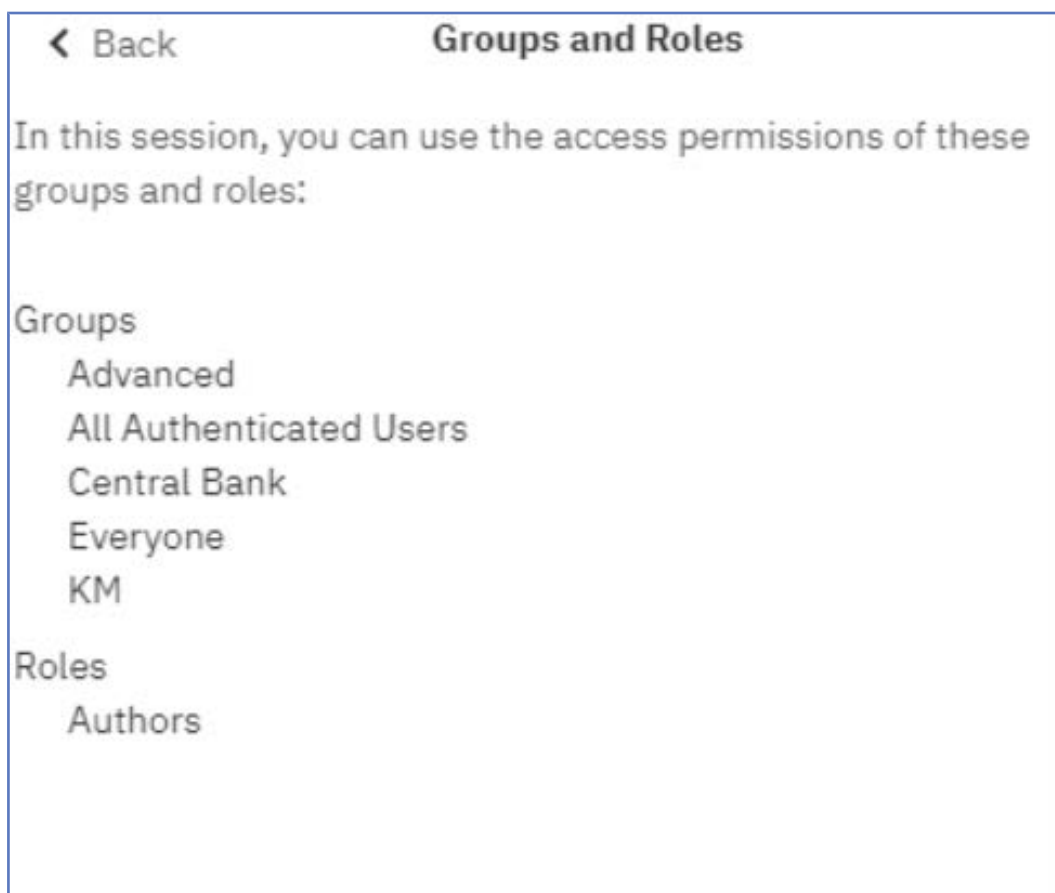


Illustration 323: Welcome screen – Personal menu – My preferences – Personal – Groups and roles

User Capabilities

The capabilities control access to different administration tasks and different functional areas of the user interface in DWH. You can find the capabilities assigned to you by clicking on “*View details*” on the right of the section.

My preferences

General **Personal**

Email

ADVU82000001@bundesbank.de

Advanced ^

Credentials Renew

My credentials Manage >

Groups and roles View details >

My capabilities View details >

Logging Manage >

Illustration 324: Welcome screen – Personal menu – My preferences – Personal – My capabilities
(1)

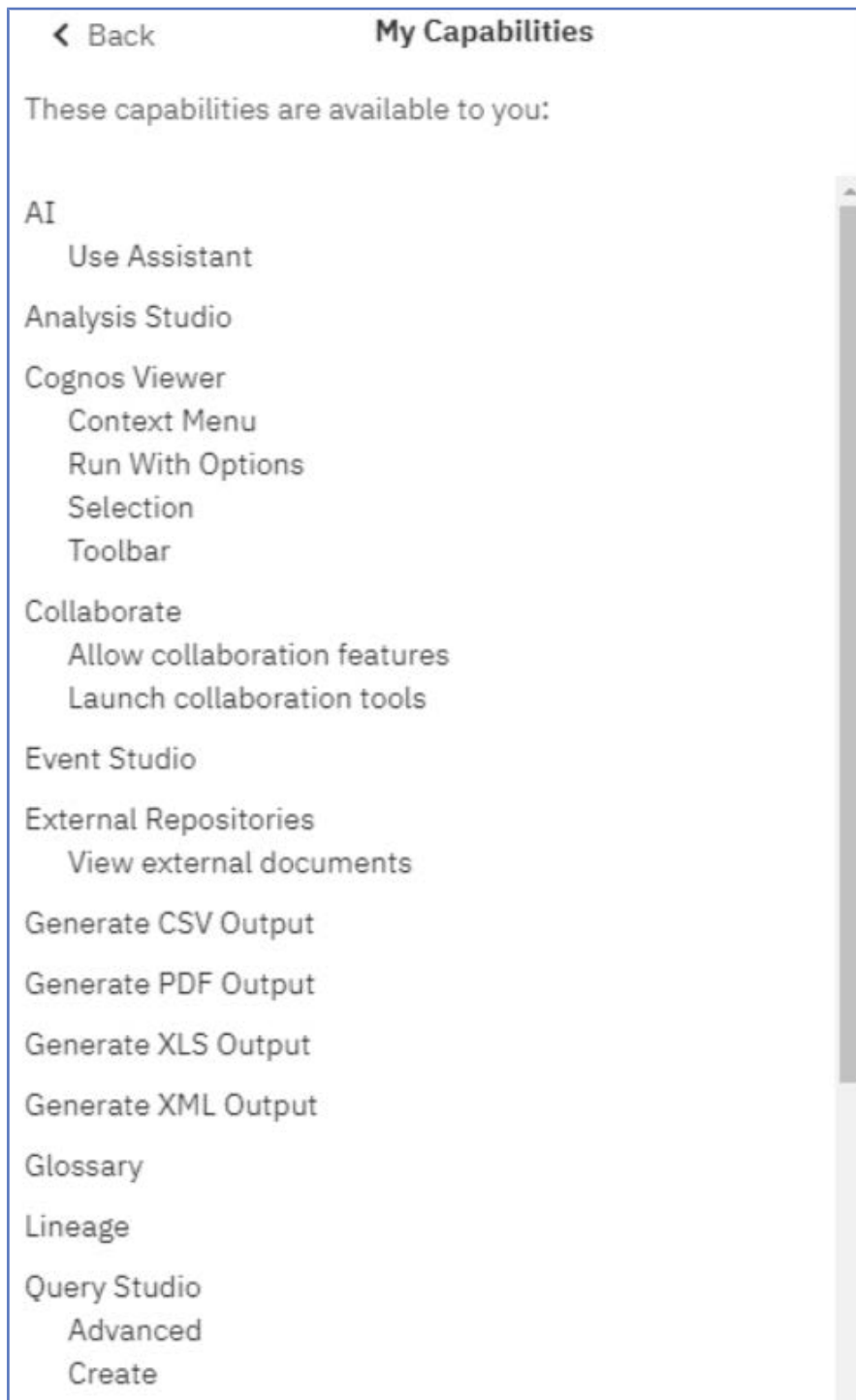


Illustration 325: Welcome screen – Personal menu – My preferences – Personal – My capabilities

(2)³⁶

36 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

5.3.2.5.3 Log my session

**Session
Logging**

You can use “*Log my session*” to enable detailed, diagnostic logging for your current DWH session. This can be useful to support the analysis of the Operational Teams in case you run into reproducible problems or errors while using the Data Warehouse. In such a case, you can turn logging on and then perform the steps that lead to the problem or error you encountered. Session logging can run for one hour maximum, but can be turned off at any time.

**Unique
Identifier**

A unique identifier is generated to allow the administrator to identify the session logging data. Record the log identifier before you turn off the logging or close your browser. Remember to add this identifier to your ticket when requesting help.

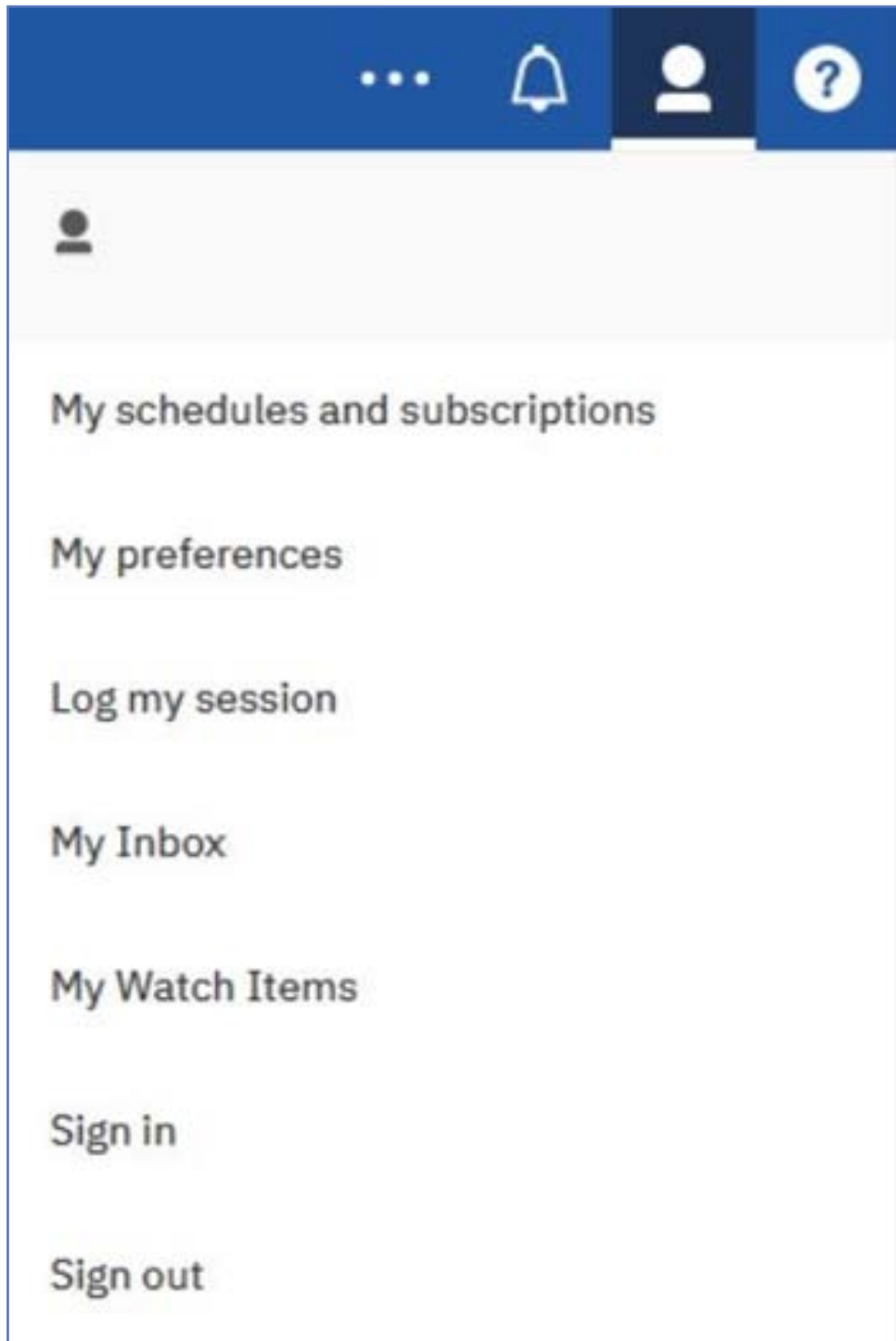


Illustration 326: Welcome screen – Personal menu – Log my session

User session logging

Session logging

Off

Log identifier

--

Illustration 327: Welcome screen – Personal menu – Log my session – User session logging ³⁷

5.3.2.5.4 My Inbox

If you have selected “Save” as delivery option (refer to chapter Scheduling reports [▶ 1519] for further information) you can navigate to “My Inbox” in the “Personal menu”.

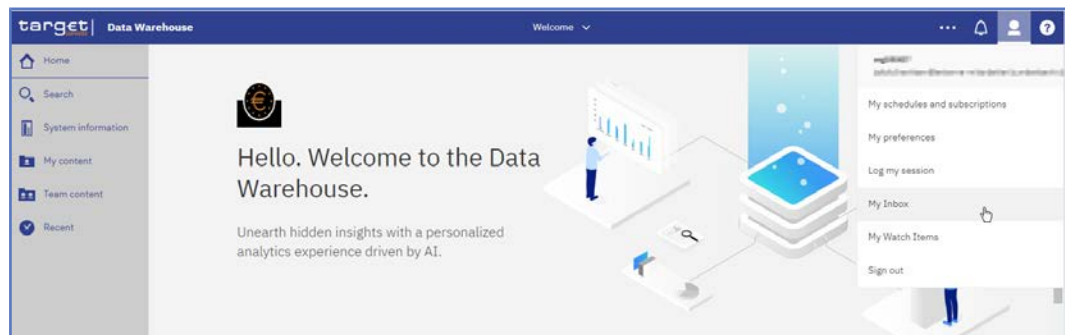


Illustration 328: Welcome screen - Personal menu - My Inbox

There you will find the result of the report that you scheduled or run in background. ³⁸

5.3.2.5.5 My watch items

In the tab “Alerts” under “My Watch Items” menu, the user can see the reports he has chosen to be notified for any new changes. For further information on Notifications, see chapter Set Notifications [▶ 1450].

Change Tracking

³⁷ This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

³⁸ This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

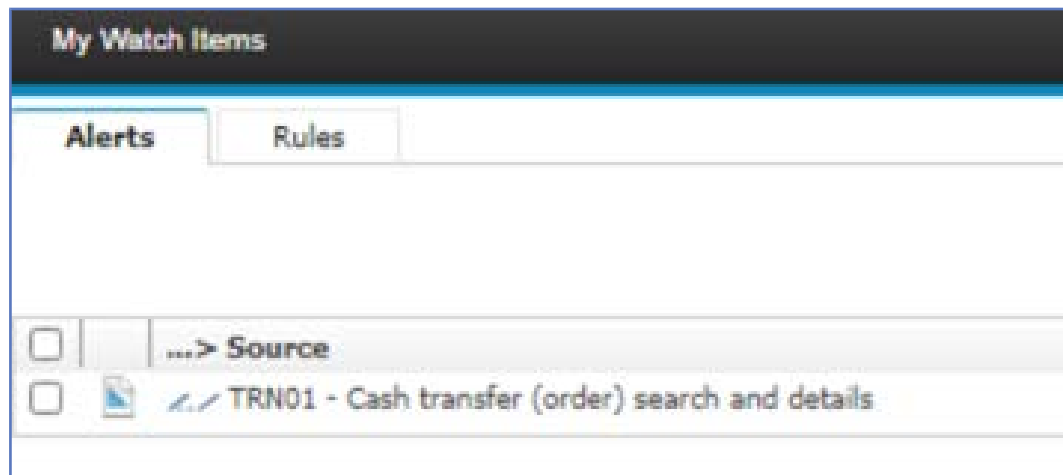


Illustration 329: Welcome screen - Personal menu - My watch items

Alert Deactivation

By clicking in the icon at the top right corner, the user can turn off the alerts for this report. ³⁹

5.3.2.5.6 Sign out

In order to sign out you can use the “*Sign out*” item from the personal menu in the toolbar.

39 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

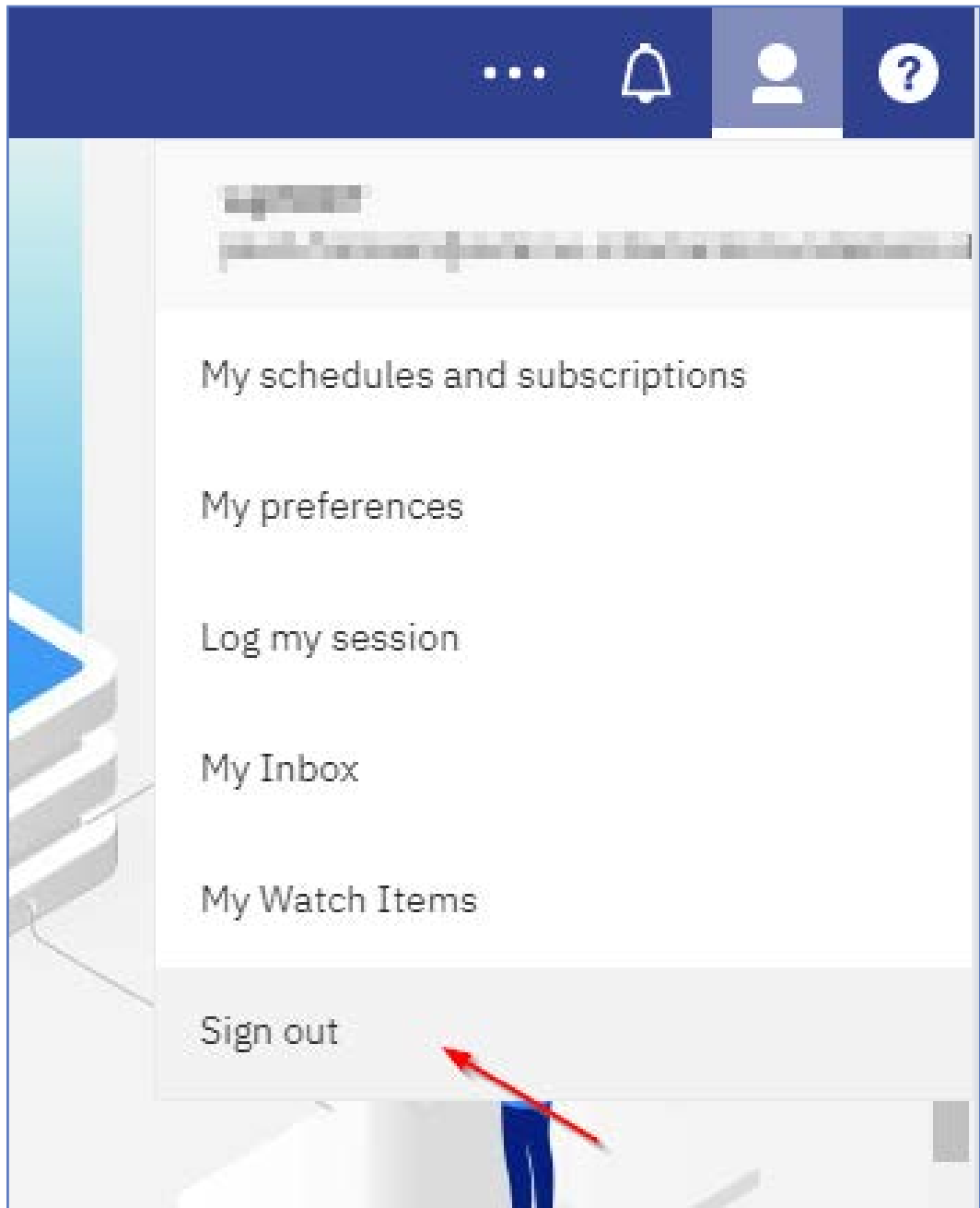


Illustration 330: Personal menu - Sign out ⁴⁰

5.3.2.6 Help menu

“Question Mark” Icon

In the tool bar, you can find a “*Question mark*” icon, where the default “*Help menu*” is located.

40 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

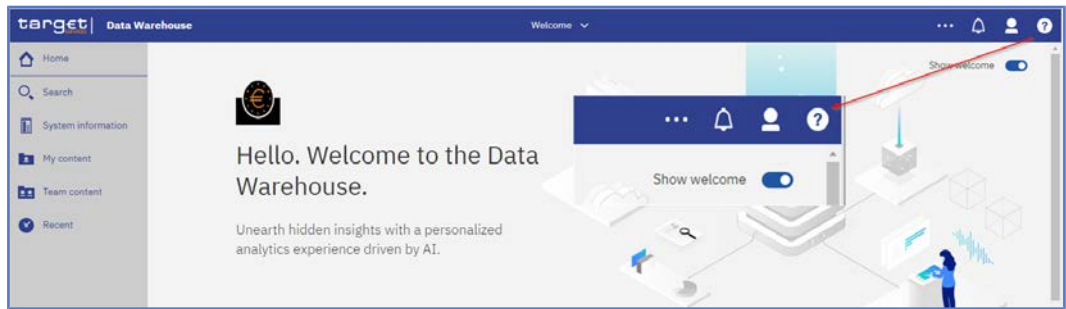


Illustration 331: Welcome page - Help menu

**Cognos
Version**

“About” shows information about the Cognos version.

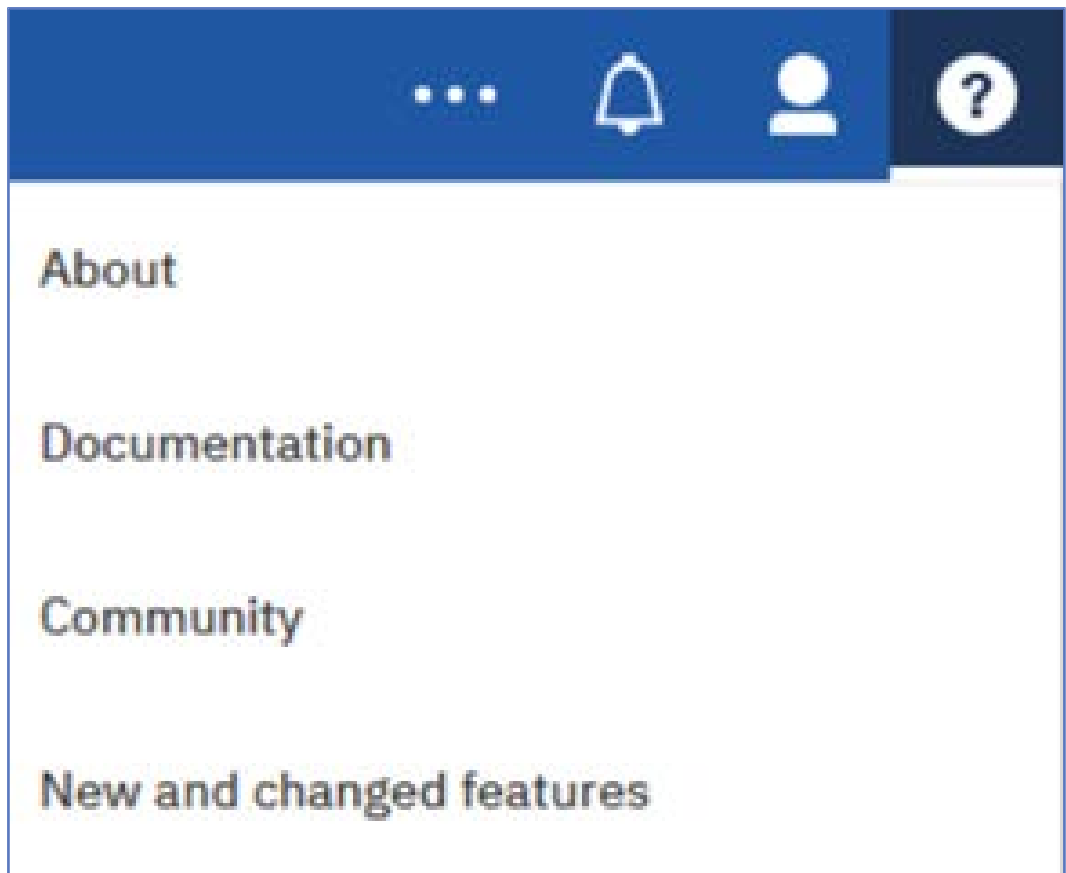


Illustration 332: Welcome page - Help menu options

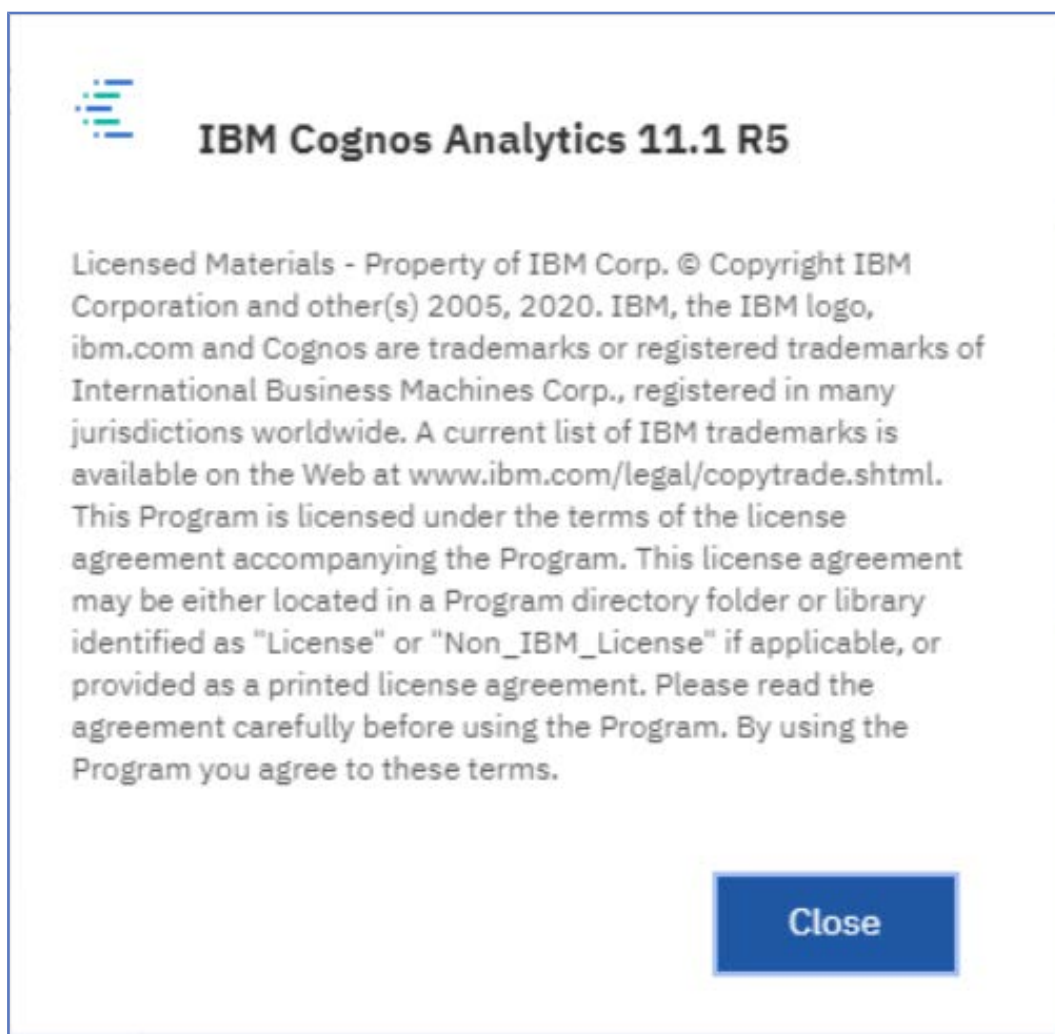


Illustration 333: Welcome page - Help menu - About ⁴¹

5.3.3 Navigating in the DWH GUI – menu

On the left side of the screen you can find a menu with different folders.

41 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

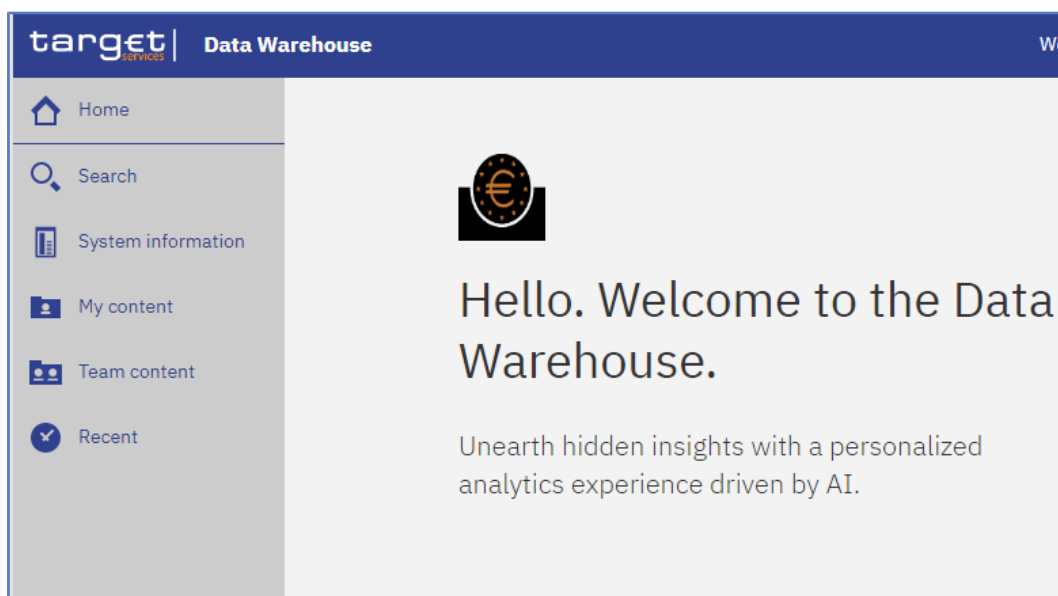


Illustration 334: Welcome page - menu ⁴²

5.3.3.1 Target services logo

By clicking on the “*Target services*” logo, you return to the “*Welcome*” page. ⁴³

5.3.3.2 Home icon

The first icon on the left side is the “*Home*” icon.

⁴² This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

⁴³ This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

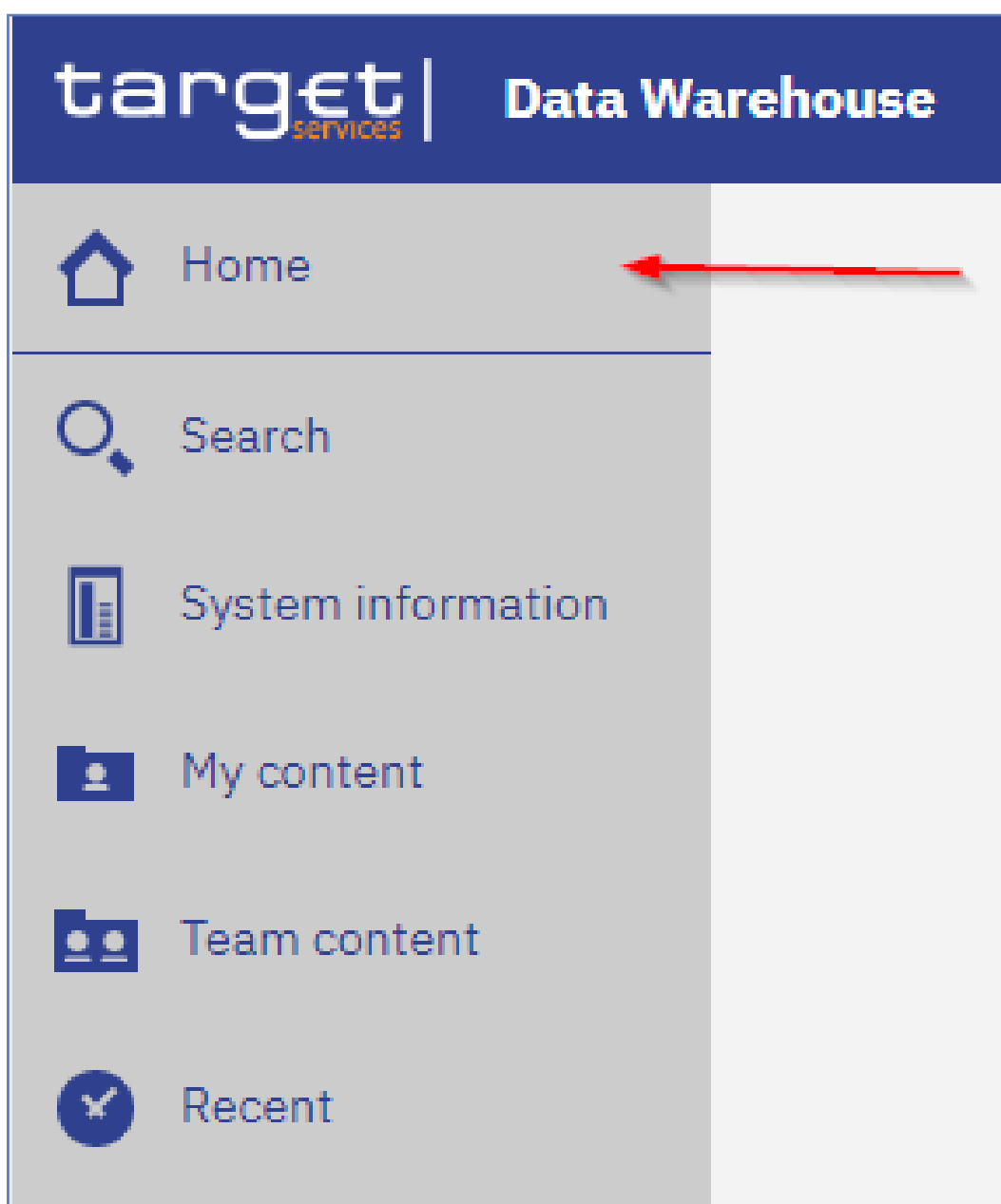


Illustration 335: Welcome page - Home

By clicking on this icon it is always possible to return to the “Welcome” page (the standard one or the one you have specified – for further information refer to chapter Access to the DWH [▶ 1447]) from everywhere in the DWH GUI. ⁴⁴

5.3.3.3 Search function

To find items in your own or in the team content you can click on “Search”. In the search field - you can type in one or multiple keywords and press “Enter”. You can search for folders or predefined reports.

44 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

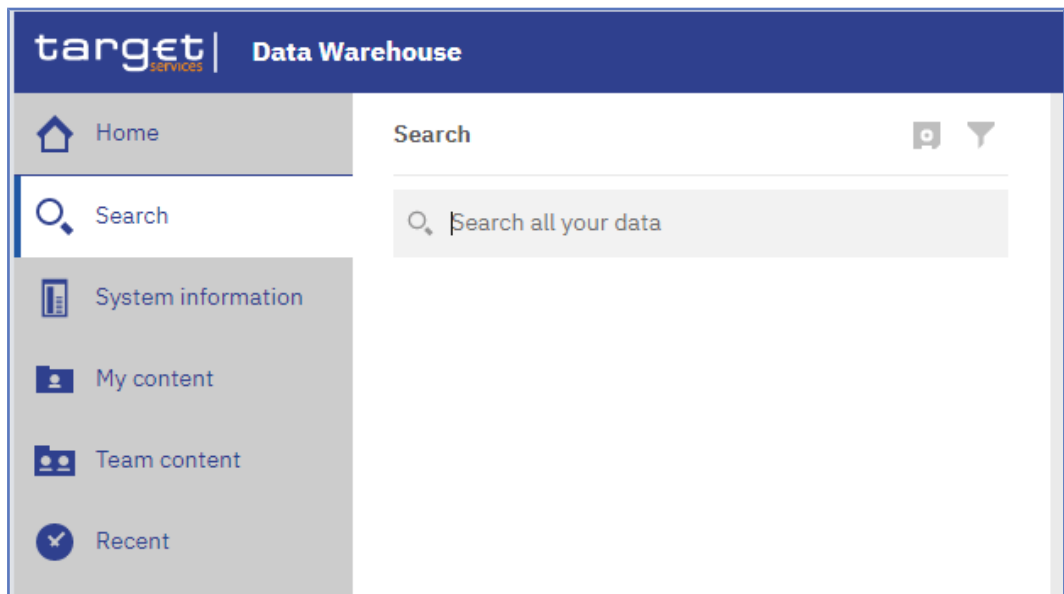


Illustration 336: Welcome page - Search ⁴⁵

5.3.3.3.1 Narrow your search with filters

After a successful search, the filter function is available. In case your search returns too many results, you can click on the “*Filter*” icon and select for example specific search results or dates to modify the result list.

45 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

Filter Icon

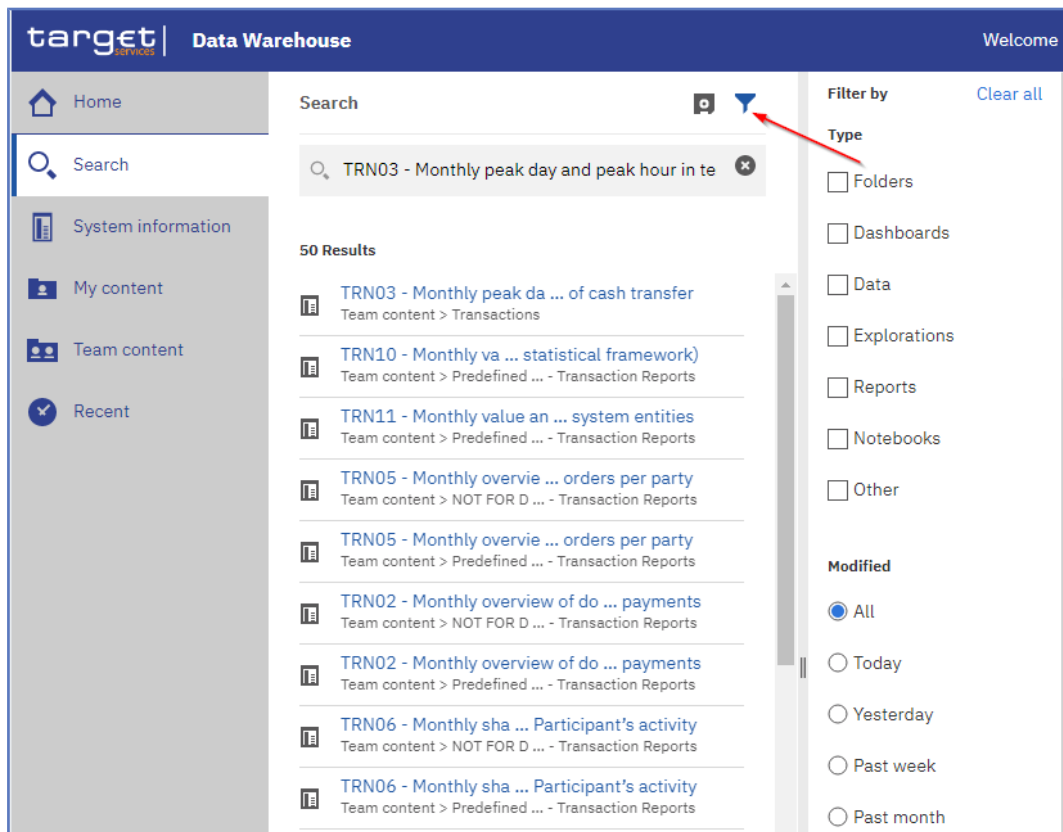


Illustration 337: Welcome page - filter options ⁴⁶

5.3.3.3.2 Save search results

After you have performed a search and the results are shown, you can save the results of your search. The “Save” icon is only available after a successful search.

Save Icon

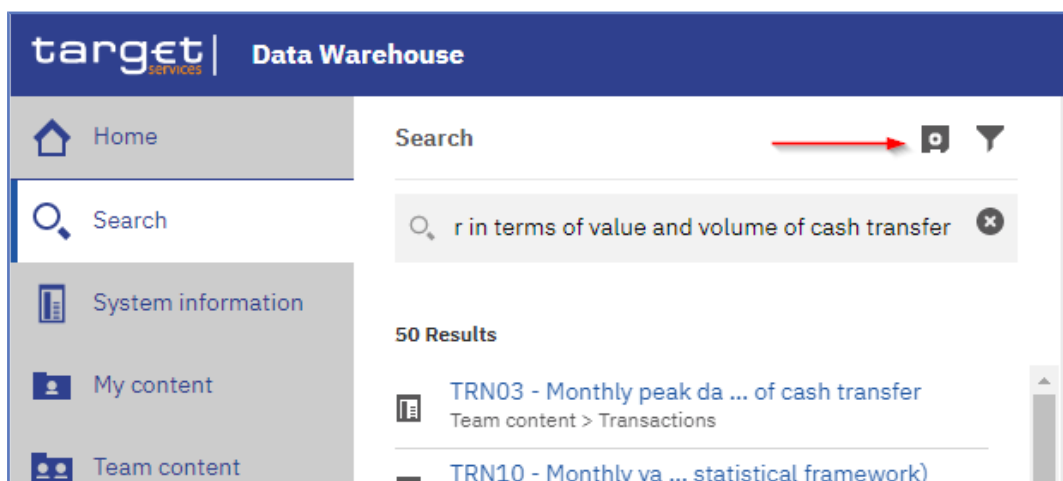


Illustration 338: Welcome page - Search - Save icon

46 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

After clicking on the “Save” icon, a message pops up, that informs you that the search was saved.

The result of the search will be available later, even after the search is closed, or the user signs out.

If you do not save the result, the next search will overwrite the previous:

In order to delete a previously saved search, click on the “Remove” button of the saved search you intend to delete.

Remove Search

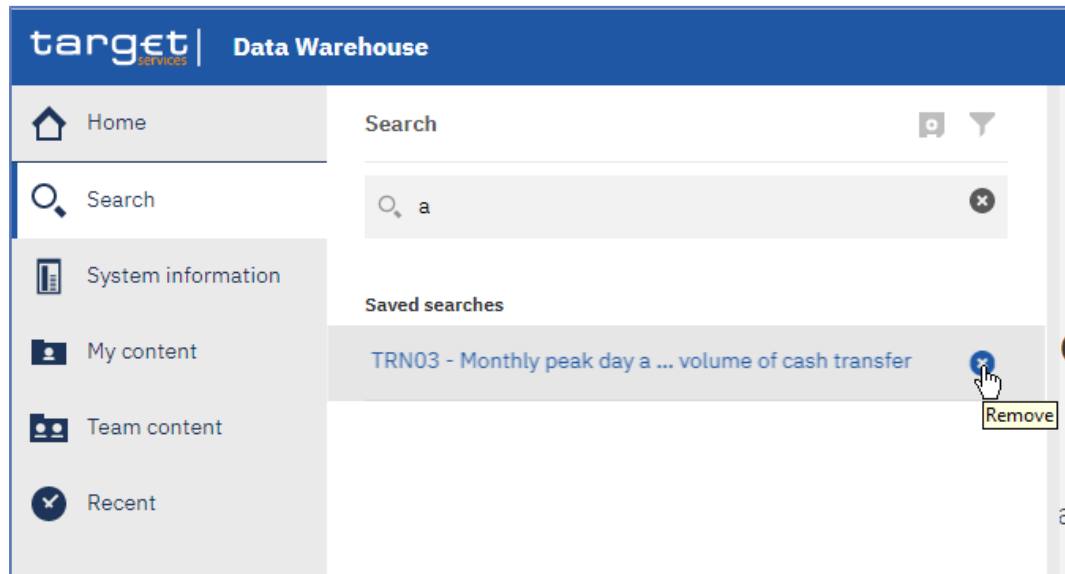


Illustration 339: Welcome page – remove search

Save Search

In case you save the search, the search result will be available in the “Saved searches” list, even after you sign out.

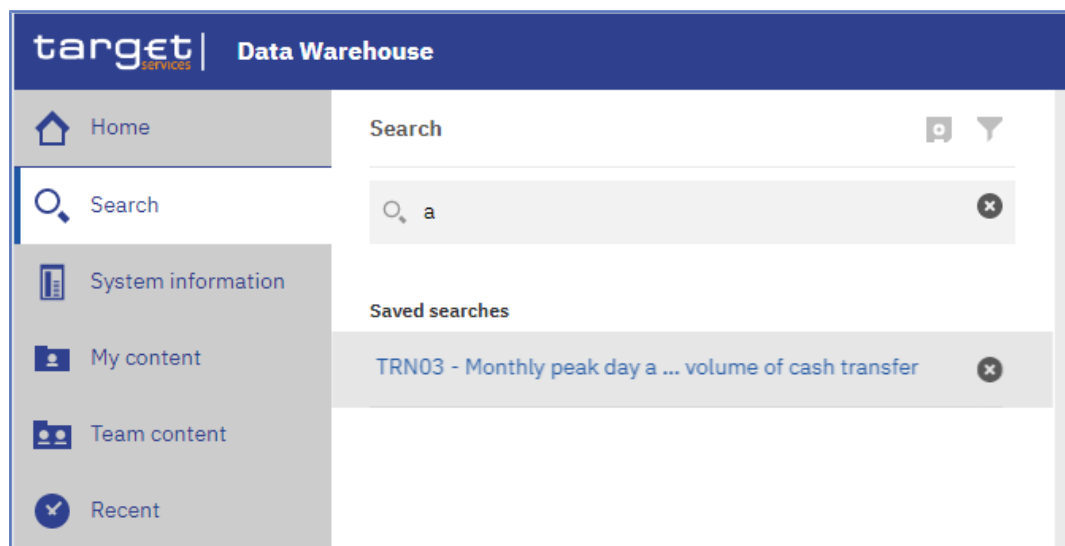


Illustration 340: Welcome page - Search - Saved searches ⁴⁷

47 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

5.3.3.4 System information

Behind “*System information*”, you find a menu with a welcome page, user information and manuals.

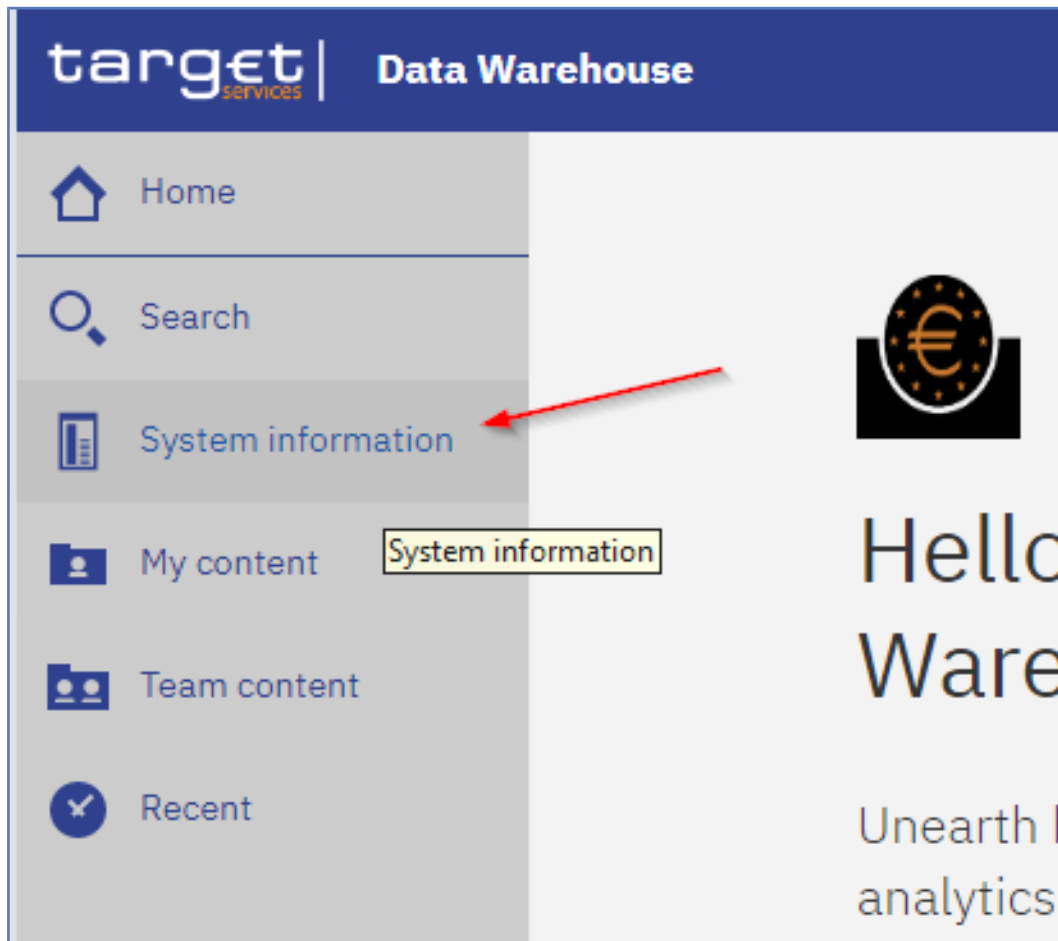


Illustration 341: Welcome page - System information link

Welcome Page

This page serves as an introduction to this menu and informs you about the content of this menu.

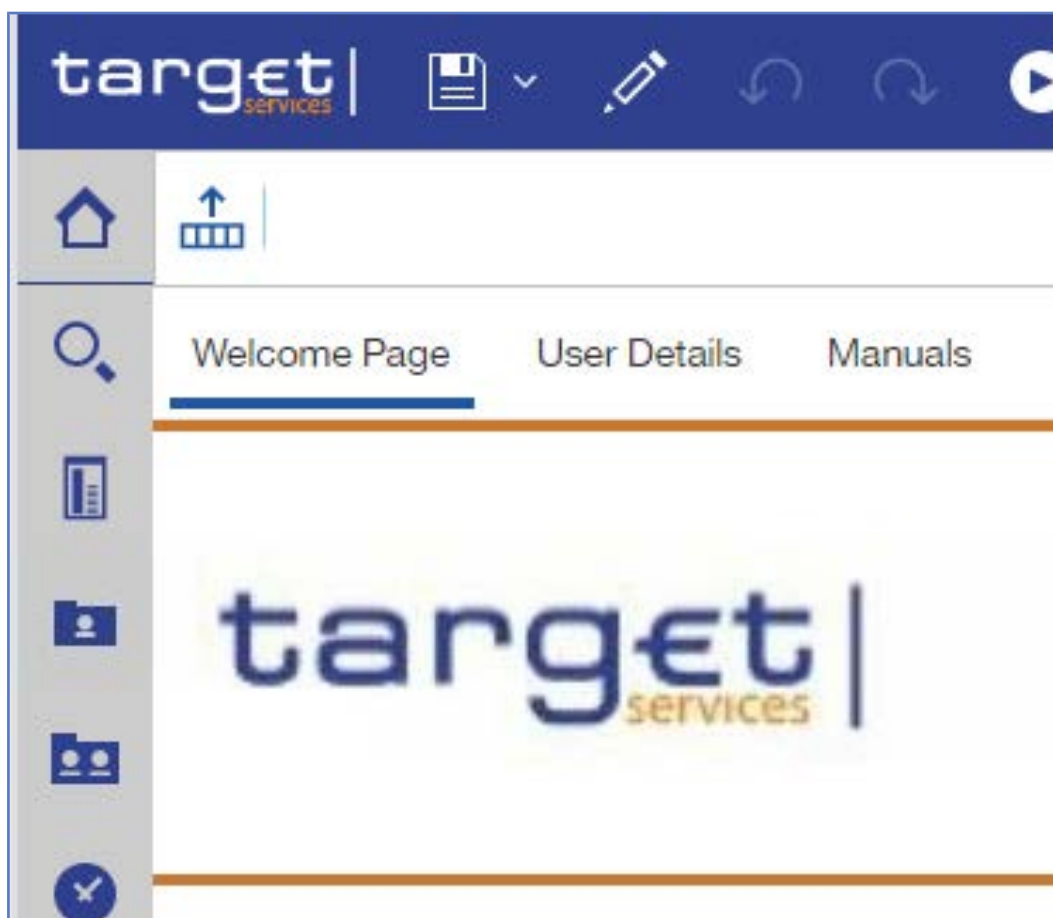


Illustration 342: Welcome page – System information menu

User Details

Here you can see additional user information, such as user details concerning your User ID, which is needed to identify you in case of support. In addition, you will find your name, the name of your institution, and system information such as the environment (production or test environment) you are working on. The last business day is also mentioned to give you the information, which was the last business day loaded into the DWH completely.

Manuals

Here you can find the latest User Detailed Functional Specification (UDFS) and UHB version of the DWH.

When you click on the name of the manual you will be directed to the ECB homepage, where you can find the most recent version of the document you have chosen. ⁴⁸

5.3.3.5 Recent Function

If you want to see pages, folders or reports that you recently viewed you can find the list under “Recent”.

48 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

Recent View

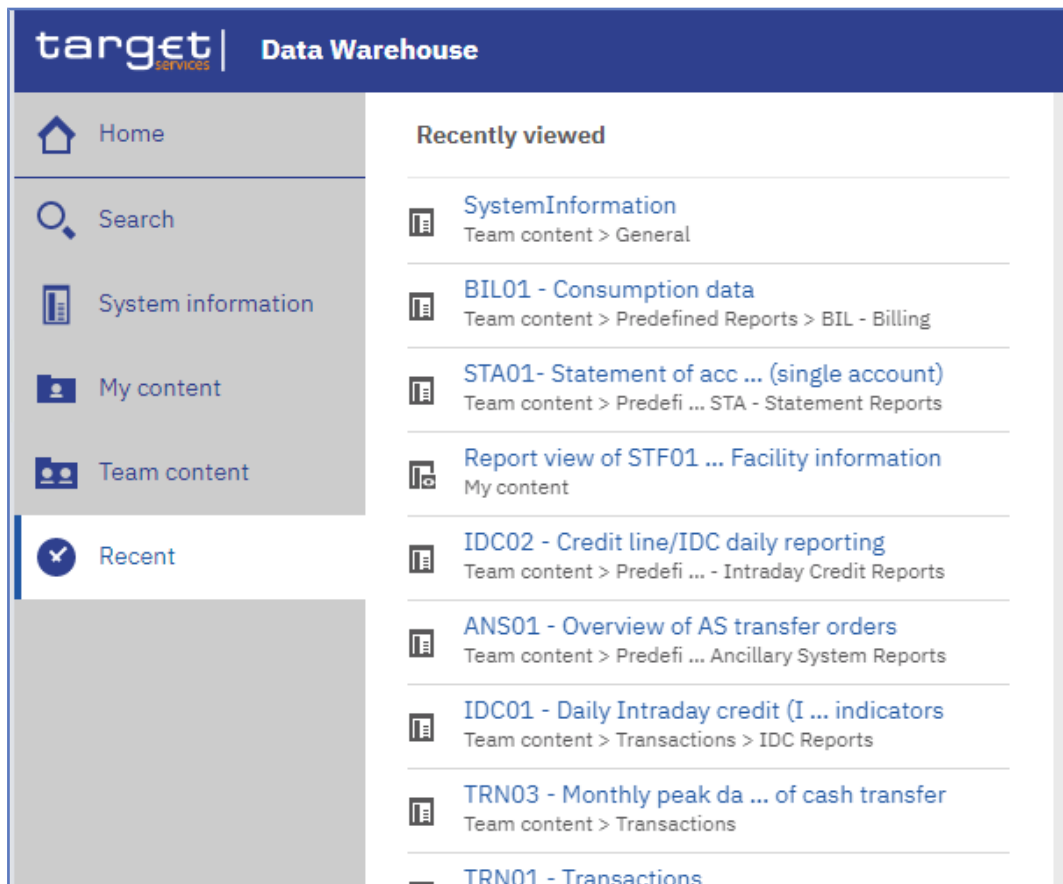


Illustration 343: Welcome page – Recently viewed

Tile View

Apart from that, the Welcome page shows all recently viewed items under the lower part, where you can also switch from “tile view” to “list view” by using the icons in the right part of the screen.

When “Tile View” is chosen the recently viewed items are organised as tiles.

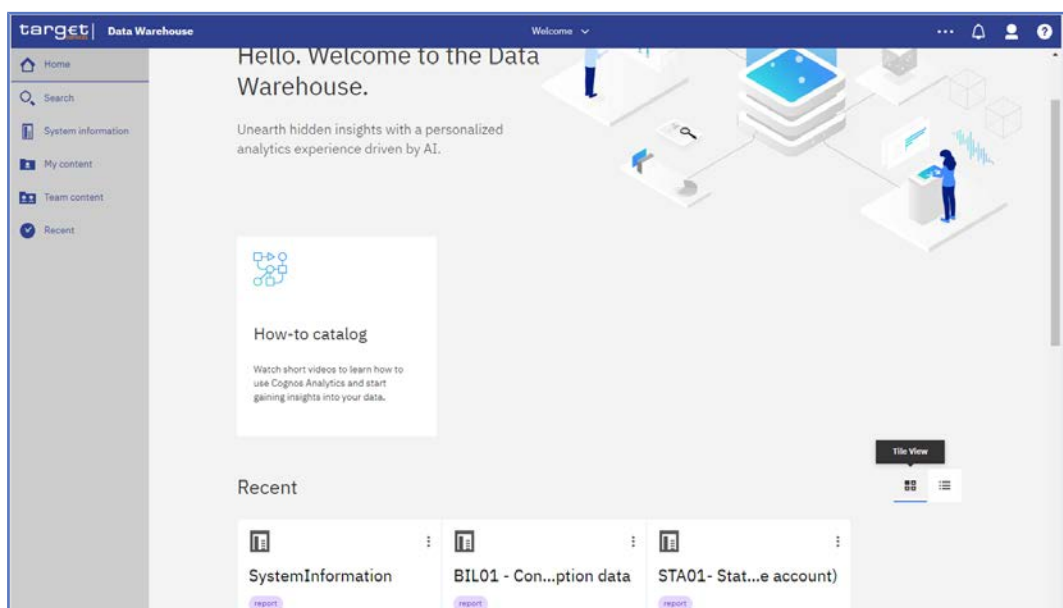


Illustration 344: Welcome page - tile view

List View

When the “*List View*” is chosen, the recently viewed items are organised in a list.

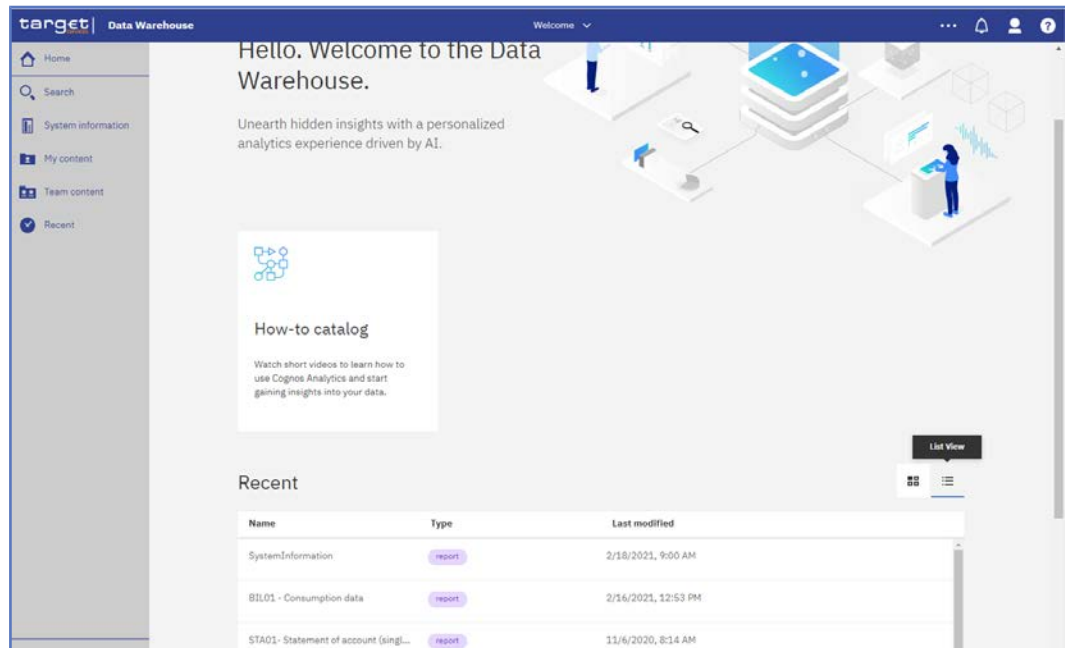


Illustration 345: Welcome page - list view ⁴⁹

5.3.3.6 New Function

The “*New*” option is only visible for CB/CSD users granted with the “*Data Warehouse advanced user*” privilege in CRDM. Only with this privilege it is allowed to create user-defined reports. For more information refer to the DWH UHB chapter Creating reports (just advanced users).

49 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

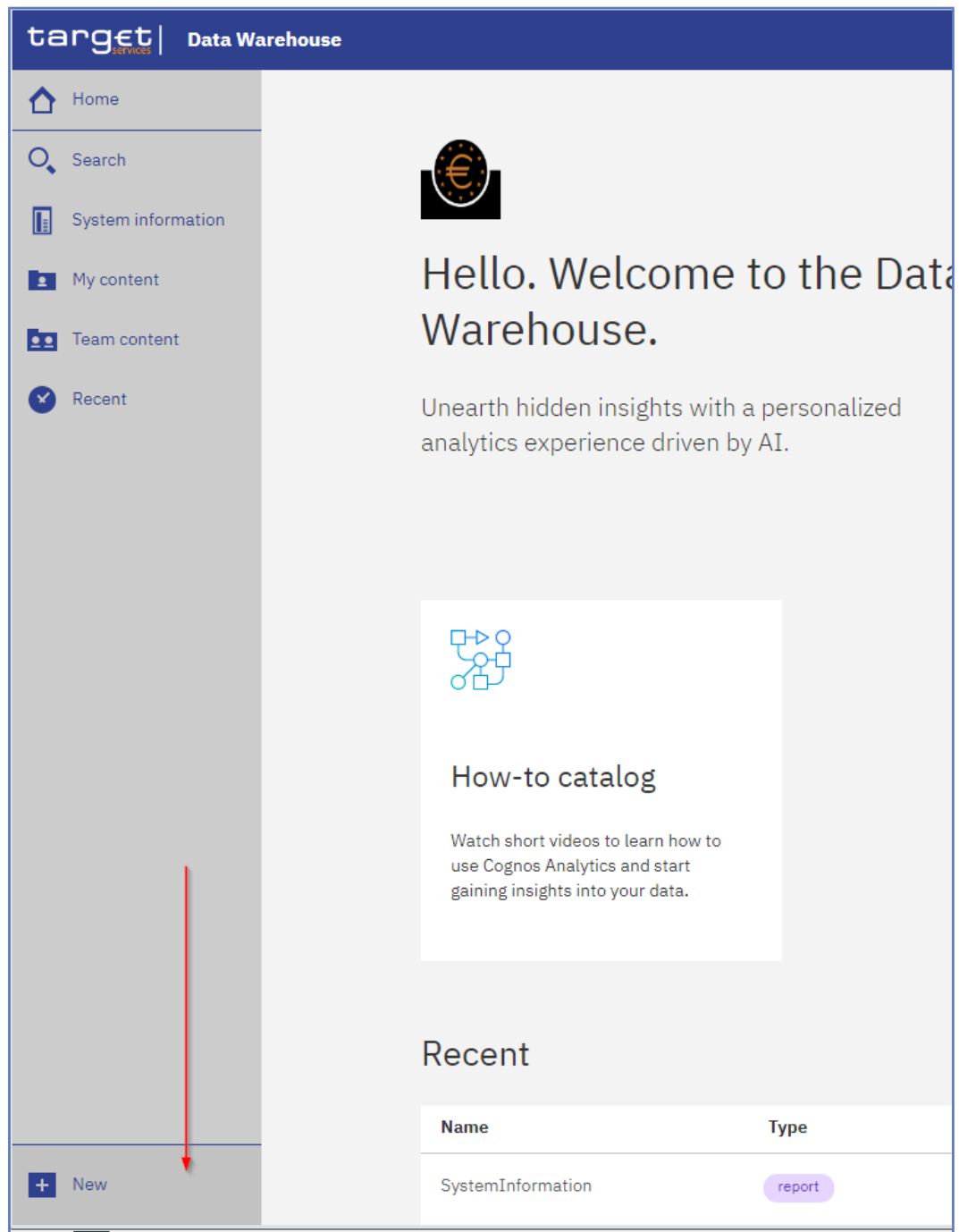


Illustration 346: Welcome page - New ⁵⁰

50 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

5.3.4 Personal Folders

5.3.4.1 Team content

Under “*Team content*”, you can find all reports you are allowed to run and view, depending on the party type you belong to. For information about each predefined report and who is allowed to use it, refer to Predefined reports [▶ 1529]. For CB/CSD users a specific CB/CSD folder can be found, where CB advanced users can store adapted or user-defined reports and share them with normal users within their CB/CSD. This enables also other users of this CB/CSD with the normal user privilege to execute reports previously designed by an advanced user.

CB/CSD Shared Folders

Additionally, the CBs/CSDs shared folder is included in here. With this folder, CBs/CSDs can share reports with other CBs/CSDs.

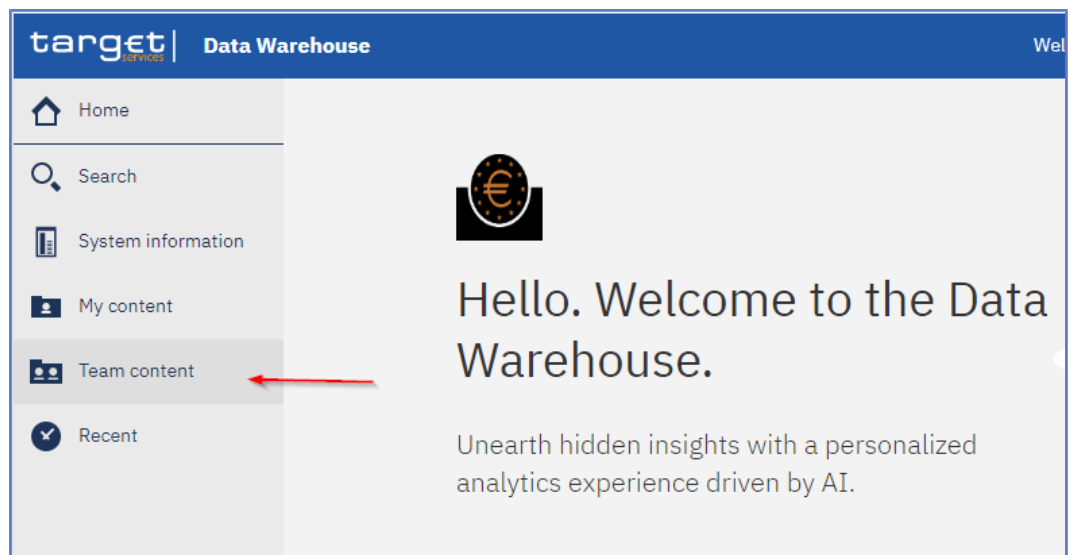


Illustration 347: Welcome page - Team content

You can see the following content within “*Team content*”.

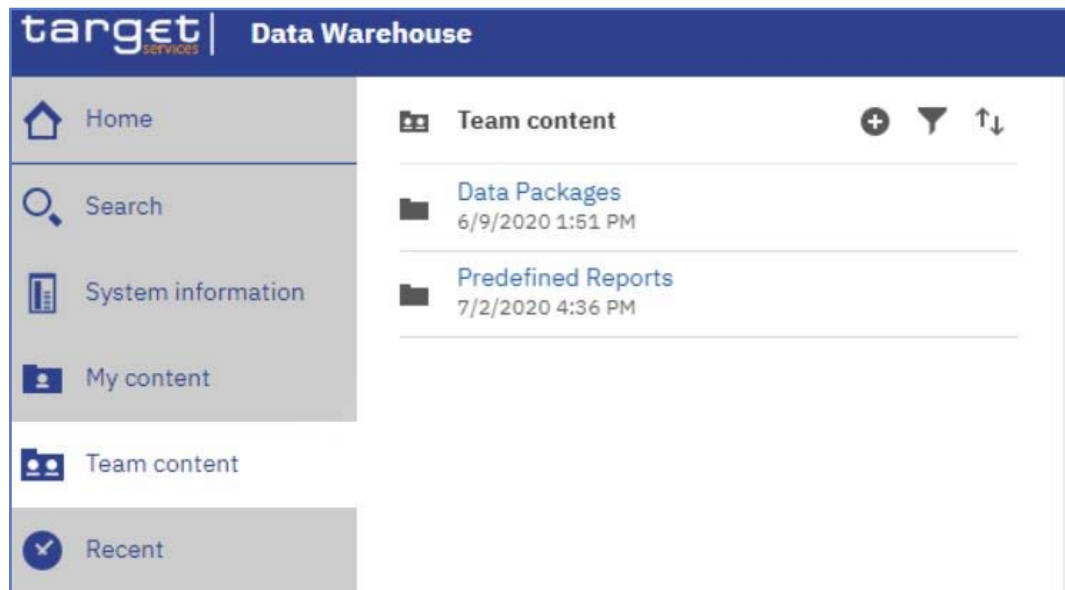


Illustration 348: Welcome page- Team content - folder structure

In case you are a CB/CSD user, you will see this structure in “*Team content*”:

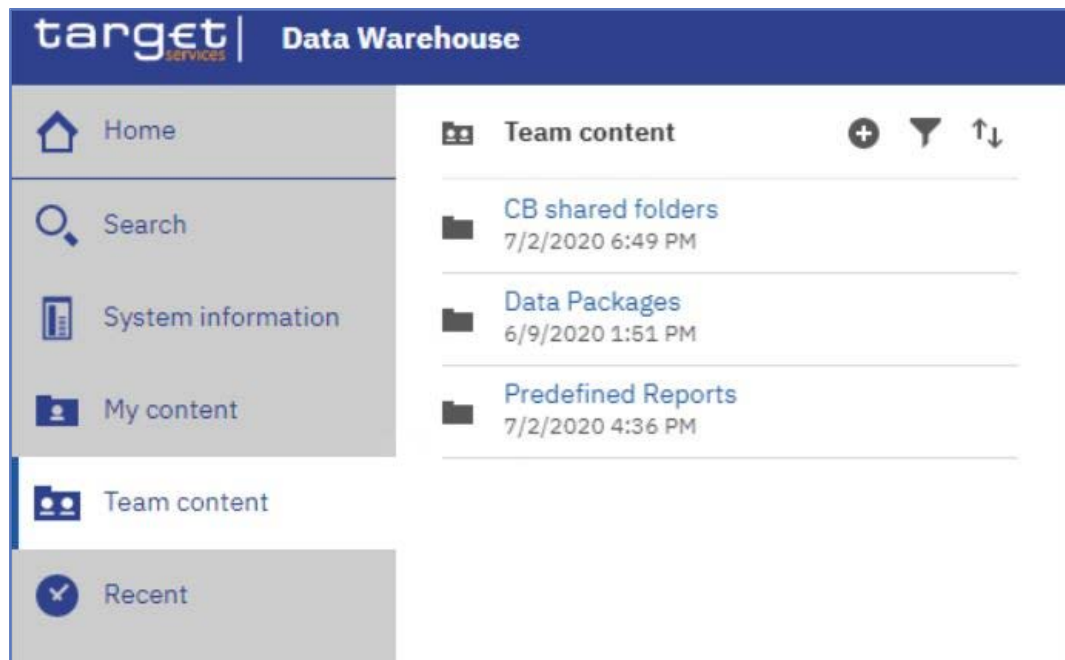


Illustration 349: Welcome page - Team content - folder structure (CB user)

In the “*CB/CSD shared folders*” the CB/CSD user can find the folders for sharing reports within its own CB/CSD as well as the folder for sharing reports with other CBs/CSDs.

Data Packages

In the “*Data Packages*” folder, you can find the data model that was used to create the reports. This is an information item only (showing the date and time of the last update of the data model).

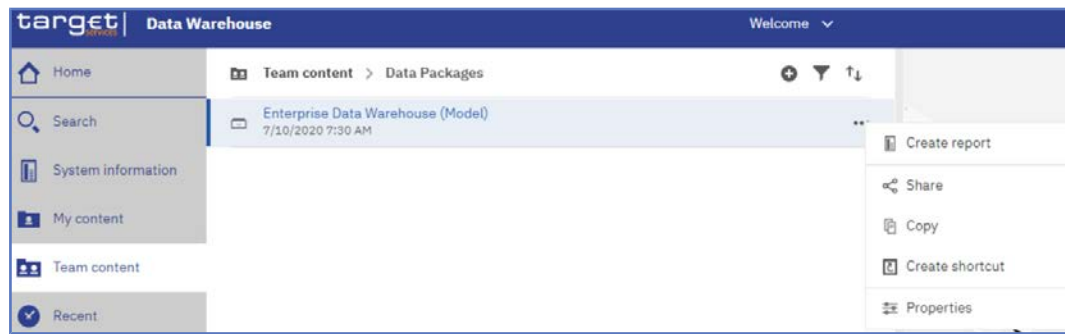


Illustration 350: Welcome page - Team content - Data Packages

Predefined Reports

In the “*Predefined Reports*” folder you can find the subfolders containing the predefined reports.

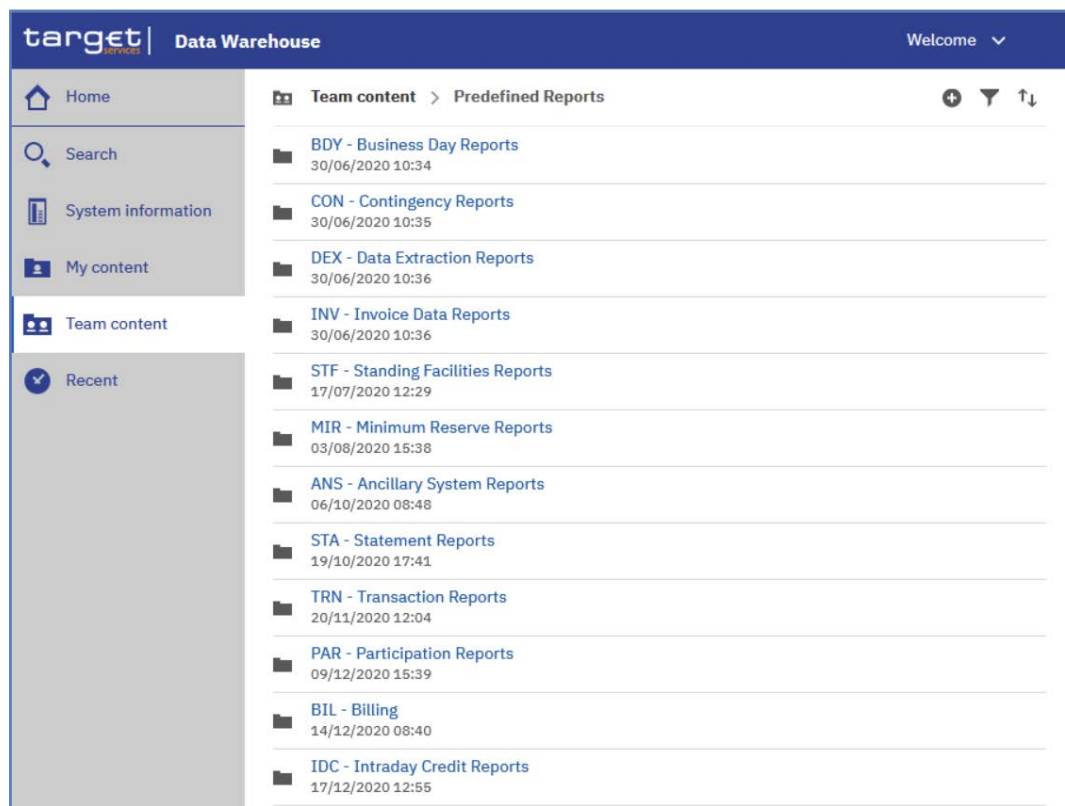


Illustration 351: Welcome page - Team content - Predefined reports

Example

Under “*ANS – Ancillary System Reports*” you can find the predefined reports “*ANS01 – Overview of AS transfer orders*” and “*ANS02 – Daily average of AS transfers*”.

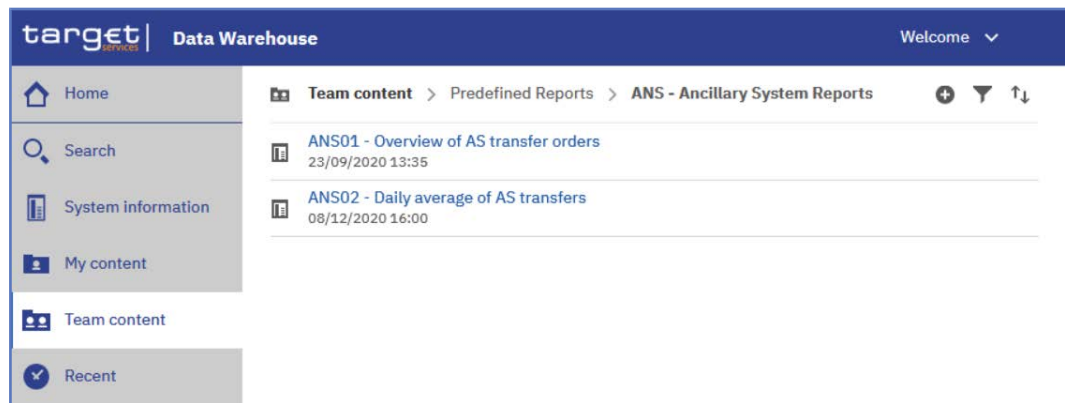


Illustration 352: Welcome page - Team content - Predefined Reports - ANS - Ancillary System Reports

The normal user has the same capabilities, except from the “Create report”.⁵¹

5.3.4.2 My content

This is your private area where only you can see the content that is stored there. At start, the folder is always empty.

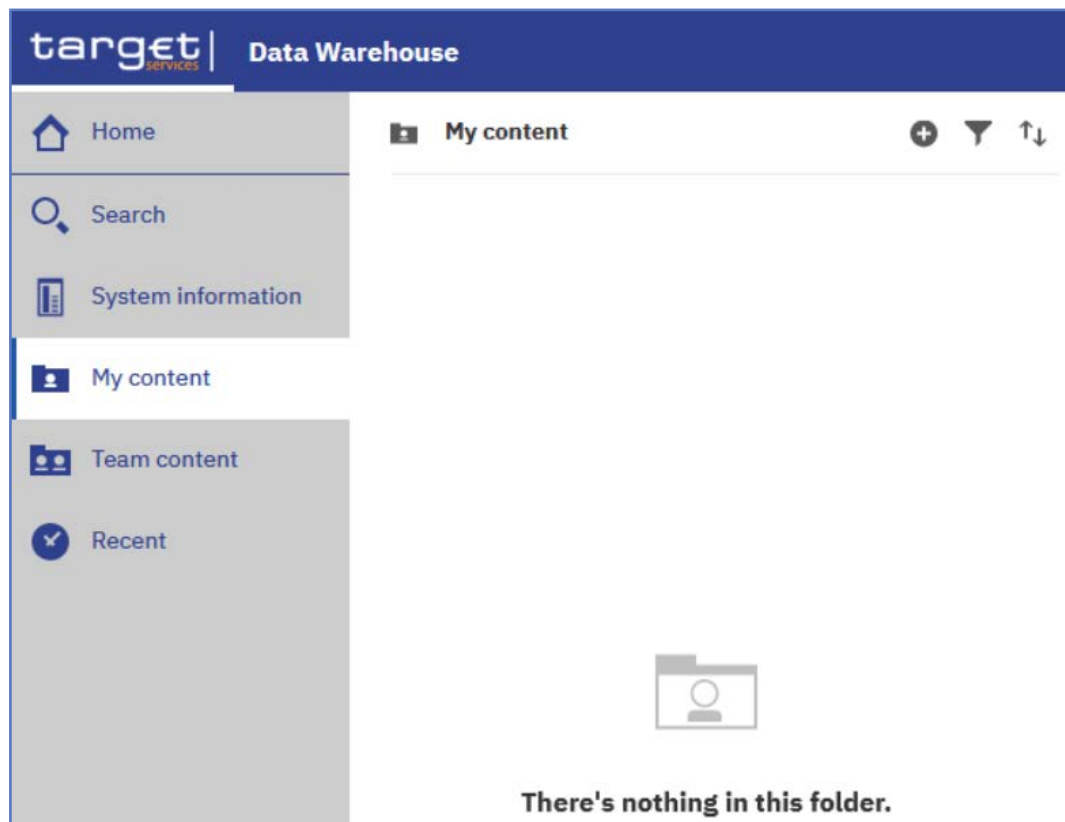


Illustration 353: Welcome page – My content⁵²

51 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

52 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

5.3.4.3 Add a new folder

Open “My content” or “Team content” and click on the “+” sign in the toolbar and then on “New Folder”.

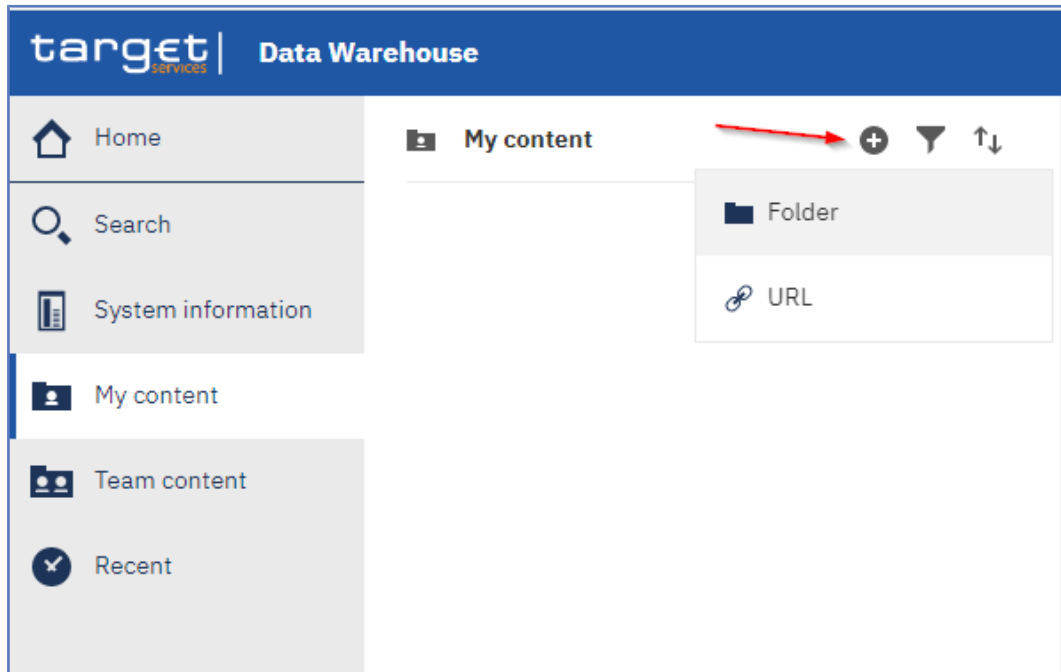


Illustration 354: Welcome page – My content – Add folder

New Folder

After click on the “Folder” icon, you must enter a name for the new folder you want to create.

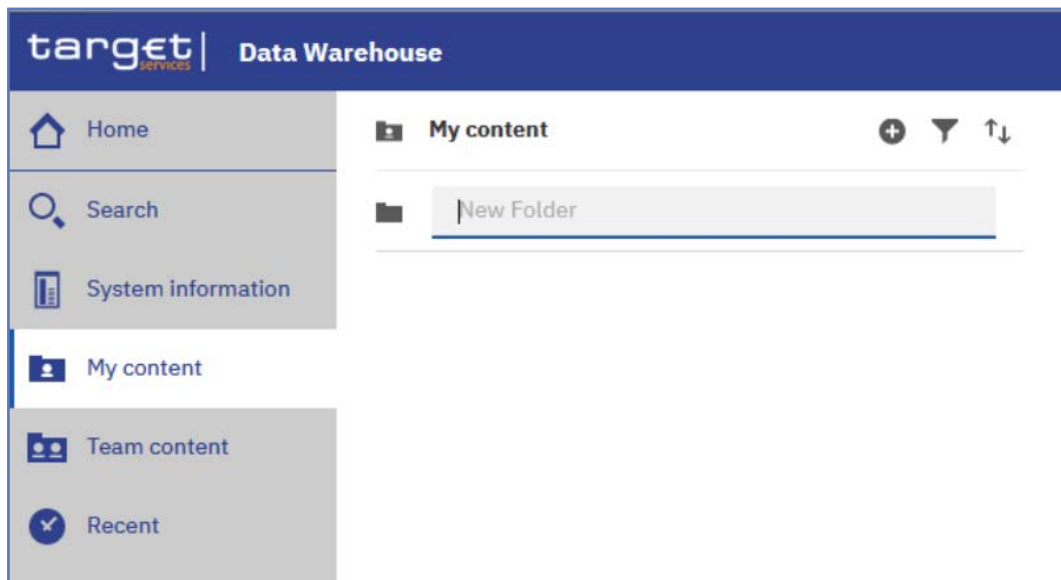


Illustration 355: Welcome page – My content – New Folder

Press “Enter” and the new folder is created. ⁵³

53 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

5.3.4.4 Sort the content

Open “*My content*” or “*Team content*” and click on the “*Sort*” icon in the toolbar. You can sort by name, the latest modification date or type of the content. In addition, you can decide if you want to see the ascending or descending order of your items.

Sorting Order In the following screenshot, the folders are sorted by name in ascending order.

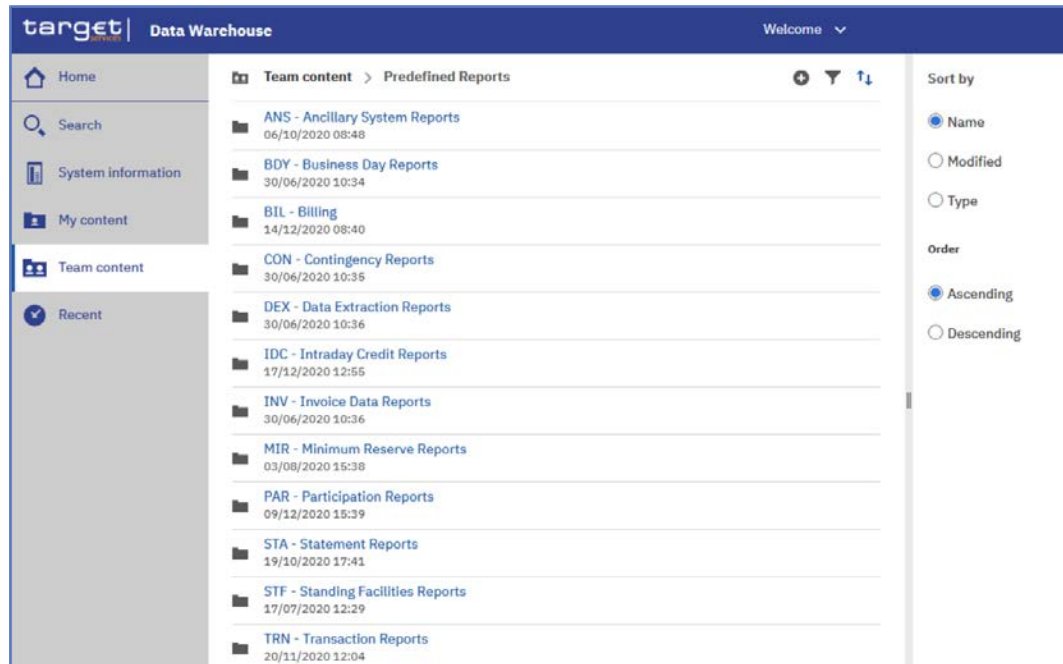


Illustration 356: Welcome page – My content – sort in ascending order

On the contrary, in this screenshot the folders are sorted by name in descending order.

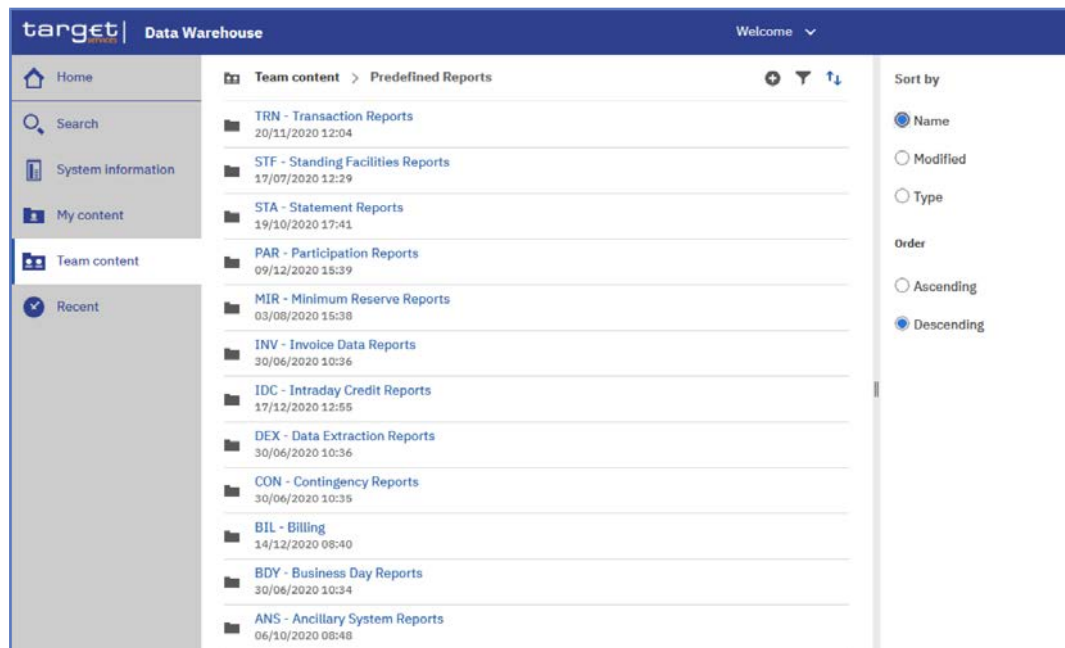


Illustration 357: Welcome page – My content – sort in descending order ⁵⁴

5.3.4.5 Filter the content

Open “My content” or “Team content” and click on the “Filter” icon in the toolbar. You can choose the type, the modified time or provide one or multiple keywords of the item you are looking for.

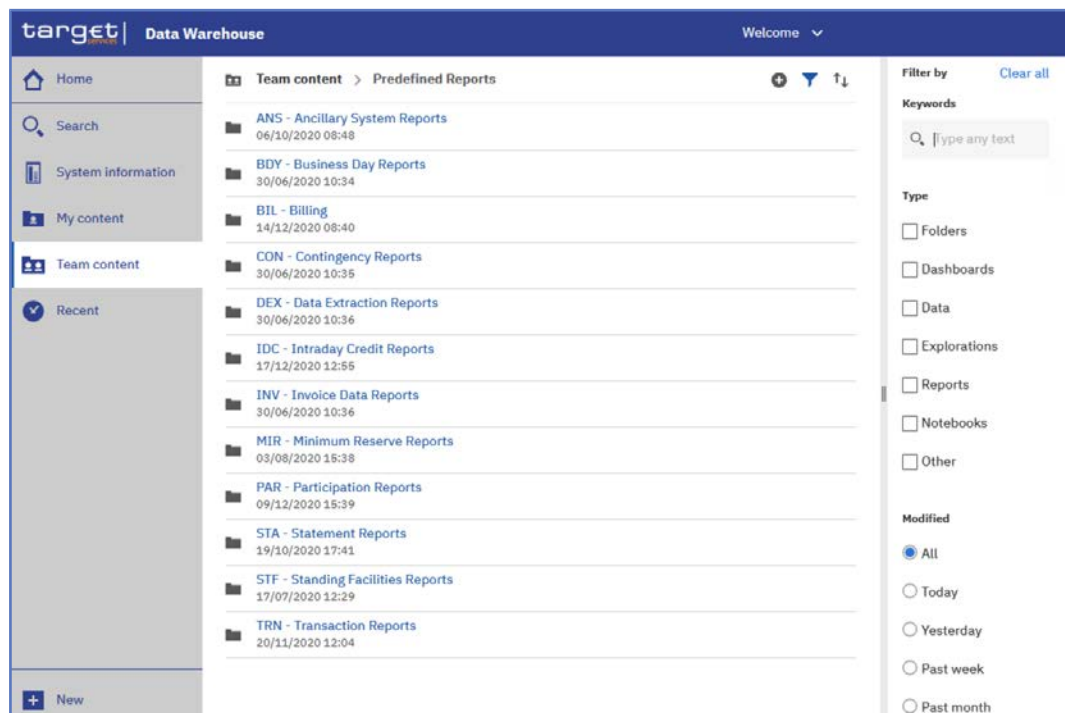


Illustration 358: Welcome page – Team content – Predefined Reports – filter options

54 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

Example

You want to search for a folder in combination with a keyword “STF”. The following result is shown:

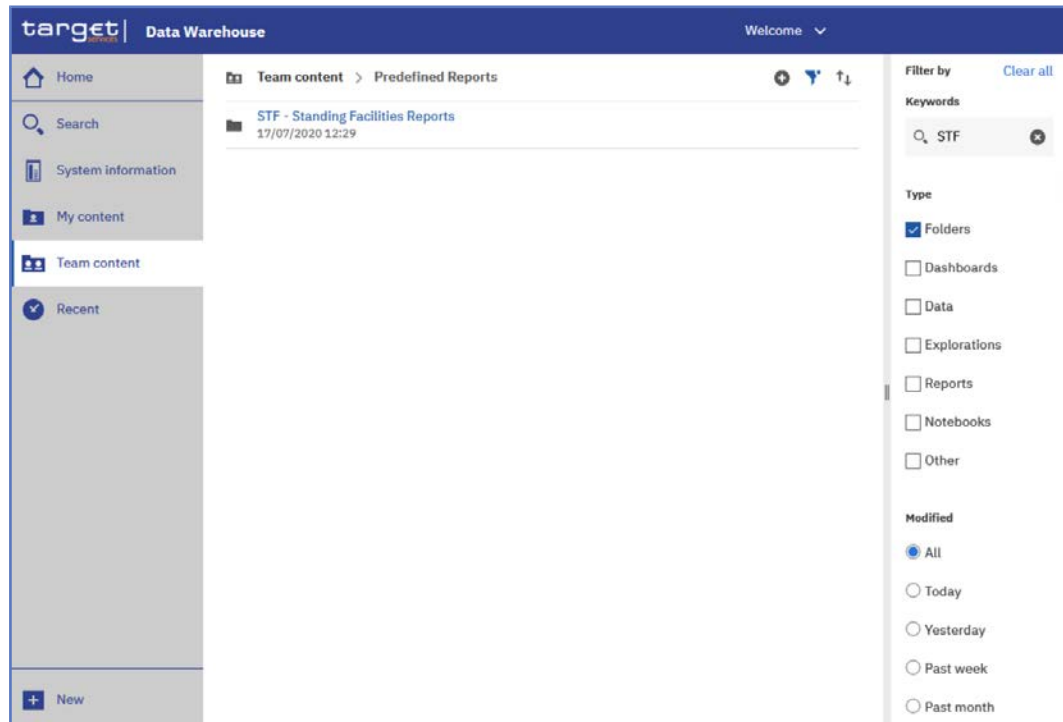


Illustration 359: Welcome page – My content – filter options – filter by folders ⁵⁵

5.4 Working with reports

5.4.1 Copy, save and export

This chapter gives you a step-by-step description on how to copy the report to your own folder or to save or export the data. ⁵⁶

5.4.1.1 Copy a report

Context of Usage

A copy is an independent version of the original report. Any changes to the implementation due to e.g. CRs in the original report will not affect the report results from the copied version. Deleting the original report will not affect the copy. This is the difference to the report view (see chapter Creating a report view [▶ 1500]) which remains linked to the original report. You can save a report as a copy in “My content” folder, or if the user is a member of a Central Bank or CSD, in the CB/CSD shared folders as well:

Instructions

1. Navigate to the Team content >> Predefined Reports and select the folder with the desired report.

⁵⁵ This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

⁵⁶ This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

2. Choose the report that should be copied and click on the “More” icon at the right of the report and select “Copy”.
 - ⇒ A window pops up to save the report. You can save the reports in the “My content”, or in the CB shared folders, by clicking on the “Copy to” button you move a copy of the report to your own working space.

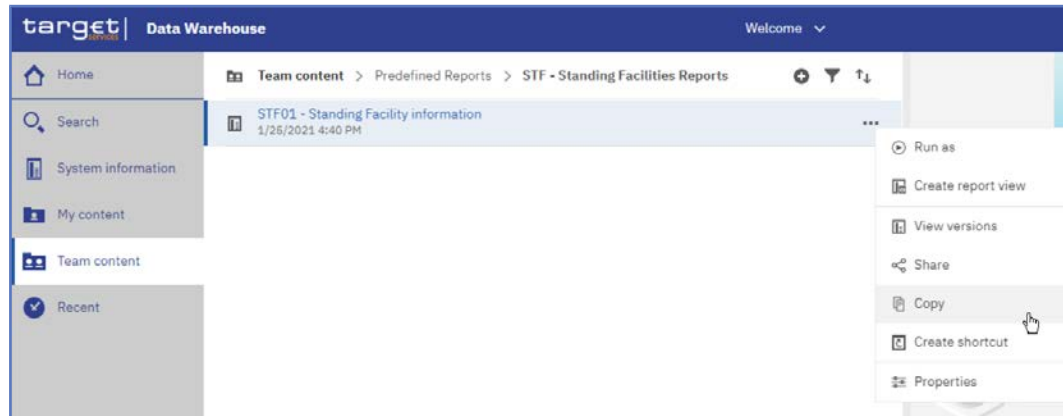


Illustration 360: Team content - Predefined Reports - STF - Standing Facilities Reports – STF01 – Standing Facility information- Copy



Hint

If you a CB/CSD user, you can also copy the report into the CB/CSD shared folder or CB/CSD – “Country code shared” folder, which can be found in the path: Team content >> CB (CSD) Shared folders. Both folder are only visible for CB/CSD user. Afterwards you can find the report in the respective folder. ⁵⁷

5.4.1.2 Creating a report view

Context of usage

The report view is the same report as the predefined report, but has different properties such as prompt values, schedules, delivery methods, run options, languages, and output formats. A report view is an alias of the original report and remains linked to it. If the source report is moved to another location, the report view link is not broken. If the source report is deleted, the report view link is broken and the properties link to the source report is removed. In case the report has been changed (e.g. due to a new software release), the user will be prompted with this information. The “Report view” icon changes to indicate that the link is broken and is no longer operable. In order to schedule the run of a report (see chapter Scheduling reports [▶ 1519]), you have to create a report view first.

These steps have to be executed in order to create a report view of the chosen report:

57 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

Instructions

1. Navigate to the Team content >> Predefined Reports and select the folder with the desired report.
2. Choose the report for that a view should be created and click on the “More” icon at the right of the report and select “Create report view”.
 - ⇒ A pop up window opens and you can save the report view in “My content” after entering a name and by clicking on the “Save” button.

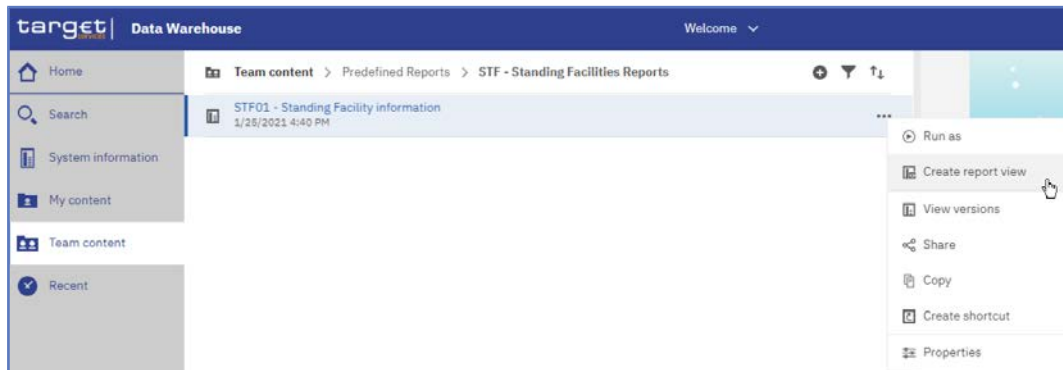


Illustration 361: Team content - Predefined Reports - STF - Standing Facilities Reports – STF01 – Standing Facility information - Create report view



Hint

If you are a CB/CSD user, you can also save the report view into the CB/CSD shared folder or CB/CSD – “Country code” shared folder, which can be found in the path: Team content >> CB (CSD) Shared folders. You can find the report view in the respective folder.

3. You can determine the source report for a report view by clicking on the properties.

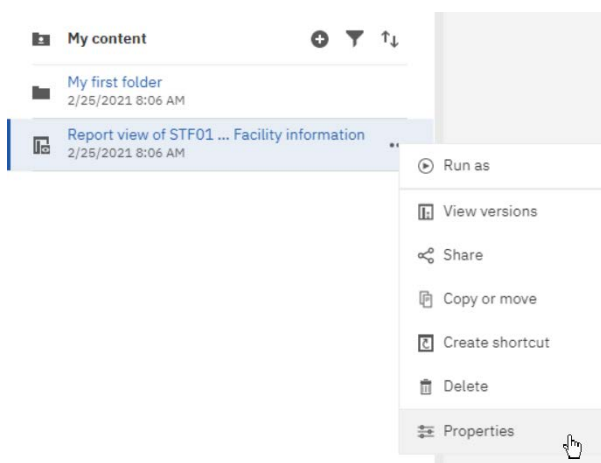


Illustration 362: My content - report view - Properties

4. Scroll down to “General”.
 - The report view properties also provide a link to the properties of the source report.

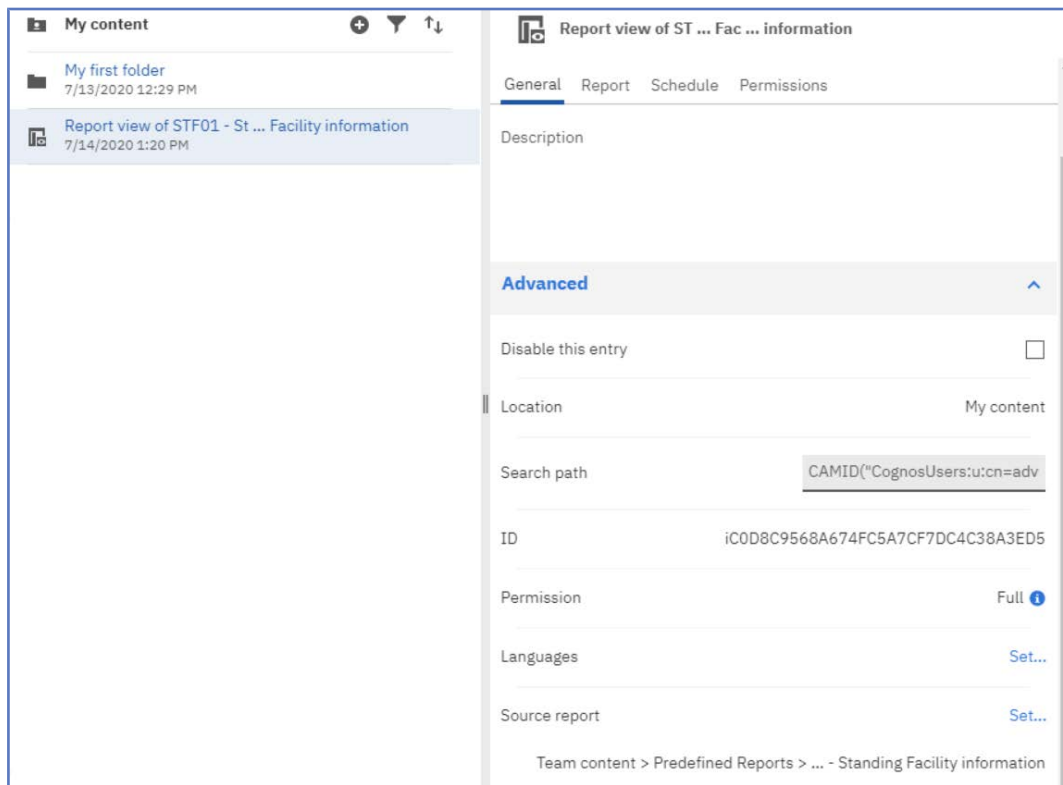


Illustration 363: My content - report view - Properties - Source report

- When the source report is deleted, the report view title is greyed and the link to the source report is missing in the properties:

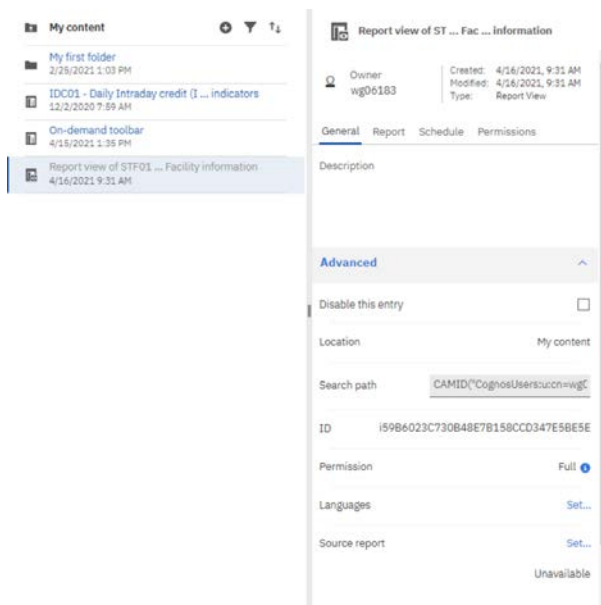


Illustration 364: My content - report view - Properties - Source report deleted

**Hint**

You will be notified by the „*notify me*“ option in case of change in your created report views. For further details on Notifications see chapter Personal menu [▶ 1457].⁵⁸

5.4.1.3 Saving a report output

Context of Usage

You can save the report output (meaning the result of the run of a report, see chapter Running reports [▶ 1506]) in DWH for future uses by creating a report view first.

Instructions

- ✓ Create a report view as described in chapter Creating a report view [▶ 1500].
 1. Navigate to the folder containing the report view you have created.
 2. Run the report as described in chapter Run a report step-by-step [▶ 1506].
 3. You can view the output by clicking on the “*More*” icon next to the report in “*My content*” and select “*View versions*”.

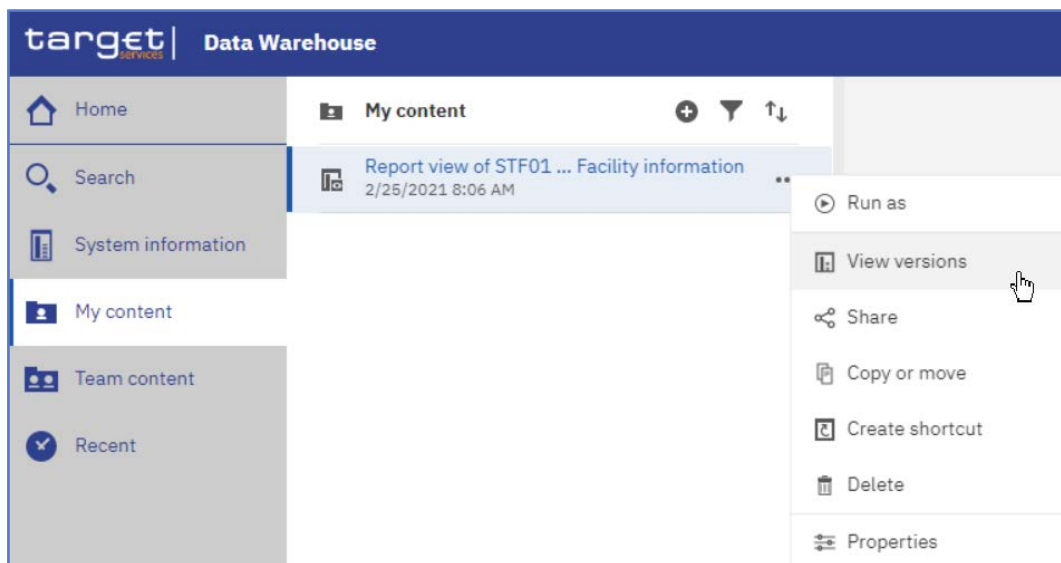


Illustration 365: My content - report view - View versions

- ➔ By clicking on the respective version you can either delete this version or view the outcome by clicking on the “*Format*” icon.

58 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

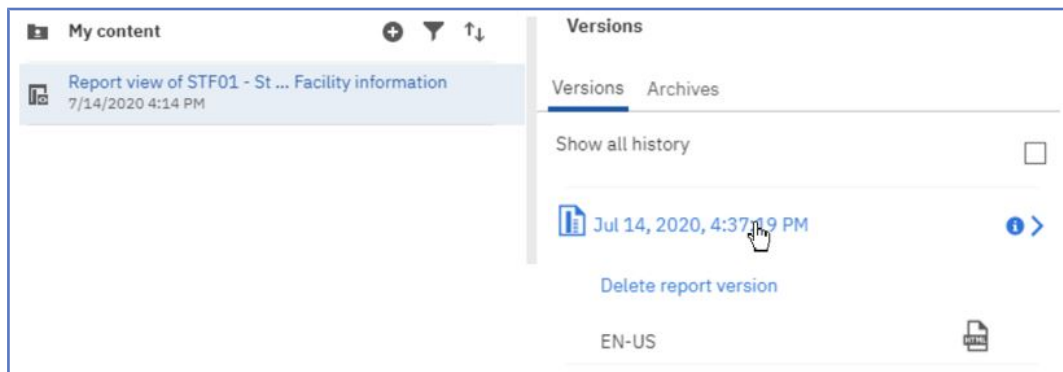


Illustration 366: My content - report view - View versions - Version - report output ⁵⁹

5.4.1.4 Exporting a report to a local folder

Context of Usage Instructions

You can export a report in csv, excel, excel data, pdf and xml format. Therefore, you have to navigate to the folder of the desired report.

1. Choose the report you want to export and click on the “More” icon in the right of the report name.
2. Select “Run as” from the list.

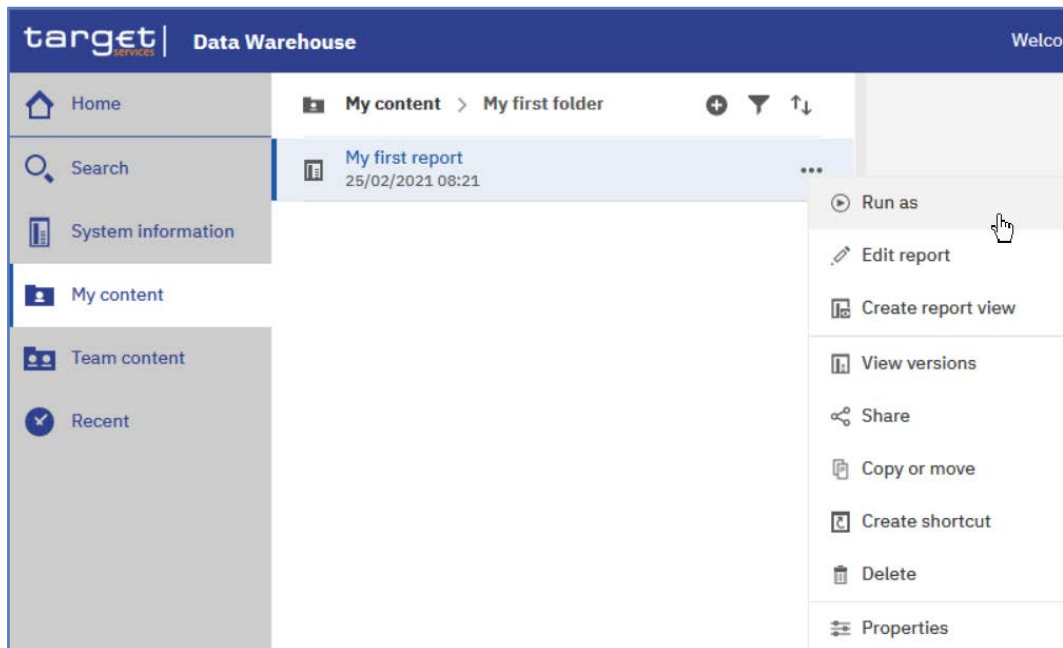


Illustration 367: Welcome page - My content - Properties

3. Select the desired format and enter the selection criteria of the report (see chapter Run a report step-by-step [▶ 1506]).
- ➔ After clicking on the “Run” button a pop-up window appears to save the report. You can download a report in csv, excel, excel data, pdf and xml format.

59 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.



Hint

The download option depends on the chosen format and the functionality of the browser you are using. In most browsers you can define, whether certain file types should be opened in the browser, a save dialog is shown or the file is directly downloaded to the default download directory. Be aware that these settings have to be done in the browser, not in the DWH GUI.

For example, if you choose to run the report as 'XML', then you cannot view the result, but only download the report in a local folder.

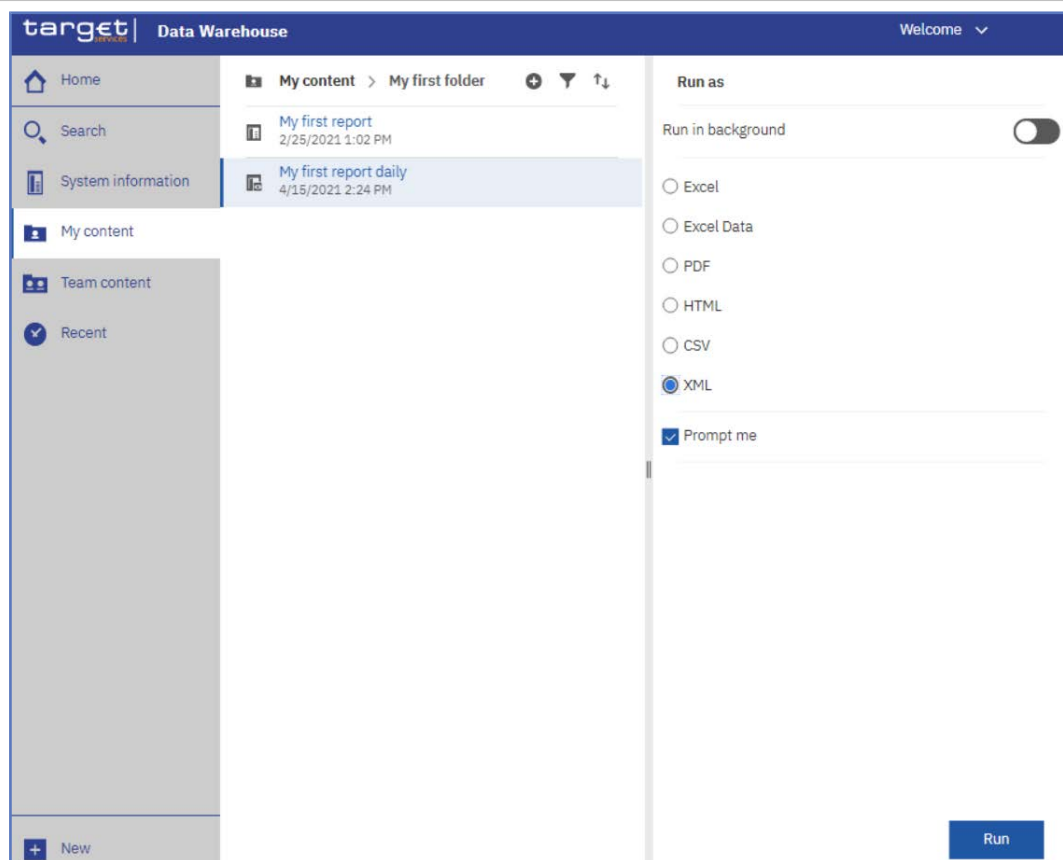


Illustration 368: Welcome page - My content – Properties-Run as XML

A pop up window appears to save the report:

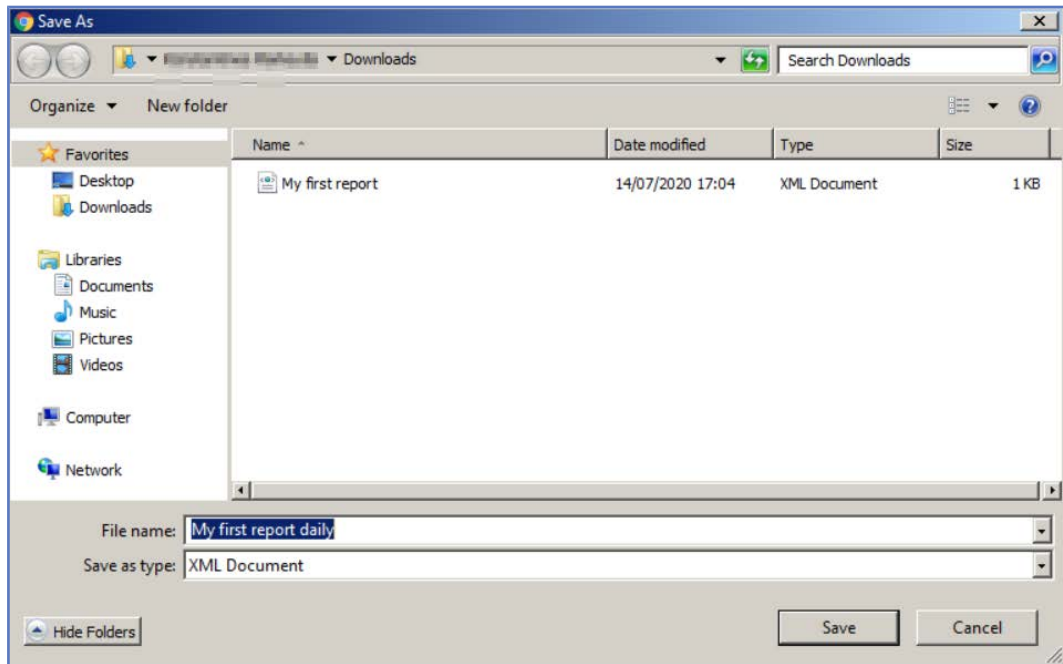


Illustration 369: Save report on local device ⁶⁰

5.4.2 Running reports

This chapter gives you an overview about how to run a report and organise its delivery. ⁶¹

5.4.2.1 Run a report step-by-step

Instructions

1. Navigate to the Team content >> Predefined Report and open the folder with the desired report.
2. Select the report you want to run. In order to run the report you can click on the report name. This action will run the report in the default DWH format, which is html.

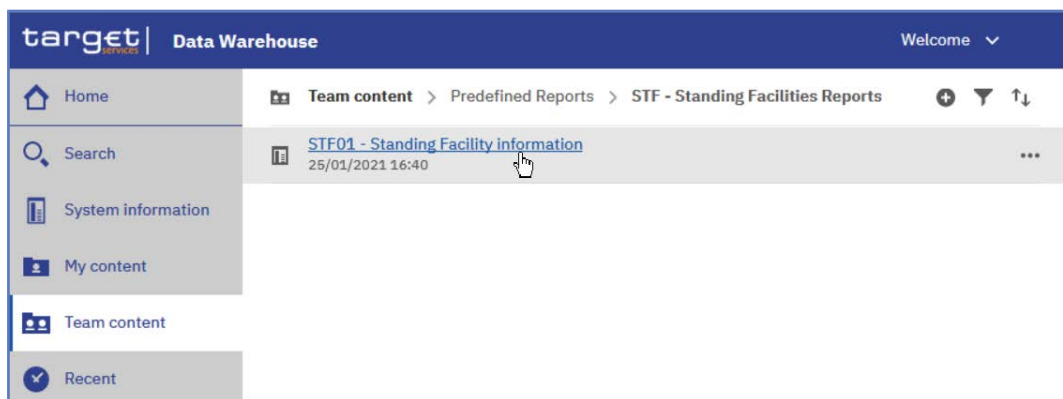


Illustration 370: Team content – Predefined reports – STF – Standing Facilities Reports

⁶⁰ This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

⁶¹ This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

- In the following screen you have to enter the search criteria in the prompt page.

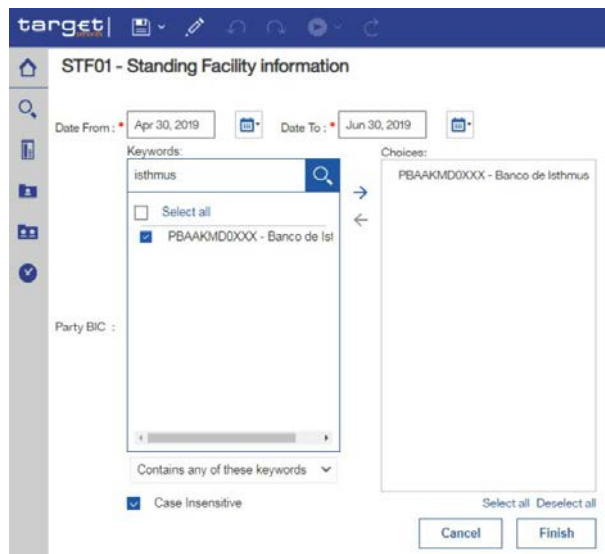


Illustration 371: Standing Facilities Reports – STF01 Standing Facility information – prompt page

- ➔ The report result is available in html format. ⁶²

5.4.2.1.1 Choose different formats

Instructions

- If you want to run the report and DWH shall provide the result in another format than html you have to click on the “More” icon on the right side.

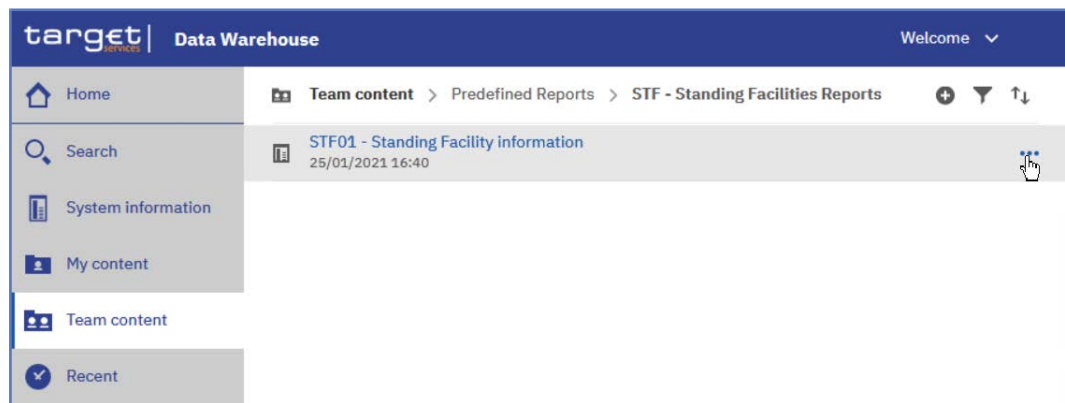


Illustration 372: Team content – Predefined Reports – STF – Standing Facilities Reports

- Select “Run as” from the list.

62 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.



Illustration 373: Team content – Predefined Reports – STF – Standing Facilities Reports – STF01 Standing Facility information – Run as (1)

3. You can choose the format you want to receive the report result and click on “Run” button.

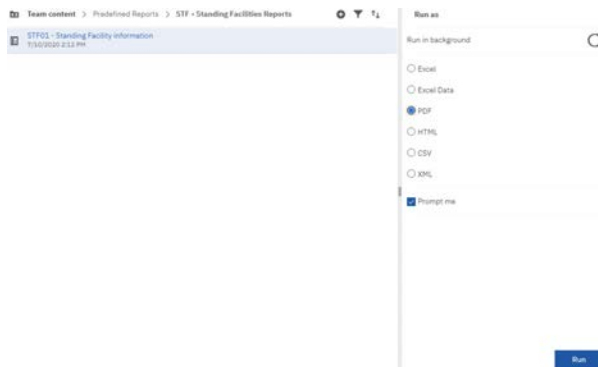


Illustration 374: Team content – Predefined Reports – STF – Standing Facilities Reports – STF01 Standing Facility information – Run as (2)

4. Also with a different format you have to enter the search criteria in the prompt page.

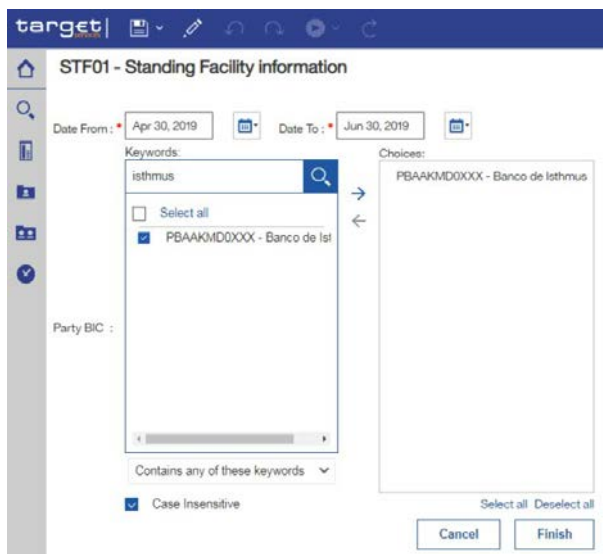


Illustration 375: Standing Facilities Reports – STF01 Standing Facility information - prompt page

- ➔ The report result is available in the chosen format, in this example in pdf format.⁶³

5.4.2.1.2 Run in background

Instructions

1. There is another option to run a report – “Run in background”. You can find and select this option under “Run as”.



Illustration 376: Team content – Predefined Reports – STF – Standing Facilities Reports – STF01 Standing Facility information – Run as

Here you can see the “Run in background” option on top of the list.

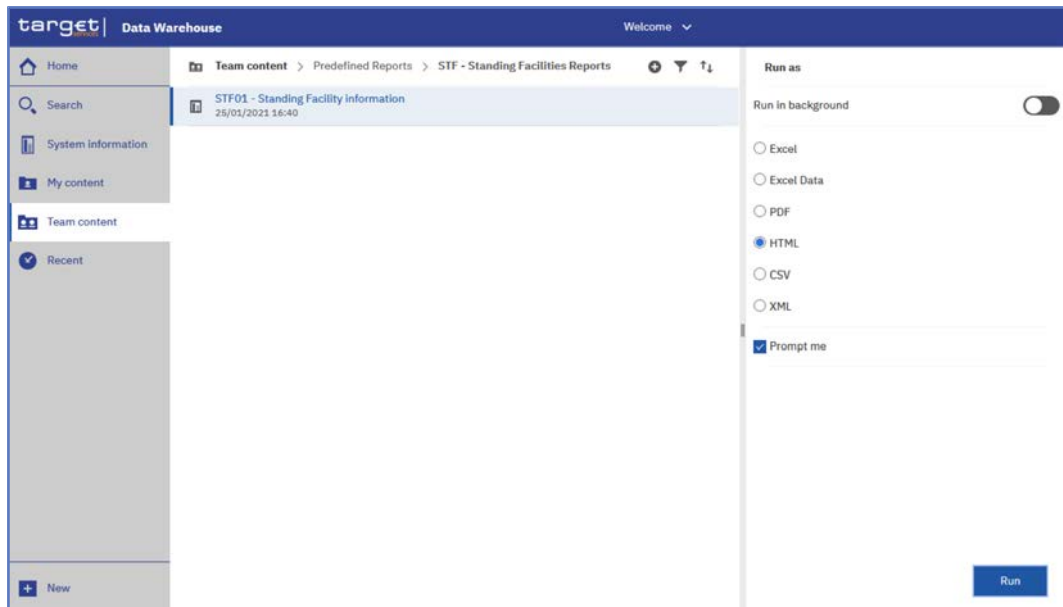


Illustration 377: Team content – Predefined Reports – STF – Standing Facilities Reports – STF01 Standing Facility information – Run as – Run in background

This option gives you the opportunity to run the report in the background without waiting for the result. Instead, you can view the report when the report is available.

63 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

In order to run the report in background, you have to enable “*Run in background*”. The icon colour turns into blue, meaning this option is enabled, and the following options are available to you:

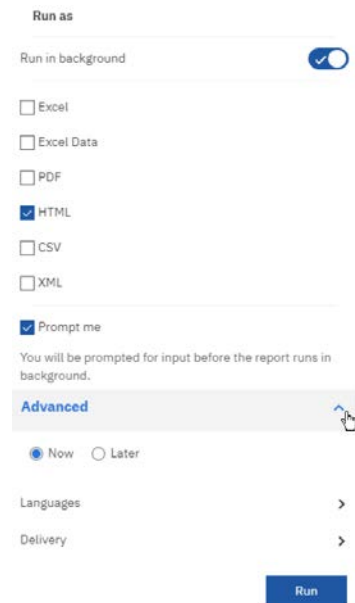


Illustration 378: Run as – Run in background – Advanced

- When you select “*Prompt me*”, you have to enter search criteria in the prompt page after you click on the “*Run*” button. If you don’t chose this, the report will run with the last set of search criteria you have used.
- You can decide if you want to run the report now or later. You can find this option by clicking on the arrow to the right of the “*Advanced*” section.

In case you select “*Now*”, the report runs directly. A message on top informs you that the prompt page will open.

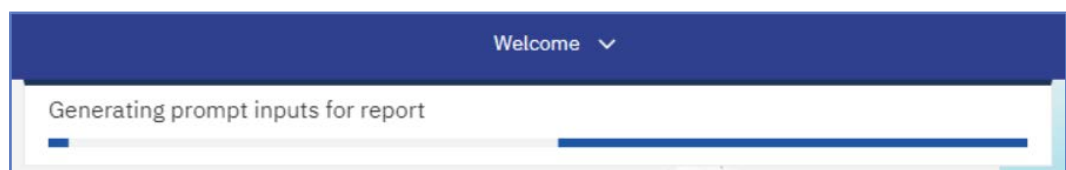


Illustration 379: Run as – Run in background - Advanced

In case you select “*Later*”, you can choose the date and time of the execution.



Illustration 380: Run as – Run in background – Advanced – Execution time – Later ⁶⁴

5.4.2.2 Delivery

Delivery options

You can deliver reports by either saving them on the “*Team content folders*”, or by saving them as an external file. You have two possibilities to deliver a report result if you want to receive the result only once. First you can save the report in DWH directly and view it after successful creation. The second option is the delivery to the application of your party.

Scheduling reports

In case a report should be delivered to you (independent from the output channel (U2A or A2A) you have to schedule a report. For this option refer to chapter Scheduling reports [▶ 1519] for further details. ⁶⁵

5.4.2.2.1 Delivery - Save report (U2A)

Instructions

- ✓ To save the report result you must click on the arrow on the right of the “*Delivery*” section. Choose “*Save report*” and click on the “*Done*” button. As soon as it is executed, the report is saved in DWH.
 1. Navigate to the respective report folder.
 2. Click on the “*More*” icon at the right of the report and select “*Run as*”.

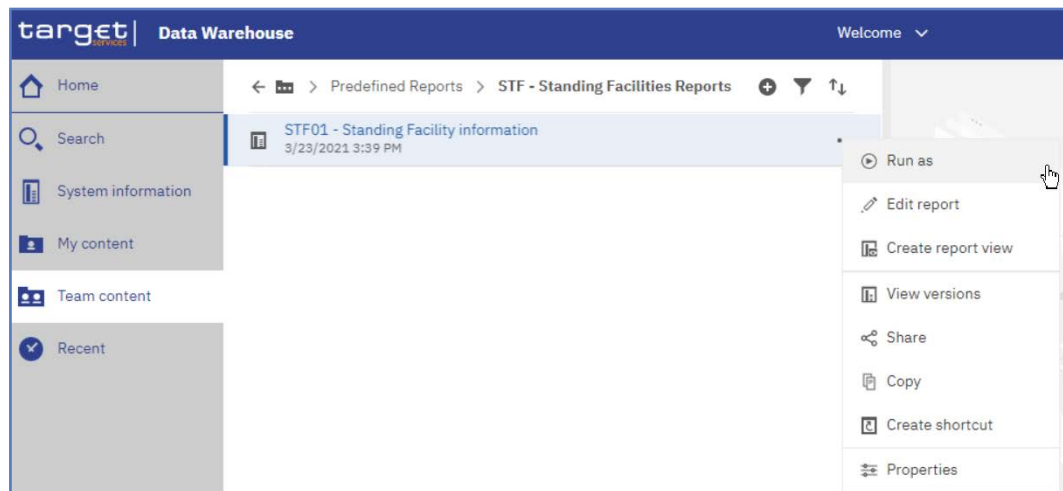


Illustration 381: Team content – Predefined Reports – STF – Standing Facilities Reports – Run as

3. Select “*Run in background*”.

65 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

Run as

Run in background

Excel

Excel Data

PDF

HTML

CSV

XML

Prompt me
You will be prompted for input before the report runs in background.

Advanced ▾

Run

Illustration 382: Team content – Predefined Reports – STF – Standing Facilities Reports – Run as – Run in background

4. Click the arrow next to “Advanced” to view the delivery section.

Advanced ▴

Now Later

Languages ▸

Delivery ▸

Illustration 383: Team content – Predefined Reports – STF – Standing Facilities Reports – Run as – Run in background – Advanced

- ➔ Click the arrow next to the “Delivery” property, uncheck the “Print report” property, and check the “Save report as an external file”. Finally, click on “Done”.

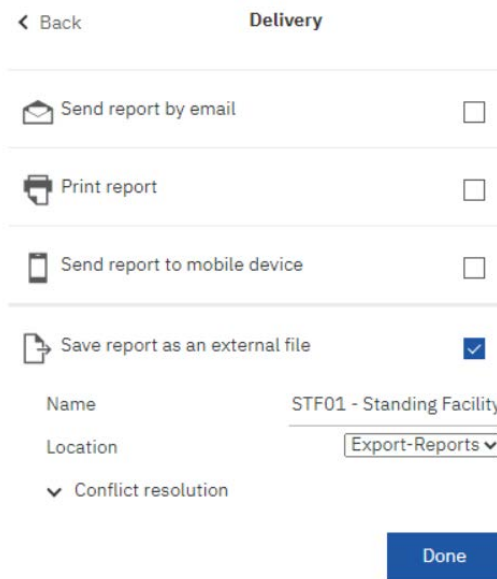


Illustration 384: Team content – Predefined Reports – STF – Standing Facilities Reports – Run as – Run in background – Advanced – Save report as an external file ⁶⁶

5.4.2.2.2 Delivery - Save the report for an external file (A2A)

For the A2A delivery please follow the steps described hereafter.

Instructions

1. You navigate to the respective report folder as described in chapter Copy a report [▶ 1499].
2. Click on the “More” icon at the right of the report and select “Copy”.



Illustration 385: STF01 Standing Facility information - More options

3. A window pops up where you have to enter a storage location for your copy. In this example, the report is copied to “My content”.

66 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

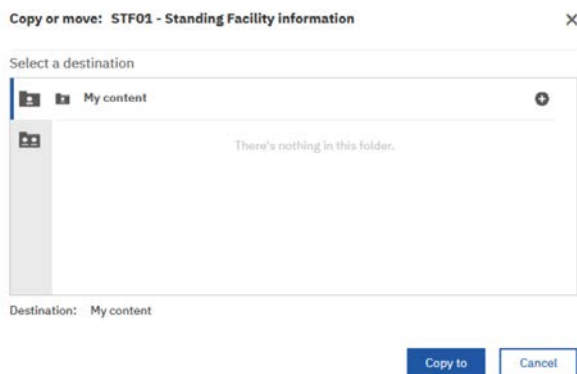


Illustration 386: STF01 Standing Facility information - Copy

4. Navigate to the folder where you have copied the report. In this example, the folder is "My content".

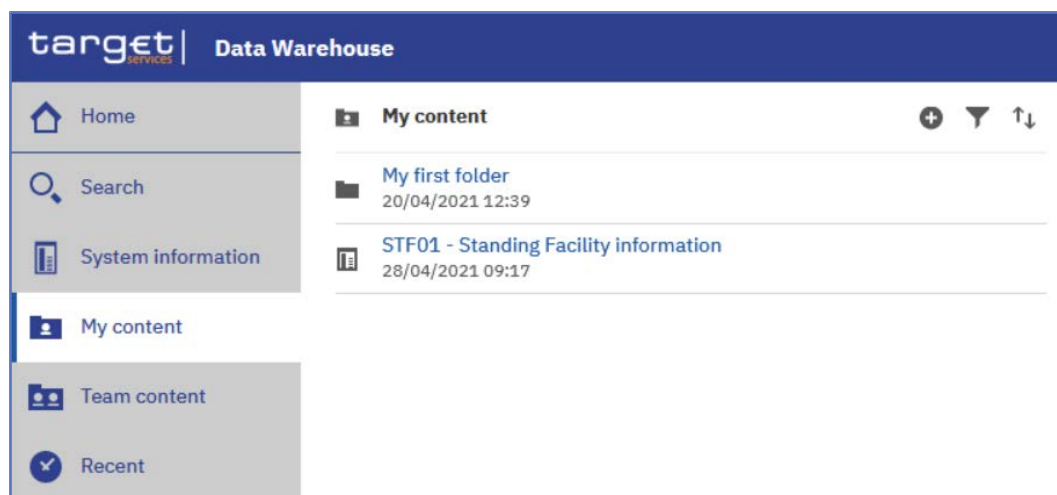


Illustration 387: STF01 Standing Facility information – Copy to My content

5. Find the report and click on the "More" icon at the right of the report and confirm by clicking "Run as".

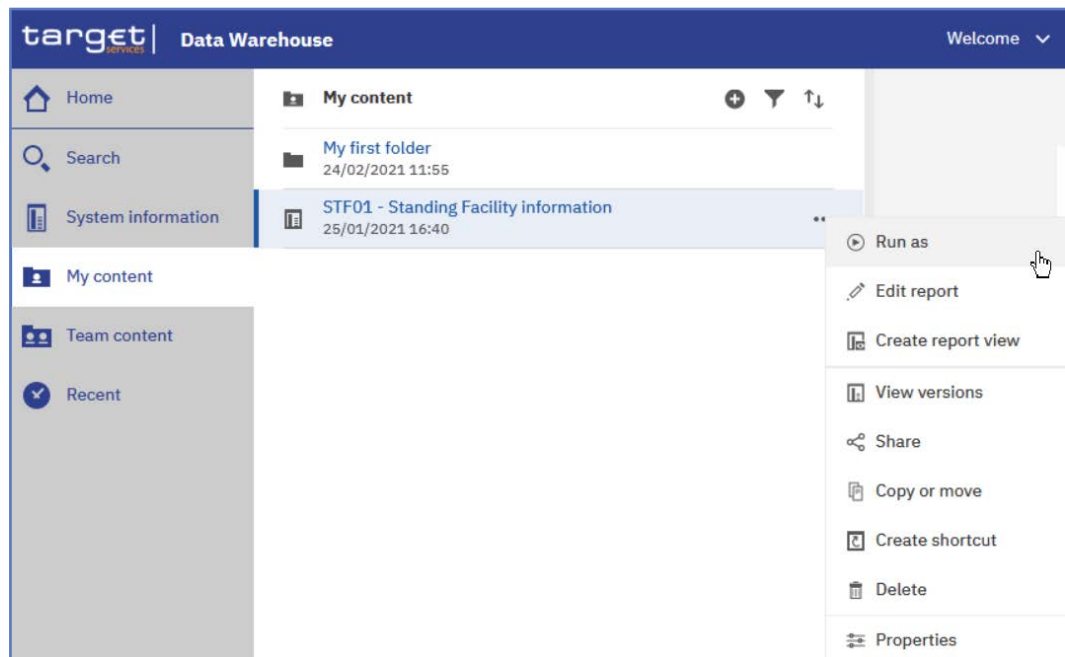


Illustration 388: My content - STF01 Standing Facility information - Run as

6. Perform the same action as explained in chapter Run a report step-by-step [▶ 1506] for “Run in background”.

2. Check the “Run in background” button:

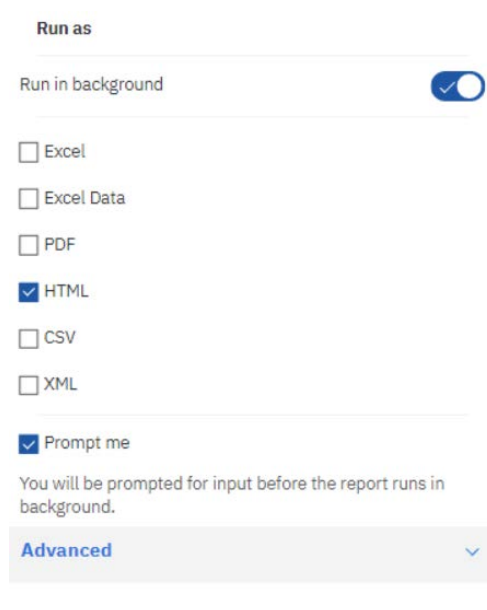


Illustration 389: My content - STF01 Standing Facility information - Run as – Run in background

8. For delivery in A2A you can choose the following formats: csv, excel, xml.

2. You are free to select “Advanced” “Now” or “Later” and add a date and time of the execution.

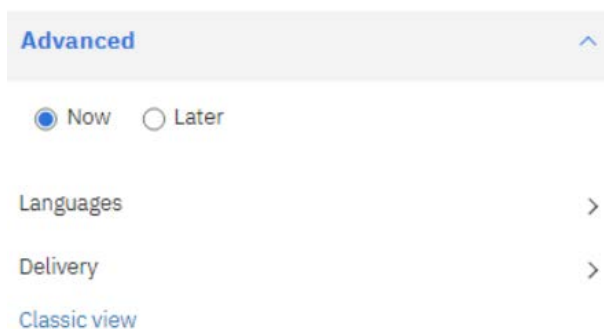


Illustration 390: My content - STF01 Standing Facility information - Run as – Advanced – Now or Later

3. For “*Delivery*” you have to select the option “*Save report as an external file*”.

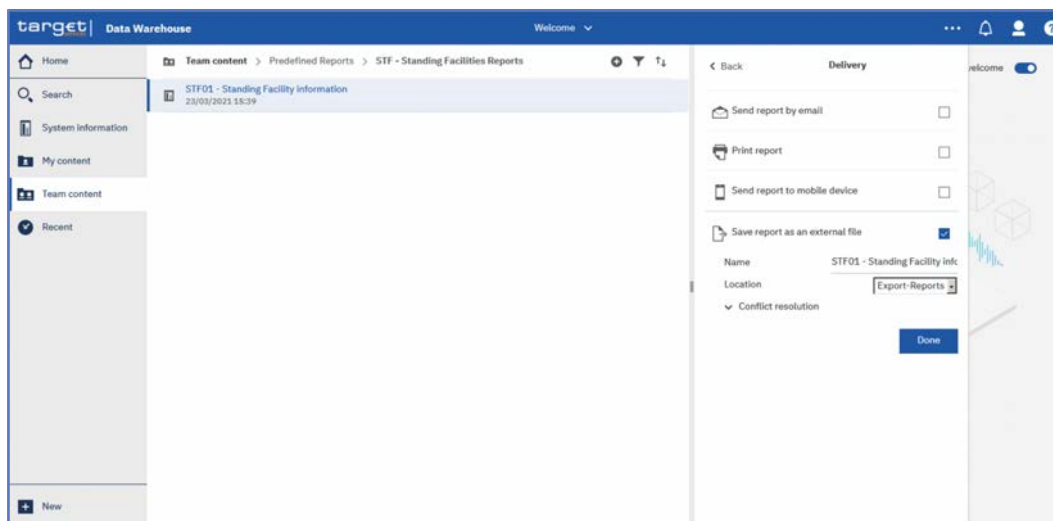





Illustration 391: My content - STF01 Standing Facility information - Run in background - Advanced Delivery


11. After you activated the “*Save report as an external file*” checkbox, further options are presented.

< Back Delivery

 Send report by email

 Print report

 Send report to mobile device

 Save report as an external file

Name STF01 - Standing Facility infc

Location Export-Reports ▾

▼ Conflict resolution

[Done](#)

Illustration 392: My content - STF01 Standing Facility information - Run in background - Advanced Delivery - Save report as an external file

The “*Name*” field presents the name of the report. The default value is the name of the report, as it is known in DWH.



Hint

Do not change the default value in the “*Location*” field, as this is the place where the report is stored before it is delivered to your party via A2A. Changing the default value in the “*Location*” field will result in reports not being send via A2A. The folder is not accessible via the GUI and only for storing the report before it is delivered via A2A.

12. Click on the “*Done*” button.

2. Click on the “*Run*” button.

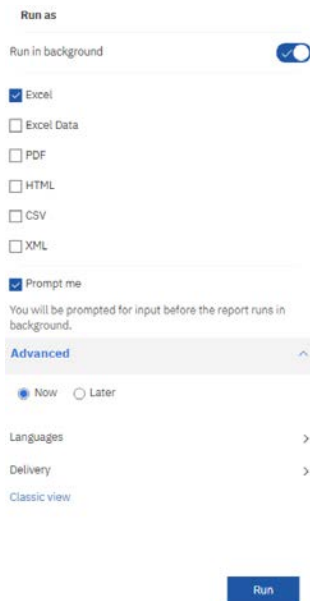


Illustration 393: My content - STF01 Standing Facility information - Run in background - Advanced Delivery – Run the report

14. Enter the search criteria in the prompt page.

STF01 - Standing Facility information

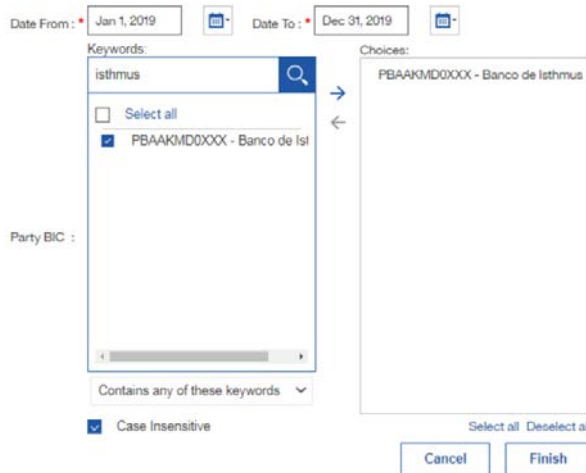


Illustration 394: My content - STF01 Standing Facility information - Run in background - Advanced Delivery – Enter search criteria

2. Click on the “Finish” button.

- ➔ The report will be executed and the result will be sent via A2A in the chosen format to your party.
- ➔ Or you run your report in the background with the delivery “Save the report as an external file”.⁶⁷

67 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

5.4.3 Scheduling reports

5.4.3.1 Scheduling the report for a specific time

Context of Usage

The DWH offers a scheduler function, which allows the configuration and delivery of (recurring) reports with individual settings.

This chapter describes the steps that you perform to schedule a report and to check the scheduling afterwards.

After saving the report in “*My content*” or, for CB/CSD users, under the shared folder of your CB/CSD, you have to choose the report you want to schedule and create a report view of this report (see chapter Saving a report output [▶ 1503]).

After creating the report view you can start to schedule your report.

Instructions

1. Choose the report you have saved as a report view, and click on the “*More*” icon at the right of the report.
2. Click on “*Properties*”.

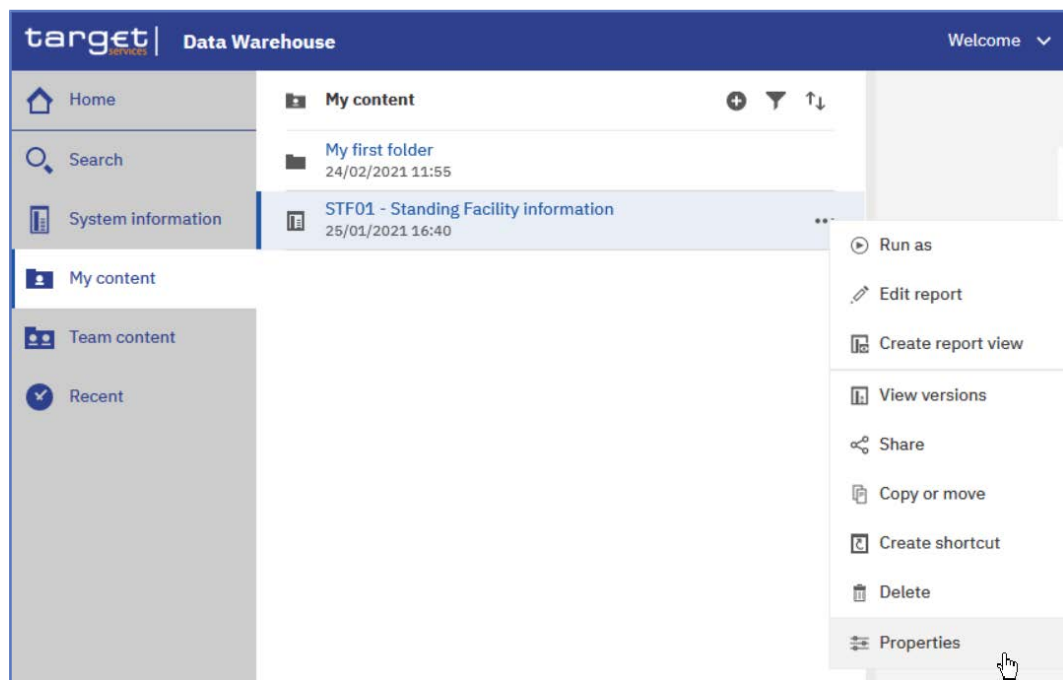


Illustration 395: My content - report view - Properties

3. A description of your report is shown and you can add a description under “*General*”.

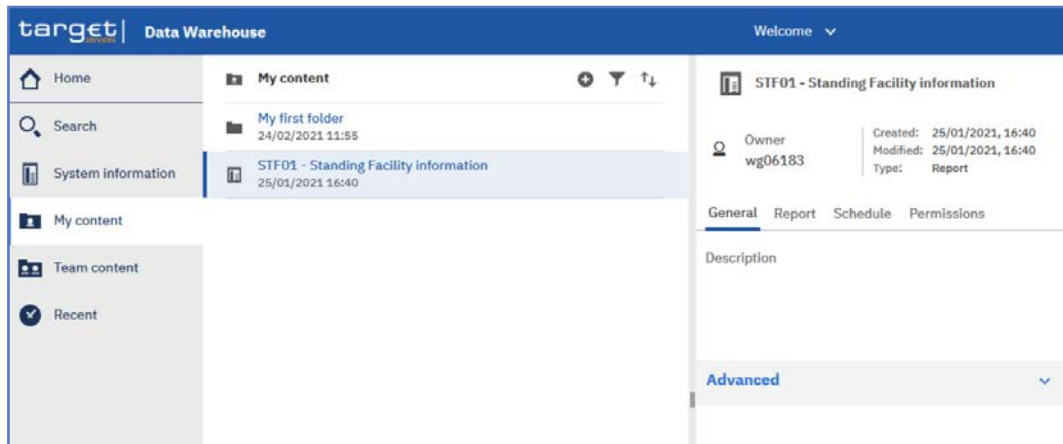


Illustration 396: My content - report view - Properties – General - description of your report

4. In the “Schedule” tab you will find the “New” icon.

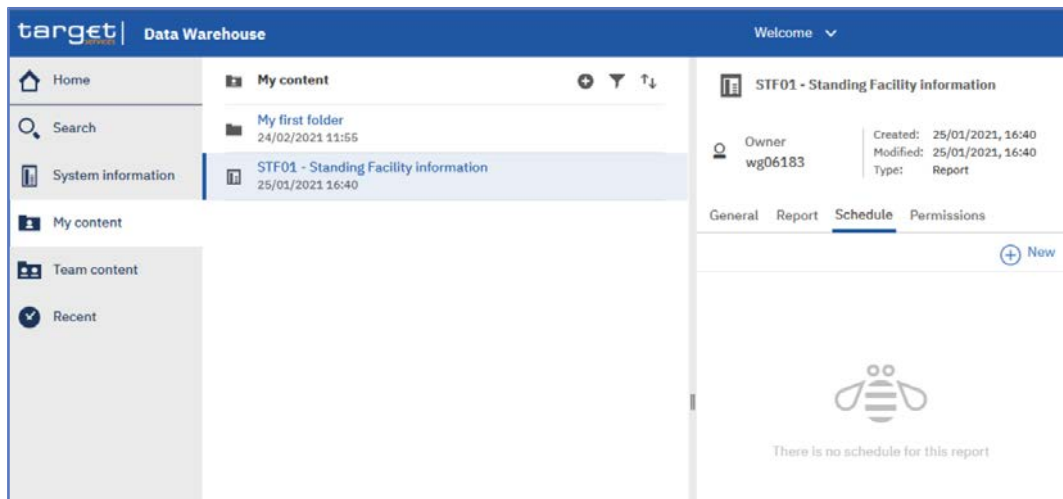


Illustration 397: Welcome page - My content - report view - Properties - Schedule

5. By clicking on the “New” icon, the “Schedule” is opening where you have multiple options to choose for scheduling your report.
6. You can set a variety of options when scheduling a report: the frequency the report will be run, the “Period” of the schedule, the format in which the report will be delivered, and the delivery method.

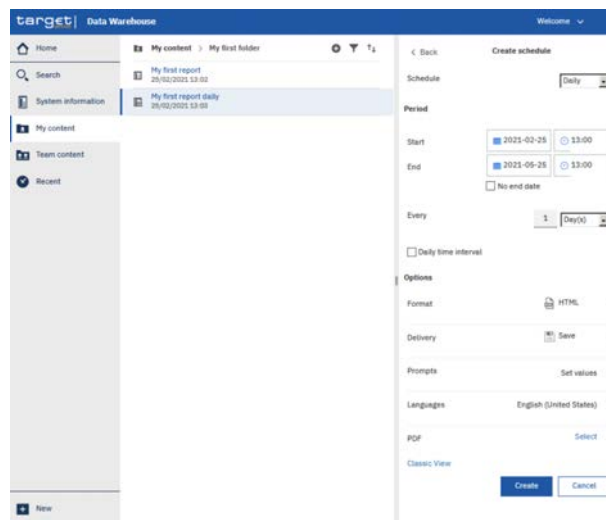


Illustration 398: Welcome page - My content - report view - Properties - create schedule (2)

The following options are mandatory for scheduling. Please note, that especially the prompt values have to be set, otherwise the report will not be generated.

Schedule: The frequency, which the report will be run, daily, weekly, monthly, yearly.

Period: Choose the start and the end date of the schedule. Alternatively, by clicking on “*No end date*” the schedule will run indefinitely.

Format: The type of format the report will be executed to, HTML, csv, excel, excel data and xml. For further information, you may check the chapter Run a report step-by-step [▶ 1506].

Delivery: You may choose if you want to save the report in Cognos or as an external file. For further information go to chapter Delivery - Save report (U2A) [▶ 1511].

Prompts: By clicking on “*Set values*”, and then “*Set*” you will be presented with the prompt page of the report, where you have to enter the selection criteria.

Languages: Since the only language in the database is English, nothing will change in case you define another language.

PDF: By clicking on “*Select*” you may choose the orientation or the paper size.

In this example, you see a daily schedule with no end date, HTML as an output, and save the report in DWH.

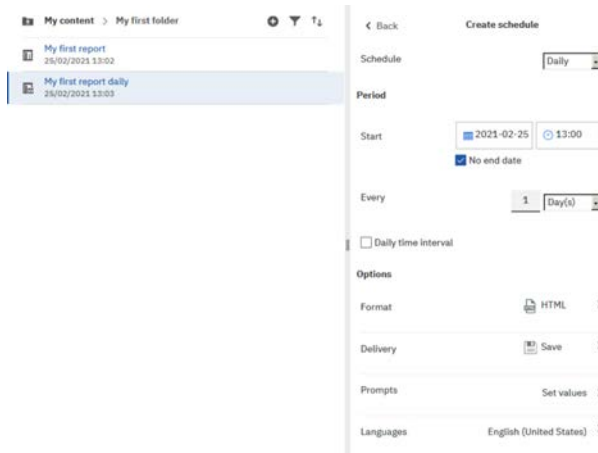


Illustration 399: Welcome page - My content - report view - Properties - create schedule (3)

In case you want to receive the scheduled reports via A2A you have to choose “*Delivery - Save report as external file*” and follow the information provided in chapter Delivery - Save report (U2A) [▶ 1511].

Instructions

7. After you have entered all mandatory information, click on the “*Create*” button and the schedule is created.

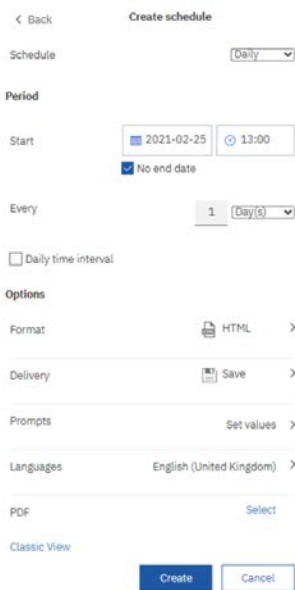


Illustration 400: Welcome page - My content - Properties — Schedule

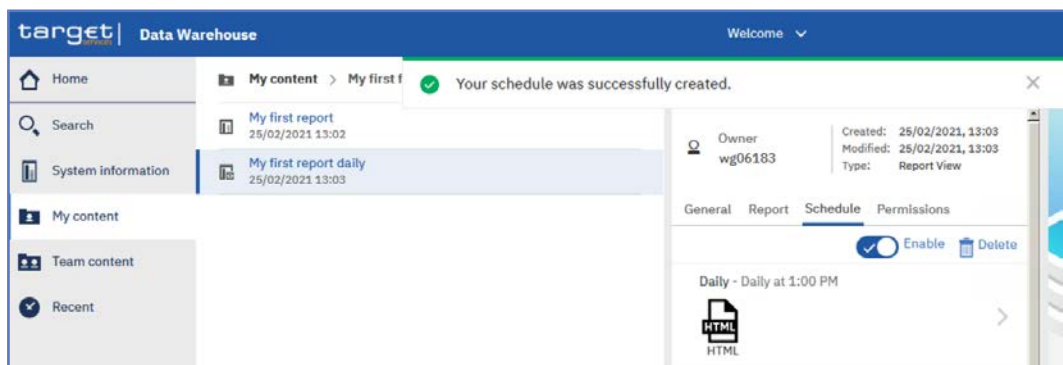


Illustration 401: Welcome page - My content - Properties – Schedule

The option “*Enable*” informs the user that the schedule is active. By unchecking it, the user cancels the schedule. ⁶⁸

5.4.3.2 Scheduling the report for a specific DWH event

Context of Usage

You can also schedule a report to be executed shortly after the new data of the last business day was loaded into the DWH. One dedicated DWH internal event will be triggered that starts the execution of the report and either stores it in the “*My content*” folder or sends it out via A2A.

Instructions

1. Choose the report you have saved as report view, and click on the “*More*” icon at the right of the report.
2. Click on “*Properties*”.

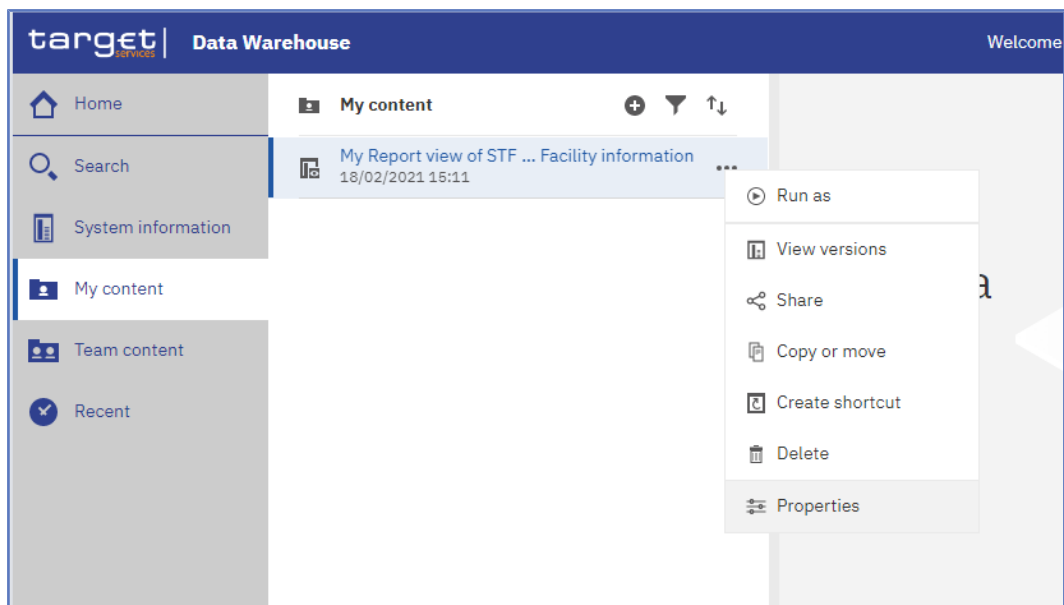


Illustration 402: My content - report view – Properties

3. A description of your report is shown and you can add a description under “*General*”.

68 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

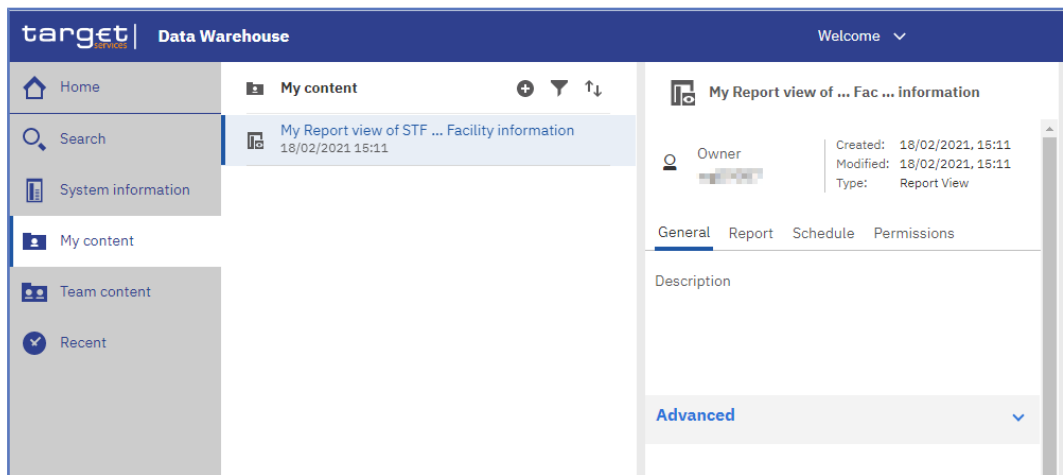


Illustration 403: My content - report view - Properties – General - description of your report

4. In the “Schedule” tab you will find the “New” icon.

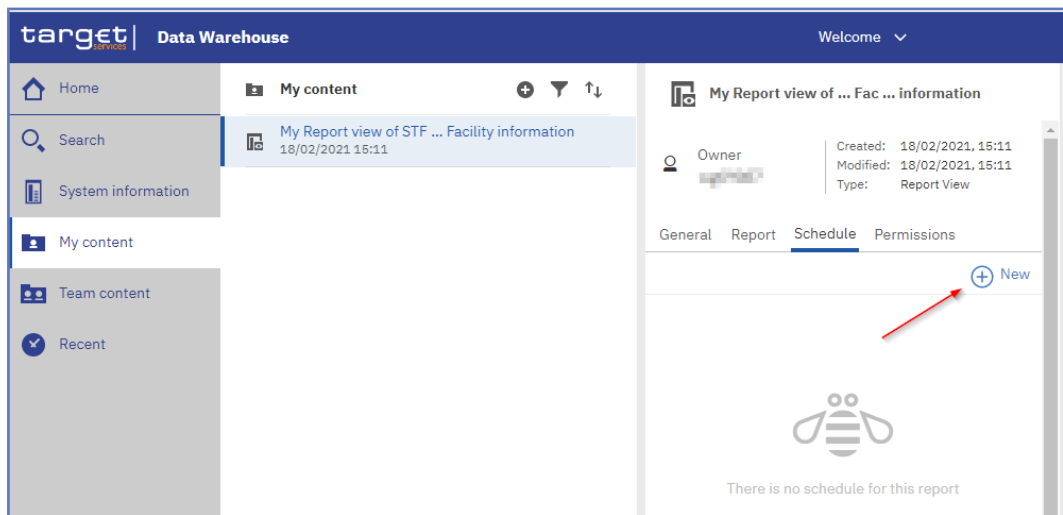


Illustration 404: Welcome page - My content - report view - Properties – Schedule

5. By clicking on the “New” icon, the “Schedule” is opening where you have multiple options to choose for scheduling your report.

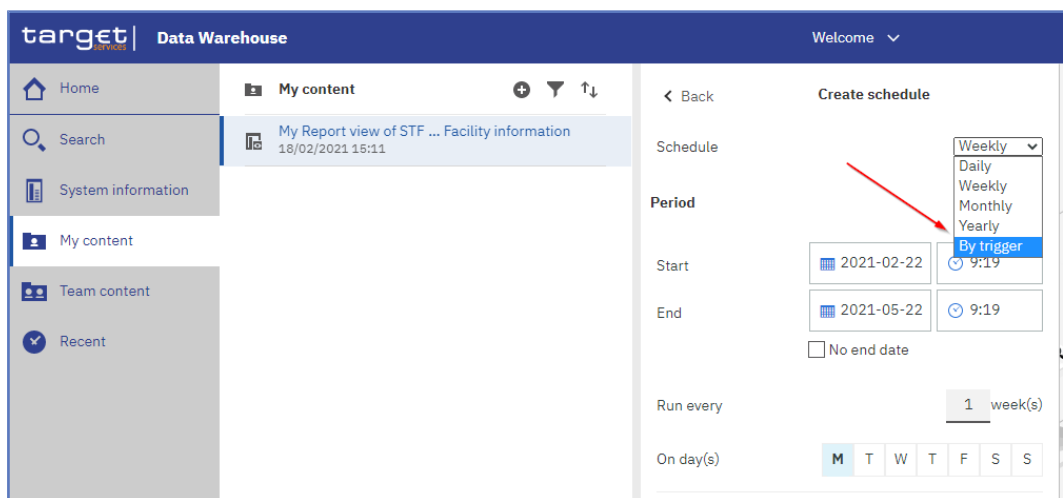


Illustration 405: Welcome page - My content - report view - Properties - create schedule (1)

6. Select “By Trigger” in the pull down list as schedule. In the field “Trigger name” you have to enter the phrase “eodtrigger”. Make sure to type this phrase exactly this way, otherwise the report trigger does not work and the scheduled report will not be executed.

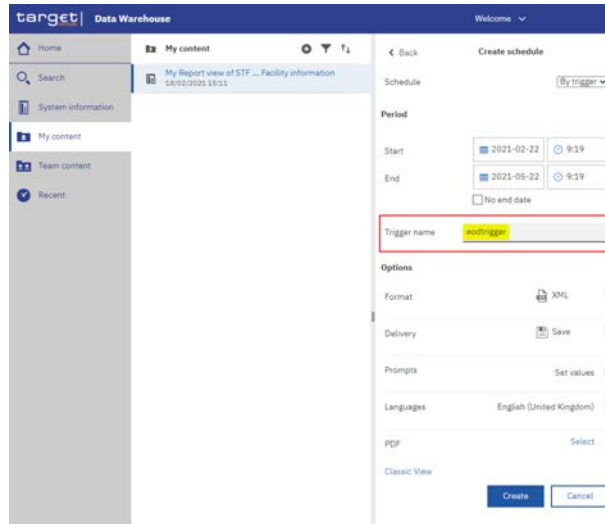


Illustration 406: Welcome page - My content - report view - Properties - create schedule (2)



Hint

In this example, you see a daily schedule with no end date, xml as an output, and save the report in DWH.

Welcome page - My content - report view - Properties - create schedule (3)



Hint

In case you want to receive the scheduled reports via A2A you have to choose “*Save report as external file*” and follow the information provided in chapter *Delivery - Save report (U2A)* [▶ 1511].

7. It is very important to set the prompt values when scheduling a report to be executed. This can be done by clicking on the arrow next to “*Set values*”.

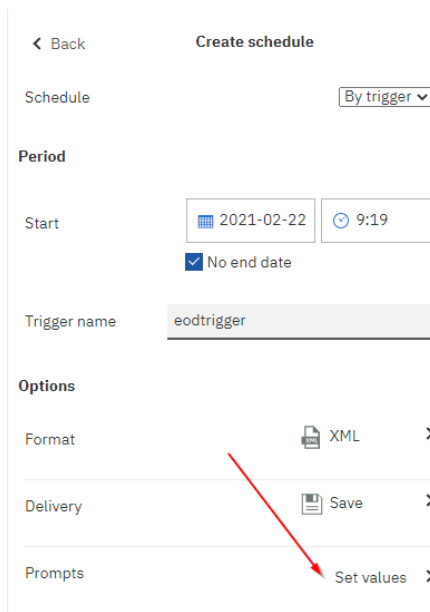


Illustration 407: Welcome page - My content - Properties - Set values

8. The “*Current values*” opens. To enter the prompt page you must click on the “*Set*” icon.

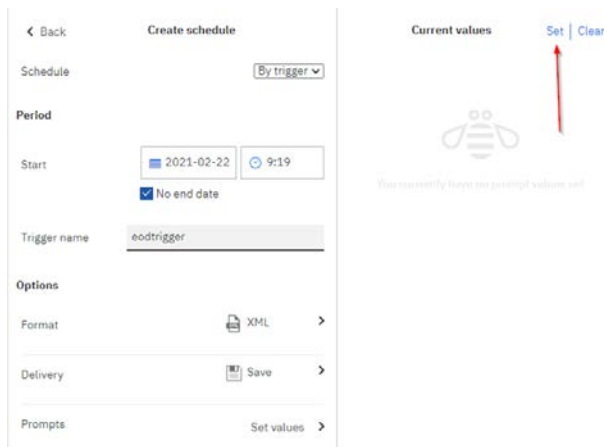


Illustration 408: Welcome page - My content - Properties - Prompts - Set values

9. Enter the search criteria on the prompt page and click on “*Finish*”.
- ➔ Click on the “*Create*” button and the schedule is created.

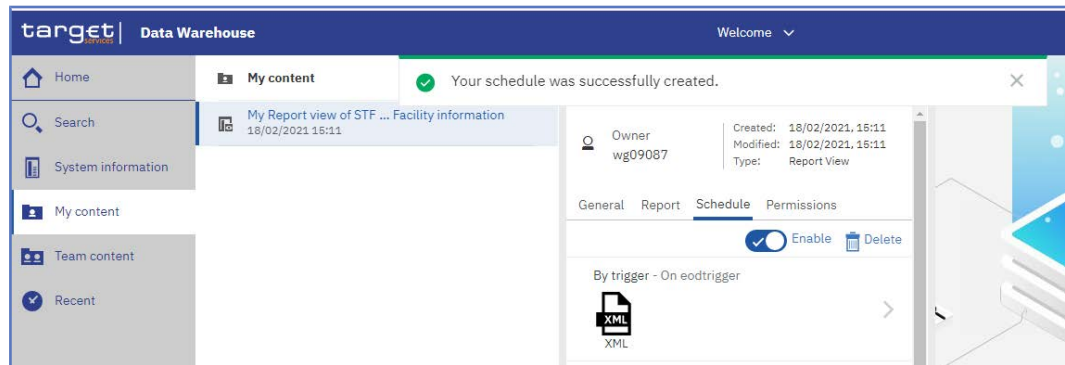


Illustration 409: Welcome page - My content - Properties - Schedule ⁶⁹

5.4.3.3 Check the schedule of your report

Instructions

1. To check the schedule of your report you have to navigate to the “*Personal menu*”.
2. Click on “*My schedules and subscriptions*”.

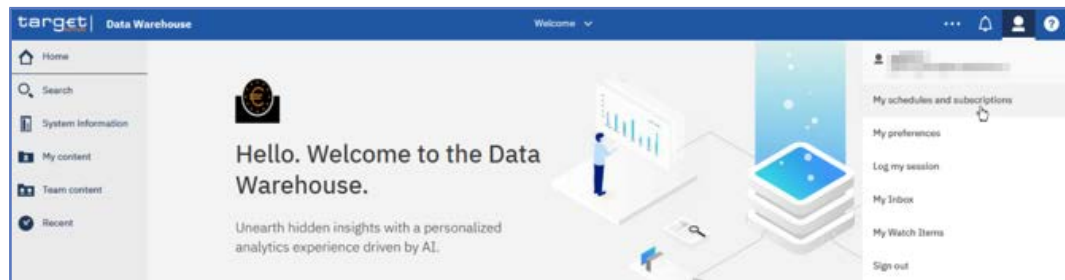


Illustration 410: Welcome page - Personal menu - My schedules and subscriptions

➔ You can verify the reports that are scheduled.

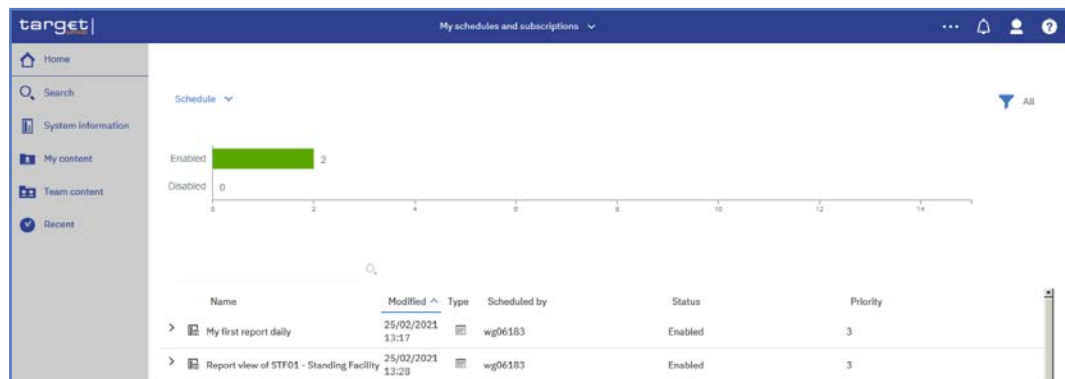


Illustration 411: Welcome page - Personal menu - My schedules and subscriptions - Schedule

Refer to chapter Personal menu [▶ 1457] for further information. ⁷⁰

69 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

70 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

5.5 Predefined reports

5.5.1 T2S Cash account (SCT)

5.5.1.1 SCT01 – Finalised matched instructions

Context of Usage

This report shows the cash activity for all finalised matched settlement instructions (SI) at CB, PB or T2S dedicated cash account level, during a selected period of time. The volume of finalised matched instructions per transaction type / sub-category together with the aggregated matched values and settled values are shown.

This report can be used by CB and PB users.

Report Access

This report can be reached in the following way:

- Team Content >> Predefined Reports >> T2S Cash account >> SCT01

Screenshot

Illustration 412: SCT01 prompt screen

Field Description

SCT01 – Finalised matched instructions – Prompt Screen	
Date From	You can select the date by clicking on the calendar. This field is mandatory.
Date To	You can select the end date of the period, for which the report should contain the data by clicking on the calendar. For valid report results, the current day cannot be selected. This field is mandatory.

SCT01 – Finalised matched instructions – Prompt Screen	
Currency	You can use this drop-down field to select the currency. This field is mandatory.
Party BIC	You can use this drop-down field to select one or several party BIC. This field will show all related BICs, which belong to the default data scope of the user’s party. This field is optional.
T2S DCA number	You can use this drop-down field to select one or several T2S DCA numbers. This field will show all related T2S DCAs, which belong to default data scope of the user’s party and which belong to the parties selected in field “Party BIC”. If no Party BICs are selected, this field shows all T2S DCA numbers belonging to the default data scope of the user’s party. This field is optional.

Screenshot

SCT01 - T2S DCA - Finalised matched instructions cash side

T2S DCA - Finalised matched instructions cash side

Transaction type code	Transaction sub-category	Volume of settlement instructions	Debit		Credit			Total		
			Matched value	Settled value	Volume of settlement instructions	Matched value	Settled value	Volume of settlement instructions	Matched value	Settled value
DVP	CATR	100	200,000.00	188,000.00	20	20,000.00	20,000.00	120	220,000.00	208,000.00
DVP	STND	100	200,000.00	180,000.00	180	80,000.00	80,000.00	280	280,000.00	260,000.00
DWP	CATR	170	400,000.00	188,000.00	70	100,000.00	90,000.00	240	500,000.00	278,000.00
DWP	STND	100	200,000.00	180,000.00	50	200,500.00	500.00	150	400,500.00	180,500.00
PFOD	CATR	100	200,000.00	180,000.00	200	200,000.00	150,000.00	300	400,000.00	330,000.00
PFOD	STND	170	400,000.00	188,000.00	130	130,000.00	100,000.00	300	530,000.00	288,000.00
Total		740	1,600,000.00	1,104,000.00	650	730,500.00	440,500.00	1,390	2,330,500.00	1,544,500.00

Illustration 413: SCT01 output screen

Field Description

SCT01 – Finalised matched instructions – Output Screen	
Transaction type code	Shows the transaction type code of the settlement transaction. Possible values are: <input type="checkbox"/> DVP (delivery versus payment) <input type="checkbox"/> DWP (delivery with payment) <input type="checkbox"/> PFOD (payment free of delivery)
Transaction sub-category	Shows the transaction sub-category of the settlement transaction. Possible values are: <input type="checkbox"/> CATR (cash transfer) <input type="checkbox"/> STND (standard)
Debit	

SCT01 – Finalised matched instructions – Output Screen	
Volume of settlement instructions	A count of all finalised matched SIs on the debit side during the selected date range, per transaction type code and sub-category.
Matched value	Sum of the matched settlement amounts of all finalised matched SIs on the debit side during the selected date range per transaction type code and sub-category.
Settled value	Sum of the settled settlement amounts of all finalised matched SIs on the debit side during the selected date range per transaction type code and sub-category.
Credit	
Volume of settlement instructions	A count of all finalised matched SIs on the credit side during the selected date range, per transaction type code and sub-category.
Matched value	Sum of the matched settlement amounts of all finalised matched SIs on the credit side during the selected date range per transaction type code and sub-category.
Settled value	Sum of the settled settlement amounts of all finalised matched SIs on the credit side during the selected date range per transaction type code and sub-category.
Total (per transaction type code/settlement transaction sub-category)	
Volume of settlement instructions	Sum of 'Volume of settlement instructions' over debit and credit.
Matched value	Sum of 'Matched value' over debit and credit.
Settled value	Sum of 'Settled value' over debit and credit.
Total	
Total	Sum for each column, summed over all transaction type / sub-category combinations. ⁷¹

5.5.1.2 SCT02 – Detailed matched settlement instructions

Context of Usage

This report shows all finalised settlement instructions (SIs) at CB or PB or T2S dedicated cash account level, during a chosen period of time.

The volume and values of settlement instructions are displayed per transaction type / sub-category / direction (debit or credit) and the settlement status.

71 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

This report can be used by CB and PB users.

Report Access

This report can be reached in the following way:

- Team Content >> Predefined Reports >> T2S Cash account >> SCT02

Screenshot

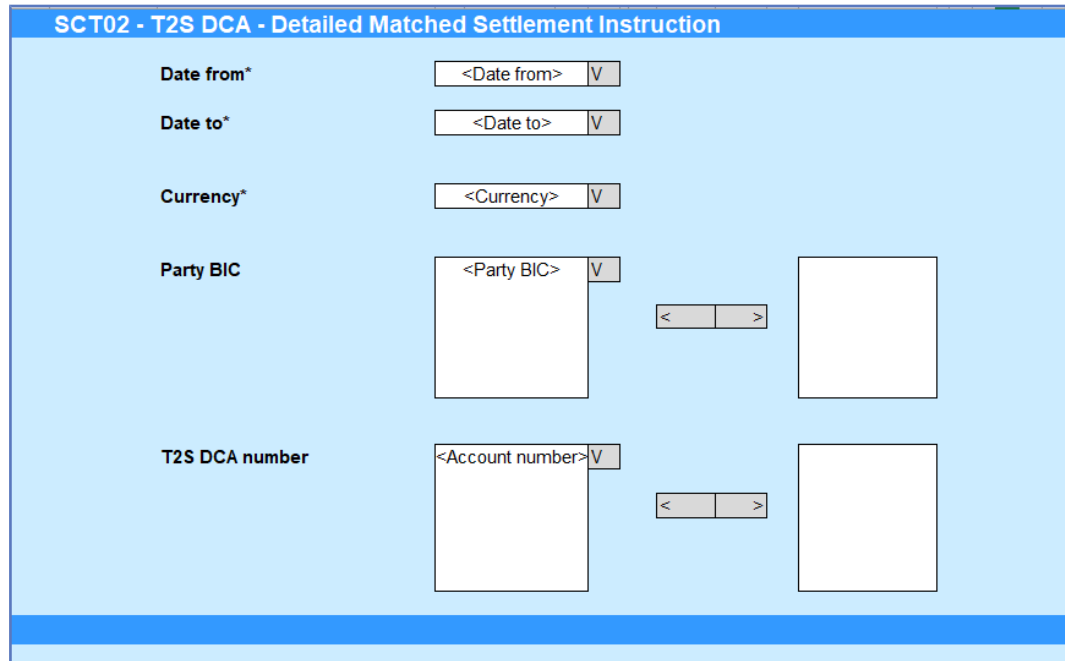


Illustration 414: SCT02 Prompt

Field Description

SCT02 – Detailed matched settlement instructions – Prompt screen	
Date From	You can select the date by clicking on the calendar. This field is mandatory.
Date To	You can select the end date of the period, for which the report should contain the data by clicking on the calendar. For valid report results, the current day cannot be selected. This field is mandatory.
Currency	You can use this drop-down field to select the currency. This field is mandatory.
Party BIC	You can use this drop-down field to select one or several party BIC. This field will show all related BICs, which belong to the default data scope of the user's party. This field is optional.

SCT02 – Detailed matched settlement instructions – Prompt screen

T2S DCA number

You can use this drop-down field to select one or several T2S DCA numbers. This field will show all related T2S DCAs, which belong to default data scope of the user’s party and which belong to the parties selected in field “Party BIC”. If no Party BICs are selected, this field shows all T2S DCA numbers belonging to the default data scope of the user’s party. This field is optional.

Screenshot

SCT02 - T2S DCA – Detailed finalised settlement instructions - volume

T2S DCA - Detailed finalised settlement instructions - volume

Transaction type code	Transaction sub-category	Debit				Credit				Total	
		Volume totally settled	Volume partially settled / partially cancelled	Volume totally cancelled	Total	Volume totally settled	Volume partially settled / partially cancelled	Volume totally cancelled	Total	Volume totally settled	Volume partially settled / partially cancelled
DWP	CATR	0	0	0	0	0	1	0	1	0	1
DWP	STND	1	2	0	3	2	3	1	6	3	9
DWP	CATR	0	0	0	0	0	0	0	0	0	0
DWP	STND	0	1	0	1	0	1	0	1	0	2
PFOD	CATR	1	0	0	1	0	0	0	0	1	1
PFOD	STND	0	0	0	0	0	0	0	0	0	0
Total		2	3	0	5	2	5	1	8	4	13

Illustration 415: SCT02 output screen, tab 1

SCT02 - T2S DCA – Detailed finalised settlement instructions - value

T2S DCA - Detailed finalised settlement instructions - value

Transaction type code	Transaction sub-category	Debit					Credit					Total	
		Matched value	Value totally settled	Value partially settled	Value partially cancelled	Value totally cancelled	Matched value	Value totally settled	Value partially settled	Value partially cancelled	Value totally cancelled	Matched value	Settled value (totally + partially)
DWP	CATR	0	0	0	0	0	10,000.00	0	6,000.00	4,000.00	0	10,000.00	0
DWP	STND	80,000.00	50,000.00	25,000.00	5,000.00	0	88,000.00	10,000.00	40,000.00	30,000.00	8,000.00	186,000.00	0
DWP	CATR	0	0	0	0	0	0	0	0	0	0	0	
DWP	STND	44,000.00	0	38,000.00	6,000.00	0	17,000.00	0	8,200.00	8,800.00	0	0	
PFOD	CATR	1,000.00	0	0	0	1,000.00	0	0	0	0	0	1,000.00	
PFOD	STND	0	0	0	0	0	0	0	0	0	0	0	
Total		125,000.00	50,000.00	-	-	-	-	-	-	-	-	-	

Illustration 416: SCT02 output screen, tab 2

Field Description

SCT02 – Detailed matched settlement instructions – OUTPUT SCREEN – Tab 1

T2S DCA – Detailed finalised settlement instructions - volume

Transaction type code	Shows the transaction type code of the settlement transaction. Possible values are: <input type="checkbox"/> DWP (delivery versus payment) <input type="checkbox"/> DWP (delivery with payment) <input type="checkbox"/> PFOD (payment free of delivery)
Transaction sub-category	Shows the transaction sub-category of the settlement transaction. Possible values are: <input type="checkbox"/> CATR (cash transfer) <input type="checkbox"/> STND (standard)

Debit

SCT02 – Detailed matched settlement instructions – OUTPUT SCREEN – Tab 1	
T2S DCA – Detailed finalised settlement instructions - volume	
Volume totally settled	A count of the number of totally settled SIs found on the debit side within the requested period, per transaction type and sub-category.
Volume partially settled / partially cancelled	A count of the number of partially settled/partially cancelled SIs found on the debit side within the requested duration, per transaction type and sub-category.
Volume totally cancelled	A count of the number of totally cancelled matched SIs found on the debit side within the requested duration, per transaction type and sub-category.
Total	Sum of 'Volume totally settled', 'Volume partially settled / partially cancelled' and 'Volume totally cancelled' on the debit side, per transaction type and sub-category.
Credit	
Volume totally settled	A count of the number of totally settled SIs found on the credit side within the requested duration, per transaction type and sub-category.
Volume partially settled / partially cancelled	A count of the number of partially settled/partially cancelled SIs found on the credit side within the requested duration, per transaction type and sub-category.
Volume totally cancelled	A count of the number of totally cancelled matched SIs found on the credit side within the requested duration, per transaction type and sub-category.
Total	Sum of 'Volume totally settled', 'Volume partially settled / partially cancelled' and 'Volume totally cancelled' on the credit side, per transaction type and sub-category.
Total (per transaction type code/settlement transaction sub-category)	
Volume totally settled	Sum of 'Volume totally settled' over debit and credit.
Volume partially settled / partially cancelled	Sum of 'Volume partially settled / partially cancelled' over debit and credit.
Volume totally cancelled	Sum of 'Volume totally cancelled' over debit and credit.
Total	Sum of 'Total' over debit and credit
Total	

SCT02 – Detailed matched settlement instructions – OUTPUT SCREEN – Tab 1

T2S DCA – Detailed finalised settlement instructions - volume

Total	Sum for each column, summed over all transaction type / sub-category combinations.
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SCT02 – Detailed matched settlement instructions – OUTPUT SCREEN – Tab 2

T2S DCA – Detailed finalised settlement instructions - value

Transaction type code	Shows the transaction type code of the settlement transaction. Possible values are: <input type="checkbox"/> DVP (delivery versus payment) <input type="checkbox"/> DWP (delivery with payment) <input type="checkbox"/> PFOD (payment free of delivery)
Transaction sub-category	Shows the transaction sub-category of the settlement transaction. Possible values are: <input type="checkbox"/> CATR (cash transfer) <input type="checkbox"/> STND (standard)
Debit	
Matched value	Sum over matched settlement amounts of all finalised SIs on the debit side within the requested duration, per transaction type and sub-category.
Value totally settled	Sum over settled settlement amounts of all finalised SIs on the debit side and totally settled within the requested duration, per transaction type and sub-category.
Value partially settled	Sum over settled settlement amounts of all finalised SIs on the debit side and partially settled within the requested duration, per transaction type and sub-category.
Value partially cancelled	Sum over all unsettled matched settlement amounts of all finalised SIs on the debit side and partially cancelled within the requested duration, per transaction type and sub-category.

SCT02 – Detailed matched settlement instructions – OUTPUT SCREEN – Tab 2 T2S DCA – Detailed finalised settlement instructions - value	
Value totally cancelled	Sum of the matched settlement amounts of all finalised SIs on the debit side which were totally cancelled within the period 1 duration, per transaction type and sub-category.
Credit	
Matched value	Sum over matched settlement amounts of all finalised SIs on the credit side within the requested duration, per transaction type and sub-category.
Value totally settled	Sum over settled settlement amounts of all finalised SIs on the credit side and totally settled within the requested duration, per transaction type and sub-category.
Value partially settled	Sum over settled settlement amounts of all finalised SIs on the credit side and partially settled within the requested duration, per transaction type and sub-category.
Value partially cancelled	Sum over all unsettled matched settlement amounts of all finalised SIS on the credit side and partially cancelled within the requested duration, per transaction type and sub-category.
Value totally cancelled	Sum of the matched settlement amounts of all finalised SIs on the credit side which were totally cancelled within the period 1 duration, per transaction type and sub-category.
Total (per transaction type code/settlement transaction sub-category)	
Matched value	Sum of 'Matched value' over debit and credit.
Settled value (totally + partially)	Sum of 'Value totally settled' plus 'Value partially settled' over debit and credit.
Total	
Total	Sum for each column, summed over all transaction type / sub-category combinations. ⁷²

72 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

5.5.1.3 SCT03 – Follow up by time slot of settlement activity

Context of Usage

This report shows all finalised settlement instructions (SIs) per time slots of the settlement date in an array at CB, PB or T2S DCA level, during a chosen period of time.

The settlement instructions (SI) are displayed as aggregated volumes and values according to the transaction type code, the transaction sub-category, the T2S-DCA debit/credit code, the settlement status and T2S time slot

This report can be used by CB and PB users.

Report Access

This report can be reached in the following way:

- Team Content >> Predefined Reports >> T2S Cash account >> SCT03

Screenshot

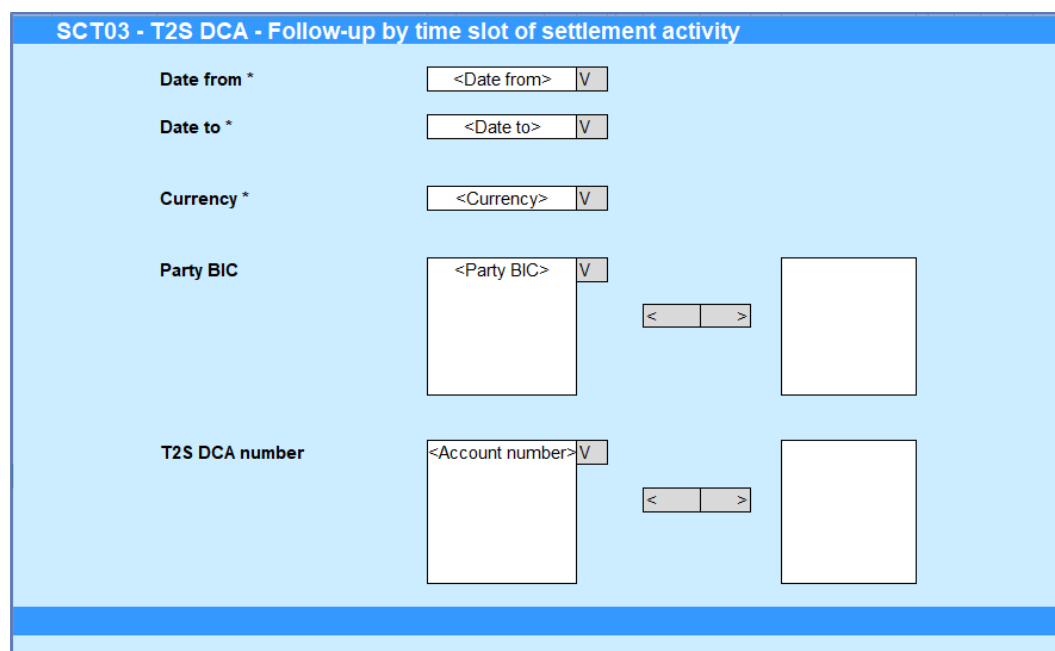


Illustration 417: SCT03 Prompt

Field Description

SCT03 – Follow-up by time slot of settlement activity – Prompt screen	
Date From	You can select the date by clicking on the calendar. This field is mandatory.
Date To	You can select the end date of the period, for which the report should contain the data by clicking on the calendar. For valid report results, the current day cannot be selected. This field is mandatory.

SCT03 – Follow-up by time slot of settlement activity – Prompt screen	
Currency	<p>You can use this drop-down field to select the currency.</p> <p>This field is mandatory.</p>
Party BIC	<p>You can use this drop-down field to select one or several party BIC. This field will show all related BICs, which belong to the default data scope of the user's party.</p> <p>This field is optional.</p>
T2S DCA number	<p>You can use this drop-down field to select one or several T2S DCA numbers. This field will show all related T2S DCAs, which belong to default data scope of the user's party and which belong to the parties selected in field "Party BIC". If no Party BICs are selected, this field shows all T2S DCA numbers belonging to the default data scope of the user's party.</p> <p>This field is optional.</p>

Screenshot

SCT03 - T2S DCA - Follow-up by time slot of settlement activity - volume

T2S DCA - Follow-up by time slot of settlement activity - volume

Transaction type code	Transaction sub-category	Settlement status	Volume of instructions - debit								Volume of instructions - credit			
			1st NTS cycle	Last NTS cycle	R11 before intraday DVP cut-off	R12 before EOD intraday credit	R13 before EOD intraday FDP cut-off	R14 after intraday FDP cut-off	1st NTS cycle	Last NTS cycle	R11 before intraday DVP cut-off	R12 before EOD intraday credit	R13 before EOD intraday FDP cut-off	R14 after intraday FDP cut-off
DVP	CATR	Totally settled	0	0	0	2	2	0	1	2	0	4	5	0
		Partially settled / partially cancelled	0	1	0	1	3	1	0	0	0	2	3	1
		Totally cancelled	0	0	0	0	0	0	0	0	0	0	0	0
DVP	STND	Totally settled	-	-	-	-	-	-	-	-	-	-	-	
		Partially settled / partially cancelled	-	-	-	-	-	-	-	-	-	-	-	
		Totally cancelled	-	-	-	-	-	-	-	-	-	-	-	
DVP	CATR	Totally settled	-	-	-	-	-	-	-	-	-	-	-	
		Partially settled / partially cancelled	-	-	-	-	-	-	-	-	-	-	-	
		Totally cancelled	-	-	-	-	-	-	-	-	-	-	-	
DVP	STND	Totally settled	-	-	-	-	-	-	-	-	-	-	-	
		Partially settled / partially cancelled	-	-	-	-	-	-	-	-	-	-	-	
		Totally cancelled	-	-	-	-	-	-	-	-	-	-	-	
PFOD	CATR	Totally settled	-	-	-	-	-	-	-	-	-	-	-	
		Partially settled / partially cancelled	-	-	-	-	-	-	-	-	-	-	-	
		Totally cancelled	-	-	-	-	-	-	-	-	-	-	-	
PFOD	STND	Totally settled	-	-	-	-	-	-	-	-	-	-	-	
		Partially settled / partially cancelled	-	-	-	-	-	-	-	-	-	-	-	
		Totally cancelled	-	-	-	-	-	-	-	-	-	-	-	
		Total	-	-	-	-	-	-	-	-	-	-	-	

Illustration 418: SCT03 output screen, tab 1

SCT03 - T2 SDCA - Follow-up by time slot of settlement activity - value

T2S DCA - Follow-up by time slot of settlement activity - value

Transaction type code	Transaction sub-category	Settlement status	Value of instructions - debit								Value of instructions - credit			
			1st NTS cycle	Last NTS cycle	R11 before intraday DVP cut-off	R12 before EOD intraday credit	R13 before EOD intraday FDP cut-off	R14 after intraday FDP cut-off	1st NTS cycle	Last NTS cycle	R11 before intraday DVP cut-off	R12 before EOD intraday credit	R13 before EOD intraday FDP cut-off	R14 after intraday FDP cut-off
DVP	CATR	Totally settled	0	0	0	8 000	44 000	0	5 300	42 200	0	224 000	350 000	0
		Partially settled / partially cancelled	0	8 000	0	4 400	350 000	7 100	0	0	0	4 300	2 900	1 000
		Totally cancelled	0	0	0	0	0	0	0	0	0	0	0	0
DVP	STND	Totally settled	0	2 000	0	1 250	10 000	900	0	0	0	600	1 300	1 000
		Partially settled / partially cancelled	-	-	-	-	-	-	-	-	-	-	-	-
		Totally cancelled	-	-	-	-	-	-	-	-	-	-	-	-
DVP	CATR	Totally settled	-	-	-	-	-	-	-	-	-	-	-	
		Partially settled / partially cancelled	-	-	-	-	-	-	-	-	-	-	-	
		Totally cancelled	-	-	-	-	-	-	-	-	-	-	-	
DVP	STND	Totally settled	-	-	-	-	-	-	-	-	-	-	-	
		Partially settled / partially cancelled	-	-	-	-	-	-	-	-	-	-	-	
		Totally cancelled	-	-	-	-	-	-	-	-	-	-	-	
PFOD	CATR	Totally settled	-	-	-	-	-	-	-	-	-	-	-	
		Partially settled / partially cancelled	-	-	-	-	-	-	-	-	-	-	-	
		Totally cancelled	-	-	-	-	-	-	-	-	-	-	-	
PFOD	STND	Totally settled	-	-	-	-	-	-	-	-	-	-	-	
		Partially settled / partially cancelled	-	-	-	-	-	-	-	-	-	-	-	
		Totally cancelled	-	-	-	-	-	-	-	-	-	-	-	
		Total	-	-	-	-	-	-	-	-	-	-	-	

Illustration 419: SCT03 output screen, tab 2

Field Description	SCT03 – Follow-up by time slot of settlement activity – OUTPUT SCREEN – Tab 1	
T2S DCA – Follow-up by time slot of settlement activity - volume		
Transaction type code	Shows the transaction type code of the settlement transaction. Possible values are: <ul style="list-style-type: none"> <input type="checkbox"/> DVP (delivery versus payment) <input type="checkbox"/> DWP (delivery with payment) <input type="checkbox"/> PFOD (payment free of delivery) 	
Transaction sub-category	Shows the transaction sub-category of the settlement transaction. Possible values are: <ul style="list-style-type: none"> <input type="checkbox"/> CATR (cash transfer) <input type="checkbox"/> STND (standard) 	
Settlement status	Shows the status of the settlement instruction. Possible values are: <ul style="list-style-type: none"> <input type="checkbox"/> Totally settled <input type="checkbox"/> Partially settled / partially cancelled <input type="checkbox"/> Totally cancelled 	
Volume of instructions - debit		
Time slots (one line per time slot): <ul style="list-style-type: none"> <input type="checkbox"/> 1st NTS cycle <input type="checkbox"/> Last NTS cycle <input type="checkbox"/> RT1 before intraday DVP cut-off <input type="checkbox"/> RT2 before EOD intraday credit reimbursement <input type="checkbox"/> RT3 before EOD intraday FOP cut-off <input type="checkbox"/> RT4 after intraday FOP cut-off 	Shows the aggregated volume of the SIs (debit side) for each designated time slot, within the transaction type code / transaction subcategory / settlement status combination.	
Volume of instructions - credit		

SCT03 – Follow-up by time slot of settlement activity – OUTPUT SCREEN – Tab 1
T2S DCA – Follow-up by time slot of settlement activity - volume

Time slots (one line per time slot): <input type="checkbox"/> 1st NTS cycle <input type="checkbox"/> Last NTS cycle <input type="checkbox"/> RT1 before intraday DVP cut-off <input type="checkbox"/> RT2 before EOD intraday credit reimbursement <input type="checkbox"/> RT3 before EOD intraday FOP cut-off <input type="checkbox"/> RT4 after intraday FOP cut-off	Shows the aggregated volume of the SIs (credit side) for each designated time slot, within the transaction type code / transaction subcategory / settlement status combination.
Total	
Total	Sum for each column, summed over all transaction type / sub-category combinations.

SCT03 – Follow-up by time slot of settlement activity – OUTPUT SCREEN – Tab 2
T2S DCA – Follow-up by time slot of settlement activity - value

Transaction type code	Shows the transaction type code of the settlement transaction. Possible values are: <input type="checkbox"/> DVP (delivery versus payment) <input type="checkbox"/> DWP (delivery with payment) <input type="checkbox"/> PFOD (payment free of delivery)
Transaction sub-category	Shows the transaction sub-category of the settlement transaction. Possible values are: <input type="checkbox"/> CATR (cash transfer) <input type="checkbox"/> STND (standard)
Settlement status	Shows the status of the settlement instruction.

**SCT03 – Follow-up by time slot of settlement activity – OUTPUT SCREEN –
Tab 2
T2S DCA – Follow-up by time slot of settlement activity - value**

	<p>Possible values are:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Totally settled <input type="checkbox"/> Partially settled <input type="checkbox"/> Totally cancelled <input type="checkbox"/> Partially cancelled
Value of instructions - debit	
<p>Time slots (one line per time slot):</p> <ul style="list-style-type: none"> <input type="checkbox"/> 1st NTS cycle <input type="checkbox"/> Last NTS cycle <input type="checkbox"/> RT1 before intraday DVP cut-off <input type="checkbox"/> RT2 before EOD intraday credit reimbursement <input type="checkbox"/> RT3 before EOD intraday FOP cut-off <input type="checkbox"/> RT4 after intraday FOP cut-off 	<p>Shows the aggregated amount of the SIs (debit side) for each designated time slot, within the transaction type code / transaction subcategory / settlement status combination.</p>
Value of instructions - credit	
<p>Time slots (one line per time slot):</p> <ul style="list-style-type: none"> <input type="checkbox"/> 1st NTS cycle <input type="checkbox"/> Last NTS cycle <input type="checkbox"/> RT1 before intraday DVP cut-off <input type="checkbox"/> RT2 before EOD intraday credit reimbursement <input type="checkbox"/> RT3 before EOD intraday FOP cut-off 	<p>Shows the aggregated amount of the SIs (credit side) for each designated time slot, within the transaction type code / transaction subcategory / settlement status combination.</p>

SCT03 – Follow-up by time slot of settlement activity – OUTPUT SCREEN – Tab 2 T2S DCA – Follow-up by time slot of settlement activity - value	
<input type="checkbox"/> RT4 after intraday FOP cut-off	
Total	
Total	Sum for each column, summed over all transaction type / sub-category combinations. ⁷³

5.5.1.4 SCT04 – Comparison of settlement activity between two time periods

Context of Usage

This report shows the settlement activity for two time periods at CB, PB or T2S dedicated cash account level, to allow its comparison between these two periods of time.

The settlement instructions (SI) are displayed as aggregated volumes and values according to the transaction type code, the transaction sub-category, the T2S-DCA debit/credit code and the settlement status.

This report can be used by CB and PB users.

Report Access

This report can be reached in the following way:

- Team Content >> Predefined Reports >> T2S Cash account >> SCT04

73 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

Screenshot

Illustration 420: SCT04 Prompt

Field Description

SCT04 – Comparison of settlement activity between two time periods – Prompt Screen	
Period 1 Date From	You can select the date by clicking on the calendar. This field is mandatory.
Period 1 Date To	You can select the end date of the period, for which the report should contain the data by clicking on the calendar. For valid report results, the current day cannot be selected. This field is mandatory.
Period 2 Date From	You can select the date by clicking on the calendar. This field is mandatory.
Period 2 Date To	You can select the end date of the period, for which the report should contain the data by clicking on the calendar. For valid report results, the current day cannot be selected. This field is mandatory.
Currency	You can use this drop-down field to select the currency.

SCT04 – Comparison of settlement activity between two time periods – Prompt Screen

	This field is mandatory.
Party BIC	You can use this drop-down field to select one or several party BIC. This field will show all related BICs, which belong to the default data scope of the user's party. This field is optional.
T2S DCA number	You can use this drop-down field to select one or several T2S DCA numbers. This field will show all related T2S DCAs, which belong to default data scope of the user's party and which belong to the parties selected in field "Party BIC". If no Party BICs are selected, this field shows all T2S DCA numbers belonging to the default data scope of the user's party. This field is optional.

Screenshot

SCT04 - T2S DCA - Comparison of settlement activity between two time periods

T2S DCA - Comparison of settlement activity between two time periods

Period 1

Transaction type code	Transaction sub-category	Volume totally settled	Volume partially settled / partially cancelled	Volume totally cancelled	Debit				Credit						
					Value totally settled	Value partially settled	Value partially cancelled	Value totally cancelled	Volume totally settled	Volume partially settled / partially cancelled	Volume totally cancelled	Value totally settled	Value partially settled	Value partially cancelled	Value totally cancelled
DVP	CATR	1	1	0	10,000.00	10,000.00	5.00	0.00	2	2	0	20,000.00	20,000.00	10,000.00	0.00
DVP	STND	1	2	1	1,000.00	20,000.00	20,000.00	10,005.00	3	3	0	30,000.00	23,005.00	10,000.00	0.00
DWP	CATR	0	1	0	0.00	10,000.00	5.00	0.00	0	0	0	0.00	0.00	0.00	0.00
DWP	STND	0	2	0	0.00	20,000.00	10.00	0.00	0	2	0	0.00	4,210.00	14,215.00	10,005.00
PFDD	CATR	5	3	0	14,000.00	10,000.00	40,015.00	0.00	3	2	0	7,000.00	7,550.00	20,055.00	0.00
PFDD	STND	2	2	0	20,000.00	0.00	20,010.00	0.00	1	1	0	1,000.00	10,000.00	5.00	0.00
Total		9	11	1	45,000.00	70,000.00	80,045.00	10,005.00	9	10	0	58,000.00	64,765.00	54,275.00	10,005.00

Period 2

Transaction type code	Transaction sub-category	Volume totally settled	Volume partially settled / partially cancelled	Volume totally cancelled	Debit				Credit						
					Value totally settled	Value partially settled	Value partially cancelled	Value totally cancelled	Volume totally settled	Volume partially settled / partially cancelled	Volume totally cancelled	Value totally settled	Value partially settled	Value partially cancelled	Value totally cancelled
DVP	CATR	3	2	0	30,000.00	20,000.00	20,000.00	0.00	6	12	0	0.00	120,000.00	12,000.00	0.00
DVP	STND	9	18	3	9,000.00	180,000.00	80,000.00	0.00	21	27	0	210,000.00	207,045.00	2,000.00	0.00
DWP	CATR	0	12	0	0.00	120,000.00	12,000.00	0.00	0	12	0	0.00	37,890.00	37,090.00	0.00
DWP	STND	2	0	0	20,000.00	0.00	0.00	0.00	2	0	0	20,000.00	0.00	0.00	0.00
PFDD	CATR	20	7	0	55,000.00	50,000.00	500.00	0.00	7	5	0	22,000.00	12,600.00	12,600.00	0.00
PFDD	STND	12	5	0	4,677,000.00	10,000.00	10,000.00	0.00	9	2	0	9,000.00	20,000.00	5,000.00	0.00
Total		46	44	3	4,785,000.00	300,000.00	122,500.00	0.00	45	58	0	281,000.00	397,535.00	68,690.00	0.00

Illustration 421: SCT04 output screen

Field Description

SCT04 – Comparison of settlement activity between two time periods – Output Screen

Period 1

Transaction type code	Shows the transaction type code of the settlement transaction. Possible values are:
	<input type="checkbox"/> DVP (delivery versus payment) <input type="checkbox"/> DWP (delivery with payment) <input type="checkbox"/> PFOD (payment free of delivery)

SCT04 – Comparison of settlement activity between two time periods – Output Screen	
Transaction sub-category	Shows the transaction sub-category of the settlement transaction. Possible values are: <input type="checkbox"/> CATR (cash transfer) <input type="checkbox"/> STND (standard)
Debit	
Volume totally settled	A count of the number of totally settled SIs found on the debit side in the period 1 duration, per transaction type and sub-category.
Volume partially settled/partially cancelled	A count of the number of partially settled/partially cancelled SIs found on the debit side in the period 1 duration, per transaction type and sub-category.
Volume totally cancelled	A count of the number of totally cancelled matched SIs found on the debit side in the period 1 duration, per transaction type and sub-category.
Value totally settled	Sum of the settled settlement amounts of all finalised SIs that were debited and totally settled within the period 1 duration, per transaction type and sub-category.
Value partially settled	Sum of all partial settlement amounts of all finalised SIs that were debited and reached final status within the period 1 duration, per transaction type and sub-category.
Value partially cancelled	Sum of all unsettled settlement amounts of all finalised SIs that were debited and reached final status within the period 1 duration, per transaction type and sub-category.
Value totally cancelled	Sum of the settlement amounts of all finalised SIs on the debit side which were totally cancelled within the period 1 duration, per transaction type and sub-category.
Credit	
Volume totally settled	A count of the number of totally settled SIs found on the credit side in the period 1 duration, per transaction type and sub-category.
Volume partially settled/partially cancelled	A count of the number of partially settled/partially cancelled SIs found on the credit side in the period 1 duration, per transaction type and sub-category.

SCT04 – Comparison of settlement activity between two time periods – Output Screen	
Volume totally cancelled	A count of the number of totally cancelled matched SIs found on the credit side in the period 1 duration, per transaction type and sub-category.
Value totally settled	Sum of the settled settlement amounts of all finalised SIs that were credited and totally settled within the period 1 duration, per transaction type and sub-category.
Value partially settled	Sum of all partial settlement amounts of all finalised SIs that were credited and reached final status within the period 1 duration, per transaction type and sub-category.
Value partially cancelled	Sum of all unsettled settlement amounts of all finalised SIs that were credited and reached final status within the period 1 duration, per transaction type and sub-category.
Value totally cancelled	Sum of the settlement amounts of all finalised SIs on the credit side which were totally cancelled within the period 1 duration, per transaction type and sub-category.
Total (Period 1 - final)	
Total	Sum for each column, summed over all transaction type / sub-category combinations.
Period 2	
Transaction type code	Shows the transaction type code of the settlement transaction. Possible values are: <input type="checkbox"/> DVP (delivery versus payment) <input type="checkbox"/> DWP (delivery with payment) <input type="checkbox"/> PFOD (payment free of delivery)
Transaction sub-category	Shows the transaction sub-category of the settlement transaction. Possible values are: <input type="checkbox"/> CATR (cash transfer) <input type="checkbox"/> STND (standard)
Debit	
Volume totally settled	A count of the number of totally settled SIs found on the debit side in the period 2 duration, per transaction type and sub-category.

SCT04 – Comparison of settlement activity between two time periods – Output Screen	
Volume partially settled/partially cancelled	A count of the number of partially settled/partially cancelled SIs found on the debit side in the period 2 duration, per transaction type and sub-category.
Volume totally cancelled	A count of the number of totally cancelled matched SIs found on the debit side in the period 2 duration, per transaction type and sub-category.
Value totally settled	Sum of the settled settlement amounts of all finalised SIs that were debited and totally settled within the period 2 duration, per transaction type and sub-category.
Value partially settled	Sum of all partial settlement amounts of all finalised SIs that were debited and reached final status within the period 2 duration, per transaction type and sub-category.
Value partially cancelled	Sum of all unsettled settlement amounts of all finalised SIs that were debited and reached final status within the period 2 duration, per transaction type and sub-category.
Value totally cancelled	Sum of the settlement amounts of all finalised SIs on the debit side which were totally cancelled within the period 2 duration, per transaction type and sub-category.
Credit	
Volume totally settled	A count of the number of totally settled SIs found on the credit side in the period 2 duration, per transaction type and sub-category.
Volume partially settled/partially cancelled	A count of the number of partially settled/partially cancelled SIs found on the credit side in the period 2 duration, per transaction type and sub-category.
Volume totally cancelled	A count of the number of totally cancelled matched SIs found on the credit side in the period 2 duration, per transaction type and sub-category.
Value totally settled	Sum of the settled settlement amounts of all finalised SIs that were credited and totally settled within the period 2 duration, per transaction type and sub-category.
Value partially settled	Sum of all partial settlement amounts of all finalised SIs that were credited and reached final status within the period 2 duration, per transaction type and sub-category.
Value partially cancelled	Sum of all unsettled settlement amounts of all finalised SIs that were credited and reached final status within the period 2 duration, per transaction type and sub-category.

SCT04 – Comparison of settlement activity between two time periods – Output Screen	
Value totally cancelled	Sum of the settlement amounts of all finalised SIs on the credit side which were totally cancelled within the period 2 duration, per transaction type and sub-category.
Total (Period 2 - final)	
Total	Sum for each column, summed over all transaction type / sub-category combinations. ⁷⁴

5.5.1.5 SCT05 – Follow-up by time slot of cash postings

Context of Usage

This report shows the cash postings at CB, PB or T2S dedicated cash account level, during a selected period of time. The cash postings activity of the specified T2S accounts are displayed as volume and value for each time slot of the business day with a total per balance type.

This report can be used by CB and PB users.

Report Access

This report can be reached in the following way:

- Team Content >> Predefined Reports >> T2S Cash account >> SCT05

Screenshot

Illustration 422: SCT05 Prompt screen

Field Description

SCT05 – Follow-up by time slot of cash postings – Prompt Screen	
Date From	You can select the date by clicking on the calendar.

74 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

SCT05 – Follow-up by time slot of cash postings – Prompt Screen	
	This field is mandatory.
Date To	<p>You can select the end date of the period, for which the report should contain the data by clicking on the calendar.</p> <p>For valid report results, the current day cannot be selected.</p> <p>This field is mandatory.</p>
Currency	<p>You can use this drop-down field to select the currency.</p> <p>This field is mandatory.</p>
Party BIC	<p>You can use this drop-down field to select one or several party BIC. This field will show all related BICs, which belong to the default data scope of the user's party.</p> <p>This field is optional.</p>
T2S DCA number	<p>You can use this drop-down field to select one or several T2S DCA numbers. This field will show all related T2S DCAs, which belong to default data scope of the user's party and which belong to the parties selected in field "Party BIC". If no Party BICs are selected, this field shows all T2S DCA numbers belonging to the default data scope of the user's party.</p> <p>This field is optional.</p>

Screenshot

The screenshot displays a complex table titled 'SCT05 - T2S DCA - Follow-up by time slot of cash postings'. The table is organized into several main sections: Earmarking, Blocking, CoSD blocking, Deliverable, and Reservation. Each section contains sub-rows for 'Settlement instructions' and 'Settlement restrictions', with a 'Total' row for each. The columns are divided into 'Volume' and 'Value' categories, with further sub-columns for different time slots (1st MTS, 2nd MTS) and settlement methods (RT1 before intraday DVP cut-off, RT2 before EOD intraday credit, RT3 before EOD intraday FOP cut, RT4 after intraday FOP cut-off). The 'Total' row at the bottom summarizes the data across all categories.

Illustration 423: SCT05 output screen

Field Description

SCT05 – Follow-up by time slot of cash postings – Output Screen	
Balance type	Shows the balance type for which the cash postings are displayed from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Earmarking <input type="checkbox"/> Blocking <input type="checkbox"/> CoSD blocking <input type="checkbox"/> Deliverable <input type="checkbox"/> Reservation For origin = “Liquidity Transfer”, only balance type = Deliverable” is possible.
Origin	Shows the posting origin for which the cash postings are displayed from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Settlement instructions <input type="checkbox"/> Settlement restrictions <input type="checkbox"/> Liquidity transfers (only balance type “Deliverable”) <input type="checkbox"/> Total for all types
Debit	The aggregation within origin of the cash postings on the debited side

SCT05 – Follow-up by time slot of cash postings – Output Screen	
Credit	The aggregation within origin of the cash postings on the credited side
Total (per balance type / origin)	The sums of 'Volume' and 'Value' across 'Debit' and 'Credit' per column, within origin.
Time slots: <input type="checkbox"/> 1st NTS cycle <input type="checkbox"/> Last NTS cycle <input type="checkbox"/> RT1 before intra-day DVP cut-off <input type="checkbox"/> RT2 before EOD intraday credit reimbursement <input type="checkbox"/> RT3 before EOD intraday FOP cut-off <input type="checkbox"/> RT4 after intraday FOP cut-off	The aggregation within debit/credit/total of all cash postings found for each designated time-slot.
Volume	The aggregated number of cash postings are displayed for each balance type, origin and debit/credit/total within each of the above time slots.
Value	The aggregated amount of cash postings are displayed for each balance type, origin and debit/credit/total within each of the above time slots.
Total (per balance type): <input type="checkbox"/> Total earmarking <input type="checkbox"/> Total blocking <input type="checkbox"/> Total COSD blocking <input type="checkbox"/> Total deliverable <input type="checkbox"/> Total reservation	The sums of 'Volume' and 'Value' across 'Debit' and 'Credit' per column, over all origins within balance type.

SCT05 – Follow-up by time slot of cash postings – Output Screen

Total (final)	The sums of 'Volume' and 'Value' across 'Debit' and 'Credit' per column, over all balance types. ⁷⁵
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5.5.2 T2S Securities account (SST)

5.5.2.1 SST01 – Finalised matched instructions

Context of Usage

This report shows volumes, values and quantities for all finalised settlement instructions (SIs), at CSD or CSD participant or securities account level, during a selected period of time. The settlement instructions are filtered according the settlement types of the securities and the currency of the settlements that the user selects.

The report delivers the volume of matched settlement instructions finalised during the selected period whether settled, partially settled or cancelled, the total of original quantity whether settled, partially settled or cancelled, the settled quantity, the matched value and the settled value.

The results are displayed aggregated per transaction type code, transaction subcategory and securities movement type.

This report can be used by CSD, external CSD (ECSD) and CSD participant (CSDP) users.

Report Access

This report can be reached in the following way:

- Team Content >> Predefined Reports >> T2S Securities account >> SST01

75 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

Screenshot

Illustration 424: SST01 prompt screen

Field Description

SST01 – Finalised matched instructions – Prompt Screen	
Date From	You can select the date by clicking on the calendar. This field is mandatory.
Date To	You can select the end date of the period, for which the report should contain the data by clicking on the calendar. For valid report results, the current day cannot be selected. This field is mandatory.
Currency	Currency in which the SIs are settled. You can use this drop-down field to select the currency. This field is mandatory.
Party BIC	You can use this drop-down field to select one or several party BIC. This field will show all related BICs, which belong to the default data scope of the user’s party. This field is optional.

SST01 – Finalised matched instructions – Prompt Screen	
Securities account number	<p>You can use this drop-down field to select one or several securities account numbers. This field will show all related securities accounts which belong to default data scope of the user's party and which belong to the parties selected in field "Party BIC". If no Party BICs are selected, this field shows all securities account numbers belonging to the default data scope of the user's party.</p> <p>This field is optional.</p>
Securities ISIN	<p>The report output contains only settlement instructions with the selected securities.</p> <p>This field is optional.</p>
Securities movement type	<p>This selection specifies if the movement on a securities account results from a delivery or a receive instruction.</p> <p>Possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> DELI<input type="checkbox"/> RECE <p>This field is optional.</p>

Screenshot

SST01 - Securities account - Finalised matched instructions						
Securities account - Finalised matched instructions						
DELI						
Transaction type code	Settlement transaction sub-category	Volume of settlement instructions	Original quantity	Settled quantity	Matched value	Settled value
DVP	ALOP	6	0.6	0.6	60,000.00	60,000.00
DVP	BPDE	30	2	2	258,030.00	258,030.00
DVP	-	30	2	2	258,030.00	258,030.00
-	-					
DWP	ALOP					
DWP	-					
-	-					
FOP	-					
-	-					
-	-					
-	-					
Total						

RECE						
Transaction type code	Settlement transaction sub-category	Volume of settlement instructions	Original quantity	Settled quantity	Matched value	Settled value
DVP	ALOP	0	0	0	0	0
DVP	BPDE	18	1.8	0.8	450.00	200.00
DVP	-					
-	-					
DWP	ALOP					
DWP	-					
-	-					
FOP	-					
-	-					
Total						

Total						
Transaction type code	Settlement transaction sub-category	Volume of settlement instructions	Original quantity	Settled quantity	Matched value	Settled value
DVP	ALOP	6	0.6	0.6	60,000.00	60,000.00
DVP	BPDE	48	3.8	2.8	258,480.00	258,230.00
DVP	-					
-	-					
DWP	ALOP					
DWP	-					
-	-					
FOP	-					
-	-					
-	-					
Total						

Illustration 425: SST01 output screen

Field Description

SST01 – Finalised matched instructions – Output Screen	
DELI (only filled if movement type filter selected ‘DELI’ or all)	
Transaction type code	Shows the settlement transaction type code set during the selected period duration of time from the possible values: <input type="checkbox"/> DVP (delivery versus payment)

SST01 – Finalised matched instructions – Output Screen	
	<input type="checkbox"/> DWP (delivery with payment) <input type="checkbox"/> FOP (free of payment) <input type="checkbox"/> PFOD (payment free of delivery)
Transaction sub-category	Shows the subcategory of the settlement transaction from the possible values: <input type="checkbox"/> ALOP, BPDF, BPIN, BPSU, COBS, COBR, COCP, CORT, CORU, EPDE, EPIS, REAL, RERV, REPO, RPDE, RPIN, RPSU, STND
Volume of settlement instructions	Count of the number of finalised DELI settlement instructions within the given search criteria, per transaction type code and settlement transaction sub-category.
Original quantity	Sum of original settlement quantities of the DELI settlement instructions, per transaction type code and sub-category
Settled quantity	Sum of settled quantities of the DELI settlement instructions, per transaction type code and sub-category
Matched value	Sum of matched settlement amounts of the DELI settlement instructions, per transaction type code and sub-category
Settled value	Sum of settled settlement amounts of the DELI settlement instructions, per transaction type code and sub-category
Total	Sum for each column in 'DELI' body, summed over all transaction type / sub-category combinations.
RECE (only filled if movement type filter selected 'RECE' or all)	
Transaction type code	Shows the settlement transaction type code set during the selected period duration of time from the possible values: <input type="checkbox"/> DVP (Delivery versus payment) <input type="checkbox"/> DWP (Delivery with payment) <input type="checkbox"/> FOP (free of payment) <input type="checkbox"/> PFOD (payment free of delivery)
Transaction sub-category	Shows the subcategory of the settlement transaction from the possible values: <input type="checkbox"/> ALOP, BPDF, BPIN, BPSU, COBS, COBR, COCP, CORT, CORU, EPDE, EPIS, REAL, RERV, REPO, RPDE, RPIN, RPSU, STND

SST01 – Finalised matched instructions – Output Screen	
Volume of settlement instructions	Count of the number of finalised RECE settlement instructions within the given search criteria, per transaction type code and settlement transaction sub-category.
Original quantity	Sum of original settlement quantities of the RECE settlement instructions, per transaction type code and sub-category
Settled quantity	Sum of settled quantities of the RECE settlement instructions, per transaction type code and sub-category
Matched value	Sum of matched settlement amounts of the RECE settlement instructions, per transaction type code and sub-category
Settled value	Sum of settled settlement amounts of the RECE settlement instructions, per transaction type code and sub-category
Total	Sum for each column in 'RECE' body, summed over all transaction type / sub-category combinations.
Total (always filled and sums across all movement types selected in the filter)	
Transaction type code	Shows the settlement transaction type code set during the selected period duration of time from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> DVP (delivery versus payment) <input type="checkbox"/> DWP (delivery with payment) <input type="checkbox"/> FOP (free of payment) <input type="checkbox"/> PFOD (payment free of delivery)
Transaction sub-category	Shows the subcategory of the settlement transaction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> ALOP, BPDF, BPIN, BPSU, COBS, COBR, COCP, CORT, CORU, EPDE, EPIS, REAL, RERV, REPO, RPDE, RPIN, RPSU, STND
Volume of settlement instructions	The sum of 'Volume of settlement instructions' over DELI and RECE, per transaction type code and settlement transaction sub-category.
Original quantity	The sum of 'Original quantity' over DELI and RECE, per transaction type code and settlement transaction sub-category.

SST01 – Finalised matched instructions – Output Screen	
Settled quantity	The sum of ‘Settled quantity’ over DELI and RECE, per transaction type code and settlement transaction sub-category.
Matched value	The sum of ‘Matched value’ over DELI and RECE, per transaction type code and settlement transaction sub-category.
Settled value	The sum of ‘Settled value’ over DELI and RECE, per transaction type code and settlement transaction sub-category.
Total	Sum for each column in ‘Total’ body, summed over all transaction type / sub-category combinations. ⁷⁶

5.5.2.2 SST02 – Detailed finalised matched instructions

Context of Usage

This report shows detailed information on volume and quantities for all finalised settlement instructions (SIs), at CSD or CSD participant or securities account level, during a selected period of time. The settlement instructions are filtered according the settlement types of the securities that the user selects.

The volume, the original quantities and the settled quantities of settlement instructions are displayed per settlement status.

The results are displayed aggregated per transaction type code, transaction sub-category and securities movement type and as totals.

This report can be used by CSD, external CSD (ECSD) and CSD participant (CSDP) users.

Report Access

This report can be reached in the following way:

- Team Content >> Predefined Reports >> T2S Securities account >> SST02

76 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

Screenshot

The screenshot shows a form titled "SST02 - Securities account - Detailed finalised matched instructions". It contains the following fields:

- Date from***: A dropdown menu with a calendar icon.
- Date to***: A dropdown menu with a calendar icon.
- Settlement type***: A dropdown menu.
- Party BIC**: A dropdown menu with a calendar icon and a search icon.
- Securities account number**: A dropdown menu with a calendar icon and a search icon.
- Securities ISIN**: A dropdown menu with a calendar icon and a search icon.
- Securities movement type**: A dropdown menu.

Illustration 426: SST02 Prompt

Field

Description

SST02 – Detailed finalised matched instructions – Prompt screen	
Date From	You can select the date by clicking on the calendar. This field is mandatory.
Date To	You can select the end date of the period, for which the report should contain the data by clicking on the calendar. For valid report results, the current day cannot be selected. This field is mandatory.
Currency	Currency in which the SIs are settled. You can use this drop-down field to select the currency. This field is mandatory.
Party BIC	You can use this drop-down field to select one or several party BIC. This field will show all related BICs, which belong to the default data scope of the user's party.

SST02 – Detailed finalised matched instructions – Prompt screen	
	This field is optional.
Securities account number	<p>You can use this drop-down field to select one or several securities account numbers. This field will show all related securities accounts which belong to default data scope of the user's party and which belong to the parties selected in field "Party BIC". If no Party BICs are selected, this field shows all securities account numbers belonging to the default data scope of the user's party.</p> <p>This field is optional.</p>
Securities ISIN	<p>The report output contains only settlement instructions with the selected securities.</p> <p>This field is optional.</p>
Securities movement type	<p>This selection specifies if the movement on a securities account results from a delivery or a receive instruction.</p> <p>Possible values:</p> <p><input type="checkbox"/> DELI</p> <p><input type="checkbox"/> RECE</p> <p>This field is optional.</p>

Screenshot

SST02 - Securities account - Detailed finalised matched instructions

Securities account - Detailed finalised settlement instructions - volume

Transaction type code	Transaction sub-category	DELI				RECE				Total			
		Volume totally settled	Volume partially settled / partially cancelled	Volume totally cancelled	Total	Volume totally settled	Volume partially settled / partially cancelled	Volume totally cancelled	Total	Volume totally settled	Volume partially settled / partially cancelled	Volume totally cancelled	Total
DVP	...	0	0	0	0	0	1	0	1	0	1	0	1
DVP	...	1	2	0	3	2	3	1	6	3	5	0	9
DWP	...	0	0	0	0	0	0	0	0	0	0	0	0
DWP	...	0	1	0	1	0	1	0	1	0	2	0	2
FOP	...	0
PFOD	...	1	0	0	1	0	0	0	0	1	0	0	1
PFOD	...	0	0	0	0	0	0	0	0	0	0	0	0
Total		2	3	0	5	2	5	1	8	4	8	0	13

Illustration 427: SST02 output screen, tab 1

SST02 - Securities account - Detailed finalised matched instructions

Securities account - Detailed finalised settlement instructions - quantity

Transaction type code	Transaction sub-category	DELI					RECE					Total	
		Original quantity	Quantity totally settled	Quantity partially settled	Quantity partially cancelled	Quantity totally cancelled	Original quantity	Quantity totally settled	Quantity partially settled	Quantity partially cancelled	Quantity totally cancelled	Original quantity	Settled quantity (totally + partialy)
DVP	...	0	0	0	0	0	10,000.00	0	6,000.00	4,000.00	0	10,000.00	0
DVP	...	80,000.00	50,000.00	25,000.00	5,000.00	0	88,000.00	10,000.00	40,000.00	30,000.00	8,000.00	168,000.00	0
DWP	...	0	0	0	0	0	0	0	0	0	0	0	0
DWP	...	44,000.00	0	38,000.00	6,000.00	0	17,000.00	0	8,200.00	8,800.00	0	0	0
FOP
PFOD	...	1,000.00	0	0	0	1,000.00	0	0	0	0	0	0	1,000.00
PFOD	...	0	0	0	0	0	0	0	0	0	0	0	0
Total		125,000.00	50,000.00

Illustration 428: SST02 output screen, tab 2

Field Description	SCT02 – Detailed matched settlement instructions – OUTPUT SCREEN – Tab 1 Securities account – Detailed finalised instructions - volume	
Transaction type code	Shows the settlement transaction type code set during the selected period duration of time from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> DVP (delivery versus payment) <input type="checkbox"/> DWP (delivery with payment) <input type="checkbox"/> FOP (free of payment) <input type="checkbox"/> PFOD (payment free of delivery) 	
Settlement transaction sub-category	Shows the subcategory of the settlement transaction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> ALOP, BPDF, BPIN, BPSU, COBS, COBR, COCP, CORT, CORU, EPDE, EPIS, REAL, RERV, REPO, RPDE, RPIN, RPSU, STND 	
DELI		
Volume totally settled	A count of the number of totally settled SIs with security movement type DELI, per transaction type and sub-category.	
Volume partially settled / partially cancelled	A count of the number of partially settled/partially cancelled SIs with security movement type DELI, per transaction type and sub-category.	
Volume totally cancelled	A count of the number of totally cancelled matched SIs with security movement type DELI, per transaction type and sub-category.	
Total	Sum of 'Volume totally settled', 'Volume partially settled / partially cancelled' and 'Volume totally cancelled' in the 'DELI' sub-table, per transaction type and sub-category.	
RECE		
Volume totally settled	A count of the number of totally settled SIs found with security movement type RECE, per transaction type and sub-category.	
Volume partially settled / partially cancelled	A count of the number of partially settled/partially cancelled SIs with security movement type RECE, per transaction type and sub-category.	

SCT02 – Detailed matched settlement instructions – OUTPUT SCREEN – Tab 1 Securities account – Detailed finalised instructions - volume	
Volume totally cancelled	A count of the number of totally cancelled matched SIs found with security movement type RECE, per transaction type and sub-category.
Total	Sum of 'Volume totally settled', 'Volume partially settled / partially cancelled' and 'Volume totally cancelled' in the 'RECE' sub-table, per transaction type and sub-category.
Total (across all movement types selected in the filter)	
Volume totally settled	Sum of 'Volume totally settled' 'over 'DELI' and 'RECE' sub-tables, per transaction type and sub-category.
Volume partially settled / partially cancelled	Sum of 'Volume partially settled / partially cancelled' 'over 'DELI' and 'RECE' sub-tables, per transaction type and sub-category.
Volume totally cancelled	Sum of 'Volume totally cancelled' 'over 'DELI' and 'RECE' sub-tables, per transaction type and sub-category.
Total	Sum of 'Total' 'over 'DELI' and 'RECE' sub-tables, per transaction type and sub-category.
Total	
Total	Sum for each column, summed over all transaction type / sub-category combinations.

SCT02 – Detailed matched settlement instructions – OUTPUT SCREEN – Tab 2 Securities account – Detailed finalised instructions - quantity	
Transaction type code	Shows the settlement transaction type code set during the selected period duration of time from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> DVP (delivery versus payment) <input type="checkbox"/> DWP (delivery with payment) <input type="checkbox"/> FOP (free of payment) <input type="checkbox"/> PFOD (payment free of delivery)
Settlement transaction sub-category	Shows the subcategory of the settlement transaction from the possible values:

SCT02 – Detailed matched settlement instructions – OUTPUT SCREEN – Tab 2 Securities account – Detailed finalised instructions - quantity	
	<input type="checkbox"/> ALOP, BPDF, BPIN, BPSU, COBS, COBR, COCP, CORT, CORU, EPDE, EPIS, REAL, RERV, REPO, RPDE, RPIN, RPSU, STND
DELI	
Original quantity	Sum of original settlement quantities of all finalised SIs with securities movement type DELI, per transaction type and sub-category.
Quantity totally settled	Sum of settled settlement quantities of all totally settled SIs with securities movement type DELI, per transaction type and sub-category.
Quantity partially settled	Sum of settled settlement quantities of all partially settled SIs with securities movement type DELI, per transaction type and sub-category.
Quantity partially cancelled	Sum of unsettled settlement quantities of all partially cancelled SIs with securities movement type DELI, per transaction type and sub-category.
Quantity totally cancelled	Sum of unsettled settlement quantities of all totally cancelled SIs with securities movement type DELI, per transaction type and sub-category.
RECE	
Original quantity	Sum of original settlement quantities of all finalised SIs with securities movement type RECE, per transaction type and sub-category.
Quantity totally settled	Sum of settled settlement quantities of all totally settled SIs with securities movement type RECE, per transaction type and sub-category.
Quantity partially settled	Sum of settled settlement quantities of all partially settled SIs with securities movement type RECE, per transaction type and sub-category.
Quantity partially cancelled	Sum of unsettled settlement quantities of all partially cancelled SIs with securities movement type RECE, per transaction type and sub-category.
Quantity totally cancelled	Sum of unsettled settlement quantities of all totally cancelled SIs with securities movement type RECE, per transaction type and sub-category.

SCT02 – Detailed matched settlement instructions – OUTPUT SCREEN – Tab 2 Securities account – Detailed finalised instructions - quantity	
Total (across all movement types selected in the filter)	
Original quantity	The sum of 'Original quantity' over 'DELI' and 'RECE', per transaction type and sub-category.
Quantity settled (totally + partially)	The sum of 'Quantity totally settled' and 'Quantity partially settled' over 'DELI' and 'RECE', per transaction type and sub-category.
Total	
Total	Sum for each column, summed over all transaction type / sub-category combinations. ⁷⁷

5.5.2.3 SST03 – Follow-up by time slot of securities postings

Context of Usage

This report shows the securities postings at CSD, CSDP or securities account level, during a selected period of time. The securities postings activity of the specified securities accounts are displayed as volume and quantity of securities for each time slot of the business day with a total per balance type..

This report can be used by CSD, CSDP and external CSD users.

Report Access

This report can be reached in the following way:

- [Team Content](#) >> [Predefined Reports](#) >> [T2S Securities account](#) >> [SST03](#)

⁷⁷ This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

Screenshot

Illustration 429: SST03 Prompt screen

Field Description

SST03 – Follow-up by time slot of securities postings – Prompt Screen	
Date From	You can select the date by clicking on the calendar. This field is mandatory.
Date To	You can select the end date of the period, for which the report should contain the data by clicking on the calendar. For valid report results, the current day cannot be selected. This field is mandatory.
Currency	Currency in which the SIs are settled. You can use this drop-down field to select the currency. This field is mandatory.
Party BIC	You can use this drop-down field to select one or several party BIC. This field will show all related BICs, which belong to the default data scope of the user's party. This field is optional.

SST03 – Follow-up by time slot of securities postings – Prompt Screen	
Securities account number	<p>You can use this drop-down field to select one or several securities account numbers. This field will show all related securities accounts which belong to default data scope of the user's party and which belong to the parties selected in field "Party BIC". If no Party BICs are selected, this field shows all securities account numbers belonging to the default data scope of the user's party.</p> <p>This field is optional.</p>
Securities ISIN	<p>The report output contains only settlement instructions with the selected securities.</p> <p>This field is optional.</p>

Screenshot

SST03 - Securities account - follow-up by time schedule of securities postings

Securities account - follow-up by time schedule of securities postings

Balance type	Origin	Debit/Credit	Volume								Quantities						
			1st NTS	2nd NTS	RT1 before intraday DVP cut-off	RT2 before intraday credit	RT3 before EOD intraday FDP cut-off	RT4 after intraday FDP cut-off	1st NTS	2nd NTS	RT1 before intraday DVP cut-off	RT2 before EOD intraday credit	RT3 before EOD intraday FDP cut-off	RT4 after intraday FDP cut-off			
Earmarking	Settlement instructions	Debit	0	1	6	71	8	8	8	8	8	0,00	2000,00	1542,00	37522,00	2032,00	44936,00
		Credit	0	1	0	36	0	0	0	0	0	0,00	10000,00	0,00	80000,00	0,00	50000,00
		Total	0	2	6	35	8	8	8	8	8	0,00	10000,00	1542,00	83522,00	2032,00	94936,00
Earmarking	Settlement restrictions	Debit	0	0	0	5	1	1	1	1	0,00	0,00	0,00	100700,00	2930,00	5300,00	5300,00
		Credit	0	0	0	0	0	0	0	0	0,00	0,00	0,00	0,00	0,00	0,00	0,00
		Total	0	0	0	5	1	1	1	1	0,00	0,00	0,00	100700,00	2930,00	5300,00	5300,00
Total Earmarking		Debit	0	1	6	76	9	9	9	9	0,00	2000,00	1542,00	134222,00	4542,00	49936,00	49936,00
		Credit	0	1	0	36	0	0	0	0	0,00	10000,00	0,00	80000,00	0,00	50000,00	50000,00
		Total	0	2	6	111	9	9	9	9	0,00	10000,00	1542,00	514222,00	4542,00	99936,00	99936,00
Blocking	Settlement instructions	Debit															
		Credit															
		Total															
Blocking	Settlement restrictions	Debit															
		Credit															
		Total															
Total Blocking		Debit															
		Credit															
		Total															
CoSD blocking	Settlement instructions	Debit															
		Credit															
		Total															
CoSD blocking	Settlement restrictions	Debit															
		Credit															
		Total															
Total CoSD Blocking		Debit															
		Credit															
		Total															
Deliverable	Settlement instructions	Debit															
		Credit															
		Total															
Deliverable	Settlement restrictions	Debit															
		Credit															
		Total															
Total Deliverable		Debit															
		Credit															
		Total															
Earmarking for auto-collateralisation	Settlement instructions	Debit															
		Credit															
		Total															
Earmarking for auto-collateralisation	Settlement restrictions	Debit															
		Credit															
		Total															
Reservation	Settlement instructions	Debit															
		Credit															
		Total															
Reservation	Settlement restrictions	Debit															
		Credit															
		Total															
Total Reservation		Debit															
		Credit															
		Total															
Total		Debit															
		Credit															
		Total															

Illustration 430: SST03 output screen

Field Description

SCT05 – Follow-up by time slot of cash postings – Output Screen	
Balance type	<p>Shows the balance type for which the securities postings are displayed from the possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Earmarking <input type="checkbox"/> Blocking <input type="checkbox"/> CoSD blocking

SCT05 – Follow-up by time slot of cash postings – Output Screen	
	<input type="checkbox"/> Deliverable <input type="checkbox"/> Earmarking for auto-collateralisation <input type="checkbox"/> Reservation
Origin	Shows the posting origin for which the securities postings are displayed from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Settlement instructions <input type="checkbox"/> Settlement restrictions <input type="checkbox"/> Total for all types
Debit	The aggregation within origin of the securities postings on the debited side
Credit	The aggregation within origin of the securities postings on the credited side
Total (per balance type / origin)	The sums of 'Volume' and 'Quantity' across 'Debit' and 'Credit' per column, within origin.
Time slots: <ul style="list-style-type: none"> <input type="checkbox"/> 1st NTS cycle <input type="checkbox"/> Last NTS cycle <input type="checkbox"/> RT1 before intra-day DVP cut-off <input type="checkbox"/> RT2 before EOD intraday credit reimbursement <input type="checkbox"/> RT3 before EOD intraday FOP cut-off <input type="checkbox"/> RT4 after intraday FOP cut-off 	The aggregation within debit/credit/total of all securities postings found for each designated time-slot.
Volume	The aggregated number of securities postings are displayed for each balance type, origin and debit/credit/total within each of the above time slots.

SCT05 – Follow-up by time slot of cash postings – Output Screen	
Quantity	The aggregated quantity of securities postings are displayed for each balance type, origin and debit/credit/total within each of the above time slots.
Total (per balance type): <input type="checkbox"/> Total earmarking <input type="checkbox"/> Total blocking <input type="checkbox"/> Total COSD blocking <input type="checkbox"/> Total deliverable <input type="checkbox"/> Total earmarking for autocollateralisation <input type="checkbox"/> Total reservation	The sums of 'Volume' and 'Quantity' across 'Debit' and 'Credit' per column, over all origins within balance type.
Total (final)	The sums of 'Volume' and 'Quantity' across 'Debit' and 'Credit' per column, over all balance types. ⁷⁸

5.5.3 T2S Instructions (INX)

5.5.3.1 INX01 – Individual settlement detailed report

Context of Usage

This report shows all finalised business settlement instructions (SI) corresponding to the selected T2S references.

The attributes shown in the output provide business details related to each of the selected settlement instructions and are displayed in a manner which allows easy comparison between these instructions.

This report can be used by CSD, CSDP and ECSD users.

Report Access

This report can be reached in the following way:

- Team Content >> Predefined Reports >> T2S Instructions >> INX01

78 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

Screenshot

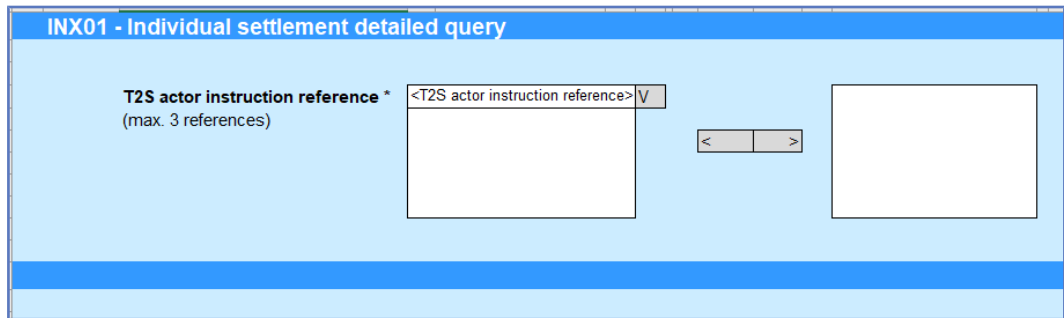


Illustration 431: INX01 prompt screen

Field Description

INX01 – Individual settlement detailed report – Prompt Screen	
T2S actor instruction reference	<p>You can use this drop-down field to select one or several T2S actor instruction references. This field will show all related references, which belong to the default data scope of the user's party.</p> <p>This field is mandatory.</p>

Screenshot

INX01 - Individual settlement detailed query			
Individual settlement detailed query			
Reference information			
T2S actor instruction reference	AN02790283	AN02790229	AN02790229
Account servicer reference			
Account owner reference			
Third party reference			
Common reference			
Repo reference			
Status information			
Instruction overall final status	Partially settled	Totally settled	Totally cancelled
Match status	MACH	MACH	UBAT
Settlement status	SETT	PSET	UBAT
Cancellation status	NCAN	CANC	CANC
CoSD hold status	F	F	F
CSD hold status	F	F	F
Party hold status	F	F	F
CSD validation hold status			
Timeline information			
Entry date time			
Intended settlement date	2013.06.30	2013.07.01	
Actual settlement date	2013.06.30	2013.07.01	
Cancellation date			
Categorization information			
Securities movement type code			
Payment type code			
Transaction type code			
Settlement transaction category			
Settlement transaction sub-category			
ISO transaction code			
Collateral instruction type			
Collateral type			
Securities information			
ISIN code			
Account owner BIC			
Securities account number			
T2S delivering party securities account number			
T2S receiving party securities account number			
Securities sub balance type			
Settlement type			
Original settlement quantity	1000.00	1000.00	1000.00
Settled settlement quantity	1000.00	700.00	0.00
Totally settled settlement quantity	1000.00		
Partially settled settlement quantity		700.00	
Partially cancelled settlement quantity		300.00	
Totally cancelled settlement quantity			1000.00
Securities party information			
Delivering depository BIC			
T2S delivering party BIC			
Delivering settlement party processing reference			
Client of delivering CSD participant BIC			
Client of delivering CSD participant id			
Client of delivering CSD participant issuer			
Client of delivering CSD participant schema name			
Receiving depository BIC			
T2S receiving party BIC			
Receiving settlement party processing reference			
Client of receiving CSD participant BIC			
Client of receiving CSD participant id			
Client of receiving CSD participant issuer			
Client of receiving CSD participant schema name			
Cash information			
Currency code	EUR	EUR	
Credit/debit code			
Debtor party BIC			
Creditor party BIC			
T2S dedicated cash account number			
Debtor T2S dedicated cash account number			
Creditor T2S dedicated cash account number			
Original settlement amount	5000.00	5000.00	5000.00
Transaction original amount	4997.50	4997.50	
Matched amount	4997.50	4997.50	
Settled settlement amount	4997.50	3800.00	
Totally settled settlement amount	4997.50		
Partially settled settlement amount		3800.00	
Partially cancelled settlement amount		1197.50	
Totally cancelled settlement amount			5000.00
Process information			
Cancellation requested	F	F	
Externally matched	F	F	
CoSD flag	F	F	
Partial settlement indicator			
Priority			
Allowed modification flag			
Corporate action event identification			
Opt-out settlement transaction condition indicator			
External CSD flag			
Original/counterpart instruction			
Link instruction counter			
Trade information			
Trade date			
Original ordered amount			
Original ordered currency			
Number of days accrued			
CUMEX indicator			
Deal price value type			
Deal price yielded			
Deal price value rate			
Deal price value amount			
Deal price value currency			
Foreign exchange unit currency			
Foreign exchange quoted currency			
Foreign exchange exchange rate			
Foreign exchange resulting amount			
Foreign exchange resulting amount currency			
Place of trade MIC identifier			
Place of trade description			
Market type code			
Market type proprietary id			
Market type schema name			
Market type issuer name			
Market client side code			
LCMM information			
LCMM approval status			
LCMM sending party short name	Sending party name	Sending party name	
LCMM instructing party short name	Instructor 1 name	Instructor 2 name	
LCMM execution/final settlement date			
LCMM user login name			
LCMM inbound LCMM message id			
LCMM inbound LCMM message ref			
LCMM validation starting timestamp			
LCMM validation ending timestamp			
LCMM entry business date			
POOL instruction counter			
POOL settlement date			
POOL identification			

Illustration 432: INX01 output screen

Field Description

INX01 – Individual settlement detailed report – Output Screen (These columns will be shown for every instruction selected in the prompt screen)	
Reference information	
T2S actor instruction reference	Shows the reference provided by the T2S actor

INX01 – Individual settlement detailed report – Output Screen (These columns will be shown for every instruction selected in the prompt screen)	
Account servicer reference	Shows the reference provided by the T2S actor when the instruction is sent by an account servicer.
Account owner reference	Shows the reference provided by the T2S actor when the instruction is sent by an account owner.
Third party reference	Shows the reference provided by the T2S actor when the instruction is sent by a third party.
Common reference	Shows the common reference for already-matched instructions.
Repo reference	Shows the repo reference.
Status information	
Instruction overall final status	Shows the final status. Possible values: <input type="checkbox"/> “Totally settled” <input type="checkbox"/> “Partially settled” <input type="checkbox"/> “Totally cancelled”
Match status	Shows if the settlement instruction is matched with a counterpart settlement instruction. Possible values: <input type="checkbox"/> NMAT (unmatched) <input type="checkbox"/> MACH (matched)
Settlement status	Shows the settlement status Possible values: <input type="checkbox"/> USET (unsettled) <input type="checkbox"/> PSET (partially settled) <input type="checkbox"/> SETT (fully settled)
Cancellation status	Shows the cancellation status Possible values: <input type="checkbox"/> NCAN (not cancelled) <input type="checkbox"/> CANC (cancelled)
CoSD hold status	Shows the CoSD hold status if the settlement instruction fulfils at least one CoSD rule. Possible values:

INX01 – Individual settlement detailed report – Output Screen (These columns will be shown for every instruction selected in the prompt screen)	
	<input type="checkbox"/> Released <input type="checkbox"/> On hold
CSD hold status	Shows the CSD hold status Possible values: <input type="checkbox"/> Released <input type="checkbox"/> On hold
Party hold status	Shows the party hold status Possible values: <input type="checkbox"/> Released <input type="checkbox"/> On hold
CSD validation hold status	Shows the CSD validation hold status Possible values: <input type="checkbox"/> Released <input type="checkbox"/> On hold
Timeline information	
Entry date time	Shows the date when the settlement instruction entered the T2S system. Display format is: YYYY-MM-DD hh:mm:ss,sssss
Intended settlement date	Shows the intended settlement date. Display format is: YYYY-MM-DD
Actual settlement date	Shows the actual settlement date, if applicable. Display format is: YYYY-MM-DD
Cancellation date	Shows the date when the settlement instruction was cancelled, if applicable. Display format is: YYYY-MM-DD
Categorisation information	
Securities movement type code	Shows the direction of movement required by the settlement instruction. Possible values: <input type="checkbox"/> DELI (deliver) <input type="checkbox"/> RECE (receive)
Payment type code	Shows if cash settlement is also involved. Possible values:

INX01 – Individual settlement detailed report – Output Screen (These columns will be shown for every instruction selected in the prompt screen)	
	<input type="checkbox"/> APMT (against payment) <input type="checkbox"/> FREE (free of payment)
Transaction type code	Shows the transaction type code. Possible values: <input type="checkbox"/> DVP (delivery versus payment) <input type="checkbox"/> DWP (delivery with payment) <input type="checkbox"/> FOP (free of payment) <input type="checkbox"/> PFOD (payment freed of delivery)
Settlement transaction category	Shows the transaction category. Possible values: <input type="checkbox"/> COLA (collateral) <input type="checkbox"/> CORE (collateral relocation) <input type="checkbox"/> LQTR (liquidity transfer) <input type="checkbox"/> REAL (realignment) <input type="checkbox"/> RSMG (restriction management) <input type="checkbox"/> RESU (restriction use) <input type="checkbox"/> RCOL (reverse collateral) <input type="checkbox"/> STND (standard) <input type="checkbox"/> TCAT (technical cash transfer) <input type="checkbox"/> COTF (conditional technical FOP)
Settlement transaction sub-category	Shows the transaction sub-category. Possible values: <input type="checkbox"/> CATR (cash transfer) <input type="checkbox"/> COBS (CoSD blocking position – setup) <input type="checkbox"/> PLED (pledge) <input type="checkbox"/> REAL (realignment) <input type="checkbox"/> REPO (repo) <input type="checkbox"/> STND (standard) <input type="checkbox"/> SPLE (sub-pledge)
ISO transaction code	Shows the ISO transaction code.

INX01 – Individual settlement detailed report – Output Screen

(These columns will be shown for every instruction selected in the prompt screen)

Possible values:

- AUTO (auto-collateralisation)
- BSBK (buy sell back)
- CLAI (market claim)
- CNCB (cenrl bank collateral operation)
- COLI (collateral in)
- COLO (collateral out)
- CONV (depository receipt conversion)
- CORP (corporate action)
- FCTA (factor update)
- INSP (movement of stock)
- ISSU (depository receipt issue)
- MKDW (markdown)
- MKUP (markup)
- NETT (netting)
- NSYN (non-syndicated)
- OWNE (external account transfer)
- OWNI (internal account transfer)
- PAIR (pair-off)
- PLAC (placement)
- PORT (portfolio move)
- REAL (realignment)
- REDI (withdrawal)
- REDM (redemption)
- RELE (depository receipt release cancellation)
- REPU (repo)
- RODE (return delivery without matching)
- RPTO (reporting)
- RVPO (reverse repo)
- SBBK (sell buyback)

INX01 – Individual settlement detailed report – Output Screen (These columns will be shown for every instruction selected in the prompt screen)	
	<input type="checkbox"/> SBRE (borrowing reallocation) <input type="checkbox"/> SECB (securities borrowing) <input type="checkbox"/> SECL (securities lending) <input type="checkbox"/> SLRE (lending reallocation) <input type="checkbox"/> SUBS (subscription) <input type="checkbox"/> SYND (syndicate underwriters) <input type="checkbox"/> TBAC (TBA closing) <input type="checkbox"/> TRAD (trade) <input type="checkbox"/> TRPO (tri-party repo) <input type="checkbox"/> TRVO (tri-party reverse repo) <input type="checkbox"/> TURN (turnaround)
Collateral instruction type	Shows the collateral instruction type, if applicable. Possible values: <input type="checkbox"/> CRDT (credit instruction) <input type="checkbox"/> RMBT (reimbursement collateral instruction)
Collateral type	Shows the collateral type, if applicable. Possible values: <input type="checkbox"/> CLNT (client collateralisation) <input type="checkbox"/> NCBC (NCB collateralisation)
Securities information	
ISIN code	Shows the ISIN.
Account owner BIC	Shows the BIC of the securities account owner as per the SI.
Securities account number	Shows the impacted securities account number as per the SI,
T2S delivering party securities account number	Shows the securities account number of the T2S delivering party.
T2S receiving party securities account number	Shows the securities account number of the T2S receiving party.

INX01 – Individual settlement detailed report – Output Screen (These columns will be shown for every instruction selected in the prompt screen)	
Securities sub balance type	Shows the securities sub balance type.
Settlement type	Shows the settlement type. Possible values: <input type="checkbox"/> NOMI (nominal) <input type="checkbox"/> UNIT (units)
Original settlement quantity	Shows the original settlement quantity.
Settled settlement quantity	Shows the settled settlement quantity.
Totally settled settlement quantity	Shows the settled quantity if the SI is fully settled.
Partially settled settlement quantity	Shows the settled quantity if the SI is only partially settled.
Partially cancelled settlement quantity	Shows the remaining unsettled quantity if the SI is only partially settled
Totally cancelled settlement quantity	Shows the unsettled quantity if the SI is completely cancelled.
Securities party information	
Delivering depository BIC	Shows the BIC of the delivering CSD
T2S delivering party BIC	Shows the BIC of the T2S delivering party.
Delivering settlement party processing reference	Shows the processing reference provided in the delivering settlement party information.
Client of delivering CSD participant BIC	Shows the BIC of the delivering CSD participant's client.
Client of delivering CSD participant Id	Shows the local identifier of the delivering CSD participant's client.
Client of delivering CSD participant issuer	Shows the issuer of the local identifier of the delivering CSD participant's client.

INX01 – Individual settlement detailed report – Output Screen (These columns will be shown for every instruction selected in the prompt screen)	
Client of delivering CSD participant schema name	Shows the schema name of the local identifier of the delivering CSD participant's client.
Receiving depository BIC	Shows the BIC of the receiving CSD.
T2S receiving party BIC	Shows the BIC of the T2S receiving party.
Receiving settlement party processing reference	Shows the processing reference provided in the receiving settlement party information.
Client of receiving CSD participant BIC	Shows the BIC of the receiving CSD participant's client.
Client of receiving CSD participant Id	Shows the local identifier of the receiving CSD participant's client.
Client of receiving CSD participant issuer	Shows the issuer of the local identifier of the receiving CSD participant's client.
Client of receiving CSD participant schema name	Shows the schema name of the local identifier of the receiving CSD participant's client.
Cash information	
(Please note, that this part will be empty for Settlement Instructions with transaction code = FOP)	
Currency code	Shows the currency code.
Credit/debit code	Shows if the settlement instruction involves a debit or credit of cash. Possible values: <input type="checkbox"/> CRDT (credit) <input type="checkbox"/> DBIT (debit)
Debtor party BIC	Shows the BIC of the debtor party.
Creditor party BIC	Shows the BIC of the creditor party.
T2S dedicated cash account number	Shows the impacted T2S dedicated cash account number.

INX01 – Individual settlement detailed report – Output Screen (These columns will be shown for every instruction selected in the prompt screen)	
Debtor T2S dedicated cash account number	Shows T2S dedicated cash account number being debited.
Creditor T2S dedicated cash account number	Shows T2S dedicated cash account number being credited.
Original settlement amount	Shows the original settlement amount.
Transaction original amount	Shows the original amount as per the transaction.
Matched amount	Shows the matched amount as per the matching process..
Settled settlement amount	Shows the settled settlement amount.
Totally settled settlement amount	Shows the settled amount if the SI is fully settled.
Partially settled settlement amount	Shows the settled amount if the SI is only partially settled
Partially cancelled settlement amount	Shows the unsettled amount if the SI is only partially settled.
Totally cancelled settlement amount	Shows the unsettled amount if the SI is fully cancelled.
Process information	
Cancellation requested	Shows if a cancellation has been requested on the settlement instruction. Possible values: <input type="checkbox"/> False <input type="checkbox"/> True
Externally matched	Shows if the settlement instruction was matched outside T2S. Possible values: <input type="checkbox"/> False <input type="checkbox"/> True

INX01 – Individual settlement detailed report – Output Screen (These columns will be shown for every instruction selected in the prompt screen)	
CoSD flag	Shows the CoSD flag if the settlement instruction belongs to a CoSD group. Possible values: <input type="checkbox"/> False <input type="checkbox"/> True
Partial settlement indicator	Shows the partial settlement indicator. Possible values: <input type="checkbox"/> NPAR (partial not allowed) <input type="checkbox"/> PART (partial settlement cash threshold allowed) <input type="checkbox"/> PARC (partial settlement quantity threshold allowed) <input type="checkbox"/> PARQ (partial allowed)
Priority	Shows the priority. Possible values: <input type="checkbox"/> 0001 (reserved) <input type="checkbox"/> 0002 (top) <input type="checkbox"/> 0003 (high) <input type="checkbox"/> 0004 (normal)
Allowed modification flag	Shows the allowed modification flag Possible values: <input type="checkbox"/> False <input type="checkbox"/> True
Corporate action event identification	Shows the corporate action event identification if the SI relates to a corporate action.
Opt-out settlement transaction condition indicator	Shows the opt-out settlement transaction condition indicator. Possible values: <input type="checkbox"/> False <input type="checkbox"/> True
External CSD flag	Shows whether the relevant depository is an external CSD. Possible values:

INX01 – Individual settlement detailed report – Output Screen (These columns will be shown for every instruction selected in the prompt screen)	
	False True
Original/counterpart instruction	Shows whether it is the original instruction or the identifier of the counterpart's instructions.
Link instruction counter	Shows the number of instructions linked to this settlement instruction.
Trade information	
Trade date	Shows the trade date of the settlement instruction. Display format is: YYYY-MM-DD
Original ordered amount	Shows the original ordered amount in the original currency when a conversion from/into another currency has occurred.
Original ordered currency	Shows the original ordered currency when a conversion from/into another currency has occurred.
Number of days accrued	Shows the number of days for which interest has accrued.
CUM/EX indicator	Shows whether the contract was traded cum or ex coupon Possible values: <input type="checkbox"/> CUM (cum coupon) <input type="checkbox"/> EX (ex coupon)
Deal price value type	Shows the type of value in which the price of the traded financial instrument is expressed. Possible values: <input type="checkbox"/> DISC (discount) <input type="checkbox"/> PREM (premium) <input type="checkbox"/> PARV (par or face amount)
Deal price yielded	Shows whether the price is expressed as a yield. Possible values: <input type="checkbox"/> False <input type="checkbox"/> True
Deal price value rate	Shows the deal price value expressed as a rate or percentage when not expressed as an amount.

INX01 – Individual settlement detailed report – Output Screen (These columns will be shown for every instruction selected in the prompt screen)	
Deal price value amount	Shows the deal price value expressed as an amount when not expressed as a rate.
Deal price value currency	Shows the deal price value currency when not expressed as a rate.
Foreign exchange unit currency	Shows the currency in which the rate of exchange is expressed in a currency exchange.
Foreign exchange quoted currency	Shows the currency into which the base currency is converted in a currency exchange.
Foreign exchange exchange rate	Shows the factor for the currency exchange.
Foreign exchange resulting amount	Shows the amount resulting from the currency exchange.
Foreign exchange resulting amount currency	Shows the currency of the amount resulting from the currency exchange.
Place of trade MIC identifier	Shows the market identifier code of the place of trade.
Place of trade description	Shows the description of the place of trade.
Market type code	Shows the market type code.
Market type proprietary Id	Shows the proprietary id of the market type when not using the ISO market type code.
Market type schema name	Shows the schema name associated with the proprietary id of the market type when not using the ISO market type code.
Market type issuer name	Shows the issuer name associated with the proprietary id of the market type when not using the ISO market type code.
Market client side code	Shows the market side code. Possible values: <input type="checkbox"/> CLNT (client side transaction) <input type="checkbox"/> MAKT (market side transaction)
LCMM information	

INX01 – Individual settlement detailed report – Output Screen (These columns will be shown for every instruction selected in the prompt screen)	
LCMM approval status	Shows the LCMM approval status. Possible values: <input type="checkbox"/> APPR (approved (default value)) <input type="checkbox"/> AWAP (awaiting approval) <input type="checkbox"/> REJT (rejected) <input type="checkbox"/> RVKD (revoked)
LCMM sending party short name	Shows the sending party short name
LCMM instructing party short name	Shows the instructing party short name
LCMM execution/final settlement date	Shows the business date when the SI is totally settled. Displayed format is : YYYY-MM-DD.
LCMM user login name	Shows the login name of the LCMM user.
LCMM inbound LCMM message Id	Shows the foreign key of the LCMM inbound message id.
LCMM inbound LCMM message ref	Shows the reference of the LCMM inbound message.
LCMM validation starting timestamp	Shows the LCMM validation starting timestamp. Display format is: YYYY-MM-DD hh:mm:ss,sssss
LCMM validation ending timestamp	Shows the LCMM validation ending timestamp. Displayed format is: YYYY-MM-DD hh:mm:ss,sssss
LCMM entry business date	Shows the LCMM entry business date. Displayed format is: YYYY-MM-DD
POOL instruction counter	Shows the number of instructions that make up a pool as identified on the settlement instruction.
POOL settlement date	Shows the pool settlement date. Displayed format is: YYYY-MM-DD

INX01 – Individual settlement detailed report – Output Screen (These columns will be shown for every instruction selected in the prompt screen)	
POOL identification	Shows the reference identifying a pool as found on the settlement instruction. ⁷⁹

5.5.3.2 INX02 – Multi-criteria search of a set of settlement instructions

Context of Usage

This report shows a list of details for selected finalised business settlement instructions (SI), which it performs in two levels. In the first level, the report produces a list of SIs corresponding to user-supplied filter criteria. In the second level, the user may select one SI from the list and the detailed report provides a full list of attributes of the selected SI.

The attributes shown in the detailed report output provide business details related to the selected SI.

This report can be used by CSD, CSDP and ECSD users.

Report Access

This report can be reached in the following way:

- Team Content >> Predefined Reports >> T2S Instructions >> INX02

Screenshot

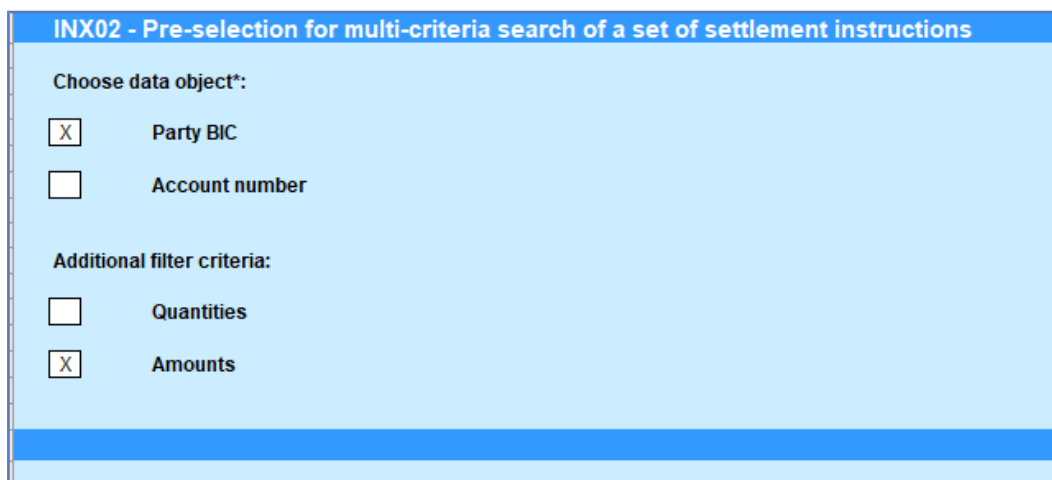


Illustration 433: INX02 pre-selection screen

Field Description

INX02 – Multi-criteria search of a set of settlement instructions – Pre-selection screen
Choose data object (one of both objects has to be chosen)

⁷⁹ This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

INX02 – Multi-criteria search of a set of settlement instructions – Pre-selection screen	
Party BIC	Provides fields for searching securities party BICs or cash party BICs in the next screen
Account number	Provides fields for searching for securities account numbers or cash account numbers in the next screen
Additional search criteria (both objects are optional)	
Quantity of securities	Provides fields for searching different quantity ranges in the next screen
Amounts	Provides fields for searching different amount ranges in the next screen

Screenshot

Illustration 434: INX02 prompt screen

Field Description

INX02 – Multi-criteria search of a set of settlement instructions – Prompt Screen	
Securities party BIC	Filter to select which securities party BIC (CSDs, CSD participants or ECSDs) value/s should be included in the output.

INX02 – Multi-criteria search of a set of settlement instructions – Prompt Screen	
Cash party BIC	Filter to select which cash party BIC (NCB or payment bank) value/s should be included in the output.
Securities account number	Filter to select which securities account number value/s should be included in the output.
T2S DCA number	Multiple selection text field to enter T2S DCA number value/s that should be included in the output.
ISIN	Filter to select which ISIN value/s should be included in the output.
Settlement type	Filter to select which settlement type value/s should be included in the output. Possible values: <input type="checkbox"/> NOMI <input type="checkbox"/> UNIT
Settlement currency code	The report output contains only settlement instructions with the selected settlement currency codes.
Securities movement type code	Filter to select which securities movement type code value/s should be included in the output. Possible values: <input type="checkbox"/> DELI <input type="checkbox"/> RECE
Transaction type code	Filter to select which transaction type code value/s should be included in the output. Possible values: <input type="checkbox"/> DVP <input type="checkbox"/> DWP <input type="checkbox"/> FOP <input type="checkbox"/> PFOD
Settlement transaction category	Filter to select which settlement transaction category value/s should be included in the output. Possible values: <input type="checkbox"/> COLA <input type="checkbox"/> CORE <input type="checkbox"/> LQTR <input type="checkbox"/> REAL <input type="checkbox"/> RSMG <input type="checkbox"/> RESU

INX02 – Multi-criteria search of a set of settlement instructions – Prompt Screen	
	<input type="checkbox"/> RCOL <input type="checkbox"/> STND <input type="checkbox"/> TCAT <input type="checkbox"/> COTF
Settlement transaction sub-category	Filter to select which settlement transaction sub-category value/s should be included in the output. Possible values: <input type="checkbox"/> CATR <input type="checkbox"/> COBS <input type="checkbox"/> PLED <input type="checkbox"/> REAL <input type="checkbox"/> REPO <input type="checkbox"/> STND <input type="checkbox"/> SPLE
LCMM approval status	Filter to select which LCMM approval status value/s should be included in the output. Possible values: <input type="checkbox"/> APPR <input type="checkbox"/> AWAP <input type="checkbox"/> REJT <input type="checkbox"/> RVKD
Settlement status	Filter to select which settlement status value/s should be included in the output. Possible values: <input type="checkbox"/> USET <input type="checkbox"/> PSET <input type="checkbox"/> SETT
Cancellation status	Filter to select which cancellation status value/s should be included in the output. Possible values: <input type="checkbox"/> NCAN <input type="checkbox"/> CANC

INX02 – Multi-criteria search of a set of settlement instructions – Prompt Screen	
Entry date from	<p>Filter to select the inclusive date from which entry date value/s should be included in the output.</p> <p>Must be used in conjunction with:</p> <p><input type="checkbox"/> entry date to</p>
Entry date to	<p>Filter to select the inclusive date up to which entry date value/s should be included in the output.</p> <p>Must be used in conjunction with:</p> <p><input type="checkbox"/> entry date from</p>
Intended settlement date from	<p>Filter to select the inclusive date from which intended settlement date value/s should be included in the output.</p> <p>Must be used in conjunction with:</p> <p><input type="checkbox"/> intended settlement date to</p>
Intended settlement date to	<p>Filter to select the inclusive date up to which intended settlement date value/s should be included in the output.</p> <p>Must be used in conjunction with:</p> <p><input type="checkbox"/> intended settlement date from</p>
The following criteria are only shown in case that “Quantity of securities” was chosen as additional search criteria	
Original quantity from	<p>Filter to select the inclusive quantity from which original quantity value/s should be included in the output.</p> <p>Must be used in conjunction with:</p> <p><input type="checkbox"/> original quantity to</p>
Original quantity to	<p>Filter to select the inclusive quantity up to which original quantity value/s should be included in the output.</p> <p>Must be used in conjunction with:</p> <p><input type="checkbox"/> original quantity from</p>

INX02 – Multi-criteria search of a set of settlement instructions – Prompt Screen	
Settled quantity from	<p>Filter to select the inclusive quantity from which settled quantity value/s should be included in the output.</p> <p>Must be used in conjunction with:</p> <p><input type="checkbox"/> settled quantity to</p>
Settled quantity to	<p>Filter to select the inclusive quantity up to which settled quantity value/s should be included in the output.</p> <p>Must be used in conjunction with:</p> <p><input type="checkbox"/> settled quantity from</p>
Cancelled quantity from	<p>Filter to select the inclusive quantity from which cancelled quantity value/s should be included in the output.</p> <p>Must be used in conjunction with:</p> <p><input type="checkbox"/> cancelled quantity to</p>
Cancelled quantity to	<p>Filter to select the inclusive quantity up to which cancelled quantity value/s should be included in the output.</p> <p>Must be used in conjunction with:</p> <p><input type="checkbox"/> cancelled quantity from</p>
The following criteria are only shown in case that “Amounts” was chosen as additional search criteria	
Original amount from	<p>Filter to select the inclusive amount from which original amount value/s should be included in the output.</p> <p>Must be used in conjunction with:</p> <p><input type="checkbox"/> original amount to</p>
Original amount to	<p>Filter to select the inclusive amount up to which original amount value/s should be included in the output.</p> <p>Must be used in conjunction with:</p> <p><input type="checkbox"/> original amount from</p>

INX02 – Multi-criteria search of a set of settlement instructions – Prompt Screen	
Settled amount from	Filter to select the inclusive amount from which settled amount value/s should be included in the output. Must be used in conjunction with: <input type="checkbox"/> settled amount to
Settled amount to	Filter to select the inclusive amount up to which settled amount value/s should be included in the output. Must be used in conjunction with: <input type="checkbox"/> settled amount from
Cancelled amount from	Filter to select the inclusive amount from which cancelled amount value/s should be included in the output. Must be used in conjunction with: <input type="checkbox"/> cancelled amount to
Cancelled amount to	Filter to select the inclusive amount up to which cancelled amount value/s should be included in the output. Must be used in conjunction with: <input type="checkbox"/> cancelled amount from

Screenshot

Illustration 435: INX02 list screen

Field Description

INX02 – Multi-criteria search of a set of settlement instructions – List Screen	
Number of settlement instructions	Shows the number of settlement instructions found matching the criteria (For each instruction matching the selection criteria, one line will be displayed)
T2S actor instruction reference	Shows the reference provided by the T2S actor The reference is built as a hyperlink which leads to the output details screen when clicked.

INX02 – Multi-criteria search of a set of settlement instructions – List Screen	
Securities movement type code	Shows the direction of movement required by the settlement instruction. Possible values: <input type="checkbox"/> DELI (deliver) <input type="checkbox"/> RECE (receive)
Payment type code	Shows if cash settlement is also involved. Possible values: <input type="checkbox"/> APMT (against payment) <input type="checkbox"/> FREE (free of payment)
Intended settlement date	Shows the intended settlement date.
Actual settlement date	Shows the actual settlement date.
Cancellation date	Shows the date when the settlement instruction was cancelled. Display format is: YYYY-MM-DD
Match status	Shows if the settlement instruction is matched with a counterpart settlement instruction. Possible values: <input type="checkbox"/> NMAT (unmatched) <input type="checkbox"/> MACH (matched)
Settlement status	Shows the settlement status Possible values: <input type="checkbox"/> USET (unsettled) <input type="checkbox"/> PSET (partially settled) <input type="checkbox"/> SETT (fully settled)
Cancellation status	Shows the cancellation status Possible values: <input type="checkbox"/> NCAN (not cancelled) <input type="checkbox"/> CANC (cancelled)
ISIN code	Shows the ISIN.
Settlement type	Shows the settlement type. Possible values:

INX02 – Multi-criteria search of a set of settlement instructions – List Screen	
	<input type="checkbox"/> NOMI (nominal) <input type="checkbox"/> UNIT (units)
Original settlement quantity	Shows the original settlement quantity.
Settled settlement quantity	Shows the settled settlement quantity.
Currency code	Shows the currency code.
Credit/debit code	Shows if the settlement instruction involves a debit or credit of cash. Possible values: <input type="checkbox"/> CRDT (credit) <input type="checkbox"/> DBIT (debit)
Original settlement amount	Shows the original settlement amount.
Matched settlement amount	Shows the matched amount as per the matching process..
Settled settlement amount	Shows the settled settlement amount.
Transaction type code	Shows the transaction type code. Possible values: <input type="checkbox"/> DVP (delivery versus payment) <input type="checkbox"/> DWP (delivery with payment) <input type="checkbox"/> FOP (free of payment) <input type="checkbox"/> PFOD (payment freed of delivery)
Settlement transaction category	Shows the transaction category. Possible values: <input type="checkbox"/> COLA (collateral) <input type="checkbox"/> CORE (collateral relocation) <input type="checkbox"/> LQTR (liquidity transfer) <input type="checkbox"/> REAL (realignment) <input type="checkbox"/> RSMG (restriction management) <input type="checkbox"/> RESU (restriction use) <input type="checkbox"/> RCOL (reverse collateral)

INX02 – Multi-criteria search of a set of settlement instructions – List Screen	
	<ul style="list-style-type: none"><input type="checkbox"/> STND (standard)<input type="checkbox"/> TCAT (technical cash transfer)<input type="checkbox"/> COTF (conditional technical FOP)
Settlement transaction sub-category	<p>Shows the transaction sub-category.</p> <p>Possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> CATR (cash transfer)<input type="checkbox"/> COBS (CoSD blocking position – setup)<input type="checkbox"/> PLED (pledge)<input type="checkbox"/> REAL (realignment)<input type="checkbox"/> REPO (repo)<input type="checkbox"/> STND (standard)<input type="checkbox"/> SPLE (sub-pledge)
ISO transaction code	<p>Shows the ISO transaction code.</p> <p>Possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> AUTO (auto-collateralisation)<input type="checkbox"/> BSBK (buy sell back)<input type="checkbox"/> CLAI (market claim)<input type="checkbox"/> CNCB (cenrl bank collateral operation)<input type="checkbox"/> COLI (collateral in)<input type="checkbox"/> COLO (collateral out)<input type="checkbox"/> CONV (depository receipt conversion)<input type="checkbox"/> CORP (corporate action)<input type="checkbox"/> FCTA (factor update)<input type="checkbox"/> INSP (movement of stock)<input type="checkbox"/> ISSU (depository receipt issue)<input type="checkbox"/> MKDW (markdown)<input type="checkbox"/> MKUP (markup)<input type="checkbox"/> NETT (netting)<input type="checkbox"/> NSYN (non-syndicated)<input type="checkbox"/> OWNE (external account transfer)<input type="checkbox"/> OWNI (internal account transfer)

INX02 – Multi-criteria search of a set of settlement instructions – List Screen	
	<input type="checkbox"/> PAIR (pair-off) <input type="checkbox"/> PLAC (placement) <input type="checkbox"/> PORT (portfolio move) <input type="checkbox"/> REAL (realignment) <input type="checkbox"/> REDI (withdrawal) <input type="checkbox"/> REDM (redemption) <input type="checkbox"/> RELE (depository receipt release cancellation) <input type="checkbox"/> REPU (repo) <input type="checkbox"/> RODE (return delivery without matching) <input type="checkbox"/> RPTO (reporting) <input type="checkbox"/> RVPO (reverse repo) <input type="checkbox"/> SBBK (sell buyback) <input type="checkbox"/> SBRE (borrowing reallocation) <input type="checkbox"/> SECB (securities borrowing) <input type="checkbox"/> SECL (securities lending) <input type="checkbox"/> SLRE (lending reallocation) <input type="checkbox"/> SUBS (subscription) <input type="checkbox"/> SYND (syndicate underwriters) <input type="checkbox"/> TBAC (TBA closing) <input type="checkbox"/> TRAD (trade) <input type="checkbox"/> TRPO (tri-party repo) <input type="checkbox"/> TRVO (tri-party reverse repo) <input type="checkbox"/> TURN (turnaround)
CSD BIC	Shows the BIC of the related CSD
CSD participant BIC	Shows the BIC of the T2S participant.
Securities account number	Shows the impacted securities account number as per the SI,
NCB BIC	Shows the parent party BIC of the payment bank (if applicable)
Payment bank BIC	Shows the BIC of the cash account owner (if applicable)
T2S DCA number	Shows the T2S cash account used for settlement (if applicable)

Screenshot

INX02 - Multi-criteria search of a set of settlement instructions	
Multi-criteria search of a set of settlement instructions - details	
Reference information	
T2S actor instruction reference	ANO_0207_216
Status information	
Match status	MACH
Settlement status	SETT
Cancellation status	NCAN
CoSD hold status	N
CSD hold status	F
CSD validation hold status	F
Party hold status	F
Timeline information	
Entry date	2021-07-02
Intended settlement date	2021-07-02
Actual settlement date	2021-07-02
Cancellation date	2021-07-02
Categorisation information	
Securities movement type code	RECE
Payment type code	APMT
Transaction type code	
Settlement transaction category	
Settlement transaction sub-category	
ISO transaction code	CISO
Securities information	
ISIN code	FR0000100001
Securities account number	SAC001
Settlement type	UNIT
Original settlement quantity	0
Settled settlement quantity	0.1
Cancelled settlement quantity	
Securities party information	
CSD BIC	CSDADEFBIC
CSD participant BIC	
External CSD BIC	ECSDGB2LBIC
Cash information	
Currency code	EUR
Credit/debit code	CRDT
T2S DCA number	DCA001
Original settlement amount	0.1
Matched settlement amount	0.1
Settled settlement amount	0.1
Cancelled settlement amount	
Cash party information	
NCB BIC	NCBKDEFFXX
Payment bank BIC	PMBKDEFFXX
Process information	
Priority	0001
Externally matched	T
Trade information	
Trade date	2021-07-02
LCMM information	
LCMM approval status	APPR

Illustration 436: INX02 details screen

Field

Description

INX02 – Multi-criteria search of a set of settlement instructions – Details Screen	
Reference information	
T2S actor instruction reference	Shows the reference provided by the T2S actor
Status information	

INX02 – Multi-criteria search of a set of settlement instructions – Details Screen	
Match status	Shows if the settlement instruction is matched with a counterpart settlement instruction. Possible values: <input type="checkbox"/> NMAT (unmatched) <input type="checkbox"/> MACH (matched)
Settlement status	Shows the settlement status Possible values: <input type="checkbox"/> USET (unsettled) <input type="checkbox"/> PSET (partially settled) <input type="checkbox"/> SETT (fully settled)
Cancellation status	Shows the cancellation status Possible values: NCAN (not cancelled) CANC (cancelled)
CoSD hold status	Shows the CoSD hold status if the settlement instruction fulfils at least one CoSD rule. Possible values: <input type="checkbox"/> Released <input type="checkbox"/> On hold
CSD hold status	Shows the CSD hold status Possible values: <input type="checkbox"/> Released <input type="checkbox"/> On hold
CSD validation hold status	Shows the CSD validation hold status Possible values: <input type="checkbox"/> Released <input type="checkbox"/> On hold
Party hold status	Shows the party hold status Possible values: <input type="checkbox"/> Released <input type="checkbox"/> On hold
Timeline information	

INX02 – Multi-criteria search of a set of settlement instructions – Details Screen	
Entry date	Shows the date when the settlement instruction entered the T2S system. Display format is: YYYY-MM-DD
Intended settlement date	Shows the intended settlement date. Display format is: YYYY-MM-DD
Actual settlement date	Shows the actual settlement date, if applicable. Display format is: YYYY-MM-DD
Cancellation date	Shows the date when the settlement instruction was cancelled, if applicable. Display format is: YYYY-MM-DD
Categorisation information	
Securities movement type code	Shows the direction of movement required by the settlement instruction. Possible values: <input type="checkbox"/> DELI (deliver) <input type="checkbox"/> RECE (receive)
Payment type code	Shows if cash settlement is also involved. Possible values: <input type="checkbox"/> APMT (against payment) <input type="checkbox"/> FREE (free of payment)
Transaction type code	Shows the transaction type code. Possible values: <input type="checkbox"/> DVP (delivery versus payment) <input type="checkbox"/> DWP (delivery with payment) <input type="checkbox"/> FOP (free of payment) <input type="checkbox"/> PFOD (payment freed of delivery)
Settlement transaction category	Shows the transaction category. Possible values: <input type="checkbox"/> COLA (collateral) <input type="checkbox"/> CORE (collateral relocation) <input type="checkbox"/> LQTR (liquidity transfer) <input type="checkbox"/> REAL (realignment) <input type="checkbox"/> RSMG (restriction management) <input type="checkbox"/> RESU (restriction use)

INX02 – Multi-criteria search of a set of settlement instructions – Details Screen	
	<ul style="list-style-type: none"><input type="checkbox"/> RCOL (reverse collateral)<input type="checkbox"/> STND (standard)<input type="checkbox"/> TCAT (technical cash transfer)<input type="checkbox"/> COTF (conditional technical FOP)
Settlement transaction sub-category	<p>Shows the transaction sub-category.</p> <p>Possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> CATR (cash transfer)<input type="checkbox"/> COBS (CoSD blocking position – setup)<input type="checkbox"/> PLED (pledge)<input type="checkbox"/> REAL (realignment)<input type="checkbox"/> REPO (repo)<input type="checkbox"/> STND (standard)<input type="checkbox"/> SPLE (sub-pledge)
ISO transaction code	<p>Shows the ISO transaction code.</p> <p>Possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> AUTO (auto-collateralisation)<input type="checkbox"/> BSBK (buy sell back)<input type="checkbox"/> CLAI (market claim)<input type="checkbox"/> CNCB (cenrl bank collateral operation)<input type="checkbox"/> COLI (collateral in)<input type="checkbox"/> COLO (collateral out)<input type="checkbox"/> CONV (depository receipt conversion)<input type="checkbox"/> CORP (corporate action)<input type="checkbox"/> FCTA (factor update)<input type="checkbox"/> INSP (movement of stock)<input type="checkbox"/> ISSU (depository receipt issue)<input type="checkbox"/> MKDW (markdown)<input type="checkbox"/> MKUP (markup)<input type="checkbox"/> NETT (netting)<input type="checkbox"/> NSYN (non-syndicated)<input type="checkbox"/> OWNE (external account transfer)

INX02 – Multi-criteria search of a set of settlement instructions – Details Screen	
	<ul style="list-style-type: none"> <input type="checkbox"/> OWNI (internal account transfer) <input type="checkbox"/> PAIR (pair-off) <input type="checkbox"/> PLAC (placement) <input type="checkbox"/> PORT (portfolio move) <input type="checkbox"/> REAL (realignment) <input type="checkbox"/> REDI (withdrawal) <input type="checkbox"/> REDM (redemption) <input type="checkbox"/> RELE (depository receipt release cancellation) <input type="checkbox"/> REPU (repo) <input type="checkbox"/> RODE (return delivery without matching) <input type="checkbox"/> RPTO (reporting) <input type="checkbox"/> RVPO (reverse repo) <input type="checkbox"/> SBBK (sell buyback) <input type="checkbox"/> SBRE (borrowing reallocation) <input type="checkbox"/> SECB (securities borrowing) <input type="checkbox"/> SECL (securities lending) <input type="checkbox"/> SLRE (lending reallocation) <input type="checkbox"/> SUBS (subscription) <input type="checkbox"/> SYND (syndicate underwriters) <input type="checkbox"/> TBAC (TBA closing) <input type="checkbox"/> TRAD (trade) <input type="checkbox"/> TRPO (tri-party repo) <input type="checkbox"/> TRVO (tri-party reverse repo) <input type="checkbox"/> TURN (turnaround)
Securities information	
ISIN code	Shows the ISIN.
Securities account number	Shows the impacted securities account number as per the SI,
Settlement type	Shows the settlement type. Possible values: <ul style="list-style-type: none"> <input type="checkbox"/> NOMI (nominal) <input type="checkbox"/> UNIT (units)

INX02 – Multi-criteria search of a set of settlement instructions – Details Screen	
Original settlement quantity	Shows the original settlement quantity.
Settled settlement quantity	Shows the settled settlement quantity.
Cancelled settlement quantity	Shows the unsettled quantity if the SI is not completely settled.
Securities party information	
CSD BIC	Shows the BIC of the CSD
CSD participant BIC	Shows the BIC of the CSD participant.
External CSD BIC	Shows the BIC of the external CSD (ECSD)
Cash information	
(Please note, that this part will be empty for Settlement Instructions with transaction code = FOP)	
Currency code	Shows the currency code.
Credit/debit code	Shows if the settlement instruction involves a debit or credit of cash. Possible values: <input type="checkbox"/> CRDT (credit) <input type="checkbox"/> DBIT (debit)
T2S dedicated cash account number	Shows the impacted T2S dedicated cash account number.
Original settlement amount	Shows the original settlement amount.
Transaction original amount	Shows the original amount as per the transaction.
Matched amount	Shows the matched amount as per the matching process..
Settled settlement amount	Shows the settled settlement amount.
Cancelled settlement amount	Shows the cancelled amount if the SI is not completely settled.
Process information	
Priority	Shows the priority. Possible values: <input type="checkbox"/> 0001 (reserved)

INX02 – Multi-criteria search of a set of settlement instructions – Details Screen	
	<input type="checkbox"/> 0002 (top) <input type="checkbox"/> 0003 (high) <input type="checkbox"/> 0004 (normal)
Externally matched	Shows if the settlement instruction was matched outside T2S. Possible values: <input type="checkbox"/> False <input type="checkbox"/> True
Trade information	
Trade date	Shows the trade date of the settlement instruction. Display format is: YYYY-MM-DD
LCMM information	
LCMM approval status	Shows the LCMM approval status. Possible values: <input type="checkbox"/> APPR (approved (default value)) <input type="checkbox"/> AWAP (awaiting approval) <input type="checkbox"/> REJT (rejected) <input type="checkbox"/> RVKD (revoked) ⁸⁰

5.5.3.3 INX03 – Individual restriction detailed report

Context of Usage

This report shows all finalised business settlement restrictions (SR) corresponding to the selected T2S references.

The attributes shown in the output provide business details related to each of the selected settlement restrictions and are displayed in a manner which allows easy comparison between these instructions.

This report can be used by NCB, PB, CSD, CSDP and ECSD users.

Report Access

This report can be reached in the following way:

- Team Content >> Predefined Reports >> T2S Instructions >> INX03

80 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

Screenshot

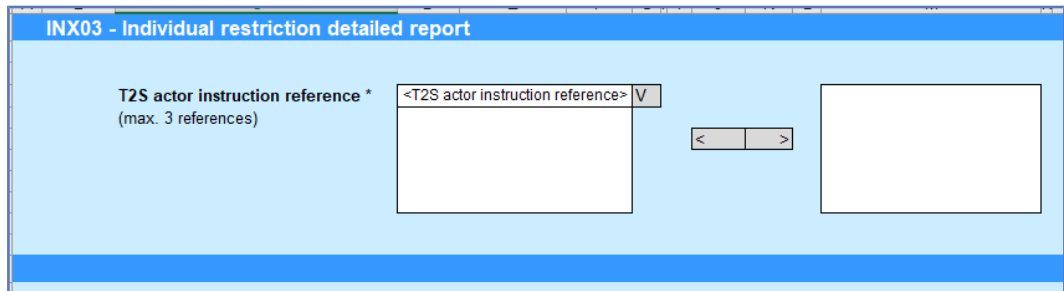


Illustration 437: INX03 prompt screen

Field Description

INX03 – Individual restriction detailed report – Prompt Screen	
T2S actor instruction ref- erence	<p>You can use this drop-down field to select one or several T2S actor instruction references. This field will show all related references, which belong to the default data scope of the user's party.</p> <p>This field is mandatory.</p>

Screenshot

INX03 - Individual restriction detailed report			
Individual restriction detailed report			
Reference information			
T2S actor instruction reference	ANO2792283	ANO2792229	...
Account servicer reference
Account owner reference
Third party reference
Status information			
Restriction overall final status	Partially settled	Totally settled	...
Settlement status	PSET	SETT	...
Cancellation status	CANC	NCAN	...
Timeline information			
Creation date	2013-06-30	2013-07-01	...
Entry date time	2013-06-30	2013-07-01	...
Intended settlement date	2013-06-30	2013-07-01	...
Actual settlement date	2013-06-30	2013-07-01	...
Cancellation date
Categorisation information			
Movement type	CASH	SCTY	...
Collateral instruction type
Balance from	RSV1	AWAS	...
Balance to	DLVR	EEUR	...
Restriction processing type from
Restriction processing type to
Securities information			
ISIN code	...	DE1234567890	...
Account owner BIC	...	COBADEFFXX	...
Securities account number	...	SEC-ACCT1	...
Settlement type	...	UNIT	...
Settlement quantity	...	3500.00	...
Settled settlement quantity	...	3500.00	...
Totally settled settlement quantity	...	3500.00	...
Partially settled settlement quantity
Partially cancelled settlement quantity
Totally cancelled settlement quantity
Cash information			
Currency code	EUR
T2S dedicated cash account number	T2SDCA001
Settlement amount	6000.00
Settled settlement amount	5890.00
Totally settled settlement amount
Partially settled settlement amount	5890.00
Partially cancelled settlement amount	110.00
Totally cancelled settlement amount
Process information			
CoSD blocking	F	F	...
Priority	0004	0004	...
Corporate action event identification
Collateral flag
End of day restriction release	T	T	...
Linked instructions counter
Restriction reference classification
LCMM information			
LCMM approval status	APPR	APPR	...
LCMM sending party short name	Sending party name	Sending party name	...
LCMM instructing party short name	Instructor 1 name	Instructor 2 name	...
LCMM execution/final settlement date
LCMM user login name
LCMM inbound LCMM message id
LCMM inbound LCMM message ref
LCMM validation starting timestamp
LCMM validation ending timestamp
LCMM entry business date
POOL party short name
POOL instruction counter
POOL settlement date
POOL identification

Illustration 438: INX03 output screen

Field Description

INX03 – Individual restriction detailed report – Output Screen (These columns will be shown for every instruction selected in the prompt screen)	
Reference information	
T2S actor instruction reference	Shows the reference provided by the T2S actor

INX03 – Individual restriction detailed report – Output Screen (These columns will be shown for every instruction selected in the prompt screen)	
Account servicer reference	Shows the reference provided by the T2S actor when the restriction is sent by an account servicer.
Account owner reference	Shows the reference provided by the T2S actor when the restriction is sent by an account owner.
Third party reference	Shows the reference provided by the T2S actor when the restriction is sent by a third party.
Status information	
Restriction overall final status	Shows the final status. Possible values: <input type="checkbox"/> “Totally settled” <input type="checkbox"/> “Partially settled” <input type="checkbox"/> “Totally cancelled”
Settlement status	Shows the settlement status Possible values: <input type="checkbox"/> USET (unsettled) <input type="checkbox"/> PSET (partially settled) <input type="checkbox"/> SETT (fully settled)
Cancellation status	Shows the cancellation status Possible values: <input type="checkbox"/> NCAN (not cancelled) <input type="checkbox"/> CANC (cancelled)
Timeline information	
Creation date	Shows the date when the settlement restriction was created. Display format is: YYYY-MM-DD
Entry date time	Shows the date when the settlement restriction entered T2S. Display format is: YYYY-MM-DD hh:mm:ss,sssss
Intended settlement date	Shows the intended settlement date. Display format is: YYYY-MM-DD
Actual settlement date	Shows the actual settlement date. Display format is: YYYY-MM-DD
Cancellation date	Shows the date when the settlement restriction was cancelled. Display format is: YYYY-MM-DD

INX03 – Individual restriction detailed report – Output Screen (These columns will be shown for every instruction selected in the prompt screen)	
Categorisation information	
Movement type	Shows the movement type of the settlement restriction. Possible values: <ul style="list-style-type: none"><input type="checkbox"/> CASH (restriction of cash)<input type="checkbox"/> SCTY (restriction of securities)
Collateral instruction type	Shows the collateral instruction type. Possible values: <ul style="list-style-type: none"><input type="checkbox"/> CRDT (credit instruction)<input type="checkbox"/> RMBT (reimbursement collateral instruction)
Balance from	Shows the balance type from which securities or cas are moved
Balance to	Shows the balance type to which securities or cash are moved
Restriction processing type from	Shows the restriction processing type from which the cash/securities are debited/delivered. Possible values: <ul style="list-style-type: none"><input type="checkbox"/> Rejection<input type="checkbox"/> CSD Validation Hold<input type="checkbox"/> Reservation<input type="checkbox"/> Blocking<input type="checkbox"/> Balance Type/Earmarking<input type="checkbox"/> Earmarking for Auto-collateralisation<input type="checkbox"/> Deliverable<input type="checkbox"/> Collateralised<input type="checkbox"/> CoSD Blocking
Restriction processing type to	Shows the restriction processing type to which the cash/securities are credited/received. Possible values: <ul style="list-style-type: none"><input type="checkbox"/> Rejection<input type="checkbox"/> CSD Validation Hold<input type="checkbox"/> Reservation

INX03 – Individual restriction detailed report – Output Screen (These columns will be shown for every instruction selected in the prompt screen)	
	<input type="checkbox"/> Blocking <input type="checkbox"/> Balance Type/Earmarking <input type="checkbox"/> Earmarking for Auto-collateralisation <input type="checkbox"/> Deliverable <input type="checkbox"/> Collateralised <input type="checkbox"/> CoSD blocking
Securities information (This part will only be filled in case of settlement restrictions on securities)	
ISIN code	Shows the ISIN.
Account owner BIC	Shows the BIC of the securities account owner as per the SR.
Securities account number	Shows the impacted securities account number as per the SR.
Settlement type	Shows the settlement type. Possible values: <input type="checkbox"/> NOMI (nominal) <input type="checkbox"/> UNIT (units)
Settlement quantity	Shows the entered settlement quantity.
Settled settlement quantity	Shows the settled settlement quantity.
Totally settled settlement quantity	Shows the settled quantity if the SR is fully settled.
Partially settled settlement quantity	Shows the settled quantity if the SR is only partially settled.
Partially cancelled settlement quantity	Shows the remaining unsettled quantity if the SR is only partially settled
Totally cancelled settlement quantity	Shows the unsettled quantity if the SR is completely cancelled.
Cash information (This part will only be filled in case of settlement restrictions on cash)	
Currency code	Shows the currency code.
T2S dedicated cash account number	Shows the impacted T2S dedicated cash account number.

INX03 – Individual restriction detailed report – Output Screen (These columns will be shown for every instruction selected in the prompt screen)	
Settlement amount	Shows the settlement amount.
Settled settlement amount	Shows the settled settlement amount.
Totally settled settlement amount	Shows the settled amount if the SR is fully settled.
Partially settled settlement amount	Shows the settled amount if the SR is only partially settled.
Partially cancelled settlement amount	Shows the remaining unsettled amount if the SR is only partially settled
Totally cancelled settlement amount	Shows the unsettled amount if the SR is completely cancelled.
Process information	
CoSD blocking	Shows whether the restriction relates to a CoSD blocking. Possible values: <input type="checkbox"/> False <input type="checkbox"/> True
Priority	Shows the priority. Possible values: <input type="checkbox"/> 0001 (reserved) <input type="checkbox"/> 0002 (top) <input type="checkbox"/> 0003 (high) <input type="checkbox"/> 0004 (normal)
Corporate action event identification	Shows the corporate action event identification if the SR relates to a corporate action.
Collateral flag	Shows whether the restriction relates to collateral. Possible values: <input type="checkbox"/> False <input type="checkbox"/> True
End of day restriction release	Shows whether the restriction relates to an end of day restriction release. Possible values: <input type="checkbox"/> False

INX03 – Individual restriction detailed report – Output Screen (These columns will be shown for every instruction selected in the prompt screen)	
	<input type="checkbox"/> True
Linked instructions counter	Shows the number of instructions linked to this settlement restriction.
LCMM information	
LCMM approval status	Shows the LCMM approval status. Possible values: <input type="checkbox"/> APPR (approved (default value)) <input type="checkbox"/> AWAP (awaiting approval) <input type="checkbox"/> REJT (rejected) <input type="checkbox"/> RVKD (revoked)
LCMM sending party short name	Shows the sending party short name
LCMM instructing party short name	Shows the instructing party short name
LCMM execution/final settlement date	Shows the business date when the SR is totally settled. Displayed format is : YYYY-MM-DD.
LCMM user login name	Shows the login name of the LCMM user.
LCMM inbound LCMM message Id	Shows the foreign key of the LCMM inbound message id.
LCMM inbound LCMM message ref	Shows the reference of the LCMM inbound message.
LCMM validation starting timestamp	Shows the LCMM validation starting timestamp. Display format is: YYYY-MM-DD hh:mm:ss,sssss
LCMM validation ending timestamp	Shows the LCMM validation ending timestamp. Displayed format is: YYYY-MM-DD hh:mm:ss,sssss
LCMM entry business date	Shows the LCMM entry business date. Displayed format is: YYYY-MM-DD
POOL party short name	Shows the short name of the party

INX03 – Individual restriction detailed report – Output Screen (These columns will be shown for every instruction selected in the prompt screen)	
POOL instruction counter	Shows the number of instructions that make up a pool as identified on the settlement restriction.
POOL settlement date	Shows the pool settlement date. Displayed format is: YYYY-MM-DD
POOL identification	Shows the reference identifying a pool as found on the settlement instruction. ⁸¹

5.5.3.4 INX04 – Multi-criteria search of a set of settlement restrictions

Context of Usage

This report shows a list of details for selected finalised business settlement restrictions (SR), which it performs on two levels. In the first level, the report produces a list of SRs corresponding to user-supplied filter criteria. In the second level, the user may select one SR from the list and the detailed report provides a full list of attributes for the selected SR.

The attributes shown in the detailed report output provide business details related to the selected SR.

This report can be used by NCB, PB, CSD, CSDP and ECSD users.

Report Access

This report can be reached in the following way:

- Team Content >> Predefined Reports >> T2S Instructions >> INX04

Screenshot

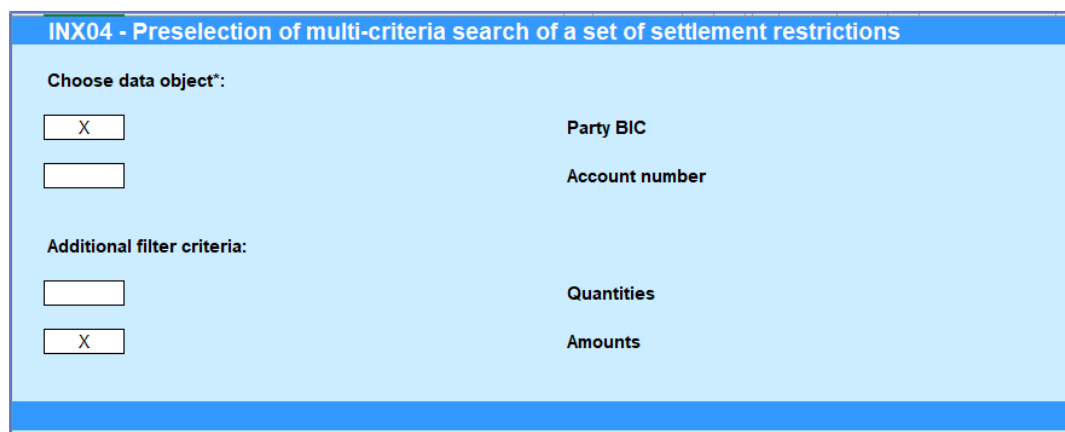


Illustration 439: INX04 pre-selection screen

81 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

Field

Description

INX04 – Multi-criteria search of a set of settlement restrictions – Pre-selection screen	
Choose data object (one of both objects has to be chosen)	
Party BIC	Provides fields for searching securities party BICs or cash party BICs in the next screen
Account number	Provides fields for searching for securities account numbers or cash account numbers in the next screen
Additional search criteria (both objects are optional)	
Quantity of securities	Provides fields for searching different quantity ranges in the next screen
Amounts	Provides fields for searching different amount ranges in the next screen

Screenshot

The screenshot displays the 'INX04 - Multi-criteria search of a set of settlement restrictions' prompt screen. It features a list of search criteria on the left, each with a corresponding input field and a 'V' (validation) button. The criteria include:

- Securities party BIC
- Cash party BIC
- Securities account number
- T2S DCA number
- Settlement status
- Cancellation status

Below these, there is a 'Range criteria' section with two columns: 'From' and 'To'. Each criterion in this section has a 'from' and 'to' input field:

- Entry date
- Intended settlement date
- Original quantity
- Settled quantity
- Cancelled quantity
- Original amount
- Settled amount
- Cancelled amount

Illustration 440: INX04 prompt screen

Field Description	INX04 – Multi-criteria search of a set of settlement restrictions – Prompt Screen	
Securities party BIC	Filter to select which securities party BIC (CSDs, CSD participants or ECSDs) value/s should be included in the output.	
Cash party BIC	Filter to select which cash party BIC (NCB or payment bank) value/s should be included in the output.	
Securities account number	Filter to select which securities account number value/s should be included in the output.	
T2S DCA number	Multiple selection text field to enter T2S DCA number that should be included in the output.	
Settlement status	Filter to select which settlement status value/s should be included in the output. Possible values: <input type="checkbox"/> USET <input type="checkbox"/> PSET <input type="checkbox"/> SETT	
Cancellation status	Filter to select which cancellation status value/s should be included in the output. Possible values: <input type="checkbox"/> NCAN <input type="checkbox"/> CANC	
Entry date from	Filter to select the inclusive date from which entry date value/s should be included in the output. Must be used in conjunction with: <input type="checkbox"/> entry date to	
Entry date to	Filter to select the inclusive date up to which entry date value/s should be included in the output. Must be used in conjunction with: <input type="checkbox"/> entry date from	
Intended settlement date from	Filter to select the inclusive date from which intended settlement date value/s should be included in the output.	

INX04 – Multi-criteria search of a set of settlement restrictions – Prompt Screen	
	<p>Must be used in conjunction with:</p> <p><input type="checkbox"/> intended settlement date to</p>
Intended settlement date to	<p>Filter to select the inclusive date up to which intended settlement date value/s should be included in the output.</p> <p>Must be used in conjunction with:</p> <p><input type="checkbox"/> intended settlement date from</p>
<p>The following criteria are only shown in case that “Quantity of securities” was chosen as additional search criteria</p>	
Original quantity from	<p>Filter to select the inclusive quantity from which original quantity value/s should be included in the output.</p> <p>Must be used in conjunction with:</p> <p><input type="checkbox"/> original quantity to</p>
Original quantity to	<p>Filter to select the inclusive quantity up to which original quantity value/s should be included in the output.</p> <p>Must be used in conjunction with:</p> <p><input type="checkbox"/> original quantity from</p>
Settled quantity from	<p>Filter to select the inclusive quantity from which settled quantity value/s should be included in the output.</p> <p>Must be used in conjunction with:</p> <p><input type="checkbox"/> settled quantity to</p>
Settled quantity to	<p>Filter to select the inclusive quantity up to which settled quantity value/s should be included in the output.</p> <p>Must be used in conjunction with:</p> <p><input type="checkbox"/> settled quantity from</p>
Cancelled quantity from	<p>Filter to select the inclusive quantity from which cancelled quantity value/s should be included in the output.</p> <p>Must be used in conjunction with:</p>

INX04 – Multi-criteria search of a set of settlement restrictions – Prompt Screen	
	cancelled quantity to
Cancelled quantity to	<p>Filter to select the inclusive quantity up to which cancelled quantity value/s should be included in the output.</p> <p>Must be used in conjunction with:</p> <p><input type="checkbox"/> cancelled quantity from</p>
The following criteria are only shown in case that “Amounts” was chosen as additional search criteria	
Original amount from	<p>Filter to select the inclusive amount from which original amount value/s should be included in the output.</p> <p>Must be used in conjunction with:</p> <p><input type="checkbox"/> original amount to</p>
Original amount to	<p>Filter to select the inclusive amount up to which original amount value/s should be included in the output.</p> <p>Must be used in conjunction with:</p> <p><input type="checkbox"/> original amount from</p>
Settled amount from	<p>Filter to select the inclusive amount from which settled amount value/s should be included in the output.</p> <p>Must be used in conjunction with:</p> <p><input type="checkbox"/> settled amount to</p>
Settled amount to	<p>Filter to select the inclusive amount up to which settled amount value/s should be included in the output.</p> <p>Must be used in conjunction with:</p> <p><input type="checkbox"/> settled amount from</p>
Cancelled amount from	<p>Filter to select the inclusive amount from which cancelled amount value/s should be included in the output.</p> <p>Must be used in conjunction with:</p> <p><input type="checkbox"/> cancelled amount to</p>

INX04 – Multi-criteria search of a set of settlement restrictions – Prompt Screen

Cancelled amount to Filter to select the inclusive amount up to which cancelled amount value/s should be included in the output.

Must be used in conjunction with:

cancelled amount from

Screenshot

T2S actor instruction reference	Intended settlement date	Actual settlement date	Settlement status	Cancellation status	ISIN code	Original quantity	Settled quantity	Currency	Original amount	Settled amount	CSD BIC	CSD participant BIC	Securities account number	NCB BIC	Payment bank BIC	T2S DCA number
AND0007216	2021-07-02	2021-07-02	SETT	NCAN	DE098647981	1	1				CSDADEFBIC		SAC001			
AND0007218	2021-07-02	2021-07-02	SETT	NCAN				EUR	0.1	0.1				NCBKDEFFXX	PMBKDEFFXX	DCA001
AND0007349	2021-07-03	2021-07-03	PSET	CANC	US132676890	2	1				CSDADEFBIC	CSDPDEFFXX	SAC002			

Illustration 441: INX04 list screen

Field Description

INX04 – Multi-criteria search of a set of settlement restrictions – List Screen

Number of settlement restrictions	Shows the number of settlement restrictions found matching the criteria
(For each restriction matching the selection criteria, one line will be displayed)	
T2S actor instruction reference	Shows the reference provided by the T2S actor The reference is built as a hyperlink which leads to the output details screen when clicked.
Intended settlement date	Shows the intended settlement date. Display format is: YYYY-MM-DD
Actual settlement date	Shows the actual settlement date.
Settlement status	Shows the settlement status Possible values: <input type="checkbox"/> USET (unsettled) <input type="checkbox"/> PSET (partially settled) <input type="checkbox"/> SETT (fully settled)
Cancellation status	Shows the cancellation status Possible values: <input type="checkbox"/> NCAN (not cancelled) <input type="checkbox"/> CANC (cancelled)

INX04 – Multi-criteria search of a set of settlement restrictions – List Screen	
ISIN code	Shows the ISIN
Original quantity	Shows the entered settlement quantity.
Settled quantity	Shows the settled settlement quantity.
Currency	Shows the currency code
Original amount	Shows the settlement amount.
Settled amount	Shows the settled settlement amount.
Transaction type code	Shows the transaction type of the settlement restriction. Possible values: <input type="checkbox"/> SRCA (settlement restriction on cash) <input type="checkbox"/> SRSE (settlement restriction on securities)
CSD BIC	Shows the BIC of the CSD
Account owner BIC	Shows the BIC of the securities account owner as per the SR.
Securities account number	Shows the impacted securities account number as per the SR.
NCB BIC	Shows the parent party BIC of the payment bank (if applicable)
Payment bank BIC	Shows the BIC of the payment bank (if applicable)
T2S dedicated cash account number	Shows the impacted T2S dedicated cash account number.

Screenshot

INX04 - Multi-criteria search of a set of settlement restrictions	
Multi-criteria search of a set of settlement restrictions - details	
Reference information	
T2S actor instruction reference	ANO2792283
Status information	
Settlement status	PSET
Cancellation status	CANC
Timeline information	
Entry date time	2013-06-30 10:23:25,87452
Intended settlement date	2013-06-30
Actual settlement date	2013-06-30
Cancellation date	...
Categorisation information	
Movement type	CASH
Restriction processing type from	Deliverable
Restriction processing type to	Blocking
Transaction type code	SRCA
Securities information	
ISIN code	
Securities account number	
Settlement type	
Original quantity	
Settled quantity	
Cancelled quantity	
CSD BIC	
CSD participant BIC	
External CSD BIC	
Cash information	
Currency code	EUR
T2S dedicated cash account number	T2SDCA001
Original amount	6000.00
Settled amount	5890.00
Cancelled amount	
NCB BIC	MARKDEFFXXX
Payment bank BIC	PMBKDEFFXXX
Process information	
CoSD blocking	False
Priority	0004
End of day restriction release	False
LCMM information	
LCMM approval status	APPR

Illustration 442: INX04 details screen

Field

Description

INX04 – Multi-criteria search of a set of settlement restrictions – Details Screen	
Reference information	
T2S actor instruction reference	Shows the reference provided by the T2S actor
Status information	
Settlement status	Shows the settlement status

INX04 – Multi-criteria search of a set of settlement restrictions – Details Screen	
	Possible values: <input type="checkbox"/> USET (unsettled) <input type="checkbox"/> PSET (partially settled) <input type="checkbox"/> SETT (fully settled)
Cancellation status	Shows the cancellation status Possible values: <input type="checkbox"/> NCAN (not cancelled) <input type="checkbox"/> CANC (cancelled)
Timeline information	
Entry date time	Shows the date when the settlement instruction entered the T2S system. Display format is: YYYY-MM-DD hh:mm:ss,sssss
Intended settlement date	Shows the intended settlement date. Display format is: YYYY-MM-DD
Actual settlement date	Shows the actual settlement date, if applicable. Display format is: YYYY-MM-DD
Cancellation date	Shows the date when the settlement instruction was cancelled, if applicable. Display format is: YYYY-MM-DD
Categorisation information	
Movement type	Shows the movement type of the settlement restriction. Possible values: <input type="checkbox"/> CASH (restriction on cash) <input type="checkbox"/> SCTY (restriction on securities)
Restriction processing type from	Shows the restriction processing type from which the cash/securities are debited/delivered. Possible values: <input type="checkbox"/> Rejection <input type="checkbox"/> CSD Validation Hold <input type="checkbox"/> Reservation <input type="checkbox"/> Blocking <input type="checkbox"/> Balance Type/Earmarking <input type="checkbox"/> Earmarking for Auto-collateralisation <input type="checkbox"/> Deliverable

INX04 – Multi-criteria search of a set of settlement restrictions – Details Screen	
	<input type="checkbox"/> Collateralised <input type="checkbox"/> CoSD Blocking
Restriction processing type to	Shows the restriction processing type to which the cash/securities are credited/received. Possible values: <ul style="list-style-type: none"> <input type="checkbox"/> Rejection <input type="checkbox"/> CSD Validation Hold <input type="checkbox"/> Reservation <input type="checkbox"/> Blocking <input type="checkbox"/> Balance Type/Earmarking <input type="checkbox"/> Earmarking for Auto-collateralisation <input type="checkbox"/> Deliverable <input type="checkbox"/> Collateralised <input type="checkbox"/> CoSD blocking
Transaction type code	Shows the transaction type of the settlement restriction. Possible values: <ul style="list-style-type: none"> <input type="checkbox"/> SRCA (settlement restriction on cash) <input type="checkbox"/> SRSE (settlement restriction on securities)
Securities information	
(Please note, that this part will only be filled for restrictions on securities)	
ISIN code	Shows the ISIN.
Securities account number	Shows the impacted securities account number as per the SI,
Settlement type	Shows the settlement type. Possible values: <ul style="list-style-type: none"> <input type="checkbox"/> NOMI (nominal) <input type="checkbox"/> UNIT (units)
Original quantity	Shows the original settlement quantity.
Settled quantity	Shows the settled settlement quantity.
Cancelled quantity	Shows the unsettled quantity if the SI is not completely settled.
Securities party information	

INX04 – Multi-criteria search of a set of settlement restrictions – Details Screen	
CSD BIC	Shows the BIC of the CSD
CSD participant BIC	Shows the BIC of the CSD participant.
External CSD BIC	Shows the BIC of the external CSD (ECSD)
Cash information	
(Please note, that this part will only be filled for restrictions on cash)	
Currency code	Shows the currency code.
T2S dedicated cash account number	Shows the impacted T2S dedicated cash account number.
Original amount	Shows the original settlement amount.
Settled amount	Shows the settled settlement amount.
Cancelled amount	Shows the cancelled amount if the SR did not reach full settlement.
NCB BIC	Shows the parent party BIC of the payment bank (if applicable)
Payment bank BIC	Shows the BIC of the payment bank
Process information	
CoSD blocking	Shows whether the restriction relates to a CoSD blocking. Possible values: <input type="checkbox"/> False <input type="checkbox"/> True
Priority	Shows the priority. Possible values: <input type="checkbox"/> 0001 (reserved) <input type="checkbox"/> 0002 (top) <input type="checkbox"/> 0003 (high) <input type="checkbox"/> 0004 (normal)
End of day restriction release	Shows whether the restriction relates to an end of day restriction release. Possible values: <input type="checkbox"/> False <input type="checkbox"/> True
LCMM information	

INX04 – Multi-criteria search of a set of settlement restrictions – Details Screen	
LCMM approval status	Shows the LCMM approval status. Possible values: <input type="checkbox"/> APPR (approved (default value)) <input type="checkbox"/> AWAP (awaiting approval) <input type="checkbox"/> REJT (rejected) <input type="checkbox"/> RVKD (revoked) ⁸²

5.5.3.5 INX05 – Liquidity transfers

Context of Usage

This report shows the cash activity for all finalised liquidity transfers (LT) at CB, PB or T2S dedicated cash account level, during a selected period of time. The volume of finalised liquidity transfers per transaction category / transaction sub-category / underlying transfer type, together with the aggregated instructed and actual settled values, are shown.

This report can be used by CB and PB users.

Report Access

This report can be reached in the following way:

- Team Content >> Predefined Reports >> T2S Instructions >> INX05

Screenshot

Illustration 443: INX05 prompt screen

82 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

Field Description	INX05 – Liquidity transfers – Prompt Screen	
Date From		You can select the date by clicking on the calendar. This field is mandatory.
Date To		You can select the end date of the period, for which the report should contain the data by clicking on the calendar. For valid report results, the current day cannot be selected. This field is mandatory.
Currency		You can use this drop-down field to select the currency. This field is mandatory.
Party BIC		You can use this drop-down field to select one or several party BIC. This field will show all related BICs, which belong to the default data scope of the user's party. This field is optional.
T2S DCA number		You can use this drop-down field to select one or several T2S DCA numbers. This field will show all related T2S DCAs, which belong to default data scope of the user's party and which belong to the parties selected in field "Party BIC". If no Party BICs are selected, this field shows all T2S DCA numbers belonging to the default data scope of the user's party. This field is optional.

Screenshot

INX05 - Liquidity transfers									
Liquidity transfers									
Transaction category	Transaction sub-category	Underlying transfer type	Volume of liquidity transfers					Value of transfers	Value actually transferred
			Totally settled	Partially settled	Totally cancelled	Rejected	Revoked		
LQTR	EDLT	Immediate	0	0	0	0	0	0.00	0.00
		Standing	0	0	0	0	0	0.00	0.00
	LQTR	Predefined	0	0	0	0	0	0.00	0.00
		Immediate	0	0	0	0	0	0.00	0.00
	LTAC	Standing	0	0	0	0	0	0.00	0.00
		Predefined	0	0	0	0	0	0.00	0.00
TCAT	ALOP	Immediate	0	0	0	0	0	0.00	0.00
	CARL	Standing	0	0	0	0	0	0.00	0.00
	MPOR	Immediate	0	0	0	0	0	0.00	0.00
Total			0	0	0	0	0	0.00	0.00

Outbound liquidity transfer									
Transaction category	Transaction sub-category	Underlying transfer type	Volume of liquidity transfers					Value of transfers	Value actually transferred
			Totally settled	Partially settled	Totally cancelled	Rejected	Revoked		
LQTR	EDLT	Immediate	0	0	0	0	0	0.00	0.00
		Standing	86	0	0	0	0	14827694891.91	14827694891.91
	LQTR	Predefined	0	0	22	0	0	2200000000000.00	0.00
		Immediate	0	4	0	0	0	220000.00	120000.00
	LTAC	Standing	0	0	0	0	0	0.00	0.00
		Predefined	0	0	0	0	0	0.00	0.00
STND	STND	Standing	2	0	0	0	0	20100.00	20100.00
TCAT	ALOP	Immediate	0	0	0	0	0	0.00	0.00
	CARL	Standing	2	0	0	0	0	49333.33	49333.33
	MPOR	Standing	1	0	0	0	0	100.00	100.00
		Immediate	0	0	0	0	0	0.00	0.00
Total			91	4	22	0	0	22148277284425.20	148289064425.24

Internal liquidity transfer									
Transaction category	Transaction sub-category	Underlying transfer type	Volume of liquidity transfers					Value of transfers	Value actually transferred
			Totally settled	Partially settled	Totally cancelled	Rejected	Revoked		
LQTR	EDLT	Immediate	0	0	0	0	0	0.00	0.00
		Standing	0	0	0	0	0	0.00	0.00
	LQTR	Predefined	0	0	0	0	0	0.00	0.00
		Immediate	0	0	0	0	0	0.00	0.00
	LTAC	Standing	0	0	0	0	0	0.00	0.00
		Predefined	0	0	0	0	0	0.00	0.00
TCAT	ALOP	Immediate	0	0	0	0	0	0.00	0.00
	CARL	Standing	0	0	0	0	0	0.00	0.00
	MPOR	Immediate	0	0	0	0	0	0.00	0.00
Total			0	0	0	0	0	0.00	0.00

Total									
Transaction category	Transaction sub-category	Underlying transfer type	Volume of liquidity transfers					Value of transfers	Value actually transferred
			Totally settled	Partially settled	Totally cancelled	Rejected	Revoked		
LQTR	EDLT	Immediate	0	0	0	0	0	0.00	0.00
		Standing	86	0	0	0	0	14827694891.91	14827694891.91
	LQTR	Predefined	0	0	22	0	0	2200000000000.00	0.00
		Immediate	0	4	0	0	0	220000.00	120000.00
	LTAC	Standing	0	0	0	0	0	0.00	0.00
		Predefined	0	0	0	0	0	0.00	0.00
STND	STND	Standing	2	0	0	0	0	20100.00	20100.00
TCAT	ALOP	Immediate	0	0	0	0	0	0.00	0.00
	CARL	Standing	2	0	0	0	0	49333.33	49333.33
	MPOR	Standing	1	0	0	0	0	100.00	100.00
		Immediate	0	0	0	0	0	0.00	0.00
Total			91	4	22	0	0	22148277284425.20	148289064425.24

Illustration 444: INX05 output screen

Field Description

INX05 – Liquidity transfers – Output Screen	
Inbound liquidity transfers	
Transaction category	Shows the transaction category of the liquidity transfer. Possible values are: <input type="checkbox"/> LQTR

INX05 – Liquidity transfers – Output Screen	
	<input type="checkbox"/> TCAT
Transaction sub-category	<p>Shows the transaction sub-category of the liquidity transfer.</p> <p>Possible values are:</p> <input type="checkbox"/> EDLT <input type="checkbox"/> LQTR <input type="checkbox"/> LTAC <input type="checkbox"/> ALOP <input type="checkbox"/> CARL <input type="checkbox"/> MPOR
Underlying transfer type	<p>Shows the underlying transfer type of the liquidity transfer.</p> <p>Possible values are:</p> <input type="checkbox"/> Standing <input type="checkbox"/> Predefined <input type="checkbox"/> Immediate
Totally settled	A count of all finalised inbound LTs which have been totally settled, per transaction category / transaction sub-category / underlying transaction type.
Partially settled	A count of all finalised inbound LTs which have been only partially settled, per transaction category / transaction sub-category / underlying transaction type.
Totally cancelled	A count of all finalised inbound LTs which have been totally cancelled (ie. no settlement at all), per transaction category / transaction sub-category / underlying transaction type.
Rejected	A count of all finalised inbound LTs which were rejected before settlement, per transaction category / transaction sub-category / underlying transaction type.
Revoked	A count of all finalised inbound LTs which were revoked after settlement, per transaction category / transaction sub-category / underlying transaction type.
Value of transfers	Sum of the original instructed transfer amounts of all finalised inbound LTs, per transaction category / transaction sub-category / underlying transaction type.

INX05 – Liquidity transfers – Output Screen	
Value actually transferred	Sum of the actual settled amounts of all finalised inbound LTs, per transaction category / transaction sub-category / underlying transaction type.
Outbound liquidity transfers	
Transaction category	Shows the transaction category of the liquidity transfer. Possible values are: <input type="checkbox"/> LQTR <input type="checkbox"/> TCAT <input type="checkbox"/> STND
Transaction sub-category	Shows the transaction sub-category of the liquidity transfer. Possible values are: <input type="checkbox"/> EDLT <input type="checkbox"/> LQTR <input type="checkbox"/> LTAC <input type="checkbox"/> ALOP <input type="checkbox"/> CARL <input type="checkbox"/> MPOR <input type="checkbox"/> STND
Underlying transfer type	Shows the underlying transfer type of the liquidity transfer. Possible values are: <input type="checkbox"/> Standing <input type="checkbox"/> Predefined <input type="checkbox"/> Immediate
Totally settled	A count of all finalised inbound LTs which have been totally settled, per transaction category / transaction sub-category / underlying transaction type.
Partially settled	A count of all finalised inbound LTs which have been only partially settled, per transaction category / transaction sub-category / underlying transaction type.
Totally cancelled	A count of all finalised inbound LTs which have been totally cancelled (ie. no settlement at all), per transaction category / transaction sub-category / underlying transaction type.

INX05 – Liquidity transfers – Output Screen	
Rejected	A count of all finalised inbound LTs which were rejected before settlement, per transaction category / transaction sub-category / underlying transaction type.
Revoked	A count of all finalised inbound LTs which were revoked after settlement, per transaction category / transaction sub-category / underlying transaction type.
Value of transfers	Sum of the original instructed transfer amounts of all finalised inbound LTs, per transaction category / transaction sub-category / underlying transaction type.
Value actually transferred	Sum of the actual settled amounts of all finalised inbound LTs, per transaction category / transaction sub-category / underlying transaction type.
Internal liquidity transfers	
Transaction category	Shows the transaction category of the liquidity transfer. Possible values are: <input type="checkbox"/> LQTR <input type="checkbox"/> TCAT
Transaction sub-category	Shows the transaction sub-category of the liquidity transfer. Possible values are: <input type="checkbox"/> EDLT <input type="checkbox"/> LQTR <input type="checkbox"/> LTAC <input type="checkbox"/> ALOP <input type="checkbox"/> CARL <input type="checkbox"/> MPOR
Underlying transfer type	Shows the underlying transfer type of the liquidity transfer. Possible values are: <input type="checkbox"/> Standing <input type="checkbox"/> Predefined <input type="checkbox"/> Immediate
Totally settled	A count of all finalised inbound LTs which have been totally settled, per transaction category / transaction sub-category / underlying transaction type.

INX05 – Liquidity transfers – Output Screen	
Partially settled	A count of all finalised inbound LTs which have been only partially settled, per transaction category / transaction sub-category / underlying transaction type.
Totally cancelled	A count of all finalised inbound LTs which have been totally cancelled (ie. no settlement at all), per transaction category / transaction sub-category / underlying transaction type.
Rejected	A count of all finalised inbound LTs which were rejected before settlement, per transaction category / transaction sub-category / underlying transaction type.
Revoked	A count of all finalised inbound LTs which were revoked after settlement, per transaction category / transaction sub-category / underlying transaction type.
Value of transfers	Sum of the original instructed transfer amounts of all finalised inbound LTs, per transaction category / transaction sub-category / underlying transaction type.
Value actually transferred	Sum of the actual settled amounts of all finalised inbound LTs, per transaction category / transaction sub-category / underlying transaction type.
Total	
Transaction category	Shows the transaction category of the liquidity transfer. Possible values are: <input type="checkbox"/> LQTR <input type="checkbox"/> TCAT <input type="checkbox"/> STND
Transaction sub-category	Shows the transaction sub-category of the liquidity transfer. Possible values are: <input type="checkbox"/> EDLT <input type="checkbox"/> LQTR <input type="checkbox"/> LTAC <input type="checkbox"/> ALOP <input type="checkbox"/> CARL <input type="checkbox"/> MPOR <input type="checkbox"/> STND

INX05 – Liquidity transfers – Output Screen	
Underlying transfer type	Shows the underlying transfer type of the liquidity transfer. Possible values are: <input type="checkbox"/> Standing <input type="checkbox"/> Predefined <input type="checkbox"/> Immediate
Totally settled	Sum of all totally settled measures across all transfer types, per transaction category / transaction sub-category / underlying transaction type.
Partially settled	Sum of all partially settled measures across all transfer types, per transaction category / transaction sub-category / underlying transaction type.
Totally cancelled	Sum of all totally cancelled measures across all transfer types, per transaction category / transaction sub-category / underlying transaction type.
Rejected	Sum of all rejected measures across all transfer types, per transaction category / transaction sub-category / underlying transaction type.
Revoked	Sum of all revoked measures across all transfer types, per transaction category / transaction sub-category / underlying transaction type.
Value of transfers	Sum of all value of transfers measures across all transfer types, per transaction category / transaction sub-category / underlying transaction type.
Value actually transferred	Sum of all value actually transferred measures across all transfer types, per transaction category / transaction sub-category / underlying transaction type. ⁸³

5.5.3.6 INX06 – Multi-criteria search of a set of business cancellations

Context of Usage

This report shows a list of details for selected business cancellation instructions (CI), which it performs on two levels. In the first level, the report produces a list of CIs corresponding to user-supplied filter criteria. In the second level, the user may select one CI from the list and the detailed report provides a full list of attributes for the selected CI.

83 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

The attributes shown in the detailed report output provide business details related to the selected CI.

This report can be used by NCB, PB, CSD, CSDP and ECSD users.

Report Access

This report can be reached in the following way:

- Team Content >> Predefined Reports >> T2S Instructions >> INX06

Screenshot

Illustration 445: INX06 pre-selection screen

Field Description

INX06 – Multi-criteria search of a set of business cancellations – Pre-selection screen	
Choose data object	
(one of both objects has to be chosen)	
Party BIC	Provides fields for searching securities party BICs or cash party BICs in the next screen
Account number	Provides fields for searching for securities account numbers or cash account numbers in the next screen
Additional search criteria	
(both objects are optional)	
Quantity of securities	Provides fields for searching different quantity ranges in the next screen
Amounts	Provides fields for searching different amount ranges in the next screen

Screenshot

The screenshot shows a prompt screen titled "INX06 - Multi-criteria search of a set of business cancellations". It contains the following fields and controls:

- Securities party BIC:** A text input field with a dropdown arrow and a "V" icon.
- Cash party BIC:** A text input field with a dropdown arrow and a "V" icon.
- Securities account number:** A text input field with a dropdown arrow and a "V" icon.
- T2S DCA number:** A text input field with a dropdown arrow and a "V" icon.
- Referenced instruction type:** A text input field with a dropdown arrow and a "V" icon.
- Approval status:** A text input field with a dropdown arrow and a "V" icon.
- Execution status:** A text input field with a dropdown arrow and a "V" icon.
- Range criteria:** A section with "From" and "To" labels.
- Entry date:** Two text input fields, one for "From" and one for "To".
- Original settlement quantity:** Two text input fields, one for "From" and one for "To".
- Original settlement amount:** Two text input fields, one for "From" and one for "To".

Illustration 446: INX06 prompt screen

Field Description

INX06 – Multi-criteria search of a set of business cancellations – Prompt Screen	
Securities party BIC	Filter to select which securities party BIC (CSDs, CSD participants or ECSDs) value/s should be included in the output.
Cash party BIC	Filter to select which cash party BIC (NCB or payment bank) value/s should be included in the output.
Securities account number	Filter to select which securities account number value/s should be included in the output.
T2S DCA number	Multiple selection text field to enter T2S DCA number value/s that should be included in the output.
Referenced instruction type	Filter to select which referenced instruction types should be included in the output. Possible values: <input type="checkbox"/> STIN (Settlement instruction) <input type="checkbox"/> STRN (Settlement restriction)

INX06 – Multi-criteria search of a set of business cancellations – Prompt Screen	
Approval status	<p>Filter to select which approval status value/s should be included in the output.</p> <p>Possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> APPR (Approved) <input type="checkbox"/> AWAP (Awaiting approval) <input type="checkbox"/> REJT (Rejected) <input type="checkbox"/> RVKD (Revoked)
Execution status	<p>Filter to select which execution status value/s should be included in the output.</p> <p>Possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> EXCD (Executed) <input type="checkbox"/> NEXD (Not executed)
Entry date from	<p>Filter to select the inclusive date from which entry date value/s should be included in the output.</p> <p>Must be used in conjunction with:</p> <ul style="list-style-type: none"> <input type="checkbox"/> entry date to
Entry date to	<p>Filter to select the inclusive date up to which entry date value/s should be included in the output.</p> <p>Must be used in conjunction with:</p> <ul style="list-style-type: none"> <input type="checkbox"/> entry date from
The following criteria are only shown in case that “Quantity of securities” was chosen as additional search criteria	
Original settlement quantity from	<p>Filter to select the inclusive quantity from which original settlement quantity value/s should be included in the output.</p> <p>Must be used in conjunction with:</p> <ul style="list-style-type: none"> <input type="checkbox"/> original settlement quantity to
Original settlement quantity to	<p>Filter to select the inclusive quantity up to which original settlement quantity value/s should be included in the output.</p>

INX06 – Multi-criteria search of a set of business cancellations – Prompt Screen	
	<p>Must be used in conjunction with:</p> <p><input type="checkbox"/> original settlement quantity from</p>
<p>The following criteria are only shown in case that “Amounts” was chosen as additional search criteria</p>	
Original settlement amount from	<p>Filter to select the inclusive amount from which original settlement amount value/s should be included in the output.</p> <p>Must be used in conjunction with:</p> <p><input type="checkbox"/> original settlement amount to</p>
Original settlement amount to	<p>Filter to select the inclusive amount up to which original settlement amount value/s should be included in the output.</p> <p>Must be used in conjunction with:</p> <p><input type="checkbox"/> original settlement amount from</p>

Screenshot

Underlying T2S instruction reference	Entry date	LCMM approval status	Execution status	Original settlement quantity	Original settlement amount	Referenced instruction type	CSD BIC	CSD participant BIC	Securities account number	NCB BIC	Payment bank BIC	T2S DCA number
CANCO2792283	2013-06-30	APPR	EXCD	8000.00	6000.00	STIN	CSDADEFXXX	CSDPDEFFAAA	SAC007	MARKDEFXXX	PMBKDEFXXX	T2SDCA001
CANCO2792229	2013-07-01	APPR	EXCD	3500.00		STRN	CSDADEFXXX	CSDPDEFFBBB	SEC-ACCT1			

Illustration 447: INX06 list screen

Field Description

INX06 – Multi-criteria search of a set of business cancellations – List Screen	
Number of cancellation instructions	<p>Shows the number of cancellation instructions found matching the criteria</p> <p>(For each cancellation matching the selection criteria, one line will be displayed)</p>
Underlying T2S instruction reference	<p>Shows the T2S reference of the settlement instruction or settlement restriction.</p>
Entry date time	<p>Shows the date and time the cancellation instruction entered T2S.</p> <p>Displayed format is: YYYY-MM-DD hh:mm:ss,sssss</p>

INX06 – Multi-criteria search of a set of business cancellations – List Screen	
Intended settlement date	Shows the intended settlement date of the underlying instruction. Displayed format is: YYYY-MM-DD
LCMM approval status	Shows the LCMM approval status. Possible values: <input type="checkbox"/> APPR (approved (default value)) <input type="checkbox"/> AWAP (awaiting approval) <input type="checkbox"/> REJT (rejected) <input type="checkbox"/> RVKD (revoked)
Execution status	Shows the execution status of the cancellation instruction. Possible values: <input type="checkbox"/> EXCD (executed) <input type="checkbox"/> NEXD (not executed)
Original settlement quantity	Shows the original quantity of the securities of the underlying instruction in units or face amount.
Original settlement amount	Shows the original amount of the underlying instruction in the instruction's currency.
Referenced instruction type	Shows the type of the referenced instruction: Possible values: <input type="checkbox"/> STIN (settlement instruction) <input type="checkbox"/> STRN (settlement restriction)
CSD Id	Shows the BIC of the CSD
CSD participant Id	Shows the BIC of the CSD participant
Securities account number	Shows the securities account number of the underlying instruction.
NCB BIC	Shows the parent party BIC of the payment bank (if applicable)
Payment bank BIC	Shows the BIC of the payment bank (if applicable)
T2S dedicated cash account number	Shows the T2S dedicated cash account number of the underlying instruction.
Securities account number	Shows the impacted securities account number as per the SR.

INX06 – Multi-criteria search of a set of business cancellations – List Screen	
NCB BIC	Shows the parent party BIC of the payment bank (if applicable)
Payment bank BIC	Shows the BIC of the payment bank (if applicable)

Screenshot

INX06 - Multi-criteria search of a set of business cancellations	
Multi-criteria search of a set of business cancellations - details	
Reference information	
Underlying T2S instruction reference	CANO2792283
Status information	
Execution status	EXCD
Match status	MACH
Cancellation status	CANC
Timeline information	
Entry date time	2013-06-30
Execution date	2013-06-30
Categorisation information	
Cancellation instruction type	PTYC
Referenced instruction type	STIN
Securities information	
ISIN code	
Securities account number	SAC007
Securities settlement type code	
Original settlement quantity	8000.00
CSD BIC	CSDADEFXXX
CSD participant BIC	CSDPDEFXXX
External CSD BIC	
Cash information	
Currency code	EUR
T2S dedicated cash account number	T2SDCA001
Original settlement amount	6000.00
NCB BIC	MARKDEFXXX
Payment bank BIC	PMBKDEFXXX
Process information	
Reason code reference	
Externally matched	F
LCMM information	
LCMM approval status	APPR

Illustration 448: INX06 details screen

Field Description

INX06 – Multi-criteria search of a set of business cancellations – Details Screen	
Reference information	
Underlying T2S instruction reference	Shows the T2S reference of the settlement instruction or the settlement restriction.

INX06 – Multi-criteria search of a set of business cancellations – Details Screen	
Status information	
Execution status	Shows the execution status of the cancellation instruction. Possible values: <input type="checkbox"/> EXCD (executed) <input type="checkbox"/> NEXD (not executed)
Match status	Shows the matching status of the cancellation instruction. Possible values: <input type="checkbox"/> MACH (matched) <input type="checkbox"/> NMAT (unmatched)
Cancellation status	Shows the cancellation status of the cancellation instruction. Possible values: <input type="checkbox"/> DEND (denied) <input type="checkbox"/> NDEN (not denied)
Timeline information	
Entry date time	Shows the date and time the cancellation instruction entered T2S. Displayed format is: YYYY-MM-DD hh:mm:ss,sssss
Execution date	Shows the execution date of the cancellation instruction. Displayed format is: YYYY-MM-DD
Categorisation information	
Cancellation instruction type	Shows the type of cancellation. Possible values: <input type="checkbox"/> PTYC (party cancellation) <input type="checkbox"/> CSDC (CSD cancellation) <input type="checkbox"/> COSD (CoSD cancellation)
Referenced instruction type	Shows the type of the referenced instruction: Possible values: <input type="checkbox"/> STIN (settlement instruction) <input type="checkbox"/> STRN (settlement restriction)
Securities information	

INX06 – Multi-criteria search of a set of business cancellations – Details Screen	
(Please note, that this part will not be filled for cancellations for settlement restrictions on cash)	
ISIN code	Shows the ISIN.
Securities account number	Shows the securities account number of the underlying instruction.
Securities settlement type	Shows the settlement type. Possible values: <input type="checkbox"/> NOMI (nominal) <input type="checkbox"/> UNIT (units)
Original settlement quantity	Shows the original quantity of the securities of the underlying instruction in units or face amount.
CSD BIC	Shows the BIC of the CSD
CSD participant BIC	Shows the BIC of the CSD participant
External CSD BIC	Shows the BIC of the external CSD
Cash information	
(Please note, that this part will not be filled for cancellations for restrictions on securities or for cancellations for settlement instructions “FOP”)	
Currency code	Shows the currency code.
T2S dedicated cash account number	Shows the T2S dedicated cash account number of the underlying instruction.
Original settlement amount	Shows the original amount of the underlying instruction in the instruction’s currency.
NCB BIC	Shows the BIC of the NCB
Payment bank BIC	Shows the BIC of the payment bank
Process information	
Reason code reference	Shows the reason code reference of the cancellation instruction.
Externally matched	Shows if the instruction is already matched or not when it enters T2S. Possible values: <input type="checkbox"/> Yes (already matched outside T2S) <input type="checkbox"/> No (unmatched)
LCMM information	

INX06 – Multi-criteria search of a set of business cancellations – Details Screen	
LCMM approval status	Shows the LCMM approval status. Possible values: <input type="checkbox"/> APPR (approved (default value)) <input type="checkbox"/> AWAP (awaiting approval) <input type="checkbox"/> REJT (rejected) <input type="checkbox"/> RVKD (revoked) ⁸⁴

5.5.3.7 INX07 – Multi-criteria search of a set of amendment instructions

Context of Usage

This report shows a list of details for selected amendment instructions (AI), which it performs on two levels. In the first level, the report produces a list of AIs corresponding to user-supplied filter criteria. In the second level, the user may select one AI from the list and the detailed report provides a full list of attributes for the selected AI

The attributes shown in the detailed report output provide business details related to the selected AI.

This report can be used by NCB, PB, CSD, CSDP and ECSD users.

Report Access

This report can be reached in the following way:

- Team Content >> Predefined Reports >> T2S Instructions >> INX07

Screenshot

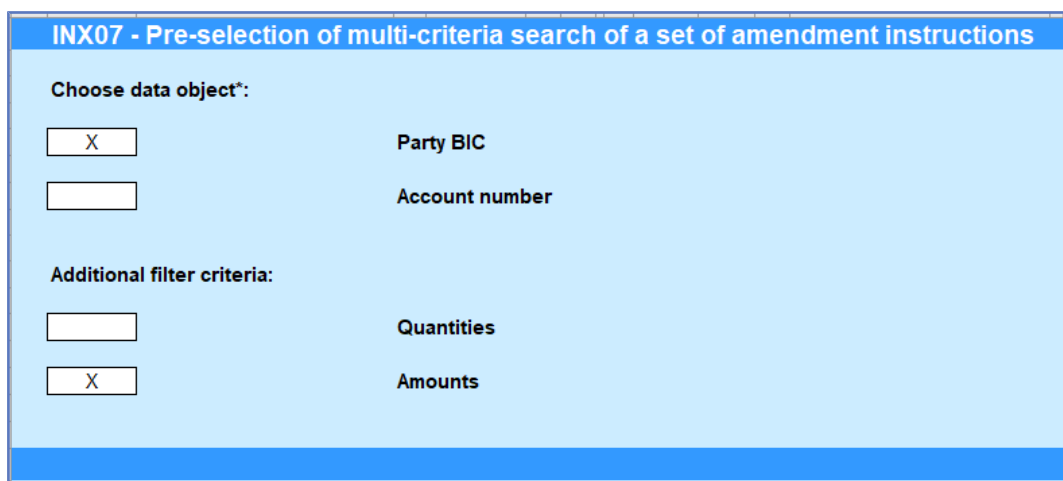


Illustration 449: INX07 pre-selection screen

84 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

Field Description

INX07 – Multi-criteria search of a set of amendment instructions – Pre-selection screen	
Choose data object (one of both objects has to be chosen)	
Party BIC	Provides fields for searching securities party BICs or cash party BICs in the next screen
Account number	Provides fields for searching for securities account numbers or cash account numbers in the next screen
Additional search criteria (both objects are optional)	
Quantites	Provides fields for searching different quantity ranges in the next screen
Amounts	Provides fields for searching different amount ranges in the next screen

Screenshot

The screenshot shows the 'INX07 - Multi-criteria search of a set of amendment instructions' prompt screen. It features a light blue background and a white header bar with the title. The search criteria are organized into two main sections: 'Choose data object' and 'Additional search criteria'. Each criterion has a label, a dropdown menu for selection, and a range input field with left and right arrows. The 'Range criteria' section at the bottom includes 'From' and 'To' columns for 'Entry date time', 'Original settlement quantity', and 'Original settlement amount'.

Field	Input Field	Range Input
Securities party BIC	<Securities party BIC> V	< >
Cash party BIC	<Cash party BIC> V	< >
Securities account number	<Securities account number> V	< >
T2S DCA number	<T2S DCA number> V	< >
Referenced instruction type	<Referenced instruction type> V	< >
Partial settlement indicator	<Partial Settlement Indicator> V	< >
Priority	<Priority> V	< >
Approval status	<Approval status> V	< >
Execution status	<Execution status> V	< >
Range criteria	From	To
Entry date time	<Entry date time from>	<Entry date time to>
Original settlement quantity	<Original settlement quantity from>	<Original settlement quantity to>
Original settlement amount	<Original settlement amount from>	<Original settlement amount to>

Illustration 450: INX07 prompt screen

Field Description	INX07 – Multi-criteria search of a set of amendment instructions – Prompt Screen	
Securities party BIC	Filter to select which securities party BIC (CSDs, CSD participants or ECSDs) value/s should be included in the output.	
Cash party BIC	Filter to select which cash party BIC (NCB or payment bank) value/s should be included in the output.	
Securities account number	Filter to select which securities account number value/s should be included in the output.	
T2S DCA number	Multiple selection text field to enter T2S DCA number value/s that should be included in the output.	
Referenced instruction type	Filter to select which referenced instruction types should be included in the output. Possible values: <input type="checkbox"/> STIN (Settlement instruction) <input type="checkbox"/> STRN (Settlement restriction)	
Partial settlement indicator	Filter to select which partial settlement indicator value/s should be included in the output. Possible values: <input type="checkbox"/> NPAR <input type="checkbox"/> PART <input type="checkbox"/> PARC <input type="checkbox"/> PARQ	
Priority	Filter to select which priority value/s should be included in the output. Possible values: <input type="checkbox"/> 0001 <input type="checkbox"/> 0003 <input type="checkbox"/> 0004	
Approval status	Filter to select which approval status value/s should be included in the output. Possible values: <input type="checkbox"/> APPR <input type="checkbox"/> AWAP <input type="checkbox"/> REJT	

INX07 – Multi-criteria search of a set of amendment instructions – Prompt Screen	
	<input type="checkbox"/> RVKD
Execution status	Filter to select which execution status value/s should be included in the output. Possible values: <input type="checkbox"/> EXCD <input type="checkbox"/> NEXD
Entry date time from	Filter to select the inclusive date from which entry date value/s should be included in the output. Must be used in conjunction with: <input type="checkbox"/> entry date time to
Entry date time to	Filter to select the inclusive date up to which entry date value/s should be included in the output. Must be used in conjunction with: <input type="checkbox"/> entry date time from
The following criteria are only shown in case that “Quantity of securities” was chosen as additional search criteria	
Original settlement quantity from	Filter to select the inclusive quantity from which original settlement quantity value/s should be included in the output. Must be used in conjunction with: <input type="checkbox"/> original settlement quantity to
Original settlement quantity to	Filter to select the inclusive quantity up to which original settlement quantity value/s should be included in the output. Must be used in conjunction with: <input type="checkbox"/> original settlement quantity from
The following criteria are only shown in case that “Amounts” was chosen as additional search criteria	

INX07 – Multi-criteria search of a set of amendment instructions – Prompt Screen	
Original settlement amount from	Filter to select the inclusive amount from which original settlement amount value/s should be included in the output. Must be used in conjunction with: <input type="checkbox"/> original settlement amount to
Original settlement amount to	Filter to select the inclusive amount up to which original settlement amount value/s should be included in the output. Must be used in conjunction with: <input type="checkbox"/> original settlement amount from

Screenshot

Underlying T2S instruction reference	Entry date time	Approval status	Execution status	Original settlement quantity	Original settlement amount	Referenced instruction type	Partial settlement indicator	Priority	Securities party BIC	Securities account number	Cash party BIC	T2S DCA number
CANO2792283	2013-06-30	APPR	EXCD	8000.00	6000.00	STIN			CSDADEFXXX	SAC007	PMBKDEFFXXX	T2SDCA001
CANO2792229	2013-07-01	APPR	EXCD	3500.00		STRN			CSDADEFXXX	SEC-ACCT1		

Illustration 451: INX07 list screen

Field Description

INX07 – Multi-criteria search of a set of amendment instructions – List Screen	
Number of amendment instructions	Shows the number of amendment instructions found matching the criteria (For each amendment instruction matching the selection criteria, one line will be displayed)
Underlying T2S instruction reference	Shows the underlying T2S reference of the settlement instruction or settlement restriction.
Entry date time	Shows the date and time the amendment instruction entered T2S. Displayed format is: YYYY-MM-DD hh:mm:ss:µsµsµsµsµs
Approval status	Shows the matching status of the amendment instruction. Possible values: <input type="checkbox"/> APPR (Approved) <input type="checkbox"/> AWAP (Awaiting approval)

INX07 – Multi-criteria search of a set of amendment instructions – List Screen	
	<input type="checkbox"/> REJT (Rejected) <input type="checkbox"/> RVKD (Revoked)
Execution status	Shows the execution status of the amendment instruction. Possible values: <input type="checkbox"/> EXCD (executed) <input type="checkbox"/> NEXD (not executed)
Original settlement quantity	Shows the original quantity of securities of the underlying instruction in units or face amount.
Original settlement amount	Shows the original amount of the underlying instruction in the instruction's currency.
Referenced instruction type	Shows the type of instruction of the referenced instruction: Possible values: <input type="checkbox"/> STIN (settlement instruction) <input type="checkbox"/> STRN (settlement restriction)
Partial settlement indicator	Shows if the amendment instruction allows partial settlement and indicates the threshold type. Possible values: <input type="checkbox"/> NPAR (partial not allowed) <input type="checkbox"/> PART (partial allowed) <input type="checkbox"/> PARC (partial settlement cash threshold allowed) <input type="checkbox"/> PARQ (partial settlement quantity threshold allowed)
Priority	Shows the priority of the amendment instruction from the possible values: <input type="checkbox"/> 0001 (Reserved) <input type="checkbox"/> 0002 (Top) <input type="checkbox"/> 0003 (High) <input type="checkbox"/> 0004 (Normal)
Securities party BIC	Shows the BIC of the securities account owner.
Securities account number	Shows the securities account number of the underlying instruction.
Cash party BIC	Shows the BIC of the cash account owner.
T2S dedicated cash account number	Shows the T2S dedicated cash account number of the underlying instruction.

Screenshot

INX07 - Multi-criteria search of a set of amendment instructions	
Multi-criteria search of a set of amendment instructions - details	
Reference information	
Underlying T2S instruction reference	AMDO2792283
Status information	
Execution status	EXCD
Approval status	APPR
Cancellation status	NDEN
Timeline information	
Entry date time	2013-06-30
Execution date	2013-06-30
Categorisation information	
Referenced instruction type	STIN
Securities information	
ISIN code	
Securities account number	SAC007
Securities settlement type	
Original settlement quantity	8000.00
CSD BIC	CSDADEFXXX
CSD participant BIC	CSDPDEFXXX
External CSD BIC	
Cash information	
Currency code	EUR
T2S dedicated cash account number	T2SDCA001
Original settlement amount	6000.00
NCB BIC	MARKDEFXXX
Payment bank BIC	PMBKDEFXXX
Process information	
Priority	0004
Partial settlement indicator	F

Illustration 452: INX07 details screen

Field Description

INX07 – Multi-criteria search of a set of amendment instructions – Details Screen	
Reference information	
Underlying T2S instruction reference	Shows the T2S reference of the settlement instruction or the settlement restriction.
Status information	
Execution status	Shows the execution status of the amendment instruction. Possible values: <input type="checkbox"/> EXCD (executed) <input type="checkbox"/> NEXD (not executed)
Approval status	Shows the matching status of the amendment instruction. Possible values:

INX07 – Multi-criteria search of a set of amendment instructions – Details Screen	
	<input type="checkbox"/> APPR (Approved) <input type="checkbox"/> AWAP (Awaiting approval) <input type="checkbox"/> REJT (Rejected) <input type="checkbox"/> RVKD (Revoked)
Cancellation status	Shows the cancellation status of the amendment instruction. Possible values: <input type="checkbox"/> DEND (denied) <input type="checkbox"/> NDEN (not denied)
Timeline information	
Entry date time	Shows the date and time the amendment instruction entered T2S. Displayed format is: YYYY-MM-DD hh:mm:ss,sssss
Execution date	Shows the execution date of the amendment instruction. Displayed format is: YYYY-MM-DD
Categorisation information	
Referenced instruction type	Shows the type of the referenced instruction: Possible values: <input type="checkbox"/> STIN (settlement instruction) <input type="checkbox"/> STRN (settlement restriction)
Securities information	
(Please note, that this part will not be filled for cancellations for settlement restrictions on cash)	
ISIN code	Shows the ISIN.
Securities account number	Shows the securities account number of the underlying instruction.
Securities settlement type	Shows the settlement type. Possible values: <input type="checkbox"/> NOMI (nominal) <input type="checkbox"/> UNIT (units)
Original settlement quantity	Shows the original quantity of the securities of the underlying instruction in units or face amount.
CSD BIC	Shows the BIC of the CSD

INX07 – Multi-criteria search of a set of amendment instructions – Details Screen	
CSD participant BIC	Shows the BIC of the CSD participant
External CSD BIC	Shows the BIC of the external CSD
Cash information	
(Please note, that this part will not be filled for cancellations for restrictions on securities or for cancellations for settlement instructions “FOP”)	
Currency code	Shows the currency code.
T2S dedicated cash account number	Shows the T2S dedicated cash account number of the underlying instruction.
Original settlement amount	Shows the original amount of the underlying instruction in the instruction’s currency.
NCB BIC	Shows the BIC of the NCB
Payment bank BIC	Shows the BIC of the payment bank
Process information	
Priority	Shows the priority of the amendment instruction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> 0001 (Reserved) <input type="checkbox"/> 0002 (Top) <input type="checkbox"/> 0003 (High) <input type="checkbox"/> 0004 (Normal)
Partial settlement indicator	Shows if the amendment instruction allows partial settlement and indicates the threshold type. <p>Possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> NPAR (partial not allowed) <input type="checkbox"/> PART (partial allowed) <input type="checkbox"/> PARC (partial settlement cash threshold allowed) <input type="checkbox"/> PARQ (partial settlement quantity threshold allowed) ⁸⁵

5.5.3.8 INX08 – Multi-criteria search of a set of hold and release instructions

Context of Usage

This report shows a list of details for selected hold and release instructions (HR), which it performs on two levels. In the first level, the report produces a list of HRIs corresponding to user-supplied filter criteria. In the second level, the user may select one HR from the list and the detailed report provides a full list of attributes for the selected HR.

The attributes shown in the detailed report output provide business details related to the selected HR.

This report can be used by CSD, CSDP and ECSD users.

Report Access

This report can be reached in the following way:

- Team Content >> Predefined Reports >> T2S Instructions >> INX08

Screenshot

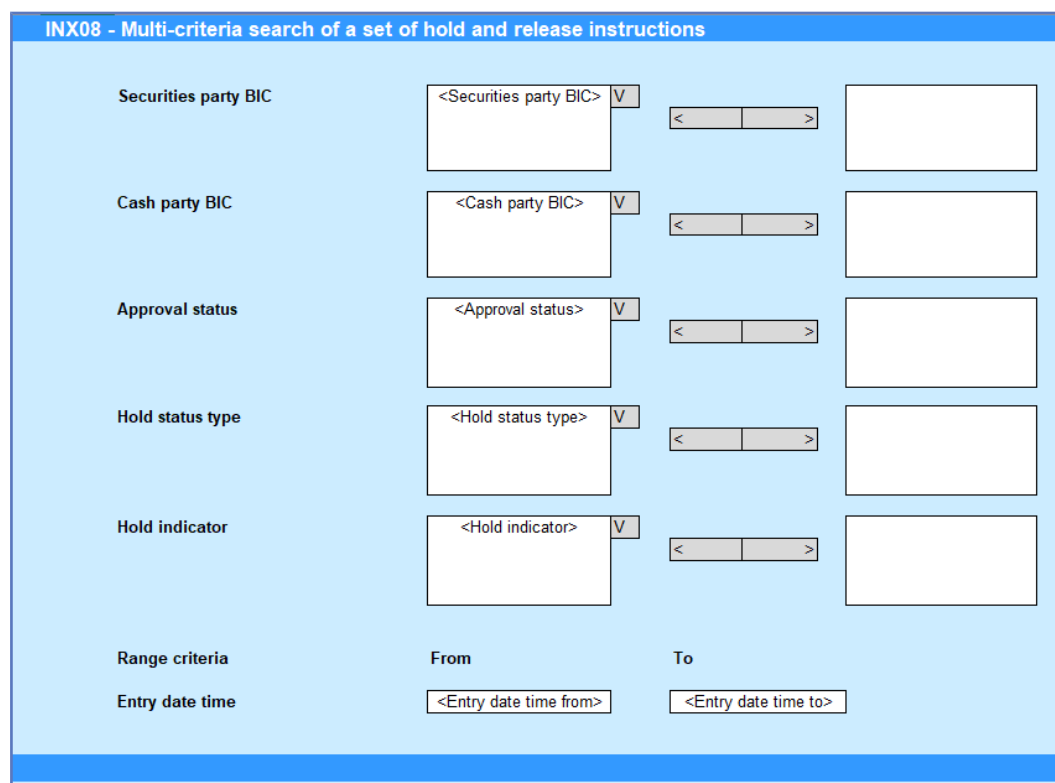


Illustration 453: INX08 prompt screen

Field Description

INX08 – Multi-criteria search of a set of hold and release instructions – Prompt Screen	
Securities party BIC	Filter to select which securities party BIC (CSDs, CSD participants or ECSDs) value/s should be included in the output.
Cash party BIC	Filter to select which cash party BIC (NCB or payment bank) value/s should be included in the output.

INX08 – Multi-criteria search of a set of hold and release instructions – Prompt Screen	
Approval status	Filter to select which securities account number value/s should be included in the output.
Hold status type	Multiple selection text field to enter T2S DCA number value/s that should be included in the output.
Hold indicator	Filter to select which referenced instruction types should be included in the output. Possible values: <input type="checkbox"/> STIN (Settlement instruction) <input type="checkbox"/> STRN (Settlement restriction)
Entry date time from	Filter to select which partial settlement indicator value/s should be included in the output. Possible values: <input type="checkbox"/> NPAR <input type="checkbox"/> PART <input type="checkbox"/> PARC <input type="checkbox"/> PARQ
Entry date time to	Filter to select which priority value/s should be included in the output. Possible values: <input type="checkbox"/> 0001 <input type="checkbox"/> 0003 <input type="checkbox"/> 0004

Screenshot

INX08 - Multi-criteria search of a set of hold and release instructions						
Multi-criteria search of a set of hold and release instructions - list						
Number of hold and release instructions						2766
Underlying T2S instruction reference	Entry date time	Approval status	Hold status type	Hold indicator	ISIN	Securities party BIC
HRIO2792283	2013-06-30	APPR	COSD		T DE1234567895	CSDADEFXXX
HRIO2792229	2013-07-01	APPR	COSD		F DE9876543215	CSDADEFXXX
...
...

Illustration 454: INX08 list screen

Field Description	INX08 – Multi-criteria search of a set of hold and release instructions – List Screen	
Number of hold and release instructions	Count of the number of hold and release instructions resulting from the filter screen.	
(For each hold/release instruction matching the selection criteria, one line will be displayed)		
Underlying T2S instruction reference	Shows the reference of the underlying T2S instruction (settlement instruction or settlement restriction)	
Entry date time	Shows the date and time the hold or release instruction entered T2S. Displayed format is: YYYY-MM-DD hh:mm:ss,sssss	
Approval status	Shows the matching status of the hold or release instruction. Possible values: <input type="checkbox"/> APPR (Approved) <input type="checkbox"/> AWAP (Awaiting approval) <input type="checkbox"/> REJT (Rejected) <input type="checkbox"/> RVKD (Revoked)	
Hold status type	Shows the hold status for the instructions. Possible values: <input type="checkbox"/> CoSD hold <input type="checkbox"/> Party hold <input type="checkbox"/> CSD hold <input type="checkbox"/> CSD validation hold	
Hold indicator	Shows the CSD hold status. Possible values: <input type="checkbox"/> Yes (when the instruction intends to hold an instruction) <input type="checkbox"/> No (when the instruction intends to release an instruction)	
ISIN	Shows the unique identification of the securities from a business point of view.	
Securities party BIC	Shows the BIC of the owner of the securities account.	

Screenshot

INX08 - Multi-criteria search of a set of hold and release instructions	
Multi-criteria search of a set of hold and release instructions - details	
Reference information	
Underlying T2S instruction reference	HRIO2792283
Status information	
Execution status	EXCD
Approval status	APPR
Cancellation status	NDEN
Timeline information	
Entry date time	2013-06-30
Execution date	2013-06-30
Securities information	
ISIN	DE1234567895
Securities account number	SAC007
CSD BIC	CSDADEFXXX
CSD participant BIC	CSDPDEFFAAA
External CSD BIC	
Process information	
Hold status type	COSD
Hold indicator	T
CoSD rule	
Communication information	
Instructing party	CSDADEFXXX
Sending party	CSDADEFXXX
User Id	CSD-user-id
Inbound LCMM message reference	Release001

Illustration 455: INX08 details screen

Field Description

INX08 – Multi-criteria search of a set of hold and release instructions – Details Screen	
Reference information	
Underlying T2S instruction reference	Shows the T2S reference of the settlement instruction or the settlement restriction.
Status information	
Execution status	Shows the execution status of the hold or release instruction. Possible values: <input type="checkbox"/> EXCD (executed) <input type="checkbox"/> NEXD (not executed)
Approval status	Shows the matching status of the hold or release instruction. Possible values:

INX08 – Multi-criteria search of a set of hold and release instructions – Details Screen	
	<input type="checkbox"/> APPR (Approved) <input type="checkbox"/> AWAP (Awaiting approval) <input type="checkbox"/> REJT (Rejected) <input type="checkbox"/> RVKD (Revoked)
Cancellation status	Shows the cancellation status of the hold or release instruction. Possible values: <input type="checkbox"/> DEND (denied) <input type="checkbox"/> NDEN (not denied)
Timeline information	
Entry date time	Shows the date and time the hold or release instruction entered T2S. Displayed format is: YYYY-MM-DD hh:mm:ss,sssss
Execution date	Shows the date of the execution of the hold/release instruction. Displayed format is : YYYY-MM-DD
Securities information	
ISIN	Shows the ISIN.
Securities account number	Shows the securities account number of the underlying instruction.
CSD BIC	Shows the BIC of the CSD
CSD participant BIC	Shows the BIC of the CSD participant
External CSD BIC	Shows the BIC of the external CSD
Communication information	
Instructing party	Shows the T2S reference of the party that instructs the LCMM instruction.
Sending party	Shows the T2S reference of the party that sent the LCMM instruction.
User Id	Shows the T2S reference of the user.
Inbound LCMM message reference	Shows the incoming message reference assigned by the T2S actor. ⁸⁶

86 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

5.5.3.9 INX09 – Multi-criteria search of a set of liquidity transfers

Context of Usage

This report shows a list of details for selected finalised liquidity transfers (LT), which it performs on two levels. In the first level, the report produces a list of LTs corresponding to user-supplied filter criteria. In the second level, the user may select one LT from the list and the detailed report provides a full list of attributes for selected LT.

The attributes shown in the detailed report output provide business details related to the selected LT.

This report can be used by NCB and PB users.

Report Access

This report can be reached in the following way:

[□ Team Content >> Predefined Reports >> T2S Instructions >> INX09](#)

Screenshot

Illustration 456: INX09 prompt screen

Field

Description

INX09 – Multi-criteria search of a set of liquidity transfers – Prompt Screen	
Cash party BIC	Filter to select which cash party BIC value/s should be included in the output.
Debited account number	Filter to select which T2S DCA number value/s should be included in the output.
Credited account number	Filter to select which T2S DCA number value/s should be included in the output.
Transfer type	Filter to select which transfer types should be included in the output. Possible values:

INX09 – Multi-criteria search of a set of liquidity transfers – Prompt Screen	
	<input type="checkbox"/> IBLT <input type="checkbox"/> OTLT <input type="checkbox"/> INLT
Underlying transfer type	Filter to select which underlying transfer types should be included in the output. Possible values: <input type="checkbox"/> SLTO <input type="checkbox"/> PLTO <input type="checkbox"/> ILTO
Settlement transaction category	Filter to select which settlement transaction categories should be included in the output. Possible values: <input type="checkbox"/> LQTR <input type="checkbox"/> TCAT
Settlement transaction sub-category	Filter to select which settlement transaction sub-categories should be included in the output. Possible values: <input type="checkbox"/> LQTR <input type="checkbox"/> LTAC <input type="checkbox"/> EDLT <input type="checkbox"/> MPOR <input type="checkbox"/> CARL <input type="checkbox"/> ALOP
Currency code	The report output contains only settlement instructions with the selected settlement currency codes.
Approval status	Filter to select which approval status value/s should be included in the output. Possible values: <input type="checkbox"/> APPR <input type="checkbox"/> AWAP <input type="checkbox"/> REJE <input type="checkbox"/> RVKD

INX09 – Multi-criteria search of a set of liquidity transfers – Prompt Screen	
Settlement status	<p>Filter to select which settlement status value/s should be included in the output.</p> <p>Possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> CANC <input type="checkbox"/> USET <input type="checkbox"/> PSET <input type="checkbox"/> SETT
Settlement date from	<p>Filter to select the inclusive date from which settlement date value/s should be included in the output.</p> <p>Must be used in conjunction with:</p> <ul style="list-style-type: none"> <input type="checkbox"/> settlement date to
Settlement date to	<p>Filter to select the inclusive date up to which settlement date value/s should be included in the output.</p> <p>Must be used in conjunction with:</p> <ul style="list-style-type: none"> <input type="checkbox"/> settlement date from
Transfer amount from	<p>Filter to select the inclusive amount from which transfer amount value/s should be included in the output.</p> <p>Must be used in conjunction with:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Transfer amount to <input type="checkbox"/> Currency code
Transfer amount to	<p>Filter to select the inclusive amount up to which transfer amount value/s should be included in the output.</p> <p>Must be used in conjunction with:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Transfer amount from <input type="checkbox"/> Currency code
Settled amount from	<p>Filter to select the inclusive amount from which actual amount value/s should be included in the output.</p> <p>Must be used in conjunction with:</p>

INX09 – Multi-criteria search of a set of liquidity transfers – Prompt Screen

	<input type="checkbox"/> Settled amount to <input type="checkbox"/> Currency code
Settled amount to	Filter to select the inclusive amount up to which actual amount value/s should be included in the output. Must be used in conjunction with: <input type="checkbox"/> Settled amount from <input type="checkbox"/> Currency code

Screenshot

Liquidity transfer instructor reference	Referred reference	Entry timestamp	Settlement timestamp	Approval status	Settlement status	Currency code	Transfer amount	Settled amount	Transfer type	Underlying transfer type	Settlement transaction category	Settlement transaction sub-category	Debited party BIC	Debited account number	Credited party BIC	Credited account number
LT12345	AB999	2013-06-30	2013-06-30	APPR	SETT	EUR	50000.00	50000.00	INLT	ILTO	LQTR	LQTR	PMBKDEFFXXX	CASHACC001	PMBKDEFFXXX	CASHACC002
CSH4567	JB888	2013-07-01	2013-07-01	APPR	CANC	EUR	3750000.00	3750000.00	INLT	ILTO	LQTR	LTAC	PMBKDEFFXXX	CASHACC003	PMBKDEFFXXX	CASHACC004

Illustration 457: INX09 list screen

Field Description

INX09 – Multi-criteria search of a set of liquidity transfers – List Screen

Number of liquidity transfers	Count of the number of settlement instructions resulting from the filter screen.
(For each liquidity transfer matching the selection criteria, one line will be displayed)	
Liquidity transfer instructor reference	Shows the instructor reference of the liquidity transfer.
Referred reference	Shows the reference of a settlement instruction to which the liquidity transfer is linked.
Entry timestamp	Shows the date and the time the liquidity transfer entered or was generated in T2S. Displayed format: YYYY-MM-DD hh:mm:ss,sssss
Settlement timestamp	Shows the date and the time of the attempted settlement in T2S. Displayed format: YYYY-MM-DD hh:mm:ss,sssss
Approval status	Shows approval status of the liquidity transfer. Possible values: <input type="checkbox"/> AWAP (Awaiting approval) <input type="checkbox"/> APPR (Approved) <input type="checkbox"/> REJE (Rejected) <input type="checkbox"/> RVKD (Revoked)

INX09 – Multi-criteria search of a set of liquidity transfers – List Screen	
Settlement status	Shows the settlement status of the liquidity transfer. Possible values: <ul style="list-style-type: none"><input type="checkbox"/> CANC (Cancelled)<input type="checkbox"/> SETT (Settled)<input type="checkbox"/> USET (Unsettled)<input type="checkbox"/> PSET (Partially settled)
Currency code	Shows the settlement currency of the liquidity transfer.
Transfer amount	Shows the amount to be credited or debited by the liquidity transfer.
Settled amount	Shows the amount actually settled by the liquidity transfer.
Transfer type	Shows the direction of the transferred liquidity. Possible values: <ul style="list-style-type: none"><input type="checkbox"/> IBLT (inbound liquidity transfer)<input type="checkbox"/> OTLT (outbound liquidity transfer)<input type="checkbox"/> INLT (internal liquidity transfer order)
Underlying transfer type	Shows the type of the transferred liquidity. Possible values: <ul style="list-style-type: none"><input type="checkbox"/> SLTO (standing liquidity transfer order)<input type="checkbox"/> PLTO (predefined liquidity transfer order)<input type="checkbox"/> ILTO (immediate liquidity transfer order)
Settlement transaction category	Shows the settlement transaction category of the liquidity transfer. Possible values: <ul style="list-style-type: none"><input type="checkbox"/> LQTR (liquidity transfer)<input type="checkbox"/> TCAT (technical cash transfer)
Settlement transaction sub-category	Shows the settlement transaction sub-category of the liquidity transfer. Possible values: <ul style="list-style-type: none"><input type="checkbox"/> LQTR (liquidity transfer)<input type="checkbox"/> LTAC (Liquidity transfer all cash)<input type="checkbox"/> EDLT (EoD liquidity transfer)<input type="checkbox"/> MPOR (monetary policy operation cash rebalancing)<input type="checkbox"/> CARL (corporate action cash rebalancing)

INX09 – Multi-criteria search of a set of liquidity transfers – List Screen	
	<input type="checkbox"/> ALOP (auto-collateralisation liquidity optimization)
Debited party BIC	Shows the BIC of the payment bank which owns the debited account.
Debited account number	Shows the account number from which the liquidity is sent.
Credited party BIC	Shows the BIC of the payment bank which owns the credited account.
Credited account number	Shows the account identification or number to where the liquidity is sent.

Screenshot

INX09 - Multi-criteria search of a set of liquidity transfers	
Multi-criteria search of a set of liquidity transfers - details	
Reference information	
Liquidity transfer instructor reference	LT12345
Referred reference	AB999
Status information	
Approval status	APPR
Settlement status	SETT
RTGS status	RNAP
Timeline information	
Entry timestamp	2013-06-30
Settlement timestamp	2013-06-30
Categorisation information	
Transfer type	INLT
Underlying transfer type	ILTO
Settlement transaction category	LQTR
Settlement transaction sub-category	LQTR
Cash information	
Currency code	EUR
Transfer amount	50000.00
Settled amount	50000.00
Value date	2013-06-30
Cash parties	
Debited party BIC	CASHACC001
Debited account number	PMBKDEFFXXX
Credited party BIC	CASHACC002
Credited account number	PMBKDEFFXXX
NCB BIC	NCBKDEFFXXX
Process information	
Partial settlement flag	T

Illustration 458: INX09 details screen

Field Description

INX09 – Multi-criteria search of a set of liquidity transfers – Details Screen	
	Reference information

INX09 – Multi-criteria search of a set of liquidity transfers – Details Screen	
Liquidity transfer instructor reference	Shows the instructor reference of the liquidity transfer.
Referred reference	Shows the reference of a settlement instruction to which the liquidity transfer is linked.
Status information	
Approval status	Shows approval status of the liquidity transfer. Possible values: <input type="checkbox"/> AWAP (Awaiting approval) <input type="checkbox"/> APPR (Approved) <input type="checkbox"/> REJE (Rejected) <input type="checkbox"/> RVKD (Revoked)
Settlement status	Shows the settlement status of the liquidity transfer. Possible values: <input type="checkbox"/> CANC (Cancelled) <input type="checkbox"/> SETT (Settled) <input type="checkbox"/> USET (Unsettled) <input type="checkbox"/> PSET (Partially settled)
RTGS status	Shows the RTGS status of the liquidity transfer. Possible values: <input type="checkbox"/> RNXE (Not executed) <input type="checkbox"/> RNAP (Not applicable) <input type="checkbox"/> RREJ (RTGS rejection) <input type="checkbox"/> RCON (RTGS confirmation) <input type="checkbox"/> RBOK (RTGS rebooking)
Timeline information	
Entry timestamp	Shows the date and the time the liquidity transfer entered or was generated in T2S. Displayed format: YYYY-MM-DD hh:mm:ss,sssss
Settlement timestamp	Shows the date and the time of the attempted settlement in T2S. Displayed format: YYYY-MM-DD hh:mm:ss,sssss
Securities information	
Transfer type	Shows the direction of the transferred liquidity.

INX09 – Multi-criteria search of a set of liquidity transfers – Details Screen	
	<p>Possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> IBLT (inbound liquidity transfer) <input type="checkbox"/> OTLT (outbound liquidity transfer) <input type="checkbox"/> INLT (internal liquidity transfer order)
Underlying transfer type	<p>Shows the type of the transferred liquidity.</p> <p>Possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> SLTO (standing liquidity transfer order) <input type="checkbox"/> PLTO (predefined liquidity transfer order) <input type="checkbox"/> ILTO (immediate liquidity transfer order)
Settlement transaction category	<p>Shows the settlement transaction category of the liquidity transfer.</p> <p>Possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> LQTR (liquidity transfer) <input type="checkbox"/> TCAT (technical cash transfer)
Settlement transaction sub-category	<p>Shows the settlement transaction sub-category of the liquidity transfer.</p> <p>Possible values:</p> <ul style="list-style-type: none"> <input type="checkbox"/> LQTR (liquidity transfer) <input type="checkbox"/> LTAC (Liquidity transfer all cash) <input type="checkbox"/> EDLT (EoD liquidity transfer) <input type="checkbox"/> MPOR (monetary policy operation cash rebalancing) <input type="checkbox"/> CARL (corporate action cash rebalancing) <input type="checkbox"/> ALOP (auto-collateralisation liquidity optimization)
Cash information	
Currency code	Shows the settlement currency of the liquidity transfer.
Transfer amount	Shows the amount to be credited or debited by the liquidity transfer.
Settled amount	Shows the amount actually settled by the liquidity transfer.
Value date	<p>Shows the date at which the liquidity transfer becomes effective.</p> <p>Displayed format is: YYYY-MM-DD</p>
Cash parties	

INX09 – Multi-criteria search of a set of liquidity transfers – Details Screen	
Debited account number	Shows the account number from which the liquidity is sent.
Debited party BIC	Shows the BIC of the payment bank which owns the debited account.
Credited account number	Shows the account identification or number to where the liquidity is sent.
Credited party BIC	Shows the BIC of the payment bank which owns the credited account.
NCB BIC	BIC of the NCB
Process information	
Partial settlement flag	Shows if partial execution was possible or not. Possible values: <input type="checkbox"/> F (false – partial not allowed) <input type="checkbox"/> T (true – partial settlement allowed) ⁸⁷

5.5.4 T2S Restrictions (RES)

5.5.4.1 RES01 – Detailed cash restrictions

Context of Usage

This report shows volumes and values for all finalised cash restrictions at CB, PB or T2S DCA level, during a selected period of time. The restrictions are filtered according the currency that the user selects.

The report delivers the volume and value of cash restrictions finalised during the selected period whether settled or partially settled. The results are displayed aggregated per transaction sub-category, within origin, restriction type and function. Totals are presented at origin level, but also cross-totalled by function.

This report can be used by CB and PB users.

Report Access

This report can be reached in the following way:

- Team Content >> Predefined Reports >> T2S Restrictions >> RES01

⁸⁷ This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

Screenshot

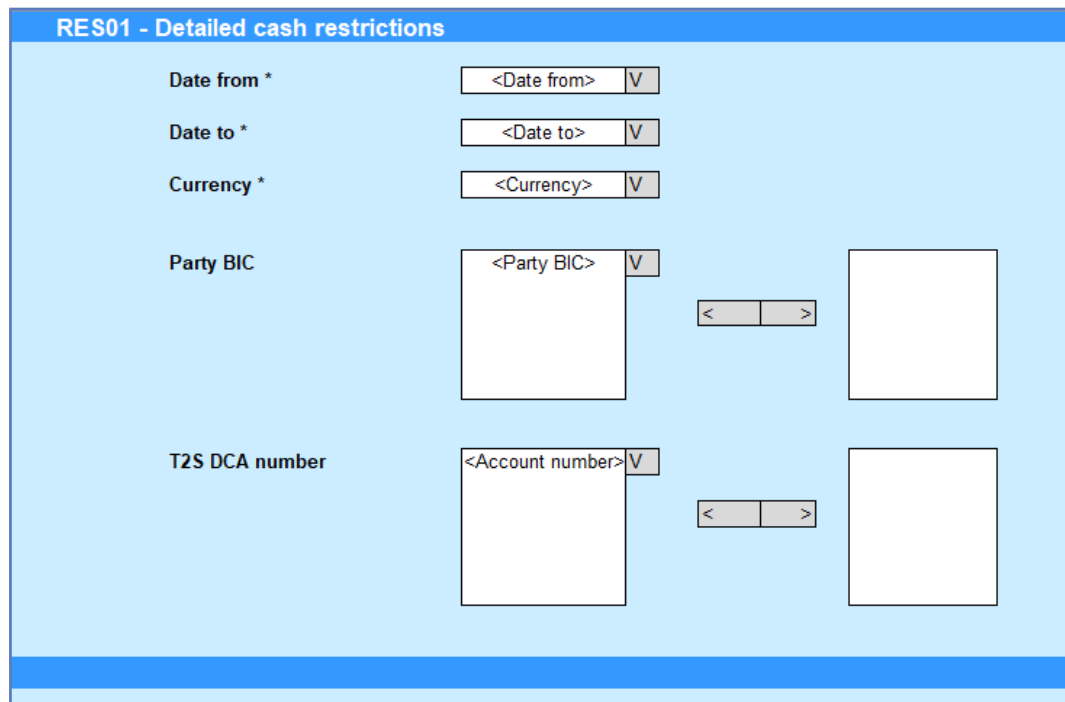


Illustration 459: RES01 prompt screen

Field Description

RES01 – Detailed cash restrictions – Prompt Screen	
Date From	You can select the date by clicking on the calendar. This field is mandatory.
Date To	You can select the end date of the period, for which the report should contain the data by clicking on the calendar. For valid report results, the current day cannot be selected. This field is mandatory.
Currency	You can use this drop-down field to select the currency. This field is mandatory.
Party BIC	You can use this drop-down field to select one or several party BIC. This field will show all related BICs, which belong to the default data scope of the user’s party. This field is optional.
T2S DCA number	You can use this drop-down field to select one or several T2S DCA numbers. This field will show all related T2S DCAs, which belong to default data scope of the user’s party and which belong to the parties

RES01 – Detailed cash restrictions – Prompt Screen

selected in field “Party BIC”. If no Party BICs are selected, this field shows all T2S DCA numbers belonging to the default data scope of the user’s party.
This field is optional.

Screenshot

RES01 - Detailed cash restrictions						
Detailed cash restrictions						
Origin	Restriction Type	Function	Transaction sub-category	Volume of restrictions	Original value	Settled value
Business	Blocking	Setup / increase	BPIN	7	0.70000	0.70000
			BPSU	0	0.00000	0.00000
		Decrease	BPDE	4	0.40000	0.40000
	Reservation	Setup / increase	RPIN	0	0.00000	0.00000
			RPSU	0	0.00000	0.00000
		Decrease	RPDE	0	0.00000	0.00000
Total business cash restrictions				11	1.10000	1.10000
Technical	COSD blocking	Setup / increase	COBR	0	0.00000	0.00000
			COBS	0	0.00000	0.00000
		Decrease	COCP	7	0.70000	0.70000
	End of day	Decrease	CORU	0	0.00000	0.00000
			EDRR	0	0.00000	0.00000
			RERV	0	0.00000	0.00000
Total technical cash restrictions				7	0.70000	0.70000
Usage	Use	Use	CORT	0	0.00000	0.00000
			RESU	21	2.10000	2.10000
Total usage cash restrictions				21	2.10000	2.10000
Total setup / increase				7	0.70000	0.70000
Total decrease				11	1.10000	1.10000
Total use				21	2.10000	2.10000
Total				39	3.90000	3.90000

Illustration 460: RES01 output screen

Field Description

RES01 – Detailed cash restrictions – Output Screen

Origin	Describes a group of transaction sub-categories. Groups are: <input type="checkbox"/> Business <input type="checkbox"/> Technical <input type="checkbox"/> Usage
Restriction type	Describes a restriction type within an origin. Restriction types are: <input type="checkbox"/> Business <input type="checkbox"/> Blocking

RES01 – Detailed cash restrictions – Output Screen	
	<ul style="list-style-type: none"> <input type="checkbox"/> Reservation <input type="checkbox"/> Technical <input type="checkbox"/> COSD blocking <input type="checkbox"/> End of day <input type="checkbox"/> Usage <input type="checkbox"/> Use
Function	<p>Describes a function within a restriction type. Functions are:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Setup / increase <input type="checkbox"/> Decrease <input type="checkbox"/> Use (for Use restriction type only)
Transaction sub-category	<p>The transaction sub-category of the restrictions for which the aggregations are performed. Values used, as per their origin and restriction type:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Business <input type="checkbox"/> Blocking <input type="checkbox"/> BPIN, BPSU, BPDE <input type="checkbox"/> Reservation <input type="checkbox"/> RPIN, RPSU, RPDE <input type="checkbox"/> Technical <input type="checkbox"/> COSD blocking <input type="checkbox"/> COBR, COBS, COCP <input type="checkbox"/> End of day <input type="checkbox"/> CORU, EDRR, RERV <input type="checkbox"/> Usage <input type="checkbox"/> Use <input type="checkbox"/> CORT, RESU
Volume of restrictions	Count of the number of cash restrictions found per sub-category.
Original value	Sum over original values of the cash restrictions per sub-category
Settled value	Sum over settled values (totally or partially settled) per and sub-category

RES01 – Detailed cash restrictions – Output Screen	
Revoked	A count of all finalised inbound LTs which were revoked after settlement, per transaction category / transaction sub-category / underlying transaction type.
Value of transfers	Sum of the original instructed transfer amounts of all finalised inbound LTs, per transaction category / transaction sub-category / underlying transaction type.
Value actually transferred	Sum of the actual settled amounts of all finalised inbound LTs, per transaction category / transaction sub-category / underlying transaction type.
Totals (per origin)	
Total business cash restrictions	Sums for 'Volume of restrictions', 'Original value' and 'Settled value' columns, within the origin 'Business'.
Total technical cash restrictions	Sums for 'Volume of restrictions', 'Original value' and 'Settled value' columns, within the origin 'Technical'.
Total usage cash restrictions	Sums for 'Volume of restrictions', 'Original value' and 'Settled value' columns, within the origin 'Usage'.
Totals (per function)	
Total setup / increase	Sums for 'Volume of restrictions', 'Original value' and 'Settled value' columns, to include all lines with the function 'Setup / increase'.
Total decrease	Sums for 'Volume of restrictions', 'Original value' and 'Settled value' columns, to include all lines with the function 'Decrease'.
Total use	Sums for 'Volume of restrictions', 'Original value' and 'Settled value' columns, to include all lines with the function 'Use'.
Total (overall)	
Total	Sums for 'Volume of restrictions', 'Original value' and 'Settled value' columns, over all 'Totals (per function)'. ⁸⁸

5.5.4.2 RES02 – Detailed securities restrictions

Context of Usage

This report shows volumes and quantities for all finalised securities restrictions at CSD, CSDP, ECSD or securities account level, during a selected period of time. The restrictions are filtered according the settlement types of the securities that the user selects.

⁸⁸ This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

The report delivers the volume of securities restrictions finalised during the selected period whether settled or partially settled. The results are displayed aggregated per transaction sub-category, within origin, restriction type and function. Totals are presented at origin level, but also cross-totalled by function.

This report can be used by CSD, CSDP and ECSD users.

Report Access

This report can be reached in the following way:

- Team Content >> Predefined Reports >> T2S Restrictions >> RES02

Screenshot

Illustration 461: RES02 prompt screen

Field Description

RES02 – Detailed securities restrictions – Prompt Screen	
Date From	You can select the date by clicking on the calendar. This field is mandatory.
Date To	You can select the end date of the period, for which the report should contain the data by clicking on the calendar. For valid report results, the current day cannot be selected. This field is mandatory.

RES02 – Detailed securities restrictions – Prompt Screen	
Settlement type	<p>This selection specifies the quantity type of the settlement for the security, stated in the restriction transaction.</p> <p>Possible values:</p> <ul style="list-style-type: none"><input type="checkbox"/> Unit<input type="checkbox"/> Nominal <p>This field is mandatory</p>
Party BIC	<p>You can use this drop-down field to select one or several party BIC. This field will show all related BICs, which belong to the default data scope of the user's party.</p> <p>This field is optional.</p>
Securities account number	<p>You can use this drop-down field to select one or several securities account numbers. This field will show all related securities accounts which belong to default data scope of the user's party and which belong to the parties selected in field "Party BIC". If no Party BICs are selected, this field shows all securities account numbers belonging to the default data scope of the user's party.</p> <p>This field is optional.</p>
Securities ISIN	<p>The report output contains only settlement instructions with the selected securities.</p> <p>This field is optional.</p>

Screenshot

RES02 - Detailed securities restrictions						
Detailed securities restrictions						
Origin	Restriction Type	Function	Transaction sub-category	Volume of restrictions	Original quantity	Settled quantity
Business	Blocking	Setup / increase	BPIN	0	0.00000	0.00000
			BPSU	0	0.00000	0.00000
		Decrease	BPDE	0	0.00000	0.00000
	Earmarking	Setup / increase	EPIS	7	0.70000	0.70000
			Decrease	EPDE	0	0.00000
	Reservation	Setup / increase	RPIN	0	0.00000	0.00000
			RPSU	0	0.00000	0.00000
		Decrease	RPDE	0	0.00000	0.00000
Total business securities restrictions				7	0.70000	0.70000
Technical	Collateralisation COLA	Setup / increase	SPLE	0	0.00000	0.00000
			COBS	0	0.00000	0.00000
	COSD blocking	Decrease	COCP	0	0.00000	0.00000
			End of day	Decrease	CORU	0
	Reverse collateralisation RCOL	Decrease	SPLE	0	0.00000	0.00000
Total technical securities restrictions				0	0.00000	0.00000
Usage	Use	Use	CORT	0	0.00000	0.00000
			RESU	7	0.70000	0.70000
Total usage securities restrictions				7	0.70000	0.70000
Total setup / increase				0	0.00000	0.00000
Total decrease				7	0.70000	0.70000
Total use				7	0.70000	0.70000
Total				14	1.40000	1.40000

Illustration 462: RES02 output screen

Field Description

RES02 – Detailed securities restrictions – Output Screen	
Origin	<p>Describes a group of transaction sub-categories. Groups are:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Business <input type="checkbox"/> Technical <input type="checkbox"/> Usage
Restriction type	<p>Describes a restriction type within an origin. Restriction types are:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Business <input type="checkbox"/> Blocking <input type="checkbox"/> Earmarking <input type="checkbox"/> Reservation <input type="checkbox"/> Technical <input type="checkbox"/> Collateralisation (COLA)

RES02 – Detailed securities restrictions – Output Screen	
	<ul style="list-style-type: none"> <input type="checkbox"/> COSD blocking <input type="checkbox"/> End of day <input type="checkbox"/> Reverse Collateralisation (RCOL) <input type="checkbox"/> Usage <input type="checkbox"/> Use
Function	<p>Describes a function within a restriction type. Functions are:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Decrease <input type="checkbox"/> Setup / increase <input type="checkbox"/> Use (for Use restriction type only)
Transaction sub-category	<p>The transaction sub-category of the restrictions for which the aggregations are performed. Values used, as per their origin and restriction type:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Business <input type="checkbox"/> Blocking <input type="checkbox"/> BPDE, BPIN, BPSU <input type="checkbox"/> Earmarking <input type="checkbox"/> EPDE, EPIS <input type="checkbox"/> Reservation <input type="checkbox"/> RPDE, RPIN, RPSU <input type="checkbox"/> Technical <input type="checkbox"/> Collateralisation (COLA) <input type="checkbox"/> SPLE (COLA) <input type="checkbox"/> COSD blocking <input type="checkbox"/> COBS, COCP <input type="checkbox"/> End of day <input type="checkbox"/> CORU <input type="checkbox"/> Reverse Collateralisation (RCOL) <input type="checkbox"/> SPLE(RCOL) <input type="checkbox"/> Usage <input type="checkbox"/> Use <input type="checkbox"/> CORT, RESU

RES02 – Detailed securities restrictions – Output Screen	
Volume of restrictions	Count of the number of securities restrictions found per sub-category.
Original quantity	Sum over original quantities of the securities restrictions per sub-category
Settled quantity	Sum over settled quantities (totally or partially settled) per and sub-category
Totals (per origin)	
Total business securities restrictions	Sums for 'Volume of restrictions', 'Original quantity' and 'Settled quantity' columns, within the origin 'Business'.
Total technical securities restrictions	Sums for 'Volume of restrictions', 'Original quantity' and 'Settled quantity' columns, within the origin 'Technical'.
Total usage securities restrictions	Sums for 'Volume of restrictions', 'Original quantity' and 'Settled quantity' columns, within the origin 'Usage'.
Totals (per function)	
Total setup / increase	Sums for 'Volume of restrictions', 'Original quantity' and 'Settled quantity' columns, to include all lines with the function 'Setup / increase'.
Total decrease	Sums for 'Volume of restrictions', 'Original quantity' and 'Settled quantity' columns, to include all lines with the function 'Decrease'.
Total use	Sums for 'Volume of restrictions', 'Original quantity' and 'Settled quantity' columns, to include all lines with the function 'Use'.
Total (overall)	
Total	Sums for 'Volume of restrictions', 'Original quantity' and 'Settled quantity' columns, over all 'Totals (per function)'. 89

5.5.5 T2S Credit line (CMB)

5.5.5.1 CMB01 – Journaling of limit utilisations of primary CMB

Context of Usage

This report shows primary CMB limit utilisation journal activity at CB, T2S CB-DCA or primary CMB level during a selected period of time. The accounts are filtered according to the currency that the user selects. Primary CMBs are those set by a CB for a payment bank.

The journal activity is displayed as a table of volumes and utilisation values according to the credit/debit indicator.

This report can be used by CB users.

Report Access

This report can be reached in the following way:

- Team Content >> Predefined Reports >> T2S Credit Line >> CMB01

Screenshot

Illustration 463: CMB01 prompt screen

Field Description

CMB01 – Journaling of limit utilisations of primary CMB – Prompt Screen	
Date From	You can select the date by clicking on the calendar. This field is mandatory.
Date To	You can select the end date of the period, for which the report should contain the data by clicking on the calendar.

CMB01 – Journaling of limit utilisations of primary CMB – Prompt Screen	
	For valid report results, the current day cannot be selected. This field is mandatory.
Currency	You can use this drop-down field to select the currency. This field is mandatory.
Party BIC	You can use this drop-down field to select one or several party BIC. This field will show all related BICs, which belong to the default data scope of the user's party. This field is optional.
T2S DCA number	You can use this drop-down field to select one or several T2S DCA numbers. This field will show all related T2S DCAs, which belong to default data scope of the user's party and which belong to the parties selected in field "Party BIC". If no Party BICs are selected, this field shows all T2S DCA numbers belonging to the default data scope of the user's party. This field is optional.

Screenshot

CMB01 - Journaling of limit utilisations of primary CMB						
Journaling of limit utilisations of primary CMB						
CMB technical identifier	Autocollateralisation limit utilisation : Credit		Autocollateralisation limit utilisation : Debit		Total	
	Volume of utilisations	Value used of utilisation	Volume of utilisations	Value used of utilisation	Volume of utilisations	Value used of utilisation
300047	0	0.00	2	2000.00	2	2000.00
300173	1	1000.00	5	6000.00	6	7000.00
Total	1	1000.00	7	8000.00	8	9000.00

Illustration 464: CMB01 output screen

Field Description

CMB01 – Journaling of limit utilisations of primary CMB – Output Screen	
	The following information is repeated for every CMB matching the selection criteria
CMB technical identifier	The T2S internal identifier of a CMB
Autocollateralisation limit utilisation : Credit	
Volume of utilisations	Count of the number of credit side utilisations found per CMB technical identifier
Value used of utilisation	Sum over utilised values of credit side utilisations found per CMB technical identifier

CMB01 – Journaling of limit utilisations of primary CMB – Output Screen	
Autocollateralisation limit utilisation : Debit	
Volume of utilisations	Count of the number of debit side utilisations found per CMB technical identifier
Value used of utilisation	Sum over utilised values of debit side utilisations found per CMB technical identifier
Total (per CMB technical identifier)	
Volume of utilisations	Sum of 'Volume of utilisations' over credit and debit per CMB technical identifier
Value used of utilisation	Sum of 'Value used of utilisations' over credit and debit per CMB technical identifier
Total (overall)	
Total	Sums of all volumes and values for each column, over all CMB technical identifiers. ⁹⁰

5.5.6 T2S Collateral instructions (COL)

5.5.6.1 DieCOL01 – Follow-up by time slot of settled collateralisation instructions

Context of Usage

This report shows the finalised settled collateralisation instructions at CSD, CSDP, external CSD or securities account level, during a selected period of time. The volume and value of the collateralisation instructions are shown for each time slot of the business day with a total per transaction category (Collateral, Reverse collateral, Relocation and transaction sub-category (Repo, Pledge, Sub-pledge.

This report can be used by CSD, CSDP and ECSD users.

Report Access

This report can be reached in the following way:

[Team Content](#) >> [Predefined Reports](#) >> [T2S Collateral](#) >> [COL01](#)

⁹⁰ This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

Screenshot

The screenshot shows a prompt screen titled "COL01 - Follow-up by time schedule of collateralisation instructions". It contains the following fields:

- Date from ***: A date selection field with a calendar icon and a mandatory indicator (V).
- Date to ***: A date selection field with a calendar icon and a mandatory indicator (V).
- Currency ***: A currency selection field with a mandatory indicator (V).
- Party BIC**: A drop-down menu with a mandatory indicator (V) and a search icon (< >).
- Securities account number**: A text input field with a mandatory indicator (V) and a search icon (< >).
- Securities ISIN**: A text input field with a mandatory indicator (V) and a search icon (< >).

Illustration 465: COL01 prompt screen

Field Description

COL01 – Follow-up by time slot of settled collateralisation instructions – Prompt Screen	
Date From	You can select the date by clicking on the calendar. This field is mandatory.
Date To	You can select the end date of the period, for which the report should contain the data by clicking on the calendar. For valid report results, the current day cannot be selected. This field is mandatory.
Currency	Currency in which the amount of the collateral instructions must be denominated. This field is mandatory
Party BIC	You can use this drop-down field to select one or several party BIC. This field will show all related BICs, which belong to the default data scope of the user's party. This field is optional.

COL01 – Follow-up by time slot of settled collateralisation instructions – Prompt Screen	
Securities account number	You can use this drop-down field to select one or several securities account numbers. This field will show all related securities accounts which belong to default data scope of the user's party and which belong to the parties selected in field "Party BIC". If no Party BICs are selected, this field shows all securities account numbers belonging to the default data scope of the user's party. This field is optional.
Securities ISIN	The report output contains only settlement instructions with the selected securities. This field is optional.

Screenshot

The screenshot displays a table titled "COL01 - Follow-up by time schedule of collateralisation instructions". The table is organized into two main sections: "Volume" and "Value". Each section has columns for transaction category, transaction sub-category, and various time slots (e.g., Last NTS cycle, NTS before intraday DIP cut-off, etc.). The data is grouped by transaction category (COLA, RCOL, CORE) and sub-category (REPO, PLED, SPLE). A "Total" row is provided for each category and sub-category.

Illustration 466: COL01 output screen

Field Description

COL01 – Follow-up by time slot of settled collateralisation instructions – Output Screen	
Transaction category	Shows the transaction category for which the collateralisation instructions are displayed from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> COLA (Collateral) <input type="checkbox"/> RCOL(Reverse collateral) <input type="checkbox"/> CORE (Relocation)
Transaction sub-category	Shows the transaction sub-category for which the collateralisation instructions are displayed from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> REPO (Repo) <input type="checkbox"/> PLED (Pledge) <input type="checkbox"/> SPLE (Sub-pledge)
Volume	

COL01 – Follow-up by time slot of settled collateralisation instructions – Output Screen	
Time slots (one column per time slot): <ul style="list-style-type: none"> <input type="checkbox"/> 1st NTS cycle <input type="checkbox"/> Last NTS cycle <input type="checkbox"/> RT1 before intraday DVP cut-off <input type="checkbox"/> RT2 before EOD intraday credit reimbursement <input type="checkbox"/> RT3 before EOD intraday FOP cut-off <input type="checkbox"/> RT4 after intraday FOP cut-off 	The aggregated number of finalised settled collateralisation instructions are displayed for each of the time slots within transaction category and sub-category combination.
Volume (Total)	Sum of 'Volume' information over all time-slots within transaction sub-category.
Value	
Time slots (one column per time slot): <ul style="list-style-type: none"> <input type="checkbox"/> 1st NTS cycle <input type="checkbox"/> Last NTS cycle <input type="checkbox"/> RT1 before intraday DVP cut-off <input type="checkbox"/> RT2 before EOD intraday credit reimbursement <input type="checkbox"/> RT3 before EOD intraday FOP cut-off <input type="checkbox"/> RT4 after intraday FOP cut-off Value	The aggregated amount of finalised settled collateralisation instructions are displayed for each of the above time slots within transaction category and sub-category combination.
Value (Total)	Sum of 'Value' information over all time-slots within transaction sub-category.
Totals	

COL01 – Follow-up by time slot of settled collateralisation instructions – Output Screen	
Total (per transaction category)	Sums of each 'Volume' and 'Value' column over all transaction sub-categories within transaction category.
Total (overall)	Sums of each 'Volume' and 'Value' column over all transaction categories. ⁹¹

5.5.7 Technical instructions (RAL)

5.5.7.1 RAL01 – Detailed realignment and other technical instructions

Context of Usage

This report shows detailed information on volume and quantities for all realignment and other technical settlement instructions (SIs) at CSD, CSDP/ECSD or securities account level, during a selected period of time. The settlement instructions are filtered according the settlement types of the securities that the user selects.

The volumes and quantities (original and the settled) are aggregated per transaction type code, transaction category and transaction sub-category; and displayed by securities movement type and settlement status.

This report can be used by CSD, external CSD (ECSD) and CSD participant (CSDP) users.

Report Access

This report can be reached in the following way:

- [Team Content](#) >> [Predefined Reports](#) >> [T2S Technical instructions](#) >> [RAL01](#)

91 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

Screenshot

Illustration 467: RAL01 Prompt screen

Field

Description

RAL01 – Detailed realignment and other technical instructions – Prompt screen	
Date From	You can select the date by clicking on the calendar. This field is mandatory.
Date To	You can select the end date of the period, for which the report should contain the data by clicking on the calendar. For valid report results, the current day cannot be selected. This field is mandatory.
Settlement type	This selection specifies the quantity type of the settlement for the security, stated in the instruction. Possible values: <input type="checkbox"/> Unit <input type="checkbox"/> Nominal. This field is mandatory.

RAL01 – Detailed realignment and other technical instructions – Prompt screen	
Party BIC	<p>You can use this drop-down field to select one or several party BIC. This field will show all related BICs, which belong to the default data scope of the user's party.</p> <p>This field is optional.</p>
Securities account number	<p>You can use this drop-down field to select one or several securities account numbers. This field will show all related securities accounts which belong to default data scope of the user's party and which belong to the parties selected in field "Party BIC". If no Party BICs are selected, this field shows all securities account numbers belonging to the default data scope of the user's party.</p> <p>This field is optional.</p>
Securities ISIN	<p>The report output contains only settlement instructions with the selected securities.</p> <p>This field is optional.</p>

Screenshot

RAL01 - Detailed realignment and other technical instructions

Detailed realignment and other technical instructions - volume

Transaction type code	Transaction category	Transaction sub-category	DELI				RECE				Total			
			Volume totally settled	Volume partially settled/partially cancelled	Volume totally cancelled	Total	Volume totally settled	Volume partially settled/partially cancelled	Volume totally cancelled	Total	Volume totally settled	Volume partially settled/partially cancelled	Volume totally cancelled	Total
DWP	REAL	STND	6	1	3	10	12	0	1	13	18	1	4	23
FOP	COTF	COBS	30	2	0	32	3	0	0	3	33	2	0	35
FOP	REAL	PLED	16	5	4	21	4	1	0	5	20	2	4	26
FOP	REAL	REAL
FOP	REAL	REPO
PFOD	REAL	STND
PFOD
Total			52	4	7	63	19	1	1	21	71	5	8	84

Illustration 468: RAL01 output screen, tab 1

RAL01 - Detailed realignment and other technical instructions

Detailed realignment and other technical instructions - quantity

Transaction type code	Transaction category	Transaction sub-category	DELI				RECE				Total			
			Original quantity	Quantity totally settled	Quantity partially settled	Quantity partially cancelled	Quantity totally cancelled	Original quantity	Quantity totally settled	Quantity partially settled	Quantity partially cancelled	Quantity totally cancelled	Original quantity	Quantity settled
DWP	REAL	STND	200	180	10	10	0	120	30	44	1	45	320	264
...
FOP	COTF	COBS	50	50	0	0	0	90	50	30	0	0	140	130
FOP	REAL	PLED	31	26	0	0	5	20	18	0	0	2	51	44
FOP	REAL	REAL
FOP	REAL	REPO
PFOD	REAL	STND
PFOD
...
Total			281	256	10	10	5	230	98	74	1	47	511	438

Illustration 469: RAL01 output screen, tab 2

Field Description	RAL01 – Detailed realignment and other technical instructions – OUTPUT SCREEN Tab 1 - volume	
Transaction type code	Shows the settlement transaction type code set during the selected period duration of time from the possible values:	<ul style="list-style-type: none"> <input type="checkbox"/> DVP (delivery versus payment) <input type="checkbox"/> DWP (delivery with payment) <input type="checkbox"/> FOP (free of payment) <input type="checkbox"/> PFOD (payment free of delivery)
Settlement transaction category	Shows the category of the settlement transaction from the possible values:	<ul style="list-style-type: none"> <input type="checkbox"/> REAL (realignment) <input type="checkbox"/> COTF (conditional technical FOP)
Settlement transaction sub-category	Shows the subcategory of the settlement transaction from the possible values:	<ul style="list-style-type: none"> <input type="checkbox"/> REAL (realignment) <input type="checkbox"/> PLED (pledge) <input type="checkbox"/> REPO (repurchase order) <input type="checkbox"/> COBS (CoSD blocking position setup) <input type="checkbox"/> STND (standard)
DELI		
Volume totally settled	A count of the number of totally settled SIs with security movement type DELI, per transaction type / transaction category and sub-category.	
Volume partially settled / partially cancelled	A count of the number of partially settled/partially cancelled SIs with security movement type DELI, per transaction type / transaction category and sub-category.	
Volume totally cancelled	A count of the number of totally cancelled matched SIs with security movement type DELI, per transaction type / transaction category and sub-category.	
Total	Sum of 'Volume totally settled', 'Volume partially settled / partially cancelled' and 'Volume totally cancelled' with security movement type DELI, per transaction type / transaction category and sub-category.	
RECE		

RAL01 – Detailed realignment and other technical instructions – OUTPUT SCREEN Tab 1 - volume	
Volume totally settled	A count of the number of totally settled SIs with security movement type RECE, per transaction type / transaction category and sub-category.
Volume partially settled / partially cancelled	A count of the number of partially settled/partially cancelled SIs with security movement type RECE, per transaction type / transaction category and sub-category.
Volume totally cancelled	A count of the number of totally cancelled matched SIs with security movement type RECE, per transaction type / transaction category and sub-category.
Total	Sum of 'Volume totally settled', 'Volume partially settled / partially cancelled' and 'Volume totally cancelled' with security movement type RECE, per transaction type / transaction category and sub-category.
Total across movement types (per transaction type / transaction category / transaction sub-category)	
Volume totally settled	Sum of 'Volume totally settled' over all DELI and RECE security movement types, per transaction type / transaction category and sub-category.
Volume partially settled / partially cancelled	Sum of 'Volume partially settled / partially cancelled' over all DELI and RECE security movement types, per transaction type / transaction category and sub-category.
Volume totally cancelled	Sum of 'Volume totally cancelled' over all DELI and RECE security movement types, per transaction type / transaction category and sub-category.
Total	Sum of the 'Total' over all DELI and RECE security movement types, per transaction type / transaction category and sub-category.
Total	
Total	Sum of the volumes over all transaction type codes, for each column of the report as above.

RAL01 – Detailed realignment and other technical instructions – OUTPUT SCREEN Tab 2 - quantity	
Transaction type code	Shows the settlement transaction type code set during the selected period duration of time from the possible values: <input type="checkbox"/> DVP (delivery versus payment)

RAL01 – Detailed realignment and other technical instructions – OUTPUT SCREEN Tab 2 - quantity	
	<ul style="list-style-type: none"> <input type="checkbox"/> DWP (delivery with payment) <input type="checkbox"/> FOP (free of payment) <input type="checkbox"/> PFOD (payment free of delivery)
Settlement transaction category	Shows the category of the settlement transaction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> REAL (realignment) <input type="checkbox"/> COTF (conditional technical FOP)
Settlement transaction sub-category	Shows the subcategory of the settlement transaction from the possible values: <ul style="list-style-type: none"> <input type="checkbox"/> REAL (realignment) <input type="checkbox"/> PLED (pledge) <input type="checkbox"/> REPO (repurchase order) <input type="checkbox"/> COBS (CoSD blocking position setup) <input type="checkbox"/> STND (standard)
DELI	
Original quantity	Sum of original settlement quantity of all totally and partially settled SIs with securities movement type DELI, per transaction type / transaction category and sub-category.
Quantity totally settled	Sum of settled settlement quantity of all totally settled SIs with securities movement type DELI, per transaction type / transaction category and sub-category.
Quantity partially settled	Sum of settled settlement quantity of all partially settled SIs with securities movement type DELI, per transaction type / transaction category and sub-category.
Quantity partially cancelled	Sum of unsettled amount of all partially settled SIs with securities movement type DELI, per transaction type / transaction category and sub-category.
Quantity totally cancelled	Sum of unsettled amount of all totally cancelled SIs with securities movement type DELI, per transaction type / transaction category and sub-category.
RECE	
Original quantity	Sum of original settlement quantity of all totally and partially settled SIs with securities movement type RECE, per transaction type / transaction category and sub-category.

RAL01 – Detailed realignment and other technical instructions – OUTPUT SCREEN Tab 2 - quantity	
Quantity totally settled	Sum of settled settlement quantity of all totally settled SIs with securities movement type RECE, per transaction type / transaction category and sub-category.
Quantity partially settled	Sum of settled settlement quantity of all partially settled SIs with securities movement type RECE, per transaction type / transaction category and sub-category.
Quantity partially cancelled	Sum of unsettled amount of all partially settled SIs with securities movement type RECE, per transaction type / transaction category and sub-category.
Quantity totally cancelled	Sum of unsettled amount of all totally cancelled SIs with securities movement type RECE, per transaction type / transaction category and sub-category.
Total across movement types (per transaction type / transaction category / transaction sub-category)	
Original quantity	Sum of 'Original quantity' for 'DELI' and 'RECE' per transaction type / transaction category and sub-category.
Quantity settled (totally settled + partially settled)	Sum of 'Quantity totally settled' plus 'Quantity Partially settled' for 'DELI' and 'RECE' per transaction type / transaction category and sub-category.
Total	
Total	Sum of the quantities over all transaction type codes, for each column of the report as above. ⁹²

92 This content is not applicable as of R6.2, and will become applicable upon the deployment of the Data Warehouse for T2S.

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6 Annex

6.1 Indication of Usage

6.1.1 Reader's Guide

Reader's Guide

This part of the annex is divided into screens and business scenarios, both in alphabetical order. For each screen description and business scenario, you can find an indication of the primary user (CB / CSD). This indication is not binding.

6.1.2 Usage Indication

Screens

Screen	Usage Indication (CSD/ CB)
Allegation Instruction - Details Screen [▶ 104]	CSD
Allegation Instructions - Search/List Screen [▶ 100]	CSD
Amendment Cash Instruction - Details Screen [▶ 413]	CB
Amendment Cash Instruction - New Screen [▶ 418]	CB
Amendment Securities Instruction - Details Screen [▶ 108]	CSD
Amendment Securities Instruction - New Screen [▶ 113]	CSD
Attribute Domain - Details Screen [▶ 1159]	CSD/CB
Attribute Domain - New/Edit Screen [▶ 1161]	CSD/CB
Attribute Domain Reference - Details Screen [▶ 1167]	CSD/CB
Attribute Domain Reference - New/Edit Screen [▶ 1170]	CSD/CB
Attribute Domain References - List Screen [▶ 1165]	CSD/CB
Attribute Domains - Search/List Screen [▶ 1157]	CSD/CB
Auto-Collateralisation Eligibility Link - New Screen [▶ 727]	CB
Auto-Collateralisation Eligibility Links - Search/List Screen [▶ 724]	CB
Available Report - Current Settlement Day Cash Information (Following Settlement Day Cash Forecast) Report - Details Screen [▶ 467]	CB

Screen	Usage Indication (CSD/ CB)
Available Report – Daily Penalty List – Details Screen [▶ 582]	CSD
Available Report - Details Screen [▶ 465]	CSD/CB
Available Report – List of Modified Penalties - Details Screen [▶ 596]	CSD
Available Report – Monthly Aggregated Amounts – Details Screen [▶ 611]	CSD
Available Report - Statement of Accounts - Details Screen [▶ 472]	CB
Available Report - Statement of Executed Amendment Instructions for Intra-Balance Movements - Details Screen [▶ 475]	CB
Available Report - Statement of Executed Amendment Instructions for Intra-Position Movements and Settlement Instructions - Details Screen [▶ 480]	CSD
Available Report - Statement of Executed Cancellation Instructions for Intra-Balance Movements - Details Screen [▶ 489]	CB
Available Report - Statement of Executed Cancellation Instructions for Intra-Position Movements and Settlement Instructions - Details Screen [▶ 493]	CSD
Available Report - Statement of Holdings - Details Screen [▶ 501]	CSD
Available Report - Statement of Pending Amendment Instructions for Intra-Balance Movements - Details Screen [▶ 506]	CB
Available Report - Statement of Pending Amendment Instructions for Intra-Position Movements and Settlement Instructions - Details Screen [▶ 511]	CSD
Available Report - Statement of Pending Cancellation Instructions for Intra-Balance Movements - Details Screen [▶ 520]	CB
Available Report - Statement of Pending Cancellation Instructions for Intra-Position Movements and Settlement Instructions - Details Screen [▶ 524]	CSD

Screen	Usage Indication (CSD/ CB)
Available Report - Statement of Pending Instructions - Details Screen [▶ 532]	CSD
Available Report - Statement of Pending Intra-Balance Movements - Details Screen [▶ 541]	CB
Available Report - Statement of Pending Intra-Position Movements - Details Screen [▶ 546]	CSD
Available Report - Statement of Settled Intra-Balance Movements - Details Screen [▶ 551]	CB
Available Report - Statement of Settled Intra-Position Movements - Details Screen [▶ 556]	CSD
Available Report - Statement of Settlement Allegements - Details Screen [▶ 559]	CSD
Available Report - Statement of Transactions - Details Screen [▶ 572]	CSD
Available Reports - Statement of Common Reference Data details Screen [▶ 1222]	CSD/CB
Available Reports – Details Screen [▶ 1220]	CSD/CB
Available Reports - Search/List Screen [▶ 460]	CSD/CB
BIC Directory Items - Search/List Screen [▶ 1103]	CSD/CB
Broadcast - Details Screen [▶ 628]	CSD/CB
Broadcast - New Screen [▶ 630]	CSD/CB
Broadcasts – Search/List Screen [▶ 625]	CSD/CB
Calendar – Details Screen [▶ 709]	CSD/CB
Cancellation Cash Instruction – Details Screen [▶ 425]	CB
Cancellation Cash Instruction – New Screen [▶ 428]	CB
Cancellation Securities Instruction – Details Screen [▶ 123]	CSD
Cancellation Securities Instruction – New Screen [▶ 127]	CSD
Cash Account - Details Screen [▶ 903]	CSD/CB
Cash Account – New/Edit Screen [▶ 909]	CSD/CB
Cash Accounts - Search/List Screen [▶ 897]	CSD/CB

Screen	Usage Indication (CSD/ CB)
Cash Discount Penalty Rate - Search/List Screen [▶ 774]	CSD/CB
Cash Forecast – Details Screen [▶ 341]	CB
Cash Forecasts – Search/List Screen [▶ 333]	CB
Cash Restriction Details – Search/List Screen [▶ 347]	CB
Certificate Distinguished Name – New Screen [▶ 984]	CSD/CB
Certificate Distinguished Names – Search/List Screen [▶ 982]	CSD/CB
Close Link – New Screen [▶ 732]	CB
Close Links – Search/List Screen [▶ 729]	CSD/CB
Closing Days- Search/List Screen [▶ 967]	CSD/CB
Collateral Value of a Security – Search/List Screen [▶ 350]	CSD/CB
Collateral Value per T2S Dedicated Cash Account and Security – Search/List Screen [▶ 353]	CSD/CB
Common Reference Data Revisions - Search/List Screen [▶ 1185]	CSD/CB
CoSD Rule – New/Edit Screen	CSD
CoSD Rule Sets - Details Screen [▶ 1110]	CSD
CoSD Rule Sets – New/Edit Screen [▶ 1113]	CB
CoSD Rule Sets - Search/List Screen [▶ 1106]	CSD
Countries - Search/List Screen [▶ 1173]	CSD/CB
CMB - Details Screen [▶ 838]	CB
CMB - New/Edit Screen [▶ 841]	CB
CMBs - Search/List Screen [▶ 835]	CB
CSD Account Link - New/Edit Screen [▶ 800]	CSD
CSD Account Links - Search/List Screen [▶ 796]	CSD
Currencies - Search/List Screen [▶ 1175]	CSD/CB
Daily Price – New/Edit Screen [▶ 783]	CSD
Daily Price - Search/List Screen [▶ 781]	CSD/CB
Data Change - Details Screen [▶ 620]	CSD/CB

Screen	Usage Indication (CSD/ CB)
Data Changes - Search/List Screen [616]	CSD/CB
Eligible Counterpart CSD - Details Screen [▶ 716]	CSD
Eligible Counterpart CSD - New/Edit Screen [719]	CSD
Eligible Counterpart CSDs - Search/List Screen [▶ 711]	CSD
Euro Foreign Exchange Reference Rate - New/Edit Screen [▶ 779]	CB
Euro Foreign Exchange Reference Rate - Search/List Screen [▶ 776]	CSD/CB
Event Type - Details Screen [972]	CSD/CB
Event Types - Search/List Screen [▶ 970]	CSD/CB
External RTGS Account - Details Screen [853]	CB
External RTGS Account - New/Edit Screen [▶ 855]	CB
External RTGS Accounts - Search/List Screen [▶ 850]	CB
Grant/Revoke Cross-System Entity Object Privilege – Details Screen [▶ 1000]	CSD/CB
Grant/Revoke Cross-System Entity Object Privilege – New/Edit Screen [▶ 1001]	CSD/CB
Grant/Revoke Object Privilege – Details Screen [▶ 1007]	CSD/CB
Grant/Revoke Object Privilege – New/Edit Screen [▶ 1009]	CSD/CB
Grant/Revoke Privileges – Search/List Screen [▶ 997]	CSD/CB
Grant/Revoke Roles – Details Screen [▶ 1017]	CSD/CB
Grant/Revoke Roles – New/Edit Screen [▶ 1020]	CSD/CB
Grant/Revoke Roles - Search/List Screen [▶ 1015]	CSD/CB
Grant/Revoke System Privilege – Details Screen [▶ 1024]	CSD/CB
Grant/Revoke System Privilege – New/Edit Screen [▶ 1026]	CSD/CB
Hold/Release Instruction – Details Screen [▶ 132]	CSD
Hold/Release Instruction – New Screen [136]	CSD

Screen	Usage Indication (CSD/ CB)
Immediate Liquidity Transfer – Details Screen [▶ 390]	CB
Immediate Liquidity Transfer – New Screen [▶ 395]	CB
Immediate Liquidity Transfer – Search/List Screen [▶ 382]	CB
Inbound File – Details Screen [▶ 634]	CSD/CB
Inbound Files – Search/List Screen [▶ 631]	CSD/CB
Inbound Message – Details Screen [▶ 644]	CSD/CB
Inbound Messages – Search/List Screen [▶ 637]	CSD/CB
Insolvency procedure Settlement Instructions – Search/List Screen [▶ 279]	CSD
Intra-Balance Movement – Details Screen [▶ 438]	CB
Intra-Balance Movement – New Screen [▶ 445]	CB
Intra-Balance Movements – Search/List Screen [▶ 431]	CB
Intra-Position Movement – Details Screen [▶ 154]	CSD
Intra-Position Movement – New Screen [▶ 161]	CSD
Intra-Position Movements – Search/List Screen [▶ 147]	CSD
Invoice Data – Details Screen [▶ 675]	CSD/CB
Invoice Data – New Screen	CSD/CB
Invoice Data – Search/List Screen [▶ 672]	CSD/CB
Limit – New/Edit Screen [▶ 357]	CB
Limit Utilisation – Search/List Screen [▶ 357]	CSD/CB
Limit Utilisation Journal – Search/List Screen [▶ 362]	CSD/CB
Limits – Search/List Screen [▶ 357]	CB
Links Preventing Settlement - List Screen [▶ 286]	
Maintenance Cash Instructions – Search/List Screen [▶ 456]	CB
Maintenance Securities Instructions – Search/List Screen [▶ 174]	CSD
Manual Correction – Details Screen [▶ 688]	

Screen	Usage Indication (CSD/ CB)
Manual Correction – New/Edit Screen [▶ 690]	CB
Manual Corrections – Search/List Screen [▶ 685]	CB
Market-Specific Attribute – Details Screen [▶ 863]	CSD/CB
Market-Specific Attribute – New/Edit Screen [▶ 865]	CSD/CB
Market-Specific Attributes – Search/List Screen [▶ 860]	CSD/CB
Message Subscription Rule – New/Edit Screen [▶ 1057]	CSD/CB
Message Subscription Rule Set – Details Screen [▶ 1048]	CSD/CB
Message Subscription Rule Set – New/Edit Screen [▶ 1053]	CSD/CB
Message Subscription Rule Sets – Search/List Screen [▶ 1043]	CSD/CB
Monthly Net Penalty Amounts - Search/List Screen [▶ 319]	CSD
Network Services – Search/List Screen [▶ 1091]	CSD/CB
Outbound File – Details Screen [▶ 652]	CSD/CB
Outbound Files – Search/List Screen [▶ 649]	CSD/CB
Outbound Message – Details Screen [▶ 662]	CSD/CB
Outbound Message - Details Screen [▶ 704]	CSD/CB
Outbound Messages – Search/List Screen [▶ 654]	CSD/CB
Outstanding Auto-Collateralisation Credits – Search/List Screen [▶ 401]	CSD/CB
Overall Liquidity – Search/List Screen [▶ 405]	CB
Partial Settlement Thresholds – Search/List Screen [▶ 1176]	CSD/CB
Parties – Search/List Screen [▶ 867]	CSD/CB
Party – Details Screen [▶ 873]	CSD/CB
Party – New/Edit Screen [▶ 879]	CSD/CB
PDF Invoice – Search/List Screen [▶ 697]	CB/CSD
Penalty - Details Screen [▶ 300]	CSD
Penalty - Removal Screen [▶ 324]	CSD

Screen	Usage Indication (CSD/CB)
Penalty - Search/List Screen [▶ 288]	CSD
Penalty - Switch Screen [▶ 331]	CSD
Privileges – Search/List Screen [▶ 992]	CSD/CB
Queued Static Data Changes – Search/List Screen	CSD/CB
Queued Static Data Change – Details Screen	CSD/CB
Report Configurations - Details Screen [▶ 1077]	CSD/CB
Report Configuration – New/Edit Screen [▶ 1080]	CSD/CB
Report Configurations – Search/List Screen [▶ 1069]	CSD/CB
Resend Communication Screen [▶ 666]	CSD/CB
Restricted Parties – Search/List Screen [▶ 891]	CSD/CB
Restriction Type - Details Screen [▶ 1133]	CSD/CB
Restriction Type – New/Edit Screen [▶ 1136]	CSD/CB
Restriction Type Rule – New/Edit Screen [▶ 1141]	CSD/CB
Restriction Types – Search/List Screen	CSD/CB
Revisions/Audit Trail – Details Screen [▶ 1183]	CSD/CB
Revisions/Audit Trail – List Screen [▶ 1182]	CSD/CB
Role – New/Edit Screen [▶ 996]	CSD/CB
Roles – Search/List Screen [▶ 994]	CSD/CB
Routing – New/Edit Screen [▶ 1096]	CSD/CB
Routing – Search/List Screen [▶ 1093]	CSD/CB
Secured Group – Details Screen [▶ 1033]	CSD/CB
Secured Group – New/Edit Screen [▶ 1036]	CSD/CB
Secured Groups – Search/List Screen [▶ 1030]	CSD/CB
Securities – Search/List Screen [▶ 734]	CSD/CB
Securities Account – Details Screen [▶ 811]	CSD
Securities Account – New/Edit Screen [▶ 815]	CSD
Securities Account Transfer Management – Edit Screen [▶ 823]	CSD
Securities Accounts – Search/List Screen [▶ 806]	CSD
Securities Accounts Links – New/Edit Screen [▶ 831]	CSD

Screen	Usage Indication (CSD/CB)
Securities Accounts Links – Search/List Screen [▶ 826]	CSD/CB
Securities Position – Details Screen [▶ 184]	CSD
Securities Positions – Search/List Screen [▶ 179]	CSD
Securities Postings – Search/List Screen [▶ 92]	CSD
Securities Restriction Details – Search/List Screen [▶ 96]	CSD
Securities subject to Cash Penalties – New/Edit Screen [▶ 790]	CSD
Securities Subject to Cash Penalties - Search/List Screen [▶ 786]	CSD/CB
Securities Valuation – New Screen [▶ 758]	CB
Securities Valuations – Search/List Screen [▶ 755]	CB
Security – Details Screen	CSD/CB
Security – New/Edit Screen [▶ 743]	CSD
Security CSD Link – Details Screen [▶ 766]	CSD
Security CSD Link – New/Edit Screen [▶ 769]	CSD
Security CSD Links – Search/List Screen [▶ 761]	CSD
Security Penalty Rate - Search/List Screen [▶ 792]	CSD/CB
Service Item – Details Screen [▶ 1232]	CSD/CB
Service Items – Search/List Screen [▶ 1230]	CSD/CB
Settlement Days - Search/List Screen [▶ 706]	CSD/CB
Settlement Instruction – Details Screen [▶ 201]	CSD
Settlement Instruction – New Screen [▶ 220]	CSD
Settlement Instructions – Search/List Screen [▶ 187]	CSD
SME Growth Market - Search/List Screen [▶ 794]	CSD/CB
Standing/Predefined Liquidity Transfer Order – Details Screen [▶ 409]	CB
Standing/Predefined Liquidity Transfer Order – New/Edit Screen [▶ 409]	CB
Standing/Predefined Liquidity Transfer Order Link Set – Details Screen [▶ 409]	CB

Screen	Usage Indication (CSD/ CB)
Standing/Predefined Liquidity Transfer Order Link Set – New/Edit Screen [▶ 409]	CB
Standing/Predefined Liquidity Transfer Order Link Sets – Search/List Screen [▶ 409]	CB
Standing/Predefined Liquidity Transfer Orders – Search/List Screen [▶ 409]	CB
Status History – Details Screen [▶ 276]	CSD/CB
System Entities – Search/List Screen [▶ 1178]	CSD/CB
T2S DCA Balance - Details Screen [▶ 370]	CB
T2S DCA Balances - Search/List Screen [▶ 366]	CB
T2S DCA Postings - Search/List Screen [▶ 372]	CB
Technical Addresses Network Services Link - Details Screen [▶ 893]	CSD/CB
Technical Addresses Network Services Link - New/Edit Screen	CSD/CB
Tolerance Amounts - Search/List Screen [▶ 1180]	CSD/CB
Total Amount of Standing/Predefined Liquidity Transfer Orders - Search/List Screen [▶ 409]	CB
Total collateral value per T2S dedicated cash account - Search/List Screen [▶ 378]	CSD/CB
User - Details Screen [▶ 977]	CSD/CB
User – New/Edit Screen [▶ 979]	CSD/CB
User- Search/List Screen [▶ 973]	CSD/CB
User Access Rights - List Screen [▶ 1041]	CSD/CB
User Access Rights - Search Screen [▶ 1039]	CSD/CB
User Certificate Distinguished Name Link - New Screen [▶ 989]	CSD/CB
User Certificate Distinguished Name Links - Search/List Screen [▶ 986]	CSD/CB
Business Scenarios	
Business Scenario	Usage Indication (CSD/ CB)
Add a Link for a Settlement Instruction [▶ 1324]	CSD
Add a Link for a Settlement Restriction on Cash [▶ 1387]	CB

Business Scenario	Usage Indication (CSD/ CB)
Add a Link for a Settlement Restriction on Securities [▶ 1378]	CSD
Add a new Technical Address to a Party [▶ 1276]	CSD/CB
Amend the Partial Settlement Indicator for a Settlement Instruction [▶ 1327]	CSD
Amend the Priority for a Settlement Instruction [▶ 1328]	CSD
Amend the Priority for a Settlement Restriction on Cash [▶ 1389]	CB
Amend the Priority for a Settlement Restriction on Securities [▶ 1381]	CSD
Approve an Action in 4-Eyes Mode [▶ 1259]	CSD/CB
Assign a Role to a Party [▶ 1243]	CSD/CB
Assign a Role to a User [▶ 1245]	CSD/CB
Assign a Secured Object to a Secured Group	CSD/CB
Cancel a Settlement Instruction [▶ 1348]	CSD
Cancel a Settlement Restriction on Cash [▶ 1398]	CB
Cancel a Settlement Restriction on Securities [▶ 1394]	CSD
Change the Limit of a Payment Bank [▶ 1295]	CB
Configure CSD Account Links	CSD
Configure Security CSD Links	CSD
Copy a Settlement Instruction [▶ 1311]	CSD
Copy a Settlement Restriction on Cash [▶ 1374]	CB
Copy a Settlement Restriction on Securities [▶ 1368]	CSD
Copy an Immediate Liquidity Transfer [▶ 1286]	CB/CSD
Create a new Broadcast	CSD/CB
Create a new Credit Memorandum Balance	CB
Create a new external RTGS Account	CB
Create a new Immediate Liquidity Transfer	CSD/CB
Create a new Liquidity Transfer Order Link Set	CB
Create a new Participant	CSD/CB

Business Scenario	Usage Indication (CSD/ CB)
Create a new Report Configuration [▶ 1270]	CSD/CB
Create a new Role	CSD/CB
Create a new Secured Group	CSD/CB
Create a new Securities Account	CSD
Create a new Securities Valuation	CB
Create a new Security	CSD
Create a new Standing/Predefined Liquidity Transfer Order	CB
Create a new T2S Dedicated Cash Account	CB
Create a new Technical Address Service Link [▶ 1278]	CSD/CB
Create a new User [▶ 1253]	CSD/CB
Create a new User Certificate Distinguished Name [▶ 1254]	CSD/CB
Create a new User Certificate Distinguished Name Link [▶ 1255]	CSD/CB
Delete an existing Participant	CSD/CB
Delete an existing Securities Account	CSD
Delete an existing T2S Dedicated Cash Account	CB
Edit an existing Participant [▶ 1268]	CSD/CB
Edit an existing Securities Account	CSD
Edit an existing T2S Dedicated Cash Account	CB
Enter a Settlement Instruction [▶ 1307]	CSD
Enter a Settlement Restriction on Cash [▶ 1372]	CB
Enter a Settlement Restriction on Securities [▶ 1366]	CSD
Grant a Privilege to a Party [▶ 1248]	CSD/CB
Grant a Privilege to a User	CSD/CB
Grant System and Object Privileges to a Role [▶ 1241]	CSD/CB
Initiate an Action in 4-Eyes Mode [▶ 1258]	CSD/CB
Link a Securities Account to a T2S Dedicated Cash Account for Cash Settlement Purpose	CSD

Business Scenario	Usage Indication (CSD/ CB)
Put a Settlement Instruction on CSD Hold [▶ 1336]	CSD
Put a Settlement Instruction on Party Hold [▶ 1334]	CSD
Reimburse an Outstanding Intraday Credit [▶ 1296]	CSD
Release a Settlement Instruction from CoSD Hold [▶ 1343]	CSD
Release a Settlement Instruction from CSD Hold [▶ 1341]	CSD
Release a Settlement Instruction from CSD Validation Hold [▶ 1345]	CSD
Release a Settlement Instruction from Party Hold [▶ 1338]	CSD
Remove a Link for a Settlement Instruction [▶ 1330]	CSD
Remove a Link for a Settlement Restriction on Cash [▶ 1391]	CB
Remove a Link for a Settlement Restriction on Securities [▶ 1383]	CSD
Resend Communication [▶ 1282]	CSD/CB
Respond to an Allegement Instruction [▶ 1317]	CSD
Set up an Auto-Collateralisation Limit	CB
View a Broadcast [▶ 1281]	CSD/CB
View Alleged Cancellation Instruction [▶ 1351]	CSD
View Allegement Settlement Instruction Details [▶ 1323]	CSD
View Amendment Instruction for a Settlement Instruction Details [▶ 1332]	CSD
View Amendment Instruction for a Settlement Restriction on Cash Details [▶ 1393]	CB
View Amendment Instruction for a Settlement Restriction on Securities Details [▶ 1385]	CSD
View Available Reports	CSD/CB
View Cancellation Instruction for a Settlement Instruction Details [▶ 1350]	CSD
View Cancellation Instruction for a Settlement Restriction on Cash Details [▶ 1399]	CB

Business Scenario	Usage Indication (CSD/CB)
View Cancellation Instruction for a Settlement Restriction on Securities Details [▶ 1396]	CSD
View Cash Balances Details and Related Postings [▶ 1288]	CB
View Cash Balances Details and Related Restrictions [▶ 1289]	CB
View Current Securities Positions and Related Posting [▶ 1298]s	CSD
View Current Securities Positions and Related Restrictions [▶ 1299]	CSD
View Historic Securities Positions for a Specific Date [▶ 1301]	CSD
View Historic Securities Positions for a Specific Period [▶ 1302]	CSD
View Hold/Release Instruction Details [▶ 1346]	CSD
View Immediate Liquidity Transfer Details [▶ 1291]	CSD/CB
View Inbound Communication [▶ 1282]	CSD/CB
View Outbound Communication [▶ 1284]	CSD/CB
View Overall Liquidity [▶ 1293]	CSD/CB
View Privilege Details	CSD/CB
View Revisions [▶ 1304]	CSD/CB
View Settlement Instruction Details [▶ 1322]	CSD
View Settlement Instruction Status History [▶ 1353]	CSD
View Settlement Restriction on Cash Details [▶ 1377]	CB
View Settlement Restriction on Securities Details [▶ 1371]	CSD
View SF1/SF2 timestamps of Settlement Instructions in case of Insolvency situation [▶ 1354]	CB
View Standing/Predefined Liquidity Transfer Order Details [▶ 1292]	CSD/CB

6.2 Sitemap for T2S GUI

6.2.1 Reader's Guide

The sitemap annex is structured along the first and the second GUI menu level. It provides you with a formalised illustration of all screens (pictured as rectangles) and their related buttons (pictured as arrows). The illustration helps you to get an overview of all GUI screens and their interrelation, indicated by the arrows.

General layout of a sitemap In general, each sitemap has the same layout. The header of the sitemap always indicates the GUI menu item pictured by the sitemap. The first 3 lines represent the 3 main menu levels.

Menu Name	
Menu Level 1	
Menu Level 2	
Menu Level 3	

Illustration 470: Sitemap menu levels – GUI path

In lines 4 to 6, the single GUI screens are pictured following their business logic, i.e. starting with *search/list* screens in line 4, *details* or *enter* screens in line 5 and finishing with *revisions/audit trail – list* screens in line 6.

Search / List Screen	
Details / Enter Screen	
Revision / Audit Trail	

Illustration 471: Sitemap menu levels - screens

Within these lines, regular rectangles represent a menu item, rounded rectangles represent a screen.

Menu Securities	
Menu Level 1	M1 Securities
Menu Level 2	M1.1 Securities Account M1.2 Settlement
Menu Level 3	M1.1.1 Securities Positions M1.1.2 Securities Postings M1.1.3 Securities Restriction M1.2.1 Settlement Instructions M1.2.2 Intra-Position Movements M1.2.3 Maintenance Securities Instructions M1.2.4 Settlement Instruction Allegements M1.2.5 Data Changes M1.2.6 Securities Positions

Illustration 472: Menu item and sitemap screen illustration

Interrelation between screens

Arrows between the rectangles represent an interrelation, while the button that has to be clicked on to jump from one screen to another is written across the corresponding arrow.

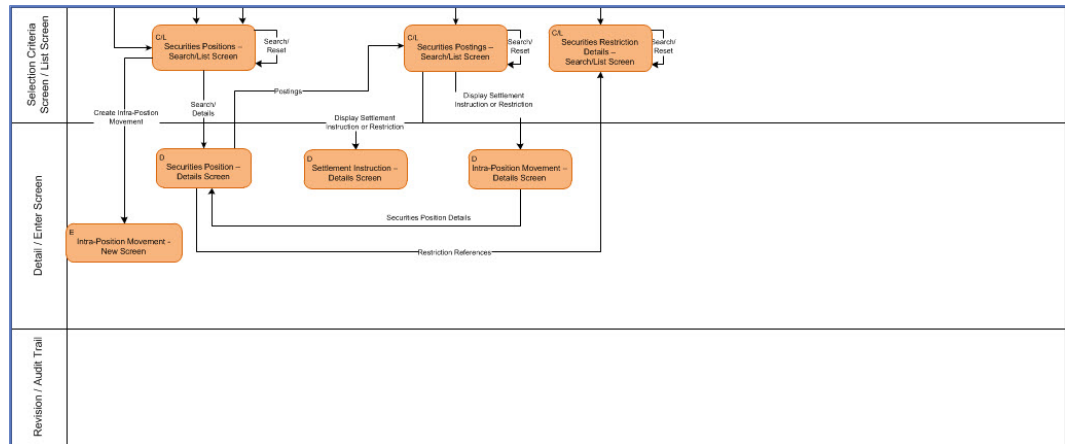


Illustration 473: Screen interrelation

Menu item and screen rectangles

The screen rectangles follow the same colour-coding as the GUI (see menu structure [▶ 42]). The classification found in the top left corner of each rectangle provides the following detailed information:

- Capital M for menu followed by max. 3 digits indicating the main menu item (1 for securities, 2 for cash, 3 for services, 4 for static data, 5 for monitoring) and following menu levels.
- Screen classification (C/L for *search/list* screen, C for *search* screen, L for *list* screen, D for *details* screen, E for *enter* screen).

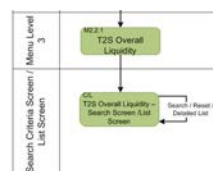


Illustration 474: Sitemap screen with classification and with button

Screens with a different colour

On some sitemaps you will also find screens illustrated in a different colour than it is foreseen for the respective T2S menu. These particular screens represent an alternative navigation to the same respective screen. These specific screens appear on the same menu level than the regular screens.

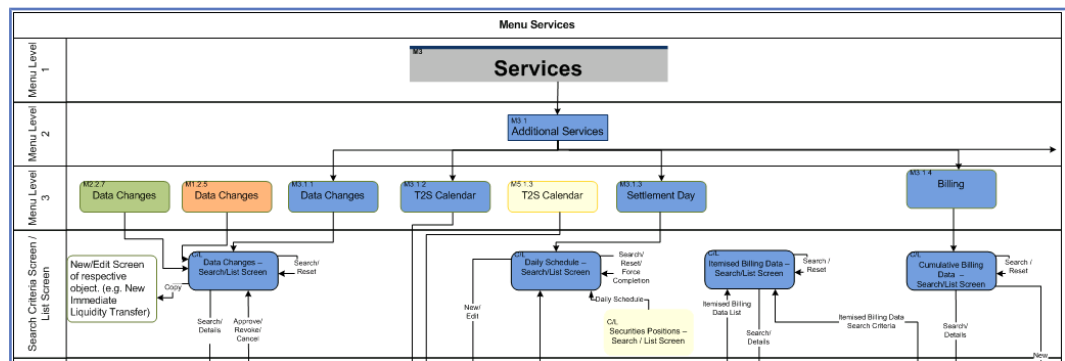


Illustration 475: Screens with a different colour

Interrelation between sitemap parts

Because of the size of the sitemap it has been split into several parts. You can recognise the use of several sitemap parts by an arrow leading to the next sitemap part.

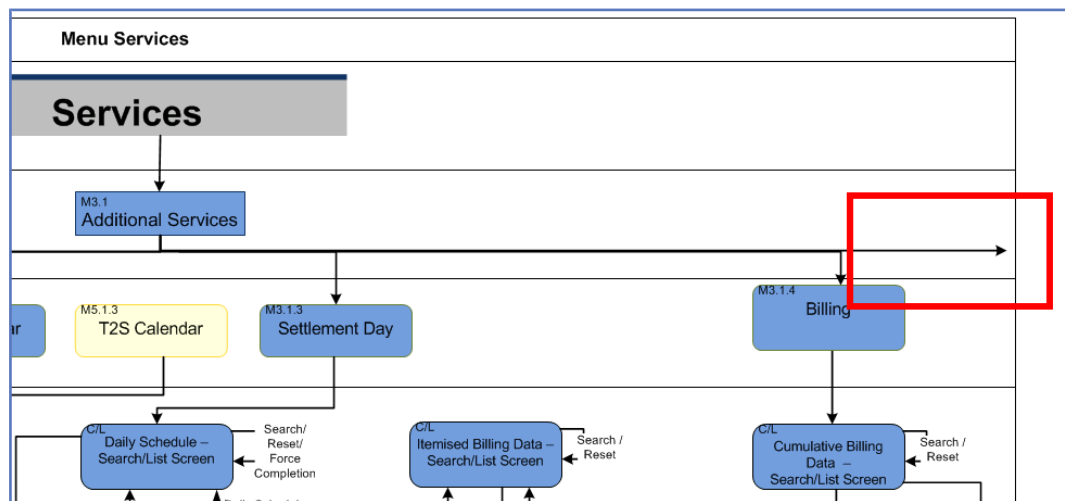


Illustration 476: Sitemap part interrelation

The static data sitemap for example is divided into 14 different sitemaps because of the wealth of information. Therefore it is recommended to read the sitemap from the top to the bottom and then from the left hand-side to the right hand-side.

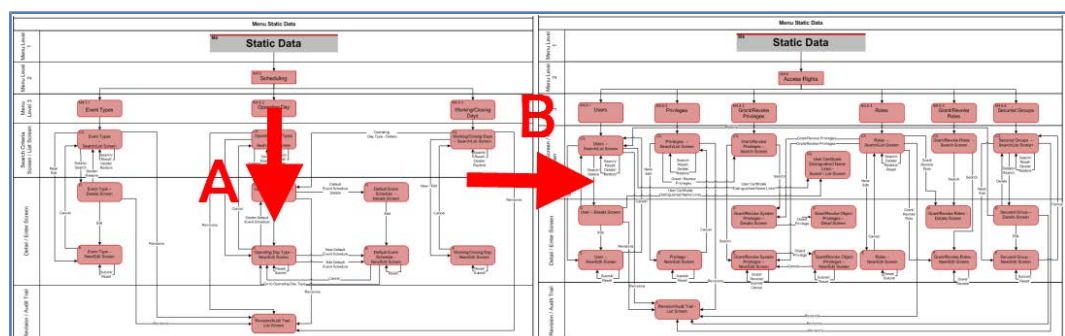
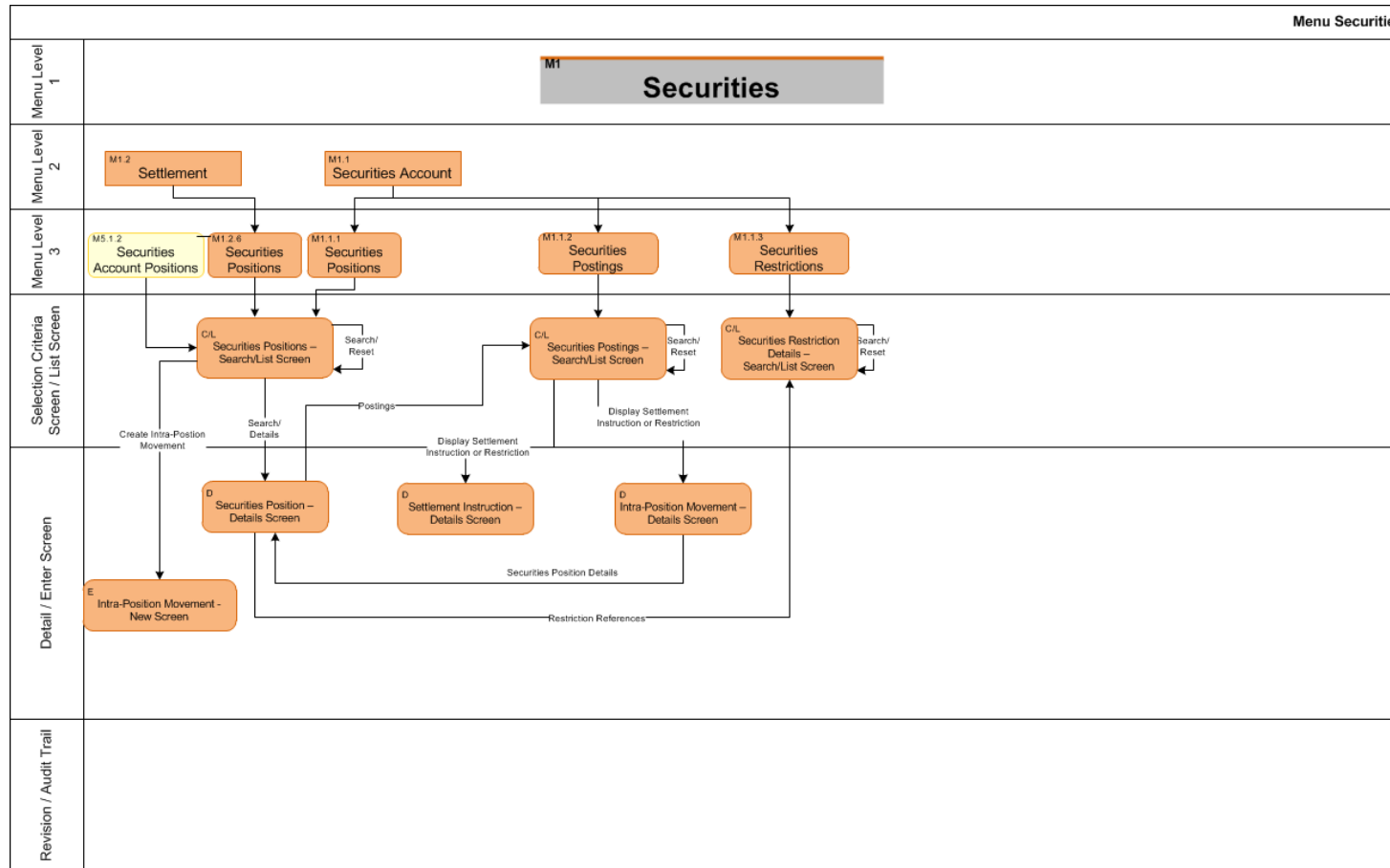


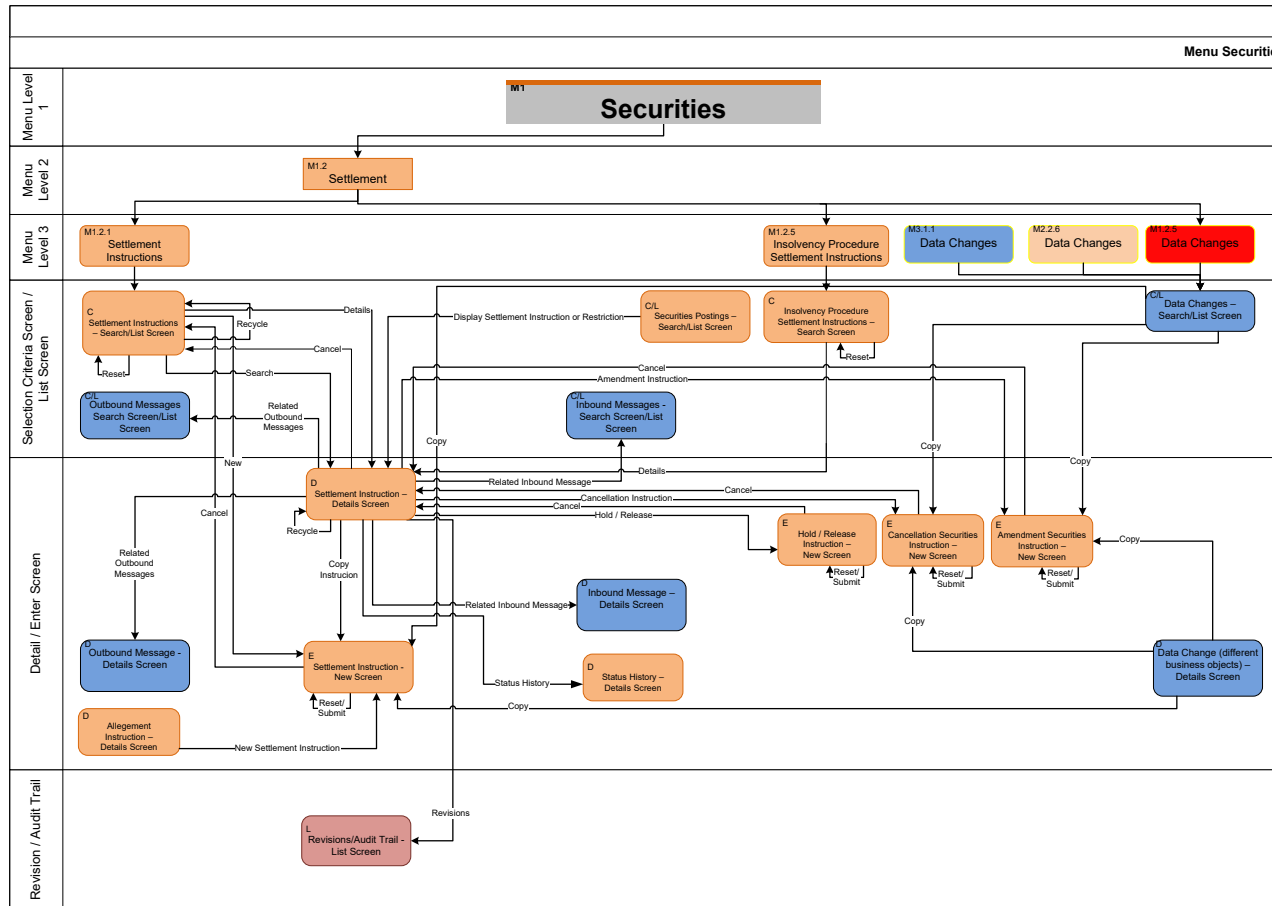
Illustration 477: How to read a sitemap

6.2.2 Securities

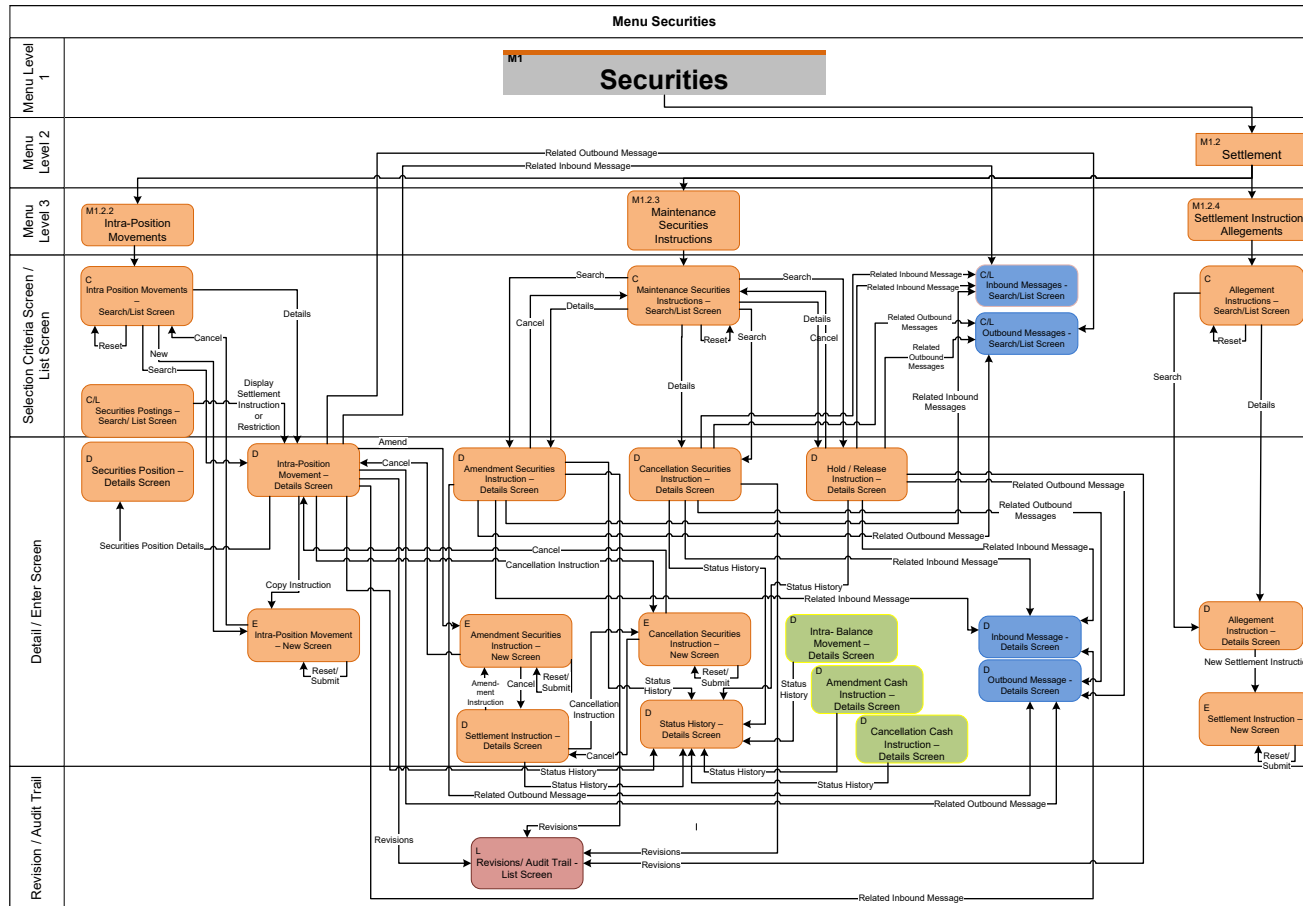
6.2.2.1 Securities Account



6.2.2.2 Settlement

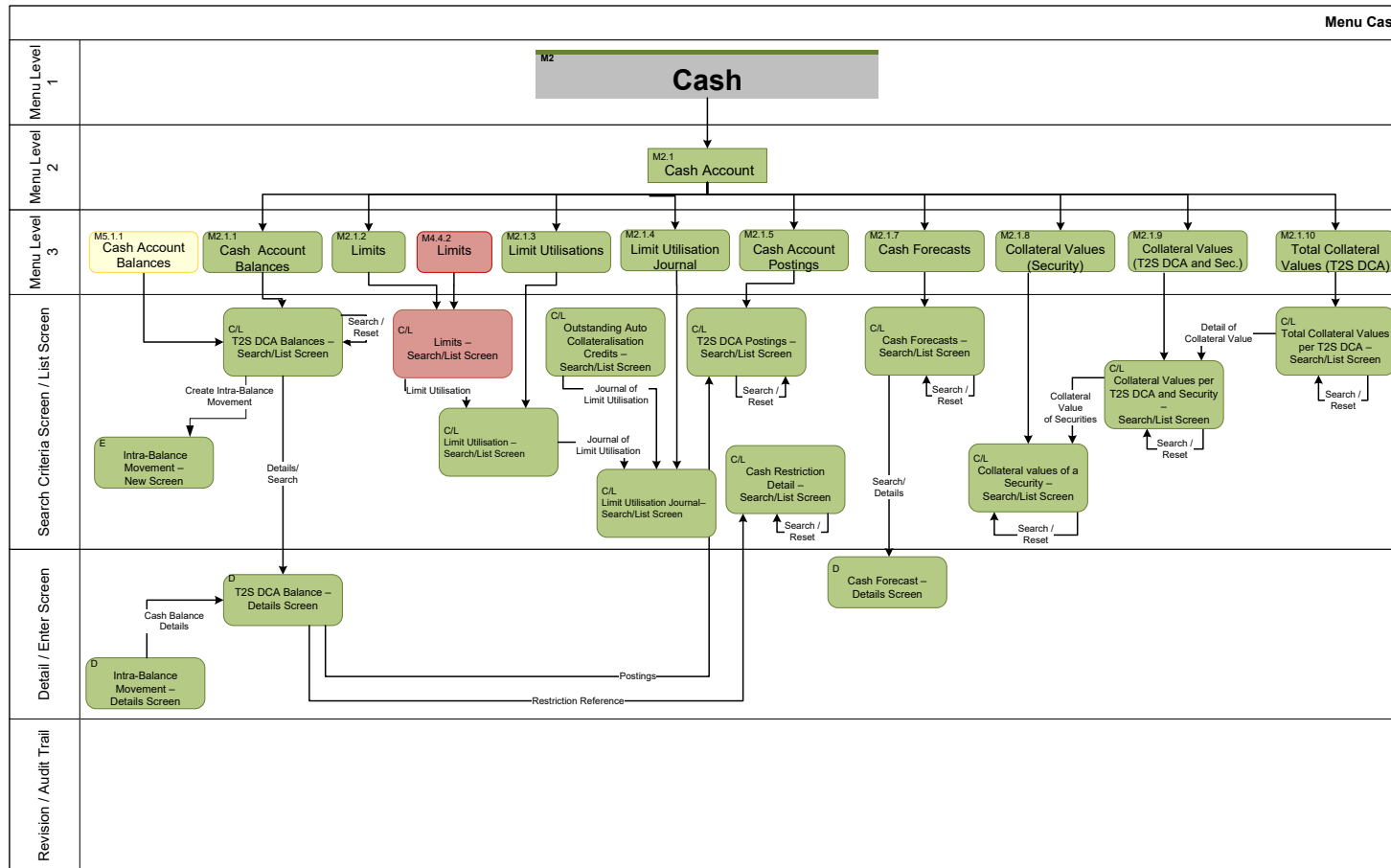


6.2.2.3 Settlement II

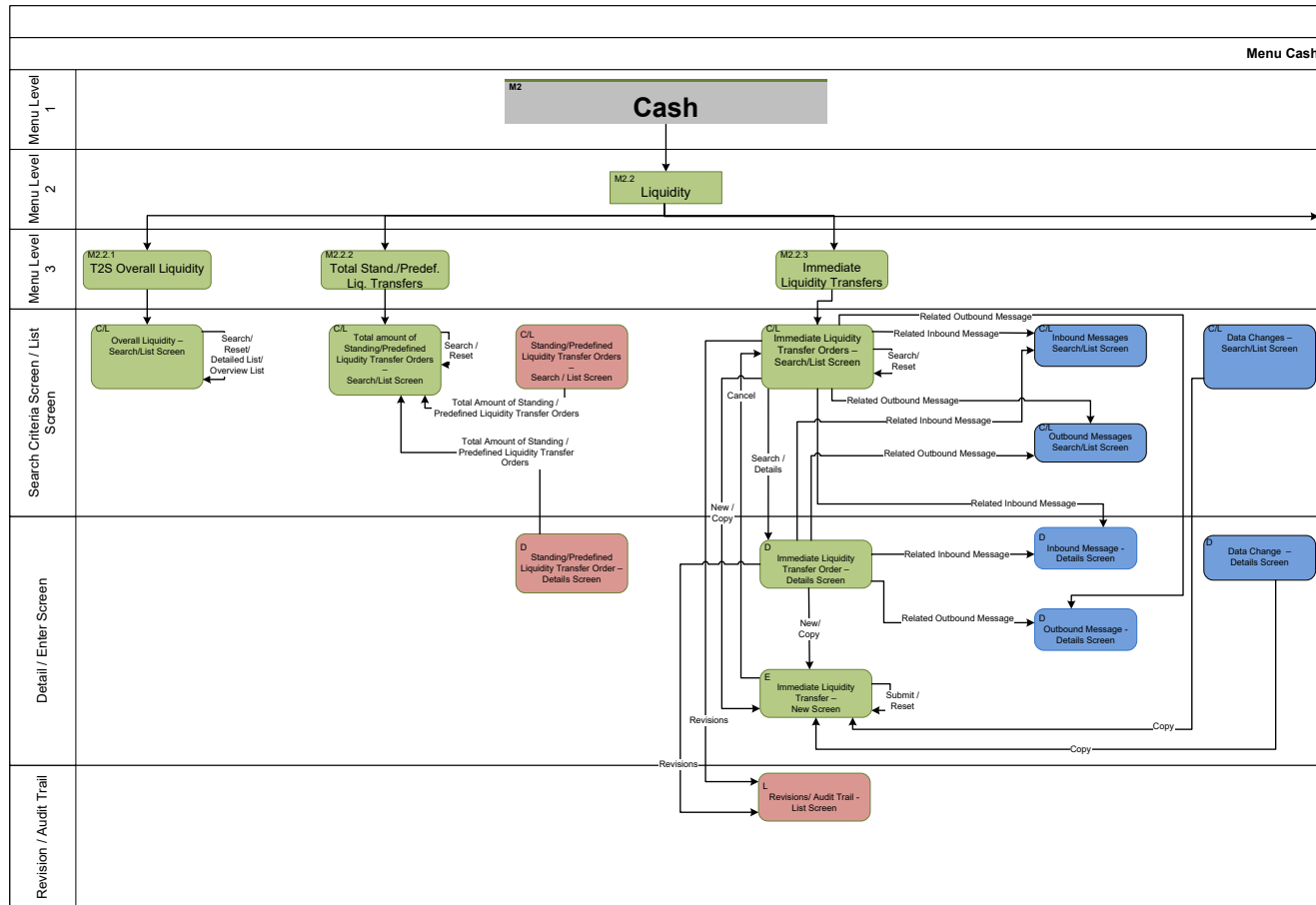


6.2.3 Cash

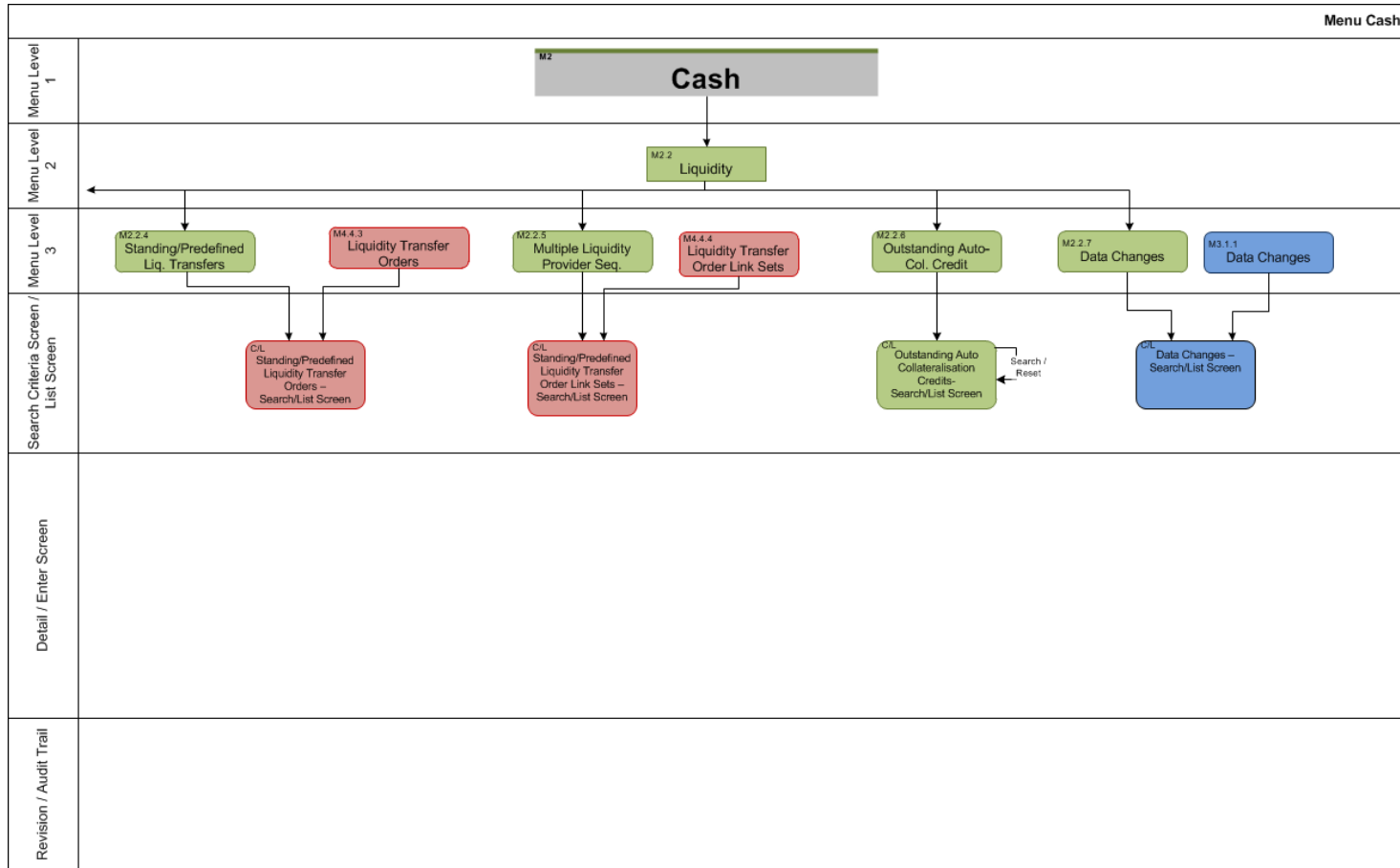
6.2.3.1 Cash Account



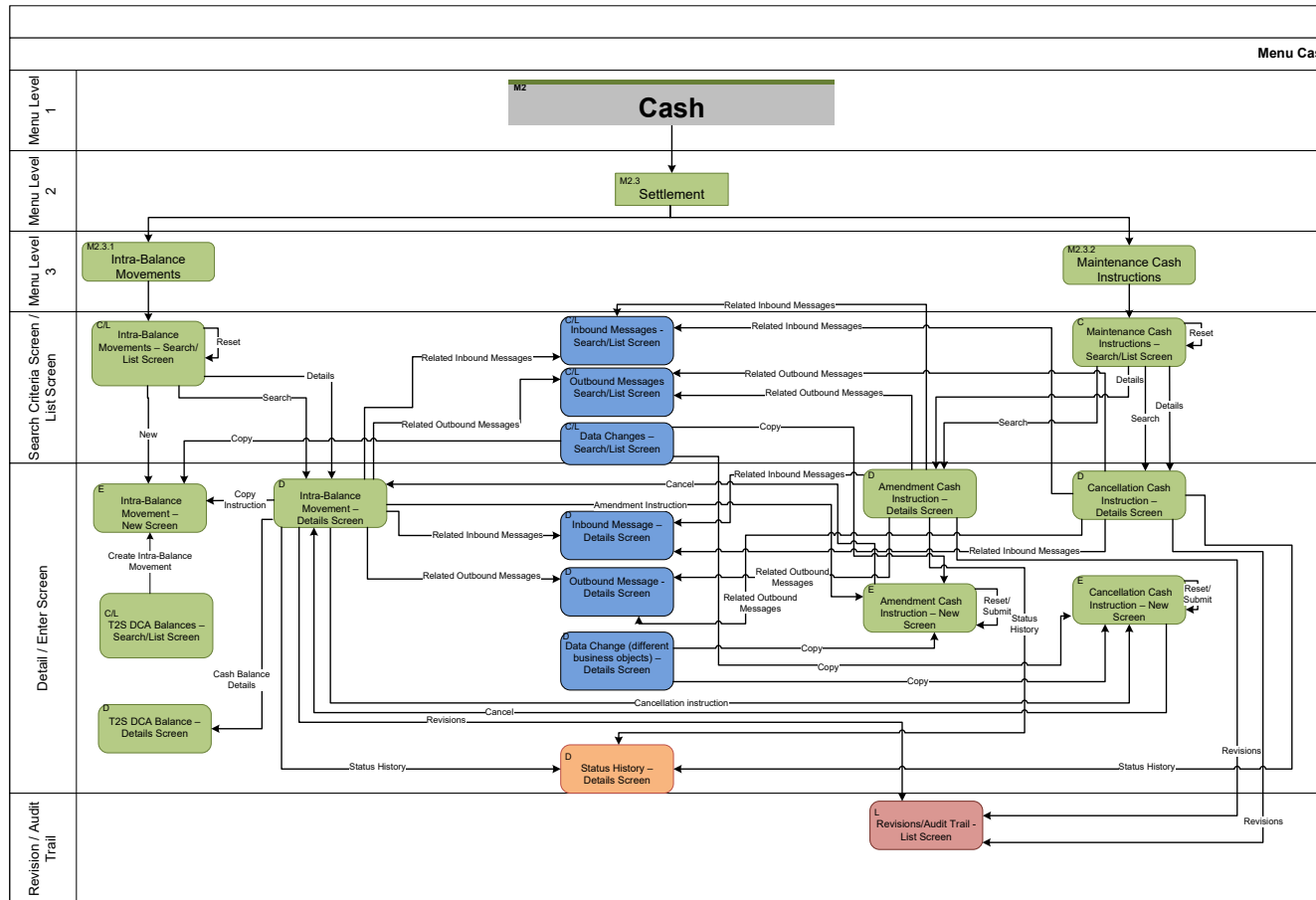
6.2.3.2 Liquidity



6.2.3.3 Liquidity II

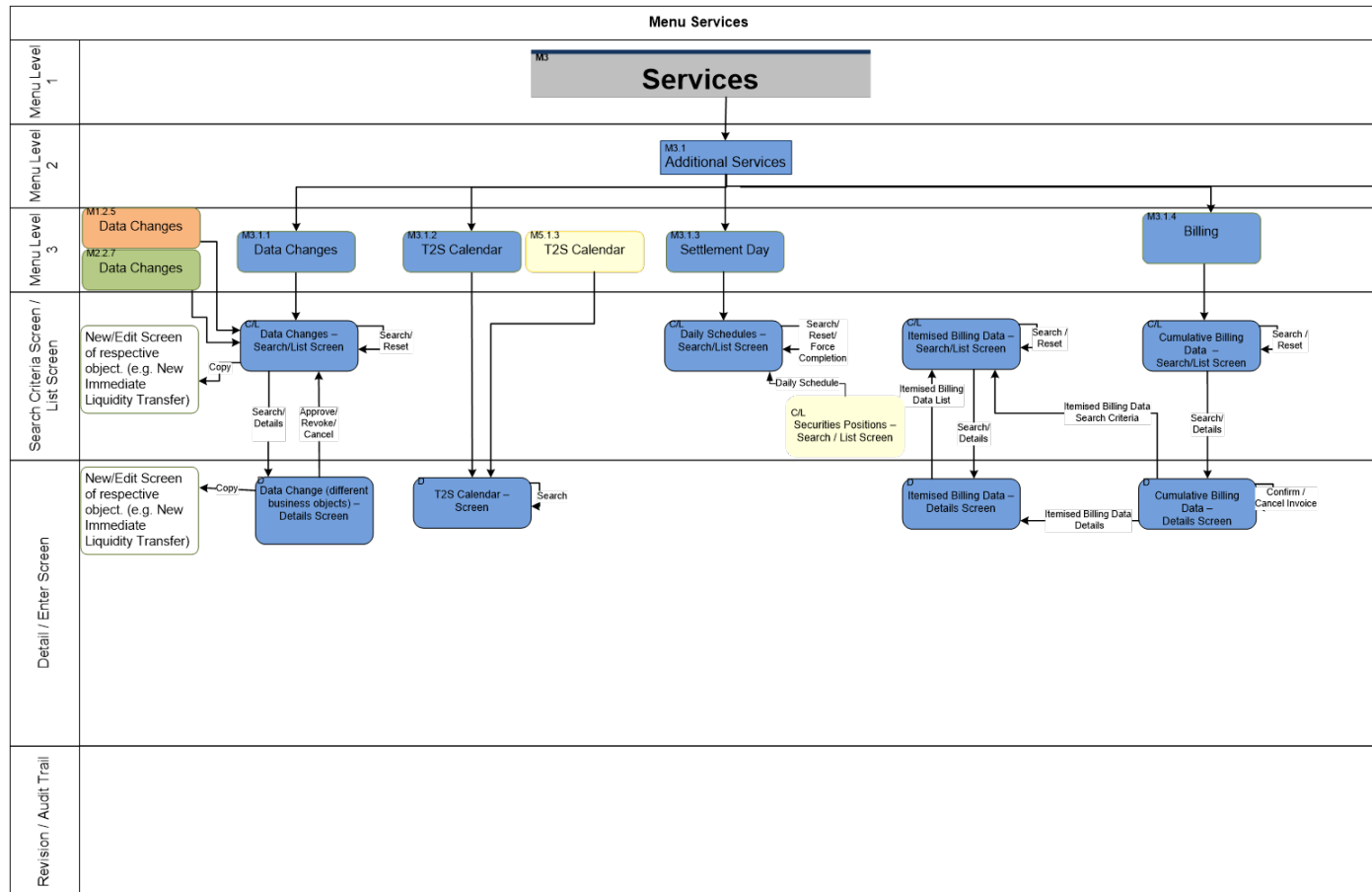


6.2.3.4 Settlement

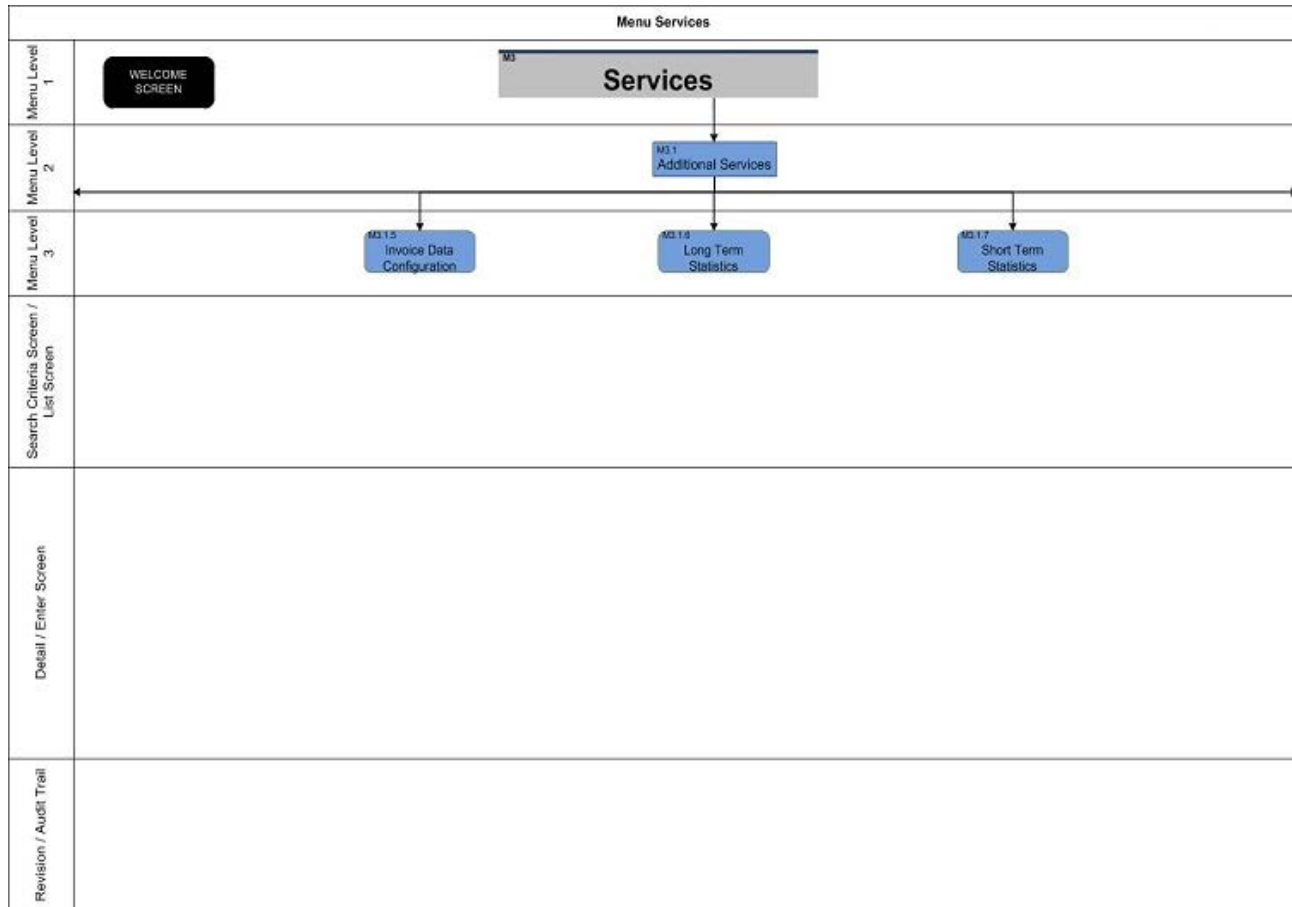


6.2.4 Services

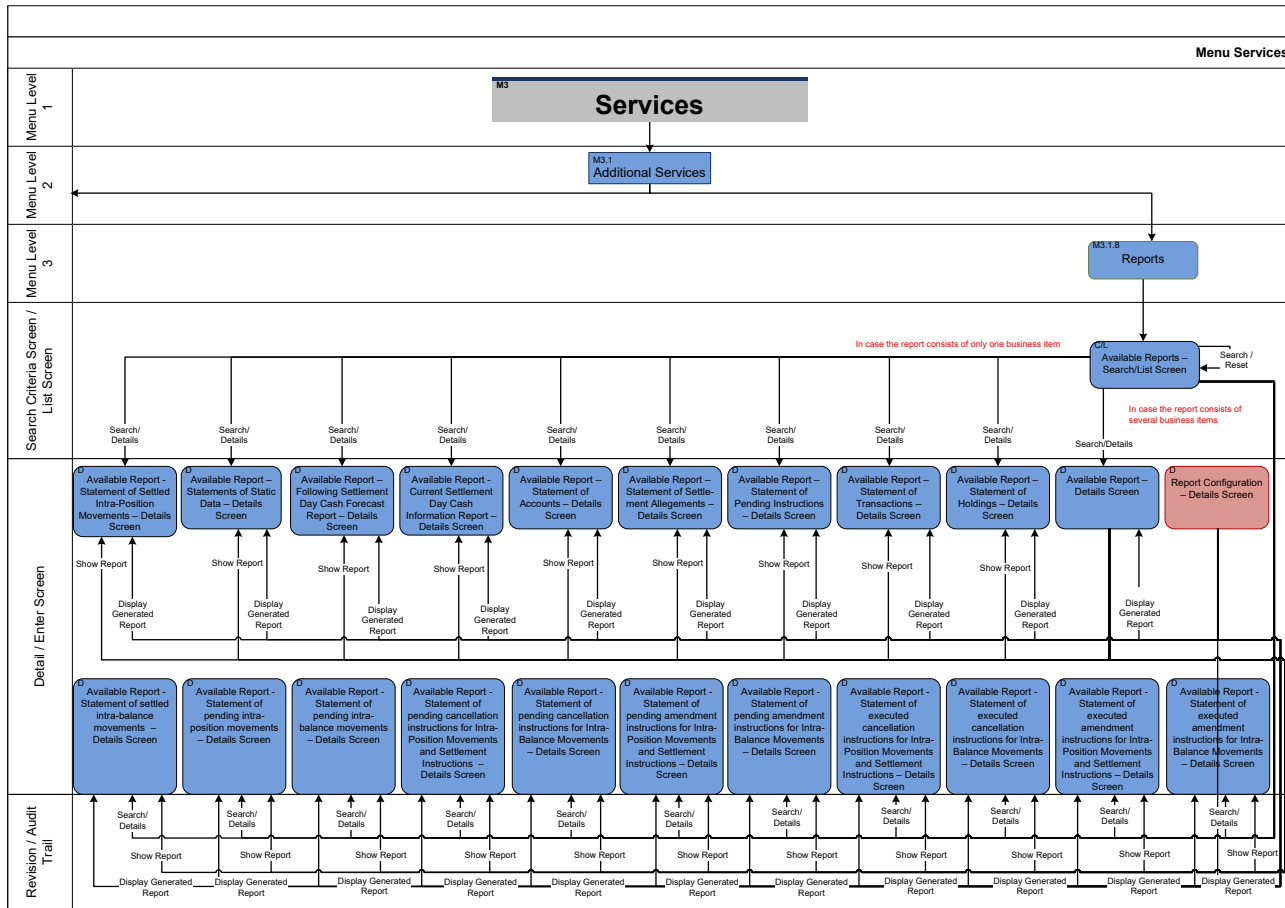
6.2.4.1 Additional Services



6.2.4.2 Additional Services II



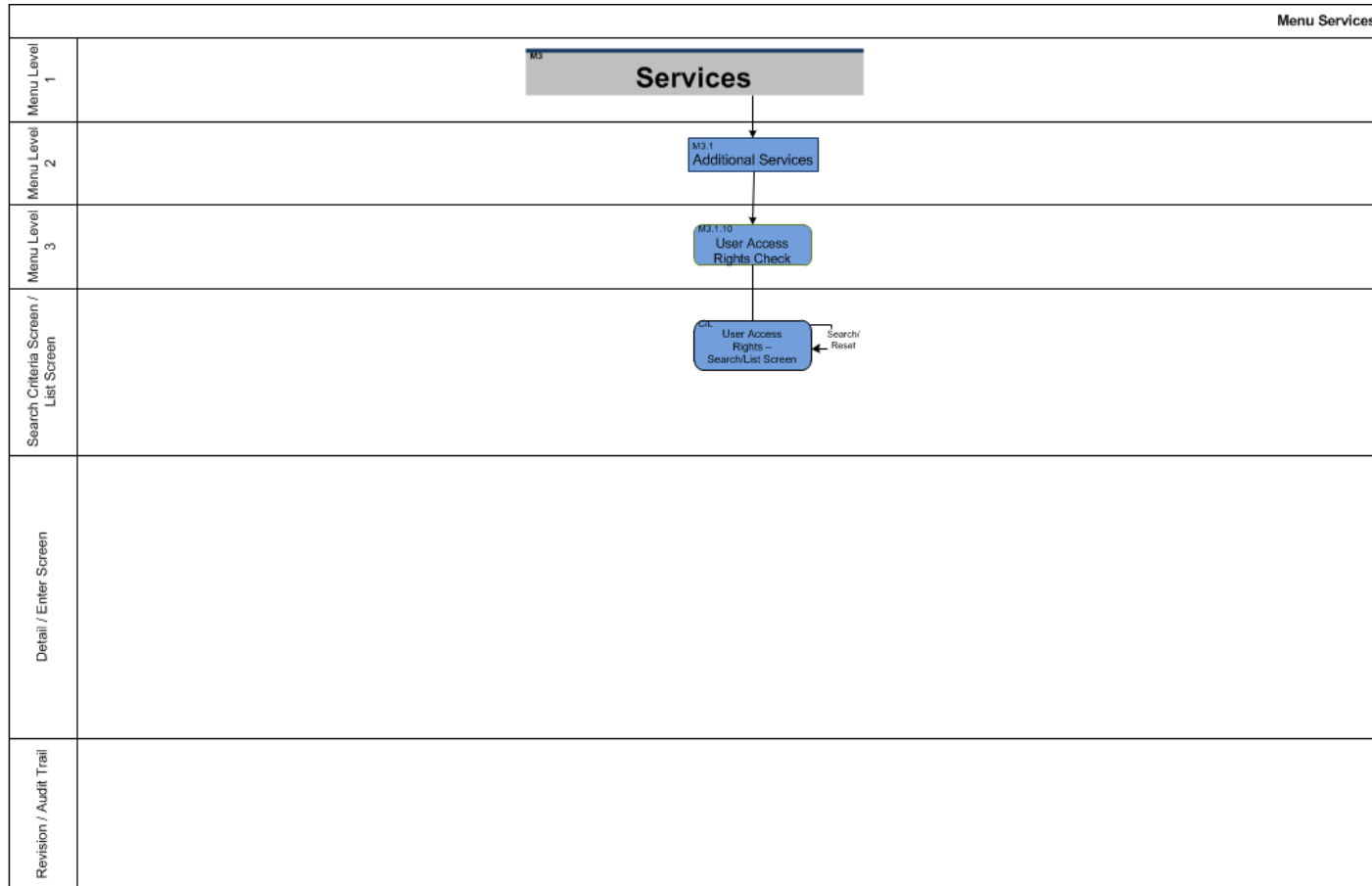
6.2.4.3 Additional Services III



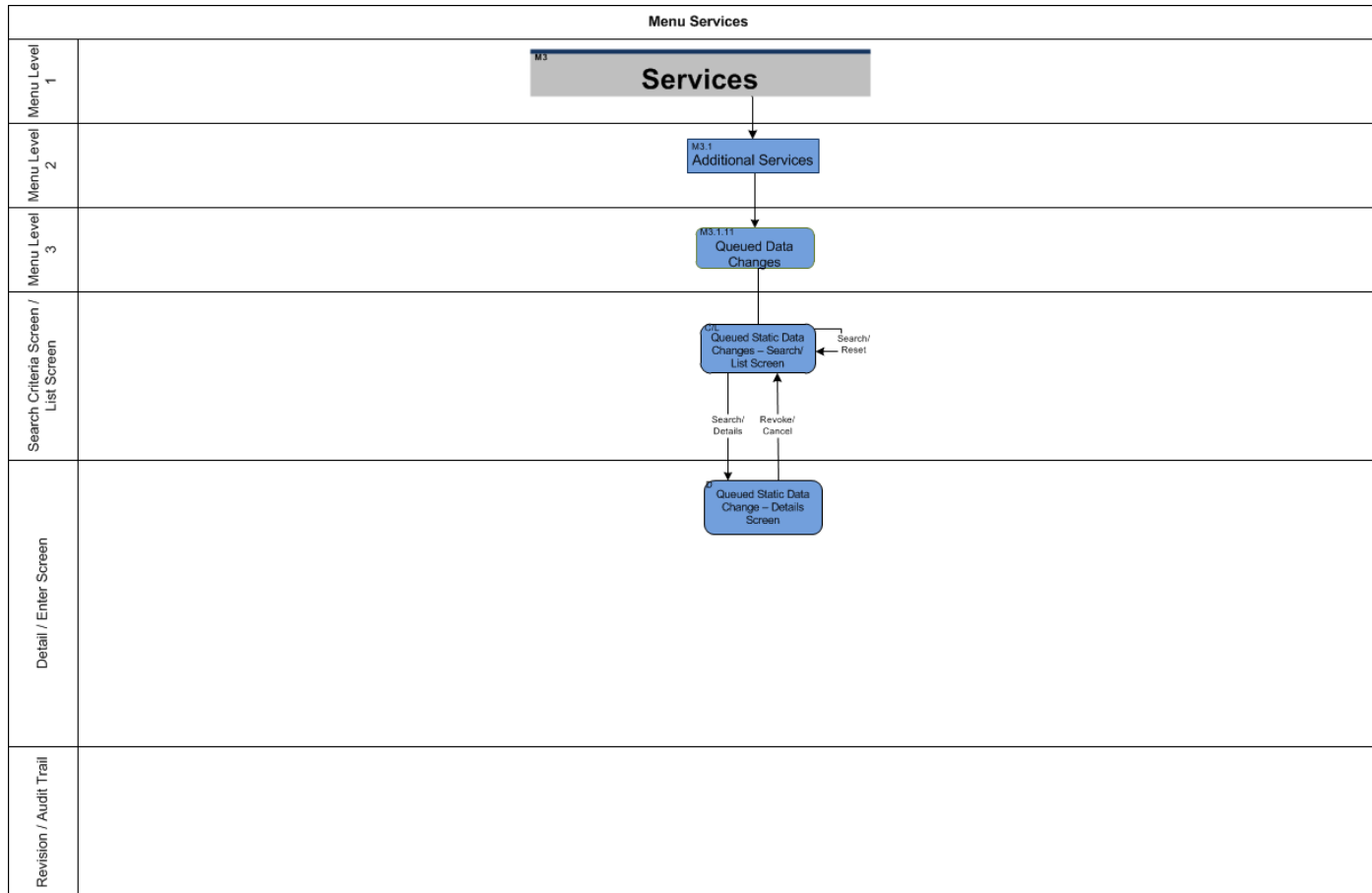
6.2.4.4 Additional Services IV



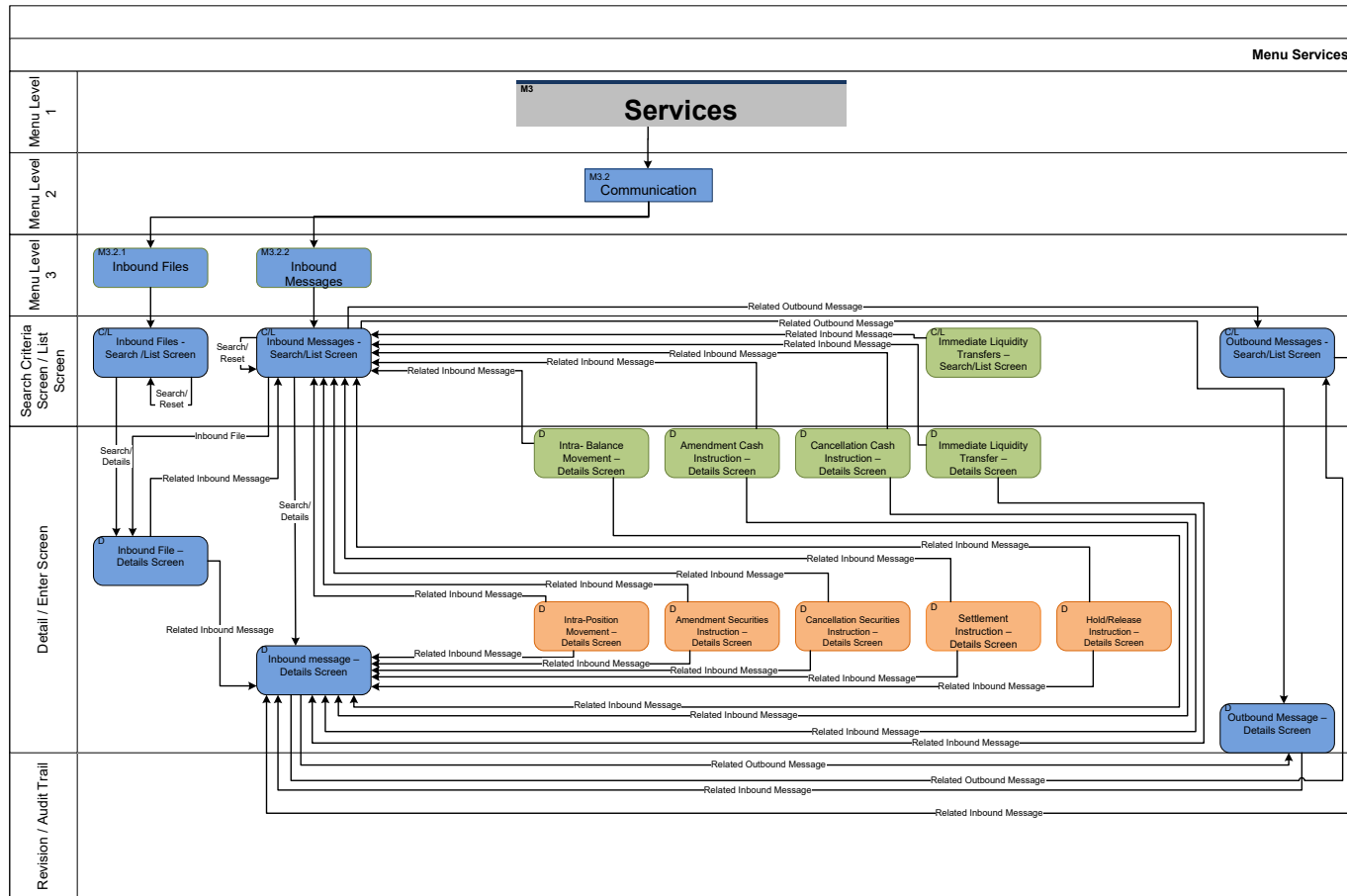
6.2.4.5 Additional Services V



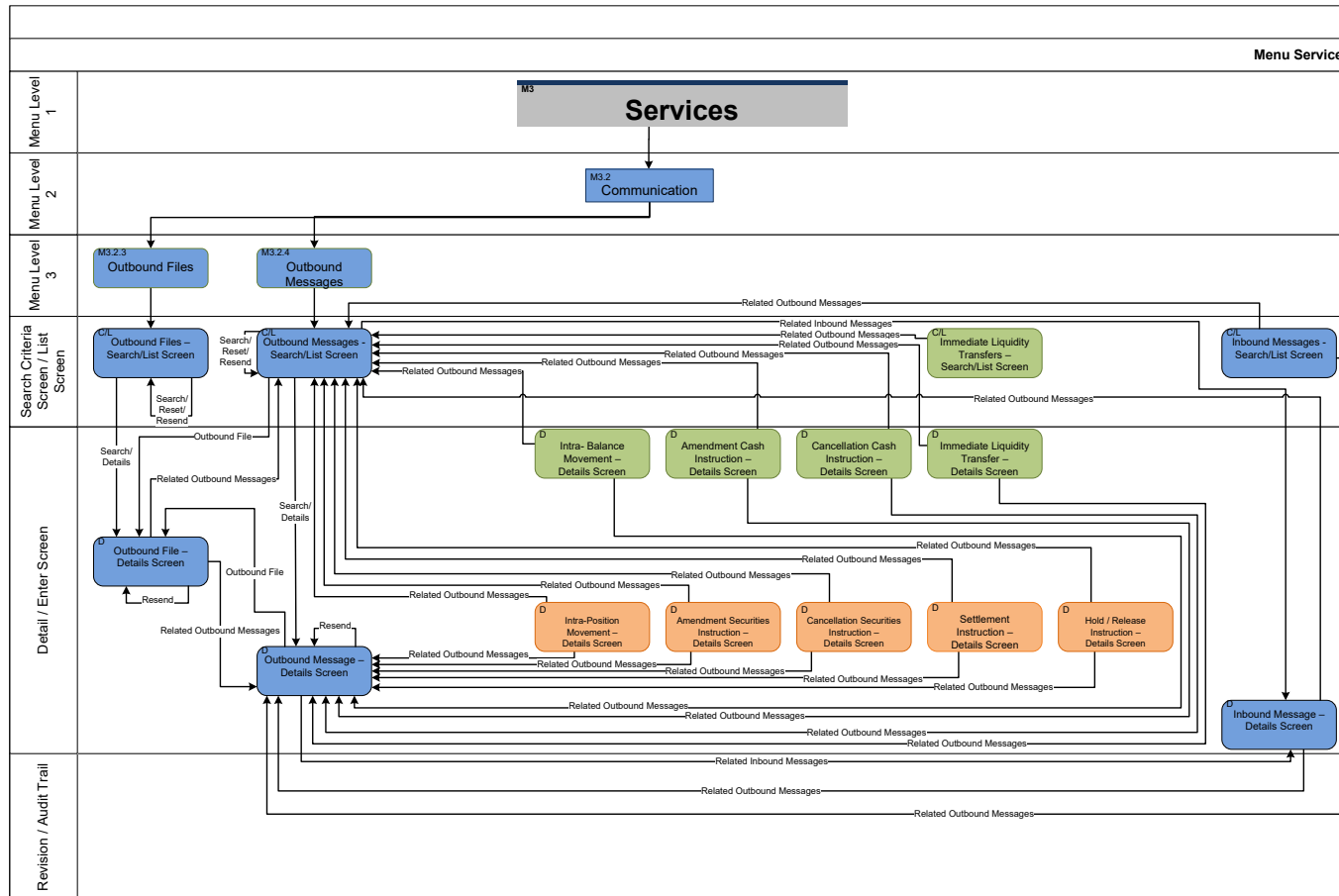
6.2.4.6 Additional Services VI



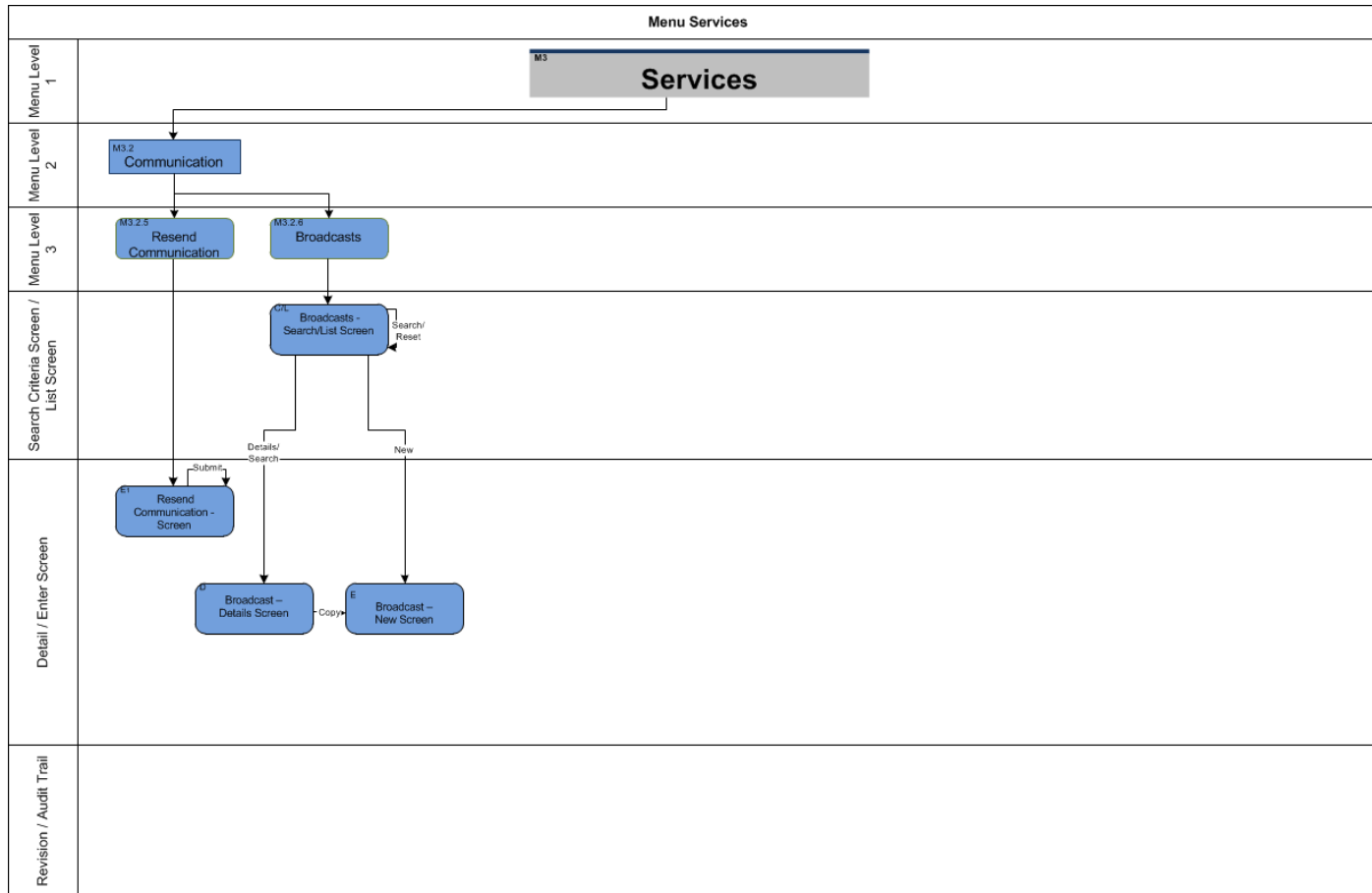
6.2.4.7 Communication



6.2.4.8 Communication II

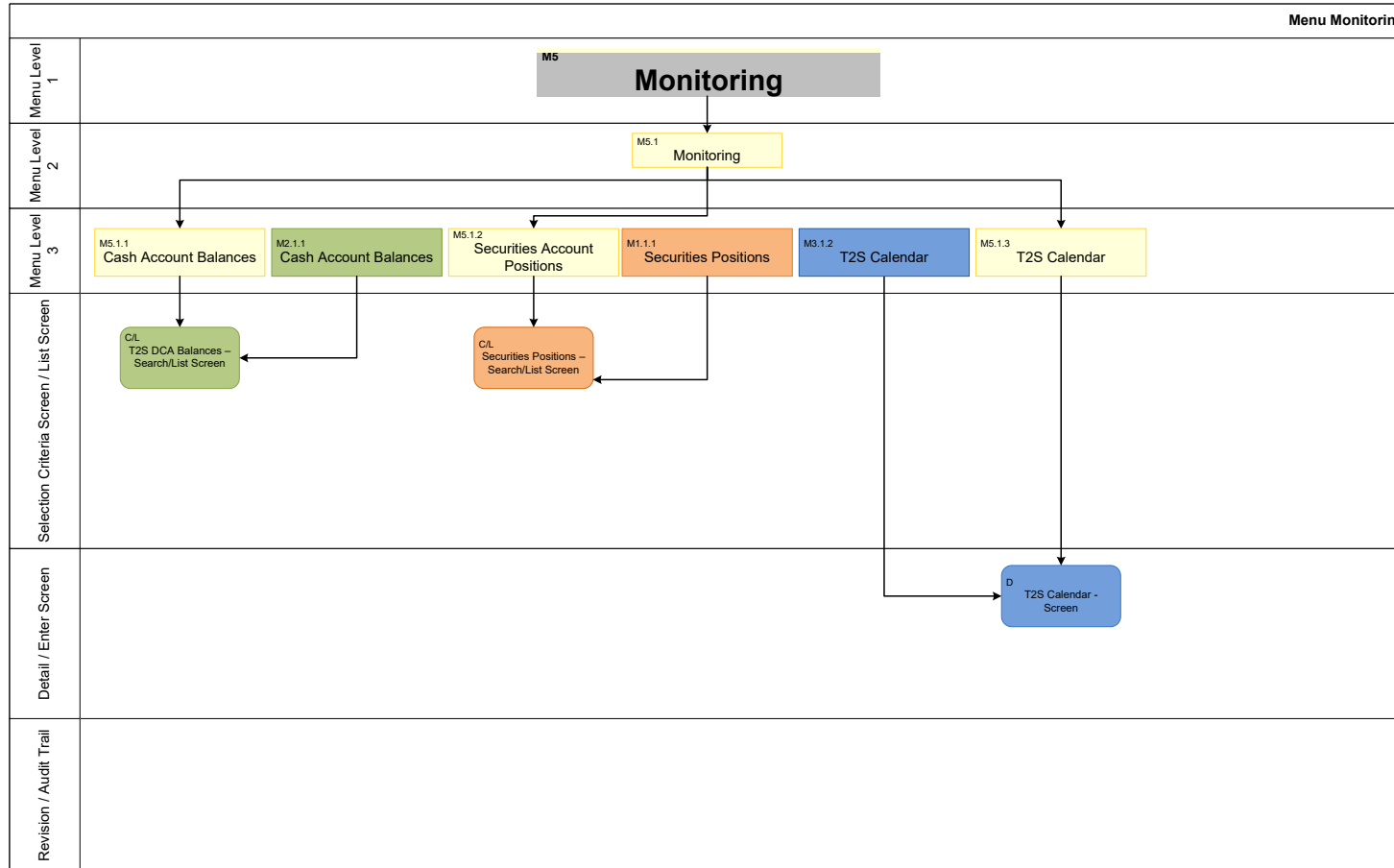


6.2.4.9 Communication III



6.2.5 Monitoring

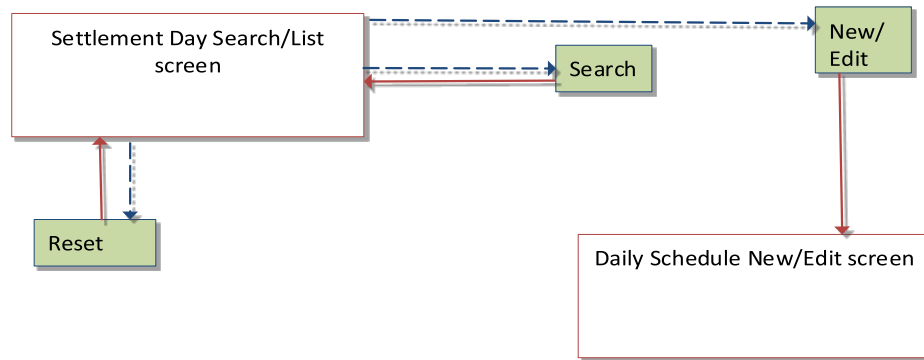
6.2.5.1 Monitoring



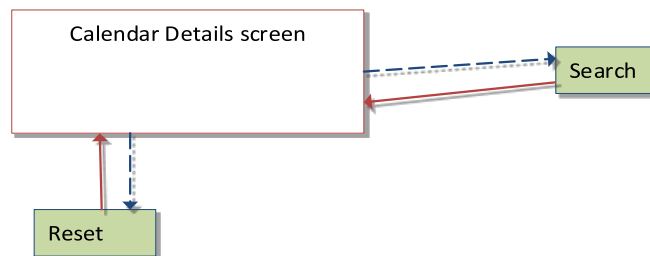
6.2.6 Business Day Management

6.2.6.1 Business Day Management

6.2.6.1.1 Settlement Day



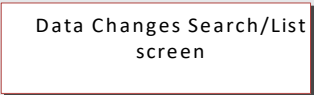

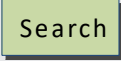

6.2.6.1.2 Calendar



6.3 Sitemap for CoCo GUI

In the following sub-sections the reader may find the hierarchical, structured illustration of all the CoCo screens and their interrelations.

You can find below the legend which explains the meaning of each object, i.e. screen, button, dotted (blue) arrow, solid (red) arrow illustrated in the following diagrams.

Object in the diagram	Description
	The object represents a screen.
	When a button is displayed on the screen, a dotted blue arrow is shown as a link between the screen and the corresponding button.
	The object represents a button which is shown in the relevant screen.
	After a button is pressed, the result can be displayed either on the same screen or in a different screen (e.g. the details screen).

~~6.3.1 Securities~~

{to be deleted due to split book approach}

6.3.1.1 Securities Account

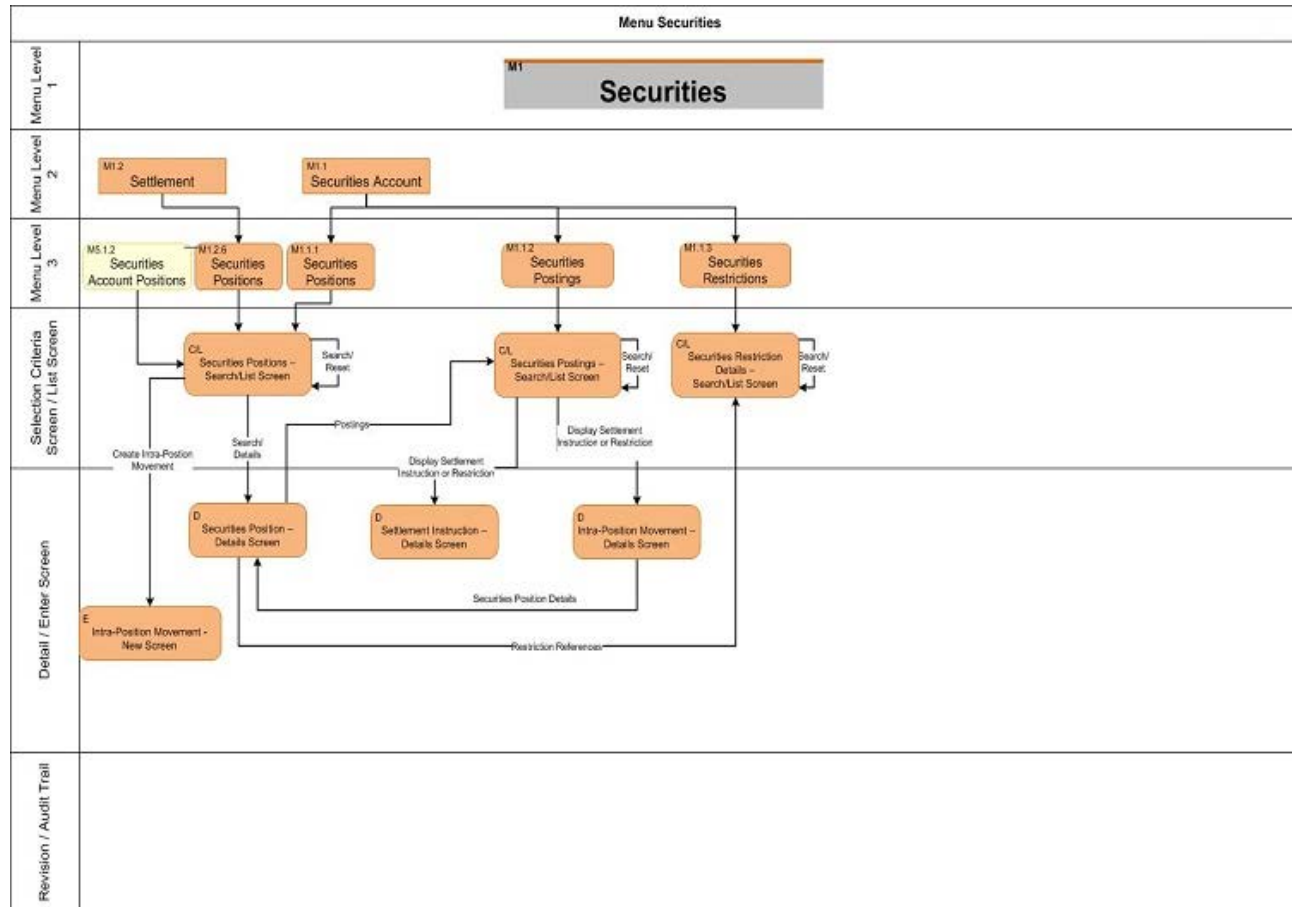


Illustration 478: {to be deleted due to split book approach}

6.3.1.2 Settlement

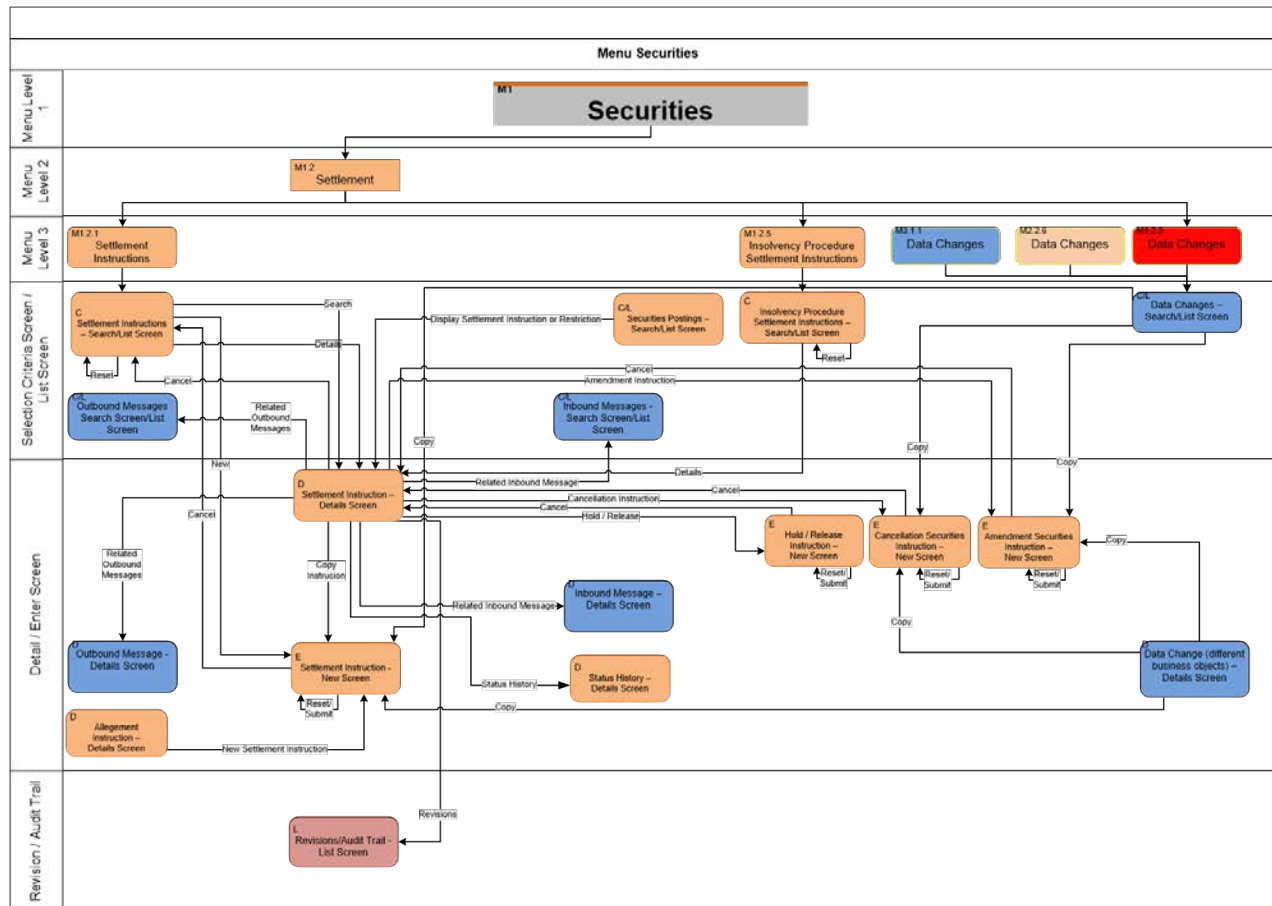


Illustration 479: {to be deleted due to split book approach}

6.3.1.3 Settlement II

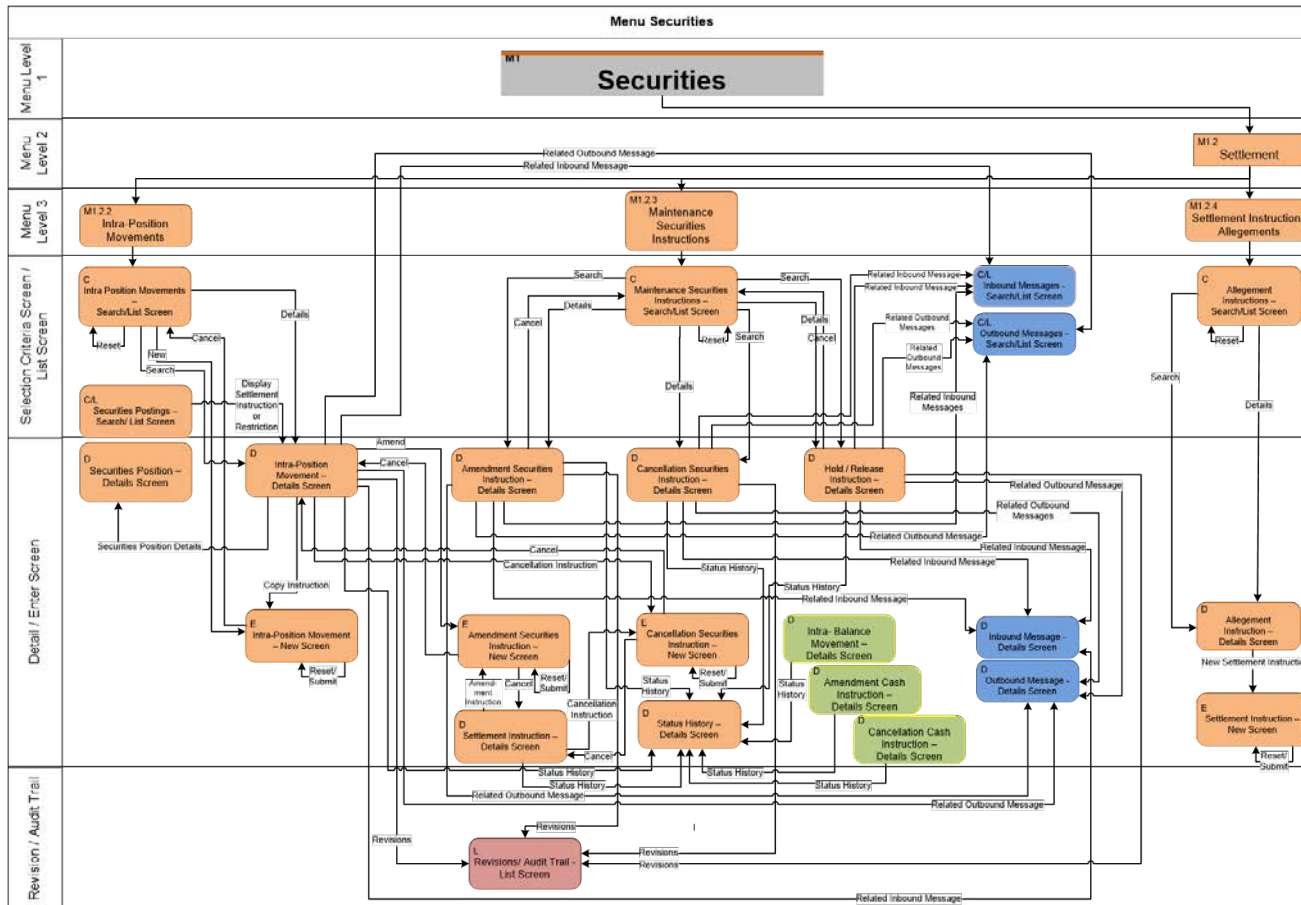


Illustration 480: {to be deleted due to split book approach}

~~6.3.2 Cash~~

{to be deleted due to split book approach}

6.3.2.1 Cash Account

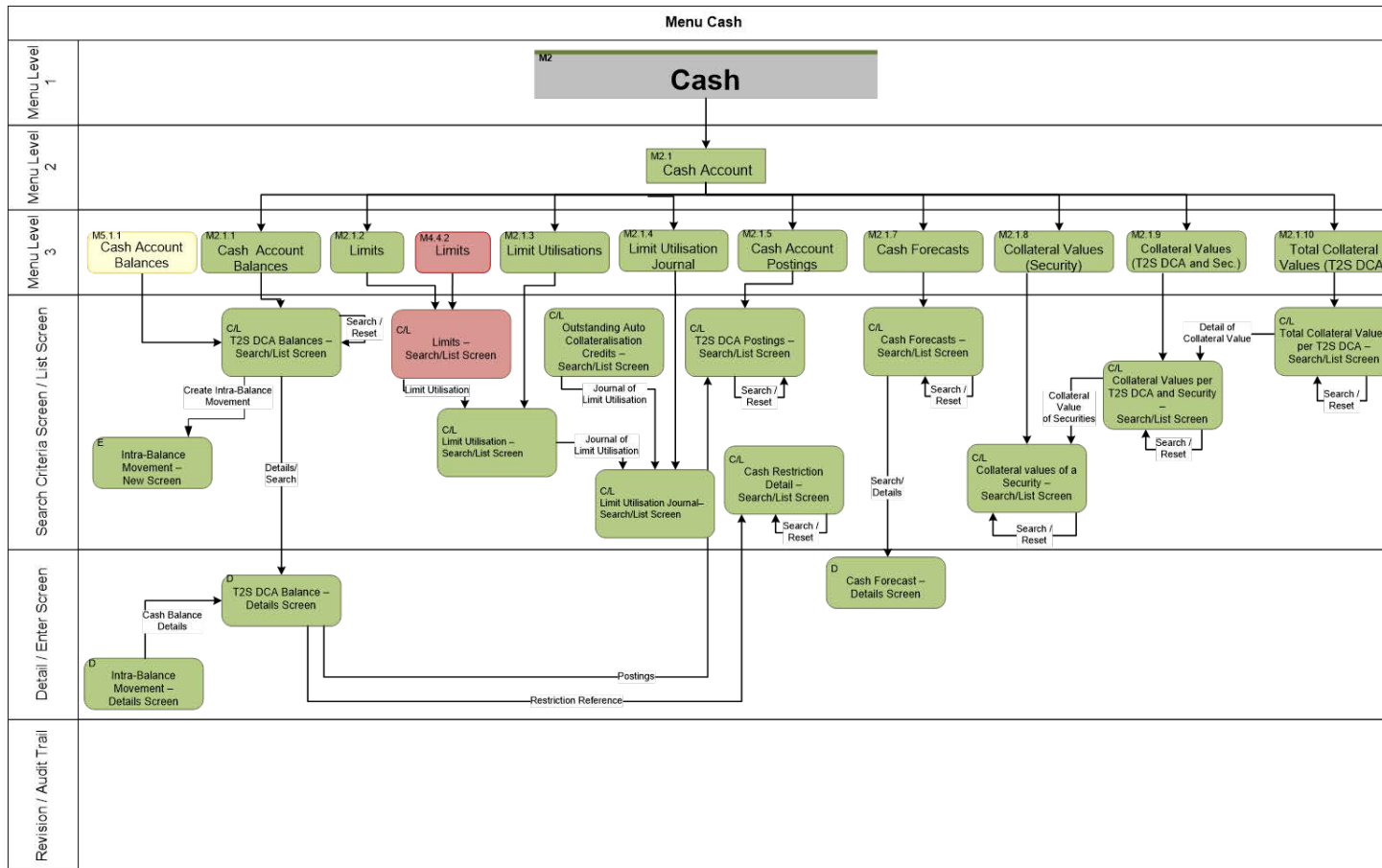


Illustration 481: {to be deleted due to split book approach}

6.3.2.2 Liquidity

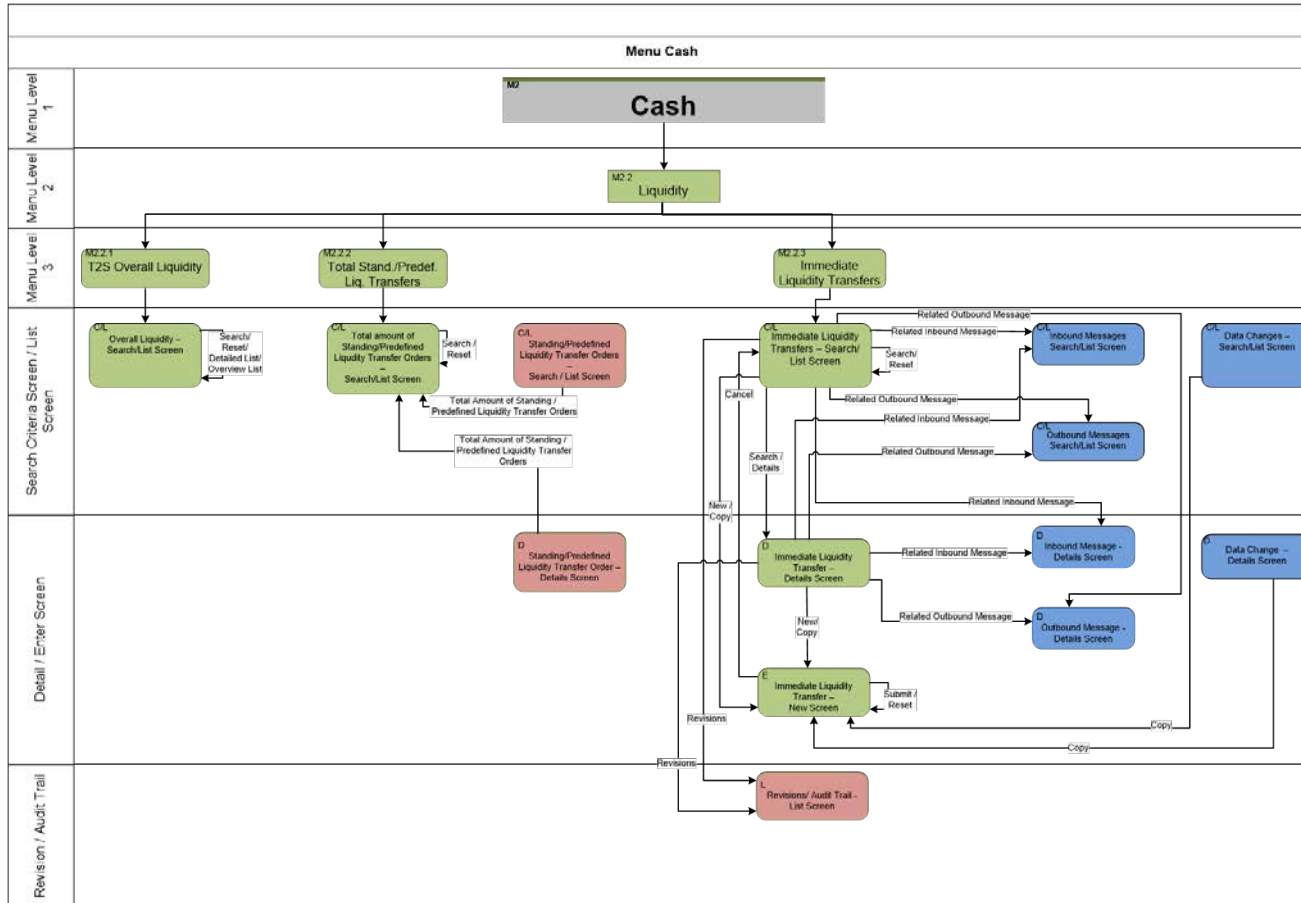


Illustration 482: {to be deleted due to split book approach}

6.3.2.3 Liquidity II

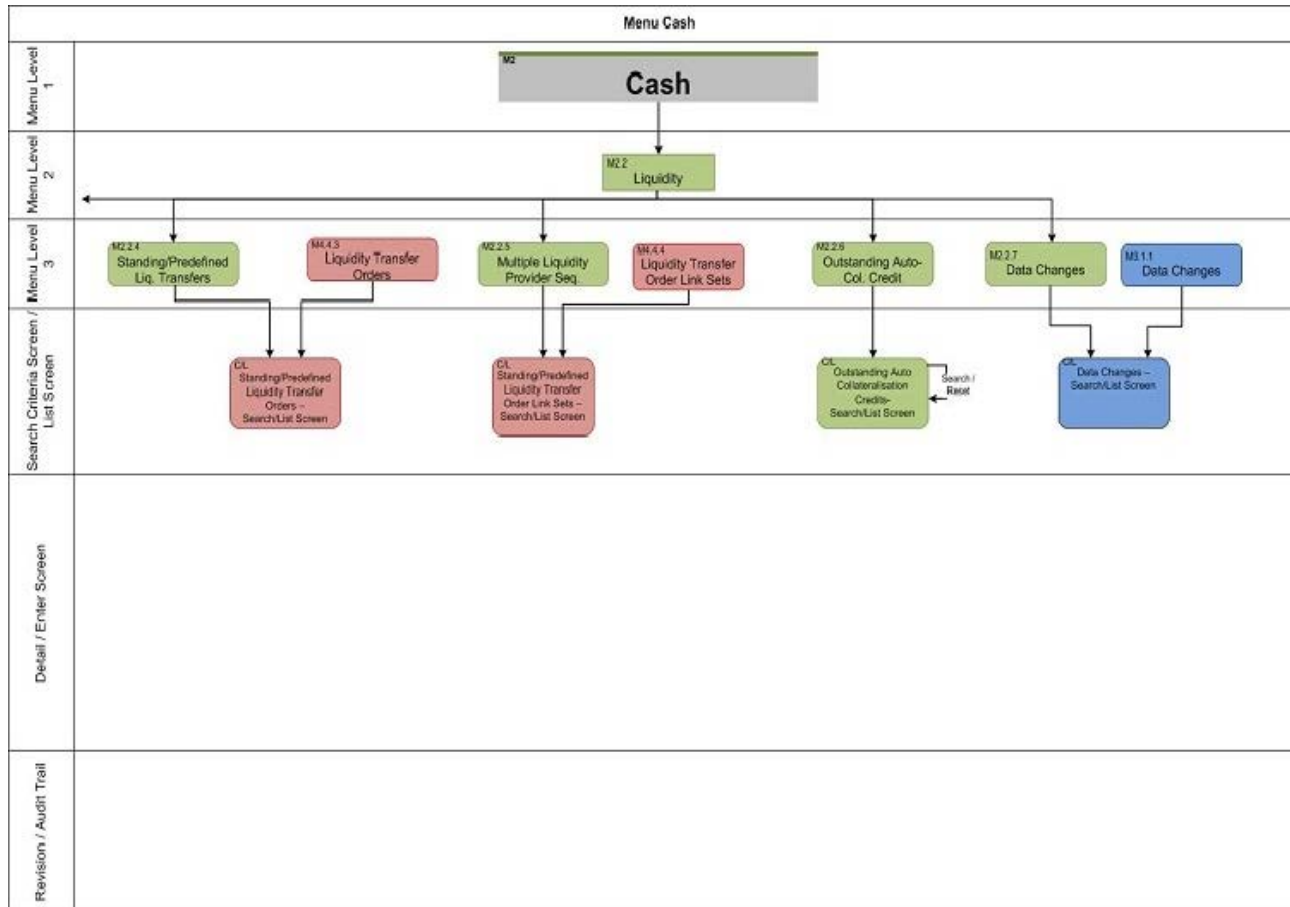


Illustration 483: {to be deleted due to split book approach}

6.3.2.4 Settlement

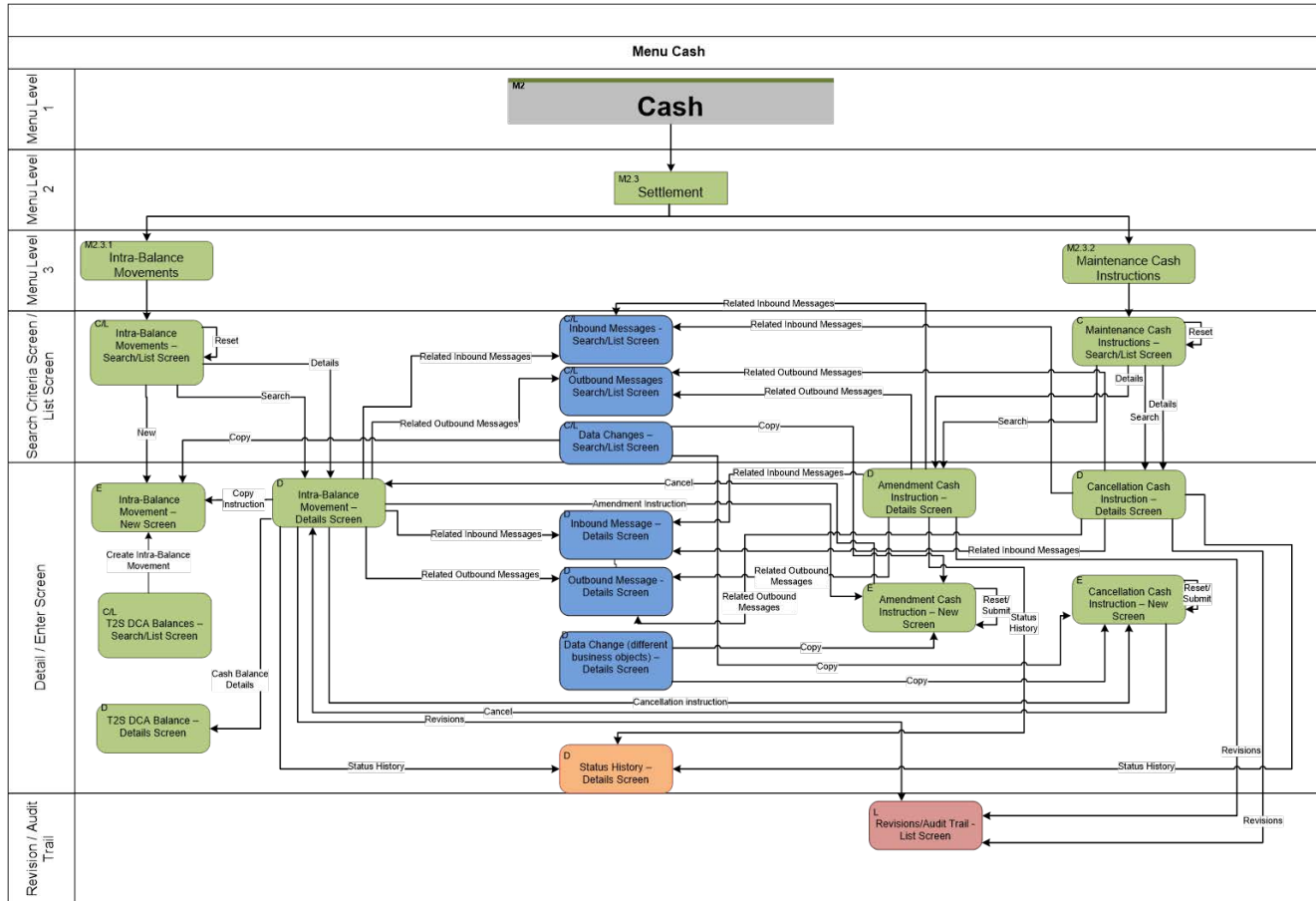


Illustration 484: {to be deleted due to split book approach}

~~6.3.3 Services~~

{to be deleted due to split book approach}

6.3.3.1 Additional Services

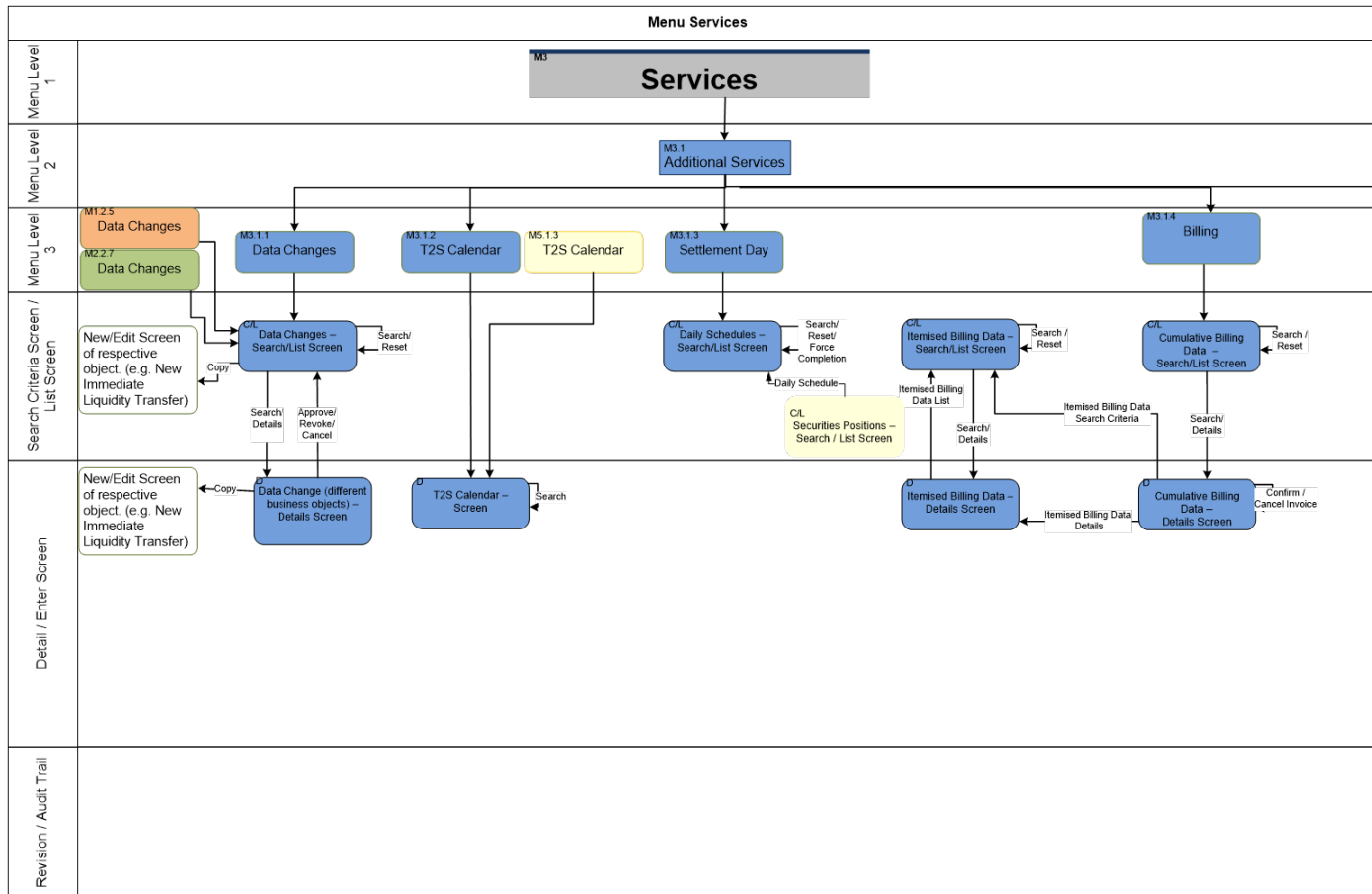


Illustration 485: {to be deleted due to split book approach}

6.3.3.2 Additional Services II

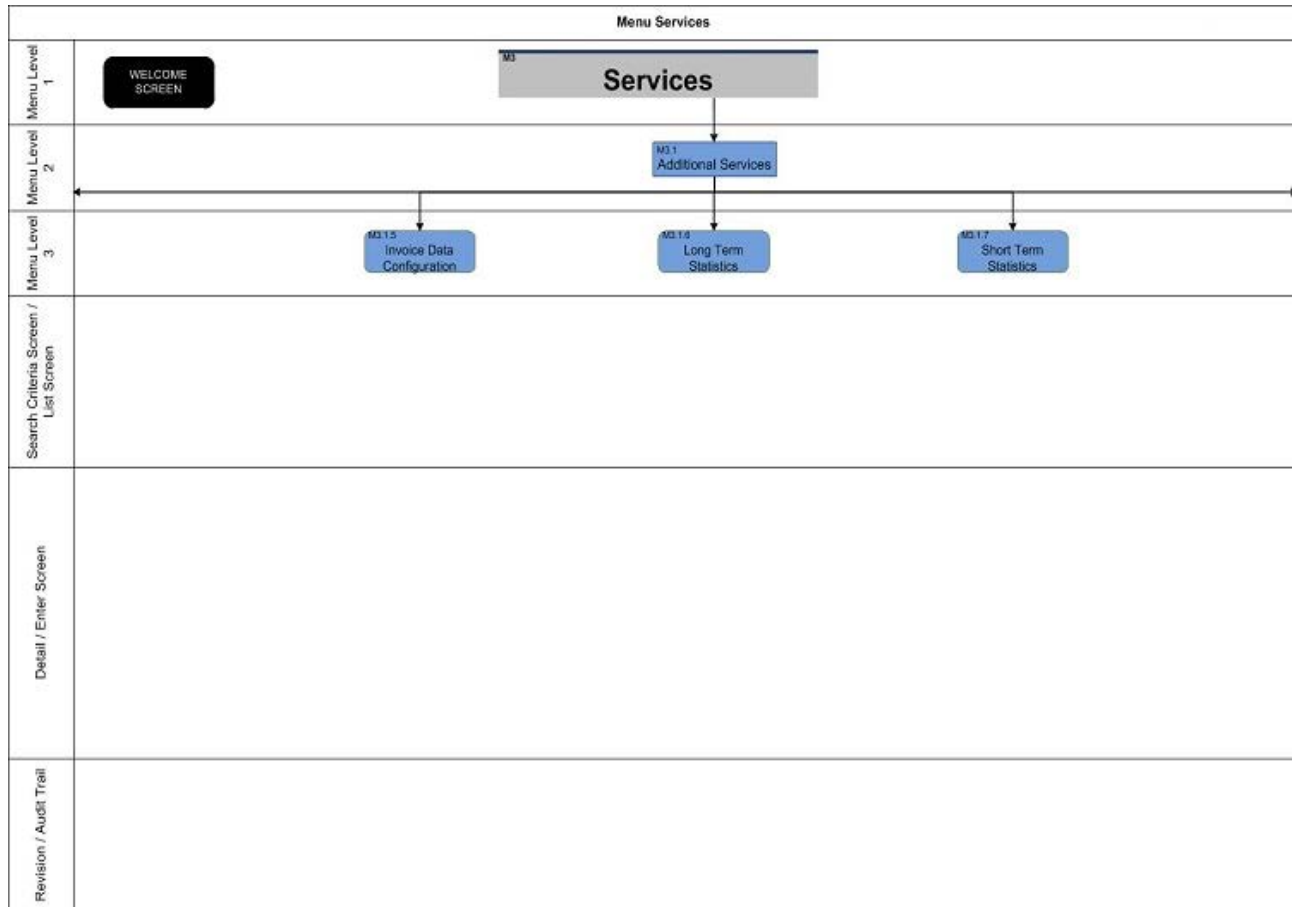


Illustration 486: {to be deleted due to split book approach}

6.3.3.3 Additional Services III

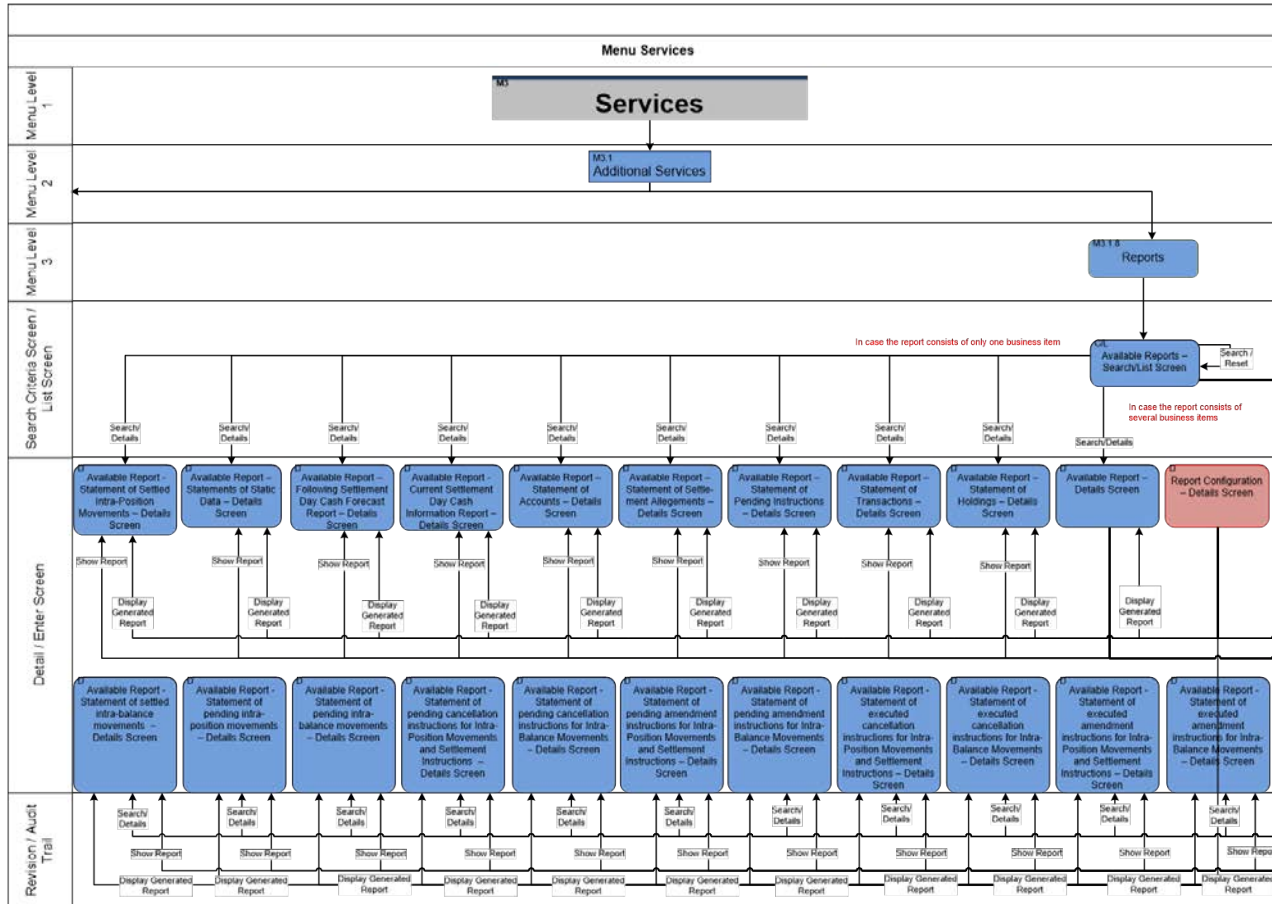


Illustration 487: {to be deleted due to split book approach}

6.3.3.4 Additional Services IV



Illustration 488: {to be deleted due to split book approach}

6.3.3.5 Additional Services V

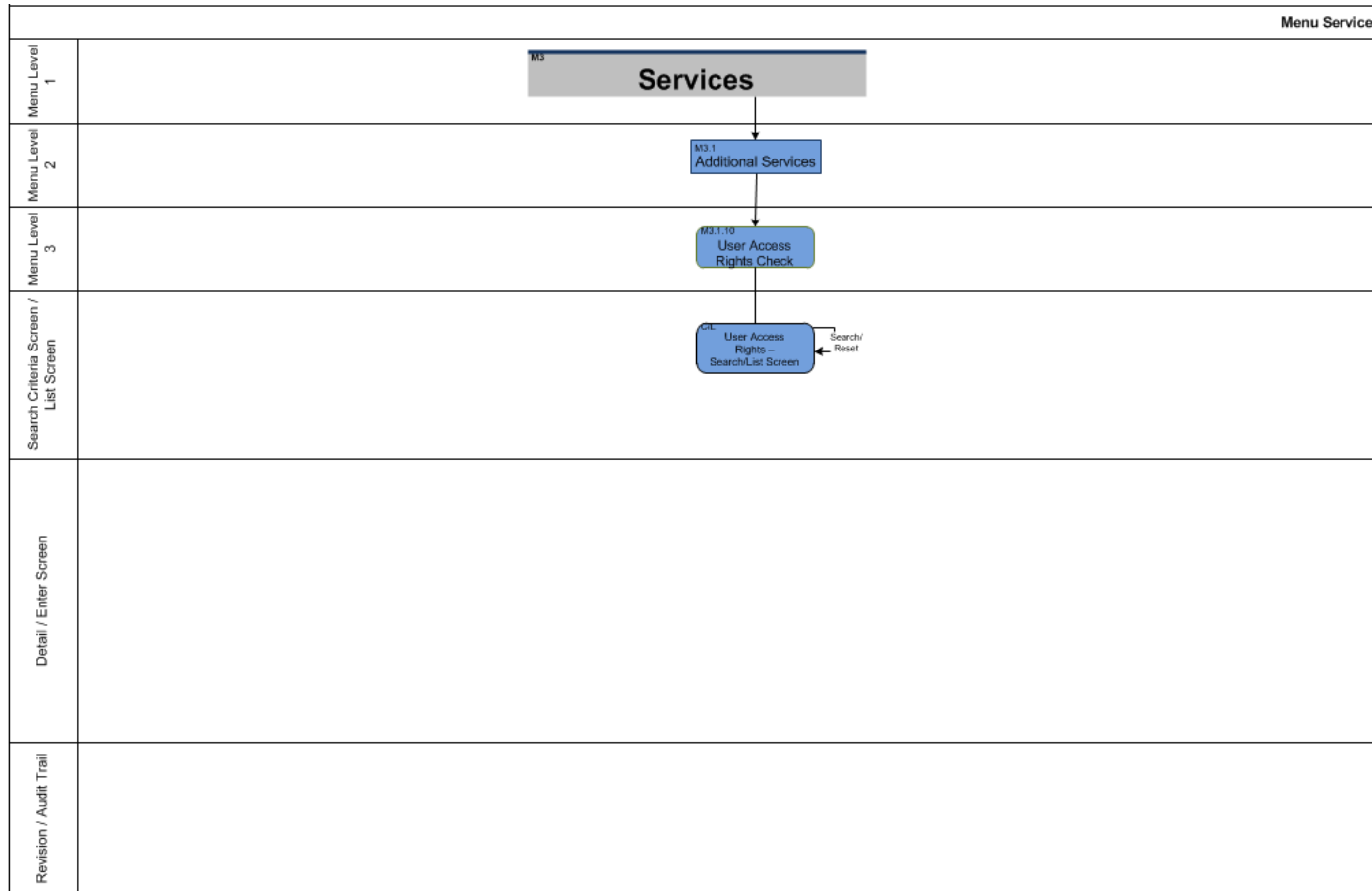


Illustration 489: {to be deleted due to split book approach}

6.3.3.6 Additional Services VI

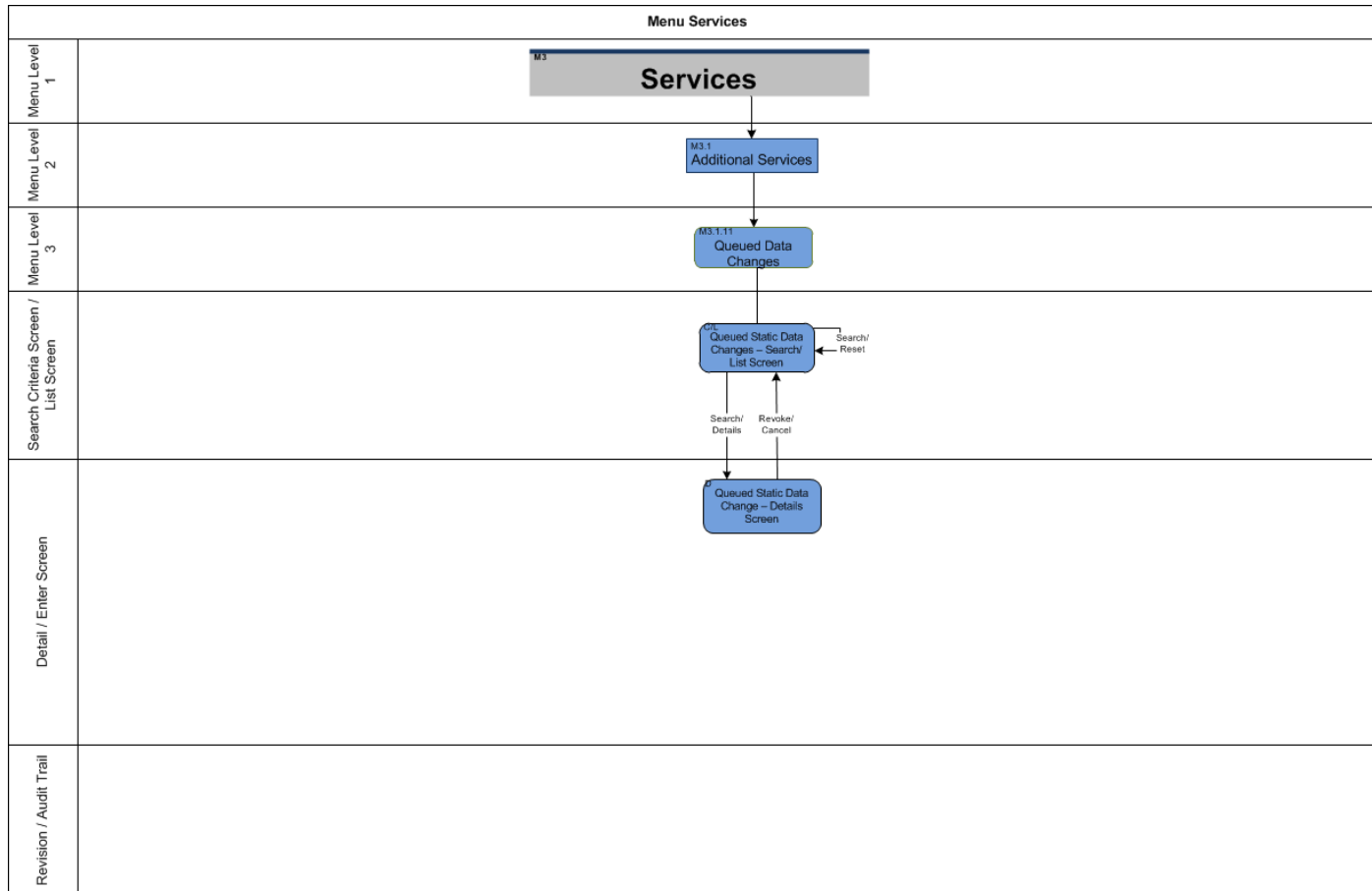


Illustration 490: {to be deleted due to split book approach}

6.3.3.7 Communication

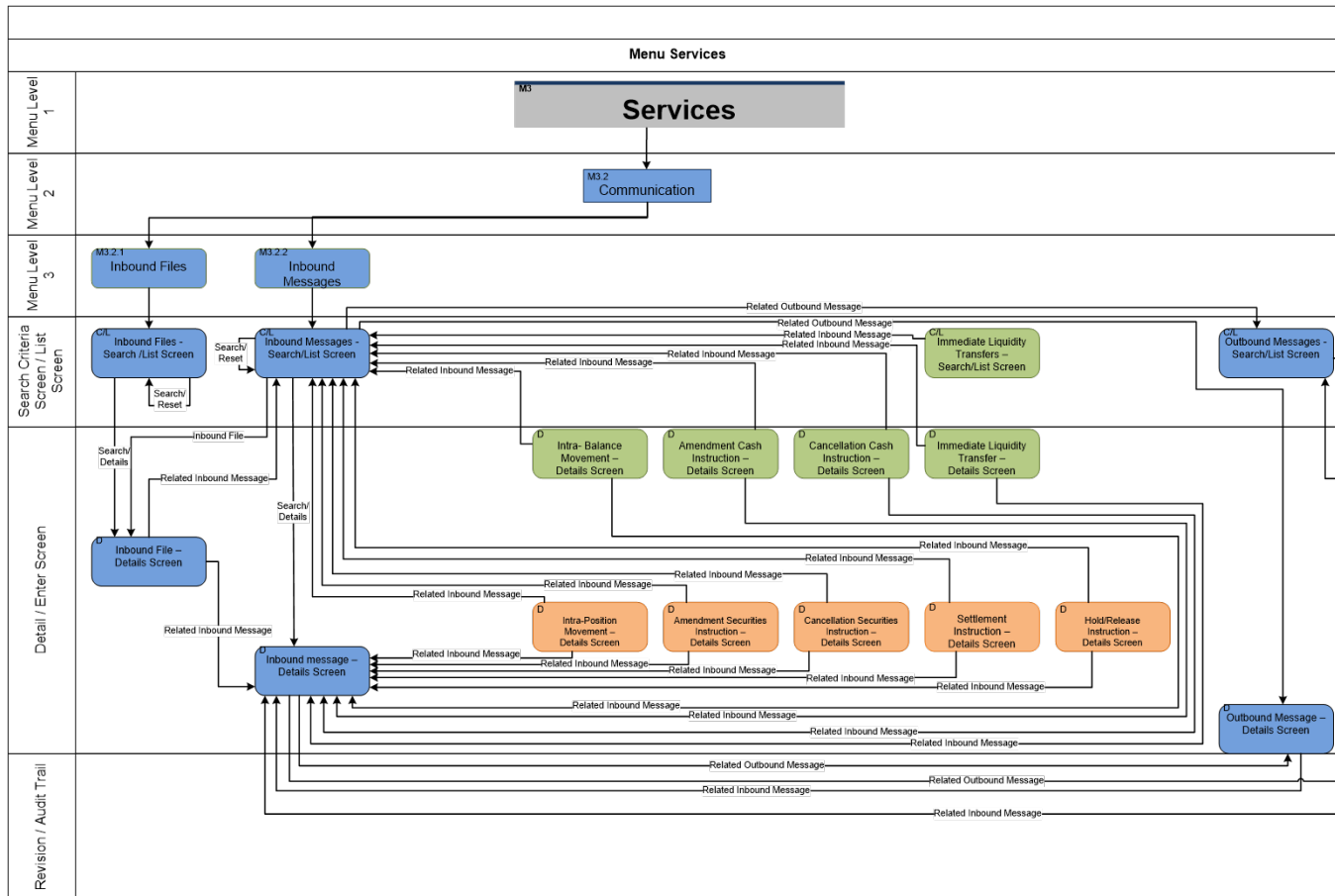


Illustration 491: {to be deleted due to split book approach}

6.3.3.8 Communication II

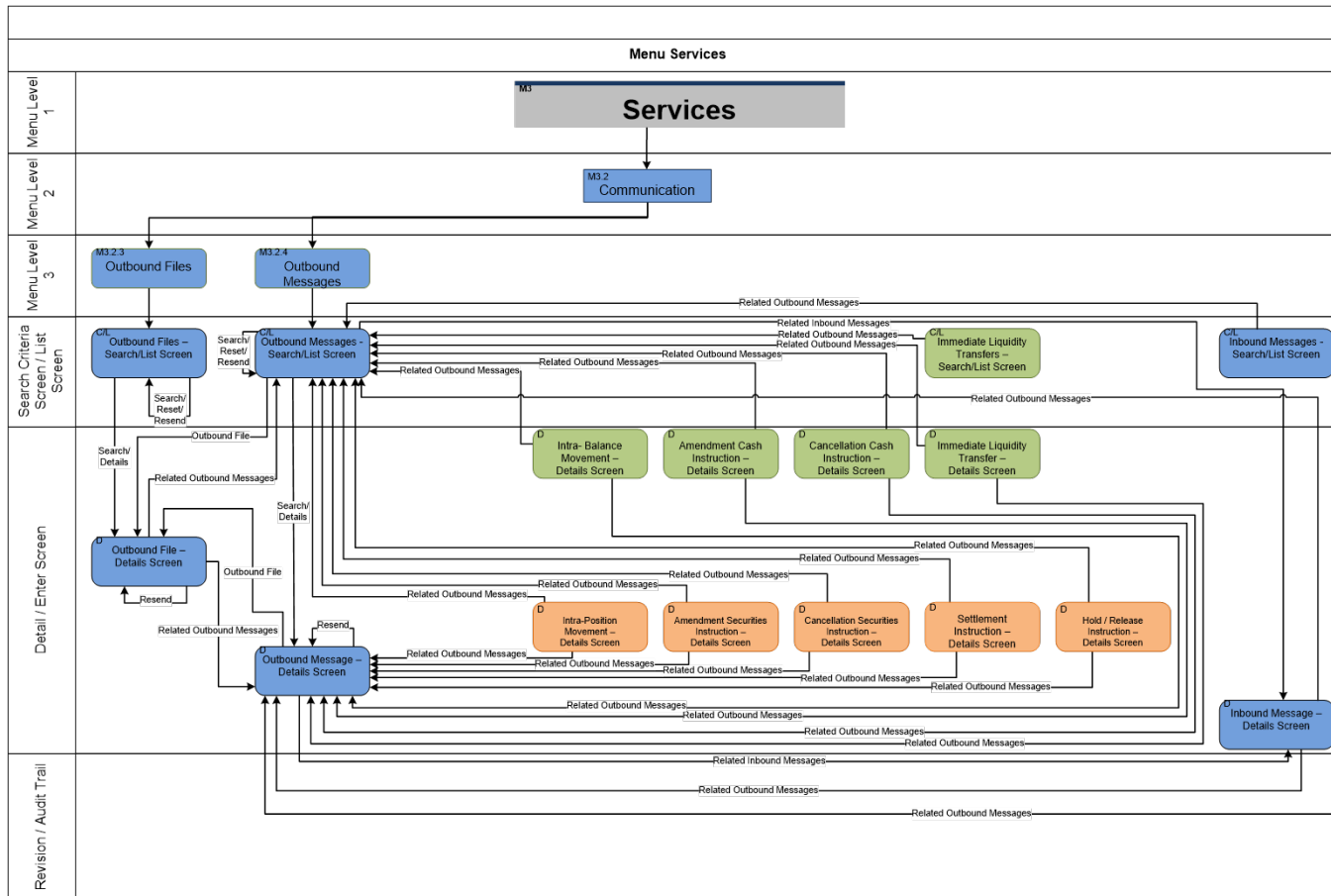


Illustration 492: {to be deleted due to split book approach}

6.3.3.9 Communication III

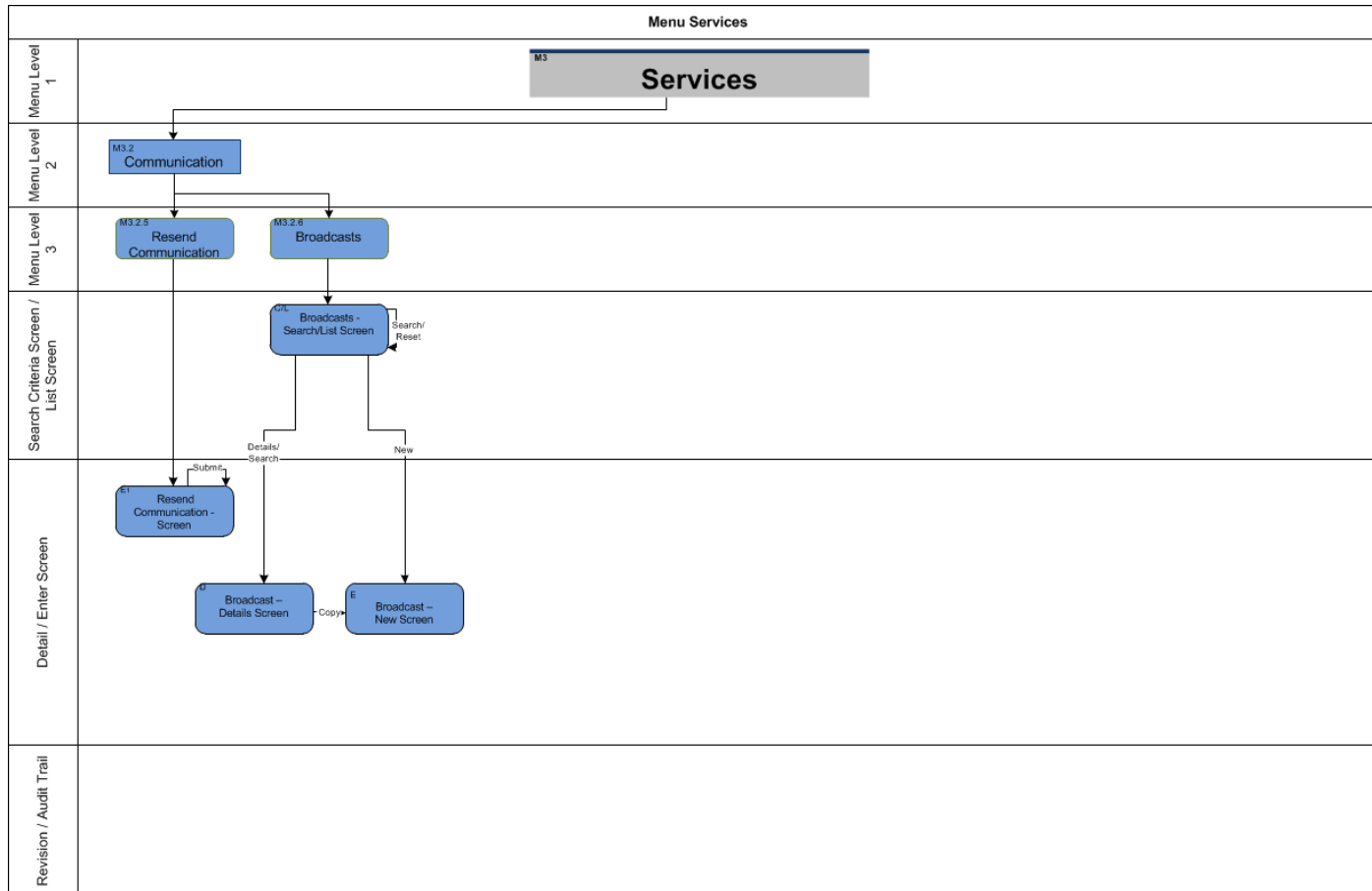


Illustration 493: {to be deleted due to split book approach}

~~6.3.4 Static Data~~

{to be deleted due to split book approach}

6.3.4.1 Parties

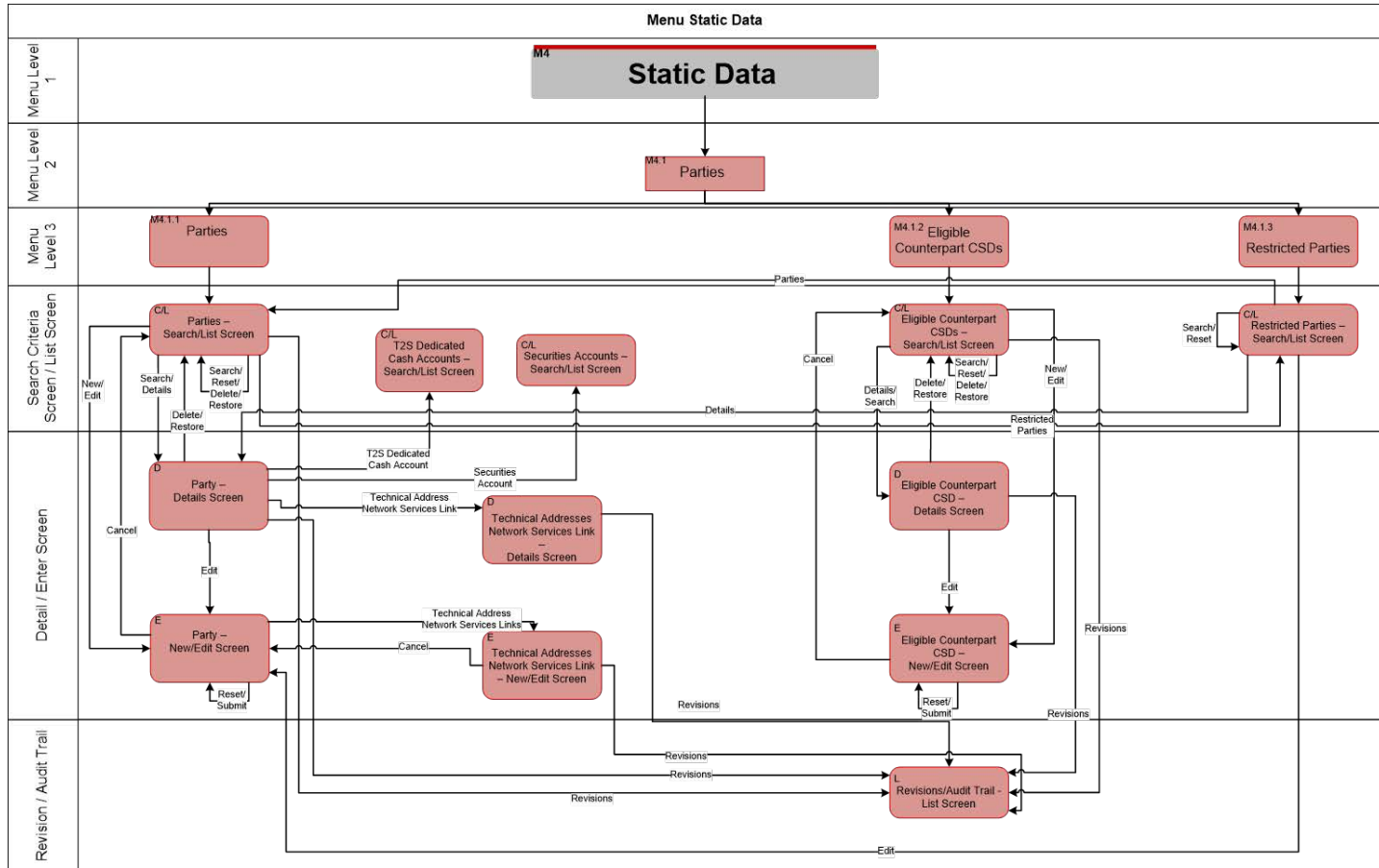


Illustration 494: {to be deleted due to split book approach}

6.3.4.2 Securities

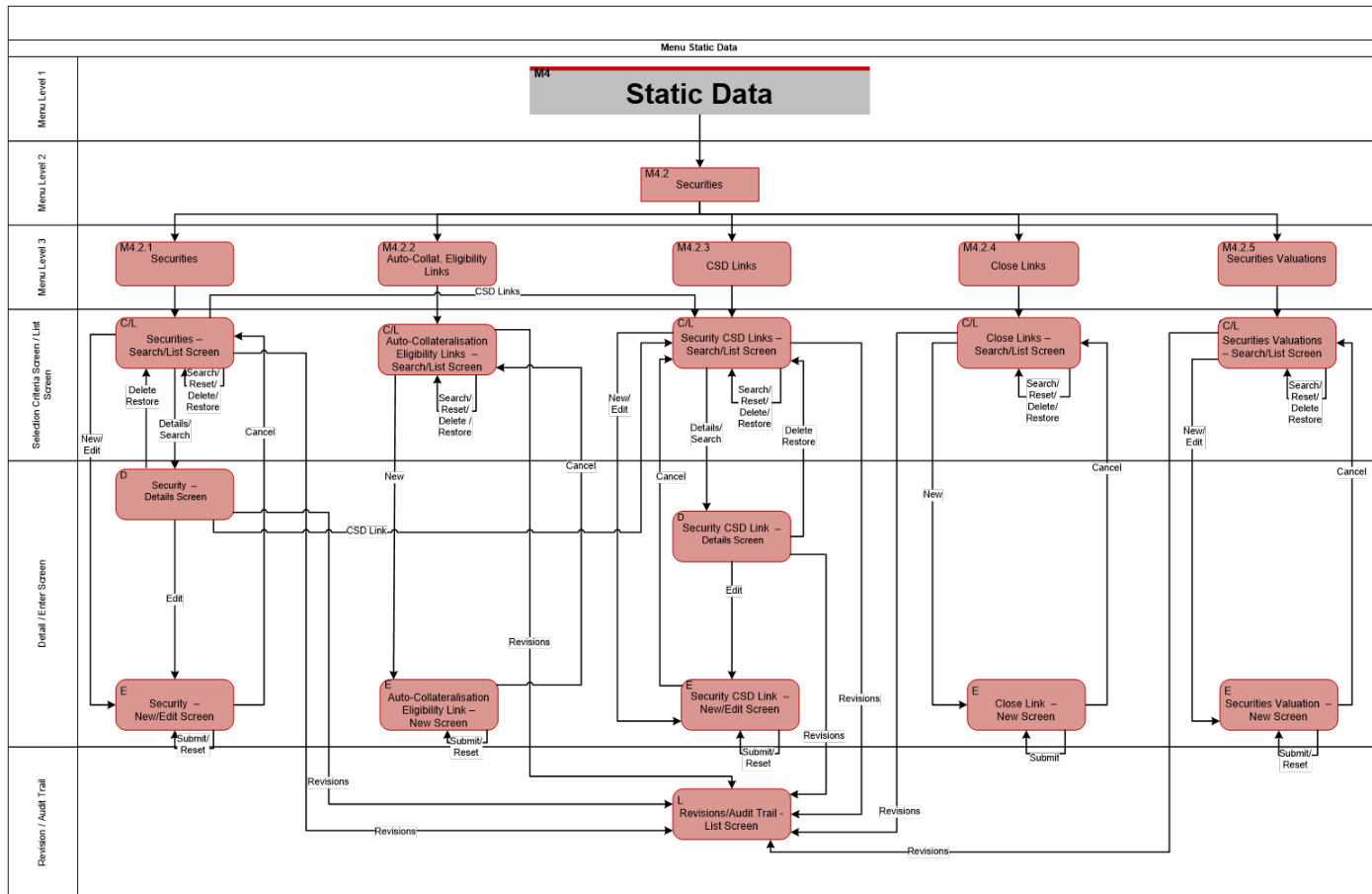


Illustration 495: {to be deleted due to split book approach}

6.3.4.3 Securities Account

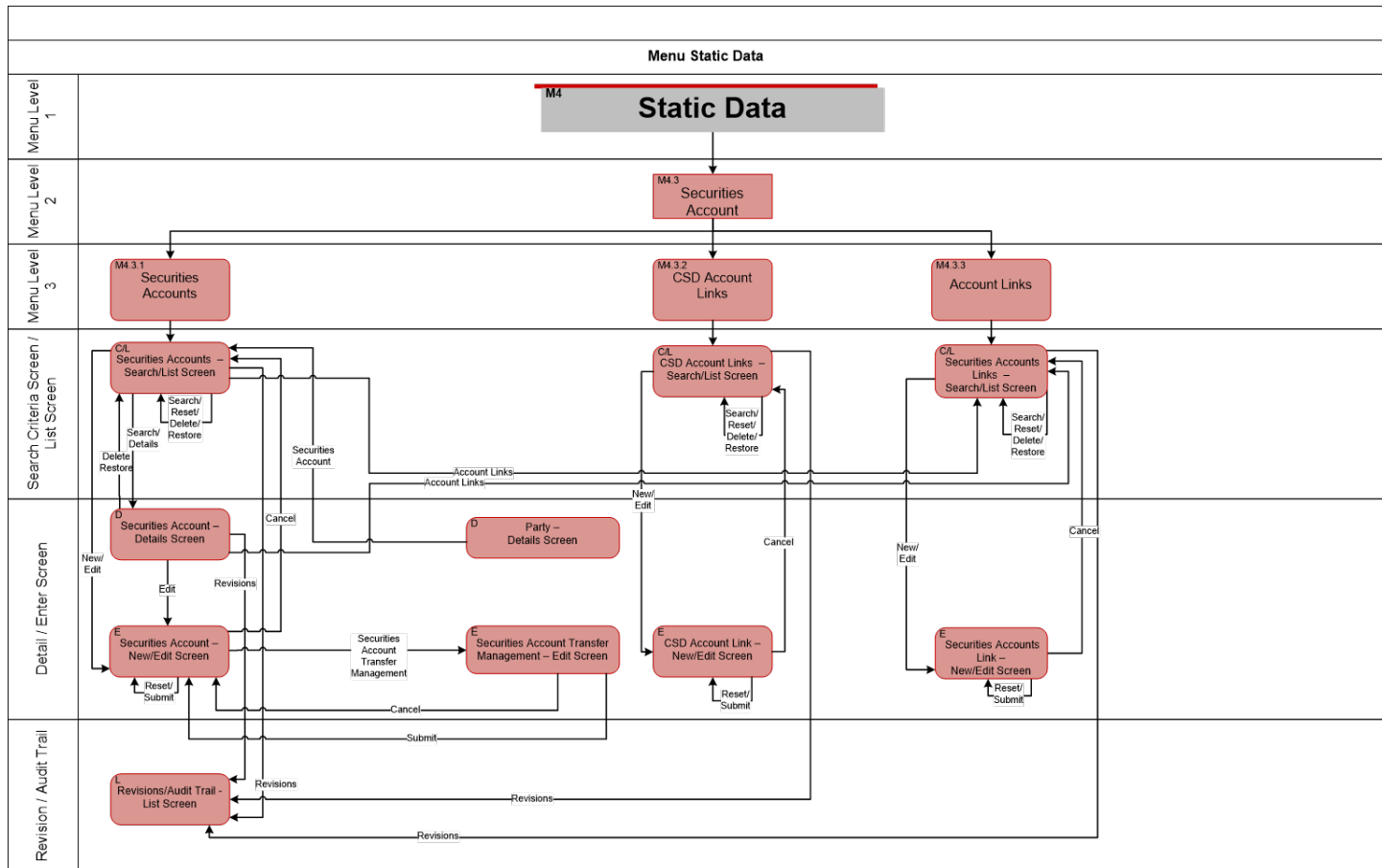


Illustration 496: {to be deleted due to split book approach}

6.3.4.4 T2S Dedicated Cash Account

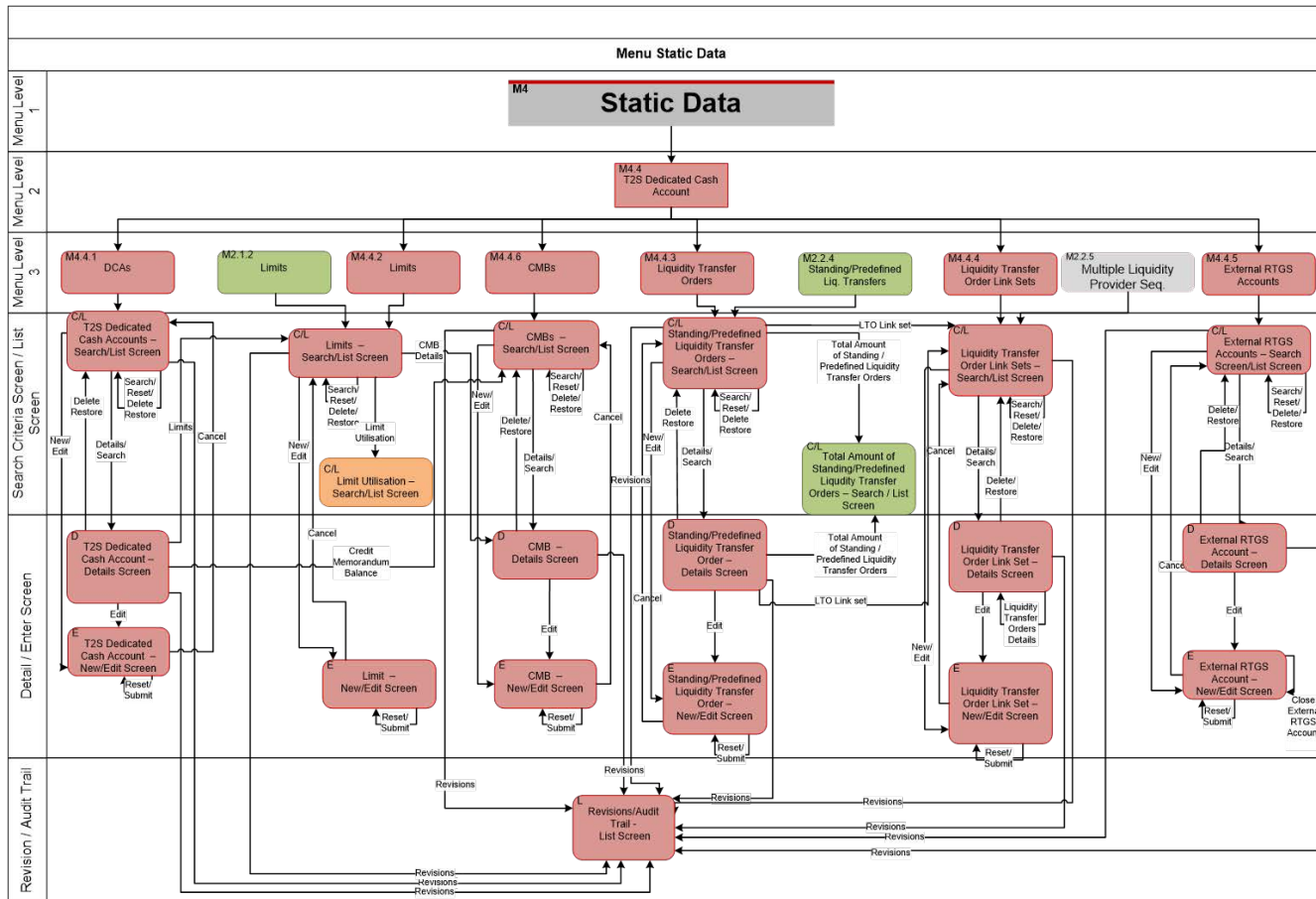


Illustration 497: {to be deleted due to split book approach}

6.3.4.5 Scheduling

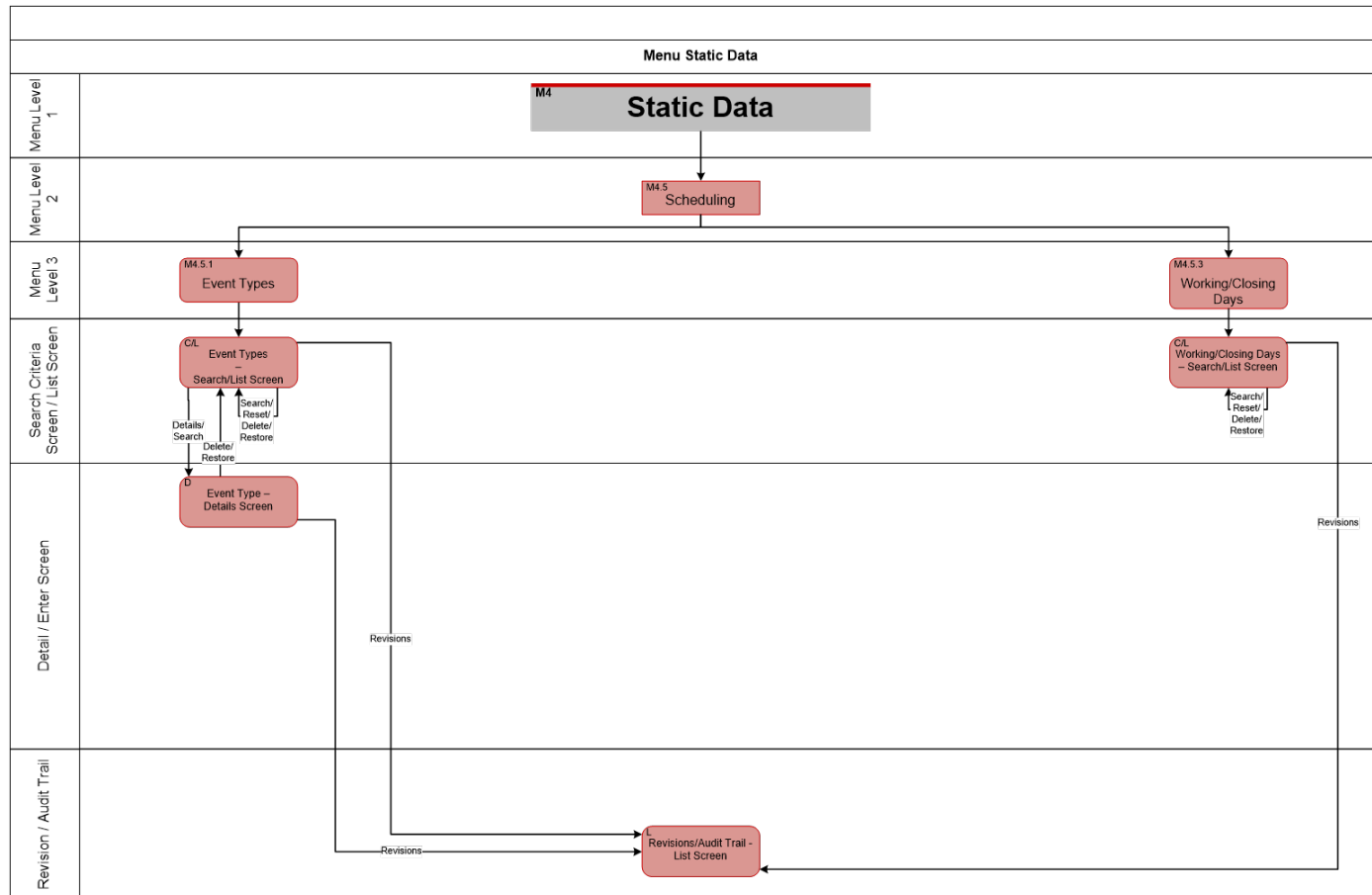


Illustration 498: {to be deleted due to split book approach}

6.3.4.6 Access Rights

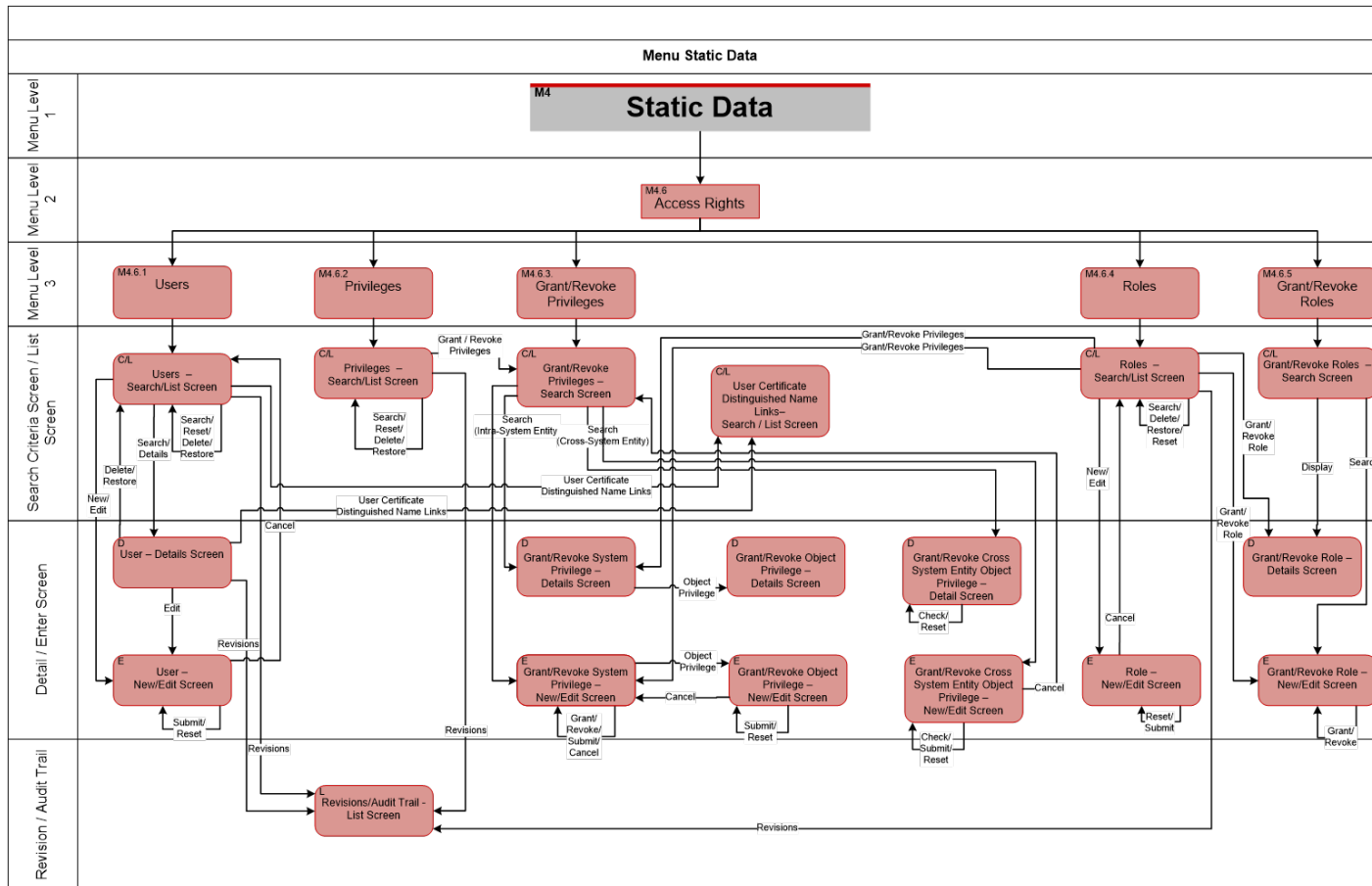


Illustration 499: {to be deleted due to split book approach}

6.3.4.7 Access Rights II

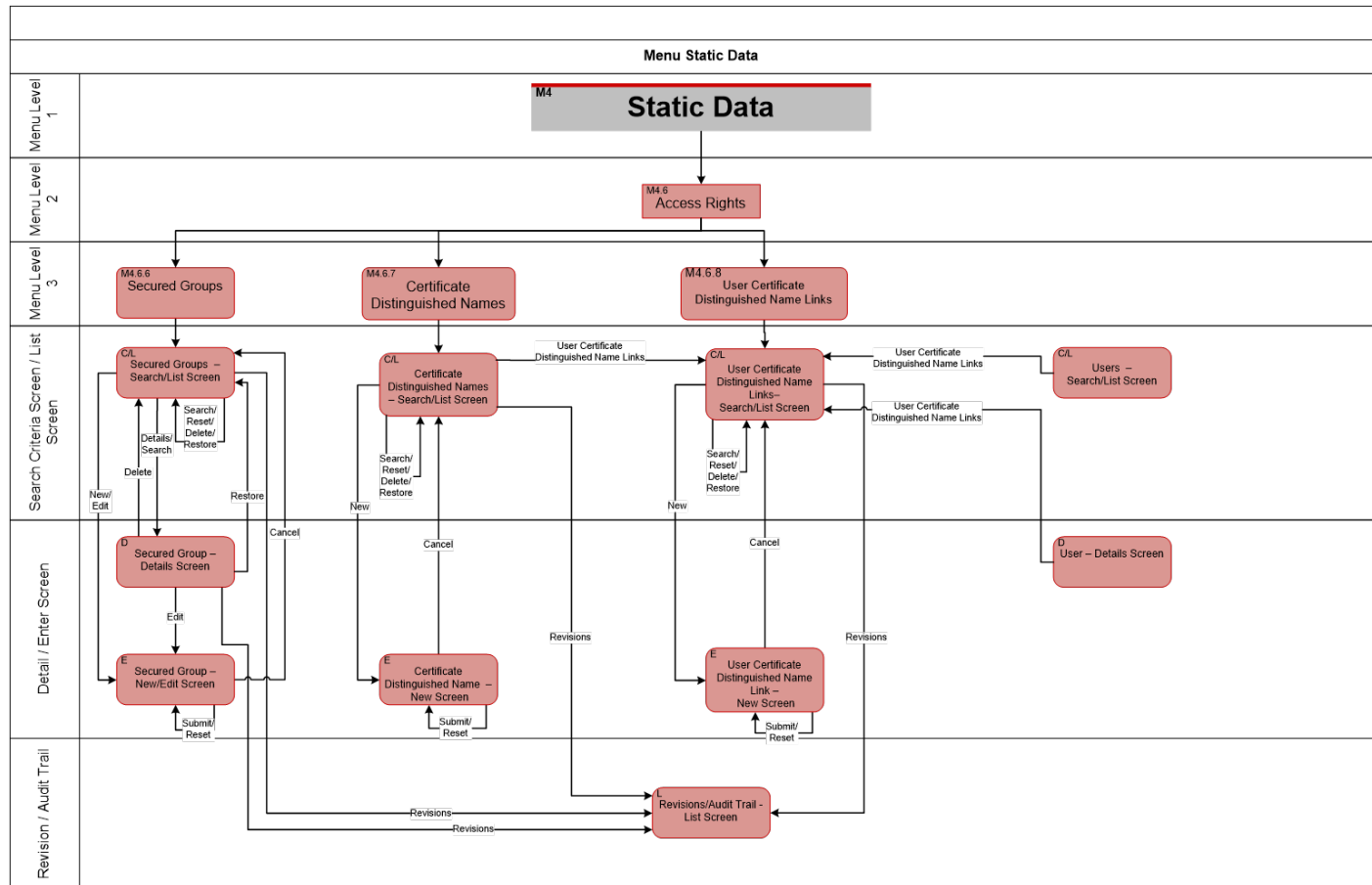


Illustration 500: {to be deleted due to split book approach}

6.3.4.8 Messages and Reports

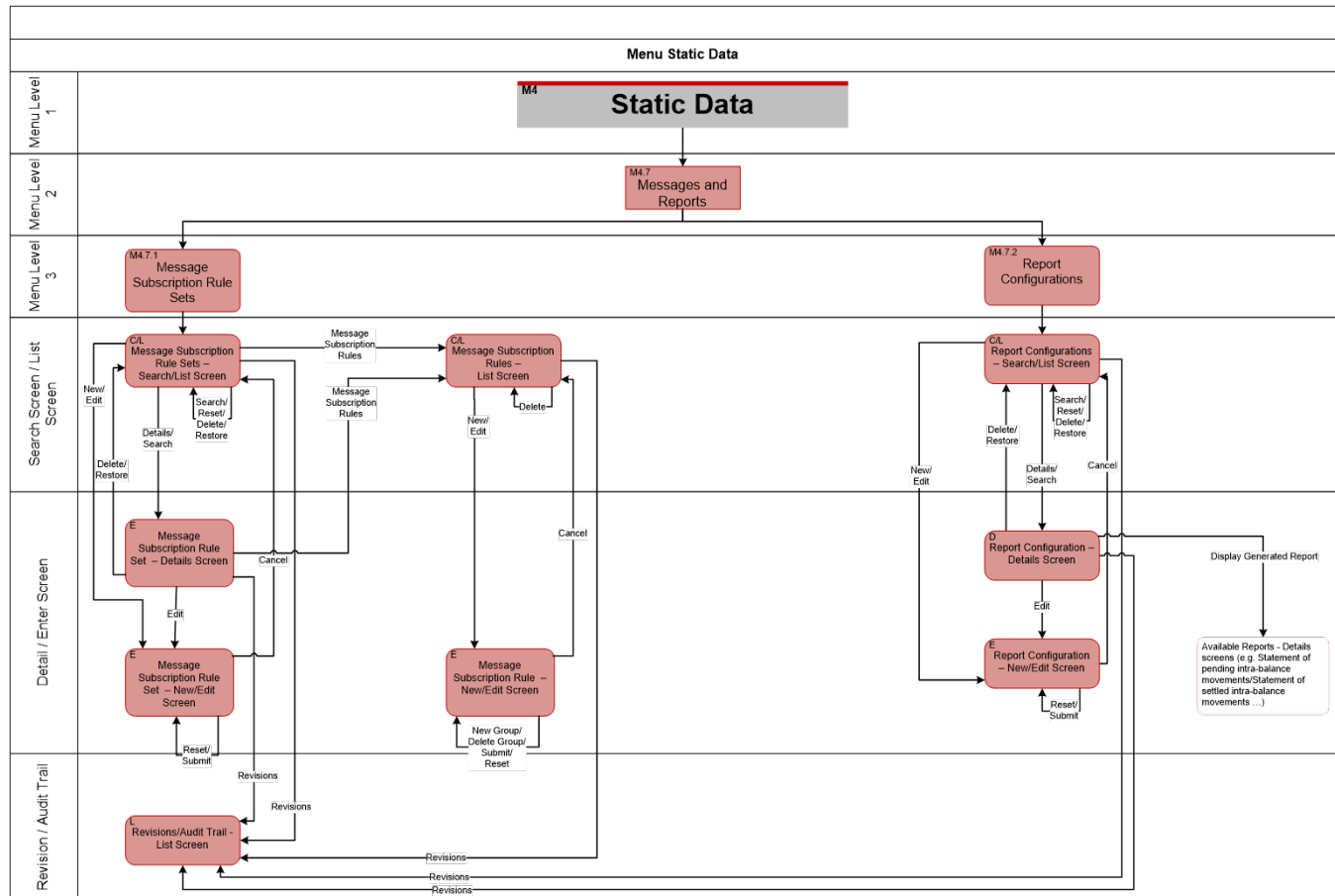


Illustration 501: {to be deleted due to split book approach}

6.3.4.9 Network Configuration

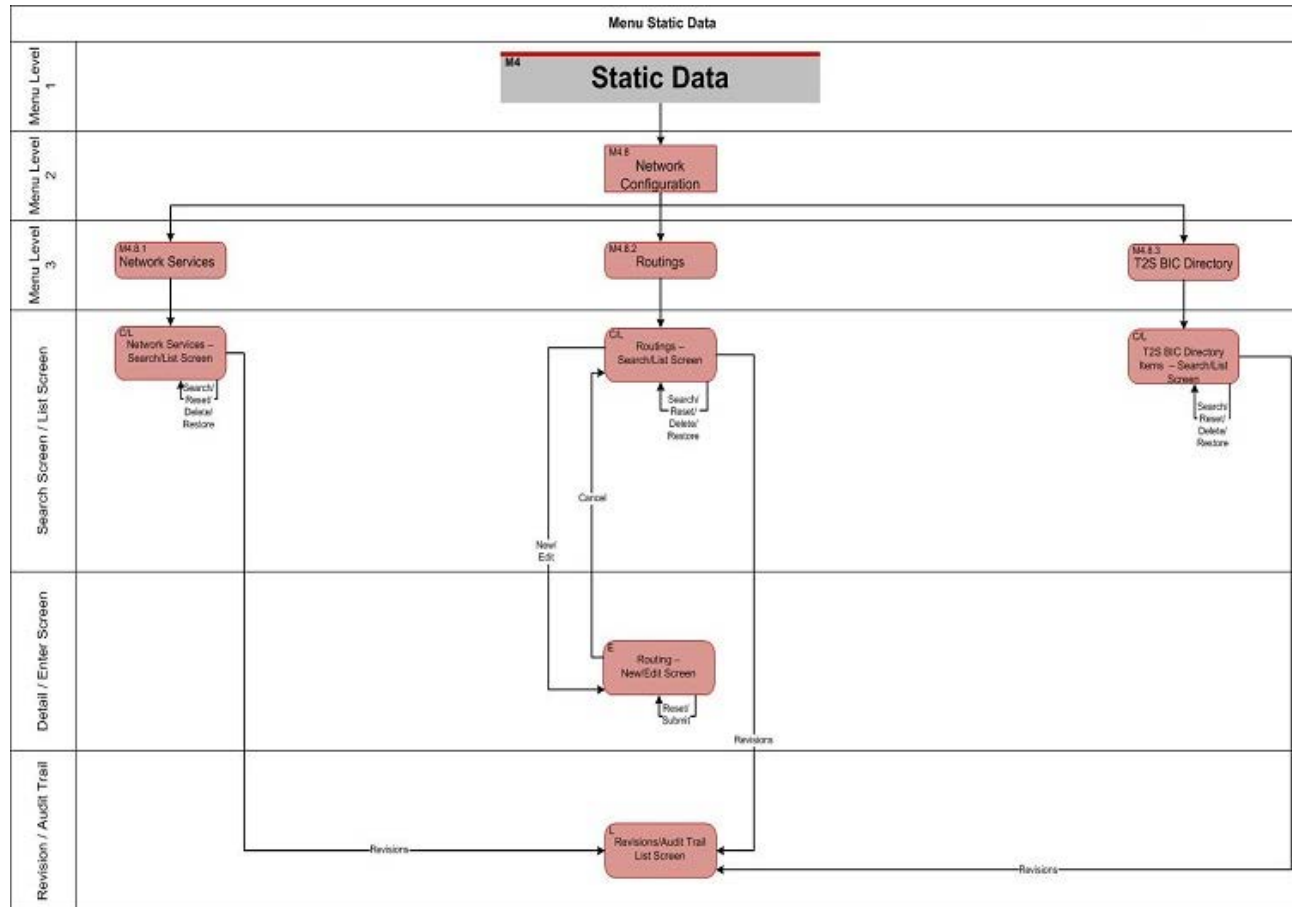


Illustration 502: {to be deleted due to split book approach}

6.3.4.10 Market-Specific Configuration

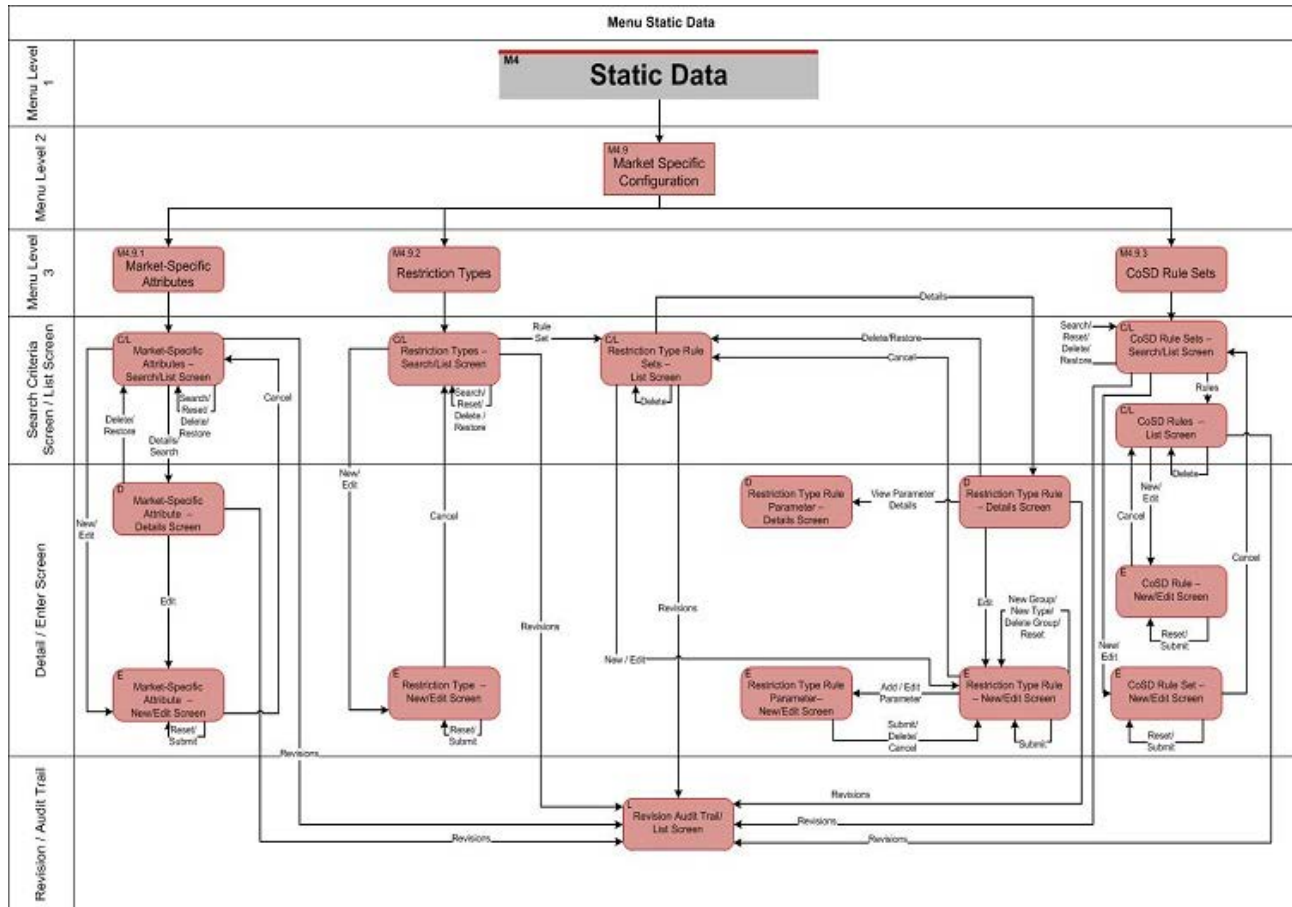


Illustration 503: {to be deleted due to split book approach}

6.3.4.11 General Configuration Parameters

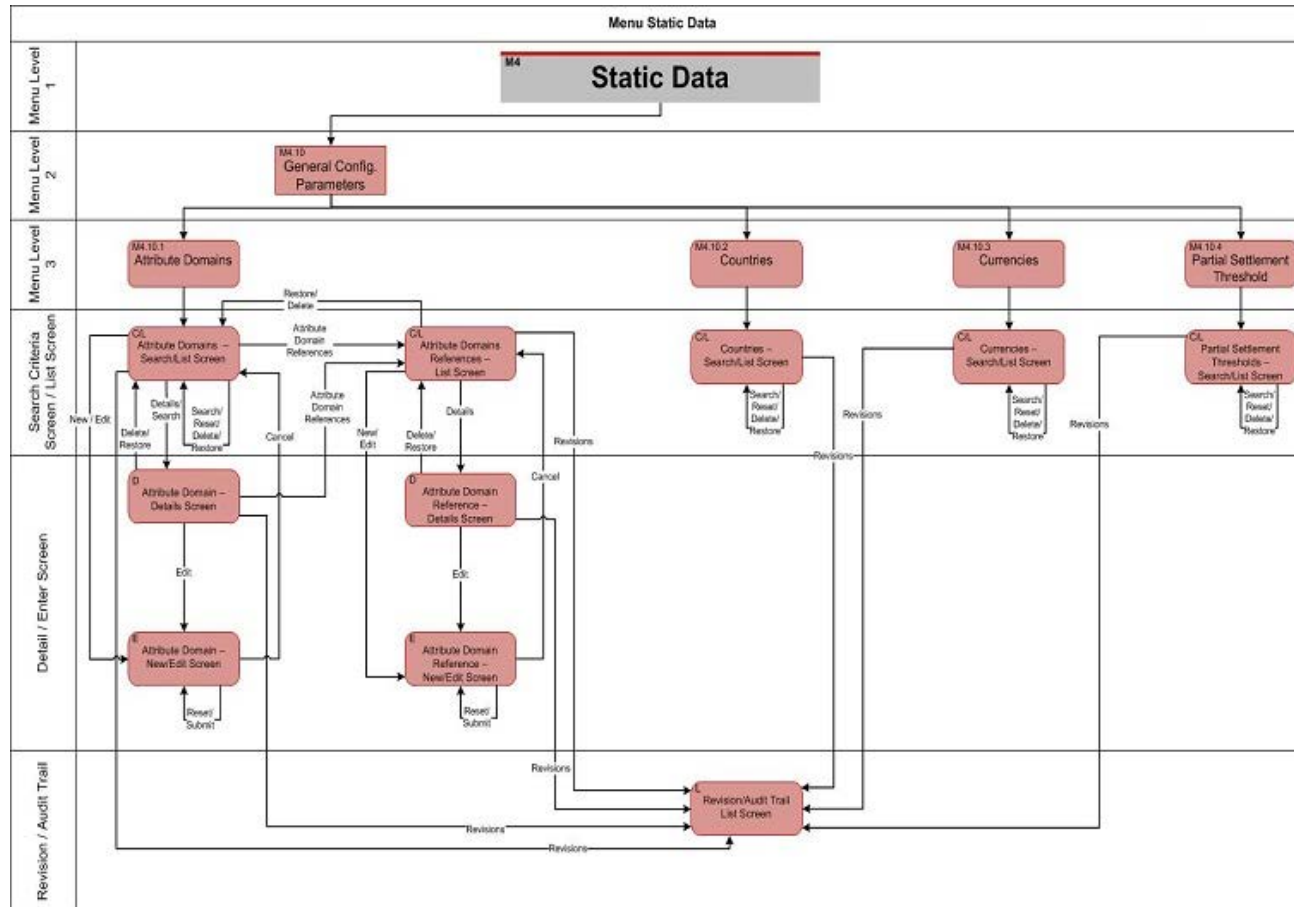


Illustration 504: {to be deleted due to split book approach}

6.3.4.12 General Configuration Parameters II

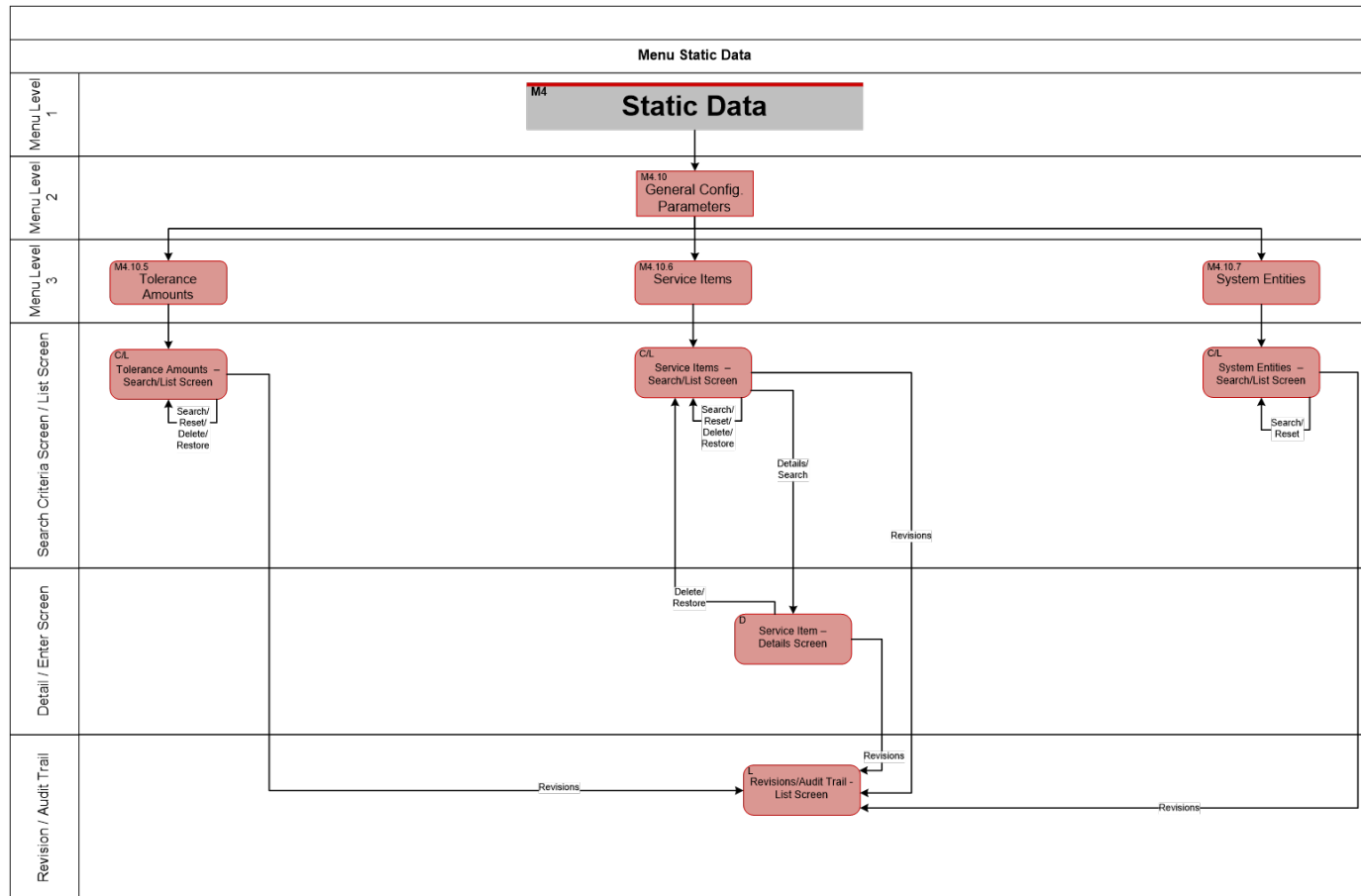


Illustration 505: {to be deleted due to split book approach}

6.3.4.13 Revisions

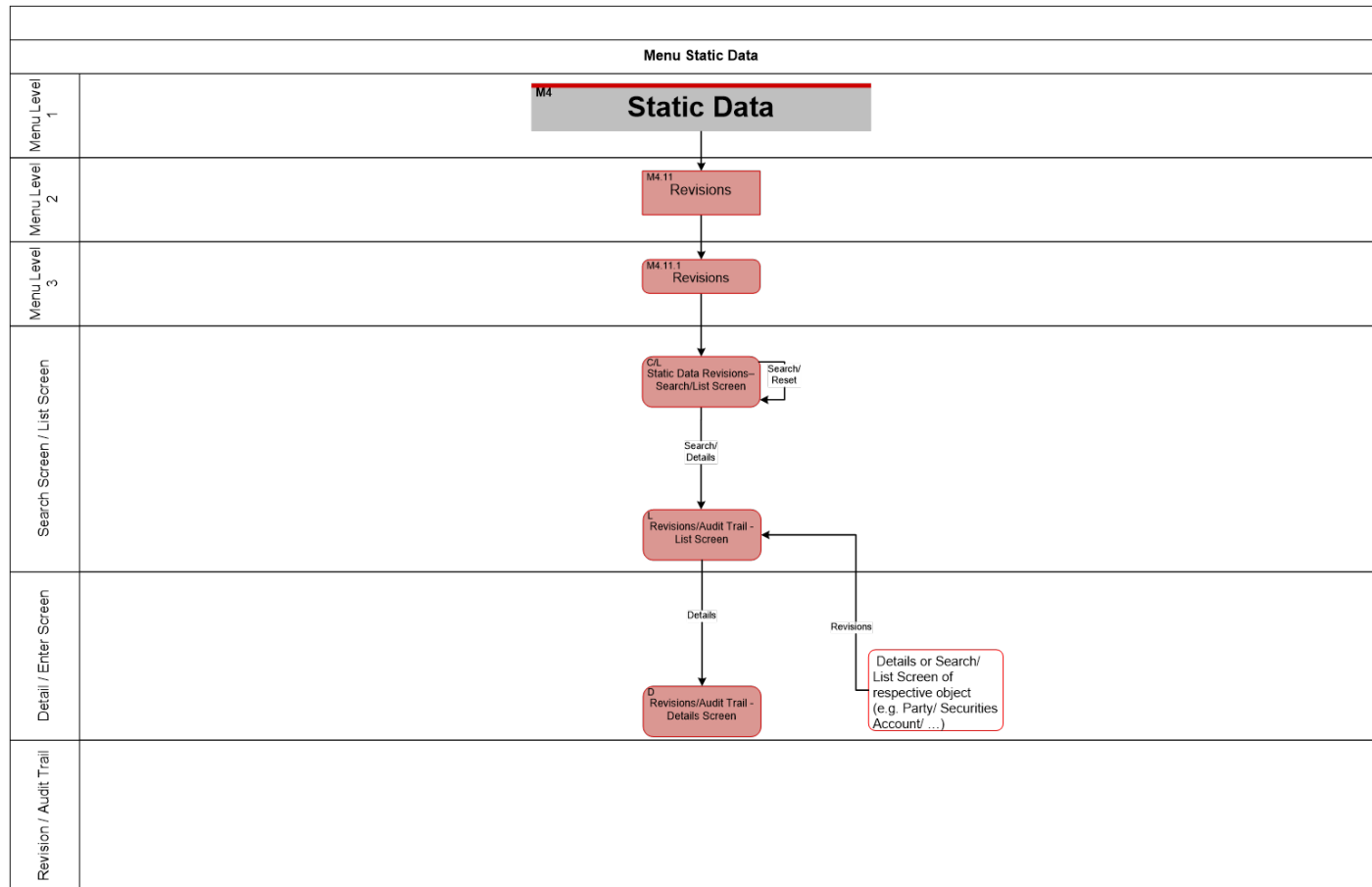


Illustration 506: {to be deleted due to split book approach}

~~6.3.5 Monitoring~~

{to be deleted due to split book approach}

6.3.5.1 Monitoring

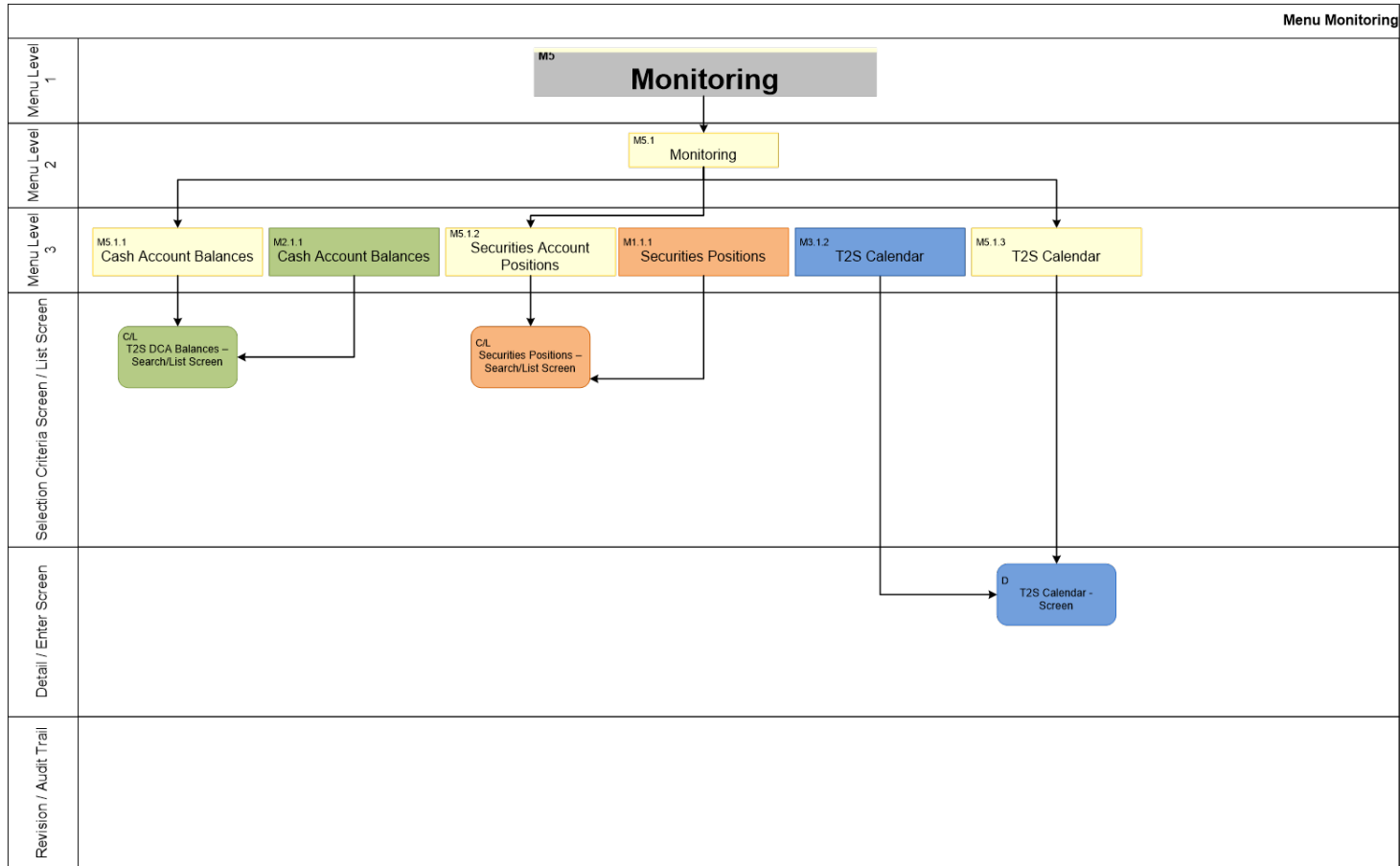


Illustration 507: {to be deleted due to split book approach}

6.3.6 Billing

6.3.6.1 Invoice Data

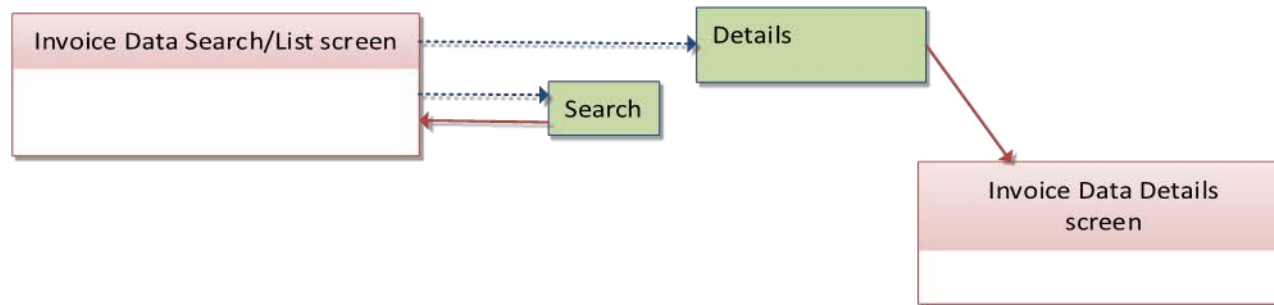


Illustration 508:

6.3.6.2 Itemised Invoice Data

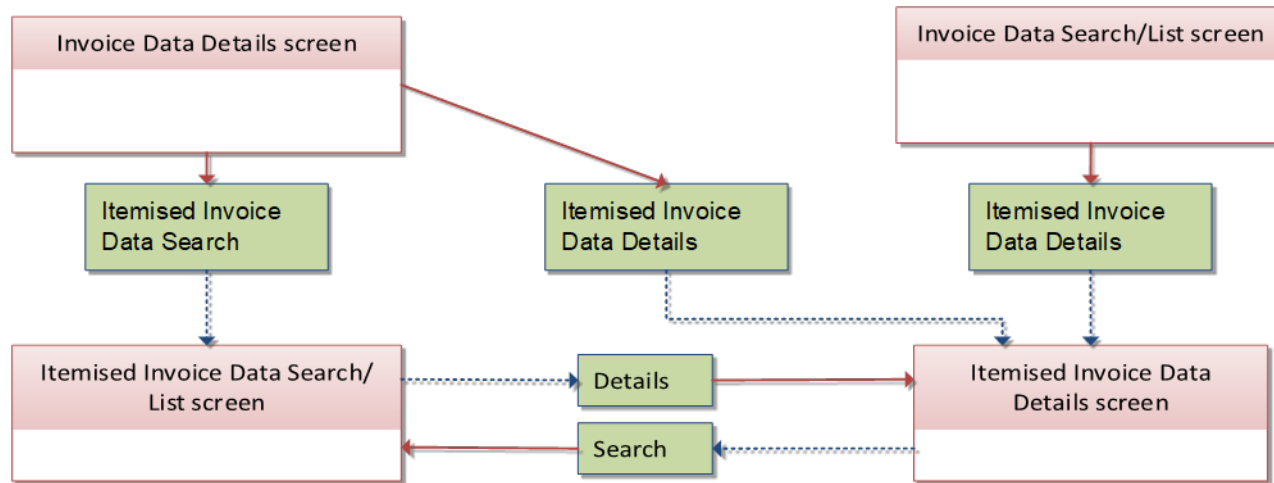


Illustration 509:

6.3.6.3 Manual Correction

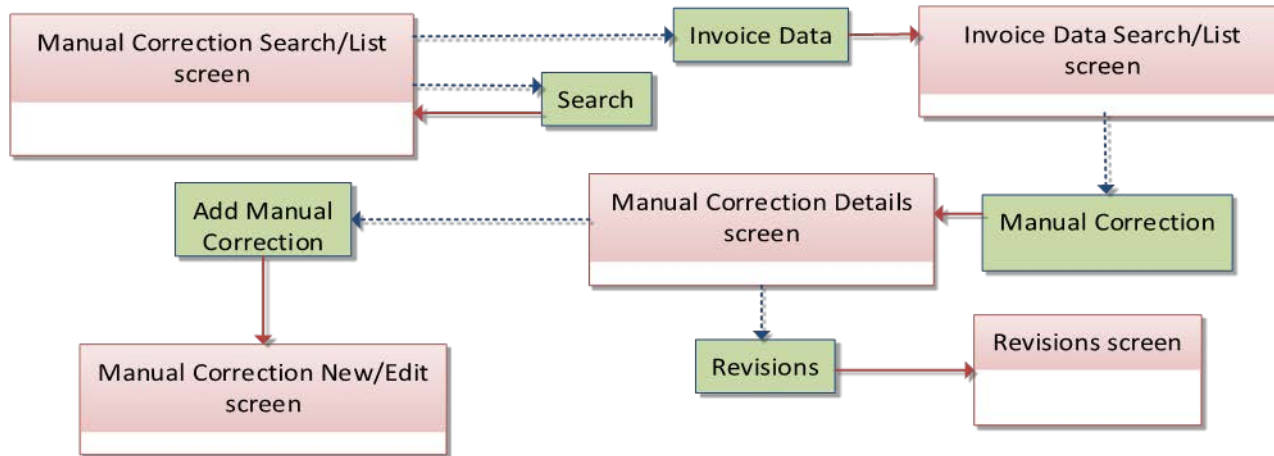


Illustration 510:

6.3.6.4 PDF Invoice

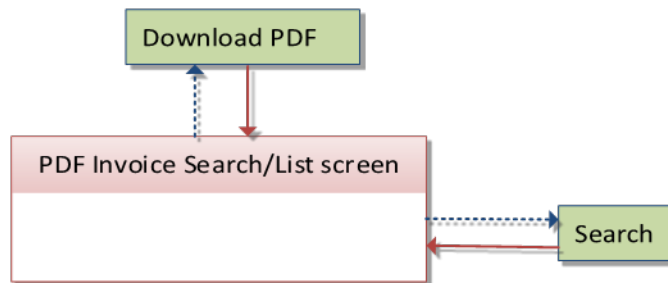


Illustration 511:

6.3.7 Business Day Management

6.3.7.1 Settlement Day



Illustration 512:

6.3.7.2 Calender

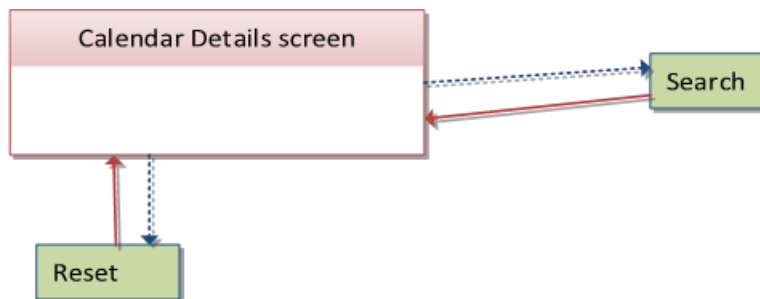


Illustration 513:

~~6.3.8 Common Reference Data Management~~

{to be deleted due to split book approach}

6.3.8.1 Attribute Domain

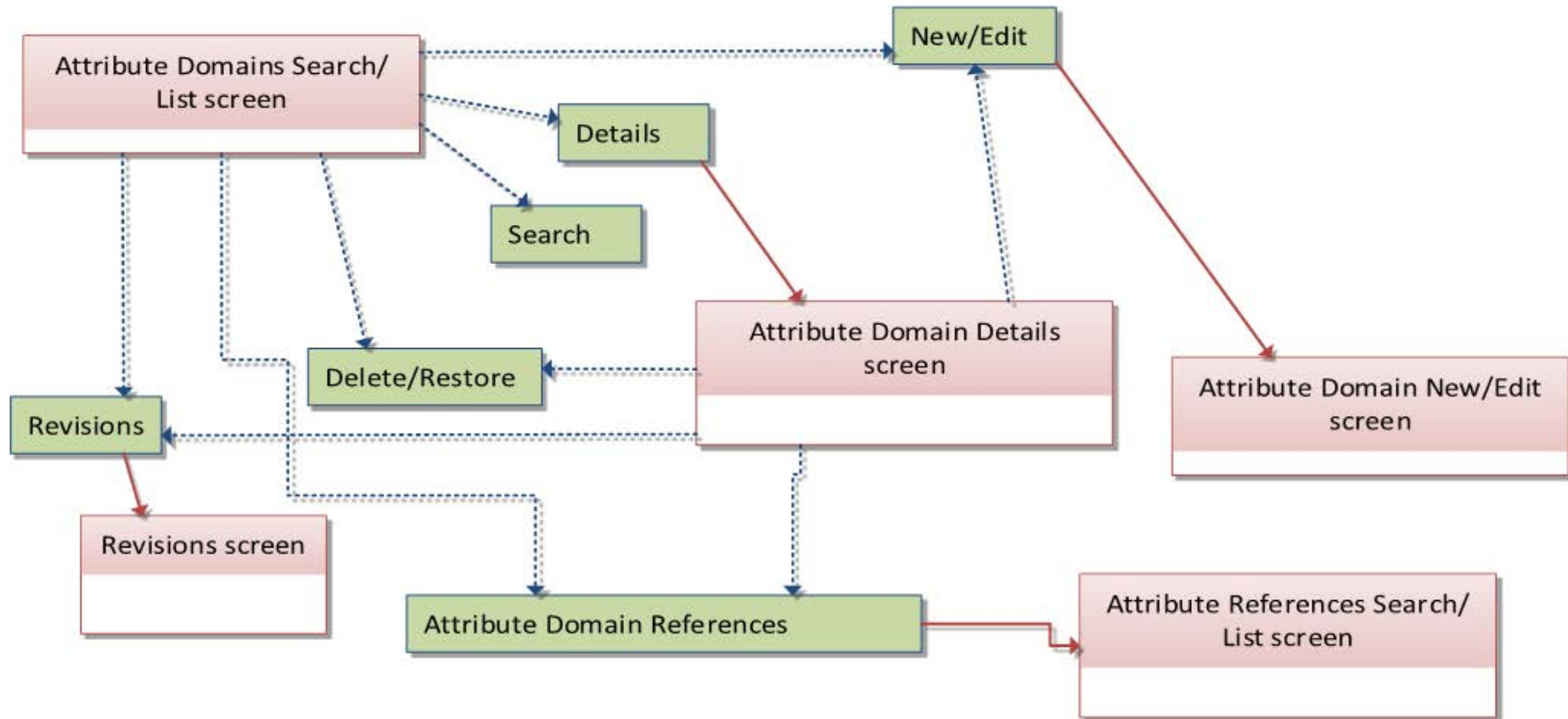


Illustration 514: {to be deleted due to split book approach}

6.3.8.2 Attribute Reference

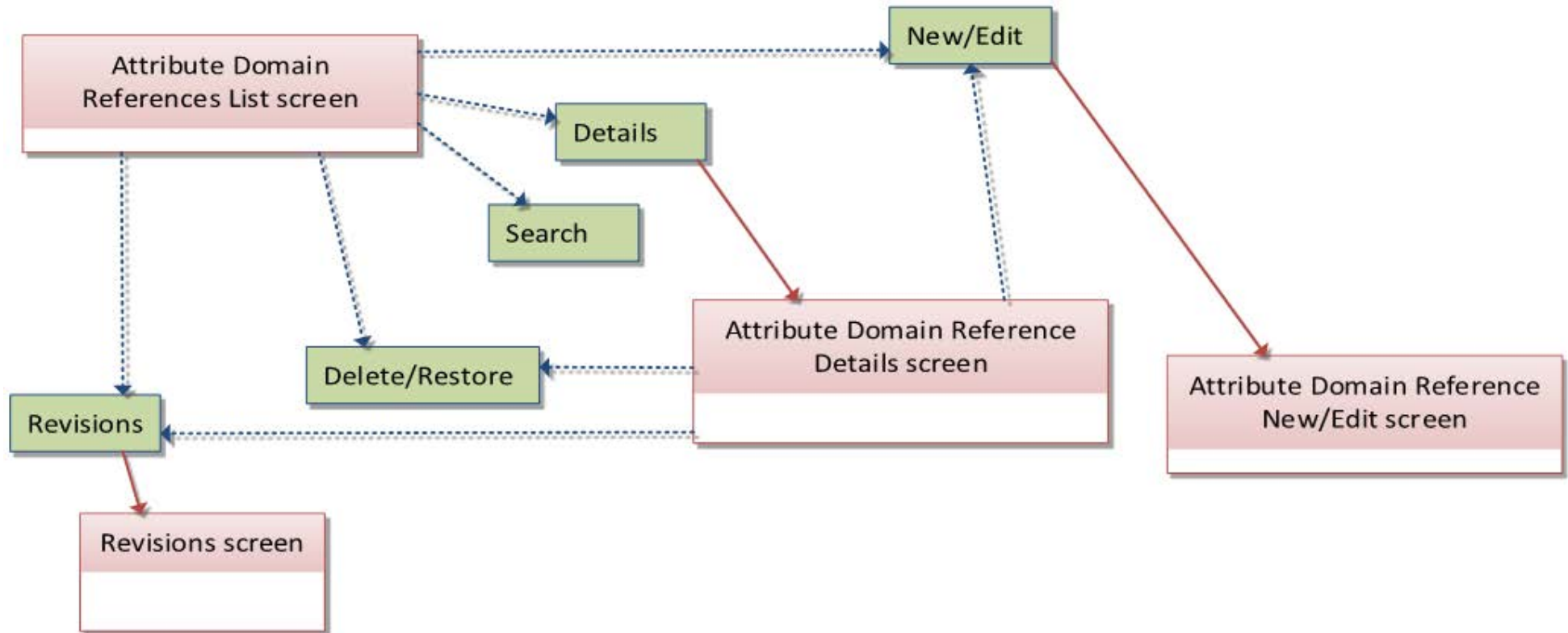


Illustration 515: {to be deleted due to split book approach}

6.3.8.3 Auto-Collateralisation Eligibility Link

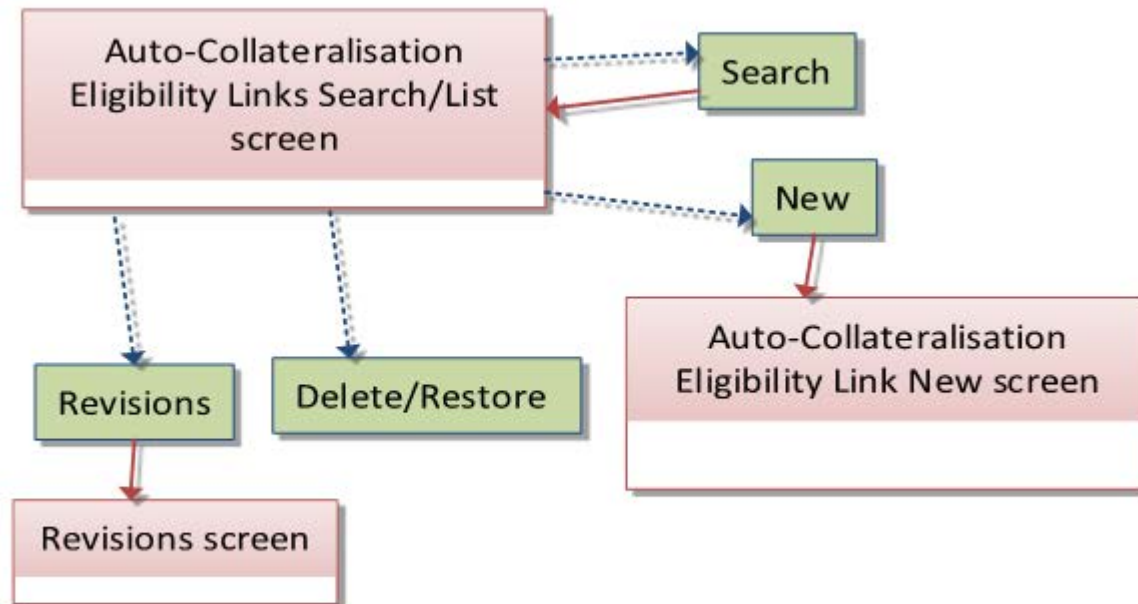


Illustration 516: {to be deleted due to split book approach}

6.3.8.4 Available reports

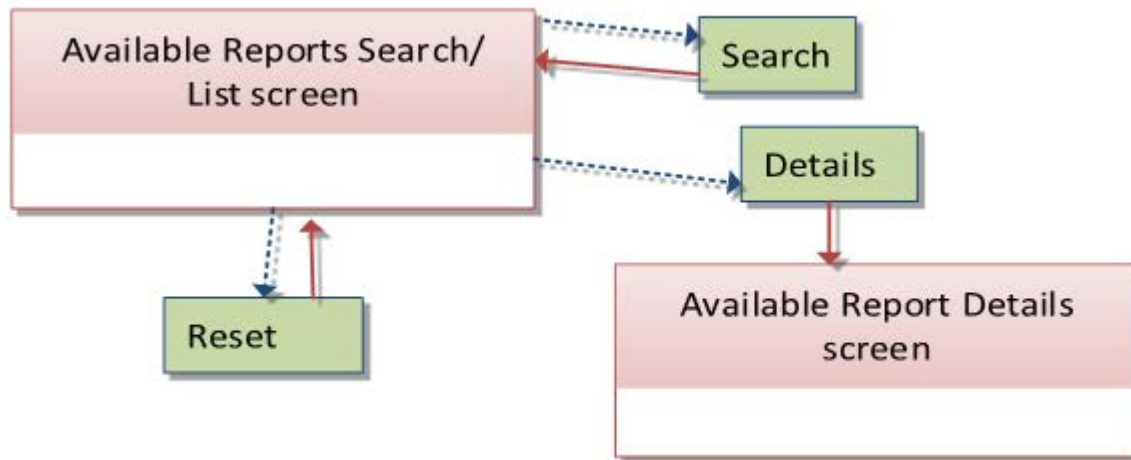


Illustration 517: {to be deleted due to split book approach}

6.3.8.5 Available Reports - Statement of Common Reference Data details

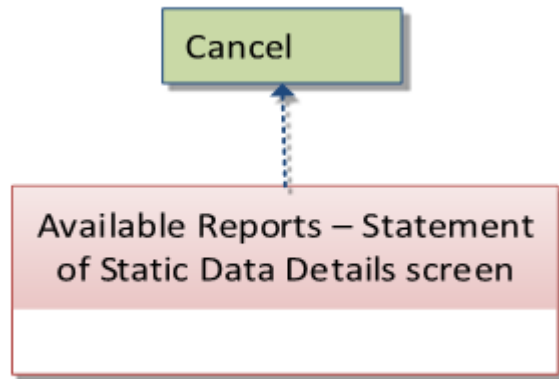


Illustration 518: {to be deleted due to split book approach}

6.3.8.6 BIC Directory

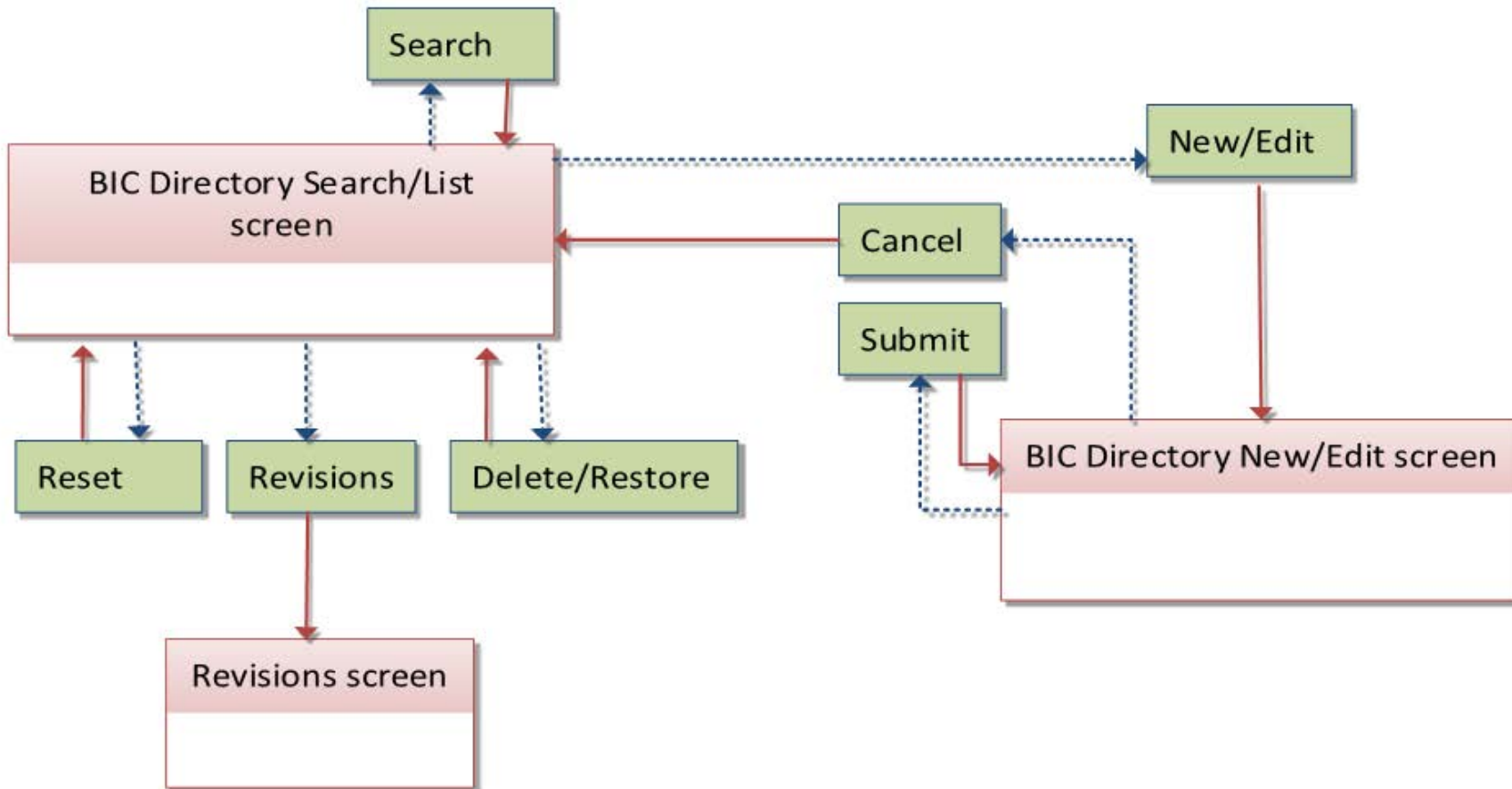


Illustration 519: {to be deleted due to split book approach}

6.3.8.7 Cash Accounts

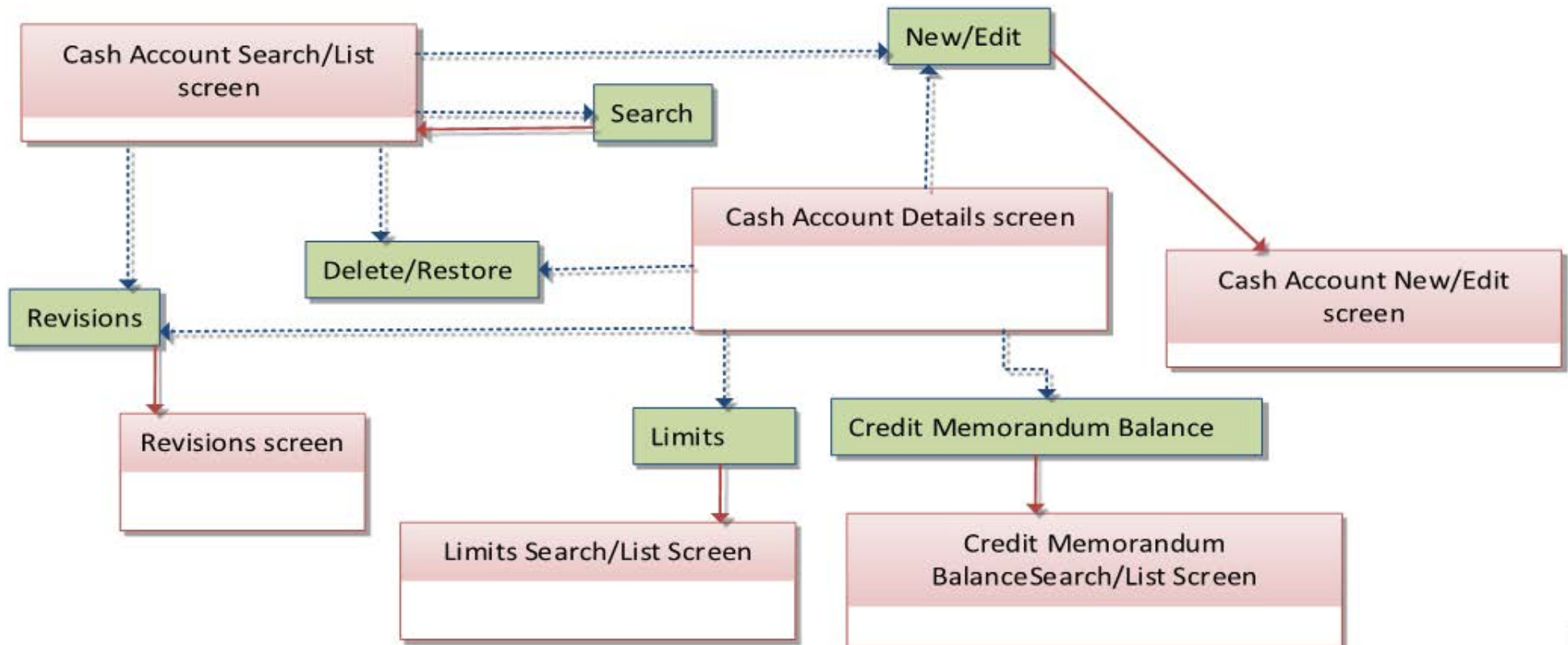


Illustration 520: {to be deleted due to split book approach}

6.3.8.8 Cash Discount Penalty Rate

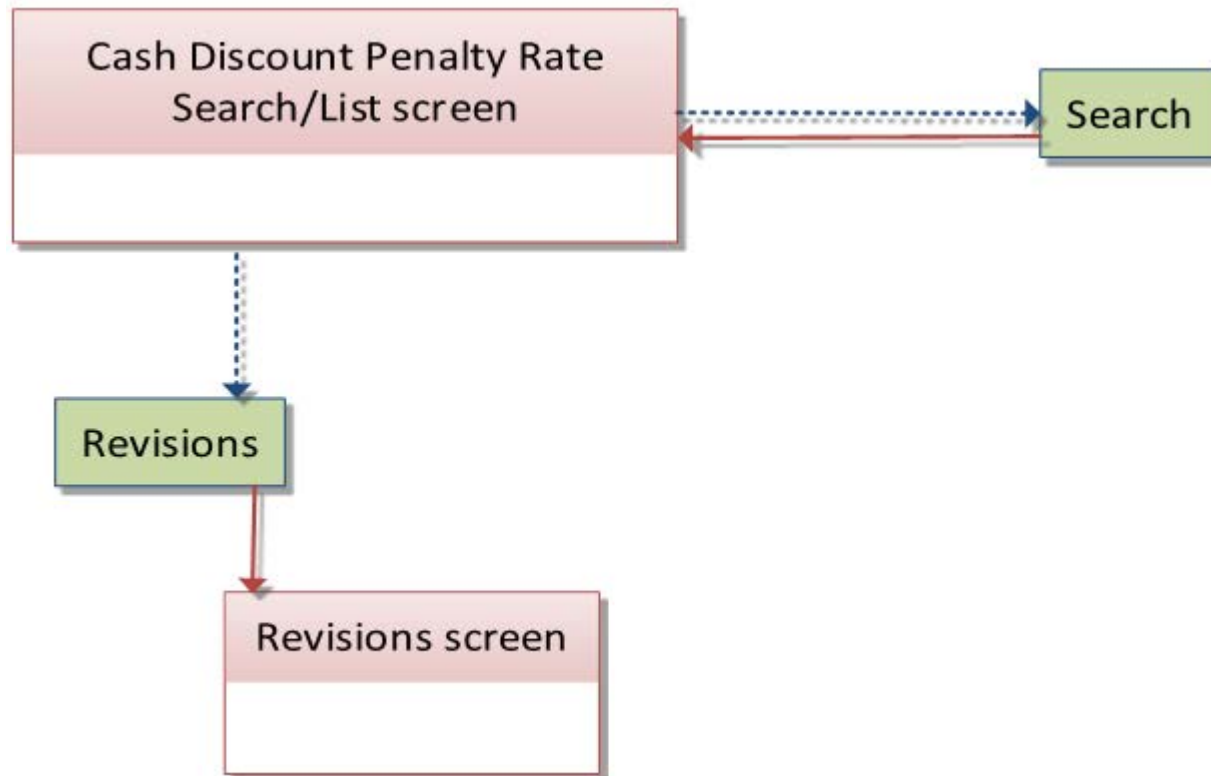


Illustration 521: {to be deleted due to split book approach}

6.3.8.9 Certificate Distinguished Names

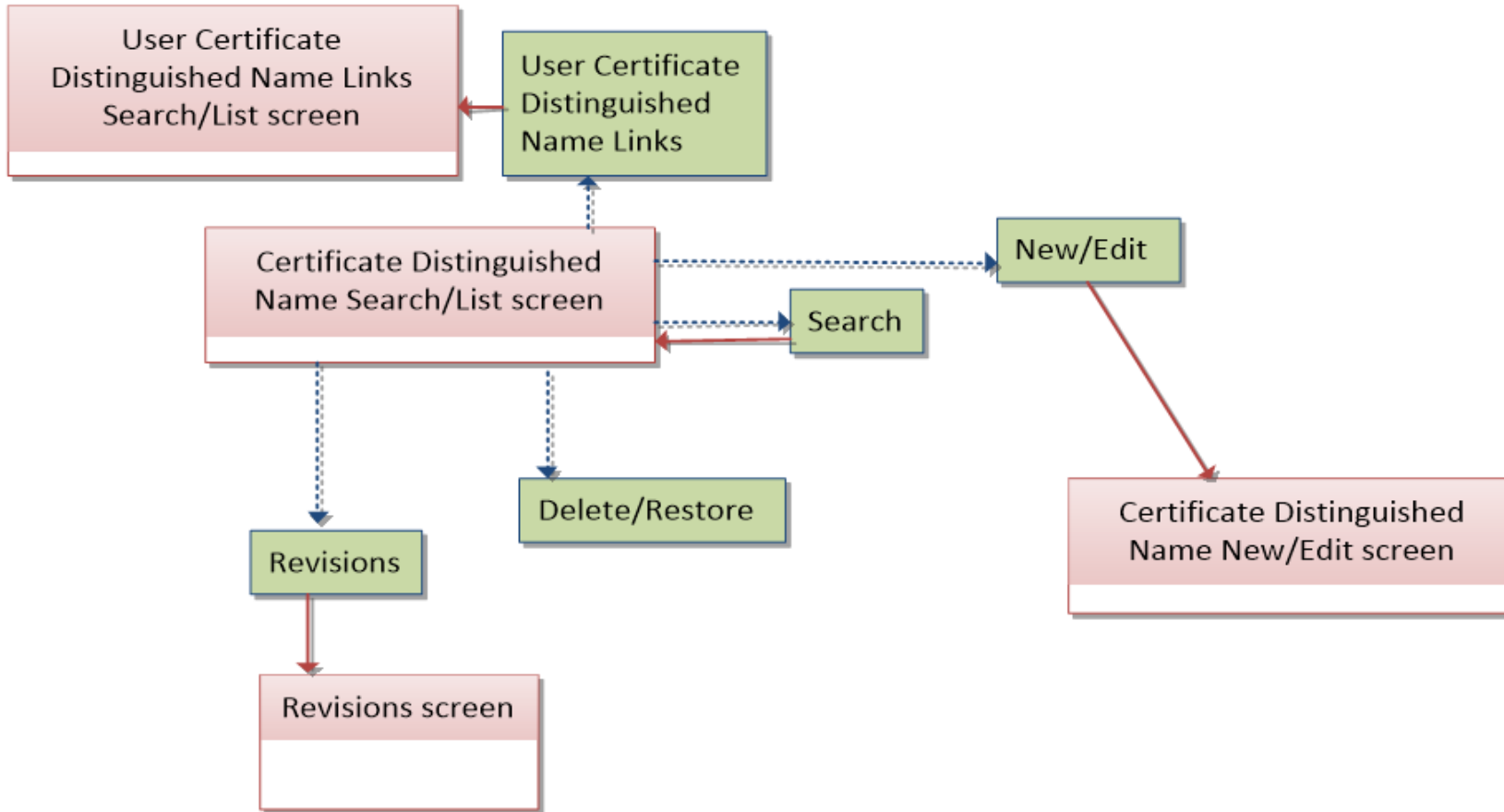
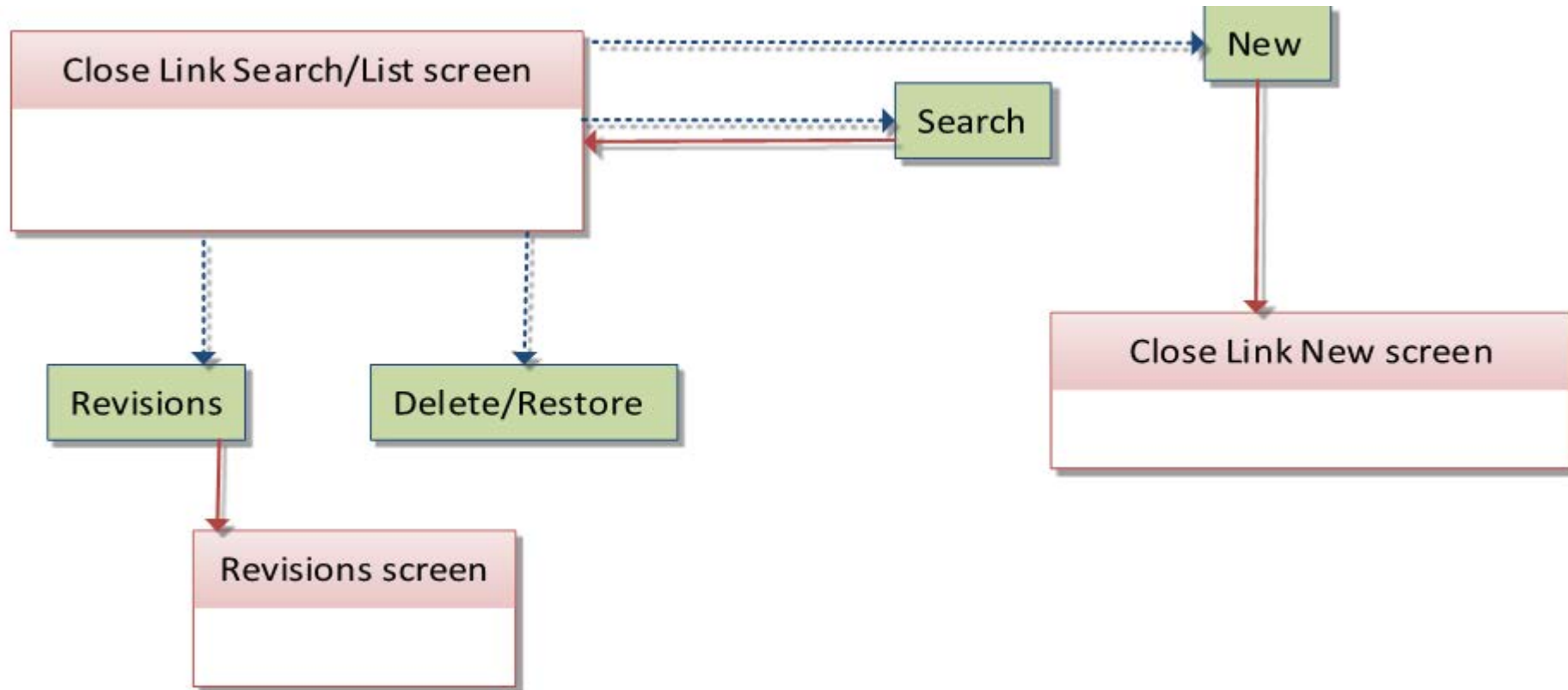


Illustration 522: {to be deleted due to split book approach}

6.3.8.10 Close Links



{to be deleted due to split book approach}

6.3.8.11 Closing Days

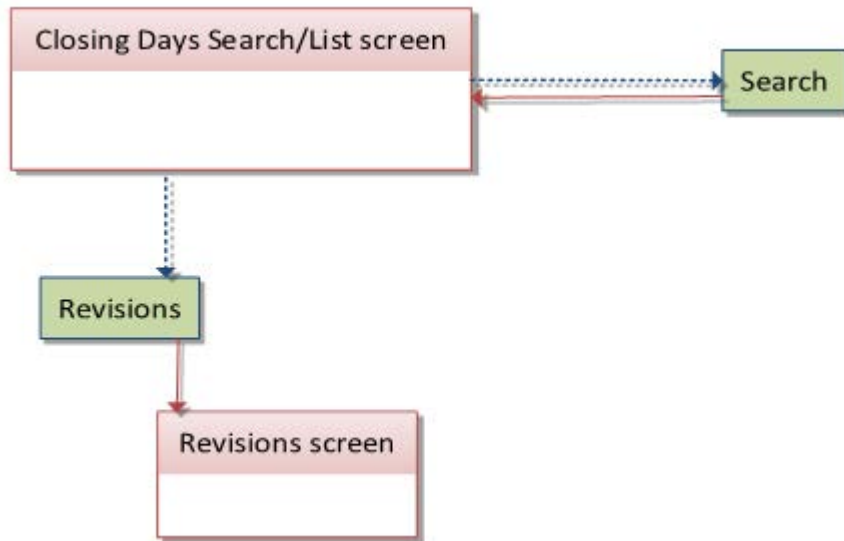


Illustration 523: {to be deleted due to split book approach}

6.3.8.12 CMBs

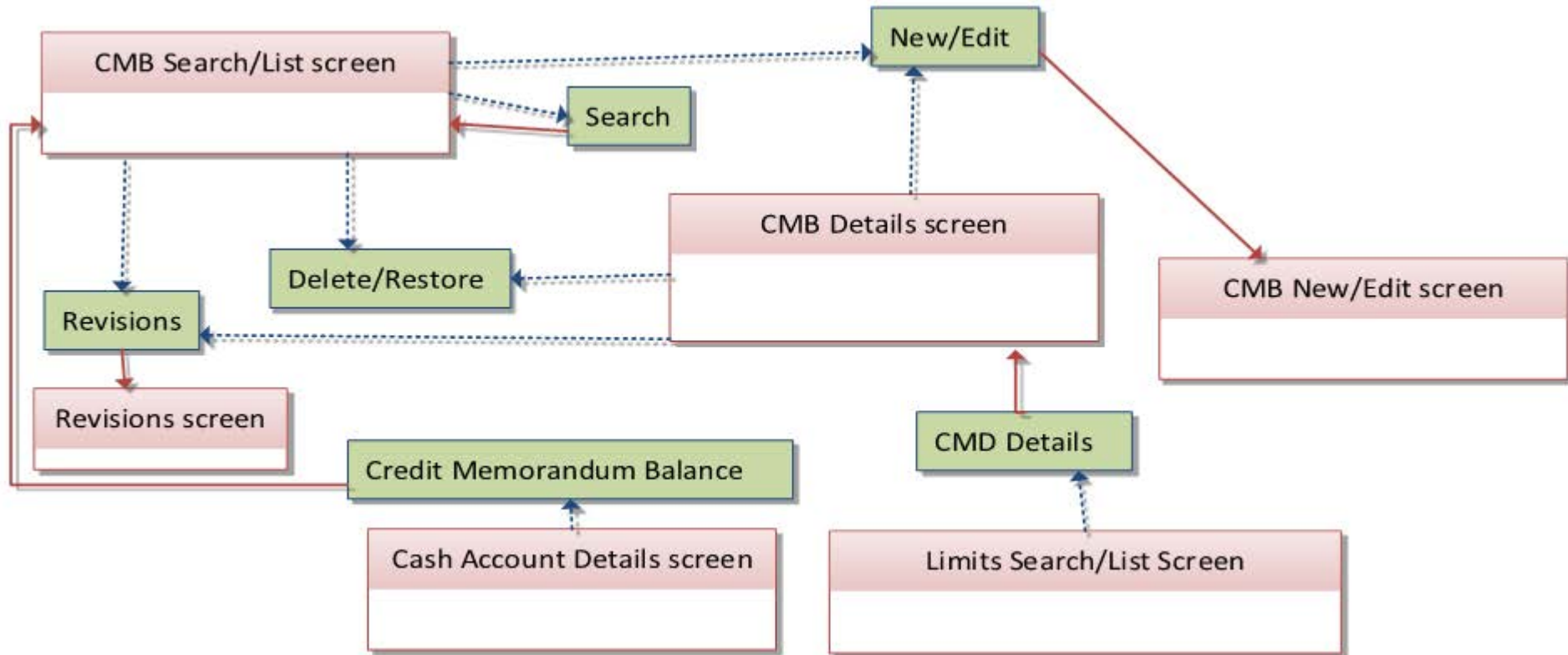


Illustration 524: {to be deleted due to split book approach}

6.3.8.13 Common Reference Data Revisions Search/List Screen

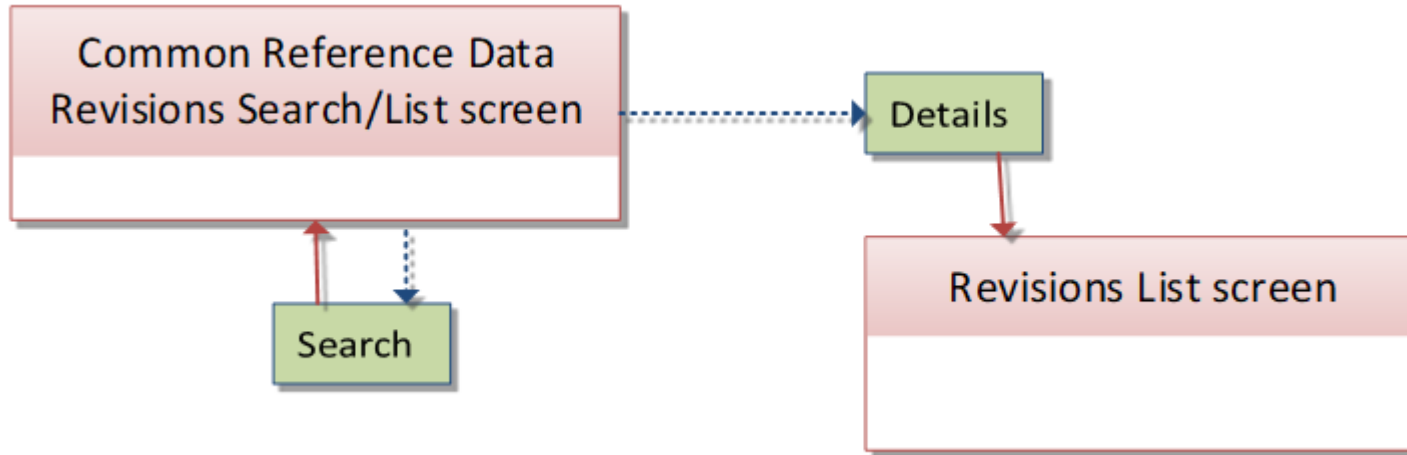


Illustration 525: {to be deleted due to split book approach}

6.3.8.14 CoSD Rule

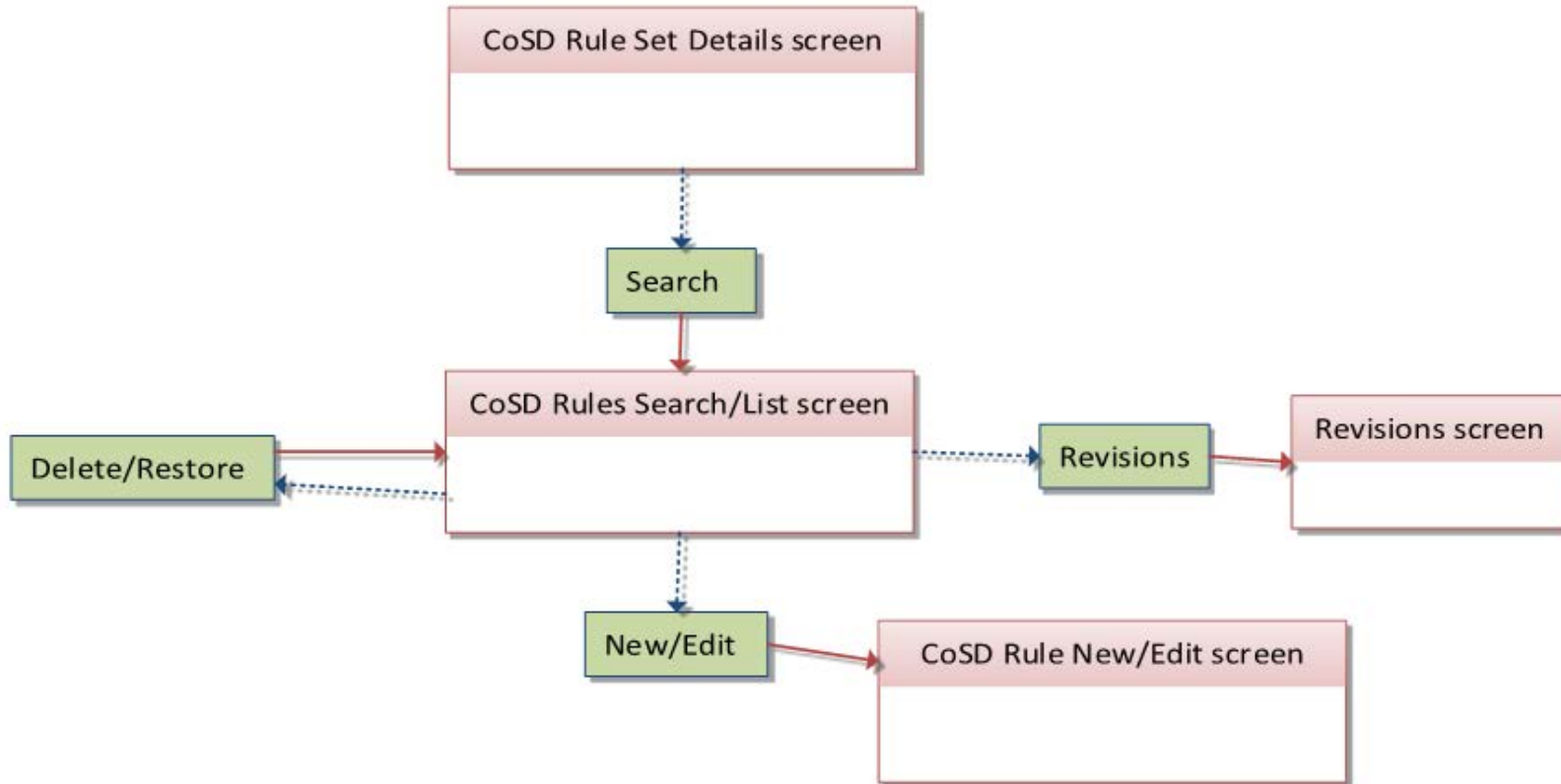


Illustration 526: {to be deleted due to split book approach}

6.3.8.15 CoSD Rule Sets

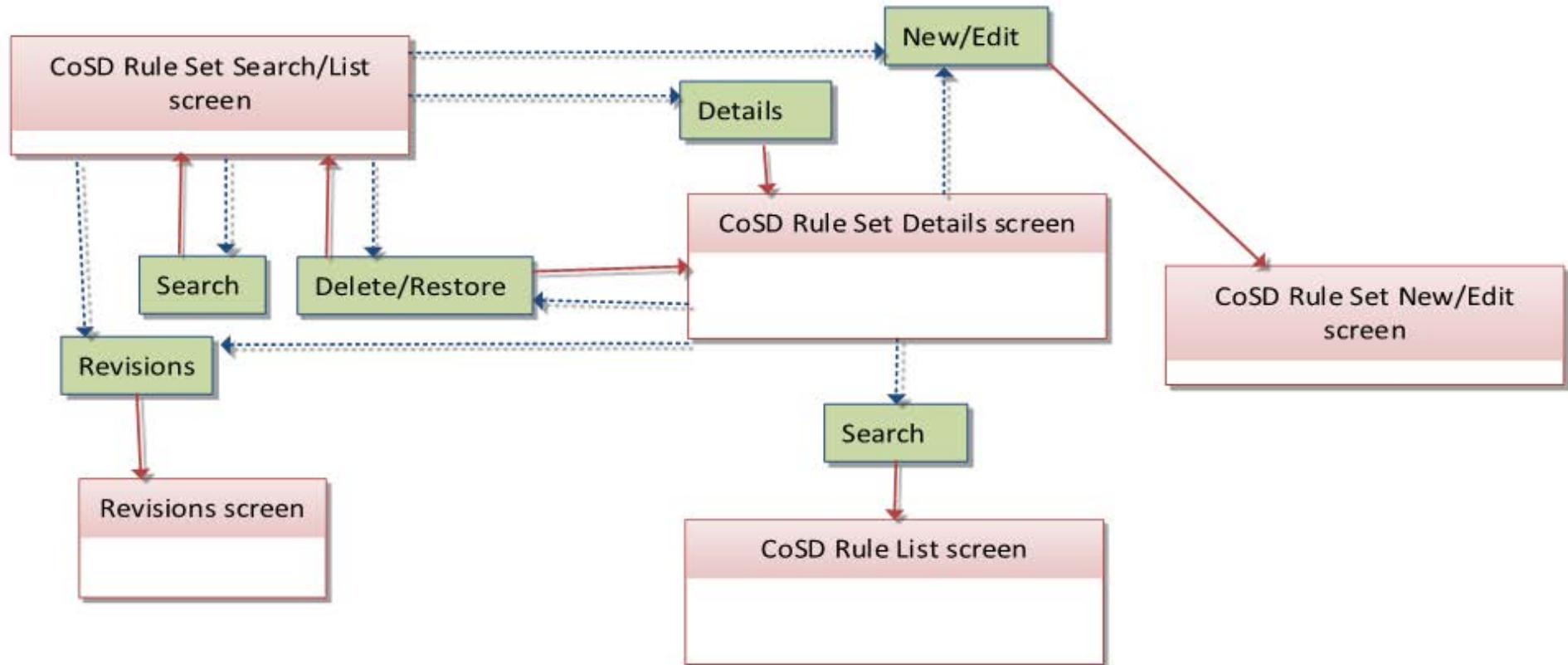


Illustration 527: {to be deleted due to split book approach}

6.3.8.16 Countries

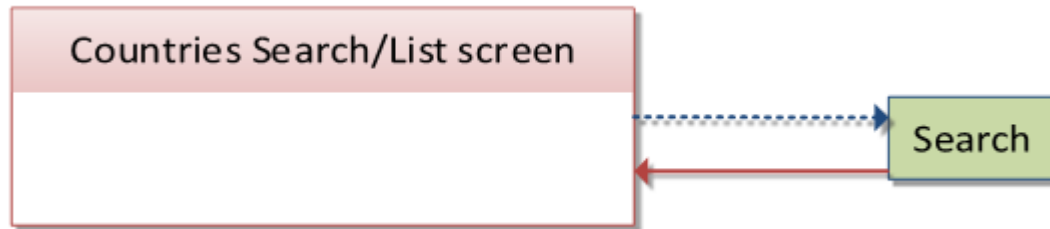


Illustration 528: {to be deleted due to split book approach}

6.3.8.17 CSD Account Links

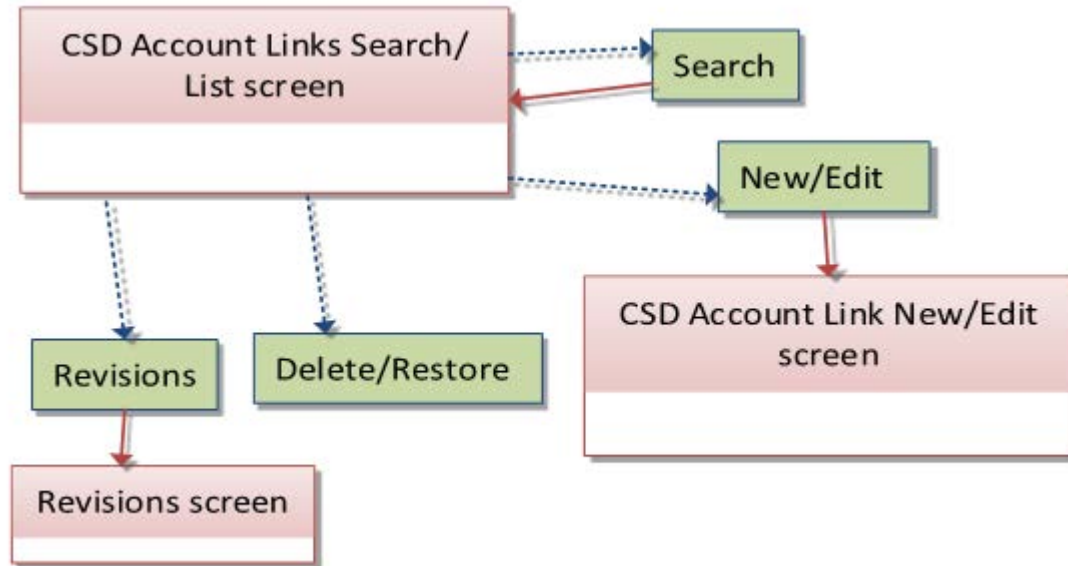


Illustration 529: {to be deleted due to split book approach}

6.3.8.18 Currencies

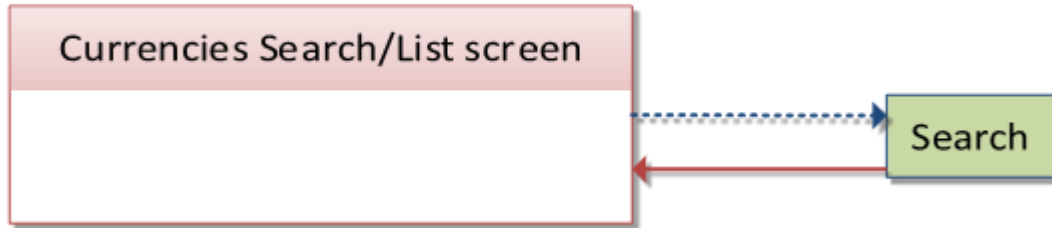


Illustration 530: {to be deleted due to split book approach}

6.3.8.19 Daily Price

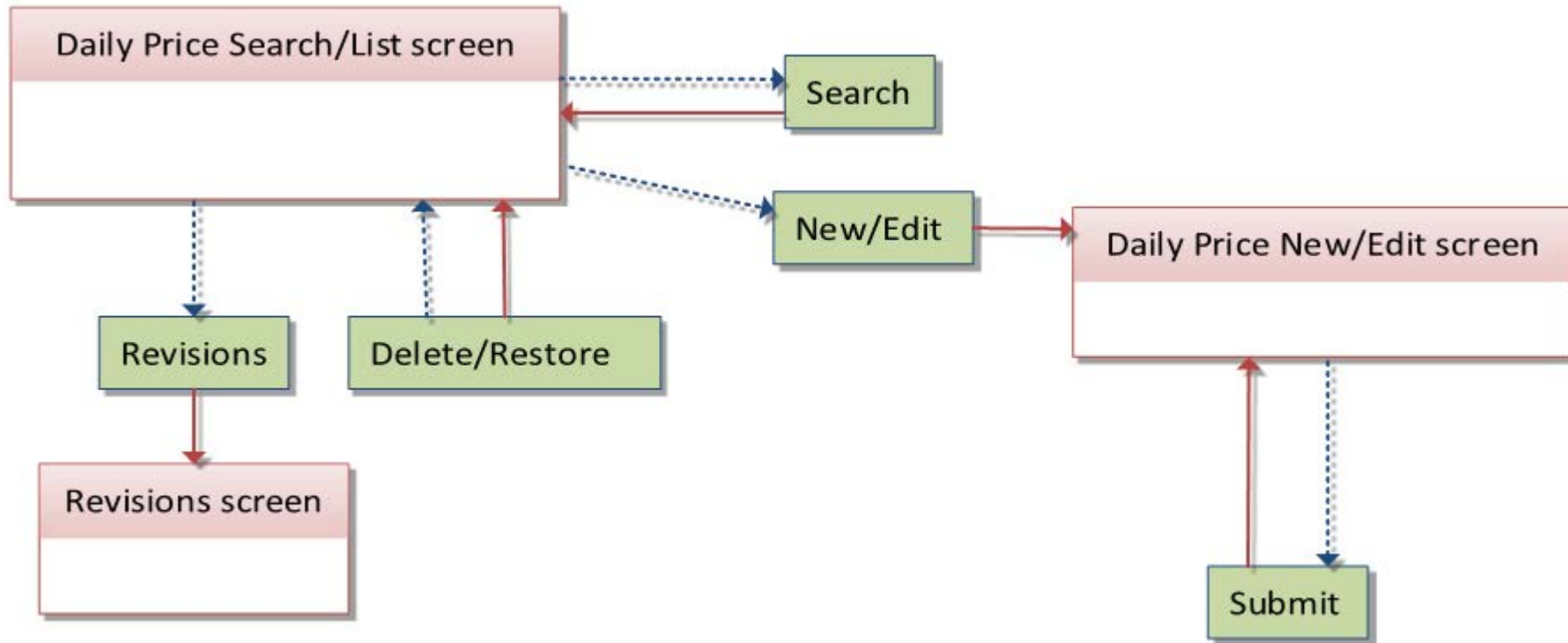


Illustration 531: {to be deleted due to split book approach}

6.3.8.20 Data Changes

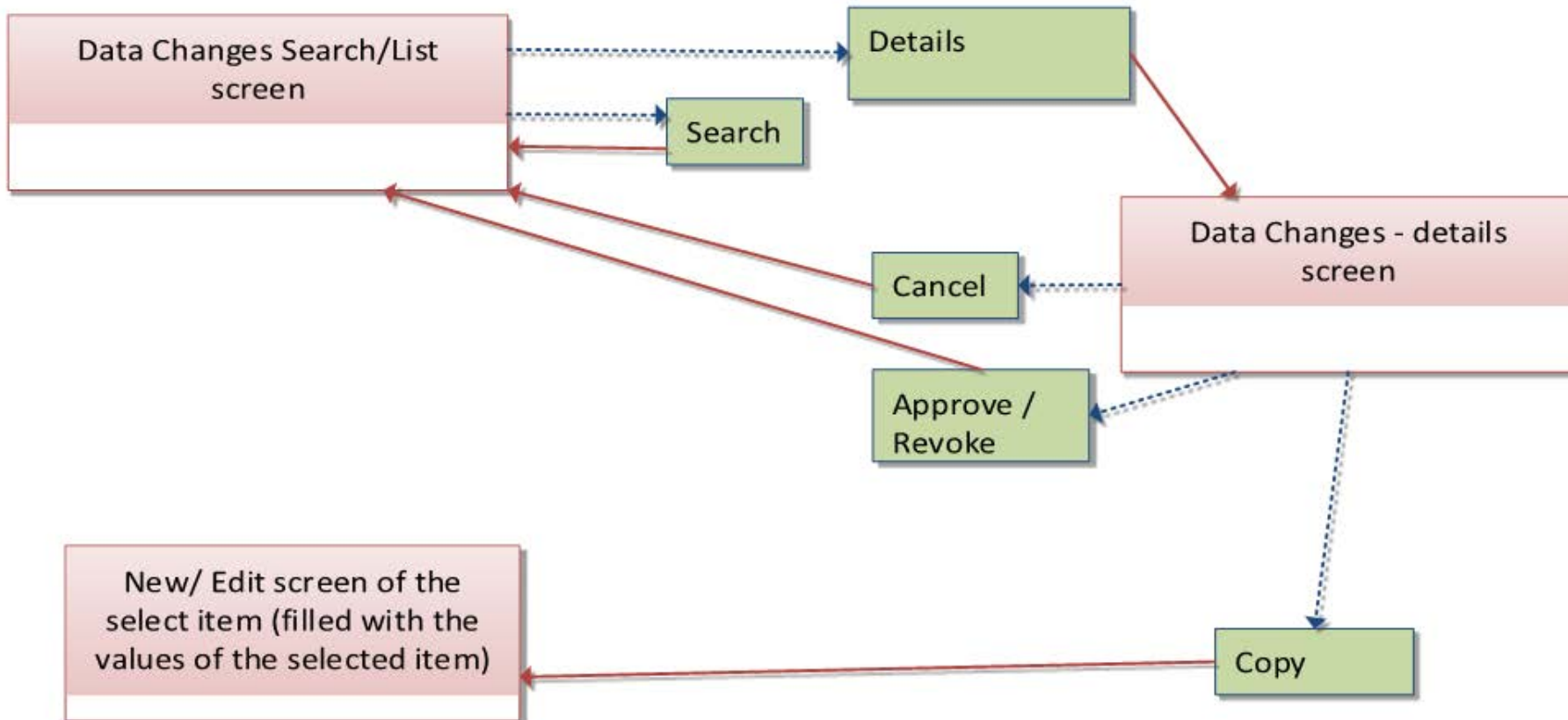


Illustration 532: {to be deleted due to split book approach}

6.3.8.21 Eligible Counterpart CSDs

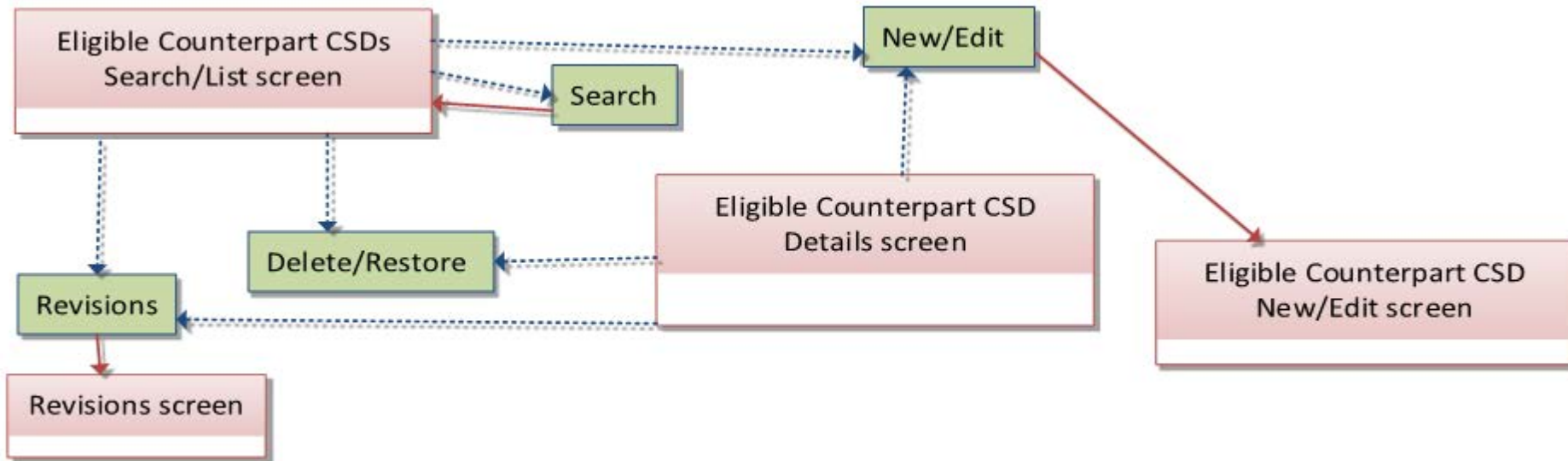


Illustration 533: {to be deleted due to split book approach}

6.3.8.22 Euro Foreign Exchange Reference Rate

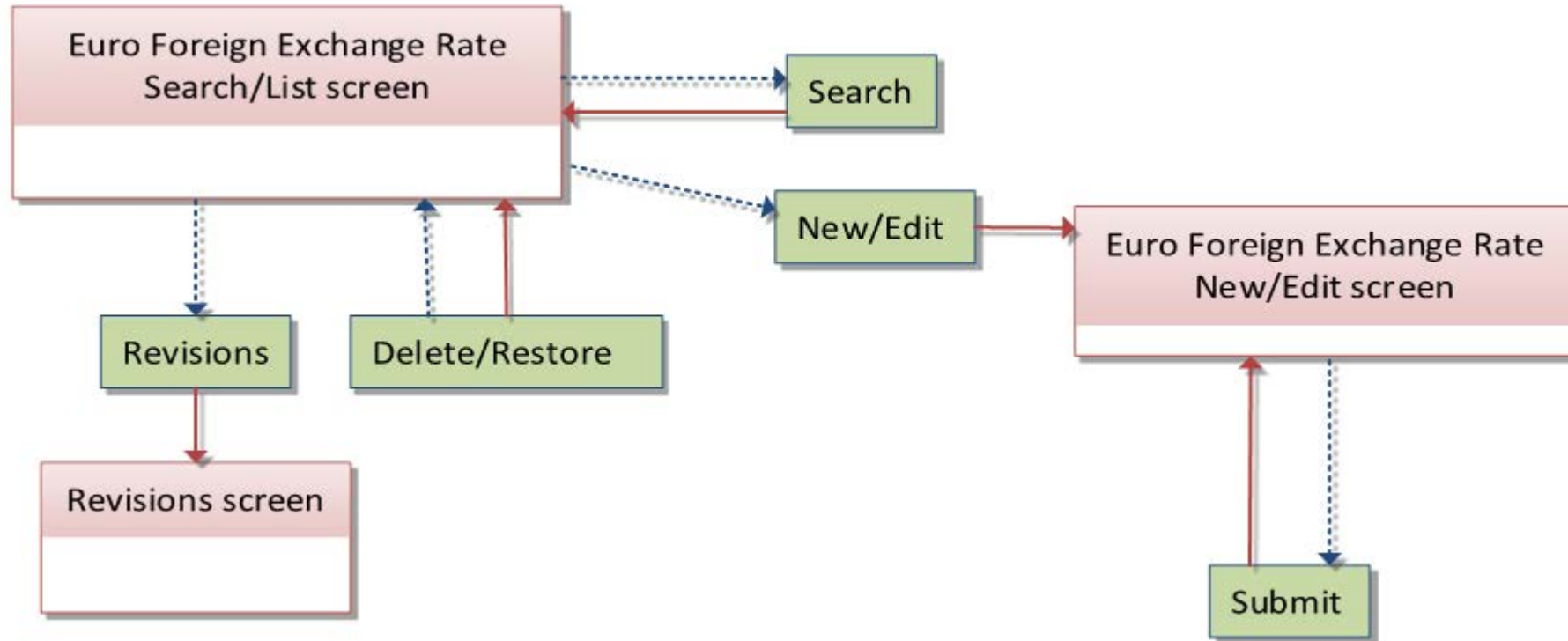


Illustration 534: {to be deleted due to split book approach}

6.3.8.23 Event Types

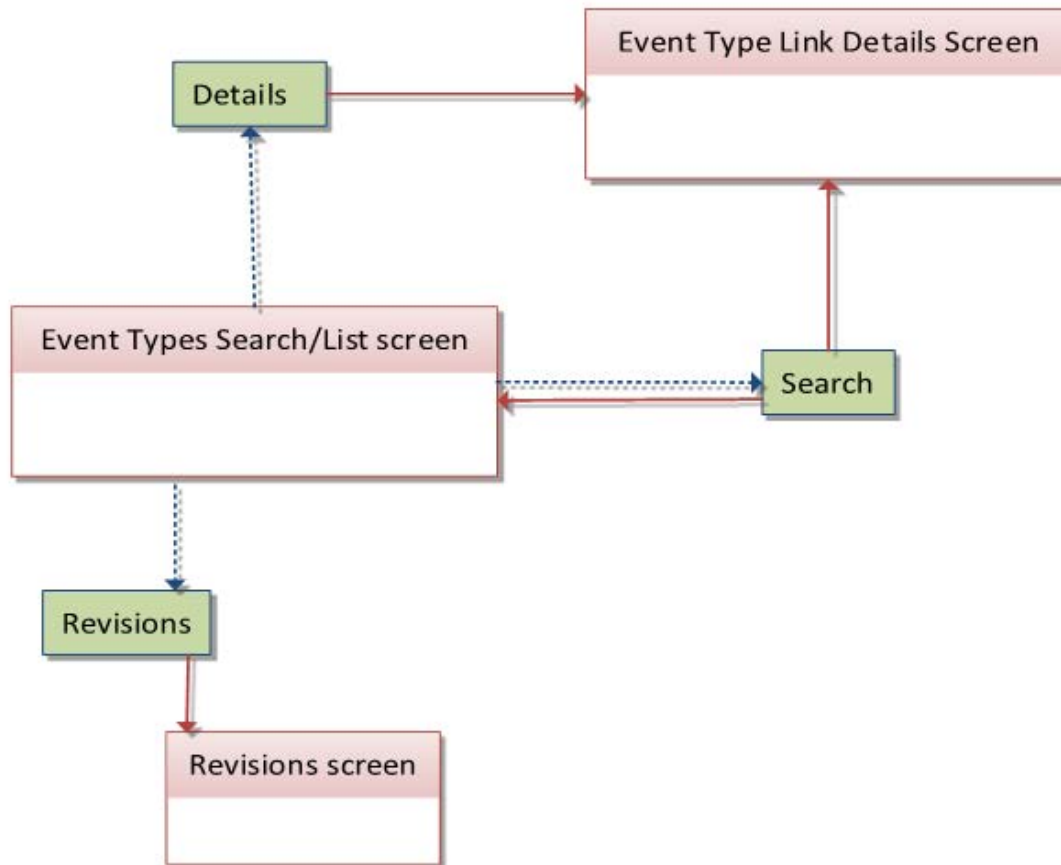


Illustration 535: {to be deleted due to split book approach}

6.3.8.24 External RTGS Accounts

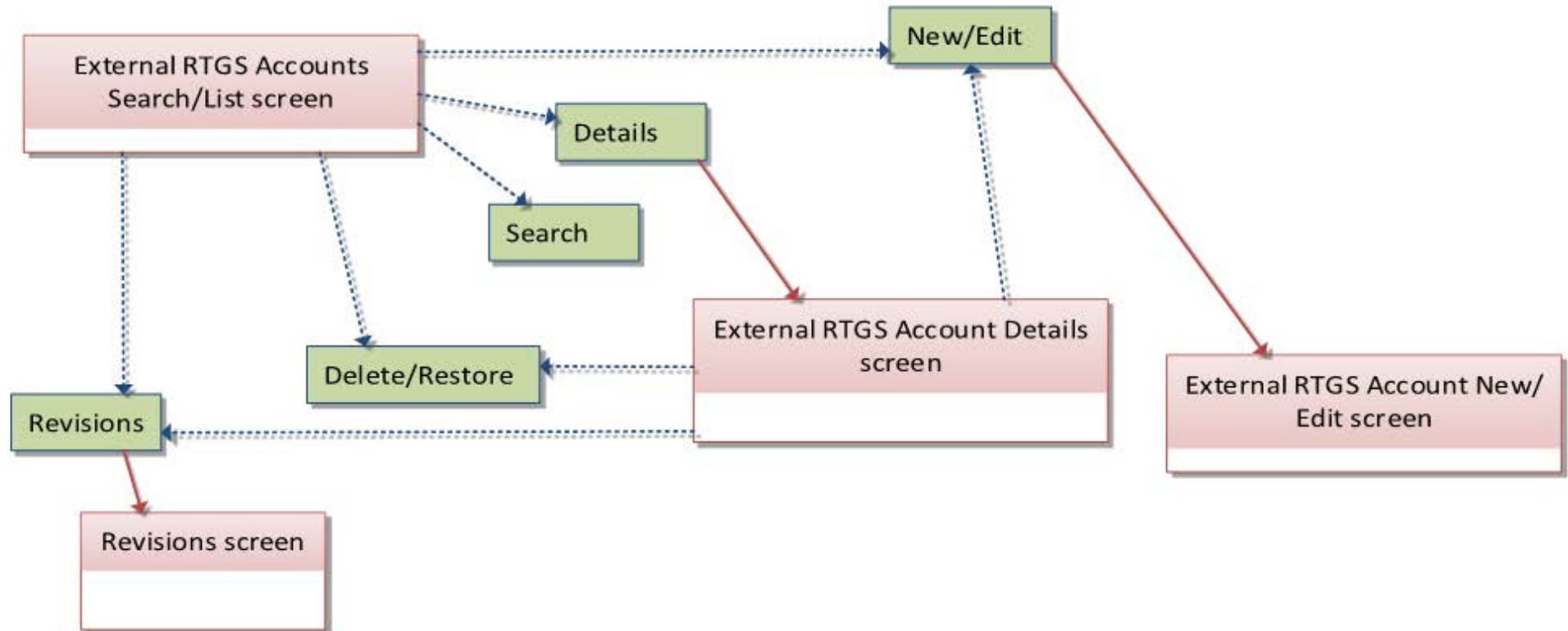


Illustration 536: {to be deleted due to split book approach}

6.3.8.25 Grant/Revoke Roles

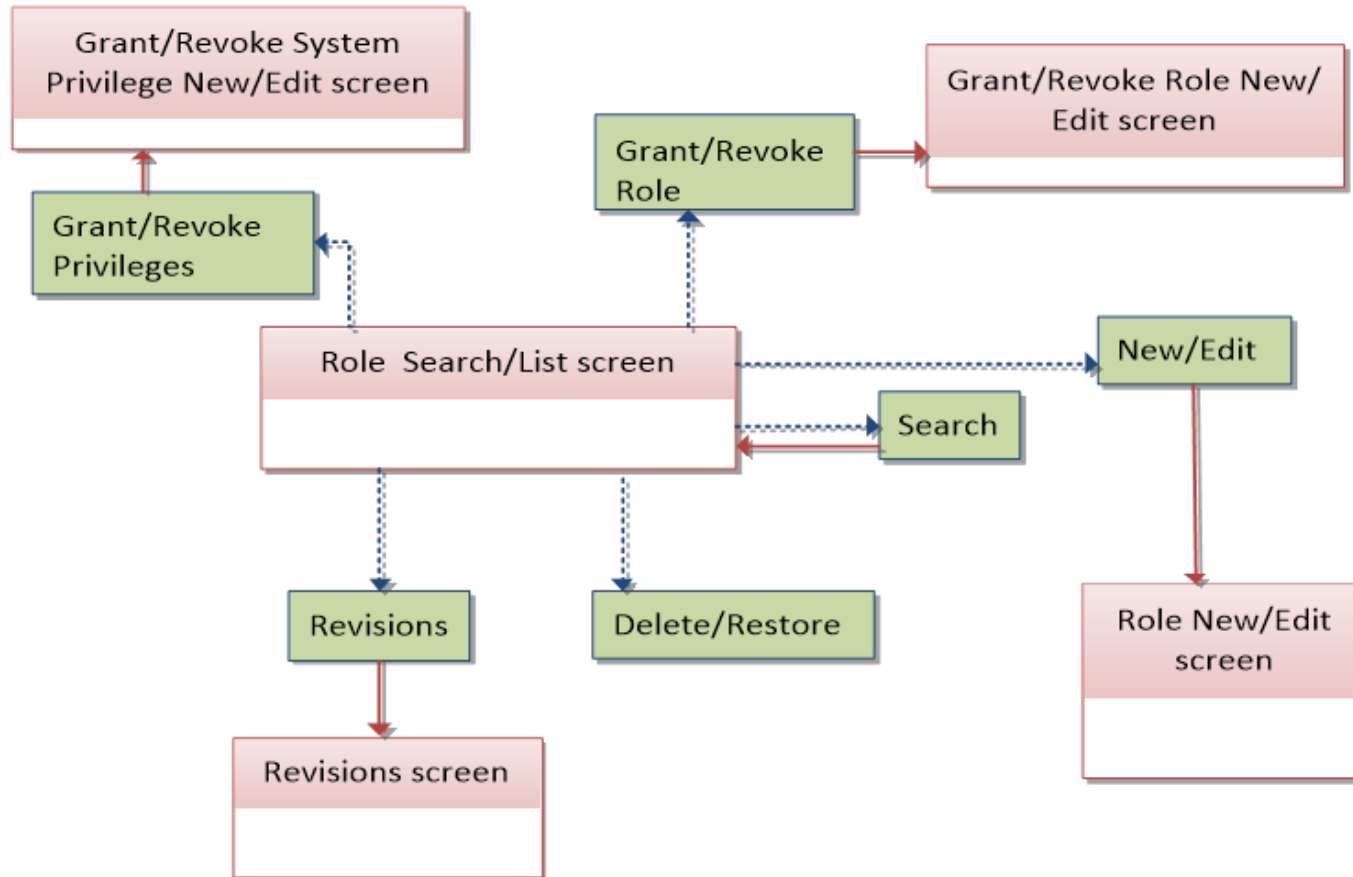


Illustration 537: {to be deleted due to split book approach}

6.3.8.26 Grant/Revoke System Privileges

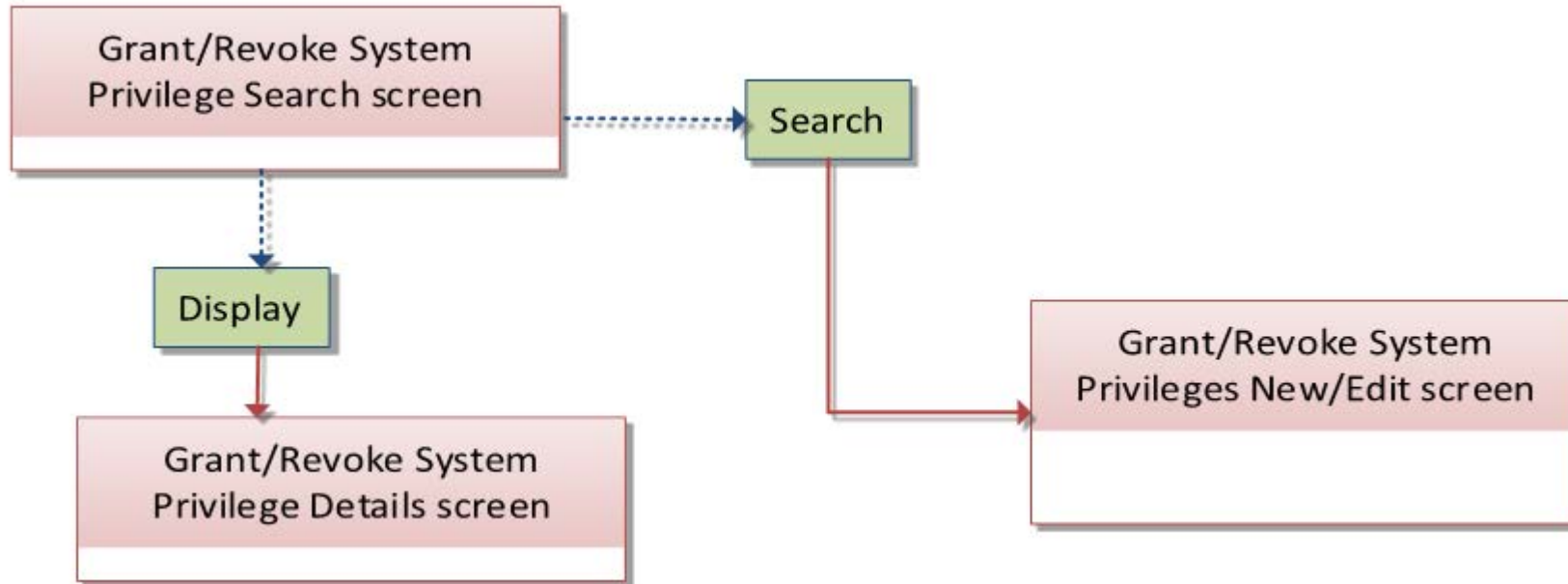


Illustration 538: {to be deleted due to split book approach}

6.3.8.27 ~~Grant/Revoke Cross System Entity Object Privileges~~

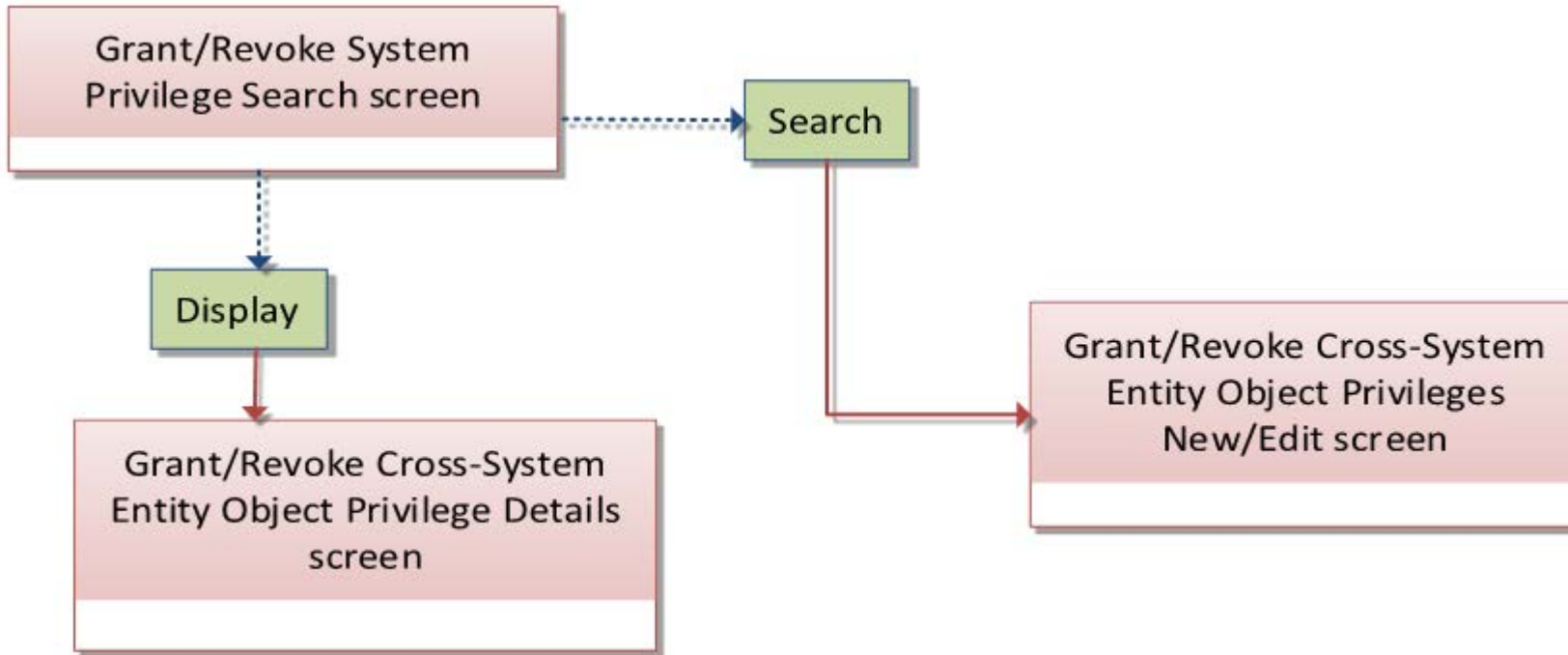


Illustration 539: {to be deleted due to split book approach}

6.3.8.28 ~~Grant/Revoke Objects Privileges~~

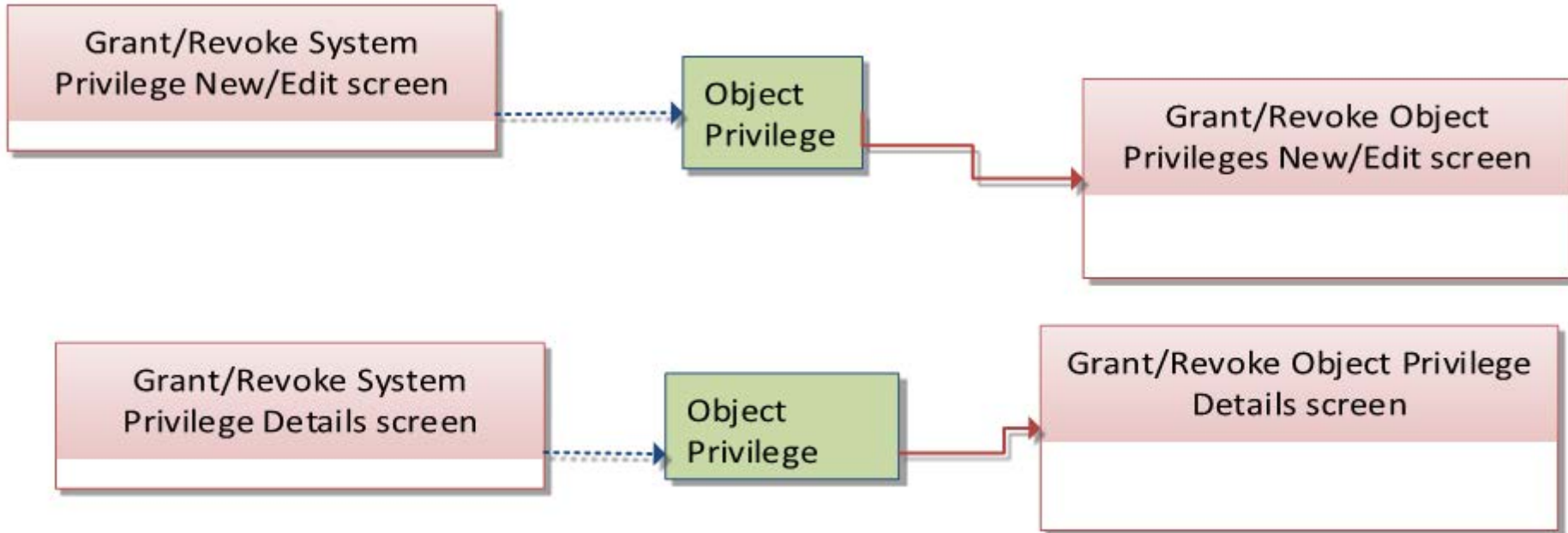


Illustration 540: {to be deleted due to split book approach}

6.3.8.29 Inbound Files

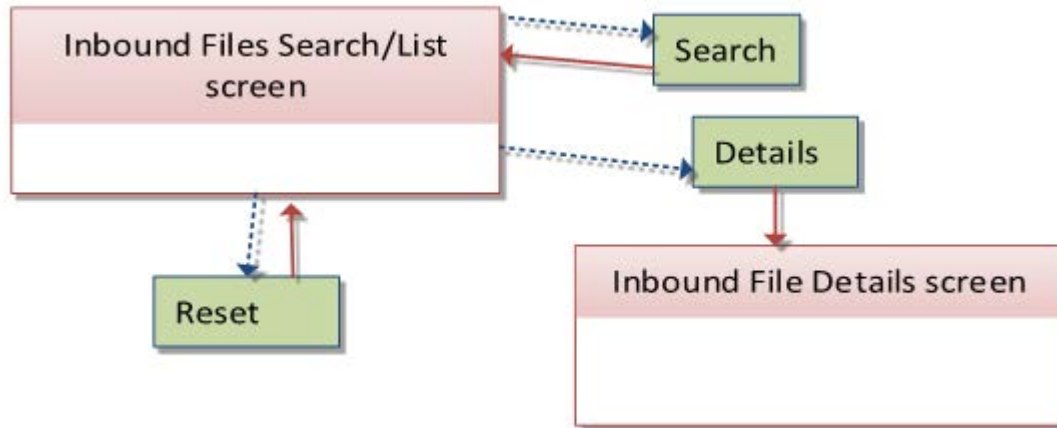


Illustration 541: {to be deleted due to split book approach}

6.3.8.30 Inbound Messages

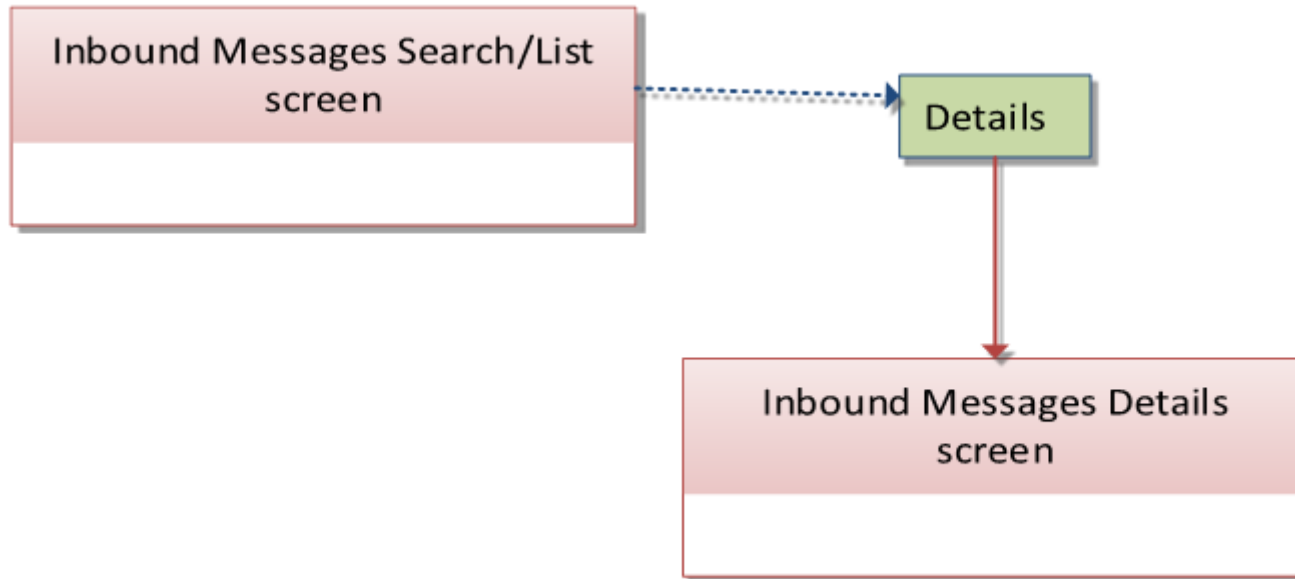


Illustration 542: {to be deleted due to split book approach}

6.3.8.31 Limits

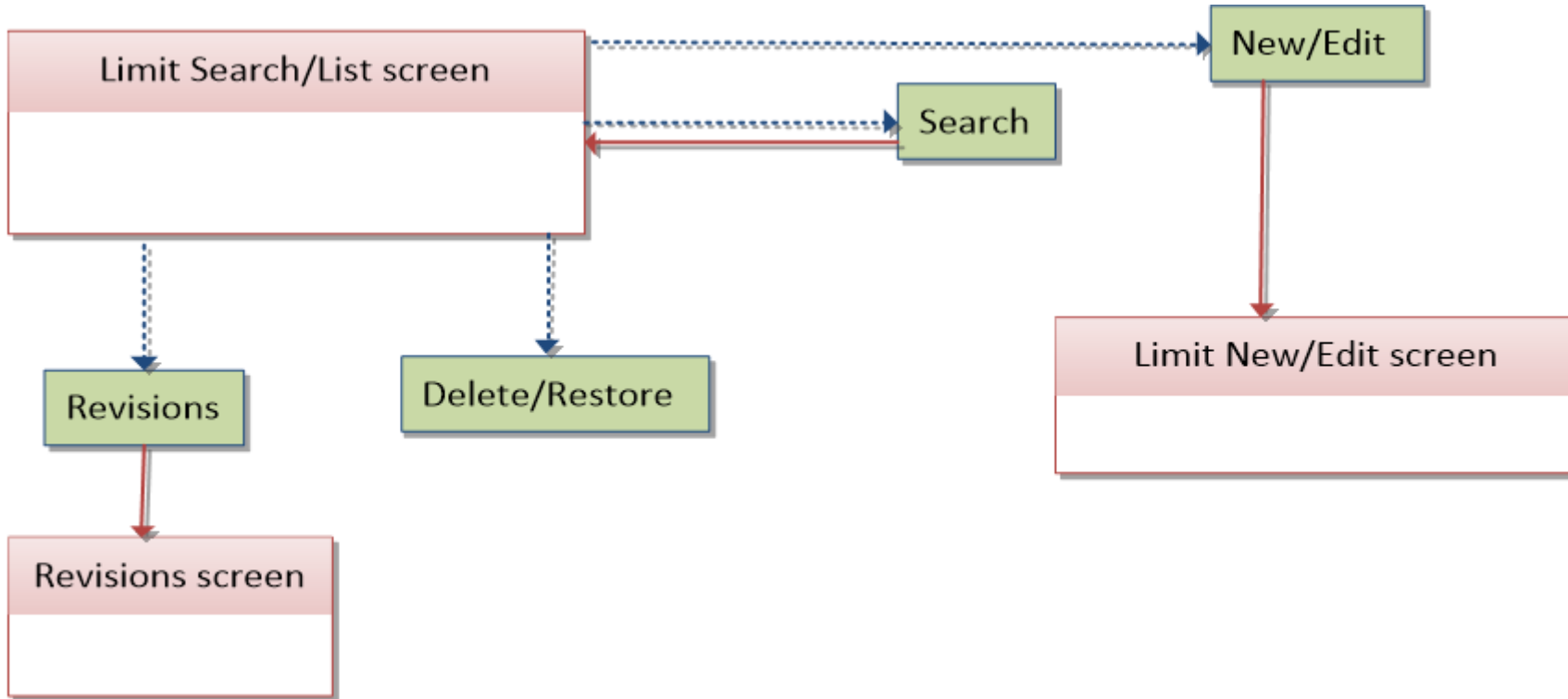


Illustration 543: {to be deleted due to split book approach}

6.3.8.32 ~~Market-Specific Attributes~~

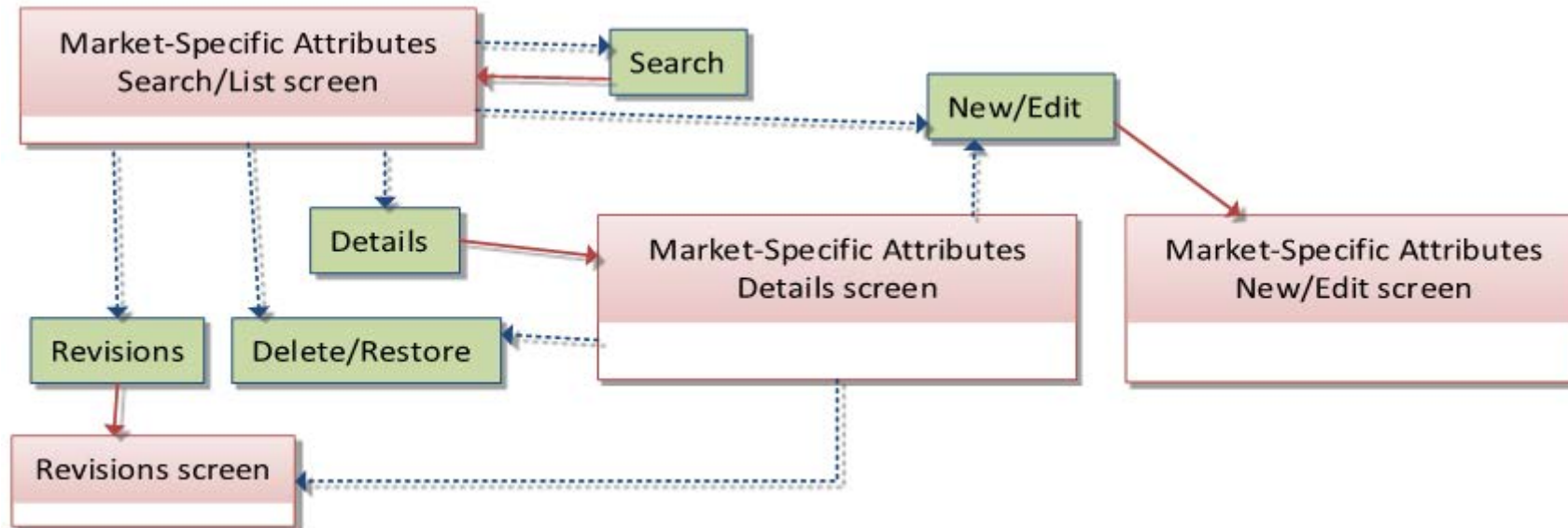


Illustration 544: {to be deleted due to split book approach}

6.3.8.33 Message Subscription Rule

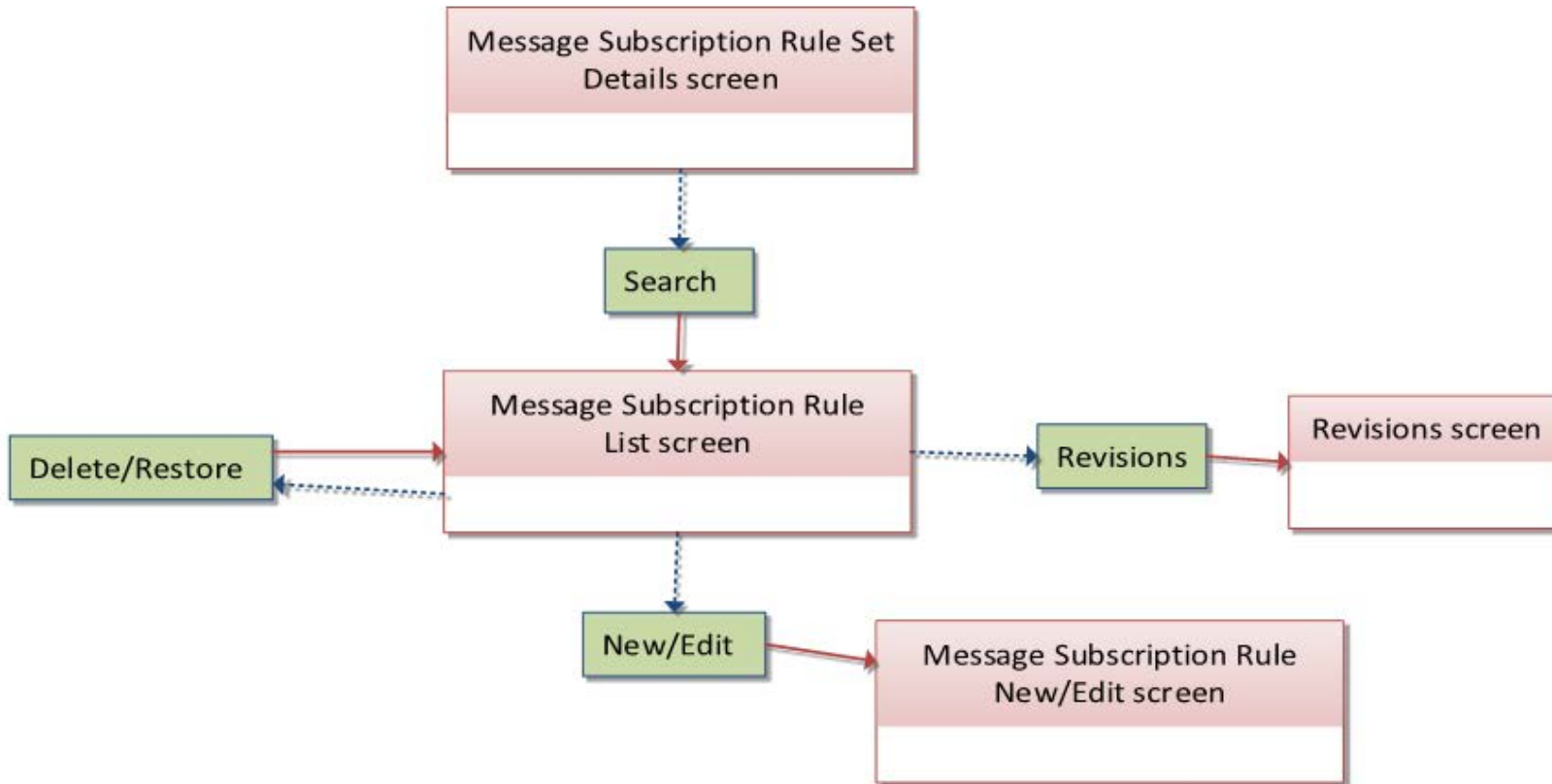


Illustration 545: {to be deleted due to split book approach}

6.3.8.34 Message Subscription Rule Sets

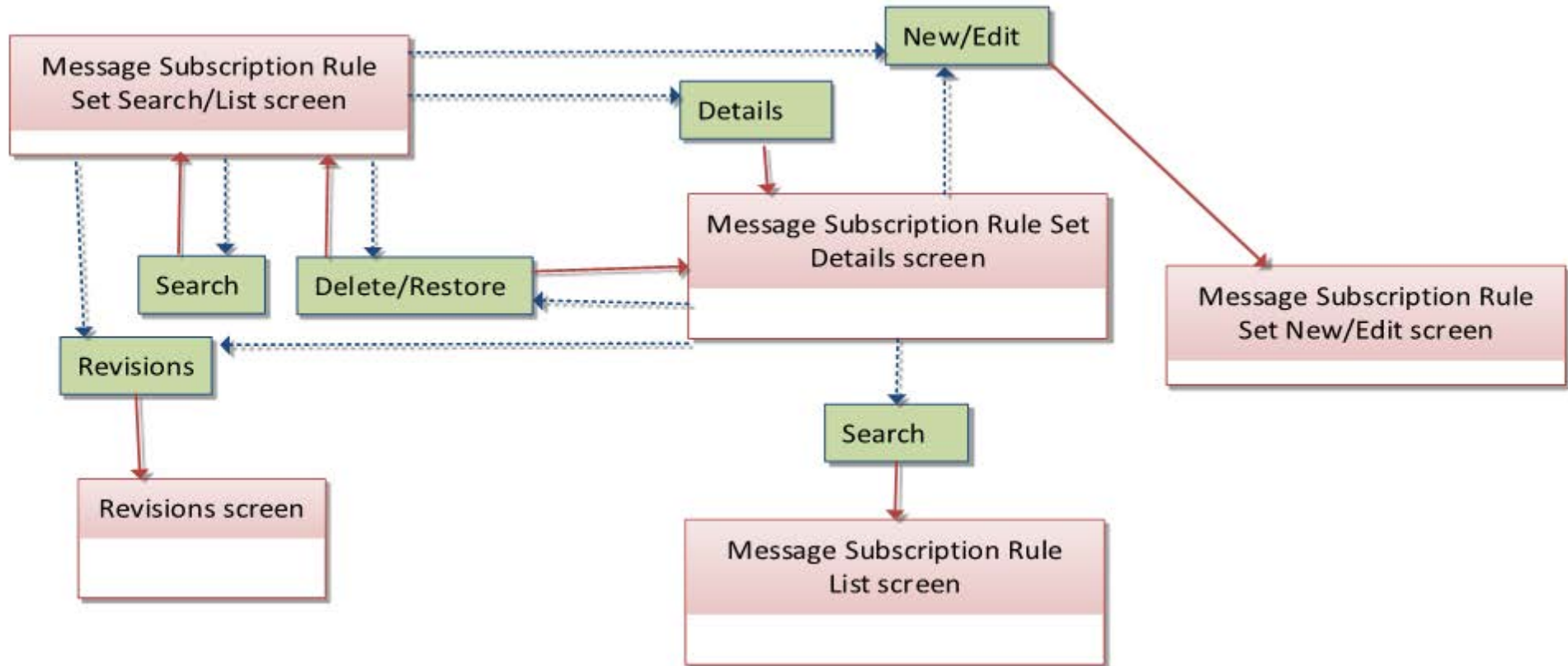


Illustration 546: {to be deleted due to split book approach}

6.3.8.35 Network Services

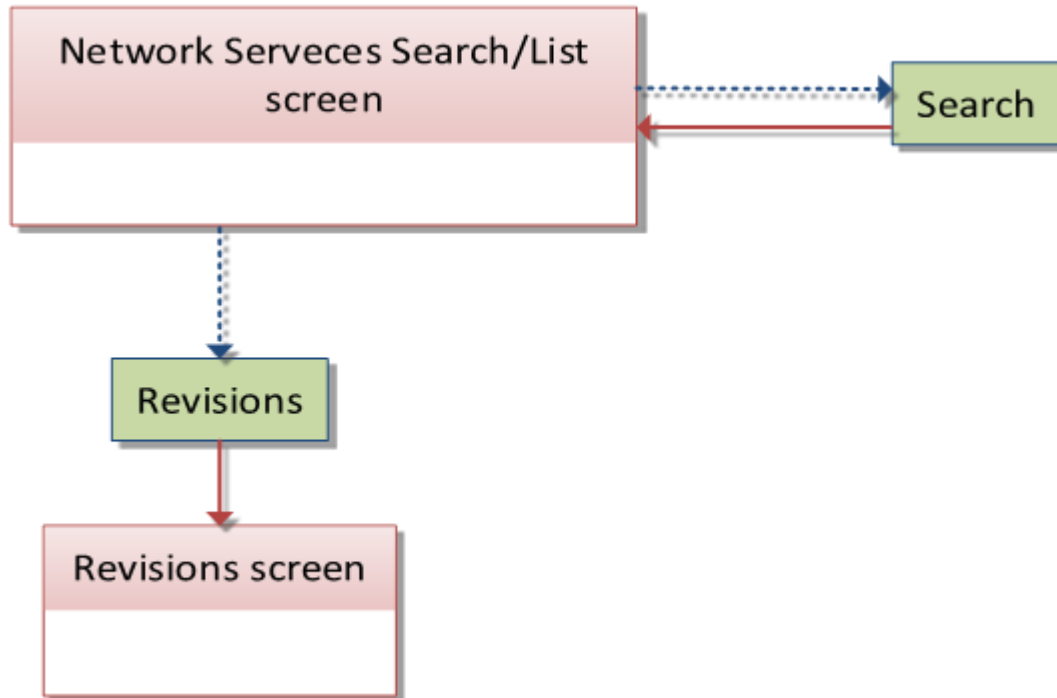


Illustration 547: {to be deleted due to split book approach}

6.3.8.36 Outbound Files

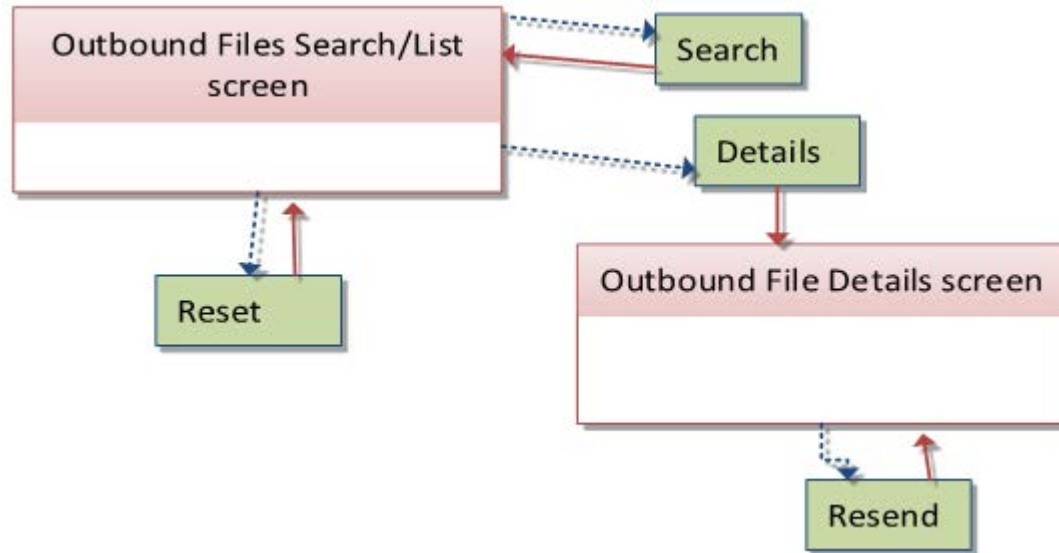


Illustration 548: {to be deleted due to split book approach}

6.3.8.37 ~~Outbound Messages~~

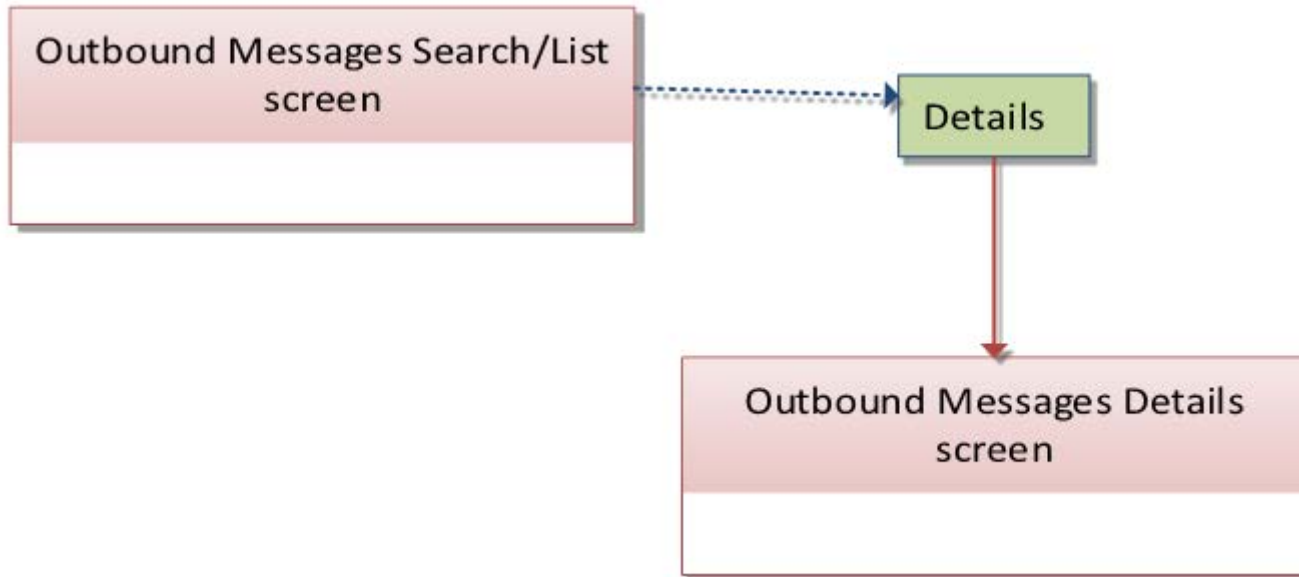


Illustration 549: {to be deleted due to split book approach}

6.3.8.38 Partial Settlement Thresholds

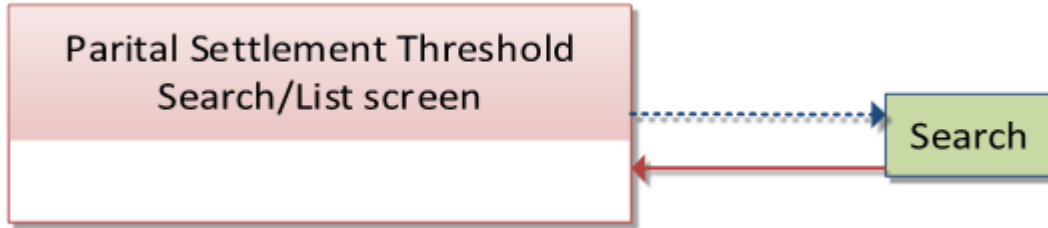


Illustration 550: {to be deleted due to split book approach}

6.3.8.39 Parties

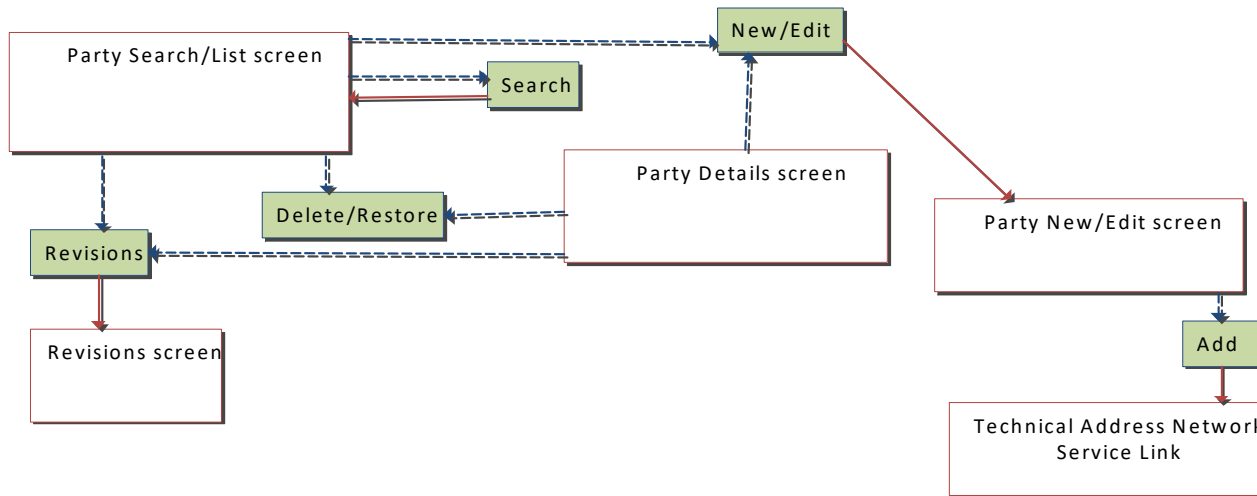


Illustration 551: {to be deleted due to split book approach}

6.3.8.40 Privileges

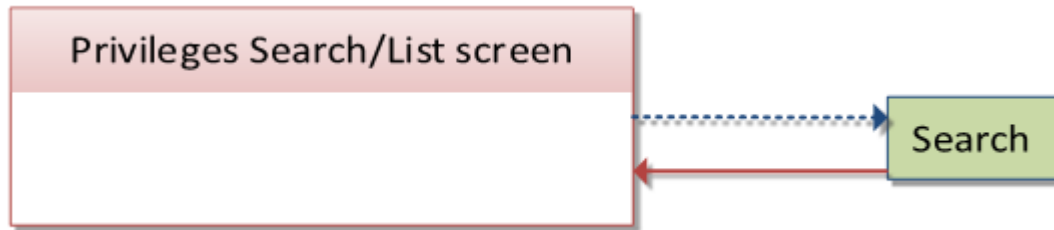


Illustration 552: {to be deleted due to split book approach}

6.3.8.41 Queued Data Changes

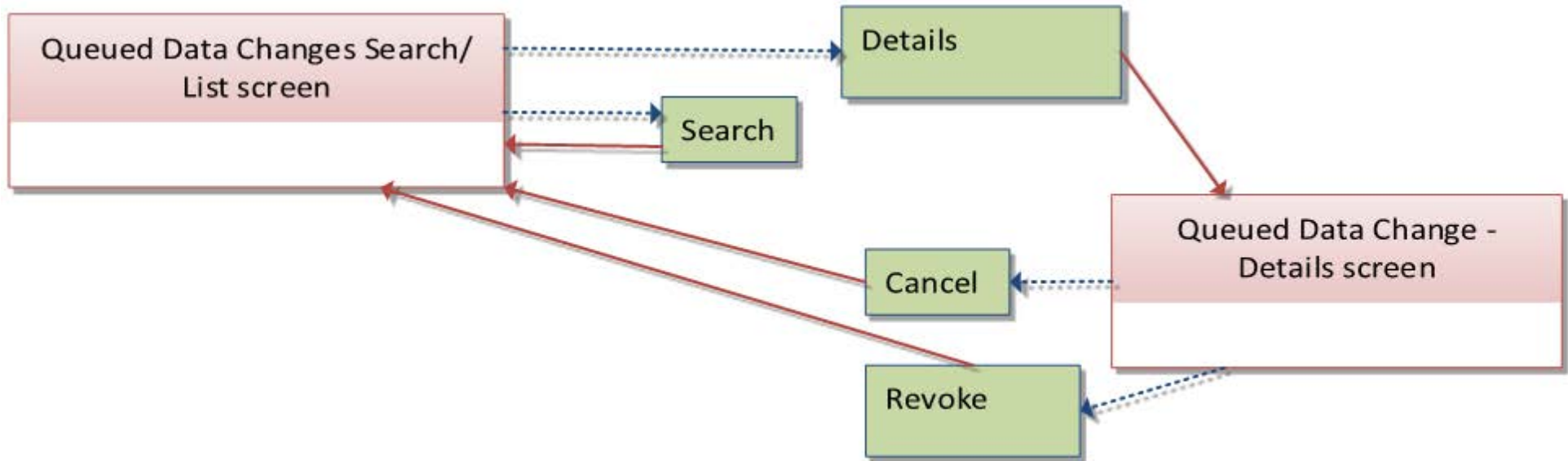


Illustration 553: {to be deleted due to split book approach}

6.3.8.42 Report Configurations

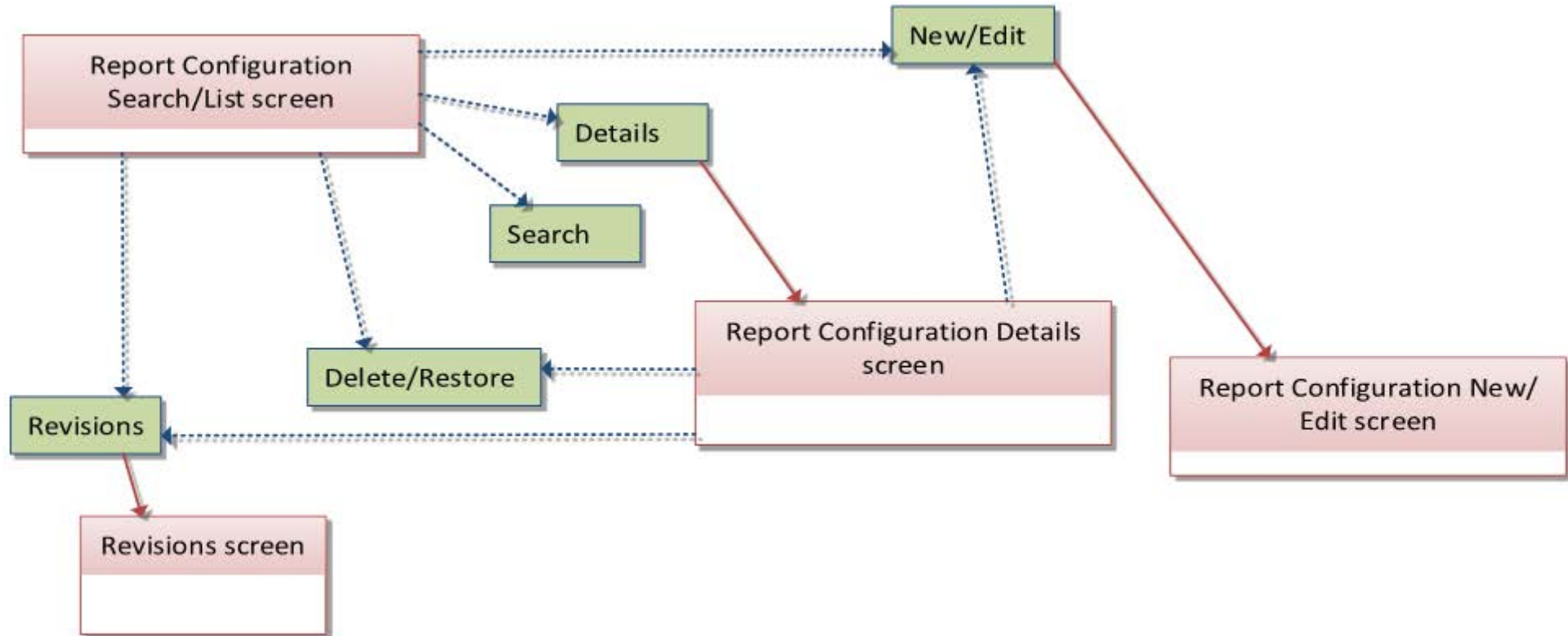


Illustration 554: {to be deleted due to split book approach}

6.3.8.43 Restricted Parties

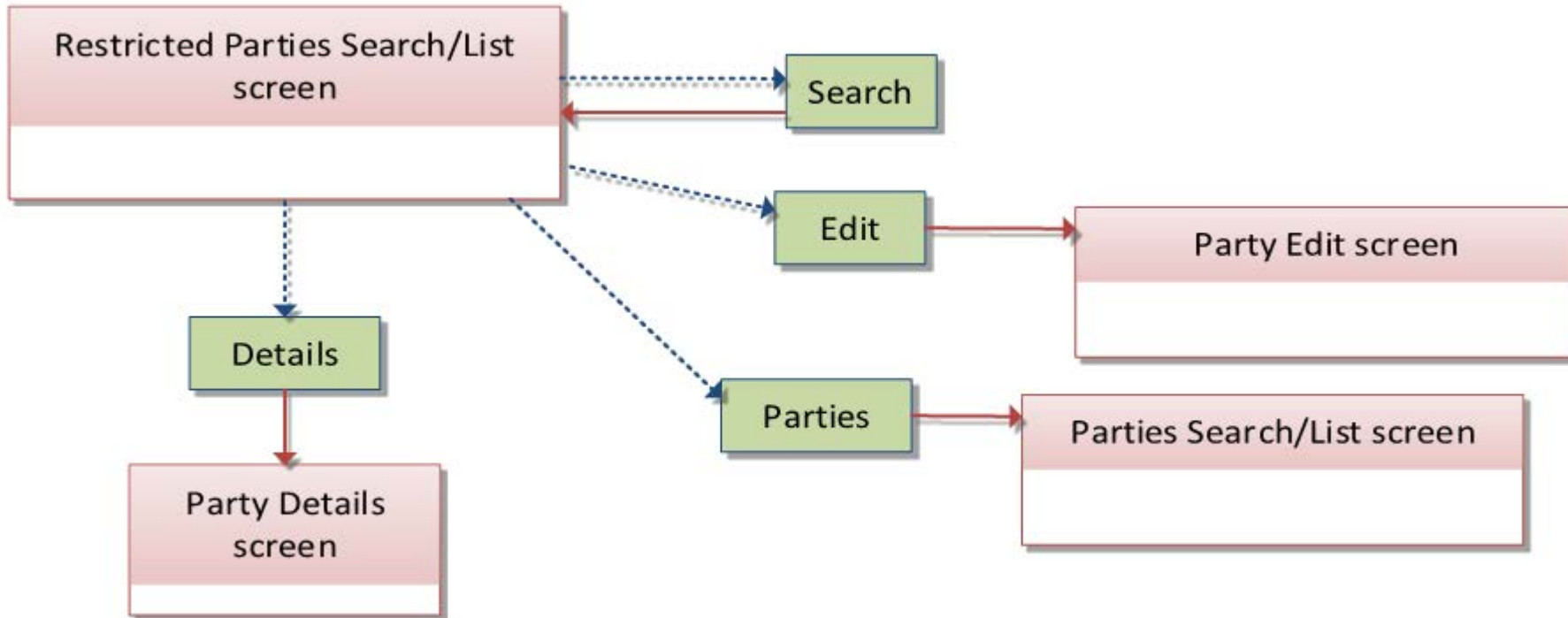


Illustration 555: {to be deleted due to split book approach}

6.3.8.44 Restriction Type Rule

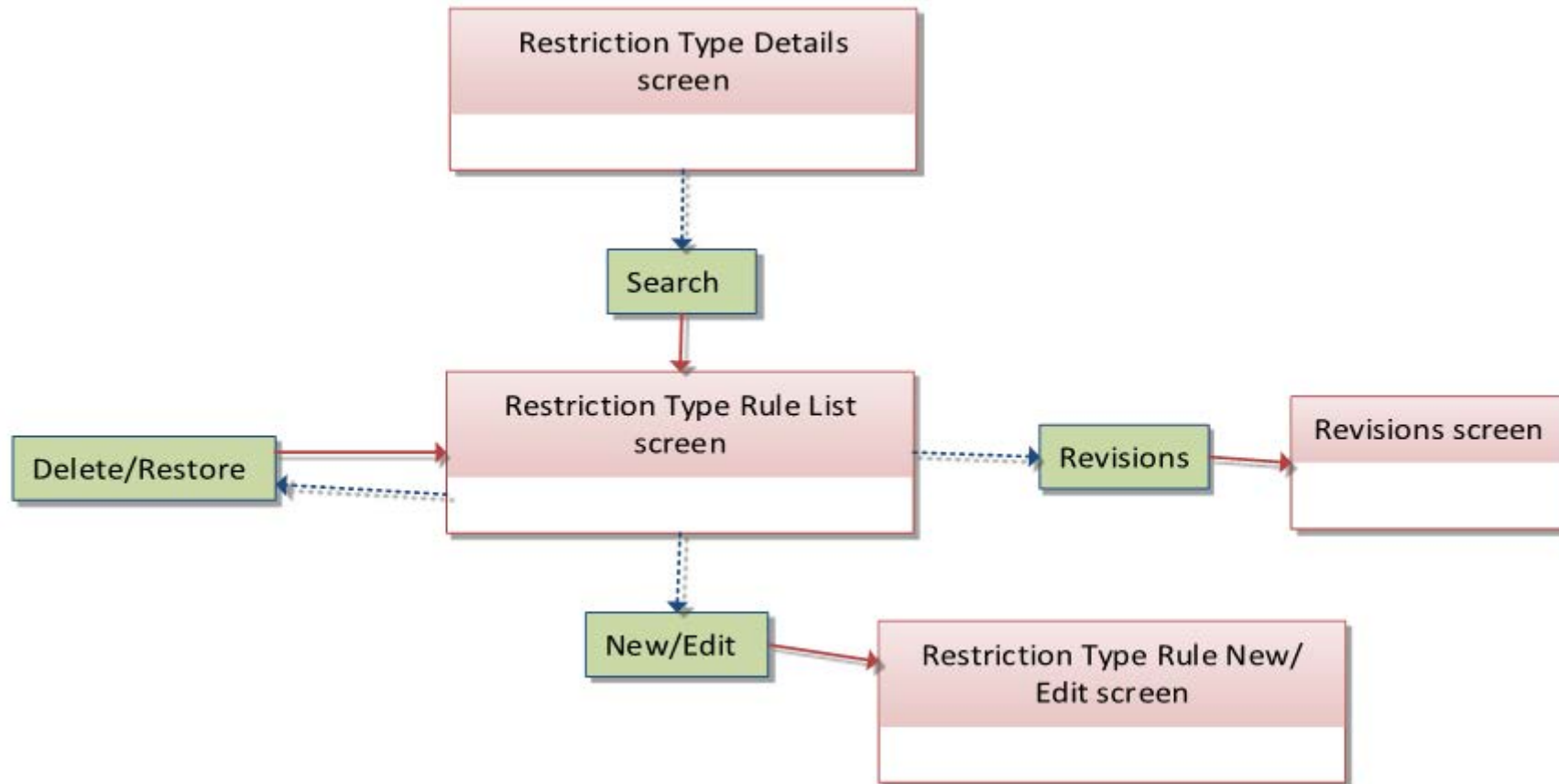


Illustration 556: {to be deleted due to split book approach}

6.3.8.45 Restriction Types

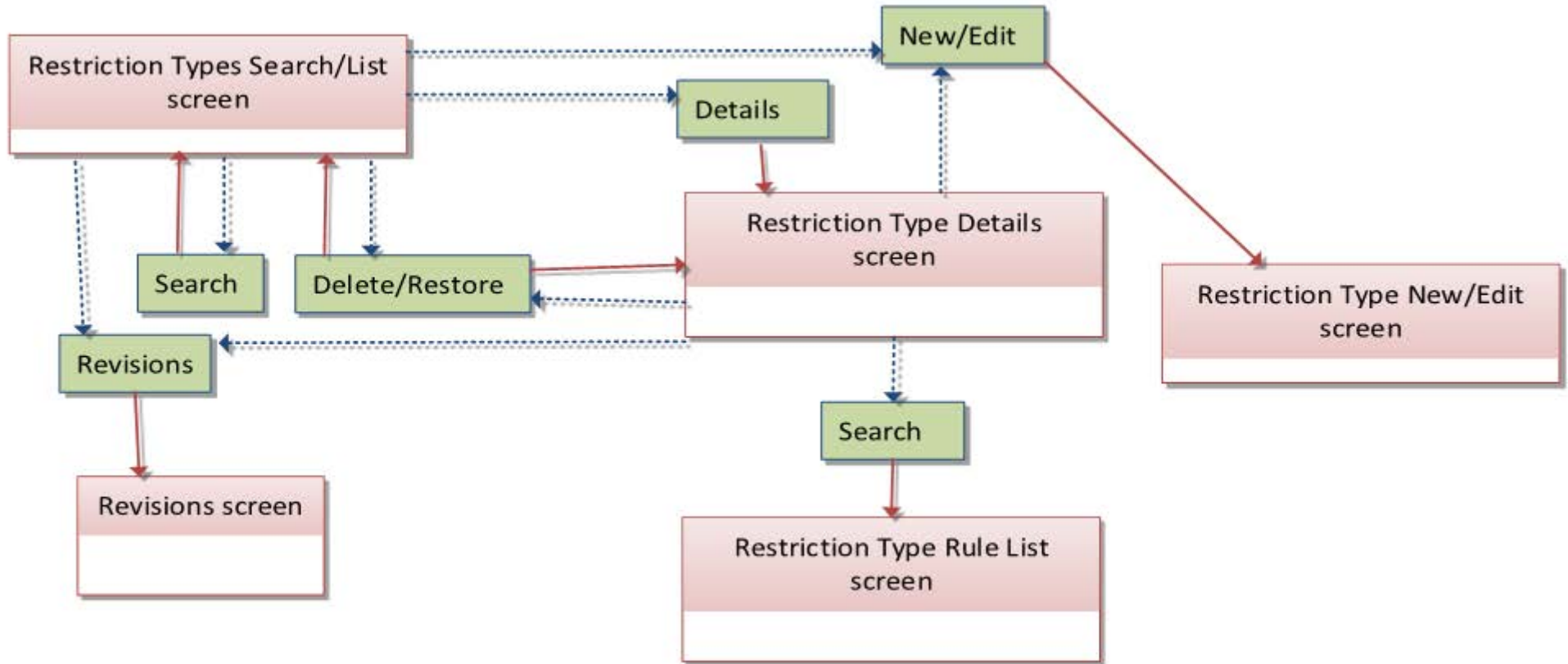


Illustration 557: {to be deleted due to split book approach}

~~6.3.8.46 Revisions/Audit Trail~~

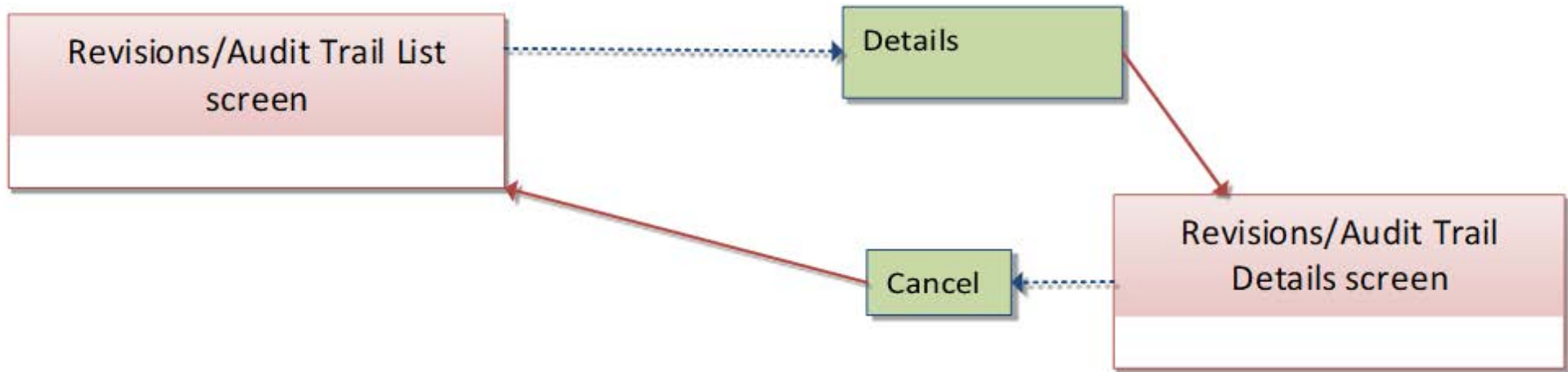


Illustration 558: {to be deleted due to split book approach}

~~6.3.8.47 Roles~~

~~See Grant/Revoke Roles~~ {Split book approach}

6.3.8.48 Routing

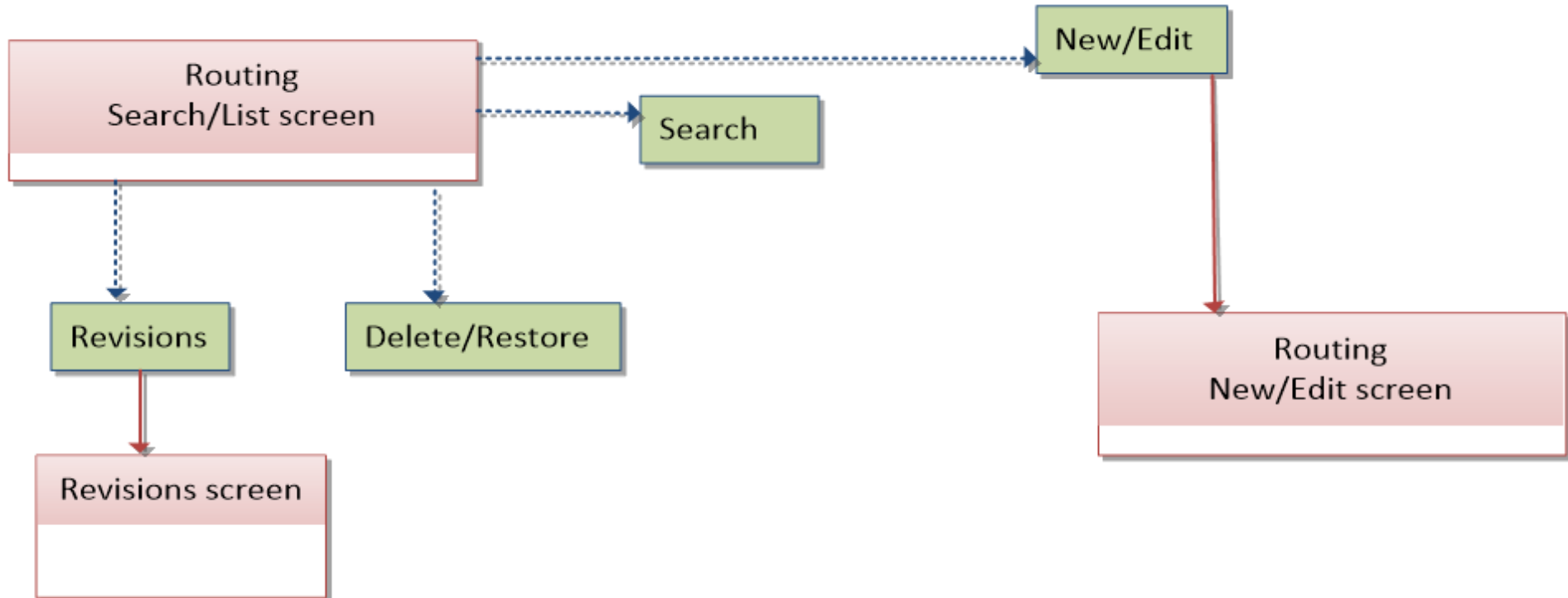


Illustration 559: {to be deleted due to split book approach}

6.3.8.49 Secured Groups

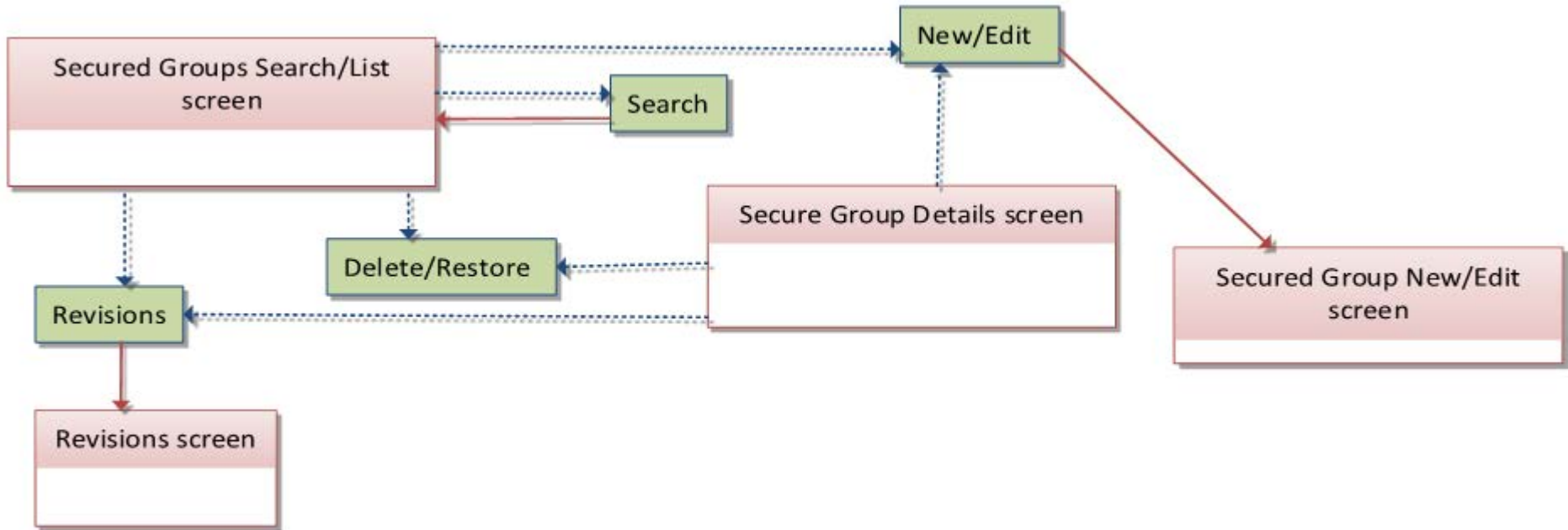


Illustration 560: {to be deleted due to split book approach}

6.3.8.50 Securities

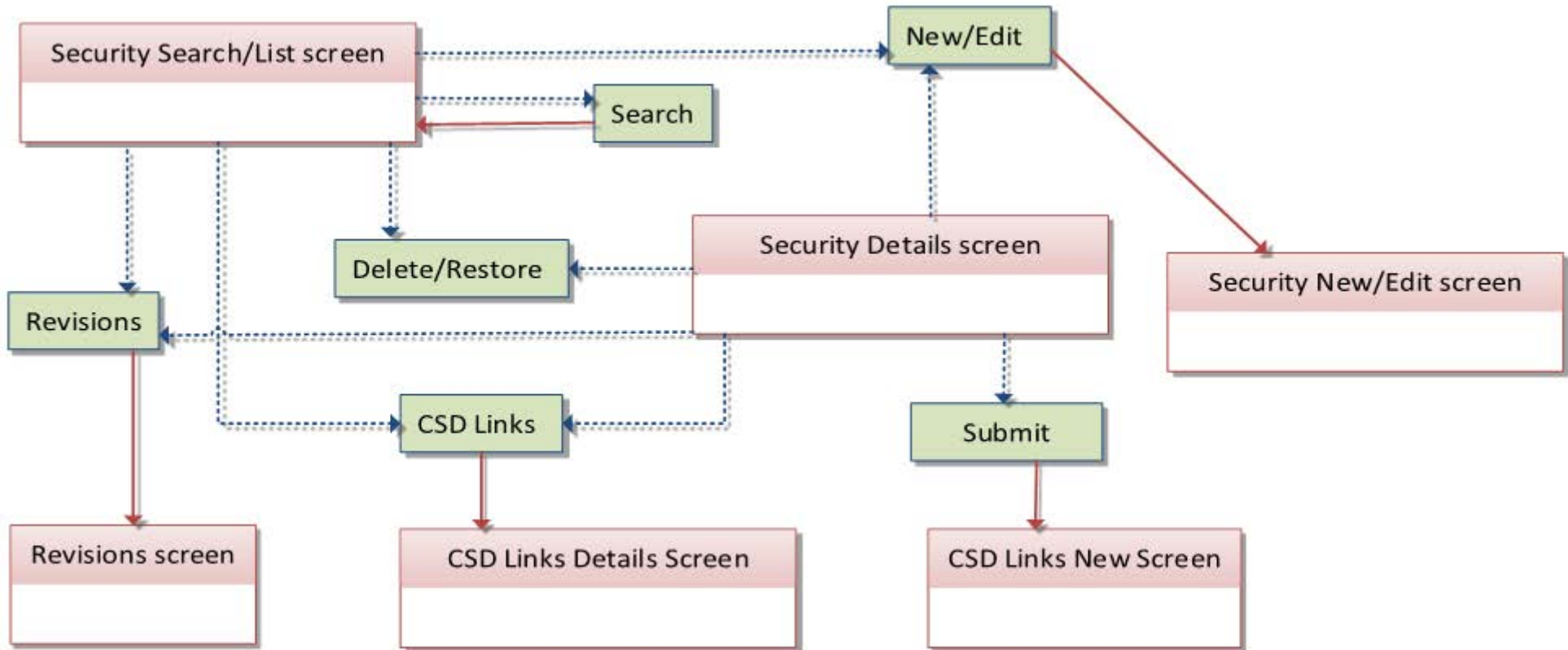


Illustration 561: {to be deleted due to split book approach}

6.3.8.52 ~~Securities Account Links~~

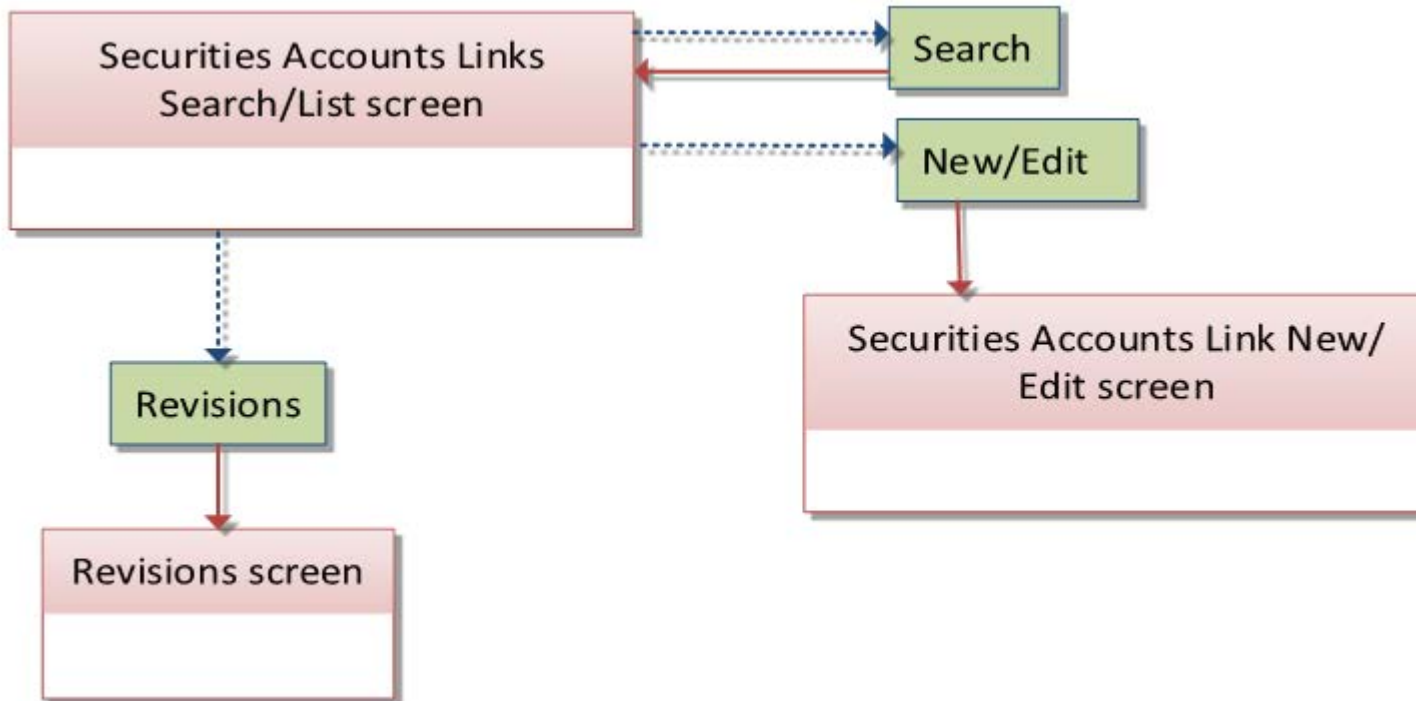


Illustration 563: {to be deleted due to split book approach}

6.3.8.53 ~~Securities subject to Cash Penalties~~

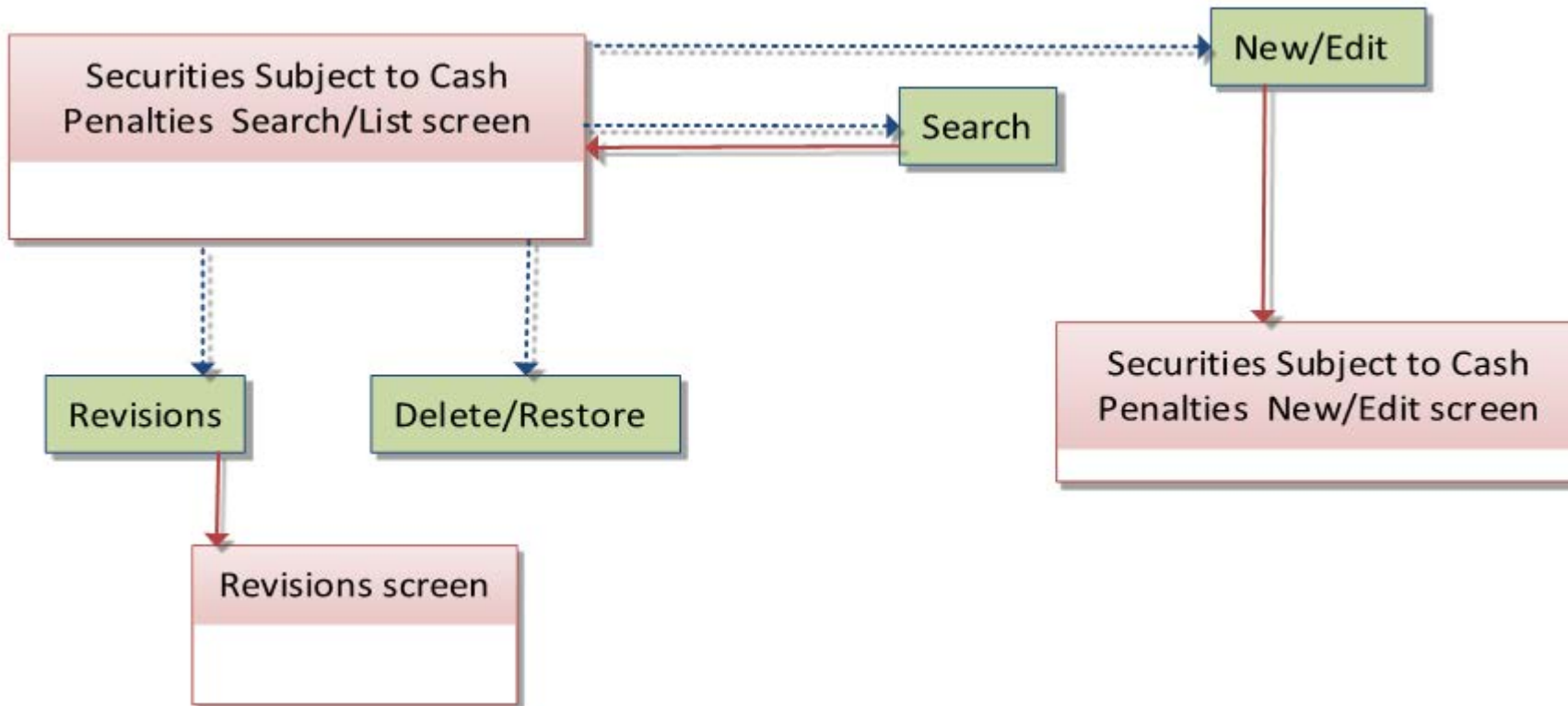


Illustration 564: {to be deleted due to split book approach}

6.3.8.54 ~~Securities Valuations~~

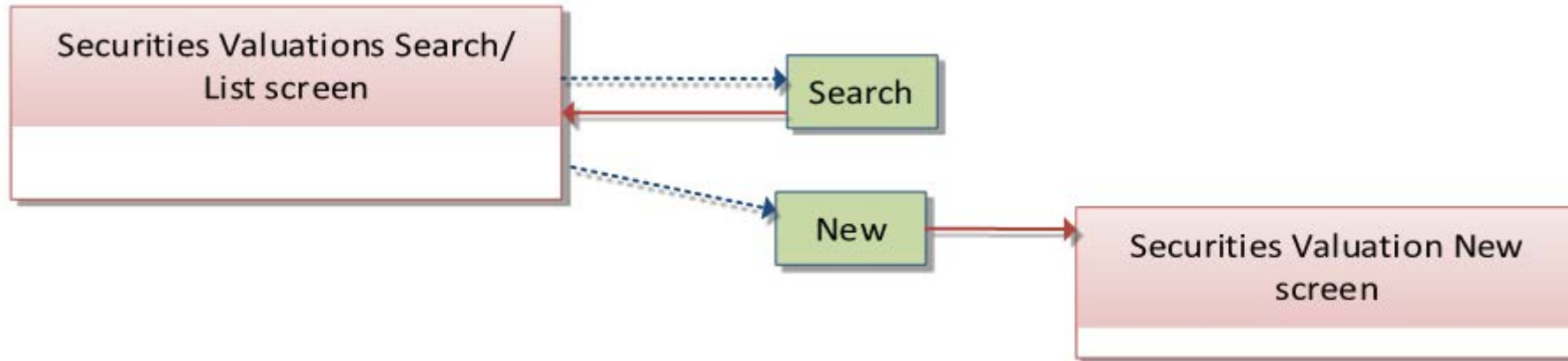


Illustration 565: {to be deleted due to split book approach}

6.3.8.55 Security CSD Links

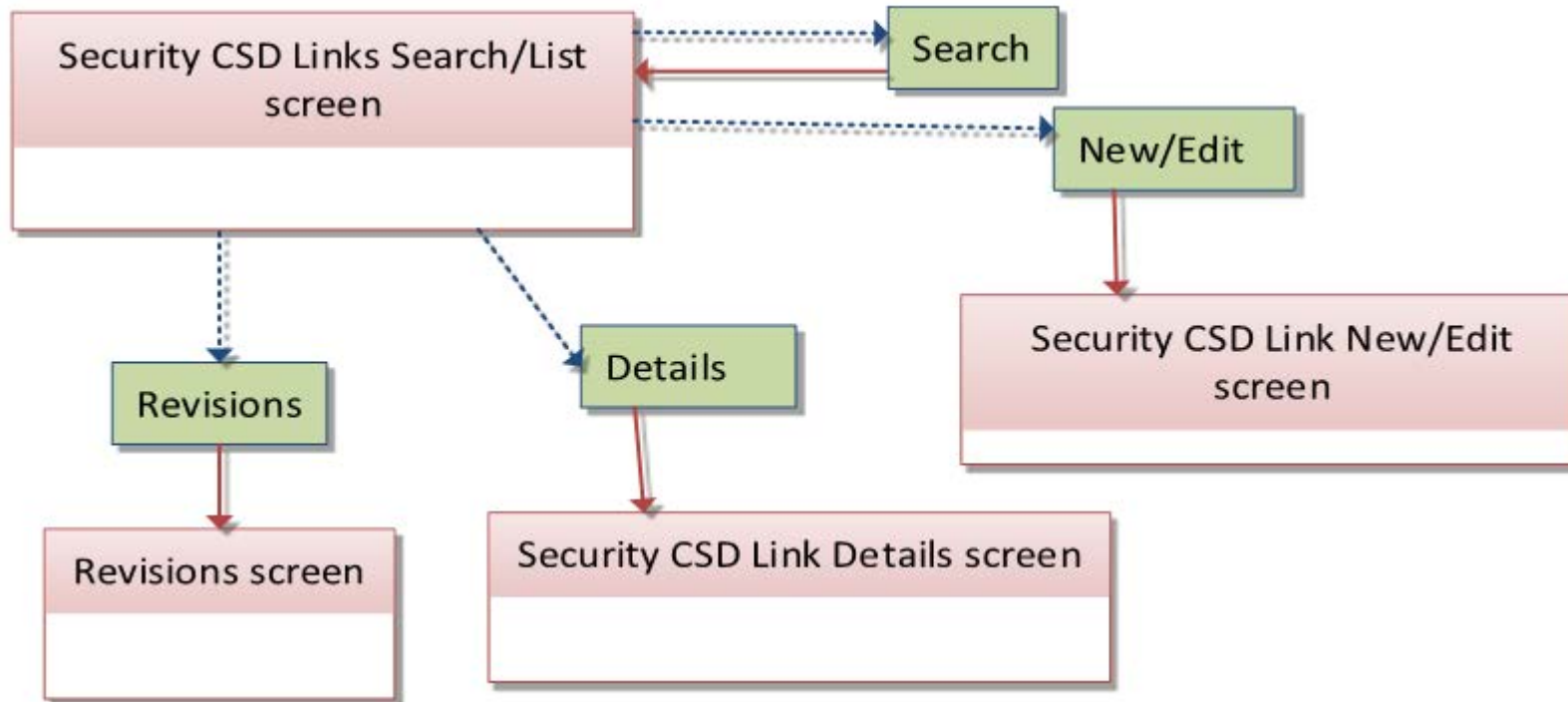


Illustration 566: {to be deleted due to split book approach}

6.3.8.56 Security Penalty Rate

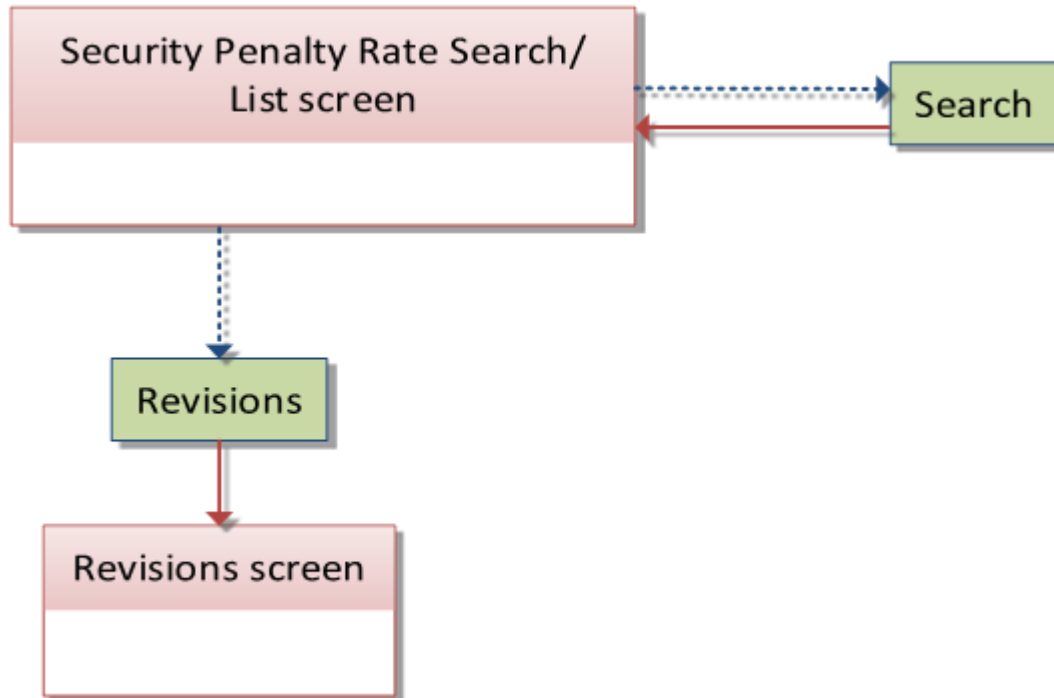


Illustration 567: {to be deleted due to split book approach}

6.3.8.57 Service Items

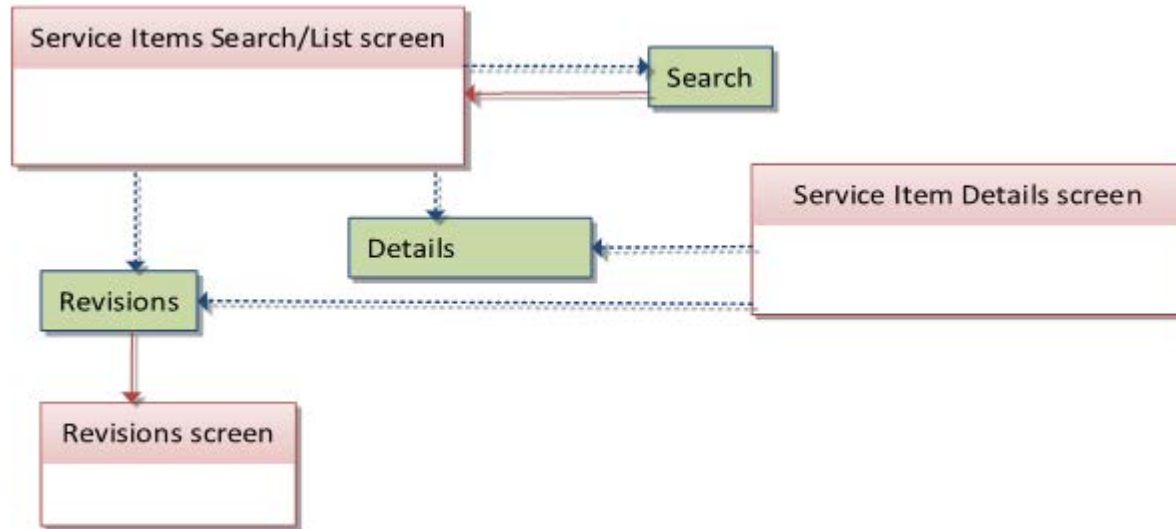


Illustration 568: {to be deleted due to split book approach}

6.3.8.58 SME Growth Market

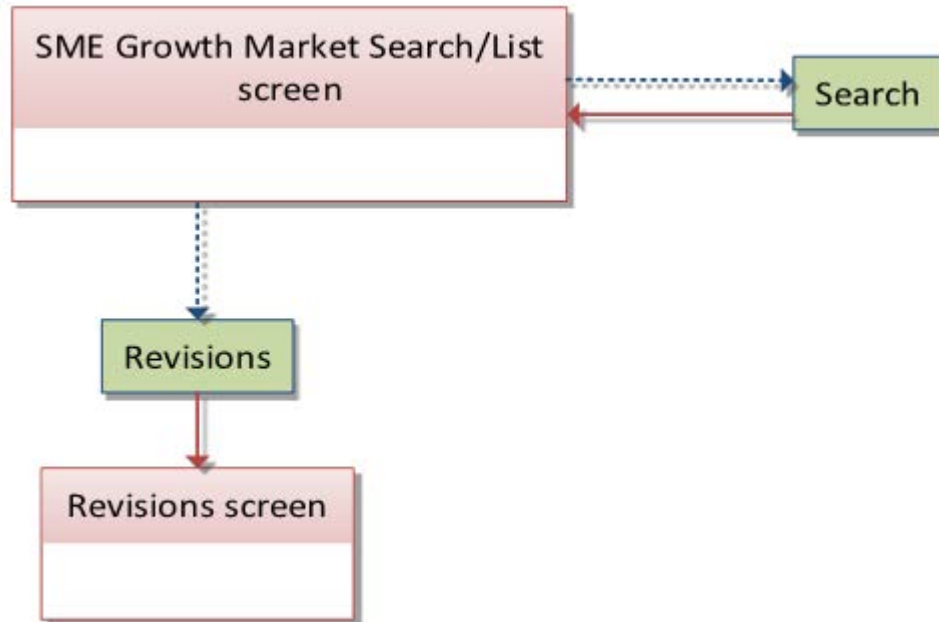


Illustration 569: {to be deleted due to split book approach}

6.3.8.59 ~~Standing/Predefined Liquidity Transfer Order Link Sets~~

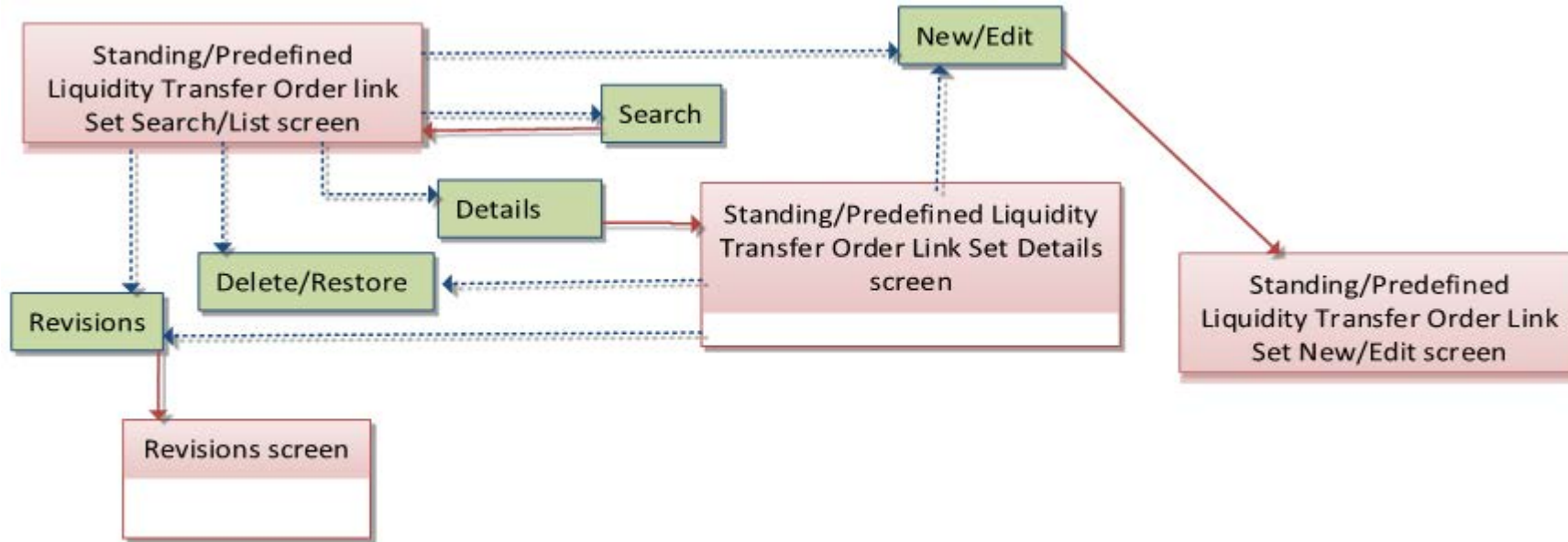


Illustration 570: {to be deleted due to split book approach}

6.3.8.60 ~~Standing/Predefined Liquidity Transfer Orders~~

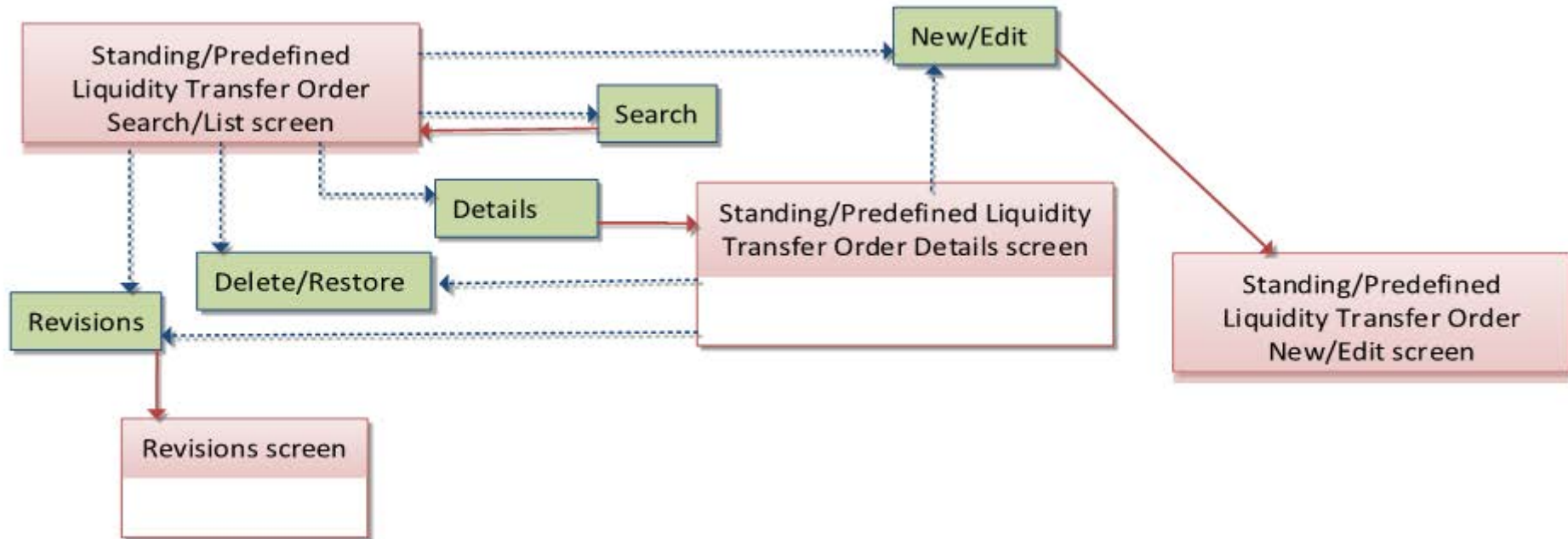


Illustration 571: {to be deleted due to split book approach}

6.3.8.61 System Entities

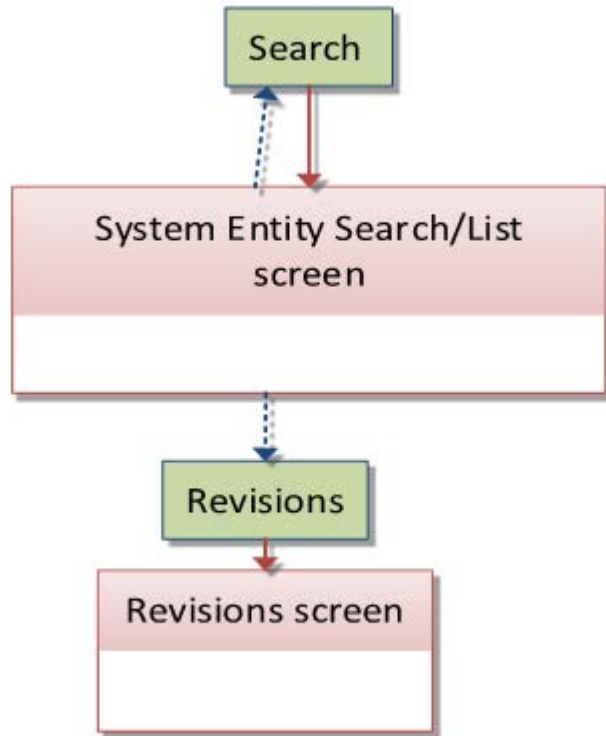


Illustration 572: {to be deleted due to split book approach}

6.3.8.62 ~~Technical Addresses Network Services Link~~

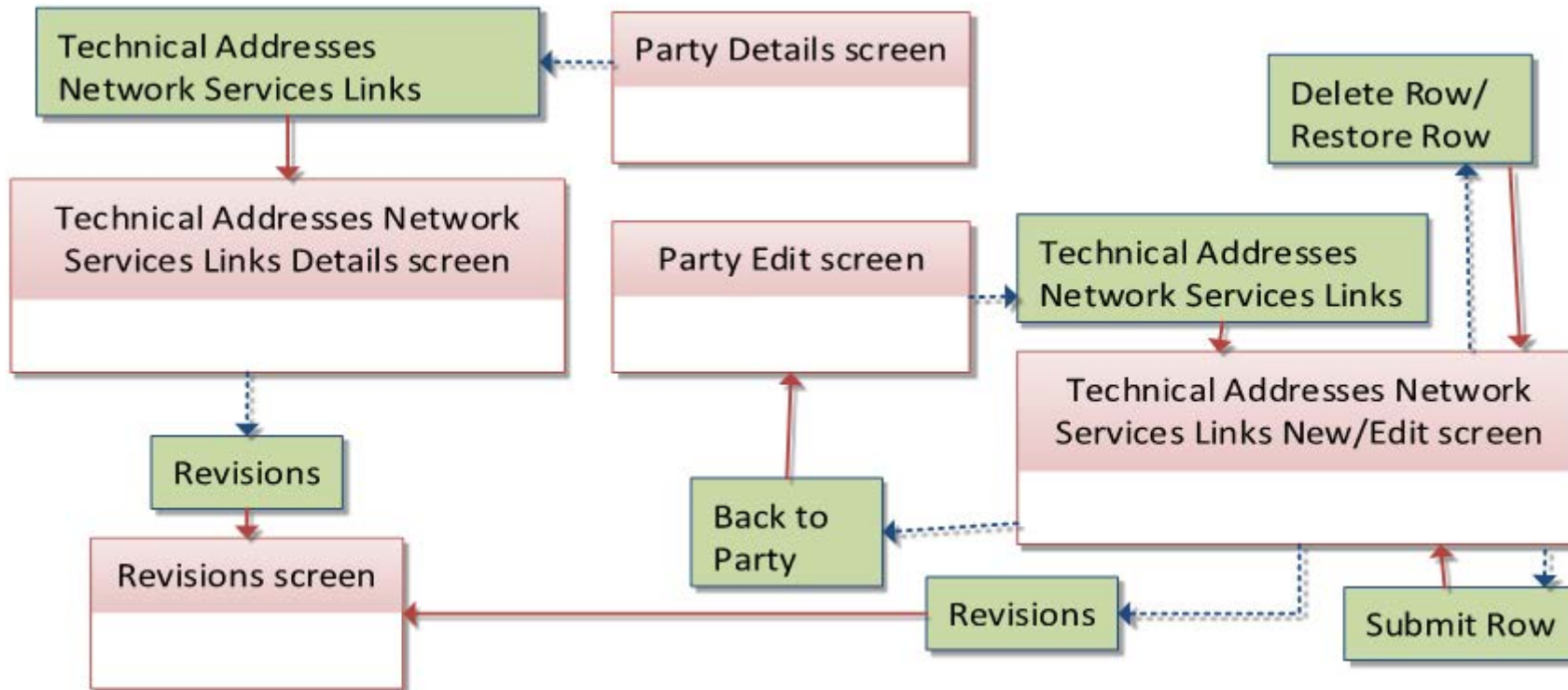


Illustration 573: {to be deleted due to split book approach}

6.3.8.63 Tolerance Amounts

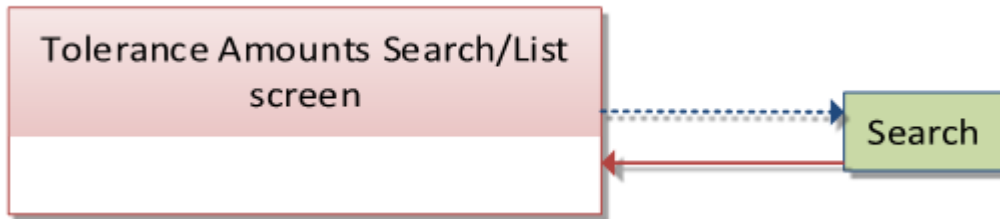


Illustration 574: {to be deleted due to split book approach}

6.3.8.64 User Access Rights

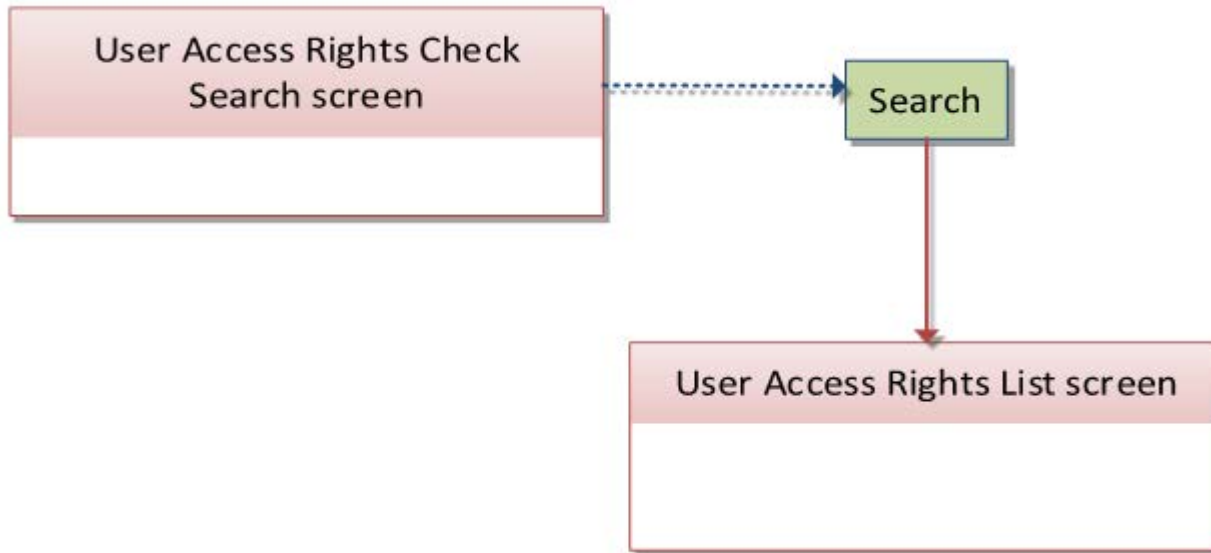


Illustration 575: {to be deleted due to split book approach}

6.3.8.65 ~~User Certificate Distinguished Name Links~~

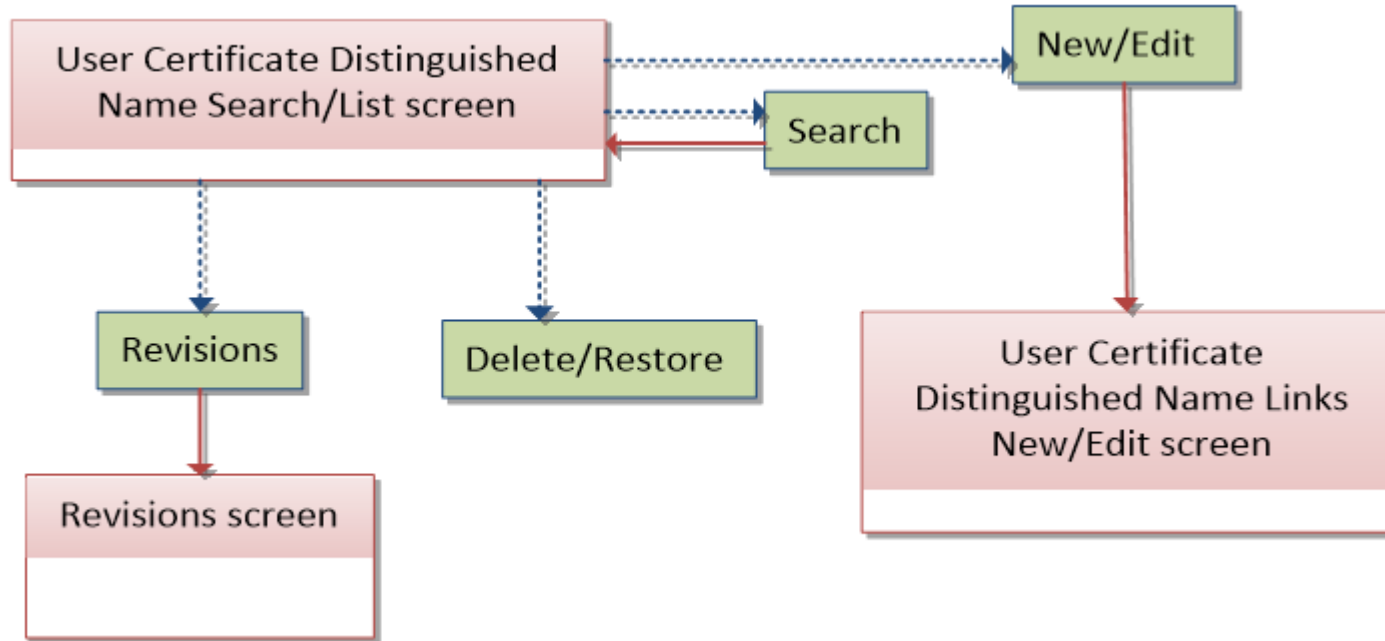


Illustration 576: {to be deleted due to split book approach}

6.3.8.66 Users

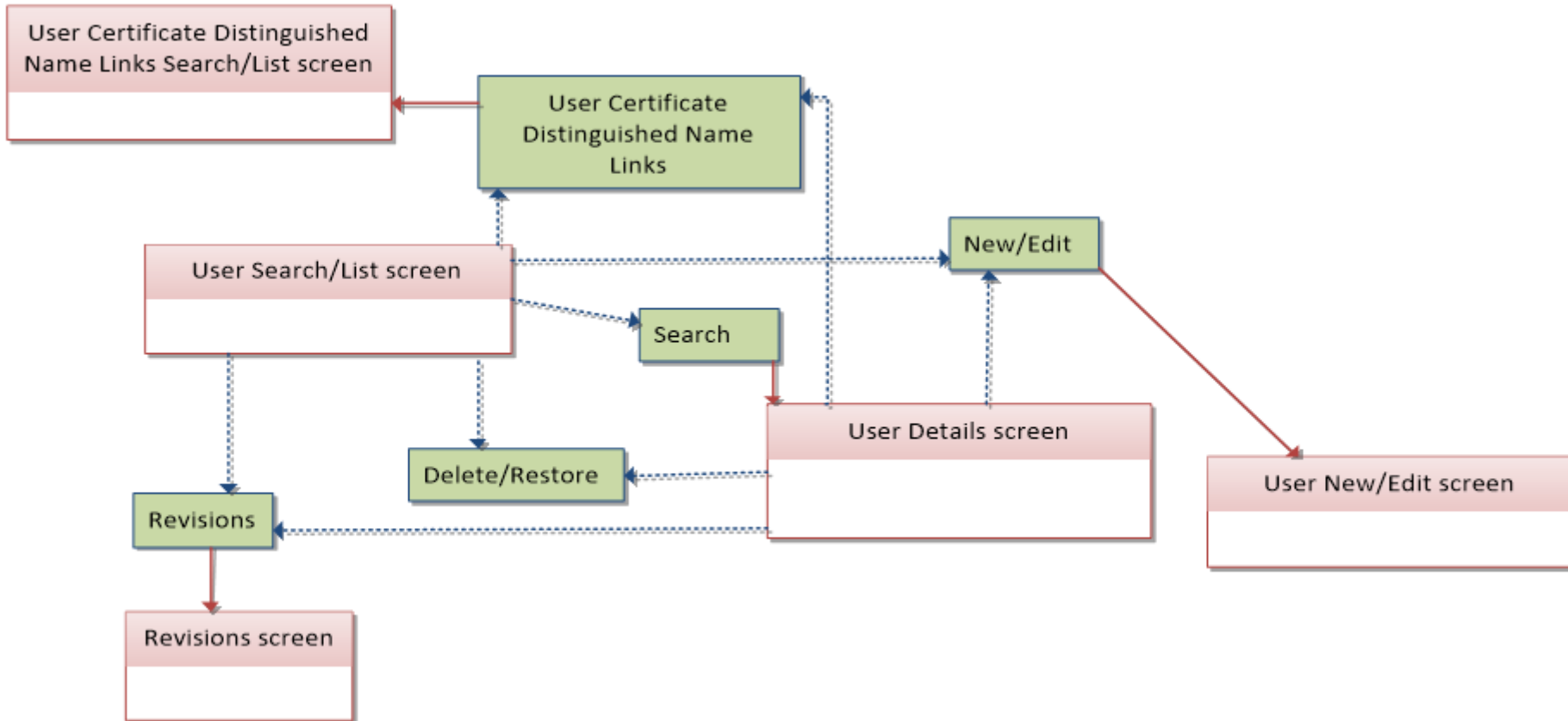


Illustration 577: {to be deleted due to split book approach}

6.4 List of Privileges

6.4.1 Reader's Guide

Reader's Guide

The list of privileges is divided for GUI screens. For GUI screens a list of privileges classes is included. Respectively, the list of privileges is structured in accordance with the alphabetical screen order and provides detailed information on privilege code, privilege type, object type and screen criteria related to each privilege.

6.4.2 Privilege Classes for GUI Screens

Class of Privileges	Privilege Name	Privilege Short Name
Access Rights Management	Create Privilege	ARM_CreatePrivilege
Access Rights Management	Update Privilege	ARM_UpdatePrivilege
Access Rights Management	Delete Privilege	ARM_DeletePrivilege
Access Rights Management	Grant Privilege	ARM_GrantPrivilege
Access Rights Management	Revoke Privilege	ARM_RevokePrivilege
Access Rights Management	Create Role	ARM_CreateRole
Access Rights Management	Update Role	ARM_UpdateRole
Access Rights Management	Delete Role	ARM_DeleteRole
Access Rights Management	Grant/Revoke Role	ARM_GrantRole
Access Rights Management	Create User	ARM_CreateUser
Access Rights Management	Update User	ARM_UpdateUser
Access Rights Management	Delete User	ARM_DeleteUser

Class of Privileges	Privilege Name	Privilege Short Name
Management		
Access Rights Management	Create Certificate Distinguish Name	ARM_CreateCertificateDN
Access Rights Management	Delete Certificate Distinguish Name	ARM_DeleteCertificateDN
Access Rights Management	Create User Certificate Distinguish Name Link	ARM_CreateUserCertificateDNLink
Access Rights Management	Delete User Certificate Distinguish Name Link	ARM_DeleteUserCertificateDNLink
Access Rights Management	Create Secured Group	ARM_CreateSecuredGroup
Access Rights Management	Update Secured Group	ARM_UpdateSecuredGroup
Access Rights Management	Delete Secured Group	ARM_DeleteSecuredGroup
Access Rights Management	Administer Party	ARM_AdministerParty
Access Rights Queries	Access Rights Query	ARQ_AccessRightsQuery
Access Rights Queries	Privilege Query	ARQ_PrivilegeQuery
Access Rights Queries	Role List Query	ARQ_RoleListQuery
Access Rights Queries	T2S System User Query (T2S Actor Query)	ARQ_T2SSysUserQueryT2SActorQuery
Access Rights Queries	Certificate Query	ARQ_CertificateDNQuery
Access Rights Queries	T2S System User Link Query	ARQ_UserCertificateDNLinkQuery
Access Rights Queries	Secured Group List Query	ARQ_SecuredGroupListQuery
Access Rights	Secured Group Details Query	ARQ_SecuredGroupDetailsQuery

Class of Privileges	Privilege Name	Privilege Short Name
Queries		
Access Rights Queries	Granted System Privileges List Query	ARQ_GrantedSysPrivilegesListQuery
Access Rights Queries	Granted Object Privileges List Query	ARQ_GrantObjectPrivilegesListQuery
Access Rights Queries	Granted Roles List Query	ARQ_Granted-RolesListQuery
Billing	Edit Invoice Data Configuration (update function)	BIL_NewInvoiceData-Config(update)
Billing	Invoice Processing Request – Cancellation	BIL_InvoiceProcessingReq-Cancellat
Billing	Invoice Processing Request – Confirmation	BIL_InvoiceProcessingReq-Confirmat
Billing	Invoice Processing Request – Generation	BIL_InvoiceProcessingReq-Generation
Billing	New Invoice Data Configuration (create function)	BIL_NewInvoiceData-Config(create)
Billing Configuration	Create Service Item	BIC_CreateServiceItem
Billing Configuration	Update Service Item	BIC_UpdateServiceItem
Billing Configuration	Delete Service Item	BIC_DeleteServiceItem
Billing Queries	PDF Invoice List Query	BIQ_PDFInvoiceListQuery
Cash Management	Amend Process Indicator Settlement Restriction on Cash on a Dedicated Cash Account	CAM_ASRCA
Cash Management	Cancel Settlement Restriction on Cash on a Dedicated Cash Account	CAM_CSRCA
Cash Management	Send New Liquidity Transfer	CAM_Send New Liquidity Transfer
Cash Management	Send New Settlement Restriction on Cash on a Dedicated Cash Account	CAM_SNDRC

Class of Privileges	Privilege Name	Privilege Short Name
Cash Management	Unblock CoSD Cash Blocking related to cancelled CoSD Settlement Instructions on Behalf of the relevant CSD in T2S	CAM_UCBBI
Dynamic Data Queries	Settlement Instruction Query	DDQ_SettlInstructQuery
Dynamic Data Queries	Settlement Instruction Query for possible restore actions	DDQ_SettlInstrQueryRestore
Dynamic Data Queries	Settlement Instruction Current Status Query	DDQ_SettlInstructCurrentStatusQuery
Dynamic Data Queries	Settlement Instruction Status Audit Trail Query	DDQ_SettlInstruct-StatusAuditTrailQu
Dynamic Data Queries	Settlement Instruction Audit Trail Query	DDQ_SettlInstructAudit-TrailQuery
Dynamic Data Queries	Securities Position Detailed Restriction Details Query	DDQ_SecurPosDetailedRestrDetailsQue
Dynamic Data Queries	Securities Posting Query	DDQ_SecuritiesPostingQuery
Dynamic Data Queries	Securities Account Position Query	DDQ_SecuritiesAccountPositionQuery
Dynamic Data Queries	Maintenance for Intra-Position Movements and Settlement Instructions Query	DDQ_MaintForIntra-PosMov+SettlInstrQ
Dynamic Data Queries	Amendment Instruction Query for Intra-Position Movements and Settlement Instructions	DDQ_AmendInstrQIntraPosMov+SetInstr
Dynamic Data Queries	Intra-Position Movements Query	DDQ_IntraPos-MovQuery
Dynamic Data Queries	Intra-Position Movements Query for possible restore actions	DDQ_Intra-PosQueryRestore

Class of Privileges	Privilege Name	Privilege Short Name
Dynamic Data Queries	Intra-Balance Movements Query for possible restore actions	DDQ_Intra-BalQueryRestore
Dynamic Data Queries	Allegement Query	DDQ_AllegementQuery
Dynamic Data Queries	Inbound Message List Query	DDQ_InboundMess-ListQuery
Dynamic Data Queries	Inbound Message Details Query	DDQ_InboundMess-DetailsQuery
Dynamic Data Queries	Outbound Message List Query	DDQ_OutboundMess-ListQuery
Dynamic Data Queries	Outbound Message Details Query	DDQ_OutboundMess-DetailsQuery
Dynamic Data Queries	Inbound Files List Query	DDQ_Inbound-FilesListQuery
Dynamic Data Queries	Inbound Files Details Query	DDQ_InboundFilesDe-tailsQuery
Dynamic Data Queries	Outbound Files List Query	DDQ_Outbound-FilesListQuery
Dynamic Data Queries	Outbound Files Details Query	DDQ_OutboundFiles-DetailsQuery
Dynamic Data Queries	Data Changes of a Business Object List Query	DDQ_DataChan-Busi-nessObjListQuery
Dynamic Data Queries	Data Changes of a Business Object Details Query	DDQ_DataChan-Busi-nessObjDetailQuery
Dynamic Data Queries	Intra-Positon Movement Audit Trail List Query	DDQ_IntraPos-MovAuditTrail-ListQuery
Dynamic Data Queries	Intra-Position Movement Audit Trail Details Query	DDQ_IntraPos-MovAuditTrailDe-tQuery
Dynamic Data Queries	Hold/Release Instruction Audit Trail List Query	DDQ_HoldReleIn-strAuditTrailLisQuery

Class of Privileges	Privilege Name	Privilege Short Name
Dynamic Data Queries	Hold/Release Instruction Audit Trail Details Query	DDQ_HoldReleInstrAuditTrailDetQuery
Dynamic Data Queries	Amendment Instruction for Intra-Position Movement or Settlement Instruction Audit Trail List Query	DDQ_AmdInsIntrPosMovSetInsAudTrLisQ
Dynamic Data Queries	Amendment Instruction for Intra-Position Movement or Settlement Instruction Audit Trail Details Query	DDQ_AmdInsIntrPosMovSetInsAudTrDetQ
Dynamic Data Queries	Cancellation Instruction for Intra-Balance Movement Audit Trail Details Query	DDQ_CanlInstrIntraBalanMovAudTrDetQ
Dynamic Data Queries	Cancellation Instruction for Intra-Balance Movement Audit Trail List Query	DDQ_CanlInstrIntraBalanMovAudTrLisQ
Dynamic Data Queries	Cancellation Instruction for Intra-Position Movement or Settlement Instruction Audit Trail List Query	DDQ_CanlInstrIntraPosMovAudTrLisQ
Dynamic Data Queries	Cancellation Instruction for Intra-Position Movement or Settlement Instruction Audit Trail Details Query	DDQ_CanlInstrIntraPosMovAudTrDetQ
Dynamic Data Queries	Cancellation Instruction Query for Intra-position Movements and Settlement Instructions	DDQ_CanlInstrForSI+IntraPosMovQuery
Dynamic Data Queries	Broadcast Query	DDQ_BroadcastQuery
Dynamic Data Queries	Amendment Instruction for Intra-Balance Movement Audit Trail Details Query	DDQ_AmdInstrForIntraBalMovAudTrDetQ
Dynamic Data Queries	Amendment Instruction for Intra-Balance Movement Audit Trail List Query	DDQ_AmdInstrForIntraBalMovAudTrLisQ

Class of Privileges	Privilege Name	Privilege Short Name
Dynamic Data Queries	Amendment Instruction Query for Intra-Balance Movements	DDQ_AmendInstrQueryForIntraBal-anMo
Dynamic Data Queries	Cancellation Instructions for Intra-Balance Movements Query	DDQ_CancInstructIntraBalanMovQuery
Dynamic Data Queries	Cash Forecast Query	DDQ_CashForecastQuery
Dynamic Data Queries	Collateral Value of a Security Query	DDQ_CollatValue-of-SecurityQuery
Dynamic Data Queries	Collateral Value per T2S Dedicated Cash Account Query	DDQ_CollatValuePer-T2SDCAQuery
Dynamic Data Queries	Immediate Liquidity Transfer Order Audit Trail Details Query	DDQ_ImmedLiquidityTransOrderAuditTrailQuery
Dynamic Data Queries	Immediate Liquidity Transfer Order Audit Trail List Query	DDQ_ImmedLiquidityTransOrderAuditTrailListQuery
Dynamic Data Queries	Immediate Liquidity Transfer Order Detail Query	DDQ_ImmedLiquidityTransOrderDetailQuery
Dynamic Data Queries	Immediate Liquidity Transfer Order List Query	DDQ_ImmedLiquidityTransOrderListQuery
Dynamic Data Queries	Intra-Balance Movement Audit Trail Detail Query	DDQ_IntraBalanMovAuditTrailDetailQuery
Dynamic Data Queries	Intra-Balance Movement Audit Trail List Query	DDQ_IntraBalanMovAuditTrailListQuery
Dynamic Data Queries	Intra-Balance Movements Query	DDQ_IntraBalanMovQuery
Dynamic Data Queries	Limit Utilisation Journal Query	DDQ_LimitUtilisation-JournalQuery
Dynamic Data Queries	Limit Utilisation Query	DDQ_LimitUtilisation-Query

Class of Privileges	Privilege Name	Privilege Short Name
Dynamic Data Queries	Maintenance Instruction for Intra-Balance Movements Query	DDQ_MaintInstrForIntraBalanMovQuer
Dynamic Data Queries	Outstanding Auto-Collateralisation Credit Query	DDQ_OutstandAutoCollCreditQuery
Dynamic Data Queries	T2S Dedicated Cash Account Balance Detailed Restrictions Query	DDQ_T2SDCABalAnDetailedRestrictionQuery
Dynamic Data Queries	T2S Dedicated Cash Account Balance Query	DDQ_T2SDedicatedCashAccBalanceQuery
Dynamic Data Queries	T2S Dedicated Cash Account Posting Query	DDQ_T2SDedicatedCashAccPostingQuery
Dynamic Data Queries	T2S Overall Liquidity Query	DDQ_T2SOverallLiquidityQuery
Dynamic Data Queries	Total Collateral Value per T2S Dedicated Cash Account Query	DDQ_TotalCollatValuePerT2SDCAQuery
Dynamic Data Queries	Settlement Instruction Matched and Accepted Status Query Privilege	DDQ_SettlInstrMtchAcceptStsQuery
General Configuration Parameters	Create Country	GCP_CreateCountry
General Configuration Parameters	Update Country	GCP_UpdateCountry
General Configuration Parameters	Delete Country	GCP_DeleteCountry
General Configuration Parameters	Create Currency	GCP_CreateCurrency
General Configuration Parameters	Update Currency	GCP_UpdateCurrency
General Configuration Parameters	Delete Currency	GCP_DeleteCurrency
General Configuration Parameters	Create Partial Settlement Threshold	GCP_CreatePartialSettlThreshold
General Configuration Parameters	Update Partial Settlement Threshold	GCP_UpdatePartialSettlThreshold

Class of Privileges	Privilege Name	Privilege Short Name
General Configuration Parameters	Delete Partial Settlement Threshold	GCP_DeletePartialSettlThreshold
General Configuration Parameters	Create System Entity	GCP_CreateSystemEntity
General Configuration Parameters	Update System Entity	GCP_UpdateSystemEntity
General Configuration Parameters	Create Tolerance Amount	GCP_CreateToleranceAmount
General Configuration Parameters	Update Tolerance Amount	GCP_UpdateToleranceAmount
General Configuration Parameters	Delete Tolerance Amount	GCP_DeleteToleranceAmount
Market-Specific Configuration	Create Attribute Domain	MSC_CreateAttributeDomain
Market-Specific Configuration	Update Attribute Domain	MSC_UpdateAttributeDomain
Market-Specific Configuration	Delete Attribute Domain	MSC_DeleteAttributeDomain
Market-Specific Configuration	Create Attribute Reference	MSC_CreateAttributeReference
Market-Specific Configuration	Update Attribute Reference	MSC_UpdateAttributeReference
Market-Specific Configuration	Delete Attribute Reference	MSC_DeleteAttributeReference
Market-Specific Configuration	Create Market-Specific Attribute	MSC_CreateMarketSpecificAttribute
Market-Specific Configuration	Update Market-Specific Attribute	MSC_UpdateMarketSpecificAttribute
Market-Specific Configuration	Delete Market-Specific Attribute	MSC_DeleteMarketSpecificAttribute
Market-Specific Configuration	Create Restriction Type	MSC_CreateRestrictionType
Market-Specific Configuration	Update Restriction Type	MSC_UpdateRestrictionType

Class of Privileges	Privilege Name	Privilege Short Name
Market-Specific Configuration	Delete Restriction Type	MSC_DeleteRestrictionType
Market-Specific Configuration	Create Restriction Type Rule	MSC_CreateRestrictionTypeRule
Market-Specific Configuration	Update Restriction Type Rule	MSC_UpdateRestrictionTypeRule
Market-Specific Configuration	Delete Restriction Type Rule	MSC_DeleteRestrictionTypeRule
Market-Specific Configuration	Create Conditional Security Delivery Rule Set	MSC_CreateConditSecurDelivRuleSet
Market-Specific Configuration	Update Conditional Security Delivery Rule Set	MSC_UpdateConditSecurDelivRuleSet
Market-Specific Configuration	Delete Conditional Security Delivery Rule Set	MSC_DeleteConditSecurDelivRuleSet
Market-Specific Configuration	Create Conditional Security Delivery Rule	MSC_CreateConditSecurDelivRule
Market-Specific Configuration	Update Conditional Security Delivery Rule	MSC_UpdateConditSecurDelivRule
Market-Specific Configuration	Delete Conditional Security Delivery Rule	MSC_DeleteConditSecurDelivRule
Message Management	Resend Communication	MMA_Resend Communication
Message Management	Third party receipt	MMA_Third party receipt
Message Subscription	Create Message Subscription Rule Set	MSU_CreateMessSubsRuleSet
Message Subscription	Update Message Subscription Rule Set	MSU_UpdateMessSubscriptionRuleSet
Message Subscription	Delete Message Subscription Rule Set	MSU_DeleteMessSubscriptionRuleSet
Message Subscription	Create Message Subscription Rule	MSU_CreateMessageSubscriptionRule

Class of Privileges	Privilege Name	Privilege Short Name
Message Subscription	Update Message Subscription Rule	MSU_UpdateMessageSubscriptionRule
Message Subscription	Delete Message Subscription Rule	MSU_DeleteMessageSubscriptionRule
Message Subscription Queries	Message Subscription Rule Set List Query	MSQ_MessSubscrRuleSetListQuery
Message Subscription Queries	Message Subscription Rule List Query	MSQ_MessSubscrRuleListQuery
Message Management	RTGS answer	MMA_RTGS answer
Message Management	New Broadcast	MMA_New Broadcast
Message Subscription Queries	Message Subscription Rule Set Details Query	MSQ_MessSubscrRuleSetDetailQuery
MSA Data Queries	Attribute Domain List Query	MSA_AttributeDomainListQuery
MSA Data Queries	Attribute Domain Details Query	MSA_AttributeDomainDetailsQuery
MSA Data Queries	Market-specific Attribute List Query	MSA_Market-SpecAttributeQuery
MSA Data Queries	Market-specific Attribute Detail Query	MSA_Market-SpecAttributeDetailQuery
MSA Data Queries	Market-specific Restriction List Query	MSA_Market-SpecRestrictListQuery
MSA Data Queries	Conditional Security Delivery Rule Set Query	MSA_ConditSecDeliveryRuleSetQuery
MSA Data Queries	Attribute Reference List Query	MSA_DisplayAttribDomainRefListQuery
MSA Data Queries	Market-specific Restriction Type Rule Detail Query	MSA_Market-SpecRestrictDetailQuery
MSA Data Queries	Market-specific Restriction Type Rule Set List Query	MSA_MarkSpecRestrTypeRuleSetListQue

Class of Privileges	Privilege Name	Privilege Short Name
MSA Data Queries	Market-specific Restriction Type Rule Parameter Details Query	MSA_MarkSpecRestr-TypeRuleParamDetQ
MSA Data Queries	Conditional Security Delivery Rule List Query	MSA_ConditSecDeliveryRuleQuery
MSA Data Queries	Attribute Reference Details Query	MSA_DisplayAttribDomainRefDetQuery
Network Configuration	Create Network Service	NCO_CreateNetwork-Service
Network Configuration	Update Network Service	NCO_UpdateNetwork-Service
Network Configuration	Delete Network Service	NCO_DeleteNetwork-Service
Network Configuration	Create Routing	NCO_CreateRouting
Network Configuration	Update Routing	NCO_UpdateRouting
Network Configuration	Delete Routing	NCO_DeleteRouting
Network Configuration	Create Technical Address Network Service Link	NCO_CreateTechnAd-dressNetServLink
Network Configuration	Update Technical Address Network Service Link	NCO_UpdateTech-nAddressNetServLink
Network Configuration	Delete Technical Address Network Service Link	NCO_DeleteTechnAd-dressNetServLink
Network Configuration	Create T2S BIC Directory	NCO_CreateT2SBIC-Directory
Network Configuration	Update T2S BIC Directory	NCO_UpdateT2SBIC-Directory
Network Configuration	Delete T2S BIC Directory	NCO_DeleteT2SBIC-Directory
Network Configuration Queries	T2S BIC Query	NCQ_T2SBICQuery

Class of Privileges	Privilege Name	Privilege Short Name
Network Configuration Queries	Network Service List query	NCQ_NetworkServiceListquery
Network Configuration Queries	Routing List Query	NCQ_RoutingListQuery
Network Configuration Queries	Technical Address Network Service Link Details Query	NCQ_DisplayTechAddressNetSerLink
Party Data Management	Create Party	PDM_CreateParty
Party Data Management	Update Party	PDM_UpdateParty
Party Data Management	Delete Party	PDM_DeleteParty
Party Data Queries	Party Audit Trail Query	PDQ_PartyAudTrailQuery
Party Data Queries	Party Reference Data Query	PDQ_PartyReferenceDataQuery
Party Data Queries	Party List Query	PDQ_PartyListQuery
Party Data Queries	Restricted Party Query	PDQ_RestrictedPartyQuery
Report Configuration	Create Report Configuration	RCO_CreateReportConfiguration
Report Configuration	Update Report Configuration	RCO_UpdateReportConfiguration
Report Configuration	Delete Report Configuration	RCO_DeleteReportConfiguration
Report Configuration Queries	Report Configuration Details Query	RCO_ReportConfigurationDetailQuery
Report Configuration Queries	Report Configuration List Query	RCO_ReportConfigurationListQuery
Report Queries	Report List Query	RCO_ReportListQuery

Class of Privileges	Privilege Name	Privilege Short Name
Report Queries	Report Details Query	RCO_ReportDe- tailsQuery
SAC Data Queries	Securities Account List Query	SAQ_SecuritiesAc- countListQuery
SAC Data Queries	Securities Account Reference Data Query	SAQ_SecuritiesAc- cReferenceDataQuery
SAC Data Queries	Securities Account Audit Trail Query	SAQ_SecuritiesAc- cAudTrailQuery
SAC Data Queries	CSD Account Link Query	SAQ_CSDAccount- LinkQuery
SAC Data Queries	CMB Securities Account Link List Query	SAQ_DisplayCMB- SecAccLinkListQuery
Scheduling	Create Closing Day	SCH_CreateClosing- Day
Scheduling	Update Closing Day	SCH_UpdateClosing- Day
Scheduling	Delete Closing Day	SCH_DeleteClosing- Day
Scheduling	Create Event Type	SCH_CreateEvent- Type
Scheduling	Update Event Type	SCH_UpdateEvent- Type
Scheduling	Delete Event Type	SCH_DeleteEvent- Type
Scheduling	Create Operating Day Type	SCH_CreateOperat- ingDayType
Scheduling	Update Operating Day Type	SCH_UpdateOperat- ingDayType
Scheduling	Delete Operating Day Type	SCH_DeleteOperat- ingDayType
Scheduling	Recycle Settlement Instruction On Demand	SCH_RecycleOn- Demand
Scheduling Queries	Event Type List Query	SCQ_EventTypeL- istQuery
Scheduling Queries	Event Type Details Query	SCQ_EventTypeDe- tailsQuery

Class of Privileges	Privilege Name	Privilege Short Name
Scheduling Queries	Operating Day Type List Query	SCQ_OperatingDay-TypeListQuery
Scheduling Queries	Operating Day Type Details Query	SCQ_OperatingDay-TypeDetailsQuery
Scheduling Queries	Closing Day Query	SCQ_Closing-DayQuery
Scheduling Queries	Default Event Schedule De-tails Query	SCQ_De-faultEventSchedDe-tailsQuery
Scheduling Queries	Current Status of the T2S settlement day	SCQ_CurrStatusOfT2SSettlDay
Scheduling Queries	T2S Calendar Query	SCQ_T2SCalendar-Query
Scheduling Queries	T2S Diary Query	SCQ_T2SDiaryQuery
Securities Account Data Management	Create Securities Account	SAC_CreateSecuritiesAccount
Securities Account Data Management	Update Securities Account	SAC_UpdateSecuritiesAccount
Securities Account Data Management	Delete Securities Account	SAC_DeleteSecuritiesAccount
Securities Account Data Management	Create CSD Account Link	SAC_CreateCSDAccountLink
Securities Account Data Management	Update CSD Account Link	SAC_UpdateCSDAccountLink
Securities Account Data Management	Delete CSD Account Link	SAC_DeleteCSDAccountLink
Securities Account Data Management	Create CMB Securities Account Link	SAC_CreateCMBSecuritiesAccountLink
Securities Account Data Management	Delete CMB Securities Account Link	SAC_DeleteCMBSecuritiesAccountLink
Securities Account Data Management	Update CMB Securities Account Link	SAC_UpdateCMBSecuritiesAccountLink
Securities Penalties	SEP_PenaltyAuditTrailQuery	System
Securities Penalty Reference Data Management	Create Securities Subject to Cash Penalties	SPD_CreateSecSubjecttoCashPenalties

Class of Privileges	Privilege Name	Privilege Short Name
Securities Penalty Reference Data Management	Update Securities Subject to Cash Penalties	SPD_UpdateSecSubjecttoCashPenalties
Securities Penalty Reference Data Management	Delete Securities Subject to Cash Penalties	SPD_DeleteSecSubjecttoCashPenalties
Securities Penalty Reference Data Management	Delete SME Growth Market	SPD_DeleteSMEGrowthMarket
Securities Penalty Reference Data Management	Delete Security Penalty Rate	SPD_DeleteSecurityPenaltyRate
Securities Penalty Reference Data Management	Delete Cash Discount Penalty Rate	SPD_DeleteCashDiscountPenaltyRate
Securities Penalty Reference Data Management	Create Euro Foreign Exchange Rate	SPD_CreateEuroForeignExchangeRate
Securities Penalty Reference Data Management	Update Euro Foreign Exchange Rate	SPD_UpdateEuroForeignExchangeRate
Securities Penalty Reference Data Management	Delete Euro Foreign Exchange Rate	SPD_DeleteEuroForeignExchangeRate
Securities Penalty Reference Data Management	Create Daily Price	SPD_CreateDailyPrice
Securities Penalty Reference Data Management	Update Daily Price	SPD_UpdateDailyPrice
Securities Penalty Reference Data Management	Delete Daily Price	SPD_DeleteDailyPrice
Securities Penalty Reference Data Queries	Securities Subject to Cash Penalties Query	SPQ_SecSubjecttoCashPenaltiesQuery
Securities Penalty Reference Data Queries	SME Growth Market Query	SPQ_SMEGrowthMarketQuery
Securities Penalty Reference Data Queries	Security Penalty Rate Query	SPQ_SecurityPenaltyRateQuery
Securities Penalty Reference Data Queries	Cash Discount Penalty Rate Query	SPQ_CashDiscountPenaltyRateQuery
Securities Penalty Reference Data Queries	Daily Price Query	SPQ_DailyPriceQuery
Securities Penalty Reference Data Queries	Euro Foreign Exchange Rate Query	SPQ_EuroForeignExchangeRateQuery
Security Data Management	Create Security	SDM_CreateSecurity

Class of Privileges	Privilege Name	Privilege Short Name
Security Data Management	Update Security	SDM_UpdateSecurity
Security Data Management	Delete Security	SDM_DeleteSecurity
Security Data Management	Create Security CSD Link	SDM_CreateSecurityCSDLink
Security Data Management	Update Security CSD Link	SDM_UpdateSecurityCSDLink
Security Data Management	Delete Security CSD Link	SDM_DeleteSecurityCSDLink
Security Data Management	Create Eligible Counterpart CSD Link	SDM_CreateEligibleCounterpCSDLink
Security Data Management	Update Eligible Counterpart CSD Link	SDM_UpdateEligibleCounterpCSDLink
Security Data Management	Delete Eligible Counterpart CSD Link	SDM_DeleteEligibleCounterpCSDLink
Security Data Queries	Eligible Counterpart CSD List Query	SEQ_EligibleCounterpCSDListQuery
Security Data Queries	Eligible Counterpart CSD Details Query	SEQ_EligibleCounterpCSDDetailsQuery
Security Data Queries	Securities Reference Data Query	SEQ_SecuritiesReferDataQuery
Security Data Queries	Securities Audit Trail Query	SEQ_SecuritiesAudTrailQuery
Security Data Queries	ISIN List Query	SEQ_ISINListQuery
Security Data Queries	Securities Deviating Nominal Query	SEQ_SecuritiesDeviatNominQuery
Security Data Queries	Securities CSD Link Query	SEQ_SecuritiesCSDLinkQuery
Security Data Management	Create Auto-Collateralisation Eligibility Link	SDM_CreateAuto-ColIEligibilityLink

Class of Privileges	Privilege Name	Privilege Short Name
Security Data Management	Create Close Link	SDM_CreateCloseLink
Security Data Management	Create Securities Valuation	SDM_CreateSecuritiesValuation
Security Data Management	Delete Auto-Collateralisation Eligibility Link	SDM_DeleteAuto-CollEligibilityLink
Security Data Management	Delete Close Link	SDM_DeleteCloseLink
Security Data Queries	Auto-Collateralisation Eligibility Links Query	SEQ_Auto-CollEligibilityLinksQuery
Security Data Queries	Close Links Query	SEQ_CloseLinksQuery
Security Data Queries	Securities Valuations Query	SEQ_SecuritiesValuationsQuery
Settlement CSD	Unblock CoSD Securities Blocking related to cancelled CoSD Settlement Instructions on Behalf of the relevant CSD in T2S	SIC_USBBI
Settlement CSD	Send Settlement Instruction with non-modifiable flag activated	SIC_SINMF
Settlement CSD	CSD Hold Settlement Instruction either on a Securities Account or on Behalf of the CSD in T2S	SIC_CSDHI
Settlement CSD	Release CSD Hold Settlement Instruction either on a Securities Account or on Behalf of the CSD in T2S	SIC_RCSDH
Settlement CSD	Release CSD Validation Hold Settlement Instruction either on a Securities Account or on Behalf of the CSD in T2S	SIC_RCSDV
Settlement CSD	Release CoSD Hold Settlement Instruction on Behalf of an Administering Party	SIC_RCOSD

Class of Privileges	Privilege Name	Privilege Short Name
Settlement CSD	Amend Process Indicator of a non-modifiable Settlement Instruction on behalf of the Instructing Party of the underlying instruction	SIC_ANMBI
Settlement CSD	Hold Non-modifiable Instructions on behalf of the Instructing party of the Underlying Settlement Instruction	SIC_HNMBI
Settlement CSD	Release Non-modifiable Instructions on behalf of the Instructing party of the Underlying Settlement Instruction	SIC_RNMBI
Settlement CSD	Cancel Non-modifiable Instructions on behalf of the Instructing party of the Underlying Settlement Instruction	SIC_CNMBI
Settlement General	Send New Settlement Instruction/Settlement Restriction on Securities either on a Securities Account or on Behalf of an external CSD	SIG_SNDSI
Settlement General	Send new instruction using a specific Instructing Party	SIG_SIUIP
Settlement General	Link to an Instruction/Pool Reference belonging to a specific Party	SIG_LIPRP
Settlement General	Party Hold Settlement Instruction on a Securities Account or on Behalf of an external CSD	SIG_PTYHI
Settlement General	Release Party Hold Settlement Instruction on a Securities Account or on Behalf of an external CSD	SIG_RPTYH
Settlement General	Amend Process Indicator of a Settlement Instruction/ Settlement Restriction on Securities	SIG_AMNPI

Class of Privileges	Privilege Name	Privilege Short Name
	either on a Securities Account or on Behalf of the CSD in T2S or on Behalf of an external CSD	
Settlement General	Cancel Settlement Instruction / Settlement Restriction on Securities either on a Securities Account or on Behalf of the CSD in T2S, on Behalf on external CSD or on Behalf of an Administering Party	SIG_CANCI
Settlement ISO Codes	Use ISO Transaction Code AUTO (Auto-Collateralisation)	SII_UAUTO
Settlement ISO Codes	Use ISO Transaction Code BYIY (BuyIn)	SII_UBYIY
Settlement ISO Codes	Use ISO Transaction Code BSBK (Buy Sell Back)	SII_UBSBK
Settlement ISO Codes	Use ISO Transaction Code CLAI (Market Claim)	SII_UCLAI
Settlement ISO Codes	Use ISO Transaction Code CNCB (Central Bank Collateral Operation)	SII_UCNCB
Settlement ISO Codes	Use ISO Transaction Code COLI (Collateral In)	SII_UCOLI
Settlement ISO Codes	Use ISO Transaction Code COLO (Collateral Out)	SII_UCOLO
Settlement ISO Codes	Use ISO Transaction Code CONV (Depository Receipt Conversion)	SII_UCONV
Settlement ISO Codes	Use ISO Transaction Code CORP (Corporate Action)	SII_UCORP
Settlement ISO Codes	Use ISO Transaction Code ETFT	SII_UETFT
Settlement ISO Codes	Use ISO Transaction Code FCTA (Factor Update)	SII_UFCTA

Class of Privileges	Privilege Name	Privilege Short Name
Settlement ISO Codes	Use ISO Transaction Code INSP (Move Of Stock)	SII_UINSP
Settlement ISO Codes	Use ISO Transaction Code ISSU (Issuance)	SII_UISSU
Settlement ISO Codes	Use ISO Transaction Code MKDW (Mark Down)	SII_UMKDW
Settlement ISO Codes	Use ISO Transaction Code MKUP (Mark Up)	SII_UMKUP
Settlement ISO Codes	Use ISO Transaction Code NETT (Netting)	SII_UNETT
Settlement ISO Codes	Use ISO Transaction Code NSYN (Non Syndicated)	SII_UNSYN
Settlement ISO Codes	Use ISO Transaction Code OWNI (Internal Account Transfer)	SII_UOWNI
Settlement ISO Codes	Use ISO Transaction Code PAIR (Pair Off)	SII_UPAIR
Settlement ISO Codes	Use ISO Transaction Code PLAC (Placement)	SII_UPLAC
Settlement ISO Codes	Use ISO Transaction Code PORT (Portfolio Move)	SII_UPORT
Settlement ISO Codes	Use ISO Transaction Code REAL (Realignment)	SII_UREAL
Settlement ISO Codes	Use ISO Transaction Code REDI (Withdrawal)	SII_UREDI
Settlement ISO Codes	Use ISO Transaction Code REDM (Redemption)	SII_URED M
Settlement ISO Codes	Use ISO Transaction Code RELE (Depository Receipt Release Cancellation)	SII_URELE
Settlement ISO Codes	Use ISO Transaction Code REPU (Repo)	SII_UREPU

Class of Privileges	Privilege Name	Privilege Short Name
Settlement ISO Codes	Use ISO Transaction Code RVPO (Reverse Repurchase agreement)	SII_URVPO
Settlement ISO Codes	Use ISO Transaction Code OWNE (External Account Transfer)	SII_UOWNE
Settlement ISO Codes	Use ISO Transaction Code RODE (Return Delivery Without Matching)	SII_URODE
Settlement ISO Codes	Use ISO Transaction Code SBBK (Sell Buy Back)	SII_USBBK
Settlement ISO Codes	Use ISO Transaction Code SBRE (Borrowing Reallocation)	SII_USBRE
Settlement ISO Codes	Use ISO Transaction Code SECB (Securities Borrowing)	SII_USECB
Settlement ISO Codes	Use ISO Transaction Code SECL (Securities Lending)	SII_USECL
Settlement ISO Codes	Use ISO Transaction Code SLRE (Lending Reallocation)	SII_USLRE
Settlement ISO Codes	Use ISO Transaction Code SUBS (Subscription)	SII_USUBS
Settlement ISO Codes	Use ISO Transaction Code SWIF (Switch From)	SII_USWIF
Settlement ISO Codes	Use ISO Transaction Code SWIT (Switch To)	SII_USWIT
Settlement ISO Codes	Use ISO Transaction Code SYND (Syndicate Underwriters)	SII_USYND
Settlement ISO Codes	Use ISO Transaction Code TBAC (TBA Closing)	SII_UTBAC
Settlement ISO Codes	Use ISO Transaction Code TRAD (Trade)	SII_UTRAD
Settlement ISO Codes	Use ISO Transaction Code TRPO (Triparty Repo)	SII_UTRPO

Class of Privileges	Privilege Name	Privilege Short Name
Settlement ISO Codes	Use ISO Transaction Code TRVO (Triparty Reverse Repo)	SII_UTRVO
Settlement ISO Codes	Use ISO Transaction Code TURN (Turnaround)	SII_UTURN
Static Data Queries	Partial Settlement Threshold Query	SDQ_PartialSettl-ThresholdQuery
Static Data Queries	Country Query	SDQ_CountryQuery
Static Data Queries	Currency Query	SDQ_CurrencyQuery
Static Data Queries	Tolerance Amount Query	SDQ_Toler-anceAmountQuery
Static Data Queries	Liquidity Transfer Order of Liquidity Transfer Order Link Set Query	SDQ_LiqTranOrdOfLiqTranOrdLinkSetQ
Static Data Queries	Residual Static Data Audit Trail Query	SDQ_ResidualStat-icDataAudTrailQuery
Static Data Queries	System Entity Query	SDQ_SystemEntityQu-ery
T2S Dedicated Cash Account Data	Create Credit Memorandum Balance	DCA_CreateCredit-MemorandumBalance
T2S Dedicated Cash Account Data	Create External RTGS Account	DCA_CreateExtern-alRTGSAccount
T2S Dedicated Cash Account Data	Create Limit	DCA_CreateLimit
T2S Dedicated Cash Account Data	Create Liquidity Transfer Order	DCA_CreateLiquid-ityTransferOrder
T2S Dedicated Cash Account Data	Create Liquidity Transfer Order Link Set	DCA_CreateLiquid-ityTranOrderLinkSet
T2S Dedicated Cash Account Data	Create T2S Dedicated Cash Account	DCA_CreateT2SDed-icatedCashAccount
T2S Dedicated Cash Account Data	Delete Credit Memorandum Balance	DCA_DeleteCredit-MemorandumBalance
T2S Dedicated Cash Account Data	Delete External RTGS Account	DCA_DeleteExtern-alRTGSAccount
T2S Dedicated Cash Account Data	Delete Limit	DCA_DeleteLimit

Class of Privileges	Privilege Name	Privilege Short Name
T2S Dedicated Cash Account Data	Delete Liquidity Transfer Order	DCA_DeleteLiquidityTransferOrder
T2S Dedicated Cash Account Data	Delete Liquidity Transfer Order Link Set	DCA_DeleteLiquidityTranOrderLinkSet
T2S Dedicated Cash Account Data	Delete T2S Dedicated Cash Account	DCA_DeleteT2SDedicatedCashAccount
T2S Dedicated Cash Account Data	Update Credit Memorandum Balance	DCA_UpdateCreditMemorandumBalance
T2S Dedicated Cash Account Data	Update External RTGS Account	DCA_UpdateExternalRTGSAccount
T2S Dedicated Cash Account Data	Update Limit	DCA_UpdateLimit
T2S Dedicated Cash Account Data	Update Liquidity Transfer Order	DCA_UpdateLiquidityTransferOrder
T2S Dedicated Cash Account Data	Update Liquidity Transfer Order Link Set	DCA_UpdateLiquidityTranOrderLinkSet
T2S Dedicated Cash Account Data	Update T2S Dedicated Cash Account	DCA_UpdateT2SDedicatedCashAccount
T2S Dedicated Cash Account Data Queries	CMB Details Query	DCQ_CMBDetailsQuery
T2S Dedicated Cash Account Data Queries	CMB List Query	DCQ_CMBListQuery
T2S Dedicated Cash Account Data Queries	External RTGS Account Details Query	DCQ_ExtRTGSAccountDetailsQuery
T2S Dedicated Cash Account Data Queries	External RTGS Account List Query	DCQ_ExtRTGSAccountListQuery
T2S Dedicated Cash Account Data Queries	Limit Query	DCQ_LimitQuery
T2S Dedicated Cash Account Data Queries	Liquidity Transfer Order Detail Query	DCQ_LiquidityTransOrderDetailQuery
T2S Dedicated Cash Account Data Queries	Liquidity Transfer Order Link Set Query	DCQ_LiquidityTransOrderLinkSetQuery
T2S Dedicated Cash Account Data Queries	Liquidity Transfer Order List Query	DCQ_LiquidityTransOrderListQuery

Class of Privileges	Privilege Name	Privilege Short Name
T2S Dedicated Cash Account Data Queries	T2S Dedicated Cash Account Audit Trail Query	DCQ_T2SDedic-CashAc-cAudTrailQuery
T2S Dedicated Cash Account Data Queries	T2S Dedicated Cash Account List Query	DCQ_T2SDedicated-CashAccListQuery
T2S Dedicated Cash Account Data Queries	T2S Dedicated Cash Account Reference Data Query	DCQ_T2SDedic-CashAccRefer-DataQuery
T2S Dedicated Cash Account Data Queries	Total amount of standing and predefined orders Query	DCQ_TotAmount-Stand+Pre-defOrdQuery
Ticker Management	Create Ticker	TIC_CreateTicker
Ticker Management	Update Ticker	TIC_UpdateTicker

6.4.3 Privileges for GUI Screens for CoCos

~~6.4.3.1 Attribute Domain - Details Screen~~

△ [▶ 1159]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Delete Attribute Domain	MSC_ Delete Attribute Domain	System	n/a	Delete button
Attribute Domain Details Query	MSA_ Attribute Domain Details Query	System	n/a	n/a

{Split book approach}

~~6.4.3.2 Attribute Domain - New/Edit Screen~~

△ [▶ 1161]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Create Attribute Domain	MSC_CreateAttributeDomain	System	n/a	New mode
Update Attribute Domain	MSC_UpdateAttributeDomain	System	n/a	Edit mode

{Split book approach}

~~6.4.3.3 Attribute Domain Reference - Details Screen~~

△ [▶ 1167]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Delete Attribute Reference	MSC_DeleteAttributeReference	System	n/a	Delete button
Attribute Reference Details Query	MSA_DisplayAttributeDomainRefDetQuery	System	n/a	n/a

{Split book approach}

~~6.4.3.4 Attribute Domain Reference - New/Edit Screen~~

△ [▶ 1170]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Create Attribute Reference	MSC_CreateAttributeReference	System	n/a	New mode

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Update Attribute Reference	MSC_ UpdateAttributeReference	System	n/a	Edit mode

{Split book approach}

~~6.4.3.5 Attribute Domain References - List Screen~~

△ [▶ 1165]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Delete Attribute Reference	MSC_ DeleteAttributeReference	System	n/a	Delete button
Attribute Reference List Query	MSA_ DisplayAttributeDomainRefListQuery	System	n/a	n/a

{Split book approach}

~~6.4.3.6 Attribute Domains - Search/List Screen~~

△ [▶ 1157]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Delete Attribute Domain	MSC_ DeleteAttributeDomain	System	n/a	Delete button

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Attribute Domain List Query	MSA_ Attribute Domain ListQuer y	System	n/a	n/a

{Split book approach}

~~6.4.3.7 Auto-Collateralisation Eligibility Link - New Screen~~

△ [▶ 727]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Create Auto-Collateralisation Eligibility Link	SDM_ Create Auto-Coll Eligibility Link	System	n/a	New mode

{Split book approach}

~~6.4.3.8 Auto-Collateralisation Eligibility Links - Search/List Screen~~

△ [▶ 724]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Auto-Collateralisation Eligibility Links Query	SEQ_ Auto-Coll Eligibility Link- sQue ry	System	n/a	n/a
Delete Auto-Collateralisation Eligibility Link	SDM_ Delete Auto-Coll Eligibility Link	System	n/a	Delete button

{Split book approach}

~~6.4.3.9 Available Report - Details Screen~~

△ [▶ 1220]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Report Details Query	RCO_ Report Details Query	System	n/a	n/a
Report List Query	RCO_ Report ListQuer y	System	n/a	n/a

{Split book approach}

~~6.4.3.10 Available Report - Statement of Common Reference Data - Details Screen~~

△ [▶ 1222]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Report Details Query	RCO_ Report Details Query	System	n/a	n/a

{Split book approach}

~~6.4.3.11 Available Reports - Search/List Screen~~

△ [▶ 1224]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Report List Query	RCO_Report ListQuery	System	n/a	n/a

{Split book approach}

~~6.4.3.12 BIC Directory Items – Search/List Screen~~

△

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
BIC Query	NCQ_T2SBIC Query	System	n/a	n/a

{Split book approach}

6.4.3.13 BILL – Invoice data – Search/list screen

△ [▶ 672]

Privilege	Privilege Code	Screen Criteria
Invoice Data List Query	BIQ_Cumulative-BillDataListQuery	n/a

6.4.3.14 BILL - Invoice Data – Details Screens

△ [▶ 675]

Privilege	Privilege Code	Screen Criteria
Invoice Data Details Query	BIQ_Cumulative-BillDataDetailsQuery	n/a

6.4.3.15 BILL – Itemised Invoice Data - Search/List Screen

△ [▶ 679]

Privilege	Privilege Code	Screen Criteria
Itemised Invoice Data List Query	BIQ_Itemised-BillDataListQuery	n/a

6.4.3.16 BILL – Itemised Invoice Data - Details Screen

△ [▶ 682]

Privilege	Privilege Code	Screen Criteria
Itemised Invoice Data Details Query	BIQ_Itemised-BillDataDe-tailsQuery	n/a

6.4.3.17 BILL – Manual Corrections – Search/List Screen

△ [▶ 685]

Privilege	Privilege Code	Screen Criteria
Manual Correction List query	BIQ_ManualCor-rectionListQuery	n/a
Invoice Data List Query	BIQ_Cumulative-BillDataListQuery	Invoice Data

6.4.3.18 BILL – Manual Corrections – Details Screen

△ [▶ 688]

Privilege	Privilege Code	Screen Criteria
Manual Correction Details Query	BIQ_ManualCor-rectionDe-tailsQuery	Details mode
Manage Manual Correction	BIL_ManageManu-alCorrection	Add Manual Cor-rection

6.4.3.19 BILL – Manual Corrections – New/Edit Screen

△ [▶ 690]

Privilege	Privilege Code	Screen Criteria
Manage Manual Correction	BIL_ManageManu-alCorrection	New/Edit mode

6.4.3.20 BILL – PDF Invoice – Search/List Screen

△ [▶ 697]

Privilege	Privilege Code	Screen Criteria
Manage Billing Data	BIL_ManageBilling-Data	n/a

~~6.4.3.21 Cash Account – Details Screen~~

△ [▶ 903]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Cash Account Reference Data Query	DCQ_ CashAcc Refer- Data Query	Object	Cash Ac- count	n/a
Delete Cash Account list query	DCA_ Delete Cash Account- tQuery	Object	Cash Ac- count	Delete button

{Split book approach}

~~6.4.3.22 Cash Account – New/Edit Screen~~

△ [▶ 909]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Create Cash Account	DCA_ Create Cash Account	Object	Party	New mode
Update Cash Account	DCA_ Update Cash Account	Object	Cash Ac- count	Edit mode

{Split book approach}

~~6.4.3.23 Cash Accounts – Search/List Screen~~

△ [▶ 897]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Cash Account List Query	DCQ_CashAccListQuery	Object	Cash Account	n/a
Delete Cash Account	DCA_DeleteatedCashAccount	Object	Cash Account	Delete button
Cash Account Reference Data Query	DCQ_CashAccReferenceDataQuery	Object	Cash Account	Details Button

{Split book approach}

~~6.4.3.24 Cash Discount Penalty Rate – Search/List Screen~~

△ [▶ 774]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Cash Discount Penalty Rate Query	SPQ_CashDiscountPenaltyRateQuery	System	n/a	n/a
Delete Cash Discount Penalty Rate				

{Split book approach}

~~6.4.3.25 Certificate Distinguished Name – New Screen~~

△ [▶ 984]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Create Certificate Distinguish Name	ARM_ Create CertificateDN	System	n/a	New mode

{Split book approach}

~~6.4.3.26 Certificates Distinguished Names - Search/List Screen~~

△ [▶ 982]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Certificate Query	ARQ_ CertificateDNQuery	System	n/a	n/a
Delete Certificate Distinguish Name	ARM_ Delete CertificateDN	System	n/a	Delete button

{Split book approach}

~~6.4.3.27 Close Link - New Screen~~

△ [▶ 732]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Create Close Link	SDM_ Create CloseLink	System	n/a	New mode

{Split book approach}

~~6.4.3.28 Close Links - Search/List Screen~~

△ [▶ 729]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Close Links Query	SEQ_ CloseLin k sQuery	Object	Party	n/a
Delete Close Link	SDM_ Delete CloseLin k	System	n/a	Delete button

{Split book approach}

~~6.4.3.29 Closing Days Search/List Screen~~

△ [▶ 967]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Closing Day Query	SCQ_ ClosingD ayQuery	System	n/a	n/a

{Split book approach}

~~6.4.3.30 CMBs Search/List Screen~~

△ [▶ 835]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
CMB List Query	DCQ_ CMBList Query	System	n/a	n/a
Delete Credit Memorandum Balance	DCA_ Delete Credit Memor andum Balance	System	n/a	Delete button

{Split book approach}

~~6.4.3.31 CMB - Details Screen~~

△ [▶ 838]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Delete Credit Memorandum Balance	DCA_ Delete- Cre ditMemo randum Balance	System	n/a	Delete button
CMB Details Query	DCQ_ CMB Details Query	System	n/a	n/a

{Split book approach}

~~6.4.3.32 CMB - New/Edit Screen~~

△ [▶ 841]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Create Credit Memorandum Balance	DCA_ Create Credit Memora ndum Balance	System	n/a	New mode
Update Credit Memorandum Balance	DCA_ Update Credit Memora ndum Balance	System	n/a	Edit mode

{Split book approach}

~~6.4.3.33 Common Reference Data Revisions- Search/List Screen~~

△ [▶ 1185]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Party Audit Trail Query				
Cash Account Audit Trail Query				
Securities Audit Trail Query				
Securities Account Audit Trail Query				
Residual Static Data Audit Trail Query				

{Split book approach}

~~6.4.3.34 CoSD Rule - New/Edit Screen~~

△ [▶ 1113]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Create Conditional Security Delivery Rule	MSC_ CreateCo nditSecur De- livRule	System	n/a	New mode
Update Conditional Security Delivery Rule	MSC_Up dateCon ditSecur De- livRule	System	n/a	Edit mode
Conditional Security Delivery Rule List Query				
Conditional Security Delivery Rule Set Query				

{Split book approach}

6.4.3.35 CoSD Rule Set – New/Edit Screen

△ [▶ 1113]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Create Conditional Security Delivery Rule Set	MSC_ CreateCo nditSe- cur De- livRule Set	System	n/a	New mode
Update Conditional Security Delivery Rule Set	MSC_ Update ConditSe curDeliv RuleSet	System	n/a	Edit mode

{~~Split book approach~~}

6.4.3.36 CoSD Rule Sets – Search/List Screen

△ [▶ 1106]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Conditional Security Delivery Rule List Query	MSA_ ConditSe cDelivery RuleList Query	System	n/a	n/a
Delete Conditional Security Delivery Rule Set	MSC_ DeleteCo nditSe- cur Deliv RuleSet	System	n/a	Delete button

{~~Split book approach~~}

6.4.3.37 CoSD Rule Sets – Details Screen

△ [▶ 1110]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Conditional Security Delivery Rule Set Query				
Delete Conditional Security Delivery Rule Set				
Conditional Security Delivery Rule List Query				
Delete Conditional Security Delivery Rule	MSC_ DeleteCo nditSe- cur De- livRule	System	n/a	Delete button

{Split book approach}

6.4.3.38 Countries—Search/List Screen

△ [▶ 1173]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Country Query	SDQ_ Country Query	System	n/a	n/a
Delete Country				

{Split book approach}

6.4.3.39 GSD Account Link—New/Edit Screen

△ [▶ 800]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Create CSD Account Link	SAC_ Create CSDAcc e untLink	System	n/a	New mode
Update CSD Account Link	SAC_ Update CSDAcc e untLink	System	n/a	Edit mode

{Split book approach}

~~6.4.3.40 CSD Account Links - Search/List Screen~~

△ [▶ 796]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Delete CSD Account Link	SAC_ Delete CSDAcc e untLink	System	n/a	Delete button
CSD Account Link Query	SAQ_ CSDAcc e untLink Query	System	n/a	n/a

{Split book approach}

~~6.4.3.41 Currencies - Search/List Screen~~

△ [▶ 1175]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Currency Query	SDQ_ Currency Query	System	n/a	n/a

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Delete-Currency				

{Split book approach}

~~6.4.3.42 Daily Price - New/Edit Screen~~

△ [▶ 783]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Create-Daily-Price	SPD_Create-DailyPrice	System	n/a	n/a
Update-Daily-Price	SPD_Update-DailyPrice	System	n/a	n/a

{Split book approach}

~~6.4.3.43 Daily Price - Search/List Screen~~

△ [▶ 781]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Daily-Price-Query	SPQ_DailyPriceQuery	System	n/a	n/a
Delete-Daily-Price	SPD_Delete-DailyPrice	System	n/a	n/a

{Split book approach}

~~6.4.3.44 Data Change - Details Screen~~

△ [▶ 1190]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Data-Changes-of-a-Business-Object-List-Query	DDQ_Data-Change-Business-ObjList-Query	System	n/a	n/a

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Data Changes of a Business Object Details Query	DDQ_ Data Chan- Business ObjDetail Query	System	n/a	n/a

{Split book approach}

~~6.4.3.45 Data Changes - Search/List Screen~~

△ [▶ 1187]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Data Changes of a Business Object List Query	DDQ_ Data Chan- Business ObjList Query	System	n/a	n/a
Data Changes of a Business Object Details Query	DDQ_ Data Chan- Business ObjDetail Query	System	n/a	n/a

{Split book approach}

~~6.4.3.46 Eligible Counterpart CSD - Details Screen~~

△ [▶ 716]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Eligible Counterpart CSD Details Query	SEQ_ Eligible Counterp CSD Details Query	System	n/a	n/a

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Delete-Eligible-Counterpart CSD Link	SDM_ Delete Eligible Counterp CSDLink	System	n/a	Delete button

{Split book approach}

~~6.4.3.47 Eligible Counterpart CSD – New/Edit Screen~~

△ [▶ 719]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Create-Eligible-Counterpart CSD Link	SDM_ Create Eligible Counterp CSDLink	System	n/a	New mode
Update-Eligible-Counterpart CSD Link	SDM_ Update Eligible Counterp CSDLink	System	n/a	Edit mode

{Split book approach}

~~6.4.3.48 Eligible Counterpart CSDs – Search/List Screen~~

△ [▶ 711]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Eligible Counterpart CSD List Query	SEQ_ Eligible Counterp CSDList Query	System	n/a	n/a

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Delete-Eligible-Counterpart-CSD-Link	SDM_Delete-Eligible-Counterp-CSDLink	System	n/a	Delete-button

{Split book approach}

~~6.4.3.49 Euro Foreign Exchange Reference Rate - New/Edit Screen~~

△ [▶ 779]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Create-Euro-Foreign-Exchange-Rate	SPD_CreateEuroForeignExchangeRate	System	n/a	n/a
Update-Euro-Foreign-Exchange-Rate	SPD_UpdateEuroForeignExchangeRate	System	n/a	n/a

{Split book approach}

~~6.4.3.50 Euro Foreign Exchange Reference Data - Search/List Screen~~

△ [▶ 776]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Euro-Foreign-Exchange-Rate-Query	SPQ_Euro-ForeignExchangeRateQuery	System	n/a	n/a
Delete-Euro-Foreign-Exchange-Rate	SPD_DeleteEuroForeignExchangeRate	System	n/a	n/a

{Split book approach}

~~6.4.3.51 Event Types - Search/List Screen~~

△ [▶ 970]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Event Type List Query	SCQ_ Event TypeList Query	System	n/a	n/a

{Split book approach}

~~6.4.3.52 Event Type - Details Screen~~

△ [▶ 972]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Event Type Details Query	SCQ_ Event Type Details Query	System	n/a	n/a

{Split book approach}

~~6.4.3.53 External RTGS Accounts - Search/List Screen~~

△ [▶ 850]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
External RTGS Account List Query	DCQ_ ExtRTGS Account ListQuer y	System	n/a	n/a

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Delete External RTGS Account	DCA_ Delete External RTGS Account	System	n/a	Delete button

{Split book approach}

~~6.4.3.54 External RTGS Account - Details Screen~~

△ [▶ 853]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
External RTGS Account Details Query	DCQ_Ext RTGS Account Details Query	System	n/a	n/a
Delete External RTGS Account	DCA_ Delete External RTGS Account	System	n/a	Delete button

{Split book approach}

~~6.4.3.55 External RTGS Account - New/Edit Screen~~

△ [▶ 855]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Create External RTGS Account	DCA_ Create External RTGS Account	System	n/a	New mode

Grant/Revoke Cross-System Entity Object Privilege - Details Screen

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Update External RTGS Account	DCA_ Update External RTGS Account	System	n/a	Edit mode

{Split book approach}

~~6.4.3.56 Grant/Revoke Cross System Entity Object Privilege - Details Screen~~

~~△ [▶ 1000]~~

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Granted Object Privileges List Query	ARQ_ Grant Object PrivilegesList Query	System	n/a	n/a

{Split book approach}

~~6.4.3.57 Grant/Revoke Cross System Entity Object Privilege - New/Edit Screen~~

~~△ [▶ 1001]~~

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Grant privilege	ARM_ Grant-Privilege	System	n/a	n/a
Revoke privilege	ARM_ Revoke Privilege	System	n/a	n/a

{Split book approach}

~~6.4.3.58 Grant/Revoke Object Privilege - Details Screen~~

△ [▶ 1007]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Granted Object Privileges List Query	ARQ_GrantObjectPrivilegesListQuery	System	n/a	n/a

{Split book approach}

~~6.4.3.59 Grant/Revoke Object Privilege - New/Edit Screen~~

△ [▶ 1009]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Grant Privilege	ARM_GrantPrivilege	System	n/a	n/a
Revoke Privilege	ARM_RevokePrivilege	System	n/a	n/a

{Split book approach}

~~6.4.3.60 Grant/Revoke Privileges - Search Screen~~

△ [▶ 997]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Privilege Query	ARQ_PrivilegeQuery	System	n/a	n/a

{Split book approach}

~~6.4.3.61 Grant/Revoke Role - Details Screen~~

△ [▶ 1017]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Granted Roles List Query	ARQ_ Granted RolesList Query	System	n/a	n/a

{Split book approach}

~~6.4.3.62 Grant/Revoke Role - New/Edit Screen~~

△ [▶ 1020]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Grant/Revoke Role	ARM_ GrantRol e	System	n/a	n/a

{Split book approach}

~~6.4.3.63 Grant/Revoke Roles - Search/List Screen~~

△ [▶ 1015]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Granted Roles List Query (display button available)	ARQ_ Granted Roles ListQuer y	System	n/a	n/a
Grant/Revoke Role (search button available)	ARM_ GrantRol e	System	n/a	n/a

{Split book approach}

~~6.4.3.64 Grant/Revoke System Privilege - Details Screen~~

△ [▶ 1024]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Granted System Privileges List Query	ARQ_ Granted SysPrivil egesList Query	System	n/a	n/a

{Split book approach}

~~6.4.3.65 Grant/Revoke System Privilege - New/Edit Screen~~

△ [▶ 1026]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Grant Privilege	ARM_ Grant Privilege	System	n/a	n/a
Revoke Privilege	ARM_ Revoke Privilege	System	n/a	n/a
Granted System Privileges List Query				

{Split book approach}

~~6.4.3.66 Inbound File - Details Screen~~

△ [▶ 1196]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Inbound Files Details Query	DDQ_ Inbound Files Details Query	System	n/a	n/a

{Split book approach}

~~6.4.3.67 Inbound Files - Search/List Screen~~

△ [▶ 1193]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Inbound Files List Query	DDQ_ Inbound FilesList Query	System	n/a	n/a

{Split book approach}

~~6.4.3.68 Inbound Message - Details Screen~~

△ [▶ 1203]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Inbound Message De- tails Query	DDQ_ Inbound Mess Details Query	System	n/a	n/a

{Split book approach}

~~6.4.3.69 Inbound Messages - Search/List Screen~~

△ [▶ 1198]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Inbound Message List Query	DDQ_ Inbound MessList Query	System	n/a	n/a

{Split book approach}

~~6.4.3.70 Limit - New/Edit Screen~~

△ [▶ 934]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Create Limit	DCA_ Create Limit	System	n/a	New mode
Update Limit	DCA_ Update Limit	System	n/a	Edit mode

{Split book approach}

~~6.4.3.71 Limits Search/List Screen~~

△ [▶ 926]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Delete Limit	DCA_ Delete Limit	System	n/a	Delete button
Limit Query	DCQ_ Limit Query	System	n/a	n/a

{Split book approach}

~~6.4.3.72 Market Specific Attribute Details Screen~~

△ [▶ 863]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Market-Specific-Attribute Detail Query	MSA_ Market-Spec Attribute Detail Query	System	n/a	n/a
Delete Market-Specific Attribute	MSC_ Delete Market-Specific Attribute	System	n/a	Delete button

{Split book approach}**~~6.4.3.73 Market-Specific Attribute - New/Edit Screen~~**

△ [▶ 865]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Create Market-Specific Attribute	MSC_ Create Market- Specific Attribute	System	n/a	New mode
Update Market-Specific Attribute	MSC_ Update Market- Specific Attribute	System	n/a	Edit mode

{Split book approach}**~~6.4.3.74 Market-Specific Attributes - Search/List Screen~~**

△ [▶ 860]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Market-Specific Attribute List Query	MSA_ Market- Spec Attribute Query	System	n/a	n/a
Delete Market-Specific Attribute	MSC_ Delete Market- Specific Attribute	System	n/a	Delete button

{Split book approach}**~~6.4.3.75 Message Subscription Rule - New/Edit Screen~~**

△ [▶ 1057]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Create Message Subscription Rule Set	MSU_ Create Mess Subs RuleSet	System	n/a	New mode
Update Message Subscription Rule Set	MSU_ Update MessSub scription RuleSet	System	n/a	Edit mode
Message Subscription Rule List Query				
Message Subscription Rule Set Details Query				
Message Subscription Rule Set List Query				

{Split book approach}

~~6.4.3.76 Message Subscription Rule Set Details Screen~~

△ [▶ 1048]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Message Subscription Rule Set Details Query	MSQ_ Mess Subscr RuleSet Detail Query	System	n/a	n/a
Delete Message Subscription Rule Set	MSU_ Delete Mess	System	n/a	Delete button

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
	SubscriptionRule Set			
Message Subscription Rule List Query				
Update Message Subscription Rule				
Delete Message Subscription Rule				

{Split book approach}

~~6.4.3.77 Message Subscription Rule Set - New/Edit Screen~~

△ [▶ 1053]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Create Message Subscription Rule Set	MSU_ Create Message SubscriptionRule	System	n/a	New mode
Update Message Subscription Rule Set	MSU_ Update Message SubscriptionRule	System	n/a	Edit mode

{Split book approach}

~~6.4.3.78 Message Subscription Rule Sets - Search/List Screen~~

△ [▶ 1043]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Message Subscription Rule Set List Query	MSQ_MessSubscrRuleSetListQuery	System	n/a	n/a
Delete Message Subscription Rule Set	MSU_DeleteMessSubscriptionRuleSet	System	n/a	Delete-button

{Split book approach}

~~6.4.3.79 Network Services—Search/List Screen~~

△ [▶ 1091]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Network Service List Query	NCQ_NetworkServiceListQuery	System	n/a	n/a
Delete Network Service				

{Split book approach}

~~6.4.3.80 Outbound File—Details Screen~~

△ [▶ 1208]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Outbound Files Details Query	DDQ_Outbound	System	n/a	n/a

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
	FilesDetailsQuery			

{Split book approach}

~~6.4.3.81 Outbound Files - Search/List Screen~~

△ [▶ 1206]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Outbound Files List Query	DDQ_OutboundFilesListQuery	System	n/a	n/a
Outbound Files Details Query	DDQ_OutboundFilesDetailsQuery	System	n/a	n/a

{Split book approach}

~~6.4.3.82 Outbound Message - Details Screen~~

△ [▶ 1214]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Outbound Message Details Query	DDQ_OutboundMessDetailsQuery	System	n/a	n/a

{Split book approach}

~~6.4.3.83 Outbound Messages - Search/List Screen~~

△ [▶ 1210]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Outbound Message List Query	DDQ_ Out- bound MessList Query	System	n/a	n/a
Outbound Message Details Query	DDQ_ Out- bound Mess Details Query	System	n/a	n/a

{Split book approach}

~~6.4.3.84 Partial Settlement Thresholds - Search/List Screen~~

△ [▶ 1176]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Partial Settlement Threshold Query	SDQ_ Partial SettlThre shold Query	System	n/a	n/a
Delete Partial Settlement Threshold				

{Split book approach}

~~6.4.3.85 Parties - Search/List Screen~~

△ [▶ 867]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Party-List Query	PDQ_ PartyList Query	Object	Party	n/a
Party reference data query				
Delete-Party	PDM_ Delete Party	Object	Party	Delete-button

{Split book approach}

~~6.4.3.86 Party - Details Screen~~

△ [▶ 873]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Party-Reference-Data-Query	PDQ_ PartyRef erData Query	Object	Party	n/a
Delete-Party	PDM_ Delete Party	Object	Party	Delete-button
Technical-Address- Network-Service-Link- Details-Query	NCQ_ Dis- playTe- chAd- dress- NetSer- Link	System	n/a	Technical-ad- dress-network services-link-but- ton

{Split book approach}

~~6.4.3.87 Party - New/Edit Screen~~

△ [▶ 879]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Create Party	PDM_ Create Party	Object	Party	New mode
Update Party	PDM_ Update Party	Object	Party	Edit mode

{Split book approach}

~~6.4.3.88 Privileges Search/List Screen~~

△ [▶ 992]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Privilege Query	ARQ_ Privilege Query	System	n/a	n/a
Delete Privilege				

{Split book approach}

~~6.4.3.89 Queued Data Change Details Screen~~

△ [▶ 1227]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Data Changes of a Business Object Details Query	DDQ_ Data Chan- Business ObjDetail Query	System	n/a	n/a

{Split book approach}

~~6.4.3.90 Queued Data Changes Search/List Screen~~

△ [▶ 1224]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Data Changes of a Business Object Search Query	SDQ_ Queued-DataChanges Query	System	n/a	n/a

{Split book approach}

~~6.4.3.91 Report Configuration - Details Screen~~

△ [▶ 1077]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Report Configuration Details Query	RCO_ Report Config Detail Query	System	n/a	n/a
Delete Report Configuration	RCO_ Delete Report Configuration	System	n/a	Delete button

{Split book approach}

~~6.4.3.92 Report Configuration - New/Edit Screen~~

△ [▶ 1080]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Create Report Configuration	RCO_ Create Report Configuration	System	n/a	New mode

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Update Report Configuration	RCO_Update Report Configuration	System	n/a	Edit mode

{Split book approach}

~~6.4.3.93 Report Configurations – Search/List Screen~~

△ [▶ 1069]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Report Configuration List Query	RCO_Report Config List Query	System	n/a	n/a
Delete Report Configuration	RCO_Delete Report Configuration	System	n/a	Delete button

{Split book approach}

~~6.4.3.94 Restricted Parties – Search/List Screen~~

△ [▶ 891]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Restricted Party Query	PDQ_RestrictedParty Query	Object	Party	n/a

{Split book approach}

~~6.4.3.95 Restriction Type – New/Edit Screen~~

△ [▶ 1136]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Create Restriction Type	MSC_ Create RestrictionType	System	n/a	New-mode
Update Restriction Type	MSC_ Update RestrictionType	System	n/a	Edit-mode

{Split book approach}

6.4.3.96 Restriction Type - Details Screen

△ [▶ 1133]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Market-specific Restriction Type Rule Set List Query				
Delete Restriction Type				
Market-Specific Restriction Type Rule Detail Query	MSA_ Market-Spec Restrict Detail Query	System	n/a	n/a
Update Restriction Type Rule				
Delete Restriction Type Rule	MSC_ Delete RestrictionType Rule	System	n/a	Delete-button

{Split book approach}

6.4.3.97 ~~Restriction Type Rule - New/Edit Screen~~

△ [▶ 1141]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Create Restriction Type Rule	MSC_ Create RestrictionType Rule	System	n/a	New mode
Update Restriction Type Rule	MSC_ Update RestrictionType Rule	System	n/a	Edit mode
Market-specific Restriction Type Rule Detail Query				
Market-specific Restriction Type Rule Parameter Details Query				
Market-specific Restriction Type Rule Parameter Details Query				

{Split book approach}

6.4.3.98 ~~Restriction Types - Search/List Screen~~

△ [▶ 1128]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Market-Specific Restriction List Query	MSA_ Market-SpecRes t rictList Query	System	n/a	n/a

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Delete Restriction Type	MSC_ Delete RestrictionType	System	n/a	Delete button

{Split book approach}

~~6.4.3.99 Revisions/Audit Trail - Details Screen~~

△ [▶ 1183]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Party Audit Trail Query	PDQ_ PartyAud Trail Query	Object	Party	Object Type = Party
Residual Reference Data Audit Trail Query	SDQ_ Residual Reference DataAud Trail Query	System	n/a	Object Type is not Party, T2S Dedicated Cash Account, Securities or Securities Account
Securities Account Audit Trail Query	SAQ_ SecuritiesAcc AudTrail Query	Object	Securities Account	Object Type = Securities Account
Securities Audit Trail Query	SEQ_ SecuritiesAud Trail Query	Object	Security	Object Type = Securities
Cash Account Audit Trail Query	DCQ_ T2S-Dedic CashAcc AudTrail Query	Object	T2S-Dedic-ated Cash Account	Object Type = T2S-Dedicated Cash Account

{Split book approach}

6.4.3.100 Revisions/Audit Trail - List Screen

△ [▶ 1182]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Party Audit Trail Query	PDQ_PartyAuditTrailQuery	Object	Party	Object Type = Party
Penalty Audit Trail Query	SEP_PenaltyAuditTrailQuery	System	n/a	n/a
Securities Account Audit Trail Query	SAQ_SecuritiesAccountAuditTrailQuery	Object	Securities Account	Object Type = Securities Account
Securities Audit Trail Query	SEQ_SecuritiesAuditTrailQuery	Object	Security	Object Type = Securities
Cash Account Audit Trail Query	DCQ_T2SDedicatedCashAccountAuditTrailQuery	Object	T2S Dedicated Cash Account	Object Type = T2S Dedicated Cash Account
Residual Reference Data Audit Trail Query	SDQ_ResidualReference	System	n/a	Object Type is not Party, T2S Dedicated Cash

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
	DataAud Trail Query			Account, Securities or Securities Account

{Split book approach}

~~6.4.3.101 Roles - Search/List Screen~~

△ [▶ 994]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Role List Query	ARQ_ RoleList Query	System	n/a	n/a
Delete Role	ARM- Delete Role	System	n/a	n/a

{Split book approach}

~~6.4.3.102 Role - New/Edit Screen~~

△ [▶ 996]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Create Role	ARM_ Create Role	System	n/a	New mode
Update Role	ARM_ Update Role	System	n/a	Edit mode

{Split book approach}

~~6.4.3.103 Routing - New/Edit Screen~~

△ [▶ 1096]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Create Routing	NCO_ Create Routing	System	n/a	New mode
Update Routing	NCO_ Update Routing	System	n/a	Edit mode

{Split book approach}

~~6.4.3.104 Routings - Search/List Screen~~

△ [▶ 1093]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Routing List Query	NCQ_ Routing ListQuer y	System	n/a	n/a
Delete Routing	NCO_ Delete Routing	System	n/a	Delete button

{Split book approach}

~~6.4.3.105 Secured Group - Details Screen~~

△ [▶ 1033]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Secured Group De- tails Query	ARQ_ Secured Group Details Query	System	n/a	n/a
Delete Secured Group	ARM_ Delete Secured Group	System	n/a	Delete button

{Split book approach}

~~6.4.3.106 Secured Group - New/Edit Screen~~

△ [▶ 1036]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Create Secured Group	ARM_ Create Secured Group	System	n/a	New mode
Update Secured Group	ARM_ Update Secured Group	System	n/a	Edit mode

{Split book approach}

~~6.4.3.107 Secured Groups - Search/List Screen~~

△ [▶ 1030]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Secured Group List Query	ARQ_ Secured Group- List Query	System	n/a	n/a
Secured Group De- tails query				
Delete Secured Group				

{Split book approach}

~~6.4.3.108 Securities - Search/List Screen~~

△ [▶ 734]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Securities Reference Data Query	SEQ_ SecuritiesRefer Data Query	System	n/a	n/a
ISIN List Query	SEQ_ ISINList Query	System	n/a	n/a
Delete Security	SDM_ Delete Security	Object	Securities	Delete button

{Split book approach}

~~6.4.3.109 Security - Details Screen~~

△ [▶ 738]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Securities Reference Data Query	SEQ_ SecuritiesRefer Data Query	System	n/a	n/a
Delete Security	SDM_ Delete Security	Object	Security	Delete button

{Split book approach}

~~6.4.3.110 Security - New/Edit Screen~~

△ [▶ 743]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Create Security	SDM_ Create Security	Object	Party	New mode

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Update Security	SDM_ Update Security	Object	Security	Edit mode

{Split book approach}

6.4.3.111 ~~Securities Account - Details Screen~~

△ [▶ 811]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Securities Account Reference Data Query	SAQ_ SecuritiesAccReference Data Query	Object	Party Securities Account	n/a
Delete Securities Account	SAC_ Delete Securities Account	Object	Securities Account	Delete button

{Split book approach}

6.4.3.112 ~~Securities Account - New/Edit Screen~~

△ [▶ 815]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Create Securities Account	SAC_ Create SecuritiesAccount	Object	Party	New mode

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Update Securities Account	SAC_ Update Securities Account	Object	Securities Account	Edit mode

{Split book approach}

~~6.4.3.113 Securities Accounts Search/List Screen~~

△ [▶ 806]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Securities Account List Query	SAQ_ Securities Account List Query	Object	Party	n/a
Delete Securities Account	SAC_ Delete Securities Account	Object	Securities Account	Delete button

{Split book approach}

~~6.4.3.114 Securities Accounts Link New/Edit Screen~~

△ [▶ 831]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Create CMB Securities Account Link	SAC_ Create CMB Securities Account Link	System	n/a	New mode

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Update CMB Securities Account Link	SAC_ Update CMBSecurities Account Link	System	n/a	Edit mode

{Split book approach}

~~6.4.3.115 Securities Accounts Links - Search/List Screen~~

△ [▶ 826]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Delete CMB Securities Account Link	SAC_ Delete CMBSecurities Account Link	System	n/a	Delete button
CMB Securities Account Link List Query	SAQ_ Display CMBSec AccLink List Query	System	n/a	n/a
Create CMB Securities Account Link	SAC_ Create CMBSecurities Account Link	System	n/a	New mode
Update CMB Securities Account Link	SAC_ Update CMBSecurities Account Link	System	n/a	Edit mode

{Split book approach}

~~6.4.3.116 Securities Account Transfer Management - Edit Screen~~

△ [▶ 823]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Update Securities Account	SAC_ Update Securities Account	Object	Securities Account	Edit mode

{Split book approach}

~~6.4.3.117 Security CSD Link - Details Screen~~

△ [▶ 766]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Securities CSD Link Query	SEQ_ Securities CSDLink Query	System	n/a	n/a
Delete Securities CSD Link	SDM_ Delete Security CSDLink	System	n/a	Delete button

{Split book approach}

~~6.4.3.118 Security CSD Link - New/Edit Screen~~

△ [▶ 769]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Create Security CSD Link	SDM_ Create Security CSDLink	System	n/a	New mode
Update Security CSD Link	SDM_ Update Security CSDLink	System	n/a	Edit mode

{Split book approach}

~~6.4.3.119 Security CSD Links - Search/List Screen~~

△ [▶ 761]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Securities CSD Link Query	SEQ_ Securitie sC- SDLink Query	System	n/a	n/a
Delete Security CSD Link	SDM_ Delete Security CSDLink	System	n/a	Delete button

{Split book approach}

~~6.4.3.120 Securities Valuation - New Screen~~

△ [▶ 758]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Create Securities Valuation	SDM_ Create Securi tiesValua tion	System	n/a	New mode

{Split book approach}

~~6.4.3.121 Securities Valuations - Search/List Screen~~

△ [▶ 755]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Securities Valuations Query	SEQ_SecuritiesValuationsQuery	Object	Party	n/a

{Split book approach}

~~6.4.3.122 Securities Subject to Cash Penalties - Search/List Screen~~

△ [▶ 786]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Securities Subject to Cash Penalties Query	SPQ_SecuritiesSubjecttoCashPenaltiesQuery	System	n/a	n/a
Delete Securities Subject to Cash Penalties	SPD_DeleteSecuritiesSubjecttoCashPenalties	System	n/a	n/a

{Split book approach}

~~6.4.3.123 Securities Subject to Cash Penalties - New/Edit Screen~~

△ [▶ 790]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Create Securities Subject to Cash Penalties	SPD_CreateSecuritiesSubjecttoCashPe	System	n/a	n/a

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Update Securities Subject to Cash Penalties	SPD_UpdateSecuritiesSubjecttoCashPe	System	n/a	n/a

{Split book approach}

~~6.4.3.124 Security Penalty Rate - Search/List Screen~~

△ [▶ 792]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Security Penalty Rate Query	SPQ_SecurityPenaltyRateQuery	System	n/a	n/a

{Split book approach}

~~6.4.3.125 Service Item - Details Screen~~

△ [▶ 1232]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Service Item Reference Data Query				

{Split book approach}

~~6.4.3.126 Service Items - Search/List Screen~~

△ [▶ 1230]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Service Item List Query	BIQ_ServiceItemListQuery	System	n/a	n/a

{Split book approach}

6.4.3.127 Settlement Day Search/List Screen

△ [▶ 706]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Current Status of the T2S Settlement Day	SCQ_ CurrSta tusOFF2S SettlDay	System	n/a	n/a
T2S Diary Query	SCQ_ T2SDiary Query	System	n/a	n/a

{Split book approach}

6.4.3.128 SME Growth Market Search/List Screen

△ [▶ 794]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
SME Growth Market Query	SPQ_SMEGro wthMar ketQuery	System	n/a	n/a

{Split book approach}

6.4.3.129 Standing/Predefined Liquidity Transfer Order Details Screen

△ [▶ 945]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Liquidity Transfer Order Detail Query	DCQ_ Li- quidTra nsOrder Detail Query	Object	Account	n/a

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Delete Liquidity Transfer Order	DCA_ Delete Liquidity Transfer Order	Object	Cash Ac- count	Delete button

{Split book approach}

~~6.4.3.130 Standing/Predefined Liquidity Transfer Order - New/Edit Screen~~

△ [▶ 948]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Create Liquidity Transfer Order	DCA_ Create Liquidity Transfer Order	Object	Cash Ac- count	New mode
Update Liquidity Transfer Order	DCA_ Update Liquidity Transfer Order	Object	Cash Ac- count	Edit mode

{Split book approach}

~~6.4.3.131 Standing/Predefined Liquidity Transfer Orders - Search/List Screen~~

△ [▶ 939]

Privilege	Privilege Code	Privilege Type	Object Types	Screen-Criteria
Liquidity Transfer Order List Query	DCQ_ Li- quidTra nsOrderL i stQuery	Object	Account	n/a

Standing/Predefined Liquidity Transfer Order Link Set - Details Screen

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Delete Liquidity Transfer Order	DCA_ Delete Liquidity Transfer Order	Object	Cash Ac- count	Delete button
Liquidity Transfer Or- der Detail Query	DCQ_ Li- quidTra nsOrder Detail Query	Object	Account	n/a

{Split book approach}

~~6.4.3.132 Standing/Predefined Liquidity Transfer Order Link Set - Details Screen~~

△ [▶ 959]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Delete Liquidity Transfer Order Link Set	DCA_ Delete Liquidity TranOrd erLink- Set	System	n/a	Delete button
Liquidity Transfer Or- der Link Set Query	DCQ_ Liquid Trans Order- Link SetQuery	System	n/a	n/a
Liquidity Transfer Or- der of Liquidity Trans- fer Order Link Set Query				

{Split book approach}

~~6.4.3.133 Standing/Predefined Liquidity Transfer Order Link Set - New/Edit Screen~~

△ [▶ 963]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Create Liquidity Transfer Order Link Set	DCA_ Create Liquidity TranOrd erLink- Set	System	n/a	New mode
Update Liquidity Transfer Order Link Set	DCA_ Update Liquidity TranOrd erLink- Set	System	n/a	Edit mode

{Split book approach}

~~6.4.3.134 Standing/Predefined Liquidity Transfer Order Link Sets - Search/List Screen~~

△ [▶ 955]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Liquidity Transfer Order Link Set Query	DCQ_ Liquid Trans Order- Link SetQuery	System	Party	n/a
Delete Liquidity Transfer Order Link Set	DCA_ Delete Liquidity TranOrd erLink- Set	System	n/a	Delete button

{Split book approach}

~~6.4.3.135 System Entities Search/List Screen~~

△ [▶ 1178]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
System Entity Query	SDQ_ System Entity Query	System	n/a	n/a

{Split book approach}

~~6.4.3.136 Technical Addresses Network Services Link Details Screen~~

△ [▶ 893]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Technical Address Network Service Link Details Query	NCQ_ Display Te- chAddr essNet SerLink	System	n/a	n/a

{Split book approach}

~~6.4.3.137 Technical Addresses Network Services Link New/Edit Screen~~

△ [▶ 894]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Create Technical Address Network Service Link	NCO_ Create Techn Address NetServ Link	System	n/a	New mode
Delete Technical Address Network Service Link	NCO_ Delete Techn	System	n/a	Delete button

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
	Address NetServ Link			

{Split book approach}

~~6.4.3.138 Tolerance Amounts - Search/List Screen~~

△ [▶ 1180]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Tolerance Amount Query	SDQ_Tolerance Amount Query	System	n/a	n/a
Delete Tolerance Amount				

{Split book approach}

~~6.4.3.139 User - Details Screen~~

△ [▶ 977]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
System User Query		System	n/a	n/a
Delete User	ARM_Delete User	System	n/a	Delete button

{Split book approach}

~~6.4.3.140 User - New/Edit Screen~~

△ [▶ 979]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Create User	ARM_ Create User	System	n/a	New mode
Update User	ARM_ Update User	System	n/a	Edit mode

{Split book approach}

~~6.4.3.141 User Access Rights - List Screen~~

△ [▶ 1041]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Access Rights Query	ARQ_ Access Rights Query	System	n/a	n/a

{Split book approach}

~~6.4.3.142 User Access Rights - Search Screen~~

△ [▶ 1039]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Access Rights Query	ARQ_ Access Rights Query	System	n/a	n/a

{Split book approach}

~~6.4.3.143 User Certificate Distinguished Name Links - Search/List Screen~~

△ [▶ 986]

User Certificate Distinguished Name Link - New Screen

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Delete User Certificate Distinguished Name Links	ARM_DeleteUserCertificateDNLink	System	n/a	Delete button
User Certificate Distinguished Name Links Query				

{Split book approach}

~~6.4.3.144 User Certificate Distinguished Name Link - New Screen~~

△ [▶ 989]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Create User Certificate Distinguished Name Links	ARM_CreateUserCertificateDNLink	System	n/a	New mode
Update User Certificate Distinguished Name Links				

{Split book approach}

~~6.4.3.145 Users - Search/List Screen~~

△ [▶ 973]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
System User Query	ARQ_T2SSysUserQueryT2SActorQuery	System	n/a	n/a

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Delete User	ARM_ Delete User	System	n/a	Delete button

{Split book approach}

6.4.4 Privileges for T2S GUI Screens

6.4.4.1 Allegement Instruction - Details Screen

△ [▶ 104]

No privileges.

6.4.4.2 Allegement Instructions - Search/List Screen

△ [▶ 100]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Allegation Query	DDQ_ Allegment Query	Object	Party	n/a

6.4.4.3 Amendment Cash Instruction - Details Screen

△ [▶ 413]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Amendment Instruction for Intra-Balance Movement Audit Trail List Query	DDQ_Am dInstrFor IntraBal MovAud TrLisQ	Object	T2S Dedic- ated Cash Account (ana- log Amend- ment Instruc- tion Query for Intra Balance Movements)	n/a

6.4.4.4 Amendment Cash Instruction - New Screen

△ [▶ 418]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Link to an Instruction / Pool Reference belonging to a specific Party	SIG_LIP RP	Object	Party	Actor Reference or T2S Reference in Linkages block
Amend Process Indicator Settlement Restriction on Cash on a Dedicated Cash Account	CAM_AS RCA	Object	T2S Dedicated Cash Account	T2S Dedicated Cash Account Number
Send new instruction using a specific Instructing Party	SIG_SIU IP	Object	Party	n/a

6.4.4.5 Amendment Securities Instruction - Details Screen

△ [▶ 108]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Amendment Instruction for Intra-Position Movement or Settlement Instruction Audit Trail List Query	DDQ_Am dInsIntrP osMovSet InsAud TrLisQ	Object	Securities Account	n/a

6.4.4.6 Amendment Securities Instruction - New Screen

△ [▶ 113]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Send new instruction using a specific Instructing Party	SIG_SIU IP	Object	Party	n/a
Link to an Instruction / Pool Reference belonging to a specific Party	SIG_LIP RP	Object	Party	Actor Reference or T2S Reference in Linkages block
Amend Process Indicator of a Settlement Instruction/Settlement Restriction on Security	SIG_AM NPI	Object	Party Securities Account	n/a

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
ies either on a Securities Accountor or on Behalf of the CSD in T2S or on Behalf of an external CSD				
Amend Process Indicator of a non-modifiable Settlement Instruction on behalf of the Instructing Party of the underlying instruction	SIC_AN MBI	Object	Party	n/a

6.4.4.7 Available Report - Current Settlement Day Cash Information (Following Settlement Day Cash Forecast) Report - Details Screen

△ [▶ 467]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Report Details Query	RCO_ Report Details Query	System	n/a	n/a

6.4.4.8 Available Report - Details Screen

△ [▶ 465]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Report Details Query	RCO_ Report Details Query	System	n/a	n/a
Report List Query	RCO_ Report ListQuery	System	n/a	n/a

6.4.4.9 Available Report - Statement of Accounts - Details Screen

△ [▶ 472]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Report Details Query	RCO_ Report Details Query	System	n/a	n/a

6.4.4.10 Available Report - Statement of Executed Amendment Instructions for Intra-Balance Movements - Details Screen

△ [▶ 475]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Report Details Query	RCO_ Report Details Query	System	n/a	n/a

6.4.4.11 Available Report - Statement of Executed Amendment Instructions for Intra-Position Movements and Settlement Instructions - Details Screen

△ [▶ 480]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Report Details Query	RCO_ Report Details Query	System	n/a	n/a

6.4.4.12 Available Report - Statement of Executed Cancellation Instructions for Intra-Balance Movements - Details Screen

△ [▶ 489]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Report Details Query	RCO_ Report Details Query	System	n/a	n/a

6.4.4.13 Available Report - Statement of Executed Cancellation Instructions for Intra-Position Movements and Settlement Instructions - Details Screen

△ [▶ 493]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Report Details Query	RCO_ Report Details Query	System	n/a	n/a

6.4.4.14 Available Report - Statement of Holdings - Details Screen

△ [▶ 501]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Report Details Query	RCO_ Report Details Query	System	n/a	n/a

6.4.4.15 Available Report - Statement of Pending Amendment Instructions for Intra-Balance Movements - Details Screen

△ [▶ 506]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Report Details Query	RCO_ Report Details Query	System	n/a	n/a

6.4.4.16 Available Report - Statement of Pending Amendment Instructions for Intra-Position Movements and Settlement Instructions - Details Screen

△ [▶ 511]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Report Details Query	RCO_ Report Details Query	System	n/a	n/a

6.4.4.17 Available Report - Statement of Pending Cancellation Instructions for Intra-Balance Movements - Details Screen

△ [▶ 520]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Report Details Query	RCO_ Report Details Query	System	n/a	n/a

6.4.4.18 Available Report - Statement of Pending Cancellation Instructions for Intra-Position Movements and Settlement Instructions - Details Screen

△ [▶ 524]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Report Details Query	RCO_ Report Details Query	System	n/a	n/a

6.4.4.19 Available Report - Statement of Pending Instructions - Details Screen

△ [▶ 532]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Report Details Query	RCO_ Report Details Query	System	n/a	n/a

6.4.4.20 Available Report - Statement of Pending Intra-Balance Movements - Details Screen

△ [▶ 541]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Report Details Query	RCO_ Report Details Query	System	n/a	n/a

6.4.4.21 Available Report - Statement of Pending Intra-Position Movements - Details Screen

△ [▶ 546]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Report Details Query	RCO_ Report Details Query	System	n/a	n/a

6.4.4.22 Available Report - Statement of Settled Intra-Balance Movements - Details Screen

△ [▶ 551]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Report Details Query	RCO_ Report Details Query	System	n/a	n/a

6.4.4.23 Available Report - Statement of Settled Intra-Position Movements - Details Screen

△ [▶ 556]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Report Details Query	RCO_ Report Details Query	System	n/a	n/a

6.4.4.24 Available Report - Statement of Settlement Allegements - Details Screen

△ [▶ 559]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Report Details Query	RCO_ Report Details Query	System	n/a	n/a

6.4.4.25 Available Report - Statement of Transactions - Details Screen

△ [▶ 572]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Report Details Query	RCO_Report Details Query	System	n/a	n/a

6.4.4.26 Available Reports - Search/List Screen

△ [▶ 460]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Report List Query	RCO_Report ListQuery	System	n/a	n/a

6.4.4.27 Available Reports – Daily Penalty List – Details Screen

△

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Report Details Query	RCO_Report Details Query	System	n/a	n/a

6.4.4.28 Available Reports – List of Modified Penalties – Details Screen

△

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Report Details Query	RCO_Report Details Query	System	n/a	n/a

6.4.4.29 Available Reports – Monthly Aggregated Amounts – Details Screen

△

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Report Details Query	RCO_ Report Details Query	System	n/a	n/a

6.4.4.30 Broadcast - Details Screen

△ [▶ 628]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Broadcast Query	DDQ_ Broad cast Query	System	n/a	n/a

6.4.4.31 Broadcast - New Screen

△ [▶ 630]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
New Broadcast	MMA_ New Broad cast	System	n/a	n/a

6.4.4.32 Broadcasts - Search/List Screen

△ [▶ 625]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Broadcast Query	DDQ_ Broad cast Query	System	n/a	n/a

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
New Broadcast	MMA_ New Broad cast	System	n/a	n/a

6.4.4.33 Calender - Details Screen

△ [▶ 709]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
T2S calendar query	SCQT2S- Calender- Query	System	n/a	n/a

6.4.4.34 Cancellation Cash Instruction - Details Screen

△ [▶ 425]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Cancellation Instruction for Intra-Balance Movement Audit Trail Details Query	DDQ_ CanInstr IntraBala nMovAud TrDetQ	Object	T2S Dedic- ated Cash Account (ana- log Cancell- ation Instruc- tions for Intra Balance Movements Query)	Transactional Data Object Type

6.4.4.35 Cancellation Cash Instruction - New Screen

△ [▶ 428]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Send new instruction using a specific Instructing Party	SIG_SIU IP	Object	Party	n/a

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Cancel Settlement Restriction on Cash on a Dedicated Cash Account	CAM_CS RCA	Object	T2S Dedicated Cash Account	T2S Dedicated Cash Account

6.4.4.36 Cancellation Securities Instruction - Details Screen

△ [▶ 123]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Cancellation Instruction for Intra-Position Movement or Settlement Instruction Audit Trail List Query	DDQ_ Canclnst rIntraPo sMovAud TrLisQ	Object	Securities Account	n/a

6.4.4.37 Cancellation Securities Instruction - New Screen

△ [▶ 127]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Send new instruction using a specific Instructing Party	SIG_SIU IP	Object	Party	n/a
Cancel Settlement Instruction / Settlement Restriction on Securities either on a Securities Account or on Behalf of the CSD in T2S, on Behalf on external CSD or on Behalf of an Administering Party	SIG_CA NCI	Object	Party Securities Account	n/a

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Cancel Non-modifiable Instructions on behalf of the Instructing party of the Underlying Settlement Instruction	SIC_CN MBI	Object	Party	n/a

6.4.4.38 Cash Forecast - Details Screen

△ [▶ 341]

No privileges.

6.4.4.39 Cash Forecasts - Search/List Screen

△ [▶ 333]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Cash Forecast Query	DDQ_ Cash Forecast Query	Object	Party	n/a

6.4.4.40 Cash Restriction Details - Search/List Screen

△ [▶ 347]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
T2S Dedicated Cash Account Balance Detailed Restrictions Query	DDQ_T2S DCABalan Detailed Restrict Query	Object	T2S Dedic- ated Cash Account	n/a

6.4.4.41 Collateral Value of a Security - Search/List Screen

△ [▶ 350]

Collateral Value per T2S Dedicated Cash Account and Security - Search/List Screen

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Collateral Value of a Security Query	DDQ_ Collat Value-of- Security Query	System	n/a	n/a

6.4.4.42 Collateral Value per T2S Dedicated Cash Account and Security - Search/List Screen

△ [▶ 353]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Collateral Value per T2S Dedicated Cash Account Query	DDQ_ Collat ValuePer T2SDCA Query	System	n/a	n/a

6.4.4.43 Settlement Day - Search/List Screen

△

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Cumulative Billing Data List	BIQ_ Cumula tiveBill DataList Query	Object	Party	n/a
Cumulative Billing Data	BIQ_ Cumulat- ive Bill Data-De- tails Query	Object	Party	n/a

6.4.4.44 Data Change - Details Screen

△ [▶ 620]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Data Changes of a Business Object List Query	DDQ_ Data Chan- Business ObjList Query	System	n/a	n/a
Data Changes of a Business Object Details Query	DDQ_ Data Chan- Business ObjDetail Query	System	n/a	n/a

6.4.4.45 Data Changes - Search/List Screen

△ [▶ 616]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Data Changes of a Business Object List Query	DDQ_ Data Chan- Business ObjList Query	System	n/a	n/a
Data Changes of a Business Object Details Query	DDQ_ Data Chan- Business ObjDetail Query	System	n/a	n/a

6.4.4.46 Hold/Release Instruction - Details Screen

△ [▶ 132]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Hold/Release Instruction Audit Trail List Query	DDQ_HoldReleaseInstrAuditTrailListQuery	Object	Securities Account (analog Hold/Release Instruction Query)	n/a

6.4.4.47 Hold/Release Instruction - New Screen

△ [▶ 136]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Party Hold Settlement Instruction on a Securities Account or on Behalf of an external CSD	SIG_PTYHI	Object	Party Securities Account	Party Hold (flagged)
CSD Hold Settlement Instruction either on a Securities Account or on Behalf of the CSD in T2S	SIC_CSDHI	Object	Securities Account/Party	CSD Hold (flagged)
Release Party Hold Settlement Instruction on a Securities Account or on Behalf of an external CSD	SIG_RPTYH	Object	Securities Account/Party	Party Release (flagged)
Release Party Hold Auto-collateralisation Instruction on a Securities Account	SIG_RPTYA	Object	Securities Account/Party	Party Release (flagged)
Release CSD Hold Settlement Instruction either on a Securities Account or on Behalf of the CSD in T2S	SIC_RCSDH	Object	Securities Account/Party	CSD Release (flagged)

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Release CSD Validation Hold Settlement Instruction either on a Securities Account or on Behalf of the CSD in T2S	SIC_RC SDV	Object	Securities Account/Party	CSD Validation Release (flagged)
Release CoSD Hold Settlement Instruction on Behalf of an Administering Party	SIC_RC OSD	Object	Party	CoSD Release (flagged)
Hold Non-modifiable Instructions on behalf of the Instructing party of the Underlying Settlement Instruction	SIC_HN MBI	Object	Party	n/a
Release Non-modifiable Instructions on behalf of the Instructing party of the Underlying Settlement Instruction	SIC_RN MBI	Object	Party	n/a

6.4.4.48 Immediate Liquidity Transfer Order - New Screen

△ [▶ 395]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Send New Liquidity Transfer	CAM_ Send New Liquidity Transfer	Object	Party T2S Dedic- ated Cash Account	n/a

6.4.4.49 Immediate Liquidity Transfer Order- Details Screen

△ [▶ 390]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Immediate Liquidity Transfer Order Detail Query	DDQ_ImmedLiquidTransOrderDetQuery	Object	T2S Dedicated Cash Account	n/a
Immediate Liquidity Transfer Order Audit Trail List Query	DDQ_ImmedLiquidTransOrderAudTrListQ	Object	T2S Dedicated Cash Account	n/a

6.4.4.50 Immediate Liquidity Transfer Orders - Search/List Screen

△ [▶ 382]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Immediate Liquidity Transfer Order List Query	DDQ_ImmedLiquidTransOrderListQuery	Object	T2S Dedicated Cash Account	n/a
Immediate Liquidity Transfer Order Detail Query	DDQ_ImmedLiquidTransOrderDetQuery	Object	T2S Dedicated Cash Account	n/a

6.4.4.51 Inbound File - Details Screen

△ [▶ 634]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Inbound Files Details Query	DDQ_InboundFilesDetailsQuery	System	n/a	n/a

6.4.4.52 Inbound Files - Search/List Screen

△ [▶ 631]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Inbound Files List Query	DDQ_InboundFilesListQuery	System	n/a	n/a

6.4.4.53 Inbound Message - Details Screen

△ [▶ 644]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Inbound Message Details Query	DDQ_InboundMessDetailsQuery	System	n/a	n/a

6.4.4.54 Inbound Messages - Search/List Screen

△ [▶ 637]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Inbound Message List Query	DDQ_InboundMessListQuery	System	n/a	n/a

6.4.4.55 Insolvency procedure Settlement Instructions - Search/List Screen

△ [▶ 279]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Settlement Instruction Matched and Accepted Status Query Privilege	DDQ_SettlementInstruc	Object	Securities Account, Party	n/a

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
	tMtchAcceptStsQuery			

6.4.4.56 Intra-Balance Movement - Details Screen

△ [▶ 438]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Intra-Balance Movement Audit Trail List Query	DDQ_IntraBalanMovAuditTrailListQuery	Object	T2S Dedicated Cash Account	n/a

6.4.4.57 Intra-Balance Movement - New Screen

△ [▶ 445]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Send New Settlement Restriction on Cash on a Dedicated Cash Account	CAM_SNDRC	Object	T2S Dedicated Cash Account	Dedicated Cash Account Number (just for the object)
Unblock CoSD Cash Blocking related to cancelled CoSD Settlement Instructions on Behalf of the relevant CSD in T2S	CAM_UCBBI	Object	Party	n/a

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Link to an Instruction/ Pool Reference belong- ing to a specific Party	SIG_LIP RP	Object	Party	Actor Reference or T2S Reference in Linkages block
Send new instruction using a specific In- structing Party	SIG_SIU IP	Object	Party	n/a

6.4.4.58 Intra-Balance Movements - Search/List Screen

△ [▶ 431]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Intra-Balance Move- ments Query	DDQ_ IntraBal anceMov Query	Object	T2S Dedic- ated Cash Account	n/a

6.4.4.59 Intra-Position Movement - Details Screen

△ [▶ 154]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Intra-Position Move- ments Query	DDQ_Int raPos- Mov Query	Object	Securities Ac- count	n/a

6.4.4.60 Intra-Position Movement - New Screen

△ [▶ 161]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Send New Settlement Instruction/Settlement Restriction on Securit- ies either on a Securit- ies Account or on Be- half of an external CSD	SIG_SN DSI	Object	Securities Ac- count	Securities Account (just for the object)

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Unblock CoSD Securities Blocking related to cancelled CoSD Settlement Instructions on Behalf of the relevant CSD in T2S	SIC_US BBI	Object	Party	n/a
Link to an Instruction / Pool Reference belonging to a specific Party	SIG_LIP RP	Object	Party	Actor Reference or T2S Reference in Linkages block
Send new instruction using a specific Instructing Party	SIG_SIU IP	Object	Party	n/a

6.4.4.61 Intra-Position Movements - Search/List Screen

△ [▶ 147]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Intra-Position Movements Query	DDQ_Int raPosMov Query	Object	Securities Account	n/a

6.4.4.62 Limit Utilisation - Search/List Screen

△ [▶ 357]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Limit Utilisation Query	DDQ_ LimitUtilisation Query	System	n/a	n/a

6.4.4.63 Limit Utilisation Journal - Search/List Screen

△ [▶ 362]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Limit Utilisation Journal Query	DDQ_LimitUtilisationJournalQuery	System	n/a	n/a

6.4.4.64 Maintenance Cash Instructions – Search/List Screen

△ [▶ 456]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Cancellation Instructions for Intra-Balance Movements Query	DDQ_CanclInstructIntraBalanMovQuery	Object	T2S Dedicated Cash Account	'Maintenance Instruction Type'. Mandatory field that identify the kind of Maintenance Instruction queried.
Maintenance Instruction for Intra-Balance Movements Query	DDQ_MaintInstrForIntraBalanMovQuer	Object	T2S Dedicated Cash Account	'Maintenance Instruction Type'. Mandatory field that identify the kind of Maintenance Instruction queried.
Amendment Instruction Query for Intra-Balance Movements	DDQ_AmendInstrQueryForIntraBalanMov	Object	T2S Dedicated Cash Account	'Maintenance Instruction Type'. Mandatory field that identify the kind of Maintenance Instruction queried.

6.4.4.65 Maintenance Securities Instructions – Search/List Screen

△ [▶ 174]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Cancellation Instruction Query for Intra-Position Movements and Settlement Instructions	DDQ_ CanclInstr ForSI+Int raPosMov Query	Object	Securities Ac- count	'Maintenance In- struction Type' Mandatory field that identify the kind of Mainte- nance Instruction queried.
Maintenance for Intra-Position Movements and Settlement Instructions Query	DDQ_ MaintFor IntraPos Mov+Set tlInstrQ	Object	Securities Ac- count	'Maintenance In- struction Type' Mandatory field that identify the kind of Mainte- nance Instruction queried.
Amendment Instruction Query for Intra-Position Movements and Settlement Instructions	DDQ_ Amend InstrQ IntraPos Mov+Set Instr	Object	Securities Ac- count	'Maintenance In- struction Type' Mandatory field that identify the kind of Mainte- nance Instruction queried.

6.4.4.66 Outbound File - Details Screen

△ [▶ 652]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Outbound Files Details Query	DDQ_ Outbound FilesDet ailsQuery	System	n/a	n/a
Resend Communication	MMA_ Resend Commu- nication	Object	Party	'Resend' button

6.4.4.67 Outbound Files - Search/List Screen

△ [▶ 649]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Outbound Files List Query	DDQ_ Outbound FilesList Query	System	n/a	n/a
Outbound Files Details Query	DDQ_ Outbound Files Details Query	System	n/a	n/a
Resend Communication	MMA_ Resend Communi- cation	Object	Party	'Resend' button

6.4.4.68 Outbound Message - Details Screen

△ [▶ 662]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Outbound Message Details Query	DDQ_ Outbound MessDet ailsQuery	System	n/a	n/a
Resend Communication	MMA_ Resend Communi- cation	Object	Party	'Resend' button

6.4.4.69 Outbound Messages - Search/List Screen

△ [▶ 654]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Outbound Message List Query	DDQ_ Outbound MessList Query	System	n/a	n/a

Outstanding Auto-Collateralisation Credits - Search/List Screen

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Outbound Message Details Query	DDQ_ Outbound Mess Details Query	System	n/a	n/a
Resend Communication	MMA_ Resend Communi- cation	Object	Party	'Resend' button

6.4.4.70 Outstanding Auto-Collateralisation Credits - Search/List Screen

△ [▶ 401]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Outstanding Auto-Collateralisation Credit Query	DDQ_ Outstand Auto-Coll Credit Query	System	n/a	n/a

6.4.4.71 Overall Liquidity - Search/List Screen

△ [▶ 405]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
T2S Overall Liquidity Query	DDQ_ T2S Overall Liquidity Query	Object	Party	n/a

6.4.4.72 Penalty – Search/List Screen

△

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Penalty List Query Privilege	SPQ_PenaltyListQuery	System	n/a	n/a
Settlement Instruction Audit Trail Query	DDQ_SettlementInstructionAuditTrailQuery	Object	Securities Account Party	n/a

6.4.4.73 Penalty – Details Screen



Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Penalty Details Query	SPQ_PenaltyDetailsQuery	System	n/a	n/a

6.4.4.74 Monthly Net Penalty Amounts – Search/List Screen



Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Monthly Net Amount Query	SPQ_MonthlyNetPenaltyAmountQuery	System	n/a	n/a

6.4.4.75 Penalty Removal Screen



Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Modification of penalties	SEP_ModificationOfPenalties	System	n/a	Edit mode
Settlement Instruction Audit Trail Query	Securities Account Party	n/a	Securities Account Party	n/a

6.4.4.76 Penalty Re-allocation Screen

△

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Modification of penalties	SEP_ModificationOfPenalties	System	n/a	Edit mode
Settlement Instruction Audit Trail Query	Securities Account Party	n/a	Securities Account Party	n/a

6.4.4.77 Penalty Switch Screen

△

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Modification of penalties	SEP_ModificationOfPenalties	System	n/a	Edit mode
Settlement Instruction Audit Trail Query	Securities Account Party	n/a	Securities Account Party	n/a

6.4.4.78 Resend Communication Screen

△ [▶ 666]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Resend Communication	MMA_Resend Communication	Object	Party	n/a

6.4.4.79 Revisions/Audit Trail - List Screen

△ [▶ 670]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Amendment Instruction for Intra-Position Movement or Settlement Instruction Audit Trail List Query	DDQ_Am-dInsIntr-PosMov-SetIn-sAudTrL-isQ	Object	Securities Account	n/a
Amendment Instruction for Intra-Balance Movement Audit Trail List Query	DDQ_Am-dInstrFor-IntraBal-MovAudTrLisQ	Object	T2S Dedicated Cash Account	n/a
Cancellation Instruction for Intra-Balance Movement Audit Trail List Query	DDQ_Can-clnstrIntra-Balan-MovAudTrLisQ	Object	Securities Account	n/a
Cancellation Instruction for Intra-Position Movement or Settlement Instruction Audit Trail List Query	DDQ_Can-clnstrIntra-Pos-MovAudTrLisQ	Object	T2S Dedicated Cash Account	n/a

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Immediate Liquidity Transfer Order Audit Trail List Query	DDQ_ImmediateLiquidityTransferOrderAuditTrailListQuery	Object	T2S Dedicated Cash Account	n/a
Intra-Balance Movement Audit Trail List Query	DDQ_IntraBalanceMovementAuditTrailListQuery	Object	T2S Dedicated Cash Account	n/a
Intra-Position Movement Audit Trail List Query	DDQ_IntraPositionMovementAuditTrailListQuery	Object	Securities Account	n/a
Party Audit Trail Query	PDQ_PartyAuditTrailQuery	Object	Party	Object Type = Party
Securities Account Audit Trail Query	SAQ_SecuritiesAccountAuditTrailQuery	Object	Securities Account	Object Type = Securities Account
Securities Audit Trail Query	SEQ_SecuritiesAuditTrailQuery	Object	Security	Object Type = Securities
Settlement Instruction Audit Trail Query	DDQ_SettlementInstructionAuditTrailQuery	Object	Securities Account Party	n/a

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
T2S Dedicated Cash Account Audit Trail Query	DCQ_ T2S Dedic CashAcc AudTrail Query	Object	T2S Dedic- ated Cash Account	Object Type = T2S Dedicated Cash Account
Residual Static Data Audit Trail Query	SDQ_ Residual Static DataAud Trail Query	System	n/a	Object Type is not Party, T2S Dedic- ated Cash Ac- count, Securities or Securities Ac- count

6.4.4.80 Securities Position - Details Screen

△ [▶ 184]

No privileges.

6.4.4.81 Securities Positions - Search/List Screen

△ [▶ 179]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Securities Account Position Query	DDQ_ Securitie sAccount Position Query	Object	Securities Ac- count	n/a

6.4.4.82 Securities Postings - Search/List Screen

△ [▶ 92]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Securities Posting Query	DDQ_SecuritiesPostingQuery	Object	Securities Account	n/a

6.4.4.83 Securities Restriction Details - Search/List Screen

△ [▶ 96]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Securities Position Detailed Restriction Details Query	DDQ_SecurPosDetailedRestrDetailsQuery	Object	Securities Account	n/a

6.4.4.84 Settlement Instruction - Details Screen

△ [▶ 201]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Settlement Instruction Audit Trail Query	DDQ_SettlInstructAuditTrailQuery	Object	Securities Account, Party	n/a
<u>Settlement Instruction Query</u>	<u>DDQ_SettlInstructQuery</u>	<u>Object</u>	<u>Securities Account, Party</u>	<u>n/a</u> {CR 596}

6.4.4.85 Settlement Instruction - New Screen

△

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Send New Settlement Instruction/Settlement Restriction on Securities either on a Securities Account or on Behalf of an external CSD	SIG_SN DSI	Object	Securities Account Party	Securities Account (just for the object)
Send Settlement Instruction with non-modifiable flag activated	SIC_SIN MF	System	n/a	Allowed Modification Flag= No
Link to an Instruction / Pool Reference belonging to a specific Party	SIG_LIP RP	Object	Party	Actor Reference or T2S Reference in Linkages block
Party Hold Settlement Instruction on a Securities Account or on Behalf of an external CSD	SIG_PTY HI	Object	Securities Account Party	Party Hold (flagged)
CSD Hold Settlement Instruction either on a Securities Account or on Behalf of the CSD in T2S	SIC_CS DHI	Object	Securities Account Party	CSD Hold (flagged)
Use ISO Transaction Code AUTO (Auto-Collateralisation)	SII_UAU TO	System	n/a	Securities Transaction Type
Use ISO Transaction Code BYIY (BuyIn)	SII_UBYI Y	System	n/a	Securities Transaction Type
Use ISO Transaction Code BSBK (Buy Sell Back)	SII_UBS BK	System	n/a	Securities Transaction Type
Use ISO Transaction Code CLAI (Market Claim)	SII_UCL AI	System	n/a	Securities Transaction Type

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Use ISO Transaction Code CNCB (Central Bank Collateral Operation)	SII_UCN CB	System	n/a	Securities Transaction Type
Use ISO Transaction Code COLI (Collateral In)	SII_UCO LI	System	n/a	Securities Transaction Type
Use ISO Transaction Code COLO (Collateral Out)	SII_UCO LO	System	n/a	Securities Transaction Type
Use ISO Transaction Code CONV (Depositary Receipt Conversion)	SII_UCO NV	System	n/a	Securities Transaction Type
Use ISO Transaction Code CORP (Corporate Action)	SII_UCO RP	System	n/a	Securities Transaction Type
Use ISO Transaction Code ETFT (Exchange Traded Funds)	SII_UET FT	System	n/a	Securities Transaction Type
Use ISO Transaction Code FCTA (Factor Update)	SII_UFC TA	System	n/a	Securities Transaction Type
Use ISO Transaction Code INSP (Move Of Stock)	SII_UIN SP	System	n/a	Securities Transaction Type
Use ISO Transaction Code ISSU (Issuance)	SII_UIS SU	System	n/a	Securities Transaction Type
Use ISO Transaction Code MKDW (Mark Down)	SII_UMK DW	System	n/a	Securities Transaction Type
Use ISO Transaction Code MKUP (Mark Up)	SII_UMK UP	System	n/a	Securities Transaction Type
Use ISO Transaction Code NETT (Netting)	SII_UNE TT	System	n/a	Securities Transaction Type

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Use ISO Transaction Code NSYN (Non Syndicated)	SII_UNSYN	System	n/a	Securities Transaction Type
Use ISO Transaction Code OWNE (External Account Transfer)	SII_UOWNE	System	n/a	Securities Transaction Type
Use ISO Transaction Code OWNI (Internal Account Transfer)	SII_UOWNI	System	n/a	Securities Transaction Type
Use ISO Transaction Code PAIR (Pair Off)	SII_UPAIR	System	n/a	Securities Transaction Type
Use ISO Transaction Code PLAC (Placement)	SII_UPLAC	System	n/a	Securities Transaction Type
Use ISO Transaction Code PORT (Portfolio Move)	SII_UPORT	System	n/a	Securities Transaction Type
Use ISO Transaction Code REAL (Realignment)	SII_UREAL	System	n/a	Securities Transaction Type
Use ISO Transaction Code REDI (Withdrawal)	SII_UREDI	System	n/a	Securities Transaction Type
Use ISO Transaction Code REDM (Redemption)	SII_UREDM	System	n/a	Securities Transaction Type
Use ISO Transaction Code RELE (Depositary Receipt Release Cancellation)	SII_URELE	System	n/a	Securities Transaction Type
Use ISO Transaction Code REPU (Repo)	SII_UREPU	System	n/a	Securities Transaction Type
Use ISO Transaction Code RODE (Return Delivery Without Matching)	SII_URODE	System	n/a	Securities Transaction Type

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Use ISO Transaction Code RVPO (Reverse Repurchase agreement)	SII_URVPO	System	n/a	Securities Transaction Type
Use ISO Transaction Code SBBK (Sell Buy Back)	SII_USBK	System	n/a	Securities Transaction Type
Use ISO Transaction Code SBRE (Borrowing Reallocation)	SII_USBRE	System	n/a	Securities Transaction Type
Use ISO Transaction Code SECB (Securities Borrowing)	SII_USECB	System	n/a	Securities Transaction Type
Use ISO Transaction Code SECL (Securities Lending)	SII_USECL	System	n/a	Securities Transaction Type
Use ISO Transaction Code SLRE (Lending Reallocation)	SII_USLRE	System	n/a	Securities Transaction Type
Use ISO Transaction Code SUBS (Subscription)	SII_USUBS	System	n/a	Securities Transaction Type
Use ISO Transaction Code SWIF (Switch From)	SII_USWIF	System	n/a	Securities Transaction Type
Use ISO Transaction Code SWIT (Switch To)	SII_USWIT	System	n/a	Securities Transaction Type
Use ISO Transaction Code SYND (Syndicate Underwriters)	SII_USYND	System	n/a	Securities Transaction Type
Use ISO Transaction Code TBAC (TBA Closing)	SII_UTBAC	System	n/a	Securities Transaction Type
Use ISO Transaction Code TRAD (Trade)	SII_UTRAD	System	n/a	Securities Transaction Type

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Use ISO Transaction Code TRPO (Triparty Repo)	SII_UTRPO	System	n/a	Securities Transaction Type
Use ISO Transaction Code TRVO (Triparty Reverse Repo)	SII_UTRVO	System	n/a	Securities Transaction Type
Use ISO Transaction Code TURN (Turn-around)	SII_UTURN	System	n/a	Securities Transaction Type
Send new instruction using a specific Instructing Party	SIG_SIUIP	Object	Party	n/a

6.4.4.86 Settlement Instructions - Search/List Screen

△ [▶ 187]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Settlement Instruction Query	DDQ_SettlInstruct Query	Object	Securities Account, Party	n/a

6.4.4.87 Status History - Details Screen

△ [▶ 276]

No privileges.

6.4.4.88 T2S DCA Balance - Details Screen

△ [▶ 370]

No privileges.

6.4.4.89 T2S DCA Balances - Search/List Screen

△ [▶ 366]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
T2S Dedicated Cash Account Balance Query	DDQ_ T2SDedi cated CashAcc Balance Query	Object	T2S Dedic- ated Cash Account	n/a

6.4.4.90 T2S DCA Postings - Search/List Screen

△ [▶ 372]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
T2S Dedicated Cash Account Posting Query	DDQ_ T2S Dedicated CashAcc Posting Query	Object	T2S Dedic- ated Cash Account	n/a

6.4.4.91 Total Amount of Standing/Predefined Liquidity Transfer Orders - Search/List Screen

△ [▶ 409]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Total Amount of Standing and Predefined Orders Query	DCQ_ TotAmou ntStand+ Predef OrdQuery	Object	T2S Dedic- ated Account	n/a

6.4.4.92 Total Collateral Value per T2S Dedicated Cash Account - Search/List Screen

△ [▶ 378]

Privilege	Privilege Code	Privilege Type	Object Types	Screen Criteria
Total Collateral Value per T2S Dedicated Cash Account Query	DDQ_TotalCollatValuePerT2SDCAQuery	System	n/a	n/a

6.4.5 Privilege Classes for LTSI Screens

Class of Privileges	Privilege Name	Privilege Short Name
Other	Long Term Statistical Information – Advanced User	ARM_GrantPrivilege
Other	Long Term Statistical Information Access	LTSI Access

6.4.6 Privileges for LTSI Screens

LTSI user profiles are created based on the Static data Privileges and also on the Party identifiers, stored in Static Data Users, and their party types associated. LTSI can also provide access to internal users, not sent by Static Data.

Possible LTSI privileges can be:

- NCB end user: Static Data Party type= NCB, Static Data privilege= GFS user profile: Basic Statistical User
- CSD end user: Static Data Party type= CSD, Static Data privilege= GFS user profile: Basic Statistical User
- CSD participant end user: Static Data Party type= CSD, Static Data privilege= GFS user profile: Basic Statistical User
- External CSD end user: Static Data Party type= External CSD, Static Data privilege= GFS user profile: Basic Statistical User
- NCB analyst: Static Data Party type=NCB, Static Data privilege= and eventually also, GFS user profile: Basic Statistical User and Advanced Statistical User
- CSD analyst: Static Data Party type=CSD, Static Data privilege= and eventually also , GFS user profile: Basic Statistical User and Advanced Statistical User

BSIQ.01 - Multi-criteria search of a set of Settlement Instructions - List Screen

- LTSI super user: Not sent by Static Data, Internal user, GFS user profile: Basic Statistical User and Advanced Statistical User
- Administrator: Not sent by Static Data, Internal user, GFS user profile: Statistical Workspace administrator

The party type has an impact on the final LTSI privilege: for instance, a CSD Participant or external CSD can only be an end user, but not an analyst, and can have access only to business reports, but not to technical reports. Only NCB analysts and NCB end users can have access to reports on Liquidity Transfers, but not CSDs or CSD Participants or External CSD.

Each predefined report and query can be accessible only to a specific restricted list of LTSI privileges.

6.4.6.1 BSIQ.01 - Multi-criteria search of a set of Settlement Instructions - List Screen



Privileges
NCB analyst
NCB end user
CSD analyst
CSD end user
CSD participant end user
External CSD end user

6.4.6.2 BSIQ.00 - Individual Settlement detailed Query – List Screen



Privileges
NCB analyst
CSD analyst
NCB end user
CSD end user
CSD participant end user
External CSD end user

6.4.6.3 BSIQ.00 - Individual Settlement detailed Query – Search Screen



Privileges
NCB analyst
CSD analyst
NCB end user
CSD end user
CSD participant end user
External CSD end user

6.4.6.4 BSIQ.01 - Multi-criteria search of a set of Settlement Instructions - Search Screen



Privileges
NCB analyst
NCB end user
CSD analyst
CSD end user
CSD participant end user
External CSD end user

6.4.6.5 BSIQ.02 - Individual Restriction detailed Query – List Screen



Privileges
NCB analyst
CSD analyst
NCB end user
CSD end user
CSD participant end user
External CSD end user

6.4.6.6 BSIQ.02 - Individual Restriction detailed Query – Search Screen



Privileges
NCB analyst
CSD analyst
NCB end user
CSD end user
CSD participant end user
External CSD end user

6.4.6.7 BSIQ.03 - Multi-criteria search of a set of Settlement Restrictions - List Screen



Privileges
NCB analyst
NCB end user
CSD analyst
CSD end user
CSD participant end user
External CSD end user

6.4.6.8 BSIQ.03 - Multi-criteria search of a set of Settlement Restrictions - Search Screen



Privileges
NCB analyst
NCB end user
CSD analyst
CSD end user
CSD participant end user
External CSD end user

6.4.6.9 BSIQ.04 - Multi-criteria search of a set of Liquidity Transfers - List Screen

△

Privileges
NCB analyst
NCB end user

6.4.6.10 BSIQ.04 - Multi-criteria search of a set of Liquidity Transfers - Search Screen

△

Privileges
NCB analyst
NCB end user

6.4.6.11 BSIQ.05 - Multi-criteria search of a set of Business Cancellations - List Screen

△

Privileges
NCB analyst
NCB end user
CSD analyst
CSD end user
CSD participant end user
External CSD end user

6.4.6.12 BSIQ.05 - Multi-criteria search of a set of Business Cancellations - Search Screen

△

Privileges
NCB analyst
NCB end user
CSD analyst
CSD end user

Privileges
CSD participant end user
External CSD end user

6.4.6.13 BSIQ.06 - Multi-criteria search of a set of Amendment Instructions – List Screen

△

Privileges
NCB analyst
NCB end user
CSD analyst
CSD end user
CSD participant end user
External CSD end user

6.4.6.14 BSIQ.06 - Multi-criteria search of a set of Amendment Instructions - Search Screen

△

Privileges
NCB analyst
NCB end user
CSD analyst
CSD end user
CSD participant end user
External CSD end user

6.4.6.15 BSIQ.07 - Multi-criteria search of a set of Hold and Release Instructions - List Screen

△

Privileges
NCB analyst
NCB end user
CSD analyst

BSIQ.07 - Multi-criteria search of a set of Hold and Release Instructions - Search Screen

Privileges
CSD end user
CSD participant end user
External CSD end user

6.4.6.16 BSIQ.07 - Multi-criteria search of a set of Hold and Release Instructions - Search Screen

△

Privileges
NCB analyst
NCB end user
CSD analyst
CSD end user
CSD participant end user
External CSD end user

6.4.6.17 BSIR.00 - T2SDCA: Finalised matched Instructions – List Screen

△

Privileges
NCB analyst
NCB end user

6.4.6.18 BSIR.00 - T2SDCA: Finalised matched Instructions – Search Screen

△

Privileges
NCB analyst
NCB end user

6.4.6.19 BSIR.01 - Securities Account: Finalised matched Instructions - List Screen

△

Privileges
CSD analyst
CSD end user
CSD participant end user
External CSD end user

6.4.6.20 BSIR.01 - Securities Account: Finalised matched Instructions - Search Screen

△

Privileges
CSD analyst
CSD end user
CSD participant end user
External CSD end user

6.4.6.21 BSIR.02 - T2SDCA: Detailed Finalised matched Settlement Instructions - List Screen

△

Privileges
NCB analyst
NCB end user

6.4.6.22 BSIR.02 - T2SDCA: Detailed Finalised matched Settlement Instructions - Search Screen

△

Privileges
NCB analyst
NCB end user

6.4.6.23 BSIR.03 - Securities Account: Detailed Finalised matched Settlement Instructions - List Screen

△

Privileges
NCB analyst
NCB end user
CSD analyst
CSD end user
CSD participant end user
External CSD end user

6.4.6.24 BSIR.03 - Securities Account: Detailed Finalised matched Settlement Instructions - Search Screen

△

Privileges
NCB analyst
CSD analyst
NCB end user
CSD end user
CSD participant end user
External CSD end user

6.4.6.25 BSIR.04 - T2SDCA: Follow-up by time slot of Settlement Activity - List Screen

△

Privileges
NCB analyst
NCB end user

6.4.6.26 BSIR.04 - T2SDCA: Follow-up by time slot of Settlement Activity - Search Screen

△

Privileges
NCB analyst
NCB end user

6.4.6.27 BSIR.05 - T2SDCA: Comparison of Settlement activity between two time periods - List Screen

△

Privileges
NCB analyst
NCB end user

6.4.6.28 BSIR.05 - T2SDCA: Comparison of Settlement activity between two time periods - Search Screen

△

Privileges
NCB analyst
NCB end user

6.4.6.29 CMBR.00 - Journaling of Limit Utilisations of Primary CMB - Search Screen

△

Privileges
NCB analyst

6.4.6.30 CMBR.00 - Journaling of Limits Utilisations of Primary CMB - List Screen

△

Privileges
NCB analyst

6.4.6.31 CMBR.01 - Journaling of Limits Utilisations of Secondary CMB - List Screen

△

Privileges
NCB analyst

6.4.6.32 CMBR.01 - Journaling of Limits Utilisations of Secondary CMB - Search Screen



Privileges
NCB analyst

6.4.6.33 COLL.00 - T2SDCA: Follow-up by time slot of Settled Collateralisation Instructions - List Screen



Privileges
NCB analyst

6.4.6.34 COLL.00 - T2SDCA: Follow-up by time slot of Settled Collateralisation Instructions - Search Screen



Privileges
NCB analyst

6.4.6.35 COLL.01 - Securities Accounts: Follow up by time slot of Settled Collateralisation Instructions - Search Screen



Privileges
CSD analyst

6.4.6.36 COLL.01 - Securities Accounts: Follow-up by time slot of Settled Collateralisation Instructions - List Screen



Privileges
CSD analyst

6.4.6.37 COLL.02 - T2SDCA: Settled or Cancelled (automatic or manual) Collateralisation Instructions - List Screen

△

Privileges
NCB analyst

6.4.6.38 COLL.02 - T2SDCA: Settled or Cancelled (automatic or manual) Collateralisation Instructions - Search Screen

△

Privileges
NCB analyst

6.4.6.39 CPST.00 - T2SDCA: Follow-up by time slot of Cash Postings - List Screen

△

Privileges
NCB analyst
NCB end user

6.4.6.40 CPST.00 - T2SDCA: Follow-up by time slot of Cash Postings - Search Screen

△

Privileges
NCB analyst
NCB end user

6.4.6.41 LIQU.00 - Liquidity Transfers - List Screen

△

Privileges
NCB analyst
NCB end user

6.4.6.42 LIQU.00 - Liquidity Transfers - Search Screen



Privileges
NCB analyst
NCB end user

6.4.6.43 REAL.00 - Detailed Realignment and Other Technical Instructions - List Screen



Privileges
CSD analyst

6.4.6.44 REAL.00 - Detailed Realignment and Other Technical Instructions - Search Screen



Privileges
CSD analyst

6.4.6.45 REST.00 - Detailed Cash Restrictions - List Screen



Privileges
NCB analyst
NCB end user

6.4.6.46 REST.00 - Detailed Cash Restrictions - Search Screen



Privileges
NCB analyst
NCB end user

6.4.6.47 REST.01 - Detailed Securities Restrictions - Search Screen



Privileges
CSD analyst
CSD end user
CSD Participant end user
External CSD end user

6.4.6.48 REST.01 - Detailed Securities Restrictions - List Screen



Privileges
CSD analyst
CSD end user
CSD Participant end user
External CSD end user

6.4.6.49 SPST.00 - Securities Account: Follow-up by time schedule of Securities Postings - List Screen



Privileges
NCB analyst
NCB end user
CSD analyst
CSD end user
CSD participant end user
External CSD end user

6.4.6.50 SPST.00 - Securities Account: Follow-up by time schedule of Securities Postings - Search Screen



Privileges
NCB analyst
NCB end user
CSD analyst
CSD end user
CSD participant end user
External CSD end user

6.4.6.51 XREP.00 - T2SDCA: Matched Cancelled Instructions - List Screen

△

Privileges
NCB analyst
NCB end user

6.4.6.52 XREP.00 - T2SDCA: Matched Cancelled Instructions - Search Screen

△

Privileges
NCB analyst
NCB end user

6.4.6.53 XREP.01 - Securities Account: Matched Cancelled Instructions - List Screen

△

Privileges
NCB analyst
CSD analyst
NCB end user
CSD end user
CSD participant end user
External CSD end user

6.4.6.54 XREP.01 - Securities Account: Matched Cancelled Instructions - Search Screen



Privileges
CSD analyst
CSD end user
CSD Participant end user
External CSD end user

6.4.6.55 XREP.02 - Finalised Non Matched Cancellations - List Screen



Privileges
CSD analyst
CSD end user
CSD Participant end user
External CSD end user

6.4.6.56 XREP.02 - Finalised Non Matched Cancellations - Search Screen



Privileges
CSD analyst
CSD end user
CSD participant end user
External CSD end user

6.4.7 Privileges for DWH Screens

Privilege Name	Privilege Short Name	Authorised to
Normal user	NORU	<input type="checkbox"/> Access to predefined DWH reports

Privilege Name	Privilege Short Name	Authorised to
		<ul style="list-style-type: none"> <input type="checkbox"/> Use available parameters and filter criteria on predefined DWH reports <input type="checkbox"/> Execute predefined DWH reports <input type="checkbox"/> Execute user-defined reports (only CB users) <input type="checkbox"/> Download DWH report results
Advanced user	ADVU	<ul style="list-style-type: none"> <input type="checkbox"/> Same as normal user <input type="checkbox"/> Create user defined DWH <input type="checkbox"/> Reports <input type="checkbox"/> Configure the scheduling of DWH reports <input type="checkbox"/> Store adaptable/user defined DWH reports in an individual dedicated folder <input type="checkbox"/> Share (user defined) DWH reports with all other users within their CB or with other CBs in a dedicated folder

6.5 List of References for Error Messages

6.5.1 Reader's Guide

Reader's Guide

This section includes a list of references for error messages for all screens, structured along GUI screens, TMS screens. For GUI screens, the list of references for error messages consists of a general section, which lists overall error codes that apply to all GUI screens, and a section listing all error codes relevant for using the 4-eyes mode in GUI screens. For all sections, these are followed by spe-

cific sections for individual screens, which are organised in alphabetical screen order and specify the respective error codes applicable for each screen. Each error code table entry includes the error text and the description.

6.5.2 References for Error Messages for GUI Screens for CoCos

6.5.2.1 Attribute Domain - Details Screen

△ [▶ 1159]

Reference for error message	Field or Button	Error Text	Description
DRDB001	<input type="checkbox"/> Restore button <input type="checkbox"/> Delete button	Requestor not allowed	An Attribute Domain can be deleted/restored only by T2S Operator, CSD or NCB. A User is authorised to delete/restore only data belonging to its own System Entity.
DRDB002	<input type="checkbox"/> Name field <input type="checkbox"/> Restore button	Domain Attribute Name already assigned	When performing an Attribute Domain restore request, the Attribute Domain Name to be restored must not be already assigned to an active instance.
DRDB003	<input type="checkbox"/> Status field <input type="checkbox"/> Delete button	Data to be updated not found	When performing an Attribute Domain delete request, it must refer to an existing and active instance.
DRDB004	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Data to be updated not found	When performing an Attribute Domain restore request, it must refer to an existing and deleted instance.

Reference for error message	Field or Button	Error-Text	Description
DRDB040	<input type="checkbox"/> Delete button	The deletion/ close is not allowed due to a deletion priority constraint	An Attribute Domain cannot be deleted if there still are valid instances of Attribute Reference or Market-Specific Attribute linked to it.

{Split book approach}

~~6.5.2.2 Attribute Domain - New/Edit Screen~~

△ [▶ 1161]

Reference for error message	Field or Button	Error-Text	Description
DRCB001	<input type="checkbox"/> Submit button	Requestor not allowed	An Attribute Domain can be created only by T2S Operator, CSD or NCB.
DRCB002	<input type="checkbox"/> Name field <input type="checkbox"/> Submit button	Attribute Domain Name already assigned	When creating an Attribute Domain, the Attribute Domain Name must not be already assigned to an active instance.
DRCB003	<input type="checkbox"/> Value field <input type="checkbox"/> Submit button	Attribute Value does not respect Attribute Domain format rules	When creating an Attribute Domain, the specified Attribute Values must respect the format rules specified for the Attribute Domain.
DRUB001	<input type="checkbox"/> Submit button	Requestor not allowed	An Attribute Domain can be updated only by T2S Operator, CSD or NCB. A User is au-

Reference for error message	Field or Button	Error-Text	Description
			thorised to update only data belonging to its own System-Entity.
DRUB002	<input type="checkbox"/> Name field <input type="checkbox"/> Submit button	Attribute Domain Name already assigned	When performing an Attribute Domain update request, the Attribute Domain Name must not be already assigned to an active instance.
DRUB003	<input type="checkbox"/> Submit button	Data to be updated not found	When performing an Attribute Domain update request, it must refer to an existing and active instance.
DRUB004	<input type="checkbox"/> Format field <input type="checkbox"/> Case field <input type="checkbox"/> Minimum Length field <input type="checkbox"/> Maximum Length field <input type="checkbox"/> Submit button	Existing Attribute Values do not respect the new Attribute Domain format rules.	When updating an Attribute Domain, if the settings for Format, Case, Minimum Code Length and/or Maximum Code Length are changed, the existing Attribute Values must be compliant with the new settings.
DRUB005	<input type="checkbox"/> Value field <input type="checkbox"/> Submit button	Attribute Value does not respect Attribute Domain format rules.	When updating an Attribute Domain, the specified Attribute Values must respect the format rules specified for the Attribute Domain.
DRUB006	<input type="checkbox"/> Submit button	Attribute Domain update is not consistent with	When performing an Attribute Domain update request, it must be consistent with possible Market-Spe-

Reference for error message	Field or Button	Error-Text	Description
		linked Market-Specific Attributes.	cific Attributes linked to the Attribute Domain.
DRUB010	<input type="checkbox"/> Value field <input type="checkbox"/> Submit button	Invalid Attribute Value	When performing an Attribute Domain update request, in case of update/delete of an Attribute Value, it must refer to an existing and active instance.

{Split book approach}

6.5.2.3 Attribute Domain Reference - Details Screen

△ [▶ 1167]

Reference for error message	Field or Button	Error-Text	Description
DRDC004	<input type="checkbox"/> Restore button <input type="checkbox"/> Delete button	Requestor not allowed	An Attribute Reference can be deleted/restored only by T2S Operator, CSD or CB. A User is authorised to delete/restore only data belonging to its own System Entity.
DRDC003	<input type="checkbox"/> Status field <input type="checkbox"/> Delete button	Data to be updated not found	When performing an Attribute Reference delete request, it must refer to an existing and active instance.
DRDC004	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Data to be updated not found	When performing an Attribute Reference restore request, it

Reference for error message	Field or Button	Error Text	Description
			must refer to an existing and deleted instance.

{Split book approach}

~~6.5.2.4 Attribute Domain Reference - New/Edit Screen~~

△ [▶ 1170]

Reference for error message	Field or Button	Error Text	Description
DRCC004	<input type="checkbox"/> Submit button	Requestor not allowed	An Attribute Reference can be created only by T2S Operator, CSD or NCB.
DRCC003	<input type="checkbox"/> Reference Value field <input type="checkbox"/> Submit button	Reference Value does not respect Attribute Reference format rules.	When creating an Attribute Reference, the specified Reference Values must respect the format rules specified for the Attribute Reference.
DRCC005	<input type="checkbox"/> Name field <input type="checkbox"/> Submit button	Unknown Attribute Domain Identifier	When performing an Attribute Reference creation request, the Attribute Domain Identifier it is linked to must be specified and it must exist in T2S.
DRCC006	<input type="checkbox"/> Attribute Domain Value field <input type="checkbox"/> Submit button	Unknown Attribute Value Identifier	When performing an Attribute Reference creation request, the Reference Value must be created specifying the Attribute Value Identifier it is linked

Reference for error message	Field or Button	Error Text	Description
			to; the Attribute Value Identifier specified must exist in T2S.
DRUC001	<input type="checkbox"/> Submit button	Requestor not allowed	An Attribute Reference can only be updated by T2S Operator, CSD or NCB. A User is authorised to delete/restore only data belonging to its own System Entity.
DRUC003	<input type="checkbox"/> Submit button	Data to be updated not found	When performing an Attribute Reference Update Request, it must refer to an existing and active instance.
DRUC004	<input type="checkbox"/> Format field <input type="checkbox"/> Case field <input type="checkbox"/> Minimum Code Length field <input type="checkbox"/> Maximum Code Length field <input type="checkbox"/> Submit button	Existing Reference Values do not respect the new Attribute Reference format rules.	When updating an Attribute Reference, if the settings for Format, Case, Minimum Code Length and/or Maximum Code Length are changed, the existing Reference Values must be compliant with the new settings.
DRUC005	<input type="checkbox"/> Attribute Domain Name field <input type="checkbox"/> Submit button	Invalid Attribute Domain Identifier	When performing an Attribute Reference update request, the Attribute Domain Identifier it is linked to must be specified and it must exist in T2S.

Reference for error message	Field or Button	Error-Text	Description
DRUC006	<input type="checkbox"/> Value field <input type="checkbox"/> Submit button	Reference Value does not respect Reference Domain format rules	When updating an Attribute Reference, the specified Reference Values must respect the format rules specified for the Attribute Domain.
DRUC007	<input type="checkbox"/> Submit button	Links to Attribute Values must be removed before changing link to Attribute Domain	When performing an Attribute Reference update request, the link to the Attribute Domain cannot be modified if the Reference Values are linked to Attribute Values.
DRUC010	<input type="checkbox"/> Reference Value field <input type="checkbox"/> Submit button	Invalid Reference Value	When performing an Attribute Reference update request, in case of update/delete of a Reference Value, it must refer to an existing and active instance.

{Split book approach}

~~6.5.2.5 Attribute Domain References - List Screen~~

△ [▶ 1165]

Reference for error message	Field or Button	Error-Text	Description
DRDC004	<input type="checkbox"/> Delete button <input type="checkbox"/> Restore button	Requestor not allowed	An Attribute Reference can be deleted/restored only by T2S Operator, CSD or NCB. A User is au-

Reference for error message	Field or Button	Error-Text	Description
			thorised to delete/restore only data belonging to its own System Entity.
DRDC003	<input type="checkbox"/> Status field <input type="checkbox"/> Delete button	Data to be updated not found	When performing an Attribute Reference delete request, it must refer to an existing and active instance.
DRDC004	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Data to be updated not found	When performing an Attribute Reference restore request, it must refer to an existing and deleted instance.

{Split book approach}

6.5.2.6 ~~Attribute Domains Search/List Screen~~

△ [▶ 1157]

Reference for error message	Field or Button	Error-Text	Description
DRDB002	<input type="checkbox"/> Name field <input type="checkbox"/> Restore button	Domain Attribute Name already assigned	When performing an Attribute Domain restore request, the Attribute Domain Name to be restored must not be already assigned to an active instance.
DRDB003	<input type="checkbox"/> Status field <input type="checkbox"/> Delete button	Data to be updated not found	When performing an Attribute Domain delete request, it must refer to an existing and active instance.

Reference for error message	Field or Button	Error-Text	Description
DRDB004	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Data to be updated not found	When performing an Attribute Domain restore request, it must refer to an existing and deleted instance.
DRDB040	<input type="checkbox"/> Delete button	The deletion/close is not allowed due to a deletion priority constraint.	An Attribute Domain cannot be deleted if there still are valid instances of Attribute Reference or Market-Specific Attribute linked to it.

{Split book approach}

~~6.5.2.7 Auto-Collateralisation Eligibility Link - New Screen~~

△ [▶ 727]

Reference for error message	Field or Button	Error-Text	Description
DSC5001	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Submit button	Requestor not allowed	Auto-collateralisation Eligibility Link can only be created by T2S Operator, NCB or Payment Bank. Users belonging to an NCB can only create Auto-collateralisation Eligibility Link for its own NCB or Parties that fall under their responsibility according to the Hierarchical Party Model. Users belonging to a Payment Bank can create

Reference for error message	Field or Button	Error Text	Description
			only Auto-collateralisation Eligibility Link for their own Party.
DSC5002	<input type="checkbox"/> ISIN field <input type="checkbox"/> Submit button	Unknown Securities Mnemonic	When performing an Auto-Collateralisation Eligibility Link create request, the Securities Mnemonic must refer to an existing and active Securities Code which Code Type is ISIN.
DSC5003	<input type="checkbox"/> ISIN field <input type="checkbox"/> Currency field <input type="checkbox"/> Submit button	Securities Mnemonic already assigned	When performing an Auto-Collateralisation Eligibility Link create request, the Securities Mnemonic must not be already assigned to another existing link for the same Party with the same Currency Code.
DSC5004	<input type="checkbox"/> Currency field <input type="checkbox"/> Submit button	Invalid Currency code	When performing an Auto-Collateralisation Eligibility Link create request, the Currency Code must refer to an existing Currency Code in T2S.
DSC5005	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Submit button	Unknown Party	When performing an Auto-Collateralisation Eligibility Link create request, the Party must refer to an existing, active and open Party in T2S with

Reference for error message	Field or Button	Error Text	Description
			Party Type equal to NCB or Payment Bank.

{Split book approach}

~~6.5.2.8 Auto-Collateralisation Eligibility Links - Search/List Screen~~

△ [▶ 724]

Reference for error message	Field or Button	Error Text	Description
DSD5001	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Restore button <input type="checkbox"/> Delete button	Requestor not allowed	Auto-collateralisation Eligibility Link can only be deleted/restored by T2S Operator, NCB or Payment Bank. Users belonging to an NCB can only delete/restore Auto-collateralisation Eligibility Link for its own NCB or Parties that fall under their responsibility according to the Hierarchical Party Model. Users belonging to a Payment Bank can delete/restore only Auto-collateralisation Eligibility Link for their own Party.
DSD5002	<input type="checkbox"/> Status field <input type="checkbox"/> Delete button	Unknown Auto-Collateralisation Eligibility Link	When performing an Auto-Collateralisation Eligibility Link delete

Reference for error message	Field or Button	Error Text	Description
			request, it must refer to an existing and active instance in T2S.
DSD5003	<input type="checkbox"/> Currency field <input type="checkbox"/> Restore button	Unknown Currency Code	When performing an Auto-Collateralisation Eligibility Link restore request, the Currency Code to be restored must refer to an existing code in Currency entity.
DSD5004	<input type="checkbox"/> ISIN field <input type="checkbox"/> Restore button	Unknown Securities Mnemonic	When performing an Auto-Collateralisation Eligibility Link restore request, the Securities Mnemonic to be restored must refer to an existing code in Securities Code entity with Code Type equal to ISIN.
DSD5005	<input type="checkbox"/> ISIN field <input type="checkbox"/> Currency field <input type="checkbox"/> Restore button	Securities Mnemonic already assigned for this Currency Code	When performing an Auto-Collateralisation Eligibility Link restore request, the Securities Mnemonic to be restored must not be already assigned to another active link with the same Currency Code.
DSD5006	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Unknown Auto-collateralisation Eligibility Link	When performing an Auto-Collateralisation Eligibility Link restore request, it must refer

Reference for error message	Field or Button	Error-Text	Description
			to an existing and deleted Auto-Collateralisation Eligibility Link.
DSD5007	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Restore button	Unknown Party	When performing an Auto-Collateralisation Eligibility Link restore request, the Party to be restored must refer to an existing, active and open Party in T2S with Party Type equal to NCB or Payment Bank.

{Split book approach}

~~6.5.2.9 Available Reports – Search/List Screen~~

△ [▶ 1217]

~~No references for error messages.~~ {Split book approach}

~~6.5.2.10 Available Reports – Details Screen~~

△ [▶ 1220]

~~No references for error messages.~~ {Split book approach}

~~6.5.2.11 Available Reports – Statement of Common Reference Data details Screen~~

△ [▶ 1222]

~~No references for error messages.~~ {Split book approach}

~~6.5.2.12 BIC Directory – Search/List Screen~~

△ [▶ 1103]

~~No references for error messages.~~ {Split book approach}

6.5.2.13 Cash Accounts – Search/List Screen

△ [▶ 897]

Reference for error message	Field or Button	Error Text	Description
DCD1001	<input type="checkbox"/> Restore button <input type="checkbox"/> Delete button	Requestor not allowed	<p>When performing a Cash Account delete or restore request, the Party Type of the Requestor must be NCB, Ancillary System or Payment Bank.</p> <p>Users belonging to NCBs can only delete or restore Cash Accounts for Parties that fall under their responsibility according to the Hierarchical Party Model, or TIPS Credit Memorandum Balances linked to Cash Accounts that fall under their responsibility. In addition, they can delete or restore Cash Accounts for which they are defined as Co-Managers.</p> <p>Users belonging to Payment Banks can only delete or restore TIPS Credit Memorandum Balances linked to Cash Accounts that fall under their responsibility.</p>

Reference for error message	Field or Button	Error Text	Description
			<p>Users belonging to Ancillary Systems can only delete or restore TIPS Credit Memorandum Balances linked to Cash Accounts that fall under their responsibility.</p> <p>Exceptions to the above rule are represented by any user that is granted the appropriate privilege(s) on the account or on the relevant Party holding the account.</p>
DCD1003	<ul style="list-style-type: none"> <input type="checkbox"/> Status field <input type="checkbox"/> Closing date field <input type="checkbox"/> Opening date field <input type="checkbox"/> Delete button 	<p>Unknown Cash Account. The account must be closed or have Opening Date greater than the current date.</p>	<p>The delete requests of Cash Accounts must refer to an existing and active instance. The account to be deleted must be already closed or must have Opening Date greater than the current date.</p>
DCD1012	<ul style="list-style-type: none"> <input type="checkbox"/> Status field <input type="checkbox"/> Restore button <input type="checkbox"/> Closing date field <input type="checkbox"/> Opening date field <input type="checkbox"/> Delete button 	<p>Cash Account cannot be re-stored</p>	<p>When performing a Cash Account restore request it must refer to an existing and deleted Cash Account. The account to be re-stored must have Closing date equal to or earlier than the Current Business date or Opening date equal to or later than the Current Business</p>

Reference for error message	Field or Button	Error Text	Description
			date; in addition, the Opening date must be equal to or later than the Account Holder Opening Date and the Closing Date must be equal to or earlier than the Account Holder Closing Date. For CLM and RTGS accounts, the Opening Date must be later than the current date.
DCD1013	<ul style="list-style-type: none"> <input type="checkbox"/> Account Type field <input type="checkbox"/> Restore button 	Transit account already existing for this currency	When performing a Cash Account restore request, when restoring T2S Dedicated Transit Account, RTGS Dedicated Transit Account, CLM Dedicated Transit Account or TIPS Transit Account, no other Transit Account must be already associated to the relevant currency in the same validity period.
DCD1014	<ul style="list-style-type: none"> <input type="checkbox"/> Account Type field <input type="checkbox"/> Delete button 	Deletion not allowed due to open Cash Accounts related to this Transit Account	When performing a Cash Account delete request, in case of deletion of a future T2S Dedicated Transit Account, RTGS Dedicated Transit Account or TIPS Transit Account, no active Cash Accounts with the

Reference for error message	Field or Button	Error Text	Description
			same currency for T2S, RTGS or TIPS respectively must exist in CRDM.
DCD1030	<input type="checkbox"/> Delete button	The deletion/ close is not allowed due to a deletion priority constraint	A Cash Account cannot be deleted if there still are valid instances of the following entities linked to it: Liquidity Transfer Order, Liquidity Transfer Order Link Set, Credit Memorandum Balance, Authorised Account User, Data Aggregation, Limit, Standing Order for Reservation, Direct Debit Mandate, or if it is referenced in another Cash Account as a Linked Account or, Associated LT Account.
DCD1082	<input type="checkbox"/> Restore button <input type="checkbox"/> Currency field	Unknown currency code	When performing a Cash Account restore request the currency code of the Cash Account to be restored must refer to an existing currency code in CRDM with Settlement Currency set to True or a Currency Service Link in place with the relevant Service.

Reference for error message	Field or Button	Error Text	Description
DCD1083	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Restore button	Unknown Party	When performing a Cash Account restore request the account holder must be an existing and active Party in CRDM with Party Type equal to NCB, Payment Bank or Ancillary System.
DCD1084	<input type="checkbox"/> Type field <input type="checkbox"/> Restore button	Invalid restriction type	When performing a Cash Account restore request, all restrictions associated to the Cash Account to be restored must refer to existing Restriction Types whose Object Restriction Type is Cash Account.
DCD1085	<input type="checkbox"/> RTGS Account Number field <input type="checkbox"/> Restore button	Unknown External RTGS Account	When performing a Cash Account restore request the Linked Account of the T2S Dedicated Cash Account, T2S Central Bank Account or T2S Dedicated Transit Account to be restored, if it refers to an External RTGS Account, must be an existing External RTGS Account in CRDM.
DCD1086	<input type="checkbox"/> Restore button	Unknown linked Cash Account	When performing a Cash Account restore request the Linked Account of the TIPS Credit Memorandum

Reference for error message	Field or Button	Error Text	Description
			Balance to be re-stored must refer to an existing and open TIPS Account or TIPS AS Technical Account in CRDM.
DCD1087	<input type="checkbox"/> Restore button	Unknown-linked Cash Account	When performing a Cash Account restore request, the Linked Account of the T2S Dedicated Cash Account, T2S Central Bank Account or T2S Dedicated Transit Account to be restored, if it refers to a Cash Account, must be an existing Cash Account (respectively a Main Cash Account, CLM Central Bank Account/Central Bank ECB Account or CLM Dedicated Transit Account for T2S) in CRDM.
DCD1088	<input type="checkbox"/> Restore button	Unknown-linked Cash Account	When performing a Cash Account restore request to restore an RTGS Sub-Account, the Linked Account must refer to an existing Cash Account instance in CRDM with type "RTGS Dedicated Cash Account" which is open throughout the specified opening

Reference for error message	Field or Button	Error Text	Description
			<p>period of the Cash Account being created and belongs to the same Account Owner Party.</p>
DCD1090	<input type="checkbox"/> Restore button	<p>Unknown-linked Cash Account</p>	<p>When performing a Cash Account restore request to restore an RTGS Dedicated Cash Account, Contingency Cash Account, Overnight Deposit Account or Marginal Lending Account, the Linked Account must refer to an existing Cash Account instance in CRDM with type "Main Cash Account" which is open throughout the specified opening period of the Cash Account being restored. If the account to be restored is a CB Contingency Account, the Linked Account must refer to an existing Cash Account instance in CRDM with type "CLM CB Account" which is open throughout the specified opening period of the Cash Account being restored.</p>

Reference for error message	Field or Button	Error Text	Description
DCD1091	<input type="checkbox"/> Associated LT Account field <input type="checkbox"/> Restore button	Invalid Associated LT Account	<p>When performing a Cash Account restore request to restore a Main Cash Account or a RTGS Dedicated Cash Account, the Associated LT Account, if present, must refer to an existing Cash Account (respectively with type "RTGS Dedicated Cash Account" or "Main Cash Account") that belongs to the same Party and has the same Currency. Furthermore, to ensure a 1 to 1 relationship, the Associated LT Account cannot already have an Associated LT Account defined.</p> <p>Moreover, the Associated LT Account cannot be specified for any other Cash Account Type.</p>
DCD1092	<input type="checkbox"/> Linked Account field <input type="checkbox"/> Restore button	Invalid Linked Account	<p>When performing a Cash Account restore request, for a Contingency Cash Account or CB Contingency Account, the Linked account cannot be specified as such for any other Contingency Cash Account.</p>

Reference for error message	Field or Button	Error Text	Description
			<p>gency Cash Account or Contingency CB Account.</p> <p>When performing a Cash Account restore request for a RTGS Dedicated Cash Account the same Linked account cannot be specified as such for multiple RTGS Dedicated Cash Accounts.</p>
DCD1207	<ul style="list-style-type: none"> <input type="checkbox"/> RTGS Account Number field <input type="checkbox"/> Restore button <input type="checkbox"/> Currency field 	Invalid Linked Account Currency Code	When performing a Cash Account restore request, if the Cash Account to be restored is linked to an External RTGS Account or to another Cash Account, they must have the same currency code.
DCD1250	<ul style="list-style-type: none"> <input type="checkbox"/> Closing Date field <input type="checkbox"/> Restore button 	Closing Date cannot be set to Currency Closing Day	When performing a Cash Account Restore request, the Closing Date for T2 Accounts cannot be set to a Currency Closing Day in the relevant Service.
DCD1300	<ul style="list-style-type: none"> <input type="checkbox"/> Delete button 	Deletion not allowed due to existing object privilege assignment	When performing a Cash Account deletion request, the Cash Account cannot be

Reference for error message	Field or Button	Error Text	Description
			deleted if it is referenced in an object privilege assignment.
DCD1400	<input type="checkbox"/> Automated Generation of Interest Payment (system-generated) field <input type="checkbox"/> Restore button	Invalid use of Automated Generation of Interest Payment flag	When performing a Cash Account restore request, if a CLM Account Holder is specified as Owner Party, Automated Generation of Interest Payment (system-generated) can only be set to TRUE in case a Leading CLM Account Holder is defined at Party Service Link Level.
DCD1401	<input type="checkbox"/> Restore button	Default MCA already defined	When performing a Cash Account restore request, only one MCA per Party can be defined as Default MCA.
DCD1402	<input type="checkbox"/> Restore button	Default RTGS Account already defined	When performing a Cash Account restore request, only one RTGS DCA per Party can be defined as Default RTGS Account.
DCD1410	<input type="checkbox"/> Restore button	Invalid Co-Manager Party	When performing a Cash Account restore request, the Co-Manager must refer to an existing Party with Service Party Type

Reference for error message	Field or Button	Error Text	Description
			CLM Account Holder or CLM CB Account Holder.
DCD1431	 <input type="checkbox"/> Minimum Reserve Calculation field <input type="checkbox"/> Restore button 	Incorrect Minimum Reserve Obligation	When performing a Cash Account restore request, the Minimum Reserve Calculation can only be used for EUR accounts and only if the Account Owner Party has Minimum Reserve Obligation at Party Service Link level set to "Pool", "Direct" or "Indirect".
DCD1532	<input type="checkbox"/> Restore button	Transit Account not found or not valid	When performing a Cash Account restore request, the validity period of a Cash Account must be consistent with the validity period of the relevant Transit Account.
DCD1555	 <input type="checkbox"/> Account Type field <input type="checkbox"/> Party Type field <input type="checkbox"/> Restore button 	Invalid relations between account type and party type	When performing a Cash Account restore request the relation between the Account Type to be restored and the Party Type of the account holder is checked.

{Split book approach}

~~6.5.2.14 Cash Account – Details Screen~~

△ [▶ 903]

Reference for error message	Field or Button	Error Text	Description
DCD1001	<ul style="list-style-type: none"> <input type="checkbox"/> Search button <input type="checkbox"/> Restore button <input type="checkbox"/> Delete button 	Requestor not allowed	<p>When performing a Cash Account delete or restore request, the Party Type of the Requestor must be NCB, Ancillary System or Payment Bank.</p> <p>Users belonging to NCBs can only delete or restore Cash Accounts for Parties that fall under their responsibility according to the Hierarchical Party Model, or TIPS Credit Memorandum Balances linked to Cash Accounts that fall under their responsibility. In addition, they can delete or restore Cash Accounts for which they are defined as Co-Managers.</p> <p>Users belonging to Payment Banks can only delete or restore TIPS Credit Memorandum Balances linked to Cash Accounts that fall under their responsibility.</p> <p>Users belonging to Ancillary Systems can only delete or restore TIPS Credit Memorandum Balances</p>

Reference for error message	Field or Button	Error Text	Description
			<p>linked to Cash Accounts that fall under their responsibility.</p> <p>Exceptions to the above rule are represented by any user that is granted the appropriate privilege(s) on the account or on the relevant Party holding the account.</p>
DCD1003	<ul style="list-style-type: none"> <input type="checkbox"/> Status field <input type="checkbox"/> Delete button <input type="checkbox"/> Closing date field <input type="checkbox"/> Opening date field 	<p>Unknown Cash Account. The account must be closed or have Opening Date greater than the current date.</p>	<p>The delete requests of Cash Accounts must refer to an existing and active instance. The account to be deleted must be already closed or must have Opening Date greater than the current date.</p>
DCD1012	<ul style="list-style-type: none"> <input type="checkbox"/> Status field <input type="checkbox"/> Restore button <input type="checkbox"/> Closing date field <input type="checkbox"/> Opening date field <input type="checkbox"/> Delete button 	<p>Cash Account cannot be restored</p>	<p>When performing a Cash Account restore request it must refer to an existing and deleted Cash Account. The account to be restored must have Closing date equal to or earlier than the Current Business date or Opening date equal to or later than the Current Business date; in addition, the Opening date must be equal to or later than the Account Holder Opening Date and the</p>

Reference for error message	Field or Button	Error Text	Description
			Closing Date must be equal to or earlier than the Account Holder Closing Date. For CLM and RTGS accounts, the Opening Date must be later than the current date.
DCD1013	<input type="checkbox"/> Account Type field <input type="checkbox"/> Restore button	Transit account already existing for this currency	When performing a Cash Account restore request, when restoring T2S Dedicated Transit Account, RTGS Dedicated Transit Account, CLM Dedicated Transit Account or TIPS Transit Account, no other Transit Account must be already associated to the relevant currency in the same validity period.
DCD1014	<input type="checkbox"/> Account Type field <input type="checkbox"/> Delete button	Deletion not allowed due to open Cash Accounts related to this Transit Account	When performing a Cash Account delete request, in case of deletion of a future T2S Dedicated Transit Account, RTGS Dedicated Transit Account or TIPS Transit Account, no active Cash Accounts with the same currency for T2S, RTGS or TIPS respectively must exist in CRDM.

Reference for error message	Field or Button	Error Text	Description
DCD1030	<input type="checkbox"/> Delete button	The deletion/ close is not allowed due to a deletion priority constraint	A Cash Account cannot be deleted if there still are valid instances of the following entities linked to it: Liquidity Transfer Order, Liquidity Transfer Order Link Set, Credit Memorandum Balance, Authorised Account User, Data Aggregation, Limit, Standing Order for Reservation, Direct Debit Mandate, or if it is referenced in another Cash Account as a Linked Account or Associated LT Account.
DCD1082	<input type="checkbox"/> Restore button <input type="checkbox"/> Currency field	Unknown currency code	When performing a Cash Account restore request the currency code of the Cash Account to be restored must refer to an existing currency code in CRDM with Settlement Currency set to True or a Currency Service Link in place with the relevant Service.
DCD1083	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Restore button	Unknown Party	When performing a Cash Account restore request the account holder must be an existing and active Party in CRDM with Party

Reference for error message	Field or Button	Error Text	Description
			Type equal to NCB, Payment Bank or Ancillary System.
DCD1084	<input type="checkbox"/> Type field <input type="checkbox"/> Restore button	Invalid restriction type	When performing a Cash Account restore request, all restrictions associated to the Cash Account to be restored must refer to existing Restriction Types whose Object Restriction Type is Cash Account.
DCD1085	<input type="checkbox"/> RTGS Account Number field <input type="checkbox"/> Restore button	Unknown External RTGS Account	When performing a Cash Account restore request the Linked Account of the T2S Dedicated Cash Account, T2S Central Bank Account or T2S Dedicated Transit Account to be restored, if it refers to an External RTGS Account, must be an existing External RTGS Account in CRDM.
DCD1086	<input type="checkbox"/> Restore button	Unknown linked Cash Account	When performing a Cash Account restore request the Linked Account of the TIPS Credit Memorandum Balance to be restored must refer to an existing and open TIPS Account or TIPS AS Technical Account in CRDM.

Reference for error message	Field or Button	Error Text	Description
DCD1087	<input type="checkbox"/> Restore button	Unknown-linked Cash Account	When performing a Cash Account restore request, the Linked Account of the T2S Dedicated Cash Account, T2S Central Bank Account or T2S Dedicated Transit Account to be restored, if it refers to a Cash Account, must be an existing Cash Account (respectively a Main Cash Account, CLM Central Bank Account/Central Bank ECB Account or CLM Dedicated Transit Account for T2S) in CRDM.
DCD1088	<input type="checkbox"/> Restore button	Unknown-linked Cash Account	When performing a Cash Account restore request to restore an RTGS Sub-Account, the Linked Account must refer to an existing Cash Account instance in CRDM with type "RTGS Dedicated Cash Account" which is open throughout the specified opening period of the Cash Account being created and belongs to the same Account Owner Party.

Reference for error message	Field or Button	Error Text	Description
DCD1090	<input type="checkbox"/> Restore button	Unknown-linked Cash Account	When performing a Cash Account restore request to restore an RTGS Dedicated Cash Account, Contingency Cash Account, Overnight Deposit Account or Marginal Lending Account, the Linked Account must refer to an existing Cash Account instance in CRDM with type "Main Cash Account" which is open throughout the specified opening period of the Cash Account being restored. If the account to be restored is a CB Contingency Account, the Linked Account must refer to an existing Cash Account instance in CRDM with type "CLM CB Account" which is open throughout the specified opening period of the Cash Account being restored.
DCD1091	<input type="checkbox"/> Associated LT Account field <input type="checkbox"/> Restore button	Invalid Associated LT Account	When performing a Cash Account restore request to restore a Main Cash Account or a RTGS Dedicated Cash Account, the As-

Reference for error message	Field or Button	Error Text	Description
			<p>sociated LT Account, if present, must refer to an existing Cash Account (respectively with type "RTGS Dedicated Cash Account" or "Main Cash Account") that belongs to the same Party and has the same Currency. Furthermore, to ensure a 1 to 1 relationship, the Associated LT Account cannot already have an Associated LT Account defined.</p> <p>Moreover, the Associated LT Account cannot be specified for any other Cash Account Type.</p>
DCD1092	<ul style="list-style-type: none"> <input type="checkbox"/> Linked Account field <input type="checkbox"/> Restore button 	Invalid Linked Account	<p>When performing a Cash Account restore request for a Contingency Cash Account or CB Contingency Account the Linked account cannot be specified as such for any other Contingency Cash Account or Contingency CB Account.</p> <p>When performing a Cash Account restore request for a RTGS Dedicated Cash Ac-</p>

Reference for error message	Field or Button	Error Text	Description
			count the same Linked account cannot be specified as such for multiple RTGS Dedicated Cash Accounts.
DCD1207	<input type="checkbox"/> RTGS Account Number field <input type="checkbox"/> Restore button <input type="checkbox"/> Currency field	Invalid Linked Account Currency Code	When performing a Cash Account restore request, if the Cash Account to be restored is linked to an External RTGS Account or to another Cash Account, they must have the same currency code.
DCD1250	<input type="checkbox"/> Closing Date field <input type="checkbox"/> Restore button	Closing Date cannot be set to Currency Closing Day	When performing a Cash Account Restore request, the Closing Date for T2 Accounts cannot be set to a Currency Closing Day in the relevant Service.
DCD1300	<input type="checkbox"/> Delete button	Deletion not allowed due to existing object privilege assignment	When performing a Cash Account deletion request, the Cash Account cannot be deleted if it is referenced in an object privilege assignment.
DCD1400	<input type="checkbox"/> Automated Generation of Interest Payment (system generated) field <input type="checkbox"/> Restore button	Invalid use of Automated Generation of Interest Payment flag	When performing a Cash Account restore request, if a CLM Account Holder is specified as Owner Party, Automated Genera-

Reference for error message	Field or Button	Error Text	Description
			tion of Interest Payment (system generated) can only be set to TRUE in case a Leading CLM Account Holder is defined at Party Service Link Level.
DCD1401	<input type="checkbox"/> Restore button	Default MCA already defined	When performing a Cash Account restore request, only one MCA per Party can be defined as Default MCA.
DCD1402	<input type="checkbox"/> Restore button	Default RTGS Account already defined	When performing a Cash Account restore request, only one RTGS DCA per Party can be defined as Default RTGS Account.
DCD1410	<input type="checkbox"/> Restore button	Invalid Co-Manager Party	When performing a Cash Account restore request, the Co-Manager must refer to an existing Party with Service Party Type CLM Account Holder or CLM CB Account Holder.
DCD1431	<input type="checkbox"/> Minimum Reserve Calculation field <input type="checkbox"/> Restore button	Incorrect Minimum Reserve Obligation	When performing a Cash Account restore request, the Minimum Reserve Calculation can only be used for EUR accounts and only if the Account Owner Party has Min-

Reference for error message	Field or Button	Error Text	Description
			imum Reserve Obligation at Party Service Link level set to "Pool", "Direct" or "Indirect".
DCD1532	<input type="checkbox"/> Restore button	Transit Account not found or not valid	When performing a Cash Account restore request, the validity period of a Cash Account must be consistent with the validity period of the relevant Transit Account.
DCD1555	<input type="checkbox"/> Account Type field <input type="checkbox"/> Party Type field <input type="checkbox"/> Restore button	Invalid relations between account type and party type	When performing a Cash Account restore request the relation between the Account Type to be restored and the Party Type of the account holder is checked.

{Split book approach}

6.5.2.15 Cash Account – New/Edit Screen

△ [▶ 909]

Reference for error message	Field or Button	Error Text	Description
DCC1001	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Submit button	Requestor not allowed	When performing a Cash Account create request, the Party Type of the Requestor must be NCB, Ancillary System or Payment Bank.

Reference for error message	Field or Button	Error Text	Description
			<p>Users belonging to NCBs can only create Cash Accounts for Parties that fall under their responsibility according to the Hierarchical Party Model, or TIPS Credit Memorandum Balances linked to Cash Accounts that fall under their responsibility.</p> <p>Users belonging to Payment Banks can only create TIPS Credit Memorandum Balances linked to Cash Accounts that fall under their responsibility.</p> <p>Users belonging to Ancillary Systems can only create TIPS Credit Memorandum Balances linked to Cash Accounts that fall under their responsibility.</p> <p>Exceptions to the above rules are represented by any user that is granted the appropriate privilege(s) on the specific Party to be linked to the account.</p>

Reference for error message	Field or Button	Error Text	Description
DCC1024	<input type="checkbox"/> Restriction field <input type="checkbox"/> Submit button	Invalid restriction type	When performing a Cash Account create request, the Restriction Type must refer to an existing Restriction Type with Object Restriction Type equal to Cash Account and belonging to the same system entity of the Cash Account or of the Service Operator and to the correct Service.
DCC1025	<input type="checkbox"/> Valid From field <input type="checkbox"/> Submit button	“Valid From” invalid	When performing a Cash Account create request, the Valid From specified in the Cash Account Restriction section must be equal to or greater than the current timestamp.
DCC1100	<input type="checkbox"/> Issue Currency field <input type="checkbox"/> Submit button	Currency Code not found	When performing a Cash Account create request the Currency Code must refer to an existing instance in CRDM with Settlement Currency set to True or a Currency Service Link in place with the relevant Service.
DCC1101	<input type="checkbox"/> Floor Notification field <input type="checkbox"/> Ceiling Notification field	Invalid Floor Notification Amount/ Ceiling Notification Amount	When performing a Cash Account create request the Floor Notification Amount spe-

Reference for error message	Field or Button	Error Text	Description
	<input type="checkbox"/> Submit button <input type="checkbox"/> Parent BIC field		cified must be less than the Ceiling Notification Amount.
DCC1103	<input type="checkbox"/> Submit button <input type="checkbox"/> Cash Account Number field	Cash Account Number already assigned	When performing a Cash Account create request, the Cash Account Number must be compliant with ISO 20022 standards and it must not be already assigned to any other Cash Account in CRDM.
DCC1204	<input type="checkbox"/> Submit button	Invalid linked account	When performing a Cash Account create request to create a T2S Dedicated Cash Account, T2S Dedicated Transit Account or T2S Central Bank Account, if the Linked Account refers to a Cash Account it must be an existing and active Cash Account (respectively a Main Cash Account, CLM Dedicated Transit Account for T2S or CLM Central Bank Account/Central Bank ECB Account) that is open in the relevant validity period in CRDM.
DCC1205	<input type="checkbox"/> Opening Date field	“Opening Date” invalid	When performing a Cash Account create request the Opening

Reference for error message	Field or Button	Error Text	Description
	<input type="checkbox"/> Submit button		Date must be equal to or greater than the current date and be equal or greater than the Account Holder Opening Date. Furthermore it must be equal to or less than the Account Holder Closing Date. For CLM and RTGS accounts, the Opening Date cannot be equal to the current date.
DCC1206	<input type="checkbox"/> RTGS Account field <input type="checkbox"/> Submit button	Invalid External RTGS Account	When performing a Cash Account create request to create a T2S Dedicated Cash Account, T2S Dedicated Transit Account or T2S Central Bank Account, if the Linked Account refers to an External RTGS Account it must be an existing, active and open External RTGS Account in CRDM.
DCC1207	<input type="checkbox"/> RTGS Account field <input type="checkbox"/> Submit button <input type="checkbox"/> Currency field	Invalid Currency code	When performing a Cash Account create request, the Linked Account, regardless of type, must have the same currency code as the Cash Account.
DCC1208	<input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button	“Valid From” invalid	When performing a Cash Account create request, in case of re-

Reference for error message	Field or Button	Error Text	Description
			quest of creation of Cash Account Restriction, the Valid From of the Cash Account Restriction must be equal or greater than the Valid From of the Restriction Type entity.
DCC1209	<input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button	“Valid To” invalid	When performing a Cash Account create request, in case of request of creation of Cash Account Restriction, the Valid To of the Cash Account Restriction must be equal or less than the Valid To of the Restriction Type entity.
DCC1210	<input type="checkbox"/> Closing Date field <input type="checkbox"/> Submit button	“Closing Date” invalid	When performing a Cash Account create request the Closing Date specified in the request must be equal to or greater than the Opening Date. Furthermore it must be equal to or less than the Account Holder Closing Date.
DCC1212	<input type="checkbox"/> Valid To field <input type="checkbox"/> Submit button	“Valid To” invalid	When performing a Cash Account create request, the Valid To specified in the Cash Account Restriction

Reference for error message	Field or Button	Error Text	Description
			section must be equal to or greater than the Valid From.
DCC1216	<input type="checkbox"/> Submit button	Invalid linked account	When performing a Cash Account create request to create a TIPS Credit Memorandum Balance the Linked Account must refer to an existing Cash Account instance in CRDM with type "TIPS Account" or "TIPS AS Technical Account" which is open throughout the specified opening period of the TIPS CMB being created.
DCC1217	<input type="checkbox"/> Submit button	Invalid linked account	When performing a Cash Account create request to create an RTGS Sub-Account, the Linked Account must refer to an existing Cash Account instance in CRDM with type "RTGS Dedicated Cash Account" which is open throughout the specified opening period of the Sub-Account being created and belongs to the same Account Owner Party.

Reference for error message	Field or Button	Error Text	Description
DCC1219	<input type="checkbox"/> Linked Account Number field <input type="checkbox"/> Submit button	Invalid linked account	<p>When performing a Cash Account create request to create an RTGS Dedicated Cash Account, Overnight Deposit Account or Marginal Lending Account, the Linked Account, if specified, must refer to an existing Cash Account instance in CRDM with type "Main Cash Account" which is open throughout the specified opening period of the Cash Account being created and belongs to the same System Entity.</p> <p>Moreover, different RTGS DCAs cannot have the same Main Cash Account defined as Linked Account.</p>
DCC1220	<input type="checkbox"/> Associated LT Account field <input type="checkbox"/> Submit button	Invalid Associated LT Account	<p>When performing a Cash Account Create request to create a Main Cash Account or a RTGS Dedicated Cash Account, the Associated LT Account, if specified, must refer to an existing Cash Account (respectively with type "RTGS Dedicated Cash Account" or "Main Cash Ac-</p>

Reference for error message	Field or Button	Error Text	Description
			<p>count”) that belongs to the same Party and has the same Currency. Furthermore, to ensure a 1 to 1 relationship, the chosen Associated LT Account cannot already have an Associated LT Account defined. Moreover, the Associated LT Account cannot be specified for any other Cash Account Type.</p>
DCC1222	<input type="checkbox"/> Submit button	Invalid Linked Account	<p>When performing a Cash Account create request, to create a Contingency Cash Account or CB Contingency Account, the Linked Account must refer to an existing Cash Account instance in CRDM (respectively with type "Main Cash Account" and "CLM CB Account") which is open throughout the specified opening period of the Cash Account being created and belongs to the same System Entity. Moreover, the Linked account cannot be specified as such for</p>

Reference for error message	Field or Button	Error Text	Description
			any other Contingency Cash Account or Contingency CB Account.
DCC1250	<input type="checkbox"/> Closing Date field <input type="checkbox"/> Submit button	Closing Date cannot be set to Currency Closing Day	When performing a Cash Account Create request, the Closing Date for T2 Accounts cannot be set to a Currency Closing Day in the relevant Service.
DCC1300	<input type="checkbox"/> Type field <input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	Cash Account Restriction overlaps with existing instance	When performing a Cash Account Create request, in case of request for creation of a Cash Account Restriction, the created restriction must not overlap with any other Cash Account Restriction in input having the same Restriction Type.
DCC1400	<input type="checkbox"/> Automated Generation of Interest Payment (system generated) field <input type="checkbox"/> Submit button	Invalid use of Automated Generation of Interest Payment flag	When performing a Cash Account Create request, if a CLM Account Holder is specified as Owner Party, Automated Generation of Interest Payment (system generated) can only be set to TRUE in case a Leading CLM Account Holder is defined at Party Service Link Level.

Reference for error message	Field or Button	Error Text	Description
DCC1401	<input type="checkbox"/> Submit button <input type="checkbox"/> Default MCA field	Default MCA already defined	When performing a Cash Account Create request, only one MCA or CLM CB Account per Account Owner Party can be defined as Default MCA.
DCC1402	<input type="checkbox"/> Default RTGS Account field <input type="checkbox"/> Submit button	Default RTGS Account already defined	When performing a Cash Account Create request, only one RTGS DCA per Account Owner Party can be defined as Default RTGS Account.
DCC1410	<input type="checkbox"/> Co-Manager field <input type="checkbox"/> Submit button	Invalid Co-Manager Party	When performing a Cash Account Create request, the Co-Manager must refer to an existing Party with Service Party Type CLM Account Holder or CLM CB Account Holder.
DCC1420	<input type="checkbox"/> Credit-Based Only field <input type="checkbox"/> Submit button	Invalid use of Credit-Based Only flag	When performing a Cash Account Create request, the Credit-Based Only flag: - must be TRUE for MCA, Overnight Deposit Account, RTGS DCA, RTGS sub-account, AS Guarantee Funds Account (unless owned by a CB),

Reference for error message	Field or Button	Error Text	Description
			<p>AS Technical Account (unless owned by a CB)</p> <p>- must be FALSE for CLM Dedicated Transit Account, Marginal Lending Account, CB ECB Account, ECB Mirror Account, RTGS Dedicated Transit Account</p> <p>- can be TRUE or FALSE for CLM CB Account, RTGS CB Account, Ancillary System Guarantee Funds Account (if owned by a CB), Ancillary System Technical Account (if owned by a CB).</p>
DCC1430	<input type="checkbox"/> Submit button	Invalid usage of Reserve Management Account Configuration	<p>When performing a Cash Account Create request, the Reserve Management Account Configuration attributes can only be input for TIPS Accounts, T2S DCA and all RTGS and CLM accounts except RTGS sub-accounts, Overnight Deposit Accounts, Marginal Lending Accounts, CB ECB Accounts, ECB mirror accounts and the Dedicated</p>

Reference for error message	Field or Button	Error Text	Description
			Transit Accounts in the different settlement services.
DCC1431	<input type="checkbox"/> Minimum Reserve Calculation field <input type="checkbox"/> Submit button	Incorrect Minimum Reserve Obligation	When performing a Cash Account Create Request, the Minimum Reserve Calculation can only be used for EUR accounts and only if the Account Owner Party has Minimum Reserve Obligation at Party Service Link level set to "Pool", "Direct" or "Indirect".
DCC1440	<input type="checkbox"/> Rule-based LT for Queued High Priority Payments field <input type="checkbox"/> Rule-based LT for Queued Urgent Priority Payments <input type="checkbox"/> Submit button	Invalid usage of Rule-based LT flags	When performing a Cash Account Create request, the Rule-based LT flags can only be used if the Account Type is RTGS DCA and an Associated LT Account is defined.
DCC1524	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Submit button	Invalid Party Mnemonic	When performing a Cash Account create request, the account holding Party must refer to an existing active and open instance in CRDM with Party Type equal to NCB, Payment Bank or Ancillary System.

Reference for error message	Field or Button	Error Text	Description
DCC1530	<input type="checkbox"/> Currency field <input type="checkbox"/> Submit button	Transit account already existing for this currency	When performing a Cash Account create request, when creating a T2S Dedicated Transit Account, no other account of the same type must be already associated to the relevant currency.
DCC1531	<input type="checkbox"/> Account type field <input type="checkbox"/> Currency field <input type="checkbox"/> Submit button	Transit account not found for this currency	When performing a Cash Account create request, when creating a T2S Dedicated Cash Account or a T2S central bank account, there must be a T2S Dedicated Transit Account related to the relevant currency.
DCC1532	<input type="checkbox"/> Closing Date field <input type="checkbox"/> Account type field <input type="checkbox"/> Submit button	Transit account not found for this currency	When performing a Cash Account create request, when creating a TIPS Account or a TIPS AS Technical Account, there must be a TIPS Transit Account related to the relevant currency.
DCC1533	<input type="checkbox"/> Submit button	Transit account already existing for this currency	When performing a Cash Account create request, when creating a TIPS Transit Account, no other account of the same type must be already associated to the relevant currency.

Reference for error message	Field or Button	Error Text	Description
DCC1534	<input type="checkbox"/> Submit button	Transit account already existing for this currency	When performing a Cash Account create request, when creating an RTGS Dedicated Transit Account, no other account of the same type must be already associated to the relevant currency over the same validity period.
DCC1535	<input type="checkbox"/> Submit button	Transit account not found for this currency	When performing a Cash Account create request, when creating an RTGS Dedicated Cash Account or RTGS Central Bank Account, there must be an RTGS Dedicated Transit Account related to the relevant currency.
DCC1536	<input type="checkbox"/> Submit button	Transit account already existing for this currency	When performing a Cash Account create request, when creating any kind of CLM Dedicated Transit Account, no other account of the same type must be already associated to the relevant currency over the same validity period.
DCC1540	<input type="checkbox"/> Maximum Amount to be Debited per Day field	Invalid usage of CLM/RTGS attributes	When performing a Cash Account Create request, the Non-Published flag and Max-

Reference for error message	Field or Button	Error Text	Description
	<input type="checkbox"/> Non-published field <input type="checkbox"/> Submit button		imum Amount to be Debited per Day can only be used for CLM and RTGS Account types.
DCC1550	<input type="checkbox"/> Currency field <input type="checkbox"/> Submit button	Invalid Currency code	When performing a Cash Account Create request, the specified Currency Code must be consistent with the Currency Code of the responsible Central Bank.
DCC1555	<input type="checkbox"/> Type field <input type="checkbox"/> Party Type field <input type="checkbox"/> Submit button	Invalid relations between account type and party type	When performing a Cash Account create request the Account Type to be created must be consistent with the Party Type of the account holder.
DCC1601	<input type="checkbox"/> Submit button	-	When performing a Cash Account Create request, in case of immediate setup of Cash Account Restriction, the timestamp to be used must take a conventional value which the system will interpret as the current timestamp. Furthermore, no check must be performed on such a conventional value in case of four eyes second step or processing of retrieved queued requests.

Reference for error message	Field or Button	Error Text	Description
DCC1800	<ul style="list-style-type: none"> <input type="checkbox"/> Floor Notification Amount field <input type="checkbox"/> Ceiling Notification Amount field <input type="checkbox"/> Target Amount After Breaching Floor field <input type="checkbox"/> Target Amount After Breaching Ceiling field <input type="checkbox"/> Submit button 	Invalid number of decimals	When performing a Cash Account Create request, the number of decimals in the values provided for Floor Notification Amount, Target Amount After Breaching Floor, Ceiling Notification Amount, Target Amount After Breaching Ceiling and Maximum Amount To Be Debited Per Day must be compliant with the number of decimals foreseen for the relevant currency.
DCU1001	<ul style="list-style-type: none"> <input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Co-Manager field <input type="checkbox"/> Submit button 	Requestor not allowed	<p>When performing a Cash Account update request the Party Type of the Requestor must be NCB, Ancillary System or Payment Bank.</p> <p>Users belonging to NCBs can only update Cash Accounts for Parties that fall under their responsibility according to the Hierarchical Party Model, or TIPS Credit Memorandum Balances linked to Cash Accounts that fall under their responsibility. In addition, they can up-</p>

Reference for error message	Field or Button	Error Text	Description
			<p>date Cash Accounts for which they are defined as Co-Managers.</p> <p>Users belonging to Payment Banks can only update floor/ceiling attributes for Cash Accounts for which they are defined as Account Owners or Co-Managers. In addition, they can update TIPS Credit Memorandum Balances linked to Cash Accounts that fall under their responsibility.</p> <p>Users belonging to Ancillary Systems can only update TIPS Credit Memorandum Balances linked to Cash Accounts that fall under their responsibility.</p> <p>Exceptions to the above rule are represented by any user that is granted the appropriate privilege(s) on the account or on the relevant Party holding the account.</p>
DCU1003	<input type="checkbox"/> Account Identifier field <input type="checkbox"/> Submit button	Data to be updated not found	The update requests of a Cash Account must refer to an exist-

Reference for error message	Field or Button	Error Text	Description
			ing and active account. Furthermore, the Closing Date must be equal to or greater than the current date.
DCU1024	<input type="checkbox"/> Restriction field <input type="checkbox"/> Submit button	Invalid restriction type	When performing a Cash Account update request, in case of request of creation of Cash Account Restriction, the Restriction Type must refer to an existing Restriction Type with Object Restriction Type equal to Cash Account and belonging to the same system entity of the Cash Account or of the Service Operator and to the correct Service.
DCU1030	<input type="checkbox"/> Submit button	The account cannot be closed due to a closure priority constraint	A Cash Account cannot be closed if there still are valid instances of the following entities linked to it: Liquidity Transfer Order, Liquidity Transfer Order Link Set, Authorised Account User, Data Aggregation, Limit, Standing Order for Reservation, Direct Debit Mandate.

Reference for error message	Field or Button	Error Text	Description
DCU1040	<input type="checkbox"/> Submit button	Opening/Closing Date not consistent with linked TIPS CMB	When performing a Cash Account update request, any update of the Opening Date and Closing Date must be consistent with the validity periods of other existing Cash Accounts with type 'TIPS Credit Memorandum Balance' linking to it.
DCU1041	<input type="checkbox"/> Linked Account Number field <input type="checkbox"/> Submit button	Opening/Closing Date not consistent with linked Cash Account	When performing a Cash Account update request, any update of the Opening Date and Closing Date must be consistent with the validity periods of other existing Cash Accounts referencing it as Linked Account.
DCU1101	<input type="checkbox"/> Floor Notification field <input type="checkbox"/> Ceiling Notification field <input type="checkbox"/> Submit button	Invalid Floor Notification Amount/ Ceiling Notification Amount	When performing a Cash Account update request, the Floor Notification Amount must be less than the Ceiling Notification Amount.
DCU1204	<input type="checkbox"/> Submit button	Invalid use of Linked Account	When performing a Cash Account update request, the Linked Account can be specified only for TIPS Credit Memorandum Balances, T2S Dedicated Transit Accounts, T2S Central

Reference for error message	Field or Button	Error Text	Description
			Bank Accounts, T2S Dedicated Cash Accounts, RTGS Dedicated Cash Accounts, RTGS Sub-Accounts, Overnight Deposit Accounts, Marginal Lending Accounts, Contingency Cash Accounts or CB Contingency Accounts.
DCU1206	<input type="checkbox"/> RTGS Account field <input type="checkbox"/> Submit button	Invalid External RTGS account	When performing a Cash Account update request, the Linked Account, when it refers to an External RTGS Cash Account, must refer to an existing and open instance in T2S.
DCU1207	<input type="checkbox"/> RTGS Account field <input type="checkbox"/> Linked Account Number field <input type="checkbox"/> Submit button	Invalid External RTGS account	When performing a Cash Account update request, if the Linked Account references an External RTGS Account it must have the same currency code of the Cash Account.
DCU1208	<input type="checkbox"/> Linked Account Number field <input type="checkbox"/> Submit button	Invalid linked account	When performing a Cash Account update request, if the Linked Account references another Cash Account it must have the same currency code of the Cash Account being updated.

Reference for error message	Field or Button	Error Text	Description
DCU1209	<input type="checkbox"/> Linked Account Type field <input type="checkbox"/> Submit button	Invalid linked account	<p>When performing a Cash Account update request on the Linked Account, Opening Date and/or Closing Date of a T2S Dedicated Cash Account, T2S Dedicated Transit Account or T2S Central Bank Account, and the Linked Account Type is "Cash Account", the Linked Account must refer to an existing Cash Account instance in CRDM with the correct type which is open throughout the specified validity period of the Cash Account being updated.</p> <p>For T2S DCA the Linked Account must be a Main Cash Account; for T2S Dedicated Transit Account it must be a CLM Dedicated Transit Account for T2S; for T2S Central Bank Account it must be a CLM Central Bank Account or Central Bank ECB Account.</p>
DCU1210	<input type="checkbox"/> Closing Date field <input type="checkbox"/> Submit button	"Closing Date" Invalid	<p>When performing a Cash Account update request, the Closing</p>

Reference for error message	Field or Button	Error Text	Description
			Date must be equal to or greater than the current date and equal to or greater than the Cash Account Opening Date. Furthermore it must be equal to or less than the Account Holder Closing Date.
DCU1211	<input type="checkbox"/> Valid From field <input type="checkbox"/> Submit button	“Valid From” invalid	When performing a Cash Account update request, in case of request of creation of Cash Account Restriction, the Valid From must be equal to or greater than the current timestamp.
DCU1212	<input type="checkbox"/> Valid To field <input type="checkbox"/> Submit button	“Valid To” invalid	When performing a Cash Account update request, in case of request of creation/update of Cash Account Restriction, the Valid To specified in the Cash Account Restriction section must be equal to or greater than the current timestamp and must be equal to or greater than the Valid From.
DCU1213	<input type="checkbox"/> Opening Date field <input type="checkbox"/> Submit button	“Opening Date” Invalid	When performing a T2S Dedicated Cash Account update request, the Opening Date can be updated

Reference for error message	Field or Button	Error Text	Description
			<p>only if the existing one is greater than the current date and the new one must be equal to or greater than the current date. Furthermore it must be equal to or greater than the Account Holder Opening Date and equal to or less than the Account Holder Closing Date. For CLM and RTGS accounts, the Opening Date must be later than the current date.</p>
DCU1214	<input type="checkbox"/> Submit button	Invalid linked account	<p>When performing a Cash Account update request on the Linked Account, Opening Date and/or Closing Date of a TIPS Credit Memorandum Balance, the Linked Account must refer to an existing Cash Account instance in CRDM with type "TIPS Cash Account" or "TIPS AS Technical Account" which is open throughout the specified validity period of the TIPS CMB being updated.</p>

Reference for error message	Field or Button	Error Text	Description
DCU1215	<ul style="list-style-type: none"> <input type="checkbox"/> Linked Account Number field <input type="checkbox"/> Submit button 	Invalid linked account	<p>When performing a Cash Account update request on the Linked Account, Opening Date and/or Closing Date of an RTGS Dedicated Cash Account, RTGS Sub-Account, Overnight Deposit Account, Marginal Lending Account, Contingency Cash Account or CB Contingency Account, the Linked Account must refer to an existing Cash Account instance in CRDM with the correct type which is open throughout the specified validity period of the Cash Account being updated. For RTGS DCA, Contingency Cash Account, Overnight Deposit Account and Marginal Lending Account the Linked Account must be a Main Cash Account; for RTGS sub-account it must be an RTGS DCA; for CB Contingency Account it must be a CLM CB Account.</p>

Reference for error message	Field or Button	Error Text	Description
			Moreover, the same Linked account cannot be specified as such for multiple Contingency Cash Accounts or Contingency CB Accounts; the same Linked account cannot be specified as such for multiple RTGS Dedicated Cash Accounts.
DCU1216	<input type="checkbox"/> Valid From field <input type="checkbox"/> Submit button	Restriction cannot be deleted	When performing a Cash Account update request, in case of request of deletion of Cash Account Restriction, the Valid From must be greater than the current timestamp or the Cash Account Restriction must be closed.
DCU1217	<input type="checkbox"/> Restriction field <input type="checkbox"/> Submit button	Account is not restricted	When performing a Cash Account update request, case of request of update of Cash Account Restriction, it must refer to an existing Cash Account Restriction with a non-past Valid To.
DCU1218	<input type="checkbox"/> Submit button	Invalid Currency Code	When performing a Cash Account update request, the specified Currency Code must

Reference for error message	Field or Button	Error Text	Description
			refer to the one already linked to the existing Cash Account.
DCU1219	<input type="checkbox"/> Valid From field <input type="checkbox"/> Submit button	“Valid From” invalid	When performing a Cash Account update request, in case of request of creation of Cash Account Restriction, the Valid From of the Cash Account Restriction must be equal or greater than the Valid From of the Restriction Type.
DCU1220	<input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	“Valid To” invalid	When performing a Cash Account update request, in case of request of creation of Cash Account Restriction, the Valid To of the Cash Account Restriction must be equal or less than the Valid To of the Restriction Type.
DCU1230	<input type="checkbox"/> Associated LT Account field <input type="checkbox"/> Submit button	Invalid Associated LT Account	When performing a Cash Account Update request to update a Main Cash Account or a RTGS Dedicated Cash Account, the Associated LT Account, if specified, must refer to an existing Cash Account (respectively with type "RTGS Ded-

Reference for error message	Field or Button	Error Text	Description
			<p>icated Cash Account" or "Main Cash Account") that belongs to the same Party and has the same Currency.</p> <p>Furthermore, to ensure a 1 to 1 relationship, the chosen Associated LT Account cannot already have an Associated LT Account defined unless it is the same Cash Account being updated.</p> <p>Moreover, the Associated LT Account cannot be specified for any other Cash Account Type.</p>
DCU1231	<ul style="list-style-type: none"> <input type="checkbox"/> Rule-based LT for Queued High Priority Payments field <input type="checkbox"/> Rule-based LT for Queued Urgent Priority Payments <input type="checkbox"/> Submit button 	Invalid use of Rule-based LT flags	<p>When performing a Cash Account Update request, the Rule-based LT flags can only be used if the Account Type is RTGS DCA and an Associated LT Account is defined in a 1 to 1 relationship. Moreover if Rule-based LT flags are set to True, the 1 to 1 relationship cannot be broken by changing the Associated LT Account.</p>

Reference for error message	Field or Button	Error Text	Description
DCU1232	<input type="checkbox"/> Target Amount After Breaching Floor field <input type="checkbox"/> Target Amount After Breaching Ceiling field <input type="checkbox"/> Submit button	Invalid use of account threshold data	When performing a Cash Account Update request, Target Amount after Breaching Floor and Floor Notification can only be used if Floor Notification Amount is used. Target Amount after Breaching Ceiling and Ceiling Notification can only be used if Ceiling Notification Amount is used.
DCU1240	<input type="checkbox"/> Default MCA field <input type="checkbox"/> Submit button	Invalid use of Default MCA	When performing a Cash Account Update request, Default MCA can be specified only if the Cash Account Type is Main Cash Account or CLM Account.
DCU1241	<input type="checkbox"/> Default RTGS Account field <input type="checkbox"/> Submit button	Invalid use of Default RTGS Account	When performing a Cash Account Update request, Default RTGS Account can be specified only if the Cash Account Type is RTGS Dedicated Cash Account.
DCU1242	<input type="checkbox"/> Minimum Reserve Calculation field <input type="checkbox"/> Interest Calculation field <input type="checkbox"/> Submit button	Invalid use of Interest Calculation data	When performing a Cash Account Update request, Interest Calculation must be specified if Minimum Reserve Calculation is

Reference for error message	Field or Button	Error Text	Description
			set to False. It cannot be specified if Minimum Reserve Calculation is set to True.
DCU1243	<input type="checkbox"/> Interest Calculation field <input type="checkbox"/> Interest Rate Type <input type="checkbox"/> Submit button	Invalid use of Interest Calculation data	When performing a Cash Account Update request, Interest Rate Type must be specified if Interest Calculation is set to a value different from "No". It cannot be specified if Interest Calculation is set to "No".
DCU1244	<input type="checkbox"/> Co-managed field <input type="checkbox"/> Submit button	Account cannot be co-managed	When performing a Cash Account Update request, the Co-managed field can be used only for Main Cash Accounts.
DCU1245	<input type="checkbox"/> Co-managed field <input type="checkbox"/> Co-Manager field <input type="checkbox"/> Submit button	Account is not co-managed	When performing a Cash Account Update request, the Co-manager can be specified only if the Co-managed field is set to True.
DCU1250	<input type="checkbox"/> Closing Date field <input type="checkbox"/> Submit button	Closing Date cannot be set to Currency Closing Day	When performing a Cash Account Update request, the Closing Date for T2 Accounts cannot be set to a Currency Closing Day in the relevant Service.

Reference for error message	Field or Button	Error Text	Description
DCU1300	<ul style="list-style-type: none"> <input type="checkbox"/> Type field <input type="checkbox"/> Restrictions field <input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button 	Cash Account Restriction overlaps with existing instance	When performing a Cash Account Update request, in case of request for creation/update of Cash Account Restriction, the new or updated restriction must not overlap with any other Cash Account Restrictions having the same Restriction Type on the same Cash Account.
DCU1313	<ul style="list-style-type: none"> <input type="checkbox"/> Closing date field <input type="checkbox"/> Floor Notification Amount field <input type="checkbox"/> Submit button 	Closing Date not allowed due to open DCA related Transit Account	When performing a Cash Account update request, in case of update of the Opening or Closing Date of a T2S Dedicated Transit Account, RTGS Dedicated Transit Account or TIPS Transit Account, no active Cash Account with the same currency for T2S, RTGS and TIPS respectively must be open outside of the Transit Account validity period.
DCU1400	<ul style="list-style-type: none"> <input type="checkbox"/> Automated Generation of Interest Payment (system-generated) field <input type="checkbox"/> Submit button 	Invalid use of Automated Generation of Interest Payment flag	When performing a Cash Account Update request to update a Main Cash Account, Automated Generation of Interest Payment (system-generated) can only be set

Reference for error message	Field or Button	Error Text	Description
			to TRUE in case a Leading CLM Account Holder is defined at Party Service Link Level.
DCU1401	<input type="checkbox"/> Default MCA field <input type="checkbox"/> Submit button	Default MCA already defined	When performing a Cash Account Update request, only one MCA or CLM CB Account per Party can be defined as Default MCA.
DCU1402	<input type="checkbox"/> Default RTGS Account field <input type="checkbox"/> Submit button	Default RTGS Account already defined	When performing a Cash Account Update request, only one RTGS DCA per Party can be defined as Default RTGS Account.
DCU1410	<input type="checkbox"/> Co-Manager field <input type="checkbox"/> Submit button	Invalid Co-manager Party	When performing a Cash Account Update request, the Co-Manager must refer to an existing Party with Service Party Type CLM Account Holder or CLM CB Account Holder.
DCU1420	<input type="checkbox"/> Credit-Based Only field <input type="checkbox"/> Submit button	Invalid use of Credit-Based Only flag	When performing a Cash Account Update request, in case of request for creation of Additional Account Configuration, the Credit-Based Only flag:

Reference for error message	Field or Button	Error Text	Description
			<ul style="list-style-type: none"> <input type="checkbox"/> must be TRUE for MCA, Overnight Deposit Account, RTGS DCA, RTGS sub-account, AS Guarantee Funds Account (unless owned by a CB), AS Technical Account (unless owned by a CB) <input type="checkbox"/> must be FALSE for CLM Dedicated Transit Account, Marginal Lending Account, CB ECB Account, ECB Mirror Account, RTGS Dedicated Transit Account <input type="checkbox"/> can be TRUE or FALSE for CLM CB Account, RTGS CB Account, Ancillary System Guarantee Funds Account (if owned by a CB), Ancillary System Technical Account (if owned by a CB). <p>In case of request for update of Additional Account Configuration, the Credit-Based Only flag can be modified only for CLM CB Account, RTGS CB</p>

Reference for error message	Field or Button	Error Text	Description
			Account, Ancillary System Guarantee Funds Account (if owned by a CB), Ancillary System Technical Account (if owned by a CB).
DCU1430	<input type="checkbox"/> Submit button	Invalid usage of Reserve Management Account Configuration	When performing a Cash Account Update request, the Reserve Management Account Configuration attributes can only be input for TIPS Accounts, T2S DCA and all RTGS and CLM accounts except RTGS sub-accounts, Overnight Deposit Accounts, Marginal Lending Accounts, CB-ECB Accounts, ECB mirror accounts and the Dedicated Transit Accounts in the different settlement services.
DCU1431	<input type="checkbox"/> Minimum Reserve Calculation field <input type="checkbox"/> Submit button	Incorrect Minimum Reserve Obligation	When performing a Cash Account Update Request, the Minimum Reserve Calculation can only be used for EUR accounts and only if the Account Owner Party has Minimum Reserve Obligation at Party Service

Reference for error message	Field or Button	Error Text	Description
			Link level set to "Pool", "Direct" or "Indirect".
DCU1532	<input type="checkbox"/> Submit button	No valid Transit Account found for the specified validity period	When performing a Cash Account Update request, the validity period of the Cash Account must be contained within the validity period of the relevant Transit Account.
DCU1534	<input type="checkbox"/> Submit button	Transit Account already defined	When performing a Cash Account Update request, when updating the Opening/Closing Dates of an RTGS Dedicated Transit Account, T2S Dedicated Transit Account, TIPS Transit Account or any kind of CLM Dedicated Transit Account, no other account of the same type must be already associated to the relevant currency over the same validity period.
DCU1540	<input type="checkbox"/> Maximum Amount to be Debited per Day field <input type="checkbox"/> Non-published field <input type="checkbox"/> Submit button	Invalid usage of CLM/RTGS Account Types	When performing a Cash Account Update request, the Non-Published flag and Maximum Amount to be debited per day can

Reference for error message	Field or Button	Error Text	Description
			only be used for CLM and RTGS Account types.
DCU1555	<input type="checkbox"/> Submit button	Party-Service Link for TIPS not found or not valid	When performing a Cash Account Update request, Cash Accounts for TIPS require an existing and active Party-Service Link to be in place between the Owner Party and TIPS for the relevant validity period.
DCU1556	<input type="checkbox"/> Submit button	Party-Service Link for CLM not found or not valid	When performing a Cash Account Update request, Cash Accounts for CLM require an existing and active Party-Service Link to be in place between the Owner Party and CLM for the relevant validity period.
DCU1557	<input type="checkbox"/> Submit button	Party-Service Link for RTGS not found or not valid	When performing a Cash Account Update request, Cash Accounts for RTGS require an existing and active Party-Service Link to be in place between the Owner Party and RTGS for the relevant validity period.

Reference for error message	Field or Button	Error Text	Description
DCU1558	<input type="checkbox"/> Submit button	Party-Service Link for ECONS2 not found or not valid	When performing a Cash Account Update request, Cash Accounts for ECONS2 require an existing and active Party-Service Link to be in place between the Owner Party and ECONS2 for the relevant validity period.
DCU1590	<input type="checkbox"/> Submit button	Duplicate Configuration instance cannot be created	When performing a Cash Account Update request, each Cash Account can have no more than one instance each of Account Threshold Configuration, Reserve Management Account Configuration and Additional Account Configuration.
DCU1600	<input type="checkbox"/> Submit button	-	When performing a Cash Account Update request, in case of immediate setup or removal of Cash Account Restriction, the timestamp to be used must take a conventional value which the system will interpret as the current timestamp. Furthermore, no check must be performed on such a conventional value

Reference for error message	Field or Button	Error Text	Description
			in case of four eyes second step or processing of retrieved queued requests.
DCU1800	<input type="checkbox"/> Floor Notification Amount field <input type="checkbox"/> Ceiling Notification Amount field <input type="checkbox"/> Target Amount After Breaching Floor field <input type="checkbox"/> Target Amount After Breaching Ceiling field <input type="checkbox"/> Submit button	Invalid number of decimals	When performing a Cash Account Update request, the number of decimals in the values provided for Floor Notification Amount, Target Amount After Breaching Floor, Ceiling Notification Amount, Target Amount After Breaching Ceiling and Maximum Amount To Be Debited Per Day must be compliant with the number of decimals foreseen for the relevant currency.

{Split book approach}

6.5.2.16 Cash Discount Penalty Rate Search/List Screen

△ [▶ 774]

Reference for error message	Field or Button	Error Text	Description
DSD8002	<input type="checkbox"/> Status field <input type="checkbox"/> Delete button	Invalid instance to be deleted	When performing a Cash Discount Penalty Rate deletion re-

Reference for error message	Field or Button	Error Text	Description
			quest, it must refer to an existing and active instance.
DSD8003	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Invalid instance to be restored	When performing a Cash Discount Penalty Rate restore request, it must refer to an existing and deleted instance.
DSD8005	<input type="checkbox"/> Currency field <input type="checkbox"/> Valid From field <input type="checkbox"/> Restore button	Instance already exists	When performing a Cash Discount Penalty Rate creation request, there can be no more than one active instance for the same Currency and Valid From. {Split book approach}

~~6.5.2.17 Certificate Distinguished Name - New Screen~~

△ [▶ 984]

Reference for error message	Field or Button	Error Text	Description
DRDA001	<input type="checkbox"/> Restore button <input type="checkbox"/> Delete button	Requestor not allowed	A Certificate DN can be deleted or restored only by users with the correct privilege.
DRDA002	<input type="checkbox"/> Certificate Distinguished Name field <input type="checkbox"/> Restore button	Distinguished Name already used	When performing a Certificate DN Restore request, the Distinguished Name must not be already used within active instances in CRDM.

Reference for error message	Field or Button	Error Text	Description
DRDA003	<input type="checkbox"/> Status field <input type="checkbox"/> Delete button	Unknown or not active Certificate DN	When performing a Certificate DN Delete request, it must refer to an existing and active Certificate DN.
DRDA004	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Unknown or not deleted Certificate DN	When performing a Certificate DN Restore request, it must refer to an existing and deleted Certificate DN.
DRDA010	<input type="checkbox"/> Delete button	Certificate DN is linked to a User	When performing a Certificate DN Delete request, it must refer to a Certificate DN not actively linked to any User.

{Split book approach}

6.5.2.18 Certificates Distinguished Names—Search/List Screen

△ [▶ 982]

Reference for error message	Field or Button	Error Text	Description
DRCA004	<input type="checkbox"/> Submit button	Requestor not allowed	A Certificate DN can be created only by users with the correct privilege.
DRCA002	<input type="checkbox"/> Certificate Distinguished Name field <input type="checkbox"/> Submit button	Distinguished Name already used	When performing a Certificate DN Create request, the Distinguished Name must not be already used within active instances in CRDM.

{Split book approach}**6.5.2.19 Close Link - New Screen**

△ [▶ 732]

Reference for error message	Field or Button	Error Text	Description
DSC2001	<input type="checkbox"/> Submit button	Requestor not allowed	When performing a Close Link creation request, the Party Type of the Requestor must be NCB, Payment Bank or T2S Operator.
DSC2030	<input type="checkbox"/> ISIN field <input type="checkbox"/> Submit button	Unknown Security	When performing a Close Link creation request the Linked Security must exist in Security entity.
DSC2020	<input type="checkbox"/> Credit Provider Parent BIC field <input type="checkbox"/> Credit Provider Party BIC field <input type="checkbox"/> Submit button	Unknown Credit Provider Party	When performing a Close Link creation request, the Credit Provider Party must refer to an existing and active Party in T2S within the data scope of the requester with Party Type NCB or Payment Bank.
DSC2040	<input type="checkbox"/> Party BIC field <input type="checkbox"/> Submit button	Unknown Party	When performing a Close Link creation request the Linked BIC must exist in T2S BIC Directory entity.
DSC2050	<input type="checkbox"/> Party BIC field <input type="checkbox"/> ISIN field <input type="checkbox"/> Credit Provider Parent BIC field	Close Link already defined	When performing a Close Link creation request, a link between the same BIC

Reference for error message	Field or Button	Error-Text	Description
	<input type="checkbox"/> Credit Provider Party BIC field <input type="checkbox"/> Submit button		and the same Security for the same Credit Provider must not be already defined. {Split book approach}

~~6.5.2.20 Close Links - Search/List Screen~~

△ [▶ 729]

Reference for error message	Field or Button	Error-Text	Description
DSD2001	<input type="checkbox"/> Restore button <input type="checkbox"/> Delete button	Requestor not allowed	When performing a Close Link deletion/restore request, the Party Type of the Requestor must be NCB, Payment Bank or T2S Operator. A user belonging to an NCB can delete/restore only Close Links within their System Entity, while a user belonging to a Payment Bank can delete/restore only Close Links their Party is responsible for.
DSD2003	<input type="checkbox"/> Status field <input type="checkbox"/> Delete button	Unknown Close Link	When performing a Close Link delete request, it must refer to an existing Close Link.

Reference for error message	Field or Button	Error-Text	Description
DSD2012	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Unknown Close Link	When performing a Close Link restore request, it must refer to an existing and deleted Close Link
DSD2013	<input type="checkbox"/> Party BIC field <input type="checkbox"/> Restore button	Unknown BIC	When performing a Close Link restore request, the BIC to be restored must refer to an existing instance in T2S BIC Directory
DSD2014	<input type="checkbox"/> ISIN field <input type="checkbox"/> Restore button	Unknown Security	When performing a Close Link deletion/restore request, the Security Identifier to be restored must refer to an existing instance in T2S
DSD2015	<input type="checkbox"/> Party BIC field <input type="checkbox"/> ISIN field <input type="checkbox"/> Credit Provider Parent BIC field <input type="checkbox"/> Credit Provider Party BIC field <input type="checkbox"/> Restore button	Close Link already defined	When performing a Close Link restore request, no other record should exist for the same Credit Provider, BIC - Securities in T2S.
DSD2020	<input type="checkbox"/> Credit Provider Parent BIC field <input type="checkbox"/> Credit Provider Party BIC field <input type="checkbox"/> Restore button	Unknown Credit Provider Party	When performing a Close Link restore request, the Credit Provider Party must refer to an existing and active Party in T2S within the data scope of the requestor with Party Type NCB or Payment Bank. {Split book approach}

~~6.5.2.21 Closing Days Search/List Screen~~

△ [▶ 967]

~~No reference for error messages.~~

~~6.5.2.22 CMBs Search/List Screen~~

△

Reference for error message	Field or Button	Error Text	Description
QMPC031	<input type="checkbox"/> T2S Dedicated Cash Account Number field	The specified T2S Dedicated Cash Account Number is not known in T2S.	In case a T2S Dedicated Cash Account Number is specified, it has to be known in T2S.
DCC6001	<input type="checkbox"/> T2S Dedicated Cash Account Number field	Requester not allowed	When performing a Credit Memorandum Balance create request the Party Type of the Requestor must be T2S Operator, NCB or Payment Bank. A user belonging to an NCB can only create Credit Memorandum Balance for T2S Dedicated Cash Account falling under his responsibility according to the Hierarchical Party Model. A user belonging to a Payment Bank can only create Credit Memorandum Balance with Primary CMB flag set to false for T2S Dedicated Cash Account falling under his re-

Reference for error message	Field or Button	Error Text	Description
			responsibility according to the Hierarchical Party Model
DCD6001	<input type="checkbox"/> Restore button <input type="checkbox"/> Delete button	Requestor not allowed	When performing a Credit Memorandum Balance create request the Party Type of the Requestor must be T2S Operator, NCB or Payment Bank. A user belonging to an NCB can only create Credit Memorandum Balance for T2S Dedicated Cash Account falling under his responsibility according to the Hierarchical Party Model. A user belonging to a Payment Bank can only create Credit Memorandum Balance with Primary CMB flag set to false for T2S Dedicated Cash Account falling under his responsibility according to the Hierarchical Party Model.
DCD6020	<input type="checkbox"/> Status field <input type="checkbox"/> Delete button	Invalid Credit Memorandum Balance to be deleted or restored	The request to delete a Credit Memorandum Balance must refer to an existing and active instance in T2S.
DCD6024	<input type="checkbox"/> Restore button	Unknown Securities Account Identifier	When performing a Credit Memorandum Balance restore re-

Reference for error message	Field or Button	Error Text	Description
			quest, the Regular Securities Account identifier must refer to an existing and active instance in T2S.
DCD6025	<input type="checkbox"/> Restore button	Unknown Securities Account Identifier	When performing a Credit Memorandum Balance restore request, the Receiving Securities Account identifier must refer to an existing and active instance in T2S.
DCD6030	<input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Restore button	Unknown T2S Dedicated Cash Account Identifier	When performing a Credit Memorandum Balance restore request, the T2S Dedicated Cash Account identifier to be restored must refer to an existing and active instance in T2S.
DCD6032	<input type="checkbox"/> Restore button	Unknown T2S Dedicated Cash Account Identifier	When performing a Credit Memorandum Balance restore request, the NCB T2S Dedicated Cash Account identifier to be restored must refer to an existing and active instance in T2S belonging to the responsible NCB.
DCD6040	<input type="checkbox"/> Delete button	The deletion is not allowed due to a deletion priority constraint	When performing a Credit Memorandum Balance delete request, all the linked

Reference for error message	Field or Button	Error-Text	Description
			instances of CMB Securities Account Link must be already deleted or closed.
DCD6050	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Invalid Credit Memorandum Balance to be deleted or restored	The request to restore a Credit Memorandum Balance must refer to an existing and deleted instance.

{Split book approach}

~~6.5.2.23 CMB - Details Screen~~

△ [▶ 838]

Reference for error message	Field or Button	Error-Text	Description
DCD6001	<input type="checkbox"/> Delete button <input type="checkbox"/> Restore button	Requestor not allowed	When performing a credit memorandum balance delete/restore request, the party type of the requestor must be T2S operator or CB. A user belonging to a CB can only delete/restored credit memorandum balance linked to his relevant CB.
DCD6020	<input type="checkbox"/> Status field <input type="checkbox"/> Delete button	Invalid Credit Memorandum Balance to be deleted or restored	The request to delete a credit memorandum balance must refer to an existing and active instance in T2S.
DCD6024	<input type="checkbox"/> Regular Securities Account field <input type="checkbox"/> Restore button	Unknown Securities Account Identifier	When performing a credit memorandum balance restore re-

Reference for error message	Field or Button	Error Text	Description
			quest, the regular securities account identifier must refer to an existing and active instance in T2S.
DCD6025	<input type="checkbox"/> Receiving Securities Account field <input type="checkbox"/> Restore button	Unknown Securities Account Identifier	When performing a credit memorandum balance restore request, the receiving securities account identifier must refer to an existing and active instance in T2S.
DCD6030	<input type="checkbox"/> T2S Dedicated Cash Account field <input type="checkbox"/> Restore button	Unknown T2S Dedicated Cash Account Identifier	When performing a credit memorandum balance restore request, the T2S dedicated cash account identifier to be restored must refer to an existing and active instance in T2S.
DCD6032	<input type="checkbox"/> CB T2S Dedicated Cash Account Number field <input type="checkbox"/> Restore button	Unknown T2S Dedicated Cash Account Identifier	When performing a credit memorandum balance restore request, the CB T2S dedicated cash account identifier to be restored must refer to an existing and active instance in T2S belonging to the responsible CB.
DCD6040	<input type="checkbox"/> Delete button	The deletion is not allowed due to a deletion Priority constraint	When performing a credit memorandum balance delete request, all the linked

Reference for error message	Field or Button	Error-Text	Description
			instances of CMB securities account link must be already deleted or closed.
DCD6050	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Invalid Credit Memorandum Balance to be deleted or restored	The request to restore a credit memorandum balance must refer to an existing and deleted instance.

{Split book approach}

~~6.5.2.24 CMB - New/Edit Screen~~

△ [▶ 841]

Reference for error message	Field or Button	Error-Text	Description
DCC6001	<input type="checkbox"/> Submit button <input type="checkbox"/> T2S Dedicated Cash Account Number field	Requestor not allowed	When performing a Credit Memorandum Balance create request the Party Type of the Requestor must be T2S Operator, CB or Payment Bank. A user belonging to an CB can only create Credit Memorandum Balance for T2S Dedicated Cash Account falling under his responsibility according to the Hierarchical Party Model. A user belonging to a Payment Bank can only create Credit Memorandum Balance with

Reference for error message	Field or Button	Error-Text	Description
			Primary CMB flag set to false for T2S Dedicated Cash Account falling under his responsibility according to the Hierarchical Party Model
DCC6024	<ul style="list-style-type: none"> <input type="checkbox"/> Regular Securities Account Number field <input type="checkbox"/> Submit button 	Unknown Securities Account Identifier	When performing a Credit Memorandum Balance create request, the Regular Securities Account Identifier specified must refer to an existing and active account in T2S.
DCC6025	<ul style="list-style-type: none"> <input type="checkbox"/> Receiving Securities Account field <input type="checkbox"/> Submit button 	Unknown Securities Account Identifier	When performing a Credit Memorandum Balance create request, the Receiving Securities Account Identifier specified must refer to an existing and active account in T2S.
DCC6030	<ul style="list-style-type: none"> <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Submit button 	Unknown T2S Dedicated Cash Account Identifier	When performing a Credit Memorandum Balance create request, the T2S Dedicated Cash Account Identifier specified must refer to an existing and active account in T2S.

Reference for error message	Field or Button	Error-Text	Description
DCC6032	<ul style="list-style-type: none"> <input type="checkbox"/> CB T2S Dedicated Cash Account Number field <input type="checkbox"/> Submit button 	Unknown T2S Dedicated Cash Account Identifier	When performing a Credit Memorandum Balance create request, the CB T2S Dedicated Cash Account Identifier specified must refer to an existing and active account in T2S belonging to the relevant CB, with account type equal to T2S Central Bank Account and linked to the same Currency Code of the relevant T2S Dedicated Cash Account of the CMB.
DCC6040	<ul style="list-style-type: none"> <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Primary CMB field <input type="checkbox"/> Regular Securities Account Number field <input type="checkbox"/> Submit button 	Regular Securities Account must not be specified	When performing a Credit Memorandum Balance create request, the Regular Securities Account Section must not be specified if Primary CMB is false or if the Party Type of the Party holding the relevant T2S Dedicated Cash Account is CB.
DCC6041	<ul style="list-style-type: none"> <input type="checkbox"/> CB T2S Dedicated Cash Account Number field <input type="checkbox"/> Regular Securities Account Number field 	Unknown Securities Account Identifier	When performing a Credit Memorandum Balance create request, the Regular Securities Account Identifier must be linked to the primary CMB of the CB T2S Dedicated

Reference for error message	Field or Button	Error-Text	Description
	<input type="checkbox"/> Submit button		Cash Account Identifier provided in input with Collateralisation Link set to false and Cash Settlement Link set to true for the relevant validity period.
DCC6042	<input type="checkbox"/> CB T2S Dedicated Cash Account Number field <input type="checkbox"/> Primary CMB field <input type="checkbox"/> Submit button <input type="checkbox"/> CB T2S Dedicated Cash Account field	CB T2S Dedicated Cash Account must not be specified	When performing a Credit Memorandum Balance create request, the CB T2S Dedicated Cash Account Identifier must not be specified if Primary CMB is false or the Party Type of the Party holding the relevant T2S Dedicated Cash Account is CB.
DCC6043	<input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Receiving Securities Account Number field <input type="checkbox"/> Submit button	Receiving Securities Account must not be specified	When performing a Credit Memorandum Balance create request, the Receiving Securities Account Identifier must not be specified if the Party Type of the Party holding the relevant T2S Dedicated Cash Account is CB or in case the Collateralisation Procedure for Autocollateralisation Rule of the relevant CB is not set to Repo and Primary CMB is set to True.

Reference for error message	Field or Button	Error Text	Description
DCC6044	<ul style="list-style-type: none"> <input type="checkbox"/> CB T2S Dedicated Cash Account Number field <input type="checkbox"/> Receiving Securities Account Number field <input type="checkbox"/> Submit button 	Invalid Receiving Securities Account	When performing a Credit Memorandum Balance create request, the Receiving Securities Account Identifier specified must be linked to the primary CMB of the CB T2S Dedicated Cash Account Identifier provided in input with Collateralisation Link set to false and Cash Settlement Link set to true in case the Collateralisation Procedure for Autocollateralisation Rule of the relevant CB is set to Repo and Primary CMB is set to True for the relevant validity period.
DCC6045	<ul style="list-style-type: none"> <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Primary CMB field <input type="checkbox"/> Receiving Securities Account Number field <input type="checkbox"/> Submit button 	Invalid Receiving Securities Account	When performing a Credit Memorandum Balance create request, the Receiving Securities Account Identifier specified must be linked to the primary CMB of the T2S Dedicated Cash Account Identifier provided in input if Primary CMB is set to False.

Reference for error message	Field or Button	Error-Text	Description
DCC6046	<input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Primary CMB field <input type="checkbox"/> Submit button	Primary CMB must be true	When performing a Credit Memorandum Balance create request, the Primary CMB must be set to true if no other Credit Memorandum Balance exists for the specified T2S Dedicated Cash Account Identifier.
DCC6047	<input type="checkbox"/> Submit button <input type="checkbox"/> T2S Dedicated Cash Account Number field	Primary CMB must be false	When performing a Credit Memorandum Balance create request, the Primary CMB must be set to false if any other Credit Memorandum Balance entity exists for the specified T2S Dedicated Cash Account Identifier.
DCC6050	<input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Wildcard Pattern field <input type="checkbox"/> Inclusion field <input type="checkbox"/> Submit button	Invalid Wildcard Pattern	When performing a Credit Memorandum Balance create request the specified list of Wildcard Pattern/Inclusion Mode must not overlap with T2S CMB BIC lists already defined or provided in input for the relevant T2S Dedicated Cash Account.
DCC6051	<input type="checkbox"/> Regular Securities Account Number field	Invalid Valid From	When performing a Credit Memorandum Balance create request, the Valid From

Reference for error message	Field or Button	Error-Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button 		<p>specified in the Regular Securities Account Section must be greater than the T2S Business date, equal to or greater than the Opening Date of the specified Securities Account and equal to or less than its Closing Date.</p>
DCC6052	<ul style="list-style-type: none"> <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Primary CMB field <input type="checkbox"/> Regular Securities Account Number field <input type="checkbox"/> Submit button 	Regular Securities Account must be specified	<p>When performing a Credit Memorandum Balance create request, the Regular Securities Account Section is mandatory if Primary CMB is true and the Party Type of the Party holding the relevant T2S Dedicated Cash Account is not CB.</p>
DCC6053	<ul style="list-style-type: none"> <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Primary CMB field <input type="checkbox"/> Receiving Securities Account Number field <input type="checkbox"/> Submit button 	Receiving Securities Account must be specified	<p>When performing a Credit Memorandum Balance create request, the Receiving Securities Account Section is mandatory if Primary CMB is false or the Party Type of the Party holding the relevant T2S Dedicated Cash Account is not CB and the Collateralisation Procedure for</p>

Reference for error message	Field or Button	Error Text	Description
			Autocollateralisation Rule of the relevant CB is set to Repo.
DCC6054	<input type="checkbox"/> Receiving Securities Account Number field <input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button	Invalid Valid From	When performing a Credit Memorandum Balance create request, the Valid From specified in the Receiving Securities Account Section must be greater than the T2S Business date equal to or greater than the Opening Date of the specified Securities Account and equal to or less than its Closing Date.
DCC6055	<input type="checkbox"/> Receiving Securities Account Number field <input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	Invalid Valid To	When performing a Credit Memorandum Balance create request, the Valid To specified in the Receiving Securities Account Section must be greater than the corresponding Valid From and equal to or less than the Closing Date of the specified Securities Account.
DCC6056	<input type="checkbox"/> Primary CMB field <input type="checkbox"/> CB T2S Dedicated Cash Account Number field	CB T2S Dedicated Cash Account must be specified	When performing a Credit Memorandum Balance create request, the CB T2S Dedicated Cash Account section is mandatory if Primary CMB

Reference for error message	Field or Button	Error Text	Description
	<input type="checkbox"/> Submit button		is true and the Party Type of the Party holding the relevant T2S Dedicated Cash Account is not CB.
DCC6057	<input type="checkbox"/> CB T2S Dedicated Cash Account Number field <input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button	Invalid Valid From	When performing a Credit Memorandum Balance create request, the Valid From specified in the CB T2S Dedicated Cash Account section must be greater than the T2S Business date and equal to or greater than the Opening Date of the specified CB T2S Dedicated Cash Account and equal to or less than its Closing Date.
DCC6060	<input type="checkbox"/> Wildcard Pattern field <input type="checkbox"/> Inclusion field <input type="checkbox"/> Submit button	Invalid Wildcard Pattern	When performing a Credit Memorandum Balance create request, at least one Wildcard Pattern with Inclusion Mode set to True must exist for the relevant CMB.
DCC6070	<input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> CB T2S Dedicated Cash Account Number field <input type="checkbox"/> Submit button	Autocollateralisation rule not defined	When performing a Credit Memorandum Balance create request, if the CMB is a Primary CMB, the holder of the NCB Cash Account must have defined its own Autocollateralisation

Reference for error message	Field or Button	Error-Text	Description
			<p>Rule; if it is a non-Primary CMB, the holder of the T2S Dedicated Cash Account must have defined its own Autocollateralisation Rule.</p>
<p>DCU6001</p>	<ul style="list-style-type: none"> <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Submit button 	<p>Requestor not allowed</p>	<p>When performing a Credit Memorandum Balance update request the Party Type of the Requestor must be T2S Operator, CB or Payment Bank. A user belonging to an CB can only create Credit Memorandum Balance for T2S Dedicated Cash Account falling under his responsibility according to the Hierarchical Party Model. A user belonging to a Payment Bank can only create Credit Memorandum Balance with Primary CMB flag set to false for T2S Dedicated Cash Account falling under his responsibility according to the Hierarchical Party Model.</p>

Reference for error message	Field or Button	Error-Text	Description
DCU6005	<input type="checkbox"/> Submit button	Data to be updated not found	The update requests of a Credit Memorandum Balance must refer to an existing and active entity.
DCU6024	<input type="checkbox"/> Regular Securities Account Number field <input type="checkbox"/> Submit button	Unknown Securities Account Identifier	When performing a Credit Memorandum Balance update request, in case of request of creation of Regular Securities Account for that CMB, it must refer to an existing, and active account in T2S.
DCU6025	<input type="checkbox"/> Receiving Securities Account Number field <input type="checkbox"/> Submit button	Unknown Securities Account Identifier	When performing a Credit Memorandum Balance update request, in case of request of creation of the Receiving Securities Account for that CMB, it must refer to an existing and active account in T2S.
DCU6030	<input type="checkbox"/> CB T2S Dedicated Cash Account Number field <input type="checkbox"/> Submit button	Unknown T2S Dedicated Cash Account Identifier	When performing a Credit Memorandum Balance update request, in case of request of creation of CB T2S Dedicated Cash Account for that CMB, it must refer to an existing and active account in T2S belonging to the relevant CB, with account type equal to T2S

Reference for error message	Field or Button	Error Text	Description
			Central Bank Account and linked to the same Currency Code of the relevant T2S Dedicated Cash Account of the CMB.
DCU6040	<ul style="list-style-type: none"> <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Primary CMB field <input type="checkbox"/> Regular Securities Account Number field <input type="checkbox"/> Submit button 	Regular Securities Account must not be specified	When performing a Credit Memorandum Balance update request, the Regular Securities Account must not be specified if Primary CMB is false or if the Party Type of the Party holding the relevant T2S Dedicated Cash Account is CB.
DCU6041	<ul style="list-style-type: none"> <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Primary CMB field <input type="checkbox"/> Regular Securities Account Number field <input type="checkbox"/> Submit button 	Unknown Securities Account Identifier	When performing a Credit Memorandum Balance update request, in case of request of creation of Regular Securities Account for that CMB, it must be linked to the primary CMB of the existing or provided in input CB T2S Dedicated Cash Account Identifier with Collateralisation Link set to false and Cash Settlement Link set to true for the relevant validity period.

Reference for error message	Field or Button	Error-Text	Description
DCU6042	<ul style="list-style-type: none"> <input type="checkbox"/> Primary CMB field <input type="checkbox"/> CB T2S Dedicated Cash Account Number field <input type="checkbox"/> Submit button 	CB T2S Dedicated Cash Account must not be specified	When performing a Credit Memorandum Balance update request, the CB T2S Dedicated Cash Account identifier must not be specified if Primary CMB is false or the Party Type of the Party holding the relevant T2S Dedicated Cash Account is CB.
DCU6043	<ul style="list-style-type: none"> <input type="checkbox"/> Primary CMB field <input type="checkbox"/> CB T2S Dedicated Cash Account Number field <input type="checkbox"/> Receiving Securities Account Number field <input type="checkbox"/> Submit button 	Receiving Securities Account must not be specified	When performing a Credit Memorandum Balance create request, the Receiving Securities Account Identifier must not be specified if the Party Type of the Party holding the relevant T2S Dedicated Cash Account is CB.
DCU6044	<ul style="list-style-type: none"> <input type="checkbox"/> CB T2S Dedicated Cash Account Number field <input type="checkbox"/> Primary CMB field <input type="checkbox"/> Receiving Securities Account Number field <input type="checkbox"/> Submit button 	Invalid Receiving Securities Account	When performing a Credit Memorandum Balance update request, the Receiving Securities Account Identifier specified must be linked to the primary CMB of the existing or provided in input CB T2S Dedicated Cash Account Identifier with Collateralisation Link set to false and Cash Settle-

Reference for error message	Field or Button	Error Text	Description
			ment Link set to true in case the Collateralisation Procedure for Autocollateralisation Rule of the relevant CB is set to Repo and Primary CMB is set to True for the relevant validity period.
DCU6045	<input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Primary CMB field <input type="checkbox"/> Receiving Securities Account Number field <input type="checkbox"/> Submit button	Invalid Receiving Securities Account	When performing a Credit Memorandum Balance update request, the Receiving Securities Account Identifier specified must be linked to the primary CMB of the relevant T2S Dedicated Cash Account Identifier if Primary CMB is set to False.
DCU6046	<input type="checkbox"/> Receiving Securities Account Number field <input type="checkbox"/> Submit button	Invalid Receiving Securities Account	When performing a Credit Memorandum Balance update request, the Receiving Securities Account Identifier specified must be linked to the primary CMB of the T2S Dedicated Cash Account Identifier with Collateralisation Link set to false in case the Collateralisation Procedure for Autocollateralisation Rule of the relevant

Reference for error message	Field or Button	Error-Text	Description
			CB is set to Pledge and Primary CMB is set to True.
DCU6048	<input type="checkbox"/> Submit button	Invalid Wildcard Pattern	When performing a Credit Memorandum Balance create request, in case of request of creation of BIC MATCHING RULE the specified Wildcard Pattern must not be already defined for the relevant CMB.
DCU6049	<input type="checkbox"/> Wildcard Pattern field <input type="checkbox"/> Submit button	Invalid Wildcard Pattern	When performing a Credit Memorandum Balance create request, in case of request of deletion of BIC MATCHING RULE the specified Wildcard Pattern must refer to an already existing entity for the relevant CMB.
DCU6050	<input type="checkbox"/> Wildcard Pattern field <input type="checkbox"/> Inclusion field <input type="checkbox"/> Submit button	Invalid Wildcard Pattern	When performing a Credit Memorandum Balance create request, in case of request of deletion of BIC MATCHING RULE, at least one Wildcard Pattern with Inclusion Mode set to True must exist for the relevant CMB.

Reference for error message	Field or Button	Error-Text	Description
DCU6051	<input type="checkbox"/> Regular Securities Account Number field <input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button	Invalid-Valid From	When performing a Credit Memorandum Balance update request, the Valid From specified in the Regular Securities Account Section must be greater than the T2S Business date, equal to or greater than the Opening Date of the specified Securities Account and equal to or less than its Closing Date.
DCU6053	<input type="checkbox"/> Receiving Securities Account Number field <input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button	Invalid-Validity period for Receiving Securities Account	When performing a Credit Memorandum Balance update request, there can be no instances of Receiving Securities Account with overlapping validity periods for the same CMB.
DCU6054	<input type="checkbox"/> Receiving Securities Account Number field <input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button	Invalid-Valid From	When performing a Credit Memorandum Balance update request, in case of creation of Receiving Securities Account, the Valid From specified must be greater than the T2S Business date equal to or greater than the Opening Date of the specified Se-

Reference for error message	Field or Button	Error-Text	Description
			curities Account and equal to or less than its Closing Date.
DCU6055	<ul style="list-style-type: none"> <input type="checkbox"/> Receiving Securities Account Number field <input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button 	Invalid-Valid To	When performing a Credit Memorandum Balance update request, in case of create/update of Receiving Securities Account, the Valid To specified must be greater than the corresponding Valid From and equal to or less than the Closing Date of the specified Securities Account.
DCU6057	<ul style="list-style-type: none"> <input type="checkbox"/> CB T2S Dedicated Cash Account Number field <input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button 	Invalid-Valid From	When performing a Credit Memorandum Balance update request, the Valid From specified in the CB T2S Dedicated Cash Account section must be greater than the T2S Business date and equal to or greater than the Opening Date of the specified CB T2S Dedicated Cash Account and equal to or less than its Closing Date.
DCU6058	<ul style="list-style-type: none"> <input type="checkbox"/> Wildcard Pattern field <input type="checkbox"/> Inclusion field <input type="checkbox"/> Submit button 	Invalid-Wildcard Pattern	When performing a Credit Memorandum Balance update request, the specified list of Wildcard Pat-

Reference for error message	Field or Button	Error-Text	Description
			tern/Inclusion Mode must not overlap with T2S CMB BIC lists already defined or provided in input for the relevant T2S Dedicated Cash Account.
DCU6059	<input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button	Invalid Valid From	When performing a Credit Memorandum Balance update request, the Valid From specified in the CB T2S Dedicated Cash Account section must be equal to the Valid From specified in the Regular Securities Account Section if any.
DCU6060	<input type="checkbox"/> Receiving Securities Account Number field <input type="checkbox"/> Submit button	Unknown Securities Account Identifier	When performing a Credit Memorandum Balance update request, in case of request of update/deletion of the Receiving Securities Account, it must refer to an entity already linked to the specified CMB.
DCU6061	<input type="checkbox"/> CB T2S Dedicated Cash Account – Valid from field <input type="checkbox"/> Regular Securities Account – Valid from field	Unknown Securities Account Identifier	When performing a Credit Memorandum Balance update request, in case of request of deletion of the Receiving Securities Account, CB T2S Dedicated Cash Account or Regular Securities Account, it

Reference for error message	Field or Button	Error-Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Receiving Securities Account – Valid from field <input type="checkbox"/> Submit button 		must refer to an entity with future Valid From.
DCU6062	<ul style="list-style-type: none"> <input type="checkbox"/> CB T2S Dedicated Cash Account Number field <input type="checkbox"/> Regular Securities Account Number field <input type="checkbox"/> Submit button 	Unknown T2S Dedicated Cash Account Identifier	When performing a Credit Memorandum Balance update request, in case of request of creation of CB T2S Dedicated Cash Account for that CMB, it must be linked via a Primary CMB to the Regular Securities Account already defined for the CMB if it is not provided in input.
DCU6063	<ul style="list-style-type: none"> <input type="checkbox"/> CB T2S Dedicated Cash Account Number field <input type="checkbox"/> Receiving Securities Account Number field <input type="checkbox"/> Submit button 	Unknown T2S Dedicated Cash Account Identifier	When performing a Credit Memorandum Balance update request, in case of request of creation of CB T2S Dedicated Cash Account Identifier for that CMB, it must be linked via a Primary CMB to the Receiving Securities Account already defined for the CMB for Repo countries if it is not provided in input.
DCU6064	<ul style="list-style-type: none"> <input type="checkbox"/> Wildcard Pattern field <input type="checkbox"/> Submit button 	BIC-MATCHING RULE cannot be deleted	When performing a Credit Memorandum Balance create re-

Reference for error message	Field or Button	Error-Text	Description
			request, in case of request of deletion of BIC MATCHING RULE the specified Wildcard Pattern must not be linked to any valid Securities Account via the relevant CMB Securities Account Link.
DCU6070	<input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> CB T2S Dedicated Cash Account Number field <input type="checkbox"/> Submit button	Autocollateralisation rule not defined	When performing a Credit Memorandum Balance update request, if the CMB is a Primary CMB, the holder of the NCB Cash Account must have defined its own Autocollateralisation Rule; if it is a non-Primary CMB, the holder of the T2S Dedicated Cash Account must have defined its own Autocollateralisation Rule.
DCU6080	<input type="checkbox"/> Wildcard pattern field <input type="checkbox"/> Submit button	BIC MATCHING RULE cannot be excluded due to existing CMB Securities Account Link.	When performing a Credit Memorandum Balance update request, in case of request of creation of BIC MATCHING RULE, with Inclusion Mode set to False, the specified Wildcard Pattern must not be linked to any valid Se-

Reference for error message	Field or Button	Error Text	Description
			curities Account via the relevant CMB Securities Account Link.

{Split book approach}

~~6.5.2.25 CoSD Rule - New/Edit Screen~~

△ [▶ 1117]

Reference for error message	Field or Button	Error Text	Description
DRCO001	<input type="checkbox"/> Submit button	Requestor not allowed	When performing a request to create a new Conditional Securities Delivery Rule, the requestor must be authorised to create the requested data according to the following: A T2S Operator user can create all data; A CSD user can create only data belonging to its own System Entity.
DRCO004	<input type="checkbox"/> Submit button	Unknown Rule Parameters Type	When performing a CoSD Rule creation request, the Rule Parameters Type specified must refer to an existing Attribute Domain Name in Attribute Domain entity.
DRCO010	<input type="checkbox"/> Rule Set Identification field	Invalid Conditional Securities Delivery Rule Set	When performing a Conditional Securities Delivery Rule create

Reference for error message	Field or Button	Error Text	Description
	<input type="checkbox"/> Submit button		<p>request, the Conditional Securities Delivery Rule Set Identifier specified must refer to an existing instance in T2S belonging to the System Entity of the Requestor.</p>
DRCO020	<input type="checkbox"/> Submit button <input type="checkbox"/> Valid from field	'Valid From' invalid	<p>When performing a Conditional Securities Delivery Rule create request, the Valid From must be greater than the current date, equal to or greater than the Valid From of the relevant Conditional Securities Delivery Rule Set, equal to or less than the Valid To of the relevant Conditional Securities Delivery Rule Set. The T2S Operator can skip this check in contingency situations.</p>
DRCO030	<input type="checkbox"/> Submit button <input type="checkbox"/> Valid to field	'Valid To' invalid	<p>When performing a Conditional Securities Delivery Rule create request, the Valid To must be greater than the Valid From, equal to or greater than the Valid From of the relevant Conditional Securities Delivery Rule Set, equal to or less</p>

Reference for error message	Field or Button	Error Text	Description
			<p>than the Valid To of the relevant Conditional Securities Delivery Rule Set. The T2S Operator can skip this check in contingency situations.</p>
<p>DRCO040</p>	<ul style="list-style-type: none"> <input type="checkbox"/> CoSD Rule Sequence Number field <input type="checkbox"/> Submit button 	<p>Rule Sequence already assigned</p>	<p>When performing a CoSD Rule creation request, the Rule Sequence specified must not be already assigned in the relevant Conditional Securities Delivery Rule Set.</p>
<p>DRCO200</p>	<ul style="list-style-type: none"> <input type="checkbox"/> BIC of delivering CSD field <input type="checkbox"/> BIC of issuer CSD field <input type="checkbox"/> BIC of receiving CSD field <input type="checkbox"/> Country of Issuance – Code field <input type="checkbox"/> CSD field <input type="checkbox"/> Debit Credit Indicator of the Settlement Instruction <input type="checkbox"/> ISIN field <input type="checkbox"/> ISO Transaction Code field <input type="checkbox"/> Issuer CSD field <input type="checkbox"/> Payment Type Code field 	<p>Invalid Parameter Value</p>	<p>When performing a Conditional Securities Delivery Rule create request, the Parameter Value must be compliant with the values or rules defined in the relevant Attribute Domain or T2S Static Data entity.</p>

Reference for error message	Field or Button	Error-Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Place of Settlement field <input type="checkbox"/> Receiving CSD field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Securities Movement Code field <input type="checkbox"/> Settlement Currency field <input type="checkbox"/> Settlement Transaction Condition Code field <input type="checkbox"/> Submit button 		
DRCO300	<ul style="list-style-type: none"> <input type="checkbox"/> Group field <input type="checkbox"/> Submit button 	Number of maximum active Conditional Securities Delivery Rule exceeded	When performing a Conditional Securities Delivery Rule create request, the overall number of group of parameters for the relevant CSD must be compliant with the configuration limit defined in T2S.
DRCO310	<ul style="list-style-type: none"> <input type="checkbox"/> Country of Issuance – Code field <input type="checkbox"/> CSD field <input type="checkbox"/> Debit Credit Indicator of the Settlement Instruction field <input type="checkbox"/> ISIN field <input type="checkbox"/> Payment Type Code field 	Number of maximum Parameter Value exceeded	When performing a Conditional Securities Delivery Rule create request, the overall number of distinct Parameter Value defined for the same Parameter Type for the relevant CSD must

Reference for error message	Field or Button	Error-Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Place of Settlement field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Securities Movement Code field <input type="checkbox"/> Settlement Currency field <input type="checkbox"/> Settlement Transaction Code field 		<p>be compliant with the configuration limit defined in T2S.</p>
DRCO600	<ul style="list-style-type: none"> <input type="checkbox"/> BIC of delivering CSD field <input type="checkbox"/> BIC of issuer CSD field <input type="checkbox"/> BIC of receiving CSD field <input type="checkbox"/> Country of Issuance – Code field <input type="checkbox"/> CSD field <input type="checkbox"/> Debit Credit Indicator of the Settlement Instruction <input type="checkbox"/> ISIN field <input type="checkbox"/> ISO Transaction Code field <input type="checkbox"/> Issuer CSD field <input type="checkbox"/> Payment Type Code field <input type="checkbox"/> Place of Settlement field 	Invalid Parameter Types for the specified Parameter Group.	<p>When performing a Conditional Securities Delivery Rule create request, the same set of parameters must be used into the different groups of the specified rule.</p>

Reference for error message	Field or Button	Error Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Receiving CSD field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Securities Movement Code field <input type="checkbox"/> Settlement Currency field <input type="checkbox"/> Settlement Transaction Condition Code field <input type="checkbox"/> Submit button 		
DRUO001	<ul style="list-style-type: none"> <input type="checkbox"/> Submit button 	Requestor not allowed	<p>When performing a request to update a Conditional Securities Delivery Rule, the requestor must be authorised to update the requested data according to the following:</p> <p>A T2S Operator user can update all data;</p> <p>A CSD user can update only data belonging to its own System Entity.</p>
DRUO003	<ul style="list-style-type: none"> <input type="checkbox"/> Submit button 	Conditional Security Delivery Rule cannot be updated	<p>The update requests of a Conditional Securities Delivery Rule must refer to an existing and active instance which has not yet reached its Valid To date.</p>

Reference for error message	Field or Button	Error Text	Description
DRUO010	<input type="checkbox"/> Submit button <input type="checkbox"/> Valid from field	Conditional Security Delivery Rule cannot be updated	When performing a Conditional Securities Delivery Rule update request, in case the validity period is not in the future, the only field that can be modified is the Valid To. The T2S Operator can skip this check in contingency situations.
DRUO020	<input type="checkbox"/> Submit button <input type="checkbox"/> Valid from field	'Valid From' invalid	When performing a Conditional Securities Delivery Rule update request, the Valid From must be greater than the current date, equal to or greater than the Valid From of the relevant Conditional Securities Delivery Rule Set, equal to or less than the Valid To of the relevant Conditional Securities Delivery Rule Set. The T2S Operator can skip this check in contingency situations.
DRUO030	<input type="checkbox"/> Valid To field <input type="checkbox"/> Submit button	'Valid To' invalid	When performing a Conditional Securities Delivery Rule update request, the Valid To must be greater than the Valid From, greater than or equal

Reference for error message	Field or Button	Error-Text	Description
			to the current business date, equal to or greater than the Valid From of the relevant Conditional Securities Delivery Rule Set, equal to or less than the Valid To of the relevant Conditional Securities Delivery Rule Set. The T2S Operator can skip this check in contingency situations.
DRUO040	<input type="checkbox"/> Sequence Number field <input type="checkbox"/> Submit button	Rule Sequence already assigned	When performing a CoSD Rule update request, the Rule Sequence specified must not be already assigned in the relevant Conditional Securities Delivery Rule Set.
DRUO044	<input type="checkbox"/> Submit button	Unknown Rule Parameter Type Identifier	When performing a CoSD Rule update request, the Rule Parameter Type specified must refer to an existing and active Attribute Value in Attribute Domain.
DRUO200	<input type="checkbox"/> BIC of delivering CSD field <input type="checkbox"/> BIC of issuer CSD field <input type="checkbox"/> BIC of receiving CSD field	Invalid Parameter Value	When performing a Conditional Securities Delivery Rule update request, in case of creation/update of COSD Rule Parameter, the Parameter

Reference for error message	Field or Button	Error-Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Country of Issuance – Code field <input type="checkbox"/> CSD field <input type="checkbox"/> Debit Credit Indicator of the Settlement Instruction <input type="checkbox"/> ISIN field <input type="checkbox"/> ISO Transaction Code field <input type="checkbox"/> Issuer CSD field <input type="checkbox"/> Payment Type Code field <input type="checkbox"/> Place of Settlement field <input type="checkbox"/> Receiving CSD field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Securities Movement Code field <input type="checkbox"/> Settlement Currency field <input type="checkbox"/> Settlement Transaction Condition Code field <input type="checkbox"/> Submit button <input type="checkbox"/> Transaction Type field 		<p>Value must be compliant with the values or rules defined in the relevant Attribute Domain or T2S Static Data entity.</p>

Reference for error message	Field or Button	Error-Text	Description
DRUO300	<input type="checkbox"/> BIC of delivering CSD field <input type="checkbox"/> BIC of issuer CSD field <input type="checkbox"/> BIC of receiving CSD field <input type="checkbox"/> Country of Issuance – Code field <input type="checkbox"/> CSD field <input type="checkbox"/> Debit Credit Indicator of the Settlement Instruction <input type="checkbox"/> ISIN field <input type="checkbox"/> ISO Transaction Code field <input type="checkbox"/> Issuer CSD field <input type="checkbox"/> Payment Type Code field <input type="checkbox"/> Place of Settlement field <input type="checkbox"/> Receiving CSD field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Securities Movement Code field <input type="checkbox"/> Settlement Currency field <input type="checkbox"/> Settlement Transaction Condition Code field <input type="checkbox"/> Submit button	Number of maximum active Conditional Securities Delivery Rule exceeded	When performing a Conditional Securities Delivery Rule update request, in case of creation of CoSD Rule Parameter, the overall number of group of parameters for the relevant CSD must be compliant with the configuration limit defined in T2S.

Reference for error message	Field or Button	Error Text	Description
	<input type="checkbox"/> Transaction Type field		
DRUO310	<input type="checkbox"/> BIC of delivering CSD field <input type="checkbox"/> BIC of issuer CSD field <input type="checkbox"/> BIC of receiving CSD field <input type="checkbox"/> Country of Issuance – Code field <input type="checkbox"/> CSD field <input type="checkbox"/> Debit Credit Indicator of the Settlement Instruction <input type="checkbox"/> ISIN field <input type="checkbox"/> ISO Transaction Code field <input type="checkbox"/> Issuer CSD field <input type="checkbox"/> Payment Type Code field <input type="checkbox"/> Place of Settlement field <input type="checkbox"/> Receiving CSD field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Securities Movement Code field <input type="checkbox"/> Settlement Currency field	Number of maximum Parameter Value exceeded	When performing a Conditional Securities Delivery Rule update request, in case of creation/update of COSD Rule Parameter, the overall number of distinct Parameter Value defined for the same Parameter Type for the relevant CSD must be compliant with the configuration limit defined in T2S.

Reference for error message	Field or Button	Error-Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Settlement Transaction Condition Code field <input type="checkbox"/> Submit button <input type="checkbox"/> Transaction Type field 		
DRUO600	<ul style="list-style-type: none"> <input type="checkbox"/> BIC of delivering CSD field <input type="checkbox"/> BIC of issuer CSD field <input type="checkbox"/> BIC of receiving CSD field <input type="checkbox"/> Country of Issuance – Code field <input type="checkbox"/> CSD field <input type="checkbox"/> Debit Credit Indicator of the Settlement Instruction <input type="checkbox"/> ISIN field <input type="checkbox"/> ISO Transaction Code field <input type="checkbox"/> Issuer CSD field <input type="checkbox"/> Payment Type Code field <input type="checkbox"/> Place of Settlement field <input type="checkbox"/> Receiving CSD field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Securities Movement Code field 	Invalid Parameter Types for the specified Parameter Group.	When performing a Conditional Securities Delivery Rule update request, in case of creation/deletion of a parameter type it has to be ensured that the same set of parameters must be used into the different groups of the specified rule.

Reference for error message	Field or Button	Error-Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Settlement Currency field <input type="checkbox"/> Settlement Transaction Condition Code field <input type="checkbox"/> Submit button <input type="checkbox"/> Transaction Type field 		

{Split book approach}

~~6.5.2.26 CoSD Rule Set - New/Edit Screen~~

△ [▶ 1113]

Reference for error message	Field or Button	Error-Text	Description
DRCY001	<input type="checkbox"/> Submit button	Requestor not allowed	A Conditional Securities Delivery Rule Set can only be created by the T2S Operator or by a CSD. A CSD user can create only data belonging to its own System Entity.
DRCY005	 <ul style="list-style-type: none"> <input type="checkbox"/> Administrating Parent BIC field <input type="checkbox"/> Administrating Party BIC field 	Unknown Party Identifier	When performing a Conditional Securities Delivery Rule Set create request, the Party Identifier specified must refer to an existing and active CSD Participant in T2S belonging to the System Entity Code specified.

Reference for error message	Field or Button	Error Text	Description
DRCY006	<input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button	'Valid From' invalid	When performing a Conditional Securities Delivery Rule Set create request, the Valid From must be greater than the current date. The T2S Operator can skip this check in contingency situations.
DRCY010	<input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	'Valid To' invalid	When performing a Conditional Securities Delivery Rule Set create request, the Valid To must be greater than the relevant Valid From. The T2S Operator can skip this check in contingency situations.
DRCY040	<input type="checkbox"/> Block on Cash Side field <input type="checkbox"/> Block on Securities Side field <input type="checkbox"/> Submit button	At least one Administration Type must be set to True	When performing a Conditional Securities Delivery Rule Set create request Administration Type Securities and Administration Type Cash cannot be both set to false.
DRUY001	<input type="checkbox"/> Administrating Parent BIC field <input type="checkbox"/> Administrating Party BIC field <input type="checkbox"/> Submit button	Unknown Party Identifier	A Conditional Securities Delivery Rule Set can only be updated by the T2S Operator or by a CSD. A CSD user can update only data belonging to its own System Entity.

Reference for error message	Field or Button	Error Text	Description
DRUY003	<input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button	CoSD Rule Set unknown or cannot be updated	<p>When performing a CoSD Rule Set update request, it must refer to an existing, active and open or future instance. If the CoSD Rule Set is open, only the Valid To can be updated (The T2S Operator can skip this check in contingency situations).</p> <p>A CoSD Rule Set is defined as 'open' if the current Valid From is less than or equal to the current business date, and the current Valid To is greater than or equal to the current business date.</p>
DRUY005	<input type="checkbox"/> Administrating Parent BIC field <input type="checkbox"/> Administrating Party BIC field <input type="checkbox"/> Submit button	Unknown Party Identifier	When performing a CoSD Rule Set update request the Party Identifier, when specified, must refer to an existing and active CSD Participant in T2S belonging to the System Entity of the CoSD.
DRUY006	<input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button	'Valid From' invalid	When performing a CoSD Rule Set update request the Valid From, when specified, must be greater than the current date. The

Reference for error message	Field or Button	Error Text	Description
			T2S Operator can skip this check in contingency situations.
DRUY010	<input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	'Valid To' invalid	When performing a Conditional Securities Delivery Rule Set update request, the Valid To must be greater than the relevant Valid From and greater than or equal to the current business date. The T2S Operator can skip this check in contingency situations.
DRUY030	<input type="checkbox"/> Name field <input type="checkbox"/> Submit button	'Name' already assigned	When performing a Conditional Securities Delivery Rule Set update request, the specified Name must be unique in the relevant System Entity.
DRUY040	<input type="checkbox"/> Block on Cash Side field <input type="checkbox"/> Block on Securities Side field <input type="checkbox"/> Submit button	At least one Administration Type must be set to True	When performing a Conditional Securities Delivery Rule Set update request, Administration Type Securities and Administration Type Cash cannot be both set to false. {Split book approach}

6.5.2.27 CoSD Rule Sets - Search/List Screen

△ [▶ 1106]

Reference for error message	Field or Button	Error Text	Description
DRDY004	<input type="checkbox"/> Delete button <input type="checkbox"/> Restore button	Requestor not allowed	A Conditional Securities Delivery Rule Set can only be deleted/restored by the T2S Operator or by a CSD. A CSD user can delete/restore only data belonging to its own System Entity.
DRDY003	<input type="checkbox"/> Delete button <input type="checkbox"/> Status field <input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field	Data to be deleted/restored not found	When performing a CoSD Rule Set delete request, it must refer to an existing and active instance already closed or having a future validity period. The T2S Operator can skip this check in contingency situations.
DRDY004	<input type="checkbox"/> Restore button <input type="checkbox"/> Status field <input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field	Data to be deleted/restored not found	When performing a CoSD Rule Set restore request, it must refer to an existing and deleted instance already closed or having a future validity period. The T2S Operator can skip this check in contingency situations.
DRDY005	<input type="checkbox"/> Administrating Parent BIC field <input type="checkbox"/> Administrating Party BIC field	Unknown Party Identifier	When performing a CoSD Rule Set restore request, the Party

Reference for error message	Field or Button	Error-Text	Description
	<input type="checkbox"/> Restore button		Identifier to be re-stored must exist in T2S.
DRDY030	<input type="checkbox"/> Name field <input type="checkbox"/> Restore button	'Name' already assigned	When performing a Conditional Securities Delivery Rule Set re-store request, the Name must be unique in the relevant System Entity.
QMPC021	<input type="checkbox"/> Search button <input type="checkbox"/> Valid From – from field <input type="checkbox"/> Valid From – to field	The From value of the Date From is after the To value of the Date From.	In case the Date From is stated as a range, the From value of the Date From has to be before or equal to the To value of the Date From.
QMPC022	<input type="checkbox"/> Search button <input type="checkbox"/> Valid From – from field <input type="checkbox"/> Valid To – to field	The From value of the Date From is after the To value of the Date To.	In case the Date From and Date To are specified as ranges, the From value of the Date From has to be before or equal to the To value of the Date To.
QMPC023	<input type="checkbox"/> Search button <input type="checkbox"/> Valid To – from field <input type="checkbox"/> Valid To – to field	The From value of the Date To is after the To value of the Date To.	In case the To Date is stated as a range, the From value of the Date To has to be before or equal to the To value of the Date To.
QMPC032	<input type="checkbox"/> Administrating Party BIC field <input type="checkbox"/> Search button	The specified Party BIC is not known in T2S.	In case a Party BIC is specified, it has to be known in T2S.
QMPC048	<input type="checkbox"/> Administrating Party BIC field	The specified Parent BIC is not known in T2S.	In case a Parent BIC is specified, it has to be known in T2S.

Reference for error message	Field or Button	Error Text	Description
	<input type="checkbox"/> Search button		
QMPC084	<input type="checkbox"/> Administrating Party BIC field <input type="checkbox"/> Search button	The specified Parent BIC is not the System Entity of the specified party.	In case the BIC of a party as well as its parent BIC are specified, a party with these two BICs has to be known in T2S. {Split book approach}

6.5.2.28 CoSD Rule Sets - Details Screen

△ [▶ 1110]

Reference for error message	Field or Button	Error Text	Description
DRDO003	<input type="checkbox"/> Delete button <input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field	Data to be deleted/restored not found	The delete requests of a CoSD Rule must refer to an existing and active instance with a future Valid From or a past Valid To.
DRDY001	<input type="checkbox"/> Delete button <input type="checkbox"/> Restore button	Requestor not allowed	A Conditional Securities Delivery Rule Set can only be deleted/restored by the T2S Operator or by a CSD. A CSD user can delete/restore only data belonging to its own System Entity.
DRDY003	<input type="checkbox"/> Delete button	Data to be deleted/restored not found	When performing a CoSD Rule Set delete request, it must refer to an existing and active instance already closed or having a fu-

Reference for error message	Field or Button	Error Text	Description
			ture validity period. The T2S Operator can skip this check in contingency situations.
DRDY004	<input type="checkbox"/> Restore button	Data to be deleted/restored not found	When performing a CoSD Rule Set restore request, it must refer to an existing and deleted instance already closed or having a future validity period. The T2S Operator can skip this check in contingency situations.
DRDY005	<input type="checkbox"/> Restore button	Unknown Party Identifier	When performing a CoSD Rule Set restore request, the Party Identifier to be restored must exist in T2S.
DRDY030	<input type="checkbox"/> Restore button	'Name' already assigned	When performing a Conditional Securities Delivery Rule Set restore request, the Name must be unique in the relevant System Entity. {Split book approach}

6.5.2.29 CSD Account Link - New/Edit Screen

△ [▶ 800]

Reference for error message	Field or Button	Error-Text	Description
DAC2001	<input type="checkbox"/> Submit button <input type="checkbox"/> Investor Parent BIC field <input type="checkbox"/> Investor Party BIC field	Requestor not allowed.	CSD Account Links can only be created by T2S operator, CSD or External CSD. A user can create only CSD Account Links where the Investor CSD belongs to him according the hierarchical model.
DAC2002	<input type="checkbox"/> Investor Parent BIC field <input type="checkbox"/> Investor Party BIC field <input type="checkbox"/> Submit button <input type="checkbox"/> Technical Issuer Parent BIC field <input type="checkbox"/> Technical Issuer Party BIC field	Security CSD Link instance not found for the specified Investor-Technical Issuer relationship.	When performing a CSD Account link create request, a Security CSD Link instance must exist for the given Investor-Technical Issuer relationship.
DAC2003	<input type="checkbox"/> Investor Parent BIC field <input type="checkbox"/> Investor Party BIC field <input type="checkbox"/> Valid-from field <input type="checkbox"/> Valid-to field <input type="checkbox"/> External CSD Participant Account field <input type="checkbox"/> CSD Participant Account field <input type="checkbox"/> Submit button <input type="checkbox"/> Technical Issuer Party BIC field <input type="checkbox"/> Technical Issuer Parent BIC field	CSD Account Link already exists.	When performing a CSD Account link create request, only one CSD Account Link can exist in any given moment for a given combination of Investor, Technical Issuer and CSD Participant Account (internal or external). If both CSD Participant Account fields are null, the check is performed on the combination of Investor

Reference for error message	Field or Button	Error-Text	Description
			and Technical Issuer in the specified validity period.
DAC2020	<input type="checkbox"/> Investor Parent BIC field <input type="checkbox"/> Investor Party BIC field <input type="checkbox"/> Submit button	The specified Investor CSD is different from the requestor's Party.	When performing a CSD Account Link creation request, the specified Investor CSD Identifier must exist (with Party Type 'CSD' or 'External CSD').
DAC2030	<input type="checkbox"/> Technical Issuer Parent BIC field <input type="checkbox"/> Technical Issuer Party BIC field <input type="checkbox"/> Submit button	Invalid or Unknown Technical Issuer CSD.	When performing a CSD Account Link creation request, the specified Technical Issuer CSD must exist in T2S (with Party type 'CSD' or 'External CSD').
DAC2040	<input type="checkbox"/> Mirror Account field <input type="checkbox"/> Submit button	Unknown Mirror account	When performing a CSD Account Link creation request, the mirror account specified must exist in T2S.
DAC2041	<input type="checkbox"/> Investor Parent BIC field <input type="checkbox"/> Investor Party BIC field <input type="checkbox"/> CSD Participant Account field <input type="checkbox"/> Submit button	Unknown CSD participant account	When performing a CSD Account Link creation request, the internal CSD's Participant Account specified must exist in T2S unless the Investor CSD is an External CSD, in which case the internal CSD

Reference for error message	Field or Button	Error Text	Description
			Participant Account must be held by the Investor CSD itself.
DAC2042	<input type="checkbox"/> Inter-CSD Account field <input type="checkbox"/> Submit button	Unknown Inter-CSD account	When performing a CSD Account Link creation request, the Inter-CSD account specified must exist in T2S.
DAC2043	<input type="checkbox"/> Omnibus Account field <input type="checkbox"/> Technical Issuer Parent BIC field <input type="checkbox"/> Technical Issuer Party BIC field <input type="checkbox"/> Submit button	Unknown Omnibus account	When performing a CSD Account Link creation request, the Omnibus account specified must exist in T2S unless the Technical Issuer CSD is an External CSD.
DAC2050	<input type="checkbox"/> Investor Parent BIC field <input type="checkbox"/> Investor Party BIC field <input type="checkbox"/> CSD Participant Account field <input type="checkbox"/> Submit button	The specified CSD Participant Account is not a CSD Participant Account owned by CSD Participant of the Investor CSD or by External CSD as Investor CSD.	When performing a CSD Account Link creation request, the CSD participant's Account, if specified, must be held by a participant of the Investor CSD unless the Investor CSD is an External CSD, in which case the internal CSD Participant Account must be held by the Investor CSD itself.
DAC2060	<input type="checkbox"/> Investor Parent BIC field <input type="checkbox"/> Investor Party BIC field <input type="checkbox"/> Mirror Account field	The securities account is not a Mirror Account owned by the specified Investor CSD.	When creating a CSD Account Link, the specified Mirror Account must be held by the same Party as the Investor CSD.

Reference for error message	Field or Button	Error-Text	Description
	<input type="checkbox"/> Submit button		
DAC2070	<input type="checkbox"/> Investor Parent BIC field <input type="checkbox"/> Investor Party BIC field <input type="checkbox"/> Inter-CSD Account field <input type="checkbox"/> Submit button	The securities account is not an Inter-CSD Account owned by the specified Investor CSD.	When performing a CSD Account Link creation request, the specified Inter-CSD Account must be held by the same Party as the Investor CSD. The relevant Securities Account Type must be 'Inter-CSD Account'.
DAC2080	<input type="checkbox"/> Technical Issuer Parent BIC field <input type="checkbox"/> Technical Issuer Party BIC field <input type="checkbox"/> Omnibus Account field <input type="checkbox"/> Submit button	The specified Omnibus Account is not a CSD-Omnibus Account owned by a CSD-Participant of the Technical Issuer CSD.	When performing a CSD Account Link creation request, the Omnibus Account must be held by a participant of the specified Technical Issuer CSD unless the Technical Issuer CSD is an External CSD, in which case the internal CSD Participant Account must be held by the Investor CSD itself.
DAC2100	<input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button	'Valid From' invalid	The Valid From Date specified in a CSD account link maintenance request must be equal to or greater than the T2S Business date.
DAC2101	<input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	'Valid To' invalid	The Valid To date specified in a CSD Account link mainten-

Reference for error message	Field or Button	Error Text	Description
			ance request must be equal to or greater than the T2S Business date and greater than the incoming Valid From.
DAU2001	<input type="checkbox"/> Investor Parent BIC field <input type="checkbox"/> Investor Party BIC field <input type="checkbox"/> Submit button	Requestor not allowed	CSD Account Links can only be updated by T2S operator, CSD or External CSD. A user can update only CSD Account Links where the Investor CSD belongs to him according the hierarchical model.
DAU2020	<input type="checkbox"/> Submit button	Unknown CSD Account Link	When performing a CSD Account Link update request, the request must refer to an existing and active instance.
DAU2029	<input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	'Valid to' invalid	When performing a CSD Account Link update request, the 'Valid To', when specified, must be equal to or greater than the current date and equal to or greater than the 'Valid From'. {Split book approach}

6.5.2.30 CSD Account Links – Search/List Screen

△ [▶ 800]

Reference for error message	Field or Button	Error-Text	Description
QMPC021	<ul style="list-style-type: none"> <input type="checkbox"/> Valid from to field <input type="checkbox"/> Search button <input type="checkbox"/> Valid from from field 	The From value of the Date From is after the To value of the Date From.	In case the Date From is stated as a range, the From value of the Date From has to be before or equal to the To value of the Date From.
QMPC022	<ul style="list-style-type: none"> <input type="checkbox"/> Valid from from field <input type="checkbox"/> Search button <input type="checkbox"/> Valid to to field 	The From value of the Date From is after the To value of the Date To.	In case the Date From and Date To are specified as ranges, the From value of the Date From has to be before or equal to the To value of the Date To.
QMPC023	<ul style="list-style-type: none"> <input type="checkbox"/> Valid to from field <input type="checkbox"/> Valid to to field <input type="checkbox"/> Search button 	The From value of the Date To is after the To value of the Date To.	In case the To Date is stated as a range, the From value of the Date To has to be before or equal to the To value of the Date To.
DAD2001	<ul style="list-style-type: none"> <input type="checkbox"/> Delete button <input type="checkbox"/> Restore button <input type="checkbox"/> Investor Party BIC field 	Requestor not allowed	CSD Account Links can only be deleted/restored by T2S operator, CSD or External CSD. A user can only delete/restore CSD Account Links where the Investor CSD belongs to him according the hierarchical model.
DAD2002	<ul style="list-style-type: none"> <input type="checkbox"/> Investor Parent BIC field <input type="checkbox"/> Investor Party BIC field 	Security CSD Link instance not found for the spe-	When performing a CSD Account Link restore request, a Security CSD Link instance must exist for

Reference for error message	Field or Button	Error-Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Technical Issuer Parent BIC field <input type="checkbox"/> Technical Issuer Party BIC field <input type="checkbox"/> Restore button 	<p>Invalid Investor-Technical Issuer relationship.</p>	<p>Invalid Investor-Technical Issuer relationship.</p>
DAD2003	<ul style="list-style-type: none"> <input type="checkbox"/> Investor Parent BIC field <input type="checkbox"/> Investor Party BIC field <input type="checkbox"/> Technical Issuer Parent BIC field <input type="checkbox"/> Technical Issuer Party BIC field <input type="checkbox"/> Restore button <input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> CSD Participant Account field <input type="checkbox"/> External CSD Participant Account field 	<p>CSD Account Link already exists</p>	<p>When performing a CSD Account Link restore request, only one CSD Account Link can exist in any given moment for a given combination of Investor, Technical Issuer and CSD Participant Account. If both CSD Participant Account fields are null, the check is performed on the combination of Investor and Technical Issuer in the specified validity period.</p>
DAD2020	<ul style="list-style-type: none"> <input type="checkbox"/> Delete button <input type="checkbox"/> Status field 	<p>Invalid CSD account Link</p>	<p>When performing a CSD Account Link delete request, the request must refer to an existing and active link.</p>
DAD2030	<ul style="list-style-type: none"> <input type="checkbox"/> Restore button <input type="checkbox"/> Status field 	<p>Invalid CSD account Link</p>	<p>When performing a CSD Account Link restore request, the request must refer to a link previously deleted in T2S.</p>

Reference for error message	Field or Button	Error-Text	Description
DAD2033	<input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Delete button	Invalid 'Valid From'/'Valid To'	When performing a CSD Account Link delete request the CSD Account Link must either have a future Valid From or a past Valid To.
DAD2034	<input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Delete button <input type="checkbox"/> Restore Button	Invalid 'Valid From'/'Valid To'	When performing a CSD Account Link restore request the CSD Account Link must either have a future Valid From or a past Valid To.
DAD2040	<input type="checkbox"/> Mirror Account field <input type="checkbox"/> Restore button	Invalid Securities account	When performing a CSD Account Link restore request, the mirror account specified must exist in T2S.
DAD2041	<input type="checkbox"/> CSD Participant Account field <input type="checkbox"/> Restore button <input type="checkbox"/> Investor Parent BIC field <input type="checkbox"/> Investor Party BIC field	Invalid Securities account	When performing a CSD Account Link restore request, the internal CSD's Participant Account specified must exist in T2S.
DAD2042	<input type="checkbox"/> Inter-CSD Account field <input type="checkbox"/> Restore button	Invalid Securities account	When performing a CSD Account Link restore request, the Inter-CSD account specified must exist in T2S.
DAD2043	<input type="checkbox"/> Omnibus Account field <input type="checkbox"/> Restore button	Invalid Securities account	When performing a CSD Account Link restore request, the Om-

Reference for error message	Field or Button	Error Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Technical Issuer Parent BIC field <input type="checkbox"/> Technical Issuer Party BIC field 		nibus account specified must exist in T2S.
DAD2050	<ul style="list-style-type: none"> <input type="checkbox"/> Investor Parent BIC field <input type="checkbox"/> Investor Party BIC field <input type="checkbox"/> Restore button 	Unknown party	When performing a CSD Account Link restore request, the Investor CSD specified in the link to be restored must refer to an existing CSD or External CSD in T2S.
DAD2051	<ul style="list-style-type: none"> <input type="checkbox"/> Technical Issuer Parent BIC field <input type="checkbox"/> Technical Issuer Party BIC field <input type="checkbox"/> Restore button 	Unknown party	When performing a CSD Account Link restore request, the Technical Issuer CSD specified in the link to be restored must refer to an existing CSD or External CSD in T2S.
DAD2060	<ul style="list-style-type: none"> <input type="checkbox"/> Investor Parent BIC field <input type="checkbox"/> Investor Party BIC field <input type="checkbox"/> CSD Participant Account field <input type="checkbox"/> Restore button 	The specified CSD Participant Account is not a CSD Participant Account owned by CSD Participant of the Investor CSD.	When performing a CSD Account Link restore request, the CSD participant's Account, if specified, must be held by a participant of the Investor CSD unless the Investor CSD is an External CSD.
DAD2061	<ul style="list-style-type: none"> <input type="checkbox"/> Investor Parent BIC field <input type="checkbox"/> Investor Party BIC field <input type="checkbox"/> Mirror Account field 	The securities account is not a Mirror Account owned by the specified Investor CSD.	When restoring a CSD Account Link, the specified Mirror Account must be held by the same Party as the Investor CSD.

Reference for error message	Field or Button	Error-Text	Description
	<input type="checkbox"/> Restore button		
DAD2062	<input type="checkbox"/> Investor Parent BIC field <input type="checkbox"/> Investor Party BIC field <input type="checkbox"/> Inter-CSD Account field <input type="checkbox"/> Restore button	The securities account is not an Inter-CSD Account owned by the specified Investor-CSD.	When performing a CSD Account Link creation request, the specified Inter-CSD Account must be held by the same Party as the Investor CSD. The relevant Securities Account Type must be 'Inter-CSD Account'.

{Split book approach}

~~6.5.2.31 Currencies - Search/List Screen~~

△ [▶ 1175]

~~No reference for error messages.~~

~~6.5.2.32 Data Changes - Search/List Screen~~

△ [▶ 1187]

~~No references for error messages.~~ {Split book approach}

~~6.5.2.33 Data Changes - Details Screen~~

△ [▶ 1190]

~~No references for error messages.~~ {Split book approach}

~~6.5.2.34 Daily Price - New/Edit Screen~~

△ [▶ 783]

Reference for error messages	Field or Button	Error Text	Description
DSC9001	<input type="checkbox"/> Submit button	Requestor not allowed	Daily Prices can only be created by T2S Operator and CSD. CSDs can only create Daily Prices for Securities for which they are defined as Securities-Maintaining Entity (SME).
DSC9002	<input type="checkbox"/> ISIN field <input type="checkbox"/> Submit button	Invalid Security	When performing a Daily Price creation request, the Security Identifier must refer to an existing and active Security.
DSC9003	<input type="checkbox"/> Currency field <input type="checkbox"/> Submit button	Invalid Currency Code	When performing a Daily Price creation request, the Currency Code must refer to an existing and active Currency in T2S.
DSC9004	<input type="checkbox"/> Price-date field <input type="checkbox"/> Submit button	Instance already exists	When performing a Daily Price creation request, there can be no more than one active instance for the same Security and Price Date.
DSC9005	<input type="checkbox"/> Price (as amount) field <input type="checkbox"/> Submit button	Invalid number of decimals	When performing a Daily Price creation request, if

Reference for error messages	Field or Button	Error Text	Description
			the Security Identifier refers to a Security with Settlement Type UNIT the number of decimals provided for the Price must be compliant with the specified Currency.
DSC9006	<ul style="list-style-type: none"> <input type="checkbox"/> Price (as amount) field <input type="checkbox"/> Price (as coefficient) field <input type="checkbox"/> Submit button 	Data not compliant with Security Settlement Type	When performing a Daily Price creation request, the value provided for the Price must be compliant with the Securities Settlement Type.
DSU9001	<ul style="list-style-type: none"> <input type="checkbox"/> Submit button 	Requestor not allowed	Daily Prices can only be updated by T2S Operator and CSD. CSDs can only update Daily Prices for Securities for which they are defined as Securities-Maintaining Entity (SME).
DSU9002	<ul style="list-style-type: none"> <input type="checkbox"/> Submit button 	Invalid instance to be updated	When performing a Daily Price update request, it must refer to an existing and active instance.

Reference for error messages	Field or Button	Error Text	Description
DSU9003	<input type="checkbox"/> Currency field <input type="checkbox"/> Submit button	Invalid Currency Code	When performing a Daily Price update request, the Currency Code must refer to an existing and active Currency in T2S.
DSU9004	<input type="checkbox"/> Price (as amount) field <input type="checkbox"/> Submit button	Invalid number of decimals	When performing a Daily Price creation request, if the Daily Price refers to a Security with Settlement Type UNIT the number of decimals provided for the Price must be compliant with the specified Currency.
DSU9005	<input type="checkbox"/> Price (as amount) field <input type="checkbox"/> Submit button	Data not compliant with Security Settlement Type	When performing a Daily Price update request, the value provided for the Price must be compliant with the Securities Settlement Type. {Split book approach}

6.5.2.35 Daily Price – Search/List Screen

△ [▶ 781]

Reference for error messages	Field or Button	Error Text	Description
DSD9001	<input type="checkbox"/> Delete button <input type="checkbox"/> Restore button	Requestor not allowed	<p>Daily Prices can only be deleted/restored by T2S Operator and CSD.</p> <p>CSDs can only delete/restore Daily Prices for Securities for which they are defined as Securities-Maintaining Entity (SME).</p>
DSD9002	<input type="checkbox"/> Status field <input type="checkbox"/> Delete button	Invalid instance to be deleted	When performing a Daily Price delete request, it must refer to an existing and active instance with a Price Date in the future or past its retention period.
DSD9003	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Invalid instance to be restored	When performing a Daily Price restore request, it must refer to an existing and deleted instance.
DSD9004	<input type="checkbox"/> ISIN field <input type="checkbox"/> Restore button	Invalid Security	When performing a Daily Price restore request, the Security Identifier must refer to an existing and active Security.
DSD9005	<input type="checkbox"/> Price date field <input type="checkbox"/> Currency code field	Invalid Currency Code	When performing a Daily Price restore request, the

Reference for error messages	Field or Button	Error Text	Description
	<input type="checkbox"/> Restore button		Currency Code must refer to an existing and active Currency in T2S.
DSD9006	<input type="checkbox"/> Price date field <input type="checkbox"/> Restore button	Instance already exists	When performing a Daily Price restore request, there can be no more than one active instance for the same Security and Price Date.
DSD9007	<input type="checkbox"/> Restore button	Data not compliant with Security Settlement Type	When performing a Daily Price restore request, the value present for the Price must be compliant with the Securities Settlement Type.

{Split book approach}

6.5.2.36 Eligible Counterpart CSDs - Search/List Screen

△ [▶ 711]

Reference for error message	Field or Button	Error Text	Description
DPC2001	<input type="checkbox"/> New button <input type="checkbox"/> Investor Parent BIC field <input type="checkbox"/> Investor BIC field	Requestor not allowed	Eligible counterpart CSD can only be created by CSD or T2S operator. A user of a CSD can create only eligible counterpart CSD entities where

Reference for error message	Field or Button	Error Text	Description
			the Investor CSD is a T2S party belonging to his relevant CSD.
DPD2001	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button <input type="checkbox"/> Delete button	Requestor not allowed	Eligible counterpart CSD can only be deleted/restored by CSD or T2S operator. A user can delete/restore only eligible counterpart CSD entities where the investor CSD is the CSD he belongs to.
DPD2003	<input type="checkbox"/> Status field <input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Delete button	Unknown-Eligible Counterpart CSD	Delete requests of eligible counterpart CSD entities must refer to existing and active instances, with future 'Valid from' or past 'valid To'.
DPD2012	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Unknown-Eligible Counterpart CSD	When performing an eligible counterpart CSD restore request it must refer to an existing and deleted eligible counterpart CSD.
DPD2070	<input type="checkbox"/> Investor BIC field <input type="checkbox"/> Investor Parent BIC field <input type="checkbox"/> Eligible Counterpart CSD BIC field <input type="checkbox"/> Eligible Type Information field <input type="checkbox"/> Restore button	Unknown Party	When performing an eligible counterpart CSD restore request, the investor CSD and the eligible counterpart CSD must refer to existing, active and open parties with party type equal to CSD.

Reference for error message	Field or Button	Error Text	Description
DPD2071	<input type="checkbox"/> Eligible Type Information field <input type="checkbox"/> Restore button	Unknown Party	When performing an eligible counterpart CSD restore request, the issuer CSD must refer to existing, active and open parties with party type equal to external CSD.
DPD2080	<input type="checkbox"/> Eligible Type Information field <input type="checkbox"/> Restore button	Unknown Country Code	When performing an eligible counterpart CSD restore request the country code to be restored must exist in T2S.
DPD2100	<input type="checkbox"/> Eligible Type Information field <input type="checkbox"/> Restore button	Unknown Securities Identifier	When performing an eligible counterpart CSD restore request the securities identifier to be restored must refer to an existing and active instance in T2S.
DPD2110	<input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Delete button	Invalid Valid from/Valid To	When performing an eligible counterpart CSD deletion request the 'Valid From' must be greater than the current date or the 'Valid To' must be equal to or less than the current date.
DPD2120	<input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Restore button	Invalid Valid from/Valid To	When performing an eligible counterpart CSD restore request the 'Valid From' must be greater than the current date or the

Reference for error message	Field or Button	Error Text	Description
			'Valid To' must be equal to or less than the current date.
DPU2001	<input type="checkbox"/> Edit button	Requestor not allowed	Eligible counterpart CSD can only be updated by CSD or T2S operator. A user of a CSD can update only eligible counterpart CSD entities where the investor CSD is a T2S party belonging to his relevant CSD
QMPC021	<input type="checkbox"/> Valid from from field <input type="checkbox"/> Valid from to field <input type="checkbox"/> Search button	The From value of the Date From is after the To value of the Date From.	In case the Date From is stated as a range, the From value of the Date From has to be before or equal to the To value of the Date From.
QMPC022	<input type="checkbox"/> Valid from from field <input type="checkbox"/> Valid to to field <input type="checkbox"/> Search button	The From value of the Date From is after the To value of the Date To.	In case the Date From and Date To are specified as ranges, the From value of the Date From has to be before or equal to the To value of the Date To.
QMPC023	<input type="checkbox"/> Valid to from field <input type="checkbox"/> Valid to to field <input type="checkbox"/> Search button	The From value of the Date To is after the To value of the Date To.	In case the To Date is stated as a range, the From value of the Date To has to be before or equal to the To value of the Date To.
QMPC032	<input type="checkbox"/> Eligible Counterpart CSD BIC field	The specified Party BIC is not known in T2S.	In case a Party BIC is specified, it has to be known in T2S.

Reference for error message	Field or Button	Error-Text	Description
	<input type="checkbox"/> Investor BIC field <input type="checkbox"/> Search button		
QMPC048	<input type="checkbox"/> Eligible Counterpart CSD Parent BIC field <input type="checkbox"/> Investor Parent BIC field <input type="checkbox"/> Search button	The specified Parent BIC is not known in T2S.	In case a Parent BIC is specified, it has to be known in T2S.
QMPC084	<input type="checkbox"/> Eligible Counterpart CSD BIC field <input type="checkbox"/> Eligible Counterpart CSD Parent BIC field <input type="checkbox"/> Investor BIC field <input type="checkbox"/> Investor Parent BIC field <input type="checkbox"/> Search Button	The specified Parent BIC is not the System Entity of the specified party.	In case the BIC of a party as well as its parent BIC are specified, a party with these two BICs has to be known in T2S.

{Split book approach}

~~6.5.2.37 Eligible Counterpart CSD - Details Screen~~

△ [▶ 716]

Reference for error message	Field or Button	Error-Text	Description
DPD2001	<input type="checkbox"/> Status field <input type="checkbox"/> Investor BIC field <input type="checkbox"/> Investor Parent BIC field <input type="checkbox"/> Restore button <input type="checkbox"/> Delete button	Requestor not allowed	Eligible Counterpart CSD can only be deleted/restored by CSD or T2S Operator. A user of a CSD can delete/restore only Eligible Counterpart CSD entities where

Reference for error message	Field or Button	Error Text	Description
			the Investor CSD is a T2S Party belonging to his relevant CSD.
DPD2003	<input type="checkbox"/> Status field <input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Delete button	Unknown Eligible Counterpart CSD	Delete requests of Eligible Counterpart CSD entities must refer to existing and active instances.
DPD2012	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Unknown Eligible Counterpart CSD	When performing an Eligible Counterpart CSD restore request, the request must refer to an existing and deleted Eligible Counterpart CSD.
DPD2070	<input type="checkbox"/> Investor BIC field <input type="checkbox"/> Investor Parent BIC field <input type="checkbox"/> Eligible Counterpart CSD BIC field <input type="checkbox"/> Eligible Counterpart CSD Parent BIC field <input type="checkbox"/> Issuer Parent BIC field <input type="checkbox"/> Issuer BIC field <input type="checkbox"/> Restore button	Unknown Party	When performing an Eligible Counterpart CSD restore request, the Investor CSD and the Eligible Counterpart CSD must refer to existing, active and open parties with Party Type equal to CSD or External CSD.
DPD2071	<input type="checkbox"/> Issuer Parent BIC field <input type="checkbox"/> Issuer BIC field <input type="checkbox"/> Restore button	Unknown Party	When performing an Eligible Counterpart CSD restore request, the Issuer CSD must refer to existing, active and open parties

Reference for error message	Field or Button	Error Text	Description
			with Party Type equal to CSD or External CSD.
DPD2080	<input type="checkbox"/> Country Code field <input type="checkbox"/> Restore button	Unknown Country Code	When performing an Eligible Counterpart CSD restore request the Country Code to be restored must exist in T2S.
DPD2100	<input type="checkbox"/> ISIN field <input type="checkbox"/> Restore button	Unknown Securities Identifier	When performing an Eligible Counterpart CSD restore request, the Securities Identifier to be restored must refer to an existing and active instance in T2S.
DPD2110	<input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Delete button	Invalid Valid from/Valid to	When performing an Eligible Counterpart CSD deletion request, the Valid From must be greater than the current date or the Valid To must be less than the current date.
DPD2120	<input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Restore button	Invalid Valid from/Valid to	When performing an Eligible Counterpart CSD restore request, the Valid From must be greater than the current date or the Valid To must be less than the current date.

{Split book approach}

~~6.5.2.38 Eligible Counterpart CSD - New/Edit Screen~~

△ [▶ 719]

Reference for error message	Field or Button	Error Text	Description
DPC2001	 <input type="checkbox"/> Investor Parent BIC field <input type="checkbox"/> Investor BIC field <input type="checkbox"/> Submit button 	Requestor not allowed	Eligible Counterpart CSD can only be created by CSD or T2S Operator. A user of a CSD can create only Eligible Counterpart CSD entities where the Investor CSD is a T2S Party belonging to his relevant CSD.
DPC2070	 <input type="checkbox"/> Investor Parent BIC field <input type="checkbox"/> Investor BIC field <input type="checkbox"/> Eligible counterpart CSD parent BIC field <input type="checkbox"/> Eligible counterpart CSD BIC field <input type="checkbox"/> Submit button 	Unknown Party	When performing an Eligible Counterpart CSD create request, the Eligible Counterpart CSD and the Investor CSD must refer to existing, active and open Parties with Party Type equal to CSD or External CSD.
DPC2071	 <input type="checkbox"/> Issuer Parent BIC field <input type="checkbox"/> Issuer BIC field <input type="checkbox"/> Submit button 	Unknown Party	When performing an Eligible Counterpart CSD create request, the Issuer Party must refer to existing, active and open Parties with Party Type equal to CSD or External CSD.
DPC2080	 <input type="checkbox"/> Country Code field <input type="checkbox"/> Submit button 	Unknown Country Code	When performing an Eligible Counterpart CSD create request, the Country Code must exist in T2S.

Reference for error message	Field or Button	Error Text	Description
DPC2100	<input type="checkbox"/> ISIN field <input type="checkbox"/> Submit button	Unknown Securities	When performing an Eligible Counterpart CSD create request, the Securities specified must refer to an existing and active instance in T2S.
DPC2120	<input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button	'Valid from' invalid	When performing an Eligible Counterpart CSD create request, the Valid From specified must be equal to or greater than the current date.
DPC2121	<input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	'Valid to' invalid	When performing an Eligible Counterpart CSD create request, the Valid To, when specified, must be equal to or greater than the current date and must be equal to or greater than the valid from.
DPC2200	<input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Investor Parent BIC field <input type="checkbox"/> Investor BIC field <input type="checkbox"/> Eligible Counterpart CSD Parent BIC field <input type="checkbox"/> Eligible Counterpart CSD BIC field	Invalid Eligible Counterpart CSD	When performing an Eligible Counterpart CSD create request, no other Eligible Counterpart CSD having the same Investor CSD, Eligible Counterpart CSD, Eligibility Type and Issuer CSD or Country or Security must exist in an overlapping validity period.

Reference for error message	Field or Button	Error Text	Description
	<input type="checkbox"/> Eligibility Type field <input type="checkbox"/> ISIN field <input type="checkbox"/> Country Code field <input type="checkbox"/> Issuer Parent BIC field <input type="checkbox"/> Issuer BIC field <input type="checkbox"/> Submit button		
DPU2001	<input type="checkbox"/> Investor Parent BIC field <input type="checkbox"/> Investor BIC field <input type="checkbox"/> Submit button	Requestor not allowed	Eligible Counterpart CSD can only be updated by CSD or T2S Operator. A user of a CSD can update only Eligible Counterpart CSD entities where the Investor CSD is a T2S Party belonging to his relevant CSD.
DPU2003	<input type="checkbox"/> Submit button	Data to be updated not found	When performing an Eligible Counterpart CSD update request it must refer to an existing and active instance in T2S.
DPU2121	<input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	'Valid to' invalid	When performing an Eligible Counterpart CSD update request, the Valid To specified in the Eligible Counterpart CSD update request must be equal to or greater than the current date and equal to or greater than the valid from.

Reference for error message	Field or Button	Error-Text	Description
DPU2200	<input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Investor Parent BIC field <input type="checkbox"/> Investor BIC field <input type="checkbox"/> Eligible Counterpart CSD Parent BIC field <input type="checkbox"/> Eligible Counterpart CSD BIC field <input type="checkbox"/> Eligibility Type field <input type="checkbox"/> ISIN field <input type="checkbox"/> Country Code field <input type="checkbox"/> Issuer Parent BIC field <input type="checkbox"/> Issuer BIC field <input type="checkbox"/> Submit button	Invalid Eligible Counterpart CSD	When performing an Eligible Counterpart CSD Update request, no other Eligible Counterpart CSD having the same Investor CSD, Eligible Counterpart CSD, Eligibility Type and Issuer CSD or Country or Security must exist in an overlapping validity period.

{Split book approach}

~~6.5.2.39 Euro Foreign Exchange Reference Data - Search/List Screen~~

△ [▶ 776]

Reference for error messages	Field or Button	Error-Text	Description
DSDA001	<input type="checkbox"/> Delete button <input type="checkbox"/> Restore button	Requestor not allowed	Euro Foreign Exchange Reference Rate can only be deleted/restored by Central Banks.

Reference for error messages	Field or Button	Error Text	Description
DSDA002	<input type="checkbox"/> Status field <input type="checkbox"/> Delete button	Invalid instance to be deleted	When performing a Euro Foreign Exchange Reference Rate delete request, it must refer to an existing and active instance.
DSDA003	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Invalid instance to be restored	When performing a Euro Foreign Exchange Reference Rate restore request, it must refer to an existing and deleted instance.
DSDA004	<input type="checkbox"/> Currency field <input type="checkbox"/> Restore button	Invalid Currency	When performing a Euro Foreign Exchange Reference Rate restore request, the Currency Code must refer to an existing and active Currency in T2S.
DSDA005	<input type="checkbox"/> Currency field <input type="checkbox"/> Exchange rate date <input type="checkbox"/> Restore button	Instance already exists	When performing a Euro Foreign Exchange Reference Rate restore request, there can be no more than one active instance for the same Exchange Rate Date and Currency.

{Split book approach}

6.5.2.40 Euro Foreign Exchange Reference Rate -New/Edit Screen

△ [▶ 779]

Reference for error messages	Field or Button	Error Text	Description
DSCA001	<input type="checkbox"/> Submit button	Requestor not allowed	Euro Foreign Exchange Reference Rate can only be created by Central Banks.
DSCA002	<input type="checkbox"/> Currency Field <input type="checkbox"/> Submit button	Invalid Currency	When performing a Euro Foreign Exchange Reference Rate, the Currency Code must refer to an existing and active Currency in T2S.
DSCA003	<input type="checkbox"/> Currency Field <input type="checkbox"/> Exchange rate date field <input type="checkbox"/> Submit button	Instance already exists	When performing a Euro Foreign Exchange Reference Rate, there can be no more than one active instance for the same Exchange Rate Date and Currency.
DSUA001	<input type="checkbox"/> Submit button	Requestor not allowed	Euro Foreign Exchange Reference Rate can only be updated by Central Banks.
DSUA002	<input type="checkbox"/> Submit button	Instance to be updated not found	When performing a Euro Foreign Exchange Reference Rate update request, it must

Reference for error messages	Field or Button	Error Text	Description
			refer to an existing and active instance.

{Split book approach}

~~6.5.2.41 Event Type - Details Screen~~

△ [▶ 972]

~~No references for error messages.~~ {Split book approach}

~~6.5.2.42 Event Types - Search/List Screen~~

△ [▶ 970]

~~No references for error messages.~~ {Split book approach}

~~6.5.2.43 External RTGS Accounts - Search/List Screen [T2S Operator]~~

△ [▶ 850]

Reference for error message	Field or Button	Error Text	Description
DCD3001	<input type="checkbox"/> Delete button <input type="checkbox"/> Restore button	Requestor not allowed.	An External RTGS Account can be deleted only by the responsible NCB or T2S Operator. Users belonging to an NCB can only delete or restore External RTGS Accounts they are responsible for according to the Hierarchical Data Model.
DCD3003	<input type="checkbox"/> Status field <input type="checkbox"/> Details button	Unknown External RTGS Account	Delete requests of External RTGS Account entities must refer to

Reference for error message	Field or Button	Error Text	Description
			existing and active instances with status Closed.
DCD3012	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Unknown External RTGS Account	When performing an External RTGS Account restore request, it must refer to an existing and deleted External RTGS Account.
DCD3021	<input type="checkbox"/> Currency field <input type="checkbox"/> Restore button	Unknown Currency Code	When performing an External RTGS Account restore request, the currency code restored must refer to an existing code in Currency defined as T2S Settlement Currency.
DCD3024	<input type="checkbox"/> Restore button	Unknown Market-Specific Restriction	When performing an External RTGS Account restore request, the External RTGS Account Restriction must refer to an existing type in [Restriction Type] entity whose Object Restriction Type is 'External RTGS Account'.
DCD3030	<input type="checkbox"/> Delete button	The deletion is not allowed due to a deletion priority constraint	An External RTGS Account cannot be deleted if there still are valid Liquidity Transfer Orders or active T2S Dedicated Cash Account linked to it.

Reference for error message	Field or Button	Error-Text	Description
DCD3101	<input type="checkbox"/> Restore button <input type="checkbox"/> External RTGS Account Number field	RTGS External Account Reference already used	When performing an External RTGS Account restore request, the RTGS External Account Reference must be unique for the relevant RTGS System.
QMPC052	<input type="checkbox"/> External RTGS Account Number field	The specified RTGS Account Number is not known in T2S.	In case an RTGS Account Number is specified, it has to be known in T2S.

{Split book approach}

6.5.2.44 External RTGS Account - Details Screen [T2S Operator]

△ [▶ 853]

Reference for error message	Field or Button	Error-Text	Description
DCD3001	<input type="checkbox"/> Delete button <input type="checkbox"/> Restore button	Requestor not allowed.	A External RTGS Account can be deleted only by the responsible NCB or T2S Operator. Users belonging to an NCB can only delete or restore External RTGS Accounts they are responsible for according to the Hierarchical Data Model.
DCD3003	<input type="checkbox"/> Status field <input type="checkbox"/> Delete button	Unknown External RTGS Account.	Delete requests of External RTGS Account entities must refer to

Reference for error message	Field or Button	Error Text	Description
			existing and active instances with status Closed.
DCD3012	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Unknown External RTGS Account.	When performing an External RTGS Account restore request, it must refer to an existing and deleted External RTGS Account.
DCD3021	<input type="checkbox"/> Currency field <input type="checkbox"/> Restore button	Unknown Currency Code.	When performing an External RTGS Account restore request, the currency code restored must refer to an existing code in Currency defined as T2S Settlement Currency.
DCD3024	<input type="checkbox"/> Restriction Type field <input type="checkbox"/> Restore button	Unknown Market Specific Restriction.	When performing an External RTGS Account restore request, the External RTGS Account Restriction must refer to an existing type in [Restriction Type] entity whose Object Restriction Type is 'External RTGS Account'.
DCD3030	<input type="checkbox"/> Delete button	The deletion is not allowed due to a deletion priority constraint.	An External RTGS Account cannot be deleted if there still are valid Liquidity Transfer Orders or active T2S Dedicated Cash Account linked to it.

Reference for error message	Field or Button	Error-Text	Description
DCU3101	<input type="checkbox"/> External RTGS Account Number field <input type="checkbox"/> Restore button	RTGS External Account Reference already used	When performing an External RTGS Account restore request, the RTGS External Account Reference must be unique for the relevant RTGS System.

{Split book approach}

~~6.5.2.45 External RTGS Account - New/Edit Screen~~

△ [▶ 855]

Reference for error message	Field or Button	Error-Text	Description
DCC3001	<input type="checkbox"/> Submit button	Requestor not allowed	An External RTGS Account can only be created by NCB or T2S Operator. NCB users can only create External RTGS Account linked to their own System Entity.
DCC3024	<input type="checkbox"/> Restriction Type field <input type="checkbox"/> Submit button	Invalid restriction type	When performing an External RTGS Account create request, the Restriction Type must refer to an existing Restriction Type whose Object Restriction Type is External RTGS Account.
DCC3025	<input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button	'Valid From' or 'Valid To' invalid	When performing an External RTGS Account create request, the Valid From specified in the T2S Ex-

Reference for error message	Field or Button	Error Text	Description
			ternal RTGS Account Restriction section must be equal to or greater than the current timestamp⁹³ and equal to or greater than the Valid From of the relevant Restriction Type entity and equal to or less than the Valid To of the relevant Restriction Type entity.
DCC3100	<input type="checkbox"/> Currency field <input type="checkbox"/> Submit button	Invalid Currency Code	When performing an External RTGS Account creation request the 'Currency Code' specified in the External RTGS Account must refer to an existing currency code in T2S defined as T2S Settlement Currency.
DCC3101	<input type="checkbox"/> External RTGS Account Number field <input type="checkbox"/> Submit button	RTGS External Account Reference already used	When performing an External RTGS Account creation request, the RTGS External Account Reference specified must be unique for the relevant RTGS System.
DCC3212	<input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	'Valid From' or 'Valid To' invalid	When performing an External RTGS Account create request,

93 ~~The current timestamp is determined by the internal T2S clock, so you should take into account the time lag between the submission of the request and its execution in T2S when setting the restriction.~~ (Split book approach)

Reference for error message	Field or Button	Error-Text	Description
			the Valid To specified in the T2S External RTGS Account Restriction section must be equal to or greater than the Valid From and equal to or less than the Valid To of the relevant Restriction Type entity.
DCC3300	<input type="checkbox"/> Restriction Type field <input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	External RTGS Cash Account Restriction overlaps with existing instance	When performing an External RTGS Account Create request, in case of request for creation of an External RTGS Account Restriction, the created restriction type must not overlap with any other External RTGS Account Restriction in input having the same Restriction Type.
DCC3600	<input type="checkbox"/> n/a	n/a	When performing an External RTGS Account Create request, in case of immediate setup of External RTGS Account Restriction, the timestamp to be used must take a conventional value which the system will interpret as the current timestamp. Furthermore, no check must

Reference for error message	Field or Button	Error-Text	Description
			be performed on such a conventional value in case of four eyes second step or processing of retrieved queued requests.
DCU3001	<input type="checkbox"/> Submit button	Requestor not allowed	An External RTGS Account can only be updated by the responsible NCB or T2S Operator. Users belonging to an NCB can only update External RTGS Accounts they are responsible for according to the Hierarchical Data Model.
DCU3003	<input type="checkbox"/> Submit button	Unknown External RTGS Account	The External RTGS Account to be updated must exist and be open in T2S.
DCU3024	<input type="checkbox"/> Restriction Type field <input type="checkbox"/> Submit button	Invalid restriction type	When performing an External RTGS account update request, in case of creation of External RTGS Account Restriction, it must refer to an existing type in [Restriction-Type] entity whose Object Restriction Type is 'External RTGS Account'.

Reference for error message	Field or Button	Error Text	Description
DCU3030	<input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	The deletion/ close is not allowed due to a deletion priority constraint	An External RTGS Account cannot be 'closed' if there still are valid Liquidity Transfer Orders or T2S Dedicated Cash Accounts linked to it.
DCU3101	<input type="checkbox"/> External RTGS Account Number field <input type="checkbox"/> Submit button	RTGS External Account Reference already used	When performing an External RTGS Account update request, the RTGS External Account Reference specified must be unique for the relevant RTGS System.
DCU3211	<input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button	'Valid From' invalid	When performing an External RTGS account update request, the Valid From specified in the External RTGS Account Restriction create request must be equal to or greater than the current timestamp ⁹⁴ and equal to or greater than the Valid From of the relevant Restriction Type entity and equal to or less than the Valid To of the relevant Restriction Type entity.

94 The current timestamp is determined by the internal T2S clock, so you should take into account the time lag between the submission of the request and its execution in T2S when setting the restriction. (Split book approach)

Reference for error message	Field or Button	Error-Text	Description
DCU3212	<input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	'Valid To' invalid	When performing an External RTGS account update request, the Valid To specified in an External RTGS Account Restriction create/update request must be equal to or greater than the current timestamp ⁹⁵ and must be greater than the incoming Valid From and equal to or less than the Valid To of the relevant Restriction Type entity.
DCU3216	<input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	Restriction cannot be deleted	When performing an External RTGS Account update request, case of request of deletion of External RTGS Account Restriction, the Valid From must be greater than the current timestamp ⁹⁶ or the External RTGS Account Restriction must be closed.
DCU3217	<input type="checkbox"/> Restriction Type field <input type="checkbox"/> Submit button	Account is not restricted	When performing an External RTGS Account update request, in case of request of update of External

95 ~~The current timestamp is determined by the internal T2S clock, so you should take into account the time lag between the submission of the request and its execution in T2S when setting the restriction.~~ (Split book approach)

96 ~~The current timestamp is determined by the internal T2S clock, so you should take into account the time lag between the submission of the request and its execution in T2S when setting the restriction.~~ (Split book approach)

Reference for error message	Field or Button	Error-Text	Description
			RTGS Account Restriction, it must refer to an existing External RTGS Account Restriction with a non-past Valid To.
DCU3300	<input type="checkbox"/> Restriction Type field <input type="checkbox"/> Valid to field <input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button	External RTGS Cash Account Restriction overlaps with existing instance	When performing an External RTGS Account update request, in case of request for creation/update of an External RTGS Account Restriction, the new or updated restriction must not overlap with any other External RTGS Account Restriction having the same [Restriction Type].
DCU3600	<input type="checkbox"/> n/a	n/a	When performing an External RTGS Account update request, in case of immediate setup or removal of External RTGS Account Restriction, the timestamp to be used must take a conventional value which the system will interpret as the current timestamp. Furthermore, no check must be performed on such a conventional value in case of four eyes second step or pro-

Reference for error message	Field or Button	Error-Text	Description
			cessing of retrieved queued requests. {Split book approach}

~~6.5.2.46 Grant/Revoke Cross-System Entity Object Privilege - Details Screen~~

~~△ [▶ 1000]~~

~~No reference for error messages. {Split book approach}~~

~~6.5.2.47 Grant/Revoke Cross-System Entity Object Privilege - New/Edit Screen~~

~~△ [▶ 1001]~~

Reference for error message	Field or Button	Error-Text	Description
DRGP004	 <input type="checkbox"/> Privilege Name field <input type="checkbox"/> Submit button 	Requestor not allowed	A Grant Privilege request grants a system privilege and/or an object privilege on a secured element to a user, a role or a party. The grantor user must be granted with the relevant privilege beforehand in order to administer it. If the grantor user is a Party Administrator, the privilege must be granted to the Party the user belongs to. Otherwise, the privilege must be granted directly to the user.

Reference for error message	Field or Button	Error Text	Description
DRGP002	<input type="checkbox"/> Privilege Name field <input type="checkbox"/> Submit button	Invalid system privilege	When performing a Grant Privilege request the privilege to be granted must refer to an existing privilege in T2S. A System Privilege cannot be assigned to a Party if this would always result in an empty data scope for that Party type.
DRGP004	<input type="checkbox"/> Submit button	System Privilege missing; cannot be granted outside of system entity	When performing a Grant Privilege request, it is only possible to grant an object privilege if the grantee already has the related system privilege.
DRGP005	<input type="checkbox"/> Submit button	Invalid grantee party	When performing a Grant Privilege request to grant a system privilege to a Party, the grantee party must be an active one in the default data scope of the grantor.
DRGP006	<input type="checkbox"/> Submit button	Invalid grantee party	When performing a Grant Privilege request to grant an object privilege to a Party, only T2S Operator, CSD and NCB users can grant privileges to Parties outside their System En-

Reference for error message	Field or Button	Error-Text	Description
			<p>tity. CSD and NCB users cannot grant privileges to the T2S Operator). CSD Participants, Payment Banks and External CSDs can only grant privileges to other Parties within their System Entity.</p>
<p>DRGP007</p>	<p><input type="checkbox"/> Submit button</p>	<p>Invalid Grantee User</p>	<p>When performing a Grant Privilege request, the User to be granted with a privilege must refer to an existing one in T2S belonging to the same party as the grantor, with the following exceptions:</p> <ul style="list-style-type: none"> <input type="checkbox"/> The T2S Operator can grant any privilege to any User. <input type="checkbox"/> CSD/NCB Party Administrators can grant Party Administrator privileges to any User within their own System Entity. The Party Administrator privileges are ARM_Administer-Part y, ARM_GrantPriv-ilege, ARM_GrantRole, ARQ_GrantedSys-

Reference for error message	Field or Button	Error-Text	Description
			PrivilegesListQuery, ARQ_GrantObjectPrivilegesListQuery, ARQ_GrantedRolesListQuery.
DRGP008	<input type="checkbox"/> Submit button	Invalid grantee role	When performing a Grant Privilege request, the Role to be granted with a privilege must refer to an existing one in the data scope of the grantor.
DRGP009	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Submit button	Invalid System Entity	When performing a Grant Privilege request, to grant an object privilege on a System Entity, it must be an existing one in T2S. The T2S Operator can grant privileges on any System Entity, while CSD and NCB users can grant privileges on their System Entity only.
DRGP010	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> ISIN field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> T2S Dedicated Cash Account field	Invalid reference data object to be secured	When performing a Grant Privilege request, the static data object to be secured may be a Party, a Securities, a Securities Account or a Cash Account and must refer to an existing

Reference for error message	Field or Button	Error-Text	Description
	<input type="checkbox"/> Submit button		one in T2S in the data scope of the requester.
DRGP011	<input type="checkbox"/> Secured Group Identification field <input type="checkbox"/> Submit button	Invalid secured group	When performing a Grant Privilege request, to grant an object privilege on a Secured Group, it must be an existing one in T2S belonging to the data scope of the requester.
DRGP012	<input type="checkbox"/> Submit button	Invalid privilege type	When performing a Grant Privilege request, the specified privilege type must be consistent with its use. System privileges can only be granted at system level.
DRGP013	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> ISIN field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> T2S Dedicated Cash Account field <input type="checkbox"/> Secured Group Identification field <input type="checkbox"/> Submit button	Privilege already granted	When performing a Grant Privilege request, in order to prevent the possibility to grant contradicting privileges to the same role, user or party: <ul style="list-style-type: none"> <input type="checkbox"/> Each system privilege can be granted to a role, a user or a party only once. <input type="checkbox"/> Each object privilege can be granted to a role, a

Reference for error message	Field or Button	Error Text	Description
			user or a party on the same object only once.
DRGP016	<input type="checkbox"/> Submit button	User does not have Admin rights on the specified privilege	<p>A Party Administrator can grant a Privilege to a Party only the Privilege is already granted to their Party with Admin flag = TRUE.</p> <p>A Party Administrator can grant a Privilege to a User or Role only if the Privilege is already granted to their Party with Deny Flag = FALSE.</p> <p>Any other user can grant a privilege only to other users of its own Party or Roles and only if the Privilege is already granted to the grantor User with AdminFlag = TRUE.</p>
DRGP017	<input type="checkbox"/> Deny Option field <input type="checkbox"/> Submit button	Deny flag cannot be set to TRUE when granting privilege to a Party	When performing a Grant Privilege request, it is not possible to set the Deny flag to TRUE when the grantee is a Party.
DRGP018	<input type="checkbox"/> 4-Eyes Option field <input type="checkbox"/> Submit button	4-Eyes flag must be set to TRUE	When performing a Grant Privilege request, if a Privilege is granted to a Party with 4-Eyes flag =

Reference for error message	Field or Button	Error Text	Description
			<p>TRUE, the responsible Party Administrator can only grant it with 4-Eyes flag = TRUE. If a Privilege is granted to a User with 4-Eyes flag = TRUE and Admin flag = TRUE, the User can only grant it with 4-Eyes flag = TRUE.</p>
<p>DRRP001</p>	<ul style="list-style-type: none"> <input type="checkbox"/> Privilege Name field <input type="checkbox"/> Submit button 	<p>Requestor not allowed</p>	<p>A Revoke Privilege request revokes a system privilege from a user, a role or a party and/or revokes an object privilege on a secured element. The requestor user must be a Party Administrator of their own Party or a User granted with the relevant privilege with Admin flag = TRUE.</p>
<p>DRRP004</p>	<ul style="list-style-type: none"> <input type="checkbox"/> Submit button 	<p>Requestor not allowed</p>	<p>In case of request to revoke a privilege from a party the privilege to be revoked must have been granted by a user belonging to the same party of the requestor, with the following exceptions:</p> <ul style="list-style-type: none"> <input type="checkbox"/> The T2S Operator can revoke any privilege from any user;

Reference for error message	Field or Button	Error Text	Description
			<p><input type="checkbox"/> Party Administrator privileges can be revoked from any user in the requestor's system entity provided the requestor is a Party Administrator of a CSD or NCB Party. The Party Administrator privileges are ARM_AdministerParty, ARM_GrantPrivilege, ARM_GrantRole, ARQ_GrantedSysPrivilegesListQuery, ARQ_GrantObjectPrivilegesListQuery, ARQ_GrantedRolesListQuery.</p>
<p>DRRP005</p>	<p><input type="checkbox"/> Submit button</p>	<p>Requestor not allowed</p>	<p>In case of request to revoke a privilege from a role, the requestor must belong to the T2S Operator, or to a CSD, or to an NCB.</p> <p>The T2S Operator can revoke any privilege from any Role. CSDs and NCBs can revoke privileges from Roles</p>

Reference for error message	Field or Button	Error Text	Description
			that have the same system entity as the requestor.
DRRP006	<input type="checkbox"/> Submit button	Requestor not allowed	<p>In case of request to revoke a privilege from a user, this must belong to the same party of the requestor, with the following exceptions:</p> <ul style="list-style-type: none"> <input type="checkbox"/> The T2S Operator can revoke any privilege from any user; <input type="checkbox"/> Party Administrator privileges can be revoked from any user in the requestor's system entity provided the requestor is a Party Administrator of a CSD or NCB Party. The Party Administrator privileges are ARM_AdministerParty, ARM_GrantPrivilege, ARM_GrantRole, ARQ_GrantedSysPrivilegesListQuery, ARQ_GrantObjectPrivilegesListQuery, ARQ_Granted-

Reference for error message	Field or Button	Error Text	Description
			RolesListQuery. {Split book approach}

~~6.5.2.48 Grant/Revoke Object Privilege - Details Screen~~

△ [▶ 1007]

~~No references for error messages. {Split book approach}~~

~~6.5.2.49 Grant/Revoke Object Privilege - New/Edit Screen~~

△ [▶ 1009]

Reference for error message	Field or Button	Error Text	Description
DRGP001	<input type="checkbox"/> Submit button	Requestor not allowed	A Grant Privilege request grants a system privilege and/or an object privilege on a secured element to a user, a role or a party. The grantor user must be granted with the relevant privilege beforehand in order to administer it. If the grantor user is a Party Administrator, the privilege must be granted to the Party the user belongs to. Otherwise, the privilege must be granted directly to the user.

Reference for error message	Field or Button	Error Text	Description
DRGP002	<input type="checkbox"/> Privilege Name field <input type="checkbox"/> Submit button	Invalid system privilege	When performing a Grant Privilege request the privilege to be granted must refer to an existing privilege in T2S. A System Privilege cannot be assigned to a Party if this would always result in an empty data scope for that Party type.
DRGP004	<input type="checkbox"/> Submit button	System Privilege missing; cannot be granted outside of system entity	When performing a Grant Privilege request, it is only possible to grant an object privilege if the grantee already has the related system privilege.
DRGP005	<input type="checkbox"/> Submit button	Invalid grantee party	When performing a Grant Privilege request to grant a system privilege to a Party, the grantee party must be an active one in the default data scope of the grantor.
DRGP006	<input type="checkbox"/> Submit button	Invalid grantee party	When performing a Grant Privilege request to grant an object privilege to a Party, only T2S Operator, CSD and NCB users can grant privileges to Parties outside their System En-

Reference for error message	Field or Button	Error-Text	Description
			<p>tity. CSD and NCB users cannot grant privileges to the T2S Operator. CSD Participants, Payment Banks and External CSDs can only grant privileges to other Parties within their System Entity.</p>
DRGP007	<input type="checkbox"/> Submit button	Invalid Grantee User	<p>When performing a Grant Privilege request, the User to be granted with a privilege must refer to an existing one in T2S belonging to the same party as the grantor, with the following exceptions:</p> <ul style="list-style-type: none"> <input type="checkbox"/> The T2S Operator can grant any privilege to any User. <input type="checkbox"/> CSD/NCB Party Administrators can grant Party Administrator privileges to any User within their own System Entity. The Party Administrator privileges are ARM_Administer-Party, ARM_Grant-Privilege, ARM_GrantRole, ARQ_GrantedSys-Priv-

Reference for error message	Field or Button	Error-Text	Description
			ilegesListQuery, ARQ_GrantObject-Priv-ilegesListQuery, ARQ_Granted-RolesListQuery.
DRGP008	<input type="checkbox"/> Submit button	Invalid grantee role	When performing a Grant Privilege request, the Role to be granted with a privilege must refer to an existing one in the data scope of the grantor.
DRGP009	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Submit button	Invalid System Entity	When performing a Grant Privilege request, to grant an object privilege on a System Entity, it must be an existing one in T2S. The T2S Operator can grant privileges on any System Entity, while CSD and NCB users can grant privileges on their System Entity only.
DRGP010	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> ISIN field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> T2S Dedicated Cash Account field	Invalid reference data object to be secured	When performing a Grant Privilege request, the static data object to be secured may be a Party, a Security, a Securities Account or a Cash Account and must refer to an existing

Reference for error message	Field or Button	Error-Text	Description
	<input type="checkbox"/> Submit button		one in T2S in the data scope of the requester.
DRGP011	<input type="checkbox"/> Secured Group Identification field <input type="checkbox"/> Submit button	Invalid secured group	When performing a Grant Privilege request, to grant an object privilege on a Secured Group, it must be an existing one in T2S belonging to the data scope of the requester.
DRGP012	<input type="checkbox"/> Submit button	Invalid privilege type	When performing a Grant Privilege request, the specified privilege type must be consistent with its use. System privileges can only be granted at system level.
DRGP013	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> ISIN field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> T2S Dedicated Cash Account field <input type="checkbox"/> Secured Group Identification field <input type="checkbox"/> Submit button	Privilege already granted	When performing a Grant Privilege request, in order to prevent the possibility to grant contradicting privileges to the same role, user or party: Each system privilege can be granted to a role, a user or a party only once. Each object privilege can be granted to a role, a user or a party on the same object only once.

Reference for error message	Field or Button	Error-Text	Description
DRGP016	<input type="checkbox"/> Submit button	User does not have Admin rights on the specified privilege	<p>A Party Administrator can grant a Privilege to a Party only the Privilege is already granted to their Party with Admin flag = TRUE.</p> <p>A Party Administrator can grant a Privilege to a User or Role only if the Privilege is already granted to their Party with Deny Flag = FALSE.</p> <p>Any other user can grant a privilege only to other users of its own Party or Roles and only if the Privilege is already granted to the grantor User with AdminFlag = TRUE.</p>
DRGP017	<input type="checkbox"/> Deny Option field <input type="checkbox"/> Submit button	Deny flag cannot be set to TRUE when granting privilege to a Party	When performing a Grant Privilege request, it is not possible to set the Deny flag to TRUE when the grantee is a Party.
DRGP018	<input type="checkbox"/> 4-Eyes Option field <input type="checkbox"/> Submit button	4-Eyes flag must be set to TRUE	When performing a Grant Privilege request, if a Privilege is granted to a Party with 4-Eyes flag = TRUE, the responsible Party Administrator can only grant it with

Reference for error message	Field or Button	Error-Text	Description
			4-Eyes flag = TRUE. If a Privilege is granted to a User with 4-Eyes flag = TRUE and Admin flag = TRUE, the User can only grant it with 4-Eyes flag = TRUE.
DRGP019	<ul style="list-style-type: none"> <input type="checkbox"/> Secured Element Type field <input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Deny Option field <input type="checkbox"/> Add and Submit button 	Data-scope reduction on party level is not allowed for Secured Element Types "Party and its Accounts" and "System Entity".	When performing a Grant Privilege request it is not possible to reduce the data-scope of a participant with Secured Element Types "Party and its Accounts" applied on the Participant itself or "System Entity" applied on the Participant's System Entity.
DRRP001	<ul style="list-style-type: none"> <input type="checkbox"/> Privilege Name field <input type="checkbox"/> Submit button 	Requestor not allowed	A Revoke Privilege request revokes a system privilege from a user, a role or a party and/or revokes an object privilege on a secured element. The requestor user must be a Party Administrator of their own Party or a User granted with the relevant privilege with Admin flag = TRUE.
DRRP004	<ul style="list-style-type: none"> <input type="checkbox"/> Submit button 	Requestor not allowed	In case of request to revoke a privilege from a party the privilege to be revoked

Reference for error message	Field or Button	Error-Text	Description
			<p>must have been granted by a user belonging to the same party of the requestor, with the following exceptions:</p> <ul style="list-style-type: none"> <input type="checkbox"/> The T2S Operator can revoke any privilege from any user; <input type="checkbox"/> Party Administrator privileges can be revoked from any user in the requestor's system entity provided the requestor is a Party Administrator of a CSD or NCB Party. The Party Administrator privileges are ARM_AdministerParty, ARM_GrantPrivilege, ARM_GrantRole, ARQ_GrantedSysPrivilegesListQuery, ARQ_GrantObjectPrivilegesListQuery, ARQ_GrantedRolesListQuery.
<p>DRRP005</p>	<p><input type="checkbox"/> Submit button</p>	<p>Requestor not allowed</p>	<p>In case of request to revoke a privilege from a role, the re-</p>

Reference for error message	Field or Button	Error Text	Description
			<p>requestor must belong to the T2S Operator, or to a CSD, or to an NCB. The T2S Operator can revoke any privilege from any Role. CSDs and NCBs can revoke privileges from Roles that have the same system entity as the requestor.</p>
DRRP006	<input type="checkbox"/> Submit button	Requestor not allowed	<p>In case of request to revoke a privilege from a user, this must belong to the same party of the requestor, with the following exceptions:</p> <ul style="list-style-type: none"> <input type="checkbox"/> The T2S Operator can revoke any privilege from any user; <input type="checkbox"/> Party Administrator privileges can be revoked from any user in the requestor's system entity provided the requestor is a Party Administrator of a CSD or NCB Party. The Party Administrator privileges are ARM_AdministerParty, ARM_GrantPrivilege;

Reference for error message	Field or Button	Error Text	Description
			ARM_GrantRole, ARQ_GrantedSysPriv-ilegesListQuery, ARQ_GrantObjectPriv-ilegesListQuery, ARQ_GrantedRolesListQuery.
DRRP007	<input type="checkbox"/> Submit button	n/a	When revoking a privilege from a party, the same privilege is also revoked from all users of the party.

{Split book approach}

~~6.5.2.50 Grant/Revoke Roles - Search/List Screen~~

△ [▶ 1015]

~~No references for error messages.~~ {Split book approach}

~~6.5.2.51 Grant/Revoke Role - Details Screen~~

△ [▶ 1017]

~~No references for error messages.~~ {Split book approach}

~~6.5.2.52 Grant/Revoke Role - New/Edit Screen~~

△ [▶ 1020]

Reference for error message	Field or Button	Error Text	Description
DRGR001	<input type="checkbox"/> Roles field <input type="checkbox"/> Grant button <input type="checkbox"/> Revoke button	Requestor not allowed	When performing a 'Grant/Revoke Role' request, the role to be granted/revoked must be in the data scope

Reference for error message	Field or Button	Error-Text	Description
			<p>of the requestor. This means that at least one of the following conditions has to be fulfilled:</p> <ul style="list-style-type: none"> <input type="checkbox"/> The requestor is the T2S Operator; <input type="checkbox"/> The requestor is a Party Administrator of a CSD/NCB and the Role to be granted is in the same System Entity as the requestor's Party; <input type="checkbox"/> The requestor is a Party Administrator user and the Role is currently granted to their Party.
DRGR002	<ul style="list-style-type: none"> <input type="checkbox"/> Parent BIC field <input type="checkbox"/> BIC field <input type="checkbox"/> Users field <input type="checkbox"/> Grant button <input type="checkbox"/> Revoke button 	Requestor not allowed	<p>When performing a 'Grant/Revoke Role' request, a role can be granted to/revoked from a user only if the user belongs to the same Party as the requestor. The T2S Operator can grant and revoke any Role to/from any User.</p> <p>As an exception to this rule, CSD/NCB Party Administrators can grant/revoke Roles directly to any</p>

Reference for error message	Field or Button	Error Text	Description
			User within their own System Entity provided the Role does not contain any privileges different from ARM_AdministerParty, ARM_GrantPrivilege, ARM_GrantRole, ARQ_GrantedSysPrivilegesListQuery, ARQ_GrantObjectPrivilegesListQuery, ARQ_GrantedRolesListQuery.
DRGR003	<input type="checkbox"/> Roles field <input type="checkbox"/> Grant button	Invalid role	When performing a 'Grant/Revoke Role' request to grant a role to a party or user, the request must refer to a role that is not already granted to the party or user.
DRGR004	<input type="checkbox"/> Current Granted Roles field <input type="checkbox"/> Revoke button	Invalid role	When performing a 'Grant/Revoke Role' request to revoke a role to a party or user, the request must refer to a party or user the role to be revoked is granted to.
DRGR005	<input type="checkbox"/> BIC field <input type="checkbox"/> Parent BIC field <input type="checkbox"/> Users field <input type="checkbox"/> Grant button <input type="checkbox"/> Revoke button	Invalid Grantee User/Party	When performing a 'Grant/Revoke Role' request, the request must refer to an existing party or user in T2S.

Reference for error message	Field or Button	Error-Text	Description
DRGR007	<input type="checkbox"/> Roles field <input type="checkbox"/> Grant button	Invalid role	When performing a 'Grant/Revoke Role' request to grant a role to a party or user, the set of privileges connected to the role must not intersect with the set of privileges already granted to the party/user.

{Split book approach}

~~6.5.2.53 Grant/Revoke System Privilege – Search/List Screen~~

△ [▶ 997]

~~No references for error messages.~~ {Split book approach}

~~6.5.2.54 Grant/Revoke System Privilege – Details Screen~~

△ [▶ 1024]

~~No references for error messages.~~ {Split book approach}

~~6.5.2.55 Grant/Revoke System Privilege – New/Edit Screen~~

△ [▶ 1026]

Reference for error message	Field or Button	Error-Text	Description
DRGP004	<input type="checkbox"/> Privilege Name field <input type="checkbox"/> Submit button	Requestor not allowed	A Grant Privilege request grants a system privilege and/or an object privilege on a secured element to a user, a role or a party.

Reference for error message	Field or Button	Error Text	Description
			The grantor user must be granted with the relevant privilege beforehand in order to administer it. If the grantor user is a Party Administrator, the privilege must be granted to the Party the user belongs to. Otherwise, the privilege must be granted directly to the user.
DRGP002	<input type="checkbox"/> Privilege Name field <input type="checkbox"/> Submit button	Invalid system privilege	When performing a Grant Privilege request the privilege to be granted must refer to an existing privilege. A System Privilege cannot be assigned to a Party if this would always result in an empty data scope for that Party type.
DRGP004	<input type="checkbox"/> Submit button	System Privilege missing, object privilege cannot be granted	When performing a Grant Privilege request, it is only possible to grant an object privilege if the grantee already has the related system privilege.
DRGP005	<input type="checkbox"/> Submit button	Invalid grantee party	When performing a Grant Privilege request to grant a system privilege to a Party, the grantee

Reference for error message	Field or Button	Error Text	Description
			party must be an active one in the default data scope of the grantor.
DRGP006	<input type="checkbox"/> Submit button	Invalid grantee party	When performing a Grant Privilege request to grant an object privilege to a Party, only Service Operator, CSD and NCB users can grant privileges to Parties outside their System Entity. CSD and NCB users cannot grant privileges to the Service Operator. CSD Participants, Ancillary Systems, Payment Banks and External CSDs can only grant privileges to other Parties within their System Entity.
DRGP007	<input type="checkbox"/> Submit button	Invalid grantee user	When performing a Grant Privilege request, the User to be granted with a privilege must refer to an existing one belonging to the same party as the grantor, with the following exceptions: -The Service Operator can grant any privilege to any User.

Reference for error message	Field or Button	Error Text	Description
			- CSD/NCB Party Administrators can grant Party Administrator privileges to any User within their own System Entity. The Party Administrator privileges are ARM_AdministerParty, ARM_GrantPrivilege, ARM_GrantRole, ARQ_GrantedSysPrivilegesListQuery, ARQ_GrantObjectPrivilegesListQuery, ARQ_GrantedRolesListQuery, CRDM Access.
DRGP008	<input type="checkbox"/> Submit button	Invalid grantee role	When performing a Grant Privilege request, the Role to be granted with a privilege must refer to an existing one in the data scope of the grantor.
DRGP009	<input type="checkbox"/> Submit button	Invalid System Entity	When performing a Grant Privilege request, to grant an object privilege on a System Entity, it must be an existing one. The Service Operator can grant privileges on any System Entity, while CSD and NCB

Reference for error message	Field or Button	Error Text	Description
			users can grant privileges on their System Entity only.
DRGP010	<input type="checkbox"/> Submit button	Invalid reference data object to be secured	When performing a Grant Privilege request, the reference data object to be secured may be a Party, a Securities, a Securities Account or a Cash Account and must refer to an existing one in the data scope of the requester.
DRGP011	<input type="checkbox"/> Submit button	Invalid secured group	When performing a Grant Privilege request, to grant an object privilege on a Secured Group, it must be an existing one belonging to the data scope of the requester.
DRGP012	<input type="checkbox"/> Submit button	Invalid privilege type	When performing a Grant Privilege request, the specified privilege type must be consistent with its use. System privileges can only be granted at system level.
DRGP013	<input type="checkbox"/> Submit button <input type="checkbox"/> Privilege Name field	Privilege already granted	When performing a Grant Privilege request, in order to prevent the possibility to

Reference for error message	Field or Button	Error Text	Description
			<p>grant contradicting privileges to the same role, user or party:</p> <p>Each system privilege can be granted to a role, a user or a party only once.</p> <p>Each object privilege can be granted to a role, a user or a party on the same object only once.</p>
DRGP014	<input type="checkbox"/> Submit button	Invalid valid from date	When performing a Grant Privilege request, the valid from date can't be less than the current business date.
DRGP015	<input type="checkbox"/> Submit button	Valid from of object privilege not compliant with validity of system privilege	If the Grant Privilege request specifies both a System Privilege and an Object Privilege or the request is about an object privilege grant, the period of validity of the grant on the object must be consistent with that of the system privilege.
DRGP016	<input type="checkbox"/> Submit button	User does not have Admin rights on the specified privilege	A Party Administrator can grant a Privilege to a Party only if the Privilege is already granted to their Party with Admin flag = TRUE.

Reference for error message	Field or Button	Error Text	Description
			<p>A Party Administrator can grant a Privilege to a User or Role only if the Privilege is already granted to their Party with Deny Flag = FALSE.</p> <p>Any other user can grant a privilege only to other users of its own Party or Roles and only if the Privilege is already granted to the grantor User with Admin Flag = TRUE.</p>
DRGP017	<input type="checkbox"/> Deny Option field <input type="checkbox"/> Submit button	Deny flag cannot be set to TRUE when granting privilege to a Party	When performing a Grant Privilege request, it is not possible to set the Deny flag to TRUE when the grantee is a Party.
DRGP018	<input type="checkbox"/> 4-Eyes Option field <input type="checkbox"/> Submit button	Four-Eyes flag must be set to TRUE	When performing a Grant Privilege request, if a Privilege is granted to a Party with Four-Eyes flag = TRUE, the responsible Party Administrator can only grant it with Four-Eyes flag = TRUE. If a Privilege is granted to a User with Four-Eyes flag = TRUE and Admin flag = TRUE, the User can

Reference for error message	Field or Button	Error Text	Description
			only grant it with Four-Eyes flag = TRUE.
DRGP019	<input type="checkbox"/> Submit button	A Role cannot contain privileges related to multiple Services	When performing a Grant Privilege request, Privileges linked to a certain Service cannot be granted to a Role if the Role already contains Privileges linked to a different Service.
DRGP020	<input type="checkbox"/> Submit button	TIPS privileges can only be granted to Roles.	When performing a Grant Privilege request, privileges for the TIPS, T2_CLM and T2_RTGS services can only be granted to Roles.
DRGP024	<input type="checkbox"/> Submit button	Data scope reduction not allowed for Party and its Accounts/ System Entity	When performing a Grant Privilege request it is not possible to reduce the data scope of a participant with Secured Element Types “Party and its Accounts” applied on the Participant itself or “System Entity” applied on the Participant’s System Entity.
DRRP001	<input type="checkbox"/> Submit button	Requestor not allowed	A Revoke Privilege request revokes a system privilege from a user, a role or a party and/or revokes an ob-

Reference for error message	Field or Button	Error Text	Description
			ject privilege on a secured element. The requestor user must be a Party Administrator of their own Party or a User granted with the relevant privilege with Admin flag = TRUE.
DRRP003	<input type="checkbox"/> Submit button	The revoke is not allowed due to a revoke constraint	In case of request to revoke a system privilege, all the object privileges linked to it must be revoked beforehand.
DRRP004	<input type="checkbox"/> Submit button	Requestor not allowed	In case of request to revoke a privilege from a party, the requestor user must be a Party Administrator and the privilege to be revoked must have been granted by a user belonging to the same party of the requestor. The Service Operator can revoke any privilege from any Party.
DRRP005	<input type="checkbox"/> Submit button	Requestor not allowed	In case of request to revoke a privilege from a role, the requestor must belong to the Service Operator, or to a CSD, or to an NCB. The Service Operator can revoke any privilege from any Role. CSDs and NCBs

Reference for error message	Field or Button	Error Text	Description
			can revoke privileges from Roles that have the same system entity as the requestor.
DRRP006	<input type="checkbox"/> Submit button	Requestor not allowed	<p>In case of request to revoke a privilege from a user, the user must belong to the same party of the requestor, with the following exceptions:</p> <ul style="list-style-type: none"> <input type="checkbox"/> The Service Operator can revoke any privilege from any user; <input type="checkbox"/> Party Administrator privileges can be revoked from any user in the requestor's system entity provided the requestor is a Party Administrator of a CSD or NCB Party. The Party Administrator privileges are: <p>ARM_AdministerParty, ARM_GrantPrivilege, ARM_GrantRole, ARQ_GrantedSysPrivilegesListQuery, ARQ_GrantObjectPrivilegesListQuery,</p>

Reference for error message	Field or Button	Error Text	Description
			ARQ_Granted-RolesListQuery, CRDM_Access.
DRRP007	<input type="checkbox"/> Submit button		When revoking a privilege from a party, the same privilege is also revoked from all users of the party.

{Split book approach}

~~6.5.2.56 Inbound Files – Search/List Screen~~

~~△ [▶ 1193]~~

~~No references for error messages. {Split book approach}~~

~~6.5.2.57 Inbound File – Details Screen~~

~~△ [▶ 1196]~~

~~No references for error messages. {Split book approach}~~

~~6.5.2.58 Inbound Messages – Search/List Screen~~

~~△ [▶ 1198]~~

~~No references for error messages. {Split book approach}~~

~~6.5.2.59 Inbound Message – Details Screen~~

~~△ [▶ 1203]~~

~~No references for error messages. {Split book approach}~~

~~6.5.2.60 Limit – New/Edit Screen~~

~~△ [▶ 934]~~

Reference for error message	Field or Button	Error Text	Description
DRC9001	<input type="checkbox"/> Cash Account Number field <input type="checkbox"/> Submit button	Requestor not allowed	<p>When performing a request to create a Limit, the requestor must be authorised to create the requested data according to the following:</p> <p>A Service Operator user can create all data.</p> <p>A NCB user can create only Limits for CMBs or Cash Accounts belonging to its own System Entity.</p> <p>A Payment Bank user can create only Limits for its own non-primary T2S CMBs, its own RTGS Dedicated Cash Accounts and TIPS CMBs linked to its own Cash Account.</p> <p>An Ancillary System user can create only Limits for TIPS CMBs linked to its own Cash Account.</p>
DRC9052	<input type="checkbox"/> Cash Account Number field <input type="checkbox"/> Submit button	Unknown Cash Account Identifier	When performing a Limit create request, the Cash Account specified must refer to an existing and active instance in CRDM.
DRC9053	<input type="checkbox"/> Submit button	Unknown BIC	When performing an autocollateralisation, external guarantee or

Reference for error message	Field or Button	Error Text	Description
			unsecured credit Limit create request, the BIC+BIC Branch Code specified must refer to an existing and active BIC+BIC Branch Code in BIC directory.
DRC9054	<input type="checkbox"/> Limit Type field <input type="checkbox"/> Submit button	Invalid Limit Type	When performing an autocollateralisation, external guarantee or unsecured credit limit create request, the Limit Type must be Autocollateralisation if the relevant CMB is a primary one.
DRC9055	<input type="checkbox"/> Submit button	Limit Value must be zero	When performing an autocollateralisation, external guarantee or unsecured credit limit create request, the Limit Value must be set to zero for Primary CMB if the Regular Securities Account or the NCB Cash Account for the relevant CMB are not defined.
DRC9056	<input type="checkbox"/> Submit button	Limit Value must be zero	When performing an autocollateralisation, external guarantee or unsecured credit limit create request, the Limit Value must be set to zero if the Receiving Securities Account for the relevant

Reference for error message	Field or Button	Error Text	Description
			CMB are not defined for Repo and Pledge countries.
DRC9057	<input type="checkbox"/> Submit button	Invalid BIC: it cannot use the specified Cash Account	When performing an autocollateralisation, external guarantee, unsecured credit or TIPS CMB limit create request, the BIC+ BIC Branch Code specified must be authorised to use the Cash Account provided in input.
DRC9058	<input type="checkbox"/> Limit Type field <input type="checkbox"/> Submit button	Invalid Cash Account type	When performing a limit create request, if the limit type is TIPS CMB Limit then the Cash Account type must be TIPS Account or TIPS AS Technical Account ; if the limit type is T2 Bilateral Limit or T2 Multilateral Limit then the Cash Account Type must be RTGS Dedicated Cash Account; if the limit type is autocollateralisation, external guarantee or unsecured credit the Cash Account type must be equal to T2S Dedicated Cash Account.
DRC9059	<input type="checkbox"/> To Account BIC Field	Unknown To Account BIC	When performing a Limit create request, the To Account BIC

Reference for error message	Field or Button	Error Text	Description
	<input type="checkbox"/> Submit button		specified must refer to an existing and active Authorised Account User instance of type "Direct" in CRDM defined on a RTGS Dedicated Cash Account.
DRC9100	<input type="checkbox"/> Cash Account Number field <input type="checkbox"/> To Account BIC Field <input type="checkbox"/> Limit Type field <input type="checkbox"/> Valid From field <input type="checkbox"/> Submit button	Limit already defined	When performing a Limit create request, it must be verified that no Limit has already been defined for the BIC+Branch Code (if present), Cash Account, Valid From, Limit Type and To Account BIC provided in input.
DRC9101	<input type="checkbox"/> To Account BIC Field <input type="checkbox"/> Limit Type field <input type="checkbox"/> Submit button	Limit already defined	When performing a T2 Bilateral Limit or T2 Multilateral Limit create request, there cannot be more than one Limit on the same Cash Account for each Limit Type and To Account BIC over the same validity period.
DRC9150	<input type="checkbox"/> Limit Amount field <input type="checkbox"/> Submit button	Amount below minimum threshold	When performing a T2 Bilateral Limit or T2 Multilateral Limit create request, the Limit Amount must be equal to or greater than the minimum value defined by the

Reference for error message	Field or Button	Error Text	Description
			Operator in the relevant Attribute Domain for the given Currency.
DRC9205	<input type="checkbox"/> Valid From field <input type="checkbox"/> Submit button	Valid From invalid	When performing a Limit create request, the Valid From date must be equal to or greater than the current date.
DRC9206	<input type="checkbox"/> Valid From field <input type="checkbox"/> Valid To field <input type="checkbox"/> Submit button	Valid To invalid	When performing a Limit create request, the Valid To date must be equal to or greater than the current date and equal to or greater than the Valid From.
DRC9800	<input type="checkbox"/> Limit Amount field <input type="checkbox"/> Submit button	Invalid number of decimals	When performing a Limit Create request, the number of decimals in the value provided for Limit Amount must be compliant with the number of decimals foreseen for the relevant currency.
DRU9001	<input type="checkbox"/> Submit button	Requestor not allowed	When performing a request to update a Limit, the requestor must be authorised to update the requested data according to the following:

Reference for error message	Field or Button	Error Text	Description
			<p>A Service Operator user can update all data.</p> <p>A NCB user can update only Limits for CMBs (T2S CMBs and TIPS CMBs) and RTGS Dedicated Cash Accounts belonging to its own System Entity.</p> <p>A Payment Bank user can update only Limits for its own non-primary T2S CMBs, its own RTGS DCAs and TIPS CMBs linked to its own Cash Accounts.</p> <p>An Ancillary System user can update only Limits for TIPS CMBs linked to its own Cash Account.</p>
DRU9003	<input type="checkbox"/> Submit button	Data to be updated not found	The update requests of a Limit must refer to an existing and active instance.
DRU9055	<input type="checkbox"/> Limit Amount field <input type="checkbox"/> Submit button	Limit Amount must be zero	When performing an autocollateralisation, external guarantee or unsecured credit Limit update request, the Limit Value must be set to zero for Primary CMB if the Regular Securities Ac-

Reference for error message	Field or Button	Error Text	Description
			count or the NCB Cash Account for the relevant CMB are not defined.
DRU9056	<input type="checkbox"/> Limit Amount field <input type="checkbox"/> Submit button	Limit Amount must be zero	When performing an autocollateralisation, external guarantee or unsecured credit Limit update request, the Limit Value must be set to zero if the Receiving Securities Account for the relevant CMB are not defined for Repo and Pledge countries.
DRU9101	<input type="checkbox"/> To Account BIC Field <input type="checkbox"/> Limit Type field <input type="checkbox"/> Submit button	Limit already defined	When performing a T2 Bilateral Limit or T2 Multilateral Limit update request, there cannot be more than one Limit for each Limit Type and To Account BIC over the same validity period.
DRU9150	<input type="checkbox"/> Limit Amount field <input type="checkbox"/> Submit button	Amount below minimum threshold	When performing a T2 Bilateral Limit or T2 Multilateral Limit update request, the Limit Amount must be equal to or greater than the minimum value defined by the Operator in the relevant Attribute Domain for the given Currency.

Reference for error message	Field or Button	Error Text	Description
DRU9206	<input type="checkbox"/> Valid From field <input type="checkbox"/> Valid To field <input type="checkbox"/> Submit button	Valid To invalid	When performing a Limit update request, the Valid To date must be equal to or greater than the current date and equal to or greater than the Valid From.
DRU9207	<input type="checkbox"/> Limit Type field <input type="checkbox"/> Valid To field <input type="checkbox"/> Submit button	Valid To cannot be specified	When performing a Limit update request, the Valid To date can only be input if the Limit Type is T2 Bilateral Limit or T2 Multi-lateral Limit.
DRU9800	<input type="checkbox"/> Limit Amount field <input type="checkbox"/> Submit button	Invalid number of decimals	When performing a Limit Update request, the number of decimals in the value provided for Limit Amount must be compliant with the number of decimals foreseen for the relevant currency.

{Split book approach}

~~6.5.2.61 Limits - Search/List Screen~~

△ [▶ 926]

Reference for error message	Field or Button	Error Text	Description
DRD9001	<input type="checkbox"/> Delete button	Requestor not allowed	When performing a request to delete a Limit, the requestor must be authorised to

Reference for error message	Field or Button	Error Text	Description
			<p>delete the requested data according to the following:</p> <p>A System Operator user can delete all data.</p> <p>A NCB user can delete only Limits for CMBs (T2S CMBs and TIPS CMBs) and RTGS Dedicated Cash Accounts belonging to its own System Entity.</p> <p>A Payment Bank user can delete only Limits for its own non-primary T2S CMBs, its own RTGS DCAs and TIPS CMBs linked to its own Cash Account.</p> <p>An Ancillary System user can delete or restore only Limits for TIPS CMBs linked to its own Cash Account.</p>
DRD9003	<ul style="list-style-type: none"> <input type="checkbox"/> Status field <input type="checkbox"/> Limit Amount field <input type="checkbox"/> Delete button 	Unknown NCB Identifier	<p>The delete requests of an autocollateralisation, external guarantee or unsecured credit Limit must refer to an existing and active instance whose Limit Amount is equal to zero.</p>

Reference for error message	Field or Button	Error Text	Description
DRD9004	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Limit to be deleted not found	The restore requests of a Limit must refer to an existing and deleted instance.
DRD9064	<input type="checkbox"/> Restore button	Unknown Credit Memorandum Balance Identifier	When performing an autocollateralisation, external guarantee or unsecured credit Limit restore request, the Credit Memorandum Balance Identifier must refer to an existing and active CMB instance in CRDM.
DRD9065	<input type="checkbox"/> Restore button	Unknown or invalid Cash Account	When performing a TIPS CMB Limit restore request, the Credit Memorandum Balance Identifier must refer to an existing and active Cash Account instance in CRDM with Account Type equal to TIPS CMB.
DRD9066	<input type="checkbox"/> Restore button	Limit to be restored not found	When performing a T2 Bilateral Limit or T2 Multilateral Limit restore request, it must refer to an existing and active Cash Account instance in CRDM with Account Type equal to RTGS Dedicated Cash Account.

Reference for error message	Field or Button	Error Text	Description
DRD9080	<input type="checkbox"/> To Account BIC field <input type="checkbox"/> Restore button	Unknown or invalid To Account BIC	When performing a Limit restore request, the To Account BIC, if present, must refer to an existing and active Authorised Account User instance of type "Direct" in CRDM defined on a RTGS Dedicated Cash Account.
DRD9101	<input type="checkbox"/> Limit Type field <input type="checkbox"/> To Cash Account Number field <input type="checkbox"/> Restore button	Limit already defined	When performing a T2 Bilateral Limit or T2 Multilateral Limit create request, there cannot be more than one Limit for each Limit Type and To Account BIC over the same validity period.
DRD9150	<input type="checkbox"/> Currency field <input type="checkbox"/> Limit Amount field <input type="checkbox"/> Restore button	Amount below minimum threshold	When performing a T2 Bilateral Limit or T2 Multilateral Limit create request, the Limit Amount must be equal to or greater than the minimum value defined by the Operator in the relevant Attribute Domain for the given Currency.
DRD9205	<input type="checkbox"/> Valid From field <input type="checkbox"/> Restore button	Valid From invalid	When performing a Limit restore request for an Autocollateralisation, External Guarantee, Unsecured Credit or TIPS CMB

Reference for error message	Field or Button	Error Text	Description
			Limit the Valid From date must be equal to or later than the current date.
DRD9206	<input type="checkbox"/> Valid From field <input type="checkbox"/> Valid To field <input type="checkbox"/> Restore button	Valid To invalid	When performing a Limit restore request for a T2 Bilateral or T2 Multilateral Limit the Valid From date must be equal to or later than the current date or the Valid To must be earlier than the current date.

{Split book approach}

~~6.5.2.62 Manual Corrections - New/Edit Screen~~

△ [▶ 690]

Reference for error message	Field or Button	Error Text	Description
DBC5001	<input type="checkbox"/> Submit Row <input type="checkbox"/> Quantity <input type="checkbox"/> Unit Price <input type="checkbox"/> Service Item Code	Invalid Quantity and Unit Price	In case of Manual Correction on an already existing Service Item, quantity and/or Unit Price can only be specified if their Fee Types are equal to: Fixed independent fee, Fixed fees dependent on tariff, Variable independent fee.
DBC5002	<input type="checkbox"/> Submit Row	Manual/Template correction not allowed	Manual/Template Correction can be performed only if the relevant invoice has not

Reference for error message	Field or Button	Error Text	Description
			been created yet, or if it has been already cancelled.
DBC5003	<input type="checkbox"/> Submit Row <input type="checkbox"/> Name <input type="checkbox"/> Service Item Code	Already existing Manual correction	When performing a Manual Correction create request, only Manual Corrections with different combination of name and code are allowed.
DBC5004	<input type="checkbox"/> Submit Row	Manual correction not allowed	Manual Correction can be performed only after the defined Correction Timeframe Date.
DBC5005	<input type="checkbox"/> Submit Row <input type="checkbox"/> Party BIC <input type="checkbox"/> Manual Correction	Propagation not executed due to an error on the CB Participant // Dynamic error including Participant BIC//.	Manual Correction propagation can be performed only if the relevant invoice has not been created yet, or it has been already cancelled.
DBC5006	<input type="checkbox"/> Submit Row <input type="checkbox"/> Party BIC <input type="checkbox"/> Manual Correction	Propagation not allowed	Manual Correction propagation can be performed only after the defined Correction Timeframe Date.
DBC5007	<input type="checkbox"/> Submit <input type="checkbox"/> Party BIC <input type="checkbox"/> Manual Correction	Propagation not executed due to an error on the CB Participant // Dynamic error including Participant BIC//.	Manual Correction propagation can be performed only if applicable to all selected CB Participants.

{Split book approach}

~~6.5.2.63 Market-Specific Attribute - Details Screen~~

△ [▶ 863]

Reference for error message	Field or Button	Error-Text	Description
DRDK004	<input type="checkbox"/> Restore button <input type="checkbox"/> Delete button	Requestor not allowed	A Market-Specific Attribute can only be deleted/restored by T2S Operator, CSD or NCB. A User belonging to CSD or NCB is authorised to delete/restore only data belonging to its own System-Entity.
DRDK003	<input type="checkbox"/> Status field <input type="checkbox"/> Delete button	Data to be deleted/restored not found	When performing a Market-Specific Attribute delete request, it must refer to an existing and active instance of a non-mandatory Market-Specific Attribute.
DRDK004	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Data to be deleted/restored not found	When performing a Market-Specific Attribute restore request, it must refer to an existing and deleted instance of a non-mandatory Market-Specific Attribute.
DRDK005	<input type="checkbox"/> Name field <input type="checkbox"/> Restore button	Unknown Attribute Domain Identifier	When performing a Market-Specific Attribute restore request, the Attribute Domain Identifier must exist in T2S and it must belong to the requestor's System-Entity.

Reference for error message	Field or Button	Error-Text	Description
DRDK006	<input type="checkbox"/> Attribute Name field <input type="checkbox"/> Restore button	Market-Specific Attribute Name already assigned	When performing a Market-Specific Attribute restore request, the Market-Specific Attribute Name to be restored must not be already assigned with the same System Entity Identifier.
DRDK040	<input type="checkbox"/> Delete button	The deletion/close is not allowed due to a deletion-priority constraint	A Market-Specific Attribute cannot be deleted if there still are valid instances of the following entity linked to it: Market-Specific Party Attribute Value, Market-Specific Securities Account Attribute Value, Market-Specific Security Attribute Value.

{Split book approach}

~~6.5.2.64 Market Specific Attribute - New/Edit Screen~~

△ [▶ 865]

Reference for error message	Field or Button	Error-Text	Description
DRCK001	<input type="checkbox"/> Submit button	Requestor not allowed	A Market-Specific Attribute can be created only by T2S Operator, CSD or NCB. A user belonging to a CSD or NCB can only

Reference for error message	Field or Button	Error Text	Description
			create Market-Specific Attribute belonging to his own Party.
DRCK002	<input type="checkbox"/> Attribute Domain field <input type="checkbox"/> Submit button	Unknown Attribute Domain Identifier	When creating the Market-Specific Attribute, the Attribute Domain Identifier specified must refer to an existing Attribute Domain in T2S and it must belong to the requestor's System Entity.
DRCK003	<input type="checkbox"/> Attribute Name field <input type="checkbox"/> Submit button	Market-Specific Attribute Name already assigned	When performing a Market-Specific Attribute create request, the Market-Specific Attribute Name specified in the create request must not be already assigned with the same System Entity Identifier.
DRCK100	<input type="checkbox"/> Mandatory field <input type="checkbox"/> Submit button	Missing Mandatory Market-Specific Attribute in related object	When performing a Market-Specific Attribute create request, if it is defined as Mandatory, no Party/Securities/Securities Account (according to Market-Specific Attribute Type) belonging to the same System Entity of the Market-Specific Attribute being created must exist.

Reference for error message	Field or Button	Error-Text	Description
DRUK001	<input type="checkbox"/> Submit button	Requestor not allowed	A Market-Specific Attribute can be updated only by T2S Operator, CSD or NCB. A User belonging to CSD or NCB is authorised to update only data belonging to its own System Entity.
DRUK003	<input type="checkbox"/> Submit button	Data to be updated not found	When performing a Market-Specific Attribute update request, it must refer to an existing and active instance.
DRUK005	<input type="checkbox"/> Mandatory field <input type="checkbox"/> Submit button	Update not allowed due to a consistency constraint	When performing a Market-Specific Attribute update request, the Mandatory field must be consistent with the linked Object.

{Split book approach}

6.5.2.65 Market-Specific Attributes - Search/List Screen

△ [▶ 860]

Reference for error message	Field or Button	Error-Text	Description
DRDK004	<input type="checkbox"/> Restore button <input type="checkbox"/> Delete button	Requestor not allowed	A Market-Specific Attribute can only be deleted/restored by T2S Operator, CSD or NCB. A User belonging to CSD or NCB is authorised to delete/

Reference for error message	Field or Button	Error Text	Description
			restore only data belonging to its own System Entity.
DRDK003	<input type="checkbox"/> Status field <input type="checkbox"/> Delete button	Data to be deleted/restored not found	When performing a Market-Specific Attribute delete request, it must refer to an existing and active instance of a non-mandatory Market-Specific Attribute.
DRDK004	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Data to be deleted/restored not found	When performing a Market-Specific Attribute restore request, it must refer to an existing and deleted instance of a non-mandatory Market-Specific Attribute.
DRDK005	<input type="checkbox"/> Attribute Domain field <input type="checkbox"/> Restore button	Unknown Attribute Domain Identifier	When performing a Market-Specific Attribute restore request, the Attribute Domain Identifier must exist in T2S and it must belong to the requestor's System Entity.
DRDK006	<input type="checkbox"/> Attribute Name field <input type="checkbox"/> Restore button	Market-Specific Attribute Name already assigned	When performing a Market-Specific Attribute restore request, the Market-Specific Attribute Name to be restored must not be already assigned with the same System Entity Identifier.

Reference for error message	Field or Button	Error-Text	Description
DRDK040	<input type="checkbox"/> Delete button	The deletion/close is not allowed due to a deletion priority constraint	A Market-Specific Attribute cannot be deleted if there still are valid instances of the following entity linked to it: Market-Specific Party Attribute Value, Market-Specific Securities Account Attribute Value, Market-Specific Security Attribute Value.

{Split book approach}

~~6.5.2.66 Message Subscription Rule - New/Edit Screen~~

△ [▶ 1057]

Reference for error message	Field or Button	Error-Text	Description
DRCF001	<input type="checkbox"/> Submit button	Requestor not allowed	A Message Subscription Rule can be created only by users belonging to Service Operator, CSD, NCB, CSD Participant, Payment Bank, Ancillary System and External CSD with the correct privilege. CSD and NCB users can only create Message Subscription Rules within their own system entity. CSD Participant, Payment Bank, Ancillary System and External CSD users can

Reference for error message	Field or Button	Error Text	Description
			only create Message Subscription Rules for their own party.
DRCF002	<input type="checkbox"/> Rule Set field <input type="checkbox"/> Submit button	Unknown Message Subscription Rule Set Identifier	When performing a Message Subscription Rule creation request, the Message Subscription Rule Set Identifier specified must exist in CRDM and must belong to the data scope of the requestor.
DRCF003	<input type="checkbox"/> Sequence # field <input type="checkbox"/> Submit button	Rule Sequence already inserted	When performing a Message Subscription Rule creation request, the Rule Sequence specified must not be already existing for the same Message Subscription Rule Set Identifier
DRCF004	<input type="checkbox"/> Submit button	Unknown Rule Parameters Type	When performing a Message Subscription Rule creation request, the Rule Parameters Type specified must refer, depending on the Parameter Type, to an existing Attribute Domain Name in Attribute Domain entity defined by the Service Operator or to an existing CRDM Reference Data entity.

Reference for error message	Field or Button	Error Text	Description
DRCF005	<input type="checkbox"/> Valid From field <input type="checkbox"/> Submit button	Invalid "Valid From"	When performing a Message Subscription Rule creation request, the Valid From must be greater than the current date. The Service Operator can skip this check in contingency situations.
DRCF006	<input type="checkbox"/> Valid From field <input type="checkbox"/> Submit button	Relevant Message Subscription Rule Set must have future Valid From	When performing a Message Subscription Rule creation request, the Valid From must be equal to or greater than the Valid From of the related Message Subscription Rule Set. The Service Operator can skip this check in contingency situations.
DRCF007	<input type="checkbox"/> Valid From field <input type="checkbox"/> Valid To field <input type="checkbox"/> Submit button	Invalid "Valid To"	When performing a Message Subscription Rule creation request, the Valid To, if specified, must be equal to or greater than the Valid From, and equal to or less than the related Message Subscription Rule Set Valid To.
DRCF008	<input type="checkbox"/> Message Type field <input type="checkbox"/> Instruction Type field	Invalid combination of parameter types for the given message type	When performing a Message Subscription Rule creation request, certain parameter types are only applic-

Reference for error message	Field or Button	Error Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Message Status field <input type="checkbox"/> Party field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> ISIN field <input type="checkbox"/> Cash Account field <input type="checkbox"/> Instruction Status field <input type="checkbox"/> Instruction Status Reason Code field <input type="checkbox"/> ISO Transaction Code field <input type="checkbox"/> Currency field <input type="checkbox"/> Already Matched Flag field <input type="checkbox"/> Settlement Transaction Condition Code field <input type="checkbox"/> Business Sending Party field <input type="checkbox"/> Instructing Party field <input type="checkbox"/> Multi-addressee BIC field <input type="checkbox"/> Business Case Code field <input type="checkbox"/> Priority field <input type="checkbox"/> Underlying Message Type field 		<p>able for a number of message types, as described below:</p> <p>-Instruction Type: only applicable for message types SettlementInstruction, SecuritiesSettlementTransactionStatusAdvice, SecuritiesSettlementTransactionConfirmation, BankToCustomerDebitCreditNotification</p> <p>-Message Status: only applicable for AccountRequestAcknowledgement, PartyStatusAdvice, SecurityCreationStatusAdvice, SecurityMaintenanceStatusAdvice, SecurityDeletionStatusAdvice, SecuritiesAccountStatusAdvice, CollateralDataStatusAdvice, EligibleCounterpartCSDStatusAdvice, SecuritiesCSDLINKStatusAdvice, AccountLinkStatusAdvice, Receipt, IntraPositionMovementStatusAdvice, SecuritiesSettlementTransactionStatusAdvice, SecuritiesTransactionCancel-</p>

Reference for error message	Field or Button	Error Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Business Sending User field <input type="checkbox"/> Debit/Credit Indicator field <input type="checkbox"/> Submit button 		<p>lationRequestStatusAdvice, SecuritiesSettlementConditionsModificationStatusAdvice, IntraBalanceMovementStatusAdvice, IntraBalanceMovementModificationRequestStatusAdvice, IntraBalanceMovementCancellationRequestStatusAdvice.</p> <p>-Party: only applicable for SettlementInstruction, SettlementRestriction on securities, SettlementRestriction on cash, AccountRequestAcknowledgement, PartyStatusAdvice, SecuritiesCSDLLinkStatusAdvice, IntraPositionMovementStatusAdvice, IntraPositionMovementConfirmation, SecuritiesSettlementTransactionStatusAdvice, SecuritiesSettlementTransactionConfirmation, SecuritiesTransactionCancellationRequestStatusAdvice, SecuritiesSettlementTransactionAllegationNotification, Se-</p>

Reference for error message	Field or Button	Error Text	Description
			<p>curitiesMessageCancellationAdvice, SecuritiesSettlementAllegementRemovalAdvice, SecuritiesSettlementConditionsModificationStatusAdvice, IntraBalanceMovementStatusAdvice, IntraBalanceMovementConfirmation, SecuritiesSettlementTransactionGenerationNotification, IntraBalanceMovementModificationRequestStatusAdvice, IntraBalanceMovementCancellationRequestStatusAdvice, SecuritiesMessageCancellationAdvice.</p> <p>-Securities Account: only applicable for SettlementInstruction, SettlementRestriction on securities, SecuritiesAccountStatusAdvice, IntraPositionMovementStatusAdvice, IntraPositionMovementConfirmation, AccountLinkStatusAdvice, SecuritiesSettlementTransactionStatusAdvice, SecuritiesSettlementTransactionConfirma-</p>

Reference for error message	Field or Button	Error Text	Description
			<p>tion, SecuritiesTransactionCancellationRequestStatusAdvice, SecuritiesSettlementTransactionAllegationNotification, SecuritiesMessageCancellationAdvice, SecuritiesSettlementAllegationRemovalAdvice, SecuritiesSettlementConditionsModificationStatusAdvice, SecuritiesSettlementTransactionGenerationNotification, SecuritiesMessageCancellationAdvice.</p> <p>-ISIN: only applicable for SettlementInstruction, SettlementRestriction on securities, SecurityCreationStatusAdvice, SecurityMaintenanceStatusAdvice, SecurityDeletionStatusAdvice, SecuritiesCSDLinkStatusAdvice, IntraPositionMovementStatusAdvice, IntraPositionMovementConfirmation, SecuritiesSettlementTransactionStatusAdvice, SecuritiesSettlementTransactionConfirmation, Se-</p>

Reference for error message	Field or Button	Error Text	Description
			<p>curitiesTransactionCancellationRequestStatusAdvice, SecuritiesSettlementTransactionAllegationNotification, SecuritiesSettlementAllegationRemovalAdvice, SecuritiesSettlementConditionsModificationStatusAdvice, SecuritiesSettlementTransactionGenerationNotification.</p> <p>- Cash Account: only applicable for SettlementInstruction, SettlementRestriction on cash, AccountRequestAcknowledgement, BankToCustomerDebitCreditNotification, AccountLinkStatusAdvice, SecuritiesSettlementTransactionConfirmation, IntraBalanceMovementStatusAdvice, IntraBalanceMovementConfirmation, SecuritiesSettlementTransactionGenerationNotification, IntraBalanceMovementModificationRequestStatusAdvice, IntraBalanceMovementCancellationRequest-</p>

Reference for error message	Field or Button	Error Text	Description
			<p>StatusAdvice,ResolutionOfInvestigation,PaymentStatusReport.</p> <p>-Instruction Status: only applicable for IntraPositionMovementStatusAdvice,SecuritiesSettlementTransactionStatusAdvice,SecuritiesTransactionCancellationRequestStatusAdvice,SecuritiesSettlementConditionsModificationStatusAdvice,IntraBalanceMovementStatusAdvice,IntraBalanceMovementModificationRequestStatusAdvice,IntraBalanceMovementCancellationRequestStatusAdvice.</p> <p>-Instruction Status Reason Code: only applicable for SecuritiesSettlementTransactionStatusAdvice,IntraPositionMovementStatusAdvice,IntraBalanceMovementStatusAdvice.</p> <p>-Transaction Code: only applicable for SettlementInstruction,SecuritiesSettlementTransactionStatusAd-</p>

Reference for error message	Field or Button	Error Text	Description
			<p>vice, SecuritiesSettlementTransactionConfirmation, SecuritiesSettlementTransactionAllegationNotification, SecuritiesSettlementTransactionGenerationNotification.</p> <p>-Currency: only applicable for SettlementInstruction, SettlementRestriction on cash, BankToCustomerDebitCreditNotification, SecuritiesSettlementTransactionStatusAdvice, SecuritiesSettlementTransactionConfirmation, SecuritiesTransactionCancellationRequestStatusAdvice, SecuritiesSettlementTransactionAllegationNotification, IntraBalanceMovementStatusAdvice, IntraBalanceMovementConfirmation, SecuritiesSettlementTransactionGenerationNotification, IntraBalanceMovementModificationRequestStatusAdvice, IntraBal-</p>

Reference for error message	Field or Button	Error Text	Description
			<p>anceMovementCancellationRequest-StatusAdvice.</p> <p>-Already Matched Flag: only applicable for SettlementInstruction, SecuritiesSettlementTransaction-StatusAdvice, SecuritiesSettlementTransactionConfirmation, SecuritiesTransactionCancellationRequest-StatusAdvice, SecuritiesSettlementConditionsModification-StatusAdvice</p> <p>-Settlement Transaction Condition Code: only applicable for SettlementInstruction, SecuritiesSettlementTransactionStatusAdvice, SecuritiesSettlementTransactionConfirmation, SecuritiesSettlementTransactionGenerationNotification.</p> <p>-Business Sending Party: only applicable for SettlementInstruction, SettlementRestriction on securities, SettlementRestriction on cash, IntraPositionMovementStatusAdvice, In-</p>

Reference for error message	Field or Button	Error Text	Description
			<p>traPositionMovementConfirmation, SecuritiesSettlementTransactionStatusAdvice, SecuritiesSettlementTransactionConfirmation, SecuritiesTransactionCancellationRequestStatusAdvice, SecuritiesSettlementConditionsModificationStatusAdvice, IntraBalanceMovementStatusAdvice, IntraBalanceMovementConfirmation, SecuritiesSettlementTransactionGenerationNotification, IntraBalanceMovementModificationRequestStatusAdvice</p> <p>IntraBalanceMovementCancellationRequestStatusAdvice, -Business Sending User: only applicable for SettlementInstruction, SettlementRestriction on securities, SettlementRestriction on cash</p> <p>-Instructing Party: only applicable for SettlementInstruction, SettlementRestriction on securities, Settle-</p>

Reference for error message	Field or Button	Error Text	Description
			<p>mentRestriction on cash, IntraPositionMovementStatusAdvice, IntraPositionMovementConfirmation, SecuritiesSettlementTransactionStatusAdvice, SecuritiesSettlementTransactionConfirmation, SecuritiesTransactionCancellationRequestStatusAdvice, SecuritiesSettlementConditionsModificationStatusAdvice, IntraBalanceMovementStatusAdvice, IntraBalanceMovementConfirmation, SecuritiesSettlementTransactionGenerationNotification, IntraBalanceMovementModificationRequestStatusAdvice, IntraBalanceMovementCancellationRequestStatusAdvice, SecuritiesSettlementTransactionGenerationNotification - Multi-addressee BIC: only applicable for ResolutionOfInvestigation, PaymentStatusReport</p>

Reference for error message	Field or Button	Error Text	Description
			<p>- Business Case: only applicable for BankToCustomerDebitCreditNotification</p> <p>- Priority: only applicable for PaymentStatusReport</p> <p>- Underlying Message Type: only applicable for PaymentStatusReport</p> <p>- Debit/Credit Indicator: only applicable for BankToCustomerDebitCreditNotification</p>
DRCF009	<input type="checkbox"/> Submit button	Invalid parameter type for the selected Service	When performing a Message Subscription Rule creation request, the parameter types must be consistent with the selected Service.
DRCF010	<input type="checkbox"/> Message Type field <input type="checkbox"/> Submit button	Invalid message type for the selected Service	When performing a Message Subscription Rule creation request, the message type must be consistent with the selected Service.
DRCF011	<input type="checkbox"/> Underlying Message Type field <input type="checkbox"/> Submit button	Invalid Underlying Message Type for the selected Service	When performing a Message Subscription Rule create request, if parameter "Underlying Message Type" is used, the selected val-

Reference for error message	Field or Button	Error Text	Description
			ues must be consistent with the selected Service.
DRCF050	<input type="checkbox"/> Submit button	Invalid TIPS Account	When performing a Message Subscription Rule creation request involving a TIPS Account or a TIPS AS Technical Account as Rule Parameter Value, the account must belong to the data scope of the requestor.
DRCF051	<input type="checkbox"/> Cash Account field <input type="checkbox"/> Submit button	Invalid Cash Account	When performing a Message Subscription Rule creation request involving an RTGS or CLM Account as Rule Parameter Value, the account must belong to the data scope of the requestor or the requestor Party must be defined as Co-Manager of the account.
DRCF060	<input type="checkbox"/> Group field <input type="checkbox"/> Submit button	Invalid Parameter Types for the Specified Parameter Group	When performing a Message Subscription Rule Create request, the same set of Parameter Types must be used for Groups belonging to the same Rule.
DRCF200	<input type="checkbox"/> Submit button	Invalid Parameter Value	When performing a Message Subscription Rule create request, the Parameter Value

Reference for error message	Field or Button	Error Text	Description
			must be compliant with the values or rules defined in the relevant Attribute Domain or Reference Data entity.
DRCF300	<input type="checkbox"/> Group field <input type="checkbox"/> Submit button	Number of maximum active Message Subscription Rule exceeded	When performing a Message Subscription Rule create request within a T2S Rule Set, the overall number of groups of parameters for the relevant CSD/ CB must be compliant with the configuration limit defined in CRDM.
DRCF310	<input type="checkbox"/> Submit button	Number of maximum Parameter Values exceeded	When performing a Message Subscription Rule create request within a T2S Rule Set, the overall number of distinct Parameter Values defined for the same Parameter Type for the relevant CSD/ CB must be compliant with the configuration limit defined in CRDM.
DRUF001	<input type="checkbox"/> Submit button	Requestor not allowed	A user can only update Message Subscription Rules within its own data scope.
DRUF003	<input type="checkbox"/> Submit button	Data to be updated not found	When performing a Message Subscription Rule update request it must refer to an exist-

Reference for error message	Field or Button	Error Text	Description
			ing and active instance of Message Subscription Rule.
DRUF004	<input type="checkbox"/> Valid From field <input type="checkbox"/> Valid To field <input type="checkbox"/> Submit button	Only 'Valid To' can be updated	When performing a Message Subscription Rule update request, if the existing Valid From is equal to or less than the current business date, it is only possible to modify the Valid To field.
DRUF005	<input type="checkbox"/> Sequence # field <input type="checkbox"/> Submit button	Rule Sequence already inserted	When performing a Message Subscription Rule update request, the Rule Sequence, when specified, must not be already used for the same Message Subscription Rule Set Identifier
DRUF006	<input type="checkbox"/> Valid From field <input type="checkbox"/> Submit button	Invalid 'Valid From'	When performing a Message Subscription Rule update request, the specified Valid From must be equal to or greater than the related Message Subscription Rule Set Valid From and greater to the current business date. The Service Operator can skip this check in contingency situations.

Reference for error message	Field or Button	Error Text	Description
DRUF007	<input type="checkbox"/> Valid From field <input type="checkbox"/> Valid To field <input type="checkbox"/> Submit button	Invalid 'Valid To'	When performing a Message Subscription Rule update request, the specified Valid To must be equal to or less than the related Message Subscription Rule Set Valid To and equal to or greater than the Valid From specified in input, if present.
DRUF008	<input type="checkbox"/> Message Type field <input type="checkbox"/> Instruction Type field <input type="checkbox"/> Message Status field <input type="checkbox"/> Party field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> ISIN field <input type="checkbox"/> Cash Account field <input type="checkbox"/> Instruction Status field <input type="checkbox"/> Instruction Status Reason Code field <input type="checkbox"/> ISO Transaction Code field <input type="checkbox"/> Currency field <input type="checkbox"/> Already Matched Flag field	Invalid combination of parameter types for the given message type	<p>When performing a Message Subscription Rule update request, certain parameter types are only applicable for a number of message types, as described below:</p> <p>- Instruction Type: only applicable for message types SettlementInstruction, SecuritiesSettlementTransactionStatusAdvice, SecuritiesSettlementTransactionConfirmation, BankToCustomerDebitCreditNotification</p> <p>- Message Status: only applicable for AccountRequestAcknowledgement, PartyStatusAdvice, SecurityCreationStatusAdvice, Secur-</p>

Reference for error message	Field or Button	Error Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Settlement Transaction Condition Code field <input type="checkbox"/> Business Sending Party field <input type="checkbox"/> Instructing Party field <input type="checkbox"/> Multi-addressee BIC field <input type="checkbox"/> Business Case field <input type="checkbox"/> Priority field <input type="checkbox"/> Underlying Message Type field <input type="checkbox"/> Business Sending User field <input type="checkbox"/> Debit/Credit Indicator field <input type="checkbox"/> Submit button 		<p>ityMaintenanceStatusAdvice, SecurityDeletionStatusAdvice, SecuritiesAccountStatusAdvice, CollateralDataStatusAdvice, EligibleCounterpartCSDStatusAdvice, SecuritiesCSDLINKStatusAdvice, AccountLinkStatusAdvice, Receipt, IntraPositionMovementStatusAdvice, SecuritiesSettlementTransactionStatusAdvice, SecuritiesTransactionCancellationRequestStatusAdvice, SecuritiesSettlementConditionsModificationStatusAdvice, IntraBalanceMovementStatusAdvice, IntraBalanceMovementModificationRequestStatusAdvice, IntraBalanceMovementCancellationRequestStatusAdvice.</p> <p>-Party: only applicable for SettlementInstruction, SettlementRestriction on securities, SettlementRestriction on cash, AccountRequestAcknowledgement,</p>

Reference for error message	Field or Button	Error Text	Description
			PartyStatusAdvice, SecuritiesCSDLink-StatusAdvice, Intra-PositionMovement-StatusAdvice, Intra-PositionMovement-Confirmation, SecuritiesSettlementTransactionStatusAdvice, SecuritiesSettlement-TransactionConfirmation, SecuritiesTransactionCancellationRequestStatusAdvice, SecuritiesSettlement-TransactionAllegationNotification, SecuritiesMessageCancellationAdvice, SecuritiesSettlementAllegationRemovalAdvice, SecuritiesSettlementConditionsModificationStatusAdvice, IntraBalanceMovementStatusAdvice, IntraBalanceMovement-Confirmation, SecuritiesSettlementTransactionGenerationNotification, IntraBalanceMovementModificationRequestStatusAdvice, IntraBalanceMovementCancellationRequest-

Reference for error message	Field or Button	Error Text	Description
			<p>StatusAdvice, SecuritiesMessageCancellationAdvice.</p> <p>-Securities Account: only applicable for SettlementInstruction, SettlementRestriction on securities, SecuritiesAccountStatusAdvice, IntraPositionMovementStatusAdvice, IntraPositionMovementConfirmation, AccountLinkStatusAdvice, SecuritiesSettlementTransactionStatusAdvice, SecuritiesSettlementTransactionConfirmation, SecuritiesTransactionCancellationRequestStatusAdvice, SecuritiesSettlementTransactionAllegationNotification, SecuritiesMessageCancellationAdvice, SecuritiesSettlementAllegationRemovalAdvice, SecuritiesSettlementConditionsModificationStatusAdvice, SecuritiesSettlementTransactionGenerationNotification, SecuritiesMessageCancellationAdvice.</p>

Reference for error message	Field or Button	Error Text	Description
			<p>- ISIN: only applicable for SettlementInstruction, SettlementRestriction on securities, SecurityCreationStatusAdvice, SecurityMaintenanceStatusAdvice, SecurityDeletionStatusAdvice, SecuritiesCSDLinkStatusAdvice, IntraPositionMovementStatusAdvice, IntraPositionMovementConfirmation, SecuritiesSettlementTransactionStatusAdvice, SecuritiesSettlementTransactionConfirmation, SecuritiesTransactionCancellationRequestStatusAdvice, SecuritiesSettlementTransactionAllegementNotification, SecuritiesSettlementAllegementRemovalAdvice, SecuritiesSettlementConditionsModificationStatusAdvice, SecuritiesSettlementTransactionGenerationNotification.</p> <p>- Cash Account: only applicable for SettlementInstruction, SettlementRestriction on</p>

Reference for error message	Field or Button	Error Text	Description
			<p>cash, Account Request Acknowledgment, Bank To Customer Debit Credit Notification, Account Link Status Advice, Securities Settlement Transaction Confirmation, Intra Balance Movement Status Advice, Intra Balance Movement Confirmation, Securities Settlement Transaction Generation Notification, Intra Balance Movement Modification Request Status Advice, Intra Balance Movement Cancellation Request Status Advice, Resolution Of Investigation, Payment Status Report.</p> <p>- Instruction Status: only applicable for Intra Position Movement Status Advice, Securities Settlement Transaction Status Advice, Securities Transaction Cancellation Request Status Advice, Securities Settlement Conditions Modification Status Advice, Intra Balance Movement Status Advice, Intra-</p>

Reference for error message	Field or Button	Error Text	Description
			<p>BalanceMovement-ModificationRequest-StatusAdvice, Intra-BalanceMovement-CancellationRequest-StatusAdvice.</p> <p>-Instruction Status Reason Code: only applicable for Securities Settlement Transaction Status Advice, Intra Position Movement Status Advice, Intra Balance Movement Status Advice.</p> <p>-Transaction Code: only applicable for SettlementInstruction, SecuritiesSettlementTransactionStatusAdvice, SecuritiesSettlementTransactionConfirmation, SecuritiesSettlementTransactionAllegementNotification, SecuritiesSettlementTransactionGenerationNotification.</p> <p>-Currency: only applicable for SettlementInstruction, SettlementRestriction on cash, BankToCustomerDebitCreditNotification, Securities-</p>

Reference for error message	Field or Button	Error Text	Description
			<p>SettlementTransactionStatusAdvice, SecuritiesSettlementTransactionConfirmation, SecuritiesTransactionCancellationRequestStatusAdvice, SecuritiesSettlementTransactionAllegationNotification, IntraBalanceMovementStatusAdvice, IntraBalanceMovementConfirmation, SecuritiesSettlementTransactionGenerationNotification, IntraBalanceMovementModificationRequestStatusAdvice, IntraBalanceMovementCancellationRequestStatusAdvice.</p> <p>-Already Matched Flag: only applicable for SettlementInstruction, SecuritiesSettlementTransactionStatusAdvice, SecuritiesSettlementTransactionConfirmation, SecuritiesTransactionCancellationRequestStatusAdvice, SecuritiesSettlementConditionsModificationStatusAdvice</p>

Reference for error message	Field or Button	Error Text	Description
			<p>- Settlement Transaction Condition Code: only applicable for SettlementInstruction, SecuritiesSettlementTransactionStatusAdvice, SecuritiesSettlementTransactionConfirmation, SecuritiesSettlementTransactionGenerationNotification.</p> <p>- Business Sending Party: only applicable for SettlementInstruction, SettlementRestriction on securities, SettlementRestriction on cash, IntraPositionMovementStatusAdvice, IntraPositionMovementConfirmation, SecuritiesSettlementTransactionStatusAdvice, SecuritiesSettlementTransactionConfirmation, SecuritiesTransactionCancellationRequestStatusAdvice, SecuritiesSettlementConditionsModificationStatusAdvice,</p>

Reference for error message	Field or Button	Error Text	Description
			<p>IntraBalanceMove- mentStatusAdvice, In- traBalanceMovement- Confirmation, Securit- iesSettlementTransac- tionGenerationNotific- ation, IntraBal- anceMovementModi- ficationRequestStatu- sAdvice</p> <p>IntraBalanceMove- mentCancellationRe- questStatusAdvice,</p> <p>-Business Sending User: only applicable for SettlementInstruc- tion, Settlemen- tRestriction on secur- ities, Settlemen- tRestriction on cash</p> <p>-Instructing Party: only applicable for SettlementInstruction, SettlementRestriction on securities, Settle- mentRestriction on cash, IntraPosition- MovementStatusAd- vice, IntraPosition- MovementConfirma- tion, SecuritiesSettle- mentTransaction- StatusAdvice, Securit- iesSettlementTransac- tionConfirmation, Se-</p>

Reference for error message	Field or Button	Error Text	Description
			<p>curitiesTransactionCancellationRequestStatusAdvice_SecuritiesSettlementConditionsModificationStatusAdvice, IntraBalanceMovementStatusAdvice, IntraBalanceMovementConfirmation, SecuritiesSettlementTransactionGenerationNotification, IntraBalanceMovementModificationRequestStatusAdvice IntraBalanceMovementCancellationRequestStatusAdvice, SecuritiesSettlementTransactionGenerationNotification</p> <p>- Multi-addressee BIC: only applicable for ResolutionOfInvestigation, PaymentStatusReport</p> <p>- Business Case: only applicable for BankToCustomerDebitCreditNotification</p>

Reference for error message	Field or Button	Error Text	Description
			<p>- Priority: only applicable for Payment-StatusReport</p> <p>- Underlying Message Type: only applicable for PaymentStatusReport</p> <p>- Debit/Credit Indicator: only applicable for BankToCustomer-DebitCreditNotification</p>
DRUF009	<input type="checkbox"/> Submit button	Invalid parameter type for the selected Service	When performing a Message Subscription Rule update request, the parameter types must be consistent with the selected Service.
DRUF010	<input type="checkbox"/> Message Type field <input type="checkbox"/> Submit button	Invalid message type for the selected Service	When performing a Message Subscription Rule update request, the message type must be consistent with the selected Service.
DRUF011	<input type="checkbox"/> Underlying Message Type field <input type="checkbox"/> Submit button	Invalid Underlying Message Type for the selected Service	When performing a Message Subscription Rule update request, if parameter "Underlying Message Type" is used, the selected values must be consistent with the selected Service.

Reference for error message	Field or Button	Error Text	Description
DRUF044	<input type="checkbox"/> Submit button	Unknown Rule Parameter Type Identifier	When performing a Message Subscription Rule update request, the Rule Parameter Type specified must refer, depending on the Parameter Type, to an existing and active Attribute Domain Name in Attribute Domain defined by the Service Operator or to an existing CRDM Reference Data entity.
DRUF050	<input type="checkbox"/> Submit button	Invalid TIPS Account	When performing a Message Subscription Rule update request involving a TIPS Account or a TIPS AS Technical Account as Rule Parameter Value, the account must belong to the data scope of the requestor.
DRUF051	<input type="checkbox"/> Cash Account field <input type="checkbox"/> Submit button	Invalid Cash Account	When performing a Message Subscription Rule update request involving an RTGS or CLM Account as Rule Parameter Value, the account must belong to the data scope of the requestor or the requestor Party must be defined as Co-Manager of the account.

Reference for error message	Field or Button	Error Text	Description
DRUF200	<input type="checkbox"/> Submit button	Invalid Parameter Value	When performing a Message Subscription Rule update request, in case of creation/update of Message Subscription Rule Parameter, the Parameter Value must be compliant with the values or rules defined in the relevant Attribute Domain or CRDM Reference Data entity.
DRUF300	<input type="checkbox"/> Group field <input type="checkbox"/> Submit button	Number of maximum active Message Subscription Rules exceeded	When performing a Message Subscription Rule update request within a T2S Rule Set, in case of creation of Message Subscription Rule Parameter, the overall number of groups of parameters for the relevant CSD/CB must be compliant with the configuration limit defined in CRDM.
DRUF310	<input type="checkbox"/> Submit button	Number of maximum Parameter Values exceeded	When performing a Message Subscription Rule update request within a T2S Rule Set, in case of creation/update of Message Subscription Rule Parameter, the overall number of distinct Parameter Values defined for the same Parameter Type for

Reference for error message	Field or Button	Error Text	Description
			the relevant CSD/CB must be compliant with the configuration limit defined in CRDM.
DRUF600	<input type="checkbox"/> Group field <input type="checkbox"/> Submit button	Invalid Parameter Types for the Specified Parameter Group	When performing a Message Subscription Rule update request, in case of creation/deletion of a parameter type it has to be ensured that the same set of parameters is used into the different groups of the specified rule.

{Split book approach}

~~6.5.2.67 Message Subscription Rule Set - Details Screen~~

△ [▶ 1048]

Reference for error message	Field or Button	Error Text	Description
DRDE001	<input type="checkbox"/> Delete button <input type="checkbox"/> Restore button	Requestor not allowed	A user can delete/restore only Message Subscription Rule Sets belonging to its own data scope.
DRDE002	<input type="checkbox"/> Interested Parent BIC field <input type="checkbox"/> Interested Party BIC field <input type="checkbox"/> Restore button	Unknown Party	When performing a Message Subscription Rule Set restore request, the Message Subscription Rule Set Party must reference Parties that exist and are active in CRDM.

Reference for error message	Field or Button	Error Text	Description
DRDE003	<input type="checkbox"/> Status field <input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Delete button	Data to be deleted/restored not found	When performing a Message Subscription Rule Set delete request it must refer to an existing and active instance of Message Subscription Rule Set with future Valid From or past Valid To.
DRDE004	<input type="checkbox"/> Service field <input type="checkbox"/> Restore button	Invalid Service	When performing a Message Subscription Rule Set restore request, the specified Service must refer to an existing and active Service in CRDM.
DRDE006	<input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Restore button	Invalid validity dates	When performing a Message Subscription Rule Set restore request, either the Valid From must be greater than the current date, or the Valid To must be in the past. The Service Operator can skip this check in contingency situations.
DRDE040	<input type="checkbox"/> Delete button	The deletion/close is not allowed due to a priority constraint	A Message Subscription Rule Set cannot be deleted if there still are valid instances of the following entity linked to it: Message Subscription Rule.

Reference for error message	Field or Button	Error Text	Description
DRDE044	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Data to be deleted/restored not found	When performing a Message Subscription Rule Set restore request it must refer to an existing and deleted instance of Message Subscription Rule Set.
DRDE200	<input type="checkbox"/> Name field <input type="checkbox"/> Restore button	Name already assigned	When performing a Message Subscription Rule Set restore request, the specified Name must not be already assigned in CRDM under the same Party.
DRDE300	<input type="checkbox"/> Restore button	Party is defined as U2A-only	When performing a Message Subscription Rule Set Party restore request, the Party Id must refer to a Party which is not set as "U2A-only" in the relevant Party-Service Link.
DRDF001	<input type="checkbox"/> Restore button <input type="checkbox"/> Delete button	Requestor not allowed	A user can delete/restore only Message Subscription Rules belonging to its own data scope.
DRDF002	<input type="checkbox"/> Restore button <input type="checkbox"/> Status field	Unknown Message Subscription Rule Set Identifier	When performing a Message Subscription Rule restore request, the Message Subscription Rule Set

Reference for error message	Field or Button	Error Text	Description
			Identifier to be re-stored must exist and be active in CRDM.
DRDF003	<input type="checkbox"/> Delete button <input type="checkbox"/> Status field <input type="checkbox"/> Valid From field <input type="checkbox"/> Valid To field	Data to be deleted/restored not found	When performing a Message Subscription Rule delete request, it must refer to an existing and active instance of Message Subscription Rule with future Valid From or past Valid To. The Service Operator can skip this check in contingency situations.
DRDF005	<input type="checkbox"/> Restore button <input type="checkbox"/> Seq. field	Rule Sequence already used	When performing a Message Subscription Rule restore request, the Rule Sequence to be restored must not be already used for the same Message Subscription Rule Set Identifier
DRDF007	<input type="checkbox"/> Restore button	Invalid 'Valid From'	When performing a Message Subscription Rule restore request, the Valid From must be equal to or greater than the Valid From of the related Message Subscription Rule Set.
DRDF008	<input type="checkbox"/> Restore button	Invalid 'Valid To'	When performing a Message Subscription Rule restore request, the Valid To must be

Reference for error message	Field or Button	Error Text	Description
			equal to or less than the Valid To of the related Message Subscription Rule Set.
DRDF044	<input type="checkbox"/> Restore button <input type="checkbox"/> Status field	Data to be deleted/restored not found	When performing a Message Subscription Rule restore request it must refer to an existing and deleted instance of Message Subscription Rule.
DRDF045	<input type="checkbox"/> Restore button	Unknown Rule Parameter Type	When performing a Message Subscription Rule restore request, the Rule Parameter Type to be restored must refer, depending on the Parameter Type, to an existing and active Attribute Domain Name in Attribute Domain entity defined by the Service Operator or to an existing CRDM Reference Data entity.
DRDF200	<input type="checkbox"/> Restore button	Invalid Parameter Value	When performing a Message Subscription Rule restore request, the Parameter Value must be compliant with the values or rules defined in the relevant Attribute Domain or CRDM Reference Data entity.

Reference for error message	Field or Button	Error Text	Description
DRDF300	<input type="checkbox"/> Restore button	Number of maximum active Message Subscription Rule exceeded	When performing a Message Subscription Rule restore request within a T2S Rule Set, the overall number of groups of parameters for the relevant CSD/ CB must be compliant with the configuration limit defined in CRDM.
DRDF310	<input type="checkbox"/> Restore button	Number of maximum Parameter Values exceeded	When performing a Message Subscription Rule restore request within a T2S Rule Set, the overall number of distinct Parameter Values defined for the same Parameter Type for the relevant CSD/ CB must be compliant with the configuration limit defined in CRDM.

{Split book approach}

6.5.2.68 Message Subscription Rule Set - New/Edit Screen

△ [▶ 1053]

Reference for error message	Field or Button	Error Text	Description
DRCE001	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Submit button	Requestor not allowed	A Message Subscription Rule Sets can be created only by users belonging to Service Operator, CSD, NCB, CSD Participant, Pay-

Reference for error message	Field or Button	Error Text	Description
			ment Bank, Ancillary System and External CSD with the correct privilege. CSD and NCB users can only create Message Subscription Rule Sets within their own system entity. CSD Participant, Payment Bank and External CSD users can only create Message Subscription Rule Sets for their own party.
DRCE002	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Submit button	Unknown Party	When performing a Message Subscription Rule Set Party creation request, the Parties specified must exist and be active in CRDM.
DRCE003	<input type="checkbox"/> Service field <input type="checkbox"/> Submit button	Invalid Service	When performing a Message Subscription Rule Set create request, the specified Service must refer to an existing and active Service in CRDM.
DRCE005	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Submit button	Invalid Party	When performing a Message Subscription Rule Set Party creation request, the Party Id specified must belong to a Party in the default data scope of the requestor.

Reference for error message	Field or Button	Error Text	Description
DRCE006	<input type="checkbox"/> Creator Parent BIC field <input type="checkbox"/> Creator Party BIC field <input type="checkbox"/> Submit button	Invalid Party	When performing a Message Subscription Rule Set Party creation request, the Creator Party specified must be the same Party as the Requestor or the CSD/NCB specified as System Entity by the Service Operator in case of act on behalf.
DRCE009	<input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button	"Valid From" invalid	When performing a Message Subscription Rule Set creation request, the Valid From must be greater than the current date. The Service Operator can skip this check in contingency situations.
DRCE010	<input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	"Valid To" invalid	When performing a Message Subscription Rule Set creation request, the Valid To must be greater than or equal to the Valid From.
DRCE100	<input type="checkbox"/> Submit button	Invalid System Entity	When performing a Message Subscription Rule Set create request, the specified System Entity must refer to an existing and active instance in CRDM.

Reference for error message	Field or Button	Error Text	Description
DRCE200	<input type="checkbox"/> Name field <input type="checkbox"/> Submit button	Name already assigned	When performing a Message Subscription Rule Set create request, the specified Name must not be already assigned in CRDM under the same Party.
DRCE300	<input type="checkbox"/> Submit button	Party is defined as U2A-only	When performing a Message Subscription Rule Set Party create request, the Party Id must refer to a Party which is not set as "U2A-only" in the relevant Party-Service Link.
DRUE004	<input type="checkbox"/> Submit button	Requestor not allowed	A Message Subscription Rule Sets can be updated only by users belonging to Service Operator, CSD, NCB, CSD Participant, Payment Bank, Ancillary System and External CSD with the correct privilege. CSD and NCB users can only update Message Subscription Rule Sets within their own system entity. CSD Participant, Payment Bank, Ancillary System and External CSD users can only update Mes-

Reference for error message	Field or Button	Error Text	Description
			sage Subscription Rule Sets for their own party.
DRUE003	<input type="checkbox"/> Submit button	Data to be updated not found	When performing a Message Subscription Rule Set update request it must refer to an existing and active instance of Message Subscription Rule Set. If the Valid To is in the past, only the Valid From can be updated (The Service Operator can skip this check in contingency situations).
DRUE004	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Submit button	Party Id already specified	When performing a Message Subscription Rule Set Party create request, the same Party Id cannot be specified twice.
DRUE005	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Submit button	Invalid Party Id	When performing a Message Subscription Rule Set Party update request, the Party Id specified must belong to a Party in the default data scope of the requestor.
DRUE006	<input type="checkbox"/> Submit button <input type="checkbox"/>	Invalid Creator Party	When performing a Message Subscription Rule Set Party create request, the Creator Party specified must be the same party as

Reference for error message	Field or Button	Error Text	Description
			the requestor or the CSD/NCB specified as System Entity by the Service Operator in case of act on behalf.
DRUE007	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Submit button	Invalid Party Id	When performing a Message Subscription Rule Set Party update request, the Party Id specified must refer to an existing Message Subscription Rule Set Party instance.
DRUE008	<input type="checkbox"/> Submit button <input type="checkbox"/>	Only "Valid To" can be updated	When performing a Message Subscription Rule Set update request if the Valid From is in the past, only a future Valid To can be updated (The Service Operator can skip this check in contingency situations).
DRUE010	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Creator Parent BIC field <input type="checkbox"/> Creator Party BIC field <input type="checkbox"/> Submit button	Unknown Party	When performing a Message Subscription Rule Set update request, the Party Id and Creator Party specified must exist and be active in CRDM.
DRUE200	<input type="checkbox"/> Name field <input type="checkbox"/> Submit button	Name already assigned	When performing a Message Subscription Rule Set update request, the specified

Reference for error message	Field or Button	Error Text	Description
			Name must not be already assigned in CRDM under the same Party.
DRUE205	<input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button	"Valid From" invalid	When performing a Message Subscription Rule Set update request, the Valid From must be greater than the current date. The Service Operator can skip this check in contingency situations.
DRUE206	<input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	"Valid To" invalid	When performing a Message Subscription Rule Set update request, the Valid To must be greater than or equal to the current date and greater than or equal to the Valid From.
DRUE207	<input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	Validity dates not compliant with Message Subscription Rules	When performing a Message Subscription Rule Set update request, the Valid To must be greater than or equal to all the Valid To of the related Message Subscription Rules. The Valid From must be equal to or less than the Valid From of the related Message Subscription Rules.

Reference for error message	Field or Button	Error Text	Description
DRUE300	<input type="checkbox"/> Submit button	Party is defined as U2A-only	When performing a Message Subscription Rule Set Party create or update request, the Party Id must refer to a Party which is not set as "U2A-only" in the relevant Party Service Link.

{Split book approach}

~~6.5.2.69 Message Subscription Rule Sets – Search/List Screen~~

△ [▶ 1043]

Reference for error message	Field or Button	Error Text	Description
DRDE001	<input type="checkbox"/> Delete button <input type="checkbox"/> Restore button	Requestor not allowed	A user can delete/restore only Message Subscription Rule Sets belonging to its own data scope.
DRDE002	<input type="checkbox"/> Interested Parent BIC field <input type="checkbox"/> Interested Party BIC field <input type="checkbox"/> Restore button	Unknown Party	When performing a Message Subscription Rule Set restore request, the Message Subscription Rule Set Party must reference Parties that exist and are active in CRDM.
DRDE003	<input type="checkbox"/> Status field <input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Delete button	Data to be deleted/restored not found	When performing a Message Subscription Rule Set delete request it must refer to an existing and active instance of Message

Reference for error message	Field or Button	Error Text	Description
			Subscription Rule Set with future Valid From or past Valid To.
DRDE004	<input type="checkbox"/> Service field <input type="checkbox"/> Restore button	Invalid Service	When performing a Message Subscription Rule Set restore request, the specified Service must refer to an existing and active Service in CRDM.
DRDE006	<input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Restore button	Invalid validity dates	When performing a Message Subscription Rule Set restore request, either the Valid From must be greater than the current date, or the Valid To must be in the past. The Service Operator can skip this check in contingency situations.
DRDE040	<input type="checkbox"/> Delete button	The deletion/close is not allowed due to a priority constraint	A Message Subscription Rule Set cannot be deleted if there still are valid instances of the following entity linked to it: Message Subscription Rule.
DRDE044	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button <input type="checkbox"/>	Data to be deleted/restored not found	When performing a Message Subscription Rule Set restore request it must refer to an existing and deleted instance of Message Subscription Rule Set.

Reference for error message	Field or Button	Error-Text	Description
DRDE200	<input type="checkbox"/> Name field <input type="checkbox"/> Restore button <input type="checkbox"/>	Name already assigned	When performing a Message Subscription Rule Set restore request, the specified Name must not be already assigned in CRDM under the same Party.
DRDE300	<input type="checkbox"/> Restore button <input type="checkbox"/>	Party is defined as U2A-only	When performing a Message Subscription Rule Set Party restore request, the Party Id must refer to a Party which is not set as "U2A-only" in the relevant Party-Service Link.

{Split book approach}

~~6.5.2.70 Network Services - Search/List Screen~~

△ [▶ 1091]

Reference for error message	Field or Button	Error-Text	Description
DRDU001		Requestor not allowed	Network Service can be deleted/restored only by T2S Operator.
DRDU002	<input type="checkbox"/> Status field	Data to be updated not found	When performing a Network Service deletion request, it must refer to an existing and active instance of Network Service.
DRDU004	<input type="checkbox"/> Network Service field	Service Name already assigned	When performing a Network Service restore request, the

Reference for error message	Field or Button	Error-Text	Description
			specified Service Name must not be already assigned to a different existing and active instance of Network Service.
DRDU040		The deletion/ close is not allowed due to a deletion priority constraint	A Network Service cannot be deleted if there still are valid instances of the following entity linked to it: Routing, Technical Address Network Service Link.
DRDU044	<input type="checkbox"/> Status field	Data to be updated not found	When performing a Network Service restore request it must refer to an existing and deleted instance of Network Service. {Split book approach}

~~6.5.2.71 Outbound Files – Search/List Screen~~

△ [▶ 1206]

~~No references for error messages.~~ {Split book approach}

~~6.5.2.72 Outbound File – Details Screen~~

△ [▶ 1208]

~~No references for error messages.~~ {Split book approach}

~~6.5.2.73 Outbound Messages – Search/List Screen~~

△ [▶ 1210]

~~No references for error messages. {Split book approach}~~

~~6.5.2.74 Outbound Message – Details Screen~~

~~△ [▶ 1214]~~

~~No references for error messages. {Split book approach}~~

~~6.5.2.75 Partial Settlement Thresholds – Search/List Screen~~

~~△ [▶ 1176]~~

~~No references for error messages. {Split book approach}~~

~~6.5.2.76 Parties – Search/List Screen~~

~~△ [▶ 867]~~

Reference for error message	Field or Button	Error Text	Description
DPD1001	 <input type="checkbox"/> Delete button <input type="checkbox"/> Restore button 	Requestor not allowed	Party can only be deleted or restored by the Service Operator, CSD or NCB. A user belonging to a CSD or NCB can only delete or restore parties that fall under their responsibility according to the Hierarchical Party Model. Exceptions to the above rule are represented by any user that is granted the appropriate privilege(s) on the specific Party to be maintained.
DPD1003	 <input type="checkbox"/> Status field <input type="checkbox"/> Opening Date From field 	Unknown party	When performing a Party Delete request, it must refer to an ex-

Reference for error message	Field or Button	Error Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Opening Date To field <input type="checkbox"/> Closing Date From field <input type="checkbox"/> Closing Date To field <input type="checkbox"/> Delete button 		isting, active and closed Party or with a future Opening date.
DPD1004	<ul style="list-style-type: none"> <input type="checkbox"/> Status field <input type="checkbox"/> Opening Date From field <input type="checkbox"/> Opening Date To field <input type="checkbox"/> Closing Date From field <input type="checkbox"/> Closing Date To field <input type="checkbox"/> Restore button 	Party is not deleted	When performing a Party Restore request, it must refer to an existing and deleted Party already closed or with an Opening date equal to or greater than the current business date.
DPD1005	<ul style="list-style-type: none"> <input type="checkbox"/> Party Type field <input type="checkbox"/> Restore button 	Only one CSD/ NCB per System Entity allowed	When performing a Party Restore request, the Party Type cannot be 'CSD' or 'NCB' if there is already a CSD or NCB defined within the System Entity.
DPD1013	<ul style="list-style-type: none"> <input type="checkbox"/> Parent BIC field <input type="checkbox"/> BIC field <input type="checkbox"/> Restore button 	Party Mnemonic already used	When performing a Party Restore request, the 'Party Mnemonic' specified in the Party Code section must not be already assigned to an active party belonging to the same System Entity and having the same

Reference for error message	Field or Button	Error Text	Description
			Parent BIC unless the Party to be restored is closed.
DPD1021	<input type="checkbox"/> Restore button	Invalid country code	When performing a Party Restore request, the 'Country Code' specified in the Party Address section must refer to an existing Country Code in CRDM.
DPD1024	<input type="checkbox"/> Restore button	Invalid restriction type	When performing a Party Restore request, the 'Restriction Type' specified in the Party Restriction section must refer to an existing type in CRDM available for the relevant System Entity.
DPD1030	<input type="checkbox"/> Delete button	The deletion is not allowed due to a deletion priority constraint	In case of request to delete a Party, all the linked instances in a higher position within the deletion hierarchy (i.e. Securities Account, Cash Account, External RTGS Account, Security CSD Link, CSD Account Link, Party Service Link and Party) must be deleted.
DPD1040	<input type="checkbox"/> Restore button <input type="checkbox"/> Technical Address field	Technical Address not found in BIC directory	When performing a Party Restore request, the 'Technical Address' specified in the

Reference for error message	Field or Button	Error Text	Description
			Party Technical Address section must exist in the BIC Directory, when its type is BIC.
DPD1180	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> BIC field <input type="checkbox"/> Restore button	Party Mnemonic not found in BIC directory	When performing a Party Restore request, the 'Party Mnemonic' specified in the Party Code section (when its type is BIC) must exist in the BIC Directory.
DPD1207	<input type="checkbox"/> Restore button	"Valid To" invalid	When performing a Party restore request, the Party Restriction 'Valid To', when specified, must be equal to or less than the Valid To of the relevant Restriction Type entity.
DPD1208	<input type="checkbox"/> Restore button	"Valid From" invalid	When performing a Party restore request, the Party Restriction 'Valid From', when specified, must be equal to or greater than the Valid From of the relevant Restriction Type entity and equal to or less than the Valid To of the relevant Restriction Type entity.

Reference for error message	Field or Button	Error Text	Description
DPD1252	<input type="checkbox"/> Restore button	Invalid Market-Specific Party Attribute Value	In case of restore of Market-Specific Party Attribute Value, it must refer to an existing Market-Specific Attribute with Type "Party" and it must belong to the relevant System Entity.
DPD1254	<input type="checkbox"/> Restore button	Market-Specific Party Attribute Value already used	In case of request for restore of Market-Specific Party Attribute Value, the Value must be unique (within its System Entity) if it is defined as "unique" in [Market-Specific Attribute] entity.
DPD1256	<input type="checkbox"/> Restore button	Missing mandatory Market-Specific attribute value	When performing a Party Restore request, the Market-Specific Attribute Value must be present if the relevant Market-Specific Attribute is defined as mandatory.
DPD1257	<input type="checkbox"/> Restore button	Invalid Market-Specific Party Attribute Value	When performing a Party restore request the Market-Specific Party Attribute Value must be compliant with the values or rules defined in the relevant Attribute Domain.

Reference for error message	Field or Button	Error Text	Description
DPD1300	<input type="checkbox"/> Delete button	Deletion not allowed due to existing object privilege assignment	When performing a Party deletion request, the Party cannot be deleted if it is referenced in an object privilege assignment.

{Split book approach}

~~6.5.2.77 Party - Details Screen~~

△ [▶ 873]

Reference for error message	Field or Button	Error Text	Description
DPD1001	<input type="checkbox"/> Delete button <input type="checkbox"/> Restore button	Requestor not allowed	Party can only be deleted or restored by the Service Operator, CSD or NCB. A user belonging to a CSD or NCB can only delete or restore parties that fall under their responsibility according to the Hierarchical Party Model. Exceptions to the above rule are represented by any user that is granted the appropriate privilege(s) on the specific Party to be maintained.
DPD1003	<input type="checkbox"/> Status field <input type="checkbox"/> Opening Date From field	Unknown party	When performing a Party Delete request, it must refer to an ex-

Reference for error message	Field or Button	Error Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Opening Date To field <input type="checkbox"/> Closing Date From field <input type="checkbox"/> Closing Date To field <input type="checkbox"/> Delete button 		<p>isting, active and closed Party or with a future Opening date.</p>
DPD1004	<ul style="list-style-type: none"> <input type="checkbox"/> Status field <input type="checkbox"/> Opening Date From field <input type="checkbox"/> Opening Date To field <input type="checkbox"/> Closing Date From field <input type="checkbox"/> Closing Date To field <input type="checkbox"/> Restore button 	Party is not deleted	<p>When performing a Party Restore request, it must refer to an existing and deleted Party already closed or with an Opening date equal to or greater than the current business date.</p>
DPD1005	<ul style="list-style-type: none"> <input type="checkbox"/> Party Type field <input type="checkbox"/> Restore button 	Only one CSD/ NCB per System Entity allowed	<p>When performing a Party Restore request, the Party Type cannot be 'CSD' or 'NCB' if there is already a CSD or NCB defined within the System Entity.</p>
DPD1013	<ul style="list-style-type: none"> <input type="checkbox"/> Parent BIC field <input type="checkbox"/> BIC field <input type="checkbox"/> Restore button 	Party Mnemonic already used	<p>When performing a Party Restore request, the 'Party Mnemonic' specified in the Party Code section must not be already assigned to an active party belonging to the same System Entity and having the same</p>

Reference for error message	Field or Button	Error Text	Description
			Parent BIC unless the Party to be restored is not closed.
DPD1021	<input type="checkbox"/> Restore button	Invalid country code	When performing a Party Restore request, the 'Country Code' specified in the Party Address section must refer to an existing Country Code in CRDM.
DPD1024	<input type="checkbox"/> Restore button <input type="checkbox"/>	Invalid restriction type	When performing a Party Restore request, the 'Restriction Type' specified in the Party Restriction section must refer to an existing type in CRDM available for the relevant System Entity.
DPD1030	<input type="checkbox"/> Delete button	The deletion is not allowed due to a deletion priority constraint	In case of request to delete a Party, all the linked instances in a higher position within the deletion hierarchy (i.e. Securities Account, Cash Account, External RTGS Account, Security CSD Link, CSD Account Link, Party Service Link and Party) must be deleted.
DPD1040	<input type="checkbox"/> Restore button	Technical Address not found in BIC directory	When performing a Party Restore request, the 'Technical Address' specified in the

Reference for error message	Field or Button	Error Text	Description
			Party Technical Address section must exist in the BIC Directory, when its type is BIC.
DPD1180	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> BIC field <input type="checkbox"/> Restore button	Party Mnemonic not found in BIC directory	When performing a Party Restore request, the 'Party Mnemonic' specified in the Party Code section (when its type is BIC) must exist in the BIC Directory.
DPD1207	<input type="checkbox"/> Restore button <input type="checkbox"/> Technical Address field	"Valid To" invalid	When performing a Party restore request, the Party Restriction 'Valid To', when specified, must be equal to or less than the Valid To of the relevant Restriction Type entity.
DPD1208	<input type="checkbox"/> Restore button <input type="checkbox"/> Valid From field	"Valid From" invalid	When performing a Party restore request, the Party Restriction 'Valid From', when specified, must be equal to or greater than the Valid From of the relevant Restriction Type entity and equal to or less than the Valid To of the relevant Restriction Type entity.

Reference for error message	Field or Button	Error Text	Description
DPD1252	<input type="checkbox"/> Restore button <input type="checkbox"/> Attribute Value field	Invalid Market-Specific Party Attribute Value	In case of restore of Market-Specific Party Attribute Value, it must refer to an existing Market-Specific Attribute with Type "Party" and it must belong to the relevant System Entity.
DPD1254	<input type="checkbox"/> Restore button <input type="checkbox"/> Attribute Value field	Market-Specific Party Attribute Value already used	In case of request for restore of Market-Specific Party Attribute Value, the Value must be unique (within its System Entity) if it is defined as "unique" in [Market-Specific Attribute] entity.
DPD1256	<input type="checkbox"/> Restore button <input type="checkbox"/> Attribute Value field	Missing mandatory Market-Specific attribute value	When performing a Party Restore request, the Market-Specific Attribute Value must be present if the relevant Market-Specific Attribute is defined as mandatory.
DPD1257	<input type="checkbox"/> Restore button <input type="checkbox"/> Attribute Value field	Invalid Market-Specific Party Attribute Value	When performing a Party restore request the Market-Specific Party Attribute Value must be compliant with the values or rules defined in the relevant Attribute Domain.

Reference for error message	Field or Button	Error Text	Description
DPD1300	<input type="checkbox"/> Delete button	Deletion not allowed due to existing object privilege assignment	When performing a Party deletion request, the Party cannot be deleted if it is referenced in an object privilege assignment.

{Split book approach}

~~6.5.2.78 Party New/Edit Screen~~

△ [▶ 879]

Reference for error message	Field or Button	Error Text	Description
DPC1001	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Submit button	Requestor not allowed	A Party can be created only by Service Operator, CSD or NCB. A user belonging to a CSD or NCB can only create parties that fall under their responsibility according to the Hierarchical Party Model. Exceptions to the above rule are represented by any user that is granted the appropriate privilege(s) on the Party responsible for the Party to be created.
DPC1002	<input type="checkbox"/> Party Type field <input type="checkbox"/> Submit button	Invalid System Entity Identifier	When performing a Party Create request, the 'System Entity' specified in input

Reference for error message	Field or Button	Error Text	Description
			must refer to an existing instance in CRDM, and its type must be consistent with the 'Party Type' specified in input.
DPC1005	<input type="checkbox"/> Party Type field <input type="checkbox"/> Submit button	Only one CSD/ NCB per System Entity allowed	When performing a Party Create request, the Party Type cannot be 'CSD' or 'NCB' if there is already a CSD or NCB defined within the System Entity.
DPC1013	<input type="checkbox"/> BIC field <input type="checkbox"/> Submit button	Party Mnemonic already used	When performing a Party Create request, the 'Party Mnemonic' specified in the Party Code section must not be already assigned to another active Party belonging to the same System Entity and having the same Parent BIC.
DPC1021	<input type="checkbox"/> Country Code field <input type="checkbox"/> Submit button	Invalid country code	When performing a Party Create request, the 'Country Code' specified in the Party Address section must refer to an existing Country Code in CRDM.
DPC1024	<input type="checkbox"/> Type field <input type="checkbox"/> Submit button	Invalid restriction type	When performing a Party Create request, in case of request for creation of Party Restriction, the created

Reference for error message	Field or Button	Error Text	Description
			restriction type must refer to an existing type in [Restriction Type] entity with Object Restriction Type 'Party'.
DPC1025	<input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Type field <input type="checkbox"/> Submit button	Party Restriction overlaps with existing instance	When performing a Party Create request, In case of request for creation of Party Restriction, the created restriction type must not overlap with any other Party Restriction in input having the same [Restriction Type].
DPC1150	<input type="checkbox"/> Name field <input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button	Duplicate Contact Name and Valid From	When performing a Party Create request, if creating Party Contact instances, the same combination of Contact Name and Valid From cannot be used multiple times.
DPC1180	<input type="checkbox"/> BIC field <input type="checkbox"/> Submit button	Party Mnemonic not found in BIC directory	When performing a Party Create request, the 'Party Mnemonic' specified in the Party Code section (when its type is BIC) must exist in the BIC Directory.
DPC1205	<input type="checkbox"/> Opening Date field <input type="checkbox"/> Submit button	"Opening Date" invalid	When performing a Party Create request, the Party Opening Date specified in the

Reference for error message	Field or Button	Error Text	Description
			request must be equal to or greater than the current date.
DPC1206	<input type="checkbox"/> Closing Date field <input type="checkbox"/> Submit button	“Closing Date” invalid	When performing a Party Create request, the Party Closing Date, if specified, must be equal to or greater than the current date and greater than the Opening Date.
DPC1207	<input type="checkbox"/> Valid To field <input type="checkbox"/> Submit button	“Valid To” invalid	When performing a Party Create request, the Party Restriction ‘Valid To’, when specified, must be equal to or greater than the current timestamp, equal to or greater than the Party Restriction Valid From and equal to or less than the Valid To of the relevant Restriction Type entity.
DPC1208	<input type="checkbox"/> Valid From field <input type="checkbox"/> Submit button	“Valid From” invalid	When performing a Party Create request, the Party Restriction ‘Valid From’, when specified, must be equal to or greater than the current timestamp and equal to or greater than the Valid From of the relevant Restriction Type entity and equal

Reference for error message	Field or Button	Error Text	Description
			to or less than the Valid To of the relevant Restriction Type entity.
DPC1252	<input type="checkbox"/> Submit button <input type="checkbox"/> Attribute Value field	Invalid Market-Specific Party Attribute Value	When performing a Party Create request, in case of request for creation of Market-Specific Party Attribute Value, it must refer to an existing Market-Specific Attribute with Type "Party" and it must belong to the relevant System Entity.
DPC1254	<input type="checkbox"/> Submit button <input type="checkbox"/> Attribute Value field	The value for the Market-Specific attribute is already used (and it must be unique)	When performing a Party Create request, in case of request for creation of Market-Specific Party Attribute Value, it must be unique within its System Entity in case it is defined as such in CRDM.
DPC1256	<input type="checkbox"/> Submit button <input type="checkbox"/> Attribute Value field	Missing mandatory Market-Specific attribute value	When performing a Party Create request, in case of request for creation of a Market-Specific Party Attribute, the Market-Specific Attribute Value must be present if the relevant Market-Specific Attribute is defined as mandatory.

Reference for error message	Field or Button	Error Text	Description
DPC1257	<input type="checkbox"/> Submit button <input type="checkbox"/> Attribute Value field	Invalid Market-Specific Party Attribute Value	When performing a Party create request the Market-Specific Party Attribute Value must be compliant with the values or rules defined in the relevant Attribute Domain.
DPC1300	<input type="checkbox"/> Valid From field <input type="checkbox"/> Submit button	"Valid From" invalid	When performing a Party Create request, the 'Valid From' specified in the Party Code section, must be equal to the current business date.
DPC1301	<input type="checkbox"/> Valid From field <input type="checkbox"/> Submit button	"Valid From" invalid	When performing a Party Create request, the 'Valid From' specified in the Party Address section, must be equal to the current business date.
DPC1302	<input type="checkbox"/> Valid From field <input type="checkbox"/> Submit button	"Valid From" invalid	When performing a Party Create request, the 'Valid From' specified in the Party Name section, must be equal to the current business date.
DPC1303	<input type="checkbox"/> Submit button <input type="checkbox"/> Valid From field	Minimum amounts are only allowed for Payment Banks	When performing a party create request, the minimum amount for autocollateralisation and minimum amount for client collateralisation spe-

Reference for error message	Field or Button	Error Text	Description
			cified in the autocollateralisation rule section must not be filled in if the party type is not Payment Bank.
DPC1304	<input type="checkbox"/> Submit button	Collateralisation Procedure must be equal to Repo for Payment Bank	When performing a Party Create request, the Collateralisation Procedure specified in Autocollateralisation Rule section, must be equal to Repo if the Party Type is not NCB.
DPC1305	<input type="checkbox"/> Submit button	Party Address must not be defined for CSD Participant	When performing a Party Create request, the Party Address section must not be filled in if the Party Type is CSD Participant.
DPC1306	<input type="checkbox"/> Submit button	Autocollateralisation Rule is allowed only for NCB or Payment Bank	When performing a Party Create request, the Autocollateralisation Rule section must not be filled in if the Party Type is not NCB or Payment Bank.
DPC1600	<input type="checkbox"/> Submit button		When performing a Party Create request, in case of immediate setup of Party Restriction, the timestamp to be used must take a conventional value which the system will

Reference for error message	Field or Button	Error Text	Description
			interpret as the current timestamp. Furthermore, no check must be performed on such a conventional value in case of four eyes second step or processing of retrieved queued requests.
DPU1001	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> BIC field <input type="checkbox"/> Submit button	Requestor not allowed	Party can only be updated by the Service Operator, CSD or NCB. A user belonging to a CSD or NCB can only update parties that fall under their responsibility according to the Hierarchical Party Model. Exceptions to the above rule are represented by any user that is granted the appropriate privilege(s) on the specific Party to be maintained.
DPU1003	<input type="checkbox"/> Closing Date field <input type="checkbox"/> Submit button	Unknown Party	When performing a Party Update request, it must refer to an existing and active Party whose Closing Date is equal to or greater than the current business date.
DPU1005	<input type="checkbox"/> BIC field <input type="checkbox"/> Party Long Name	Unknown minor entity	When performing a Party Update request, the update request of

Reference for error message	Field or Button	Error Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Party Short Name <input type="checkbox"/> Street field <input type="checkbox"/> House Number field <input type="checkbox"/> Postal Code field <input type="checkbox"/> City field <input type="checkbox"/> State or Province field <input type="checkbox"/> Country Code field <input type="checkbox"/> Address Value field <input type="checkbox"/> Type field <input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button 		a “minor” entity (such as Party Name, Party code, Party Address, Party Contact, Market-Specific Party Attribute, Party Restriction, AutoCollateralisation Rule) must refer to an existing and active instance with a non-past Valid To, where applicable.
DPU1006	<ul style="list-style-type: none"> <input type="checkbox"/> Address Value field <input type="checkbox"/> Submit button 	Missing mandatory section/field	Each party must have at least one party technical address.
DPU1007	<ul style="list-style-type: none"> <input type="checkbox"/> Address Value field <input type="checkbox"/> Submit button 	Party Technical address already defined for Party	When performing a Party Update request, in case of request for creation of Party Technical Address, the PTA specified cannot be identical to a PTA already linked to the relevant Party.
DPU1009	<ul style="list-style-type: none"> <input type="checkbox"/> Valid From field <input type="checkbox"/> Submit button 	“Opening Date” or “Close Date” invalid	When performing a Party Update request, the create request of a historical (i.e. which has the validity date) “minor” entity (such as Party Name Party code, Party Address,

Reference for error message	Field or Button	Error Text	Description
			Party Contact) cannot have a past validity date.
DPU1010	<input type="checkbox"/> Valid From field <input type="checkbox"/> Submit button	Instance with past validity date cannot be deleted	When performing a Party Update request, the delete request of a historical (i.e. which has the validity date) "minor" entity (such as Party Name, Party Address) cannot refer to an entity having a past validity date. This does not apply to the Party Code, for which only the currently active entity cannot be deleted, nor to Party Contact.
DPU1013	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> BIC field <input type="checkbox"/> Submit button	Party Mnemonic already used	When performing a Party Update request, the 'Party Mnemonic' specified in the Party Code section must not be already assigned, as an active instance, to another active Party belonging to the same System Entity and having the same Parent BIC.
DPU1021	<input type="checkbox"/> Country Code field <input type="checkbox"/> Submit button	Invalid country code	When performing a Party Update request, the 'Country Code' specified in the Party Address section must

Reference for error message	Field or Button	Error Text	Description
			refer to an existing Country Code in CRDM.
DPU1024	<input type="checkbox"/> Type field <input type="checkbox"/> Submit button	Invalid restriction type	When performing a Party Update request, in case of request for creation of Party Restriction, the created restriction type must refer to an existing type in [Restriction Type] entity with Object Restriction Type 'Party'.
DPU1025	<input type="checkbox"/> Type field <input type="checkbox"/> Valid From field <input type="checkbox"/> Submit button	Invalid restriction type	When performing a Party Update request, in case of request for deletion of Party Restriction, it must refer to a closed instance or its Valid From must be greater than the current timestamp.
DPU1030	<input type="checkbox"/> Submit button	The deletion/close is not allowed due to a deletion priority constraint	When performing a Party Update request, in case of request to close a Party, all the linked instances in a higher position within the deletion hierarchy (i.e. Securities Account, Cash Account, External RTGS Account, Security CSD Link and CSD Account link, Party,

Reference for error message	Field or Button	Error Text	Description
			Party Service Link) must be closed or deleted.
DPU1150	<input type="checkbox"/> Name field <input type="checkbox"/> Valid From field <input type="checkbox"/> Submit button	Duplicate Contact Name and Valid From	When performing a Party Update request, if creating Party Contact instances, the same combination of Contact Name and Valid From cannot be used multiple times.
DPU1180	<input type="checkbox"/> BIC field <input type="checkbox"/> Submit button	Party Mnemonic not found in BIC directory	When performing a Party Update request, the 'Party Mnemonic' specified in the Party Code section (when its type is BIC) must exist in the BIC Directory.
DPU1205	<input type="checkbox"/> Closing Date field <input type="checkbox"/> Submit button	"Opening Date" or "Close Date" invalid	When performing a Party Update request, in case of Closing of [Party], the specified 'Closing Date' must be equal to or greater than the current business date.
DPU1206	<input type="checkbox"/> Opening Date field <input type="checkbox"/> Submit button	"Opening Date" or "Close Date" invalid	When performing a Party Update request, it is only possible to update the 'Opening Date' if it is greater than the current business date. The new specified value must be equal to or greater than the current busi-

Reference for error message	Field or Button	Error Text	Description
			ness date and it must not be greater than the opening date of the Cash Account(s) for which the party is the Account holder.
DPU1207	<input type="checkbox"/> Valid From field <input type="checkbox"/> Valid To field <input type="checkbox"/> Submit button	“Valid To” invalid	When performing a Party Update request, the specified Party Restriction ‘Valid To’ must be equal to or greater than the current timestamp, greater than the relevant Valid From, equal to or greater than the Valid From of the relevant Restriction Type and equal to or less than the Valid To of the relevant Restriction Type.
DPU1208	<input type="checkbox"/> Opening Date field <input type="checkbox"/> Closing Date field <input type="checkbox"/> Valid From field <input type="checkbox"/> Submit button	“Valid From” invalid	When performing a Party update request, the Valid From specified in a Party Restriction create request must be equal to or greater than the current timestamp, equal to or greater than the Valid From of the relevant Restriction Type and equal to or less than the Valid To of the relevant Restriction Type.

Reference for error message	Field or Button	Error Text	Description
DPU1250	<input type="checkbox"/> Closing Date field <input type="checkbox"/> Submit button	Closing Date cannot be set to Currency Closing Day	When performing a Party Update request, the Closing Date for Parties linked to CLM or RTGS cannot be set to a Currency Closing Day in the relevant Service.
DPU1252	<input type="checkbox"/> Submit button <input type="checkbox"/> Attribute Name field	Invalid Market-Specific Party Attribute Name	When performing a Party Update request, in case of request for creation/update of Market-Specific Party Attribute Value, it must refer to an existing Market-Specific Attribute with Type "Party" and it must belong to the relevant System Entity.
DPU1254	<input type="checkbox"/> Submit button <input type="checkbox"/> Attribute Value field	The value for the Market-Specific attribute is already used (and it must be unique)	When performing a Party Update request, in case of request for creation/update of Market-Specific Party Attribute Value, it must be unique within its System Entity in case it is defined as such in CRDM.
DPU1255	<input type="checkbox"/> Submit button	Missing mandatory section/field	When performing a Party Update request, in case of request for deletion of a Market-Specific Party Attribute, the relevant [Market-Specific Attribute]

Reference for error message	Field or Button	Error Text	Description
			entity must not be defined as “mandatory”.
DPU1256	<input type="checkbox"/> Submit button <input type="checkbox"/> Attribute Value field	Missing mandatory Market-Specific attribute value	When performing a Party Update request, in case of request for update of a Market-Specific Party Attribute, the Market-Specific Attribute Value must be present if the relevant [Market-Specific Attribute] is defined as mandatory.
DPU1257	<input type="checkbox"/> Submit button <input type="checkbox"/> Attribute Name field	Invalid Market-Specific Party Attribute Name	When performing a Party update request the Market-Specific Party Attribute Value must be compliant with the values or rules defined in the relevant Attribute Domain.
DPU1258	<input type="checkbox"/> Submit button	Market-Specific Attribute cannot have more than one value for this Party	When performing a Party Update request, each Market-Specific Attribute can have no more than one value for a given Party.
DPU1300	<input type="checkbox"/> Type field <input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	Party Restriction overlaps with existing instance	When performing a Party Update request, in case of request for creation/update of Party Restriction, the new or updated restriction must not overlap with any other

Reference for error message	Field or Button	Error Text	Description
			Party Restriction having the same Restriction Type on the same Party.
DPU1303	<input type="checkbox"/> Submit button	Minimum amounts are only allowed for Payment Banks	When performing a Party update request, the minimum amount for autocollateralisation and minimum amount for client collateralisation specified in Autocollateralisation Rule section must not be filled in if the Party Type is not Payment Bank.
DPU1304	<input type="checkbox"/> Submit button	Collateralisation Procedure must be equal to Repo for Payment Bank	When performing a Party update request, the Collateralisation Procedure specified in Autocollateralisation Rule section must be equal to Repo if the Party Type is not NCB.
DPU1305	<input type="checkbox"/> Submit button	Party Address must not be defined for CSD Participant	When performing a Party update request, the Party Address section must not be filled in if the Party Type is CSD Participant.
DPU1306	<input type="checkbox"/> Submit button	Autocollateralisation Rule is allowed only for NCB or Payment Bank	When performing a Party update request, the Autocollateralisation Rule section must not be filled in if

Reference for error message	Field or Button	Error Text	Description
			the Party Type is not NCB or Payment Bank.
DPU1308	<input type="checkbox"/> Submit button	Autocollateralisation Rule already exists for the specified Party	When performing a Party update request, the request of creation of the Autocollateralisation Rule is not allowed if Rules have already been defined.
DPU1350	<input type="checkbox"/> BIC field <input type="checkbox"/> Submit button	Party BIC already linked to this Service	When performing a Party Update request to change the Party BIC, there cannot be more than one Party with the same BIC linked to the same Service (if the Service is TIPS, ECONSII, T2_CLM or T2_RTGS). Moreover, different Parties with the same BIC cannot be linked simultaneously to T2_CLM and T2_RTGS.
DPU1351	<input type="checkbox"/> BIC field <input type="checkbox"/> Submit button	Main User already exists for this Party BIC	When performing a Party Update request to change the Party BIC, there cannot be more than one User flagged as Main User for the same Certificate DN and the same Party BIC.

Reference for error message	Field or Button	Error Text	Description
DPU1360	<input type="checkbox"/> LEI field <input type="checkbox"/> Submit button	LEI cannot be removed, links to CLM/RTGS exist	When performing a Party Update request, the Legal Entity Identifier cannot be removed as long as the Party has existing, active and valid Party Service Links to T2_CLM or T2_RTGS.
DPU1500	<input type="checkbox"/> Valid From field <input type="checkbox"/> Submit button	Minor entity is not the one currently in use or the future one	When performing a Party Update request, the update request of a historical "minor" entity (such as Party Name, Party Address, Party Contact) must refer to an instance currently in use or having a future validity.
DPU1501	<input type="checkbox"/> Valid From field <input type="checkbox"/> Submit button	Party Code to be updated must have a future validity	When performing a Party Update request, the update request of Party Code must refer to an instance having a future validity.
DPU1600	<input type="checkbox"/> Submit button		When performing a Party Update request, in case of immediate setup or removal of Party Restriction, the timestamp to be used must take a conventional value which the system will interpret as the current timestamp. Furthermore, no check must

Reference for error message	Field or Button	Error Text	Description
			be performed on such a conventional value in case of four eyes second step or processing of retrieved queued requests.

{Split book approach}

6.5.2.79 Privileges - Search/List Screen

△ [▶ 992]

Reference for error message	Field or Button	Error Text	Description
DRD4001		Requestor not allowed	Privilege can be deleted/restored only by a T2S Operator.
DRD4002	<input type="checkbox"/> Name field	Privilege Name already assigned	When performing a Privilege restore request, the Privilege Name must not be already assigned in T2S.
DRD4003	<input type="checkbox"/> Status field	Data to be deleted/restored not found	When performing a Privilege delete request it must refer to an existing and active instance of Privilege.
DRD4004	<input type="checkbox"/> Status field	Data to be deleted/restored not found	When performing a Privilege restore request it must refer to an existing and deleted instance of Privilege.

Reference for error message	Field or Button	Error Text	Description
DRD4040		The deletion/ close is not allowed due to a priority constraint	A Privilege cannot be deleted if there still are valid instances of the following entities linked to it: Privilege Party, Privilege User, Role Privilege, Role Privilege Object, Role Privilege Group. {Split book approach}

~~6.5.2.80 Report Configuration - Details Screen~~

~~△ [▶ 1077]~~

Reference for error message	Field or Button	Error Text	Description
DRDV001	<input type="checkbox"/> Delete button <input type="checkbox"/> Restore button	Requestor not allowed	Report Configuration can be deleted/restored only by Service Operator, CSD, NCB, CSD Participant, Payment Bank or Ancillary System. A user belonging to a CSD or NCB can only delete/restore Report Configuration for parties that fall under their responsibility according to the Hierarchical Party Model. A user belonging to a CSD Participant or, Payment Bank or Ancil-

Reference for error message	Field or Button	Error Text	Description
			lary System can only delete/restore Report Configuration for his own party or cash account (as account owner or co-manager).
DRDV004	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Restore button	Unknown Party Identifier	When performing a Report Configuration restore request, the Owner Party Technical Identifier to be restored must refer to an existing and active Party with the same System Entity of the Report Configuration.
DRDV005	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Restore button	Unknown Party Identifier	When performing a Report Configuration restore request, the Opting Party Technical Identifier specified in the Report Configuration Party Link section, must refer to an existing and active Party with the same System Entity of the Report Configuration.
DRDV006	<input type="checkbox"/> Event Type Code field <input type="checkbox"/> Restore button	Unknown Event Type Identifier	When performing a Report Configuration restore request, the Event Type Identifier to be restored must belong to an existing instance in CRDM and its Event Type Cat-

Reference for error message	Field or Button	Error Text	Description
			egory must be compliant with the Report Name.
DRDV007	<input type="checkbox"/> Report Name field <input type="checkbox"/> Restore button	Unknown Report Name	When performing a Report Configuration restore request, the Report Name specified must refer to an existing and active Attribute Domain Name of an Attribute Domain instance.
DRDV008	<input type="checkbox"/> Configuration Name field <input type="checkbox"/> Restore button	Configuration Name already assigned	When performing a Report Configuration restore request, the Configuration Name specified must be unique within the same System Entity.
DRDV009	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Invalid Cash Account	When performing a Report Configuration restore request, if the Cash Account is specified it must exist and be active in CRDM, it must have account type equal to MCA or RTGS DCA.
DRDV020	<input type="checkbox"/> Status field <input type="checkbox"/> Delete button	Invalid Report Configuration to be deleted	The request to delete a Report Configuration must refer to an existing and active Report Configuration in CRDM.
DRDV030	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Invalid Report Configuration to be restored	The request to restore a Report Configuration must refer to an

Reference for error message	Field or Button	Error Text	Description
			existing and deleted Report Configuration in CRDM.
DRDV050	<input type="checkbox"/> Restore button <input type="checkbox"/>	Invalid Report Configuration to be restored	When performing a Report Configuration restore request, all the Report Configuration Party Link must be closed or must have a future Valid From.
DRDV060	<input type="checkbox"/> Delete button <input type="checkbox"/>	Invalid Report Configuration to be deleted	When performing a Report Configuration delete request, all the Report Configuration Party Link must be closed or must have a future Valid From.
DRDV070	<input type="checkbox"/> Currency field <input type="checkbox"/> Restore button	Invalid currency	When performing a Report Configuration restore request, the specified Currency must refer to an existing Currency in CRDM.
DRDV100	<input type="checkbox"/> Restore button	Flat file configuration exists for the same CSD, report type and period.	When performing a Report Configuration restore request for a given CSD and report type, its validity period cannot overlap with the validity period of an already existing flat file report configuration defined for the same CSD and the same report type.

Reference for error message	Field or Button	Error Text	Description
DRDV110	<input type="checkbox"/> Delta Mode field <input type="checkbox"/> Restore button	Report not available in Delta mode	When performing a Report Configuration restore request, if the Delta flag is set to TRUE, the Report must be defined as available in Delta mode in the related Attribute Domain.
DRDV120	<input type="checkbox"/> Push Mode field <input type="checkbox"/> Restore button	U2A-only Party cannot receive Push reports	When performing a Report Configuration restore request, if the Push flag is set to TRUE, the Opting Party cannot be defined as U2A-only in the relevant Service.

{Split book approach}

~~6.5.2.81 Report Configuration - New/Edit Screen~~

△ [▶ 1080]

Reference for error message	Field or Button	Error Text	Description
DRCV001	<input type="checkbox"/> Submit button	Requestor not allowed	Report Configuration can be created only by Service Operator, CSD, NCB, CSD Participant, Payment Bank or Ancillary System. A user belonging to a CSD or NCB can only create Report Configuration for parties that fall under their re-

Reference for error message	Field or Button	Error Text	Description
			responsibility according to the Hierarchical Party Model. A user belonging to a CSD Participant, Ancillary System or Payment Bank can only create Report Configuration for his own party.
DRCV004	<input type="checkbox"/> Owner Parent BIC field <input type="checkbox"/> Owner Party BIC field <input type="checkbox"/> Submit button	Unknown Party Identifier	When performing a Report Configuration creation request, the Owner Party Technical Identifier specified must refer to an existing and active Party in CRDM under the requestor's responsibility.
DRCV005	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Submit button	Unknown Party Identifier	When performing a Report Configuration creation request, the Opting Party Technical Identifier specified in the Report Configuration Party Link section must refer to an existing and active Party belonging to the System Entity Code specified.
DRCV006	<input type="checkbox"/> Event Type Code field <input type="checkbox"/> Submit button	Unknown Event Type Identifier	When performing a Report Configuration creation request, the Event Type specified must belong to an existing instance in CRDM for the relevant

Reference for error message	Field or Button	Error Text	Description
			Service, and its Event Type Category must be compliant with the Report Name.
DRCV007	<input type="checkbox"/> Cash Account field <input type="checkbox"/> Submit button	Invalid Cash Account	When performing a Report Configuration creation request, the Cash Account can only be specified for T2_RTGS and T2_CLM reports. The specified Cash Account must exist and be active in CRDM as an MCA or RTGS DCA and be linked to the requestor Party as Account Owner, responsible Central Bank or Co-Manager.
DRCV008	<input type="checkbox"/> Configuration Name field <input type="checkbox"/> Submit button	Configuration Name already assigned	The Configuration Name specified in the Report Configuration creation request must be unique within the same System Entity.
DRCV009	<input type="checkbox"/> Report Name field <input type="checkbox"/> Submit button	Unknown Report Name	When performing a Report Configuration creation request, the Report Name specified must refer to an existing and active Attribute Value of the relevant Attribute Domain instance.

Reference for error message	Field or Button	Error Text	Description
DRCV020	<input type="checkbox"/> Submit button	"System Entity Code" invalid	When performing a Report Configuration create request, the System Entity Code must be equal to the System Entity Code of the requestor or, in case the requestor is a Service Operator user, to an existing System Entity with System Entity type equal to CSD or NCB.
DRCV030	<input type="checkbox"/> System Entity <input type="checkbox"/> Wide Reporting <input type="checkbox"/> Flag field <input type="checkbox"/> Submit button	"System Entity Wide Report" invalid	When performing a Report Configuration creation request, the System Entity Wide Report must be set to FALSE if the Owner Party Technical Identifier specified refers to a Party Type equal to CSD Participant, Payment Bank or Ancillary System.
DRCV040	<input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button	"Valid From" invalid	When performing a Report Configuration creation request, the Valid From specified in the Report Configuration Party Link section must be greater than the current business date.
DRCV050	<input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	"Valid To" invalid	When performing a Report Configuration creation request, the Valid To specified in

Reference for error message	Field or Button	Error Text	Description
			the Report Configuration Party Link section must be greater than or equal to the Valid From.
DRCV070	<input type="checkbox"/> Currency field <input type="checkbox"/> Submit button	Invalid currency	When performing a Report Configuration create request, the specified Currency must refer to an existing Currency in CRDM.
DRCV080	<input type="checkbox"/> Currency field <input type="checkbox"/> Report Name field <input type="checkbox"/> Event Type Code field <input type="checkbox"/> Submit button	Currency not relevant	When performing a Report Configuration create request, the Currency field can only be used in combination with a currency-dependent event and one of the currency-related report types listed in the T2S documentation.
DRCV100	<input type="checkbox"/> Submit button	Flat file configuration exists for the same CSD, report type and period.	When performing a Report Configuration creation request for a given CSD and report type, its validity period cannot overlap with the validity period of an already existing flat file report configuration defined for the same CSD and the same report type.

Reference for error message	Field or Button	Error Text	Description
DRCV110	<input type="checkbox"/> Report Name field <input type="checkbox"/> Submit button <input type="checkbox"/>	Invalid Party for TIPS reports.	When performing a Report Configuration creation request, if a TIPS Report is selected as Report Name, the Opting Party must be equal to the Owner Party. Furthermore the Party Type must be Payment Bank or Central Bank.
DRCV120	<input type="checkbox"/> Report Name field <input type="checkbox"/> Submit button <input type="checkbox"/>	Invalid fields for TIPS reports.	When performing a Report Configuration creation request, if a TIPS Report is selected as Report Name, the System Entity Wide flag must be set to FALSE and the Push flag to TRUE. Furthermore the Execution Time, Event Type and Currency fields must not be used.
DRCV125	<input type="checkbox"/> Report Name field <input type="checkbox"/> Submit button	Invalid fields for T2 reports.	When performing a Report Configuration creation request, if a T2_CLM or T2_RTGS Report is selected as Report Name, the System Entity Wide flag must be set to FALSE. Furthermore the Currency field must not be used.

Reference for error message	Field or Button	Error Text	Description
DRCV130	<input type="checkbox"/> Report Name field <input type="checkbox"/> Delta Mode field <input type="checkbox"/> Frequency field <input type="checkbox"/> Submit button	Frequency must be specified for TIPS reports in Delta mode.	When performing a Report Configuration creation request, if a TIPS Report is selected as Report Name and the Delta flag is set to TRUE, the report Frequency must be specified. If a non-TIPS Report is selected or if the Delta flag is set to FALSE, the report Frequency cannot be specified.
DRCV135	<input type="checkbox"/> System Entity Wide flags <input type="checkbox"/> Delta Mode field <input type="checkbox"/> Submit button	Invalid fields for RTGS Directory/ CLM Repository.	When performing a Report Configuration creation request for CLM Repository or RTGS Directory, Delta Mode and System Entity Wide flags must be set to False; Event Type, Execution Time and Currency cannot be specified.
DRCV136	<input type="checkbox"/> Opting Party BIC field <input type="checkbox"/> Submit button	CLM Repository can only be subscribed by CBs.	When performing a Report Configuration creation request for CLM Repository, the Opting Party must be a Central Bank.
DRCV140	<input type="checkbox"/> Delta Mode field <input type="checkbox"/> Submit button	Report not available in Delta mode	When performing a Report Configuration creation request, if the Delta flag is set to TRUE, the Report must be defined as

Reference for error message	Field or Button	Error Text	Description
			available in Delta mode in the related Attribute Domain.
DRCV150	<input type="checkbox"/> Push Mode field <input type="checkbox"/> Submit button	U2A-only Party cannot receive Push reports	When performing a Report Configuration creation request, if the Push flag is set to TRUE, the Opting Party cannot be defined as U2A-only in the relevant Service.
DRUV001	<input type="checkbox"/> Owner Parent BIC field <input type="checkbox"/> Owner Party BIC field <input type="checkbox"/> Submit button	Requestor not allowed	Report Configuration can be updated only by Service Operator, CSD, NCB, CSD Participant, Payment Bank or Ancillary System. A user belonging to a CSD or NCB can only update Report Configuration for parties that fall under their responsibility according to the Hierarchical Party Model. A user belonging to a CSD Participant, Payment Bank or Ancillary System can only update Report Configuration for his own party or his own cash account (as account owner or co-manager).
DRUV003	<input type="checkbox"/> Submit button	Data to be updated not found	When performing a Report Configuration update request, it

Reference for error message	Field or Button	Error Text	Description
			must refer to an existing and active instance of Report Configuration.
DRUV005	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Submit button	Unknown Opting Party Identifier	When performing a Report Configuration update request, the Opting Party Technical Identifier specified in the Report Configuration Party Link section must refer to an existing and active Party with the same System Entity of the Report Configuration.
DRUV006	<input type="checkbox"/> Event Type Code field <input type="checkbox"/> Submit button	Invalid Event Type	When performing a Report Configuration update request, the Event Type specified must belong to an existing instance in CRDM and its Event Type Category must be compliant with the Report Name.
DRUV010	<input type="checkbox"/> Submit button	Unknown Report Configuration Party Link	When performing a Report Configuration update request, in case of request to update a Report Configuration Party Link, it must refer to an existing and active minor entity.

Reference for error message	Field or Button	Error Text	Description
DRUV020	<input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	Unknown Report Configuration Party Link	When performing a Report Configuration update request, in case of request to delete a Report Configuration Party Link, it must refer to an existing and active minor entity with a future Valid From or already Closed.
DRUV030	<input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button	Invalid Valid From	When performing a Report Configuration update request, in case of request to create a Report Configuration Party Link, the Valid From must be greater than the current date.
DRUV040	<input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	Invalid Valid To	When performing a Report Configuration update request, in case of request to create/update a Report Configuration Party Link, the Valid To must be equal to or greater than the current date and equal to or greater than the relevant Valid From.
DRUV070	<input type="checkbox"/> Currency field <input type="checkbox"/> Submit button	Invalid currency	When performing a Report Configuration update request, the specified Currency

Reference for error message	Field or Button	Error Text	Description
			must refer to an existing Currency in CRDM.
DRUV080	<input type="checkbox"/> Currency field <input type="checkbox"/> Report Name field <input type="checkbox"/> Event Type Code field <input type="checkbox"/> Submit button	Currency not relevant	When performing a Report Configuration update request, the Currency field can only be used in combination with a currency-dependent event and one of the currency-related report types listed in the T2S documentation.
DRUV100	<input type="checkbox"/> Submit button	Flat file configuration exists for the same CSD, report type and period.	When performing a Report Configuration update request for a given CSD and report type, its validity period cannot overlap with the validity period of an already existing flat file report configuration defined for the same CSD and the same report type.
DRUV110	<input type="checkbox"/> Report Name field <input type="checkbox"/> Opting Parent BIC field <input type="checkbox"/> Opting Party BIC field <input type="checkbox"/> Submit button	Invalid Party for TIPS reports.	When performing a Report Configuration update request, if it refers to a TIPS Report, the Opting Party must be equal to the Owner Party. Furthermore the Party Type must be Payment Bank or a Central Bank.

Reference for error message	Field or Button	Error Text	Description
DRUV120	<input type="checkbox"/> Report Name field <input type="checkbox"/> Push Mode field <input type="checkbox"/> Submit button	Invalid fields for TIPS reports.	When performing a Report Configuration update request, if it refers to a TIPS Report, the Push Mode flag must be set to TRUE. Furthermore the Execution Time, Event Type and Currency fields must not be used.
DRUV130	<input type="checkbox"/> Frequency field <input type="checkbox"/> Submit button	Frequency can only be specified for TIPS reports in Delta mode.	When performing a Report Configuration update request, the Frequency field can only be specified for TIPS Reports that are available in Delta mode.
DRUV135	<input type="checkbox"/> System Entity Wide flags <input type="checkbox"/> Delta Mode field <input type="checkbox"/> Submit button <input type="checkbox"/>	Invalid fields for RTGS Directory/ CLM Repository.	When performing a Report Configuration update request for CLM Repository or RTGS Directory, Delta Mode and System Entity Wide flags must be set to False; Event Type, Execution Time and Currency cannot be specified.
DRUV136	<input type="checkbox"/> <input type="checkbox"/> Opting Party BIC field <input type="checkbox"/> <input type="checkbox"/> Submit button	CLM Repository can only be subscribed by CBs.	When performing a Report Configuration update request for CLM Repository, the Opting Party must be a Central Bank.

Reference for error message	Field or Button	Error Text	Description
DRUV140	<input type="checkbox"/> Push Mode field <input type="checkbox"/> Opting Party BIC field <input type="checkbox"/> Submit button	U2A-only Party cannot receive Push reports	When performing a Report Configuration update request, if the Push flag is set to TRUE, the Opting Party cannot be defined as U2A-only in the relevant Service.
DRUV150	<input type="checkbox"/> Report Name field <input type="checkbox"/> Currency field <input type="checkbox"/> Submit button	Invalid data for T2 reports.	When performing a Report Configuration update request, if a T2_CLM or T2_RTGS Report is defined as Report Name, the Currency field must not be used.

{Split book approach}

6.5.2.82 Report Configurations—Search/List Screen

△ [▶ 1069]

Reference for error message	Field or Button	Error Text	Description
DRDV001	<input type="checkbox"/> Delete button <input type="checkbox"/> Restore button	Requestor not allowed	Report Configuration can be deleted/restored only by Service Operator, CSD, NCB, CSD Participant, Payment Bank, or Ancillary System. A user belonging to a CSD or NCB can only delete/restore Report Configuration for parties that fall under their re-

Reference for error message	Field or Button	Error Text	Description
			responsibility according to the Hierarchical Party Model. A user belonging to a CSD Participant or, Payment Bank or Ancillary System can only delete/restore Report Configuration for his own party or cash account (as account owner or co-manager).
DRDV004	<input type="checkbox"/> Owner Parent BIC field <input type="checkbox"/> Owner Party BIC field <input type="checkbox"/> Restore button	Unknown Party Identifier	When performing a Report Configuration restore request, the Owner Party Technical Identifier to be restored must refer to an existing and active Party with the same System Entity of the Report Configuration.
DRDV005	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Restore button	Unknown Party Identifier	When performing a Report Configuration restore request, the Opting Party Technical Identifier specified in the Report Configuration Party Link section, must refer to an existing and active Party with the same System Entity of the Report Configuration.

Reference for error message	Field or Button	Error Text	Description
DRDV006	<input type="checkbox"/> Event Type Code field <input type="checkbox"/> Restore button	Unknown Event Type Identifier	When performing a Report Configuration restore request, the Event Type Identifier to be restored must belong to an existing instance in CRDM and its Event Type Category must be compliant with the Report Name.
DRDV007	<input type="checkbox"/> Report Name field <input type="checkbox"/> Restore button	Unknown Report Name	When performing a Report Configuration restore request, the Report Name specified must refer to an existing and active Attribute Domain Name of an Attribute Domain instance.
DRDV008	<input type="checkbox"/> Configuration Name field <input type="checkbox"/> Restore button	Configuration Name already assigned	When performing a Report Configuration restore request, the Configuration Name specified must be unique within the same System Entity.
DRDV009	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Invalid Cash Account	When performing a Report Configuration restore request, if the Cash Account is specified it must exist and be active in CRDM, it must have account type equal to MCA or RTGS DCA.

Reference for error message	Field or Button	Error Text	Description
DRDV020	<input type="checkbox"/> Status field <input type="checkbox"/> Delete button	Invalid Report Configuration to be deleted	The request to delete a Report Configuration must refer to an existing and active Report Configuration in CRDM.
DRDV030	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Invalid Report Configuration to be restored	The request to restore a Report Configuration must refer to an existing and deleted Report Configuration in CRDM.
DRDV050	<input type="checkbox"/> Restore button	Invalid Report Configuration to be restored	When performing a Report Configuration restore request, all the Report Configuration Party Link must be closed or must have a future Valid From.
DRDV060	<input type="checkbox"/> Delete button	Invalid Report Configuration to be deleted	When performing a Report Configuration delete request, all the Report Configuration Party Link must be closed or must have a future Valid From.
DRDV070	<input type="checkbox"/> Currency field <input type="checkbox"/> Restore button	Invalid currency	When performing a Report Configuration restore request, the specified Currency must refer to an existing Currency in CRDM.

Reference for error message	Field or Button	Error Text	Description
DRDV100	<input type="checkbox"/> Restore button	Flat file configuration exists for the same CSD, report type and period.	When performing a Report Configuration restore request for a given CSD and report type, its validity period cannot overlap with the validity period of an already existing flat file report configuration defined for the same CSD and the same report type.
DRDV110	<input type="checkbox"/> Delta Mode field <input type="checkbox"/> Restore button	Report not available in Delta mode	When performing a Report Configuration restore request, if the Delta flag is set to TRUE, the Report must be defined as available in Delta mode in the related Attribute Domain.
DRDV120	<input type="checkbox"/> Push Mode field <input type="checkbox"/> Restore button	U2A-only Party cannot receive Push reports	When performing a Report Configuration restore request, if the Push flag is set to TRUE, the Opting Party cannot be defined as U2A-only in the relevant Service.

{Split book approach}

6.5.2.83 Restricted Parties - Search/List Screen

△ [▶ 891]

Reference for error message	Field or Button	Error-Text	Description
QMPC015	<input type="checkbox"/> Restriction Date from field <input type="checkbox"/> Restriction Date to field <input type="checkbox"/> Search button	The Date From is after the Date To.	In case the Date is stated as a range, the Date From has to be before or equal to the Date To.

{Split book approach}

~~6.5.2.84 Restriction Type - New/Edit Screen~~

△ [▶ 1136]

Reference for error message	Field or Button	Error-Text	Description
DRCL001	<input type="checkbox"/> Submit button	Requestor not allowed	Restriction Type can be created only by T2S Operator, CSD or NCB. A CSD/CB user can create only Restriction Type for Object Restriction Type and Restriction Processing falling under his responsibility.
DRCL005	<input type="checkbox"/> Restriction Type field <input type="checkbox"/> Submit button	Restriction Type already assigned	When performing a Restriction Type create request, the specified Restriction Type must not be already assigned in T2S under the same Party or in the set of Restriction Types defined by the T2S Operator.
DRCL006	<input type="checkbox"/> Currency field <input type="checkbox"/> Submit button	Invalid Currency Code	When performing a Restriction Type create request, the spe-

Reference for error message	Field or Button	Error-Text	Description
			specified Currency Code must refer to an existing and active instance in T2S
DRCL007	<ul style="list-style-type: none"> <input type="checkbox"/> Valid from field <input type="checkbox"/> Processing Restriction Type field <input type="checkbox"/> Submit button 	'Valid From' invalid	When performing a Restriction Type create request, if the specified Restriction Processing Type is 'Rejection' or 'CSD Validation Hold', the specified Valid From must be greater than the date following the current. In all other cases the specified Valid From must be greater than the current date. The T2S Operator can skip this check in contingency situations.
DRCL008	<ul style="list-style-type: none"> <input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button 	'Valid To' invalid	When performing a Restriction Type create request, the specified Valid To must be greater than the Valid From.
DRCL100	<ul style="list-style-type: none"> <input type="checkbox"/> Object Restriction Type field <input type="checkbox"/> Processing Restriction Type field <input type="checkbox"/> Submit button 	Object Restriction Type and Restriction Processing Type do not match	When performing a Restriction Type create request, if Restriction Processing Type is set to 'Rejection', the Object Restriction Type can be only set to 'Securities Account', 'T2S Dedicated Cash Account',

Reference for error message	Field or Button	Error Text	Description
			'Settlement Instruction', 'Intra-Position Movement' or 'Intra-Balance Movement'.
DRCL101	<input type="checkbox"/> Object Restriction Type field <input type="checkbox"/> Processing Restriction Type field <input type="checkbox"/> Submit button	Object Restriction Type and Restriction Processing Type do not match	When performing a Restriction Type create request, if Restriction Processing Type is set to 'CSD validation hold', the Object Restriction Type can be only set to 'Settlement Instruction'.
DRCL102	<input type="checkbox"/> Object Restriction Type field <input type="checkbox"/> Processing Restriction Type field <input type="checkbox"/> Submit button	Object Restriction Type and Restriction Processing Type do not match	When performing a Restriction Type create request, if Restriction Processing Type is set to 'Blocking', the Object Restriction Type can be only set to 'Party' or 'Securities' or 'Securities Account' or 'T2S Dedicated Cash Account' or 'External RTGS Account' or 'Cash Balance' or 'Securities Position'.
DRCL103	<input type="checkbox"/> Object Restriction Type field <input type="checkbox"/> Processing Restriction Type field <input type="checkbox"/> Submit button	Object Restriction Type and Restriction Processing Type do not match	When performing a Restriction Type create request, if Restriction Processing Type is set to 'Reservation' or 'Deliverable' or 'CoSD Blocking', the Object Restriction

Reference for error message	Field or Button	Error Text	Description
			Type can be only set to 'Cash Balance' or 'Securities Position'.
DRCL104	<input type="checkbox"/> Object Restriction Type field <input type="checkbox"/> Processing Restriction Type field <input type="checkbox"/> Submit button	Object Restriction Type and Restriction Processing Type do not match	When performing a Restriction Type create request, if Restriction Processing Type is set to 'Earmarking', 'Collateralised' or 'Earmarking for Auto-collateralisation', the Object Restriction Type can be only set to 'Securities Position'.
DRCL106	<input type="checkbox"/> Processing Restriction Type field <input type="checkbox"/> Currency field <input type="checkbox"/> Submit button	Currency code and Restriction Processing Type do not match	When performing a Restriction Type create request, the Currency must be specified if and only if Restriction Processing Type is set to 'Earmarking for Auto-collateralisation'.
DRCL200	<input type="checkbox"/> Positive/Negative Parameter Set field <input type="checkbox"/> Submit button	Positive/negative Parameter must be set to Positive	When performing a Restriction Type create request, if Restriction Processing Type is set to 'Rejection', and the Object Restriction Type is set to 'Securities Account' or 'T2S Dedicated Cash Account', the Positive/negative Parameter must be set to Positive.

Reference for error message	Field or Button	Error-Text	Description
DRUL001	<input type="checkbox"/> Submit button	Requestor not allowed	Restriction Type can be created only by T2S Operator, CSD or NCB. A user belonging to a CSD or NCB can update only Restriction Type which their CSD/NCB is responsible for.
DRUL005	<input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button	Data to be updated not found	<p>When performing a Restriction Type update request, it must refer to an existing, active and open or future instance. If the Restriction Type is open, only the Valid To can be updated (The T2S Operator can skip this check in contingency situations).</p> <p>An 'open' Restriction Type is defined as follows:</p> <ul style="list-style-type: none"> <input type="checkbox"/> If Restriction Processing Type is 'Rejection' or 'CSD Validation Hold' the Valid from must be less than or equal to the business date following the current

Reference for error message	Field or Button	Error Text	Description
			<p><input type="checkbox"/> In all other cases, the Valid From must be less than or equal to the current date.</p>
DRUL007	<p><input type="checkbox"/> Valid from field</p> <p><input type="checkbox"/> Submit button</p>	'Valid From' invalid	<p>When performing a Restriction Type update request, the Valid From can only be updated if it is greater than the current date; the specified Valid From must be greater than the current date as well. For Restriction Types with Restriction Processing Type 'Rejection' or 'CSD Validation Hold' the Valid From must be greater than the date following the current. Furthermore the Valid From, if updated, must be smaller than or equal to the Valid From of all the Restriction Type Rules. The T2S Operator can skip this check in contingency situations.</p>
DRUL008	<p><input type="checkbox"/> Valid to field</p> <p><input type="checkbox"/> Submit button</p>	'Valid To' invalid	<p>When performing a Restriction Type update request, the specified Valid To must be greater than or equal to the current</p>

Reference for error message	Field or Button	Error Text	Description
			<p>date and greater than the existing Valid From or the one possibly specified in input. For Restriction Types with Restriction Processing Type 'Rejection' or 'CSD Validation Hold' the Valid To must be greater than the date following the current. Furthermore it must be greater than or equal to the Valid To of all the Restriction Type Rules defined. The T2S Operator can skip this check in contingency situations.</p>
DRUL040	<input type="checkbox"/> Submit button	<p>The deletion/close is not allowed due to a priority constraint</p>	<p>A Restriction Type cannot be closed if there still are valid instances of the following entity linked to it: Party Restriction, Securities Restriction, Securities Account Restriction, T2S Dedicated Cash Account Restriction, External RTGS Account Restriction. {Split book approach}</p>

6.5.2.85 Restriction Type - Details Screen

△ [▶ 1133]

Reference for error message	Field or Button	Error Text	Description
DRDX001	<input type="checkbox"/> Delete button <input type="checkbox"/> Restore button	Requestor not allowed	Restriction Type Rule can be deleted/restored only by T2S Operator, CSD or NCB. Users belonging to a CSD or NCB can only delete/restore instances they are responsible for.
DRDX002	<input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Delete button	Data to be deleted not found	When performing a Restriction Type Rule deletion request, it must refer to an existing and active instance in T2S with Valid From greater than the current date or past Valid To.
DRDX003	<input type="checkbox"/> Market-Specific Attribute Name field <input type="checkbox"/> Restore button	Unknown Market-Specific Attribute	When performing a Restriction Type Rule restore request, the Market-Specific Attribute to be restored must refer to an existing instance in T2S.
DRDX004	<input type="checkbox"/> Restriction Sequence Number field <input type="checkbox"/> Restore button	Rule Sequence already assigned	When performing a Restriction Type Rule Restore request, the Rule Sequence specified must not be already assigned in the relevant Restriction Type.

Reference for error message	Field or Button	Error Text	Description
DRDX006	<input type="checkbox"/> Restriction Type field <input type="checkbox"/> Restore button	Invalid Restriction Type	When performing a Restriction Type Rule restore request, the specified Restriction Type must refer to an existing instance in T2S.
DRDX009	<input type="checkbox"/> Restriction Type field <input type="checkbox"/> Restore button	Cannot modify open Restriction Type Rule	Restriction Type Rules cannot be restored if the Restriction Type Rule is open and its Valid To is not in the past). The T2S Operator can skip this check in contingency situations.
DRDX010	<input type="checkbox"/> Valid from field <input type="checkbox"/> Restore button	Invalid 'Valid From'	When performing a Restriction Type Rule restore request, the Valid From must be equal to or greater than the related Restriction Type Valid From.
DRDX011	<input type="checkbox"/> Valid to field <input type="checkbox"/> Restore button	Invalid 'Valid To'	When performing a Restriction Type Rule restore request, the Valid To must be equal to or less than the related Restriction Type Valid To.
DRDX044	<input type="checkbox"/> Restore button <input type="checkbox"/> n/a	Data to be restored not found	When performing a Restriction Type Rule restore request it must refer to an existing and deleted instance in T2S.

Reference for error message	Field or Button	Error-Text	Description
DRDX045	<ul style="list-style-type: none"> <input type="checkbox"/> Restore button <input type="checkbox"/> n/a 	Unknown-Rule Parameter-Type	When performing a Restriction-Type-Rule restore request, the Rule-Parameter-Type to be restored must refer, depending on the Parameter-Type, to an existing and active Attribute-Domain Name in Attribute-Domain-entity defined by the T2S-Operator or to an existing T2S-Static-Data-entity.
DRDX200	<ul style="list-style-type: none"> <input type="checkbox"/> Securities-Movement-Type field <input type="checkbox"/> Securities-Account-Number field <input type="checkbox"/> Country-of-issuance-Code field <input type="checkbox"/> Payment field <input type="checkbox"/> Transaction-Identification field <input type="checkbox"/> Securities-Account-Owner Party-Type field <input type="checkbox"/> Instructing-Party-Type field <input type="checkbox"/> Party-type field <input type="checkbox"/> ISIN field <input type="checkbox"/> Matching-Status field <input type="checkbox"/> Debited-Position/Balance field 	Invalid-Parameter-Value	When performing a Restriction-Type-Rule restore request, the Parameter-Value must be compliant with the values or rules defined in the relevant Attribute-Domain or T2S-Static-Data-entity.

Reference for error message	Field or Button	Error-Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Credited Position/Balance field <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Credit Debit Indicator field <input type="checkbox"/> T2S Dedicated Cash Account Type field <input type="checkbox"/> Settlement Currency field <input type="checkbox"/> Restore button 		
DRDX300	<ul style="list-style-type: none"> <input type="checkbox"/> Group field <input type="checkbox"/> Restore button 	Number of maximum active Restriction Type Rules exceeded	When performing a Restriction Type Rule restore request, the overall number of group of parameters for the relevant CSD must be compliant with the configuration limit defined in T2S.
DRDX310	<ul style="list-style-type: none"> <input type="checkbox"/> Securities Movement Type field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Country of issuance – Code field <input type="checkbox"/> Payment field <input type="checkbox"/> Transaction Identification field <input type="checkbox"/> Securities Account Owner Party Type field 	Number of maximum Parameter Values exceeded	When performing a Restriction Type Rule restore request, the overall number of distinct Parameter Value defined for the same Parameter Type for the relevant CSD must be compliant with the configuration limit defined in T2S.

Reference for error message	Field or Button	Error-Text	Description
	<input type="checkbox"/> Instructing Party Type field <input type="checkbox"/> Party Type field <input type="checkbox"/> ISIN field <input type="checkbox"/> Matching Status field <input type="checkbox"/> Debited Position/Balance field <input type="checkbox"/> Credited Position/Balance field <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Credit Debit Indicator field <input type="checkbox"/> T2S Dedicated Cash Account Type field <input type="checkbox"/> Settlement Currency field <input type="checkbox"/> Restore button		

{Split book approach}

6.5.2.86 Restriction Type Rule - New/Edit Screen

△ [▶ 1141]

Reference for error message	Field or Button	Error-Text	Description
DRCX001	<input type="checkbox"/> Submit button	Requestor not allowed	Restriction Type Rule can be created only by T2S Operator, CSD or NCB. CSD and NCB users can only create Restriction Type

Reference for error message	Field or Button	Error-Text	Description
			Rules linked to Restriction Types that fall under their responsibility.
DRCX002	<input type="checkbox"/> Market-Specific Attribute Name field <input type="checkbox"/> Submit button	Unknown Market-Specific Attribute	When performing a Restriction Type Rule Create request, the specified Market-Specific Attribute must refer to an existing and active instance in T2S.
DRCX004	<input type="checkbox"/> Restriction Sequence Number field <input type="checkbox"/> Submit button	Rule Sequence already assigned	When performing a Restriction Type Rule Create request, the Rule Sequence specified must not be already assigned in the relevant Restriction Type.
DRCX005	<input type="checkbox"/> Restriction Type field <input type="checkbox"/> Submit button	Invalid Restriction Type	When performing a Restriction Type Rule Create request, the specified Restriction Type must refer to an existing and valid instance in T2S.
DRCX006	<input type="checkbox"/> Submit button	Invalid Restriction Processing Type	When performing a Restriction Type Rule Create request, the Restriction Processing Type of the related Restriction Type must be 'Rejection', 'CSD Validation Hold' or 'Blocking'. In case the Restriction

Reference for error message	Field or Button	Error-Text	Description
			Processing Type is 'Rejection', the Object Restriction Type must be equal to 'Securities Account' or 'T2S Dedicated Cash Account'.
DRCX007	<ul style="list-style-type: none"> <input type="checkbox"/> Securities Movement Type field <input type="checkbox"/> Payment field <input type="checkbox"/> Transaction Identification field <input type="checkbox"/> Securities Account Owner Party Type field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> ISIN field <input type="checkbox"/> Matching Status field <input type="checkbox"/> Debited Position/Balance field <input type="checkbox"/> Credited Position/Balance field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Debit Credit Indicator field <input type="checkbox"/> T2S Dedicated Cash Account Type field 	Invalid Restriction Type Parameter Type	<p>When performing a Restriction Type Rule Create request with Object Restriction Type 'Party', 'Securities', 'Securities Account', 'T2S Dedicated Cash Account' or 'External RTGS Account', only the following Restriction Parameter Types are allowed:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Securities: only 'Securities Transaction Type' and 'Party' may be used. <input type="checkbox"/> Securities Account: only 'Securities Movement Type', 'Debited Position/Balance', 'Credited Position/Balance', 'Securities Transaction Type' and 'Party' may be used. <input type="checkbox"/> T2S Dedicated Cash Account: only 'Securities Transaction Type',

Reference for error message	Field or Button	Error-Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Settlement Currency field <input type="checkbox"/> Submit button 		<ul style="list-style-type: none"> <input type="checkbox"/> 'Credit Debit Indicator' and 'Party' may be used. <input type="checkbox"/> External RTGS Account: only 'Securities Transaction Type' and 'Credit Debit Indicator' may be used. <input type="checkbox"/> Party: only 'Securities Movement Type', 'Securities Transaction Type', 'Securities Account', 'Cash Account' and 'Settlement Currency' may be used.
DRCX008	<ul style="list-style-type: none"> <input type="checkbox"/> Securities Movement Type field <input type="checkbox"/> Payment field <input type="checkbox"/> Transaction Identification field <input type="checkbox"/> Securities Account Owner Party Type field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> ISIN field <input type="checkbox"/> Matching Status field <input type="checkbox"/> Debited Position/ Balance field 	Invalid Restriction Type Parameter Type	<p>When performing a Restriction Type Rule Create request with Object Restriction Type 'Settlement Instruction', 'Intra-Position Movement Instruction' or 'Intra-Balance Movement Instruction', the following Restriction Type Parameter Types are not allowed:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Settlement Instruction: cannot use Parameter Types 'T2S Dedicated Cash Account' and

Reference for error message	Field or Button	Error-Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Credited Position/Balance field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Debit Credit Indicator field <input type="checkbox"/> T2S Dedicated Cash Account Type field <input type="checkbox"/> Settlement Currency field <input type="checkbox"/> Submit button 		<ul style="list-style-type: none"> 'T2S Dedicated Cash Account Type' <input type="checkbox"/> Intra-Position Movement Instruction: cannot use Parameter Types 'Securities Movement Type', 'Payment', 'Transaction Identification', 'Matching Status', 'T2S Dedicated Cash Account', 'T2S Dedicated Cash Account Type', 'Credit Debit Indicator' and 'Settlement Currency'. <input type="checkbox"/> Intra-Balance Movement Instruction: cannot use Parameter Types 'Security', 'Securities Movement Type', 'Payment', 'Transaction Identification', 'Matching Status', 'Securities Account', 'Credit Debit Indicator' and 'Country of Issuance'.
<p>DRGX010</p>	<ul style="list-style-type: none"> <input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button 	<p>Invalid 'Valid From'</p>	<p>When performing a Restriction Type Rule create request, the specified Valid From must be greater than</p>

Reference for error message	Field or Button	Error-Text	Description
			the current date. It must furthermore be greater than or equal to the Valid From of the related Restriction Type. The T2S Operator can skip this check in contingency situations.
DRCX011	<input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	Invalid 'Valid To'	When performing a Restriction Type Rule create request, the Valid To, if specified, must be equal to or greater than the Valid From. It must furthermore be smaller than or equal to the Valid To of the related Restriction Type.
DRCX012	<input type="checkbox"/> Market-Specific Attribute-Name field <input type="checkbox"/> Submit button	Invalid Market-Specific Attribute Type	When performing a Restriction Type Rule creation request, if a Market-Specific Attribute is used as a Parameter and the Object Restriction Type of the related Restriction Type is 'Intra-balance Movement Instruction', then the Market Specific Attribute Type must be 'Party'.
DRCX013	<input type="checkbox"/> Market-Specific Attribute-Name field <input type="checkbox"/> Submit button	Market-Specific Attribute not applicable	When performing a Restriction Type Rule Create request, the Market-Specific Attribute, when specified,

Reference for error message	Field or Button	Error Text	Description
			must belong to the same System Entity as the Restriction Type.
DRCX045	<input type="checkbox"/> Submit button	Unknown Rule Parameters Type	When performing a Restriction Type Rule creation request, the Rule Parameters Type specified must refer, depending on the Parameter Type, to an existing Attribute Domain Name in Attribute Domain entity defined by the T2S Operator or to an existing T2S Static Data entity.
DRCX050	<input type="checkbox"/> Debit Credit Indicator field <input type="checkbox"/> Market-Specific Attribute Name field <input type="checkbox"/> Submit button	Credit/Debit indicator is not allowed for the specified Market-Specific Attribute Type	When performing a Restriction Type Rule Create request, if a Market-Specific Attribute is used as a Parameter, the Credit/Debit indicator cannot be used for Market-Specific Attributes related to Securities.
DRCX060	<input type="checkbox"/> Securities Movement Type field <input type="checkbox"/> Payment field <input type="checkbox"/> Transaction Identification field <input type="checkbox"/> Securities Account Owner Party Type field	Invalid Parameters/Market-Specific Attributes for the specified Group	When performing a Restriction Type Rule Create request, the same set of Parameter Types and/or Market-Specific Attributes must be used for Groups belonging to the same Rule.

Reference for error message	Field or Button	Error-Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> ISIN field <input type="checkbox"/> Matching Status field <input type="checkbox"/> Debited Position/Balance field <input type="checkbox"/> Credited Position/Balance field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Debit Credit Indicator field <input type="checkbox"/> T2S Dedicated Cash Account Type field <input type="checkbox"/> Settlement Currency field <input type="checkbox"/> Submit button 		
DRCX200	<ul style="list-style-type: none"> <input type="checkbox"/> Securities Movement Type field <input type="checkbox"/> Payment field <input type="checkbox"/> Transaction Identification field <input type="checkbox"/> Securities Account Owner Party Type field <input type="checkbox"/> Instructing Party BIC field 	Invalid Parameter Value	When performing a Restriction Type Rule create request, the Parameter Value must be compliant with the values or rules defined in the relevant Attribute Domain or T2S Static Data entity.

Reference for error message	Field or Button	Error-Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Party BIC field <input type="checkbox"/> ISIN field <input type="checkbox"/> Matching Status field <input type="checkbox"/> Debited Position/Balance field <input type="checkbox"/> Credited Position/Balance field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Debit Credit Indicator field <input type="checkbox"/> T2S Dedicated Cash Account Type field <input type="checkbox"/> Settlement Currency field <input type="checkbox"/> Market-Specific Attribute Name field <input type="checkbox"/> Submit button 		
DRCX300	<ul style="list-style-type: none"> <input type="checkbox"/> Group field <input type="checkbox"/> Submit button 	Number of maximum active Restriction Type Rules exceeded	When performing a Restriction Type Rule create request, the overall number of group of parameters for the relevant CSD must be compliant with the configuration limit defined in T2S.

Reference for error message	Field or Button	Error-Text	Description
DRCX310	<ul style="list-style-type: none"> <input type="checkbox"/> Securities Movement Type field <input type="checkbox"/> Payment field <input type="checkbox"/> Transaction Identification field <input type="checkbox"/> Securities Account Owner Party Type field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> ISIN field <input type="checkbox"/> Matching Status field <input type="checkbox"/> Debited Position/ Balance field <input type="checkbox"/> Credited Position/Balance field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Debit Credit Indicator field <input type="checkbox"/> T2S Dedicated Cash Account Type field <input type="checkbox"/> Settlement Currency field <input type="checkbox"/> Market-Specific Attribute Name field 	Number of maximum Parameter Values exceeded	When performing a Restriction Type Rule create request, the overall number of distinct Parameter Value defined for the same Parameter Type for the relevant CSD must be compliant with the configuration limit defined in T2S.

Reference for error message	Field or Button	Error Text	Description
	<input type="checkbox"/> Submit button		
DRCX320	<input type="checkbox"/> Market-Specific Attribute Name field <input type="checkbox"/> Submit button	Number of maximum Restriction Type Parameter Specific Attributes exceeded	When performing a Restriction Type Rule create request, in case of creation of Restriction Type Parameter with Market-Specific Attribute, the overall number of distinct Market-Specific Attributes for the relevant CSD must be compliant with the configuration limit defined in T2S.
DRCX330	<input type="checkbox"/> Market-Specific Attribute Name field <input type="checkbox"/> Submit button	Number of maximum Restriction Type Parameter Specific Attributes exceeded	When performing a Restriction Type Rule create request, in case of creation of Restriction Type Parameter with Market-Specific Attribute, the overall number of distinct Market-Specific Attributes used in a single Restriction Type Rule for the relevant CSD must be compliant with the configuration limit defined in T2S.
DRCX600	<input type="checkbox"/> Securities Movement Type field <input type="checkbox"/> Payment field <input type="checkbox"/> Transaction Identification field	Invalid Parameter Types for the Specified Parameter Group	When performing a Restriction Type Rule create request, the same set of parameters must be used into the different groups of the specified rule.

Reference for error message	Field or Button	Error-Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Securities Account Owner Party Type field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> ISIN field <input type="checkbox"/> Matching Status field <input type="checkbox"/> Debited Position/Balance field <input type="checkbox"/> Credited Position/Balance field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Debit Credit Indicator field <input type="checkbox"/> T2S Dedicated Cash Account Type field <input type="checkbox"/> Settlement Currency field <input type="checkbox"/> Submit button 		
DRUX001	<ul style="list-style-type: none"> <input type="checkbox"/> Submit button 	Requestor not allowed	Restriction Type Rule can be updated only by T2S Operator, CSD or NCB. Users belonging to CSDs or NCBs can only update data they are responsible for.

Reference for error message	Field or Button	Error Text	Description
DRUX002	<input type="checkbox"/> Submit button	Data to be updated not found	When performing a Restriction Type Rule update request, it must refer to an existing and active instance in T2S.
DRUX003	<input type="checkbox"/> Market-Specific Attribute Name field <input type="checkbox"/> Submit button	Unknown Market-Specific Attribute	When performing a Restriction Type Rule update request, the Market-Specific Attribute specified must refer to an existing and active instance in T2S.
DRUX004	<input type="checkbox"/> Valid from button <input type="checkbox"/> Submit button	Cannot modify open active Restriction Type Rule	When performing a Restriction Type Rule update request, if the Restriction Type Rule is open (i.e. the Valid From is less than or equal to than the current date), only the Valid To of the Rule can be updated. The T2S Operator can skip this check in contingency situations.
DRUX005	<input type="checkbox"/> Restriction Sequence Number field <input type="checkbox"/> Submit button	Rule Sequence already assigned	When performing a Restriction Type Rule Update request, the Rule Sequence specified must not be already assigned in the relevant Restriction Type.

Reference for error message	Field or Button	Error-Text	Description
DRUX008	<ul style="list-style-type: none"> <input type="checkbox"/> Securities Movement Type field <input type="checkbox"/> Payment field <input type="checkbox"/> Transaction Identification field <input type="checkbox"/> Securities Account Owner Party Type field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> ISIN field <input type="checkbox"/> Matching Status field <input type="checkbox"/> Debited Position/Balance field <input type="checkbox"/> Credited Position/Balance field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Debit Credit Indicator field <input type="checkbox"/> T2S Dedicated Cash Account Type field <input type="checkbox"/> Settlement Currency field <input type="checkbox"/> Submit button 	Invalid Restriction Type Parameter Type	<p>When performing a Restriction Type Rule update request on a Restriction Type with Object Restriction Type 'Party', 'Securities', 'Securities Account', 'T2S Dedicated Cash Account' or 'External RTGS Account', only the following Restriction Parameter Types are allowed:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Securities: only 'Securities Transaction Type' and 'Party' may be used. <input type="checkbox"/> Securities Account: only 'Securities Movement Type', 'Debited Position/Balance', 'Credited Position/Balance', 'Securities Transaction Type' and 'Party' may be used. <input type="checkbox"/> T2S Dedicated Cash Account: only 'Securities Transaction Type', 'Credit Debit Indicator' and 'Party' may be used.

Reference for error message	Field or Button	Error-Text	Description
			<ul style="list-style-type: none"> <input type="checkbox"/> External RTGS Account: only 'Securities Transaction Type' and 'Credit Debit Indicator' may be used. <input type="checkbox"/> Party: only 'Securities Movement Type', 'Securities Transaction Type', 'Securities Account', 'Cash Account' and 'Settlement Currency' may be used.
DRUX009	<ul style="list-style-type: none"> <input type="checkbox"/> Securities Movement Type field <input type="checkbox"/> Payment field <input type="checkbox"/> Transaction Identification field <input type="checkbox"/> Securities Account Owner Party Type field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> ISIN field <input type="checkbox"/> Matching Status field <input type="checkbox"/> Debited Position/Balance field <input type="checkbox"/> Credited Position/Balance field 	Invalid Restriction Type Parameter Type	<p>When performing a Restriction Type Rule update request on a Restriction Type with Object Restriction Type 'Settlement Instruction', 'Intra-Position Movement Instruction' or 'Intra-Balance Movement Instruction', the following Restriction Type Parameter Types are not allowed:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Settlement Instruction: cannot use Parameter Types 'T2S Dedicated Cash Account' and 'T2S Dedicated Cash Account Type'

Reference for error message	Field or Button	Error-Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Debit Credit Indicator field <input type="checkbox"/> T2S Dedicated Cash Account Type field <input type="checkbox"/> Settlement Currency field <input type="checkbox"/> Submit button 		<ul style="list-style-type: none"> <input type="checkbox"/> Intra-Position Movement Instruction: cannot use Parameter Types 'Securities Movement Type', 'Payment', 'Transaction Identification', 'Matching Status', 'T2S Dedicated Cash Account', 'T2S Dedicated Cash Account Type', 'Credit Debit Indicator' and 'Settlement Currency'. <input type="checkbox"/> Intra-Balance Movement Instruction: cannot use Parameter Types 'Security', 'Securities Movement Type', 'Payment', 'Transaction Identification', 'Matching Status', 'Securities Account', 'Credit Debit Indicator' and 'Country of Issuance'.
DRUX010	<ul style="list-style-type: none"> <input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button 	Invalid 'Valid From'	When performing a Restriction Type Rule update request, the Valid From, if specified, must be greater than or equal to the related Restriction Type valid from.

Reference for error message	Field or Button	Error Text	Description
DRUX011	<input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	Invalid 'Valid To'	When performing a Restriction Type Rule update request, the Valid To, if specified, must be less than or equal to the related Restriction Type valid to, and greater than or equal to the Restriction Type Rule Valid From.
DRUX012	<input type="checkbox"/> Restriction Type field <input type="checkbox"/> Market-Specific Attribute Name field <input type="checkbox"/> Submit button	Invalid Market-Specific Attribute Type	When performing a Restriction Type Rule creation request, if a Market-Specific Attribute is used as a Parameter and the Object Restriction Type of the related Restriction Type is 'Intra-balance Movement Instruction', then the Market Specific Attribute Type must be 'Party'.
DRUX013	<input type="checkbox"/> Market-Specific Attribute Name field <input type="checkbox"/> Submit button	Invalid Market-Specific Attribute	When performing a Restriction Type Rule Update request, the Market-Specific Attribute, when specified, must belong to the same System Entity as the Restriction Type.
DRUX044	<input type="checkbox"/> Submit button	Unknown Rule Parameter Type	When performing a Restriction Type Rule update request, the Rule Parameter Type specified must refer,

Reference for error message	Field or Button	Error-Text	Description
			depending on the Parameter Type, to an existing and active Attribute Domain Name in Attribute Domain defined by the T2S Operator or to an existing T2S Static Data entity.
DRUX050	<input type="checkbox"/> Debit Credit Indicator field <input type="checkbox"/> Market-Specific Attribute Name field <input type="checkbox"/> Submit button	Credit/Debit indicator is not allowed for the specified Market-Specific Attribute Type	When performing a Restriction Type Rule Update request, if a Market-Specific Attribute is used as a Parameter, the Credit/Debit indicator cannot be used for Market-Specific Attributes related to Securities.
DRUX200	<input type="checkbox"/> Securities Movement Type field <input type="checkbox"/> Payment field <input type="checkbox"/> Transaction Identification field <input type="checkbox"/> Securities Account Owner Party Type field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> ISIN field <input type="checkbox"/> Matching Status field <input type="checkbox"/> Debited Position/ Balance field	Invalid Parameter Value	When performing a Restriction Type Rule update request, in case of creation/update of Restriction Type Parameter, the Parameter Value must be compliant with the values or rules defined in the relevant Attribute Domain or T2S Static Data entity.

Reference for error message	Field or Button	Error-Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Credited Position/Balance field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Debit Credit Indicator field <input type="checkbox"/> T2S Dedicated Cash Account Type field <input type="checkbox"/> Settlement Currency field <input type="checkbox"/> Market-Specific Attribute Name field <input type="checkbox"/> Submit button 		
DRUX300	<ul style="list-style-type: none"> <input type="checkbox"/> Group field <input type="checkbox"/> Submit button 	Number of maximum active Restriction Type Rules exceeded	When performing a Restriction Type Rule update request, in case of creation of Restriction Type Parameter, the overall number of group of parameters for the relevant CSD must be compliant with the configuration limit defined in T2S.
DRUX310	<ul style="list-style-type: none"> <input type="checkbox"/> Securities Movement Type field <input type="checkbox"/> Payment field <input type="checkbox"/> Transaction Identification field 	Number of maximum Parameter Values exceeded	When performing a Restriction Type Rule update request, in case of creation/update of Restriction

Reference for error message	Field or Button	Error-Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Securities Account Owner Party Type field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> ISIN field <input type="checkbox"/> Matching Status field <input type="checkbox"/> Debited Position/Balance field <input type="checkbox"/> Credited Position/Balance field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Debit Credit Indicator field <input type="checkbox"/> T2S Dedicated Cash Account Type field <input type="checkbox"/> Settlement Currency field <input type="checkbox"/> Market-Specific Attribute Name field <input type="checkbox"/> Submit button 		<p>Type Parameter, the overall number of distinct Parameter Values defined for the same Parameter Type for the relevant CSD must be compliant with the configuration limit defined in T2S.</p>
DRUX320	<ul style="list-style-type: none"> <input type="checkbox"/> Market-Specific Attribute Name field <input type="checkbox"/> Submit button 	<p>Number of maximum Restriction Type Parameter Specific Attributes exceeded</p>	<p>When performing a Restriction Type Rule update request, in case of creation/update of Restriction</p>

Reference for error message	Field or Button	Error Text	Description
			Type Parameter with Market-Specific Attribute, the overall number of distinct Market-Specific Attributes for the relevant CSD must be compliant with the configuration limit defined in T2S.
DRUX330	<input type="checkbox"/> Market-Specific Attribute Name field <input type="checkbox"/> Submit button	Number of maximum Restriction Type Parameter Specific Attributes exceeded	When performing a Restriction Type Rule update request, in case of creation or update of Restriction Type Parameter with Market-Specific Attribute, the overall number of distinct Market-Specific Attributes used in a single Restriction Type Rule for the relevant CSD must be compliant with the configuration limit defined in T2S.
DRUX600	<input type="checkbox"/> Securities Movement Type field <input type="checkbox"/> Payment field <input type="checkbox"/> Transaction Identification field <input type="checkbox"/> Securities Account Owner Party Type field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Party BIC field	Invalid Parameter Types for the Specified Parameter Group	When performing a Restriction Type Rule update request, in case of creation/deletion of a parameter type it has to be ensured that the same set of parameters must be used into the different groups of the specified rule.

Reference for error message	Field or Button	Error-Text	Description
	<input type="checkbox"/> ISIN field		
	<input type="checkbox"/> Matching Status field		
	<input type="checkbox"/> Debited Position/Balance field		
	<input type="checkbox"/> Credited Position/Balance field		
	<input type="checkbox"/> Securities Account Number field		
	<input type="checkbox"/> T2S Dedicated Cash Account Number field		
	<input type="checkbox"/> Debit Credit Indicator field		
	<input type="checkbox"/> T2S Dedicated Cash Account Type field		
	<input type="checkbox"/> Settlement Currency field		
	<input type="checkbox"/> Market-Specific Attribute Name field		
	<input type="checkbox"/> Submit button		

{Split book approach}

~~6.5.2.87 Restriction Types - Search/List Screen~~

△ [▶ 1128]

~~No references for error messages.~~ {Split book approach}

~~6.5.2.88 Revisions/Audit Trail - Details Screen~~

△ [▶ 1183]

~~No references for error messages.~~ {Split book approach}

~~6.5.2.89 Revisions/Audit Trail - List Screen~~

△ [▶ 1182]

~~No references for error messages. (Split book approach)~~

~~6.5.2.90 Role - New/Edit Screen~~

△ [▶ 996]

Reference for error message	Field or Button	Error Text	Description
DRC6001	<input type="checkbox"/> Submit button	Requestor not allowed	A Role can be created only by Service Operator, CSD or NCB.
DRC6006	<input type="checkbox"/> Role Name field <input type="checkbox"/> Submit button	Role Name already assigned	When performing a Role creation request, the Role Name specified must not be already assigned within the same System Entity.
DRU6001	<input type="checkbox"/> Submit button	Requestor not allowed	A Role can be updated only by Service Operator, CSD or NCB. A User is authorised to update only data belonging to its own System Entity.
DRU6003	<input type="checkbox"/> Submit button	Data to be updated not found	When performing a Role update request it must refer to an existing and active instance of Role.
DRU6006	<input type="checkbox"/> Role Name field <input type="checkbox"/> Submit button	Role Name already assigned	When performing a Role update request, the Role Name, if specified, must not be already assigned within the same System Entity.

{Split book approach}**6.5.2.91 Roles - Search/List Screen**

△ [▶ 994]

Reference for error message	Field or Button	Error Text	Description
DRD6001	<input type="checkbox"/> Delete button <input type="checkbox"/> Restore button	Requestor not allowed	A Role can be deleted/restored only by Service Operator, CSD or NCB. A User is authorised to delete/restore only data belonging to its own System Entity.
DRD6002	<input type="checkbox"/> Role Name field <input type="checkbox"/> Restore button	Role Name already assigned	When performing a Role restore request, the Role Name must not be already assigned within the same System Entity.
DRD6003	<input type="checkbox"/> Status field <input type="checkbox"/> Delete button	Data to be deleted/restored not found	When performing a Role deletion request it must refer to an existing and active instance of Role.
DRD6044	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Data to be deleted/restored not found	When performing a Role restore request it must refer to an existing and deleted instance of Role.
DRD6050	<input type="checkbox"/> Delete button	The Role cannot be revoked due to a priority constraint.	A Role cannot be deleted if there still are valid instances of the following entities linked to it: Role Party, Role User.

{Split book approach}

6.5.2.92 Routing – New/Edit Screen

△ [▶ 1096]

Reference for error message	Field or Button	Error Text	Description
DRCW001	<input type="checkbox"/> Submit button	Requestor not allowed	Routing can be created by Service Operator, CSD, NCB, CSD Participant, Payment Bank and Ancillary System. Users can only create Routing entity linked to the Party they belong to. Service Operator User can create Routing entity linked to any Party.
DRCW002	<input type="checkbox"/> Network Service field <input type="checkbox"/> Submit button	Unknown Network Service Identifier	When performing a Routing Create request, the Network Service Identifier specified must refer to an existing and active instance in CRDM linked to the Party Technical Address specified in input.
DRCW003	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Submit button	Unknown Party Technical Identifier	When performing a Routing Create request, the Party Technical Identifier must refer to an existing and active instance in CRDM.
DRCW004	<input type="checkbox"/> Technical Address field <input type="checkbox"/> Submit button	Unknown Party Technical Address	When performing a Routing Create request, the Party Technical Address must refer to an existing

Reference for error message	Field or Button	Error Text	Description
			and active instance in CRDM belonging to the Party specified in input.
DRCW005	<ul style="list-style-type: none"> <input type="checkbox"/> Sequence field <input type="checkbox"/> Submit button 	Sequence already used	When performing a Routing Create request for T2S, the specified Sequence must not be already defined for the Party Technical Identifier specified in input.
DRCW006	<ul style="list-style-type: none"> <input type="checkbox"/> Default Routing field <input type="checkbox"/> Sequence field <input type="checkbox"/> Size (lower bound) field <input type="checkbox"/> Size (upper bound) field <input type="checkbox"/> Currency field <input type="checkbox"/> Message Type field <input type="checkbox"/> Positive field <input type="checkbox"/> Submit button 	Conditional Routing Group not allowed for Default Routing	When performing a Routing Create request, if the Default Routing is set to True, the Conditional Routing Group must not be specified in input.
DRCW007	<ul style="list-style-type: none"> <input type="checkbox"/> Default Routing field <input type="checkbox"/> Network Service field <input type="checkbox"/> Submit button 	Default Routing already defined for this Party and Service, or the Service is set to real time	When performing a Routing Create request, if the Default Routing is set to True, it has to be verified that no other Routing are defined as such for the Party specified in input and for the specified Network Service. Furthermore,

Reference for error message	Field or Button	Error Text	Description
			for T2S, the Network Service must be a store-n-forward one.
DRCW008	<ul style="list-style-type: none"> <input type="checkbox"/> Default Routing field <input type="checkbox"/> Sequence field <input type="checkbox"/> Size (lower bound) field <input type="checkbox"/> Size (upper bound) field <input type="checkbox"/> Currency field <input type="checkbox"/> Message Type field <input type="checkbox"/> Positive field <input type="checkbox"/> Submit button 	Conditional Routing Group is mandatory	When performing a Routing Create request, if the Default Routing is set to False, the Conditional Routing Group must be specified in input.
DRCW009	<ul style="list-style-type: none"> <input type="checkbox"/> Size (upper bound) field <input type="checkbox"/> Submit button 	Routing condition not allowed	When performing a Conditional Routing Create request, Size Upper Bound cannot be greater than 32Kb if related to a message-based network services.
DRCW010	<ul style="list-style-type: none"> <input type="checkbox"/> Message Type field <input type="checkbox"/> Submit button 	Routing condition not allowed	When performing a Routing Create request, settlement related messages cannot be sent on message-based network services during night time. Furthermore messages other than settlement related messages to be sent

Reference for error message	Field or Button	Error Text	Description
			on file-based network services during night time.
DRCW011	<input type="checkbox"/> Currency field <input type="checkbox"/> Submit button	Invalid Currency Code	When performing a Routing Create request, the Currency Code must refer to an existing and active Currency in CRDM.
DRCW012	<input type="checkbox"/> Message Type field <input type="checkbox"/> Submit button	Invalid Message Type	When performing a Routing Create request, the Message Type must refer to an existing and active Message Type configured in CRDM for the relevant Service.
DRCW013	<input type="checkbox"/> Size (lower bound) field <input type="checkbox"/> Size (upper bound) field <input type="checkbox"/> Submit button	Invalid Lower/Upper Bound	When performing a Conditional Routing Create request, Size Upper Bound must be greater than Size Lower Bound. Furthermore their value cannot be greater than 32Mb
DRCW014	<input type="checkbox"/> Network Service field <input type="checkbox"/> Compression Flag field <input type="checkbox"/> Sequence field <input type="checkbox"/> Size (lower bound) field <input type="checkbox"/> Size (upper bound) field <input type="checkbox"/> Currency field	Invalid Routing Condition for selected Service	When performing a Routing Create request, if the specified Network Service is linked to T2_CLM, T2_RTGS or TIPS it is not possible to use the Compression Flag or any of the Condi-

Reference for error message	Field or Button	Error Text	Description
	<input type="checkbox"/> Positive field <input type="checkbox"/> Submit button		tional Routing fields other than Message Type.
DRUW001	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Submit button	Requestor not allowed	Routing can be updated by Service Operator, CSD, NCB, CSD Participant, Payment Bank and Ancillary System. Users can only update Routing entity linked to Party they belong to. Service Operator User can update Routing entity linked to any Party.
DRUW003	<input type="checkbox"/> Submit button	Data to be updated not found	When performing a Routing update request, it must refer to an existing and active instance in CRDM.
DRUW004	<input type="checkbox"/> Network Service field <input type="checkbox"/> Submit button	Unknown Network Service Identifier	When performing a Routing update request, the Network Service Identifier specified must refer to an existing and active instance in CRDM linked to the Party of the Routing entity.
DRUW006	<input type="checkbox"/> Technical Address field <input type="checkbox"/> Submit button	Unknown Party Technical Address	When performing a Routing update request, the Party Technical Address specified must exist in

Reference for error message	Field or Button	Error Text	Description
			CRDM belonging to the same Party of the existing Routing.
DRUW007	<ul style="list-style-type: none"> <input type="checkbox"/> Network Service field <input type="checkbox"/> Default Routing field <input type="checkbox"/> Message Type field <input type="checkbox"/> Submit button 	Invalid combination of values; Default Routing already defined for this Party and Service, or the Service is set to real time	When performing a Routing update request, if it refers to a Routing with the Default Routing set to True, the Conditional Routing Group must not be specified in input. Furthermore, for T2S in case a Network Service is specified in input, it must be a store-n-forward one and no other default routing is defined for this store-n-forward service.
DRUW008	<ul style="list-style-type: none"> <input type="checkbox"/> Sequence field <input type="checkbox"/> Submit button 	Sequence already used	When performing a Routing update request for T2S, the specified Sequence must not be already assigned for the same Party.
DRUW009	<ul style="list-style-type: none"> <input type="checkbox"/> Size (upper bound) field <input type="checkbox"/> Submit button 	Routing condition not allowed	When performing a Conditional Routing update request, Size Upper Bound cannot be greater than 32Kb if related to a message-based network services.

Reference for error message	Field or Button	Error Text	Description
DRUW010	<input type="checkbox"/> Message Type field <input type="checkbox"/> Submit button	Routing condition not allowed	When performing a Routing update request, settlement related messages cannot be sent on message-based network services during night time. Furthermore messages other than settlement related messages to be sent on file-based network services during night time.
DRUW011	<input type="checkbox"/> Currency field <input type="checkbox"/> Submit button	Invalid Currency Code	When performing a Routing update request, the Currency Code must refer to an existing and active Currency in CRDM.
DRUW012	<input type="checkbox"/> Message Type field <input type="checkbox"/> Submit button	Invalid Message Type	When performing a Routing update request, the Message Type must refer to an existing and active Message Type configured in CRDM for the relevant Service.
DRUW013	<input type="checkbox"/> Size (lower bound) field <input type="checkbox"/> Size (upper bound) field <input type="checkbox"/> Submit button	Invalid Lower/Upper Bound	When performing a Conditional Routing update request, Size Upper Bound must be greater than Size Lower Bound. Furthermore their value cannot be both greater than 32Mb

Reference for error message	Field or Button	Error Text	Description
DRUW014	<ul style="list-style-type: none"> <input type="checkbox"/> Size (lower bound) field <input type="checkbox"/> Size (upper bound) field <input type="checkbox"/> Currency field <input type="checkbox"/> Message Type field <input type="checkbox"/> Submit button 	At least one of Size Lower Bound, Size Upper Bound, Currency Code and Message Type must be used in a given Conditional Routing instance.	When performing a Conditional Routing update request, at least one of Size Lower Bound, Size Upper Bound, Currency Code and Message Type must be used in a given instance.
DRUW015	<ul style="list-style-type: none"> <input type="checkbox"/> Network Service field <input type="checkbox"/> Submit button 	Cannot change Service	When performing a Routing Update request, if a Network Service is specified in input it must refer to the same Service as the existing instance.
DRUW016	<ul style="list-style-type: none"> <input type="checkbox"/> Network Service field <input type="checkbox"/> Compression Flag field <input type="checkbox"/> Sequence field <input type="checkbox"/> Size (lower bound) field <input type="checkbox"/> Size (upper bound) field <input type="checkbox"/> Currency field <input type="checkbox"/> Positive field <input type="checkbox"/> Submit button 	Invalid Routing Condition for selected Service	When performing a Routing Update request, if the Network Service is linked to T2_CLM, T2_RTGS or TIPS it is not possible to use the Compression Flag or any of the Conditional Routing fields other than Message Type.

{Split book approach}

6.5.2.93 Routings - Search/List Screen

△ [▶ 1093]

Reference for error message	Field or Button	Error Text	Description
DRDW001	<input type="checkbox"/> Delete button <input type="checkbox"/> Restore button	Requestor not allowed	Routing can be deleted/restored by Service Operator, CSD, NCB, CSD Participant, Payment Bank and Ancillary System. Users can only delete/restore Routing entity linked to Party they belong to. Service Operator User can delete/restore Routing entity linked to any Party.
DRDW002	<input type="checkbox"/> Status field <input type="checkbox"/> Delete button	Data to be updated not found	When performing a Routing deletion request, it must refer to an existing and active instance in CRDM.
DRDW003	<input type="checkbox"/> Network Service field <input type="checkbox"/> Restore button	Unknown Network Service Identifier	When performing a Routing restore request, the Network Service Identifier to be restored must refer to an existing instance in CRDM linked to the Party of the Routing entity.
DRDW004	<input type="checkbox"/> Technical Address field <input type="checkbox"/> Restore button	Unknown Technical Address	When performing a Routing restore request, the Party Technical Address to be restored must refer to an existing instance in CRDM belonging to the same Party of the Routing entity.

Reference for error message	Field or Button	Error Text	Description
DRDW005	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Restore button	Unknown Party Technical Identifier	When performing a Routing restore request, the Party Technical Identifier to be restored must refer to an existing instance in CRDM.
DRDW006	<input type="checkbox"/> Sequence field <input type="checkbox"/> Restore button	Sequence already used	When performing a Routing restore request for T2S, the Sequence must not be already defined for the Party Technical Identifier specified in input.
DRDW007	<input type="checkbox"/> Default Routing field <input type="checkbox"/> Delete button	Default Routing cannot be deleted	When performing a Routing delete request for T2S, it is not allowed to delete a Routing instance defined as Default Routing
DRDW044	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Data to be updated not found	When performing a Routing restore request it must refer to an existing and deleted instance in CRDM.

{Split book approach}

~~6.5.2.94 Secured Group - Details Screen~~

△ [▶ 1033]

Reference for error message	Field or Button	Error Text	Description
DRDT001	<input type="checkbox"/> Delete button <input type="checkbox"/> Restore button	Requestor not allowed	A Secured Group can be deleted/restored only by T2S Operator, CSD or NCB. A User is authorised to delete/restore only data belonging to its own System Entity.
DRDT002	<input type="checkbox"/> Status field <input type="checkbox"/> Delete button	Data to be deleted/restored not found	When performing a secured group delete request, it must refer to an existing and active instance.
DRDT003	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> BIC field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Restore button	Unknown Secured Object Identifier	When performing a secured group restore request, the secured object identifier specified in the secured element group section must refer to an existing and active instance in T2S.
DRDT005	<input type="checkbox"/> Restore button	Requestor not allowed to access specified Secured Object	When performing a secured group restore request, the specified secured object identifiers must belong to the data scope of the requestor.
DRDT040	<input type="checkbox"/> Delete button <input type="checkbox"/> Restore button	The deletion is not allowed due to a deletion priority constraint	A secured group cannot be deleted if there still are valid instances of grantee object privilege linked to it.

Reference for error message	Field or Button	Error-Text	Description
DRDT044	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Data to be deleted/restored not found	When performing a secured group restore request, it must refer to an existing and deleted instance.
DRDT050	<input type="checkbox"/> Delete button	Deletion not allowed due to existing object privilege assignment	When performing a Secured Group deletion request, the Secured Group cannot be deleted if it is referenced in an object privilege assignment.

{Split book approach}

~~6.5.2.95 Secured Group - New/Edit Screen~~

△ [▶ 1036]

Reference for error message	Field or Button	Error-Text	Description
DRCT001	<input type="checkbox"/> Submit button	Requestor not allowed	A secured group can be created only by T2S operator, CSD or NCB.
DRCT002	<input type="checkbox"/> Secured Group Type field <input type="checkbox"/> Parent BIC field <input type="checkbox"/> BIC field <input type="checkbox"/> ISIN field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> T2S Dedicated Cash Accounts Number field <input type="checkbox"/> Submit button	Unknown Secured Object	When performing a secured group create request, the specified secured object identifier must refer to an existing and active instance in T2S, and it must be of an object type consistent with the specified secured group type.

Reference for error message	Field or Button	Error Text	Description
DRCT003	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> BIC field <input type="checkbox"/> ISIN field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> T2S Dedicated Cash Accounts Number field	Requestor not allowed to access specified Secured Object	When performing a secured group create request, the specified secured object Identifier must belong to the data scope of the requestor.
DRUT001	<input type="checkbox"/> Submit button	Requestor not allowed	A Secured Group can be updated only by T2S Operator, CSD or NCB. A User is authorised to update only data belonging to its own System Entity.
DRUT002	<input type="checkbox"/> Secured Group Type field <input type="checkbox"/> Parent BIC field <input type="checkbox"/> BIC field <input type="checkbox"/> ISIN field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> T2S Dedicated Cash Accounts Number field <input type="checkbox"/> Submit button	Invalid Secured Object Type	When performing a secured group update request, the type of the specified secured object must be consistent with the secured group type.
DRUT003	<input type="checkbox"/> Submit button	Data to be updated not found	When performing a secured group update request, it must refer to an existing and active instance.

Reference for error message	Field or Button	Error-Text	Description
DRUT007	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> BIC field <input type="checkbox"/> ISIN field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> T2S Dedicated Cash Accounts Number field	Unknown Secured Object Identifier	When performing a secured group update request, the secured object Identifier specified in the secured element group must refer to an existing and active instance in T2S.
DRUT008	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> BIC field <input type="checkbox"/> ISIN field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> T2S Dedicated Cash Accounts Number field	Requestor not allowed to access specified Secured Object	When performing a secured group update request, the specified secured object Identifier must belong to the data scope of the requestor.

{Split book approach}

~~6.5.2.96 Secured Groups - Search/List Screen~~

△ [▶ 1030]

Reference for error message	Field or Button	Error-Text	Description
DRDT001	<input type="checkbox"/> Delete button <input type="checkbox"/> Restore button	Requestor not allowed	A Secured Group can be deleted/restored only by T2S Operator, CSD or NCB. A User is authorized to delete/restore only data belonging to its own System Entity.

Reference for error message	Field or Button	Error-Text	Description
DRDT002	<input type="checkbox"/> Status field <input type="checkbox"/> Delete button	Data to be deleted/restored not found	When performing a secured group delete request, it must refer to an existing and active instance.
DRDT003	<input type="checkbox"/> Restore button <input type="checkbox"/> BIC field <input type="checkbox"/> ISIN field <input type="checkbox"/> Parent BIC field <input type="checkbox"/> Securities account number field	Unknown Secured Object Identifier	When performing a secured group restore request, the secured object identifier specified in the secured element group section must refer to an existing and active instance in T2S.
DRDT005	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button <input type="checkbox"/> BIC field <input type="checkbox"/> ISIN field <input type="checkbox"/> Parent BIC field <input type="checkbox"/> Securities account number field	Requestor not allowed to access specified Secured Object	When performing a secured group restore request, the specified secured object Identifiers must belong to the data scope of the requestor.
DRDT040	<input type="checkbox"/> Restore button <input type="checkbox"/> Delete button	The deletion is not allowed due to a deletion priority constraint	A secured group cannot be deleted if there still are valid instances of grantee object privilege linked to it.
DRDT044	<input type="checkbox"/> Status field	Data to be deleted/restored not found	When performing a secured group restore request, it must refer to an existing and deleted instance.

Reference for error message	Field or Button	Error-Text	Description
DRDT050	<input type="checkbox"/> Delete button	Deletion not allowed due to existing object privilege assignment	When performing a Secured Group deletion request, the Secured Group cannot be deleted if it is referenced in an object privilege assignment.

{Split book approach}

~~6.5.2.97 Securities Account - Details Screen~~

△ [▶ 811]

Reference for error message	Field or Button	Error-Text	Description
DAD1001	<input type="checkbox"/> Restore button <input type="checkbox"/> Delete button <input type="checkbox"/> Party BIC field <input type="checkbox"/> Parent BIC field	Requestor not allowed	A Securities Account can be deleted/restored only by T2S Operator or CSD. A user belonging to a CSD can only delete/restore Securities Account linked to his relevant CSD or to a Party that fall under his responsibility according to the Hierarchical Party Model. Exceptions to the above rule are represented by any user that is granted the appropriate privilege(s) on the account to be maintained or on the relevant Party holding the account.

Reference for error message	Field or Button	Error-Text	Description
DAD1020	<input type="checkbox"/> Status field <input type="checkbox"/> Delete button <input type="checkbox"/> Restriction Type field	Invalid Securities Account to be deleted or restored	The request to delete a Securities Account must refer to an existing and active Securities Account in T2S.
DAD1021	<input type="checkbox"/> Opening Date field <input type="checkbox"/> Closing Date field <input type="checkbox"/> Delete button	Invalid opening date	When performing a Securities Account delete request, the Opening Date of the account to be deleted must be greater than the current date or the Closing Date must be less than the current date.
DAD1024	<input type="checkbox"/> Restore button <input type="checkbox"/> Restriction Type field	Invalid restriction type	When performing a Securities Account restore request, the Restriction Type specified must exist in T2S and its Object Restriction Type must be Securities Account or Securities Position. In the first case the Restriction Processing Type must be Blocking; in the latter case the it must be Earmarking or Earmarking for Auto-collateralisation.
DAD1030	<input type="checkbox"/> Delete button	Operation not allowed due to an active and linked instance.	A Securities Account cannot be deleted if there are still active CSD Account Links or

Reference for error message	Field or Button	Error-Text	Description
			Credit Memorandum Balance linked to it in T2S.
DAD1033	<input type="checkbox"/> Securities Account Type field <input type="checkbox"/> Restore button	CSD is not a Direct Holding CSD.	When performing a Security Account restore request, if the Account Type is T2S technical offset account, the relevant System Entity must be a Direct Holding CSD.
DAD1050	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Invalid Securities Account to be deleted or restored	The request to restore a Securities Account must refer to an existing and deleted Securities Account.
DAD1051	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Restore button	Unknown party	When performing a securities account restore request, the party securities account relationship must refer to an existing, active and open instance in T2S with party type equal to CSD, CSD participant or external CSD.
DAD1052	<input type="checkbox"/> Opening Date field <input type="checkbox"/> Closing Date field <input type="checkbox"/> Restore button	Invalid closing date	When performing a Securities Account restore request, the Closing Date must be less than the current date or the Opening Date must be greater than the current date.

Reference for error message	Field or Button	Error-Text	Description
DAD1208	<input type="checkbox"/> Restore button <input type="checkbox"/> Restriction-Valid from field <input type="checkbox"/> Restriction-Valid to field	Invalid restriction type	When performing a Securities Account restore request, the Securities Account Restriction specified must be closed or its validity dates must be consistent with the validity dates of the relevant Restriction Type.
DAD1252	<input type="checkbox"/> Attribute Name field <input type="checkbox"/> Attribute Value field <input type="checkbox"/> Restore button	Invalid Market-Specific Attribute	When performing a Securities Account restore request the Market-Specific Securities Account Attribute Value must refer to an existing Market-Specific Attribute whose type is Securities Account and it must belong to the system entity related to the account.
DAD1253	<input type="checkbox"/> Attribute Name field <input type="checkbox"/> Attribute Value field <input type="checkbox"/> Restore button	Missing mandatory 'Market-Specific Attribute'	When performing a Securities Account restore request all the Market-specific attributes defined as Mandatory in T2S for the relevant CSD, must be present.
DAD1254	<input type="checkbox"/> Attribute Name field <input type="checkbox"/> Attribute Value field <input type="checkbox"/> Restore button	The Market-Specific Securities Account Attribute Value must be unique	When performing a Securities Account restore request, the Market-Specific Securities Account Attribute Value must be

Reference for error message	Field or Button	Error-Text	Description
			unique within its System-Entity in case it is defined as such in T2S.
DAD1256	<input type="checkbox"/> Attribute-Name field <input type="checkbox"/> Attribute-Value field <input type="checkbox"/> Restore-button	Invalid Market-Specific Attribute	When performing a Securities Account restore request the Market-Specific Securities Account Attribute Value must be compliant with the values or rules defined in the relevant Attribute Domain.
DAD1300	<input type="checkbox"/> Delete-button	Deletion not allowed due to existing object privilege or secured group assignment.	When performing a Securities Account deletion request, the Securities Account cannot be deleted if it is referenced in an object privilege assignment or secured group.

{Split book approach}

~~6.5.2.98 Securities Account - New/Edit Screen~~

△ [▶ 815]

Reference for error message	Field or Button	Error-Text	Description
DAC1001	<input type="checkbox"/> Submit-button <input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field	Requestor not allowed	When performing a Security Account create request the Party Type of the Requestor must be 'CSD' or T2S Operator. A user be-

Reference for error message	Field or Button	Error-Text	Description
			longing to a CSD can only create Securities Account linked to his relevant CSD or to a Party that fall under his responsibility according to the Hierarchical Party Model. Exceptions to the above rule are represented by any user that is granted the appropriate privilege(s) on the specific Party to be linked to the account.
DAC1023	<input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Submit button	Security Account Number already assigned.	When performing a Security Account create request, the Account Number must be unique in T2S.
DAC1024	<input type="checkbox"/> Restriction Type field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Submit button	Invalid Restriction Type	When performing a Security Account create request, in case of creation of Securities Account Restriction, it must refer to an existing Restriction Type entity whose Object Restriction Type is Security Account or Securities Position. In the first case the Restriction Processing Type must be Blocking or Rejection; in the latter case the it

Reference for error message	Field or Button	Error Text	Description
			must be Earmarking or Earmarking for Auto-collateralisation.
DAC1025	<ul style="list-style-type: none"> <input type="checkbox"/> Restriction Type field <input type="checkbox"/> Restriction Valid to field <input type="checkbox"/> Restriction Valid from field <input type="checkbox"/> Submit button 	Securities Account Restriction overlaps with existing instance	When performing a Securities Account Create request, in case of request for creation of Securities Account Restriction having Object Restriction Type equal to Securities Account, the created restriction type must not overlap with any other Securities Account Restriction in input having the same Restriction Type. In case of request for creation of Securities Account Restriction having Object Restriction Type equal to Securities Position, the created restriction type must not overlap with any other Securities Account Restriction in input having the same Object Restriction Type.
DAC1030	<ul style="list-style-type: none"> <input type="checkbox"/> Party BIC field <input type="checkbox"/> Parent BIC field <input type="checkbox"/> Submit button 	Unknown party	When performing a Security Account create request, the Party it is linked to, must refer to an existing and active instance in

Reference for error message	Field or Button	Error Text	Description
			T2S with Party Type equal to CSD, CSD Participant or External CSD.
DAC1031	<input type="checkbox"/> Securities Account Type field <input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Submit button	Party must be CSD	When performing a Security Account create request, the Party it is linked to must be equal to CSD, if the account type is 'mirror account' or 'inter-csd account' or 'T2S technical offset account'.
DAC1032	<input type="checkbox"/> Securities Account Type field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Parent BIC field <input type="checkbox"/> Submit button	Party must be CSD Participant or External CSD.	When performing a Security Account create request, the Party it is linked to must be equal to CSD Participant or External CSD, if the account type is 'omnibus account' or 'CSD participant account'.
DAC1033	<input type="checkbox"/> Securities Account Type field <input type="checkbox"/> Submit button	CSD is not a Direct Holding CSD.	When performing a Security Account create request, if the Account Type is T2S technical offset account, the relevant System Entity must be a Direct Holding CSD.
DAC1034	<input type="checkbox"/> Securities Account Type field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Parent BIC field	Party must be CSD or CSD Participant.	When performing a Security Account create request, the Party it is linked to must be

Reference for error message	Field or Button	Error-Text	Description
	<input type="checkbox"/> Submit button		equal to CSD or CSD Participant if the account type is 'issuance account'.
DAC1205	<input type="checkbox"/> Opening Date field <input type="checkbox"/> Submit button	'Opening Date' invalid	When performing a Security Account create request, the Opening Date must be equal to or greater than the T2S Business date, equal to or greater than the Opening Date of the relevant Party and equal to or less than the Closing Date of the relevant Party.
DAC1206	<input type="checkbox"/> Submit button	'Valid To' or 'Valid From' invalid	When performing a Security Account create request, the Valid From specified in the Party Securities Account Relationship section must be equal to the T2S Business date.
DAC1207	<input type="checkbox"/> Closing Date field <input type="checkbox"/> Submit button	'Valid To' or 'Valid From' invalid	When performing a Security Account create request, the Closing Date must be equal or greater than the Opening Date.
DAC1208	<input type="checkbox"/> Opening Date field <input type="checkbox"/> Closing Date field	'Valid To' or 'Valid From' invalid	When performing a Securities Account create request, the Valid From specified in a Securities Ac-

Reference for error message	Field or Button	Error-Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Restriction Valid from field <input type="checkbox"/> Submit button 		count Restriction create request must be equal to or greater than the current timestamp ⁹⁷ , equal to or greater than the Valid From of the relevant Restriction Type and equal to or less than the Valid To of the relevant Restriction Type.
DAC1209	<ul style="list-style-type: none"> <input type="checkbox"/> Closing Date field <input type="checkbox"/> Restriction Valid from field <input type="checkbox"/> Restriction Valid to field <input type="checkbox"/> Submit button 		When performing a Securities Account create request, the Valid To specified in the Securities Account Restriction must be greater than the relevant Valid From, equal to or greater than the Valid From of the relevant Restriction Type and equal to or less than the Valid To of the relevant Restriction Type.
DAC1210	<ul style="list-style-type: none"> <input type="checkbox"/> Submit button <input type="checkbox"/> Closing Date field <input type="checkbox"/> Party Securities Account Relationship Valid From field 	'Valid To' or 'Valid From' invalid	When performing a Securities Account create request, the Valid To specified in the Party Securities Account Relationship must be greater than

97 The current timestamp is determined by the internal T2S clock, so you should take into account the time lag between the submission of the request and its execution in T2S when setting the restriction. (Split book approach)

Reference for error message	Field or Button	Error-Text	Description
			the Valid From and equal to or greater than the T2S Business date and equal to the Closing date of the Securities Account.
DAC1252	<input type="checkbox"/> Attribute Name field <input type="checkbox"/> Attribute Value field <input type="checkbox"/> Submit button	Missing mandatory 'CSD-specific attribute name'	When performing a Security Account create request, the Market-Specific Attribute Name and the Market-Specific Attribute Value must be present if defined as mandatory in T2S
DAC1253	<input type="checkbox"/> Attribute Value field <input type="checkbox"/> Submit button	The value for the CSD-Specific attribute must be unique	When performing a Security Account create request, in case of request of creation of Market-Specific Securities Account Attribute Value, it must be unique within its System-Entity in case it is defined as such in T2S.
DAC1255	<input type="checkbox"/> Attribute Name field <input type="checkbox"/> Attribute Value field <input type="checkbox"/> Submit button	Invalid Market-Specific Attribute Name	When performing a Security Account create request, in case of creation of Market-Specific Securities Account Attribute Value, it must refer to an existing Market-Specific Attribute whose Type is Securities Account and it

Reference for error message	Field or Button	Error Text	Description
			must belong to the system entity related to the account.
DAC1256	<input type="checkbox"/> Attribute Value field <input type="checkbox"/> Submit button	Invalid Market Specific Attribute Value	When performing a Securities Account create request the Market-Specific Securities Account Attribute Value must be compliant with the values or rules defined in the relevant Attribute Domain.
DAU1001	<input type="checkbox"/> Submit button <input type="checkbox"/> Party BIC field <input type="checkbox"/> Parent BIC field	Requestor not allowed	A Securities Account can be updated only by T2S Operator or CSD. A user belonging to a CSD can only update Securities Account linked to his relevant CSD or to a Party that fall under his responsibility according to the Hierarchical Party Model. Exceptions to the above rule are represented by any user that is granted the appropriate privilege(s) on the account to be updated or on the relevant Party holding the account.
DAU1005	<input type="checkbox"/> Submit button	Data to be updated not found	The update requests of a Securities Account must refer to an

Reference for error message	Field or Button	Error-Text	Description
			existing, active and not yet closed account.
DAU1024	<ul style="list-style-type: none"> <input type="checkbox"/> Restriction Type field <input type="checkbox"/> Submit button 	Invalid restriction type	When performing a Securities Account update request, in case of creation of Securities Account Restriction, it must refer to an existing Restriction Type entity whose Object Restriction Type is Security Account or Securities Position. In the first case the Restriction Processing Type must be Blocking or Rejection; in the latter case the it must be Earmarking or Earmarking for Auto-collateralisation.
DAU1025	<ul style="list-style-type: none"> <input type="checkbox"/> Restriction Type field <input type="checkbox"/> Restriction Valid from field <input type="checkbox"/> Restriction Valid to field 	Securities Account Restriction overlaps with existing instance	When performing a Securities Account Update request, in case of request for creation/update of Securities Account Restriction having Object Restriction Type equal to Securities Account, the new or updated restriction must not overlap with any other Securities Account Restriction already defined or in

Reference for error message	Field or Button	Error Text	Description
			input flow having the same Restriction Type. In case of request for creation/update of Securities Account Restriction having Object Restriction Type equal to Securities Position, the restriction type must not overlap with any other Securities Account Restriction already defined or in input flow having the same Object Restriction Type.
DAU1030	<input type="checkbox"/> Closing Date field <input type="checkbox"/> Submit button	The deletion/closure is not allowed due to a deletion/closure priority constraint.	A Securities account cannot be closed if there are still any active and open CSD Account Links or CMB Securities Account Links linked to the relevant Securities Account. Furthermore, no active and open T2S Dedicated Cash Account referencing the Securities Account in its Credit Memorandum Balance must exist.
DAU1070	<input type="checkbox"/> Negative Position <input type="checkbox"/> Submit button	Securities Account update has been queued and	When performing a Securities Account Update request, if the request contains updates to the Negative

Reference for error message	Field or Button	Error-Text	Description
		will be executed during the End-of Day period.	Position flag, and it is submitted outside of the End-of Day period, the request is queued and executed at the start of the End-of Day period.
DAU1205	<ul style="list-style-type: none"> <input type="checkbox"/> Closing-Date field <input type="checkbox"/> Submit-button 	Invalid 'Opening Date'/'Closing Date'	When performing a Securities Account update request, the Closing-Date must be equal to or greater than the T2S Business date, must be greater than the opening date and equal to the Valid To specified in the Party Securities Account Relationship.
DAU1206	<ul style="list-style-type: none"> <input type="checkbox"/> Submit-button <input type="checkbox"/> Party Securities Account Relationship-Valid From field 	Invalid 'Valid from'/'Valid to'	When performing a Securities Account update request, the Valid-From specified in a Party Securities Account Relationship create request must be greater than the T2S Business date.
DAU1207	<ul style="list-style-type: none"> <input type="checkbox"/> Closing-Date field <input type="checkbox"/> Submit-button <input type="checkbox"/> Party Securities Account Relationship-Valid To field 	Invalid 'Valid from'/'Valid to'	When performing a Securities Account update request, the Valid-To specified in the Party Securities Account Relationship must be greater than the Valid From and equal to or greater than the T2S Busi-

Reference for error message	Field or Button	Error-Text	Description
			ness date and equal to the Closing date of the Securities Account.
DAU1208	<input type="checkbox"/> Opening Date field <input type="checkbox"/> Restriction Valid from field <input type="checkbox"/> Submit button	Invalid ' Valid from '/' Valid to '	When performing a Securities Account update request, the Valid From specified in a Securities Account Restriction create request must be equal to or greater than the current timestamp⁹⁸, equal to or greater than the Valid From of the relevant Restriction Type and less than the Valid To of the relevant Restriction Type.
DAU1209	<input type="checkbox"/> Closing Date field <input type="checkbox"/> Restriction Valid from field <input type="checkbox"/> Restriction Valid to field <input type="checkbox"/> Submit button	Invalid ' Valid from '/' Valid to '	When performing a Securities Account update request, the Valid To specified in the Securities Account Restriction must be greater than the current timestamp⁹⁹, greater than the relevant Valid From, equal to or greater than the Valid From of the relevant Restriction

98 ~~The current timestamp is determined by the internal T2S clock, so you should take into account the time lag between the submission of the request and its execution in T2S when setting the restriction.~~(Split book approach)

99 ~~The current timestamp is determined by the internal T2S clock, so you should take into account the time lag between the submission of the request and its execution in T2S when setting the restriction.~~(Split book approach)

Reference for error message	Field or Button	Error-Text	Description
			tion Type and equal to or less than the Valid To of the relevant Restriction Type.
DAU1210	<input type="checkbox"/> n/a	n/a	When performing a Securities Account update request, in case of creation of Party Securities Account Relationship, the existing relationship is closed with the appropriate Valid To.
DAU1211	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Submit button	Party Securities Account Relationship to be deleted is not closed	When performing a Securities Account update request, the request of deletion of Party Securities Account Relationship must refer to a future instance.
DAU1241	<input type="checkbox"/> Restriction Type field <input type="checkbox"/> Restriction Valid from field <input type="checkbox"/> Restriction Valid to field <input type="checkbox"/> Submit button	Restriction type cannot be deleted	When performing a Securities Account update request, in case of request of deletion of Restriction Type, it must refer to an instance already closed or with a future Valid From.
DAU1250	<input type="checkbox"/> Opening Date field <input type="checkbox"/> Submit button	Invalid 'Opening Date'/'Closing Date'	When performing a Securities Account update request, the Opening Date can be updated only if the Securities Account is not yet open and the

Reference for error message	Field or Button	Error-Text	Description
			specified Opening Date is equal to or greater than the current T2S date, equal to or greater than the Opening Date of the relevant Party and equal to or less than the Closing Date of the relevant Party.
DAU1252	<input type="checkbox"/> Attribute Name field <input type="checkbox"/> Attribute Value field	Invalid Market Specific Attribute	When performing a Securities Account update request, the Market-Specific Securities Account Attribute Value must refer to an existing Market-Specific Attribute whose Type is Securities Account and it must belong to the System Entity related to the account.
DAU1253	<input type="checkbox"/> Attribute Name field <input type="checkbox"/> Attribute Value field <input type="checkbox"/> Submit button	The Market-Specific Attribute value must be unique.	When performing a Securities Account update request, the Market-Specific Securities Account Attribute Value must be unique within its System Entity in case it is defined as such in T2S.
DAU1255	<input type="checkbox"/> Attribute Name field <input type="checkbox"/> Attribute Value field	Mandatory attribute cannot be deleted.	When performing a Securities Account update request the Market-Specific Securities Account At-

Reference for error message	Field or Button	Error-Text	Description
	<input type="checkbox"/> Submit button		tribute Value cannot be deleted if the relevant Market-Specific Attribute is defined as mandatory in T2S.
DAU1256	<input type="checkbox"/> Attribute Value field <input type="checkbox"/> Submit button	Invalid Market Specific Attribute Value	When performing a Securities Account update request the Market-Specific Securities Account Attribute Value must be compliant with the values or rules defined in the relevant Attribute Domain.
DAU1258	<input type="checkbox"/> Attribute Name field <input type="checkbox"/> Submit button	Market-Specific Attribute cannot have more than one value for this Securities Account	When performing a Securities Update request, each Market-Specific Attribute can have no more than one value for a given Securities Account.
DAU1300	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Submit button	Invalid Party	When performing a Security Account update request, the Party specified in the Party Securities Account Relationship creation, must refer to an existing, active and open instance in T2S with Party Type equal to CSD Participant or External CSD belonging to the relevant System Entity and according to the Securities Account Type.

Reference for error message	Field or Button	Error-Text	Description
DAU1305	<input type="checkbox"/> Party BIC field <input type="checkbox"/> Parent BIC field <input type="checkbox"/> Restriction Type field <input type="checkbox"/> Submit button <input type="checkbox"/> Attribute Name field	Data to be updated not found	When performing a Securities Account update request, the update and the delete request of a minor entity (such as Securities Account Restriction, Party Securities Account Relationship) must refer to an existing instance in T2S; if the instance is being updated, it must have a minor entity with a non-past Valid To, where applicable.
DAU1400	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Submit button	Invalid Party	When performing a Securities Account update request, in case of creation of Party Securities Account Relationship, the specified Party must be authorised to use all the T2S Dedicated Cash Account linked to the relevant Securities Account. {Split book approach}

~~6.5.2.99 Securities Accounts - Search/List Screen~~

~~△ [▶ 806]~~

Reference for error message	Field or Button	Error-Text	Description
DAD1001	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Restore button <input type="checkbox"/> Delete button	Requestor not allowed	A Securities Account can be deleted/restored only by T2S Operator or CSD. A user belonging to a CSD can only delete/restore Securities Account linked to his relevant CSD or to a Party that fall under his responsibility according to the Hierarchical Party Model. Exceptions to the above rule are represented by any user that is granted the appropriate privilege(s) on the account to be maintained or on the relevant Party holding the account.
DAD1020	<input type="checkbox"/> Status field <input type="checkbox"/> Delete button	Invalid Securities Account to be deleted or restored.	The request to delete a Securities Account must refer to an existing and active Securities Account in T2S.
DAD1021	<input type="checkbox"/> Opening-Date field <input type="checkbox"/> Closing-Date field <input type="checkbox"/> Delete button <input type="checkbox"/> Search button	Invalid opening date	When performing a Securities Account delete request, the Opening-Date of the account to be deleted must be greater than the current date or the Closing-Date must be less than the current date.

Reference for error message	Field or Button	Error-Text	Description
DAD1024	<input type="checkbox"/> Restore button	Invalid restriction type	When performing a Securities Account restore request, the Restriction Type specified must exist in T2S and its Object Restriction Type must be Securities account or Securities Position. In the first case the Restriction Processing Type must be Blocking; in the latter case the it must be Earmarking or Earmarking for Auto-collateralisation.
DAD1030	<input type="checkbox"/> Delete button	Operation not allowed due to an active and linked instance.	A Securities Account cannot be deleted if there are still active CSD Account Links or Credit Memorandum Balance linked to it in T2S.
DAD1033	<input type="checkbox"/> Securities Account Type field <input type="checkbox"/> Restore button	CSD is not a Direct Holding CSD	When performing a Security Account restore request, if the Account Type is T2S technical offset account, the relevant System Entity must be a Direct Holding CSD.
DAD1050	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Invalid Securities Account to be deleted or restored	The request to restore a Securities Account must refer to an existing and deleted Securities Account.

Reference for error message	Field or Button	Error-Text	Description
DAD1051	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Restore button	Unknown party	When performing a Securities Account restore request, the Party Securities Account Relationship must refer to an existing, active and open instance in T2S with Party Type equal to CSD, CSD Participant or External CSD.
DAD1052	<input type="checkbox"/> Opening Date field <input type="checkbox"/> Closing Date field <input type="checkbox"/> Restore button	Invalid closing date	When performing a Securities Account restore request, the Closing Date must be less than the current date or the Opening Date must be greater than the current date.
DAD1208	<input type="checkbox"/> Restore button	Invalid restriction type	When performing a Securities Account restore request, the Securities Account Restriction specified must be closed or its validity dates must be consistent with the validity dates of the relevant Restriction Type.
DAD1252	<input type="checkbox"/> Restore button	Invalid Market-Specific Attribute	When performing a Securities Account restore request the Market-Specific Securities Account Attribute Value must refer to an existing Market-Specific Attribute whose

Reference for error message	Field or Button	Error-Text	Description
			type is Securities Account and it must belong to the system entity related to the account.
DAD1253	<input type="checkbox"/> Restore button	Missing mandatory 'Market-Specific Attribute'	When performing a Securities Account restore request all the Market-specific attributes defined as Mandatory in T2S for the relevant CSD, must be present.
DAD1254	<input type="checkbox"/> Restore button	The Market-Specific Securities Account Attribute Value must be unique.	When performing a Securities Account restore request, the Market-Specific Securities Account Attribute Value must be unique within its System Entity in case it is defined as such in T2S.
DAD1300	<input type="checkbox"/> Delete button	Deletion not allowed due to existing object privilege or secured group assignment.	When performing a Securities Account deletion request, the Securities Account cannot be deleted if it is referenced in an object privilege assignment or secured group.
DAU1001	<input type="checkbox"/> Restore button <input type="checkbox"/> Delete button <input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field	Requestor not allowed	A Securities Account can be updated only by T2S Operator or CSD. A user belonging to a CSD can only

Reference for error message	Field or Button	Error-Text	Description
			update Securities Account linked to his relevant CSD or to a Party that fall under his responsibility according to the Hierarchical Party Model. Exceptions to the above rule are represented by any user that is granted the appropriate privilege(s) on the account to be updated or on the relevant Party holding the account.
QMPC021	<input type="checkbox"/> Opening Date to field <input type="checkbox"/> Opening Date from field <input type="checkbox"/> Search button	The From value of the Date From is after the To value of the Date From.	In case the Date From is stated as a range, the From value of the Date From has to be before or equal to the Date To value of the Date From.
QMPC022	<input type="checkbox"/> Closing Date to field <input type="checkbox"/> Opening Date from field <input type="checkbox"/> Search button	The From value of the Date From is after the To value of the Date To.	In case the Date From and Date To are specified as ranges, the From value of the Date From has to be before or equal to the To value of the Date To.
QMPC023	<input type="checkbox"/> Closing Date to field <input type="checkbox"/> Closing Date from field <input type="checkbox"/> Search button	The From value of the Date To is after the To value of the Date To.	In case the To Date is stated as a range, the From value of the Date To has to be before or equal to the To value of the Date To.

Reference for error message	Field or Button	Error-Text	Description
QMPC030	<input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Search button	The specified Securities Account Number is not known in T2S.	In case a Securities Account Number is specified, it has to be known in T2S.
QMPC084	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Search button	The specified Parent BIC is not the System Entity of the specified party.	In case the BIC of a party as well as its parent BIC are specified, a party with these two BICs has to be known in T2S.

{Split book approach}

~~6.5.2.100 Securities Accounts Link - New/Edit Screen~~

△ [▶ 831]

Reference for error message	Field or Button	Error-Text	Description
DAC3001	<input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Submit button	Requestor not allowed	A CMB Securities Account Link can be created only by T2S Operator, CSD, CSD Participant or External CSD. A user belonging to a CSD, CSD Participant or External CSD, can only create CMB Securities Account Links for Securities Accounts it is responsible for.
DAC3024	<input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Submit button	Unknown CMB Identifier	When performing a CMB Securities Account Link create request, the CMB identifier specified must

Reference for error message	Field or Button	Error Text	Description
			refer to an existing and active CMB in T2S.
DAC3030	<input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Submit button	Unknown Securities Account Identifier	When performing a CMB Securities Account Link create request, the Securities Account specified must refer to an existing, active and not closed Securities Account in T2S.
DAC3040	<input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Submit button	Securities Account owner not authorized	When performing a CMB Securities Account Link create request, the owner of the specified Securities Account must be authorized to use the specified CMB.
DAC3050	<input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Default Link field <input type="checkbox"/> Submit button	Default CMB already existing	When performing a CMB Securities Account Link create request, the Default CMB must not be set to true if a different CMB Securities Account Link for the same Securities Account and Currency is already defined in the same validity period.
DAC3051	<input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Default Link field <input type="checkbox"/> Submit button	Default CMB must be set to true	When performing a CMB Securities Account Link create request, the Default CMB must be set to

Reference for error message	Field or Button	Error-Text	Description
			true if no other CMB Securities Account Link for the same Securities Account and Currency is already defined and the Cash Settlement Link is set to true.
DAC3052	<input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Cash Settlement Link field <input type="checkbox"/> Submit button	Cash Settlement Link must be set to true	When performing a CMB Securities Account Link create request, the Cash Settlement Link must be True if the Default CMB is set to true.
DAC3100	<input type="checkbox"/> Securities Account Number field <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	CMB Securities Account link already defined in the same validity period	When performing a CMB Securities Account Link creation request, there can only be one instance for a given combination of CMB and Securities Account over a given validity period.
DAC3205	<input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button	'Valid To' or 'Valid From' invalid	When performing a CMB Securities Account Link create request, the Valid From specified must be equal to or greater than the T2S Business date.
DAC3206	<input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button	'Valid To' or 'Valid From' invalid	When performing a CMB Securities Account Link create request, the Valid From

Reference for error message	Field or Button	Error-Text	Description
			specified must be greater than or equal to the Opening Date of the relevant Securities Account.
DAC3207	<input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	'Valid To' or 'Valid From' invalid	When performing a CMB Securities Account Link create request, the Valid To specified must be equal to or greater than the current date and equal to or greater than the Valid From.
DAC3208	<input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button	'Valid To' or 'Valid From' invalid	When performing a CMB Securities Account Link create request, the Valid From specified must be less than or equal to the Closing Date of the relevant Securities Account.
DAC3209	<input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	'Valid To' or 'Valid From' invalid	When performing a CMB Securities Account Link create request, the Valid To specified must be less than or equal to the Closing Date of the relevant Securities Account.
DAU3001	<input type="checkbox"/> Submit button <input type="checkbox"/> Securities Account Number field	Requestor not allowed	A CMB Securities Account Link can be updated only by T2S Operator, CSD, CSD Par

Reference for error message	Field or Button	Error-Text	Description
			Participant or External CSD. A user belonging to a CSD, CSD Participant or External CSD can only update CMB Securities Account Links for Securities Accounts it is responsible for.
DAU3005	<input type="checkbox"/> Submit button <input type="checkbox"/> Valid to field	Data to be updated not found or Securities Account owner not authorised to use the related CMB	When performing a CMB Securities Account Link update request, it must refer to an existing and active CMB Securities Account Link in T2S which has not yet reached its closing date and the owner of the specified Securities Account must still be authorized to use the specified CMB.
DAU3050	<input type="checkbox"/> Valid From field <input type="checkbox"/> Valid To field <input type="checkbox"/> Submit button	Default CMB already existing	When performing a CMB Securities Account Link update request, the Default CMB must not be set to true if a different Default CMB Securities Account Link for the same Securities Account and Currency is already defined in the same validity period.

Reference for error message	Field or Button	Error-Text	Description
DAU3100	<input type="checkbox"/> Securities Account Number field <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	CMB Securities Account link already defined in the same validity period	When performing a CMB Securities Account Link update request, there can only be one instance for a given combination of CMB and Securities Account over a given validity period.
DAU3207	<input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	Invalid 'Valid From'/'Valid To'	When performing a CMB Securities Account Link update request, the specified Valid To must be equal to or greater than the current date and equal to or greater than the Valid From.

{Split book approach}

6.5.2.101 ~~Securities Accounts Links – Search/List Screen~~

△ [▶ 826]

Reference for error message	Field or Button	Error-Text	Description
QMPC021	<input type="checkbox"/> Valid From from field <input type="checkbox"/> Valid From to field <input type="checkbox"/> Search button	The From value of the Date From is after the To value of the Date From.	In case the Date From is stated as a range, the From value of the Date From has to be before or equal to the To value of the Date From.

Reference for error message	Field or Button	Error-Text	Description
QMPC022	<ul style="list-style-type: none"> <input type="checkbox"/> Valid From from field <input type="checkbox"/> Valid To to field <input type="checkbox"/> Valid From to field <input type="checkbox"/> Search button 	The From value of the Date From is after the To value of the Date To.	In case the Date From and Date To are specified as ranges, the From value of the Date From has to be before or equal to the To value of the Date To.
QMPC023	<ul style="list-style-type: none"> <input type="checkbox"/> Valid From from field <input type="checkbox"/> Valid To from field <input type="checkbox"/> Valid To to field <input type="checkbox"/> Valid From to field <input type="checkbox"/> Search button 	The From value of the Date To is after the To value of the Date To.	In case the To Date is stated as a range, the From value of the Date To has to be before or equal to the To value of the Date To.
QMPC030	<ul style="list-style-type: none"> <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Search button 	The specified Securities Account Number is not known in T2S.	In case a Securities Account Number is specified, it has to be known in T2S.
DAD3001	<ul style="list-style-type: none"> <input type="checkbox"/> Delete button <input type="checkbox"/> Restore button <input type="checkbox"/> Securities Account Number field 	Requestor not allowed.	A CMB Securities Account Link can be deleted or restored only by T2S Operator, CSD or CSD Participant. A user belonging to a CSD or CSD Participant can only delete or restore CMB Securities Account Links for Securities Accounts it is responsible for.

Reference for error message	Field or Button	Error-Text	Description
DAD3020	<input type="checkbox"/> Status field <input type="checkbox"/> Valid from field <input type="checkbox"/> Delete button <input type="checkbox"/> Valid to field	Invalid CMB Securities Account Link to be deleted or restored	When performing a CMB Securities Account link delete request, it must refer to an existing and active instance in T2S already closed or with a future Valid From.
DAD3024	<input type="checkbox"/> Restore button <input type="checkbox"/> T2S Dedicated Cash Account Number field	Unknown CMB Identifier	When performing a CMB Securities Account link restore request, the CMB identifier to be restored must refer to an existing and active CMB in T2S.
DAD3030	<input type="checkbox"/> Restore button <input type="checkbox"/> Securities Account Number field	Unknown Securities Account Identifier	When performing a CMB Securities Account link restore request, the Securities Account identifier to be restored must refer to an existing and active Securities Account in T2S.
DAD3040	<input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Valid from field <input type="checkbox"/> Restore button	Securities Account owner not authorised	When performing a CMB Securities Account Link restore request having a future Valid From, the owner of the specified Securities Account must be authorized to use the specified CMB.

Reference for error message	Field or Button	Error-Text	Description
DAD3050	<input type="checkbox"/> Default Link field <input type="checkbox"/> Restore button <input type="checkbox"/> Status field	Invalid CMB Securities Account Link to be deleted or restored.	The request to restore a CMB Securities Account Link must refer to an existing and deleted instance not defined as Default CMB.
DAD3100	<input type="checkbox"/> Securities Account Number field <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Restore button	CMB Securities Account Link already defined in the same validity period	When performing a CMB Securities Account Link restore request, there can only be one instance for a given combination of CMB and Securities Account over a given validity period.

{Split book approach}

6.5.2.102 Securities Account Transfer Management -Edit Screen

△ [▶ 823]

Reference for error message	Field or Button	Error-Text	Description
DAU1001	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Submit button	Requestor not allowed	A Securities Account can be updated only by T2S Operator or CSD. A user belonging to a CSD can only update Securities Account linked to his relevant CSD or to a Party that fall under his responsibility according to the Hier-

Reference for error message	Field or Button	Error Text	Description
			archical Party Model. Exceptions to the above rule are represented by any user that is granted the appropriate privilege(s) on the account to be updated or on the relevant Party holding the account.
DAU1205	<input type="checkbox"/> Submit button	Invalid 'Opening Date'/'Closing Date'	When performing a Securities Account update request, the Closing Date must be equal to or greater than the T2S Business date, must be greater than the opening date and equal to the Valid To specified in the Party Securities Account Relationship
DAU1206	<input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button	Invalid 'Valid from'/'Valid to'	When performing a Securities Account update request, the Valid From specified in a Party Securities Account Relationship create request must be greater than the T2S Business date.
DAU1207	<input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	Invalid 'Valid from'/'Valid to'	When performing a Securities Account update request, the Valid To specified in the Party Securities Account Relationship must be greater than

Reference for error message	Field or Button	Error-Text	Description
			the Valid From and equal to or greater than the T2S Business date and equal to the Closing date of the Securities Account.
DAU1210	<input type="checkbox"/> n/a	n/a	When performing a Securities Account update request, in case of creation of Party Securities Account Relationship, the existing relationship is closed with the appropriate Valid To.
DAU1211	<input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button	Party Securities Account Relationship to be deleted is not closed	When performing a Securities Account update request, the request of deletion of Party Securities Account Relationship must refer to a future instance.
DAU1252	<input type="checkbox"/> Submit button	Invalid Market Specific Attribute	When performing a Securities Account update request, the Market-Specific Securities Account Attribute Value must refer to an existing Market-Specific Attribute whose Type is Securities Account and it must belong to the System Entity related to the account.

Reference for error message	Field or Button	Error-Text	Description
DAU1300	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Submit button	Invalid Party	When performing a Security Account update request, the Party specified in the Party Securities Account Relationship creation, must refer to an existing, active and open instance in T2S with Party Type equal to CSD, CSD Participant or External CSD, according to the Securities Account Type.
DAU1305	<input type="checkbox"/> Submit button <input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field	Data to be updated not found	When performing a Securities Account update request, the update and the delete request of a minor entity (such as Securities Account Restriction, Party Securities Account Relationship) must refer to an existing instance in T2S.
DAU1400	<input type="checkbox"/> Submit button <input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field	Invalid Party	When performing a Securities Account update request, in case of creation of Party Securities Account Relationship, the specified Party must be authorised to use all the T2S Dedicated Cash Account linked to the relevant Securities Account.

{Split book approach}

6.5.2.103 ~~Securities—Search/List Screen~~

△ [▶ 734]

Reference for error message	Field or Button	Error Text	Description
DAD1001	<input type="checkbox"/> Restore Button <input type="checkbox"/> Delete Button	Requestor not allowed.	A Securities Account can be deleted/restored only by T2S Operator or CSD. A user belonging to a CSD can only delete/restore Securities Account linked to his relevant CSD or to a Party that fall under his responsibility according to the Hierarchical Party Model. Exceptions to the above rule are represented by any user that is granted the appropriate privilege(s) on the account to be maintained or on the relevant Party holding the account.
DSD1001	<input type="checkbox"/> Restore button <input type="checkbox"/> Delete button	Requestor not allowed	Securities can only be deleted or restored by the T2S operator or CSDs. A user belonging to a CSD can only delete or restore securities that fall under their responsibility. Exceptions to the above rule are represented by any user that

Reference for error message	Field or Button	Error Text	Description
			is granted the appropriate privilege(s) on the specific securities to be maintained.
DSD1003	<input type="checkbox"/> Status field <input type="checkbox"/> Issue date field <input type="checkbox"/> Issue Date – from field <input type="checkbox"/> Maturity Date field <input type="checkbox"/> Maturity Date – from field <input type="checkbox"/> Maturity Date – to field <input type="checkbox"/> Delete button	Unknown or Invalid Securities	When performing a securities delete request, it must refer to an existing and active instance of security that is past the transformation detection period (i.e. the maturity date plus the number of business days set for the transformation detection period in T2S) or has not yet reached its issue date.
DSD1012	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	In case of restore request, the request must refer to an existing and deleted Securities	When performing a securities restore request, it must refer to an existing and deleted security
DSD1013	<input type="checkbox"/> ISIN field <input type="checkbox"/> Restore button	Securities Mnemonic already used	When performing a securities restore request, the securities mnemonic specified must not be already assigned to an active securities.
DSD1021	<input type="checkbox"/> Country of Issuance – Code Field <input type="checkbox"/> Restore button	Invalid country code	When performing a securities restore request, the country code to be restored

Reference for error message	Field or Button	Error Text	Description
			must refer to an existing and active country entity.
DSD1022	<input type="checkbox"/> Issue Currency Field <input type="checkbox"/> Restore button	Invalid currency code	When performing a securities restore request, the currency code to be restored must refer to an existing and active currency entity.
DSD1024	<input type="checkbox"/> Restore button	Invalid restriction type	When performing a Securities restore request, the restriction type to be restored must refer to an existing and active restriction type whose object restriction type is securities and belonging to the same system entity of the responsible party or to the T2S operator.
DSD1030	<input type="checkbox"/> Delete button	The deletion is not allowed due to a deletion priority constraint	When performing a securities delete request, if the maturity date is in the past by a number of days smaller than the system parameter "Matured ISIN deletion offset", all the linked instances in a higher position within the deletion hierarchy must be deleted (i.e. Close Link, Eligible Counterpart CSD, Autocollat-

Reference for error message	Field or Button	Error Text	Description
			eralisation Eligibility Link and Security CSD Link have to be deleted before deleting the linked securities). For Securities CSD Link, this is limited to links with Maintenance Flag = False.
DSD1252	<input type="checkbox"/> Restore button	Invalid Market-Specific Securities Attribute Value	When performing a securities restore request, the market-specific securities attribute values must refer to existing market-specific attributes whose types are securities, belonging to the system entity of the responsible party.
DSD1255	<input type="checkbox"/> Restore button	The value for the Market-Specific attribute is already used (and it must be unique)	When performing a securities restore request, the market-specific securities attribute values must be unique within their system entity in case they are defined as such in T2S.
DSD1256	<input type="checkbox"/> Restore button	Missing mandatory Market-Specific Attribute value	When performing a securities restore request, the market-specific securities attribute values must be present if the relevant

Reference for error message	Field or Button	Error-Text	Description
			market-specific attributes are defined as mandatory.
DSD1257	<input type="checkbox"/> Restore button	Invalid Market-Specific Securities Attribute Value.	When performing a Securities restore request the Market-Specific Securities Attribute Value must be compliant with the values or rules defined in the relevant Attribute Domain.
DSD1300	<input type="checkbox"/> Delete button	Deletion not allowed due to existing object privilege or secured group assignment.	When performing a Security deletion request, the Security cannot be deleted if it is referenced in an object privilege assignment or secured group.
QMPC018	<input type="checkbox"/> ISIN field <input type="checkbox"/> Search button	The specified ISIN is not known in T2S.	In case an ISIN is specified, it has to be known in T2S.
QMPC019	<input type="checkbox"/> Maturity Date – from field <input type="checkbox"/> Maturity Date – to field <input type="checkbox"/> Search button	The Maturity Dates are not plausible.	In case the Maturity Date is stated as a range, the Maturity Date From must be before the Maturity Date To.
QMPC082	<input type="checkbox"/> Issue Date – from field <input type="checkbox"/> Issue Date – to field <input type="checkbox"/> Search button	The Issue Date range is not plausible.	In case the Issue Date is stated as a range, the Issue Date From must be earlier or equal the Issue Date To.

{Split book approach}

6.5.2.104 ~~Securities Valuation - New Screen~~

△ [▶ 758]

Reference for error message	Field or Button	Error Text	Description
DSC3001	<input type="checkbox"/> Submit button <input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field	Requestor not allowed	A Securities Valuation can be created only by T2S Operator, CB or Payment Bank. The T2S Operator can create all Securities valuations whereas a user belonging to a CB or Payment Bank can create only Securities Valuations linked to securities it applies a price for.
DSC3020	<input type="checkbox"/> Price field <input type="checkbox"/> Own-Use Price field <input type="checkbox"/> Submit button	Price not compliant with Securities Settlement Type	When performing a Securities Valuation Update request, the values provided for the Price and Own-Used Asset Price must be compliant with the Securities Settlement Type.
DSC3030	<input type="checkbox"/> Currency field <input type="checkbox"/> Submit button	Unknown or non-T2S Settlement Currency	When performing a Securities Valuation Create request, the Currency Code must refer to an existing instance in T2S defined as a T2S Settlement Currency.
DSC3040	<input type="checkbox"/> ISIN field <input type="checkbox"/> Submit button	Unknown Securities	When performing a Securities Valuation Create request, the

Reference for error message	Field or Button	Error-Text	Description
			Securities Mnemonic must refer to an existing Security in T2S.
DSC3050	<input type="checkbox"/> ISIN field <input type="checkbox"/> Currency field <input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Valuation Date field <input type="checkbox"/> Submit button	Requestor not allowed	When performing a Securities Valuation Create request, there cannot be another existing Securities Valuation for the specified combination of Securities, Party, Currency and Date.
DSC3060	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Submit button	Unknown or invalid Party	When performing a Securities Valuation Create request, the referenced Party must refer to existing and active CB or Payment Bank in T2S.
DSC3205	<input type="checkbox"/> Valuation Date field <input type="checkbox"/> Submit button	Invalid Securities Valuation date	When performing a Securities Valuation Create request, the Securities Valuation Date specified in the request must be equal to or greater than the current date.
DSC3800	<input type="checkbox"/> Price field <input type="checkbox"/> Own-Use-Price field <input type="checkbox"/> Submit button	Invalid number of decimals	When performing a Securities Valuation Create request, the number of decimals in the values provided for Price (as amount) and Own-Used Asset Price (as amount) must be compliant

Reference for error message	Field or Button	Error-Text	Description
			with the number of decimals foreseen for the relevant currency.

{Split book approach}

~~6.5.2.105 Securities Valuations - Search/List Screen~~

△ [▶ 755]

Reference for error message	Field or Button	Error-Text	Description
QMPC015	<input type="checkbox"/> Valuation Date from field <input type="checkbox"/> Valuation Date to field <input type="checkbox"/> Search button	The Date From is after the Date To.	In case the Date is stated as a range, the Date From has to be before or equal to the Date To.

{Split book approach}

~~6.5.2.106 Security - Details Screen~~

△ [▶ 738]

Reference for error message	Field or Button	Error-Text	Description
DSD1001	<input type="checkbox"/> Delete button	Requestor not allowed	Securities can only be deleted or restored by T2S operator or CSDs. A user belonging to a CSD can only delete or restore securities that fall under their responsibility. Exceptions to the above rule are represented by any user that is granted the appropriate

Reference for error message	Field or Button	Error Text	Description
			privilege(s) on the specific securities to be maintained.
DSD1003	<input type="checkbox"/> Status field <input type="checkbox"/> Issue Date field <input type="checkbox"/> Maturity Date field <input type="checkbox"/> Delete button	Unknown or Invalid Securities	When performing a Securities delete request, it must refer to an existing and active instance of Securities that is past the transformation detection period (i.e. the maturity date plus the number of business days set for the transformation detection period in T2S) or has not yet reached its issue date.
DSD1012	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	In case of restore request, the request must refer to an existing and deleted Securities	When performing a securities restore request, it must refer to an existing and deleted securities
DSD1013	<input type="checkbox"/> ISIN field <input type="checkbox"/> Restore button	Securities Mnemonic already used	When performing a Securities restore request, the Securities Mnemonic specified must not be already assigned to an active Securities.
DSD1021	<input type="checkbox"/> Country of Issuance Field <input type="checkbox"/> Restore button	Invalid country code	When performing a securities restore request, the country code to be restored must refer to an existing and active country entity.

Reference for error message	Field or Button	Error Text	Description
DSD1022	<input type="checkbox"/> Issue Currency field <input type="checkbox"/> Restore button	Invalid currency code	When performing a securities restore request, the currency code to be restored must refer to an existing and active currency entity.
DSD1024	<input type="checkbox"/> Restriction Type field <input type="checkbox"/> Restore button	Invalid restriction type	When performing a securities restore request, the restriction type to be restored must refer to an existing and active restriction type whose object restriction type is securities and belonging to the same system entity of the responsible party or to the T2S operator.
DSD1030	<input type="checkbox"/> Delete button	The deletion is not allowed due to a deletion priority constraint	When performing a securities delete request, if the maturity date is in the past by a number of days smaller than the system parameter "Matured ISIN deletion offset", all the linked instances in a higher position within the deletion hierarchy must be deleted (i.e. Close Link, Eligible Counterpart CSD, Autocollateralisation Eligibility Link and Security CSD Link have to be

Reference for error message	Field or Button	Error Text	Description
			deleted before deleting the linked securities). For Securities CSD Link, this is limited to links with Maintenance Flag = False.
DSD1252	<input type="checkbox"/> Attribute Name field <input type="checkbox"/> Restore button	Invalid Market-Specific Securities Attribute Value	When performing a securities restore request, the market-specific securities attribute values must refer to existing market-specific attributes whose types are securities, belonging to the system entity of the responsible party.
DSD1255	<input type="checkbox"/> Attribute Value field <input type="checkbox"/> Restore button	The value for the Market-Specific attribute is already used (and it must be unique)	When performing a securities restore request, the market-specific securities attribute values must be unique within their system entity in case they are defined as such in T2S.
DSD1256	<input type="checkbox"/> Attribute Value field <input type="checkbox"/> Restore button	Missing mandatory Market-Specific Attribute value	When performing a securities restore request, the market-specific securities attribute values must be present if the relevant market-specific attributes are defined as mandatory.

Reference for error message	Field or Button	Error-Text	Description
DSD1257	<input type="checkbox"/> Restore button	Invalid Market-Specific Securities Attribute Value:	When performing a Securities restore request the Market-Specific Securities Attribute Value must be compliant with the values or rules defined in the relevant Attribute Domain.
DSD1300	<input type="checkbox"/> Delete button	Deletion not allowed due to existing object privilege or secured group assignment.	When performing a Security deletion request, the Security cannot be deleted if it is referenced in an object privilege assignment or secured group.
DSU1001	<input type="checkbox"/> Edit button	Requestor not allowed	Securities can be updated only by a user belonging to the responsible CSD, by the T2S operator or by any user granted with the relevant update privilege on the securities. Any CSD user in T2S can enter its own securities restriction and market-specific attribute values for the security.

{Split book approach}

~~6.5.2.107 Security Penalty Rate – Search/List Screen~~

△ [▶ 792]

Reference for error message	Field or Button	Error Text	Description
DSD7002	<input type="checkbox"/> Status field		
DSD7003	<input type="checkbox"/> Status field		
DSD7004	<input type="checkbox"/> Asset Type field		
DSD7005	<input type="checkbox"/> Valid From field{Split book approach}		

~~6.5.2.108 Security - New/Edit Screen~~

△

Reference for error message	Field or Button	Error Text	Description
DSC1001	<input type="checkbox"/> Submit button	Requestor not allowed	Securities can be created only by a user belonging to a CSD or by the T2S operator. CSD users can only create securities within their own system entity, or according to the object privileges they have been granted.
DSC1013	<input type="checkbox"/> ISIN field <input type="checkbox"/> Submit button	Securities Mnemonic already used	When performing a securities creation, the securities mnemonic specified in the securities code section must not be

Reference for error message	Field or Button	Error Text	Description
			already assigned to another active security.
DSC1021	<input type="checkbox"/> Country of Issuance - Code field <input type="checkbox"/> Submit button	Invalid country code	When performing a securities creation request, the country code must refer to an existing country code in T2S.
DSC1022	<input type="checkbox"/> Issue Currency field <input type="checkbox"/> Submit button	Invalid Currency code	When performing a securities creation request, the currency code must refer to an existing currency code in T2S.
DSC1024	<input type="checkbox"/> Restriction Type field <input type="checkbox"/> Submit button	Invalid restriction type	When performing a securities creation request, in case of request for creation of securities restriction, it must refer to an existing restriction Type whose object restriction Type is security belonging to the system entity of the requestor or to the T2S operator.
DSC1025	<input type="checkbox"/> Maturity Date field <input type="checkbox"/> Submit button	Invalid Final Maturity-Expiry Date	When performing a securities creation request, the final maturity-expiry date must be greater than the current date.
DSC1026	<input type="checkbox"/> Submit button	Valid From invalid	When performing a securities creation request, the valid from

Reference for error message	Field or Button	Error-Text	Description
			date specified in the securities code section of the request must be equal to the current date.
DSC1027	<input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button	Valid From invalid	When performing a securities creation request, the valid from date specified in the securities name section of the request must be equal to the current date.
DSC1028	<input type="checkbox"/> Issue Date field <input type="checkbox"/> Maturity Date field <input type="checkbox"/> Submit button	Invalid Final Maturity-Expiry Date	When performing a securities creation request, the final maturity-expiry date must be greater than the issue date.
DSC1029	<input type="checkbox"/> ISIN field <input type="checkbox"/> Submit button	ISIN not compliant with ISO 6166:2013	When performing a securities create request, the ISIN must be compliant with ISO 6166:2013 standards.
DSC1032	<input type="checkbox"/> Deviating Settlement Unit (as nominal) field <input type="checkbox"/> Deviating Settlement Unit (as units) field <input type="checkbox"/> Submit Button	Deviating Settlement Unit already specified	When performing a Securities Create request, the same Deviating Settlement Unit value cannot be specified more than once in the input flow.
DSC1040	<input type="checkbox"/> Deviating Settlement Unit (as nominal) field	Invalid Securities Restriction validity period	When performing a Securities creation request, in case of request for creation of Securities Restriction, the specified validity

Reference for error message	Field or Button	Error-Text	Description
	<input type="checkbox"/> Deviating Settlement Unit (as units) field <input type="checkbox"/> Restriction Type field <input type="checkbox"/> Valid From field <input type="checkbox"/> Valid To field <input type="checkbox"/> Submit button		<p>timestamps must be consistent with the validity dates of the Restriction Type furthermore they must be greater than the current timestamp¹⁰¹ and the valid to must be greater than the valid from.</p>
DSC1252	<input type="checkbox"/> Attribute Name field <input type="checkbox"/> Attribute Value field <input type="checkbox"/> Submit button	Invalid Market-Specific Securities Attribute Value	<p>When performing a securities creation request, in case of request for creation of market-specific securities attribute value, it must refer to an existing market-specific attribute whose type is securities belonging to the system entity of the requestor.</p>
DSC1254	<input type="checkbox"/> Attribute Value field <input type="checkbox"/> Submit button	The value for the Market-Specific attribute is already used (and it must be unique)	<p>When performing a securities creation request, in case of request for creation of market-specific securities attribute value, it must be unique within its system entity in case it is defined as such in T2S.</p>

101 The current timestamp is determined by the internal T2S clock, so you should take into account the time lag between the submission of the request and its execution in T2S when setting the restriction. (Split book approach)

Reference for error message	Field or Button	Error Text	Description
DSC1256	<input type="checkbox"/> Attribute Value field <input type="checkbox"/> Submit button	Missing mandatory Market-Specific Attribute value	When performing a securities create request, all mandatory market-specific attributes the requestor has defined must have values.
DSC1257	<input type="checkbox"/> Attribute Value field <input type="checkbox"/> Submit button	Invalid Market-Specific Securities Attribute Value	When performing a Securities create request the Market-Specific Party Attribute Value must be compliant with the values or rules defined in the relevant Attribute Domain.
DSC1300	<input type="checkbox"/> Settlement Unit Multiple (as nominal) field <input type="checkbox"/> Settlement Unit Multiple (as unit) field <input type="checkbox"/> Submit button	Settlement Unit Multiple cannot be set to zero.	When performing a Securities creation request, the Settlement Unit Multiple for the relevant settlement type cannot be set to zero.
DSC1301	<input type="checkbox"/> Restriction Type field <input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	Securities Restriction overlaps with existing instance	When performing a Securities Create request, in case of request for creation of Securities Restriction, the created restriction type must not overlap with any other Securities Restriction in input having the same Restriction Type.

Reference for error message	Field or Button	Error Text	Description
DSC1700	<ul style="list-style-type: none"> <input type="checkbox"/> CFI Code field <input type="checkbox"/> Submit button 	CFI not compliant with ISO/DIS 10962:2015(E) standards	When performing a Securities Create request, the CFI must be compliant with ISO/DIS 10962:2015(E) standards.
DSC1800	<ul style="list-style-type: none"> <input type="checkbox"/> Minimum Settlement Unit (as nominal) field <input type="checkbox"/> Minimum Settlement Unit (as unit) field <input type="checkbox"/> Settlement Unit Multiple (as nominal) field <input type="checkbox"/> Settlement Unit Multiple (as unit) field <input type="checkbox"/> Deviating Settlement Unit (as nominal) field <input type="checkbox"/> Deviating Settlement Unit (as unit) field <input type="checkbox"/> Submit button 	Invalid number of decimals	When performing a Securities Create request, the number of decimals in the values provided for Minimum Settlement Unit (as Nominal), Settlement Unit Multiple (as Nominal) and Deviating Settlement Unit (as Nominal) must be compliant with the number of decimals foreseen for the relevant currency.
DSU1001	<ul style="list-style-type: none"> <input type="checkbox"/> Submit button 	Requestor not allowed	Securities can be updated only by a user belonging to the responsible CSD, by the T2S operator or by any user granted with the relevant update privilege on the securities. Any CSD user in T2S can enter its own securities, restrictions

Reference for error message	Field or Button	Error-Text	Description
			and market-specific attribute values for the security.
DSU1003	<input type="checkbox"/> Maturity Date field <input type="checkbox"/> Submit button	Unknown Securities	When performing a securities update request, unless it is purely a request to shift the maturity date to the future or to configure Case 2 Intraday Restrictions on Securities, it must refer to an existing and active securities with a maturity date that is greater than the current business date.
DSU1005	<input type="checkbox"/> Submit button	Unknown Securities	When performing a securities update request, the update/delete request of a minor entity must refer to an existing and active instance of the securities.
DSU1006	<input type="checkbox"/> ISIN field <input type="checkbox"/> Long Name field <input type="checkbox"/> Securities Short Name field <input type="checkbox"/> Submit button	Deletion of mandatory instance is not allowed	Each security must have at least one securities name and at least one securities code
DSU1007	<input type="checkbox"/> ISIN Valid from field <input type="checkbox"/> Valid from field <input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field	Deletion of an open instance is not allowed	When performing a securities update request, in case of a delete request for historical minor entities, the current date must be

Reference for error message	Field or Button	Error Text	Description
	<input type="checkbox"/> Submit button <input type="checkbox"/>		less than the valid from date of the entity to be deleted or greater than its valid to date.
DSU1009	<input type="checkbox"/> ISIN Valid from field <input type="checkbox"/> Valid from field <input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button	'Valid from' or 'Valid to' invalid	When performing a securities update request, the current date must be less than or equal to the valid from date of the entity to be created.
DSU1022	<input type="checkbox"/> Issue Currency field <input type="checkbox"/> Submit button	Invalid currency code	When performing a securities update request, the currency code must refer to an existing currency code in T2S.
DSU1024	<input type="checkbox"/> Restriction Type field <input type="checkbox"/> Submit button	Invalid restriction type	When performing a securities update request, in case of a request for the creation of a securities restriction, it must refer to an existing restriction type whose object restriction type is securities and belonging to the same system entity of the requestor or to the T2S operator.
DSU1025	<input type="checkbox"/> Maturity Date field <input type="checkbox"/> Submit button	Invalid Final Maturity-Expiry Date	When performing a securities update request, the final maturity-expiry date must be greater than the current business date.

Reference for error message	Field or Button	Error-Text	Description
DSU1026	<input type="checkbox"/> Issue Date field <input type="checkbox"/> Maturity Date field <input type="checkbox"/> Submit button	Invalid Final Maturity-Expiry Date.	When performing a Securities update request, the Final Maturity-Expiry Date must be greater than the Issue Date.
DSU1030	<input type="checkbox"/> Minimum Settlement Unit (as nominal) field <input type="checkbox"/> Minimum Settlement Unit (as unit) field <input type="checkbox"/> Settlement Unit Multiple (as nominal) field <input type="checkbox"/> Settlement Unit Multiple (as unit) field <input type="checkbox"/> Deviating Settlement Unit (as nominal) field <input type="checkbox"/> Deviating Settlement Unit (as units) field <input type="checkbox"/> Submit button	Values not compliant with Settlement Type	When performing a Security Update request, the values provided for Minimum Settlement Unit, Settlement Unit Multiple and Deviating Settlement Unit must be compliant with the Settlement Type of the Security.
DSU1031	<input type="checkbox"/> Minimum Settlement Unit (as nominal) field <input type="checkbox"/> Minimum Settlement Unit (as unit) field <input type="checkbox"/> Settlement Unit Multiple (as nominal) field	Settlement Type not compliant with existing values	When performing a Security Update request, if the Settlement Type is changed, new values for the Minimum Settlement Unit and Settlement Unit Multiple must be provided in accordance with the new Settlement Type.

Reference for error message	Field or Button	Error-Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Settlement Unit Multiple (as unit) field <input type="checkbox"/> Deviating Settlement Unit (as nominal) field <input type="checkbox"/> Deviating Settlement Unit (as units) field <input type="checkbox"/> Submit button 		Furthermore, there cannot be values for Deviating Settlement Unit for the old Settlement Type.
DSU1032	<ul style="list-style-type: none"> <input type="checkbox"/> Deviating Settlement Unit (as nominal) field <input type="checkbox"/> Deviating Settlement Unit (as units) field <input type="checkbox"/> Submit button 	Deviating Settlement Unit already specified	When performing a Securities Create request, the same Deviating Settlement Unit value cannot be specified more than once in the input flow.
DSU1040	<ul style="list-style-type: none"> <input type="checkbox"/> Restriction Type field <input type="checkbox"/> Valid from field <input type="checkbox"/> Valid To field <input type="checkbox"/> Submit button 	Invalid Securities Restriction validity period	When performing a Securities update request, in case of request for creation or update of Securities Restriction, the specified validity timestamps must be consistent with the validity dates of the Restriction Type; furthermore they must be greater than the current timestamp ¹⁰¹ and the valid to must be greater than the valid from.

Reference for error message	Field or Button	Error-Text	Description
DSU1041	<input type="checkbox"/> Restriction Type field <input type="checkbox"/> Submit button	Invalid Securities Restriction	When performing a Securities update request, in case of request for update of Securities Restriction, it must refer to an existing instance of Securities Restriction in the System Entity of the Requestor.
DSU1042	<input type="checkbox"/> Restriction Type field <input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	Invalid Securities Restriction	When performing a Securities update request, in case of request for deletion of Securities Restriction, it must refer to an existing instance of Securities Restriction in the System Entity of the Requestor with a non-past Valid To.
DSU1252	<input type="checkbox"/> Attribute Name field <input type="checkbox"/> Submit button	Invalid Market-Specific Securities Attribute Name	When performing a securities update request, in case of a request for the creation of a market-specific securities attribute value, it must refer to an existing market-specific attribute whose type is securities belonging to the same system entity of the requestor.
DSU1254	<input type="checkbox"/> Attribute Name field	The value for the Market-Specific attribute is	When performing a securities update request, in case of a request for the creation/

Reference for error message	Field or Button	Error Text	Description
	<input type="checkbox"/> Attribute Value field <input type="checkbox"/> Submit button	already used (and it must be unique)	update of a market-specific securities attribute value, it must be unique within its system entity in case it is defined as such in T2S.
DSU1255	<input type="checkbox"/> Attribute Value field <input type="checkbox"/> Submit button	Deletion of mandatory Market-Specific Attribute value is not allowed	When performing a securities update request, in case of a request for the deletion of a market-specific securities attribute, it must not be defined as mandatory.
DSU1256	<input type="checkbox"/> Attribute Name field <input type="checkbox"/> Attribute Value field <input type="checkbox"/> Submit button	Market-Specific Attribute Value is mandatory	When performing a Securities update request, in case of request for creation/update of a Market-Specific Securities Attribute Value, the Market-Specific Attribute Value must be present if the relevant Market-Specific Attribute is defined as mandatory.
DSU1257	<input type="checkbox"/> Attribute Value field <input type="checkbox"/> Submit button	Invalid Market-Specific Securities Attribute Name	When performing a Securities update request the Market-Specific Securities Attribute Value must be compliant with the values or rules defined in the relevant Attribute Domain.

Reference for error message	Field or Button	Error-Text	Description
DSU1258	<input type="checkbox"/> Attribute Name field <input type="checkbox"/> Submit button	Market-Specific Attribute cannot have more than one value for this Security	When performing a Securities Update request, each Market-Specific Attribute can have more than one value for a given Security.
DSU1300	<input type="checkbox"/> Restriction Type field <input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	Securities Restriction overlaps with existing instance	When performing a Securities Update request, it is not possible to restrict a security more than once using the same Restriction Type on overlapping periods.
DSU1301	<input type="checkbox"/> Settlement Unit Multiple (as nominal) field <input type="checkbox"/> Settlement Unit Multiple (as unit) field <input type="checkbox"/> Submit button	Settlement Unit Multiple cannot be set to zero.	When performing a Securities update request, the Settlement Unit Multiple for the relevant settlement type cannot be set to zero.
DSU1400	<input type="checkbox"/> Country of Issuance – Code field <input type="checkbox"/> Submit button	Invalid country code	When performing a Securities update request, the Country Code must refer to an existing Country Code in T2S.
DSU1410	<input type="checkbox"/> CFI Code field <input type="checkbox"/> Submit button	CFI not compliant with ISO/DIS 10962:2015(E) standards	When performing a Securities update request, the CFI must be compliant with ISO/DIS 10962:2015(E) standards.
DSU1700	<input type="checkbox"/> Minimum Settlement Unit (as nominal) field	Securities update has been queued and will be ex-	When performing a Security Update request, if the request

Reference for error message	Field or Button	Error Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Minimum Settlement Unit (as unit) field <input type="checkbox"/> Settlement Unit Multiple (as nominal) field <input type="checkbox"/> Settlement Unit Multiple (as unit) field <input type="checkbox"/> Deviating Settlement Unit (as nominal) field <input type="checkbox"/> Deviating Settlement Unit (as units) field <input type="checkbox"/> Submit button 	<p>ecuted during the End of Day period.</p>	<p>contains updates to Issue Date, Minimum Settlement Unit or Settlement Unit Multiple or Deviating Settlement Unit or Settlement Type, and it is submitted outside of the End of Day period, the creation date of the Security must be equal to the current date or, in case it is in the past, the Security must not be defined as eligible for auto-collateralisation in T2S. If the request does not meet these conditions, it is queued and executed at the start of the End of Day period.</p>
DSU1704	<ul style="list-style-type: none"> <input type="checkbox"/> Settlement Unit Multiple (as unit) <input type="checkbox"/> Submit button 	<p>Securities update has been queued and will be executed during the End of Day period.</p>	<p>When performing a Security Update request, if the request contains updates to the Settlement Unit Multiple increasing the number of decimal positions, and it is submitted outside of the End of Day period, the request is queued and executed at the start of the End of Day period.</p>

Reference for error message	Field or Button	Error-Text	Description
DSU1705	<input type="checkbox"/> Issue Currency field <input type="checkbox"/> Submit button	Securities update has been queued and will be executed during the End of Day period.	When performing a Security Update request of a Security expressed in face amount, if the request contains updates to the Currency increasing or leaving unchanged the number of decimal positions, and it is submitted outside of the End of Day period, the request is queued and executed at the start of the End of Day period.
DSU1706	<input type="checkbox"/> Settlement Type field <input type="checkbox"/> Submit button	Securities update has been queued and will be executed during the End of Day period.	When performing a Security Update request of a Security, if the request contains updates to the Settlement Type increasing or leaving unchanged the number of decimal positions, and it is submitted outside of the End of Day period, the request is queued and executed at the start of the End of Day period.
DSU1800	<input type="checkbox"/> Minimum Settlement Unit (as nominal) field <input type="checkbox"/> Minimum Settlement Unit (as unit) field	Invalid number of decimals	When performing a Securities Update request, the number of decimals in the values provided for Minimum Settlement Unit (as

Reference for error message	Field or Button	Error-Text	Description
	<input type="checkbox"/> Settlement Unit Multiple (as nominal) field <input type="checkbox"/> Settlement Unit Multiple (as unit) field <input type="checkbox"/> Deviating Settlement Unit (as nominal) field <input type="checkbox"/> Deviating Settlement Unit (as units) field <input type="checkbox"/> Submit button		Nominal), Settlement Unit Multiple (as Nominal) and Deviating Settlement Unit (as Nominal) must be compliant with the number of decimals foreseen for the relevant currency. {Split book approach}

~~6.5.2.109 Security CSD Link - Details Screen~~

△ [▶ 766]

Reference for error message	Field or Button	Error-Text	Description
DSD4001	<input type="checkbox"/> Issuer/Investor Parent BIC field <input type="checkbox"/> Issuer/Investor Party BIC field <input type="checkbox"/> Restore button <input type="checkbox"/> Delete button	Requestor not allowed	Security CSD Links can only be deleted/restored by a CSD or External CSD. A user can only delete/restore Security CSD Links where the Issuer/Investor CSD belongs to him according to the hierarchical model.
DSD4002	<input type="checkbox"/> ISIN field <input type="checkbox"/> Valid From field <input type="checkbox"/> Issuer/Investor Parent BIC field	Security CSD Link already exists	When performing a Security CSD Link restore request, only one Security CSD

Reference for error message	Field or Button	Error-Text	Description
	<input type="checkbox"/> Issuer/Investor Party BIC field <input type="checkbox"/> Restore button		Link can exist for a given combination of Investor/Issuer Party, Valid From and Linked Security.
DSD4003	<input type="checkbox"/> Status field <input type="checkbox"/> Delete button	Unknown Security CSD Link	When performing a Security CSD Link delete request it must refer to an existing and active Security CSD Link.
DSD4012	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	In case of restore request, the request must refer to an existing and deleted Security CSD Link.	When performing a Security CSD Link restore request it must refer to an existing and deleted Security CSD Link.
DSD4013	<input type="checkbox"/> Issuer/Investor Parent BIC field <input type="checkbox"/> Issuer/Investor Party BIC field <input type="checkbox"/> Technical Issuer Parent BIC field <input type="checkbox"/> Technical Issuer Party BIC field <input type="checkbox"/> Restore button	Invalid Party	When performing a Security CSD Link restore request the Party identifier to be restored must exist in T2S.
DSD4014	<input type="checkbox"/> ISIN field <input type="checkbox"/> Restore button	Invalid Security	When performing a Security CSD Link restore request the Security Identifier to be restored must exist in T2S.
DSD4033	<input type="checkbox"/> Valid From field <input type="checkbox"/> Valid to field <input type="checkbox"/> Delete button	Invalid Valid From/Valid To	When performing a Security CSD Link delete request the Security CSD Link must

Reference for error message	Field or Button	Error-Text	Description
			either have a future Valid From or a past Valid To.
DSD4034	<input type="checkbox"/> Valid From field <input type="checkbox"/> Valid to field <input type="checkbox"/> Restore button	Invalid Valid From/Valid To	When performing a Security CSD Link restore request the Security CSD Link must either have a Valid From equal or greater than the business day or a past Valid To.
DSD4043	<input type="checkbox"/> Security Maintenance field <input type="checkbox"/> Restore button	CSD responsible for Security Maintenance already declared	When performing a Security CSD Link restore request, there can only be one valid Security CSD Link with Maintenance Flag = True at any given moment for the same Security.
DSD4047	<input type="checkbox"/> Valid From field <input type="checkbox"/> Valid to field <input type="checkbox"/> Issuer/Investor Parent BIC field <input type="checkbox"/> Issuer/Investor Party BIC field <input type="checkbox"/> Restore button	CSD already defined as Investor of the security.	When performing a Security CSD Link restore request, in a specific timeframe a CSD (internal or external) can be defined as Investor of a specific Security only once.
DSD4049	<input type="checkbox"/> Link Type field <input type="checkbox"/> Restore button	Missing mandatory market-specific attribute	When performing a Security CSD Link restore request, in case of future instances having Link Type = Investor and the Investor CSD is not an External CSD, a value

Reference for error message	Field or Button	Error-Text	Description
			must be already assigned to the relevant Security for the market-specific attribute defined as mandatory in the Investor CSD system entity.
DSD4050	<input type="checkbox"/> Issuance Account Number field <input type="checkbox"/> Restore button	Invalid Issuance Account(s)	When performing a Security CSD Link restore request, the Issuance Account(s) to be restored must refer to (an) existing and active account(s) in T2S.

{Split book approach}

~~6.5.2.110 Security CSD Link - New/Edit Screen~~

△ [▶ 769]

Reference for error message	Field or Button	Error-Text	Description
DSC4001	<input type="checkbox"/> Issuer/Investor Parent BIC field <input type="checkbox"/> Submit button	Requestor not allowed	When performing a Security CSD Link create request, the Requestor must belong to a CSD or External CSD. A User can create only Security CSD Link where the Issuer or Investor CSD belongs to him according the hierarchical model.

Reference for error message	Field or Button	Error Text	Description
DSC4002	<input type="checkbox"/> ISIN field <input type="checkbox"/> Issuer/Investor Parent BIC field <input type="checkbox"/> Submit button <input type="checkbox"/> Valid from field	Security CSD Link already exists	When performing a Security CSD Link create request, only one Security CSD Link can exist for a given combination of Investor/Issuer Party, Valid From and Linked Security.
DSC4020	<input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button	'Valid From' invalid	When performing a Security CSD Link create request the Valid From specified must be greater than or equal to the current date.
DSC4021	<input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	'Valid To' invalid	When performing a Security CSD Link create request the Valid To specified must be equal to or greater than the current date and greater than or equal to the incoming Valid From.
DSC4030	<input type="checkbox"/> ISIN field <input type="checkbox"/> Submit button	Unknown Security	When performing a Security CSD Link create request, the Linked Security must exist in Security entity.
DSC4040	<input type="checkbox"/> Issuer/Investor Parent BIC field <input type="checkbox"/> Issuer/Investor Party BIC field <input type="checkbox"/> Submit button	Unknown Issuer/Investor Party	When performing a Security CSD Link create request, the Issuer/Investor Party must exist in Party entity.

Reference for error message	Field or Button	Error-Text	Description
DSC4041	<input type="checkbox"/> Technical Issuer Parent BIC field <input type="checkbox"/> Technical Issuer Party BIC field <input type="checkbox"/> Submit button	Unknown Technical Issuer Party	When performing a Security CSD Link create request, the Technical Issuer Party, if specified, must exist in Party entity.
DSC4043	<input type="checkbox"/> Security Maintenance field <input type="checkbox"/> Submit button <input type="checkbox"/> ISIN field	Link with Maintenance flag set to True already existing	When performing a Security CSD Link create request, there can only be one valid Security CSD Link with Maintenance Flag = True at any given moment for the same Security.
DSC4044	<input type="checkbox"/> Security Maintenance field <input type="checkbox"/> Submit button <input type="checkbox"/> Issuer/Investor Parent BIC field <input type="checkbox"/> Issuer/Investor Party BIC field <input type="checkbox"/> Link Type field	External CSD cannot maintain Securities	When performing a Security CSD Link create request, if an External CSD is specified as Issuer, the Maintenance Flag must be set to False.
DSC4045	<input type="checkbox"/> Issuer/Investor Parent BIC field <input type="checkbox"/> Issuer/Investor Party BIC field <input type="checkbox"/> Submit button <input type="checkbox"/> Link Type field <input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field	External CSD already defined as Investor of the security.	When performing a Security CSD Link create request, in a specific timeframe a CSD (internal or external) can be defined as Investor of a specific Security only once.
DSC4046	<input type="checkbox"/> Submit button <input type="checkbox"/> Issuer/Investor Parent BIC field	Issuance Account not allowed for this Link	When performing a Security CSD Link create request, the Is-

Reference for error message	Field or Button	Error-Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Issuer/Investor Party BIC field <input type="checkbox"/> Link Type field <input type="checkbox"/> Issuance Account field 		<p>suance Account can not be specified if the Link Type is 'Investor CSD' or the Issuer CSD is an External CSD.</p>
DSC4047	<ul style="list-style-type: none"> <input type="checkbox"/> Submit button <input type="checkbox"/> Issuance Account Number field <input type="checkbox"/> Issuer/Investor Parent BIC field 	Invalid Issuance Account	<p>When performing a Security CSD Link create request, the Issuance Account must be an existing, active and open account belonging to the Issuer CSD or to a CSD Participant of the Issuer CSD, with account type equal to 'Issuance Account'.</p>
DSC4048	<ul style="list-style-type: none"> <input type="checkbox"/> Issuer/Investor Parent BIC field <input type="checkbox"/> Issuer/Investor Party BIC field <input type="checkbox"/> Submit button <input type="checkbox"/> Technical Issuer Parent BIC field <input type="checkbox"/> Technical Issuer Party BIC field 	Invalid Investor CSD	<p>When performing a Security CSD Link create request, the Investor CSD, in case of External CSD, must belong to the specified Technical Issuer.</p>
DSC4049	<ul style="list-style-type: none"> <input type="checkbox"/> Submit button <input type="checkbox"/> Link Type field <input type="checkbox"/> Issuer/Investor Parent BIC field <input type="checkbox"/> Issuer/Investor BIC field <input type="checkbox"/> ISIN field 	Missing mandatory market-specific securities attribute value	<p>When performing a Security CSD Link create request, in case of Link Type = Investor and the Investor CSD is not an External CSD, a value must be already assigned to the relevant Security for the mar-</p>

Reference for error message	Field or Button	Error-Text	Description
			ket-specific attribute defined as mandatory in the Investor CSD system entity.
DSC4050	<ul style="list-style-type: none"> <input type="checkbox"/> Submit button <input type="checkbox"/> Primary field <input type="checkbox"/> Issuance Account Number field 	One and only one Issuance Account can be set as Primary	When performing a Security CSD Link create request, if at least one Issuance Account belonging to the Issuer CSD is specified, then one and only one Issuance Account of the Issuer CSD must be specified as Primary. No Issuance Account belonging to a CSD Participant can be specified as Primary.
DSU4001	<ul style="list-style-type: none"> <input type="checkbox"/> Issuer/Investor Parent BIC field <input type="checkbox"/> Issuer/Investor Party BIC field <input type="checkbox"/> Submit button 	Requestor not allowed	Security CSD Link can be created only by CSD or External CSD. A user can update only Security CSD Link where his own CSD is linked as Issuer or Investor.
DSU4003	<ul style="list-style-type: none"> <input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button 	Unknown Instance	When performing a Security CSD Link update request, it must refer to an existing and active instance of the Security CSD Link.
DSU4021	<ul style="list-style-type: none"> <input type="checkbox"/> Submit button <input type="checkbox"/> Valid to field 	'Valid To' invalid	When performing a Security CSD Link update request the Valid

Reference for error message	Field or Button	Error-Text	Description
			To must be greater than or equal to the current date and greater than or equal to the Security CSD Link Valid From.
DSU4095	 <input type="checkbox"/> Valid To field <input type="checkbox"/> Submit button 	Update Security CSD Link Valid To: at least one field must be filled in	When performing a Security CSD Link update request, at least one field

{Split book approach}

~~6.5.2.111 Security CSD Links - Search/List Screen~~

△ [▶ 761]

Reference for error message	Field or Button	Error-Text	Description
QMPC021	 <input type="checkbox"/> Valid from to field <input type="checkbox"/> Search button <input type="checkbox"/> Valid from from field 	The From value of the Date From is after the To value of the Date From.	In case the Date From is stated as a range, the From value of the Date From has to be before or equal to the To value of the Date From.
QMPC022	 <input type="checkbox"/> Valid to to field <input type="checkbox"/> Search button <input type="checkbox"/> Valid from from field 	The From value of the Date From is after the To value of the Date To.	In case the Date From and Date To are specified as ranges, the From value of the Date From has to be before or equal to the To value of the Date To.

Reference for error message	Field or Button	Error-Text	Description
QMPC023	<input type="checkbox"/> Search button <input type="checkbox"/> Valid to to field <input type="checkbox"/> Valid to from field	The From value of the Date To is after the To value of the Date To.	In case the To Date is stated as a range, the From value of the Date To has to be before or equal to the To value of the Date To.
DSD4001	<input type="checkbox"/> Issuer/Investor Parent BIC field <input type="checkbox"/> Issuer/Investor Party BIC field <input type="checkbox"/> Restore button <input type="checkbox"/> Delete button	Requestor not allowed	Security CSD Links can only be deleted/restored by a CSD or External CSD. A user can only delete/restore Security CSD Links where the Issuer/Investor CSD belongs to him according the hierarchical model.
DSD4002	<input type="checkbox"/> ISIN field <input type="checkbox"/> Valid from field <input type="checkbox"/> Issuer/Investor Parent BIC field <input type="checkbox"/> Issuer/Investor Party BIC field <input type="checkbox"/> Restore button	Security CSD Link already exists	When performing a Security CSD Link restore request, only one Security CSD Link can exist for a given combination of Investor/Issuer Party, Valid From and Linked Security.
DSD4003	<input type="checkbox"/> Status field <input type="checkbox"/> Delete button	Unknown Security CSD Link	When performing a Security CSD Link delete request it must refer to an existing and active Security CSD Link.
DSD4012	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	In case of restore request, the request must refer	When performing a Security CSD Link restore request it must

Reference for error message	Field or Button	Error-Text	Description
		to an existing and deleted Security CSD-Link	refer to an existing and deleted Security CSD-Link.
DSD4013	<input type="checkbox"/> Issuer/Investor Parent BIC field <input type="checkbox"/> Issuer/Investor Party BIC field <input type="checkbox"/> Technical Issuer Parent BIC field <input type="checkbox"/> Technical Issuer Party BIC field <input type="checkbox"/> Restore button	Invalid Party	When performing a Security CSD-Link restore request the Party identifier to be restored must exist in T2S.
DSD4014	<input type="checkbox"/> ISIN field <input type="checkbox"/> Restore button	Invalid Security	When performing a Security CSD-Link restore request the Security Identifier to be restored must exist in T2S.
DSD4033	<input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Delete button	Invalid Valid From/Valid To	When performing a Security CSD-Link delete request the Security CSD-Link must either have a future Valid From or a past Valid To.
DSD4034	<input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Restore button	Invalid Valid From/Valid To	When performing a Security CSD-Link restore request the Security CSD-Link must either have a Valid From equal or greater than the business day or a past Valid To.

Reference for error message	Field or Button	Error Text	Description
DSD4043	<input type="checkbox"/> Securities Maintenance field <input type="checkbox"/> Restore button	CSD responsible for Security Maintenance already declared.	When performing a Security CSD Link restore request, there can only be one valid Security CSD Link with Maintenance Flag = True at any given moment for the same Security.
DSD4047	<input type="checkbox"/> Restore button <input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Issuer/Investor Parent BIC field <input type="checkbox"/> Issuer/Investor Party BIC field	CSD already defined as Investor of the security.	When performing a Security CSD Link restore request, in a specific timeframe a CSD (internal or external) can be defined as Investor of a specific Security only once.
DSD4049	<input type="checkbox"/> Link Type field <input type="checkbox"/> Restore button	Missing mandatory market-specific attribute	When performing a Security CSD Link restore request, in case of future instances having Link Type = Investor and the Investor CSD is not an External CSD, a value must be already assigned to the relevant Security for the market-specific attribute defined as mandatory in the Investor CSD system entity.
DSD4050	<input type="checkbox"/> Restore button	Invalid Issuance Account(s)	When performing a Security CSD Link restore request, the Issuance Account(s) to be restored must refer

Reference for error message	Field or Button	Error Text	Description
			to (an) existing and active account(s) in T2S.

{Split book approach}

~~6.5.2.112 Securities Subject to Cash Penalties - New/Edit Screen~~

△ [▶ 790]

Reference for error messages	Field or Button	Error Text	Description
DSC6001	<input type="checkbox"/> Submit button	Requestor not allowed	Securities Subject to Cash Penalties can only be created by T2S Operator or CSDs. CSDs can only create Securities Subject to Cash Penalties if they are defined as Securities Maintaining Entity (SME) of the related Security.
DSC6002	<input type="checkbox"/> Submit button	Invalid Security identifier	When performing a Securities Subject to Cash Penalties creation request, the Security Identifier must refer to an existing and active Security.
DSC6003	<input type="checkbox"/> CFI code field	Liquidity is only allowed and mandatory for Shares	When performing a Securities Subject to Cash Pen-

Reference for error messages	Field or Button	Error Text	Description
	<input type="checkbox"/> Liquidity status field <input type="checkbox"/> Submit button		alties creation request, the Liquidity attribute can only be used (and is mandatory) for Shares, as defined in the ISIN's CFI code.
DSC6004	<input type="checkbox"/> Valid To field <input type="checkbox"/> Submit button	Valid To cannot be set to earlier than previous business date or Valid From	When performing a Securities Subject to Cash Penalties creation request, the Valid To must be equal to or greater than the business day preceding the current date and equal to or greater than the Valid From.
DSC6005	<input type="checkbox"/> ISIN field <input type="checkbox"/> Valid From field <input type="checkbox"/> Valid To field <input type="checkbox"/> Submit button	Instance already exists in the same validity period	When performing a Securities Subject to Cash Penalties creation request, no more than one active instance can exist for the same Security over the same validity period.
DSU6001	<input type="checkbox"/> Submit button	Requestor not allowed	Securities Subject to Cash Penalties can only be updated by T2S Operator or CSDs.

Reference for error messages	Field or Button	Error Text	Description
			CSDs can only update Securities Subject to Cash Penalties if they are defined as Securities Maintaining Entity (SME) of the related Security.
DSU6002	<input type="checkbox"/> Submit button	Invalid instance to be updated	When performing a Securities Subject to Cash Penalties update request, it must refer to an existing and active instance.
DSU6003	<input type="checkbox"/> Liquidity status field <input type="checkbox"/> Submit button	Liquidity can only be input for Shares.	When performing a Securities Subject to Cash Penalties update request, the Liquidity attribute can only be used for ISINs with financial instrument type = "SHRS".
DSU6004	<input type="checkbox"/> Valid To field <input type="checkbox"/> Submit button	Valid To cannot be set to earlier than previous business date or Valid From	When performing a Securities Subject to Cash Penalties update request, the Valid To must be equal to or greater than the business day preceding the current date and

Reference for error messages	Field or Button	Error Text	Description
			equal to or greater than the Valid From.
DSU6005	<input type="checkbox"/> Valid To field <input type="checkbox"/> Submit button	Past Valid To cannot be updated	When performing a Securities Subject to Cash Penalties update request, the Valid To can be updated only if the current value is equal to or greater than the business day preceding the current business date.
DSU6006	<input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button	Instance already exists in the same validity period	When performing a Securities Subject to Cash Penalties update request, no more than one active instance can exist for the same Security over the same validity period.

{Split book approach}

~~6.5.2.113 Securities Subject to Cash Penalties - Search/List Screen~~

△ [▶ 786]

Reference for error messages	Field or Button	Error Text	Description
DSD6001	<input type="checkbox"/> Delete button <input type="checkbox"/> Restore button	Requestor not allowed	Securities Subject to Cash Penalties can only be deleted/restored by T2S Operator or CSDs.
DSD6002	<input type="checkbox"/> Status field <input type="checkbox"/> Valid From – From field <input type="checkbox"/> Valid From field <input type="checkbox"/> Valid To field <input type="checkbox"/> Delete button	Invalid entity to be deleted	CSDs can only delete/restore Securities Subject to Cash Penalties if they are defined as Securities Maintaining Entity (SME) of the related Security.
DSD6003	<input type="checkbox"/> Status field <input type="checkbox"/> Valid From – From field <input type="checkbox"/> Valid From field <input type="checkbox"/> Valid To field <input type="checkbox"/> Restore button	Invalid entity to be restored	When performing a Securities Subject to Cash Penalties deletion request, it must refer to an existing and active instance with either Valid From later than the current business date or Valid To earlier than the current business date (where the month cannot be the one of the current business date or the previous one).
DSD6004	<input type="checkbox"/> ISIN field <input type="checkbox"/> Restore button <input type="checkbox"/>	Invalid Security identifier	When performing a Securities Subject to Cash Penalties restore request, it must

Reference for error messages	Field or Button	Error Text	Description
			refer to an existing and deleted instance that is past its Valid To or before its Valid From.
DSD6005	<ul style="list-style-type: none"> <input type="checkbox"/> Valid From field <input type="checkbox"/> Valid To field <input type="checkbox"/> Restore button <input type="checkbox"/> 	Instance already exists in the same validity period	When performing a Securities Subject to Cash Penalties restore request, the Security Identifier must refer to an existing and active Security.

{Split book approach}

~~6.5.2.114 Service Item Details Screen~~

△ [▶ 1232]

~~No references for error messages.~~ **{Split book approach}**

~~6.5.2.115 Service Items Search/List Screen~~

△ [▶ 1230]

~~No references for error messages.~~ **{Split book approach}**

~~6.5.2.116 Settlement Day Search/List Screen~~

△ [▶ 706]

Reference for error message	Field or Button	Error Text	Description
OSAC003	<ul style="list-style-type: none"> <input type="checkbox"/> Event Type Description field <input type="checkbox"/> Search button 	Invalid Event Type	The Event Type specified in a request for access to the business day schedule

Reference for error message	Field or Button	Error-Text	Description
			must be an existing event in the daily plan.
OSAC005	<input type="checkbox"/> Search button	Missing mandatory section/field	A request for access to the business day schedule must contain one and only one criteria specification.
OSAC006	<input type="checkbox"/> Event Type Description field <input type="checkbox"/> Search button	No data available	In a request to access the current day schedule, the Event Type Code and Event Schedule Time (if specified) must be consistent and refer to an existing event occurrence in the daily schedule.

{Split book approach}

~~6.5.2.117 SME Growth Market - Search/List Screen~~

△ [▶ 794]

Reference for error message	Field or Button	Error-Text	Description
DSD0001		Requestor not allowed	SME Growth Market can be deleted/restored only by T2S Operator.
DSD0002	<input type="checkbox"/> Status field	Invalid instance to be deleted	When performing a SME Growth Market delete request, it must refer to an existing and active instance.

Reference for error message	Field or Button	Error Text	Description
DSD0003	<input type="checkbox"/> Status field	Invalid instance to be restored	When performing a SME Growth Market restore request, it must refer to an existing and deleted instance.
DSD0004	<input type="checkbox"/> MIC Identifier field	Instance already exists	When performing a SME Growth Market restore request, there cannot be more than one active instance with the same MIC Identifier. {Split book approach}

~~6.5.2.118 Standing/Predefined Liquidity Transfer Order - Details Screen~~

△ [▶ 945]

Reference for error message	Field or Button	Error Text	Description
DCD4001	<input type="checkbox"/> Delete button	Requestor not allowed	A Liquidity Transfer Order can only be deleted by the NCB, Payment Bank or Ancillary System responsible for the account to be debited. Exceptions to the above rule are represented by any user that is granted the appropriate privilege(s) on the specified account to be debited. In addi-

Reference for error message	Field or Button	Error Text	Description
			tion, NCBs and Payment Banks can manage LTOs on Cash Accounts for which they are defined as Co-Managers.
DCD4003	<input type="checkbox"/> Delete button <input type="checkbox"/> Status field	Unknown Liquidity Transfer Order	When performing a Liquidity Transfer Order delete request, it must refer to an existing and active instance in CRDM.
DCD4012	<input type="checkbox"/> Delete button <input type="checkbox"/> Status field	Unknown Liquidity Transfer Order	When performing a Liquidity Transfer Order restore request, it must refer to an existing and deleted Liquidity Transfer Order.
DCD4020	<input type="checkbox"/> Creditor Cash Account Number field <input type="checkbox"/> Restore button	Unknown creditor Cash Account	When performing a Liquidity Transfer Order restore request, if the restored instance contains a reference to a creditor Cash Account, this must refer to an existing and open Cash Account in CRDM.
DCD4021	<input type="checkbox"/> Restore button	Unknown External RTGS Cash Account	When performing a Liquidity Transfer Order restore request, if the restored instance contains a reference to a creditor External RTGS Cash Account, this must refer to an

Reference for error message	Field or Button	Error Text	Description
			existing and open External RTGS Account in CRDM.
DCD4022	<input type="checkbox"/> Debited Cash Account Number field <input type="checkbox"/> Restore button	Unknown Cash Account	When performing a Liquidity Transfer Order restore request, the restored debited Cash Account must refer to an existing and open account in CRDM.
DCD4030	<input type="checkbox"/> Delete button	The deletion/ close is not allowed due to a deletion priority constraint	When performing a Liquidity Transfer Order delete request, a Liquidity Transfer Order cannot be deleted if there still are valid and active instances of Liquidity Transfer Order Link Set linked to it.
DCD4075	<input type="checkbox"/> Restore button	Liquidity Transfer Order Reference already assigned	When performing a Liquidity Transfer Order restore request the Liquidity Transfer Order Reference to be restored must not be already assigned to an existing and active instance for the same Cash Account.
DCD4081	<input type="checkbox"/> Event Type field <input type="checkbox"/> Restore button	Unknown Event Type Identifier	When performing a Liquidity Transfer Order Restore request, the Event Type Code must refer to an active

Reference for error message	Field or Button	Error Text	Description
			and existing instance in Event Type eligible for this use.
DCD4090	<input type="checkbox"/> Restore button	Predefined liquidity transfer order already defined for the specified time/business event	When performing a Liquidity Transfer Order restore request, only one predefined liquidity transfer order can be defined to be executed at the same timestamp and/or business event for each cash account.
DCD4100	<input type="checkbox"/> Event Type field <input type="checkbox"/> Restore button	Unknown Event type Code	When performing a Liquidity Transfer Order restore request, the Event Type Code to be restored must refer to an existing code in Event type.
DCD4200	<input type="checkbox"/> Event Type field <input type="checkbox"/> Restore button	LTO linked to CARL event already exists for this Cash Account	When performing a Liquidity Transfer Order Restore request, there cannot be more than one instance for each Cash Account linked to Event Type Code 'CARL' in a given time period.

{Split book approach}

~~6.5.2.119 Standing/Predefined Liquidity Transfer Order - New/Edit Screen~~

△ [▶ 948]

Reference for error message	Field or Button	Error Text	Description
DCC4001	<input type="checkbox"/> Submit button	Requestor not allowed	A Liquidity Transfer Order can only be created by the NCB, Payment Bank or Ancillary System responsible for the account to be debited. Exceptions to the above rule are represented by any user that is granted the appropriate privilege(s) on the specified account to be debited. In addition, NCBs and Payment Banks can manage LTOs on Cash Accounts for which they are defined as Co-Managers.
DCC4069	<input type="checkbox"/> Debited Cash Account Number field <input type="checkbox"/> Submit button	Invalid Debited Cash Account	When performing a Rule-Based Liquidity Transfer Order Create request, the specified Cash account to be debited must refer to an existing, active and open instance in CRDM with cash account type RTGS DCA, RTGS Central Bank Account, MCA, CLM Central Bank Account, T2S Dedicated Cash Account or TIPS Account.

Reference for error message	Field or Button	Error Text	Description
DCC4070	<input type="checkbox"/> Debited Cash Account Number field <input type="checkbox"/> Submit button	Invalid Debited Cash Account	When performing a Standing Liquidity Transfer Order Create request, the specified Cash account to be debited must refer to an existing, active and open instance in CRDM with cash account type RTGS DCA, RTGS Sub-Account, RTGS Central Bank Account, Ancillary System Technical Account, MCA, Overnight Deposit Account, Marginal Lending Account, CLM Central Bank Account, Central Bank ECB Account, T2S Dedicated Cash Account, T2S Dedicated Transit Account or T2S Central Bank Account.
DCC4071	<input type="checkbox"/> Debited Cash Account Number field <input type="checkbox"/> Submit button	Invalid Debited Cash Account	When performing Predefined Liquidity Transfer Order Create request, the specified Cash account to be debited must refer to an existing, active and open instance in CRDM with cash account type T2S Dedic-

Reference for error message	Field or Button	Error Text	Description
			ated Cash Account or T2S Central Bank Account.
DCC4075	<input type="checkbox"/> Order Reference field <input type="checkbox"/> Submit button	Liquidity Transfer Order Reference already assigned	When performing a Liquidity Transfer Order Create request, the specified Liquidity Transfer Order Reference must not be already assigned to an existing and active instance for the same Debited Cash Account.
DCC4078	<input type="checkbox"/> Creditor Cash Account Number field <input type="checkbox"/> Submit button	Invalid Creditor Cash Account	When performing a Rule Based Liquidity Transfer Order Create request, the specified Creditor Account must be a Cash Account and it must refer to an existing, active and open instance in CRDM with cash account type RTGS DCA, RTGS Central Bank Account, TIPS Account, MCA, CLM Central Bank Account, Central Bank ECB Account, T2S Central Bank Account or T2S Dedicated Cash Account.

Reference for error message	Field or Button	Error Text	Description
			<p>If the debited account is a T2S DCA or TIPS Account, the creditor account must be an MCA.</p> <p>If the debited account is an RTGS DCA or RTGS CB Account, the creditor account cannot be a T2S or TIPS Account.</p> <p>If the debited account is a CLM CB Account, the creditor account cannot be a T2S DCA or TIPS Account.</p> <p>Furthermore, when the Creditor Account is a Cash Account, it must have the same currency as the debited Cash Account.</p>
DCC4079	<ul style="list-style-type: none"> <input type="checkbox"/> Creditor Cash Account Number field <input type="checkbox"/> Submit button 	Invalid Creditor Cash Account	<p>When performing a Standing or Pre-defined Liquidity Transfer Order Create request, when the specified Creditor Account is a Cash Account, it must refer to an existing, active and open instance in CRDM with cash account type RTGS DCA, RTGS Sub-Account, RTGS Central Bank Account, Ancil-</p>

Reference for error message	Field or Button	Error Text	Description
			<p>lary System Technical Account, TIPS Account, MCA, Overnight Deposit Account, Marginal Lending Account, CLM Central Bank Account, Central Bank ECB Account, T2S Dedicated Cash Account, T2S Dedicated Transit Account or T2S Central Bank Account.</p> <p>Furthermore, when the Creditor Account is a Cash Account, it must have the same currency as the debited Cash Account.</p>
DCC4080	<ul style="list-style-type: none"> <input type="checkbox"/> Creditor Cash Account Number field <input type="checkbox"/> Submit button 	Invalid Creditor External RTGS Cash Account	When performing a Liquidity Transfer Order Create request, when the specified Creditor Account is an External RTGS Account, it must refer to an existing, active and open instance in CRDM. Furthermore, it must have the same currency as the debited Cash Account.
DCC4081	<ul style="list-style-type: none"> <input type="checkbox"/> Event type field <input type="checkbox"/> Submit button 	Unknown Event Type Identifier	When performing a Liquidity Transfer Order Create request, the Event Type Code, when specified in the

Reference for error message	Field or Button	Error Text	Description
			create request, must refer to an active and existing instance in Event Type belonging to the same Service as the debited Cash Account and eligible for this use.
DCC4082	<input type="checkbox"/> Debited Cash Account Number field <input type="checkbox"/> Dedicated Amount field <input type="checkbox"/> All Cash field <input type="checkbox"/> Submit button	Invalid attributes for the Debited Cash Account	When performing a Liquidity Transfer Order Create request, the attributes Dedicated Amount and All Cash are allowed only with Debited Account type equal to T2S Dedicated Cash Account, T2S Dedicated Transit Account or T2S Central Bank Account. Furthermore the Order Type can only be set to 'Predefined' in the same scenario.
DCC4085	<input type="checkbox"/> Creditor Cash Account Number field <input type="checkbox"/> Debited Cash Account Number field <input type="checkbox"/> Submit button	Invalid Creditor and Debited Cash Accounts	When performing a Liquidity Transfer Order Create request, if the specified Creditor or Debited Cash account is an Ancillary System Technical Account, then the counterpart must be an RTGS DCA or TIPS Account.
DCC4090	<input type="checkbox"/> Submit button	Predefined liquidity transfer order already defined	Only one predefined liquidity transfer order can be defined to be

Reference for error message	Field or Button	Error Text	Description
		for the specified time/business event	executed at the same timestamp and/or business event for each cash account.
DCC4091	<input type="checkbox"/> Amount field <input type="checkbox"/> All Cash field <input type="checkbox"/> Dedicated Amount field <input type="checkbox"/> Submit button	All Cash/Dedicated Amount must not be set both to TRUE	When performing a Liquidity Transfer Order Create request, the Dedicated Amount field and the All Cash field cannot be set both to True.
DCC4092	<input type="checkbox"/> Amount field <input type="checkbox"/> All Cash field <input type="checkbox"/> Dedicated Amount field <input type="checkbox"/> Submit button	Amount must be set to zero if All Cash/Dedicated Amount is TRUE	When performing a Liquidity Transfer Order Create request, the Amount must be set to zero if the Dedicated Amount field or the All Cash field are set to True.
DCC4093	<input type="checkbox"/> Amount field <input type="checkbox"/> All Cash field <input type="checkbox"/> Dedicated Amount field <input type="checkbox"/> Submit button	Amount cannot be set to zero if All Cash and Dedicated Amount are FALSE	When performing a Liquidity Transfer Order Create request, the Amount cannot be set to zero if the Dedicated Amount field and the All Cash field are set to False.
DCC4120	<input type="checkbox"/> Valid From field <input type="checkbox"/> Submit button	Valid From invalid	When performing a Liquidity Transfer Order Create request, the Valid From specified in a Liquidity Transfer Order maintenance request must be equal to or greater than the current date and not

Reference for error message	Field or Button	Error Text	Description
			greater than the debited account's closing date (if applicable).
DCC4121	<input type="checkbox"/> Valid To field <input type="checkbox"/> Submit button	Valid To invalid	When performing a Liquidity Transfer Order Create request, the Valid To specified in a Liquidity Transfer Order maintenance request must be equal to or greater than the current date, equal to or greater than the Valid From, and not greater than the debited account's closing date (if applicable).
DCC4185	<input type="checkbox"/> Creditor Cash Account Number field <input type="checkbox"/> Debited Cash Account Number field <input type="checkbox"/> Submit button	The Creditor and Debited Accounts must belong to the same Liquidity Transfer Group	When performing a Liquidity Transfer Order Create request from an RTGS DCA to an other RTGS DCA or from an MCA to an other MCA, the accounts must belong to the same Liquidity Transfer Group defined in CRDM.
DCC4200	<input type="checkbox"/> Event type field <input type="checkbox"/> Submit button	LTO linked to CARL event already exists for this DCA	When performing a Liquidity Transfer Order Create request, there cannot be more than one instance for each Cash Account linked to Event Type Code 'CARL' in a given time period.

Reference for error message	Field or Button	Error Text	Description
DCC4201	<input type="checkbox"/> AS Procedure field <input type="checkbox"/> Submit button	Invalid use of AS Procedure	When performing a Liquidity Transfer Order Create request, the AS Procedure field can be used only for Debited Accounts belonging to CLM or RTGS.
DCC4202	<input type="checkbox"/> Order type field <input type="checkbox"/> Submit button	Invalid Order Type	When performing a Liquidity Transfer Order Create request, the fields Amount, Event Type Code, All Cash, Dedicated Amount, Execution Time and AS Procedure can only be used for Standing and Pre-defined orders.
DCC4210	<input type="checkbox"/> Event Type field <input type="checkbox"/> Submit button	OCS2 cannot be linked to a non-EUR account	When performing a Liquidity Transfer Order Create request, Event Type Code 'OCS2' (second optional cash sweep) can only be linked to Cash Accounts in EUR.
DCC4800	<input type="checkbox"/> Amount field <input type="checkbox"/> Submit button	Invalid number of decimals	When performing a Liquidity Transfer Order Create request, the number of decimals in the value provided for Amount must be compliant with the number of decimals foreseen for the relevant currency.

Reference for error message	Field or Button	Error Text	Description
DCU4001	<input type="checkbox"/> Submit button	Requestor not allowed	A Liquidity Transfer Order can only be updated by the NCB, Payment Bank or Ancillary System responsible for the account to be debited. Exceptions to the above rule are represented by any user that is granted the appropriate privilege(s) on the specified account to be debited. In addition, NCBs and Payment Banks can manage LTOs on Cash Accounts for which they are defined as Co-Managers.
DCU4003	<input type="checkbox"/> Submit button	Data to be updated not found	When performing a Liquidity Transfer Order update request, it must refer to an existing and active instance in CRDM.
DCU4010	<input type="checkbox"/> Valid From field <input type="checkbox"/> Valid To field <input type="checkbox"/> Submit button	Invalid "Valid From"/"Valid To" for a Predefined Order	When performing a Liquidity Transfer Order Update request, if the Order Type is 'Predefined', the Valid From and Valid To must contain identical values.

Reference for error message	Field or Button	Error Text	Description
DCU4030	<input type="checkbox"/> Submit button	The deletion/ close is not allowed due to a deletion priority constraint	When performing a Liquidity Transfer Order update request, the Liquidity Transfer Order cannot be 'closed' if there still are valid Liquidity Transfer Order Link Sets linked to it.
DCU4081	<input type="checkbox"/> Event Type field <input type="checkbox"/> Submit button	Unknown Event Type Identifier	When performing a Liquidity Transfer Order update request, the Event Type Code, when specified in the update request, must refer to an active and existing instance in Event Type and eligible for this use.
DCU4082	<input type="checkbox"/> Dedicated Amount field <input type="checkbox"/> All Cash field <input type="checkbox"/> Debited Cash Account Number field <input type="checkbox"/> Submit button	Invalid attributes for the Debited Cash Account	When performing a Liquidity Transfer Order Update request, the attributes Dedicated Amount and All Cash are allowed only if the Debited Account type is equal to T2S Dedicated Cash Account, T2S Dedicated Transit Account or T2S Central Bank Account. Furthermore the Order Type can only be set to 'Predefined' in the same scenario.

Reference for error message	Field or Button	Error Text	Description
DCU4090	<input type="checkbox"/> Submit button	Predefined liquidity transfer order already defined for the specified time/business event	Only one predefined liquidity transfer order can be defined to be executed at the same timestamp and/or business event for each cash account.
DCU4091	<input type="checkbox"/> Dedicated Amount field <input type="checkbox"/> All Cash field <input type="checkbox"/> Submit button	All Cash/Dedicated Amount must not be set both to TRUE	When performing a Liquidity Transfer Order update request, the Dedicated Amount field and the All Cash field cannot be set both to True.
DCU4092	<input type="checkbox"/> Amount field <input type="checkbox"/> All Cash field <input type="checkbox"/> Dedicated Amount field <input type="checkbox"/> Submit button	Amount must be set to zero if All Cash/Dedicated Amount is TRUE	When performing a Liquidity Transfer Order update request, the Amount must be set to zero if the Dedicated Amount field or the All Cash field are set to True.
DCU4093	<input type="checkbox"/> Amount field <input type="checkbox"/> All Cash field <input type="checkbox"/> Dedicated Amount field <input type="checkbox"/> Submit button	Amount cannot be set to zero if All Cash and Dedicated Amount are FALSE	When performing a Liquidity Transfer Order update request, the Amount cannot be set to zero if the Dedicated Amount field and the All Cash field are set to False.
DCU4130	<input type="checkbox"/> Valid To field <input type="checkbox"/> Submit button	Valid To invalid	When performing a Liquidity Transfer Order update request, the Valid To must be equal to or greater than the current date, greater than the valid

Reference for error message	Field or Button	Error Text	Description
			from and not greater than the Cash account's closing date (if applicable).
DCU4140	<input type="checkbox"/> Valid From field <input type="checkbox"/> Submit button	Valid From invalid	When performing a Liquidity Transfer Order update request, the Valid From specified must be equal to or greater than the current date and not greater than the Cash account's closing date (if applicable).
DCU4141	<input type="checkbox"/> Valid From field <input type="checkbox"/> Submit button	Valid From invalid	When performing a Liquidity Transfer Order update request, the Valid From can be modified only if the existing one is greater than the current date.
DCU4200	<input type="checkbox"/> Event type field <input type="checkbox"/> Submit button	LTO linked to CARL event already exists for this DCA	When performing a Liquidity Transfer Order Update request, there cannot be more than one instance for each Cash Account linked to Event Type Code 'CARL' in a given time period.
DCU4201	<input type="checkbox"/> AS Procedure field <input type="checkbox"/> Submit button	Invalid use of AS Procedure	When performing a Liquidity Transfer Order Update request, the AS Procedure field can be used only for

Reference for error message	Field or Button	Error Text	Description
			Debited Accounts belonging to CLM or RTGS.
DCU4202	<input type="checkbox"/> Order type field <input type="checkbox"/> Submit button	Invalid Order Type	When performing a Liquidity Transfer Order Create request, the fields Amount, Event Type Code, All Cash, Dedicated Amount, Execution Time and AS Procedure can only be used for Standing and Pre-defined orders.
DCU4210	<input type="checkbox"/> Event Type field <input type="checkbox"/> Submit button	OCS2 cannot be linked to a non-EUR account	When performing a Liquidity Transfer Order Create request, Event Type Code 'OCS2' (second optional cash sweep) can only be linked to Cash Accounts in EUR.
DCU4800	<input type="checkbox"/> Amount field <input type="checkbox"/> Submit button	Invalid number of decimals	When performing a Liquidity Transfer Order Update request, the number of decimals in the value provided for Amount must be compliant with the number of decimals foreseen for the relevant currency.

{Split book approach}

~~6.5.2.120 Standing/Predefined Liquidity Transfer Orders – Search/List Screen~~

△ [▶ 939]

Reference for error message	Field or Button	Error Text	Description
DCD4001	<input type="checkbox"/> Delete button	Requestor not allowed	A Liquidity Transfer Order can only be deleted by the NCB, Payment Bank or Ancillary System responsible for the account to be debited. Exceptions to the above rule are represented by any user that is granted the appropriate privilege(s) on the specified account to be debited. In addition, NCBs and Payment Banks can manage LTOs on Cash Accounts for which they are defined as Co-Managers.
DCD4003	<input type="checkbox"/> Delete button <input type="checkbox"/> Status field	Unknown Liquidity Transfer Order	When performing a Liquidity Transfer Order delete request, it must refer to an existing and active instance in CRDM.
DCD4012	<input type="checkbox"/> Delete button <input type="checkbox"/> Status field	Unknown Liquidity Transfer Order	When performing a Liquidity Transfer Order restore request, it must refer to an existing and deleted Liquidity Transfer Order.
DCD4020	<input type="checkbox"/> Creditor Cash Account Number field <input type="checkbox"/> Restore button	Unknown creditor Cash Account	When performing a Liquidity Transfer Order restore request, if the restored instance contains a reference

Reference for error message	Field or Button	Error Text	Description
			to a creditor Cash Account, this must refer to an existing and open Cash Account in CRDM.
DCD4021	<input type="checkbox"/> Restore button	Unknown External RTGS Cash Account	When performing a Liquidity Transfer Order restore request, if the restored instance contains a reference to a creditor External RTGS Cash Account, this must refer to an existing and open External RTGS Account in CRDM.
DCD4022	<input type="checkbox"/> Debited Cash Account Number field <input type="checkbox"/> Restore button	Unknown Cash Account	When performing a Liquidity Transfer Order restore request, the restored debited Cash Account must refer to an existing and open account in CRDM.
DCD4030	<input type="checkbox"/> Delete button	The deletion/close is not allowed due to a deletion priority constraint	When performing a Liquidity Transfer Order delete request, a Liquidity Transfer Order cannot be deleted if there still are valid and active instances of Liquidity Transfer Order Link Set linked to it.
DCD4075	<input type="checkbox"/> Restore button	Liquidity Transfer Order Reference already assigned	When performing a Liquidity Transfer Order restore request the Liquidity Transfer Order

Reference for error message	Field or Button	Error Text	Description
			Reference to be re-stored must not be already assigned to an existing and active instance for the same Cash Account.
DCD4081	<input type="checkbox"/> Event Type field <input type="checkbox"/> Restore button	Unknown Event Type Identifier	When performing a Liquidity Transfer Order Restore request, the Event Type Code must refer to an active and existing instance in Event Type eligible for this use.
DCD4090	<input type="checkbox"/> Restore button	Predefined liquidity transfer order already defined for the specified time/business event	When performing a Liquidity Transfer Order restore request, only one predefined liquidity transfer order can be defined to be executed at the same timestamp and/or business event for each cash account.
DCD4100	<input type="checkbox"/> Event Type field <input type="checkbox"/> Restore button	Unknown Event type Code	When performing a Liquidity Transfer Order restore request, the Event Type Code to be restored must refer to an existing code in Event type.
DCD4200	<input type="checkbox"/> Event Type field <input type="checkbox"/> Restore button	LTO linked to CARL event already exists for this Cash Account	When performing a Liquidity Transfer Order Restore request, there cannot be more than one instance for each Cash Account linked

Reference for error message	Field or Button	Error Text	Description
			to Event Type Code 'CARL' in a given time period.

{Split book approach}

~~6.5.2.121 Standing/Predefined Liquidity Transfer Order Link Set - Details Screen~~

△ [▶ 959]

Reference for error message	Field or Button	Error Text	Description
DCD5001	<input type="checkbox"/> Delete button <input type="checkbox"/> Restore button	Requestor not allowed	A Liquidity Transfer Order Link Set can only be deleted/restored by the responsible NCB or Payment Bank or by a Party authorised to act on behalf of the Account Holder. Exceptions to the above rule are represented by any user that is granted the appropriate privilege(s) on the specific Party to be linked to the account.
DCD5003	<input type="checkbox"/> Status field <input type="checkbox"/> Delete button	Unknown Liquidity Transfer Order Link Set Identifier	Delete requests of Liquidity Transfer Order Link Set entities must refer to existing and active Liquidity Transfer Order Link Set instances.

Reference for error message	Field or Button	Error Text	Description
DCD5012	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Unknown Liquidity Transfer Order Link Set Identifier	In case of restore request, the request must refer to an existing and deleted Liquidity Transfer Order Link Set.
DCD5033	<input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Delete button	'Valid To' invalid	In case of delete, the Valid To specified in the Liquidity Transfer Order Link Set instance must be less than the current date otherwise, the Valid From must be greater than the current date
DCD5080	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Instance not found in Liquidity Transfer Order	In case of restore, The Liquidity Transfer Order Identifier must refer to existing and active Liquidity Transfer Order
DCD5092	<input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Restore button	Instance not found in T2S Dedicated Cash Account	In case of restore, the T2S Dedicated Cash Account Technical Identifier specified in a Liquidity Transfer Order Link Set must refer to an existing, active and open T2S Dedicated Cash Account.
DCU5001	<input type="checkbox"/> Edit button	Requestor not allowed	A Liquidity Transfer Order Link Set can only be updated by the responsible NCB or Payment Bank, or by a Party authorised

Reference for error message	Field or Button	Error-Text	Description
			to act on behalf of the account holder. Exceptions to the above rule are represented by any user that is granted the appropriate privilege(s) on the specific Party to be linked to the account.

{Split book approach}

~~6.5.2.122 Standing/Predefined Liquidity Transfer Order Link Set - New/Edit Screen~~

△ [▶ 963]

Reference for error message	Field or Button	Error-Text	Description
DCC5001	<input type="checkbox"/> Submit button <input type="checkbox"/> T2S Dedicated Cash Account Number field	Requestor not allowed	A Liquidity Transfer Order Link Set can only be created by the responsible NCB or Payment Bank, or by a Party authorised to act on behalf of the account holder. Exceptions to the above rule are represented by any user that is granted the appropriate privilege(s) on the specific Party to be linked to the account.
DCC5042	<input type="checkbox"/> Order Sequence field <input type="checkbox"/> Submit	Transfer Order Sequence already assigned	When performing a Liquidity Transfer Order Link Set create request the value of

Reference for error message	Field or Button	Error-Text	Description
			Transfer Order Sequence must be unique within all individual instances of each Liquidity Transfer Order Link Set
DCC5070	<input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Submit button	Unknown T2S Dedicated Cash Account	When performing a Liquidity Transfer Order Link Set create request, the T2S Dedicated Cash Account Technical Identifier specified must refer to an existing, active and open T2S Dedicated Cash Account.
DCC5080	<input type="checkbox"/> Submit button <input type="checkbox"/> Liquidity Transfer Order Reference field	Unknown Liquidity Transfer Order	When performing a Liquidity Transfer Order Link Set create request the Liquidity Transfer Order Identifier must refer to existing and active Liquidity Transfer Order
DCC5090	<input type="checkbox"/> Liquidity Transfer Order Reference field <input type="checkbox"/> Submit button	Liquidity Transfer Order(s) not linked to the specified T2S Dedicated Cash Account	When performing a Liquidity Transfer Order Link Set create request, all the Liquidity Transfer Order Identifiers must refer to the T2S Dedicated Cash Account Technical Identifier specified in input.
DCC5120	<input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button	'Valid From' invalid	When performing a Liquidity Transfer Order Link Set create re-

Reference for error message	Field or Button	Error Text	Description
			request the Valid From date specified must be equal to or greater than the current date.
DCC5130	<input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	'Valid To' invalid	When performing a Liquidity Transfer Order Link Set create request the Valid To date specified must be equal to or greater than the Valid From date.
DCC5090	<input type="checkbox"/> Liquidity Transfer Order Reference field	Liquidity Transfer Order(s) not linked to the specified T2S Dedicated Cash Account	When performing a Liquidity Transfer Order Link Set create request, all the Liquidity Transfer Order Identifiers must refer to the T2S Dedicated Cash Account Technical Identifier specified in input.
DCU5001	<input type="checkbox"/> Submit button <input type="checkbox"/> T2S Dedicated Cash Account Number field	Requestor not allowed	A Liquidity Transfer Order Link Set can only be updated by the responsible NCB or Payment Bank, or by a Party authorised to act on behalf of the account holder. Exceptions to the above rule are represented by any user that is granted the appropriate privilege(s) on the specific Party to be linked to the account.

Reference for error message	Field or Button	Error-Text	Description
DCU5003	<input type="checkbox"/> Submit button	Data to be updated not found	The update request of a Liquidity Transfer Order Link Set must refer to an existing and active Liquidity Transfer Order Link Set.
DCU5042	<input type="checkbox"/> Order Sequence field <input type="checkbox"/> Submit button	Transfer Order Sequence already assigned	When performing a Liquidity Transfer Order Link Set update request, in case of update for Transfer Order Sequence, the new sequence specified must not exist in Liquidity Transfer Order Link.
DCU5050	<input type="checkbox"/> Submit button	Liquidity Transfer Order Link missing	A Liquidity Transfer Order Link Set must contain at least one Liquidity Transfer Order Link
DCU5080	<input type="checkbox"/> Submit button	Instance not found in Liquidity Transfer Order	When performing a Liquidity Transfer Order Link Set update request, the Liquidity Transfer Order Identifier must refer to existing and active Liquidity Transfer Order.
DCU5090	<input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Submit button	Liquidity Transfer Order(s) not linked to the same T2S Dedicated Cash Ac-	When performing a Liquidity Transfer Order Link Set update request, in case of request of creation of Liquidity Transfer Or-

Reference for error message	Field or Button	Error-Text	Description
		count of the Liquidity Transfer Order Link Set	der Identifiers they must refer to the same T2S Dedicated Cash Account Technical Identifier linked to the Liquidity Transfer Order Link Set.
DCU5120	<input type="checkbox"/> Valid from field <input type="checkbox"/> Submit button	'Valid From' invalid	When performing a Liquidity Transfer Order Link Set update request, the Valid From date can be specified only if the existing one is greater than the current date and the one specified in input must be equal to or greater than the current date, greater than or equal to the Opening date of the relevant T2S Dedicated Cash Account and less than or equal to the Closing date of the relevant T2S Dedicated Cash Account.
DCU5130	<input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field <input type="checkbox"/> Submit button	'Valid To' invalid	When performing a Liquidity Transfer Order Link Set update request, the Valid To must be equal to or greater than the current date, equal to or greater than the specified Valid from, greater than or equal to the Opening date of

Reference for error message	Field or Button	Error-Text	Description
			the relevant T2S Dedicated Cash Account and less than or equal to the Closing date of the relevant T2S Dedicated Cash Account.

{Split book approach}

~~6.5.2.123 Standing/Predefined Liquidity Transfer Order Link Sets - Search/List Screen~~

△ [▶ 955]

Reference for error message	Field or Button	Error-Text	Description
DCD5001	<input type="checkbox"/> Delete button <input type="checkbox"/> Restore button	Requestor not allowed	A Liquidity Transfer Order Link Set can only be deleted/restored by the responsible NCB or Payment Bank or by a Party authorised to act on behalf of the Account Holder. Exceptions to the above rule are represented by any user that is granted the appropriate privilege(s) on the specific Party to be linked to the account.
DCD5003	<input type="checkbox"/> Status field <input type="checkbox"/> Delete button	Unknown Liquidity Transfer Order Link Set Identifier	Delete requests of liquidity transfer order link set entities must refer to existing and

Reference for error message	Field or Button	Error-Text	Description
			active liquidity transfer order link set instances.
DCD5012	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Unknown Liquidity Transfer Order Link Set Identifier	In case of restore request, the request must refer to an existing and deleted liquidity transfer order link set.
DCD5033	<input type="checkbox"/> Valid To from field <input type="checkbox"/> Valid To to field <input type="checkbox"/> Valid To field <input type="checkbox"/> Valid from field <input type="checkbox"/> Delete button	'Valid To' invalid	In case of deletion, the 'Valid To' date is specified in the liquidity transfer order link set instance must be less than the current date otherwise, the 'Valid From' date must be greater than the current date.
DCD5080	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Instance not found in Liquidity Transfer Order	In case of restore, the liquidity transfer order identifier must refer to an existing and active liquidity transfer order.
DCD5092	<input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Restore button	Instance not found in T2S Dedicated Cash Account	In case of a restore, the T2S dedicated cash account technical identifier that is specified in a liquidity transfer order link set must refer to an existing, active and open T2S dedicated cash account.

Reference for error message	Field or Button	Error-Text	Description
QMPC021	<input type="checkbox"/> Valid From – from field <input type="checkbox"/> Valid From – to field <input type="checkbox"/> Search button	The From value of the Date From is after the To value of the Date From.	In case the Date From is stated as a range, the From value of the Date From has to be before or equal to the To value of the Date From.
QMPC022	<input type="checkbox"/> Valid From – from field <input type="checkbox"/> Valid From – to field <input type="checkbox"/> Valid To – to field <input type="checkbox"/> Search button	The From value of the Date From is after the To value of the Date To.	In case the Date From and Date To are specified as ranges, the From value of the Date From has to be before or equal to the To value of the Date To.
QMPC023	<input type="checkbox"/> Valid From – from field <input type="checkbox"/> Valid From – to field <input type="checkbox"/> Valid To – from field <input type="checkbox"/> Valid To – to field <input type="checkbox"/> Search button	The From value of the Date To is after the To value of the Date To	In case the To Date is stated as a range, the From value of the Date To has to be before or equal to the To value of the Date To.
QMPC031	<input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Search button	The specified T2S Dedicated Cash Account Number is not known in T2S	In case a T2S Dedicated Cash Account Number is specified, it has to be known in T2S.
QMPC032	<input type="checkbox"/> Party BIC field <input type="checkbox"/> Search button	The specified Party BIC is not known in T2S	In case a Party BIC is specified, it has to be known in T2S.
QMPC048	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Search button	The specified Parent BIC is not known in T2S	In case a Parent BIC is specified, it has to be known in T2S.

Reference for error message	Field or Button	Error-Text	Description
QMPC054	<input type="checkbox"/> Currency field <input type="checkbox"/> Search button	The specified Currency is not known in T2S	In case a Currency is specified, it has to be known in T2S.
QMPC084	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Search button	The specified Parent BIC is not the System Entity of the specified party	In case the BIC of a party as well as its parent BIC are specified, a party with these two BICs has to be known in T2S.

{Split book approach}

~~6.5.2.124 System Entities - Search/List Screen~~

△ [▶ 1178]

~~No reference for error messages.~~{Split book approach}

~~6.5.2.125 Technical Addresses Network Services Link -Details Screen~~

△ [▶ 893]

~~No references for error messages.~~{Split book approach}

~~6.5.2.126 Technical Addresses Network Services Link -New/Edit Screen~~

△ [▶ 894]

Reference for error message	Field or Button	Error-Text	Description
DPC3001	<input type="checkbox"/> Submit Row button	Requestor not allowed	Technical Address Network Service Link can only be created by Service Operator, CSD or NCB. A user belonging to a CSD or NCB can only create Technical Address

Reference for error message	Field or Button	Error Text	Description
			Network Service Links that fall under their responsibility according to the Hierarchical Party Model.
DPC3002	<input type="checkbox"/> Submit Row button	Unknown party	When performing a Technical Address Network Service Link create request, the Party must refer to an existing and active Party in CRDM.
DPC3003	<input type="checkbox"/> Submit Row button <input type="checkbox"/> Technical Address field	Unknown technical address	When performing a Technical Address Network Service Link create request, the Technical Address must refer to an existing, active Technical Address in CRDM belonging to the Party provided in input.
DPC3004	<input type="checkbox"/> Submit Row button <input type="checkbox"/> Network Service field	Unknown network service	When performing a Technical Address Network Service Link create request, the Network Service must refer to an existing, active Network Service in CRDM.
DPC3005	<input type="checkbox"/> Submit Row button <input type="checkbox"/> Technical Address field	Invalid Technical Address Type	When performing a Technical Address Network Service Link create request, the Technical Address Type provided in input must be compliant

Reference for error message	Field or Button	Error Text	Description
			with the Technical Address Type of the Network Service provided.
DPC3006	<input type="checkbox"/> Submit Row button	Technical Address Network Service Link for TIPS already defined for Party	When performing a Technical Address Network Service Link create request, each Party can have no more than one link to a Network Service for TIPS notifications and reports.
DPD3001	<input type="checkbox"/> Delete Row button <input type="checkbox"/> Restore Row button	Requestor not allowed	Technical Address Network Service Link can only be deleted/restored by Service Operator, CSD or NCB. A user belonging to a CSD or NCB can only delete/restore Technical Address Network Service Links that fall under their responsibility according to the Hierarchical Party Model.
DPD3003	<input type="checkbox"/> Delete Row button <input type="checkbox"/> Technical Address field	Unknown Technical Address Network Service Link	When performing a Technical Address Network Service Link Delete request, it must refer to an existing and active instance.

Reference for error message	Field or Button	Error Text	Description
DPD3004	<input type="checkbox"/> Restore Row button	Technical Address Network Service Link is not deleted	When performing a Technical Address Network Service Link restore request, it must refer to an existing and deleted Technical Address Network Service Link.
DPD3005	<input type="checkbox"/> Restore Row button <input type="checkbox"/> Technical Address field	Unknown Technical Address	When performing a Technical Address Network Service Link restore request, the 'Technical Address' linked must refer to an existing, active Technical Address in CRDM.
DPD3006	<input type="checkbox"/> Restore Row button	Unknown Party	When performing a Technical Address Network Service Link Restore request, the 'Party' linked must refer to an existing, active party in CRDM.
DPD3007	<input type="checkbox"/> Restore Row button <input type="checkbox"/> Network Service field	Unknown Network Service	When performing a Technical Address Network Service Link restore request, the 'Network Service' linked must refer to an existing, active Network Service in CRDM.
DPD3008	<input type="checkbox"/> Restore Row button	Technical Address not belongs to the linked party	When performing a Technical Address Network Service Link restore request, it

Reference for error message	Field or Button	Error Text	Description
			must refer to a 'Technical Address' belonging to the same linked Party in CRDM.
DPD3009	<input type="checkbox"/> Restore Row button	Technical Address Network Service Link for TIPS already defined for Party	When performing a Technical Address Network Service Link restore request, each Party can have no more than one link to a Network Service for TIPS notifications and reports.

{Split book approach}

~~6.5.2.127 Tolerance Amounts - Search/List Screen~~

△ [▶ 1180]

Reference for error message	Field or Button	Error Text	Description
DRDP001		Requestor not allowed	Tolerance Amount can be deleted/restored only by T2S Operator.
DRDP002	<input type="checkbox"/> Currency field	Unknown Currency Code	When performing a Tolerance Amount restore request, the currency code specified must refer to an existing and active instance of Settlement Currency in T2S.
DRDP003	<input type="checkbox"/> Status field	Data to be updated not found	When performing a Tolerance Amount deletion request, it must

Reference for error message	Field or Button	Error-Text	Description
			refer to an existing and active instance of Tolerance Amount.
DRDP004	<input type="checkbox"/> Status field	Data to be updated not found	When performing a Tolerance Amount restore request, it must refer to an existing and deleted instance of Tolerance Amount.
DRDP013	<input type="checkbox"/> Valid from field <input type="checkbox"/> Currency field	Tolerance amount already set for this Currency/Cash Limit/Valid From	When performing a Tolerance Amount restore request, the Tolerance Amount must not be already linked to specified Settlement Currency and Valid From for the specified Cash Value Amount Limit.
DRDP014	<input type="checkbox"/> Valid from field	Invalid 'Valid From'	When performing a Tolerance Amount restore request, the Valid From specified must be greater than the current date. {Split book approach}

~~6.5.2.128 User Certificate Distinguished Name Link - New Screen~~

△ [▶ 989]

Reference for error message	Field or Button	Error Text	Description
DRC0001	<input type="checkbox"/> Submit button	Requestor not allowed	A User Certificate DN Link can be created only by users with the correct privilege.
DRC0002	<input type="checkbox"/> Login Name field <input type="checkbox"/> Submit button	Invalid User	When performing a User Certificate DN creation request, the specified User must be within the System Entity of the requestor (if the requestor is a CSD or NCB) or within the Party of the requestor (if the requestor is a Payment Bank, External CSD or CSD Participant)
DRC0003	<input type="checkbox"/> Login Name field <input type="checkbox"/> Submit button	Unknown or not active User	When performing a User Certificate DN creation request, the specified User must be an existing and active instance in CRDM.
DRC0004	<input type="checkbox"/> Certificate Distinguished Name field <input type="checkbox"/> Submit button	Unknown or not active Certificate DN	When performing a User Certificate DN creation request, the specified Certificate DN must be an existing and active instance in CRDM.
DRC0005	<input type="checkbox"/> Login Name field <input type="checkbox"/> Certificate Distinguished Name field <input type="checkbox"/> Submit button	Link already exists	When performing a User Certificate DN creation request, there cannot be more than one active link

Reference for error message	Field or Button	Error Text	Description
			between the same User and Certificate DN.
DRC0006	<input type="checkbox"/> Default field <input type="checkbox"/> Submit button	Default Link already exists	When performing a User Certificate DN creation request, there can only be one User Certificate DN with Default flag set to TRUE for any given Certificate.
DRC0007	<input type="checkbox"/> Default field <input type="checkbox"/> Submit button	Main User already exists for the same Party BIC	When performing a User Certificate DN creation request, there can only be one User Certificate DN with Main User flag set to TRUE for all the Users of any Party using the same BIC.
DRU0001	<input type="checkbox"/> Submit button	Requestor not allowed	A User Certificate DN Link can be updated only by users belonging to the Party responsible for the referenced User, or to said Party's CSD/NCB.
DRU0002	<input type="checkbox"/> Submit button	Unknown User Certificate DN Link	When performing a User Certificate DN update request, it must refer to an existing and active instance.
DRU0007	<input type="checkbox"/> Main User Field <input type="checkbox"/> Submit button	Main User already exists for the same Party BIC	When performing a User Certificate DN update request, there can only be one User

Reference for error message	Field or Button	Error Text	Description
			Certificate DN with Main User flag set to TRUE for all the Users of any Party using the same BIC.
DRU0008	<input type="checkbox"/> Main User Field <input type="checkbox"/> Submit button	Main User already exists for a Central Bank user	When performing a User Certificate DN update request, there can be only one User Certificate DN with Main User flag set to TRUE referring a User belonging to a Party with Party type Central Bank.

{Split book approach}

~~6.5.2.129 User Certificate Distinguished Name Links - Search/List Screen~~

△ [▶ 986]

Reference for error message	Field or Button	Error Text	Description
DRD0001	<input type="checkbox"/> Restore button <input type="checkbox"/> Delete button	Requestor not allowed	A User Certificate DN Link can be deleted/restored only by Users belonging to the Party responsible for the User, or to said Party's CSD/NCB.
DRD0002	<input type="checkbox"/> Status field <input type="checkbox"/> Delete button	Unknown or not active link	When performing a User Certificate DN delete request, it must refer to an existing and active instance.

Reference for error message	Field or Button	Error Text	Description
DRD0003	<input type="checkbox"/> Login Name field <input type="checkbox"/> Restore button	Unknown or not active User	When performing a User Certificate DN restore request, the specified User must be an existing and active instance.
DRD0004	<input type="checkbox"/> Certificate Distinguished Name field <input type="checkbox"/> Restore button	Unknown or not active Certificate DN	When performing a User Certificate DN restore request, the specified Certificate DN must be an existing and active instance.
DRD0005	<input type="checkbox"/> Certificate Distinguished Name field <input type="checkbox"/> Login Name field <input type="checkbox"/> Restore button	Link already exists	When performing a User Certificate DN restore request, there cannot be more than one link between the same User and Certificate DN.
DRD0006	<input type="checkbox"/> Default field <input type="checkbox"/> Restore button	Default link already exists	When performing a User Certificate DN restore request, there can only be one User Certificate DN with Default flag set to TRUE for any given Certificate.
DRD0007	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Unknown or not deleted link	When performing a User Certificate DN restore request, it must refer to an existing and deleted instance.
DRD0008	<input type="checkbox"/> Main User field <input type="checkbox"/> Restore button	Main User already exists for the same Party BIC	When performing a User Certificate DN restore request, there can only be one User

Reference for error message	Field or Button	Error Text	Description
			Certificate DN with Main User flag set to TRUE for all the Users of any Party using the same BIC

{Split book approach}

6.5.2.130 Users - Search/List Screen

△ [▶ 973]

Reference for error message	Field or Button	Error Text	Description
DRD7001	<input type="checkbox"/> Restore button <input type="checkbox"/> Delete button	Requestor not allowed	A User can be deleted/restored by CSD, CSD Participant, External CSD, CRDM Operator, NCB, or Payment Bank. Users belonging to CSDs, CSD Participants, External CSDs, NCBs, and Payment Banks can only delete/restore users that fall under their responsibility according to the Hierarchical Party Model.
DRD7002	<input type="checkbox"/> Login Name field <input type="checkbox"/> Restore button	Login Name already assigned	When performing a User Restore request, the Login Name must not be already assigned to another User in CRDM.

Reference for error message	Field or Button	Error Text	Description
DRD7003	<input type="checkbox"/> Status field <input type="checkbox"/> Delete button	Data to be deleted/restored not found	When performing a User Delete request, it must refer to an existing and active instance.
DRD7004	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Data to be deleted/restored not found	When performing a User Restore request, it must refer to an existing and deleted instance.
DRD7005	<input type="checkbox"/> System User Reference field <input type="checkbox"/> Restore button	System User Reference already assigned	When performing a User Restore request, the System User Reference must not be already assigned to another User in CRDM.
DRD7007	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Restore button	Unknown Party Identifier	When performing a User Restore request, the specified Party Technical Identifier must refer to an existing, active and open or future Party in CRDM.
DRD7008	<input type="checkbox"/> Delete button <input type="checkbox"/> Restore button	Deletion not allowed due to existing links to Certificate DN	When performing a User Delete Request, there cannot be any existing and active instances of User Certificate DN linked to it.

{Split book approach}

~~6.5.2.131 User - Details Screen~~

△ [▶ 977]

Reference for error message	Field or Button	Error Text	Description
DRD7001	<input type="checkbox"/> Restore button <input type="checkbox"/> Delete button	Requestor not allowed	A User can be deleted/restored by CSD, CSD Participant, External CSD, CRDM Operator, NCB, or Payment Bank. Users belonging to CSDs, CSD Participants, External CSDs, NCBs, and Payment Banks can only delete/restore users that fall under their responsibility according to the Hierarchical Party Model.
DRD7002	<input type="checkbox"/> Login Name field <input type="checkbox"/> Restore button	Login Name already assigned	When performing a User Restore request, the Login Name must not be already assigned to another User in CRDM.
DRD7003	<input type="checkbox"/> Status field <input type="checkbox"/> Delete button	Data to be deleted/restored not found	When performing a User Delete request, it must refer to an existing and active instance.
DRD7004	<input type="checkbox"/> Status field <input type="checkbox"/> Restore button	Data to be deleted/restored not found	When performing a User Restore request, it must refer to an existing and deleted instance.
DRD7005	<input type="checkbox"/> System User Reference field <input type="checkbox"/> Restore button	System User Reference already assigned	When performing a User Restore request, the System User Reference must not be

Reference for error message	Field or Button	Error Text	Description
			already assigned to another User in CRDM.
DRD7007	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Restore button	Unknown Party Identifier	When performing a User Restore request, the specified Party Technical Identifier must refer to an existing, active and open or future Party in CRDM.
DRD7008	<input type="checkbox"/> Delete button <input type="checkbox"/> Restore button	Deletion not allowed due to existing links to Certificate DN	When performing a User Delete Request, there cannot be any existing and active instances of User Certificate DN linked to it.

{Split book approach}

6.5.2.132 User New/Edit Screen

△ [▶ 979]

Reference for error message	Field or Button	Error Text	Description
DRC7001	<input type="checkbox"/> Submit button	Requestor not allowed	A User can be created by CSD, CSD Participant, External CSD, Service Operator, NCB, or Payment Bank. Users belonging to CSDs, CSD Participants, External CSDs, NCBs, and Payment Banks can only create users that fall under their responsib-

Reference for error message	Field or Button	Error Text	Description
			ility according to the Hierarchical Party Model.
DRC7005	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Submit button	Unknown Party Technical Identifier	When performing a User Create request, the specified Party Technical Identifier must refer to an existing, active and open or future Party in CRDM.
DRC7006	<input type="checkbox"/> Login Name field <input type="checkbox"/> Submit button	User Name already assigned	When performing a User Create request, the Login Name specified must not be already assigned to another User in CRDM.
DRC7007	<input type="checkbox"/> Submit button <input type="checkbox"/> System User Reference field	System User Reference already assigned	When performing a User Create request, the System User Reference must not be already assigned to another User in CRDM.
DRU7001	<input type="checkbox"/> Submit button	Requestor not allowed	A User can be updated by CSD, CSD Participant, External CSD, Service Operator, NCB, or Payment Bank. Users belonging to CSDs, CSD Participants, External CSDs, NCBs, and Payment Banks can only update users that fall

Reference for error message	Field or Button	Error Text	Description
			under their responsibility according to the Hierarchical Party Model.
DRU7003	<input type="checkbox"/> Submit button <input type="checkbox"/> Delete button	Data to be updated not found	When performing a User Update request, it must refer to an existing and active instance.
DRU7005	<input type="checkbox"/> Submit button <input type="checkbox"/> System User Reference field	The specified System User Reference is already assigned	When performing a User Update request, the System User Reference must not be already assigned to another User in CRDM.
DRU7008	<input type="checkbox"/> Login Name field <input type="checkbox"/> Submit button	The specified Login Name is already assigned	When performing a User Update request, the Login Name specified must not be already assigned to another User in CRDM.

{Split book approach}

~~6.5.2.133 User Access Rights - List Screen~~

△ [▶ 1041]

~~No reference for error messages.~~{Split book approach}

~~6.5.2.134 User Access Rights - Search Screen~~

△ [▶ 1039]

~~No reference for error messages.~~{Split book approach}

6.5.3 References for Error Messages for T2S GUI Screens

6.5.3.1 All

Reference for error message	Field or Button	Error Text	Description
DXAA007		Only one instance may become effective in the future	Each historical entity may have no more than one future instance.
ICAC001		Online access to the T2S system is not available	Users accessing T2S in U2A mode must be alerted when U2A access to T2S is unavailable.
ICUR002		Required Certificate Authentication failed.	Authentication in U2A mode is possible by using simple authentication, advanced certificate authentication or smartcard authentication. Strong authentication mechanisms are ensured via two-factor authentication.
ICUR003		Your password has expired. Please change.	The password has to be changed as defined in the security requirements.
ICUR004		Request is only allowed during valid session. Please log in.	Requests except login request are only possible, if the user is logged in with a valid session.
ICUR005		The T2S system user is blocked due to lockout	The T2S system user should be able to login. Therefore the lockout status has to be 'False'.

Reference for error message	Field or Button	Error Text	Description
ICUR007		The Party Technical Address is not known for the used Network Service.	The technical sending user (Party Technical Address) has to be known for the used network service.
ICUR008			Authentication remains active for the entire session.
ICUR009		Password is invalid for T2S.	The correct password has to be entered while starting a new session.
ICUR010		The Technical Sending User is not known for T2S.	The Technical Sending User (Party Technical Address) which was used for sending the inbound U2A communication has to be known in T2S.
ICUR011		The Business Sending User is not known in T2S.	The Business Sending User has to be known in T2S.
ICUR012		The T2S System User sending the inbound U2A communication is not known in T2S.	The T2S System User sending the inbound U2A communication has to be known in T2S.
ICUR013		The Party Technical Address is not known for the Business Sending Party.	Technical Sending User is allowed to send for the Business Sending Party.
ICUR014		Business Sending User is not allowed to send for the T2S Internal System User.	Business sending user is allowed to send for the T2S internal system user.

Reference for error message	Field or Button	Error Text	Description
IICF001		Your request is rejected because it is not allowed during this business day phase. Please try again later.	The availability of some U2A requests is restricted on the basis of phases of the business day. The request can only be forwarded, if the functionality is currently available (not restricted).
IICP001		The system user is not authorised to initiate such request due to missing privilege.	A system user must have the appropriate privilege to be authorised for an intended request. The check only includes generic authorisation for the requested action at system level. The check for the data scope including object level is processed in the backend modules.
IIUR001		The request could not be processed due to a failed validation of syntax and structure <!--Dynamic error message(s) is/are mentioned including the respective screen attribute-->	A request is compliant to the syntax and structure of requests required by T2S in the U2A mode.

6.5.3.2 All Screens which allow the initiation of a query

Reference for error message	Field or Button	Error Text	Description
QMPQ001		The System User has not the privilege to initiate such a request for the specified object.	A system user must have the appropriate privilege for the specified object to be permitted to query the requested information.
QMPQ002		The System User has not the privilege to initiate such a request.	A system user must have the appropriate privilege for at least one object to be permitted to query the requested information.

6.5.3.3 All Screens which allow the initiation of a second user action (4-eyes mode)

Reference for error message	Field or Button	Error Text	Description
DXAA002		Data awaiting for approval cannot be modified	It is not allowed to perform a maintenance request, neither in 2-eyes nor in 4-eyes first step mode, that refers to an instance already awaiting for approval.
DXAA011		Invalid approval requestor (must be different from the first requestor)	In case of approval request (4-eyes second step), the requestor must be different from the requestor of the first step. It can be equal

Reference for error message	Field or Button	Error Text	Description
			only in case of revocation (confirmation = 'NO')
DXAA035		Request to be approved not found	In case of approval request (4-eyes second step), the request must refer to an existing instruction and the related entity(ies) must be in status 'Awaiting approval'.
IICP003		The T2S System User is not authorised to confirm the own initial request.	The T2S System User has to be different for the initial request (4-eyes first step) and the confirmation (4-eyes second step) initiated via approval request. In case of revocation the T2S System User can be the same.
IICP004		The initial request is already completed with status approved, queued, rejected or revoked.	A successful approval request (second user action; confirmation or revocation) is only possible, if the status of the 4-eyes principle entry is different from 'Approved', 'Queued', 'Rejected' or 'Revoked'.
IICP005		An approval request (second user action; confirmation or revocation) is already in processing.	A successful approval request (second user action; confirmation or revocation) is only possible, if there is no approval request in processing.

Reference for error message	Field or Button	Error Text	Description
IICP006		The T2S System Users for the initial request and the confirmation have to be linked to the same party.	The T2S System Users for the initial request (4-eyes first step) and the confirmation (4-eyes second step) initiated via approval request have to be linked to the same party.

6.5.3.4 Allegement Instruction - Details Screen

△ [▶ 104]

No references for error messages.

6.5.3.5 Allegement Instructions - Search/List Screen

△ [▶ 100]

Reference for error message	Field or Button	Error Text	Description
QMPC015	<input type="checkbox"/> Creation Date and Time from field <input type="checkbox"/> Creation Date and Time to field <input type="checkbox"/> Search button	The Date From is after the Date To.	In case the Date is stated as a range, the Date From has to be before or equal to the Date To.

6.5.3.6 Amendment Cash Instruction - Details Screen

△ [▶ 413]

No references for error messages.

6.5.3.7 Amendment Cash Instruction - New Screen

△ [▶ 418]

Reference for error message	Field or Button	Error Text	Description
MMIA002	<input type="checkbox"/> Submit button	Settlement Restriction referenced by an Amendment Instruction is already settled.	The Settlement Status of a Settlement Restriction referenced by an Amendment Instruction must not be 'Settled'.
MMIA004	<input type="checkbox"/> Submit button	Settlement Restriction referenced by an Amendment Instruction is already partially settled.	The Settlement Status of a Settlement Restriction referenced by an Amendment Instruction must not be 'Partially Settled' if the modification is not referred to Priority.
MMIA006	<input type="checkbox"/> Submit button	Settlement Restriction referenced by an Amendment Instruction is already cancelled.	The Cancellation Status of a Settlement Restriction referenced by an Amendment Instruction must not be 'Cancelled'.
MVCM956	<input type="checkbox"/> Submit Button	The Condition Modification Instruction referring to a Settlement Restriction contains a pool reference or more than one link referring to the same instruction.	A Condition Modification Instruction that tries to amend a Settlement Restriction must not contain a pool reference or more than one link referring to the same instruction.
MVCM958	<input type="checkbox"/> Actor Reference field <input type="checkbox"/> Link/Unlink field <input type="checkbox"/> T2S Reference field <input type="checkbox"/> Submit button	The linkage specified in the Condition Modification Instruction does not exist for the referenced Settlement Restriction or	When a Condition Modification Instruction tries to amend the linkages of a Settlement Restriction with an unlink type, the corresponding link must exist for the referenced Settlement Restriction an it

Reference for error message	Field or Button	Error Text	Description
		it is pending to be executed in the system.	must not be already pending to be executed in the system.
MVCM960	<input type="checkbox"/> Link/Unlink field <input type="checkbox"/> Reference field <input type="checkbox"/> Submit button	The linkage specified in the Condition Modification Instruction does not exist for the referenced Settlement Restriction or the amendment is already pending to be executed in the system.	When a Condition Modification Instruction tries to amend the linkages of a Settlement Restriction with a LINK linkage type, the corresponding link regardless its processing position, must not exist for the referenced Settlement Restriction and it must not be already pending to be executed in the system. Only creation of new links are possible.
MVCP004	<input type="checkbox"/> Actor Reference field <input type="checkbox"/> T2S Reference field <input type="checkbox"/> Submit Button	The underlying instruction referenced by a Condition Modification Instruction does not exist in T2S.	The underlying instruction of a Condition Modification instruction must exist in T2S.
MVCP034	<input type="checkbox"/> Amendment Type field	The sender of an Amendment Instruction is not authorised to amend Process Indicators of a Settlement Restriction on Cash on the T2S Dedicated Cash Account.	The sender of an Amendment Instruction that intends to amend Process Indicators of a Settlement Restriction on Cash must be authorised to amend Process Indicators of a Settlement Restriction on Cash on a specific T2S Dedicated Cash Account.

Reference for error message	Field or Button	Error Text	Description
MVCP042	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The sender of a Condition Modification Instruction is not authorised to link a Settlement Restriction on Cash to an instruction/pool belonging to another party.	The sender of a Condition Modification Instruction that intends to link a Settlement Restriction on Cash to another instruction or pool must be authorised to link to an instruction/pool belonging to a specific party.
MVCP099	<input type="checkbox"/> Reference Type field <input type="checkbox"/> Reference field <input type="checkbox"/> Submit button	The Reference Owner of the Linked Instruction does not belong to the CSD (parent CSD in case of an external CSD) or NCB of the Instructing Party.	If a T2S actor wants to make use of linkages across several instructions sent to T2S via different CSDs or NCBs he holds accounts with, the T2S actor has to make use of the T2S reference.
MVCP122	<input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button	The sender of an Amendment Instruction is not authorised to send an Amendment Instruction using this Instructing Party.	If the sender of an Amendment Instruction does not belong to the Instructing Party, then the sender of an Amendment Instruction must be authorised to send an Amendment Instruction using the Instructing Party.
MVCV107	<input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button	The Instructing Party specified in the Amendment Instruction is not open on the current business date.	The Instructing Party specified in an Amendment Instruction must be open on the current business date.

Reference for error message	Field or Button	Error Text	Description
MVCV232	<input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button	The Instructing Party BIC or Parent BIC specified in the Amendment Instruction is not valid on the current business date.	The Instructing Party BIC and Parent BIC indicated in an Amendment Instruction must be valid on the current business date.
MVDC026	<input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button	The Instructing Party specified in the Condition Modification Instruction does not exist in T2S Static Data.	The Instructing Party specified in a Condition Modification Instruction must exist in T2S Static Data.
MVLI809	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'WITH' and the existing Linked Instruction is already settled.	If an Amendment Instruction tries to add a link 'WITH' to a Settlement Instruction or Settlement Restriction the linked Settlement Instruction or Settlement Restriction must not be 'Settled'.
MVLI810	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'WITH' and the existing Linked Instruction is already cancelled.	If an Amendment Instruction tries to add a link 'WITH' to a Settlement Instruction or Settlement Restriction the linked Settlement Instruction or Settlement Restriction must not be 'Cancelled'.
MVLI811	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'BEFORE' and the ex-	If an Amendment Instruction tries to add a link 'BEFORE' to a Settlement Instruction or Settlement Restriction

Reference for error message	Field or Button	Error Text	Description
		isting linked Settlement instruction is already settled.	the linked Settlement Instruction or Settlement Restriction must not be 'Settled'.
MVLI825	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'BEFORE' and the Settlement link indicator included in the existing linked instruction is 'WITH'.	If an Amendment Instruction intends to add a new link 'BEFORE' to a Settlement Instruction or Settlement Restriction, these latter must not have a previous link 'WITH' with the Settlement Instruction stated in the amendment (as linked instruction) unless they have the same Intended Settlement Date.
MVLI826	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'AFTER' and the Settlement link indicator included in the existing linked instruction is 'WITH'.	If an Amendment Instruction intends to add a new link 'AFTER' to a Settlement Instruction or Settlement Restriction, these latter must not have a previous link 'WITH' with the Settlement Instruction stated in the amendment (as linked instruction) unless they have the same Intended Settlement Date.
MVLI827	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'AFTER' and the Settlement link indicator in-	If an Amendment Instruction intends to add a new link 'AFTER' to a Settlement Instruction or Settlement Restriction, these latter must

Reference for error message	Field or Button	Error Text	Description
		cluded in the existing linked instruction is 'AFTER'.	not have a previous link 'AFTER' with the Settlement Instruction stated in the amendment (as linked instruction) unless they have the same Intended Settlement Date.
MVLI828	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'WITH' and the Settlement link indicator included in the existing linked instruction is 'AFTER'.	If an Amendment Instruction intends to add a new link 'WITH' to a Settlement Instruction or Settlement Restriction, these latter must not have a previous link 'AFTER' with the Settlement Instruction stated in the amendment (as linked instruction) unless they have the same Intended Settlement Date.
MVLI829	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'BEFORE' and the Settlement link indicator included in the existing linked instruction is 'BEFORE'.	If an Amendment Instruction intends to add a new link 'BEFORE' to a Settlement Instruction or Settlement Restriction, these latter must not have a previous link 'BEFORE' with the Settlement Instruction stated in the amendment (as linked instruction) unless they have the same Intended Settlement Date.

Reference for error message	Field or Button	Error Text	Description
MVLI830	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'WITH' and the Settlement link indicator included in the existing linked instruction is 'BEFORE'.	If an Amendment Instruction intends to add a new link 'WITH' to a Settlement Instruction or Settlement Restriction, these latter must not have a previous link 'BEFORE' with the Settlement Instruction stated in the amendment (as linked instruction) unless they have the same Intended Settlement Date.
MVLI837	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'BEFORE' and the linked instruction's Intended Settlement Date is earlier than the validating instruction's Intended Settlement Date.	If an Amendment Instruction tries to add a link 'BEFORE' to a Settlement Instruction or Settlement Restriction, the Intended Settlement Date of the referenced Settlement Instruction or Settlement Restriction must be equal to or earlier than the Intended Settlement Date of the linked Settlement Instruction or Settlement Restriction, if this latter instruction is in T2S.
MVLI838	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'AFTER' and the linked instruction's Intended Settlement Date is later than	If an Amendment Instruction tries to add a link 'AFTER' to a Settlement Instruction or Settlement Restriction, the Intended Settlement Date of the referenced

Reference for error message	Field or Button	Error Text	Description
		the validating instruction's Intended Settlement Date.	Settlement Instruction or Settlement Restriction must be equal to or later than the Intended Settlement Date of the linked Settlement Instruction or Settlement Restriction, if this latter instruction is in T2S.
MVLI839	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'WITH' and the linked instruction's Intended Settlement Date is different.	If an Amendment Instruction tries to add a link 'WITH' to a Settlement Instruction or Settlement Restriction, the Intended Settlement Date of the referenced Settlement Instruction or Settlement Restriction must be equal to the Intended Settlement Date of the linked Settlement Instruction or Settlement Restriction, if this latter instruction is in T2S.
MVLI852	<input type="checkbox"/> Link Type field <input type="checkbox"/> Reference field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'WITH' and the existing Linked Instruction is already partially settled.	The Settlement Status of a Settlement Instruction or Settlement Restriction stated as linked instruction with Link Processing Position 'WITH' in a Settlement Restriction must not be 'Partially Settled'.
MVLI855	<input type="checkbox"/> Link Type field <input type="checkbox"/> Reference field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'BEFORE' and the ex-	The Settlement Status of a Settlement Instruction or Settlement Restriction stated as linked

Reference for error message	Field or Button	Error Text	Description
		isting Linked Instruction is already partially settled.	instruction with Link Processing Position 'BEFORE' in a Settlement Restriction must not be 'Partially Settled'.
MVLI858	<input type="checkbox"/> Link Type field <input type="checkbox"/> Reference field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'AFTER' and the existing Linked Instruction is already partially settled.	The Settlement Status of a Settlement Instruction or Settlement Restriction stated as linked instruction with Link Processing Position 'AFTER' in a Settlement Restriction must not be 'Partially Settled'.
MVLI861	<input type="checkbox"/> Link Type field <input type="checkbox"/> Reference field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'AFTER' and the existing Linked Instruction is already cancelled.	The Settlement Status of a Settlement Instruction or Settlement Restriction stated as linked instruction with Link Processing Position 'AFTER' in a Settlement Restriction must not be 'Cancelled'.
MVLI871	<input type="checkbox"/> Link/Unlink field <input type="checkbox"/> Reference field <input type="checkbox"/> Submit button	The linked instruction referenced by a T2S Instruction Reference in the Amendment Instruction, does not exist in T2S.	If an Amendment Instruction intends to modify an instruction link, and the instruction is referenced by the T2S Instruction Reference, then the instruction must exist in T2S.
MVLI874	<input type="checkbox"/> Link Type field <input type="checkbox"/> Reference field <input type="checkbox"/> Submit button	The Processing Position must be specified in the Amendment Instruction.	If an Amendment Instruction intends to modify an instruction link, and the instruction is referenced by the

Reference for error message	Field or Button	Error Text	Description
			T2S Instruction Reference or the T2S Actor Instruction Reference, then the Processing Position must be filled in.
MVSP211	<input type="checkbox"/> Priority field <input type="checkbox"/> Submit button	'Reserved priority' not allowed for the Instructing Party Type (only NCBs can use it)	'Reserved priority' is allowed in an Amendment Instruction on Settlement Restriction on Cash only if the Instructing Party of the referenced instruction is a NCB.

6.5.3.8 Amendment Securities Instruction - Details Screen

△ [▶ 108]

No references for error messages.

6.5.3.9 Amendment Securities Instruction - New Screen

△ [▶ 113]

Reference for error message	Field or Button	Error Text	Description
MMIA001	<input type="checkbox"/> Submit button	Settlement Instruction referenced by an Amendment Instruction is already settled.	The Settlement Status of a Settlement Instruction referenced by an Amendment Instruction must not be 'Settled'.
MMIA002	<input type="checkbox"/> Submit button	Settlement Restriction referenced by an Amendment Instruction is already settled.	The Settlement Status of a Settlement Restriction referenced by an Amendment Instruction must not be 'Settled'.

Reference for error message	Field or Button	Error Text	Description
MMIA003	<input type="checkbox"/> Amendment Type field <input type="checkbox"/> Submit button	Settlement Instruction referenced by an Amendment Instruction is already partially settled.	The Settlement Status of a Settlement Instruction referenced by an Amendment Instruction must not be 'Partially Settled' if the modification is not referred to Priority.
MMIA004	<input type="checkbox"/> Amendment Type field <input type="checkbox"/> Submit button	Settlement Restriction referenced by an Amendment Instruction is already partially settled.	The Settlement Status of a Settlement Restriction referenced by an Amendment Instruction must not be 'Partially Settled' if the modification is not referred to Priority.
MMIA005	<input type="checkbox"/> Submit button	Settlement Instruction referenced by an Amendment Instruction is already cancelled.	The Cancellation Status of a Settlement Instruction referenced by an Amendment Instruction must not be 'Cancelled'.
MMIA006	<input type="checkbox"/> Submit button	Settlement Restriction referenced by an Amendment Instruction is already cancelled.	The Cancellation Status of a Settlement Restriction referenced by an Amendment Instruction must not be 'Cancelled'.
MMIA009	<input type="checkbox"/> Submit button	Settlement Instruction referenced by an Amendment Instruction is in a CoSD Group.	The CoSD Flag of a Settlement Instruction referenced by an Amendment Instruction must not be 'Yes', except when the Instructing Party is a CSD and the Amendment Instruction aims to remove the linkage from a settlement instruction.

Reference for error message	Field or Button	Error Text	Description
MVCM952	<input type="checkbox"/> Amendment Type field <input type="checkbox"/> Submit button	The Condition Modification Instruction does not refer to the modifiable process indicators of a Settlement Restriction.	A Condition Modification Instruction that tries to amend a Settlement Restriction on Securities must modify only the settlement priority or the linkages
MVCM955	<input type="checkbox"/> Submit button	The Condition Modification Instruction referring to a Settlement Instruction contains a pool reference or more than one link referring to the same instruction.	A Condition Modification Instruction that tries to amend a Settlement Instruction must not contain a pool reference or more than one link referring to the same instruction.
MVCM956	<input type="checkbox"/> Submit button	The Condition Modification Instruction referring to a Settlement Restriction contains a pool reference or more than one link referring to the same instruction.	A Condition Modification Instruction that tries to amend a Settlement Restriction must not contain a pool reference or more than one link referring to the same instruction.
MVCM957	<input type="checkbox"/> Actor Reference field <input type="checkbox"/> T2S Reference field <input type="checkbox"/> Link/Unlink field <input type="checkbox"/> Submit button	The linkage specified in the Condition Modification Instruction does not exist for the referenced Settlement Instruction or it is pending to be executed in the system.	When a Condition Modification Instruction tries to amend the linkages of a Settlement Instruction with an unlink type, the corresponding link must exist for the referenced Settlement Instruction and it must not be already pending to be executed in the system.

Reference for error message	Field or Button	Error Text	Description
MVCM958	<ul style="list-style-type: none"> <input type="checkbox"/> Actor Reference field <input type="checkbox"/> T2S Reference field <input type="checkbox"/> Link/Unlink field <input type="checkbox"/> Submit button 	The linkage specified in the Condition Modification Instruction does not exist for the referenced Settlement Restriction or it is pending to be executed in the system.	When a Condition Modification Instruction tries to amend the linkages of a Settlement Restriction with an unlink type, the corresponding link must exist for the referenced Settlement Restriction and it must not be already pending to be executed in the system.
MVCM959	<ul style="list-style-type: none"> <input type="checkbox"/> Actor Reference field <input type="checkbox"/> T2S Reference field <input type="checkbox"/> Link/Unlink field <input type="checkbox"/> Submit button 	The linkage specified in the Condition Modification Instruction exists for the referenced Settlement Instruction or the amendment is already pending to be executed in the system.	When a Condition Modification Instruction tries to amend the linkages of a Settlement Instruction with a LINK linkage type, the corresponding link regardless its processing position, must not exist for the referenced Settlement Instruction and it must not be already pending to be executed in the system. Only creation of new links are possible.
MVCM960	<ul style="list-style-type: none"> <input type="checkbox"/> Actor Reference field <input type="checkbox"/> T2S Reference field <input type="checkbox"/> Link/Unlink field <input type="checkbox"/> Submit button 	The linkage specified in the Condition Modification Instruction exists for the referenced Settlement Restriction or the amendment is already	When a Condition Modification Instruction tries to amend the linkages of a Settlement Restriction with a LINK linkage type, the corresponding link regardless its processing position, must not exist for the referenced Settlement

Reference for error message	Field or Button	Error Text	Description
		pending to be executed in the system.	Restriction and it must not be already pending to be executed in the system. Only creation of new links are possible.
MVCP004	<input type="checkbox"/> Actor Reference field <input type="checkbox"/> T2S Reference field <input type="checkbox"/> Submit button	The underlying instruction referenced by a Condition Modification Instruction does not exist in T2S.	The underlying instruction of a Condition Modification instruction must exist in T2S.
MVCP027	<input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Submit button	The sender of the Amendment Instruction is not authorised to amend Process Indicators of a Settlement Instruction on the Securities Account.	The sender of an Amendment Instruction that intends to amend Process Indicators of a Settlement Instruction must be authorised to amend Process Indicators of a Settlement Instruction on a specific Securities Account.
MVCP028	<input type="checkbox"/> Submit button	The sender of the Amendment Instruction is not authorised to amend Process Indicators of a Settlement Instruction on behalf of an external CSD.	If the relevant Depository is an external CSD, the sender of an Amendment Instruction that intends to amend Process Indicators of a Settlement Instruction must be authorised to amend Process Indicators of a Settlement Instruction on behalf of that external CSD.
MVCP032	<input type="checkbox"/> Securities Account Number field	The sender of the Amendment Instruction is not au-	The sender of an Amendment Instruction that intends to amend

Reference for error message	Field or Button	Error Text	Description
	<input type="checkbox"/> Submit button	thorised to amend Process Indicators of a Settlement Restriction on Securities on the Securities Account.	Process Indicators of a Settlement Restriction on Securities must be authorised to amend Process Indicators of a Settlement Restriction on Securities on a specific Securities Account.
MVCP039	<input type="checkbox"/> Submit button	The sender of a Condition Modification Instruction is not authorised to amend non-modifiable Instructions on behalf of the Instructing party of the Underlying Settlement Instruction.	The sender of a Condition Modification Instruction that intends to amend a Settlement Instruction identified as a non-modifiable instruction must be authorised to amend non-modifiable Instructions on behalf of the Instructing party of the Underlying Settlement Instruction.
MVCP040	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The sender of a Condition Modification Instruction is not authorised to link a Settlement Instruction to an instruction/pool belonging to another party.	The sender of a Condition Modification Instruction that intends to link a Settlement Instruction to another instruction or pool must be authorised to link to an instruction/pool belonging to a specific party.
MVCP041	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The sender of a Condition Modification Instruction is not authorised to link a Settlement Restriction on Securities to an in-	The sender of a Condition Modification Instruction that intends to link a Settlement Restriction on Securities to another instruction or pool must be authorised

Reference for error message	Field or Button	Error Text	Description
		struction/pool belonging to another party.	to link to an instruction/pool belonging to a specific party.
MVCP044	<input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Submit button	The Securities Account informed in the instruction does not exist in T2S.	The Securities Account informed in the instruction must exist in T2S.
MVCP095	<input type="checkbox"/> Submit button	The sender of an Amendment Instruction is not authorised to amend Process Indicators of a Settlement Instruction on behalf of the parent CSD of the external CSD.	If the relevant Depository is an external CSD, the sender of an Amendment Instruction that intends to amend Process Indicators of a Settlement Instruction must be authorised to amend Process Indicators of a Settlement Instruction on behalf of the parent CSD of the external CSD.
MVCP099	<input type="checkbox"/> Reference Type field <input type="checkbox"/> Reference field <input type="checkbox"/> Submit button	The Reference Owner of the Linked Instruction does not belong to the CSD (parent CSD in case of an external CSD) or NCB of the Instructing Party.	If a T2S actor wants to make use of linkages across several instructions sent to T2S via different CSDs or NCBs he holds accounts with, the T2S actor has to make use of the T2S reference.
MVCP108	<input type="checkbox"/> Amendment Counterpart Instruction field <input type="checkbox"/> Submit button	The sender of an Amendment Instruction is not authorised to amend a Settlement Instruction on behalf of the external	If the Depository of the counterpart is an external CSD, the sender of an Amendment Instruction that intends to amend both legs of an already matched Settle-

Reference for error message	Field or Button	Error Text	Description
		CSD of the counterparty or the parent CSD of that external CSD.	ment Instruction must be authorised to amend a Settlement Instruction on behalf of that external CSD or the parent CSD of of that external CSD.
MVCP109	<input type="checkbox"/> Amendment Counterpart Instruction field <input type="checkbox"/> Submit button	The sender of an Amendment Instruction is not authorised to amend a Settlement Instruction on the Securities Account of the counterparty.	The sender of an Amendment Instruction that intends to amend both legs of an already matched Settlement Instruction must be authorised to amend a Settlement Instruction on the Securities Account of the counterparty (in case the Amendment Instruction only informs one Securities Account, the other would be derived from the underlying Settlement Instruction).
MVCP122	<input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Submit button	The sender of an Amendment Instruction is not authorised to send an Amendment Instruction using this Instructing Party.	If the sender of an Amendment Instruction does not belong to the Instructing Party, then the sender of an Amendment Instruction must be authorised to send an Amendment Instruction using the Instructing Party.

Reference for error message	Field or Button	Error Text	Description
MVCV107	<input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Submit button	The Instructing Party specified in the Amendment Instruction is not open on the current business date.	The Instructing Party specified in an Amendment Instruction must be open on the current business date.
MVCV232	<input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Submit button	The Instructing Party BIC or Parent BIC specified in the Amendment Instruction is not valid on the current business date.	The Instructing Party BIC and Parent BIC indicated in an Amendment Instruction must be valid on the current business date.
MVDC026	<input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Submit button	The Instructing Party specified in the Condition Modification Instruction does not exist in T2S Static Data.	The Instructing Party specified in a Condition Modification Instruction must exist in T2S Static Data.
MVLI809	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'WITH' and the existing Settled Linked Instruction is already settled.	If an Amendment Instruction tries to add a link 'WITH' to a Settlement Instruction or Settlement Restriction the linked Settlement Instruction or Settlement Restriction must not be 'Settled'.
MVLI810	<input type="checkbox"/> Link Type field <input type="checkbox"/> Link/Unlink field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'WITH' and the existing Settled Linked Instruction is already cancelled.	If an Amendment Instruction tries to add a link 'WITH' to a Settlement Instruction or Settlement Restriction the linked Settlement In-

Reference for error message	Field or Button	Error Text	Description
			struction or Settlement Restriction must not be 'Cancelled'.
MVLI811	<input type="checkbox"/> Link Type field <input type="checkbox"/> Link/Unlink field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'BEFORE' and the existing linked Settlement instruction is already settled.	If an Amendment Instruction tries to add a link 'BEFORE' to a Settlement Instruction or Settlement Restriction the linked Settlement Instruction or Settlement Restriction must not be 'Settled'.
MVLI825	<input type="checkbox"/> Link Type field <input type="checkbox"/> Link/Unlink field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'BEFORE' and the Settlement link indicator included in the existing linked instruction is 'WITH'.	If an Amendment Instruction intends to add a new link 'BEFORE' to a Settlement Instruction or Settlement Restriction, these latter must not have a previous link 'WITH' with the Settlement Instruction stated in the amendment (as linked instruction) unless they have the same Intended Settlement Date.
MVLI826	<input type="checkbox"/> Link Type field <input type="checkbox"/> Link/Unlink field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'AFTER' and the Settlement link indicator included in the existing linked instruction is 'WITH'.	If an Amendment Instruction intends to add a new link 'AFTER' to a Settlement Instruction or Settlement Restriction, these latter must not have a previous link 'WITH' with the Settlement Instruction stated in the amendment (as linked instruction) un-

Reference for error message	Field or Button	Error Text	Description
			less they have the same Intended Settlement Date.
MVLI827	<input type="checkbox"/> Link Type field <input type="checkbox"/> Link/Unlink field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'AFTER' and the Settlement link indicator included in the existing linked instruction is 'AFTER'.	If an Amendment Instruction intends to add a new link 'AFTER' to a Settlement Instruction or Settlement Restriction, these latter must not have a previous link 'AFTER' with the Settlement Instruction stated in the amendment (as linked instruction) unless they have the same Intended Settlement Date.
MVLI828	<input type="checkbox"/> Link Type field <input type="checkbox"/> Link/Unlink field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'WITH' and the Settlement link indicator included in the existing linked instruction is 'AFTER'.	If an Amendment Instruction intends to add a new link 'WITH' to a Settlement Instruction or Settlement Restriction, these latter must not have a previous link 'AFTER' with the Settlement Instruction stated in the amendment (as linked instruction) unless they have the same Intended Settlement Date.
MVLI829	<input type="checkbox"/> Link Type field <input type="checkbox"/> Link/Unlink field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'BEFORE' and the Settlement link indicator included in	If an Amendment Instruction intends to add a new link 'BEFORE' to a Settlement Instruction or Settlement Restriction, these latter must not have a previous link

Reference for error message	Field or Button	Error Text	Description
		the existing linked instruction is 'BEFORE'.	'BEFORE' with the Settlement Instruction stated in the amendment (as linked instruction) unless they have the same Intended Settlement Date.
MVLI830	<input type="checkbox"/> Link Type field <input type="checkbox"/> Link/Unlink field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'WITH' and the Settlement link indicator included in the existing linked instruction is 'BEFORE'.	If an Amendment Instruction intends to add a new link 'WITH' to a Settlement Instruction or Settlement Restriction, these latter must not have a previous link 'BEFORE' with the Settlement Instruction stated in the amendment (as linked instruction) unless they have the same Intended Settlement Date.
MVLI837	<input type="checkbox"/> Link Type field <input type="checkbox"/> Link/Unlink field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'BEFORE' and the linked instruction's Intended Settlement Date is earlier than the validating instruction's Intended Settlement Date.	If an Amendment Instruction tries to add a link 'BEFORE' to a Settlement Instruction or Settlement Restriction, the Intended Settlement Date of the referenced Settlement Instruction or Settlement Restriction must be equal to or earlier than the Intended Settlement Date of the linked Settlement Instruction or Settlement Restriction, if this latter instruction is in T2S.

Reference for error message	Field or Button	Error Text	Description
MVLI838	<ul style="list-style-type: none"> <input type="checkbox"/> Link Type field <input type="checkbox"/> Link/Unlink field <input type="checkbox"/> Submit button 	The instruction has a Settlement link indicator 'AFTER' and the linked instruction's Intended Settlement Date is later than the validating instruction's Intended Settlement Date.	If an Amendment Instruction tries to add a link 'AFTER' to a Settlement Instruction or Settlement Restriction, the Intended Settlement Date of the referenced Settlement Instruction or Settlement Restriction must be equal to or later than the Intended Settlement Date of the linked Settlement Instruction or Settlement Restriction, if this latter instruction is in T2S.
MVLI839	<ul style="list-style-type: none"> <input type="checkbox"/> Link Type field <input type="checkbox"/> Link/Unlink field <input type="checkbox"/> Submit button 	The instruction has a Settlement link indicator 'WITH' and the linked instruction's Intended Settlement Date is different.	If an Amendment Instruction tries to add a link 'WITH' to a Settlement Instruction or Settlement Restriction, the Intended Settlement Date of the referenced Settlement Instruction or Settlement Restriction must be equal to the Intended Settlement Date of the linked Settlement Instruction or Settlement Restriction, if this latter instruction is in T2S.
MVLI853	<ul style="list-style-type: none"> <input type="checkbox"/> Link Type field <input type="checkbox"/> Link/Unlink field <input type="checkbox"/> Submit button 	The instruction has a Settlement link indicator 'WITH' and the existing	If an Amendment Instruction intends to modify a Settlement Instruction or Settlement Restriction link 'WITH', the linked Settlement

Reference for error message	Field or Button	Error Text	Description
		Linked Instruction is already partially settled.	Instruction or Settlement Restriction must not be Partially Settled.
MVLI856	<input type="checkbox"/> Link Type field <input type="checkbox"/> Link/Unlink field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'BEFORE' and the existing Linked Instruction is already partially settled.	If an Amendment Instruction intends to modify a Settlement Instruction or Settlement Restriction link 'BEFORE', the linked Settlement Instruction or Settlement Restriction must no be 'Partially Settled'.
MVLI859	<input type="checkbox"/> Link Type field <input type="checkbox"/> Link/Unlink field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'AFTER' and the existing Linked Instruction is already partially settled.	If an Amendment Instruction intends to modify a Settlement Instruction or Settlement Restriction link 'AFTER', the linked Settlement Instruction or Settlement Restriction must not be 'Partially Settled'.
MVLI862	<input type="checkbox"/> Link Type field <input type="checkbox"/> Link/Unlink field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'AFTER' and the existing Linked Instruction is already cancelled.	If an Amendment Instruction intends to modify a Settlement Instruction link 'AFTER', the linked Settlement Instruction or Settlement Restriction must not be 'Cancelled'.
MVLI871	<input type="checkbox"/> Link/Unlink field <input type="checkbox"/> Reference field <input type="checkbox"/> Submit button	The linked instruction referenced by a T2S Instruction Reference in the	If an Amendment Instruction intends to modify an instruction link, and the instruction is referenced by the

Reference for error message	Field or Button	Error Text	Description
		Amendment Instruction, does not exist in T2S.	T2S Instruction Reference, then the instruction must exist in T2S.
MVLI874	<input type="checkbox"/> Link Type field <input type="checkbox"/> Reference field <input type="checkbox"/> Submit button	The Processing Position must be specified in the Amendment Instruction.	If an Amendment Instruction intends to modify an instruction link, and the instruction is referenced by the T2S Instruction Reference or the T2S Actor Instruction Reference, then the Processing Position must be filled in.
MVRI555	<input type="checkbox"/> T2S Reference field <input type="checkbox"/> Submit button	The Condition Modification Instruction refers to a T2S generated Settlement Restriction.	A Condition Modification Instruction cannot refer to a T2S generated Settlement Restriction.
MVRI575	<input type="checkbox"/> T2S Reference field <input type="checkbox"/> Submit button	It is not possible to amend a T2S generated Settlement Instruction.	A Condition Modification Instruction cannot refer to a T2S generated Realignment Settlement Instruction, unless it is a CoSD Release Instruction and the T2S generated Settlement Instruction has its CoSD Hold Status Set to 'Yes' or it is a CSD Validation release instruction and the T2S generated Settlement Instruction has its CSD Validation Hold Status Set to 'Yes'.

Reference for error message	Field or Button	Error Text	Description
MVRI591	<input type="checkbox"/> T2S Reference field <input type="checkbox"/> Submit button	The Condition Modification Instruction refers to a T2S generated Settlement Instruction.	A Condition Modification Instruction cannot refer to a T2S generated Collateral Settlement Instruction, unless it is a Release Instruction and the T2S generated Settlement Instruction is a Reimbursement Settlement Instruction.
MVSP209	<input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Priority field <input type="checkbox"/> Submit button	'Reserved priority' not allowed for the Instructing Party Type (only CSDs can use it)	'Reserved priority' is allowed in an Amendment Instruction on a Settlement Instruction or Settlement Restriction on Securities only if the Instructing Party of the referenced instruction is a CSD.

6.5.3.10 Available Report - Current Settlement Day Cash Information (Following Settlement Day Cash Forecast) Report – Details Screen

△ [▶ 467]

No references for error messages.

6.5.3.11 Available Report - Details Screen

△ [▶ 465]

No references for error messages.

6.5.3.12 Available Report - Statement of Accounts - Details Screen

△ [▶ 472]

No references for error messages.

6.5.3.13 Available Report - Statement of Executed Amendment Instructions for Intra-Balance Movements - Details Screen

△ [▶ 475]

No references for error messages.

6.5.3.14 Available Report - Statement of Executed Amendment Instructions for Intra-Position Movements and Settlement Instructions - Details Screen

△ [▶ 480]

No references for error messages.

6.5.3.15 Available Report - Statement of Executed Cancellation Instructions for Intra-Balance Movements - Details Screen

△ [▶ 489]

No references for error messages.

6.5.3.16 Available Report - Statement of Executed Cancellation Instructions for Intra-Position Movements and Settlement Instructions - Details Screen

△ [▶ 493]

No references for error messages.

6.5.3.17 Available Report - Statement of Holdings - Details Screen

△ [▶ 501]

No references for error messages.

6.5.3.18 Available Report - Statement of Pending Amendment Instructions for Intra-Balance Movements - Details Screen

△ [▶ 506]

No references for error messages.

6.5.3.19 Available Report - Statement of Pending Amendment Instructions for Intra-Position Movements and Settlement Instructions - Details Screen

△ [▶ 511]

No references for error messages.

6.5.3.20 Available Report - Statement of Pending Cancellation Instructions for Intra-Balance Movements - Details Screen

△ [▶ 520]

No references for error messages.

6.5.3.21 Available Report - Statement of Pending Cancellation Instructions for Intra-Position Movements and Settlement Instructions - Details Screen

△ [▶ 524]

No references for error messages.

6.5.3.22 Available Report - Statement of Pending Instructions - Details Screen

△ [▶ 532]

No references for error messages.

6.5.3.23 Available Report - Statement of Pending Intra-Balance Movements - Details Screen

△ [▶ 541]

No references for error messages.

6.5.3.24 Available Report - Statement of Pending Intra-Position Movements - Details Screen

△ [▶ 546]

No references for error messages.

6.5.3.25 Available Report - Statement of Settled Intra-Balance Movements - Details Screen

△ [▶ 551]

No references for error messages.

6.5.3.26 Available Report - Statement of Settled Intra-Position Movements - Details Screen

△ [▶ 556]

No references for error messages.

6.5.3.27 Available Report - Statement of Settlement Allegements - Details Screen

△ [▶ 559]

No references for error messages.

6.5.3.28 Available Report - Statement of Transactions - Details Screen

△ [▶ 572]

No references for error messages.

6.5.3.29 Available Reports - Search/List Screen

△ [▶ 460]

Reference for error message	Field or Button	Error Text	Description
QMPC032	<input type="checkbox"/> Party BIC field <input type="checkbox"/> Search button	The specified Party BIC is not known in T2S.	In case a Party BIC is specified, it has to be known in T2S.
QMPC048	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Search button	The specified Parent BIC is not known in T2S.	In case a Parent BIC is specified, it has to be known in T2S.
QMPC055	<input type="checkbox"/> Date field <input type="checkbox"/> Search button	The specified business date is in the future.	In case a business date is specified, it must not be in the future.

Reference for error message	Field or Button	Error Text	Description
QMPC084	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Search button	The specified Parent BIC is not the System Entity of the specified party.	In case the BIC of a party as well as its parent BIC are specified, a party with these two BICs has to be known in T2S.

6.5.3.30 Broadcast - Details Screen

△ [▶ 628]

No references for error messages.

6.5.3.31 Broadcast - New Screen

△ [▶ 630]

No references for error messages.

6.5.3.32 Broadcasts - Search/List Screen

△ [▶ 625]

Reference for error message	Field or Button	Error Text	Description
QMPC015	<input type="checkbox"/> Creation Date and Time from field <input type="checkbox"/> Creation Date and Time to field <input type="checkbox"/> Search button	The Date From is after the Date To.	In case the Date is stated as a range, the Date From has to be before or equal to the Date To.

6.5.3.33 Calendar - Details Screen

△ [▶ 709]

No references for error messages.

6.5.3.34 Cancellation Cash Instruction - Details Screen

△ [▶ 425]

No references for error messages.

6.5.3.35 Cancellation Cash Instruction - New Screen

△ [▶ 428]

Reference for error message	Field or Button	Error Text	Description
MVDC027	<input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Submit button	The Instructing Party specified in the Cancellation Instruction does not exist in T2S Static Data.	The Instructing Party specified in a Cancellation Instruction must exist in T2S Static Data.
MVCP123	<input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Submit button	The sender of a Cancellation Instruction is not authorised to send a Cancellation Instruction using this Instructing Party.	If the sender of a Cancellation Instruction does not belong to the Instructing Party, then the sender of a Cancellation Instruction must be authorised to send a Cancellation Instruction using the Instructing Party.
MMCR201	<input type="checkbox"/> Submit button	Referenced Settlement Restriction already settled.	The Settlement Status of a Settlement Restriction referenced by a Cancellation Instruction must not be 'Settled'.
MMCR202	<input type="checkbox"/> Submit button	Referenced Settlement Restriction already cancelled.	The Cancellation Status of a Settlement Restriction referenced by a Cancellation Instruction must not be 'Cancelled'.
MVCP003	<input type="checkbox"/> T2S Reference field <input type="checkbox"/> Submit button	The underlying instruction referenced by a Cancellation Instruction does not exist in T2S.	The underlying instruction of a Cancellation instruction must exist in T2S.

Reference for error message	Field or Button	Error Text	Description
MVCP035	<input type="checkbox"/> Dedicated Cash Account Number field <input type="checkbox"/> Submit Button	The sender of a Cancellation Instruction is not authorised to cancel a Settlement Restriction on Cash on the T2S Dedicated Cash Account.	The sender of a Cancellation Instruction that intends to cancel a Settlement Restriction on Cash must be authorised to cancel a Settlement Restriction on Cash on a specific T2S Dedicated Cash Account.
MVCV108	<input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Submit button	The Instructing Party specified in the Cancellation Instruction is not open on the current business date.	The Instructing Party specified in a Cancellation Instruction must be open on the current business date.
MVCV231	<input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Submit button	The Instructing Party BIC or Parent BIC specified in the Cancellation Instruction is not valid on the current business date.	The Instructing Party BIC and Parent BIC indicated in a Cancellation Instruction must be valid on the current business date.

6.5.3.36 Cancellation Securities Instruction - Details Screen

△ [▶ 123]

No references for error messages.

6.5.3.37 Cancellation Securities Instruction - New Screen

△ [▶ 127]

Reference for error message	Field or Button	Error Text	Description
MMCI101	<input type="checkbox"/> Submit button	Referenced Settlement Instruction already settled.	The Settlement Status of a Settlement Instruction referenced by a Cancellation Instruction must not be 'Settled'.
MMCI102	<input type="checkbox"/> Submit button	Referenced Settlement Instruction already cancelled.	The Cancellation Status of a Settlement Instruction referenced by a Cancellation Instruction must not be 'Cancelled'.
MMCI105	<input type="checkbox"/> Submit Button <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field	Referenced Settlement Instruction on CoSD process.	If a Settlement Instruction referenced by a Cancellation Instruction is identified as CoSD such Settlement Instruction cannot be cancelled by a Party.
MMCI106	<input type="checkbox"/> Submit Button <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field	Referenced Settlement Instruction already requested for cancellation by the same Instructing Party.	There is a pending Cancellation Instruction of the same type and Instructing Party (either T2S party, Administering Party or CSD).
MMCI107	<input type="checkbox"/> Submit Button <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field	Referenced Settlement Instruction already requested for cancellation.	The Cancellation Request Flag of a Settlement Instruction referenced by an unmatched T2S Party Cancellation Instruction must not be 'Yes'.
MMCI108	<input type="checkbox"/> Submit Button <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field	Referenced Settlement Instruction already requested for cancellation.	The Cancellation Request Flag of a Settlement Instruction referenced by a CoSD Cancellation Instruction must not be 'Yes'.

Reference for error message	Field or Button	Error Text	Description
MMCI109	<input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Instructing Party BIC field	Realignment Instruction fulfils a CoSD Rule.	If a CoSD Rule applies over a Realignment Instruction of the referenced Settlement Instruction such Settlement Instruction can only be cancelled by the corresponding CSD.
MMCR201	<input type="checkbox"/> Submit button	Referenced Settlement Restriction already settled.	The Settlement Status of a Settlement Restriction referenced by a Cancellation Instruction must not be 'Settled'.
MMCR202	<input type="checkbox"/> Submit button	Referenced Settlement Restriction already cancelled.	The Cancellation Status of a Settlement Restriction referenced by a Cancellation Instruction must not be 'Cancelled'.
MVCP003	<input type="checkbox"/> Actor Reference field <input type="checkbox"/> T2S Reference field <input type="checkbox"/> Submit button	The underlying instruction referenced by a Cancellation Instruction does not exist in T2S.	The underlying instruction of a Cancellation instruction must exist in T2S.
MVCP029	<input type="checkbox"/> Securities Account field <input type="checkbox"/> Submit button	The sender of the Cancellation Instruction is not authorised to cancel a Settlement Instruction on the Securities Account.	The sender of a Cancellation Instruction that intends to cancel a Settlement Instruction must be authorised to cancel a Settlement Instruction on a specific Securities Account.
MVCP030	<input type="checkbox"/> Submit button	The sender of the Cancellation Instruction is not authorised to cancel a Settlement In-	If the relevant Depository is an external CSD, the sender of a Cancellation Instruction that intends to cancel a Set-

Reference for error message	Field or Button	Error Text	Description
		struction on behalf of an external CSD.	tlement Instruction must be authorised to cancel a Settlement Instruction on behalf of that external CSD.
MVCP031	<input type="checkbox"/> Submit button	The sender of the Cancellation Instruction is not authorised to cancel a CoSD Settlement Instruction on behalf of the Administering Party.	The sender of a Cancellation Instruction that intends to cancel a Settlement Instruction identified as CoSD must be authorised to cancel a CoSD Settlement Instruction on behalf of the Administering Party identified as the Instructing Party of the cancellation.
MVCP033	<input type="checkbox"/> Securities Account field <input type="checkbox"/> Submit button	The sender of a Cancellation Instruction is not authorised to cancel a Settlement Restriction on Securities on the Securities Account.	The sender of a Cancellation Instruction that intends to cancel a Settlement Restriction on Securities must be authorised to cancel a Settlement Restriction on Securities on a specific Securities Account.
MVCP044	<input type="checkbox"/> Securities Account field <input type="checkbox"/> Submit button	The Securities Account informed in the instruction does not exist in T2S.	The Securities Account informed in the instruction must exist in T2S.
MVCP048	<input type="checkbox"/> Submit button	The sender of a Cancellation Instruction is not authorised to cancel non-modifiable Instructions on be-	The sender of a Cancellation Instruction that intends to cancel a Settlement Instruction identified as a non-modifiable instruction must be

Reference for error message	Field or Button	Error Text	Description
		half of the Instructing party of the Underlying Settlement Instruction.	authorised to cancel non-modifiable Instructions on behalf of the Instructing party of the Underlying Settlement Instruction.
MVCP096	<input type="checkbox"/> Submit button	The sender of a Cancellation Instruction is not authorised to cancel a Settlement Instruction on behalf of the parent CSD of the external CSD.	If the relevant Depository is an external CSD, the sender of a Cancellation Instruction that intends to cancel a Settlement Instruction must be authorised to cancel a Settlement Instruction on behalf of the parent CSD of the external CSD.
MVCP104	<input type="checkbox"/> Already Matched Cancellation field <input type="checkbox"/> Submit button	The sender of a Cancellation Instruction is not authorised to send a Cancellation Instruction on behalf of the external CSD of the counterparty or the parent CSD of that external CSD.	If the Depository of the counterparty is an external CSD, the sender of a Cancellation Instruction that intends to cancel both legs of an already matched Settlement Instruction must be authorised to cancel a Settlement Instruction on behalf of that external CSD or the parent CSD of that external CSD.
MVCP105	<input type="checkbox"/> Already Matched Cancellation field <input type="checkbox"/> Submit button	The sender of a Cancellation Instruction is not authorised to send a Cancellation Instruction on the	The sender of a Cancellation Instruction that intends to cancel both legs of an already matched Settlement Instruction must be authorised to cancel a

Reference for error message	Field or Button	Error Text	Description
		Securities Account of the counterparty.	Settlement Instruction on both Securities Accounts (in case the Cancellation Instruction only informs one Securities Account, the other would be derived from the underlying Settlement Instruction).
MVCP123	<input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button	The sender of a Cancellation Instruction is not authorised to send a Cancellation Instruction using this Instructing Party.	If the sender of a Cancellation Instruction does not belong to the Instructing Party, then the sender of a Cancellation Instruction must be authorised to send a Cancellation Instruction using the Instructing Party.
MVCV108	<input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button	The Instructing Party specified in the Cancellation Instruction is not open on the current business date.	The Instructing Party specified in a Cancellation Instruction must be open on the current business date.
MVCV231	<input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button	The Instructing Party BIC or Parent BIC specified in the Cancellation Instruction is not valid on the current business date.	The Instructing Party BIC and Parent BIC indicated in a Cancellation Instruction must be valid on the current business date.
MVDC027	<input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button	The Instructing Party specified in the Cancellation	The Instructing Party specified in a Cancellation Instruction must exist in T2S Static Data.

Reference for error message	Field or Button	Error Text	Description
		Instruction does not exist in T2S Static Data.	
MVRI576	<input type="checkbox"/> Submit button	It is not possible to cancel a T2S generated Settlement Instruction.	A Cancellation Instruction cannot refer to a T2S generated Settlement Instruction, unless the T2S generated Settlement Instruction is a recycled Reimbursement client-collateralization Settlement Instruction.
MVRI592	<input type="checkbox"/> Actor Reference field <input type="checkbox"/> T2S Reference field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button	The underlying Settlement Instruction is not in CoSD Hold.	The underlying Settlement Instruction referred by a CoSD Cancellation Instruction must be CoSD on Hold.
MVRI594	<input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button	The Instructing Party is not an Administering Party of any CoSD Rule associated to the Settlement Instruction.	The Instructing Party of a CoSD Cancellation Instruction must be an Administering Party of at least one of the CoSD Rules associated to the underlying Settlement Instruction.

6.5.3.38 Cash Forecast - Details Screen

△ [▶ 341]

No references for error messages.

6.5.3.39 Cash Forecasts - Search/List Screen

△ [▶ 333]

Reference for error message	Field or Button	Error Text	Description
QMPC017	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Submit button	The Intended Settlement Date is not the current date or the date of the following settlement day.	The Intended Settlement Date must be the current date or the date of the following settlement day.
QMPC032	<input type="checkbox"/> Party BIC field <input type="checkbox"/> Submit button	The specified Party BIC is not known in T2S.	In case a Party BIC is specified, it has to be known in T2S.
QMPC048	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Submit button	The specified Parent BIC is not known in T2S.	In case a Parent BIC is specified, it has to be known in T2S.
QMPC054	<input type="checkbox"/> Currency field <input type="checkbox"/> Submit button	The specified Currency is not known in T2S.	In case a Currency is specified, it has to be known in T2S.
QMPC084	<input type="checkbox"/> Party BIC field <input type="checkbox"/> Parent BIC field <input type="checkbox"/> Submit button	The specified Parent BIC is not the System Entity of the specified party.	In case the BIC of a party as well as its parent BIC are specified, a party with these two BICs has to be known in T2S.

6.5.3.40 Collateral Value of a Security - Search/List Screen

△ [▶ 350]

Reference for error message	Field or Button	Error Text	Description
QMPC018	<input type="checkbox"/> ISIN field <input type="checkbox"/> Search button	The specified ISIN is not known in T2S.	In case an ISIN is specified, it has to be known in T2S.

Reference for error message	Field or Button	Error Text	Description
QMPC031	<input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Search button	The specified T2S Dedicated Cash Account Number is not known in T2S.	In case a T2S Dedicated Cash Account Number is specified, it has to be known in T2S.
QMPC032	<input type="checkbox"/> Party BIC field <input type="checkbox"/> CSD Parent BIC field <input type="checkbox"/> Search button	The specified Party BIC is not known in T2S.	In case a Party BIC is specified, it has to be known in T2S.
QMPC084	<input type="checkbox"/> Party BIC field <input type="checkbox"/> CSD Parent BIC field <input type="checkbox"/> Search button	The specified Parent BIC is not the System Entity of the specified party.	In case the BIC of a party as well as its parent BIC are specified, a party with these two BICs has to be known in T2S.

6.5.3.41 Collateral Value per T2S Dedicated Cash Account and Security - Search/List Screen

△ [▶ 353]

Reference for error message	Field or Button	Error Text	Description
QMPC031	<input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Search button	The specified T2S Dedicated Cash Account Number is not known in T2S.	In case a T2S Dedicated Cash Account Number is specified, it has to be known in T2S.
QMPC032	<input type="checkbox"/> Securities Account Owner BIC field <input type="checkbox"/> CSD Parent BIC field <input type="checkbox"/> Search button	The specified Party BIC is not known in T2S.	In case a Party BIC is specified, it has to be known in T2S.

Reference for error message	Field or Button	Error Text	Description
QMPC084	<input type="checkbox"/> Securites Account Owner BIC field <input type="checkbox"/> CSD Parent BIC field <input type="checkbox"/> Search button	The specified Parent BIC is not the System Entity of the specified party.	In case the BIC of a party as well as its parent BIC are specified, a party with these two BICs has to be known in T2S.

6.5.3.42 Data Change - Details Screen

△ [▶ 620]

No references for error messages.

6.5.3.43 Data Changes - Search/List Screen

△ [▶ 616]

Reference for error message	Field or Button	Error Text	Description
QMPC015	<input type="checkbox"/> From field <input type="checkbox"/> Search button <input type="checkbox"/> To field	The Date From is after the Date To.	In case the Date is stated as a range, the Date From has to be before or equal to the Date To.
QMPC016	<input type="checkbox"/> From field <input type="checkbox"/> Search button	The Date From is older than three calendar months.	The Date From must not be older than three calendar months.

6.5.3.44 Default Event Schedule - Details Screen

△

No references for error messages.

6.5.3.45 Hold/Release Instruction - Details Screen

△ [▶ 132]

No references for error messages.

6.5.3.46 Hold/Release Instruction - New Screen

△ [▶ 136]

Reference for error message	Field or Button	Error Text	Description
MMHI101	<input type="checkbox"/> Submit button	Referenced Settlement Instruction already settled.	The Settlement Status of a Settlement Instruction referenced by a Hold Instruction must not be 'Settled'.
MMHI102	<input type="checkbox"/> Submit button	Referenced Settlement Instruction already cancelled.	The Cancellation Status of a Settlement Instruction referenced by a Hold Instruction must not be 'Cancelled'.
MMHI104	<input type="checkbox"/> Party Hold field <input type="checkbox"/> CSD Hold field <input type="checkbox"/> Submit button	Referenced Settlement Instruction already on hold or there is a pending Hold instruction of the same Hold type in the system.	The relevant Hold Status (Party Hold Status or CSD Hold Status) of a Settlement Instruction referenced by a Hold Instruction must not be 'Yes'. and there must not be a pending Hold instruction of the same Hold type to be executed in the system.
MMHI105	<input type="checkbox"/> Party Hold field <input type="checkbox"/> CSD Hold field <input type="checkbox"/> Submit button	Referenced Settlement Instruction is in a CoSD group.	The CoSD Flag of a Settlement Instruction referenced by a Hold Instruction must not be 'Yes'.
MMPR201	<input type="checkbox"/> CoSD Release field <input type="checkbox"/> Submit button	Referenced Settlement Instruction already settled.	The Settlement Status of a Settlement Instruction referenced by a CoSD Release Instruction must not be 'Settled'.

Reference for error message	Field or Button	Error Text	Description
MMPR202	<input type="checkbox"/> CoSD Release field <input type="checkbox"/> Submit button	Referenced Settlement Instruction already cancelled.	The Cancellation Status of a Settlement Instruction referenced by a CoSD Release Instruction must not be 'Cancelled'.
MMPR203	<input type="checkbox"/> CoSD Rule Identification field <input type="checkbox"/> CoSD Release field <input type="checkbox"/> Submit button	Waiting for CoSD cash blocking.	The cash position of a Settlement Instruction referenced by a CoSD Release Instruction must be blocked.
MMPR204	<input type="checkbox"/> CoSD Rule Identification field <input type="checkbox"/> CoSD Release field <input type="checkbox"/> Submit button	Waiting for CoSD securities blocking.	The securities position of a Settlement Instruction referenced by a CoSD Release Instruction must be blocked.
MMPR207	<input type="checkbox"/> CSD Validation Hold Status <input type="checkbox"/> Party Hold <input type="checkbox"/> CoSD Release field <input type="checkbox"/> Submit button	Any other Hold Status apart from the CoSD Hold Status is 'Yes'.	The Hold statuses, except the CoSD Hold Status, of a Settlement Instruction referenced by a CoSD Release Instruction or its matched Settlement Instruction, must be 'No'
MMPR208	<input type="checkbox"/> CoSD Rule Identification field <input type="checkbox"/> CoSD Release field <input type="checkbox"/> Submit button	CoSD Rule already released or there is a pending CoSD Release for this rule in the system.	The Administering Party has already requested the release of the CoSD Rule or the CoSD release for that CoSD rule is pending to be executed in the system.
MMPR209	<input type="checkbox"/> CoSD Rule Identification field <input type="checkbox"/> CoSD Release field <input type="checkbox"/> Submit button	Waiting for CoSD securities and cash blocking.	The securities and cash position of a Settlement Instruction referenced

Reference for error message	Field or Button	Error Text	Description
			by a CoSD Release Instruction must be blocked.
MMRI201	<input type="checkbox"/> CoSD Release field <input type="checkbox"/> CSD Release field <input type="checkbox"/> CSD Validation Release field <input type="checkbox"/> Party Release field <input type="checkbox"/> Submit button	Referenced Settlement Instruction already settled.	The Settlement Status of a Settlement Instruction referenced by a Release Instruction must not be 'Settled'.
MMRI202	<input type="checkbox"/> Party Release field <input type="checkbox"/> CSD Release field <input type="checkbox"/> CSD Validation Release field <input type="checkbox"/> CoSD Release field <input type="checkbox"/> Submit button	Referenced Settlement Instruction already cancelled.	The Cancellation Status of a Settlement Instruction referenced by a Release Instruction must not be 'Cancelled'.
MMRI204	<input type="checkbox"/> Party Release field <input type="checkbox"/> CSD Release field <input type="checkbox"/> CSD Validation Release field <input type="checkbox"/> CoSD Release field <input type="checkbox"/> Submit button	Referenced Settlement Instruction already released or there is a pending Release instruction of the same Hold type in the system.	The relevant Hold Status (Party Hold Status, CSD Hold Status or CSD Validation Hold Status) of a Settlement Instruction referenced by a Release Instruction must be 'Yes' and there must not be a pending Release instruction of the same Hold type to be executed in the system.
MVCP004	<input type="checkbox"/> Actor Reference field <input type="checkbox"/> T2S Reference field <input type="checkbox"/> Submit button	The underlying instruction referenced by a Condition	The underlying instruction of a Condition Modification instruction must exist in T2S.

Reference for error message	Field or Button	Error Text	Description
		tion Modification Instruction does not exist in T2S.	
MVCP019	<input type="checkbox"/> Party Hold field <input type="checkbox"/> Securities Account Number <input type="checkbox"/> Submit button	The sender of the Hold/Release Instruction is not authorised to perform a Party Hold on the Securities Account.	The sender of a Hold/Release Instruction that intends to perform a Party Hold must be authorised to perform a Party Hold on a specific Securities Account.
MVCP020	<input type="checkbox"/> CSD Hold field <input type="checkbox"/> Securities Account Number <input type="checkbox"/> Submit button	The sender of the Hold/Release Instruction is not authorised to perform a CSD Hold on the Securities Account.	The sender of a Hold/Release Instruction that intends to perform a CSD Hold must be authorised to perform a CSD Hold on a specific Securities Account.
MVCP021	<input type="checkbox"/> CSD Hold field <input type="checkbox"/> Submit button	The sender of the Hold/Release Instruction is not authorised to perform a CSD Hold on behalf of an external CSD.	If the relevant Depository is an external CSD, the sender of a Hold/Release Instruction that intends to perform a CSD Hold must be authorised to perform a CSD Hold on behalf of the parent CSD of the external CSD.
MVCP022	<input type="checkbox"/> Party Release field <input type="checkbox"/> Submit button	The sender of the Hold/Release Instruction is not authorised to release a Party Hold on the Securities Account.	The sender of a Hold/Release Instruction that intends to release a Party Hold must be authorised to release a Party Hold on a specific Securities Account.
MVCP023	<input type="checkbox"/> CSD Release field <input type="checkbox"/> Submit button	The sender of the Hold/Release Instruction is not au-	The sender of a Hold/Release Instruction that intends to release a

Reference for error message	Field or Button	Error Text	Description
		thorised to release a CSD Hold on the Securities Account.	CSD Hold must be authorised to release a CSD Hold on a specific Securities Account.
MVCP024	<input type="checkbox"/> CSD Validation Release field <input type="checkbox"/> Submit button	The sender of the Hold/Release Instruction is not authorised to release a CSD Validation Hold on the Securities Account.	The sender of a Hold/Release Instruction that intends to release a CSD Validation Hold must be authorised to release a CSD Validation Hold on a specific Securities Account.
MVCP025	<input type="checkbox"/> CSD Release field <input type="checkbox"/> Submit button	The sender of the Hold/Release Instruction is not authorised to release a CSD Hold on behalf of the parent CSD of the external CSD.	If the relevant Depository is an external CSD, the sender of a Hold/Release Instruction that intends to release a CSD Hold must be authorised to release a CSD Hold on behalf of the parent CSD of the external CSD.
MVCP026	<input type="checkbox"/> CSD Validation Release field <input type="checkbox"/> Submit button	The sender of the Hold/Release Instruction is not authorised to release a CSD Validation Hold on behalf of the parent CSD of the external CSD.	If the relevant Depository is an external CSD, the sender of a Hold/Release Instruction that intends to release a CSD Validation Hold must be authorised to release a CSD Validation Hold on behalf of the parent CSD of the external CSD.
MVCP043	<input type="checkbox"/> CoSD Rule field <input type="checkbox"/> CoSD Rule Identification field <input type="checkbox"/> Submit button	The sender of a Hold/Release Instruction is not authorised to release	The sender of a Hold/Release Instruction that intends to release a CoSD Rule must be au-

Reference for error message	Field or Button	Error Text	Description
		a CoSD Rule on Behalf of the Administering Party.	thorised to release a CoSD Rule on Behalf of the Administering Party of the rule.
MVCP044	<input type="checkbox"/> Securities Account Number <input type="checkbox"/> Submit button	The Securities Account informed in the instruction does not exist in T2S.	The Securities Account informed in the instruction must exist in T2S.
MVCP046	<input type="checkbox"/> Party Hold field <input type="checkbox"/> CSD Hold <input type="checkbox"/> Submit button	The sender of a Hold/Release Instruction is not authorised to hold non-modifiable Instructions on behalf of the Instructing party of the Underlying Settlement Instruction	The sender of a Hold/Release Instruction that intends to hold a Settlement Instruction identified as a non-modifiable instruction must be authorised to hold non-modifiable Instructions on behalf of the Instructing party of the Underlying Settlement Instruction
MVCP047	<input type="checkbox"/> Party Release field <input type="checkbox"/> CSD Release field <input type="checkbox"/> CSD Validation Release field <input type="checkbox"/> CoSD Release field <input type="checkbox"/> Submit button	The sender of a Hold/Release Instruction is not authorised to release non-modifiable Instructions on behalf of the Instructing party of the Underlying Settlement Instruction	The sender of a Hold/Release Instruction that intends to release a Settlement Instruction identified as a non-modifiable instruction must be authorised to release non-modifiable Instructions on behalf of the Instructing party of the Underlying Settlement Instruction
MVCP093	<input type="checkbox"/> Party Hold field <input type="checkbox"/> Submit button	The sender of a Hold/Release Instruction is not authorised to perform	If the relevant Depository is an external CSD, the sender of a Hold/Release Instruction that

Reference for error message	Field or Button	Error Text	Description
		a Party Hold on behalf of an external CSD.	intends to perform a Party Hold must be authorised to perform a Party Hold on behalf of that external CSD.
MVCP094	<input type="checkbox"/> Party Release field <input type="checkbox"/> Submit button	The sender of a Hold/Release Instruction is not authorised to release a Party Hold on behalf of an external CSD.	If the relevant Depository is an external CSD, the sender of a Hold/Release Instruction that intends to perform a Release Party Hold must be authorised to release a Party Hold on behalf of that external CSD.
MVCP106	<input type="checkbox"/> Hold/Release Counterparty Instruction field <input type="checkbox"/> Party Hold field <input type="checkbox"/> Securities Account Number <input type="checkbox"/> Submit button	The sender of a Hold/Release Instruction is not authorised to perform a Party Hold on the Securities Account of the counterparty.	The sender of a Hold/Release Instruction that intends to perform a Party Hold on both legs of an already matched Settlement Instruction must be authorised to perform a Party Hold on the Securities Account of the counterparty (in case the Hold/Release Instruction only informs one Securities Account, the other would be derived from the underlying Settlement Instruction).
MVCP107	<input type="checkbox"/> Hold/Release Counterparty Instruction field <input type="checkbox"/> CSD Hold field	The sender of a Hold/Release Instruction is not authorised to perform a CSD Hold on the	The sender of a Hold/Release Instruction that intends to perform a CSD Hold on both legs of an already matched Settlement Instruction

Reference for error message	Field or Button	Error Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Securities Account Number <input type="checkbox"/> Submit button 	Securities Account of the counterparty.	must be authorised to CSD Hold a Settlement Instruction on the Securities Account of the counterparty (in case the Hold/Release Instruction only informs one Securities Account, the other would be derived from the underlying Settlement Instruction).
MVCP111	<ul style="list-style-type: none"> <input type="checkbox"/> Hold/Release Counterparty Instruction field <input type="checkbox"/> Party Release field <input type="checkbox"/> Securities Account Number <input type="checkbox"/> Submit button 	The sender of a Hold/Release Instruction is not authorised to release a Party Hold on the Securities Account of the counterparty.	The sender of a Hold/Release Instruction that intends to release a Party Hold on both legs of an already matched Settlement Instruction must be authorised to release Party Hold on a Settlement Instruction of the Securities Account of the counterparty (in case the Hold/Release Instruction only informs one Securities Account, the other would be derived from the underlying Settlement Instruction).
MVCP112	<ul style="list-style-type: none"> <input type="checkbox"/> Hold/Release Counterparty Instruction field <input type="checkbox"/> CSD Release field <input type="checkbox"/> Securities Account Number <input type="checkbox"/> Submit button 	The sender of a Hold/Release Instruction is not authorised to release a CSD Hold on the Securities Account of the counterparty.	The sender of a Hold/Release Instruction that intends to release a CSD Hold on both legs of an already matched Settlement Instruction must be authorised to release a CSD Hold of

Reference for error message	Field or Button	Error Text	Description
			a Settlement Instruction of the Securities Account of the counterparty (in case the Hold/Release Instruction only informs one Securities Account, the other would be derived from the underlying Settlement Instruction).
MVCP113	<ul style="list-style-type: none"> <input type="checkbox"/> Hold/Release Counterparty Instruction field <input type="checkbox"/> CSD Validation Release field <input type="checkbox"/> Securities Account Number <input type="checkbox"/> Submit button 	The sender of a Hold/Release Instruction is not authorized to release a CSD Validation Hold on the Securities Account of the counterparty.	The sender of a Hold/Release Instruction that intends to release a CSD Validation Hold on both legs of an already matched Settlement Instruction must be authorized to release a CSD Validation Hold of a Settlement Instruction on the Securities Account of the counterparty (in case the Hold/Release Instruction only informs one Securities Account, the other would be derived from the underlying Settlement Instruction).
MVCP114	<ul style="list-style-type: none"> <input type="checkbox"/> Hold/Release Counterparty Instruction field <input type="checkbox"/> Party Hold field <input type="checkbox"/> Submit button 	The sender of a Hold/Release Instruction is not authorized to perform a Party Hold on behalf of the external CSD of the counterparty.	If the Depository of the counterpart is an external CSD, the sender of a Hold/Release Instruction that intends to perform a Party Hold on both legs of an already matched Settlement Instruction must be au-

Reference for error message	Field or Button	Error Text	Description
			thorized to perform a Party Hold on behalf of that external CSD.
MVCP115	<ul style="list-style-type: none"> <input type="checkbox"/> Hold/Release Counterparty Instruction field <input type="checkbox"/> CSD Hold field <input type="checkbox"/> Submit button 	The sender of a Hold/Release Instruction is not authorized to perform a CSD Hold on behalf of the parent CSD of the external CSD of the counterparty.	If the Depository of the counterpart is an external CSD, the sender of a Hold/Release Instruction that intends to perform a CSD Hold on both legs of an already matched Settlement Instruction must be authorised to CSD Hold a Settlement Instruction on behalf of the parent CSD of that external CSD.
MVCP116	<ul style="list-style-type: none"> <input type="checkbox"/> Hold/Release Counterparty Instruction field <input type="checkbox"/> Party Hold field <input type="checkbox"/> Submit button 	The sender of a Hold/Release Instruction is not authorized to release a Party Hold on behalf of the external CSD of the counterparty.	If the Depository of the counterpart is an external CSD, the sender of a Hold/Release Instruction that intends to release a Party Hold on both legs of an already matched Settlement Instruction must be authorized to release Party Hold of a Settlement Instruction on behalf that external CSD.
MVCP117	<ul style="list-style-type: none"> <input type="checkbox"/> Hold/Release Counterparty Instruction field <input type="checkbox"/> CSD Hold field <input type="checkbox"/> Submit button 	The sender of a Hold/Release Instruction is not authorized to release a CSD Hold on behalf of the parent	If the Depository of the counterpart is an external CSD, the sender of a Hold/Release Instruction that intends to release a CSD Hold on both legs of an already matched Settlement In-

Reference for error message	Field or Button	Error Text	Description
		CSD of the external CSD of the counterparty.	struction must be authorized to release a CSD Hold of a Settlement Instruction on behalf of the parent CSD of that external CSD.
MVCP118	<ul style="list-style-type: none"> <input type="checkbox"/> Hold/Release Counterparty Instruction field <input type="checkbox"/> CSD Validation Release field <input type="checkbox"/> Submit button 	The sender of a Hold/Release Instruction is not authorized to release a CSD Validation Hold on behalf of the parent CSD of the external CSD of the counterparty.	If the Depository of the counterparty is an external CSD, the sender of a Hold/Release Instruction that intends to release a CSD Validation Hold on both legs of an already matched Settlement Instruction must be authorized to release a CSD Validation Hold of a Settlement Instruction on behalf of the parent CSD of that external CSD.
MVCP121	<ul style="list-style-type: none"> <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button 	The sender of a Hold/Release Instruction is not authorised to release a CSD Validation Hold on the Securities Account of the counterparty.	The sender of a Hold/Release Instruction that intends to release a CSD Validation Hold on both legs of an already matched Settlement Instruction must be authorised to release a CSD Validation Hold of a Settlement Instruction on the Securities Account of the counterparty (in case the Hold/Release Instruction only informs one Securities Account, the other

Reference for error message	Field or Button	Error Text	Description
			would be derived from the underlying Settlement Instruction).
MVCP129	<input type="checkbox"/> Party Release field <input type="checkbox"/> Submit button	The sender of the Hold/Release Instruction is not authorised to release an Auto-Collateralisation Instruction on the Securities Account.	The sender of a Hold/Release Instruction that intends to release an Auto-Collateralisation Instruction must be authorised to release a Party Hold on an Auto-collateralisation Instruction with specific Securities Account.
MVCV109	<input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button	The Instructing Party specified in the Hold/Release Instruction is not open on the current business date.	The Instructing Party specified in a Hold/Release Instruction must be open on the current business date.
MVCV233	<input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button	The Instructing Party BIC or Parent BIC specified in the Hold/Release Instruction is not valid on the current business date.	The Instructing Party BIC and Parent BIC indicated in a Hold/Release Instruction must be valid on the current business date.
MVDC026	<input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button	The Instructing Party specified in the Condition Modification Instruction does not exist in T2S Static Data.	The Instructing Party specified in a Condition Modification Instruction must exist in T2S Static Data.

Reference for error message	Field or Button	Error Text	Description
MVRI555	<input type="checkbox"/> T2S Reference <input type="checkbox"/> Submit button	The Condition Modification Instruction refers to a T2S generated Settlement Restriction.	A Condition Modification Instruction cannot refer to a T2S generated Settlement Restriction.
MVRI556	<input type="checkbox"/> Submit button	The Cancellation Instruction refers to a T2S generated Settlement Restriction.	A Cancellation Instruction cannot refer to a T2S generated Settlement Restriction.
MVRI571	<input type="checkbox"/> CoSD Rule field <input type="checkbox"/> CoSD Rule Identification field <input type="checkbox"/> Submit button	The CoSD rule reference is not informed in the CoSD Release Instruction.	The CoSD Rule reference must be specified in a CoSD Release Instruction.
MVRI572	<input type="checkbox"/> CoSD Rule field <input type="checkbox"/> CoSD Rule Identification field <input type="checkbox"/> Submit button	The CoSD rule reference specified in the Release Instruction does not exist in T2S for the Settlement Instruction specified.	The CoSD Rule reference specified in a CoSD Release Instruction must exist in T2S for the Settlement Instruction stated in the Release Instruction.
MVRI575	<input type="checkbox"/> T2S Reference <input type="checkbox"/> CSD Validation Hold Status <input type="checkbox"/> CoSD Hold Status <input type="checkbox"/> Submit button	It is not possible to amend a T2S generated Settlement Instruction.	A Condition Modification Instruction cannot refer to a T2S generated Realignment Settlement Instruction, unless it is a CoSD Release Instruction and the T2S generated Settlement Instruction has its CoSD Hold Status Set to 'Yes' or it is a CSD.

Reference for error message	Field or Button	Error Text	Description
MVRI584	<ul style="list-style-type: none"> <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> CoSD Release field <input type="checkbox"/> CoSD Rule field <input type="checkbox"/> CoSD Rule Identification field <input type="checkbox"/> T2S Reference <input type="checkbox"/> Submit button 	The T2S Instruction Id of a Settlement Instruction referenced by a CoSD Release Instruction is not informed.	When the Instructing Party of a CoSD Release Instruction is an Administering Party, the T2S Instruction Id of the underlying Settlement Instruction must be informed.
MVRI591	<ul style="list-style-type: none"> <input type="checkbox"/> Actor Reference field <input type="checkbox"/> T2S Reference field <input type="checkbox"/> Party Release field <input type="checkbox"/> CSD Release field <input type="checkbox"/> CSD Validation Release field <input type="checkbox"/> CoSD Release field <input type="checkbox"/> Submit button 	The Condition Modification Instruction refers to a T2S generated Settlement Instruction.	A Condition Modification Instruction cannot refer to a T2S generated Collateral Settlement Instruction, unless it is a Release Instruction and the T2S generated Settlement Instruction is a Reimbursement Settlement Instruction.
MVRI593	<ul style="list-style-type: none"> <input type="checkbox"/> Actor Reference field <input type="checkbox"/> T2S Reference field <input type="checkbox"/> CoSD Release field <input type="checkbox"/> CoSD Hold Status <input type="checkbox"/> Submit button 	The underlying Settlement Instruction is not in CoSD Hold.	The underlying Settlement Instruction referred by a CoSD Release Instruction must be in CoSD Hold
MVRI595	<ul style="list-style-type: none"> <input type="checkbox"/> Instructing Party BIC field 	The Instructing Party is not the Administering Party of	The Instructing Party of a CoSD Release Instruction must be the

Reference for error message	Field or Button	Error Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> CoSD Release field <input type="checkbox"/> CoSD Rule field <input type="checkbox"/> CoSD Rule Identification field <input type="checkbox"/> Submit button 	the CoSD Rule specified in the Condition Modification Instruction.	Administering Party of the CoSD Rule specified in the condition modification message.
MVRI598	<ul style="list-style-type: none"> <input type="checkbox"/> Released Quantity <input type="checkbox"/> Submit button 	The Settlement Quantity of a Party Release Instruction is zero or higher than the Settlement Quantity of the referenced instruction.	If present, the Settlement Quantity indicated in a Party Release Instruction must be equal or lower than the Settlement Quantity stated in the referenced Settlement Instruction.
MVRI599	<ul style="list-style-type: none"> <input type="checkbox"/> Released Quantity <input type="checkbox"/> Submit button 	The Settlement Quantity of a Party Release Instruction is not a multiple of the Settlement Unit Multiple	If present, the Settlement Quantity indicated in a Party Release Instruction must be equal or lower than the Settlement Quantity stated in the referenced Settlement Instruction.
MVRI600	<ul style="list-style-type: none"> <input type="checkbox"/> Released Quantity <input type="checkbox"/> Submit button 	The number of decimals of the Settlement Quantity of a Party Release Instruction is greater than the number of decimals of the Settlement Multiple of the related security	If present, the number of decimals of the Settlement Quantity of a Party Release Instruction must be equal or lower than the number of decimals of the Settlement Unit Multiple of the related Security

Reference for error message	Field or Button	Error Text	Description
MVRI601	<input type="checkbox"/> Released Quantity <input type="checkbox"/> Submit button	The Settlement Quantity stated in the Party Release Instruction is not expressed using the same Settlement Type specified in T2S Reference Data.	If present, the Settlement Quantity stated in a Party Release Instruction must be expressed using the same Settlement Type as the one specified in T2S Reference Data for the ISIN Code of the referenced Settlement Instruction
MVRI602	<input type="checkbox"/> Submit button	The Intended Settlement Day of the referenced Settlement Instruction has not been reached yet.	If the quantity present in a Release Instruction is lower than the Settlement Quantity stated in the referenced Settlement Instruction, the Intended Settlement Date of the referenced Settlement Instruction must have been reached
MVRI603	<input type="checkbox"/> Submit button	The Securities Movement type of Referenced Settlement Instruction is not 'DELI'	If the quantity present in a Release Instruction is lower than the Settlement Quantity stated in the referenced Settlement Instruction, the Securities Movement Type of the referenced Settlement Instruction must be 'DELI'
MVRI604	Submit button	The referenced Settlement Instruction of a Party Release instruction is a T2S generated Settlement Instruction	If the quantity present in a Release Instruction is lower than the Settlement Quantity stated in the referenced Settlement Instruction, the referenced Settlement

Reference for error message	Field or Button	Error Text	Description
			Instruction must not be a T2S generated Settlement Instruction
SPSA001	<input type="checkbox"/> Released Quantity <input type="checkbox"/> Submit button	Partial release instruction is denied because the relevant cut-off has been reached.	If the quantity present in a release Instruction is Lower than the settlement quantity stated in the referenced settlement instruction, the related cut-off must not have been reached.
SPSA002	<input type="checkbox"/> Released Quantity <input type="checkbox"/> Submit button	Partial release instruction is denied because it does not comply with partial settlement rules.	If the quantity present in a release instruction is lower than the settlement quantity stated in the referenced settlement instruction, it must comply with partial settlement rules.
SPSA019	<input type="checkbox"/> Released Quantity <input type="checkbox"/> Submit button	At least one associated realignment Settlement Instruction is on hold.	If the quantity present in a Release Instruction is lower than the Settlement Quantity stated in the referenced Settlement Instruction, none of the associated realignment Settlement Instruction must be submitted to any hold

6.5.3.47 Immediate Liquidity Transfer Order - Details Screen

△ [▶ 390]

No references for error messages.

6.5.3.48 Immediate Liquidity Transfer Order - New Screen

△ [▶ 395]

Reference for error message	Field or Button	Error Text	Description
LLCI002	<ul style="list-style-type: none"> <input type="checkbox"/> T2S Dedicated Cash Account Number field (Debit) <input type="checkbox"/> Submit button 	Source (Debit) Account of internal or outbound LT is neither an existing nor an active T2S DCA.	The Source Account (debit account) of an immediate internal or outbound Liquidity Transfer should be an existing and active T2S Dedicated Cash Account (neither blocked nor logically deleted and for an Outbound Liquidity Transfer no RTGS Dedicated Transit Account) otherwise the incoming internal or outbound Liquidity Transfer will be rejected.
LLCI003	<ul style="list-style-type: none"> <input type="checkbox"/> External RTGS Account Number field <input type="checkbox"/> T2S Dedicated Cash Account Number field (Credit) <input type="checkbox"/> Submit button 	Target (Credit) Account of internal or outbound LT is neither an existing nor an active T2S DCA or RTGS Account.	The Target Account (credit account) of an internal or outbound Liquidity Transfer should be an existing and active T2S Dedicated Cash Account (neither blocked nor logically deleted) or an active RTGS account known within T2S, otherwise the incoming Liquidity Transfer will be rejected.
LLCI005	<ul style="list-style-type: none"> <input type="checkbox"/> Amount field <input type="checkbox"/> Submit button 	No eligible T2S settlement currency.	The currency of an inbound, outbound or internal Liquidity Transfer

Reference for error message	Field or Button	Error Text	Description
			should be eligible as T2S settlement currency; otherwise the Liquidity Transfer will be rejected.
LLCI006	<ul style="list-style-type: none"> <input type="checkbox"/> T2S Dedicated Cash Account Number field (Debit) <input type="checkbox"/> Amount field <input type="checkbox"/> External RTGS Account Number field <input type="checkbox"/> T2S Dedicated Cash Account Number field (Credit) <input type="checkbox"/> Submit button 	Currency of incoming flow differs from Target Account currency.	The currency of the incoming flow should be the same as the currency of the target account; otherwise the incoming internal, outbound or inbound liquidity transfer will be rejected.
LLCI007	<ul style="list-style-type: none"> <input type="checkbox"/> T2S Dedicated Cash Account Number field (Debit) <input type="checkbox"/> T2S Dedicated Cash Account Number field (Credit) <input type="checkbox"/> User Reference field <input type="checkbox"/> Amount field <input type="checkbox"/> External RTGS Account Number field <input type="checkbox"/> Submit button 	Incoming Internal, Outbound or Inbound LT is a duplicate submission.	If the incoming Internal, outbound or Inbound Liquidity Transfer from a T2S Actor has the same Order Reference, refers to the same Debit and Credit Account and has the same instructed Amount as another Internal, Outbound or Inbound Liquidity Transfer from the same T2S Actor, then it is a duplicate submission. The second and any further submission will be rejected.

Reference for error message	Field or Button	Error Text	Description
LLCI008	<ul style="list-style-type: none"> <input type="checkbox"/> External RTGS Account Number field <input type="checkbox"/> T2S Dedicated Cash Account Number field (Credit) <input type="checkbox"/> T2S Dedicated Cash Account Number field (Debit) <input type="checkbox"/> Submit button 	Source and Target Account of Internal LT not linked to same RTGS Account or do not belong to same payment bank.	<p>Internal Liquidity Transfers are possible between T2S Dedicated Cash Accounts</p> <ul style="list-style-type: none"> <input type="checkbox"/> linked to the same RTGS account or <input type="checkbox"/> belonging to the same payment bank or <input type="checkbox"/> if both accounts are T2S Central Bank accounts or <input type="checkbox"/> if one of the accounts is a T2S Central Bank account <p>If this is not the case the Internal Liquidity Transfer will be rejected.</p> <p>This rule is not applicable if one of the involved accounts is a Dedicated Transit Account.</p>
LLCI009	<ul style="list-style-type: none"> <input type="checkbox"/> Submit button 	LT sent between Liquidity Transfer cut off and Business Date Change.	Any Liquidity Transfer arriving in T2S after the Business date change and prior to the Liquidity Transfer cut-off is processed; otherwise the incoming Liquidity Transfer will be rejected. This business rule is not applicable for internal Liquidity Transfers between a RTGS

Reference for error message	Field or Button	Error Text	Description
			Dedicated Transit Account and a Dedicated Cash Account. In case of delays in the Start of Day incoming Inbound Liquidity Transfers will be parked until the new business date is reached.
LLCI010	<input type="checkbox"/> T2S Dedicated Cash Account Number field (Credit) <input type="checkbox"/> Submit button	Instructing Party of an Internal LT crediting an RTGS DTA is not identical with the Account holder in case of rebooking.	If the Target Account of an Internal Liquidity Transfer is an RTGS Dedicated Transit Account in case of rebooking, the Instructing Party should be identical with the Account holder.
LLCI012	<input type="checkbox"/> Amount field <input type="checkbox"/> Submit button	More digits after the decimal point than maximally foreseen for the currency.	The amount of the incoming flow should only contain the maximum number of digits after the decimal point as foreseen for the respective currency; otherwise the incoming Liquidity Transfer will be rejected.
LLCI014	<input type="checkbox"/> Amount field <input type="checkbox"/> Submit button	The amount is lower or equal to zero.	The amount must be greater than zero.
LLCI015	<input type="checkbox"/> Amount field <input type="checkbox"/> External RTGS Account Number field	Currency of Source Account differs from Target Account currency.	The currency of the Source Account should be the same as the currency of the Target Account; otherwise the in-

Reference for error message	Field or Button	Error Text	Description
	<input type="checkbox"/> T2S Dedicated Cash Account Number field (Credit) <input type="checkbox"/> T2S Dedicated Cash Account Number field (Debit) <input type="checkbox"/> Submit button		coming Internal or Outbound Liquidity Transfer will be rejected.
LLCI016	<input type="checkbox"/> User Reference field <input type="checkbox"/> Submit button	Missing code word for processing during weekend	The incoming Liquidity Transfer entered the system during the weekend and does not contain the required code word.
LLCI017	<input type="checkbox"/> User Reference field <input type="checkbox"/> Submit button	Reference can only contain up to 16 characters.	When performing a Liquidity Transfer for a non-Euro currency references may only contain up to 16 characters.
LLCP001	<input type="checkbox"/> T2S Dedicated Cash Account Number field (Debit)	Missing privilege to debit the T2S dedicated cash account.	The T2S system User must be allowed to act for the account to be debited with a Liquidity Transfer.

6.5.3.49 Immediate Liquidity Transfer Orders - Search/List Screen

△ [▶ 382]

Reference for error message	Field or Button	Error Text	Description
QMPC015	<input type="checkbox"/> Settlement Date and Time - from field	The lower limit of the Cash Amount range is more than	In case the Cash Amount is stated as a range, the lower limit of

Reference for error message	Field or Button	Error Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Settlement Date and Time - to field <input type="checkbox"/> Settlement Date and Time field <input type="checkbox"/> Search button 	the upper limit of the Cash Amount range.	the Cash Amount range must be less than the upper limit of the Cash Amount range.
QMPC016	<ul style="list-style-type: none"> <input type="checkbox"/> Settlement Date and Time - from field <input type="checkbox"/> Settlement Date and Time - to field <input type="checkbox"/> Settlement Date and Time field 	The Date From is older than three calendar months.	The Date From must not be older than three calendar months.
QMPC031	<ul style="list-style-type: none"> <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Search button 	The specified T2S Dedicated Cash Account Number is not known in T2S.	In case a T2S Dedicated Cash Account Number is specified, it has to be known in T2S.
QMPC032	<ul style="list-style-type: none"> <input type="checkbox"/> Party BIC field <input type="checkbox"/> Search button 	The specified Party BIC is not known in T2S.	In case a Party BIC is specified, it has to be known in T2S.
QMPC054	<ul style="list-style-type: none"> <input type="checkbox"/> Currency field <input type="checkbox"/> Search button 	The specified Currency is not known in T2S.	In case a Currency is specified, it has to be known in T2S.
QMPC072	<ul style="list-style-type: none"> <input type="checkbox"/> Currency field <input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Search button 	The specified combination of T2S Dedicated Cash Account Number, Currency, Account Owner and Account Servicer are not consistent.	<p>In case at least two of the following selection criteria are specified, they have to be consistent, i. e. the T2S Dedicated Cash Account is owned by the specified Party</p> <ul style="list-style-type: none"> • T2S Dedicated Cash Account Number • Account Owner • Account Servicer

Reference for error message	Field or Button	Error Text	Description
			• Currency, in which the T2S Dedicated Cash Account is issued and/or in which the Party owns T2SDedicated Cash Accounts
QMPC084	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Search button	The specified Parent BIC is not the System Entity of the specified party.	In case the BIC of a party as well as its parent BIC are specified, a party with these two BICs has to be known in T2S.
QMPC097	<input type="checkbox"/> Settlement Date and Time - to field <input type="checkbox"/> Settlement Date and Time field	In case a Date To is specified, it must not be older than three calendar months.	The Date To is older than three calendar months.

6.5.3.50 Inbound File - Details Screen

△ [▶ 634]

No references for error messages.

6.5.3.51 Inbound Files - Search/List Screen

△ [▶ 631]

Reference for error message	Field or Button	Error Text	Description
QMPC015	<input type="checkbox"/> Entry Date and Time from field <input type="checkbox"/> Entry Date and Time to field <input type="checkbox"/> Search button	The Date From is after the Date To.	In case the Date is stated as a range, the Date From has to be before or equal to the Date To.
QMPC073	<input type="checkbox"/> Entry Date and Time from field <input type="checkbox"/> Search button	The specified Date is in the future.	In case a date from is specified, it must not be in the future.

6.5.3.52 Inbound Message - Details Screen

△ [▶ 644]

No references for error messages.

6.5.3.53 Inbound Messages - Search/List Screen

△ [▶ 637]

Reference for error message	Field or Button	Error Text	Description
QMPC015	<input type="checkbox"/> Entry Date and Time from field	The Date From is after the Date To.	In case the Date is stated as a range, the Date From has to be before or equal to the Date To.
	<input type="checkbox"/> Entry Date and Time to field		
	<input type="checkbox"/> Search button		
QMPC073	<input type="checkbox"/> Entry Business Date from field	The specified Date is in the future.	In case a date from is specified, it must not be in the future.
	<input type="checkbox"/> Search button		

6.5.3.54 Insolvency procedure Settlement Instructions- Search/List Screen

△ [▶ 279]

Reference for error message	Field or Button	Error Text	Description
QMPC015	<input type="checkbox"/> Acceptance Date and Time – from field	The Date From is after the Date To.	In case the Date is stated as a range, the Date From has to be before or equal to the Date To.
	<input type="checkbox"/> Acceptance Date and Time – to field		
	<input type="checkbox"/> Matching Date and Time – from field		
	<input type="checkbox"/> Matching Date and Time – to field		
	<input type="checkbox"/> Submit button		

Reference for error message	Field or Button	Error Text	Description
QMPC030	<input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Submit button	The specified Securities Account Number is not known in T2S.	In case a Securities Account Number is specified, it has to be known in T2S.
QMPC031	<input type="checkbox"/> Dedicated Cash Account Number field <input type="checkbox"/> Submit button	The specified T2S Dedicated Cash Account Number is not known in T2S.	In case a T2S Dedicated Cash Account Number is specified, it has to be known in T2S.
QMPC032	<input type="checkbox"/> Insolvent Party BIC field <input type="checkbox"/> Submit button	The specified Party BIC is not known in T2S.	In case a Party BIC is specified, it has to be known in T2S.
QMPC048	<input type="checkbox"/> Insolvent Parent Party BIC field <input type="checkbox"/> Submit button	The specified Parent BIC is not known in T2S.	In case a Parent BIC is specified, it has to be known in T2S.
QMPC084	<input type="checkbox"/> Insolvent Party BIC field <input type="checkbox"/> Insolvent Parent Party BIC field <input type="checkbox"/> Submit button	The specified Parent BIC is not the System Entity of the specified party.	In case the BIC of a party as well as its parent BIC are specified, a party with these two BICs has to be known in T2S.

6.5.3.55 Intra-Balance Movement - Details Screen

△ [▶ 438]

No references for error messages.

6.5.3.56 Intra-Balance Movement - New Screen

△ [▶ 445]

Reference for error message	Field or Button	Error Text	Description
MVCA106	<input type="checkbox"/> Amount field <input type="checkbox"/> Submit button <input type="checkbox"/> Currency field	The Currency of the Settlement Restriction is not the same as the Cur-	The Currency of a Settlement Restriction on cash must be the same

Reference for error message	Field or Button	Error Text	Description
		rency of the T2S Dedicated Cash Account.	as the Currency of the T2S Dedicated Cash Account.
MVCA508	<ul style="list-style-type: none"> <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Submit button 	The T2S Dedicated Cash Account is not open on the Settlement Date.	The T2S Dedicated Cash Account specified in a Settlement Restriction on cash must be open on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.
MVCA512	<ul style="list-style-type: none"> <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Submit button <input type="checkbox"/> Account Owner BIC field <input type="checkbox"/> T2S Dedicated Cash Account Owner field 	The Account Owner Party BIC of the Settlement Restriction is not the Account Owner of the T2S Dedicated Cash Account	The Account Owner Party BIC of the Settlement Restriction on Cash must be the Account Owner derived from the T2S Dedicated Cash Account in Static Data.
MVCP017	<ul style="list-style-type: none"> <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Submit button 	The sender of the Settlement Restriction on Cash is not authorised to send a Settlement Restriction on Cash on the T2S Dedicated Cash Account.	The sender of a Settlement Restriction on Cash must be authorised to send a Settlement Restriction on Cash on a specific T2S Dedicated Cash Account.
MVCP018	<ul style="list-style-type: none"> <input type="checkbox"/> Submit button <input type="checkbox"/> Link Type <input type="checkbox"/> Pool Instruction Reference 	The sender of the Settlement Restriction on Cash is not authorised to link	In case a Settlement Restriction on Cash intends to link to another instruction or pool, the sender of the Settlement Restriction on

Reference for error message	Field or Button	Error Text	Description
		to an instruction/pool belonging to another party.	Cash must be authorised to link to an instruction/pool belonging to a specific party.
MVCP045	<input type="checkbox"/> Submit button <input type="checkbox"/> T2S Dedicated Cash Account Number field	The T2S Dedicated Cash Account informed in the instruction does not exist in T2S.	The T2S Dedicated Cash Account informed in the instruction must exist in T2S.
MVCP098	<input type="checkbox"/> Submit button <input type="checkbox"/> Restriction Type – Balance From field	The sender of the Settlement Restriction on Cash is not authorised to unblock a CoSD Cash Blocking on behalf of the CSD.	The sender of a Settlement Restriction on Cash that tries to unblock a CoSD Cash Blocking must be authorised to send a Settlement Restriction on Cash to unblock a CoSD Cash Blocking on behalf of the relevant CSD in T2S (i.e. CSD of the debiting Settlement Instruction on whose T2S Dedicated Cash Account the cash is blocked).
MVCP099	<input type="checkbox"/> Reference Type field <input type="checkbox"/> Reference field <input type="checkbox"/> Submit button	The Reference Owner of the Linked Instruction does not belong to the CSD (parent CSD in case of an external CSD) or NCB of the Instructing Party.	If a T2S actor wants to make use of linkages across several instructions sent to T2S via different CSDs or NCBs he holds accounts with, the T2S actor has to make use of the T2S reference.
MVCP120	<input type="checkbox"/> Instructing Party BIC field	The sender of a Settlement Restriction is not author-	If the sender of a Settlement Restriction does not belong to the In-

Reference for error message	Field or Button	Error Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button 	ised to send a Settlement Restriction using this Instructing Party.	structing Party, then the sender of a Settlement Restriction must be authorised to send a Settlement Restriction using the Instructing Party.
MVCU102	<ul style="list-style-type: none"> <input type="checkbox"/> Currency field <input type="checkbox"/> Submit button 	The Currency of the Settlement Restriction does not exist in T2S.	The Currency of a Settlement Restriction on cash must exist in T2S.
MVCU104	<ul style="list-style-type: none"> <input type="checkbox"/> Currency field <input type="checkbox"/> Submit button 	The Currency of the Settlement Restriction is not a T2S Settlement Currency.	The Currency of a Settlement Restriction on cash must be a T2S Settlement Currency
MVCU108	<ul style="list-style-type: none"> <input type="checkbox"/> Amount field <input type="checkbox"/> Settlement Amount field <input type="checkbox"/> Submit button 	The number of decimals of the Settlement Amount of the Settlement Restriction exceeds the number of decimals defined in T2S for the Currency stated in the Settlement Restriction.	The number of decimals of the Settlement Amount of a Settlement Restriction on cash cannot exceed the number of decimals defined T2S for the Currency stated in the Settlement Restriction.
MVCV110	<ul style="list-style-type: none"> <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button <input type="checkbox"/> Intended settlement date 	The Instructing Party specified in the Settlement Restriction is not open on the settlement date.	The Instructing Party specified in a Settlement Restriction must be open on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.

Reference for error message	Field or Button	Error Text	Description
MVCV230	<ul style="list-style-type: none"> <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Submit button 	The Instructing Party BIC or Parent BIC specified in the Settlement Restriction is not valid on the settlement date.	The Instructing Party BIC or Parent BIC indicated in a Settlement Restriction must be valid on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.
MVCV255	<ul style="list-style-type: none"> <input type="checkbox"/> Amount field <input type="checkbox"/> Submit button 	The Original Settlement Amount of the Settlement Restriction on cash is not greater than zero.	The Original Settlement Amount stated in a Settlement Restriction on cash must be greater than zero.
MVCV291	<ul style="list-style-type: none"> <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button 	The NCB of the Instructing Party is different than the NCB associated with the T2S Dedicated Cash Account of the Settlement Restriction.	In a Settlement Restriction on cash the NCB of the Instructing Party must be consistent with the NCB of the T2S Party owner of the T2S Dedicated Cash Account stated in the Settlement Restriction.
MVCV292	<ul style="list-style-type: none"> <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Submit button 	The Dedicated Cash Account of a Settlement Restriction is a RTGS Dedicated Transit Account.	The Dedicated Cash Account of a Settlement Restriction on Cash cannot be a RTGS Dedicated Transit Account.
MVDC003	<ul style="list-style-type: none"> <input type="checkbox"/> Actor Reference field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field 	An unsettled Settlement Restriction exists in T2S for the same T2S	The combination of the Instruction Reference and the Instructing Party of a Settlement Restriction must not be the same as any of the

Reference for error message	Field or Button	Error Text	Description
	<input type="checkbox"/> Submit button	Party and the same instruction reference.	non-cancelled and non-revoked unsettled Settlement Restrictions already present in the system.
MVDC005	<input type="checkbox"/> Actor Reference field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button	A Settlement Restriction that has been settled within a predefined number of days in the past already exists in T2S for the same T2S Party and the same instruction reference.	The combination of the Instruction Reference and the Instructing Party of a Settlement Restriction must not be the same as any Settlement Restriction present in the system that has been settled within a predefined number of days in the past
MVDC007	<input type="checkbox"/> Actor Reference field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button	A Settlement Restriction that has been cancelled within a predefined number of days in the past already exists in T2S for the same T2S Party and the same instruction reference.	The combination of the Instruction Reference and the Instructing Party of a Settlement Restriction must not be the same as any Settlement Restriction present in the system that has been cancelled within a predefined number of days in the past.
MVDC015	<input type="checkbox"/> Actor Reference field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button	An unsettled Settlement Instruction exists in T2S for the same T2S Party and the same instruction reference.	The combination of the Instruction Reference and the Instructing Party of a Settlement Restriction must not be the same as any of the non-cancelled and non-revoked unsettled Set-

Reference for error message	Field or Button	Error Text	Description
			tlement Instruction already present in the system.
MVDC017	<ul style="list-style-type: none"> <input type="checkbox"/> Actor Reference field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button 	A Settlement Instruction that has been settled within a predefined number of days in the past already exists in T2S for the same T2S Party and the same instruction reference.	The combination of the Instruction Reference and the Instructing Party of a Settlement Restriction must not be the same as any Settlement Instruction present in the system that has been settled within a predefined number of days in the past.
MVDC019	<ul style="list-style-type: none"> <input type="checkbox"/> Actor Reference field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button 	A Settlement Instruction that has been cancelled within a predefined number of days in the past already exists in T2S for the same T2S Party and the same instruction reference.	The combination of the Instruction Reference and the Instructing Party of a Settlement Restriction must not be the same as any Settlement Instruction present in the system that has been cancelled within a predefined number of days in the past.
MVDC022	<ul style="list-style-type: none"> <input type="checkbox"/> Actor Reference field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button 	A Partially Settled Settlement Instruction exists in T2S for the same T2S Party and the same instruction reference.	The combination of the Instruction Reference and the Instructing Party of a Settlement Restriction must not be the same as any of the non-cancelled Partially Settled Settlement Instruction already present in the system.

Reference for error message	Field or Button	Error Text	Description
MVDC023	<ul style="list-style-type: none"> <input type="checkbox"/> Actor Reference field <input type="checkbox"/> Instructing Party field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button <input type="checkbox"/> Instructing Party BIC field 	A Partially Settled Settlement Restriction exists in T2S for the same T2S Party and the same instruction reference.	The combination of the Instruction Reference and the Instructing Party of a Settlement Restriction must not be the same as any of the non-cancelled Partially Settled Settlement Restriction already present in the system.
MVDC025	<ul style="list-style-type: none"> <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Instructing Party field <input type="checkbox"/> Submit button 	The Instructing Party specified in the Settlement Restriction does not exist in T2S Static Data.	The Instructing Party specified in a Settlement Restriction must exist in T2S Static Data.
MVLI805	<ul style="list-style-type: none"> <input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button 	The instruction has a Settlement link indicator 'WITH' and the existing Linked Instruction is already settled.	The Settlement Status of a Settlement Instruction or Settlement Restriction stated as linked instruction with Link Processing Position 'WITH' in a Settlement Restriction must not be 'Settled'.
MVLI806	<ul style="list-style-type: none"> <input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button 	The instruction has a Settlement link indicator 'WITH' and the existing Linked Instruction is already cancelled.	The Cancellation Status of a Settlement Instruction or Settlement Restriction stated as linked instruction with Link Processing Position 'WITH' in a Settlement Restriction must not be 'Cancelled'.

Reference for error message	Field or Button	Error Text	Description
MVLI807	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'BEFORE' and the existing linked Settlement instruction is already settled	The Settlement Status of a Settlement Instruction or Settlement Restriction stated as linked instruction with Link Processing Position 'BEFORE' in a Settlement Restriction must not be 'Settled'.
MVLI819	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'WITH' and the Settlement link indicator included in the existing linked instruction is 'BEFORE'.	A Settlement Instruction or Settlement Restriction stated as linked 'WITH' in a Settlement Restriction must not have a previous link 'BEFORE' with the latter instruction, provided that the Intended Settlement Date in both instructions is not identical.
MVLI820	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'WITH' and the Settlement link indicator included in the existing linked instruction is 'AFTER'.	A Settlement Instruction or Settlement Restriction stated as linked 'WITH' in a Settlement Restriction must not have a previous link 'AFTER' with the latter instruction, provided that the Intended Settlement Date in both instructions is not identical.
MVLI821	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'AFTER' and the Settlement link indicator in-	A Settlement Instruction or Settlement Restriction stated as linked 'AFTER' in a Settlement Restriction must not

Reference for error message	Field or Button	Error Text	Description
		cluded in the existing linked instruction is 'AFTER'.	have a previous link 'AFTER' with the latter instruction, provided that the Intended Settlement Date in both instructions is not identical.
MVLI822	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'AFTER' and the Settlement link indicator included in the existing linked instruction is 'WITH'.	A Settlement Instruction or Settlement Restriction stated as linked 'AFTER' in a Settlement Restriction must not have a previous link 'WITH' with the latter instruction, provided that the Intended Settlement Date in both instructions is not identical.
MVLI823	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'BEFORE' and the Settlement link indicator included in the existing linked instruction is 'BEFORE'.	A Settlement Instruction or Settlement Restriction stated as linked 'BEFORE' in a Settlement Restriction must not have a previous link 'BEFORE' with the latter instruction, provided that the Intended Settlement Date in both instructions is not identical.
MVLI824	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'BEFORE' and the Settlement link indicator included in	A Settlement Instruction or Settlement Restriction stated as linked 'BEFORE' in a Settlement Restriction must not have a previous link 'WITH' with the latter instruction, provided that

Reference for error message	Field or Button	Error Text	Description
		the existing linked instruction is 'WITH'.	the Intended Settlement Date in both instructions is not identical.
MVLI834	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button <input type="checkbox"/> Intended Settlement Date field	The instruction has a Settlement link indicator 'BEFORE' and the linked instruction's Intended Settlement Date is earlier than the validating instruction's Intended Settlement Date.	The Intended Settlement Date of a Settlement Restriction must be equal to or earlier than the Intended Settlement Date of the Settlement Instruction or Settlement Restriction linked with the first one, if the Link Indicator is 'BEFORE'.
MVLI835	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'AFTER' and the linked instruction's Intended Settlement Date is later than the validating instruction's Intended Settlement Date.	The Intended Settlement Date of a Settlement Restriction must be equal to or later than the Intended Settlement Date of the Settlement Instruction or Settlement Restriction linked with the first one, if the Link Indicator is 'AFTER'.
MVLI836	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Link Type field <input type="checkbox"/> Pool Instruction Identification field <input type="checkbox"/> Submit button	The instruction has either a Settlement link indicator 'WITH' or a pool reference and the linked instruction's Intended Settlement Date is different.	The Intended Settlement Date of a Settlement Restriction must be equal to the Intended Settlement Date of the Settlement Instruction or Settlement Restriction linked with the first one, if the Link Indicator is 'WITH' or in case of Pool reference.

Reference for error message	Field or Button	Error Text	Description
MVLI844	<input type="checkbox"/> Pool Instruction Counter field <input type="checkbox"/> Submit button	The number of instructions belonging to a Pool must be the same that the Linked Instruction Counter of the Settlement Instruction or Settlement Restriction	The value of the Pool Instruction Counter stated in a Settlement Restriction must be the same as the value of the Pool Instruction Counter for such a Pool in T2S, if any instruction belonging to that Pool is present in T2S.
MVLI846	<input type="checkbox"/> Pool Instruction Counter field <input type="checkbox"/> Submit button	The instruction exceeds the number of instructions of the pool.	The number of instructions that have already been identified in T2S belonging to the Pool Reference stated in a Settlement Restriction must be lower than the Pool Instruction Counter stated in the Settlement Restriction.
MVLI848	<input type="checkbox"/> Pool Instruction Reference field <input type="checkbox"/> Submit button	The instruction includes more than one Pool Reference or more than one link referring to the same instruction.	A Settlement Restriction must not include more than one Pool Reference or more than one link referring to the same instruction.
MVLI852	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'WITH' and the existing Linked Instruction is already partially settled.	The Settlement Status of a Settlement Instruction or Settlement Restriction stated as linked instruction with Link Processing Position 'WITH' in a Settlement Restriction must not be 'Partially Settled'

Reference for error message	Field or Button	Error Text	Description
MVLI855	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'BEFORE' and the existing Linked Instruction is already partially settled.	The Settlement Status of a Settlement Instruction or Settlement Restriction stated as linked instruction with Link Processing Position 'BEFORE' in a Settlement Restriction must not be 'Partially Settled'
MVLI858	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'AFTER' and the existing Linked Instruction is already partially settled.	The Settlement Status of a Settlement Instruction or Settlement Restriction stated as linked instruction with Link Processing Position 'AFTER' in a Settlement Restriction must not be 'Partially Settled'
MVLI861	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'AFTER' and the existing Linked Instruction is already cancelled	The Settlement Status of a Settlement Instruction or Settlement Restriction stated as linked instruction with Link Processing Position 'AFTER' in a Settlement Restriction must not be 'Cancelled'
MVLI864	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The Linked Instruction is 'Revoked'.	The Approval Status of a Settlement Instruction or Settlement Restriction stated as linked instruction with Link Processing Position 'WITH' in a Settlement Restriction must not be 'Revoked'

Reference for error message	Field or Button	Error Text	Description
MVLI866	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The Linked Instruction is 'Revoked'.	The Approval Status of a Settlement Instruction or Settlement Restriction stated as linked instruction with Link Processing Position 'AFTER' in a Settlement Restriction must not be 'Revoked'
MVLI868	<input type="checkbox"/> Pool Instruction Reference field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button	A Pool that has been settled within a predefined number of days in the past already exists in T2S for the same T2S Party and the same Pool Identification	The combination of the Pool Identification and the Instructing Party of a Pool must not be the same as any Pool Identification already present in the system that has been settled within a predefined number of days in the past
MVLI869	<input type="checkbox"/> Reference field <input type="checkbox"/> Submit button	The Linked Instruction, referenced by a T2S Instruction Reference, does not exist.	If the reference indicated in the linkage section is the T2S Instruction Reference, then the referenced Settlement Instruction or Settlement Restriction must exist in T2S.
MVLI873	<input type="checkbox"/> Reference field <input type="checkbox"/> Submit button <input type="checkbox"/> Link Type	The Processing Position must be specified.	If a Settlement Restriction specifies a link with another instruction using a T2S Actor Instruction Reference or a T2S Instruction Reference, the Processing Position must be specified.

Reference for error message	Field or Button	Error Text	Description
MVLI876	<input type="checkbox"/> Link Type field <input type="checkbox"/> Pool Instruction Reference field <input type="checkbox"/> Submit button	The instruction cannot be linked to a Pool with a Processing Position with AFTER or BEFORE.	If a Settlement Restriction specifies a link to a Pool, if the Processing Position for this link is specified, it only can be WITH.
MVRR901	<input type="checkbox"/> Restriction Type – Balance From field <input type="checkbox"/> Submit button	The Balance From specified in the Settlement Restriction on cash does not exist in T2S.	The Balance From specified in a Settlement Restriction on cash must exist as a Restriction Type in T2S.
MVRR903	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Submit button	The Balance From specified in the Settlement Restriction on cash is not valid on the Settlement Date.	The Restriction Type specified in the Balance From in a Settlement Restriction on cash must be valid on the Intended Settlement Date and additionally on the current Business Date if the Intended Settlement Date is in the past.
MVRR905	<input type="checkbox"/> Restriction Type – Balance From field <input type="checkbox"/> Restriction Type – Balance To field <input type="checkbox"/> Submit button	The Balance From and Balance To specified in the Settlement Restriction on cash have the same code.	A Settlement Restriction on cash cannot specify the same Balance From and Balance To.
MVRR906	<input type="checkbox"/> Restriction Type – Balance From field <input type="checkbox"/> Restriction Type – Balance To field <input type="checkbox"/> Submit button	The Balance From or Balance To specified in the Settlement Restriction on cash is not deliverable type.	Either the Restriction Type specified in the Balance From or the Restriction Type specified in the Balance To in a Settlement Restriction on cash must be 'Deliverable'.

Reference for error message	Field or Button	Error Text	Description
MVRR910	<input type="checkbox"/> Restriction Type – Balance From field <input type="checkbox"/> Restriction Type – Balance To field <input type="checkbox"/> Submit button	The Balance From and Balance To specified in the Settlement Restriction on cash do not apply on cash balance.	The Restriction Types specified in the Balance From and Balance To in a Settlement Restriction on cash must be applicable on cash balance.
MVRR916	<input type="checkbox"/> Restriction Type – Balance To field <input type="checkbox"/> Submit button	The Settlement Restriction on cash sent by a T2S Actor tries to setup or increase a CoSD blocked cash balance.	A Settlement Restriction on cash sent by a T2S Actor cannot set up or increase a CoSD blocked cash balance. (I.e. The Restriction Type specified in the Balance To in a Settlement Restriction on cash cannot be 'CoSD Blocking').
MVRR917	<input type="checkbox"/> Restriction Type – Balance From field <input type="checkbox"/> Submit button	The Settlement Restriction on cash sent by a T2S Actor tries to decrease a CoSD blocked cash balance and the associated CoSD Settlement Instruction is not cancelled	A Settlement Restriction on cash sent by a T2S Actor only can decrease a CoSD blocked cash balance when the related CoSD Settlement Instruction is cancelled.
MVRR918	<input type="checkbox"/> Restriction Reference field <input type="checkbox"/> Submit button	The Restriction Reference specified in the Settlement Restriction on cash does not exist in T2S.	The Restriction Reference specified in a Settlement Restriction on cash must exist in T2S.
MVRR924	<input type="checkbox"/> Restriction Type - Balance From field	The Restriction Type inferred from the Restriction Ref	If a Settlement Restriction on cash specifies a Restriction Reference,

Reference for error message	Field or Button	Error Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Restriction Type - Balance To field <input type="checkbox"/> Restriction Reference field <input type="checkbox"/> Submit button 	of the Settlement Restriction on cash is different than the Restriction Type of the impacted balance specified in the Settl. Restriction.	the Restriction Type associated with such Restriction Reference in T2S must be the same than the Restriction Type indicated in the corresponding impacted Balance (Balance From or Balance To) in the Settlement Restriction.
MVRR925	<ul style="list-style-type: none"> <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Restriction Reference field <input type="checkbox"/> Submit button 	The T2S Dedicated Cash Account inferred from the Restriction Ref of the Settl. Restriction on securities is different than the T2S Dedicated Cash Account specified in the Settl. Restriction.	If a Settlement Restriction on cash specifies the Restriction Reference, the T2S Dedicated Cash Account associated with the Restriction Reference must be the same than the T2S Dedicated Cash Account specified in the Settlement Restriction.
MVRR978	<ul style="list-style-type: none"> <input type="checkbox"/> Restriction Reference field <input type="checkbox"/> Submit button 	The Restriction Reference specified in the Settlement Restriction on cash does not apply on cash.	The Restriction Reference specified in a Settlement Restriction on cash must apply on cash.
MVRR989	<ul style="list-style-type: none"> <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Restriction Type – Balance To field <input type="checkbox"/> Submit button 	The Balance To specified in the Settlement Restriction on cash is not valid on the Settlement Date.	The Restriction Type specified in the Balance To in a Settlement Restriction on cash must be valid on the Intended Settlement Date and additionally on the current Business Date if the Intended Settlement Date is in the past.

Reference for error message	Field or Button	Error Text	Description
MVRR992	<input type="checkbox"/> Restriction Type – Balance To field <input type="checkbox"/> Submit button	The Balance To specified in the Settlement Restriction on cash does not exist in T2S.	The Balance To specified in a Settlement Restriction on cash must exist as a Restriction Type in T2S.
MVRR993	<input type="checkbox"/> Restriction Type – Balance From field <input type="checkbox"/> Restriction Type – Balance To field <input type="checkbox"/> Submit button	The Balance From and Balance To in the Settlement Restriction on cash have the same processing type.	The Restriction Type specified in the Balance From and the Restriction Type specified in the Balance To in a Settlement Restriction on cash cannot belong to the same processing type (i.e. if the Balance From is deliverable the Balance to as deliverable type is not allowed).
MVRR994	<input type="checkbox"/> Restriction Type – Balance From field <input type="checkbox"/> Restriction Type – Balance To field <input type="checkbox"/> Restriction Reference field <input type="checkbox"/> Submit button	The Settlement Restriction on cash tries to increase or decrease a blocked, CoSD blocked or reserved cash balance without Restriction reference.	A Settlement Restriction on cash that aims to increase or decrease a blocked, CoSD blocked or reserved cash balance must include a Restriction Reference related to cash. (I.e. If the Restriction Type specified in the Balance From in a Settlement Restriction on cash is 'Blocking', 'CoSD Blocking' or 'Reservation' a Restriction Reference must be specified).
MVSD404	<input type="checkbox"/> Intended Settlement Date field	The Intended Settlement Date of the Settlement Restriction	The Intended Settlement Date of a Settlement Restriction on

Reference for error message	Field or Button	Error Text	Description
	<input type="checkbox"/> Submit button <input type="checkbox"/> Amount field <input type="checkbox"/> Currency field	tion on cash is not a T2S Settlement Date for the Settlement Currency.	cash must be a T2S Settlement Date for the Settlement Currency of the Settlement Restriction.
MVSP212	<input type="checkbox"/> Priority field <input type="checkbox"/> Submit button	'Reserved priority' not allowed for the Instructing Party Type (only NCBs can use it)	'Reserved priority' is allowed in a Settlement Restriction on Cash only if the Instructing Party is a NCB
MVSR705	<input type="checkbox"/> Actor Reference field <input type="checkbox"/> Submit button	Settlement Restriction rejected due to a Restriction Type	A new Settlement Restriction that fulfills a specific restriction configured by its System Entity with positive Restriction Processing Type 'Rejection' is rejected.
MVSR706	<input type="checkbox"/> Submit button		A Settlement Restriction that fulfills a specific restriction configured by its System Entity with negative Restriction Processing Type 'Rejection' is not rejected nor cancelled.
MVSR708	<input type="checkbox"/> Actor Reference field <input type="checkbox"/> Submit button	Settlement Restriction cancelled due to a Restriction Type.	An already existing Settlement Restriction that fulfills a specific restriction configured by its System Entity with positive Restriction Processing Type 'Rejection' is cancelled.

6.5.3.57 Intra-Balance Movements - Search/List Screen

△ [▶ 431]

Reference for error message	Field or Button	Error Text	Description
IIMP079	<input type="checkbox"/> Restriction Type – Balance From <input type="checkbox"/> Restriction Type – Balance To	The cash balance type of Balance-From must be different from the cash balance type of BalanceTo.	The cash balance type of BalanceFrom must be different from the cash balance type of BalanceTo.
QMPC005	<input type="checkbox"/> Amount – from field <input type="checkbox"/> Amount – to field <input type="checkbox"/> Search button	The lower limit of the Cash Amount range is more than the upper limit of the Cash Amount range.	In case the Cash Amount is stated as a range, the lower limit of the Cash Amount range must be less than the upper limit of the Cash Amount range.
QMPC012	<input type="checkbox"/> Intended Settlement Date – from field <input type="checkbox"/> Intended Settlement Date – to field <input type="checkbox"/> Search button	The Intended Settlement Date From is after the Intended Settlement Date To.	In case the Intended Settlement Date is stated as a range, the Intended Settlement Date From must be before the Intended Settlement Date To, i.e. earlier or on the same day as the Intended Settlement Date To.
QMPC013	<input type="checkbox"/> Actual Settlement Date - from field <input type="checkbox"/> Search button	The requested Actual Settlement Date From is older than three calendar months.	The requested Actual Settlement Date From must not be older than three calendar months.
QMPC014	<input type="checkbox"/> Actual Settlement Date - from field <input type="checkbox"/> Actual Settlement Date – to field <input type="checkbox"/> Search button	The Actual Settlement Date From is after the Actual Settlement Date To.	In case the Actual Settlement Date is stated as a range, the Actual Settlement Date From must be before the Actual Settlement Date To, i.e. earlier or on the same day as the Actual Settlement Date To.

Reference for error message	Field or Button	Error Text	Description
QMPC015	<input type="checkbox"/> Entry Date Time From field <input type="checkbox"/> Entry Date Time To field <input type="checkbox"/> Search button	The Date From is after the Date To.	In case the Date is stated as a range, the Date From has to be before or equal to the Date To.
QMPC031	<input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Search button	The specified T2S Dedicated Cash Account Number is not known in T2S.	In case a T2S Dedicated Cash Account Number is specified, it has to be known in T2S.
QMPC032	<input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Account Owner BIC field <input type="checkbox"/> Search button	The specified Party BIC is not known in T2S.	In case a Party BIC is specified, it has to be known in T2S.
QMPC048	<input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Search button	The specified Parent BIC is not known in T2S.	In case a Parent BIC is specified, it has to be known in T2S.
QMPC072	<input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Account Owner BIC field <input type="checkbox"/> Search button <input type="checkbox"/> Currency field	The specified combination of T2S Dedicated Cash Account Number, Currency, Account Owner and Account Servicer are not consistent.	In case at least two of the following selection criteria are specified, they have to be consistent, i. e. the T2S Dedicated Cash Account is owned by the specified Party <ul style="list-style-type: none"> • T2S Dedicated Cash Account Number • Account Owner • Account Servicer • Currency, in which the T2S Dedicated Cash Account is issued and/or in which the Party owns T2SDedicated Cash Accounts.

Reference for error message	Field or Button	Error Text	Description
QMPC073	<input type="checkbox"/> Search Button <input type="checkbox"/> Entry Date and Time – from	The specified Date is in the future.	In case a date from is specified, it must not be in the future.
QMPC084	<input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Search button	The specified Parent BIC is not the System Entity of the specified party.	In case the BIC of a party as well as its parent BIC are specified, a party with these two BICs has to be known in T2S.
QMPC095	<input type="checkbox"/> Search Button <input type="checkbox"/> Entry Date and Time - to	The entry date is after the current business date.	In case the Entry Date To is stated, it must not be in the future.

6.5.3.58 Intra-Position Movement - Details Screen

△ [▶ 154]

No references for error messages.

6.5.3.59 Intra-Position Movement - New Screen

△ [▶ 161]

Reference for error message	Field or Button	Error Text	Description
MVCP008	<input type="checkbox"/> Reference field	The Party owner of the instruction/pool which the Settlement Restriction is linked to does not exist in T2S.	In case a Settlement Restriction intends to link to another instruction or pool, the Party owner of the instruction/pool which the instruction is linked to must exist in T2S
MVCP015	<input type="checkbox"/> Submit button	The sender of the Settlement Restriction on Securities is not authorised to send a Settlement	The sender of a Settlement Restriction on Securities must be authorised to send a Settlement

Reference for error message	Field or Button	Error Text	Description
		Restriction on Securities on the Securities Account.	Restriction on Securities on a specific Securities Account.
MVCP016	<input type="checkbox"/> T2S Reference field <input type="checkbox"/> Pool Instruction Identification field <input type="checkbox"/> Reference Owner field <input type="checkbox"/> Reference field <input type="checkbox"/> Submit button <input type="checkbox"/> Link Type field	The sender of the Settlement Restriction on Securities is not authorised to link to an instruction/pool belonging to another party.	In case a Settlement Restriction on Securities intends to link to another instruction or pool, the sender of the Settlement Restriction on Securities must be authorised to link to an instruction/pool belonging to a specific party.
MVCP044	<input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Submit button	The Securities Account informed in the instruction does not exist in T2S.	The Securities Account informed in the instruction must exist in T2S.
MVCP051	<input type="checkbox"/> T2S Reference field <input type="checkbox"/> Reference Owner field	The instruction to which a Settlement Instruction or a Settlement Restriction is to be linked does not exist in T2S.	The instruction to which a Settlement Instruction or a Settlement Restriction is to be linked, if is referenced by a T2S Instruction Reference, must exist in T2S.
MVCP097	<input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Restriction type – balance from field <input type="checkbox"/> Submit button	The sender of the Settlement Restriction on Securities is not authorised to unblock a CoSD Securities Blocking on behalf of the CSD.	The sender of a Settlement Restriction on Securities that tries to unblock a CoSD Securities Blocking must be authorised to send a Settlement Restriction on Securities to unblock a CoSD Securities Blocking on behalf of the relevant CSD in

Reference for error message	Field or Button	Error Text	Description
			T2S (i.e. CSD where the securities are blocked).
MVCP099	<ul style="list-style-type: none"> <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Reference Type field <input type="checkbox"/> Reference field <input type="checkbox"/> Submit button 	The Reference Owner of the Linked Instruction does not belong to the CSD (parent CSD in case of an external CSD) or NCB of the Instructing Party.	If a T2S actor wants to make use of linkages across several instructions sent to T2S via different CSDs or NCBs he holds accounts with, the T2S actor has to make use of the T2S reference.
MVCP120	<ul style="list-style-type: none"> <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button 	The sender of a Settlement Restriction is not authorised to send a Settlement Restriction using this Instructing Party.	If the sender of a Settlement Restriction does not belong to the Instructing Party, then the sender of a Settlement Restriction must be authorised to send a Settlement Restriction using the Instructing Party.
MVCV004	<ul style="list-style-type: none"> <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Submit button 	The Securities Account of the Settlement Restriction does not exist in T2S.	The Securities Account specified in a Settlement Restriction on securities must exist in T2S.
MVCV110	<ul style="list-style-type: none"> <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Intended settlement date <input type="checkbox"/> Submit button 	The Instructing Party specified in the Settlement Restriction is not open on the intended settlement date.	The Instructing Party specified in a Settlement Restriction must be open on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.

Reference for error message	Field or Button	Error Text	Description
MVCV124	<ul style="list-style-type: none"> <input type="checkbox"/> Intended settlement date <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Submit button 	The Account Owner derived from the securities account is not open on the settlement date.	The Account Owner derived from the Securities Account of a Settlement Restriction on Securities must be open on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.
MVCV206	<ul style="list-style-type: none"> <input type="checkbox"/> ISIN field <input type="checkbox"/> Submit button 	The ISIN code indicated in the Settlement Restriction on securities does not exist in T2S.	The ISIN indicated in a Settlement Restriction on Securities must exist in T2S
MVCV230	<ul style="list-style-type: none"> <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Intended settlement date <input type="checkbox"/> Submit button 	The Instructing Party BIC or Parent BIC specified in the Settlement Restriction is not valid on the intended settlement date.	The Instructing Party BIC or Parent BIC indicated in a Settlement Restriction must be valid on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.
MVCV284	<ul style="list-style-type: none"> <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Submit button 	The Securities Account of the Settlement Restriction is not valid on the intended settlement date.	The Securities Account specified in a Settlement Restriction on securities must be open on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.

Reference for error message	Field or Button	Error Text	Description
MVCV285	<ul style="list-style-type: none"> <input type="checkbox"/> Account owner BIC field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Submit button 	The Account Owner BIC of the Settlement Restriction on securities is not consistent with the Account Owner derived from the securities account.	The Account Owner BIC specified in a Settlement Restriction on securities must be the Account Owner derived from the Securities Account in T2S Static Data.
MVCV290	<ul style="list-style-type: none"> <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Submit button <input type="checkbox"/> Instructing party parent BIC field <input type="checkbox"/> Instructing Party BIC field 	The CSD of the Instructing Party is different than the CSD associated with the Securities Account of the Settlement Restriction.	In a Settlement Restriction on securities the CSD of the Instructing Party must be consistent with the CSD of the T2S Party owner of the Securities Account stated in the Settlement Restriction.
MVCV302	<ul style="list-style-type: none"> <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> ISIN field <input type="checkbox"/> Submit button 	The ISIN code indicated in the Settlement Restriction on securities is not valid on the intended settlement date.	The ISIN code indicated in a Settlement Restriction on securities must be valid on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.
MVCV304	<ul style="list-style-type: none"> <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> ISIN field <input type="checkbox"/> Submit button 	The ISIN Code of the Settlement Instruction is not active on the Intended Settlement Date.	The ISIN Code indicated in a Settlement Restriction must be active on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.

Reference for error message	Field or Button	Error Text	Description
MVCV608	<input type="checkbox"/> Quantity field <input type="checkbox"/> Submit button	The Original Settlement Quantity of the Settlement Restriction on securities is not greater than zero.	The Original Settlement Quantity stated in a Settlement Restriction on securities must be greater than zero.
MVDC003	<input type="checkbox"/> Actor Reference field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing party parent BIC field <input type="checkbox"/> Submit button	An unsettled Settlement Restriction exists in T2S for the same T2S Party and the same instruction reference.	The combination of the Instruction Reference and the Instructing Party of a Settlement Restriction must not be the same as any of the non-cancelled and non-revoked unsettled Settlement Restrictions already present in the system.
MVDC005	<input type="checkbox"/> Actor Reference field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing party parent BIC field <input type="checkbox"/> Submit button	A Settlement Restriction that has been settled within a predefined number of days in the past already exists in T2S for the same T2S Party and the same instruction reference.	The combination of the Instruction Reference and the Instructing Party of a Settlement Restriction must not be the same as any Settlement Restriction present in the system that has been settled or partially settled within a predefined number of days in the past.
MVDC007	<input type="checkbox"/> Actor Reference field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing party parent BIC field <input type="checkbox"/> Submit button	A Settlement Restriction that has been cancelled within a predefined number of days in the past already exists in T2S for the same T2S	The combination of the Instruction Reference and the Instructing Party of a Settlement Restriction must not be the same as any Settlement Restriction present in the system that has been cancelled

Reference for error message	Field or Button	Error Text	Description
		Party and the same instruction reference.	within a predefined number of days in the past.
MVDC015	<ul style="list-style-type: none"> <input type="checkbox"/> Actor Reference field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing party parent BIC field <input type="checkbox"/> Submit button 	An unsettled Settlement Instruction exists in T2S for the same T2S Party and the same instruction reference.	The combination of the Instruction Reference and the Instructing Party of a Settlement Restriction must not be the same as any of the non-cancelled and non-revoked unsettled Settlement Instruction already present in the system.
MVDC017	<ul style="list-style-type: none"> <input type="checkbox"/> Actor Reference field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing party parent BIC field <input type="checkbox"/> Submit button 	A Settlement Instruction that has been settled within a predefined number of days in the past already exists in T2S for the same T2S Party and the same instruction reference.	The combination of the Instruction Reference and the Instructing Party of a Settlement Restriction must not be the same as any Settlement Instruction present in the system that has been settled within a predefined number of days in the past.
MVDC019	<ul style="list-style-type: none"> <input type="checkbox"/> Actor Reference field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing party parent BIC field <input type="checkbox"/> Submit button 	A Settlement Instruction that has been cancelled within a predefined number of days in the past already exists in T2S for the same T2S Party and the same instruction reference.	The combination of the Instruction Reference and the Instructing Party of a Settlement Restriction must not be the same as any Settlement Instruction present in the system that has been cancelled within a predefined number of days in the past.

Reference for error message	Field or Button	Error Text	Description
MVDC022	<ul style="list-style-type: none"> <input type="checkbox"/> Actor Reference field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing party parent BIC field <input type="checkbox"/> Submit button 	A Partially Settled Settlement Instruction exists in T2S for the same T2S Party and the same instruction reference.	The combination of the Instruction Reference and the Instructing Party of a Settlement Restriction must not be the same as any of the non-cancelled Partially Settled Settlement Instruction already present in the system.
MVDC023	<ul style="list-style-type: none"> <input type="checkbox"/> Actor Reference field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing party parent BIC field <input type="checkbox"/> Submit button 	A Partially Settled Settlement Restriction exists in T2S for the same T2S Party and the same instruction reference.	The combination of the Instruction Reference and the Instructing Party of a Settlement Restriction must not be the same as any of the non-cancelled Partially Settled Settlement Restriction already present in the system.
MVDC025	<ul style="list-style-type: none"> <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing party parent BIC field <input type="checkbox"/> Submit button 	The Instructing Party specified in the Settlement Restriction does not exist in T2S Static Data.	The Instructing Party specified in a Settlement Restriction must exist in T2S Static Data.
MVIC306	<ul style="list-style-type: none"> <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> ISIN field <input type="checkbox"/> Submit button 	The ISIN Code of the Settlement Restriction is not eligible for settlement in the corresponding CSD.	The ISIN Code of a Settlement Restriction on securities must be eligible for settlement in the corresponding CSD on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.

Reference for error message	Field or Button	Error Text	Description
MVIC310	<ul style="list-style-type: none"> <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing party parent BIC field <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> ISIN field <input type="checkbox"/> Submit button 	The Issue Date of the Security is later than the Intended Settlement Date of the Settlement Restriction.	When the Instructing Party is not the technical Issuer CSD or the Issuer CSD of the ISIN Code specified in a Settlement Restriction on Securities, the Intended Settlement Date of a Settlement Restriction on Securities must be equal to or later than the Issue Date of the Security.
MVIC312	<ul style="list-style-type: none"> <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing party parent BIC field <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> ISIN field <input type="checkbox"/> Submit button 	The Maturity Date of the Security is equal or earlier than the Intended Settlement Date of the Settlement Restriction.	The Intended Settlement Date of a Settlement Restriction on Securities must be earlier than the Maturity Date of the Security.
MVIC314	<ul style="list-style-type: none"> <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing party parent BIC field <input type="checkbox"/> ISIN field <input type="checkbox"/> Submit button 	The Maturity Date of the Security is earlier or equal than the Current Business Date.	When the Instructing Party is not the investor CSD, the technical Issuer CSD or the Issuer CSD of the ISIN Code specified in a Settlement Restriction on Securities, the Current Business Date must be earlier than the Maturity Date of the ISIN code.
MVLI805	<ul style="list-style-type: none"> <input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button 	The instruction has a Settlement link indicator 'WITH'	The Settlement Status of a Settlement Instruction or Settlement Restriction stated as linked instruction with Link

Reference for error message	Field or Button	Error Text	Description
		and the existing Linked Instruction is already settled.	Processing Position 'WITH' in a Settlement Restriction must not be 'Settled'.
MVLI806	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'WITH' and the existing Linked Instruction is already cancelled.	The Cancellation Status of a Settlement Instruction or Settlement Restriction stated as linked instruction with Link Processing Position 'WITH' in a Settlement Restriction must not be 'Cancelled'.
MVLI807	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'BEFORE' and the existing linked Settlement instruction is already settled	The Settlement Status of a Settlement Instruction or Settlement Restriction stated as linked instruction with Link Processing Position 'BEFORE' in a Settlement Restriction must not be 'Settled'.
MVLI819	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'WITH' and the Settlement link indicator included in the existing linked instruction is 'BEFORE'.	A Settlement Instruction or Settlement Restriction stated as linked 'WITH' in a Settlement Restriction must not have a previous link 'BEFORE' with the latter instruction, provided that the Intended Settlement Date in both instructions is not identical.
MVLI820	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Link Type field	The instruction has a Settlement link indicator 'WITH'	A Settlement Instruction or Settlement Restriction stated as linked

Reference for error message	Field or Button	Error Text	Description
	<input type="checkbox"/> Submit button	and the Settlement link indicator included in the existing linked instruction is 'AFTER'.	'WITH' in a Settlement Restriction must not have a previous link 'AFTER' with the latter instruction, provided that the Intended Settlement Date in both instructions is not identical.
MVLI821	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'AFTER' and the Settlement link indicator included in the existing linked instruction is 'AFTER'.	A Settlement Instruction or Settlement Restriction stated as linked 'AFTER' in a Settlement Restriction must not have a previous link 'AFTER' with the latter instruction, provided that the Intended Settlement Date in both instructions is not identical.
MVLI822	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'AFTER' and the Settlement link indicator included in the existing linked instruction is 'WITH'.	A Settlement Instruction or Settlement Restriction stated as linked 'AFTER' in a Settlement Restriction must not have a previous link 'WITH' with the latter instruction, provided that the Intended Settlement Date in both instructions is not identical.
MVLI823	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'BEFORE' and the Settlement link indicator included in	A Settlement Instruction or Settlement Restriction stated as linked 'BEFORE' in a Settlement Restriction must not have a previous link

Reference for error message	Field or Button	Error Text	Description
		the existing linked instruction is 'BEFORE'.	'BEFORE' with the latter instruction, provided that the Intended Settlement Date in both instructions is not identical.
MVLI824	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'BEFORE' and the Settlement link indicator included in the existing linked instruction is 'WITH'.	A Settlement Instruction or Settlement Restriction stated as linked 'BEFORE' in a Settlement Restriction must not have a previous link 'WITH' with the latter instruction, provided that the Intended Settlement Date in both instructions is not identical.
MVLI834	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'BEFORE' and the linked instruction's Intended Settlement Date is earlier than the validating instruction's Intended Settlement Date.	The Intended Settlement Date of a Settlement Restriction must be equal to or earlier than the Intended Settlement Date of the Settlement Instruction or Settlement Restriction linked with the first one, if the Link Indicator is 'BEFORE'.
MVLI835	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'AFTER' and the linked instruction's Intended Settlement Date is later than the validating in-	The Intended Settlement Date of a Settlement Restriction must be equal to or later than the Intended Settlement Date of the Settlement Instruction or Settlement Restriction linked

Reference for error message	Field or Button	Error Text	Description
		struction's Intended Settlement Date.	with the first one, if the Link Indicator is 'AFTER'.
MVLI836	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Pool Instruction Identification field <input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has either a Settlement link indicator 'WITH' or a pool reference and the linked instruction's Intended Settlement Date is different.	The Intended Settlement Date of a Settlement Restriction must be equal to the Intended Settlement Date of the Settlement Instruction or Settlement Restriction linked with the first one, if the Link Indicator is 'WITH' or in case of Pool reference.
MVLI844	<input type="checkbox"/> Pool Instruction Counter field <input type="checkbox"/> Submit button	The number of instructions belonging to a Pool must be the same that the Linked Instruction Counter of the Settlement Instruction or Settlement Restriction	The value of the Pool Instruction Counter stated in a Settlement Restriction must be the same as the value of the Pool Instruction Counter for such a Pool in T2S, if any instruction belonging to that Pool is present in T2S.
MVLI846	<input type="checkbox"/> Pool Instruction Identification field <input type="checkbox"/> Submit button	The instruction exceeds the number of instructions of the pool.	The number of instructions that have already been identified in T2S belonging to the Pool Reference stated in a Settlement Restriction must be lower than the Pool Instruction Counter stated in the Settlement Restriction.
MVLI848	<input type="checkbox"/> Pool Instruction Identification field <input type="checkbox"/> Submit button	The instruction includes more than one Pool Refer-	A Settlement Restriction must not include more than one Pool

Reference for error message	Field or Button	Error Text	Description
		ence or more than one link referring to the same instruction.	Reference or more than one link referring to the same instruction.
MVLI852	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'WITH' and the existing Linked Instruction is already partially settled.	The Settlement Status of a Settlement Instruction or Settlement Restriction stated as linked instruction with Link Processing Position 'WITH' in a Settlement Restriction must not be 'Partially Settled'
MVLI855	<input type="checkbox"/> Submit button <input type="checkbox"/> Link Type field	The instruction has a Settlement link indicator 'BEFORE' and the existing Linked Instruction is already partially settled.	The Settlement Status of a Settlement Instruction or Settlement Restriction stated as linked instruction with Link Processing Position 'BEFORE' in a Settlement Restriction must not be 'Partially Settled'.
MVLI858	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'AFTER' and the existing Linked Instruction is already partially settled.	The Settlement Status of a Settlement Instruction or Settlement Restriction stated as linked instruction with Link Processing Position 'AFTER' in a Settlement Restriction must not be 'Partially Settled'
MVLI861	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'AFTER' and the existing	The Settlement Status of a Settlement Instruction or Settlement Restriction stated as linked instruction with Link

Reference for error message	Field or Button	Error Text	Description
		Linked Instruction is already cancelled	Processing Position 'AFTER' in a Settlement Restriction must not be 'Cancelled'
MVLI864	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The Linked Instruction is 'Revoked'.	The Approval Status of a Settlement Instruction or Settlement Restriction stated as linked instruction with Link Processing Position 'WITH' in a Settlement Restriction must not be 'Revoked'
MVLI866	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The Linked Instruction is 'Revoked'.	The Approval Status of a Settlement Instruction or Settlement Restriction stated as linked instruction with Link Processing Position 'AFTER' in a Settlement Restriction must not be 'Revoked'
MVLI868	<input type="checkbox"/> Pool Instruction Identification field <input type="checkbox"/> Submit button	A Pool that has been settled within a predefined number of days in the past already exists in T2S for the same T2S Party and the same Pool Identification	The combination of the Pool Identification and the Instructing Party of a Pool must not be the same as any Pool Identification already present in the system that has been settled within a predefined number of days in the past

Reference for error message	Field or Button	Error Text	Description
MVLI869	<ul style="list-style-type: none"> <input type="checkbox"/> T2S Reference field <input type="checkbox"/> Reference field <input type="checkbox"/> Reference Owner field <input type="checkbox"/> Submit button 	The Linked Instruction, referenced by a T2S Instruction Reference, does not exist.	If the Settlement Instruction stated as linked Instruction, is referenced by a T2S Instruction Reference, then the Settlement Instruction must exist in T2S
MVLI873	<ul style="list-style-type: none"> <input type="checkbox"/> Link Type field <input type="checkbox"/> T2S Reference field <input type="checkbox"/> Reference Owner field <input type="checkbox"/> Reference field <input type="checkbox"/> Submit button 	The Processing Position must be specified.	If a Settlement Restriction specifies a link with another instruction using a T2S Actor Instruction Reference or a T2S Instruction Reference , the Processing Position must be specified
MVLI876	<ul style="list-style-type: none"> <input type="checkbox"/> Link Type field <input type="checkbox"/> Pool Instruction Identification field <input type="checkbox"/> Submit button 	The instruction cannot be linked to a Pool with a Processing Position with AFTER or BEFORE.	If a Settlement Restriction specifies a link to a Pool , if the Processing Position for this link is specified, it only can be WITH
MVRR902	<ul style="list-style-type: none"> <input type="checkbox"/> Restriction Type - Balance from field <input type="checkbox"/> Submit button 	The Balance From specified in the Settlement Restriction on securities does not exist in T2S.	The Balance From specified in a Settlement Restriction on securities must exist as a Restriction Type in T2S.
MVRR904	<ul style="list-style-type: none"> <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Restriction Type - Balance from field <input type="checkbox"/> Submit button 	The Balance From specified in the Settlement Restriction on securities is not valid on the Intended Settlement Date.	The Restriction Type specified in the Balance From in a Settlement Restriction on securities must be valid on the Intended Settlement Date and additionally on the

Reference for error message	Field or Button	Error Text	Description
			current Business Date if the Intended Settlement Date is in the past.
MVRR907	<input type="checkbox"/> Restriction Type - Balance from field <input type="checkbox"/> Restriction Type - Balance To field <input type="checkbox"/> Submit button	The Balance From or Balance To specified in the Settlement Restriction on securities have the same code.	A Settlement Restriction on securities cannot specify the same Balance From and Balance To.
MVRR908	<input type="checkbox"/> Restriction Type - Balance from field <input type="checkbox"/> Restriction Type - Balance To field <input type="checkbox"/> Submit button	The Balance From or Balance To specified in the Settlement Restriction on securities is not Deliverable type, Earmarking type or Collateralized type.	Either the Restriction Type specified in the Balance From or the Restriction Type specified in the Balance To in a Settlement Restriction on securities must be 'Deliverable', 'Earmarking', 'Earmarking for Auto-Collateralisation' or 'Collateralised'.
MVRR909	<input type="checkbox"/> Restriction Type - Balance from field <input type="checkbox"/> Restriction Type - Balance To field <input type="checkbox"/> Submit button	The Balance From and Balance To specified in the Settlement Restriction on securities do not apply on securities positions.	The Restriction Types specified in the Balance From and Balance To in a Settlement Restriction on securities must be applicable on securities position.
MVRR914	<input type="checkbox"/> Restriction Type - Balance To field <input type="checkbox"/> Submit button	The Settlement Restriction on securities sent by a T2S Actor tries to set up or increase a CoSD blocked position or a Collateralized position	A Settlement Restriction on Securities sent by a T2S Actor cannot set up or increase a CoSD Blocked position or a Collateralized position. (I.e. The Restriction Type specified in the Balance To in a Settlement Restriction

Reference for error message	Field or Button	Error Text	Description
			on Securities cannot be 'CoSD Blocking or 'Collateralized').
MVRR915	<ul style="list-style-type: none"> <input type="checkbox"/> Restriction Type - Balance from field <input type="checkbox"/> Submit button 	The Settlement Restriction on securities sent by a T2S Actor tries to decrease a CoSD Blocked position or a Collateralized position.	A Settlement Restriction on Securities sent by a T2S Actor cannot decrease a CoSD Blocked position or Collateralized position. (I.e. The Restriction Type specified in the Balance From in a Settlement Restriction on Securities cannot be 'CoSD Blocking' or 'Collateralised')
MVRR919	<ul style="list-style-type: none"> <input type="checkbox"/> Restriction Reference field <input type="checkbox"/> Submit button 	The Restriction Reference specified in the Settlement Restriction on securities does not exist in T2S.	The Restriction Reference specified in a Settlement Restriction on securities must exist in T2S.
MVRR921	<ul style="list-style-type: none"> <input type="checkbox"/> Restriction Type - Balance from field <input type="checkbox"/> Restriction Type - Balance To field <input type="checkbox"/> Restriction Reference field <input type="checkbox"/> Submit button 	The Restriction Type inferred from the Restriction Ref of the Settlement Restriction on securities is different than the Restriction Type of the impacted balance specified in the Settl. Restriction.	If a Settlement Restriction on securities specifies a Restriction Reference, the Restriction Type associated with such Restriction Reference in T2S must be the same than the Restriction Type indicated in the corresponding impacted Balance (Balance From or Balance To) in the Settlement Restriction.

Reference for error message	Field or Button	Error Text	Description
MVRR922	<ul style="list-style-type: none"> <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Restriction Reference field <input type="checkbox"/> Submit button 	The securities Account inferred from the Restriction Reference of the Settlement Restriction on securities is different than the securities account specified in the Settlement Restriction.	If a Settlement Restriction on securities specifies the Restriction Reference, the securities account associated with the Restriction Reference must be the same than the securities account specified in the Settlement Restriction.
MVRR923	<ul style="list-style-type: none"> <input type="checkbox"/> ISIN field <input type="checkbox"/> Restriction Reference field <input type="checkbox"/> Submit button 	The security associated inferred from the Restriction Reference of the Settlement Restriction on securities is different than the security specified in the Settlement Restriction.	If a Settlement Restriction on securities specifies the Restriction Reference, the security associated with the Restriction Reference must be the same than the security specified in the Settlement Restriction.
MVRR954	<ul style="list-style-type: none"> <input type="checkbox"/> Restriction Type - Balance from field <input type="checkbox"/> Restriction Reference field <input type="checkbox"/> Submit button 	The Settlement Restriction on securities tries to decrease a blocked, CoSD blocked or reserved securities position without Restriction reference	A Settlement Restriction on securities that aims decrease a blocked, CoSD blocked or reserved securities position must include a Restriction Reference related to securities (I.e. If the Restriction Type specified in the Balance From in a Settlement Restriction on securities is 'Blocking' , 'CoSD blocked or 'Reservation', a Restriction Reference must be specified).

Reference for error message	Field or Button	Error Text	Description
MVRR970	<ul style="list-style-type: none"> <input type="checkbox"/> Restriction Type - Balance from field <input type="checkbox"/> Restriction Type - Balance To field <input type="checkbox"/> Restriction Reference field <input type="checkbox"/> Submit button 	The Restriction Reference is specified and the Settlement Restriction on securities tries to increase or set up an Earmarking or Collateralized position from a deliverable position.	If the Restriction Type specified in the Balance From in a Settlement Restriction on securities is 'Deliverable' and the Restriction Type specified in the Balance To is 'Earmarking' or is 'Earmarking for auto collateralization' or 'Collateralized', the Restriction Reference must not be specified in the Settlement Restriction.
MVRR971	<ul style="list-style-type: none"> <input type="checkbox"/> Restriction Type - Balance from field <input type="checkbox"/> Restriction Type - Balance To field <input type="checkbox"/> Restriction Reference field <input type="checkbox"/> Submit button 	The Restriction Reference is specified and the Settlement Restriction on securities tries to decrease an Earmarking or Collateralized position to a deliverable position.	If the Restriction Type specified in the Balance From in a Settlement Restriction on securities is 'Earmarking' or 'Earmarking for auto collateralization' or 'Collateralized' and the Restriction Type specified in the Balance To is 'Deliverable', the Restriction Reference must not be specified in the Settlement Restriction.
MVRR979	<ul style="list-style-type: none"> <input type="checkbox"/> Restriction Type - Balance from field <input type="checkbox"/> Restriction Type - Balance To field <input type="checkbox"/> Submit button 	The Balance From and Balance To in the Settlement Restriction on securities have the same processing type.	The Restriction Type specified in the Balance From and the Restriction Type specified in the Balance To in a Settlement Restriction on securities cannot belong to the same processing type except for earmarking processing

Reference for error message	Field or Button	Error Text	Description
			type (i.e. if the Balance From is earmarking type the Balance to as earmarking type is allowed).
MVRR980	<ul style="list-style-type: none"> <input type="checkbox"/> Restriction Type - Balance from field <input type="checkbox"/> Restriction Type - Balance To field <input type="checkbox"/> Restriction Reference field <input type="checkbox"/> Submit button 	The Restriction Reference is specified and the Settlement Restriction on securities tries to decrease or increase an Earmarking position.	If the Restriction Type specified in the Balance From in a Settlement Restriction on securities is 'Earmarking' and the Restriction Type specified in the Balance To is 'Earmarking for auto collateralization' or vice versa, the Restriction Reference must not be specified in the Settlement Restriction.
MVRR981	<ul style="list-style-type: none"> <input type="checkbox"/> Restriction Type - Balance from field <input type="checkbox"/> Restriction Type - Balance To field <input type="checkbox"/> Restriction Reference field <input type="checkbox"/> Submit button 	The Restriction Reference is specified and the Settlement Restriction on securities tries to decrease or increase a Collateralized position.	If the Restriction Type specified in the Balance From in a Settlement Restriction on securities is 'Earmarking for auto collateralization' and the Restriction Type specified in the Balance To is 'Collateralized' or vice versa, the Restriction Reference must not be indicated in the Settlement Restriction.
MVRR982	<ul style="list-style-type: none"> <input type="checkbox"/> Restriction Reference field <input type="checkbox"/> Submit button 	The Restriction Reference specified in the Settlement Restriction on securities does not apply on securities.	The Restriction Reference specified in a Settlement Restriction on securities must apply on securities.

Reference for error message	Field or Button	Error Text	Description
MVRR990	<input type="checkbox"/> Restriction Type - Balance To field <input type="checkbox"/> Submit button	The Balance To specified in the Settlement Restriction on securities does not exist in T2S.	The Balance To specified in a Settlement Restriction on securities must exist as a Restriction Type in T2S.
MVRR991	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Restriction Type - Balance To field <input type="checkbox"/> Submit button	The Balance To specified in the Settlement Restriction on securities is not valid on the Intended Settlement Date.	The Restriction Type specified in the Balance To in a Settlement Restriction on securities must be valid on the Intended Settlement Date and additionally on the current Business Date if the Intended Settlement Date is in the past.
MVRR995	<input type="checkbox"/> Restriction Type - Balance from field <input type="checkbox"/> Submit button	The Settlement Restriction on securities sent by a T2S Actor tries to decrease a CoSD blocked securities position and the associated CoSD Settlement Instruction is not cancelled.	A Settlement Restriction on Securities sent by a T2S Actor only can decrease a CoSD blocked securities position when the related CoSD Settlement Instruction is cancelled.
MVSD402	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Submit button	The Creation Date is later than the Intended Settlement Date of the Settlement Restriction.	The Creation Date of a Settlement Restriction has to be equal to or earlier than its Intended Settlement Date.
MVSD405	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Submit button	The Intended Settlement Date of the Settlement Restriction on securities is	The Intended Settlement Date of a Settlement Restriction on securities must be a day

Reference for error message	Field or Button	Error Text	Description
		Saturday, Sunday or a Closing Day in T2S.	from Monday to Friday and a Business Date in T2S
MVSP210	<input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Priority field <input type="checkbox"/> Submit button	'Reserved priority' not allowed for the Instructing Party Type (only CSDs can use it)	'Reserved priority' is allowed in a Settlement Restriction on Securities only if the Instructing Party is a CSD
MVSP603	<input type="checkbox"/> ISIN field <input type="checkbox"/> Quantity field <input type="checkbox"/> Submit button	The settlement type stated in the Restriction is not the same as the Type of Settlement specified in T2S Reference Data.	The Settlement Type stated in the Settlement Quantity of a Settlement Restriction on Securities must be the same as the Settlement Type specified in T2S Reference Data for the ISIN Code of the Restriction.
MVSP605	<input type="checkbox"/> ISIN field <input type="checkbox"/> Quantity field <input type="checkbox"/> Submit button	The Settlement Quantity of the Settlement Restriction is lower than the Minimum Settlement Unit	The Settlement Quantity specified in a Settlement Restriction on securities must be equal or greater than the Minimum Settlement Unit specified in T2S.
MVSP607	<input type="checkbox"/> Corporate Action Event Id field <input type="checkbox"/> ISIN field <input type="checkbox"/> Quantity field <input type="checkbox"/> Submit button	The Settlement Quantity of the Settlement Restriction is neither a multiple of Settlement Unit Multiple nor a Deviating Settlement Unit.	The Settlement Quantity of a Settlement Restriction on securities and not related to a 'Corporate Action' must be a multiple of the Settlement Unit Multiple or a Deviating Settlement Unit.
MVSP611	<input type="checkbox"/> Corporate Action Event Id field <input type="checkbox"/> Submit button	The remaining Settlement Quantity of the Settlement Re-	In case of a partially settled Settlement Restriction on Securities

Reference for error message	Field or Button	Error Text	Description
		striction is neither a multiple of Settlement Unit Multiple nor a Deviating Settlement Unit	and not related to a 'Corporate Action', the remaining Settlement Quantity must be a multiple of the Settlement Unit Multiple or a Deviating Settlement Unit.
MVSQ613	<input type="checkbox"/> Quantity <input type="checkbox"/> Settlement Type <input type="checkbox"/> Submit button	The number of decimals of the Settlement Quantity of the Settlement Restriction is greater than the number of decimals of the Settlement Multiple of the related security	The number of decimals of the Settlement Quantity of a Settlement Restriction on Securities must be equal or lower than the number of decimals of the Settlement Unit Multiple of the related Security.
MVSR705	<input type="checkbox"/> Submit button <input type="checkbox"/> Actor reference	Settlement Restriction rejected due to a Restriction Type.	A new Settlement Restriction that fulfills a specific restriction configured by its System Entity with positive Restriction Processing Type 'Rejection' is rejected.
MVSR706	<input type="checkbox"/> Submit button		A Settlement Restriction that fulfills a specific restriction configured by its System Entity with negative Restriction Processing Type 'Rejection' is not rejected nor cancelled.
MVSR708	<input type="checkbox"/> Submit button <input type="checkbox"/> Actor reference	Settlement Restriction cancelled due to a Restriction Type.	An already existing Settlement Restriction that fulfills a specific restriction configured by its

Reference for error message	Field or Button	Error Text	Description
			System Entity with positive Restriction Processing Type 'Rejection' is cancelled.

6.5.3.60 Intra-Position Movements - Search/List Screen

△ [▶ 147]

Reference for error message	Field or Button	Error Text	Description
IIMP102	<input type="checkbox"/> Restriction Type Balance from field <input type="checkbox"/> Restriction Type Balance to field	The securities balance type of BalanceFrom must be different from the securities balance type of BalanceTo.	The securities balance type of BalanceFrom must be different from the securities balance type of BalanceTo.
QMPC006	<input type="checkbox"/> Quantity or nominal securities – from field <input type="checkbox"/> Quantity or nominal securities – to field <input type="checkbox"/> Search button	The lower limit of the Securities Quantity range is greater than the upper limit of the Securities Quantity range.	In case the Securities Quantity is stated as a range, the lower limit of the Securities Quantity range must be less than the upper limit of the Securities Quantity range.
QMPC012	<input type="checkbox"/> Intended Settlement Date – from field <input type="checkbox"/> Intended Settlement Date – to field <input type="checkbox"/> Search button	The Intended Settlement Date From is after the Intended Settlement Date To.	In case the Intended Settlement Date is stated as a range, the Intended Settlement Date From must be before the Intended Settlement Date To, i.e. earlier or on the same day as the Intended Settlement Date To.

Reference for error message	Field or Button	Error Text	Description
QMPC013	<input type="checkbox"/> Actual Settlement Date – from field <input type="checkbox"/> Search button	The requested Actual Settlement Date From is older than 90 business days.	The requested Actual Settlement Date From must not be older than 90 business days.
QMPC014	<input type="checkbox"/> Actual Settlement Date – from field <input type="checkbox"/> Actual Settlement Date – to field <input type="checkbox"/> Search button	The Actual Settlement Date From is after the Actual Settlement Date To.	In case the Actual Settlement Date is stated as a range, the Actual Settlement Date From must be before the Actual Settlement Date To, i.e. earlier or on the same day as the Actual Settlement Date To.
QMPC015	<input type="checkbox"/> Search button <input type="checkbox"/> Entry Date Time – from field <input type="checkbox"/> Entry Date Time – to field	The Date From is after the Date To.	In case the Date is stated as a range, the Date From has to be before or equal to the Date To.
QMPC018	<input type="checkbox"/> ISIN field <input type="checkbox"/> Search button	The specified ISIN is not known in T2S.	In case an ISIN is specified, it has to be known in T2S.
QMPC030	<input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Search button	The specified Securities Account Number is not known in T2S.	In case a Securities Account Number is specified, it has to be known in T2S.
QMPC032	<input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Securities Account Owner BIC field <input type="checkbox"/> Search button	The specified Party BIC is not known in T2S.	In case a Party BIC is specified, it has to be known in T2S.
QMPC048	<input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Search button	The specified Parent BIC is not known in T2S.	In case a Parent BIC is specified, it has to be known in T2S.

Reference for error message	Field or Button	Error Text	Description
QMPC073	<input type="checkbox"/> Search button <input type="checkbox"/> Entry Date Time – from field	The specified Date is in the future.	In case a date from is specified, it must not be in the future.
QMPC084	<input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Search button	The specified Parent BIC is not the System Entity of the specified party.	In case the BIC of a party as well as its parent BIC are specified, a party with these two BICs has to be known in T2S.
QMPC095	<input type="checkbox"/> Search button <input type="checkbox"/> Entry Date Time – to field	The entry date is after the current business date.	In case the Entry Date To is stated, it must not be in the future.

6.5.3.61 Limit Utilisation - Search/List Screen

△ [▶ 357]

Reference for error message	Field or Button	Error Text	Description
QMPC036	<input type="checkbox"/> Identification field <input type="checkbox"/> Search button	The specified Limit Identification is not known in T2S.	In case a Limit Identifier is specified, it has to be known in T2S.
QMPC040	<input type="checkbox"/> Percentage range field <input type="checkbox"/> Search button	The specified lower value for Limit Utilisation is higher than the upper value for Limit Utilisation.	In case both the lower and upper value for Limit Utilisation are specified, the lower value for Limit Utilisation has to be lower than the upper value for Limit Utilisation.

6.5.3.62 Limit Utilisation Journal - Search/List Screen

△ [▶ 362]

Reference for error message	Field or Button	Error Text	Description
QMPC031	<input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Search button	The specified T2S Dedicated Cash Account Number is not known in T2S.	In case a T2S Dedicated Cash Account Number is specified, it has to be known in T2S.
QMPC055	<input type="checkbox"/> Date field <input type="checkbox"/> Search button	The specified business date is in the future.	In case a business date is specified, it must not be in the future.

6.5.3.63 Maintenance Cash Instructions – Search/List Screen

△ [▶ 456]

Reference for error message	Field or Button	Error Text	Description
QMPC031	<input type="checkbox"/> Search button <input type="checkbox"/> n/a	The specified T2S Dedicated Cash Account Number is not known in T2S.	In case a T2S Dedicated Cash Account Number is specified, it has to be known in T2S.
QMPC032	<input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Search button	The specified Party BIC is not known in T2S.	In case a Party BIC is specified, it has to be known in T2S.
QMPC048	<input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Search button	The specified Parent BIC is not known in T2S.	In case a Parent BIC is specified, it has to be known in T2S.
QMPC084	<input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Search button	The specified Parent BIC is not the System Entity of the specified party.	In case the BIC of a party as well as its parent BIC are specified, a party with these two BICs has to be known in T2S.
QMPC095	<input type="checkbox"/> n/a	The entry date is after the current business date	In case the Entry Date To is stated, it must not be in the future.

6.5.3.64 Maintenance Securities Instructions - Search/List Screen

△ [▶ 174]

Reference for error message	Field or Button	Error Text	Description
QMPC016	<input type="checkbox"/> Execution Date field <input type="checkbox"/> Search button	The Date From is older than three calendar months.	The Date From must not be older than three calendar months.
QMPC030	<input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Search button	The specified Securities Account Number is not known in T2S.	In case a Securities Account Number is specified, it has to be known in T2S.
QMPC032	<input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Search button	The specified Party BIC is not known in T2S.	In case a Party BIC is specified, it has to be known in T2S.
QMPC048	<input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Search button	The specified Parent BIC is not known in T2S.	In case a Parent BIC is specified, it has to be known in T2S.
QMPC073	<input type="checkbox"/> Entry Date field <input type="checkbox"/> Execution Date field <input type="checkbox"/> Search button	The specified Date is in the future.	In case a date from is specified, it must not be in the future.
QMPC084	<input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Search button	The specified Parent BIC is not the System Entity of the specified party.	In case the BIC of a party as well as its parent BIC are specified, a party with these two BICs has to be known in T2S.

6.5.3.65 Outbound File - Details Screen

△ [▶ 652]

Reference for error message	Field or Button	Error Text	Description
IICR001	<input type="checkbox"/> Party Technical Address field <input type="checkbox"/> Resend button	At least one party technical address does not exist.	Resend communication is only possible for existing party technical addresses.
IICR002	<input type="checkbox"/> Resend button	The T2S System User is not authorized to ask for re-sending of a communication.	A T2S System User must have the appropriate privilege assigned with the requested secured object of type <party> to ask for re-sending a given communication.

6.5.3.66 Outbound Files - Search/List Screen

△ [▶ 649]

Reference for error message	Field or Button	Error Text	Description
IICR001	<input type="checkbox"/> Party Technical Address field <input type="checkbox"/> Resend button	At least one party technical address does not exist.	Resend communication is only possible for existing party technical addresses.
IICR002	<input type="checkbox"/> Resend button	The T2S System User is not authorized to ask for re-sending of a communication.	A T2S System User must have the appropriate privilege assigned with the requested secured object of type <party> to ask for re-sending a given communication.
QMPC015	<input type="checkbox"/> Delivery Date and Time from field <input type="checkbox"/> Delivery Date and Time to field <input type="checkbox"/> Search button	The Date From is after the Date To.	In case the Date is stated as a range, the Date From has to be before or equal to the Date To.

Reference for error message	Field or Button	Error Text	Description
QMPC073	<input type="checkbox"/> Delivery Date and Time from field <input type="checkbox"/> Search button	The specified Date is in the future.	In case a date from is specified, it must not be in the future.

6.5.3.67 Outbound Message - Details Screen

△ [▶ 662]

Reference for error message	Field or Button	Error Text	Description
IICR001	<input type="checkbox"/> Party Technical Address field <input type="checkbox"/> Resend button	At least one party technical address does not exist.	Resend communication is only possible for existing party technical addresses.
IICR002	<input type="checkbox"/> Resend button	The T2S System User is not authorized to ask for re-sending of a communication.	A T2S System User must have the appropriate privilege assigned with the requested secured object of type <party> to ask for re-sending a given communication.

6.5.3.68 Outbound Messages - Search/List Screen

△ [▶ 654]

Reference for error message	Field or Button	Error Text	Description
IICR001	<input type="checkbox"/> Party Technical Address field <input type="checkbox"/> Resend button	At least one party technical address does not exist.	Resend communication is only possible for existing party technical addresses.

Reference for error message	Field or Button	Error Text	Description
IICR002	<input type="checkbox"/> Resend button	The T2S System User is not authorized to ask for re-sending of a communication.	A T2S System User must have the appropriate privilege assigned with the requested secured object of type <party> to ask for re-sending a given communication.
QMPC015	<input type="checkbox"/> Delivery Date and Time from field <input type="checkbox"/> Delivery Date and Time to field <input type="checkbox"/> Delivery Business Date from field <input type="checkbox"/> Delivery Business Date to field <input type="checkbox"/> Search button	The Date From is after the Date To.	In case the Date is stated as a range, the Date From has to be before or equal to the Date To.

6.5.3.69 Outstanding Auto-Collateralisation Credits - Search/List Screen

△ [▶ 401]

Reference for error message	Field or Button	Error Text	Description
QMPC031	<input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Search button	The specified T2S Dedicated Cash Account Number is not known in T2S.	In case a T2S Dedicated Cash Account Number is specified, it has to be known in T2S.
QMPC084	<input type="checkbox"/> Party BIC field <input type="checkbox"/> Parent BIC field <input type="checkbox"/> Search button	The specified Parent BIC is not the System Entity of the specified party.	In case the BIC of a party as well as its parent BIC are specified, a party with these two BICs has to be known in T2S.

6.5.3.70 Overall Liquidity - Search/List Screen

△ [▶ 405]

Reference for error message	Field or Button	Error Text	Description
QMPC032	<input type="checkbox"/> Party BIC field <input type="checkbox"/> Search button	The specified Party BIC is not known in T2S.	In case a Party BIC is specified, it has to be known in T2S.
QMPC048	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Search button	The specified Parent BIC is not known in T2S.	In case a Parent BIC is specified, it has to be known in T2S.
QMPC084	<input type="checkbox"/> Party BIC field <input type="checkbox"/> Parent BIC field <input type="checkbox"/> Search button	The specified Parent BIC is not the System Entity of the specified party.	In case the BIC of a party as well as its parent BIC are specified, a party with these two BICs has to be known in T2S.

6.5.3.71 Penalty - Details Screen

△ [▶ 300]

Reference for error messages	Field or Button	Error Text	Description
PMMO010	<input type="checkbox"/> Re-include	Only failing CSD can request to modify a penalty	Only the Failing CSD can request to modify a Penalty
PMMO016	<input type="checkbox"/> Re-include	The removal, re-inclusion or switch does not refer to the individual penalty identification of the failing party	The removal, re-inclusion or switch must refer to the individual penalty identification of the failing party
PMMO031	<input type="checkbox"/> Re-include	It is not possible to re-include a Penalty that is not removed	The penalty provided in the re-inclusion request must have status Removed

Reference for error messages	Field or Button	Error Text	Description
PMMO032	<input type="checkbox"/> Re-include	It is not possible to re-include a Penalty that was removed because of a Reallocation	The penalty provided in the re-inclusion request cannot be re-included if it has been removed because of a Reallocation

6.5.3.72 Penalty - Re-allocation Screen

△ [▶ 327]

Reference for error messages	Field or Button	Error Text	Description
PMMO008	Submit	The Request Type is 'RALO' and the requestor CSD is not the same as the CSD of the LMFP (CSD of the Instructing Party of the underlying instruction)	The request type is 'RALO' and the requestor CSD is not the same as the CSD of the Instructing Party of the underlying instruction
PMMO021	New Failing Party BIC Submit	The new failing Party is neither the delivering nor the receiving party of the underlying Settlement Instruction that was sent already matched	The new failing Party must be either the delivering or the receiving party of the underlying Settlement Instruction that was sent already matched
PMMO023	New Failing Party BIC Submit	In case the New failing Party BIC corresponds to the delivering party of the underlying Settlement Instruction, the New non-failing	In case the New failing Party BIC corresponds to the delivering party of the underlying Settlement Instruction, the New non-failing

Reference for error messages	Field or Button	Error Text	Description
		Party BIC must correspond to the receiving party, and vice versa	Party BIC must correspond to the receiving party, and vice versa
PMMO025	T2S reference of the Failing Settlement Instruction Submit	The 'T2S reference of the failed Settlement Instruction' is neither the delivering nor the receiving Settlement Instruction created in T2S from the already matched	The 'T2S reference of the failed Settlement Instruction' must be either the delivering or the receiving Settlement Instruction created in T2S
PMMO033	Submit	It is not possible to reallocate a Penalty that is not active	The penalty provided in the reallocation request must have status Active
PMMO034	Submit	It is not possible to reallocate a Penalty that is not a LMFP	The type of the penalty provided in the reallocation request must be LMFP
PMMO035	Submit	It is not possible to reallocate a Penalty already reallocated before	The penalty provided in the reallocation request cannot have been Reallocated before
PMMO037	Submit	The underlying Settlement Instruction of the Penalty was not sent as already matched to T2S	The underlying Settlement Instruction of the penalty provided in the reallocation request must be an instruction sent as already matched to T2S

6.5.3.73 Penalty – Removal Screen

△ [▶ 324]

Reference for error messages	Field or Button	Error Text	Description
PMMO010	<input type="checkbox"/> Submit	Only failing CSD can request to modify a penalty	Only the Failing CSD can request to modify a Penalty
PMMO016	<input type="checkbox"/> Submit	The removal, re-inclusion or switch does not refer to the individual penalty identification of the failing party	The removal, re-inclusion or switch must refer to the individual penalty identification of the failing party
PMMO030	<input type="checkbox"/> Submit	It is not possible to remove a Penalty that is not active	The penalty provided in the removal request must have status Active

6.5.3.74 Penalty - Switch Screen

△ [▶ 331]

Reference for error messages	Field or Button	Error Text	Description
PMMO010	<input type="checkbox"/> Submit	Only failing CSD can request to modify a penalty	Only the Failing CSD can request to modify a Penalty
PMMO016	<input type="checkbox"/> Submit	The removal, re-inclusion or switch does not refer to the individual penalty identification of the failing party	The removal, re-inclusion or switch must refer to the individual penalty identification of the failing party
PMMO036	<input type="checkbox"/> Submit	It is not possible to switch a Penalty that is not active	The penalty provided in the switch request must have status Active

6.5.3.75 Queued Static Data Change – Details Screen



No references for error messages.

6.5.3.76 Queued Static Data Changes – Search/List Screen



No references for error messages.

6.5.3.77 Resend Communication Screen

△ [▶ 666]

Reference for error message	Field or Button	Error Text	Description
IICR001	<input type="checkbox"/> Party Technical Address field <input type="checkbox"/> Submit button	At least one party technical address does not exist.	Resend communication is only possible for existing party technical addresses.
IICR002	<input type="checkbox"/> Submit button	The T2S System User is not authorized to ask for re-sending of a communication.	A T2S System User must have the appropriate privilege assigned with the requested secured object of type <party> to ask for re-sending a given communication.
IICR003	<input type="checkbox"/> Delivery Date field <input type="checkbox"/> Submit button	The delivery date is no valid business date (current business date or in the past).	The delivery date has to be a valid business date (current business date or in the past).
IICR004	<input type="checkbox"/> Delivery Date field <input type="checkbox"/> Party Technical Address field <input type="checkbox"/> Single Sequence(s) field <input type="checkbox"/> Submit button	At least one sequence number mentioned in the specified selection criteria was not found.	Resend communication on the basis of the message sequence is only possible, if the sequence number exists for the party technical

Reference for error message	Field or Button	Error Text	Description
			address for the possibly mentioned delivery date (business day).
IICR004	<input type="checkbox"/> Delivery Date field <input type="checkbox"/> Party Technical Address field <input type="checkbox"/> Single Sequence(s) field <input type="checkbox"/> Submit button	No communication on the basis of the message sequence found for the specified selection criteria.	Resend communication on the basis of the message sequence is only possible, if the sequence number exists for the party technical address for the possibly mentioned delivery date (business day).
IICR006	<input type="checkbox"/> Party Technical Address field <input type="checkbox"/> Delivery Date field <input type="checkbox"/> Sender File Reference field <input type="checkbox"/> Submit button	No outbound file found for the specified selection criteria.	Resend communication on the basis of the sender file reference is only possible, if the sender file reference exists for the party technical address for the possibly mentioned delivery date (business day).
IICR007	<input type="checkbox"/> Party Technical Address field <input type="checkbox"/> Delivery Date field <input type="checkbox"/> Sender Message Reference field <input type="checkbox"/> Submit button	At least one sender message reference mentioned in the specified selection criteria was not found.	Resend communication on the basis of the sender message reference is only possible, if the sender message reference exists for the party technical address for the possibly mentioned delivery date (business day).
IICR007	<input type="checkbox"/> Party Technical Address field <input type="checkbox"/> Delivery Date field <input type="checkbox"/> Sender Message Reference field	No communication on the basis of the sender message	Resend communication on the basis of the sender message reference is only possible, if the sender message

Reference for error message	Field or Button	Error Text	Description
	<input type="checkbox"/> Submit button	reference found for the specified selection criteria.	reference exists for the party technical address for the possibly mentioned delivery date (business day).

6.5.3.78 Revisions/Audit Trail - List Screen

△ [▶ 670]

No references for error messages.

6.5.3.79 Securities Position - Details Screen

△ [▶ 184]

No references for error messages.

6.5.3.80 Securities Positions - Search/List Screen

△ [▶ 179]

Reference for error message	Field or Button	Error Text	Description
QMPC015	<input type="checkbox"/> Date from field <input type="checkbox"/> Date to field <input type="checkbox"/> Search button	The Date From is after the Date To.	In case the Date is stated as a range, the Date From has to be before or equal to the Date To
QMPC016	<input type="checkbox"/> Date from field <input type="checkbox"/> Search button	The Date From is older than three calendar months.	The Date From must not be older than three calendar months.
QMPC018	<input type="checkbox"/> ISIN field <input type="checkbox"/> Search button	The specified ISIN is not known in T2S.	In case an ISIN is specified, it has to be known in T2S.
QMPC030	<input type="checkbox"/> Search button <input type="checkbox"/> Securities Account Number field	The specified Securities Account Number is not known in T2S.	In case a Securities Account Number is specified, it has to be known in T2S.

Reference for error message	Field or Button	Error Text	Description
QMPC032	<input type="checkbox"/> Party BIC field <input type="checkbox"/> Search button	The specified Party BIC is not known in T2S.	In case a Party BIC is specified, it has to be known in T2S.
QMPC048	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Search button	The specified Parent BIC is not known in T2S.	In case a Parent BIC is specified, it has to be known in T2S.
QMPC065	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Search button <input type="checkbox"/> Securities Account Number field	The specified combination of selection parameters Securities Account Number, Account Owner and Account Servicer is not consistent.	In case several of the following selection criteria are specified, they have to be consistent, i.e. the securities account is owned by the account owning party and/or operated by the account servicing CSD; the party belongs to the CSD: <ul style="list-style-type: none">• Securities Account Number• Account Owner• Account Servicer
QMPC091	<input type="checkbox"/> Restriction Type field <input type="checkbox"/> Search button	The specified restriction type is not known in T2S.	In case a restriction type is specified, it has to be known in T2S.

6.5.3.81 Settlement Instruction - Details Screen

△ [▶ 201]

No references for error messages.

6.5.3.82 Settlement Instruction - New Screen

△ [▶ 220]

Reference for error message	Field or Button	Error Text	Description
MVCA105	<input type="checkbox"/> Payment Type Code field <input type="checkbox"/> Externally Matched field <input type="checkbox"/> Currency field <input type="checkbox"/> T2S Dedicated Cash Account field <input type="checkbox"/> Submit button	The Currency of the Settlement Instruction is not the same as the Currency of the debited or credited T2S Dedicated Cash Account.	The Currency of a Settlement Instruction against payment must be the same as the Currency of the debited or credited T2S Dedicated Cash Account (both if it is an already matched Settlement Instruction).
MVCA501	<input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Externally Matched field <input type="checkbox"/> Submit button	The Credited T2S Dedicated Cash Account does not exist in T2S.	The Credited T2S Dedicated Cash Account specified in unmatched Settlement Instruction crediting cash must exist in T2S.
MVCA502	<input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Externally Matched field <input type="checkbox"/> Submit button	The Debited T2S Dedicated Cash Account does not exist in T2S.	The Debited T2S Dedicated Cash Account specified in unmatched Settlement Instruction debiting cash must exist in T2S.
MVCA503	<input type="checkbox"/> Payment Type Code field <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Externally Matched field <input type="checkbox"/> Submit button	The Credited T2S Dedicated Cash Account does not exist in T2S.	The Credited T2S Dedicated Cash Account and Debited T2S Dedicated Cash Account specified in an already matched Settlement Instruction against payment must exist in T2S.
MVCA505	<input type="checkbox"/> Payment Type Code field	The Credited T2S Dedicated Cash Account is not open on the Settlement Date.	The Credited T2S Dedicated Cash Account in unmatched Settlement Instruction

Reference for error message	Field or Button	Error Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Externally Matched field <input type="checkbox"/> Submit button 		crediting cash must be open on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.
MVCA506	<ul style="list-style-type: none"> <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Externally Matched field <input type="checkbox"/> Submit button 	The Debited T2S Dedicated Cash Account in an unmatched Settlement Instruction debiting cash is not open on the ISD and additionally on the current Business Day if the ISD is in the past.	The Debited T2S Dedicated Cash Account in unmatched Settlement Instruction debiting cash must be open on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.
MVCA507	<ul style="list-style-type: none"> <input type="checkbox"/> Payment Type Code field <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Externally Matched field <input type="checkbox"/> Submit button 	The Credited T2S Dedicated Cash Account is not open on the Settlement Date.	The Credited T2S Dedicated Cash Account and Debited T2S Dedicated Cash Account in an already matched Settlement Instruction against payment must be open on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.
MVCA509	<ul style="list-style-type: none"> <input type="checkbox"/> Securities Account Number field 	The Credited T2S Dedicated Cash Account is not associ-	The Credited T2S Dedicated Cash Account specified in un-

Reference for error message	Field or Button	Error Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Dedicated Cash Account Number field <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Externally Matched field <input type="checkbox"/> Submit button 	ated with the Securities Account on the Settlement Date.	matched Settlement Instruction crediting cash must be associated in T2S with the Securities Account stated in the instruction on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.
MVCA510	<ul style="list-style-type: none"> <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Externally Matched field <input type="checkbox"/> Submit button 	The Debited T2S Dedicated Cash Account is not associated to the Securities Account stated in the Instruction on the Settlement Date.	The Debited T2S Dedicated Cash Account specified in unmatched Settlement Instruction debiting cash must be associated in T2S with the corresponding Securities Account stated in the instruction on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.
MVCA511	<ul style="list-style-type: none"> <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Payment Type Code field <input type="checkbox"/> T2S Dedicated Cash Account Number field 	The Credited T2S Dedicated Cash Account is not associated with the Securities Account on the Settlement Date.	The Credited T2S Dedicated Cash Account and Debited T2S Dedicated Cash Account specified in an already matched Settlement Instruction against payment must be associated with the

Reference for error message	Field or Button	Error Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Externally Matched field <input type="checkbox"/> Submit button 		corresponding Securities Account stated in the instruction on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.
MVCA514	<ul style="list-style-type: none"> <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Settlement Amount field <input type="checkbox"/> Externally Matched field <input type="checkbox"/> Submit button 	There is no default Credited T2S Dedicated Cash Account related to the Currency on the Settlement Date.	If the Credited T2S Dedicated Cash Account is not specified in an unmatched Settlement Instruction crediting cash, there has to be in T2S a default Cash Account for the Currency of the cash leg of the Instruction on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.
MVCA515	<ul style="list-style-type: none"> <input type="checkbox"/> Dedicated Cash Account Number field <input type="checkbox"/> Settlement Amount field <input type="checkbox"/> Externally Matched field <input type="checkbox"/> Submit button 	There is no default Debited T2S Dedicated Cash Account related to the Currency on the Settlement Date.	If the Debited T2S Dedicated Cash Account is not specified in an unmatched Settlement Instruction debiting cash, there has to be a default Cash Account for the Currency of the cash leg specified in the Instruction on the Intended Settlement Date

Reference for error message	Field or Button	Error Text	Description
			and additionally on the current Business Day if the Intended Settlement Date is in the past.
MVCA516	<ul style="list-style-type: none"> <input type="checkbox"/> Dedicated Cash Account Number field <input type="checkbox"/> Settlement Amount field <input type="checkbox"/> Externally Matched field <input type="checkbox"/> Submit button 	There is no default Credited T2S Dedicated Cash Account related to the Currency on the Settlement Date.	If the Credited T2S Dedicated Cash Account and/or Debited T2S Dedicated Cash Account is/are not specified in an already matched Settlement Instruction against payment, there has to be in T2S a default Cash Account associated with the Currency of the cash leg of the Settlement Instruction on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.
MVCA519	<ul style="list-style-type: none"> <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Debit Credit Indication field <input type="checkbox"/> Submit button 	The debited T2S Dedicated Cash account of the Settlement Instruction is under an Insolvency restriction type	The debited T2S Dedicated Cash Account specified in a Settlement Instruction must not have any Insolvency Restriction applicable for the current validation timestamp
MVCP009	<ul style="list-style-type: none"> <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Submit button 	The sender of the Settlement Instruction is not authorised	The sender of a Settlement Instruction must be authorised to

Reference for error message	Field or Button	Error Text	Description
		to send a Settlement Instruction on the Securities Account.	send a Settlement Instruction on a specific Securities Account.
MVCP010	<input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Externally Matched field <input type="checkbox"/> Submit button	The sender of an Already Matched Settlement Instructions, is not authorised to send a Settlement Instruction on Securities Account of the counterparty.	For Already Matched Settlement Instructions, the sender of a Settlement Instruction must be authorised to send a Settlement Instruction on both the delivering and the receiving Securities Accounts.
MVCP011	<input type="checkbox"/> Receiving Depository BIC field <input type="checkbox"/> Delivery Depository BIC field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction on behalf of an external CSD.	If the relevant Depository is an external CSD, the sender of a Settlement Instruction must be authorised to send a Settlement Instruction on behalf of that external CSD.
MVCP012	<input type="checkbox"/> Allowed Modification Flag field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction with the non-modifiable flag activated.	If a Settlement Instruction has the Allowed Modification Flag activated, the sender of the Settlement Instruction must be authorised to send a Settlement Instruction with the non-modifiable flag activated.
MVCP013	<input type="checkbox"/> Link type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to link to an instruction/pool belonging to another party.	In case a Settlement Instruction intends to link to another instruction or pool, the sender of the Settlement Instruction must

Reference for error message	Field or Button	Error Text	Description
			be authorised to link to an instruction/pool belonging to a specific party.
MVCP036	<input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Party Hold field <input type="checkbox"/> Submit button	The sender of a Settlement Instruction is not authorised to perform a Party Hold on the Securities Account.	The sender of a Settlement Instruction with a Party Hold activated must be authorised to perform a Party Hold on a specific Securities Account.
MVCP037	<input type="checkbox"/> Securities Account Number field <input type="checkbox"/> CSD Hold field <input type="checkbox"/> Submit button	The sender of a Settlement Instruction is not authorised to perform a CSD Hold on a specific Securities Account.	The sender of a Settlement Instruction with a CSD Hold activated must be authorised to perform a CSD Hold on a specific Securities Account.
MVCP038	<input type="checkbox"/> Receiving Depository BIC field <input type="checkbox"/> Delivery Depository BIC field <input type="checkbox"/> CSD Hold field	The sender of a Settlement Instruction is not authorised to perform a CSD Hold on behalf of the parent CSD of the external CSD.	If the relevant Depository is an external CSD, the sender of a Settlement Instruction with a CSD Hold activated must be authorised to send a Settlement Instruction with CSD Hold on behalf of the parent CSD of the external CSD.
MVCP044	<input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Securities Account field	The Securities Account informed in the instruction does not exist in T2S.	The Securities Account informed in the instruction must exist in T2S.

Reference for error message	Field or Button	Error Text	Description
MVCU101	<input type="checkbox"/> Currency field	The Currency of the Settlement Instruction does not exist in T2S.	The Currency of a Settlement Instruction must exist in T2S.
MVCU103	<input type="checkbox"/> Payment Type Code field <input type="checkbox"/> Currency field <input type="checkbox"/> Submit button	The Currency of the Settlement Instruction is not a T2S Settlement Currency.	The Currency of a Settlement Instruction against payment must be a T2S Settlement Currency.
MVCU107	<input type="checkbox"/> Payment Type Code field <input type="checkbox"/> Settlement Amount field <input type="checkbox"/> Currency field <input type="checkbox"/> Submit button	The number of decimals of the Settlement Amount of the Settlement Instruction exceeds the number of decimals defined in T2S for the Currency stated in the Settlement Instruction.	The number of decimals of the Settlement Amount of a Settlement Instruction against payment cannot exceed the number of decimals defined in T2S for the Currency stated in the Settlement Instruction.
MVCV001	<input type="checkbox"/> Securities Account field <input type="checkbox"/> Delivering Depository BIC field <input type="checkbox"/> Submit button	The Delivering Securities Account does not exist in T2S.	If the Delivering depository is an internal CSD, the delivering Securities Account specified in a securities Settlement Instruction must exist in T2S.
MVCV002	<input type="checkbox"/> Receiving Depository BIC field <input type="checkbox"/> Securities Account field <input type="checkbox"/> Submit button	The Receiving Securities Account does not exist in T2S.	If the Receiving depository is an internal CSD, the receiving Securities Account specified in a securities Settlement Instruction must exist in T2S.
MVCV003	<input type="checkbox"/> Externally Matched field <input type="checkbox"/> Securities Account field	The Delivering or Receiving Securities Account does not exist in T2S.	In case of an already matched Settlement Instruction, when the Depository of the

Reference for error message	Field or Button	Error Text	Description
	<input type="checkbox"/> Delivering Depository BIC field <input type="checkbox"/> Receiving Depository BIC field <input type="checkbox"/> Submit button		counterparty is in T2S, the relevant Securities Account must exist in T2S.
MVCV005	<input type="checkbox"/> Receiving CSD Participant Party BIC <input type="checkbox"/> Submit button	The Party BIC of the Receiving CSD Participant is not specified in the Settlement Instruction.	The Party BIC of the Receiving Participant must be present in a receiving already matched cross-CSD settlement instructions
MVCV006	<input type="checkbox"/> Receiving Depository BIC <input type="checkbox"/> Submit button	The Receiving Depository BIC is not specified in the Settlement Instruction.	The Receiving Depository BIC must be present in a receiving already matched cross-CSD settlement instruction
MVCV007	<input type="checkbox"/> Delivering CSD Participant Party BIC <input type="checkbox"/> Submit button	The Party BIC of the Delivering CSD Participant is not specified in the Settlement Instruction.	The Party BIC of the Delivering Participant must be present in a delivering already matched cross-CSD settlement instructions
MVCV008	<input type="checkbox"/> Delivering Depository BIC <input type="checkbox"/> Submit button	The Delivering Depository BIC is not specified in the Settlement Instruction.	The Delivering Depository BIC must be present in a delivering already matched cross-CSD settlement instruction
MVCV106	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button	The Instructing Party specified in the Settlement Instruction is not open on the settlement date.	The Instructing Party specified in a Settlement Instruction must be open on the Intended Settlement Date and additionally on the current Business Day

Reference for error message	Field or Button	Error Text	Description
			if the Intended Settlement Date is in the past.
MVCV120	<ul style="list-style-type: none"> <input type="checkbox"/> Party BIC field <input type="checkbox"/> Delivering Depository BIC field <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Submit button 	The T2S Delivering Party BIC specified in the Settlement Instruction is not valid on the settlement date.	If the Delivering Depository specified in a Settlement Instruction is an external CSD, the T2S Delivering Party BIC indicated must be valid in T2S BIC Directory on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past in T2S.
MVCV121	<ul style="list-style-type: none"> <input type="checkbox"/> Party BIC field <input type="checkbox"/> Intended Settlement Date field 	The Client of Delivering CSD Participant BIC specified in the Settlement Instruction is not valid on the settlement date.	The Client of Delivering CSD participant BIC specified in the Settlement Instruction must be valid in T2S BIC Directory on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past in T2S.
MVCV122	<ul style="list-style-type: none"> <input type="checkbox"/> Party BIC field <input type="checkbox"/> Receiving Depository BIC field <input type="checkbox"/> Submit button 	The T2S Receiving Party BIC specified in the Settlement Instruction is not valid on the settlement date.	If the Receiving Depository specified in a Settlement Instruction is an external CSD, the T2S Receiving Party BIC indicated must be valid in T2S BIC Directory on the Intended Settlement

Reference for error message	Field or Button	Error Text	Description
			Date and additionally on the current Business Day if the Intended Settlement Date is in the past in T2S.
MVCV123	<input type="checkbox"/> Party BIC field <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Submit button	The Client of Receiving CSD Participant BIC specified in the Settlement Instruction is not valid on the settlement date.	The Client of Receiving CSD participant BIC specified in the Settlement Instruction must be valid in T2S BIC Directory on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past in T2S
MVCV205	<input type="checkbox"/> ISIN field <input type="checkbox"/> Submit button	The ISIN code indicated in the Settlement Instruction does not exist in T2S.	The ISIN code indicated in a Settlement Instruction must exist in T2S
MVCV229	<input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Submit button	The Instructing Party BIC or Parent BIC specified in the Settlement Instruction is not valid on the settlement date.	The Instructing Party BIC and Parent BIC indicated in a Settlement Instruction must be valid on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.
MVCV234	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Delivering Depository BIC field	The Delivering Depository specified in the Settlement In-	The Delivering Depository in a Settlement Instruction must be open on the Intended

Reference for error message	Field or Button	Error Text	Description
	<input type="checkbox"/> Submit button	struction is not open on the intended settlement date.	Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.
MVCV235	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Delivering Depository BIC field <input type="checkbox"/> Submit button	The Delivering Depository BIC in the Settlement Instruction is not valid on the settlement date.	The Delivering Depository BIC in a Settlement Instruction must be valid on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.
MVCV236	<input type="checkbox"/> Delivering Depository BIC field <input type="checkbox"/> Submit button	The Delivering Depository specified in the Settlement Instruction is not a CSD or an External CSD	The Delivering Depository specified in a Settlement Instruction must be categorized in T2S as a CSD or as an External CSD.
MVCV237	<input type="checkbox"/> Securities Movement Type Code field <input type="checkbox"/> Delivering Depository BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Submit button	The T2S Delivering Party is not specified in the Settlement Instruction.	If the Delivering Depository specified in a delivering Settlement Instruction is an external CSD, the T2S Delivering Party must be specified in the Settlement Instruction.
MVCV238	<input type="checkbox"/> Delivering Depository BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Submit button	The T2S Delivering Party BIC specified in the Settlement Instruction does not exist in T2S BIC Directory.	If the Delivering Depository specified in a Settlement Instruction is an external CSD, the T2S Delivering

Reference for error message	Field or Button	Error Text	Description
			Party BIC indicated must exist in T2S BIC Directory.
MVCV239	<input type="checkbox"/> Delivering Depository BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Submit button	The T2S Delivering Party does not exist in T2S.	If the Delivering Depository specified in a Settlement Instruction is an internal CSD, the T2S Delivering Party specified must exist in T2S
MVCV241	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Delivering Depository BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Submit button	The T2S Delivering Party in the Settlement Instruction is not open on the settlement date.	If the Delivering Depository in a Settlement Instruction is an internal CSD, the T2S Delivering Party must be open on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.
MVCV242	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Delivering Depository BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Submit button	The T2S Delivering Party BIC in the Settlement Instruction is not valid on the settlement date.	If the Delivering Depository in a Settlement Instruction is an internal CSD, the T2S Delivering Party BIC must be valid on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.

Reference for error message	Field or Button	Error Text	Description
MVCV243	<input type="checkbox"/> Securities Movement Type Code field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Delivering Depository BIC field <input type="checkbox"/> Submit button	The Account Owner BIC and T2S Delivering Party BIC are not consistent.	The Account Owner BIC and the T2S Delivering Party BIC specified in a delivering Settlement Instruction must be the same.
MVCV244	<input type="checkbox"/> Party BIC field <input type="checkbox"/> Submit button	The Client of Delivering CSD Participant BIC specified in the Settlement Instruction does not exist in T2S BIC Directory.	The Client of Delivering CSD participant BIC specified in the Settlement Instruction must exist in T2S BIC Directory.
MVCV245	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Receiving Depository BIC field <input type="checkbox"/> Submit button	The Receiving Depository in the Settlement Instruction is not open on the settlement date.	The Receiving Depository in a Settlement Instruction must be open on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.
MVCV246	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Receiving Depository BIC field <input type="checkbox"/> Submit button	The Receiving Depository BIC in the Settlement Instruction is not valid on the settlement date.	The Receiving Depository BIC in a Settlement Instruction must be valid on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.
MVCV247	<input type="checkbox"/> Receiving Depository BIC field <input type="checkbox"/> Submit button	The Receiving Depository specified in the Settlement In-	The Receiving Depository specified in a Settlement Instruction

Reference for error message	Field or Button	Error Text	Description
		struction is not a CSD or an External CSD	must be categorized in T2S as a CSD or as an External CSD.
MVCV248	<input type="checkbox"/> Securities Movement Type Code field <input type="checkbox"/> Receiving Depository BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Submit button	The T2S Receiving Party is not specified in the Settlement Instruction.	If the Receiving Depository specified in a receiving Settlement Instruction is an external CSD, the T2S Receiving Party must be specified in the Settlement Instruction.
MVCV249	<input type="checkbox"/> Receiving Depository BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Submit button	The T2S Receiving Party BIC specified in the Settlement Instruction does not exist in T2S BIC Directory.	If the Receiving Depository specified in a Settlement Instruction is an external CSD, the T2S Receiving Party BIC indicated in a Settlement Instruction must exist in T2S BIC Directory.
MVCV250	<input type="checkbox"/> Receiving Depository BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Submit button	The T2S Receiving Party does not exist in T2S.	If the Receiving Depository specified in a Settlement Instruction is an internal CSD, the T2S Receiving Party specified must exist in T2S
MVCV251	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Receiving Depository BIC field <input type="checkbox"/> BIC field <input type="checkbox"/> Securities Account field	The T2S Receiving Party in the Settlement Instruction is not open on the settlement date.	If the Receiving Depository in a Settlement Instruction is an internal CSD, the T2S Receiving Party must be open on the Intended Settlement Date and additionally on the current Business Day

Reference for error message	Field or Button	Error Text	Description
			if the Intended Settlement Date is in the past.
MVCV252	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Receiving Depository BIC field <input type="checkbox"/> BIC field <input type="checkbox"/> Submit button	The T2S Receiving Party BIC in the Settlement Instruction is not valid on the settlement date.	If the Receiving Depository in a Settlement Instruction is an internal CSD, the T2S Receiving Party BIC must be valid on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.
MVCV253	<input type="checkbox"/> Securities Movement Type Code field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Securities Account Owner BIC field <input type="checkbox"/> Submit button	The Account Owner BIC and T2S Receiving Party BIC are not consistent.	The Account Owner BIC and the T2S Receiving Party BIC specified in a receiving Settlement Instruction must be the same.
MVCV254	<input type="checkbox"/> Party BIC field	The Client of Receiving CSD Participant BIC specified in the Settlement Instruction does not exist in T2S BIC Directory.	The Client of Receiving CSD participant BIC specified in the Settlement Instruction must exist in T2S BIC Directory.
MVCV256	<input type="checkbox"/> Debit Credit Indicator field <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Submit button	The Dedicated Cash Account is not consistent with the Debtor Cash Account.	The Dedicated cash account and Debtor cash account must be the same if both are present in Settlement Instruction debiting cash.

Reference for error message	Field or Button	Error Text	Description
MVCV257	<ul style="list-style-type: none"> <input type="checkbox"/> Debit Credit Indicator field <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Submit button 	The Dedicated Cash Account is not consistent with the Creditor Cash Account.	The Dedicated Cash Account and Creditor cash account must be the same if both are present in a Settlement Instruction crediting cash.
MVCV271	<ul style="list-style-type: none"> <input type="checkbox"/> Securities Movement Type Code field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Securities Account field <input type="checkbox"/> Submit button 	The Delivering Securities Account is not consistent with the Securities Account of the T2S Delivering Party.	The Delivering Securities Account of a delivering Settlement Instruction must be the same than the Securities Account of the T2S Delivering Party if this latter is present in the Settlement Instruction.
MVCV272	<ul style="list-style-type: none"> <input type="checkbox"/> Securities Movement Type Code field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Securities Account field <input type="checkbox"/> Submit button 	The Receiving Securities Account is not consistent with the Securities Account of the T2S Receiving Party.	The Receiving Securities Account of a receiving Settlement Instruction must be the same than the Securities Account of the T2S Receiving Party if this latter is present in the Settlement Instruction.
MVCV273	<ul style="list-style-type: none"> <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Securities Account field <input type="checkbox"/> Delivering Depository BIC field <input type="checkbox"/> Submit button 	The Delivering Securities Account is not open on the settlement date.	If the Delivering depository is an internal CSD the delivering Securities Account specified in a Settlement Instruction must be open on the Intended Settlement Date and additionally on the current Business Day

Reference for error message	Field or Button	Error Text	Description
			if the Intended Settlement Date is in the past.
MVCV274	<input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Securities Account field <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Receiving Depository BIC field <input type="checkbox"/> Submit button	The Receiving Securities Account is not open on the settlement date.	If the Receiving depository is an internal CSD the receiving Securities Account specified in a Settlement Instruction must be open on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.
MVCV275	<input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Externally Matched field <input type="checkbox"/> Securities Account field <input type="checkbox"/> Submit button	The Securities Account of the counterparty in an already matched instruction is not open on the settlement date.	In case of an already matched Settlement Instruction, when the Depository of the counterparty is in T2S, the relevant Securities Account must be open on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.
MVCV276	<input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Securities Account field <input type="checkbox"/> Delivering Depository BIC field <input type="checkbox"/> Submit button	The Delivering Depository is not consistent with the depository of the securities account.	If the Delivering Depository specified in a securities Settlement Instruction is internal to T2S, the Delivering Depository must be the same than the depository derived from

Reference for error message	Field or Button	Error Text	Description
			the delivering securities account in T2S Static Data.
MVCV277	<input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Securities Account field <input type="checkbox"/> Receiving Depository BIC field <input type="checkbox"/> Submit button	The Receiving Depository is not consistent with the depository of the securities account.	If the Receiving Depository specified in a securities Settlement Instruction is internal to T2S, the Receiving Depository must be the same than the depository derived from the receiving securities account in T2S Static Data.
MVCV278	<input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Externally Matched field <input type="checkbox"/> Delivering Depository BIC field <input type="checkbox"/> Receiving Depository BIC field <input type="checkbox"/> Submit button	The Depository of the counterparty in an already matched instruction is not consistent with the depository of the corresponding securities account.	In case of an already matched Settlement Instruction, when the Depository of the counterparty is in T2S and it is specified, it must be the same than the depository derived from the relevant securities account in T2S Static Data.
MVCV279	<input type="checkbox"/> Securities Movement Type Code field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Delivering Depository BIC field <input type="checkbox"/> Securities Account Owner BIC field <input type="checkbox"/> Submit button	The Account Owner specified is not consistent with the owner of the delivering securities account.	If the Delivering Depository is internal to T2S, the Account Owner BIC derived from the delivering securities account in T2S Static Data must be the same than the Account Owner BIC if it is present in a delivering Settlement Instruction.

Reference for error message	Field or Button	Error Text	Description
MVCV280	<ul style="list-style-type: none"> <input type="checkbox"/> Securities Movement Type Code field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Securities Account field <input type="checkbox"/> Delivering Depository BIC field <input type="checkbox"/> Submit button 	The T2S Delivering Party specified is not consistent with the owner of the delivering securities account.	If the Delivering Depository is internal to T2S, the Account Owner BIC derived from the delivering securities account in T2S Static Data must be the same than the T2S Delivering Party BIC if it is present in a Settlement Instruction.
MVCV281	<ul style="list-style-type: none"> <input type="checkbox"/> Securities Movement Type Code field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Securities Account field <input type="checkbox"/> Receiving Depository BIC field <input type="checkbox"/> Securities Account Owner BIC field <input type="checkbox"/> Submit button 	The Account Owner specified is not consistent with the owner of the receiving securities account.	If the Receiving Depository is internal to T2S, the Account Owner derived from the receiving securities account in T2S Static Data must be the same than the Account Owner if it is present in a receiving Settlement Instruction.
MVCV282	<ul style="list-style-type: none"> <input type="checkbox"/> Securities Movement Type Code field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Receiving Depository BIC field 	The T2S Receiving Party specified is not consistent with the owner of the receiving securities account.	If the Receiving Depository is internal to T2S, the Account Owner derived from the receiving securities account in T2S Static Data must be the same than the T2S

Reference for error message	Field or Button	Error Text	Description
	<input type="checkbox"/> Party BIC field <input type="checkbox"/> Securities Account field <input type="checkbox"/> Submit button		Receiving Party if it is present in a Settlement Instruction.
MVCV283	<input type="checkbox"/> Externally Matched field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Delivering Depository BIC field <input type="checkbox"/> Receiving Depository BIC field <input type="checkbox"/> Submit button	The T2S Delivering Party or T2S Receiving Party specified is not consistent with the owner of the corresponding securities account.	In case of an already matched Settlement Instruction, when the Depository of the counterparty is in T2S and it is specified, the Account Owner BIC derived from the counterpart securities account in T2S Static Data must be the same than the counterpart T2S Party BIC.
MVCV286	<input type="checkbox"/> Settlement Quantity field <input type="checkbox"/> Settlement Type field <input type="checkbox"/> Settlement Amount field <input type="checkbox"/> Submit button	The Settlement Amount and Settlement Quantity are zero.	Either the Settlement Amount or Settlement Quantity of a Settlement Instruction must be greater than zero.
MVCV287	<input type="checkbox"/> Securities Movement Type Code field <input type="checkbox"/> Delivering Depository BIC field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button	The CSD of the Instructing Party is not consistent with the Delivering Depository of the Settlement Instruction.	In a delivering Settlement Instruction the CSD of the Instructing Party must be consistent with the Delivering CSD.

Reference for error message	Field or Button	Error Text	Description
MVCV288	<input type="checkbox"/> Securities Movement Type Code field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Receiving Depository BIC field <input type="checkbox"/> Submit button	The CSD of the Instructing Party is not consistent with the Receiving Depository of the Settlement Instruction.	In a receiving Settlement Instruction the CSD of the Instructing Party must be consistent with the Receiving CSD.
MVCV289	<input type="checkbox"/> Externally Matched field <input type="checkbox"/> Delivering Depository BIC field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Receiving Depository BIC field <input type="checkbox"/> Submit button	The CSD of the Instructing Party is not consistent with the Delivering Depository or Receiving Depository of the Settlement Instruction.	In case of an already matched Settlement Instruction, the CSD of the Instructing Party must be consistent with either the Receiving or the Delivering CSD.
MVCV298	<input type="checkbox"/> Delivering Depository BIC field <input type="checkbox"/> ISIN field <input type="checkbox"/> Securities Movement Type Code field <input type="checkbox"/> Submit button	The Security CSD Links does not allow to identify the relevant external CSD for Delivering Depository and ISIN specified in the instruction.	If the Delivering Depository specified in a receiving Settlement Instruction is defined by different parent CSDs as an external CSD, the Security CSD Links must allow to identify the relevant external CSD for the ISIN of the instruction.
MVCV299	<input type="checkbox"/> ISIN field <input type="checkbox"/> Receiving Depository BIC field	The Security CSD Links does not allow to identify the relev-	If the Receiving Depository specified in a delivering Settlement

Reference for error message	Field or Button	Error Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Securities Movement Type Code field <input type="checkbox"/> Submit button 	ant external CSD for the Receiving Depository and ISIN specified in the instruction.	Instruction is defined by different parent CSDs as an external CSD, the Security CSD Links must allow to identify the relevant external CSD for the ISIN of the instruction.
MVCV301	<ul style="list-style-type: none"> <input type="checkbox"/> ISIN field <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Submit button 	The ISIN code indicated in the Settlement Instruction is not valid on the settlement date	The ISIN code indicated in a Settlement Instruction must be valid on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.
MVCV303	<ul style="list-style-type: none"> <input type="checkbox"/> ISIN field <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Submit button 	The ISIN Code of the Settlement Instruction is not active on the Settlement Date.	The ISIN Code indicated in a Settlement Instruction must be active on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.
MVCV305	<ul style="list-style-type: none"> <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Securities Account field <input type="checkbox"/> Securities Movement Type Code field <input type="checkbox"/> Submit button 	The delivering securities account of the instruction is under an Insolvency restriction type	The delivering Securities Account specified in delivering securities Settlement Instruction must not have any Insolvency Restriction applicable for the current validation timestamp

Reference for error message	Field or Button	Error Text	Description
MVDC002	<ul style="list-style-type: none"> <input type="checkbox"/> Transaction Identification field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button 	An unsettled Settlement Instruction exists in T2S for the same T2S Party and the same instruction reference.	The combination of the Instruction Reference and the Instructing Party of a Settlement Instruction must not be the same as any of the non-cancelled and non-revoked unsettled Settlement Instructions already present in the system.
MVDC004	<ul style="list-style-type: none"> <input type="checkbox"/> Transaction Identification field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button 	A Settlement Instruction that has been settled within a predefined number of days in the past already exists in T2S for the same T2S Party and the same instruction reference.	The combination of the Instruction Reference and the Instructing Party of a Settlement Instruction must not be the same as any Settlement Instruction present in the system that has been settled within a predefined number of days in the past.
MVDC006	<ul style="list-style-type: none"> <input type="checkbox"/> Transaction Identification field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button 	A Settlement Instruction that has been cancelled within a predefined number of days in the past already exists in T2S for the same T2S Party and the same instruction reference.	The combination of the Instruction Reference and the Instructing Party of a Settlement Instruction must not be the same as any Settlement Instruction present in the system that has been cancelled within a predefined number of days in the past.

Reference for error message	Field or Button	Error Text	Description
MVDC014	<ul style="list-style-type: none"> <input type="checkbox"/> Transaction Identification field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button 	An unsettled Settlement Restriction exists in T2S for the same T2S Party and the same instruction reference.	The combination of the Instruction Reference and the Instructing Party of a Settlement Instruction must not be the same as any of the non-cancelled and non-revoked unsettled Settlement Restriction already present in the system.
MVDC016	<ul style="list-style-type: none"> <input type="checkbox"/> Transaction Identification field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button 	A Settlement Restriction that has been settled within a predefined number of days in the past already exists in T2S for the same T2S Party and the same instruction reference.	The combination of the Instruction Reference and the Instructing Party of a Settlement Instruction must not be the same as any Settlement Restriction present in the system that has been settled within a predefined number of days in the past.
MVDC018	<ul style="list-style-type: none"> <input type="checkbox"/> Transaction Identification field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button 	A Settlement Restriction that has been cancelled within a predefined number of days in the past already exists in T2S for the same T2S Party and the same instruction reference.	The combination of the Instruction Reference and the Instructing Party of a Settlement Instruction must not be the same as any Settlement Restriction present in the system that has been cancelled within a predefined number of days in the past.

Reference for error message	Field or Button	Error Text	Description
MVDC020	<input type="checkbox"/> Transaction Identification field	A Partially Settled Settlement Instruction exists in T2S for the same T2S Party and the same instruction reference.	The combination of the Instruction Reference and the Instructing Party of a Settlement Instruction must not be the same as any of the non-cancelled Partially Settled Settlement Instructions already present in the system.
MVDC021	<input type="checkbox"/> Transaction Identification field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button	A Partially Settled Settlement Restriction exists in T2S for the same T2S Party and the same instruction reference.	The combination of the Instruction Reference and the Instructing Party of a Settlement Instruction must not be the same as any of the non-cancelled Partially Settled Settlement Restriction already present in the system.
MVDC024	<input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button	The Instructing Party specified in the Settlement Instruction does not exist in T2S Static Data.	The Instructing Party specified in a Settlement Instruction must exist in T2S Static Data.
MVIC305	<input type="checkbox"/> ISIN field <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Receiving Depository BIC field <input type="checkbox"/> Delivering Depository BIC field <input type="checkbox"/> Submit button	The ISIN Code of the Settlement Instruction is not eligible for settlement in the delivering CSD.	The ISIN Code of a delivering Settlement Instruction must be eligible for settlement in the delivering CSD on the Intended Settlement Date and additionally on the current

Reference for error message	Field or Button	Error Text	Description
			Business Day if the Intended Settlement Date is in the past.
MVIC307	<input type="checkbox"/> ISIN field <input type="checkbox"/> Receiving Depository BIC field <input type="checkbox"/> Delivering Depository BIC field <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Submit button	The CSD of the T2S Party does not allow settlement with the counterpart for the ISIN Code on the ISD and additionally on the current Business Day if the ISD is in the past.	The CSD of the T2S Party of a Settlement Instruction must allow settlement with the CSD of the counterpart for the ISIN Code (either per security or per issuer CSD or per issue country) on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.
MVIC308	<input type="checkbox"/> ISIN field <input type="checkbox"/> Receiving Depository BIC field <input type="checkbox"/> Delivering Depository BIC field <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Submit button	The CSD of the counterpart does not allow settlement with the CSD of the T2S Party for the ISIN Code on the ISD and additionally on the current Business Day if the ISD is in the past.	The CSD of the counterpart of a Settlement Instruction must allow settlement with the CSD of the T2S Party for the ISIN Code (either per security or per issuer CSD or per issue country) on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.
MVIC309	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Instructing Party BIC field	The Issue Date of the Security is later than the Intended	When the Instructing Party is not the technical Issuer CSD or the Issuer CSD of the ISIN Code specified in

Reference for error message	Field or Button	Error Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button 	Settlement Date of the Settlement Instruction.	a Settlement Instruction, the Intended Settlement Date of a Settlement Instruction must be equal to or later than the Issue Date of the Security.
MVIC311	<ul style="list-style-type: none"> <input type="checkbox"/> ISIN field <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Submit button 	The Maturity Date of the Security plus the transformation detection period is earlier or equal than the Intended Settlement Date of the Settlement Instruction.	When the Instructing Party is not the investor CSD, the technical Issuer CSD or the Issuer CSD of the ISIN Code specified in a Settlement Instruction, the Intended Settlement Date of a Settlement Instruction must be earlier than the Maturity Date of the Security plus the transformation detection period.
MVIC313	<ul style="list-style-type: none"> <input type="checkbox"/> ISIN field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button 	The Maturity Date of the Security plus the transformation detection period is earlier or equal than the Current Business Date.	When the Instructing Party is not the investor CSD, the technical Issuer CSD or the Issuer CSD of the ISIN Code specified in a Settlement Instruction, the Current Business Date must be earlier than the Maturity Date of the ISIN code plus the transformation detection period.

Reference for error message	Field or Button	Error Text	Description
MVLI801	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'WITH' and the existing Linked Instruction is already settled.	The Settlement Status of a Settlement Instruction or Settlement Restriction stated as linked instruction with Link Processing Position 'WITH' in a Settlement Instruction must not be 'Settled'.
MVLI802	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'WITH' and the existing Linked Instruction is already cancelled.	The Cancellation Status of a Settlement Instruction or Settlement Restriction stated as linked instruction with Link Processing Position 'WITH' in a Settlement Instruction must not be 'Cancelled'.
MVLI803	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'BEFORE' and the existing linked Settlement instruction is already settled	The Settlement Status of a Settlement Instruction or Settlement Restriction stated as linked instruction with Link Processing Position 'BEFORE' in a Settlement Instruction must not be 'Settled'.
MVLI813	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'WITH' and the Settlement link indicator included in the existing linked instruction is 'BEFORE'.	If a Settlement Instruction states a link 'WITH' with a Settlement Instruction or a Settlement Restriction, these latter must not have a previous link 'BEFORE' with the Settlement Instruction

Reference for error message	Field or Button	Error Text	Description
			unless they have the same Intended Settlement Date.
MVLI814	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'WITH' and the Settlement link indicator included in the existing linked instruction is 'AFTER'.	A Settlement Instruction or Settlement Restriction stated as linked 'WITH' in a Settlement Instruction must not have a previous link 'AFTER' with the latter instruction, provided that the Intended Settlement Date in both instructions is not identical.
MVLI815	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'AFTER' and the Settlement link indicator included in the existing linked instruction is 'AFTER'.	A Settlement Instruction or Settlement Restriction stated as linked 'AFTER' in a Settlement Instruction must not have a previous link 'AFTER' with the latter instruction, provided that the Intended Settlement Date in both instructions is not identical.
MVLI816	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'AFTER' and the Settlement link indicator included in the existing linked instruction is 'WITH'.	A Settlement Instruction or Settlement Restriction stated as linked 'AFTER' in a Settlement Instruction must not have a previous link 'WITH' with the latter instruction, provided that the In-

Reference for error message	Field or Button	Error Text	Description
			tended Settlement Date in both instructions is not identical.
MVLI817	<ul style="list-style-type: none"> <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button 	The instruction has a Settlement link indicator 'BEFORE' and the Settlement link indicator included in the existing linked instruction is 'BEFORE'.	A Settlement Instruction or Settlement Restriction stated as linked 'BEFORE' in a Settlement Instruction must not have a previous link 'BEFORE' with the latter instruction, provided that the Intended Settlement Date in both instructions is not identical.
MVLI818	<ul style="list-style-type: none"> <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button 	The instruction has a Settlement link indicator 'BEFORE' and the Settlement link indicator included in the existing linked instruction is 'WITH'.	A Settlement Instruction or Settlement Restriction stated as linked 'BEFORE' in a Settlement Instruction must not have a previous link 'WITH' with the latter instruction, provided that the Intended Settlement Date in both instructions is not identical.
MVLI831	<ul style="list-style-type: none"> <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button 	The instruction has a Settlement link indicator 'BEFORE' and the linked instruction's Intended Settlement Date is earlier than the validating instruction's Intended Settlement Date.	The Intended Settlement Date of a Settlement Instruction must be equal to or earlier than the Intended Settlement Date of the Settlement Instruction or Settlement Restriction linked with the first one, if the Link Indicator is 'BEFORE'.

Reference for error message	Field or Button	Error Text	Description
MVLI832	<ul style="list-style-type: none"> <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button 	The instruction has a Settlement link indicator 'AFTER' and the linked instruction's Intended Settlement Date is later than the validating instruction's Intended Settlement Date.	The Intended Settlement Date of a Settlement Instruction must be equal to or later than the Intended Settlement Date of the Settlement Instruction or Settlement Restriction linked with the first one, if the Link Indicator is 'AFTER'.
MVLI833	<ul style="list-style-type: none"> <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Link Type field <input type="checkbox"/> Pool Instruction Reference field <input type="checkbox"/> Submit button 	The instruction has either a Settlement link indicator 'WITH' or a pool reference and the linked instruction's Intended Settlement Date is different.	The Intended Settlement Date of a Settlement Instruction must be equal to the Intended Settlement Date of the Settlement Instruction or Settlement Restriction linked with the first one, if the Link Indicator is 'WITH' or in case of Pool reference.
MVLI843	<ul style="list-style-type: none"> <input type="checkbox"/> Pool Instruction Counter field <input type="checkbox"/> Submit button 	The number of instructions belonging to a Pool must be the same that the Linked Instruction Counter of the Settlement Instruction or Settlement Restriction	The value of the Pool Instruction Counter stated in a Settlement Instruction, must be the same as the value of the Pool Instruction Counter for such a Pool in T2S, if any instruction belonging to that Pool is already present in T2S.
MVLI845	<ul style="list-style-type: none"> <input type="checkbox"/> Pool Instruction Counter field <input type="checkbox"/> Submit button 	The instruction exceeds the number of instructions of the pool.	The number of instructions that have already been identified in T2S as belonging to the

Reference for error message	Field or Button	Error Text	Description
			Pool Reference stated in a Settlement Instruction must be lower than the Pool Instruction Counter stated in that Settlement Instruction
MVLI847	<input type="checkbox"/> Pool Instruction Reference field <input type="checkbox"/> Submit button	The instruction includes more than one Pool Reference or more than one link referring to the same instruction.	A Settlement Instruction must not include more than one Pool Reference or more than one link referring to the same instruction.
MVLI851	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'WITH' and the existing Linked Instruction is already partially settled.	The Settlement Status of a Settlement Instruction or Settlement Restriction stated as linked instruction with Link Processing Position 'WITH' in a Settlement Instruction must not be 'Partially Settled'
MVLI854	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'BEFORE' and the existing Linked Instruction is already partially settled.	The Settlement Status of a Settlement Instruction or Settlement Restriction stated as linked instruction with Link Processing Position 'BEFORE' in a Settlement Instruction must not be 'Partially Settled'
MVLI857	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'AFTER' and the	The Settlement Status of a Settlement Instruction or Settlement

Reference for error message	Field or Button	Error Text	Description
		existing Linked Instruction is already partially settled.	Restriction stated as linked instruction with Link Processing Position 'AFTER' in a Settlement Instruction must not be 'Partially Settled'
MVLI860	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction has a Settlement link indicator 'AFTER' and the existing Linked Instruction is already cancelled	The Settlement Status of a Settlement Instruction or Settlement Restriction stated as linked instruction with Link Processing Position 'AFTER' in a Settlement Instruction must not be 'Cancelled'
MVLI863	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The Linked Instruction is 'Revoked'.	The Approval Status of a Settlement Instruction or Settlement Restriction stated as linked instruction with Link Processing Position 'WITH' in a Settlement Instruction must not be 'Revoked'
MVLI865	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The Linked Instruction is 'Revoked'.	The Approval Status of a Settlement Instruction or Settlement Restriction stated as linked instruction with Link Processing Position 'AFTER' in a Settlement Instruction must not be 'Revoked'

Reference for error message	Field or Button	Error Text	Description
MVLI868	<input type="checkbox"/> Pool Instruction Reference field <input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button	A Pool that has been settled within a pre-defined number of days in the past already exists in T2S for the same T2S Party and the same Pool Identification	The combination of the Pool Identification and the Instructing Party of a Pool must not be the same as any Pool Identification already present in the system that has been settled within a pre-defined number of days in the past
MVRR926	<input type="checkbox"/> Cash Sub Balance Type <input type="checkbox"/> Submit button	The Cash Sub Balance Type specified in the Settlement Instruction is not Deliverable Type.	The Restriction Type specified in the Cash Sub Balance Type in a Settlement Instruction can only be 'Deliverable'.
MVRR929	<input type="checkbox"/> Securities Movement Type Code field <input type="checkbox"/> Receiving Securities Sub Balance Type <input type="checkbox"/> Submit button	If a Settlement Instruction specifies the Receiving Securities Sub Balance Type, it cannot be a blocking or reservation securities position.	If the Receiving Securities Sub Balance Type is specified in a Settlement Instruction, it must not be a restriction type of Blocking or Reservation
MVRR934	<input type="checkbox"/> Restriction Reference field <input type="checkbox"/> Submit button	The Restriction Reference specified in the Settlement Restriction does not apply on blocked or reserved securities position	The Restriction Reference specified in a Settlement Instruction for the use of a restricted securities position must be related to a Blocked or Reserved securities position.

Reference for error message	Field or Button	Error Text	Description
MVRR935	<input type="checkbox"/> Restriction Reference field <input type="checkbox"/> Submit button	The Restriction Reference specified in the Settlement Instruction does not exist in T2S.	The Restriction Reference specified in a Settlement Instruction for the use of a restricted cash balance must exist in T2S.
MVRR936	<input type="checkbox"/> Restriction Reference field <input type="checkbox"/> Submit button	The Restriction Reference specified in the Settlement Instruction is not related to a Blocked or Reserved cash balance.	The Restriction Reference specified in a Settlement Instruction for the use of a restricted cash balance must be related to a Blocked or Reserved cash balance.
MVRR937	<input type="checkbox"/> Delivering Securities Sub Balance Type <input type="checkbox"/> Restriction Reference field <input type="checkbox"/> Submit button	A Restriction Reference related to securities is not specified in the Settlement Instruction which makes use of a Blocked or Reserved position.	If a Settlement Instruction makes use thru direct debit of a Blocked or Reserved restricted position, a Restriction Reference related to securities must be specified. (I.e. If the Restriction Type specified in the Delivering Securities Sub Balance Type in a Settlement Instruction is 'Blocking' or 'Reservation' a Restriction Reference must be specified).
MVRR941	<input type="checkbox"/> Securities Movement Type Code field <input type="checkbox"/> Restriction Reference field	The Settlement Instruction is Free of Payment and makes use of a restricted cash balance.	A Settlement Instruction Free of Payment cannot make use of a restricted cash balance.

Reference for error message	Field or Button	Error Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Cash Sub Balance Type field <input type="checkbox"/> Payment Type Code field <input type="checkbox"/> Submit button 		
MVRR942	<ul style="list-style-type: none"> <input type="checkbox"/> Securities Movement Type Code field <input type="checkbox"/> Delivering Securities Sub Balance Type <input type="checkbox"/> Restriction Reference field <input type="checkbox"/> Submit button 	The Free of Delivering Settlement Instruction tries to make use of a restricted securities position.	A Free of Delivery Settlement Instruction cannot make use of a restricted securities position (i.e. A Free of Delivery Settlement Instruction cannot specify a Restriction Reference neither a Restriction type related to securities).
MVRR944	<ul style="list-style-type: none"> <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Restriction Reference field <input type="checkbox"/> Submit button 	The delivering securities account of the Settlement Instruction is different than the securities account inferred from the Restriction Reference	If a Settlement Instruction makes use of a restricted position specifying the Restriction Reference, the securities account associated with the Restriction Reference must be the same than the securities account specified in the Settlement Instruction.
MVRR945	<ul style="list-style-type: none"> <input type="checkbox"/> Restriction Reference field <input type="checkbox"/> ISIN field <input type="checkbox"/> Submit button 	The security of the Settlement Instruction is different than the security inferred from the Restriction Reference.	If a Settlement Instruction makes use of a restricted position specifying the Restriction Reference, the security associated with the Restriction Reference must be the same

Reference for error message	Field or Button	Error Text	Description
			than the security specified in the Settlement Instruction.
MVRR947	<ul style="list-style-type: none"> <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Restriction Reference field <input type="checkbox"/> Submit button 	The T2S dedicated cash account specified or default cash account of the Settlement Instruction is different than the cash account inferred from the Restriction Reference.	If a Settlement Instruction makes use of a restricted cash balance specifying the Restriction Reference, the T2S Dedicated Cash Account associated with the Restriction Reference must be the same than the T2S dedicated Cash Account specified in the Settlement Instruction or the default T2S dedicated Cash Account if not present in the Settlement Instruction.
MVRR948	<ul style="list-style-type: none"> <input type="checkbox"/> Delivering Securities Sub Balance Type <input type="checkbox"/> Receiving Securities Sub Balance Type <input type="checkbox"/> Submit button 	A Settlement Instruction cannot make use of a CoSD Blocking or Collateralized position.	A Settlement Instruction cannot make use of CoSD blocking or Collateralized positions. (I.e. The Restriction Type specified in either the Delivering or the Receiving Securities Sub Balance Type in a Settlement Instruction cannot be 'CoSD blocking' or 'Collateralized')

Reference for error message	Field or Button	Error Text	Description
MVRR951	<input type="checkbox"/> Restriction Reference field <input type="checkbox"/> Submit button	The Restriction Reference related to cash is specified in a crediting cash Settlement Instruction	If a Settlement Instruction specifies a Restriction Reference related to cash, the Settlement Instruction must be debiting cash.
MVRR957	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Cash Sub Balance Type <input type="checkbox"/> Submit button	The Cash Sub Balance Type specified in the Settlement Instruction is not valid on the Settlement Date.	The Restriction Type specified in the Cash Sub Balance Type in a Settlement Instruction must be valid on the Intended Settlement Date or on the current Business Date if the Intended Settlement Date is in the past.
MVRR958	<input type="checkbox"/> Cash Sub Balance Type <input type="checkbox"/> Submit button	The Cash Sub Balance Type specified in the Settlement Instruction does not apply on cash balance.	The Restriction Type specified in the Cash Sub Balance Type in a Settlement Instruction must be applicable on cash balance in T2S.
MVRR959	<input type="checkbox"/> Delivering Securities Sub Balance Type <input type="checkbox"/> Submit button	The Delivering Securities Sub Balance Type specified in the Settlement Instruction does not exist in T2S.	If the Delivering Securities Sub Balance Type is specified in a Settlement Instruction, it must exist as a Restriction Type in T2S.
MVRR960	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Delivering Securities Sub Balance Type <input type="checkbox"/> Submit button	The Delivering Securities Sub Balance Type specified in the Settlement Instruction is not valid on the Settlement Date.	The Restriction Type specified in the Delivering Securities Sub Balance Type in a Settlement Instruction must be valid on the Intended Settlement Date and additionally on the current Busi-

Reference for error message	Field or Button	Error Text	Description
			ness Date if the Intended Settlement Date is in the past.
MVRR961	<input type="checkbox"/> Delivering Securities Sub Balance Type <input type="checkbox"/> Submit button	The Delivering Securities Sub Balance Type specified in the Settlement Instruction does not apply on securities position.	The Restriction Type specified in the Delivering Securities Sub Balance Type in a Settlement Instruction must be applicable on securities position in T2S.
MVRR962	<input type="checkbox"/> Receiving Securities Sub Balance Type <input type="checkbox"/> Submit button	The Receiving Securities Sub Balance Type specified in the Settlement Instruction does not exist in T2S.	If the Receiving Securities Sub Balance Type is specified in a Settlement Instruction, it must exist as a Restriction Type in T2S.
MVRR963	<input type="checkbox"/> Delivering Securities Sub Balance Type <input type="checkbox"/> Restriction Reference field <input type="checkbox"/> Submit button	The Restriction Reference specified in the Settlement Instruction does not apply on blocked securities position.	If a Settlement Instruction makes use thru direct debit of a Blocked restricted position, the Restriction Reference specified must be related to a blocked securities position in T2S. (I.e. If the Restriction Type specified in the Delivering Securities Sub Balance Type in a Settlement Instruction is 'Blocking', a Restriction Reference must be related to a blocked securities position in T2S).

Reference for error message	Field or Button	Error Text	Description
MVRR964	<ul style="list-style-type: none"> <input type="checkbox"/> Delivering Securities Sub Balance Type <input type="checkbox"/> Restriction Reference field <input type="checkbox"/> Submit button 	The Restriction Reference specified in the Settlement Instruction does not apply on reserved securities position.	If a Settlement Instruction makes use thru direct debit of a Reserved restricted position, the Restriction Reference specified must be related to reserved securities position in T2S. (I.e. If the Restriction Type specified in the Delivering Securities Sub Balance Type in a Settlement Instruction is 'Reservation', a Restriction Reference must be related to a reserved securities position in T2S).
MVRR965	<ul style="list-style-type: none"> <input type="checkbox"/> Receiving Securities Sub Balance Type <input type="checkbox"/> Submit button 	The Receiving Securities Sub Balance Type specified in the Settlement Instruction does not apply on securities position.	The Restriction Type specified in the Receiving Securities Sub Balance Type in a Settlement Instruction must be applicable on securities position in T2S.
MVRR972	<ul style="list-style-type: none"> <input type="checkbox"/> Receiving Securities Sub Balance Type <input type="checkbox"/> Submit button 	The Receiving Securities Sub Balance Type specified in the Settlement Instruction is not valid on the Settlement Date.	The Restriction Type specified in the Receiving Securities Sub Balance Type in a Settlement Instruction must be valid on the Intended Settlement Date and additionally on the current Busi-

Reference for error message	Field or Button	Error Text	Description
			ness Date if the Intended Settlement Date is in the past.
MVRR984	<input type="checkbox"/> Receiving Securities Sub Balance Type <input type="checkbox"/> Restriction Reference field <input type="checkbox"/> Submit button	The Restriction Reference related to securities is specified in the receiving securities Settlement Instruction.	A receiving securities Settlement Instruction can set up or increase an 'Earmarking' or 'Earmarking for auto collateralization securities position', therefore the Restriction Reference must not be specified in the Settlement Instruction.
MVRR986	<input type="checkbox"/> Delivering Securities Sub Balance Type <input type="checkbox"/> Restriction Reference field <input type="checkbox"/> Submit button	The Restriction Type associated to the Restriction Reference is different than the Restriction Type of the Settlement Instruction.	If a Settlement Instruction makes use thru direct debit of a Blocked restricted position, the Restriction Type associated with the Restriction Reference must be the same than the Delivering Securities Sub Balance specify in the Settlement Instruction.
MVRR987	<input type="checkbox"/> Delivering Securities Sub Balance Type <input type="checkbox"/> Restriction Reference field <input type="checkbox"/> Submit button	The Restriction Type associated with the Restriction Reference is different than the Restriction Type of the Settlement Instruction.	If a Settlement Instruction makes use thru direct debit of a Reserved restricted position, the Restriction Type associated with the Restriction Reference must be the same than the Delivering Securities Sub Balance specify in the Settlement Instruction.

Reference for error message	Field or Button	Error Text	Description
MVRR988	<input type="checkbox"/> Cash Sub Balance Type field	The Cash Sub Balance Type specified in the Settlement Instruction does not exist in T2S.	If the Cash Sub Balance Type is specified in a Settlement Instruction, it must exist as a Restriction Type in T2S.
MVSD401	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Trade Date field <input type="checkbox"/> Submit button	The Trade Date is later than the Intended Settlement Date of the Settlement Instruction.	The Trade Date of a Settlement Instruction has to be equal to or earlier than its Intended Settlement Date.
MVSD403	<input type="checkbox"/> Payment Type Code field <input type="checkbox"/> Settlement Amount field <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Submit button	The Intended Settlement Date of the Settlement Instruction against payment is not a T2S Settlement Date for the Settlement Currency.	The Intended Settlement Date of a Settlement Instruction against payment must be a T2S Settlement Date for the Settlement Currency of the Settlement Instruction.
MVSD406	<input type="checkbox"/> Payment Type Code field <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Submit button	The Intended Settlement Date of the Settlement Instruction free of payment is Saturday, Sunday or a Closing Day in T2S.	The Intended Settlement Date of a Settlement Instruction free of payment must be a day from Monday to Friday and a Business Date in T2S
MVSD411	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Submit button	The Intended Settlement Date of the instruction exceeds the predefined time frame for past-dated instructions	If the Intended Settlement Date of an instruction is a date in the past, the Intended Settlement Date must not exceed the predefined time frame for past-dated instructions.

Reference for error message	Field or Button	Error Text	Description
MVSD412	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Submit button	The Intended Settlement Date of the instruction exceeds the predefined time frame for future-dated instructions	If the Intended Settlement Date of an instruction is a date in the future, the Intended Settlement Date must not exceed the predefined time frame for future-dated instructions.
MVSP208	<input type="checkbox"/> Priority field <input type="checkbox"/> Submit button	'Reserved priority' not allowed for the Instructing Party Type (only CSDs can use it)	'Reserved priority' is allowed in a Settlement Instruction only if the Instructing Party is a CSD
MVSQL602	<input type="checkbox"/> ISIN field <input type="checkbox"/> Settlement Quantity field <input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The Settlement Quantity stated in the instruction is not expressed using the same Settlement Type specified in T2S Reference Data.	The Settlement Quantity stated in a Settlement Instruction with exchange of Securities must be expressed using the same Settlement Type as the one specified in T2S Reference Data for the ISIN Code of the Instruction.
MVSQL604	<input type="checkbox"/> ISIN field <input type="checkbox"/> Settlement Quantity field <input type="checkbox"/> Settlement Type field <input type="checkbox"/> Submit button	The Settlement Quantity of the Settlement Instruction is lower than the Minimum Settlement Unit	The Settlement Quantity specified in a Settlement Instruction with exchange of securities and not related to a 'Corporate Action', 'Market Claim' or 'Transformation', must be equal or greater than the Minimum Settlement Unit specified in T2S.

Reference for error message	Field or Button	Error Text	Description
MVSQ606	<input type="checkbox"/> ISIN field <input type="checkbox"/> Settlement Quantity field <input type="checkbox"/> Settlement Transaction Type field <input type="checkbox"/> Submit button <input type="checkbox"/> n/a	The Settlement Quantity of the Settlement Instruction is neither a multiple of Settlement Unit Multiple nor a Deviating Settlement Unit.	The Settlement Quantity of a Settlement Instruction with exchange of securities and not related to a 'Corporate Action', 'Market Claim' or 'Transformation', must be a multiple of the Settlement Unit Multiple or a Deviating Settlement Unit.
MVSQ610	<input type="checkbox"/> Settlement Quantity field <input type="checkbox"/> Settlement Transaction Type field <input type="checkbox"/> Submit button <input type="checkbox"/> n/a	The remaining Settlement Quantity of the Settlement Instruction is neither a multiple of Settlement Unit Multiple nor a Deviating Settlement Unit.	In case of a partially settled Settlement Instruction with exchange of securities and not related to a 'Corporate Action', 'Market Claim' or 'Transformation', the remaining Settlement Quantity must be a multiple unit of the Settlement Unit Multiple or a Deviating Settlement Unit.
MVIC315	<input type="checkbox"/> ISIN field <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Securities Movement Type Code field <input type="checkbox"/> Receiving Depository BIC field	The ISIN Code of the Settlement Instruction is not eligible for settlement in the receiving CSD.	The ISIN Code of a receiving Settlement Instruction must be eligible for settlement in the receiving CSD on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.

Reference for error message	Field or Button	Error Text	Description
	<input type="checkbox"/> Submit button		
MVIC316	<input type="checkbox"/> ISIN field <input type="checkbox"/> Delivering Depository BIC field <input type="checkbox"/> Externally Matched field <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Receiving Depository BIC field <input type="checkbox"/> Securities Movement Type Code field <input type="checkbox"/> Submit button	The ISIN Code of the already matched Settlement Instruction is not eligible for settlement in the delivering or receiving CSD.	The ISIN Code of an already matched Settlement Instruction must be eligible for settlement in the delivering and receiving CSD on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.
MVIC317	<input type="checkbox"/> ISIN field <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Securities Movement Type Code field <input type="checkbox"/> Submit button	The ISIN Code of the delivering Settlement Instruction is not eligible for settlement in the delivering Issuance Account.	The delivering Issuance Account in a delivering Settlement Instruction must be eligible for settlement in the CSD of the delivering Issuance Account, which must also be the issuer CSD for the ISIN Code specified in the instruction, on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.
MVIC318	<input type="checkbox"/> ISIN field <input type="checkbox"/> Intended Settlement Date field	The ISIN Code of the receiving Settlement Instruction is not eligible for settlement in	The receiving Issuance Account in a receiving Settlement Instruction must be eligible for settlement in

Reference for error message	Field or Button	Error Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Securities Movement Type Code field <input type="checkbox"/> Submit button 	gible for settlement in the receiving Issuance Account.	the CSD of the receiving Issuance Account, which must also be the issuer CSD for the ISIN Code specified in the instruction, on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.
MVIC319	<ul style="list-style-type: none"> <input type="checkbox"/> ISIN field <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Submit button <input type="checkbox"/> Externally Matched field <input type="checkbox"/> Securities Account field 	The ISIN Code of the already matched Settlement Instruction is not eligible for settlement in the delivering Issuance Account.	The delivering Issuance Account in an already matched Settlement Instruction must be eligible for settlement in the CSD of the delivering Issuance Account, which must also be the issuer CSD for the ISIN Code specified in the instruction, on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.
MVIC320	<ul style="list-style-type: none"> <input type="checkbox"/> ISIN field <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Submit button 	The ISIN Code of the already matched Settlement Instruction is not eligible for settlement in the receiving Issuance Account.	The receiving Issuance Account in an already matched Settlement Instruction must be eligible for settlement in the CSD of the receiving Issuance Account, which

Reference for error message	Field or Button	Error Text	Description
	<ul style="list-style-type: none"> <input type="checkbox"/> Securities Account field <input type="checkbox"/> Externally Matched field 		must also be the issuer CSD for the ISIN Code specified in the instruction, on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.
MVCA517	<ul style="list-style-type: none"> <input type="checkbox"/> Debit Credit Indicator field <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Payment Type Code field <input type="checkbox"/> Submit button 	The Debtor Party BIC stated in the Settlement Instruction is not the same as the Account Owner BIC of the debited T2S Dedicated Cash Account on the settlement date.	The Debtor Party BIC stated in a Settlement Instruction that debits cash must be the Party BIC of the owner of the debited T2S Dedicated Cash Account on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.
MVCA518	<ul style="list-style-type: none"> <input type="checkbox"/> Debit Credit Indicator field <input type="checkbox"/> T2S Dedicated Cash Account field <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Payment Type Code field <input type="checkbox"/> Submit button 	The Creditor Party BIC stated in the Settlement Instruction is not the same as the Account Owner BIC of the credited T2S Dedicated Cash Account on the settlement date.	The Creditor Party BIC stated in a Settlement Instruction that credits cash must be the Party BIC of the owner of the credited T2S Dedicated Cash Account on the Intended Settlement Date and additionally on the current Business Day if the Intended Settlement Date is in the past.

Reference for error message	Field or Button	Error Text	Description
MVCP092	<input type="checkbox"/> Party Hold field <input type="checkbox"/> Submit button	The sender of a Settlement Instruction with a Party Hold activated is not authorised to send a Settlement Instruction with Party Hold on behalf of an external CSD.	If the relevant Depository is an external CSD, the sender of a Settlement Instruction with a Party Hold activated must be authorised to send a Settlement Instruction with Party Hold on behalf of that external CSD.
MVCP102	<input type="checkbox"/> Delivering Depository BIC field <input type="checkbox"/> Submit button	The Delivering Depository informed in a Settlement Instruction does not exist in T2S.	The Delivering Depository informed in a Settlement Instruction must exist in T2S.
MVCP103	<input type="checkbox"/> Receiving Depository BIC field <input type="checkbox"/> Submit button	The Receiving Depository informed in a Settlement Instruction does not exist in T2S.	The Receiving Depository informed in a Settlement Instruction must exist in T2S.
MVCP124	<input type="checkbox"/> Party Hold field <input type="checkbox"/> External Matched field <input type="checkbox"/> Securities Account field <input type="checkbox"/> Securities Account field <input type="checkbox"/> Submit button	The sender of a Settlement Instruction is not authorised to perform a Party Hold on the Securities Account of the counterparty.	The sender of an already matched Settlement Instruction with Party Hold activated must be authorised to perform a Party Hold on the Securities Account of the counterparty.
MVCP125	<input type="checkbox"/> Party Hold field <input type="checkbox"/> External Matched field <input type="checkbox"/> Securities Account field <input type="checkbox"/> Securities Account field	The sender of a Settlement Instruction is not authorised to perform a Party Hold on behalf of the external CSD of the counterparty.	If the Depository of the counterpart is an external CSD, the sender of an already matched Settlement Instruction with Party Hold activated must

Reference for error message	Field or Button	Error Text	Description
	<input type="checkbox"/> Submit button		be authorised to perform a Party Hold on behalf of that external CSD.
MVCP126	<input type="checkbox"/> CSD Hold field <input type="checkbox"/> External Matched field <input type="checkbox"/> Securities Account field <input type="checkbox"/> Securities Account field <input type="checkbox"/> Submit button	The sender of a Settlement Instruction is not authorised to perform a CSD Hold on the Securities Account of the counterparty.	The sender of an already matched Settlement Instruction with CSD Hold activated must be authorised to perform a CSD Hold on the Securities Account of the counterparty.
MVCP127	<input type="checkbox"/> CSD Hold field <input type="checkbox"/> External Matched field <input type="checkbox"/> Receiving Depository BIC field <input type="checkbox"/> Delivering Depository BIC field <input type="checkbox"/> Submit button	The sender of a Settlement Instruction is not authorised to perform a CSD Hold on behalf of the parent CSD of the external CSD of the counterparty.	If the Depository of the counterpart is an external CSD, the sender of an already matched Settlement Instruction with a CSD Hold activated must be authorised to perform a CSD Hold on behalf of the parent CSD of the external CSD.
MVCP119	<input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Instructing Party Parent BIC field <input type="checkbox"/> Submit button	The sender of a Settlement Instruction is not authorised to send a Settlement Instruction using this Instructing Party.	If the sender of a Settlement Instruction does not belong to the Instructing Party, then the sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the Instructing Party.

Reference for error message	Field or Button	Error Text	Description
MVDC024	<input type="checkbox"/> Instructing Party BIC field	The Instructing Party specified in a Settlement Instruction must exist in T2S Static Data.	The Instructing Party specified in the Settlement Instruction does not exist in T2S Static Data.
MVCP110	<input type="checkbox"/> External Matched field <input type="checkbox"/> Submit button	The sender of an already matched Settlement Instruction is not authorised to send a Settlement Instruction on behalf of the external CSD of the counterparty.	If the Depository of the counterparty is an external CSD, the sender of an already matched Settlement Instruction must be authorised to send a Settlement Instruction on behalf of that external CSD.
MVCP099	<input type="checkbox"/> Reference Type field <input type="checkbox"/> Reference field <input type="checkbox"/> Submit button	The Reference Owner of the Linked Instruction does not belong to the CSD (parent CSD in case of an external CSD) or NCB of the Instructing Party.	If a T2S actor wants to make use of linkages across several instructions sent to T2S via different CSDs or NCBs he holds accounts with, the T2S actor has to make use of the T2S reference.
MVLI869	<input type="checkbox"/> Reference field <input type="checkbox"/> Submit button	The Linked Instruction, referenced by a T2S Instruction Reference, does not exist.	If the reference indicated in the linkage section is the T2S Instruction Reference, then the referenced Settlement Instruction or Settlement Restriction must exist in T2S.

Reference for error message	Field or Button	Error Text	Description
MVLI872	<input type="checkbox"/> Link Type field <input type="checkbox"/> Reference field <input type="checkbox"/> Submit button	The Processing Position must be specified.	If a Settlement Instruction specifies a link with another instruction using a T2S Actor Instruction Reference or a T2S Instruction Reference , the Processing Position must be specified
MVLI875	<input type="checkbox"/> Link Type field <input type="checkbox"/> Submit button	The instruction cannot be linked to a Pool with a Processing Position with AFTER or BEFORE.	If a Settlement Instruction specifies a link to a Pool , if the Processing Position for this link is specified, it only can be WITH
MVCP052	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code CNCB.
MVCP053	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code BSBK.
MVCP054	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code COLI.

Reference for error message	Field or Button	Error Text	Description
MVCP055	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code COLO.
MVCP056	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code CONV.
MVCP057	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code FCTA.
MVCP058	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code INSP.
MVCP059	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code ISSU.

Reference for error message	Field or Button	Error Text	Description
MVCP060	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code MKDW.
MVCP061	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code MKUP.
MVCP062	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code NETT.
MVCP063	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code NSYN.
MVCP064	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code OWNE.

Reference for error message	Field or Button	Error Text	Description
MVCP065	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code OWNI.
MVCP066	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code PAIR.
MVCP067	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code PLAC.
MVCP068	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code PORT.
MVCP069	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code REAL.

Reference for error message	Field or Button	Error Text	Description
MVCP070	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code REDI.
MVCP071	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code REDM.
MVCP072	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code RELE.
MVCP073	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code REPU.
MVCP074	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code RODE.

Reference for error message	Field or Button	Error Text	Description
MVCP075	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code RPTO.
MVCP076	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code RVPO.
MVCP077	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code SBBK.
MVCP078	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code SBRE.
MVCP079	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code SECB.

Reference for error message	Field or Button	Error Text	Description
MVCP080	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code SECL.
MVCP081	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code SLRE.
MVCP082	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code SUBS.
MVCP083	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code SYND.
MVCP084	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code TBAC.

Reference for error message	Field or Button	Error Text	Description
MVCP085	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code TRAD.
MVCP086	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code TRPO.
MVCP087	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code TRVO.
MVCP088	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code TURN.
MVCP089	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code CORP.

Reference for error message	Field or Button	Error Text	Description
MVCP090	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code CLAI.
MVCP091	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code AUTO.
MVCP128	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code ETFT.
MVCP130	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code BYIY.
MVCP131	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code SWIF

Reference for error message	Field or Button	Error Text	Description
MVCP132	<input type="checkbox"/> Securities Transaction Type field <input type="checkbox"/> Submit button	The sender of the Settlement Instruction is not authorised to send a Settlement Instruction using this ISO Transaction Code.	The sender of a Settlement Instruction must be authorised to send a Settlement Instruction using the ISO Transaction Code SWIT
MVSQ612	<input type="checkbox"/> Settlement Quantity <input type="checkbox"/> Settlement Type <input type="checkbox"/> Submit button	The number of decimals of the Settlement Quantity of the Settlement Instruction is greater than the number of decimals of the Settlement Multiple of the related security	The number of decimals of the Settlement Quantity of a Settlement Instruction on Securities must be equal or lower than the number of decimals of the Settlement Unit Multiple of the related Security.
MVSR701	<input type="checkbox"/> Transaction Identification field <input type="checkbox"/> Submit button		A Settlement Instruction that fulfils a specific restriction configured by its System Entity with positive Restriction Processing Type 'CSD Validation Hold' is accepted, and its CSD Validation Hold Status is set to 'Yes'.
MVSR702	<input type="checkbox"/> Transaction Identification field <input type="checkbox"/> Submit button		A Settlement Instruction that fulfils a specific restriction configured by its System Entity with negative Restriction Processing Type 'CSD Validation Hold' is accepted, and

Reference for error message	Field or Button	Error Text	Description
			its CSD Validation Hold Status is set to 'No'.
MVSR703	<input type="checkbox"/> Transaction Identification field <input type="checkbox"/> Submit button	Settlement Instruction rejected due to a Restriction Type.	A new Settlement Instruction that fulfils a specific restriction configured by its System Entity with positive Restriction Processing Type 'Rejection' is rejected.
MVSR704	<input type="checkbox"/> Transaction Identification field <input type="checkbox"/> Submit button		A Settlement Instruction that fulfils a specific restriction configured by its System Entity with negative Restriction Processing Type 'Rejection' is not rejected nor cancelled.
MVSR707	<input type="checkbox"/> Transaction Identification field <input type="checkbox"/> Submit button	Settlement Instruction cancelled due to a Restriction Type.	An already existing Settlement Instruction that fulfils a specific restriction configured by its System Entity with positive Restriction Processing Type 'Rejection' is cancelled.

6.5.3.83 Settlement Instructions - Search/List Screen

△ [▶ 187]

Reference for error message	Field or Button	Error Text	Description
QMPC005	<input type="checkbox"/> Settlement Amount field <input type="checkbox"/> Search button	The lower limit of the Cash Amount range is more than the upper limit of the Cash Amount range.	In case the Cash Amount is stated as a range, the lower limit of the Cash Amount range must be less than the upper limit of the Cash Amount range.
QMPC006	<input type="checkbox"/> Quantity/Nominal field <input type="checkbox"/> Search button	The lower limit of the Securities Quantity range is greater than the upper limit of the Securities Quantity range.	In case the Securities Quantity is stated as a range, the lower limit of the Securities Quantity range must be less than the upper limit of the Securities Quantity range.
QMPC007	<input type="checkbox"/> Trade Date field <input type="checkbox"/> Search button	The Trade Date From is later than the Trade Date To.	In case the Trade Date is stated as a range, the Trade Date From must be before the Trade Date To, i.e. earlier or on the same day as the Trade Date To.
QMPC010	<input type="checkbox"/> Trade Date field <input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Search button	The Trade Date From is after the Intended Settlement Date From.	In case the Trade Date and the Intended Settlement Date are specified, the Trade Date From must not be after the Intended Settlement Date From, i.e. it must be earlier or on the same day as the Intended Settlement Date From.
QMPC011	<input type="checkbox"/> Trade Date field <input type="checkbox"/> Actual Settlement Date field <input type="checkbox"/> Search button	The Trade Date From is after the Actual Settlement Date From.	In case the Trade Date and the Actual Settlement Date are specified, the Trade Date

Reference for error message	Field or Button	Error Text	Description
			From must not be after the Actual Settlement Date From, i.e. it must be earlier or on the same day as the Actual Settlement Date From.
QMPC012	<input type="checkbox"/> Intended Settlement Date field <input type="checkbox"/> Search button	The Intended Settlement Date From is after the Intended Settlement Date To.	In case the Intended Settlement Date is stated as a range, the Intended Settlement Date From must be before the Intended Settlement Date To, i.e. earlier or on the same day as the Intended Settlement Date To.
QMPC013	<input type="checkbox"/> Actual Settlement Date and Time field <input type="checkbox"/> Search button	The requested Actual Settlement Date From is older than three calendar months.	The requested Actual Settlement Date From must not be older than three calendar months.
QMPC014	<input type="checkbox"/> Actual Settlement Date and Time field <input type="checkbox"/> Search button	The Actual Settlement Date From is after the Actual Settlement Date To.	In case the Actual Settlement Date is stated as a range, the Actual Settlement Date From must be before the Actual Settlement Date To, i.e. earlier or on the same day as the Actual Settlement Date To.
QMPC018	<input type="checkbox"/> ISIN field <input type="checkbox"/> Search button	The specified ISIN is not known in T2S.	In case an ISIN is specified, it has to be known in T2S.
QMPC030	<input type="checkbox"/> Securities Account Number field <input type="checkbox"/> Search button	The specified Securities Account Number is not known in T2S.	In case a Securities Account Number is specified, it has to be known in T2S.

Reference for error message	Field or Button	Error Text	Description
QMPC031	<input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Search button	The specified T2S Dedicated Cash Account Number is not known in T2S.	In case a T2S Dedicated Cash Account Number is specified, it has to be known in T2S.
QMPC032	<input type="checkbox"/> Instructing Party BIC field <input type="checkbox"/> Search button	The specified Party BIC is not known in T2S.	In case a Party BIC is specified, it has to be known in T2S.
QMPC048	<input type="checkbox"/> Instructing Party Parent BIC field	The specified Parent BIC is not known in T2S.	In case a Parent BIC is specified, it has to be known in T2S.
QMPC054	<input type="checkbox"/> Settlement Currency field <input type="checkbox"/> Search button	The specified Currency is not known in T2S.	In case a Currency is specified, it has to be known in T2S.

6.5.3.84 Status History - Details Screen

△ [▶ 276]

No references for error messages.

6.5.3.85 T2S BIC Directory Items - Search/List Screen

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Reference for error message	Field or Button	Error Text	Description
DRDQ003	<input type="checkbox"/> Status field <input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field	Data to be updated not found	When performing a T2S BIC Directory deletion request it must refer to an existing and active instance of T2S BIC Directory already closed or with a future Valid From.
DRDQ004	<input type="checkbox"/> Status field <input type="checkbox"/> Valid from field	Data to be updated not found	When performing a T2S BIC Directory restore request it must refer to

Reference for error message	Field or Button	Error Text	Description
	<input type="checkbox"/> Valid to field		an existing and deleted instance of T2S BIC Directory already closed or with a future Valid From.
DRDQ005	<input type="checkbox"/> Party BIC field <input type="checkbox"/> Valid from field <input type="checkbox"/> Valid to field	BIC already assigned	When performing a T2S BIC Directory restore request it, the pair BIC and BIC Branch Code specified must not be already assigned in the same validity period.
QMPC021	<input type="checkbox"/> Valid from from field <input type="checkbox"/> Valid from to field <input type="checkbox"/> Search button	The From value of the Date From is after the To value of the Date From.	In case the Date From is stated as a range, the From value of the Date From has to be before or equal to the To value of the Date From.
QMPC022	<input type="checkbox"/> Valid from from field <input type="checkbox"/> Valid to to field <input type="checkbox"/> Search button	The From value of the Date From is after the To value of the Date To.	In case the Date From and Date To are specified as ranges, the From value of the Date From has to be before or equal to the To value of the Date To.
QMPC023	<input type="checkbox"/> Valid to from field <input type="checkbox"/> Valid to to field <input type="checkbox"/> Search button	The From value of the Date From is after the To value of the Date To.	In case the To Date is stated as a range, the From value of the Date To has to be before or equal to the To value of the Date To.

6.5.3.86 T2S DCA Balance - Details Screen

△ [▶ 370]

No references for error messages.

6.5.3.87 T2S DCA Balances - Search/List Screen

△ [▶ 366]

Reference for error message	Field or Button	Error Text	Description
QMPC031	<input type="checkbox"/> Search button <input type="checkbox"/> T2S Dedicated Cash Account Number field	The specified T2S Dedicated Cash Account Number is not known in T2S.	In case a T2S Dedicated Cash Account Number is specified, it has to be known in T2S.
QMPC032	<input type="checkbox"/> Party BIC field <input type="checkbox"/> Search button	The specified Party BIC is not known in T2S.	In case a Party BIC is specified, it has to be known in T2S.
QMPC048	<input type="checkbox"/> NCB Parent BIC field <input type="checkbox"/> Search button	The specified Parent BIC is not known in T2S.	In case a Parent BIC is specified, it has to be known in T2S.
QMPC054	<input type="checkbox"/> Currency field <input type="checkbox"/> Search button	The specified Currency is not known in T2S.	In case a Currency is specified, it has to be known in T2S.
QMPC072	<input type="checkbox"/> Party BIC field <input type="checkbox"/> NCB Parent BIC field <input type="checkbox"/> T2S Dedicated Cash Account Number field <input type="checkbox"/> Currency field <input type="checkbox"/> Search button	The specified combination of T2S Dedicated Cash Account Number, Currency, Account Owner and Account Servicer are not consistent.	In case at least two of the following selection criteria are specified, they have to be consistent, i. e. the T2S Dedicated Cash Account is owned by the specified Party <ul style="list-style-type: none"> • T2S Dedicated Cash Account Number • Account Owner • Account Servicer • Currency, in which the T2S Dedicated Cash Account is issued and/or in which the Party owns T2SDedicated Cash Accounts

Reference for error message	Field or Button	Error Text	Description
QMPC073	<input type="checkbox"/> Date field <input type="checkbox"/> Search button	The specified Date is in the future.	In case a date from is specified, it must not be in the future.
QMPC084	<input type="checkbox"/> Party BIC field <input type="checkbox"/> NCB Parent BIC field <input type="checkbox"/> Search button	The specified Parent BIC is not the System Entity of the specified party.	In case the BIC of a party as well as its parent BIC are specified, a party with these two BICs has to be known in T2S.
QMPC091	<input type="checkbox"/> Restriction Type field <input type="checkbox"/> Search button	The specified restriction type is not known in T2S.	In case a restriction type is specified, it has to be known in T2S.

6.5.3.88 T2S DCA Postings - Search/List Screen

△ [▶ 372]

Reference for error message	Field or Button	Error Text	Description
QMPC016	<input type="checkbox"/> Date field <input type="checkbox"/> Search Button	The Date From is older than three calendar months.	The Date From must not be older than three calendar months.
QMPC032	<input type="checkbox"/> Party BIC field <input type="checkbox"/> Search button	The specified Party BIC is not known in T2S.	In case a Party BIC is specified, it has to be known in T2S.
QMPC054	<input type="checkbox"/> Currency field <input type="checkbox"/> Search button	The specified Currency is not known in T2S.	In case a Currency is specified, it has to be known in T2S.
QMPC072	<input type="checkbox"/> Party BIC field <input type="checkbox"/> NCB Parent BIC field <input type="checkbox"/> T2S Dedicated Cash Account field <input type="checkbox"/> Currency field <input type="checkbox"/> Search button	The specified combination of T2S Dedicated Cash Account Number, Currency, Account Owner and Account Servicer are not consistent.	In case at least two of the following selection criteria are specified, they have to be consistent, i. e. the T2S Dedicated Cash Account is owned by the specified Party <ul style="list-style-type: none"> • T2S Dedicated Cash

Reference for error message	Field or Button	Error Text	Description
			Account Number <ul style="list-style-type: none"> • Account Owner • Account Servicer • Currency, in which the T2S Dedicated Cash Account is issued and/or in which the Party owns T2SDedicated Cash Accounts
QMPC073	<input type="checkbox"/> Date field <input type="checkbox"/> Date and Time from field <input type="checkbox"/> Search button	The specified Date is in the future.	In case a date from is specified, it must not be in the future.
QMPC091	<input type="checkbox"/> Restriction Type field <input type="checkbox"/> Search button	The specified restriction type is not known in T2S.	In case a restriction type is specified, it has to be known in T2S.

6.5.3.89 Total Amount of Standing/Predefined Liquidity Transfer Orders - Search/List Screen

△ [▶ 409]

Reference for error message	Field or Button	Error Text	Description
QMPC032	<input type="checkbox"/> Party BIC field <input type="checkbox"/> Search button	The specified Party BIC is not known in T2S.	In case a Party BIC is specified, it has to be known in T2S.
QMPC048	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Search button	The specified Parent BIC is not known in T2S.	In case a Parent BIC is specified, it has to be known in T2S.
QMPC084	<input type="checkbox"/> Parent BIC field <input type="checkbox"/> Party BIC field <input type="checkbox"/> Search button	The specified Parent BIC is not the System Entity of the specified party.	In case the BIC of a party as well as its parent BIC are specified, a party with these two BICs has to be known in T2S.

6.5.3.90 Total Collateral Value per T2S Dedicated Cash Account - Search/List Screen

△ [▶ 378]

Reference for error message	Field or Button	Error Text	Description
QMPC031	<input type="checkbox"/> Search button <input type="checkbox"/> T2S Dedicated Cash Account Number field	The specified T2S Dedicated Cash Account Number is not known in T2S.	In case a T2S Dedicated Cash Account Number is specified, it has to be known in T2S.
QMPC032	<input type="checkbox"/> Search button <input type="checkbox"/> T2S Dedicated Cash Account Owner Party BIC field <input type="checkbox"/> NCB Parent BIC field <input type="checkbox"/> Securities Account Owner Party BIC field <input type="checkbox"/> CSD Parent BIC field	The specified Party BIC is not known in T2S.	In case a Party BIC is specified, it has to be known in T2S.
QMPC054	<input type="checkbox"/> Currency field <input type="checkbox"/> Search button	The specified Currency is not known in T2S.	In case a Currency is specified, it has to be known in T2S.
QMPC084	<input type="checkbox"/> CSD Parent BIC field <input type="checkbox"/> Securities Account Owner Party BIC field	The specified Parent BIC is not the System Entity of the specified party.	In case the BIC of a party as well as its parent BIC are specified, a party with these two BICs has to be known in T2S.

6.5.4 References for Error Messages for TMS Screens

6.5.4.1 Trouble Case - Search/List Screen

△ [▶ 1422]

Reference for error message	Field or Button	Error Text	Description
ARERR10000	<input type="checkbox"/> From field <input type="checkbox"/> To field <input type="checkbox"/> Search button	Dates into From and To fields are not congruous. Check values!	In case the Date is stated as a range, the Date From has to be before or equal to the Date To.

6.5.4.2 Trouble Case - Details Screen

△ [▶ 1428]

No references for error messages.

6.5.4.3 Trouble Case - Export Screen

△ [▶ 1434]

Reference for error message	Field or Button	Error Text	Description
ARERR10000	<input type="checkbox"/> From field <input type="checkbox"/> To field	Dates into From and To fields are not congruous. Check values!	In case the Date is stated as a range, the Date From has to be before or equal to the Date To.